

Recent results on FCNC by the ATLAS and the CMS collaborations



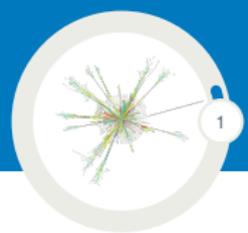
LHC Top Working Group Meeting · December 2nd, 2021

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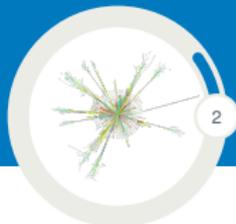
Motivation

Flavour Changing Neutral Currents



- ▶ Flavour Changing Neutral Currents (FCNC) processes, where a fermion changes its flavour via an interaction with a neutral boson, is a great example of a rare interaction in the Standard Model (SM) framework (with a branching ratio of $\sim 10^{-14}$):
 - ▶ Forbidden at tree level and heavily suppressed at loop correction by the GIM mechanism and CKM unitarity constraints
- ▶ Variety of New Physics models lead to FCNC contributions, often at tree level, by introducing new particles or interactions (with expected branching ratios between 10^{-5} and 10^{-10}):
 - ▶ Two-Higgs doublet models (2HDM), warped extra dimensions (RS), Minimal Supersymmetric Standard Model (MSSM), composite Higgs models and quark-singlet models, among others

Great place for indirect evidence / constraint on New Physics!



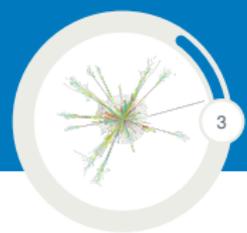
- ▶ Searches for FCNC processes can be performed in a model independent way using an Effective Field Theory (EFT) approach, being the SM Lagrangian extended by higher-dimensions operators

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda_{\text{NP}}^2} \sum_i C_i^{(6)} \mathcal{O}_i^{(6)} + \dots$$

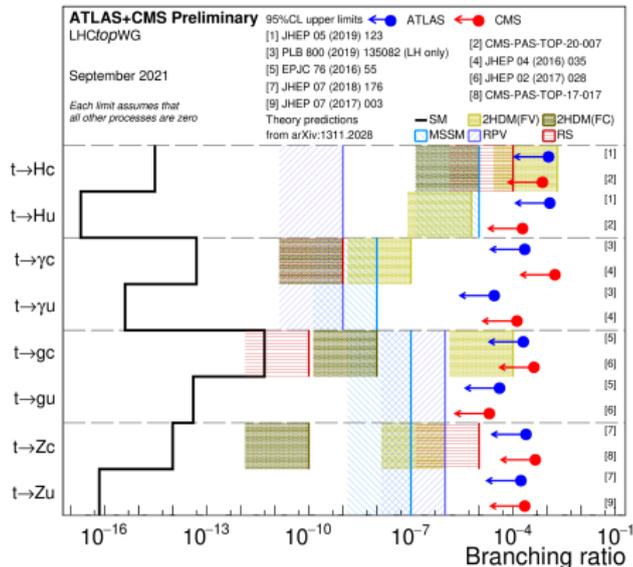
- ▶ New Physics models predict a general enhancement of the FCNC top quark interactions with two possible production modes:
 - ▶ Single production: $t+X$ production with $X=\gamma, g, Z$ and $H \Rightarrow$ Particularly sensitive for tXu coupling due to the Parton Density Functions
 - ▶ Pair production: $t\bar{t}$ production usually assuming a top quark decaying through the SM ($t \rightarrow Wb$) and the other through a FCNC process ($t \rightarrow Xq$) \Rightarrow No dependency of the cross-section with the tXq coupling

