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## Heavy Flavor Physics

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# Outline

- Introduction and Motivation
- How do we make theoretical predictions?
- How well can we calculate?
- Conclusions

# Introduction and Motivation

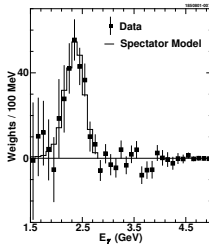
# Motivation: high scales

- Flavor physics allows access to new physics at scales beyond reach of current colliders
- E.g.  $K - \bar{K}$  mixing,  $B - \bar{B}$  mixing probe scales above hundreds of TeV
- See Jure Zupan's Pheno 2019 talk

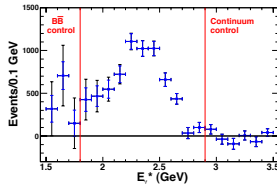
# Motivation: Theoretically Interesting

- Theoretically Interesting: test of basic QFT tools
    - Factorization theorems
    - Operator product expansion
- Example:  $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$  OPE is known to
- Perturbative:** third order, **Non-perturbative:** fourth order
- Theoretically Interesting: window to non-perturbative physics

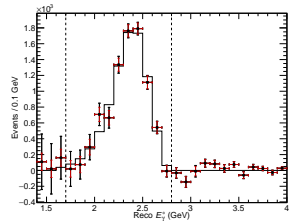
CLEO (2001)



BaBar (2012)



Belle (2016)



- At leading twist the  $\bar{B} \rightarrow X_s \gamma$  photon spectrum is the B-meson pdf

How do we make theoretical predictions?

# Effective Hamiltonian

- At energies  $\ll m_W, m_Z, m_t$  effective Hamiltonian is known

For review see [Buras, hep-ph/9806471]

e.g.  $\bar{B} \rightarrow X_s \gamma$

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \sum_{q=u,c} V_{qb} V_{qs}^* \left( C_1 Q_1^q + C_2 Q_2^q + \sum_{i=3,\dots,10} C_i Q_i + C_{7\gamma} Q_{7\gamma} + C_{8g} Q_{8g} \right) + \text{h.c.}$$

- $C_i$  calculable in perturbation theory
- $Q_i$  operators with non-perturbative matrix elements

$$Q_1^q = (\bar{q}b)_{V-A} (\bar{s}q)_{V-A} \quad (q = u, c)$$

$$Q_{7\gamma} = \frac{-e}{8\pi^2} m_b \bar{s} \sigma_{\mu\nu} (1 + \gamma_5) F^{\mu\nu} b$$

$$Q_{8g} = \frac{-g_s}{8\pi^2} m_b \bar{s} \sigma_{\mu\nu} (1 + \gamma_5) G^{\mu\nu} b$$

# Main problem

- Main problem: we know the operators but usually cannot calculate the matrix elements
- Strong interaction operators made of quarks and gluons
  - Local: e.g.  $\bar{q}(0) \cdots q(0)$
  - Non-Local: e.g.  $\bar{q}(0) \cdots q(tn)$   $n$  light-cone vector
- What kind of objects do we encounter?
- The general matrix element:  $\langle f(p_f) | O | i(p_i) \rangle$   
 $O$  can be local or non-local;  $p_i, p_f$  independent or not  
List options in increased complexity



## Non perturbative objects: $\langle f(p_f) | O | i(p_i) \rangle$

- 1) **Decay constant**: Local operator,  $p_f = 0$

$$\langle 0 | \bar{d} \gamma^\mu (1 - \gamma_5) u | \pi(p) \rangle = i f_\pi p^\mu$$

Also diagonal local matrix elements:  $\langle \bar{B} | \bar{b} \vec{D}^2 b | \bar{B} \rangle = 2 M_B \mu_\pi^2$

- 2) **Form factor**: Local operator,  $p_f - p_i = q$

$$\langle N(p_f) | \sum_q e_q \bar{q} \gamma^\mu q | N(p_i) \rangle = \bar{u}(p_f) \left[ \gamma_\mu F_1^N(q^2) + \frac{i \sigma_{\mu\nu}}{2m} F_2^N(q^2) q_\nu \right] u(p_i)$$

Flavor:  $\langle D(p_f) | \bar{c} \gamma^\mu b | \bar{B}(p_i) \rangle = f_+(q^2) (p_i + p_f)^\mu + f_-(q^2) (p_i - p_f)^\mu$

- 3) **PDF**: Non-local operator,  $p_f - p_i = 0$

$$\phi_q(\xi) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{i\xi n \cdot p t} \langle N(p) | \bar{\psi}(0) [0, tn] \not{n} \psi(tn) | N(p) \rangle$$

Flavor:  $S(\omega) = \frac{1}{2\pi} \frac{1}{2M_B} \int_{-\infty}^{\infty} dt e^{i\omega t} \langle \bar{B}(v) | \bar{b}(0) [0, tn] b(tn) | \bar{B}(v) \rangle$

