

Recent improvements in parton shower algorithms (for Higgs production)



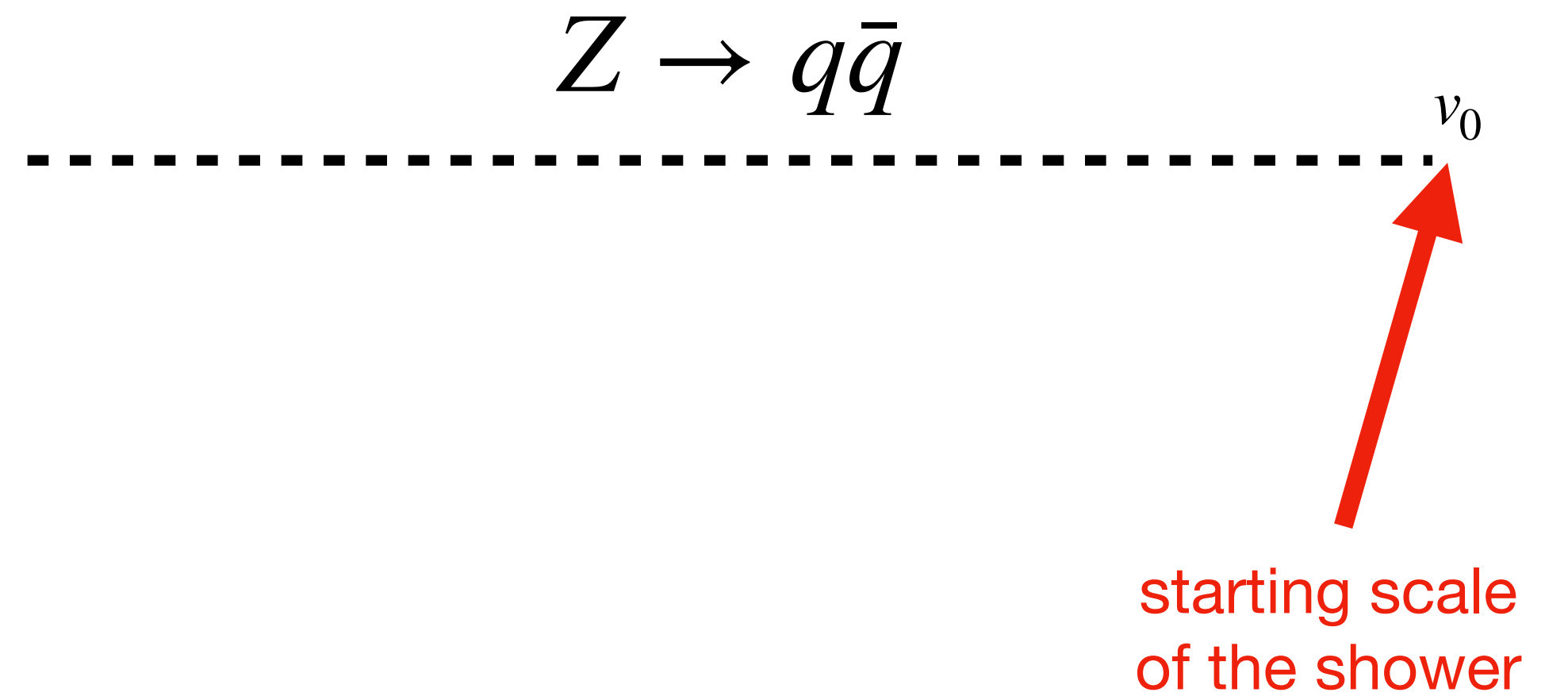
What is a parton shower?



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Illustrated with a dipole shower for final-state emissions

Start with some partonic state
This spans an initial 'colour dipole'

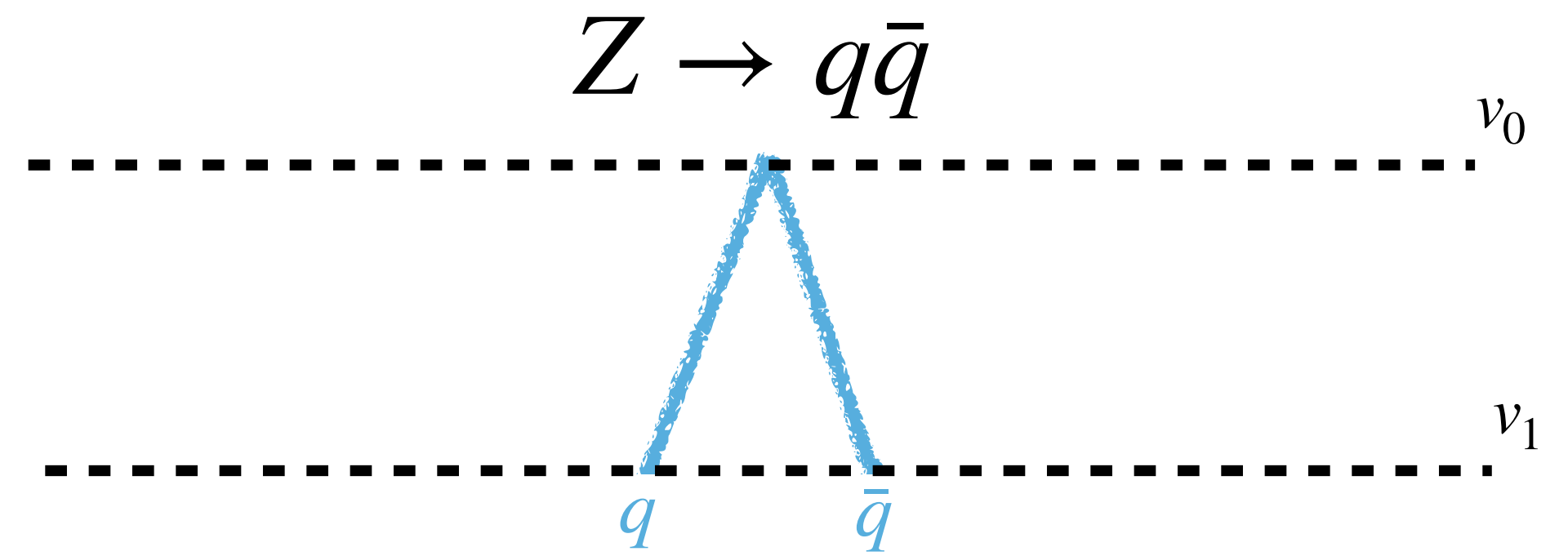


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Throw a random number to determine
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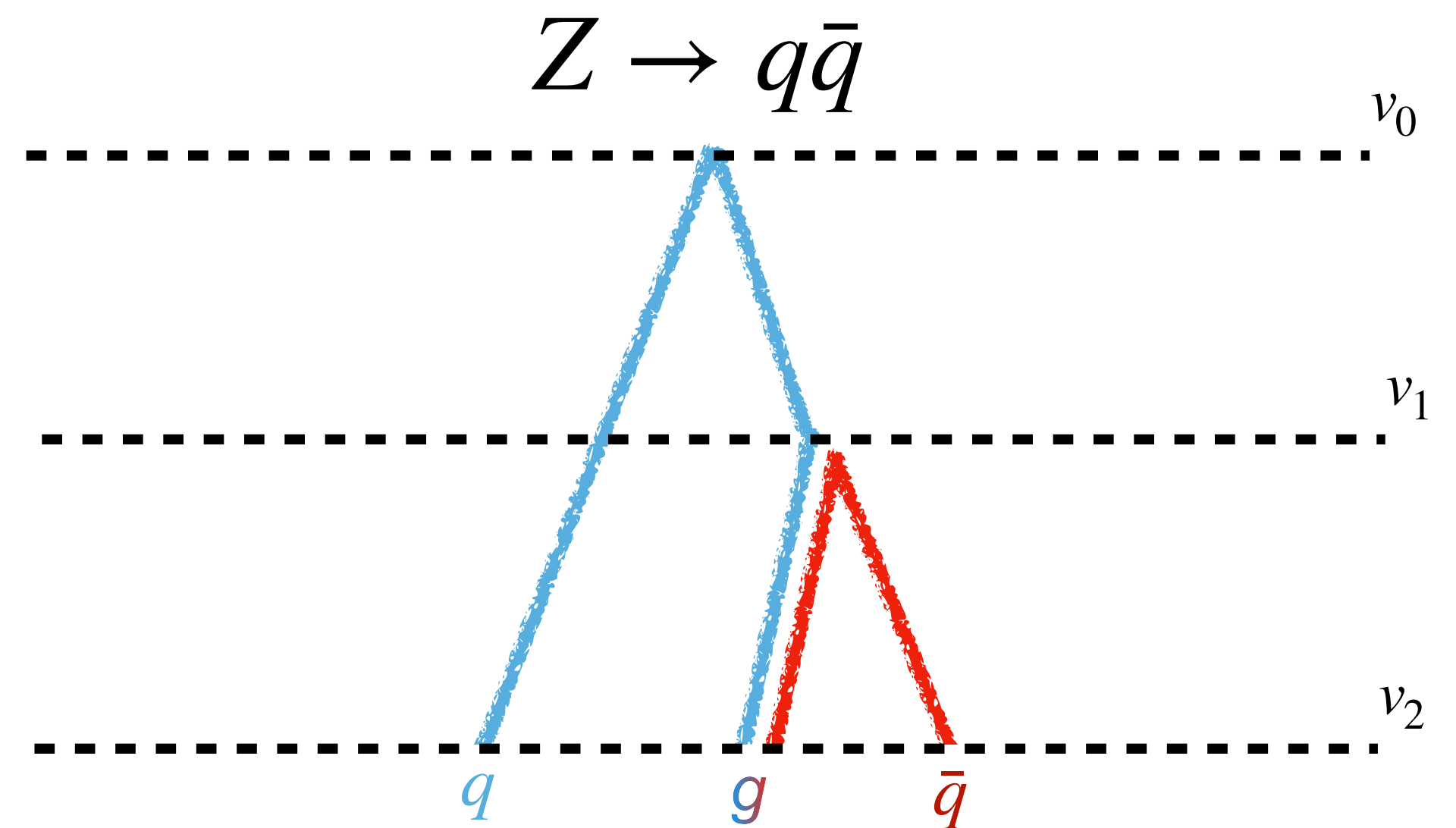
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The state splits...
The new gluon is part of two
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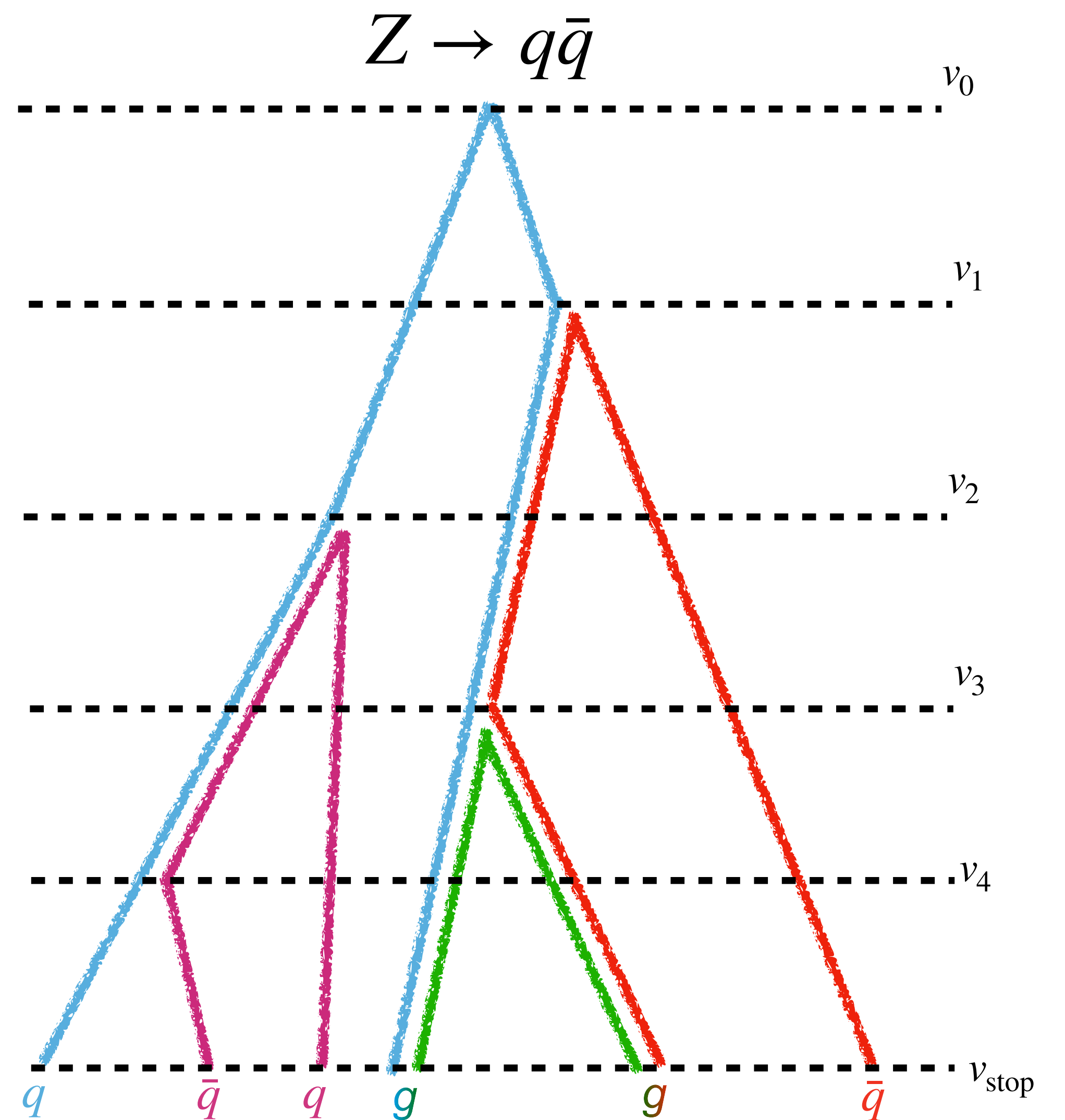
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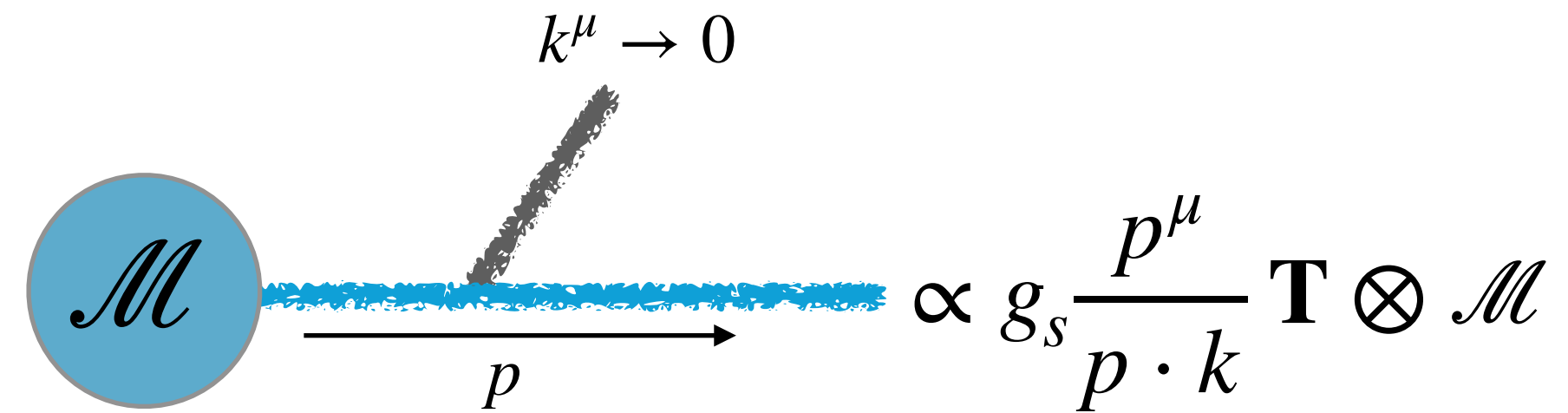
Process continues until it reaches a
non-perturbative cut-off scale

End result: set of particles and their four momenta, from which any (well-defined) observable may be reconstructed



The splitting probability

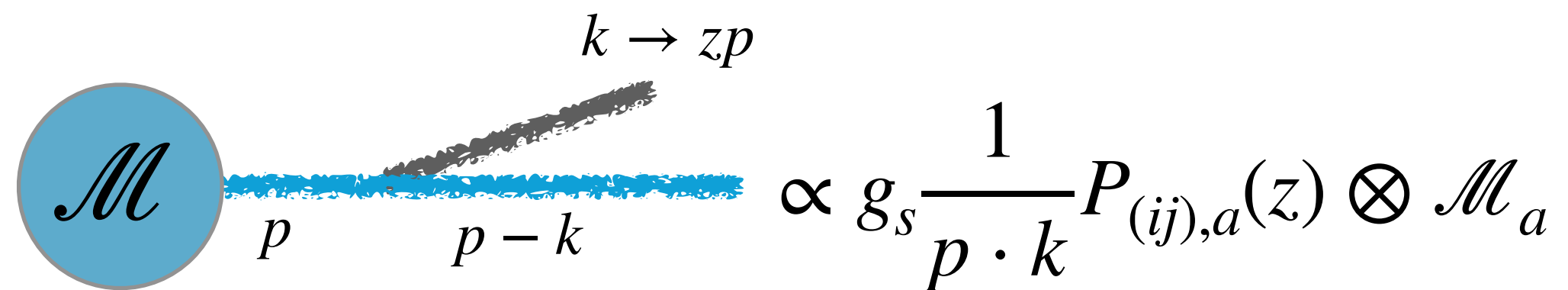
Emission of a soft gluon: the eikonal Feynman rule



\mathbf{T} is a colour-generator

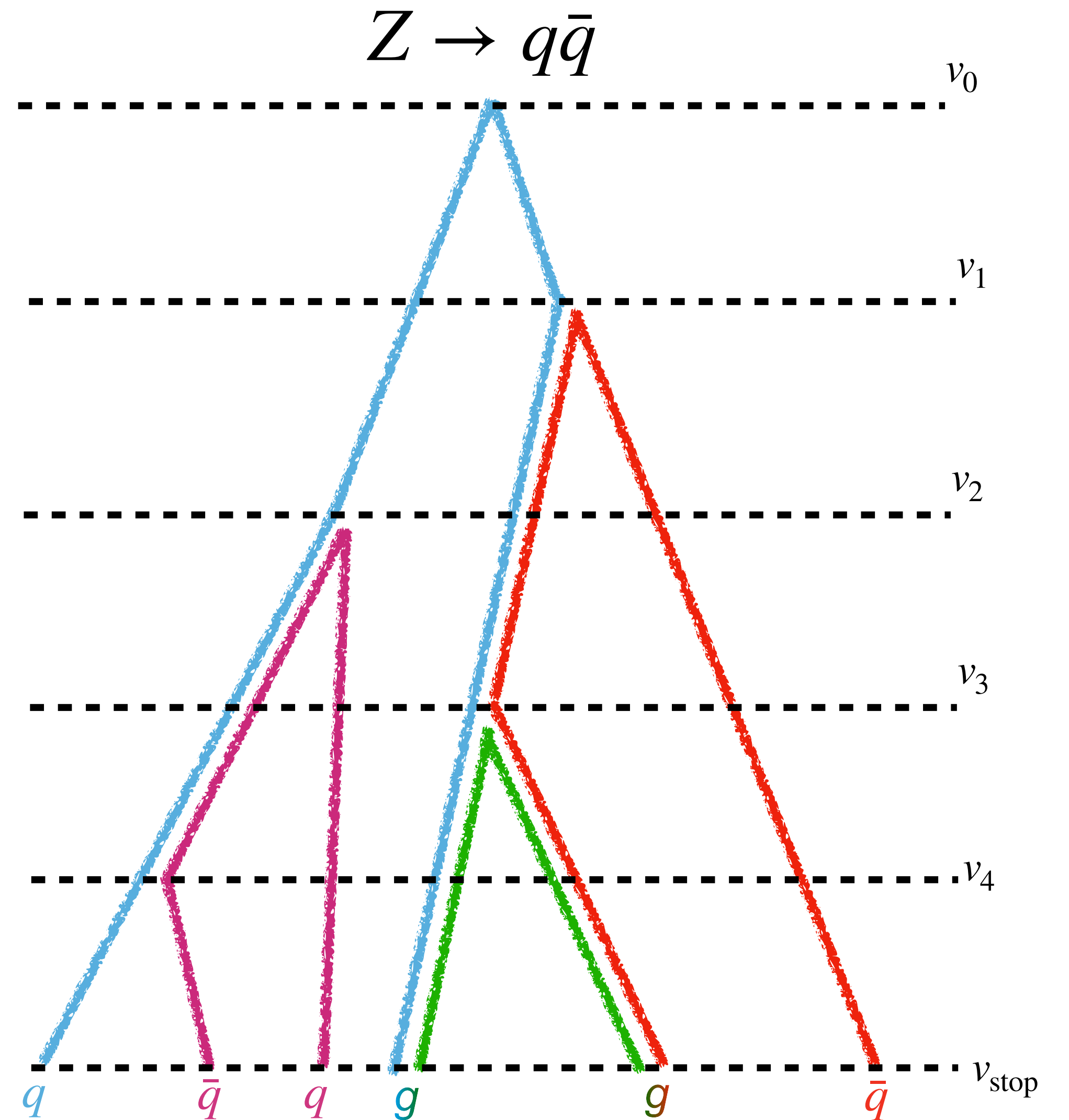
- Spin dependence is factorised
- Colour dependence is not

Emission of a collinear particle: Splitting functions $P_{(ij),a}$



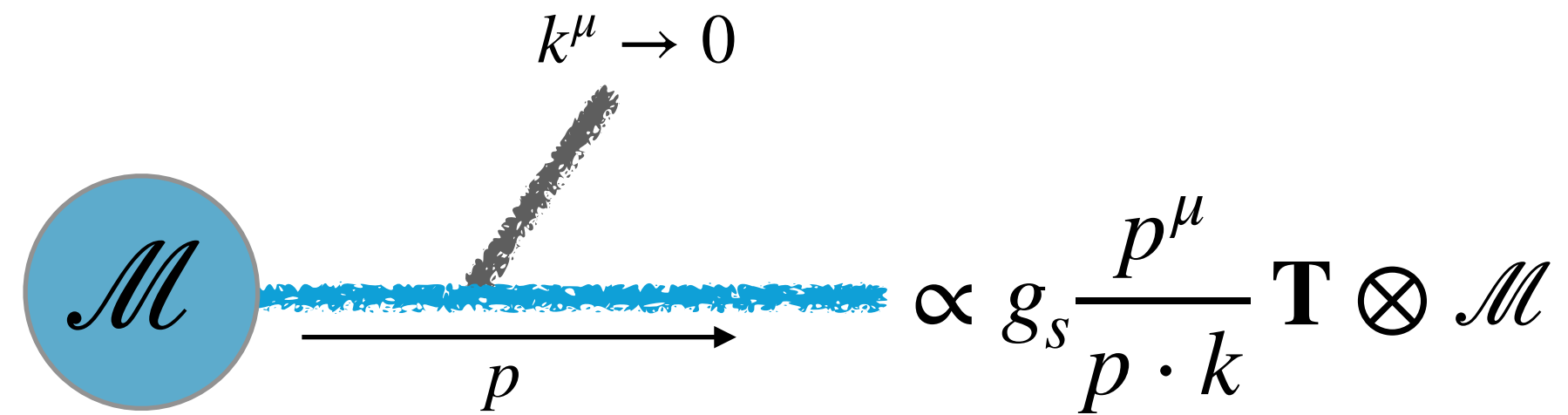
a is a spin index

- Colour dependence is factorised
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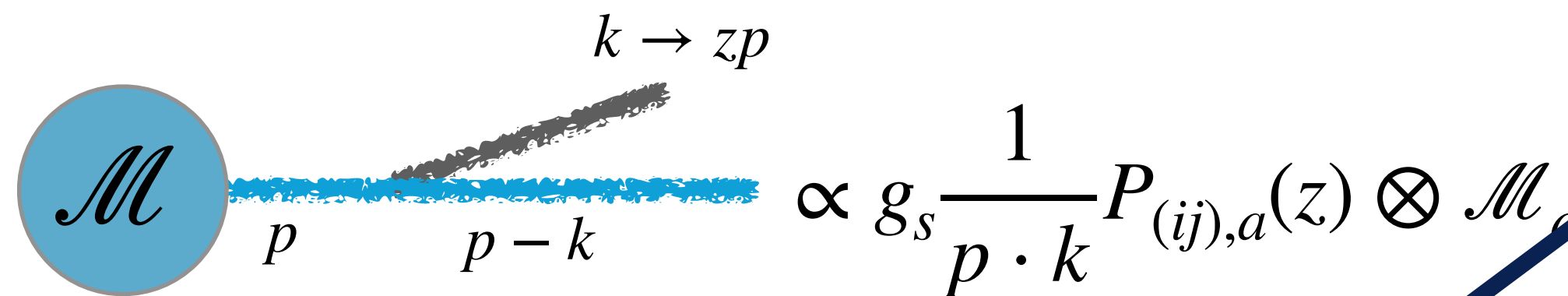
The splitting probability

Emission of a soft gluon: the eikonal Feynman rule

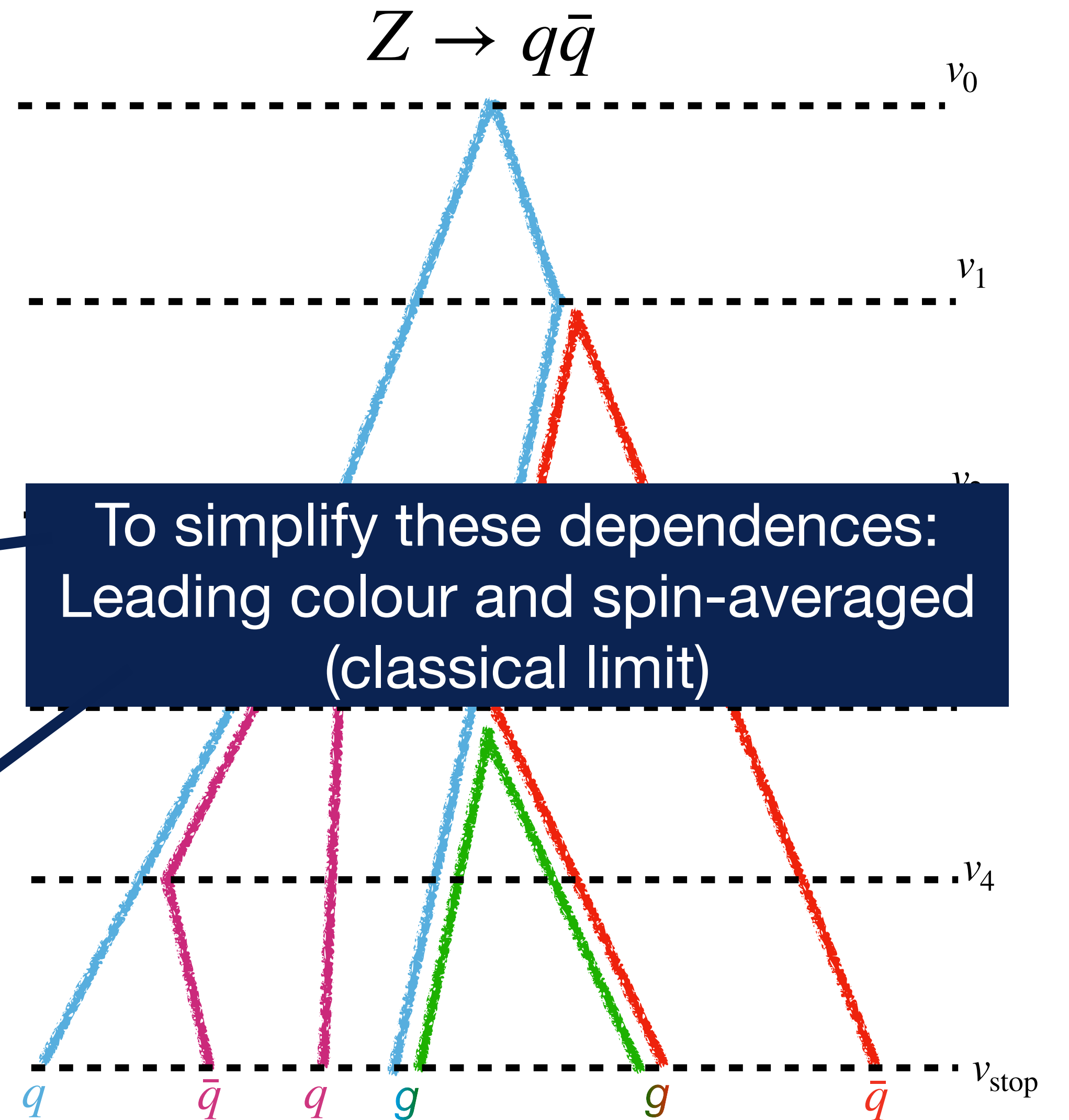


- \mathbf{T} is a colour-generator
- Spin dependence is factorised
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Emission of a collinear particle: Splitting functions $P_{(ij)a}$



- a is a spin index
- Colour dependence is factorised
 - Spin dependence is not



To simplify these dependences:
Leading colour and spin-averaged
(classical limit)

PS algorithms - matter of making choices

DGLAP Pythia default Herwig default	v.s.	Dipole/Antenna Pythia dipole Herwig dipole Sherpa Dire Vincia
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Kinematic map

How to go from n to $n + 1$ partonic state?
global / local momentum conservation

Attribution of recoil

How to select an 'emitter'?
dipole CM frame, event CM frame

Evolution variable v

Which emissions come first?
 k_t ordered, angular ordered, virtuality ordered...