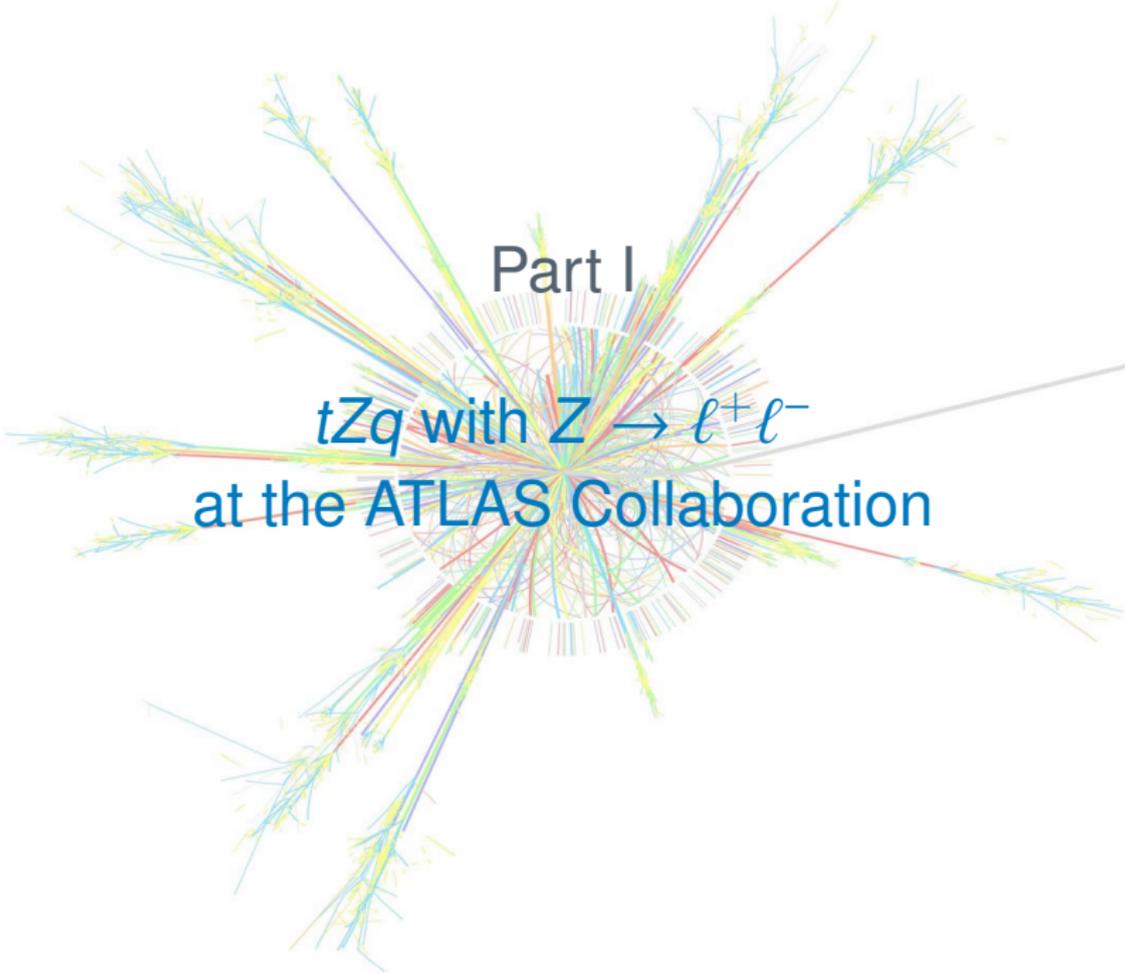
Motivation

Flavour Changing Neutral Currents



- ▶ Experimental limits still several orders of magnitude before SM suppression
- ▶ This talk focuses on the most recent results from the LHC FCNC analyses:
 - tZq with $Z \rightarrow \ell^+ \ell^-$ and tqg with $t \rightarrow \ell \nu b$ from the ATLAS Collaboration
 - tHq with $H \rightarrow \gamma\gamma$ and tHq with $H \rightarrow b\bar{b}$ from the CMS Collaboration



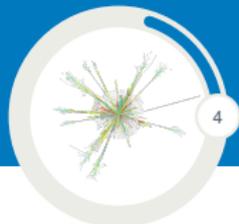


Part I

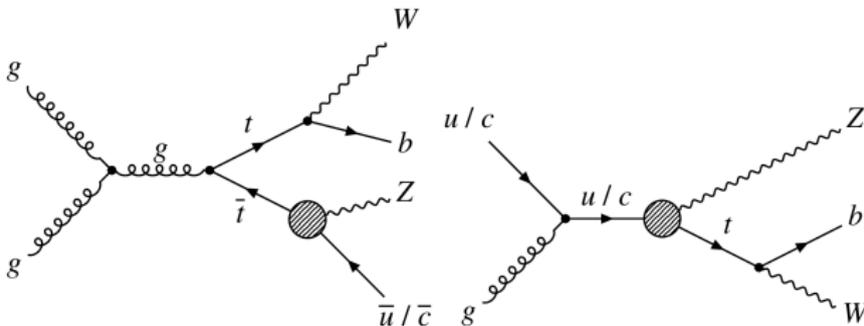
tZq with $Z \rightarrow \ell^+ \ell^-$
at the ATLAS Collaboration

Strategy

FCNC tZq with $Z \rightarrow \ell^+\ell^-$ at ATLAS

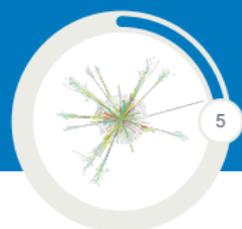


- ▶ Search for FCNC tZq processes combining both production and decay modes using full Run-2 ATLAS data (139 fb^{-1}):
 - ▶ **Trileptonic topology:**
 $\ell^+\ell^- + \ell + b$ -tagged jet + Missing transverse energy
 - ▶ **Main backgrounds:**
 $t\bar{t}Z$, diboson (WZ and ZZ processes) and $t\bar{t}$ production
 - ▶ Analysis split into two dedicated searches considering only tZu and tZc (left- and right-handed) anomalous couplings
 - ▶ Signal generation with TopFCNC UFO model considering the Wilson coefficients C_{uW} and C_{uB}



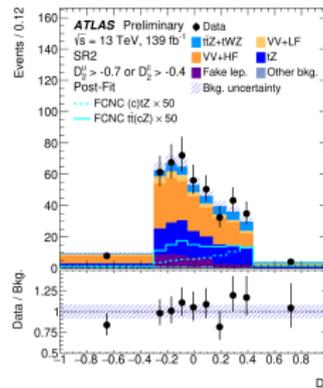
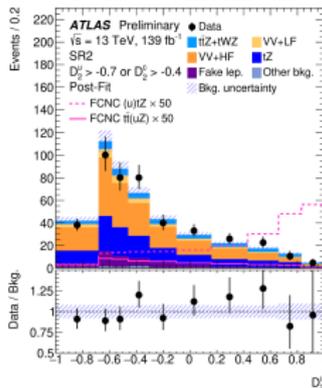
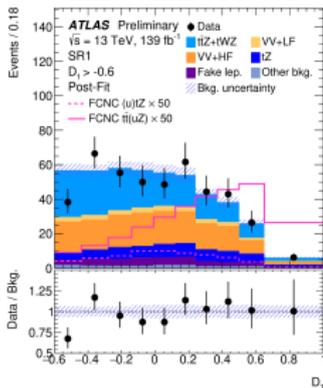
Selection

FCNC tZq with $Z \rightarrow \ell^+ \ell^-$ at ATLAS



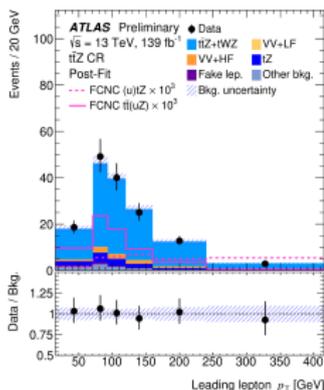
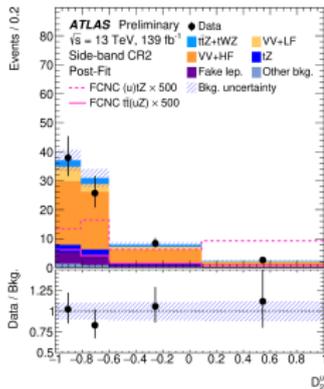
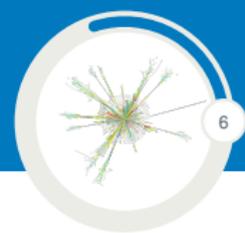
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- ▶ Invariant mass of the SM ($t \rightarrow bW$) and FCNC ($t \rightarrow qZ$) top quarks reconstructed using the χ^2 minimization method
- ▶ Boosted Decision Trees (BDTs) trained to separate signal and background processes with a total of three discriminants focusing on the FCNC $t\bar{t}$ decay (left), FCNC tZu production (center) and FCNC tZc production (right)



Data and Monte Carlo comparison

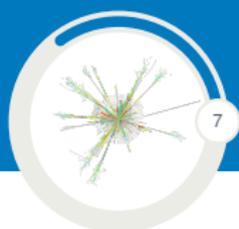
FCNC tZq with $Z \rightarrow \ell^+\ell^-$ at ATLAS