- 4) **Non-local Form factor**: Non-local operator,  $p_i - p_f = q$

$$\langle K^{(*)}(p_f) | \bar{s}_L(0) \gamma^\rho \cdots \tilde{G}_{\alpha\beta} b_L(tn) | B(p_i) \rangle$$

[Khodjamirian, Mannel, Pivovarov, Wang, JHEP **09**, 089 (2010)]

# What to do with Non Perturbative Objects?

- What to do with the Non Perturbative Objects?
  - 1) Calculate using some non perturbative method, e.g. Lattice
  - 2) Extract carefully from experiment
  - 3) Use symmetries
  - 4) When all else fails, model
- For example
  - 1)  $f_B$  calculated from Lattice QCD
  - 2)  $\phi_q$  extracted from fits to DIS
  - 3)  $SU(3)$  flavor for  $B \rightarrow PP$
  - 4) Non-perturbative error for  $\bar{B} \rightarrow X_s \gamma, |V_{ub}|$
- Since  $m_b \sim 5 \text{ GeV} \Rightarrow$  two expansion parameters for  $b$ -quark decays
  - $\alpha_s(m_b) \sim 0.2$
  - $\Lambda_{\text{QCD}}/m_b \sim 0.1$

How well can we calculate?

# How well can we calculate?

- Questions:
  - What is the current “state of the art”?
  - Can the theoretical prediction be improved?
  - Will it lead to smaller error bars?
- Examples:
  - $|V_{cb}|$  and  $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$
  - $|V_{ub}|$  and  $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$
  - $\bar{B} \rightarrow X_s \gamma$
  - $|V_{cb}|$  and  $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell, R(D^{(*)})$
- See also “Challenges in Semileptonic B Decays” Workshop (April 2022, Barolo, Italy)  
<https://indico.cern.ch/event/851900/>
- Many more topics in parallel sessions

# Flavor topics in parallel sessions

- Monday May 9, 2022
  - $t$ -quark mass: Deepak Sathyan, Sagar Airen
  - $B$  meson decays to two Baryons: Mark Farino, Tianping Gu
  - $\mathcal{A}_{FB}$  for inclusive semileptonic  $B$  decays: Florian Herren
  - $U$ -spin in  $c$  decays: Margarita Gavrilova
  - $K \rightarrow \mu^+ \mu^-$ : Mitrajyoti Ghosh
  - $\epsilon_K$  at NLL EW: Zachary Polonsky
  - Dark showers at Belle II: Elias Bernreuther
- Tuesday May 10, 2022
  - Flavor Constraints on BSM: Shiyuan Xu
  - New Physics in  $B$  Decays: Bhubanjyoti Bhattacharya
  - BSM Physics in  $\bar{B} \rightarrow D^* \ell \bar{\nu}_\ell$ : Quinn Campagna
  - Belle II results: Lucia Kapitnov
  - Heavy QCD Axion at Belle II: Vazha Loladze
  - New physics is  $B \rightarrow K \nu \bar{\nu}$ : Rusa Mandal
- **Please let me know if I missed your flavor talk title**

# $|V_{cb}|$ and $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$

- Semileptonic  $b \rightarrow c$  transition

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} C_1(\mu) V_{cb} \bar{\ell} \gamma_\mu (1 - \gamma^5) \nu_\ell \bar{c} \gamma^\mu (1 - \gamma^5) b$$

- Using the optical theorem can calculate  $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$  as an OPE

$$\Gamma \sim c_0 \langle O_0 \rangle + c_2^j \frac{\langle O_2^j \rangle}{m_b^2} + \dots$$

- $c_0 \langle O_0 \rangle$  is a free quark decay. At tree level same as  $\mu \rightarrow e \bar{\nu}_e \nu_\mu$
- $c_i^j$  perturbative in  $\alpha_s$
- $\langle O_i \rangle$  are non perturbative, can be extracted from experiment
  - $\langle O_0 \rangle = \langle \bar{B} | \bar{b} b | \bar{B} \rangle = 1$
  - $\langle O_2^{\text{kin.}} \rangle = \langle \bar{B} | \bar{b} (iD)^2 b | \bar{B} \rangle \Rightarrow \mu_\pi^2$
  - $\langle O_2^{\text{mag.}} \rangle = \langle \bar{B} | \bar{b} \sigma_{\mu\nu} G^{\mu\nu} b | \bar{B} \rangle \Rightarrow \mu_G^2$  can be extracted from  $M_{B^*} - M_B$

# $|V_{cb}|$ and $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$

- Using the optical theorem can calculate  $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$  as an OPE

$$\Gamma \sim c_0 \langle O_0 \rangle + c_2^j \frac{\langle O_2^j \rangle}{m_b^2} + c_3^j \frac{\langle O_3^j \rangle}{m_b^3} + c_4^j \frac{\langle O_4^j \rangle}{m_b^4} + c_5^j \frac{\langle O_5^j \rangle}{m_b^5} + \dots$$

- $1/m_b^0$ : One operator
- $1/m_b$ : No operators
- $1/m_b^2$ : Two operators  
[Blok, Koyrakh, Shifman, Vainshtein PRD **49**, 3356 (1994)]  
[Manoar, Wise PRD **49**, 1310 (1994)]
- $1/m_b^3$ : Two operators  
[Gremm, Kapustin, PRD **55**, 6924 (1997)]
- [Mannel, Turczyk, Uraltsev JHEP **1011**, 109 (2010)]:
- $1/m_b^4$ : Nine operators
- $1/m_b^5$ : Eighteen operators
- All above:  $c_i^j$  at  $\mathcal{O}(\alpha_s^0)$ . Are these all the possible operators?