Parton showers: a crucial ingredient



Pythia 8

An introduction to PYTHIA 8.2

Torbjörn Sjöstrand (Lund U., Dept. Theor. Phys.), Stefan Ask (Cambridge U.), Jesper R. Christiansen (Lund U., Dept. Theor. Phys.), Richard Corke (Lund U., Dept. Theor. Phys.), Nishita Desai (U. Heidelberg, ITP) et al. (Oct 11, 2014)

Published in: *Comput.Phys.Commun.* 191 (2015) 159-177 • e-Print: [1410.3012](#) [hep-ph]

[pdf](#) [links](#) [DOI](#) [cite](#)

↻ 4,738 citations

PYTHIA 6.4 Physics and Manual ↻ 12,519 citations



Herwig 7

#1 Herwig++ Physics and Manual

M. Bahr (Karlsruhe U., ITP), S. Gieseke (Karlsruhe U., ITP), M.A. Gigg (Durham U., IPPP), D. Grellscheid (Durham U., IPPP), K. Hamilton (Louvain U.) et al. (Mar, 2008)

Published in: *Eur.Phys.JC* 58 (2008) 639-707 • e-Print: [0803.0883](#) [hep-ph]

[pdf](#) [links](#) [DOI](#) [cite](#)

↻ 2,755 citations



Sherpa

#1 Event generation with SHERPA 1.1

T. Gleisberg (SLAC), Stefan. Hoeche (Zurich U.), F. Krauss (Durham U., IPPP), M. Schonherr (Dresden, Tech. U.), S. Schumann (Edinburgh U.) et al. (Nov, 2008)

Published in: *JHEP* 02 (2009) 007 • e-Print: [0811.4622](#) [hep-ph]

[pdf](#) [links](#) [DOI](#) [cite](#)

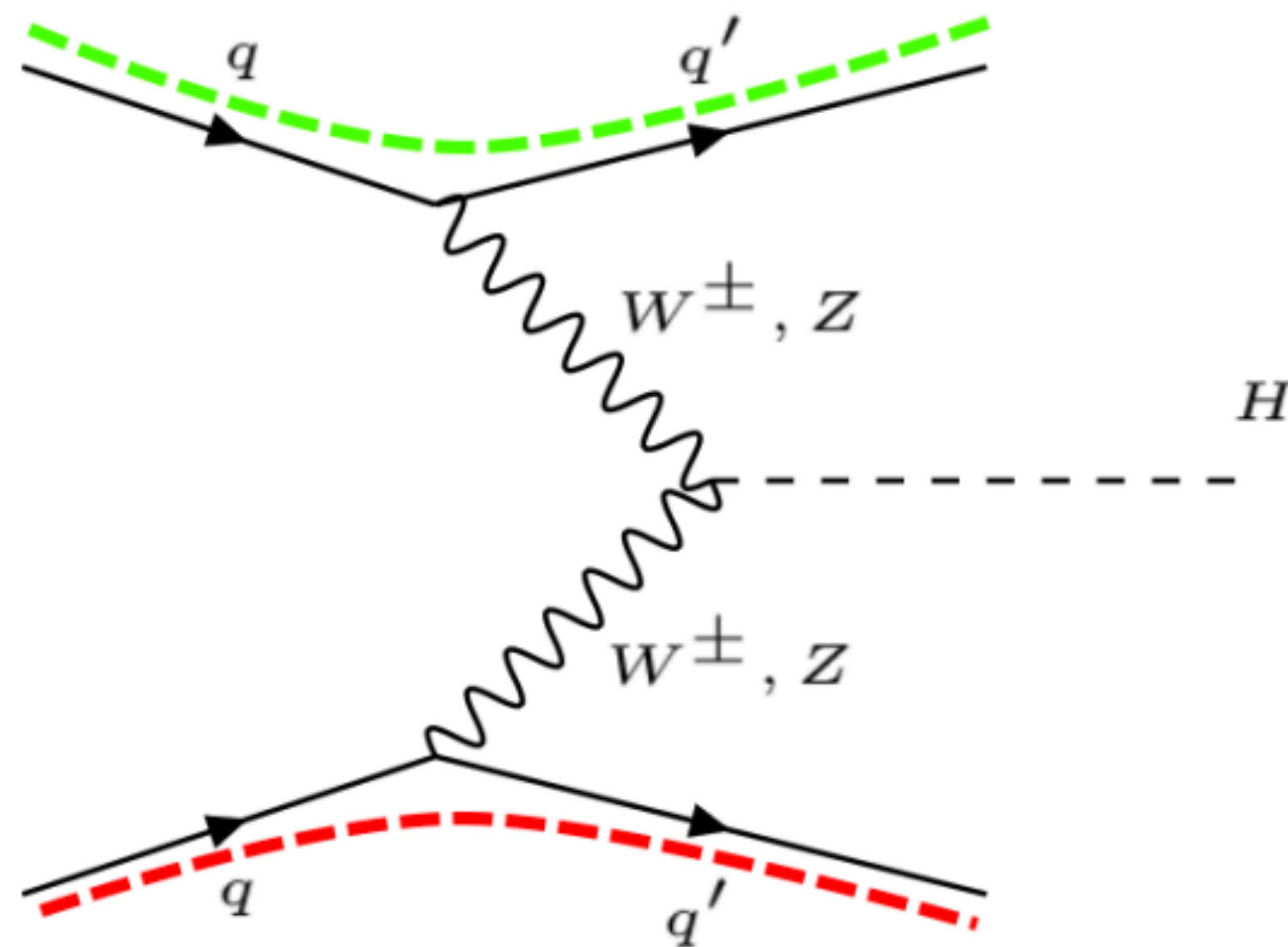
↻ 3,518 citations

Do an amazing job at describing the phenomenology at colliders (and sometimes even beyond colliders)

But differences matter...

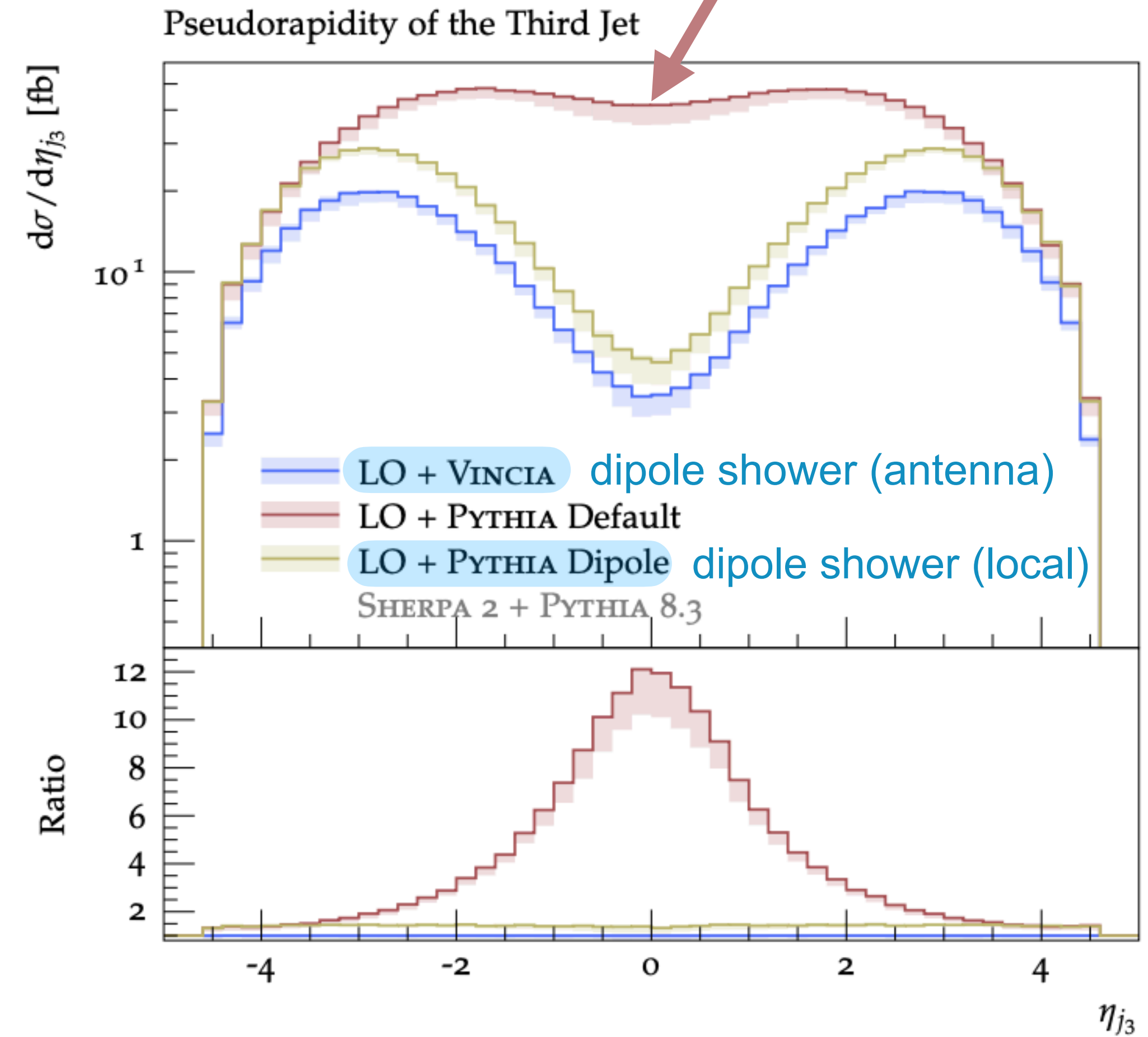
VBF production of $h + 2j$

Focus of the talk will lie mostly on this channel...



Colour coherence strongly suppresses radiation in central rapidity region

Pythia's default (global) shower unphysically fills this central region!



Progress in improving the PS accuracy

- Matching to fixed-order

NLO; i.e. Frixione & Webber [0204244], Nason [0409146], ...

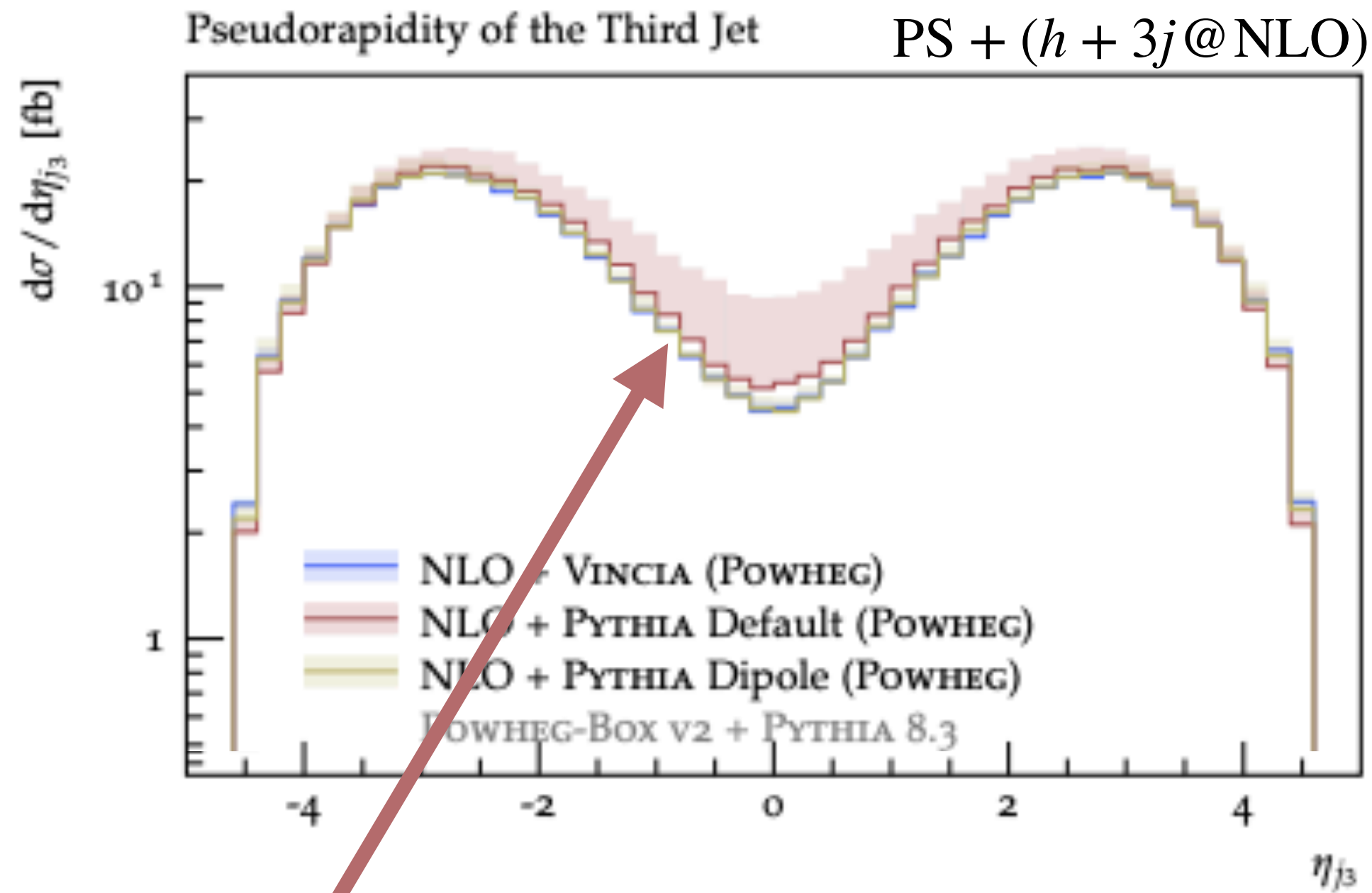
NNLO; i.e. UNNLOPS [1407.3773], **MiNNLOps** [1908.06987], **Geneva** [1311.0286], Vincia [2108.07133], ...

NNNLO; Prestel [2106.03206], + Bertone [2202.01082]

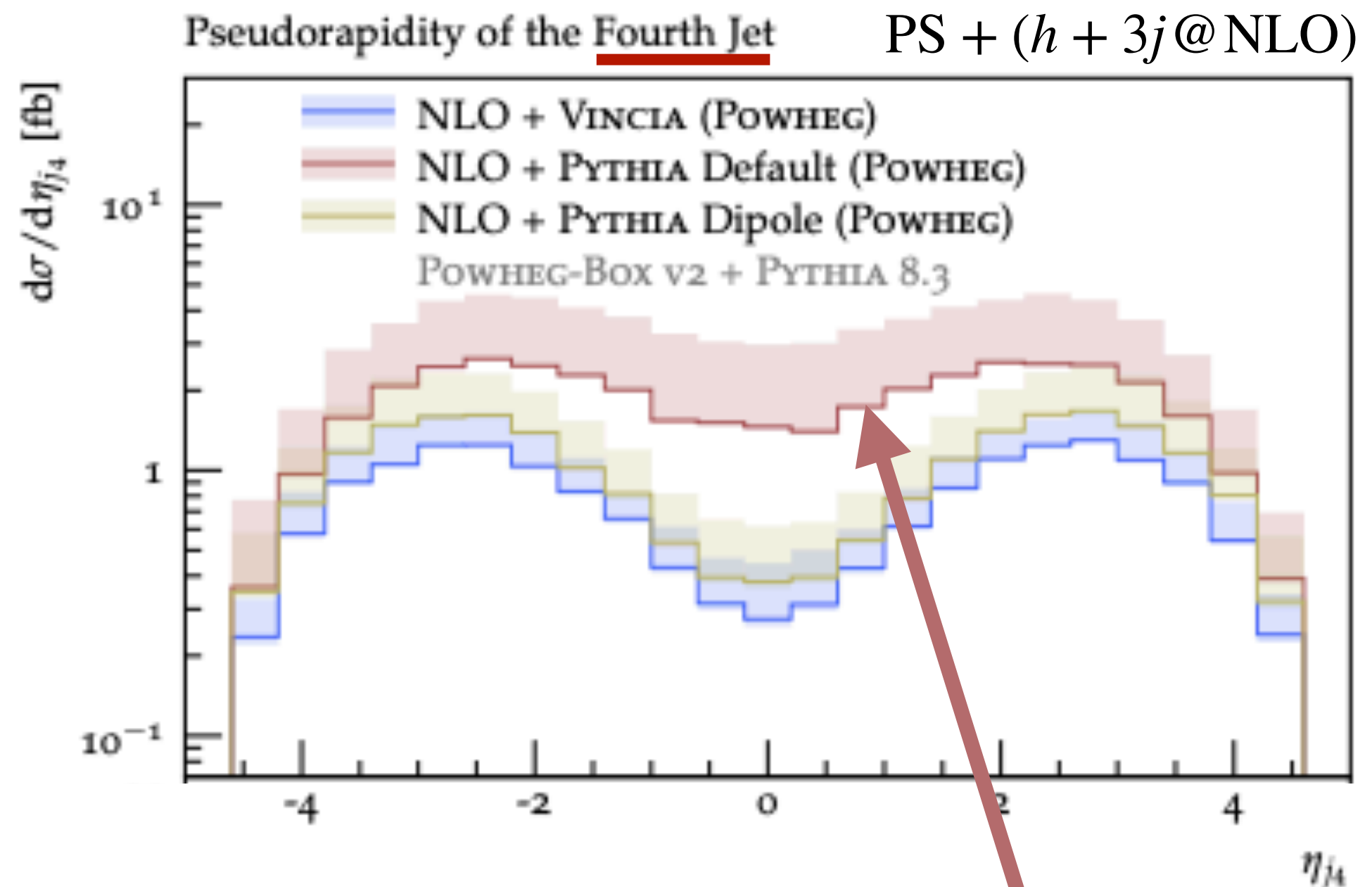

ggF [2006.04133], VH [2112.04168]


colour-singlet (DY) [2102.08390], VH [1909.02026]

Matching for VBF (Powheg-box + PS)

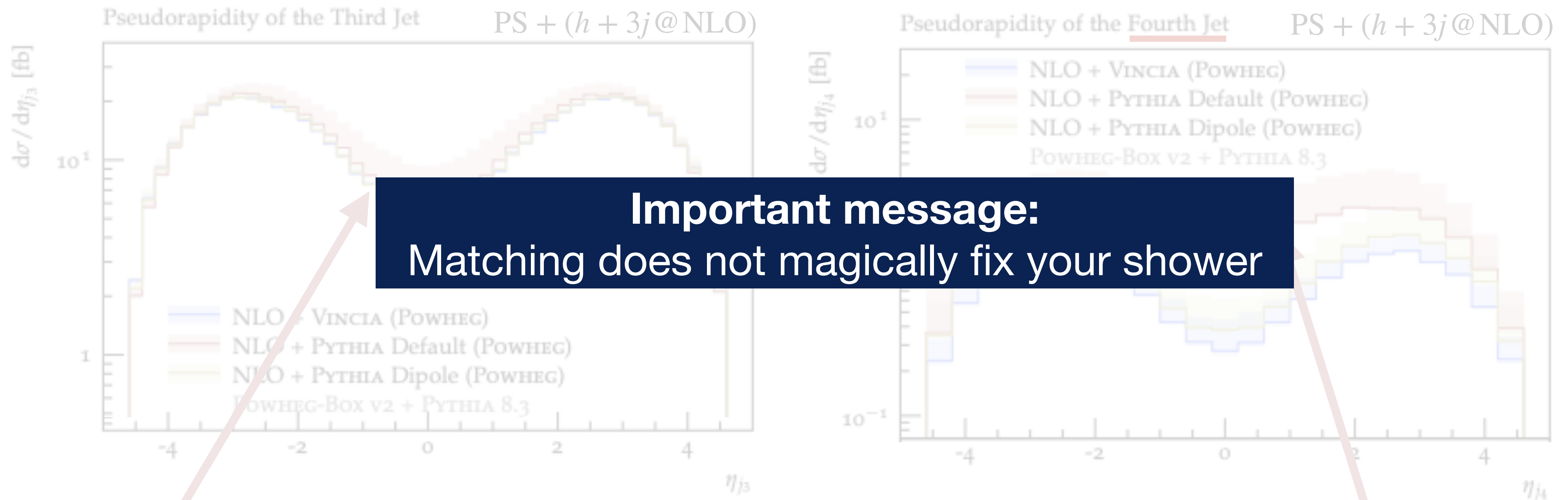


Matching fixes the rapidity distribution of the 3rd jet...



But we again see a huge discrepancy for four-jet observables!

Matching for VBF (Powheg-box + PS)

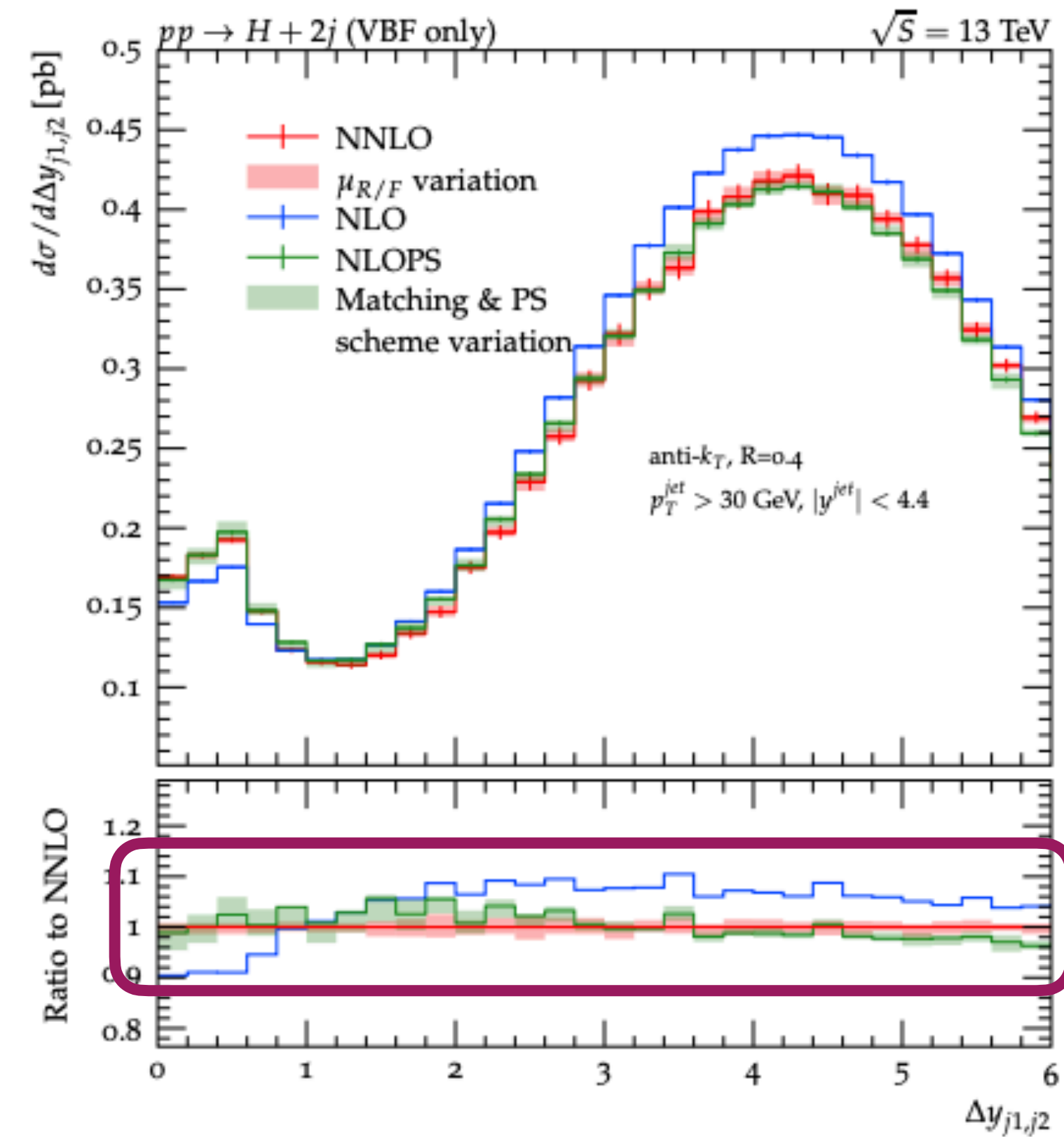


Important message:
Matching does not magically fix your shower

Matching fixes the rapidity distribution of the 3rd jet...

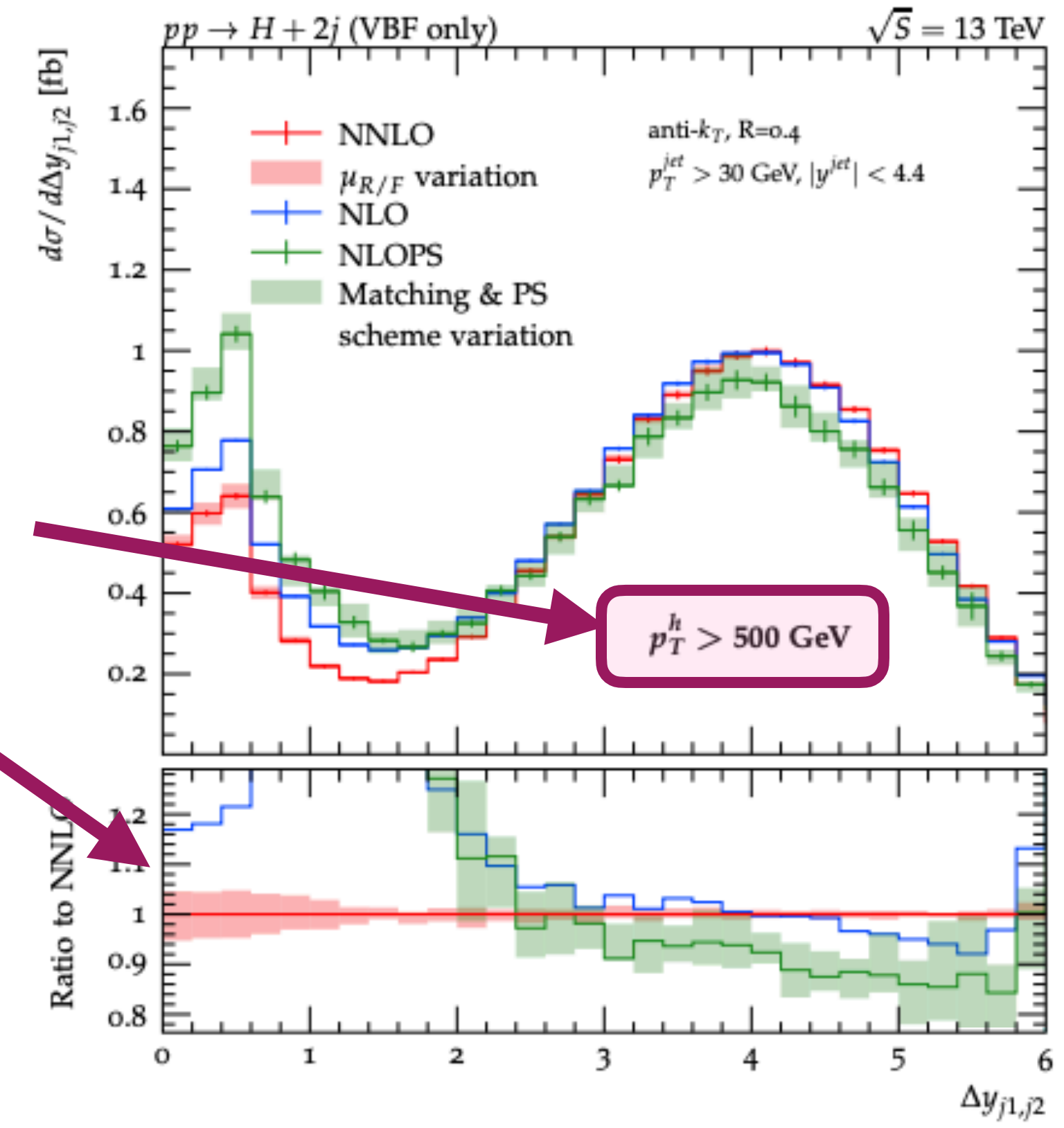
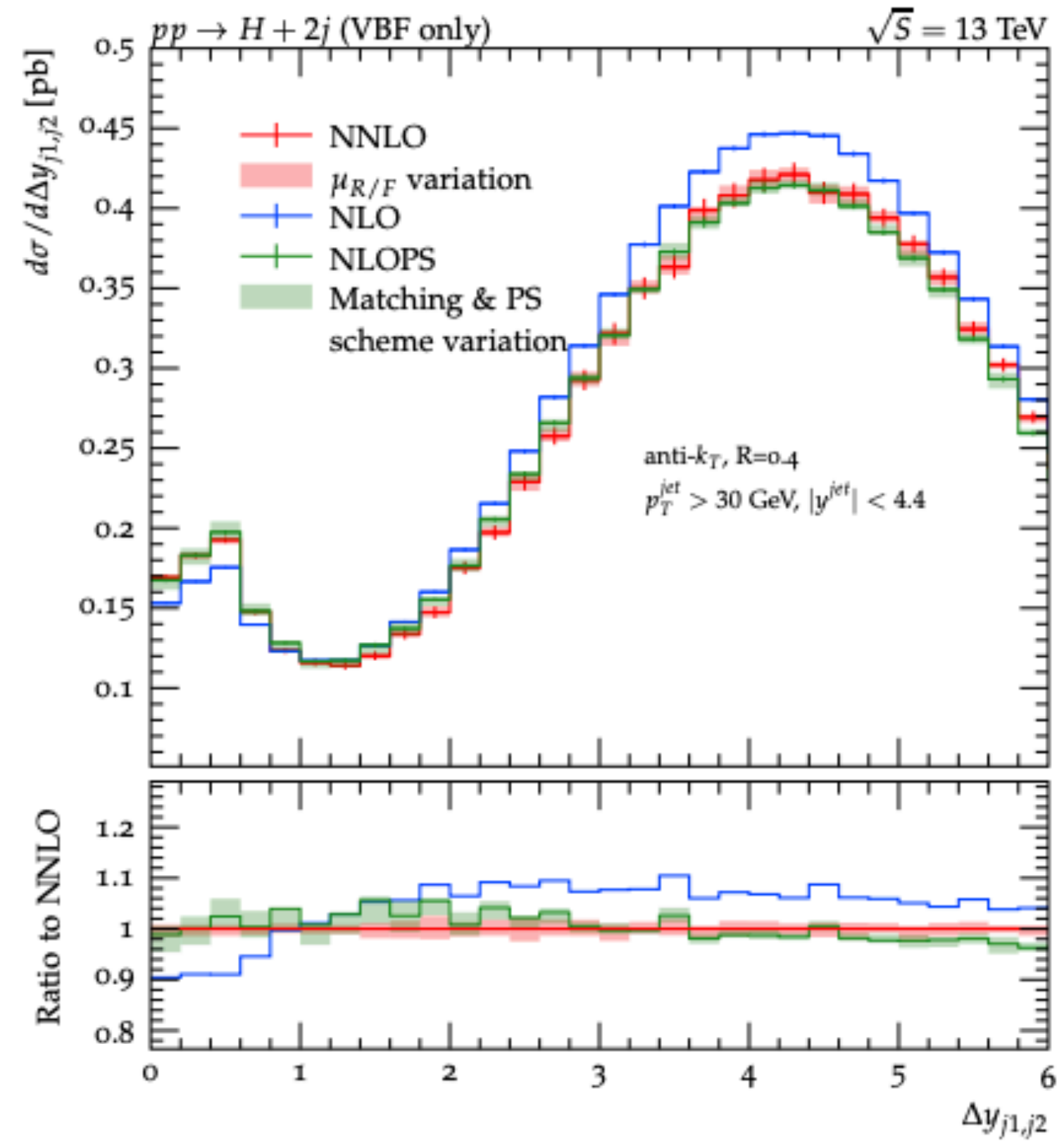
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NLOPS vs NNLO