- ▶ Common selection: $p_T(\ell_1) > 27$ GeV, $= 1$ b -jet and $|m_{\ell\ell} - m_Z| < 15$ GeV
- ▶ Two signal regions defined targeting the distinct production modes:
 - ▶ SR1 - $t\bar{t}$ decay: ≥ 2 jets and $|m_{j_{all}}^{reco} - m_t| < 2\sigma_{FCNC}$
 - ▶ SR2 - single-top production: $= 1, 2$ jets, $m_T(\ell_W, \nu) > 40$ GeV, $|m_{j_{all}}^{reco} - m_t| > 2\sigma_{FCNC}$ and $|m_{j_b\ell_W\nu}^{reco} - m_t| > 2\sigma_{SM}$
- ▶ A total of four control regions focused on the main backgrounds:
 - ▶ Side-Band CR1: $|m_{j_{all}}^{reco} - m_t| > 2\sigma_{FCNC}$ and $|m_{j_b\ell_W\nu}^{reco} - m_t| > 2\sigma_{SM}$
 - ▶ Side-Band CR2: $|m_{j_b\ell_W\nu}^{reco} - m_t| > 2\sigma_{SM}$
 - ▶ $t\bar{t}Z$ CR: ≥ 4 jets and $= 2$ b -jets
 - ▶ $t\bar{t}$ CR: No OSSF pair of leptons and ≥ 1 jet

Limits

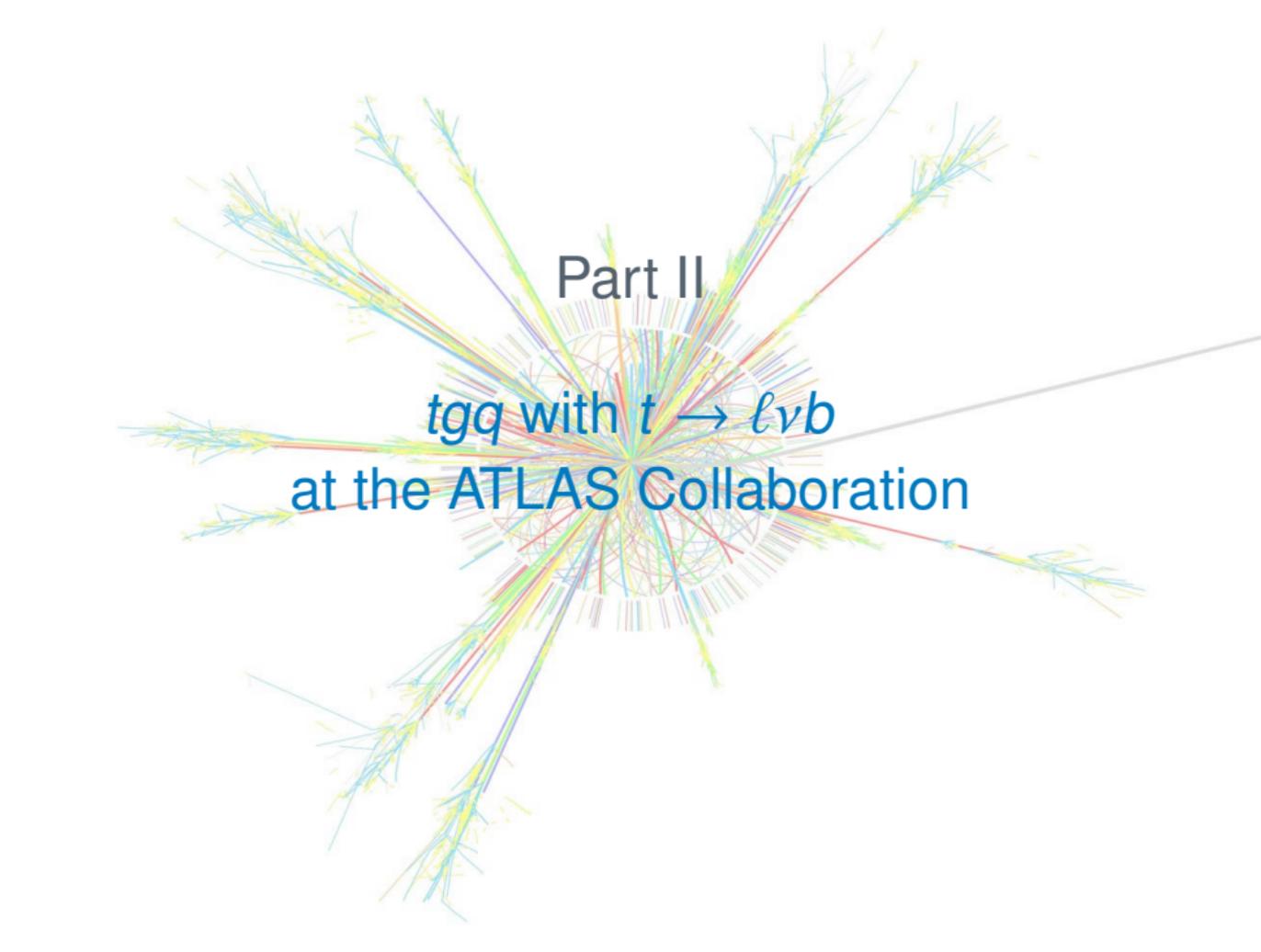
FCNC tZq with $Z \rightarrow \ell^+ \ell^-$ at ATLAS



- ▶ No evidence of a signal is found \Rightarrow Limits on the $t \rightarrow Zq$ branching ratios at 95% CL were obtained for tZu and tZc vertices, being converted to limits on the Wilson coefficients
- ▶ Most stringent limits up to date for the tZq couplings with an improvement by a factor of 3 (2) for the tZu (tZc) couplings

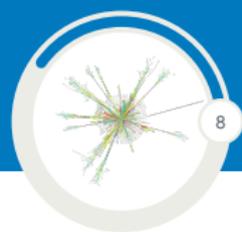
Observable	Vertex	Coupling	Observed	Expected
$\mathcal{B}(t \rightarrow Zq) [10^{-5}]$	tZu	LH	6.2	$4.9^{+2.1}_{-1.4}$
$\mathcal{B}(t \rightarrow Zq) [10^{-5}]$	tZu	RH	6.6	$5.1^{+2.1}_{-1.4}$
$\mathcal{B}(t \rightarrow Zq) [10^{-5}]$	tZc	LH	13	11^{+5}_{-3}
$\mathcal{B}(t \rightarrow Zq) [10^{-5}]$	tZc	RH	12	10^{+4}_{-3}
$ C_{uW}^{(13)*} $ and $ C_{uB}^{(13)*} $	tZu	LH	0.15	$0.13^{+0.03}_{-0.02}$
$ C_{uW}^{(31)} $ and $ C_{uB}^{(31)} $	tZu	RH	0.16	$0.14^{+0.03}_{-0.02}$
$ C_{uW}^{(23)*} $ and $ C_{uB}^{(23)*} $	tZc	LH	0.22	$0.20^{+0.04}_{-0.03}$
$ C_{uW}^{(32)} $ and $ C_{uB}^{(32)} $	tZc	RH	0.21	$0.19^{+0.04}_{-0.03}$