## Interlude

- Are these all the possible operators?
- Question answered in [Gunawardna, GP JHEP **1707** 137 (2017)]
  - List such operators, in principle, to *arbitrary* dimension
  - NRQED and NRQCD bilinear ops., in principle, to *arbitrary* dimension
- See also [Kobach, Pal PLB **772** 225 (2017)] using Hilbert series
- Are these all the possible operators? No.
  - For  $1/m_b^0$ ,  $1/m_b^2$ ,  $1/m_b^3$  these are all the possible operators
  - $1/m_b^4$ : 9 operators at  $\mathcal{O}(\alpha_s^0) \Rightarrow$  11 operators at  $\mathcal{O}(\alpha_s)$  or higher
  - $1/m_b^5$ : 18 operators at  $\mathcal{O}(\alpha_s^0) \Rightarrow$  25 operators at  $\mathcal{O}(\alpha_s)$  or higher
- These are unknown but extremely small  
For example:  $\alpha_s (\Lambda_{\text{QCD}}/m_b)^4 \sim 0.2 \cdot (0.1)^4 \sim 10^{-5}$



# Power corrections

- $1/m_b^4, 1/m_b^5$  matrix elements extracted from  $\bar{B} \rightarrow X_c l \bar{\nu}_e$   
[Gambino, Healey, Turczyk PLB **763**, 60 (2016)]

**Table 2**

Default fit results: the second and third columns give the central values and standard deviations.

$m_b^{kin}$	4.546	0.021	$r_1$	0.032	0.024
$\bar{m}_c$ (3 GeV)	0.987	0.013	$r_2$	-0.063	0.037
$\mu_\pi^2$	0.432	0.068	$r_3$	-0.017	0.025
$\mu_G^2$	0.355	0.060	$r_4$	-0.002	0.025
$\rho_D^3$	0.145	0.061	$r_5$	0.001	0.025
$\rho_{LS}^3$	-0.169	0.097	$r_6$	0.016	0.025
$\bar{m}_1$	0.084	0.059	$r_7$	0.002	0.025
$\bar{m}_2$	-0.019	0.036	$r_8$	-0.026	0.025
$\bar{m}_3$	-0.011	0.045	$r_9$	0.072	0.044
$\bar{m}_4$	0.048	0.043	$r_{10}$	0.043	0.030
$\bar{m}_5$	0.072	0.045	$r_{11}$	0.003	0.025
$\bar{m}_6$	0.015	0.041	$r_{12}$	0.018	0.025
$\bar{m}_7$	-0.059	0.043	$r_{13}$	-0.052	0.031
$\bar{m}_8$	-0.178	0.073	$r_{14}$	0.003	0.025
$\bar{m}_9$	-0.035	0.044	$r_{15}$	0.001	0.025
$\chi^2/dof$	0.46		$r_{16}$	0.001	0.025
BR(%)	10.652	0.156	$r_{17}$	-0.028	0.025
$10^3  V_{cb} $	<b>42.11</b>	<b>0.74</b>	$r_{18}$	-0.001	0.025

- “The higher power corrections have a minor effect on  $|V_{cb}|$  ...  
There is a  $-0.25\%$  reduction in  $|V_{cb}|$ ”

# State of the art: $|V_{cb}|$ and $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$

- What is the current “state of the art”? As of 2021

$$\Gamma \sim c_0 \langle O_0 \rangle + c_2^j \frac{\langle O_2^j \rangle}{m_b^2} + c_3^j \frac{\langle O_3^j \rangle}{m_b^3} + c_4^j \frac{\langle O_4^j \rangle}{m_b^4} + c_5^j \frac{\langle O_5^j \rangle}{m_b^5} + \dots$$

- $c_0$  known at  $\mathcal{O}(\alpha_s^0), \mathcal{O}(\alpha_s^1), \mathcal{O}(\alpha_s^2), \mathcal{O}(\alpha_s^3)$  for selected observables
- $c_2^j$  known at  $\mathcal{O}(\alpha_s^0), \mathcal{O}(\alpha_s^1)$
- $c_3^j$  known at  $\mathcal{O}(\alpha_s^0), \mathcal{O}(\alpha_s^1)$  for selected observables
- $c_4^j$  known at  $\mathcal{O}(\alpha_s^0)$
- $c_5^j$  known at  $\mathcal{O}(\alpha_s^0)$