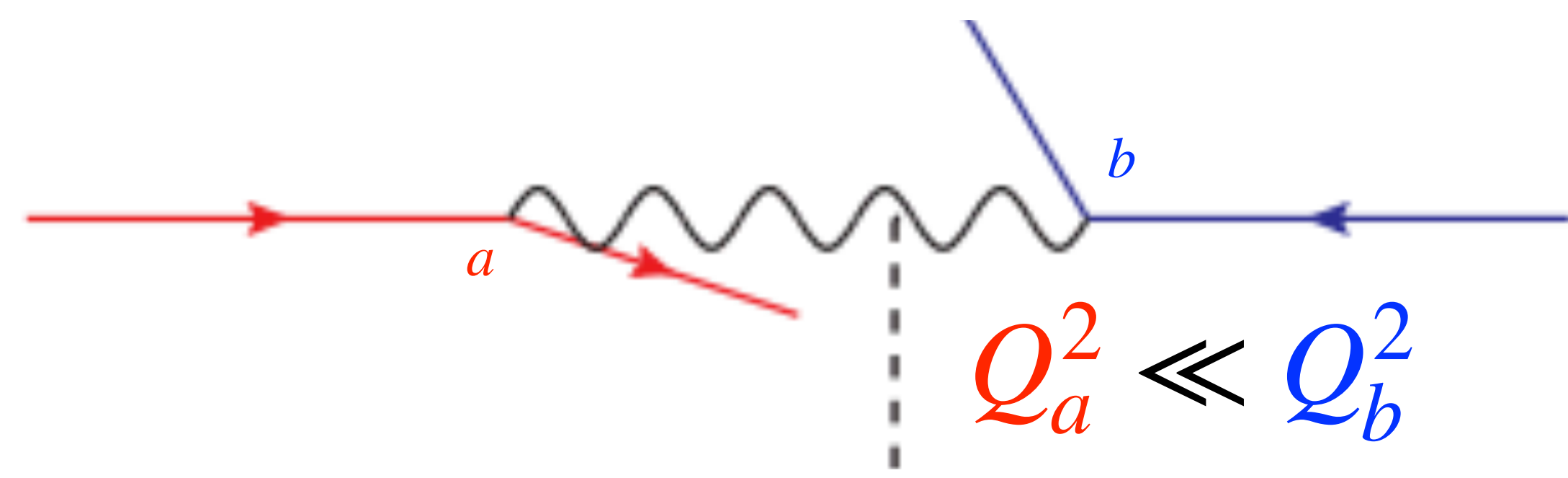
Good agreement between **NLOPS** (Herwig dipole [0909.5593] +MC@NLO) and **NNLOjet** [1802.02445]

NLOPS vs NNLO



Agreement **completely** lost with higher Higgs p_T cut

Consequence of large **scale** difference between the two LO dipoles



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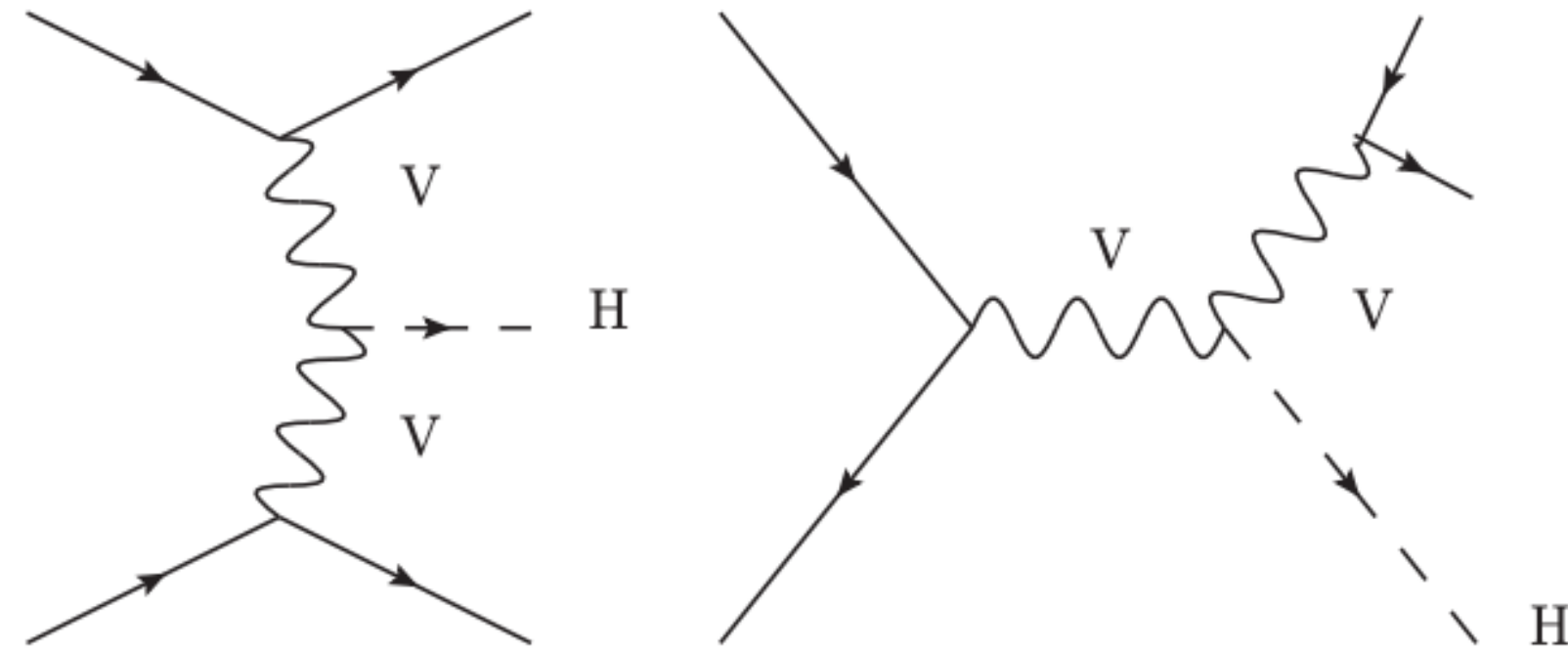
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- **Electroweak corrections**

Vincia [2002.09248, 2108.10786], Pythia [1401.5238], Herwig [2108.10817], ...

Electroweak effects in $h + 2j$



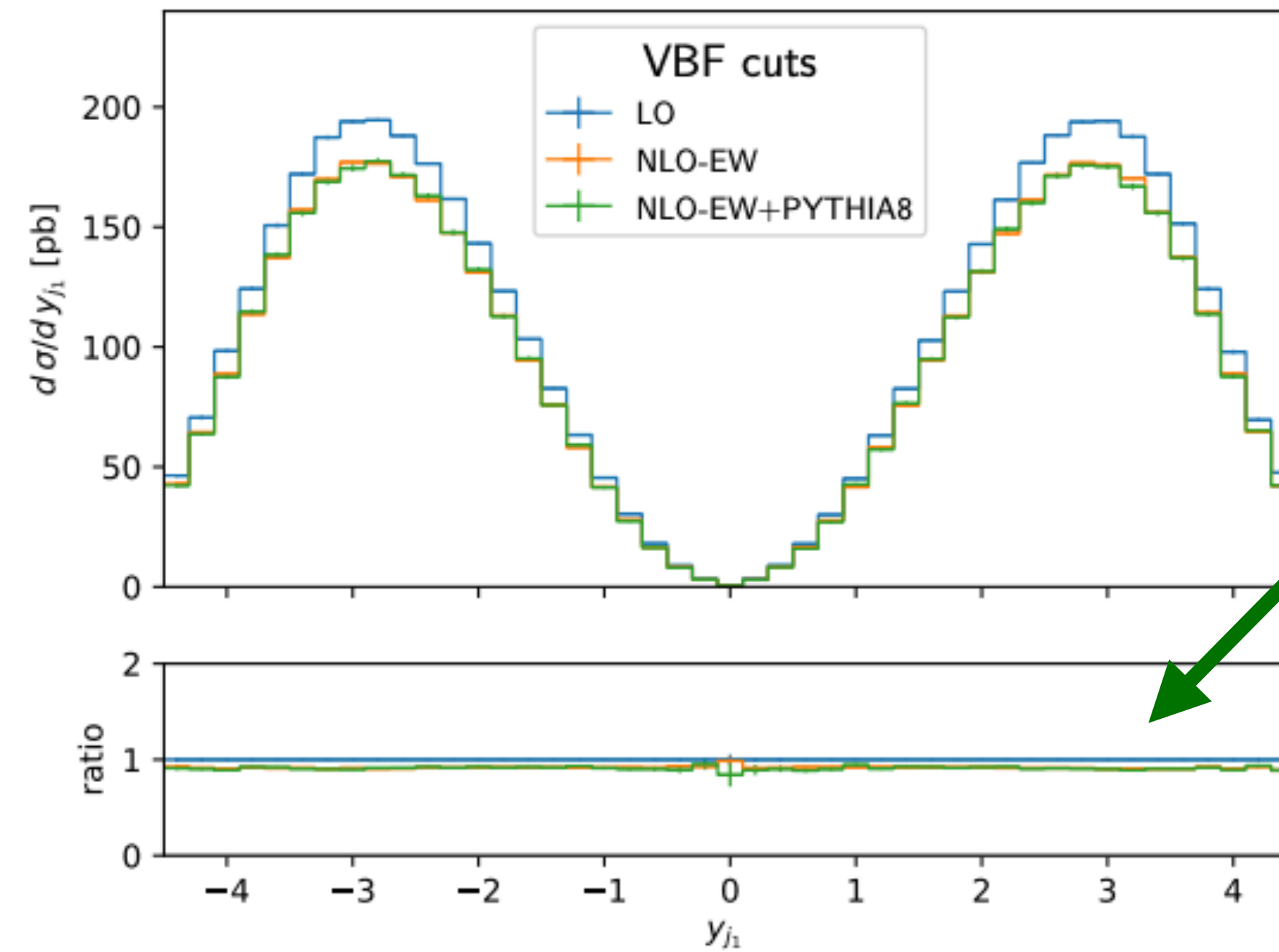
VBF cuts

$$p_{T,\text{jet}} > 25\text{GeV}, \quad |y_{\text{jet}}| < 4.5$$

$$m_{jj} > 600\text{GeV}, \quad \Delta y_{jj} > 4.5$$

$$y_{j_1} \cdot y_{j_2} < 0$$

Designed to decouple the two topologies, but with EW corrections they are interfered



QED shower has little impact

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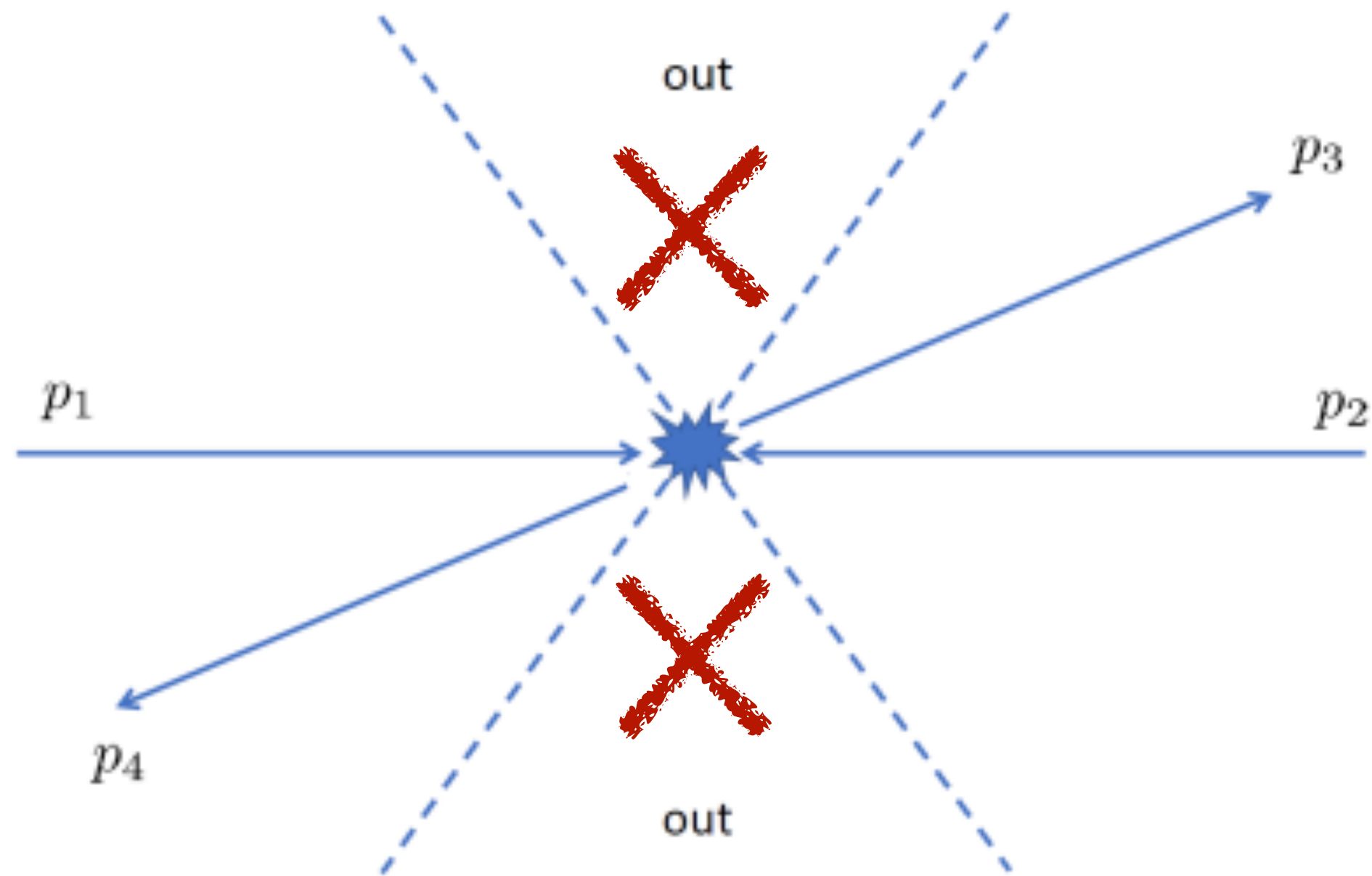
- **Spin and colour correlations**

Forshaw, Holguin, Plätzer, Sjö Dahl [1201.0260, 1808.00332, 1905.08686, 2007.09648, 2011.15087]

Deductor [0706.0017, 1401.6364, 1501.00778, 1902.02105], Herwig [1807.01955]

PanScales [2011.10054, 2103.16526, 2111.01161], ...

Subleading colour corrections - jet veto in $h + 2j$



Non-global observable: sensitive to wide-angle soft gluon emissions in restricted regions of phase space

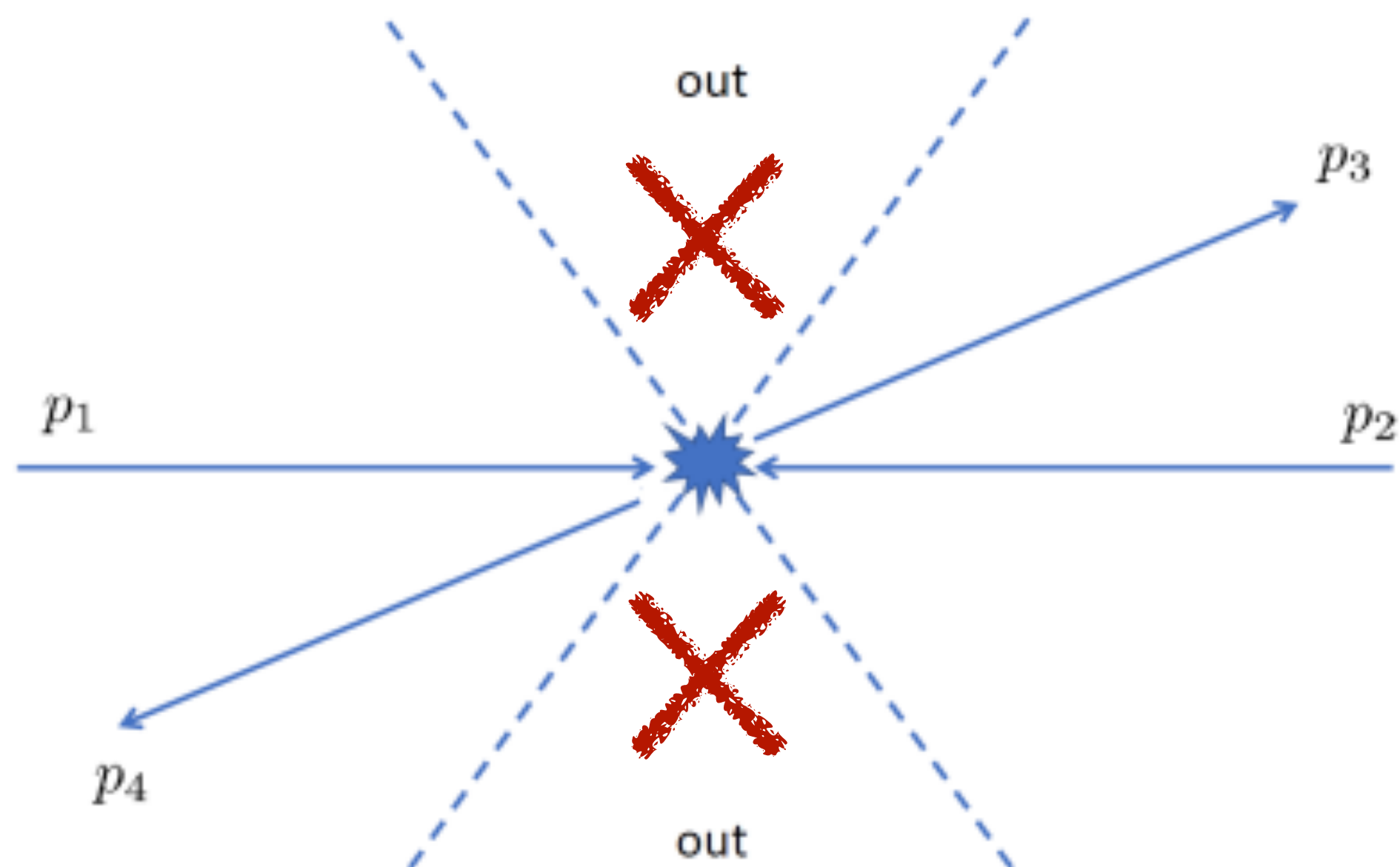
Soft gluons are sensitive to **colour flow** of underlying process

i.e.

$$qq \rightarrow qqH$$

has an **octet** and a **singlet** channel

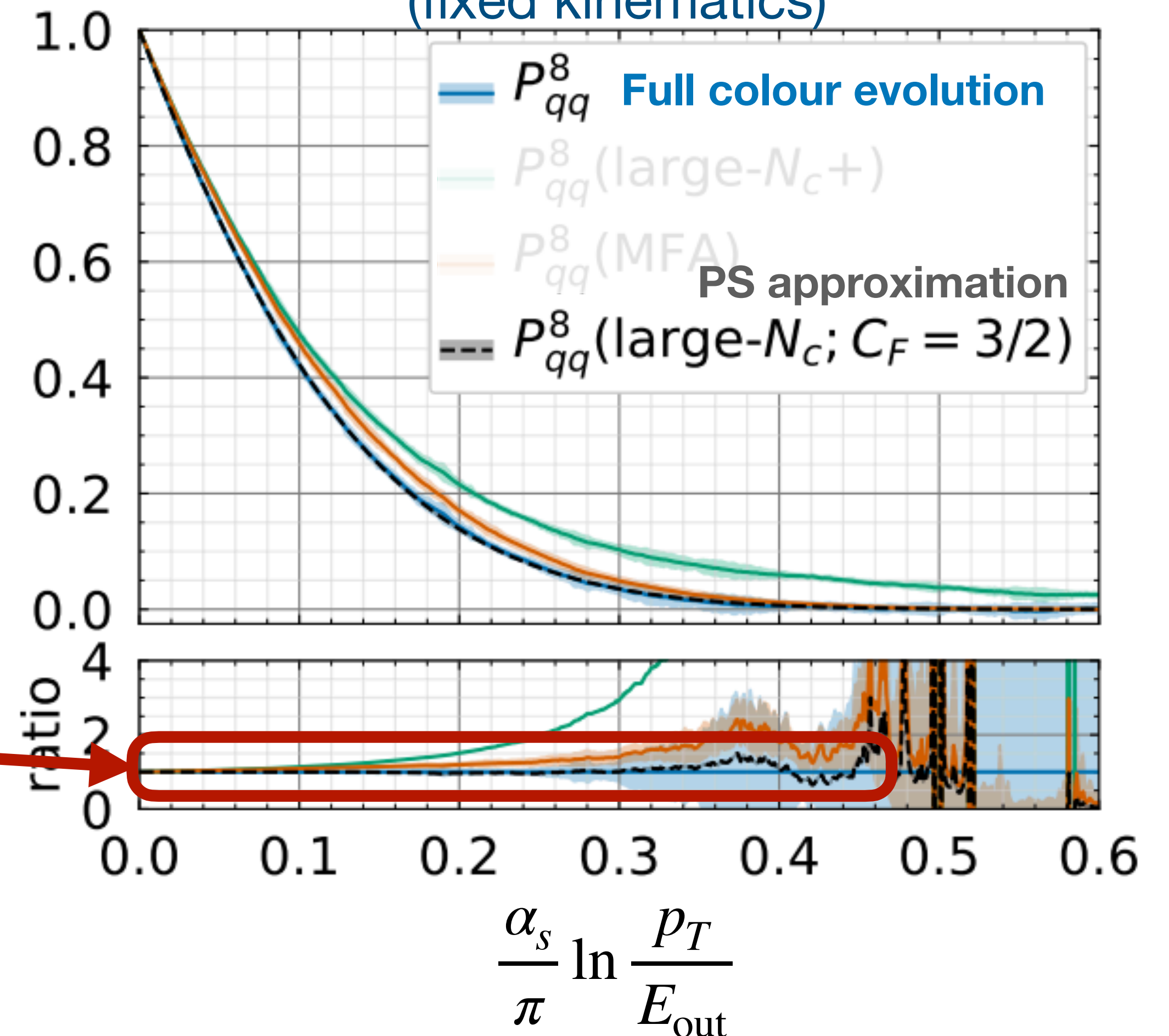
Subleading colour corrections - jet veto in $h + 2j$



Puzzling agreement between large- N_c and full colour, observed in all channels!

Maybe good news for the large- N_c parton showers, but need to understand what is happening here...

Gap survival probability for octet channel (fixed kinematics)



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PanScales [2011.10054, 2103.16526, 2111.01161], ...

- **Assessing the logarithmic accuracy of a shower**

Herwig [1904.11866, 2107.04051], Deductor [2011.04777], Forshaw, Holguin, Plätzer [2003.06400]

Alaric [2208.06057], PanScales [1805.09327, 2002.11114, 2205.02237, 2207.09467], ...

This is all about understanding the impact of different choices made in PS algorithms!

Addressing the accuracy of a parton shower

For a given observable, one may address the question of accuracy systematically

At fixed order

$$\sigma = \sum_n c_n \alpha_s^n = c_0 + c_1 \alpha_s + \dots$$

At all orders using analytic resummation

$$\Sigma^{\text{NLL}}(\lambda \equiv \alpha_s L) = \exp\left(\frac{1}{\alpha_s} g_1(\lambda) + g_2(\lambda) + \dots\right) \quad \Sigma^{\text{NDL}}(\xi \equiv \alpha_s \ln^2 L) = h_1(\xi) + \sqrt{\alpha_s} h_2(\xi) + \dots$$

A parton shower produces an *arbitrary set* of final-state particles, which may be recombined into *arbitrary* observables!

How to address this question of accuracy?

PanScales NLL correctness requirements

Resummation

Require single-logarithmic accuracy for suitably defined observables

- global event shapes ($\alpha_s^n L^n$)
- parton distribution / fragmentation functions ($\alpha_s^n L^n$)
- non-global observables ($\alpha_s^n L^n$)
- particle multiplicity ($\alpha_s^n L^{2n-1}$)

Matrix element tests

Require correctness of effective matrix elements generated by the shower for well-separated emissions

PanScales NLL correctness requirements

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Tested by taking $\frac{\Sigma^{\text{PS}}(\alpha_s L)}{\Sigma^{\text{NLL/NDL}}(\alpha_s L)}$?