More details in: [ATLAS-CONF-2021-049](#)

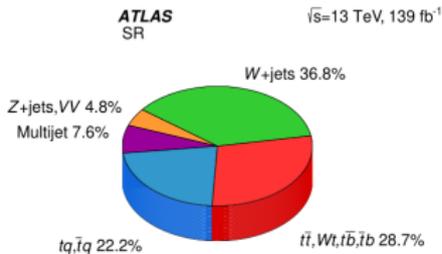
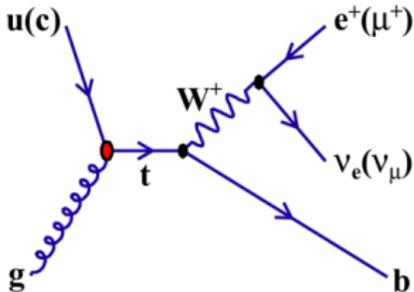


Part II

tgq with $t \rightarrow \ell \nu b$
at the ATLAS Collaboration

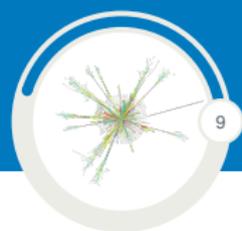


- ▶ Search for FCNC tgq processes with single-top production using full Run-2 ATLAS data (139 fb^{-1}):
 - ▶ **Targeted topology:** $\ell + b$ -tagged jet + Missing transverse energy
 - ▶ **Main backgrounds:** $W + b\bar{b}$, t -channel single-top and $t\bar{t}$ production
 - ▶ Signal generation with METOP UFO model at next-to-leading order in QCD considering a left-handed tgq coupling



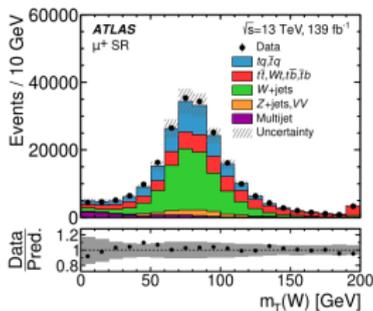
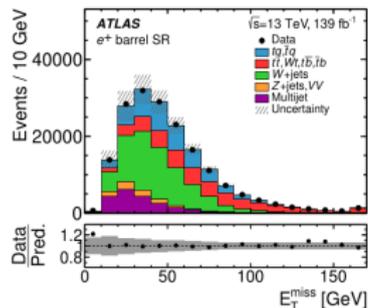
Selection

FCNC tq with $t \rightarrow \ell\nu b$ at ATLAS



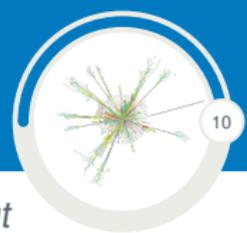
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- ▶ Common requirements on the number of leptons (with different isolation), E_T^{miss} (> 30 GeV), $m_T(W)$ (> 50 GeV), jet multiplicity and lepton p_T
- ▶ Signal region with a b -tagged jet at the dedicated 30% working point efficiency
- ▶ Multijet determined in a data-driven way by fitting E_T^{miss} and $m_T(W)$
- ▶ Neural Networks (NNs) used to construct two discriminants:
 - ▶ D_1 targeting FCNC cgt : events with a sea quark or antiquark in the initial state
 - ▶ D_2 focusing on FCNC ugt : excluding charge-conjugate process of top-antiquark production

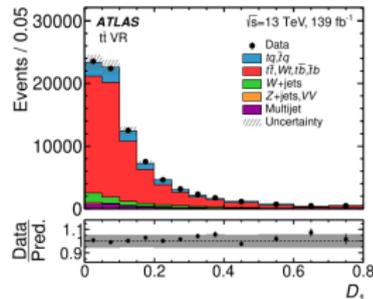
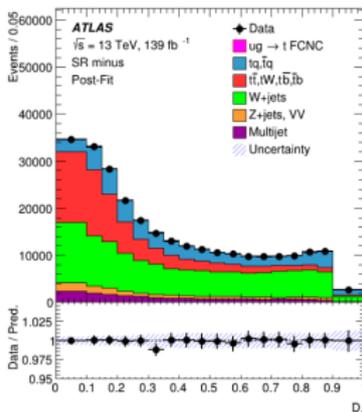
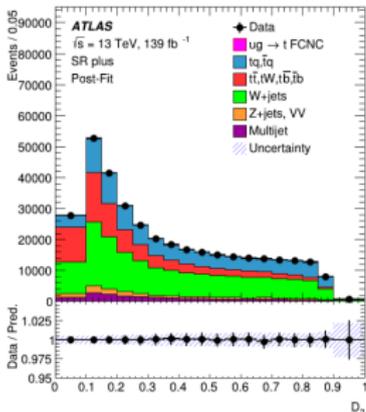


Data and Monte Carlo comparison

FCNC tq with $t \rightarrow \ell\nu b$ at ATLAS

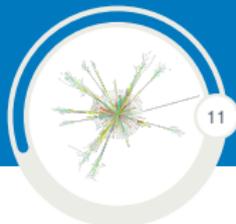


- ▶ Signal region for cgt uses only D_1 discriminant while the ugt analysis considers both discriminants (with two signal regions depending on the lepton charge):
 - ▶ D_1 in ℓ^- channel for top-antiquark production ($\bar{u} + g \rightarrow \bar{t}$)
 - ▶ D_2 in ℓ^+ channel aimed at direct top-quark production ($u + g \rightarrow t$)
- ▶ Three validation regions defined for the main backgrounds:
 - ▶ W +jets (= 1 b -jet at 60% WP and $0.3 < D_{1(2)} < 0.6$), $t\bar{t}$ (= 2 b -jets at 30% WP) and tq production (= 1 b -jet at 30% WP and $0.2 < D_{1(2)} < 0.4$)