- State of the art Inclusive  $|V_{cb}| = 42.16(51) \cdot 10^{-3}$

[Bordone, Capdevila, Gambino, PLB **822**, 136679 (2021)]

- HFLAV 2021: Exclusive  $|V_{cb}| = 38.90(53) \cdot 10^{-3}$

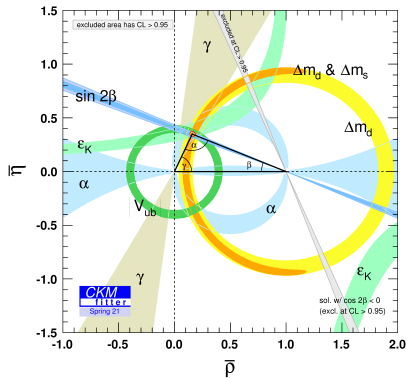
- Exclusive/Inclusive  $|V_{cb}|$  puzzle remains

- Can the theoretical prediction be improved?

Yes,  $c_3^j$  at  $\mathcal{O}(\alpha_s^1)$  fully differential

- Will it lead to smaller error bars? Probably

# $|V_{ub}|$ and $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$



- $|V_{ub}|$  plays a role in the unitarity triangle fit  
Like  $|V_{cb}|$ ,  $|V_{ub}|$  inclusive is larger than  $|V_{ub}|$  exclusive
- PDG August 2021 review
  - Inclusive  $|V_{ub}| = (4.13 \pm 0.12_{-0.14}^{+0.13} \pm 0.18) \cdot 10^{-3}$
  - Exclusive  $|V_{ub}| = (3.70 \pm 0.10 \pm 0.12) \cdot 10^{-3}$

## $|V_{ub}|$ and $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$ : Framework

- If we could measure total  $\Gamma(\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell)$  we could use a **local** OPE

$$d\Gamma \sim \sum_{i,j} c_i^j \frac{\langle O_i^j \rangle}{m_b^i}$$

$c_i^j$  perturbative,  $\langle O_i^j \rangle$  non-perturbative **numbers**

- Since  $\Gamma(\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell) \gg \Gamma(\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell)$  total rate **cannot** be measured  
Need to cut the charm background: e.g.  $M_X^2 < M_D^2 \sim m_b \Lambda_{\text{QCD}}$
- Not inclusive enough for local OPE, but non-local OPE still possible

$M_X^2 \sim m_b^2$       local OPE      (“OPE region”)

$M_X^2 \sim m_b \Lambda_{\text{QCD}}$       Non local OPE      (“end point region”)

$M_X^2 \sim \Lambda_{\text{QCD}}^2$       No inclusive description      (“resonance region”)

## $|V_{ub}|$ and $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$ : Framework

Need to cut the charm background: e.g.  $M_X^2 < M_D^2 \sim m_b \Lambda_{\text{QCD}}$

- Not inclusive enough for local OPE, but non-local OPE still possible

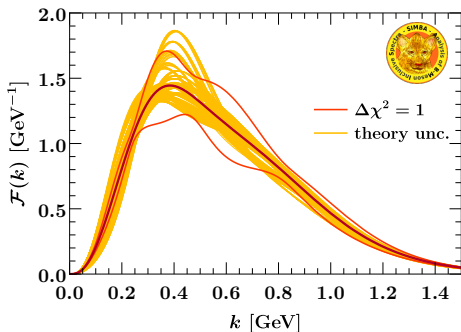
$$d\Gamma \sim H \cdot J \otimes S + \mathcal{O}\left(\frac{1}{m_b}\right)$$

- Can factorize perturbative coefficient into hard  $H$  and jet  $J$  functions
- $S$  is a non-perturbative “shape function” ( $B$ -meson PDF)

- At leading power in  $\Lambda_{\text{QCD}}/m_b$ ,  $S$  is  $\bar{B} \rightarrow X_s \gamma$  photon spectrum

## Recent work: SIMBA Collaboration

- At leading power in  $\Lambda_{\text{QCD}}/m_b$ ,  $\mathcal{S}$  is  $\bar{B} \rightarrow X_s \gamma$  photon spectrum
- Recent extraction by the SIMBA (Analysis of B-Meson Inclusive Spectra) Collaboration [Bernlochner, Lacker, Ligeti, Stewart, F. Tackmann, K. Tackmann, PRL **127**, 102001 (2021)]



## $|V_{ub}|$ and $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$ : Framework

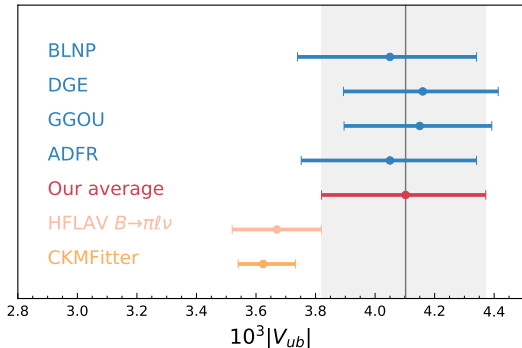
- At subleading power in  $\Lambda_{\text{QCD}}/m_b$

$$d\Gamma \sim H \cdot J \otimes S + \frac{1}{m_b} \sum_i H \cdot J \otimes s_i + \dots$$