PanScales NLL correctness requirements

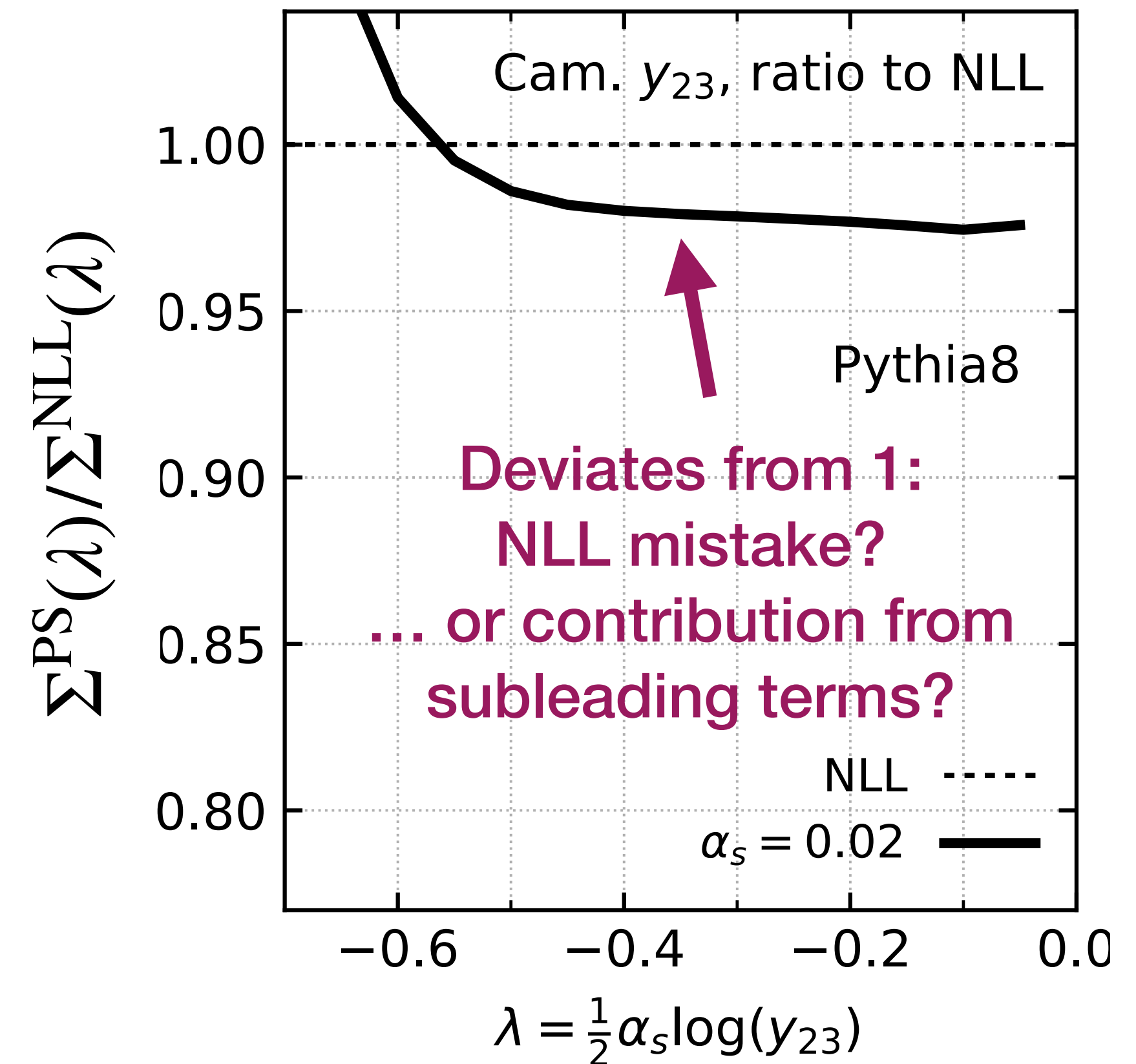
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Let us try this for an arbitrary observable



PanScales NLL correctness requirements

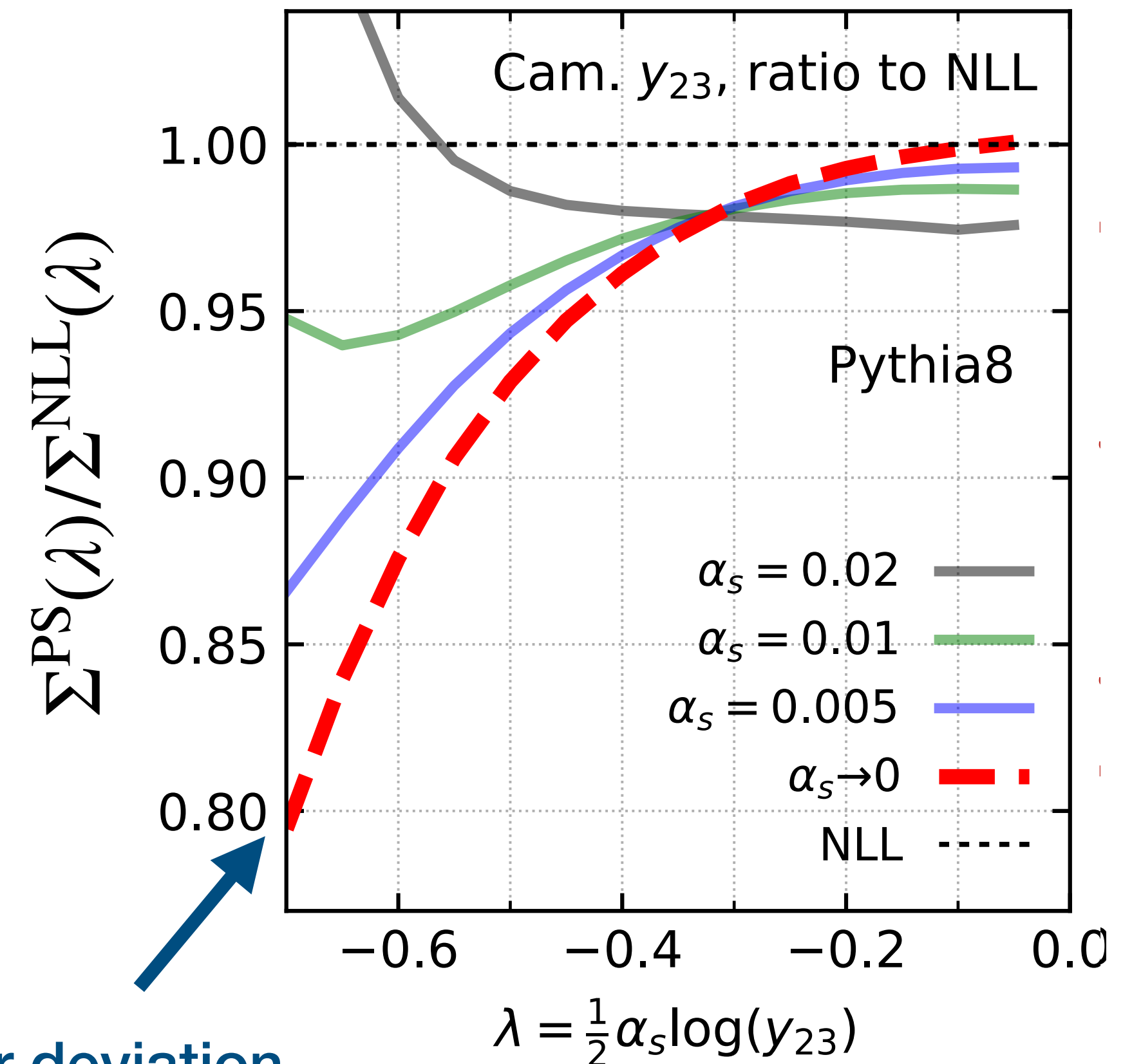
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~~Tested by taking $\frac{\Sigma^{\text{PS}}(\alpha_s L)}{\Sigma^{\text{NLL/NDL}}(\alpha_s L)}$?~~

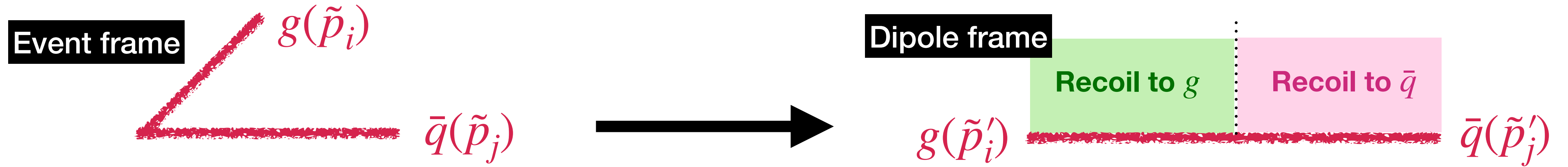
Tested by taking $\lim_{\alpha_s \rightarrow 0} \frac{\Sigma^{\text{PS}}(\alpha_s L)}{\Sigma^{\text{NLL/NDL}}(\alpha_s L)}$
(While keeping the size of $\lambda = \alpha_s L$ fixed)



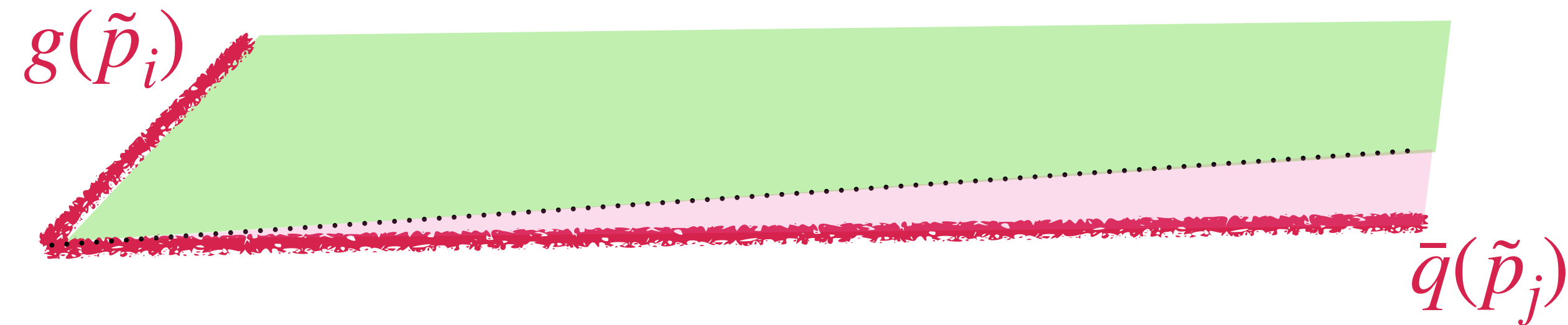
Clear deviation from 1 in the $\alpha_s \rightarrow 0$ limit!

Important issue in dipole showers: attribution of recoil

Standard dipole showers distinguish the emitter from the spectator at $\eta = 0$ in the CM dipole frame



Boosting back to the event frame...



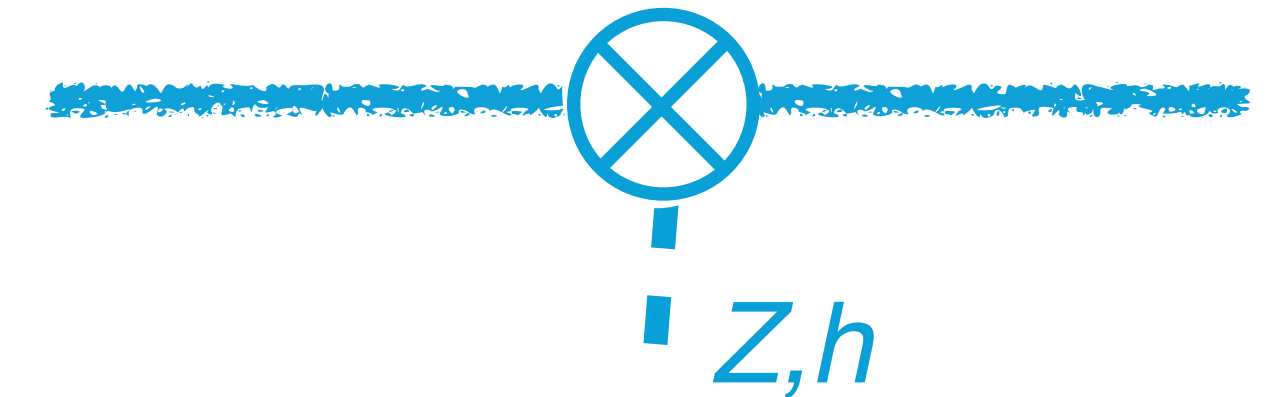
Leads to an incorrect (and quite unphysical) recoil picture!



Physical attribution of recoil

What is the impact of this?

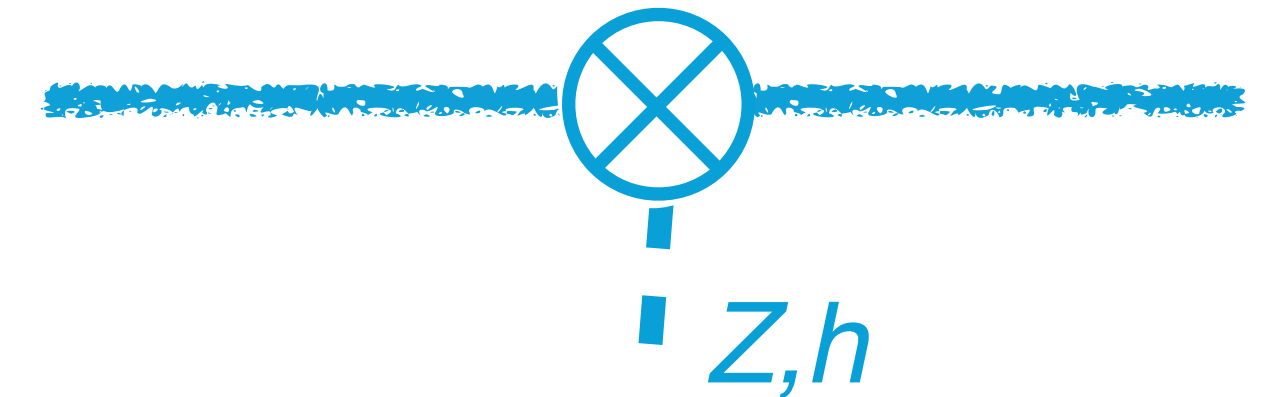
Examine this question for **colour-singlet production** at the LHC



	Kinematic map	Evolution variable ν	Attribution of recoil
Dipole-kt local	local	k_t	Dipole CM
Dipole-kt global	global \perp , local +/-	k_t	Dipole CM
PanLocal Antenna	local	$k_t \times \exp[\eta /2]$	Event CM
PanLocal Dipole	local	$k_t \times \exp[\eta /2]$	Event CM
PanGlobal $\beta = 0$	global \perp , local +/-	k_t	Event CM
PanGlobal $\beta = 1/2$	global \perp , local +/-	$k_t \times \exp[\eta /2]$	Event CM

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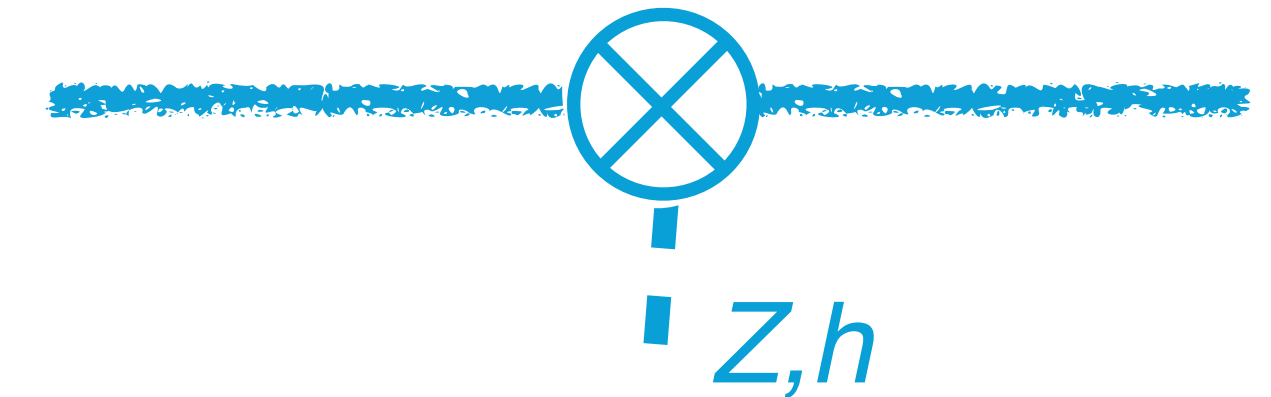


Much like Dire, Vincia, Sherpa
and Pythia dipole

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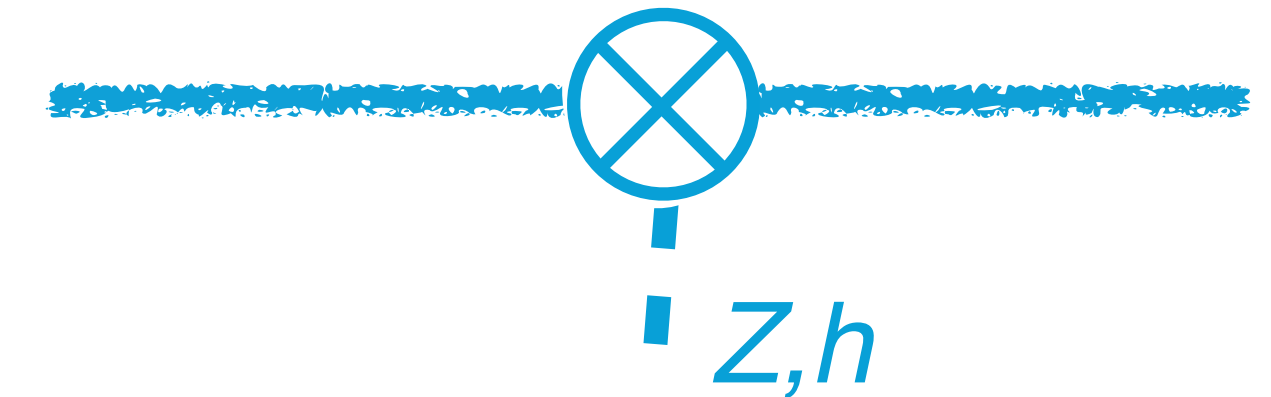


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New showers

What is the impact of this?

Examine this question for **colour-singlet production** at the LHC

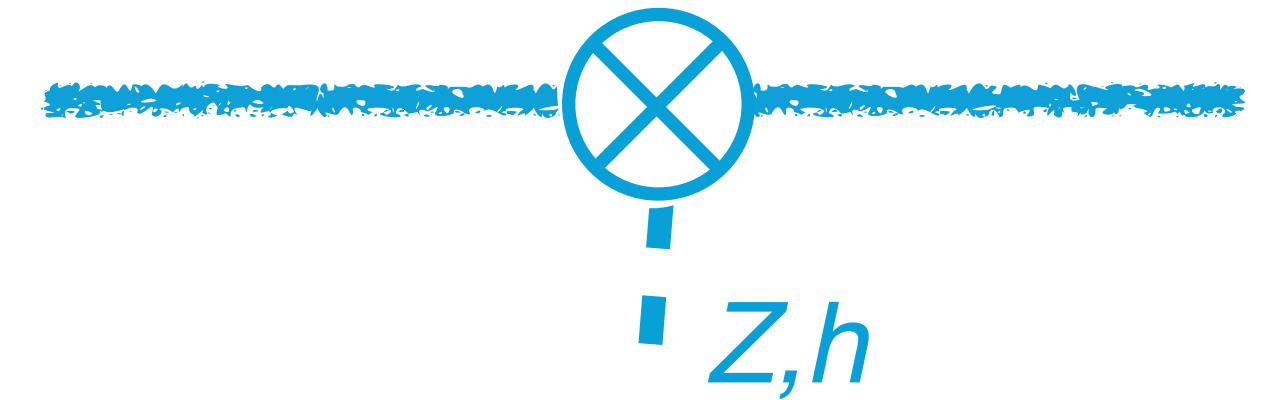


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Test different choices
for the kinematic map

What is the impact of this?

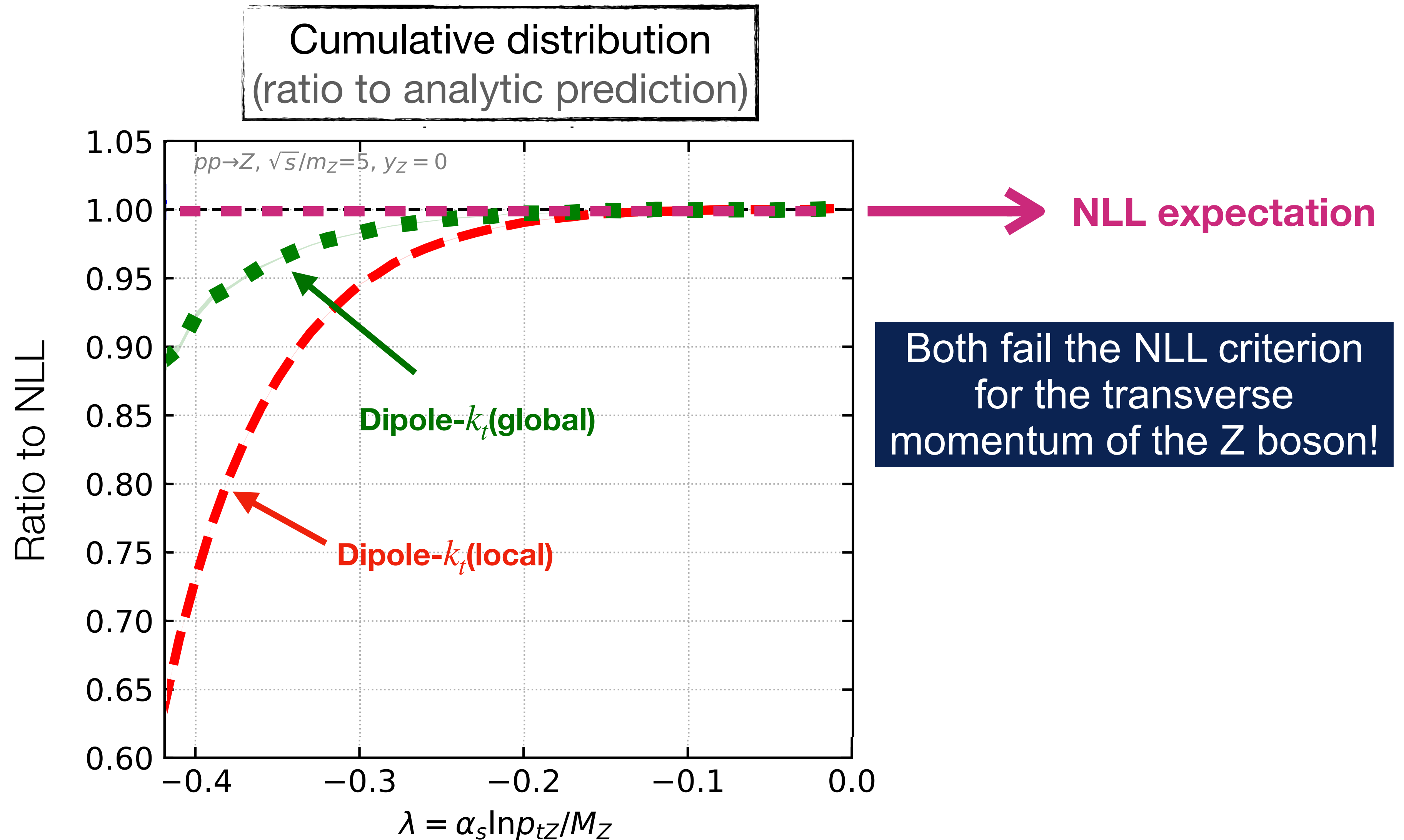
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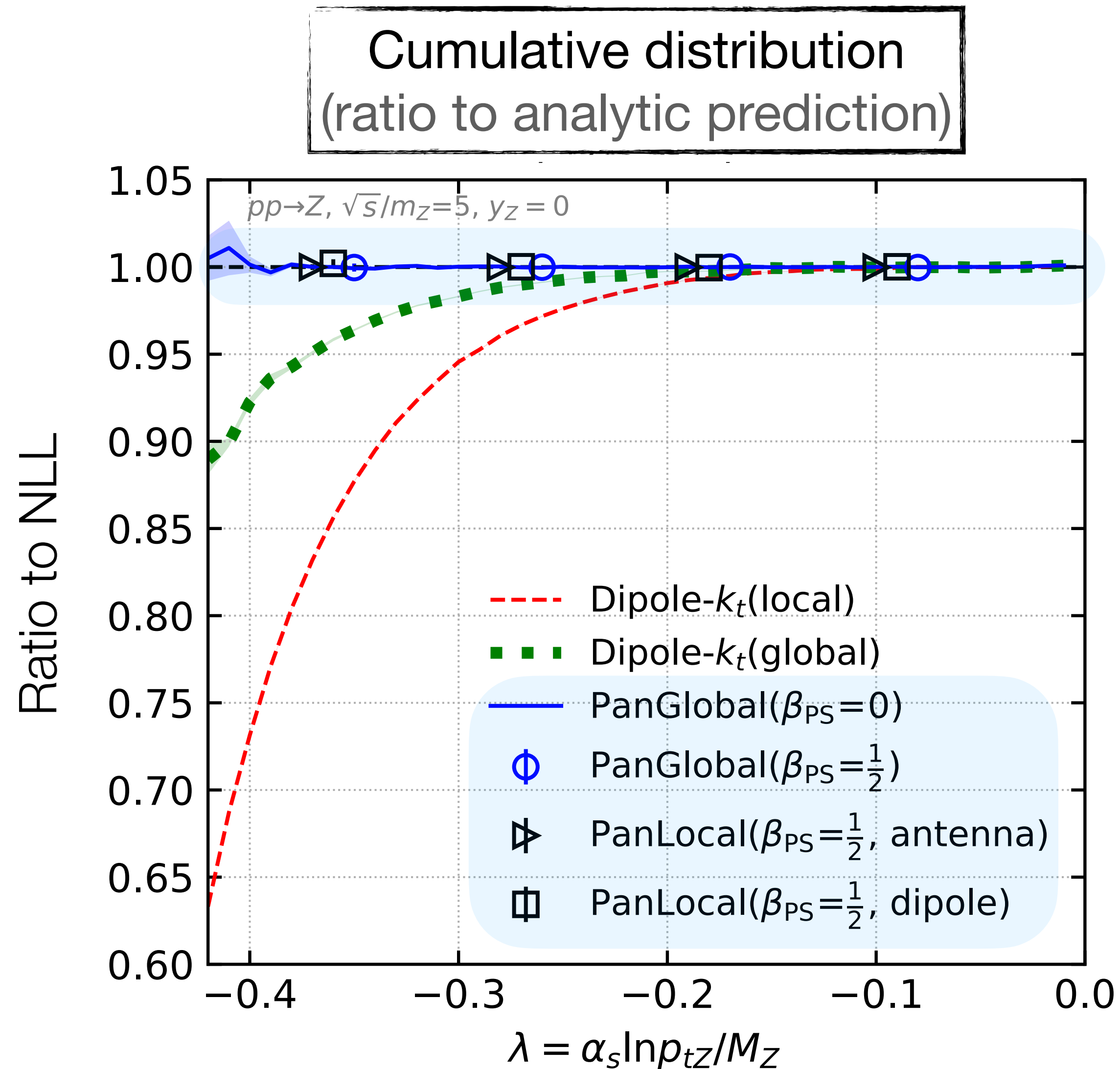
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Notice difference
in attribution of recoil