Limits

FCNC tq with $t \rightarrow \ell\nu b$ at ATLAS

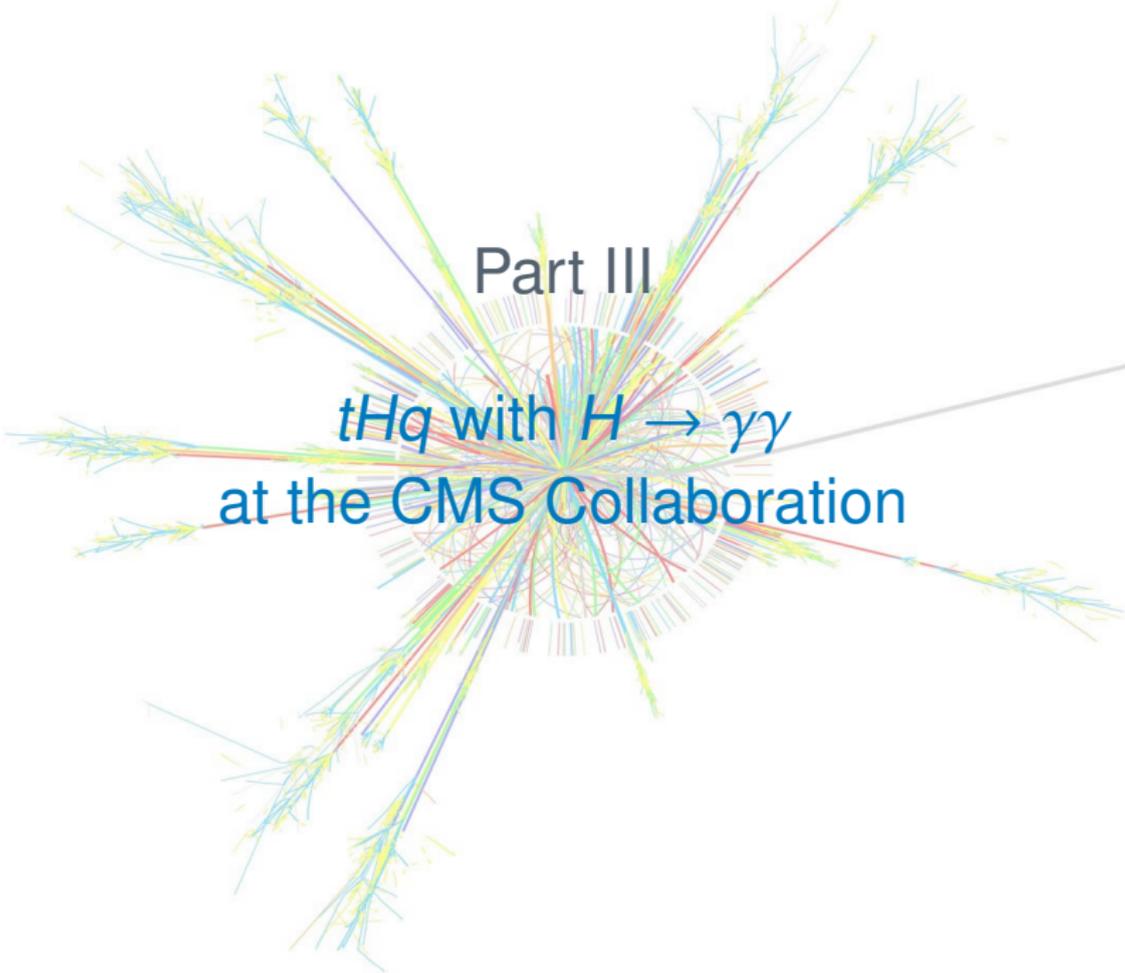


- ▶ Binned maximum-likelihood fit performed separately to ugt and cgt FCNC processes
- ▶ Leading systematic uncertainties related to the W +jets process for the ugt fit and the modelling of the parton shower for the cgt fit
- ▶ Observed (expected) limits for FCNC tq (improved by a factor of 2) with $\mathcal{B}(t \rightarrow gu)$ of 0.61 (0.49) $\times 10^{-4}$ and $\mathcal{B}(t \rightarrow gc)$ of 3.7 (2.0) $\times 10^{-4}$ and interpreted in terms of EFT coefficients C_{uG}^{qt} :

$$\mathcal{B}(t \rightarrow q + g) = 0.0186 \times \left(\frac{C_{uG}^{qt}}{\Lambda^2} \right)^2 \text{ TeV}^4 \text{ resulting in}$$

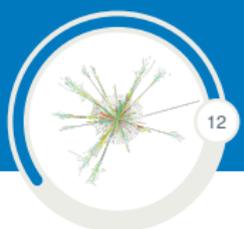
$$\frac{|C_{uG}^{ut}|}{\Lambda^2} < 0.057 \text{ TeV}^{-2} \text{ and } \frac{|C_{uG}^{ct}|}{\Lambda^2} < 0.14 \text{ TeV}^{-2} \text{ at the 95\% CL}$$

More details available soon!

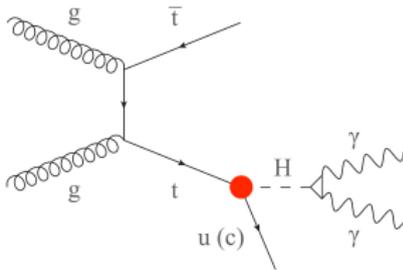
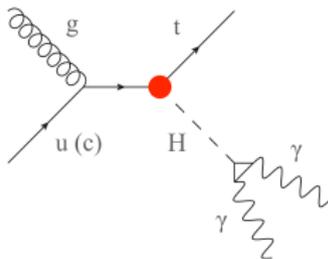


Part III

tHq with $H \rightarrow \gamma\gamma$
at the CMS Collaboration

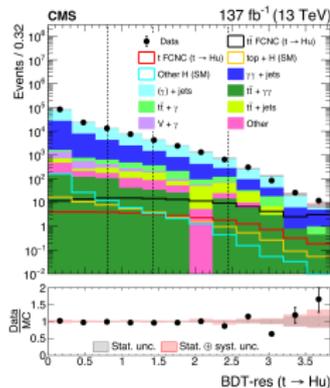
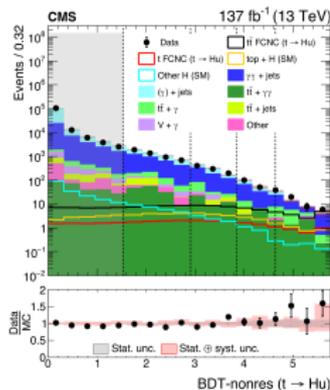
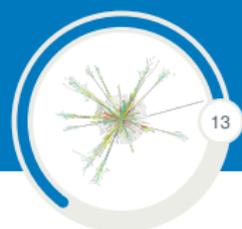