- Several subleading shape functions (SSF) appear ( $s_i$ ) (“higher twist”)
  - Different linear combinations for  $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$  and  $\bar{B} \rightarrow X_s \gamma$
  - $\bar{B} \rightarrow X_s \gamma$  has unique SSF (“resolved photon contributions”)
- Shape functions moments are related to universal matrix elements:  
E.g. leading shape function: 1<sup>st</sup> moment  $\leftrightarrow m_b$ , 2<sup>nd</sup> moment  $\leftrightarrow \mu_\pi^2$
- Different theoretical frameworks for  $|V_{ub}|$  extractions:
    - Use similar perturbative inputs, currently  $\mathcal{O}(\alpha_s)$
    - Differ in how they extract (or model)  $S$
    - Differ in how they treat power corrections

# Recent work: Inclusive $|V_{ub}|$ from Belle data

- Current extractions used
  - BLNP [Lange, Neubert, GP, PRD **72**, 073006, (2005)]
  - DGE [Andersen, Gardi, JHEP **01**, 097, (2006)]
  - GGOU [Gambino, Giordano, Ossola, Uraltsev, JHEP **10**, 058, (2007)]
  - ADFR [Aglietti, Di Lodovico, Ferrera, Ricciardi, EPJC **59**, 831, (2009)]
- Recent work: Inclusive  $|V_{ub}|$  from Belle data  
[L. Cao *et al.* [Belle], PRD **104**, 012008 (2021)]

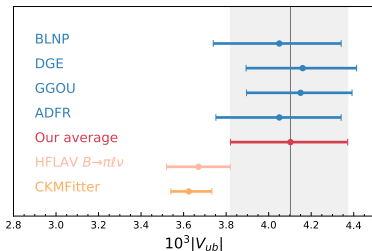




# Recent work: Inclusive $|V_{ub}|$ from Belle data

- Recent work: Inclusive  $|V_{ub}|$  from Belle data

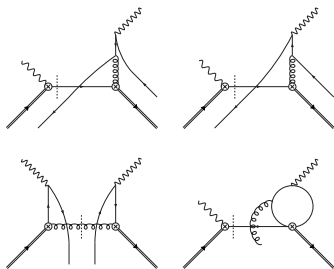
[L. Cao *et al.* [Belle], PRD **104**, 012008 (2021)]



- State of the art: theoretical framework developed before 2010
- Can the theoretical prediction be improved?
  - Yes, many NNLO calculations are known:
  - $H, J$  at  $\mathcal{O}(\alpha_s^2)$ ,  $j_i/m_b$  at  $\mathcal{O}(\alpha_s)$ , resolved photon contributions
  - Not fully combined yet  
[Gunawardana, Lange, Mannel, Olschewsky, Vos, GP, *to appear*]
- Will it lead to smaller error bars? Not necessarily

$$\bar{B} \rightarrow X_s \gamma$$

- $\bar{B} \rightarrow X_s \gamma$  BSM probe. PDG 2021:  $\text{Br} = (3.49 \pm 0.19) \cdot 10^{-4}$
- 2015 SM prediction of branching ratio  $(3.36 \pm 0.23) \cdot 10^{-4}$   
[M. Misiak *et al.*, PRL **114**, 221801 (2015)]
- Largest uncertainty  $\sim 5\%$  is non-perturbative from “resolved photons”  
At  $\Lambda_{\text{QCD}}/m_b$  [Benzke, Lee, Neubert, GP JHEP **1008**, 099 (2010)]:



Top line  $Q_{7\gamma} - Q_{8g}$ , Bottom left:  $Q_{8g} - Q_{8g}$ , Bottom right:  $Q_1 - Q_{7\gamma}$

- SM CP asymmetry dominated by  $Q_1^q - Q_{7\gamma}$ :  $-0.6\% < \mathcal{A}_{X_s \gamma}^{\text{SM}} < 2.8\%$   
[Benzke, Lee, Neubert, GP PRL **106**, 141801 (2011)]
- PDG 2021:  $\mathcal{A}_{X_s \gamma} = 1.5\% \pm 1.1\%$ . Can we improve this?

$$\bar{B} \rightarrow X_s \gamma$$

- At  $\Lambda_{\text{QCD}}/m_b$ : resolved photons from  $Q_{7\gamma} - Q_{8g}$ ,  $Q_{8g} - Q_{8g}$ ,  $Q_1 - Q_{7\gamma}$
- $Q_{7\gamma} - Q_{8g}$  constrained by isospin asymmetry  $\bar{B}^{0/\pm} \rightarrow X_s \gamma$   
uncertainty reduced by a Belle measurement