Transverse momentum of the Z boson



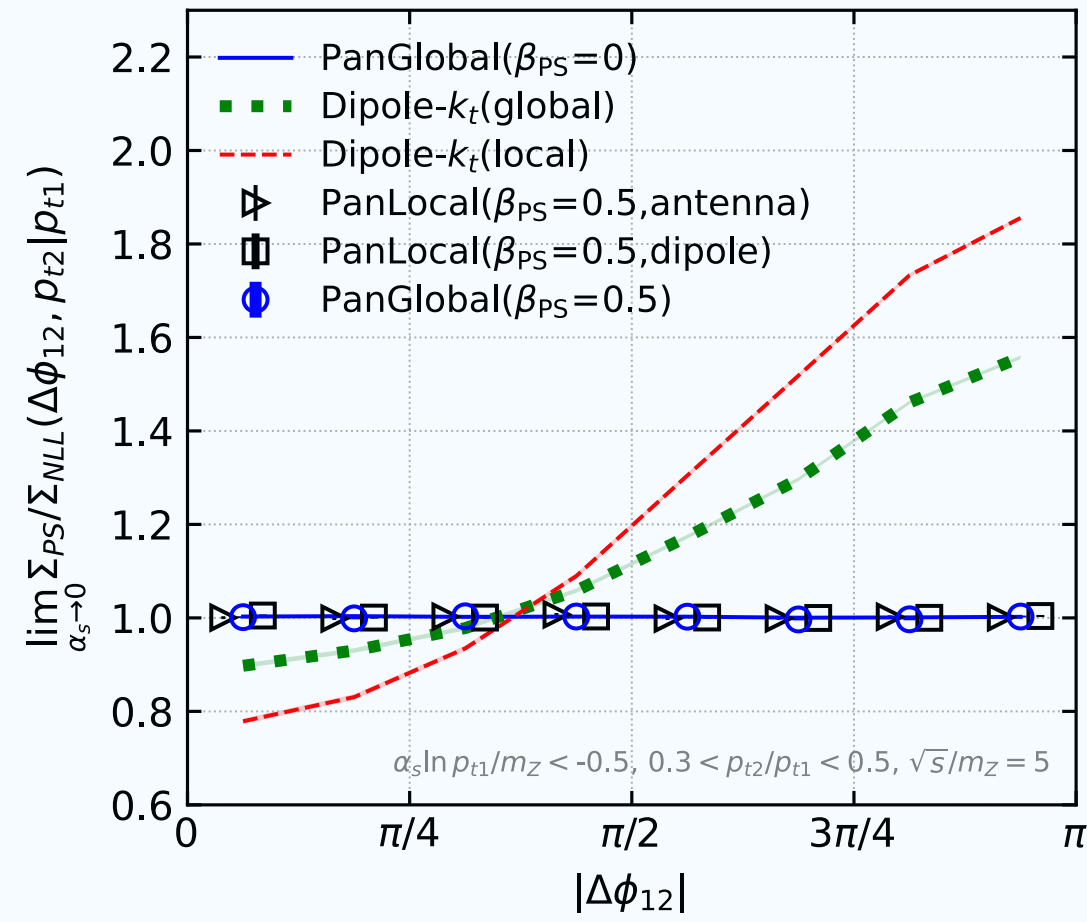
Transverse momentum of the Z boson



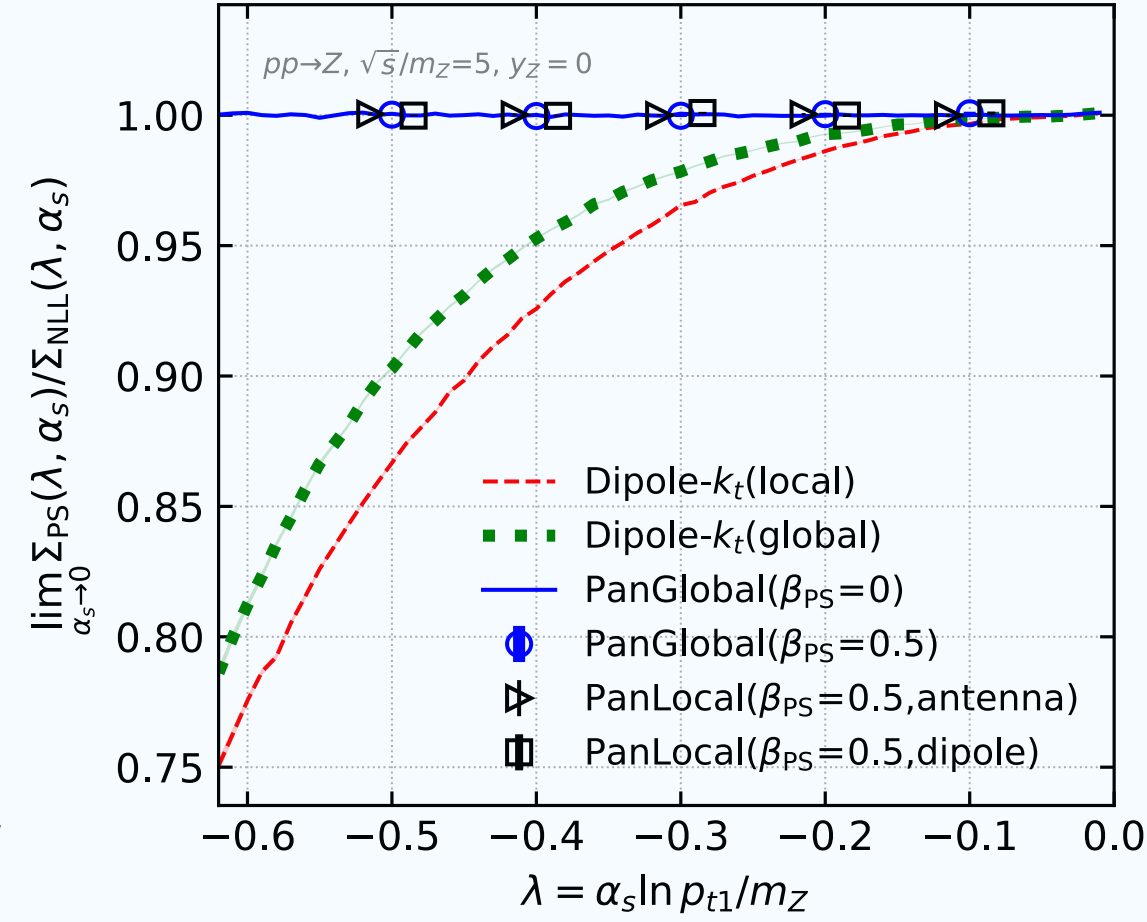
In line with NLL prediction

Global observables

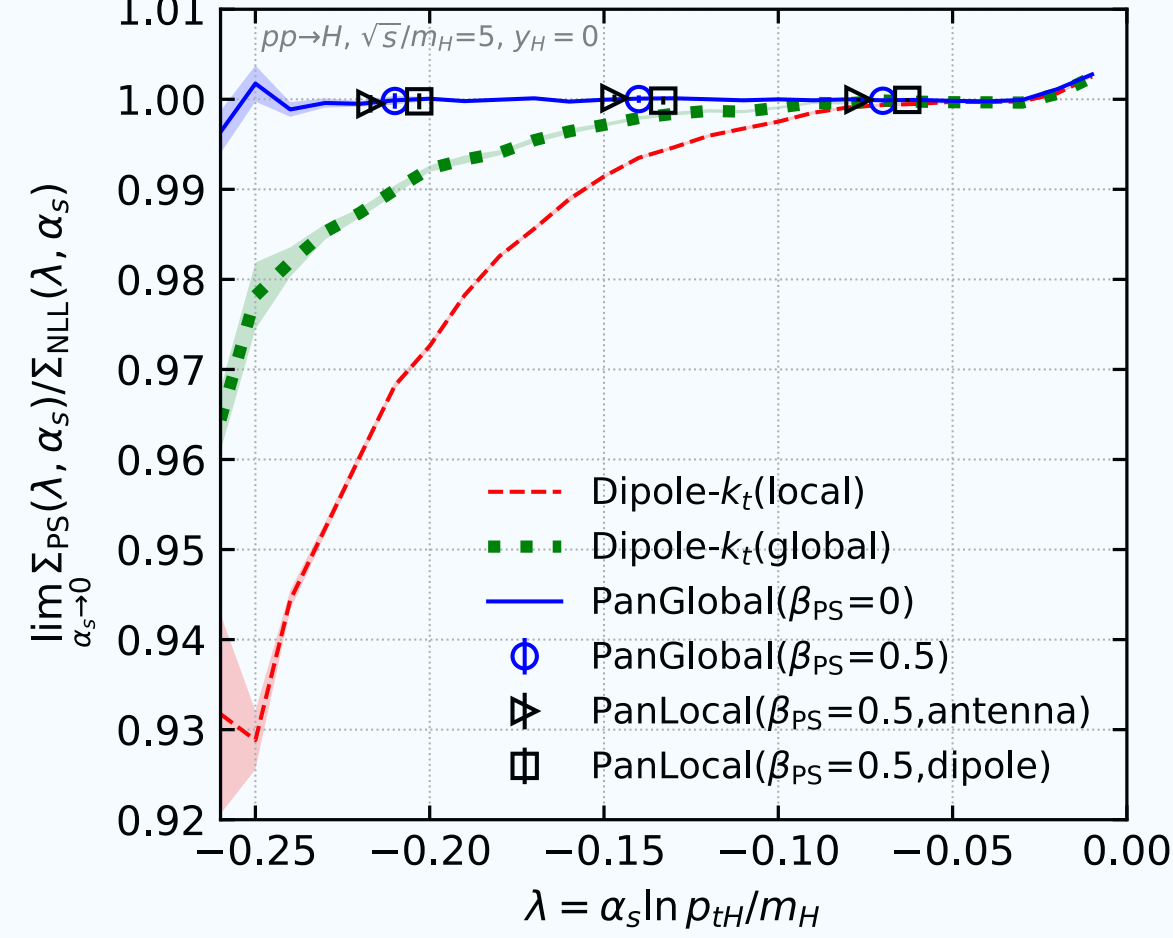
$\Delta\phi_{12}, \alpha_s \rightarrow 0$



Leading jet transverse momentum (p_{t1}), $\alpha_s \rightarrow 0$

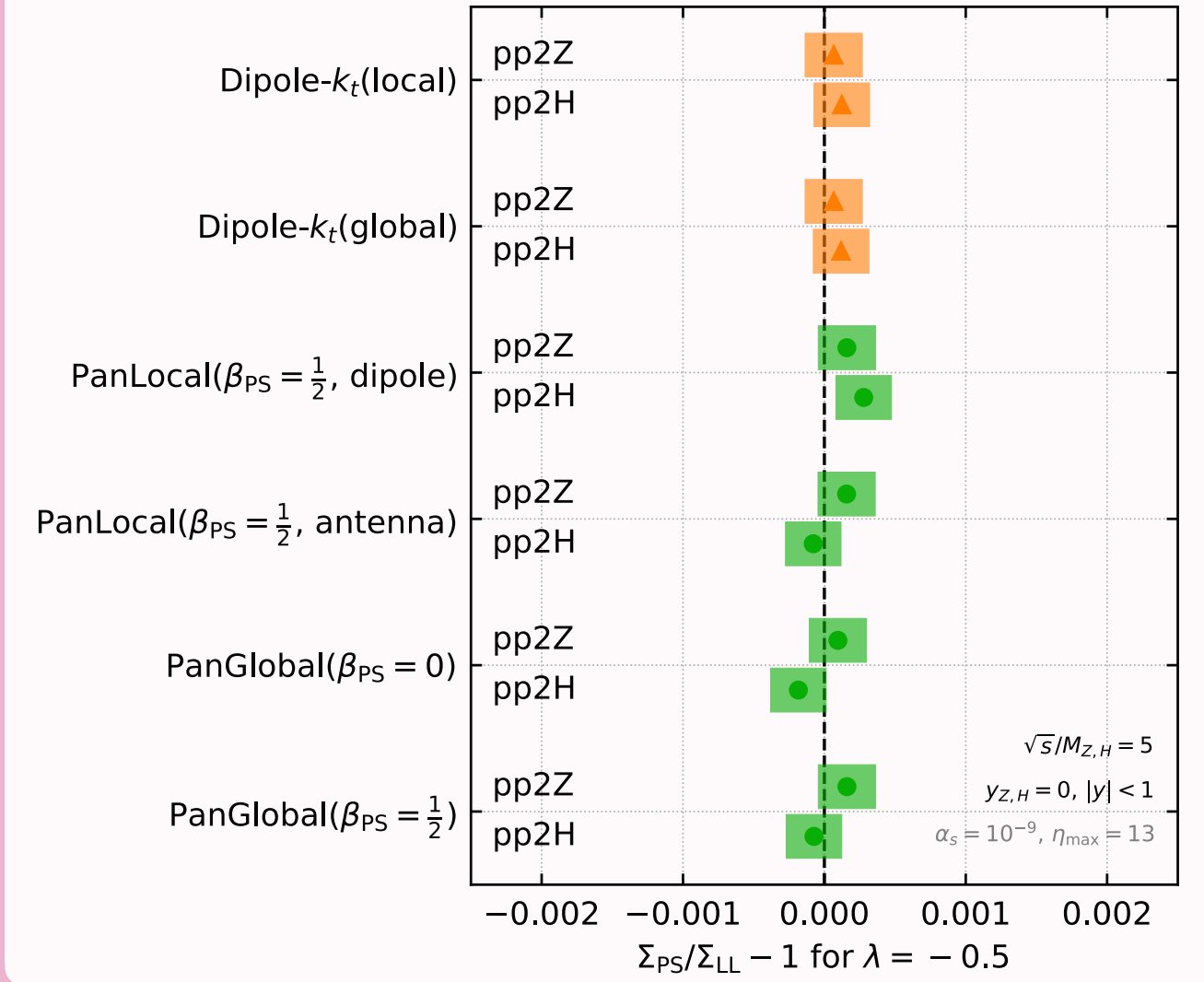


NLL test for p_{tH} , extrapolation $\alpha_s \rightarrow 0$



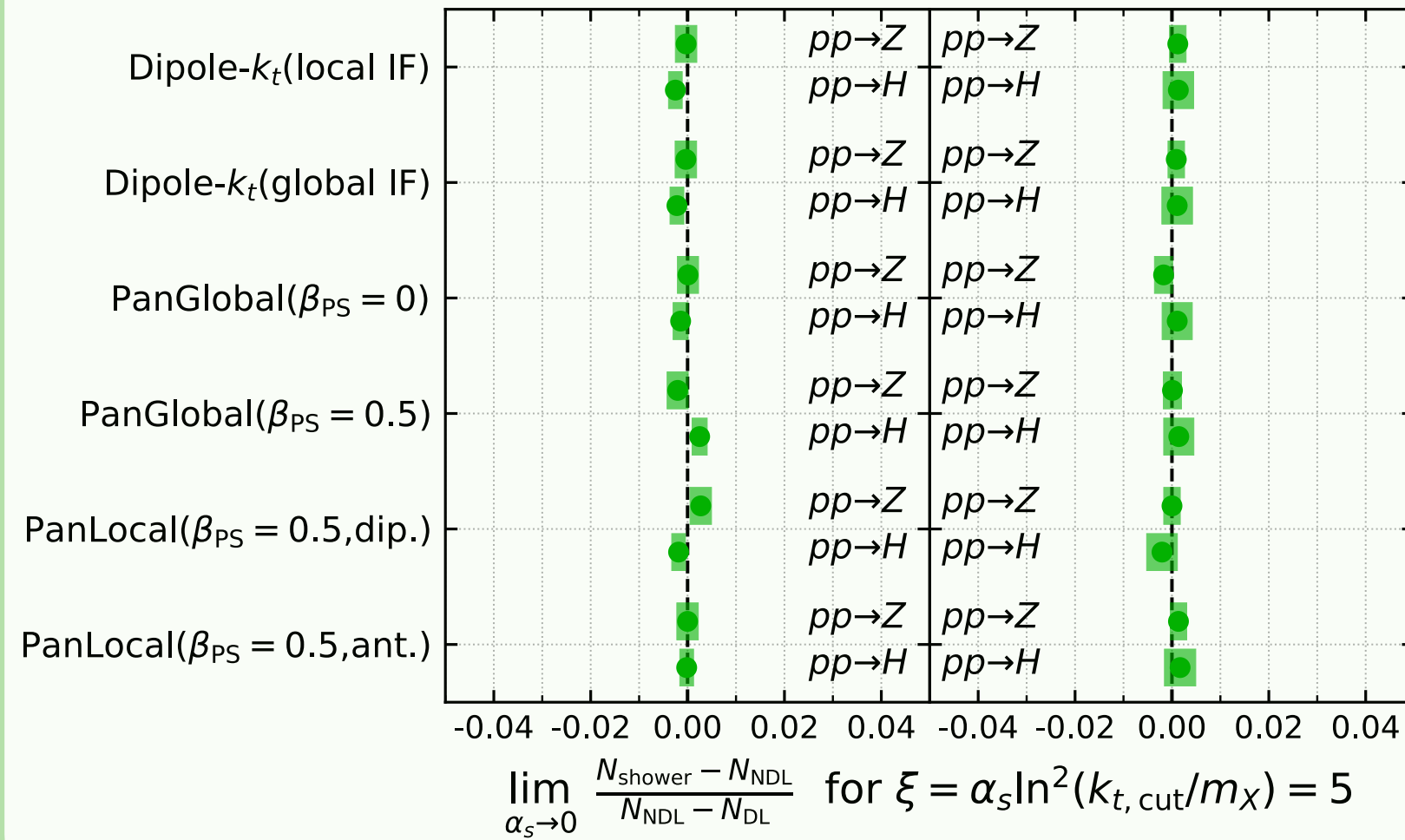
Non-global observables

(scalar) p_t in a fixed rapidity slice

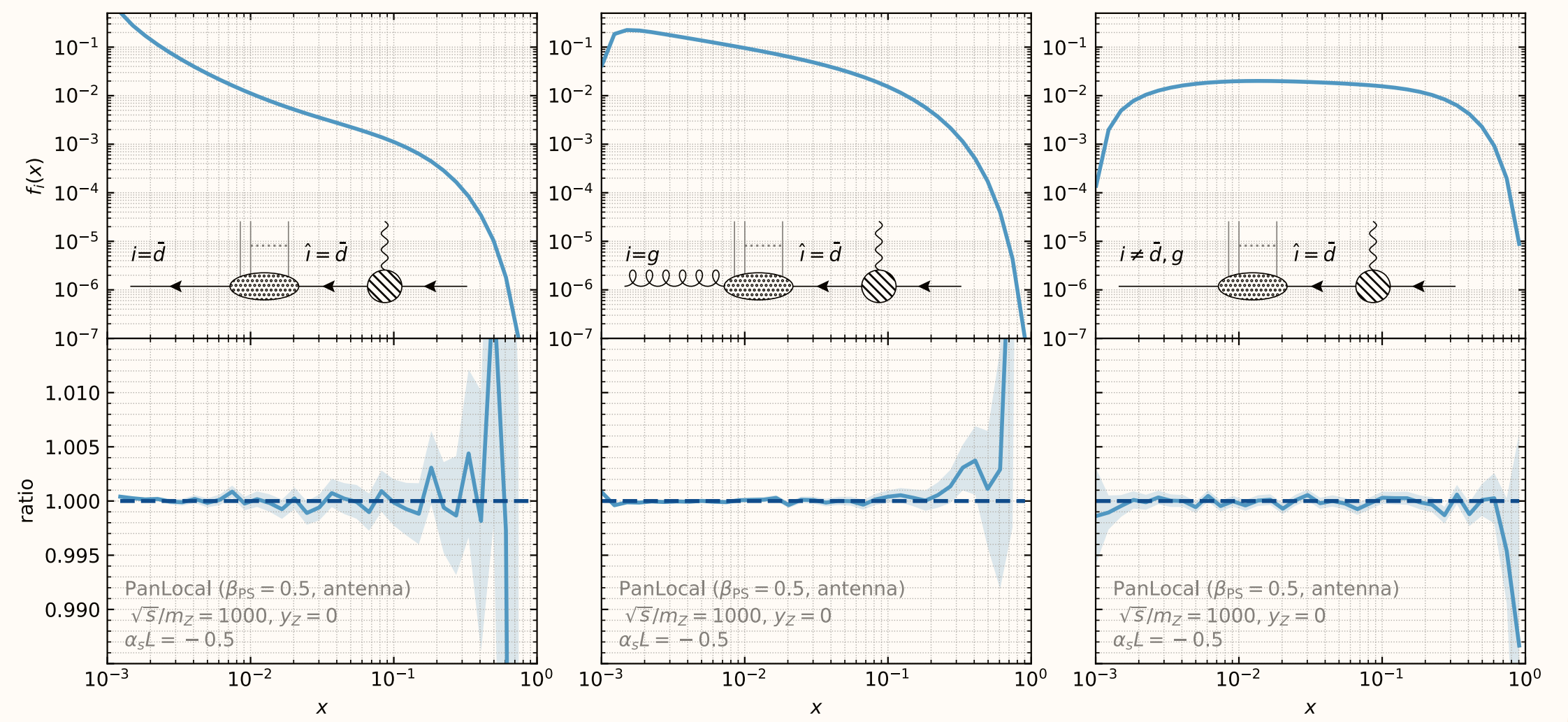


Multiplicity

$\sqrt{s} = 5 m_X$ $\sqrt{s} = 1000 m_X$



DGLAP evolution



Conclusions

- Different shower algorithms show **substantial** differences in their predictions
- Difference between showers often a **dominant** uncertainty in analysis
- Pythia's default shower does not describe VBF physics, and **should not be used**

Recommended settings for showers, matching and merging in VBF with Pythia:

<https://gitlab.com/Pythia8/releases/-/issues/141>

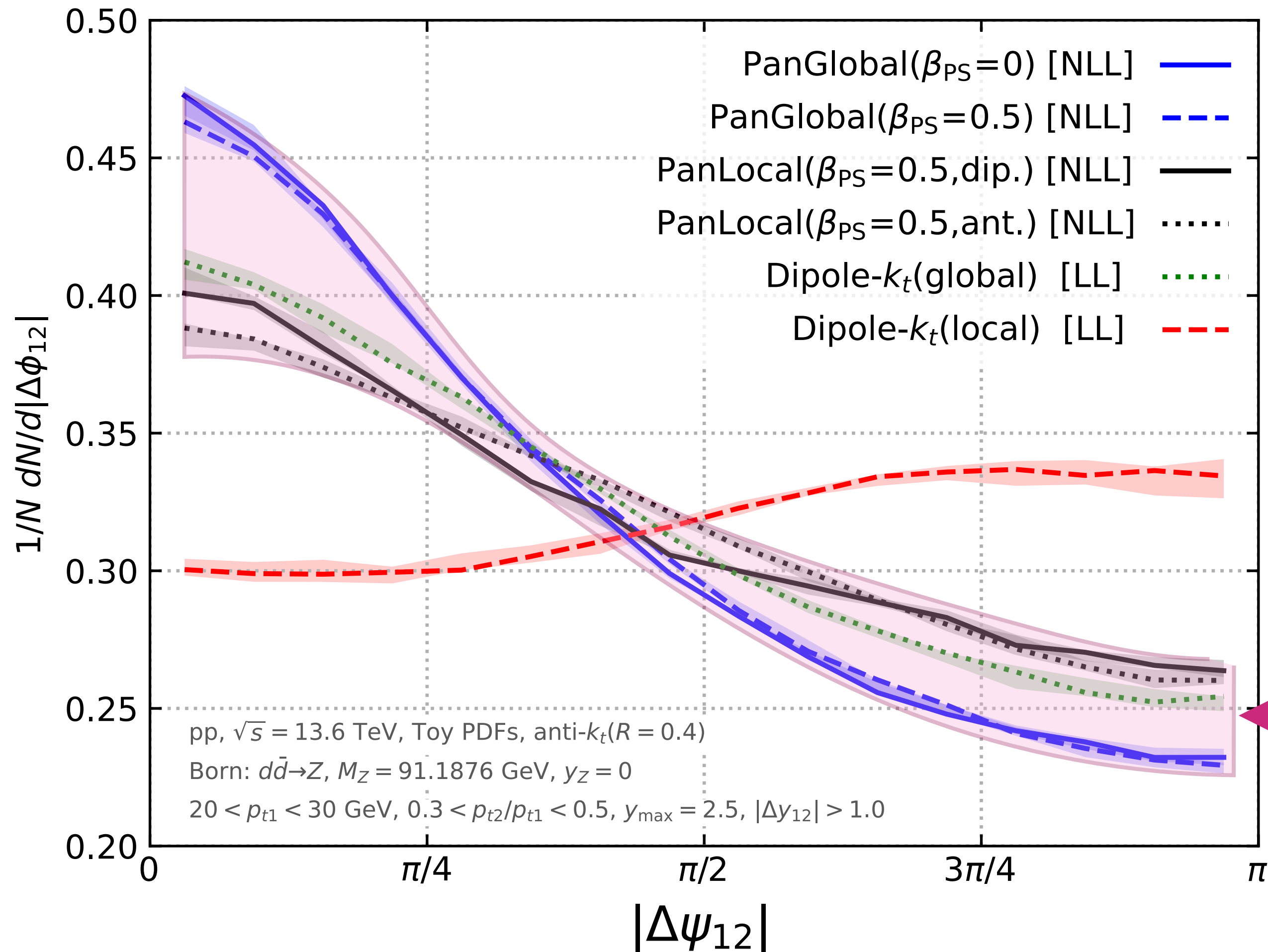
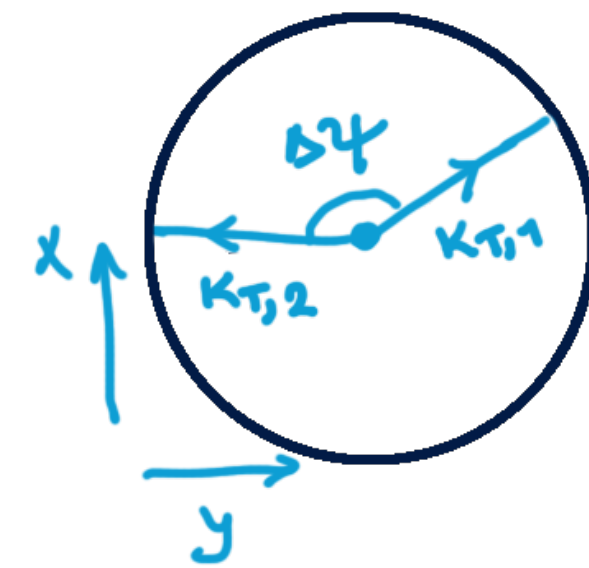
- Control over logarithmic accuracy in colour-singlet production (ggF)
Standard dipole showers (like Dire, Vincia, Sherpa and Pythia's dipole shower) are **not NLL** accurate!
- Stay tuned for a log-study of VBF

Back up

Mapping between λ and physical quantities

Q [GeV]	$\alpha_s(Q)$	$p_{t,\min}$ [GeV]	$\xi = \alpha_s L^2$	$\lambda = \alpha_s L$	τ
91.2	0.1181	1.0	2.4	-0.53	0.27
91.2	0.1181	3.0	1.4	-0.40	0.18
91.2	0.1181	5.0	1.0	-0.34	0.14
1000	0.0886	1.0	4.2	-0.61	0.36
1000	0.0886	3.0	3.0	-0.51	0.26
1000	0.0886	5.0	2.5	-0.47	0.22
4000	0.0777	1.0	5.3	-0.64	0.40
4000	0.0777	3.0	4.0	-0.56	0.30
4000	0.0777	5.0	3.5	-0.52	0.26
20000	0.0680	1.0	6.7	-0.67	0.45
20000	0.0680	3.0	5.3	-0.60	0.34
20000	0.0680	5.0	4.7	-0.56	0.30

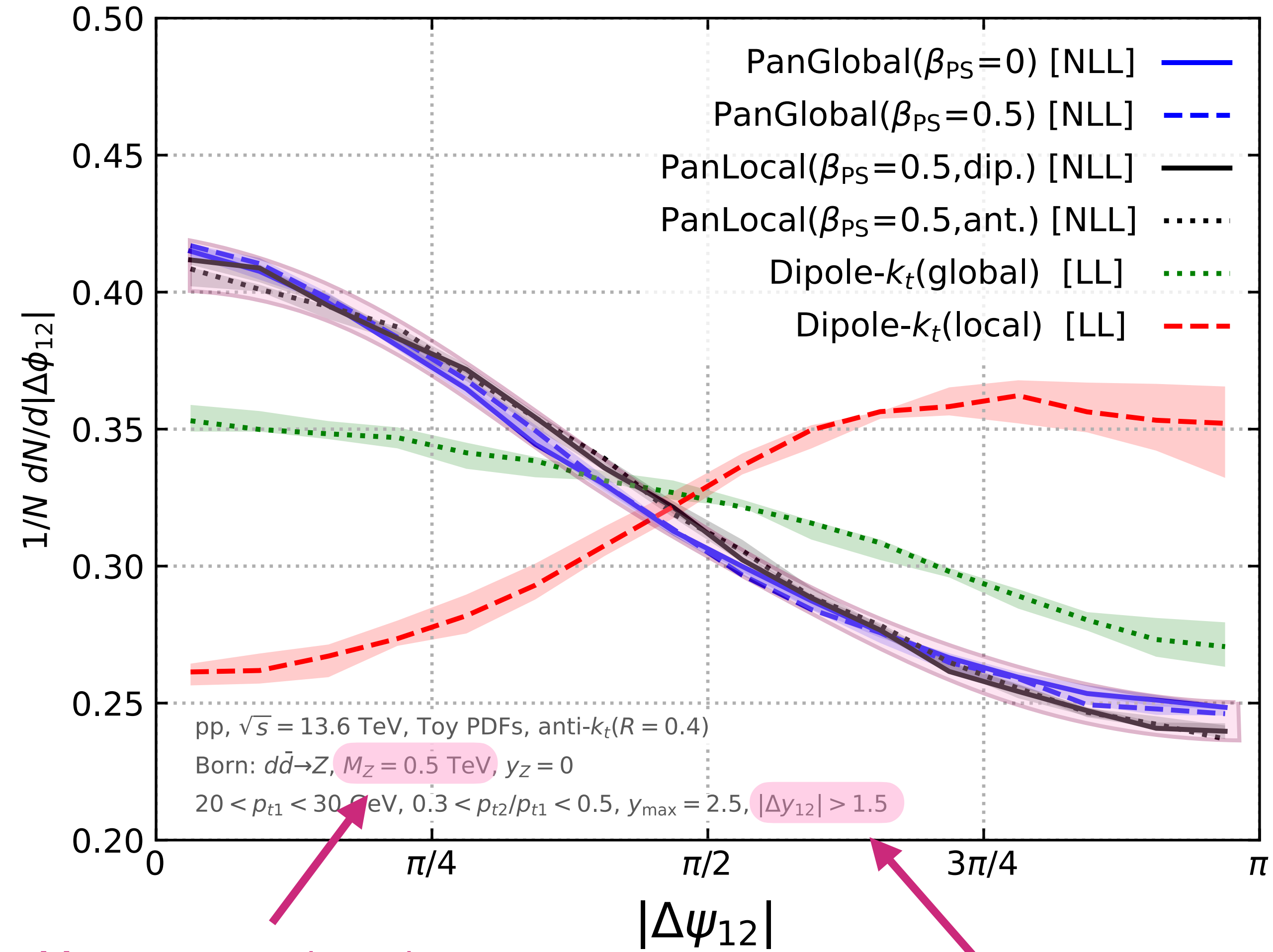
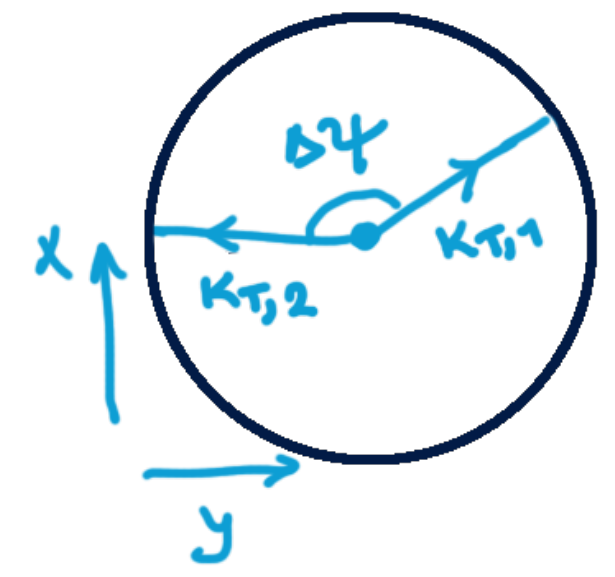
Towards phenomenology - $\Delta\Psi_{12}$



Spread of NLL showers
(Dipole- k_t global is contained)

$$\alpha_s(x_r \mu_{r,0}) \left(1 + \frac{K\alpha_s(x_r \mu_{r,0})}{2\pi} + 2\alpha_s(x_r \mu_{r,0}) b_0 (1-z) \ln x_r \right)$$

Towards phenomenology - $\Delta\Psi_{12}$



More asymptotic regime

Less double-soft contamination

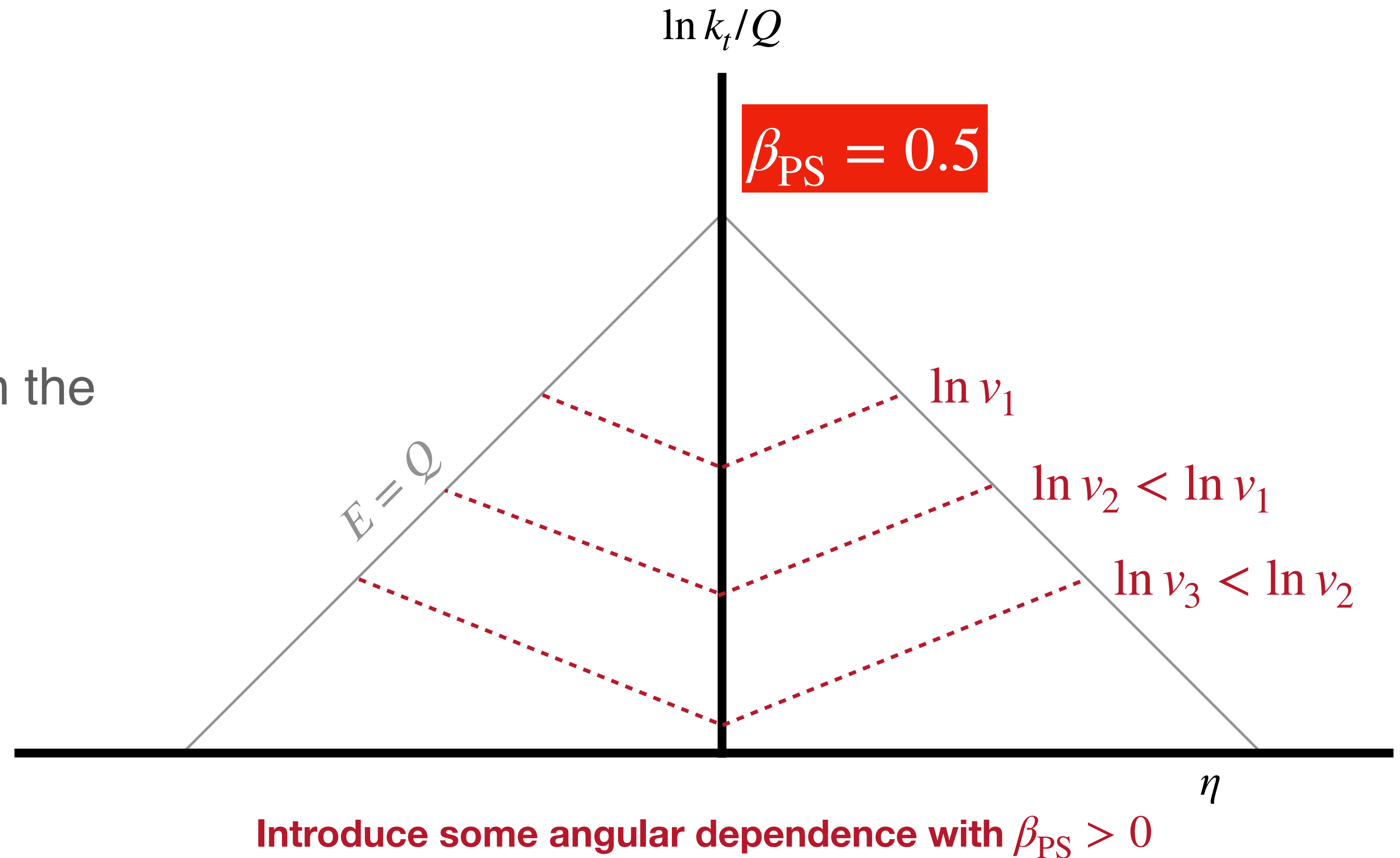
Dipole-kt global now falls outside the spread