- ▶ Analysis focusing on the tHq anomalous coupling with the Higgs decaying to two photons with the full Run-2 CMS data (137 fb^{-1}):
 - ▶ Both production and decay modes considered
 - ▶ **Two orthogonal topologies:** $\gamma\gamma + (\geq 1 \ell + \geq 1 \text{ jet})$ or $(0 \ell + \geq 3 \text{ jets})$
 - ▶ **Main backgrounds:** $t\bar{t} + \gamma(\gamma)$, $t\bar{t} + \text{jets}$, $\gamma\gamma + \text{jets}$, $\gamma + \text{jets}$ and $t\bar{t}H$
 - ▶ Signal generation through the implementation of an effective Lagrangian and assuming a left-handed coupling parameter κ_{Hqt}



Selection

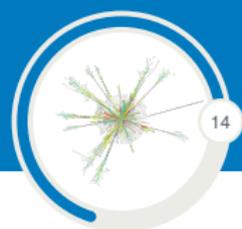
FCNC tHq with $H \rightarrow \gamma\gamma$ at CMS



- ▶ Common selection requiring two high p_T isolated photons (with $100 < m_{\gamma\gamma} < 180$ GeV and $p_T(\gamma)/m_{\gamma\gamma} > 1/3$ and $1/4$) and additional jets/leptons before splitting into different channels
- ▶ Separate BDTs for each coupling (tH_u, tH_c), each channel (hadronic or leptonic) and for each type of background (resonant and non-resonant SM Higgs background) with 8 BDTs in total
 - ▶ Input variables include the kinematic features of photons, diphoton system, jets and leptons
 - ▶ Variety of top quark reconstruction methods, including NN for top reconstruction: 20-30% improvement in expected limits

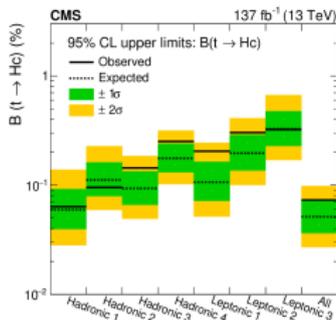
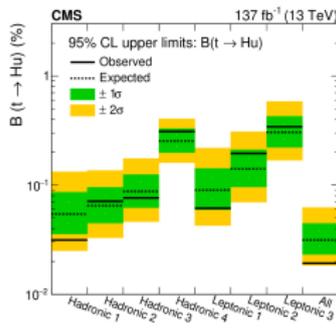
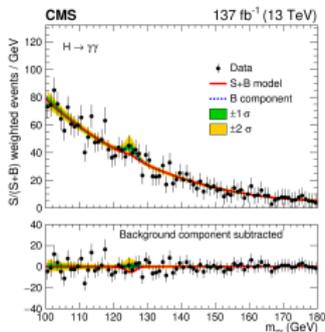
Limits

FCNC tHq with $H \rightarrow \gamma\gamma$ at CMS

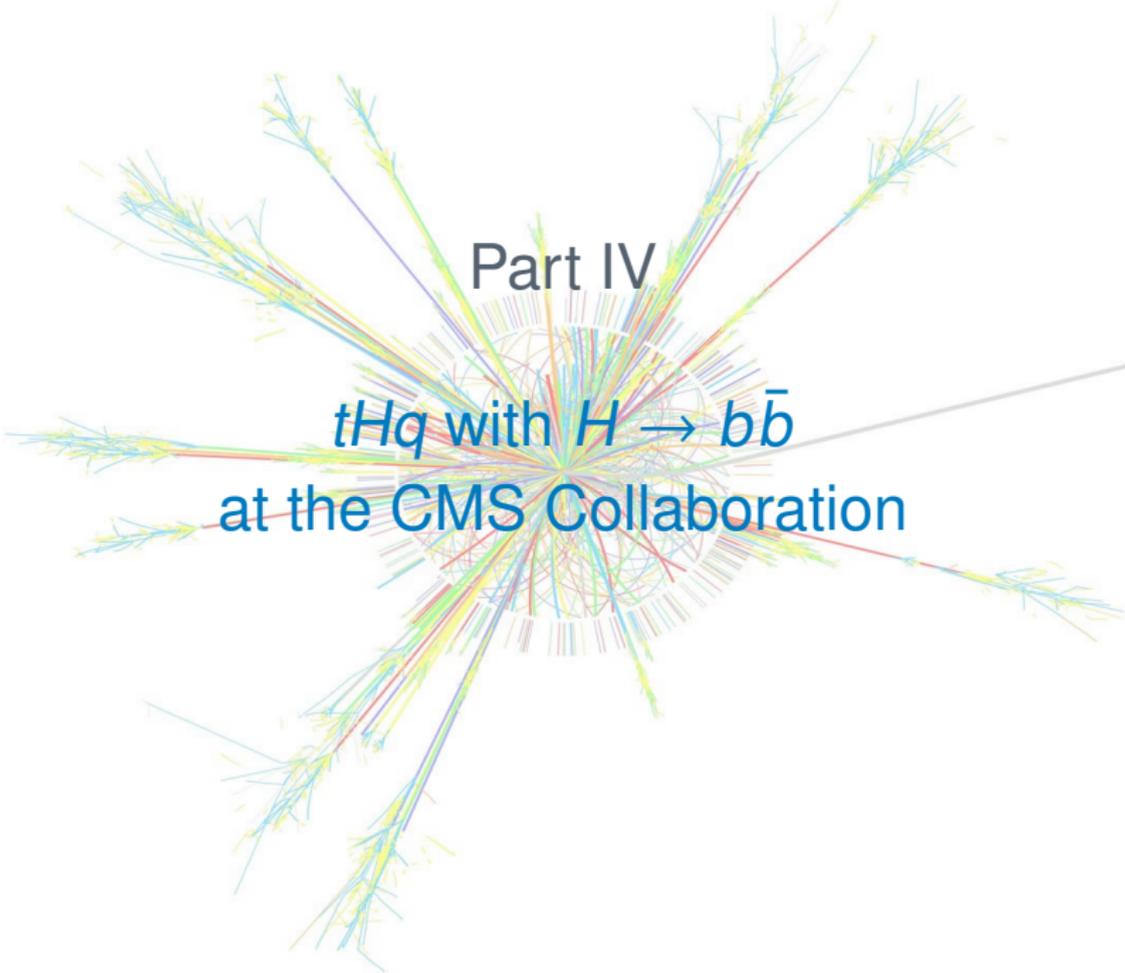


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- ▶ Simultaneous fit of the diphoton invariant mass spectrum performed in all 7 signal regions
 - ▶ Non-resonant background model derived from data
 - ▶ Resonant (SM Higgs) background processes modeled from simulation
 - ▶ Dominant experimental uncertainties coming from the b -jet and photon identification (impact of $\leq 5\%$)
- ▶ Most stringent observed (expected) limits up to date:
 $\mathcal{B}(t \rightarrow Hu)$ of 1.9 (3.1) $\times 10^{-4}$ and $\mathcal{B}(t \rightarrow Hc)$ of 7.3 (5.1) $\times 10^{-4}$ and $|\kappa_{Hut}|$ with 0.037 (0.047) and $|\kappa_{Hct}|$ with 0.071 (0.060)