[Watanuki *et al.* [Belle Collaboration] PRD **99**, 032012 (2019)]

- $Q_{8g} - Q_{8g}$  is hard to improve
- $Q_1 - Q_{7\gamma}$  depends on a non-perturbative function  $g_{17}(\omega, \omega_1)$   
whose moments can be extracted from  $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$  OPE

- 2010 analysis only had 2 non-zero moments

[Benzke, Lee, Neubert, GP, JHEP **1008**, 099 (2010)]

$$\langle \omega^0 \omega_1^0 g_{17} \rangle = 0.237 \pm 0.040 \text{ GeV}^2, \quad \langle \omega^1 \omega_1^0 g_{17} \rangle = 0.056 \pm 0.032 \text{ GeV}^3$$

- 2019 analysis added 6 non-zero moments

[Gunawardna, GP JHEP **11** 141 (2019)]

$$\langle \omega^0 \omega_1^2 g_{17} \rangle = 0.15 \pm 0.12 \text{ GeV}^4, \quad \langle \omega^2 \omega_1^0 g_{17} \rangle = 0.015 \pm 0.021 \text{ GeV}^4$$

$$\langle \omega^3 \omega_1^0 g_{17} \rangle = 0.008 \pm 0.011 \text{ GeV}^5, \quad \langle \omega^1 \omega_1^1 g_{17} \rangle = 0.073 \pm 0.059 \text{ GeV}^4$$

$$\langle \omega^2 \omega_1^1 g_{17} \rangle = -0.034 \pm 0.016 \text{ GeV}^5, \quad \langle \omega^1 \omega_1^2 g_{17} \rangle = 0.027 \pm 0.014 \text{ GeV}^5.$$

Data from [Gambino, Healey, Turczyk PLB **763**, 60 (2016)]

$$\bar{B} \rightarrow X_s \gamma$$

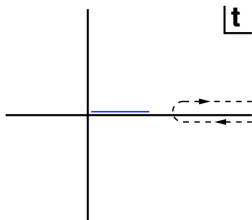
- Using moments model  $Q_1 - Q_{7\gamma}$  resolved photon
- New estimate of uncertainty: Total rate  $\downarrow$  50%, CP asymmetry  $\uparrow$  33%  
[Gunawardna, GP JHEP **11** 141 (2019)]  
See Ayesha Gunawardna Pheno 2019 talk
- 2015 SM prediction of branching ratio  $(3.36 \pm 0.23) \cdot 10^{-4}$   
[M. Misiak *et al.*, PRL **114**, 221801 (2015)]
- 2020 SM prediction of branching ratio  $(3.40 \pm 0.17) \cdot 10^{-4}$   
[Misiak, Rehman, Steinhauser, JHEP **06**, 175 (2020)]
- Using different models, including *some*  $\Lambda_{\text{QCD}}^2/m_b^2$  corrections and larger  $m_c$  range, a smaller reduction was found in  
[Benzke, Hurth PRD **102** 114024 (2020)]
- Can the theoretical prediction be improved?  
Yes,  $m_c$  can be better controlled by an NLO analysis of  $Q_1 - Q_{7\gamma}$
- Will it lead to smaller error bars? Not necessarily

## $|V_{cb}|$ and $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$ , $R(D^{(*)})$ : Form factors

- For exclusive decays, e.g.,  $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$ , we need form factors

$$\langle D(p_f) | \bar{c} \gamma^\mu b | \bar{B}(p_i) \rangle = f_+(q^2)(p_i + p_f)^\mu + f_-(q^2)(p_i - p_f)^\mu$$

- Unknown functional form, but known analytic structure in  $t = q^2$ :



[Richard J. Hill, FPCP 2006 proceedings (hep-ph/0606023)]

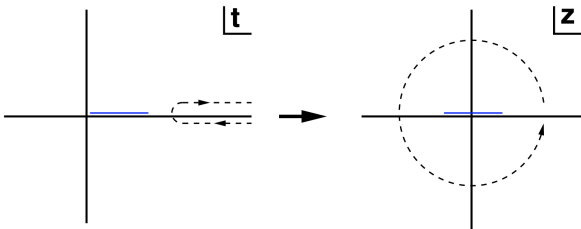
- For  $H, L$  mesons:
    - $H \rightarrow L$  semileptonic data:  $0 \leq t \leq (m_H - m_L)^2$  (blue line)
    - Singularity starts at  $\bar{H}L$  threshold  $t = (m_H + m_L)^2$  (dashed curves)
- Outside the cut the form factor is analytic