Evolution variable

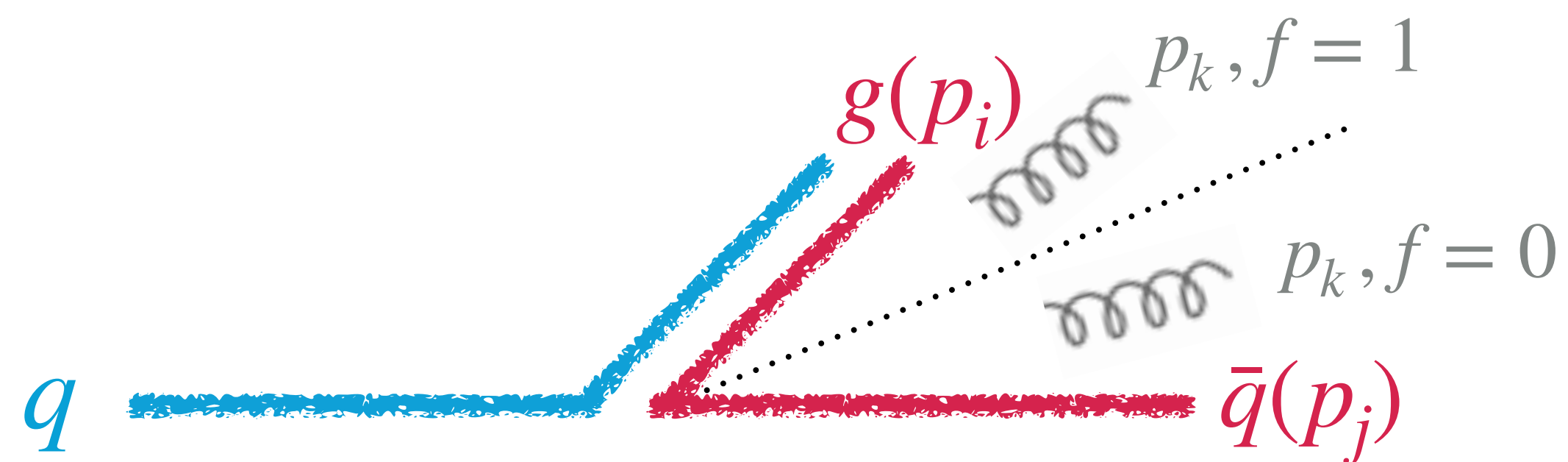
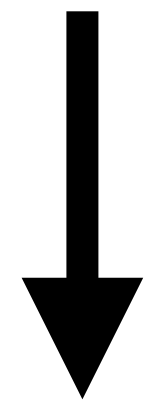
A parton shower orders emissions

The evolution variable ν tells us which emissions come first, and which later in the showering process

We use the definition $\nu \simeq k_t e^{-\beta_{\text{PS}}|\eta|}$



Kinematic map



Local kinematic map

$$p_i = a_i \tilde{p}_i + b_i \tilde{p}_j + f k_{\perp}$$

$$p_j = a_j \tilde{p}_i + b_j \tilde{p}_j + (1 - f) k_{\perp}$$

$$p_k = a_k \tilde{p}_i + b_k \tilde{p}_j + k_{\perp}$$

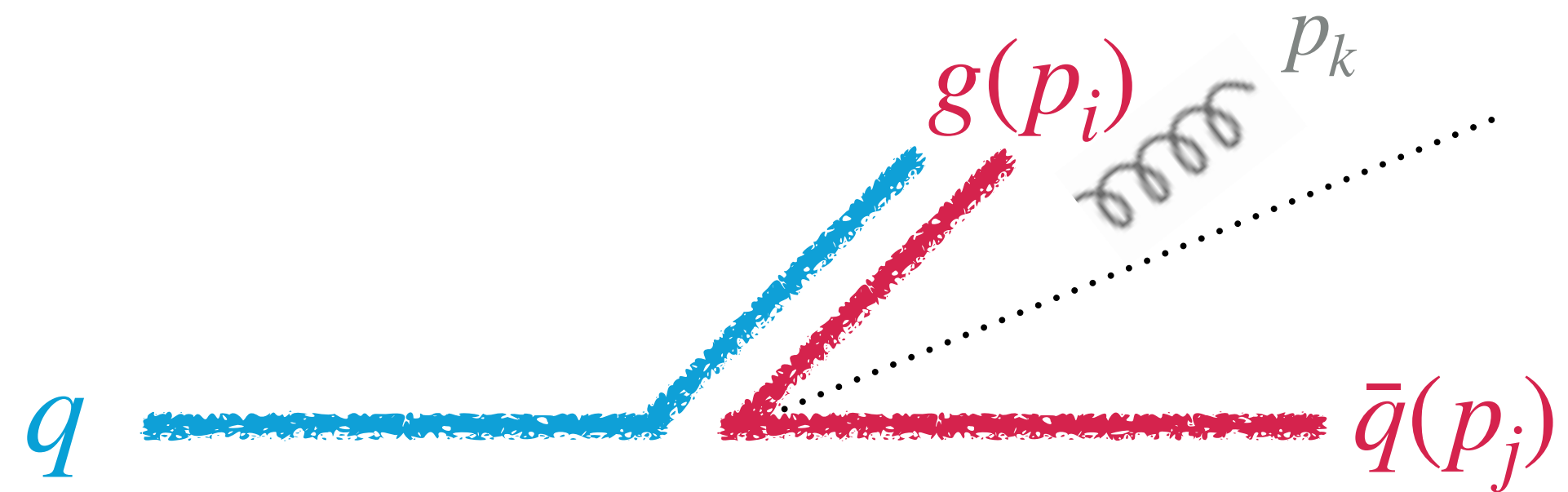
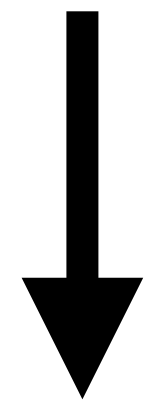
Mapping coefficients depend on

- Evolution variable $\ln v$
- Rapidity η

Dipole: step function for f

Antenna: smooth transition for f

Kinematic map



Global kinematic map

$$p_i = a_i \tilde{p}_i$$

$$p_j = b_j \tilde{p}_j$$

$$p_k = a_k \tilde{p}_i + b_k \tilde{p}_j + k_{\perp}$$

Boost (part of) event after each emission to restore momentum conservation

Choice: global in some/all $+/-$ and \perp components

A standard dipole shower: **dipole- k_t**

1. **Evolution variable:** transverse momentum (k_t)

2. **Kinematic map:**

a) **Local** Dates back to Gustafson, Petterson [Nucl. Phys. B 306 (1988)], Catani, Seymour [hep-ph/9605323], many variations available

For every emission the momentum is locally conserved

This means that the e.g. the Z-boson p_t almost never gets rescaled

→ not in line with the NLL prediction Plätzer, Gieseke [0909.5593], Nagy, Soper [0912.4534]

b) **Global** Plätzer, Gieseke [0909.5593], Höche, Prestel [1506.05057] [Pythia8 (global ISR), Deductor and Alaric have different solutions]

The Z-boson absorbs the k_t imbalance induced by the global map through a boost

Claimed to fix the Z- p_t distribution

3. **Attribution of recoil:** dipole CM frame

Introducing NLL-accurate showers for pp

PanGlobal

1. Evolution variable

$$v \simeq k_t e^{-\beta_{\text{PS}}|\eta|} \text{ with } 0 \leq \beta_{\text{PS}} < 1$$

($\beta_{\text{PS}} = 0$ is standard k_t -ordering)

2. Kinematic map

Global \perp

Local $+/-$

Transverse-momentum imbalance is absorbed by the hard system (Z/h)

3. Attribution of recoil

hard-system CM frame

PanLocal

1. Evolution variable

$$v \simeq k_t e^{-\beta_{\text{PS}}|\eta|} \text{ with } 0 < \beta_{\text{PS}} < 1$$

2. Kinematic map

Local \perp

Local $+/-$

Initial-state particles that gain a k_t component are realigned with the beam axis with a boost

3. Attribution of recoil

hard-system CM frame

PanGlobal details

- Kinematic map $p_k = a_k \tilde{p}_i + b_k \tilde{p}_j + k_\perp$, (emitted particle)
 $p_i = a_i \tilde{p}_i$
 $p_j = b_j \tilde{p}_j$
- Constraining the coefficients:
 - 1** $p_k^2 = p_i^2 = p_j^2 = 0$
 - 2** Relate a_k and b_k to the shower variables
- The transverse-momentum imbalance is absorbed into the FS partons in a two-step process:
 - 1** Rescale IS partons such that $r^2 Q_{\text{in}}^2 = Q_{\text{out}}^2$, $r^2 = r_a r_b$
 - 2** Boost (part of the) FS such that $\Lambda(Q_{\text{out}}) = r Q_{\text{in}}$

PanGlobal boost

Never boost partons created by the shower, only give recoil to the ‘hard system’ H

- Just boost the Z/h

$$\Lambda(\tilde{p}_H) = r_a \tilde{p}_a + r_b \tilde{p}_b - p_k - \sum_{f \notin H} \tilde{p}_f$$

- We furthermore require

- 1** The mass of the hard system is preserved
- 2** The rapidity of the hard system is preserved

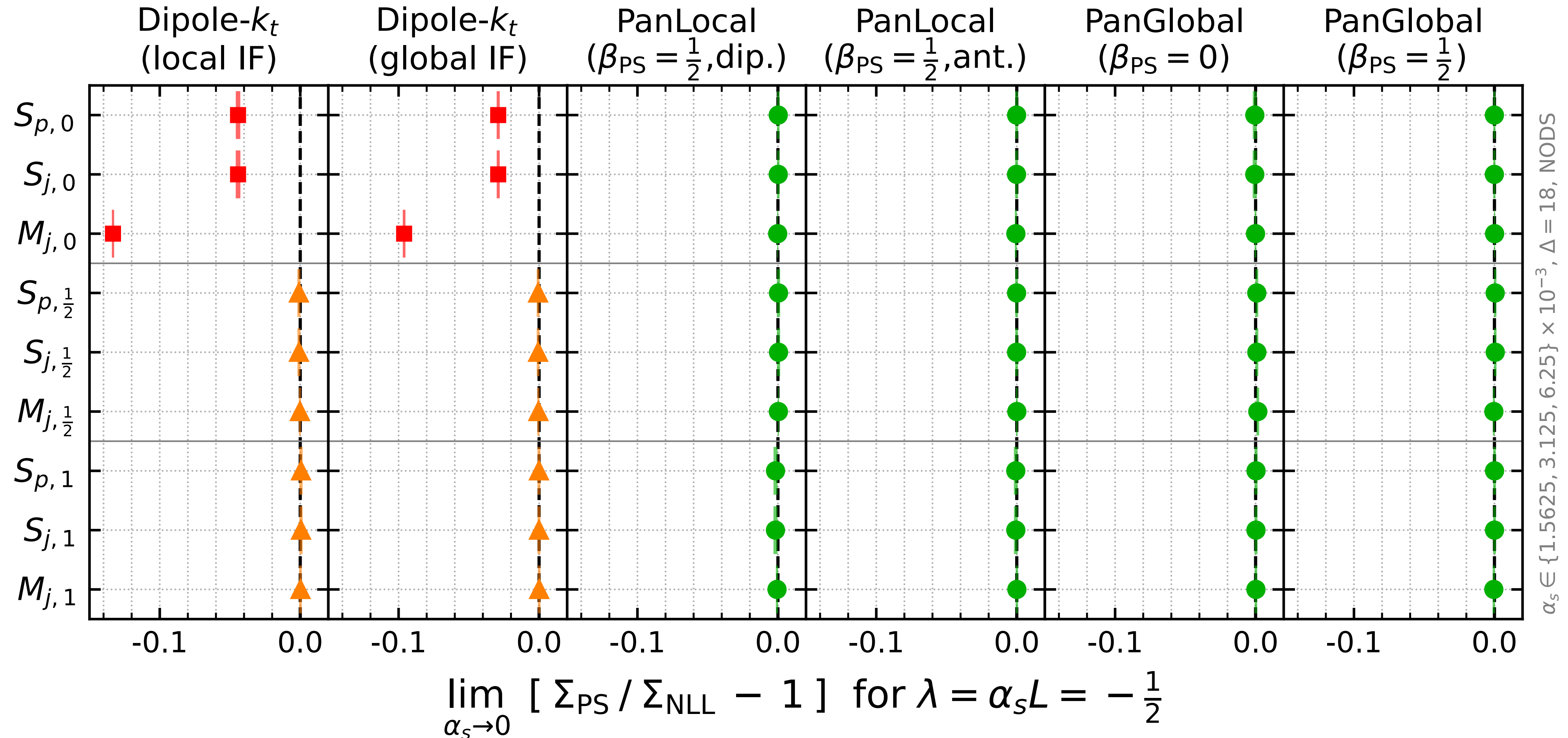
This fixes r_a and r_b

General global observables

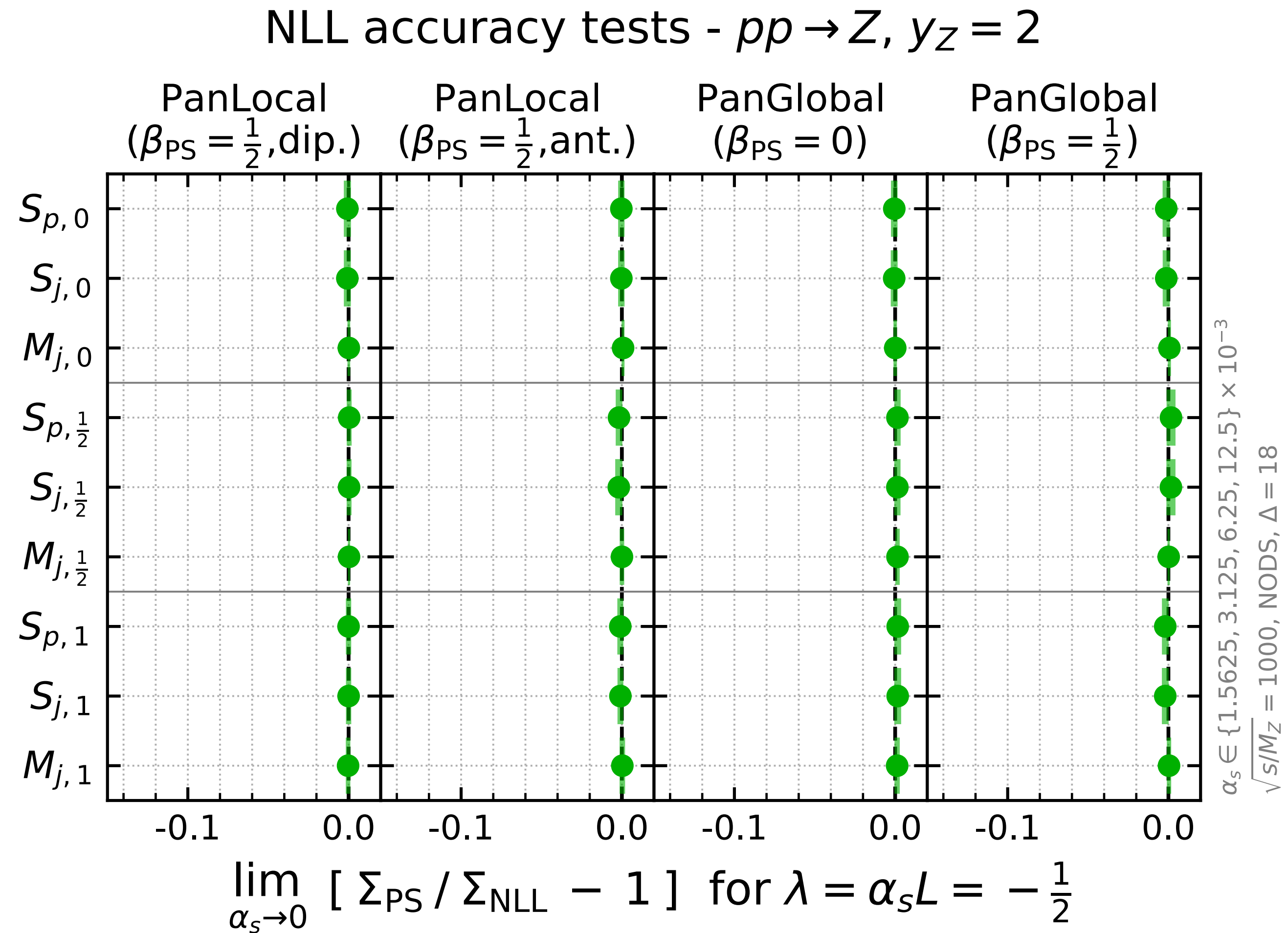
$$S_{plj,\beta} = \sum_{i \in \text{fjets}} p_{\perp,i} e^{-\beta|\eta_i|}$$

$$M_{j,\beta} = \max_{i \in \text{jets}} [p_{\perp,i} e^{-\beta|\eta_i|}]$$

NLL accuracy tests - $pp \rightarrow Z$



Global event shapes for $y_Z \neq 0$



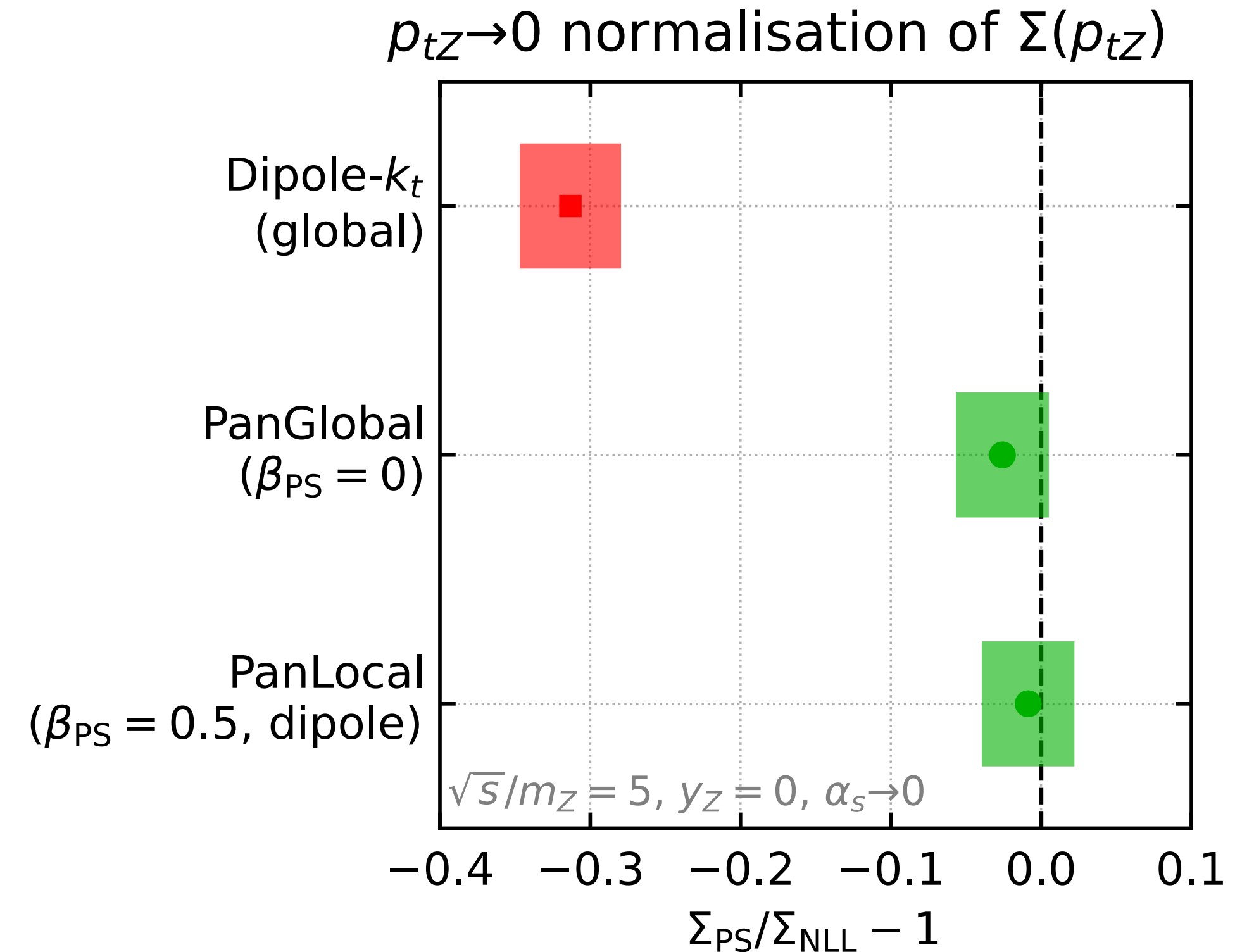
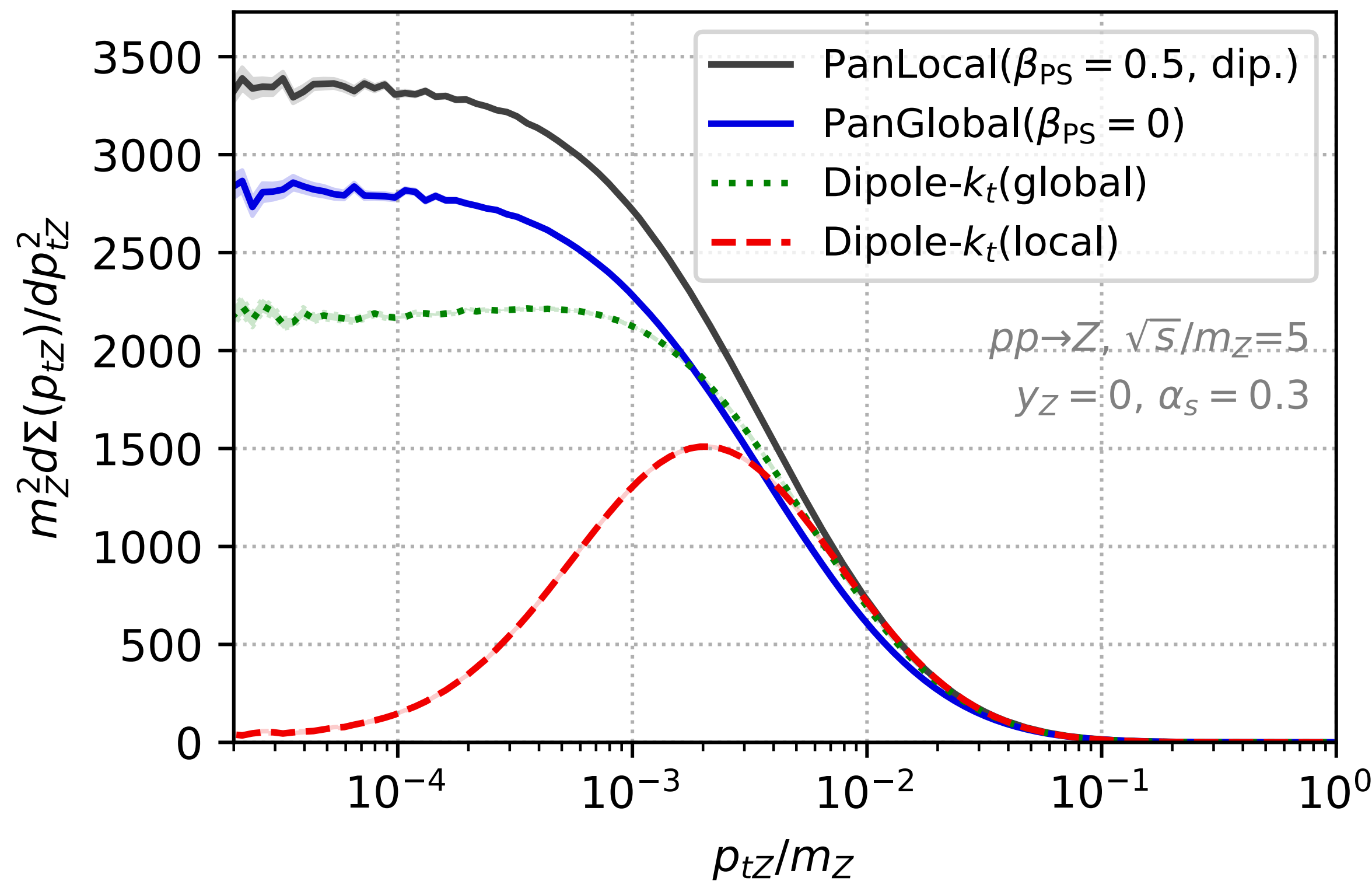
Transverse momentum of the Z boson

Scaling at small p_t

The Sudakov suppression is compensated by azimuthal cancellations at small p_t
Leads to a **power-law fall-off**

Parisi, Petronzio [NPB 154 (1979) 427-440]

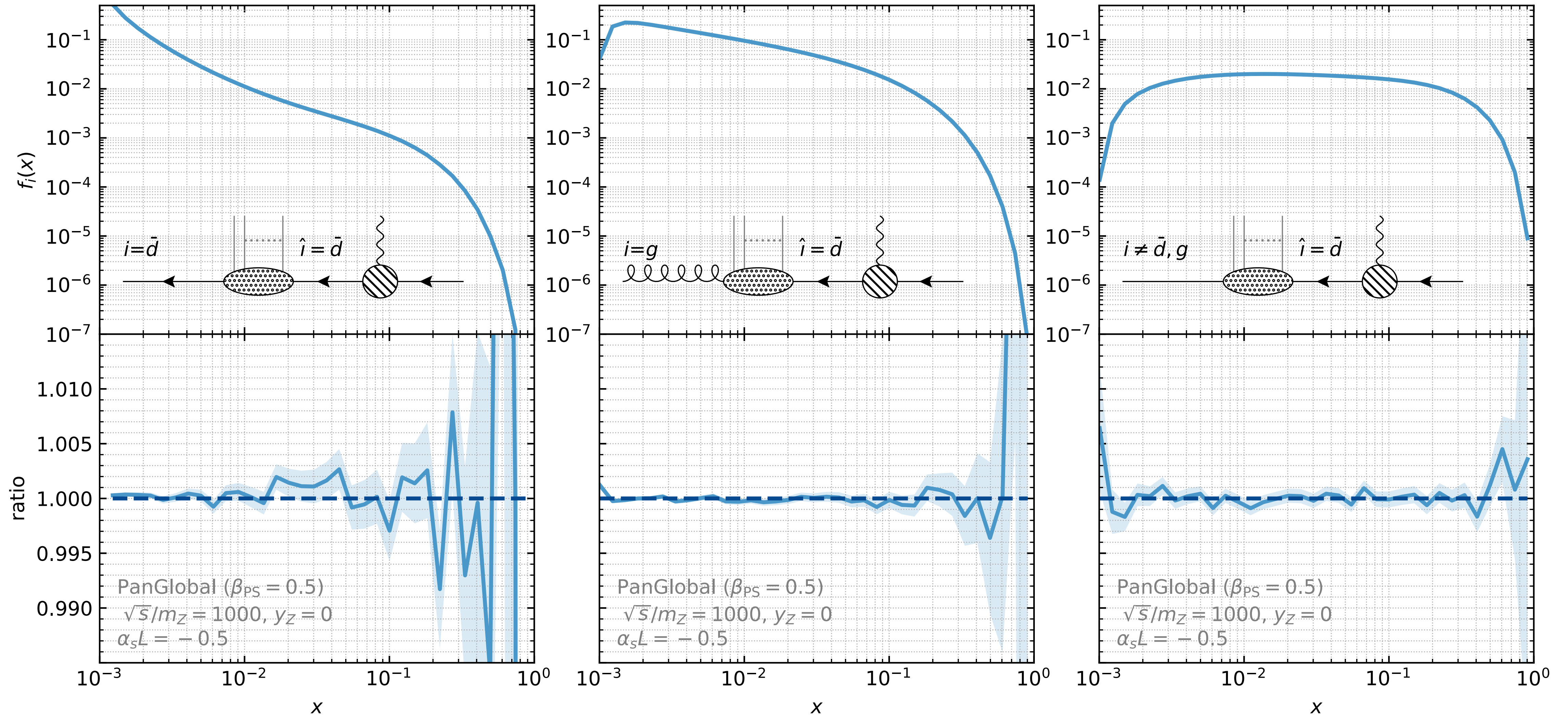
$$\frac{d\Sigma}{dp_{tZ}^2} = \int_0^\infty \frac{db}{2} b J_0(bp_{tZ}) \Sigma_V(b_0/b)$$