More details in: [TOP-20-007](#)

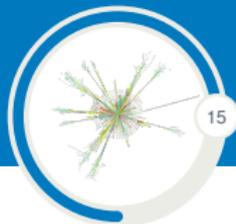


Part IV

tHq with $H \rightarrow b\bar{b}$
at the CMS Collaboration

Strategy

FCNC tHq with $H \rightarrow b\bar{b}$ at CMS

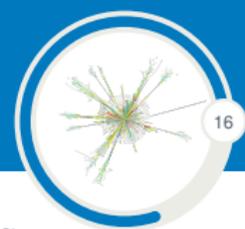


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- ▶ Search for the FCNC tHq with $H \rightarrow b\bar{b}$ using the full Run-2 CMS data (137 fb^{-1})
 - ▶ Both production and decay modes considered
 - ▶ **Topology:** $\ell + \geq 3$ jets with ≥ 2 b -jets
 - ▶ **Main backgrounds:** $t\bar{t}$, $t\bar{t} + b\bar{b}$ and $t\bar{t} + c\bar{c}$ production
 - ▶ Signal generation using the same approach as the $H \rightarrow \gamma\gamma$ search with a coupling parameter κ_{Hqt} and assuming a left-handed anomalous coupling
- ▶ A total of five categories of events based on jet and b -jet multiplicity
 - ▶ Exactly 3 jets: 2 or 3 b -jets
 - ▶ At least 4 jets: 2, 3 or 4 b -jets

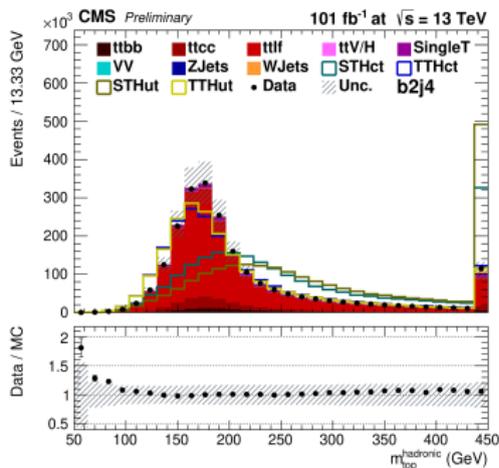
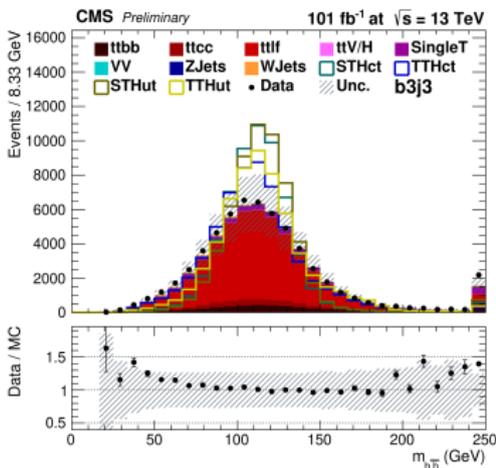
Selection

FCNC tHq with $H \rightarrow b\bar{b}$ at CMS



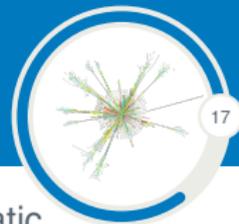
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- ▶ Deep Neural Networks (DNN) used to assign jets as coming from the top quark or the Higgs decay:
 - ▶ Performance measured through reconstruction efficiency on signal events: fraction of events where all jets are correctly assigned
 - ▶ Ranges from 77% ($t\bar{t}$, tHc) to 86% (single-top, tHu) - a 5-15% improvement relative to kinematic fit method used in previous analysis



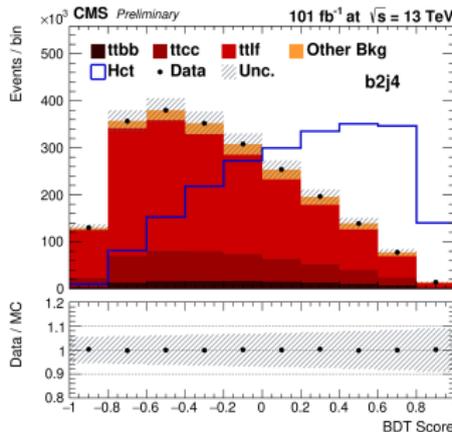
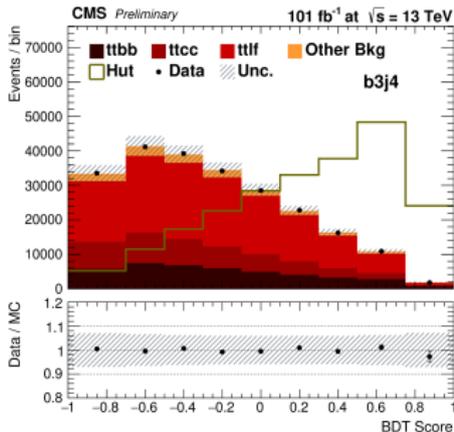
Data and Monte Carlo comparison

FCNC tHq with $H \rightarrow b\bar{b}$ at CMS



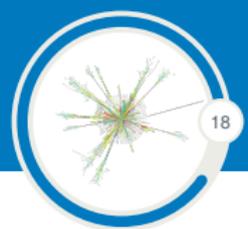
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- ▶ Outputs from the DNN reconstruction among others kinematic variables are then fed to a BDT trained to distinguish signal from background
 - ▶ Signal: single-top and $t\bar{t}$ production FCNC events
 - ▶ Background: SM $t\bar{t}$ events
- ▶ BDT output distributions are then fitted to data using a binned maximum likelihood fit

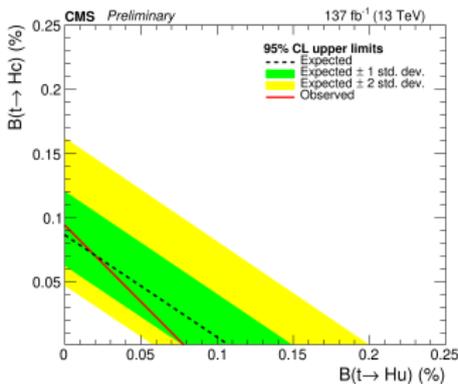
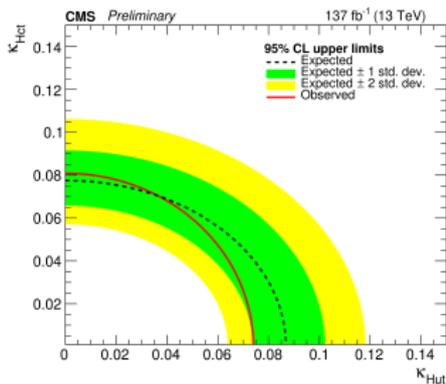