# $|V_{cb}|$ and $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$ , $R(D^{(*)})$ : $z$ expansion

- Form factor analytic outside a cut  $t \in [t_{\text{cut}}, \infty]$
- $z$  expansion: map domain of analyticity onto unit circle

$$z(t, t_{\text{cut}}, t_0) = \frac{\sqrt{t_{\text{cut}} - t} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - t} + \sqrt{t_{\text{cut}} - t_0}}$$

where  $z(t_0, t_{\text{cut}}, t_0) = 0$

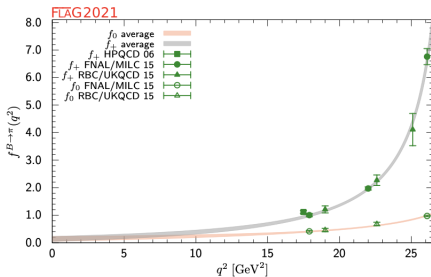
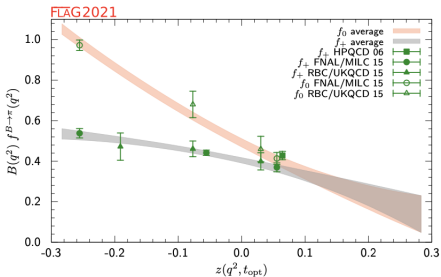


- Expand form factor in a Taylor series in  $z$ :  $f(q^2) = \sum_{k=0}^{\infty} a_k [z(q^2)]^k$

# $|V_{cb}|$ and $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$ , $R(D^{(*)})$ : $z$ expansion

- $z$  expansion: map domain of analyticity onto unit circle

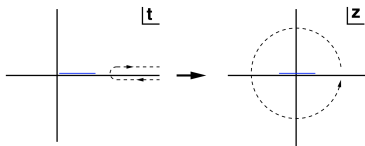
$$z(t, t_{\text{cut}}, t_0) = \frac{\sqrt{t_{\text{cut}} - t} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} - t} + \sqrt{t_{\text{cut}} - t_0}}$$



[Y. Aoki *et al.*, [FLAG Review 2021], arXiv:2111.09849 (hep-lat)]

- Data in  $z$  has less “structure”: can extract only few coefficients

# $|V_{cb}|$ and $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$ , $R(D^{(*)})$ : Unitarity bound



- Expand form factor in a Taylor series in  $z$ :  $f(q^2) = \sum_{k=0}^{\infty} a_k [z(q^2)]^k$
- For  $H, L$  mesons:
  - $H \rightarrow L$  semileptonic data:  $0 \leq t \leq (m_H - m_L)^2$  (blue line)
  - Singularity starts at  $\bar{H}L$  threshold  $t = (m_H + m_L)^2$  (dashed curves)
  - Crossing symmetry and unitarity: meson unitarity bound  $\sum_{k=0}^{\infty} |a_k|^2 \leq 1$ , e.g. [Boyd, Grinstein, Lebed, NPB **461**, 493 (1996)]
- Bounds ensure form factor extraction is model-independent
- For  $H, L$  baryons:
  - $H \rightarrow L$  semileptonic data:  $0 \leq t \leq (m_H - m_L)^2$  (blue line)
  - Singularity starts at meson threshold, no unitarity bound [Hill, GP PRD **82**, 113005 (2010)]



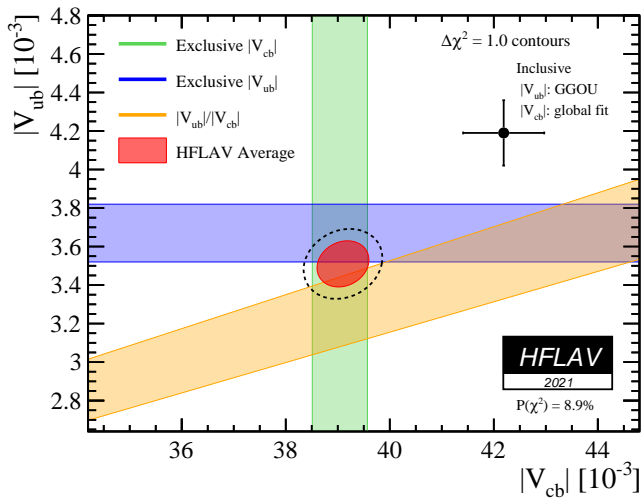
## $|V_{cb}|$ and $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$ , $R(D^{(*)})$ : Unitarity bound

- State of the art: unitarity bounds not imposed for Lattice extraction of  $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell$  form factors, e.g. [A. Bazavov *et al.* [Fermilab Lattice and MILC], arXiv:2105.14019 (hep-lat)]