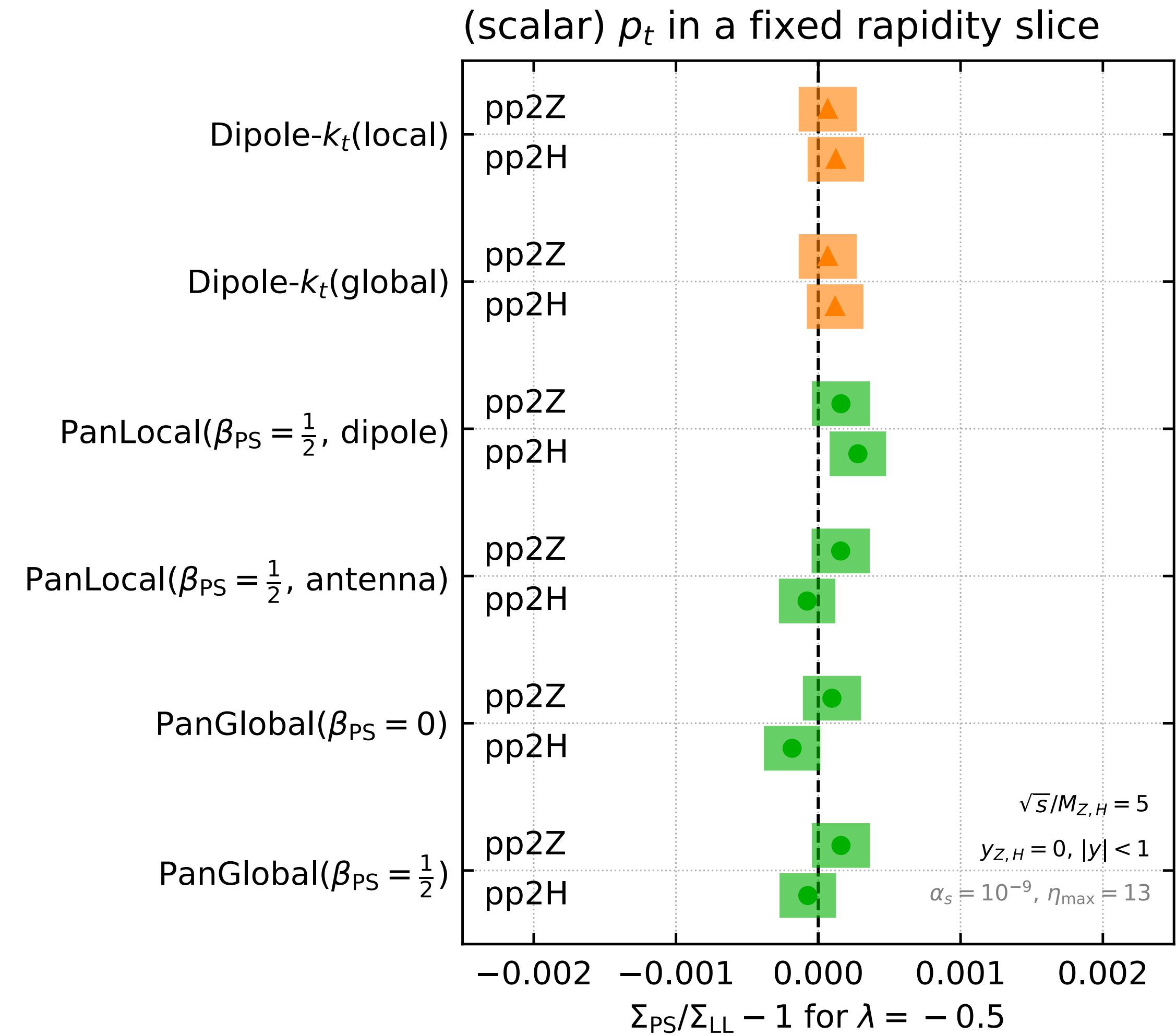
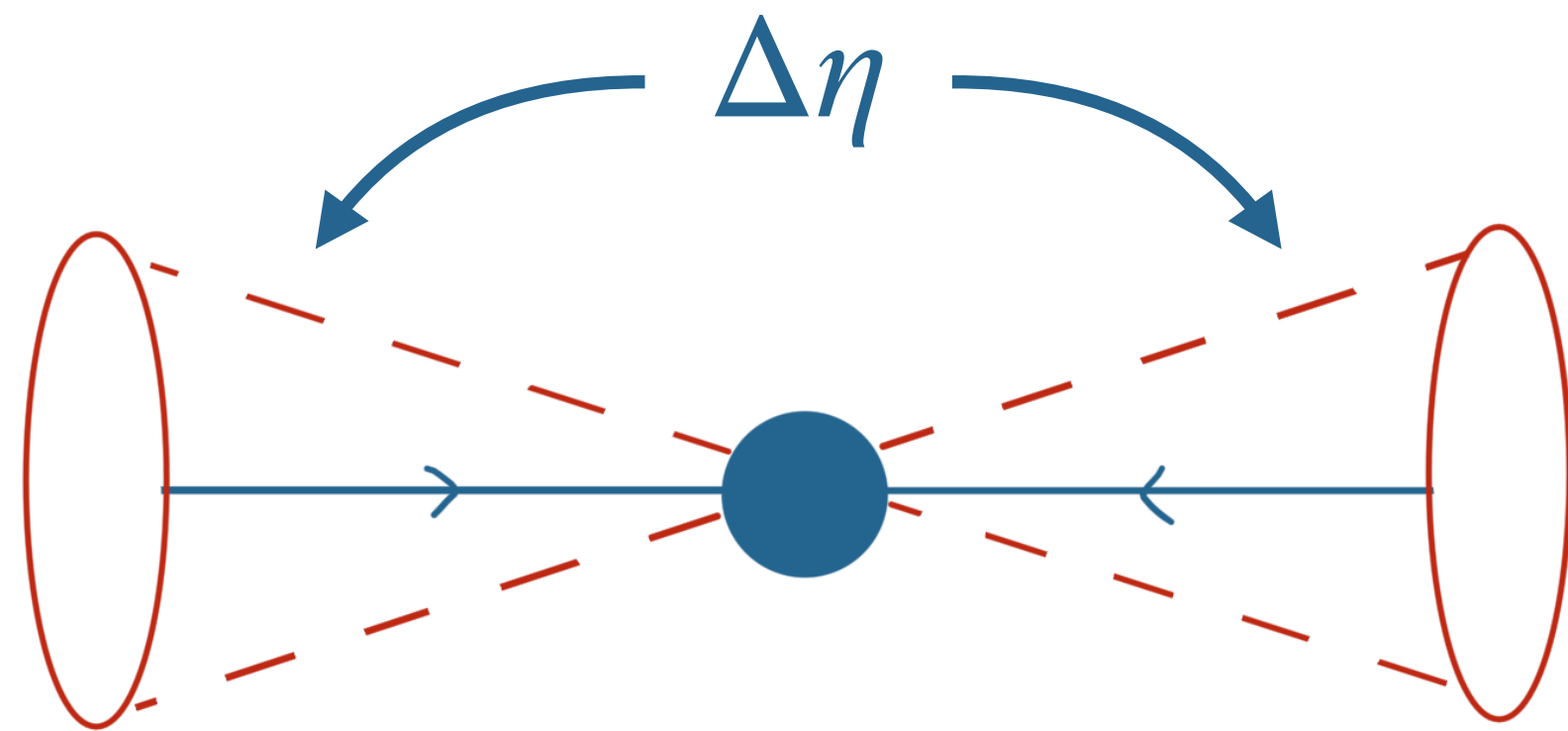
Parton distribution functions

DGLAP expectation

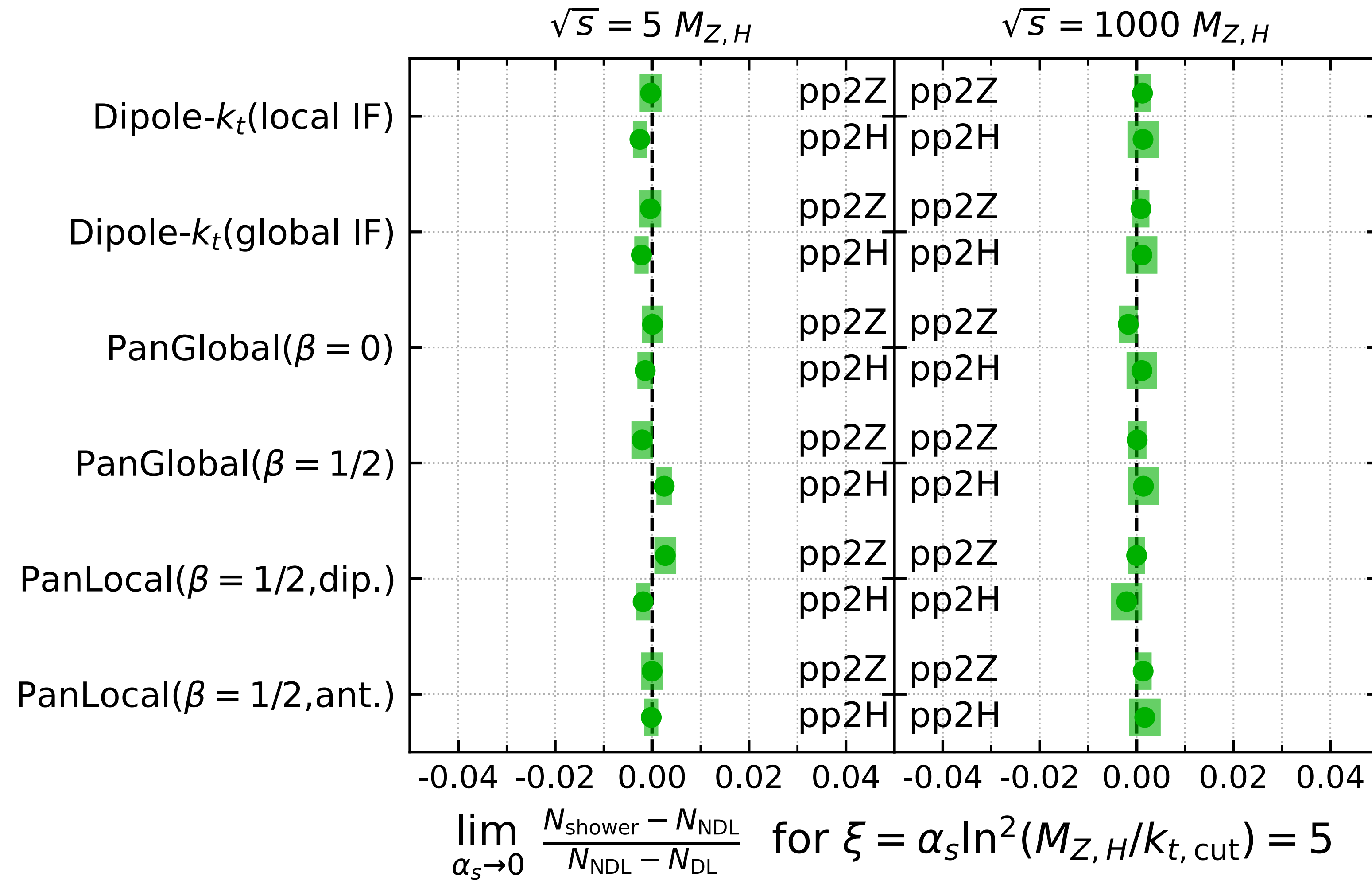
$$\frac{1}{\sigma} \frac{d\sigma_i}{dx} = \frac{1}{f_{\hat{i}}(\hat{x}, m_Z^2)} \int_{\hat{x}}^1 \frac{dz}{z} D_{\hat{i}i}(z, \alpha_s L) f_i\left(\frac{\hat{x}}{z}, p_{t,\text{cut}}^2\right) \delta\left(\frac{\hat{x}}{z} - x\right)$$



Non-global observable: rapidity gap

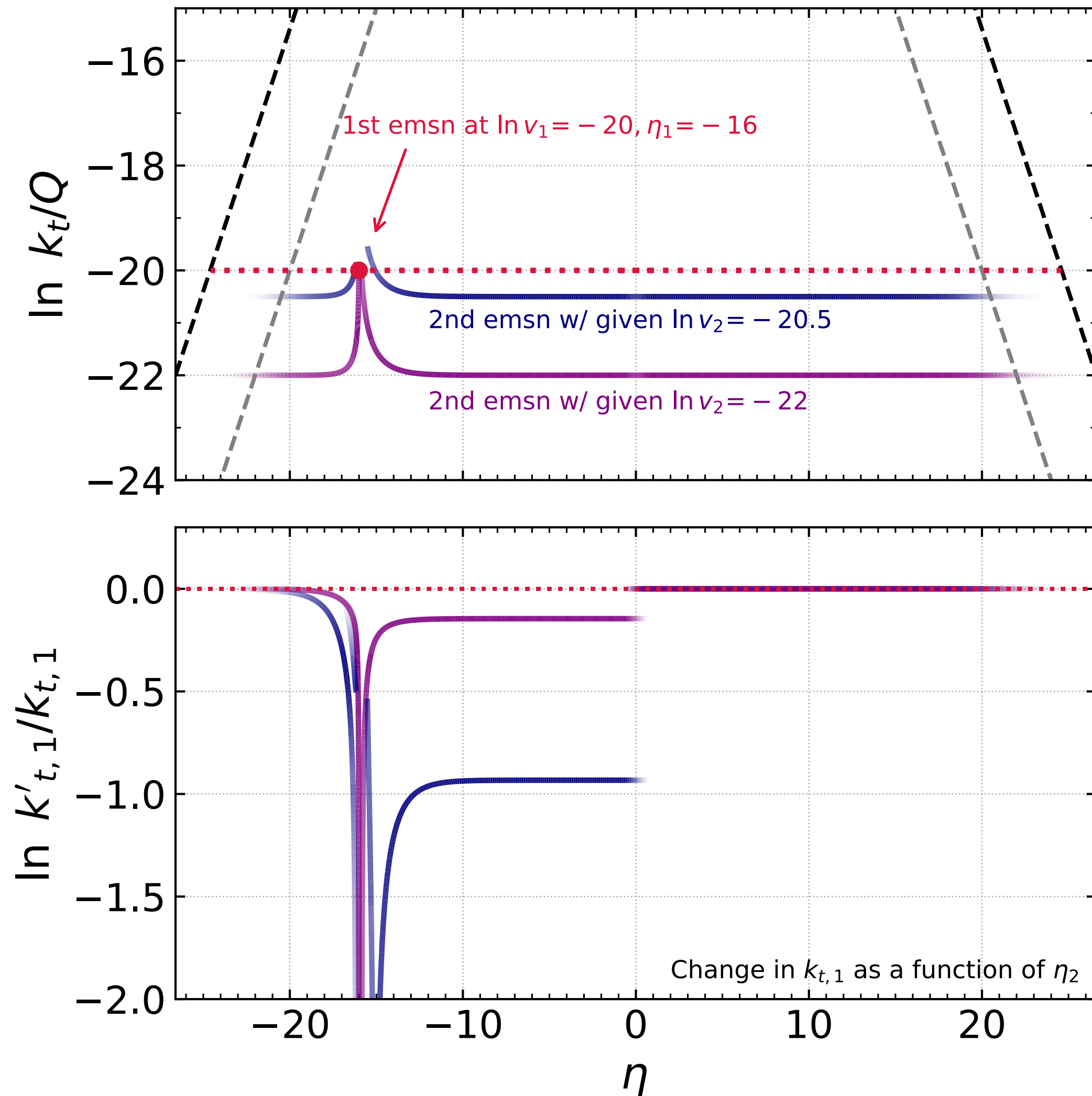


Particle multiplicity



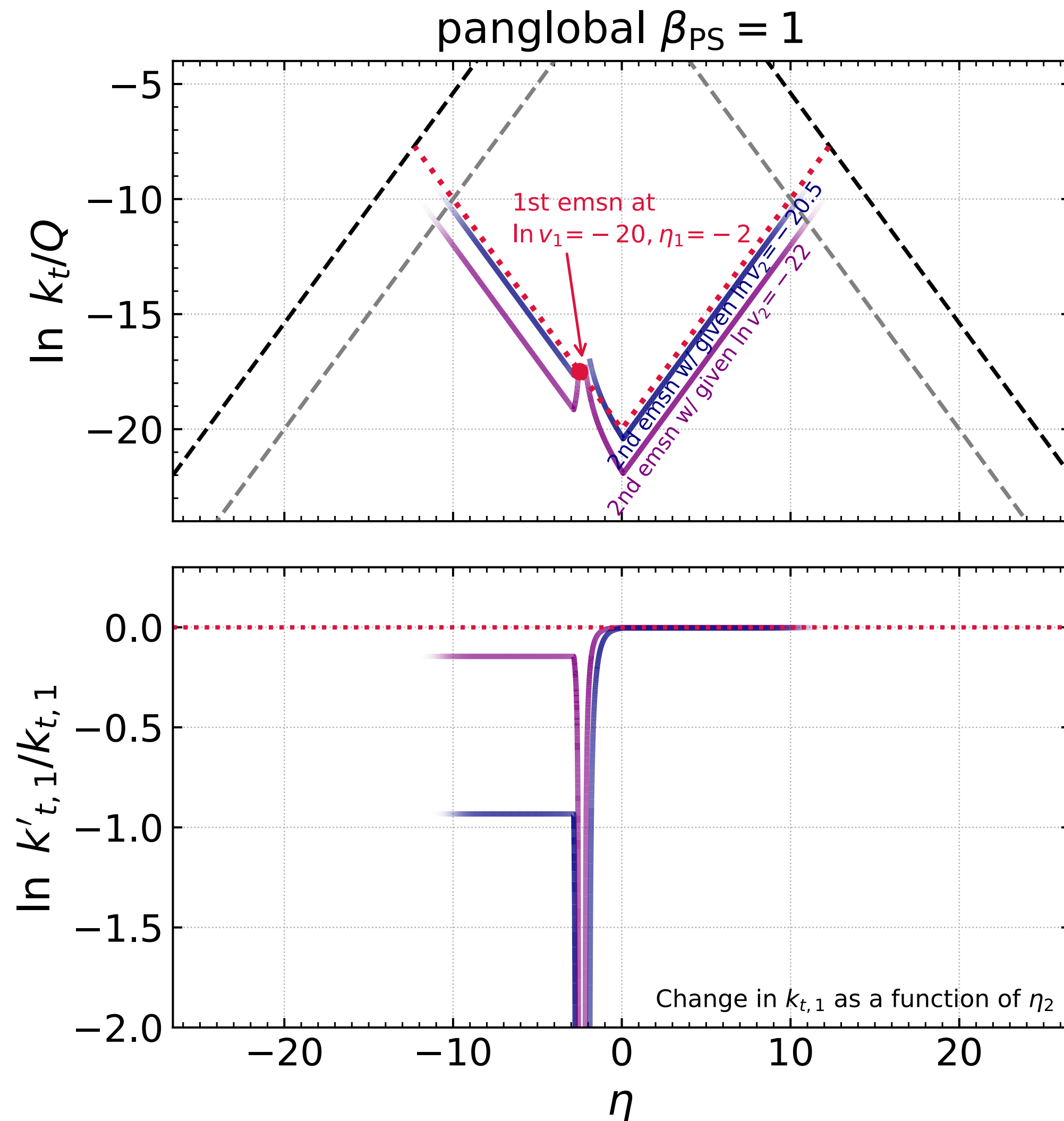
PanLocal issue for $\beta_{PS} = 0$

panlocal $\beta_{PS} = 0$



- Recoil is taken from the first gluon even when emissions are separated in rapidity
- Separation of dipole in event CM frame is not enough to cure dipole-showers with local maps from locality issue, the transverse momentum ordering is problematic here
- Only when emissions are ordered in angle ($\beta_{PS} > 0$) we solve this
- Then commensurate k_t emissions are ordered in angle, so they take their recoil from the hard system (after boost)

Issue for $\beta_{PS} = 1$



- For IF dipoles, momentum of first emission is rescaled by $b_j = 1 - \beta_k$ in map
- For $\beta = 1$ this equates to $1 - \frac{\tilde{s}_i v}{\tilde{s}_{ij} Q}$ and becomes independent of $\bar{\eta}$
- Consider change in first emitted parton:

$$p_{k,1} = \tilde{p}_j \rightarrow b_j p_{k,1} = \left(1 - \frac{\tilde{s}_i v_2}{\tilde{s}_{ij} Q} \right) p_{k,1}$$

- With $\frac{\tilde{s}_i}{\tilde{s}_{ij}} = \frac{2\tilde{p}_i \cdot Q}{2\tilde{p}_i \cdot \tilde{p}_j} = \frac{1}{b_{k,1}}$ and $b_{k,1} = \beta_{k,1} = \frac{v_1}{Q}$

$$\frac{k_{\perp,1}}{k_{\perp,1} \text{ after } 2} = \left(1 - \frac{v_2}{v_1} \right)$$

Colour tests

Test of the differential matrix element

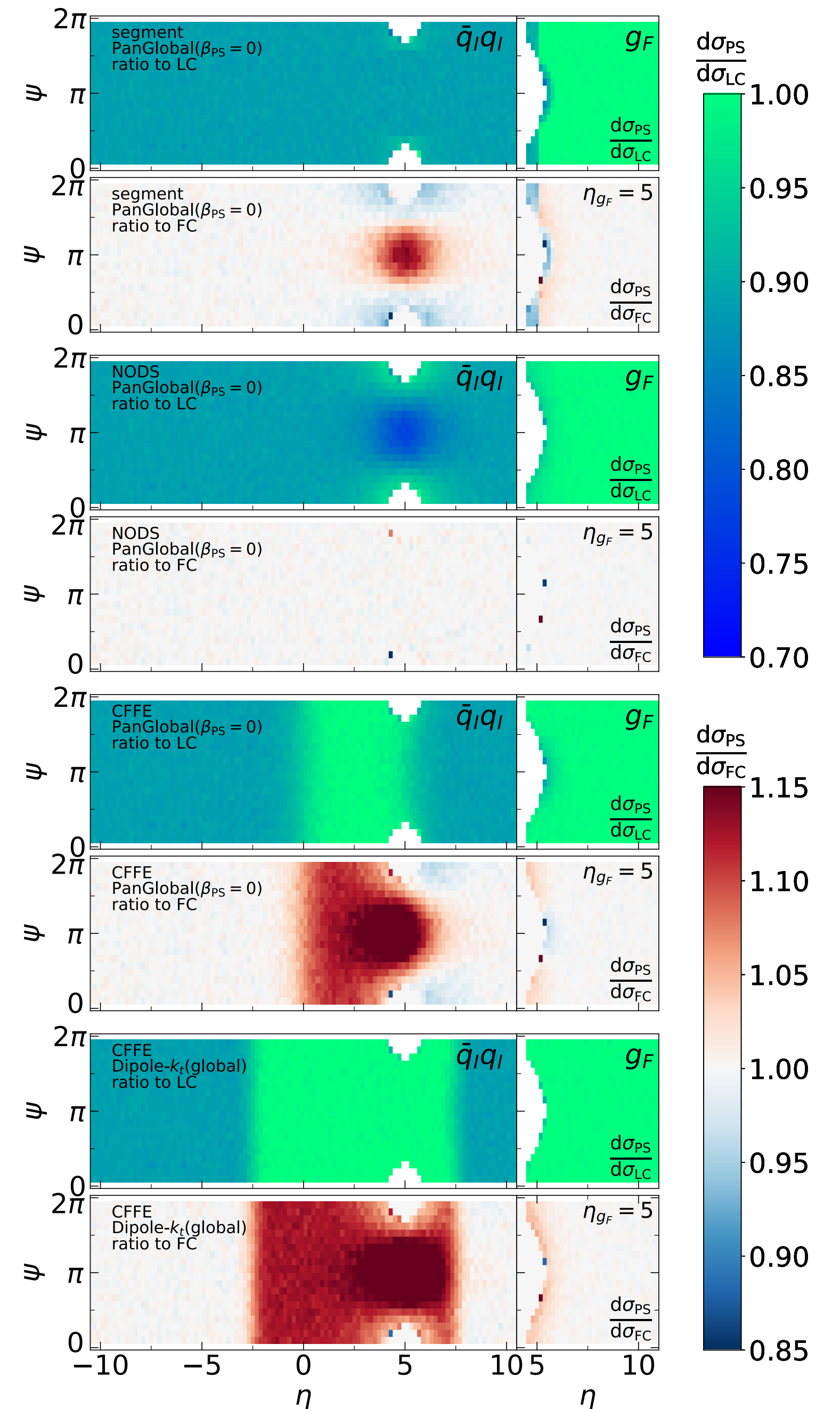
Here primary $\bar{q}q$ Lund plane and the new g Lund leaf

LC = leading colour (standard)

FC = full colour

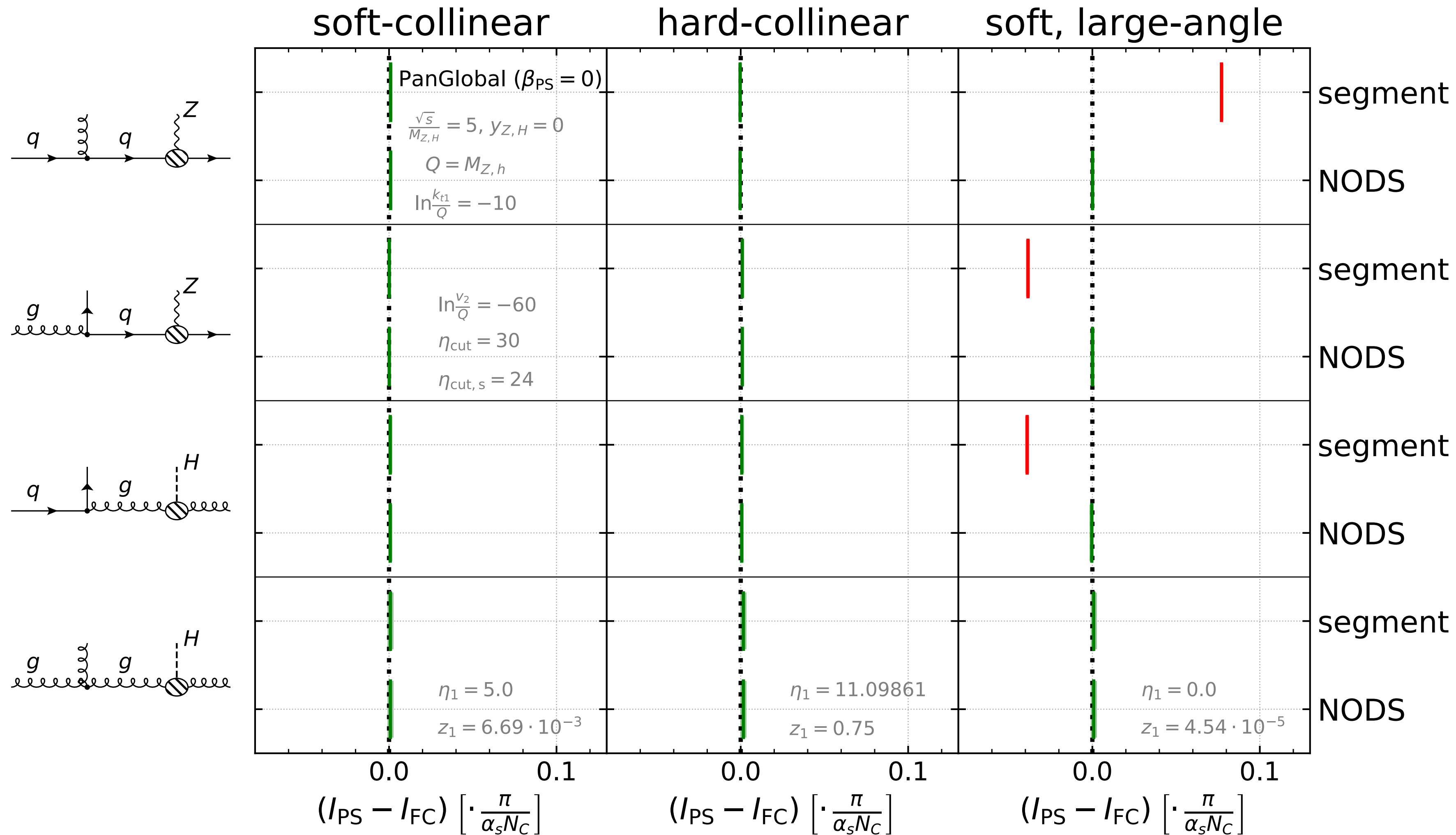
CFFE = standard colour treatment

Segment and NODS two ways to improve the colour handling in the PanScales showers



Colour tests

$$I_{\text{FC}}^{Zg_1} \equiv \int \frac{d\Omega}{2\pi} \frac{|\mathcal{M}_{q\bar{q}g_1g_2}|^2}{|\mathcal{M}_{q\bar{q}g_1}|^2}$$

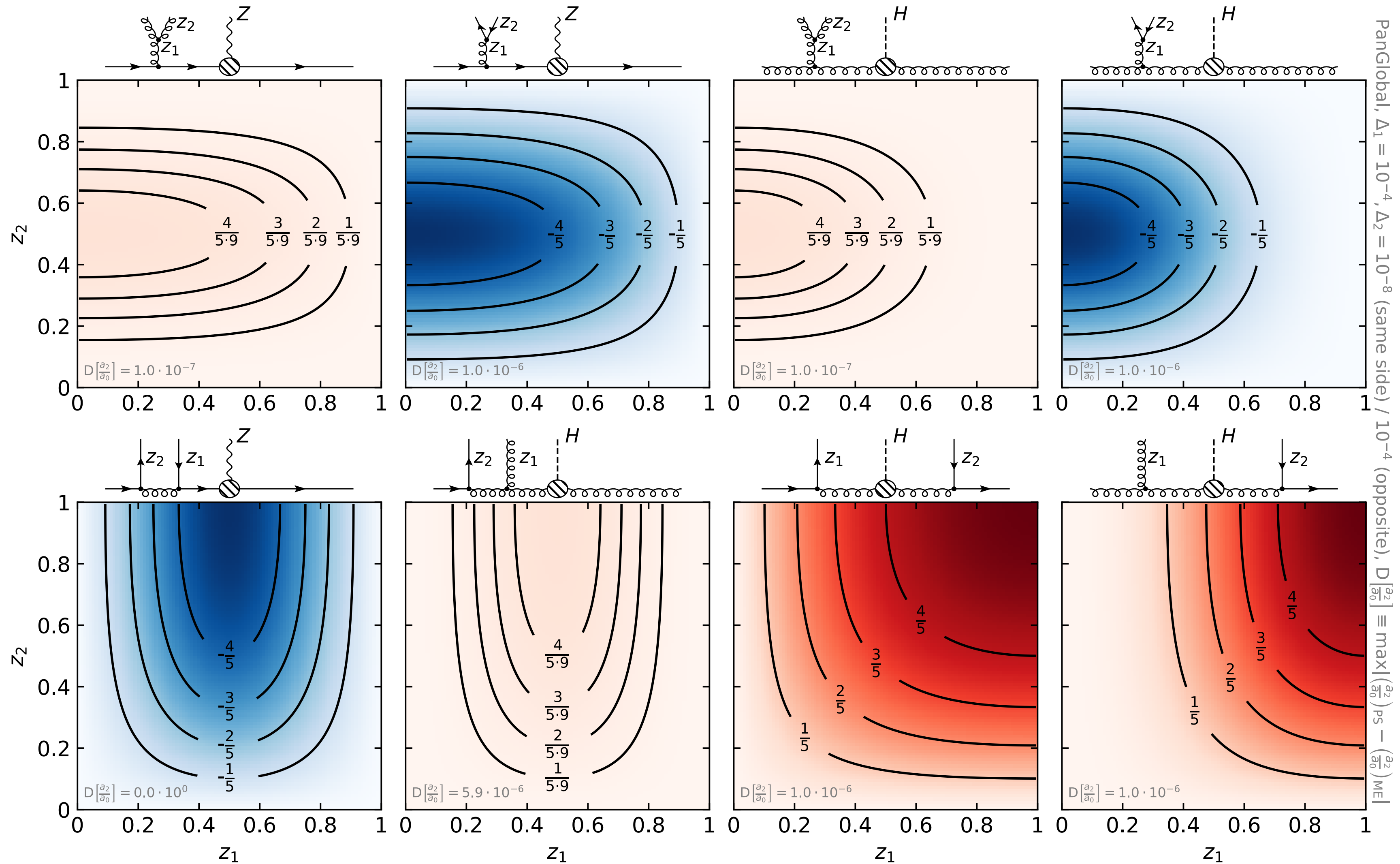


Test of the integrated rate of emissions

Spin tests

$$\frac{d\sigma}{d\Delta\psi_{ij}} \propto a_0 \left(1 + \frac{a_2}{a_0} \cos(2\Delta\psi_{ij}) \right)$$

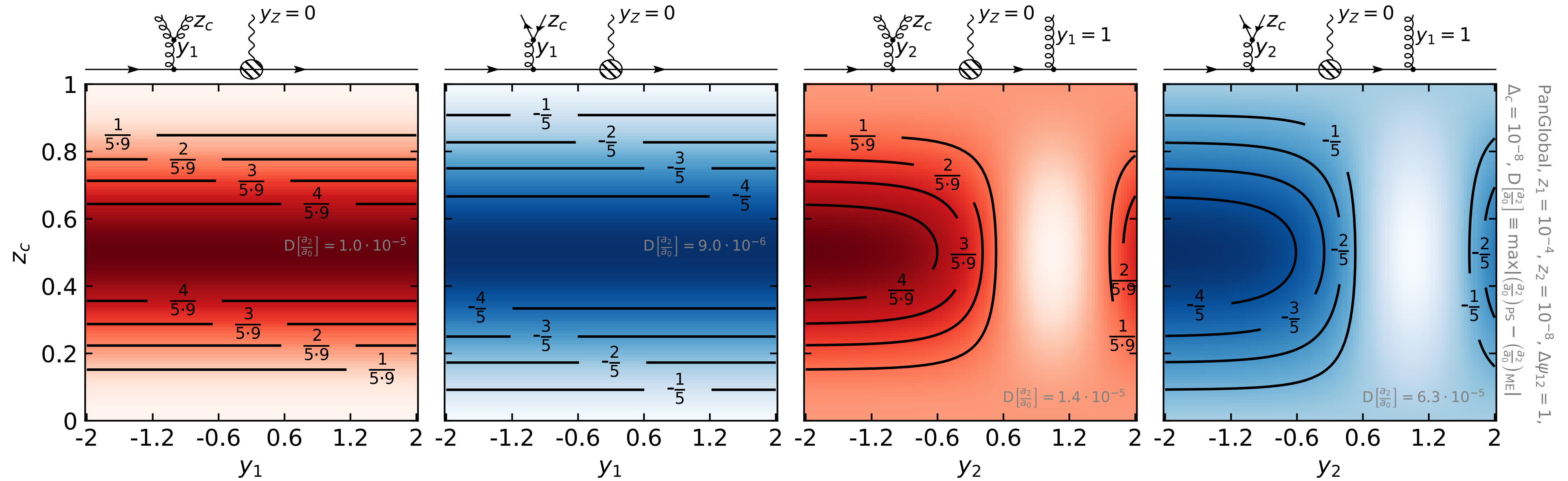
Two collinear emissions



Spin tests

$$\frac{d\sigma}{d\Delta\psi_{ij}} \propto a_0 \left(1 + \frac{a_2}{a_0} \cos(2\Delta\psi_{ij}) \right)$$

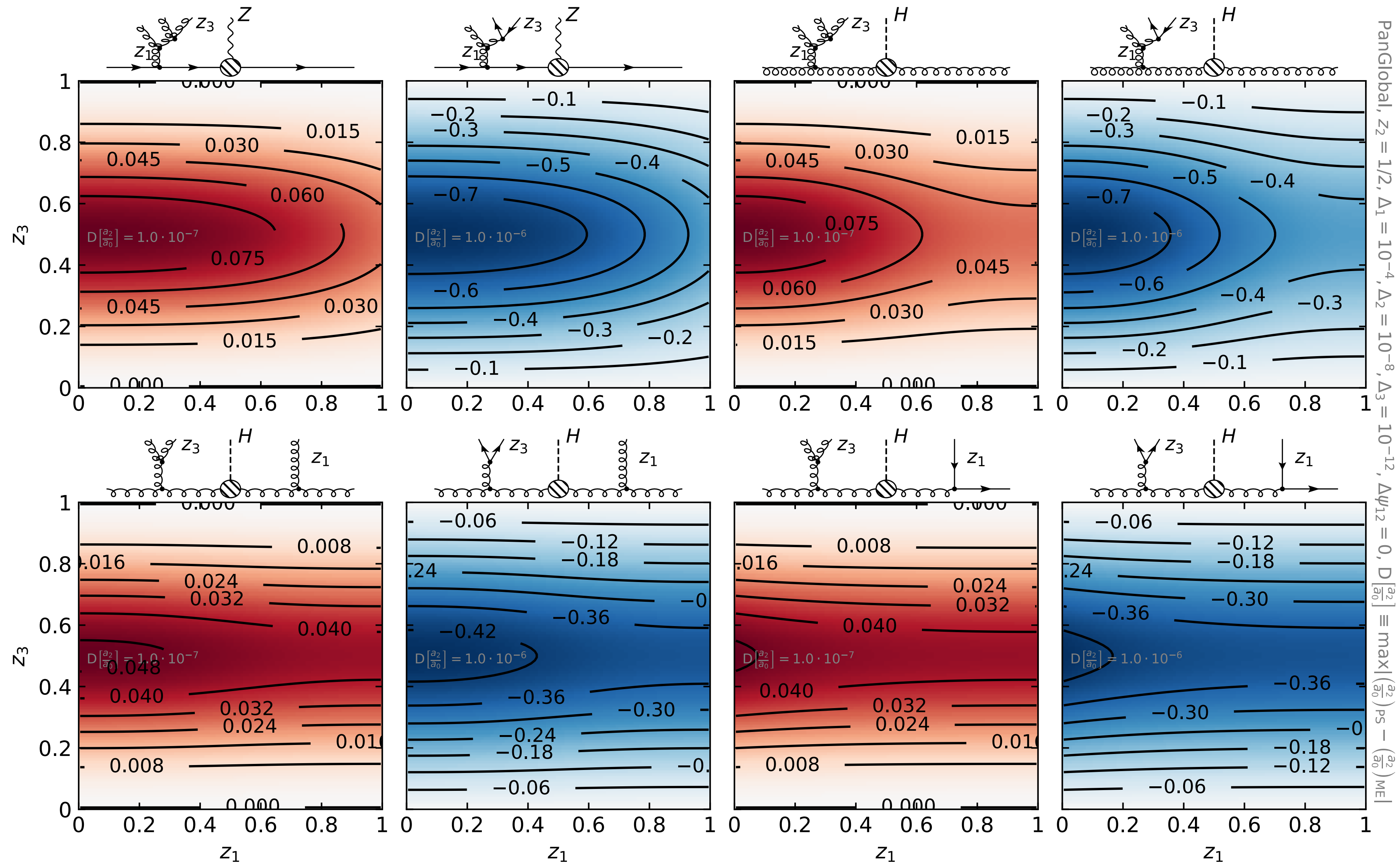
One collinear, one soft emission



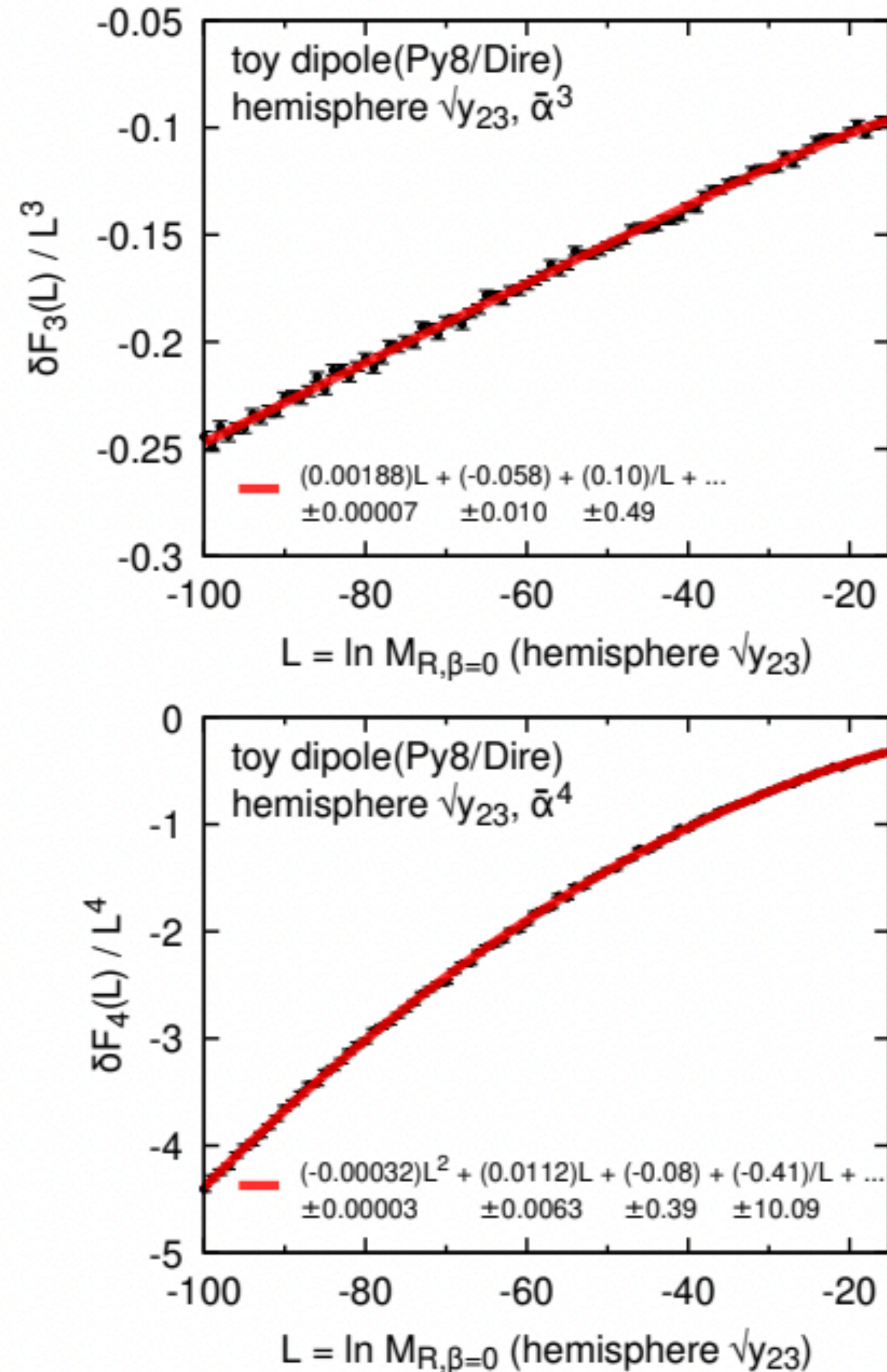
Spin tests

$$\frac{d\sigma}{d\Delta\psi_{13}} \propto a_0 \left(1 + \frac{a_2}{a_0} \cos(2\Delta\psi_{13}) + \frac{b_2}{a_0} \sin(2\Delta\psi_{13}) \right)$$

Three collinear emissions

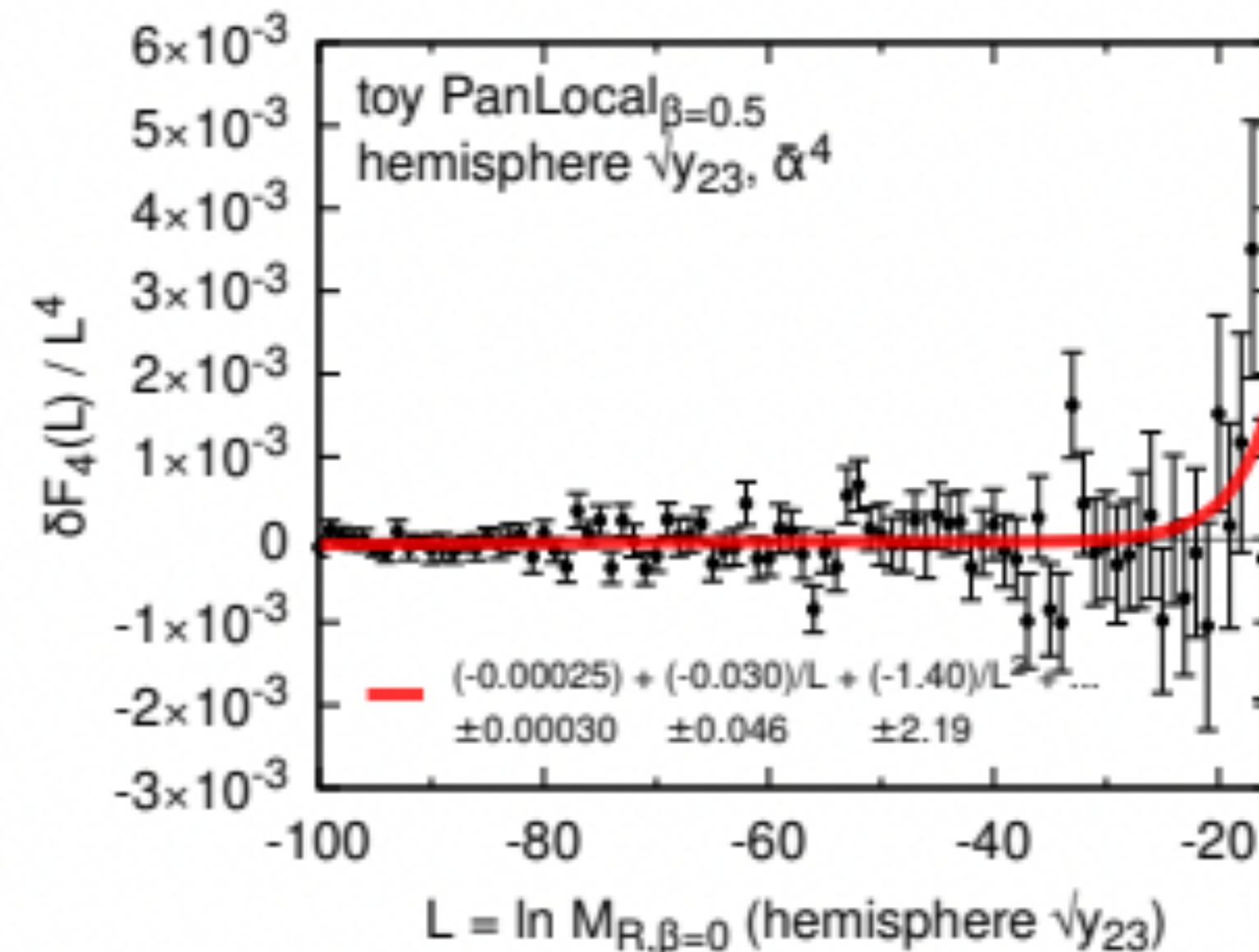
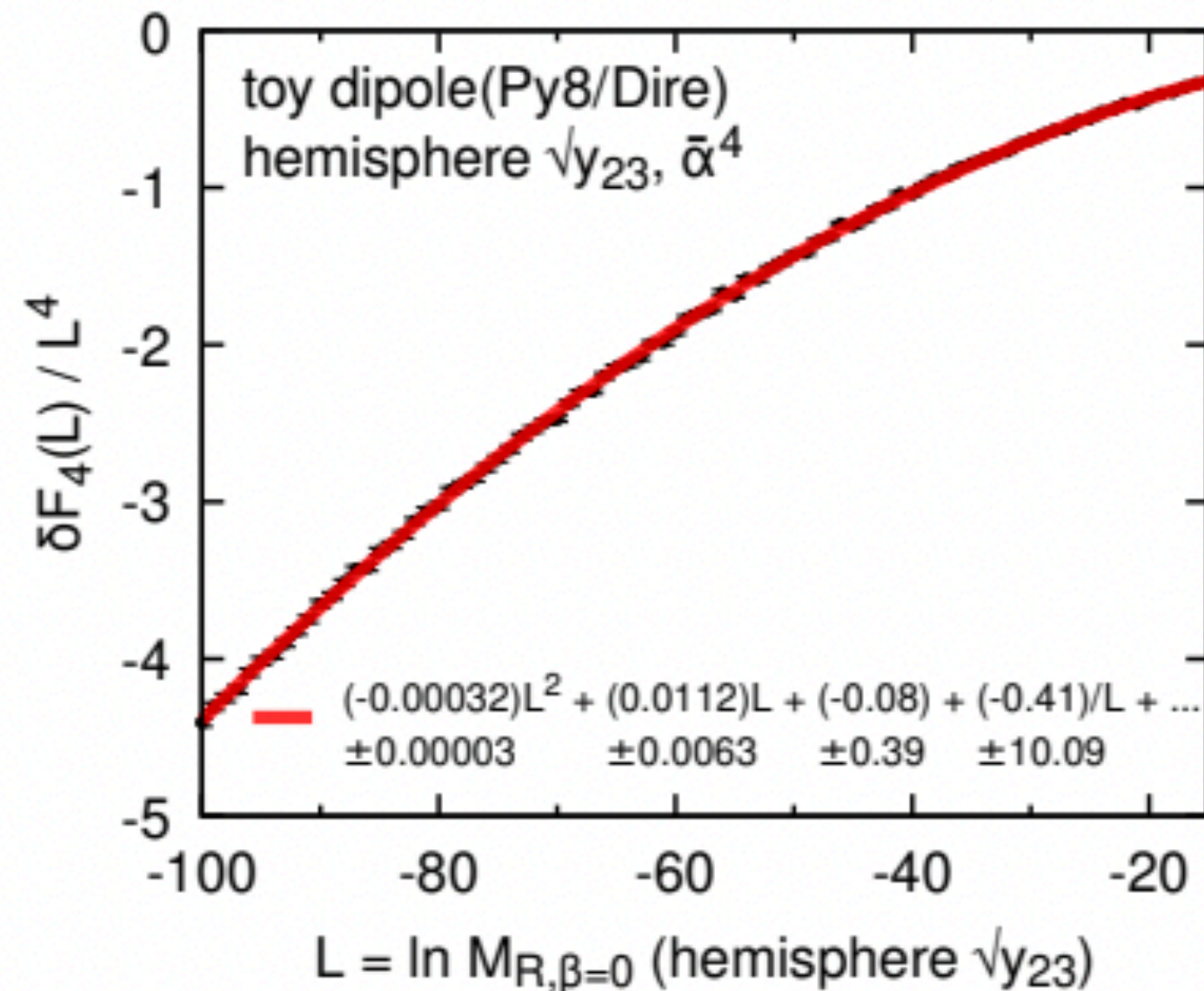
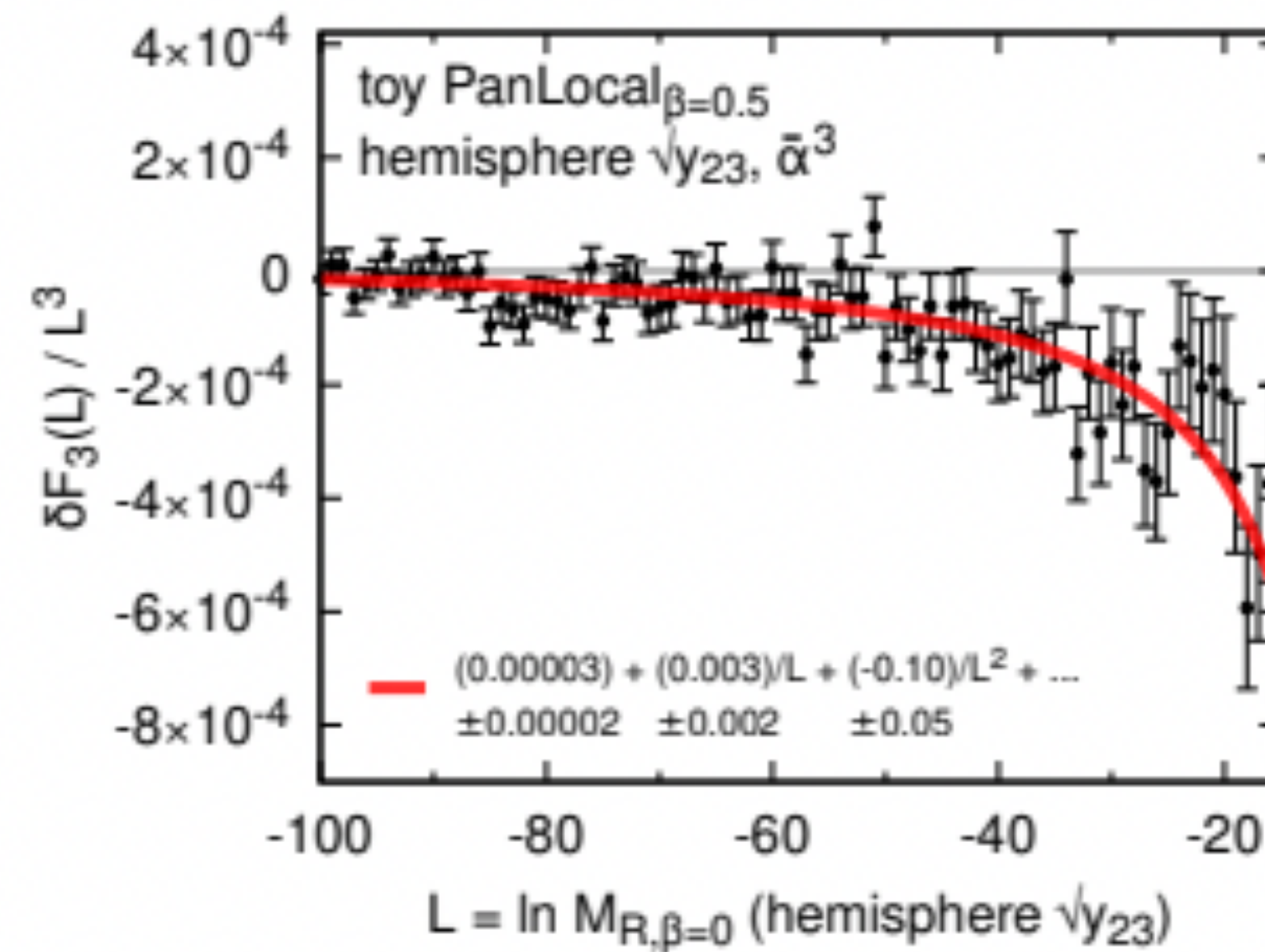
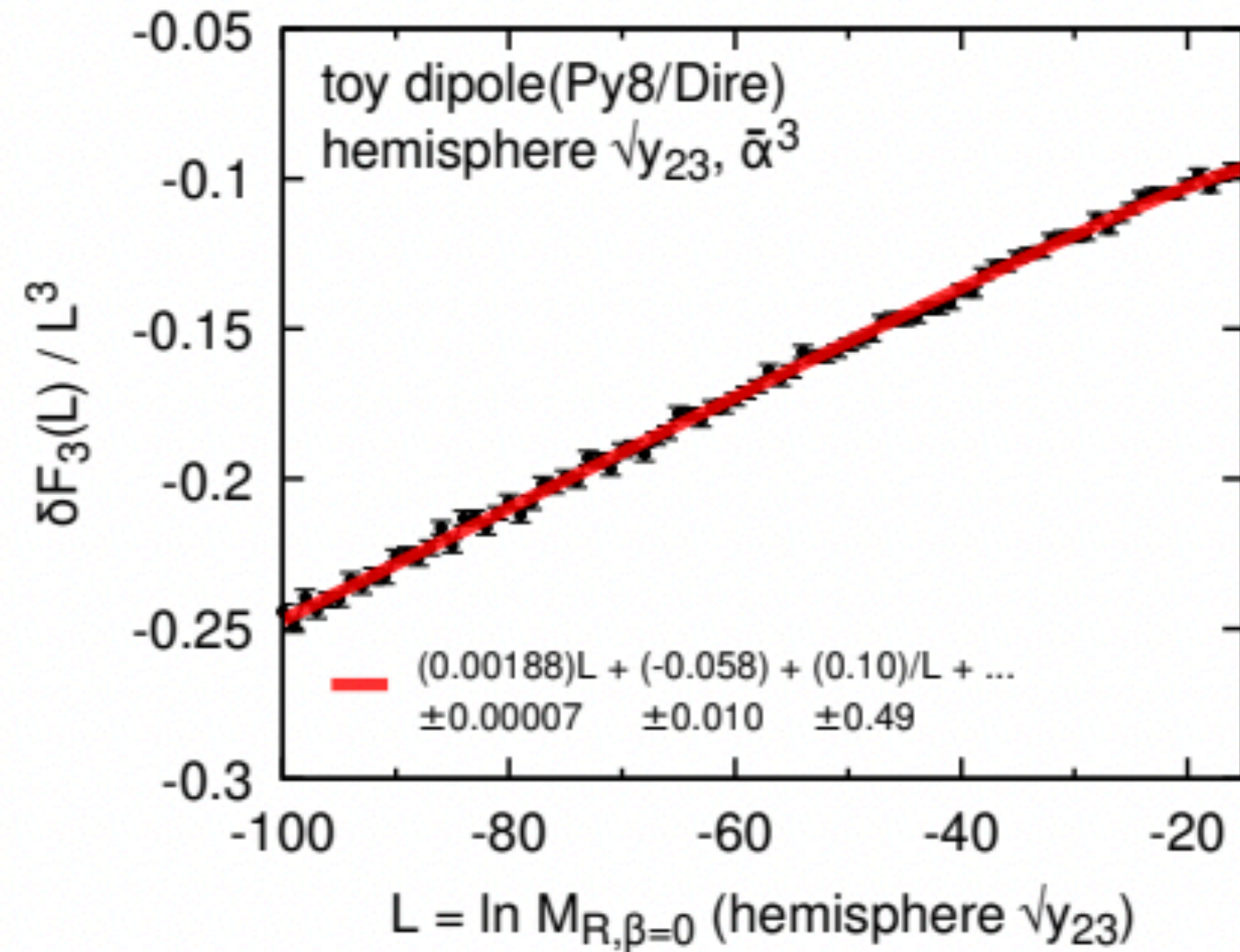


Super-leading logarithms



- Consider $M_{R,0}$, max p_{\perp} of emissions in the right hemisphere (sensitive to super-leading logs at $\mathcal{O}(\alpha_s^3)$)
- Take toy-model approach with only soft primary emissions and fixed coupling
- Take difference between CEASAR result and toy shower $\delta F_n(L)$, $n =$ order in α_s , where $F = \sum \alpha_s^n F_n$ has terms of $\alpha_s^n L^m$ with $m \leq n$
- Clearly a discrepancy at fixed-order for standard dipole showers
- Vanishes at all orders because it is numerically comparable to the NNLL terms \rightarrow orange points

Super-leading logarithms



- Discrepancy not there for PanScales family of showers