	Lattice QCD	Lattice + BaBar	Lattice + Belle	Lattice + both
$a_0$	0.0330(12)	0.0331(12)	0.0325(10)	0.0320(10)
$a_1$	-0.155(55)	-0.089(40)	-0.160(44)	-0.148(31)
$a_2$	-0.12(98)	-0.16(21)	-0.70(94)	-0.60(22)
$b_0$	0.01229(23)	0.01229(22)	0.01238(22)	0.01246(22)
$b_1$	-0.003(12)	0.0123(69)	0.015(10)	0.0038(46)
$b_2$	0.07(53)	0.36(17)	-0.30(24)	0.02(12)
$c_1$	-0.0058(25)	-0.0008(11)	0.0010(17)	0.00008(94)
$c_2$	-0.013(91)	0.054(46)	0.035(57)	0.080(36)
$c_3$		-0.12(83)	-0.34(76)	-1.11(56)
$d_0$	0.0509(15)	0.0516(15)	0.0521(15)	0.0526(14)
$d_1$	-0.327(67)	-0.197(50)	-0.179(49)	-0.194(43)
$d_2$	-0.03(96)	0.19(92)	-0.01(90)	-0.004(898)
$\chi^2/\text{dof}$	0.64/3	9.28/5	111/81	126/84
$\sum_i^N a_i^2$	0.04(24)	0.035(71)	0.5(1.3)	0.39(27)
$\sum_i^N (b_i^2 + c_i^2)$	0.005(70)	0.15(18)	0.21(48)	1.2(1.3)
$\sum_i^N d_i^2$	0.110(61)	0.08(35)	0.035(25)	0.040(15)
$ V_{cb}  \times 10^3$		39.66(91)	38.18(82)	38.40(74)

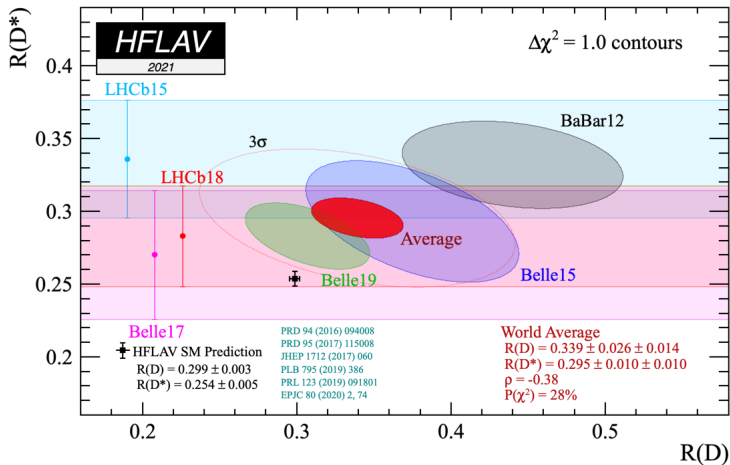
- Unitarity bounds not imposed but respected within errors
- “Unitary-required priors” on coefficients are used
- Imposing a unitarity bound does not change  $|V_{cb}|$  and  $R(D^{(*)})$

# $|V_{ub}|$ & $|V_{cb}|$ state of the art



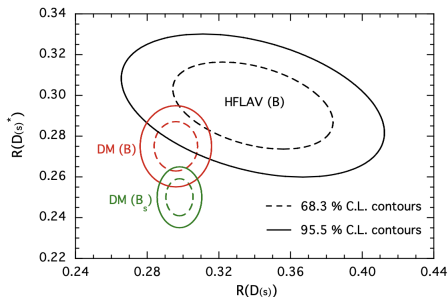
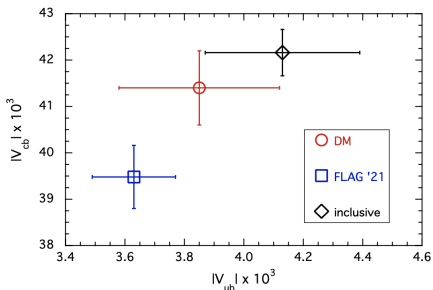
# $R(D)$ and $R(D^*)$ : state of the art

$$R(D^{(*)}) \equiv \frac{\text{Br}(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{\text{Br}(\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell)}, \quad \ell = e, \mu$$



# $|V_{cb}|$ and $R(D^{(*)})$ : Dispersion Matrix approach

- Silvano Simula talk “Challenges in Semileptonic B Decays” Workshop (April 2022, Barolo, Italy) <https://indico.cern.ch/event/851900/>
- Use Dispersion Matrix (DM) approach [Martinelli, Simula and Vittorio, PRD **105**, 034503 (2022), arXiv:2109.15248(hep-ph)], [Martinelli, Naviglio, Simula, Vittorio, arXiv:2204.05925 (hep-ph)]



# Conclusions

# Conclusions

- Flavor physics probes very high scales and advanced theoretical tools
- This decade will be very exciting with, e.g., LHCb and Belle II data
- Puzzles and tensions motivate further theoretical work
- A big challenge is controlling non-perturbative effects
- Discussed “state of the art” of
  - $|V_{cb}|$  and  $\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$
  - $|V_{ub}|$  and  $\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$
  - $\bar{B} \rightarrow X_s \gamma$
  - $|V_{cb}|$  and  $\bar{B} \rightarrow D^{(*)} \ell \bar{\nu}_\ell, R(D^{(*)})$
- Future: improve theory, but not necessarily smaller error bars
- More work to do!

## Precision measurement of $|V_{ub}|$

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In Collaboration with  
Stefan W. Bosch, Björn O. Lange, Matthias Neubert  
([hep-ph/0402094](#), [hep-ph/0403223](#))