Limits

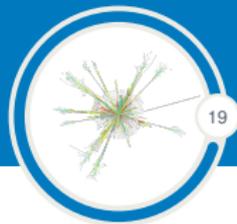
FCNC tHq with $H \rightarrow b\bar{b}$ at CMS



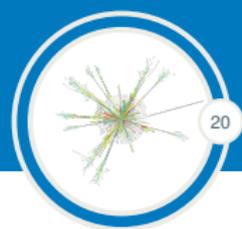
- ▶ Largest systematic uncertainty coming from the b -tagging identification (ranges from 12 to 25%) followed by the scale variation (from 10 to 17%)
- ▶ Observed (expected) limits on $\mathcal{B}(t \rightarrow Hu)$ of 7.9 (11.0) $\times 10^{-4}$ and $\mathcal{B}(t \rightarrow Hc)$ of 9.4 (8.6) $\times 10^{-4}$ and on $|\kappa_{Hut}|$ with 0.074 (0.087) and $|\kappa_{Hct}|$ with 0.081 (0.078) \Rightarrow Improved by a factor of 3-6 compared to the previous results



More details in: [CMS-PAS-TOP-19-002](#)



- ▶ Top quark decays via FCNC presents a **powerful probe of New Physics** with many interesting signal topologies
- ▶ First series of results profiting from the **full Run-2 dataset** presents the most stringent limits up to date
 - ▶ Distinct signal processes targeted simultaneously with the inclusion of both **production and decay modes**
 - ▶ **Machine learning** techniques widely implemented in the current analyses, mostly for the correct reconstruction of the final objects
 - ▶ Interpretation in terms of **Effective Field Theory** complementing the limits on the branching ratio and cross-section
- ▶ **Future analyses** could benefit from a common approach on the **signal generation** while bringing an improvement on the results through a **combination** of the different decays of the neutral boson and the top quark



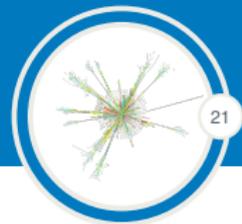
Coupling	tZq	tgq	tHq	tHq
Boson decay	$Z \rightarrow \ell\ell$	$t \rightarrow \ell\nu b$	$H \rightarrow \gamma\gamma$	$H \rightarrow b\bar{b}$
Topology	$\ell^+\ell^-\ell + b + E_T^{miss}$	$\ell + b + E_T^{miss}$	$\gamma\gamma + (\geq 1\ell + \geq 1j)$ or $(0\ell + \geq 3j)$	$\ell + \geq 3j + \geq 2b$
Techniques	BDT	NN	BDT and NN	BDT and NN
Signal uncertainties	μ_t, μ_r , PS	μ_t, μ_r , PS, Matching	μ_t, μ_r , LO/NLO	μ_t, μ_r
$\mathcal{B}(t \rightarrow Xu)$	6.2×10^{-5}	0.61×10^{-4}	1.9×10^{-4}	7.9×10^{-4}
$\mathcal{B}(t \rightarrow Xc)$	13×10^{-5}	3.7×10^{-4}	7.3×10^{-4}	9.4×10^{-4}
EFT interpretation	C_{uW} and C_{uB}	C_{uG}^{qt}	K_{Hct}	K_{Hct}



Thanks for the attention!

Top quark reconstruction

FCNC tZq with $Z \rightarrow \ell^+ \ell^-$ at ATLAS

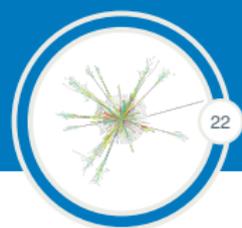


$$\chi_{t\bar{t}}^2 = \frac{(m_{ja\ell\ell}^{\text{reco}} - m_{t\text{FCNC}})^2}{\sigma_{\text{FCNC}}^2} + \frac{(m_{jb\ell W\nu}^{\text{reco}} - m_{t\text{SM}})^2}{\sigma_{\text{SM}}^2} + \frac{(m_{\ell W\nu}^{\text{reco}} - m_W)^2}{\sigma_W^2}$$

	FCNC top quark		SM top quark		W boson	
	m_{FCNC} [GeV]	σ_{FCNC} [GeV]	m_{SM} [GeV]	σ_{SM} [GeV]	m_W [GeV]	σ_W [GeV]
FCNC in $t\bar{t}$ decay (LH)	171.0	11.1	166.5	23.2	80.5	15.4

Event Selection

FCNC tZq with $Z \rightarrow \ell^+ \ell^-$ at ATLAS

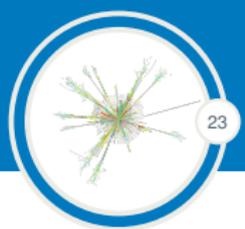


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Common selections			
Exactly 3 leptons with $p_T(\ell_1) > 27$ GeV			
≥ 1 OSSF pair, with $ m_{\ell\ell} - m_Z < 15$ GeV			
SR1	SR2		
≥ 2 jets	1 jet	2 jets	
1 b -jet	1 b -jet	1 b -jet	
-	$m_T(\ell_W, \nu) > 40$ GeV	$m_T(\ell_W, \nu) > 40$ GeV	
$ m_{j_a^{\text{reco}}^{\ell\ell}} - m_t < 2\sigma_{t_{\text{FCNC}}}$	-	$ m_{j_a^{\text{reco}}^{\ell\ell}} - m_t > 2\sigma_{t_{\text{FCNC}}}$	
-	$ m_{j_b^{\text{reco}}^{\ell_W\nu}} - m_t < 2\sigma_{t_{\text{SM}}}$	$ m_{j_b^{\text{reco}}^{\ell_W\nu}} - m_t < 2\sigma_{t_{\text{SM}}}$	
Common selections			
Exactly 3 leptons with $p_T(\ell_1) > 27$ GeV			
$\bar{t}\bar{t}$ CR	$\bar{t}\bar{t}Z$ CR	Side-band CR1	Side-band CR2
≥ 1 OS pair, no OSSF	≥ 1 OSSF pair with $ m_{\ell\ell} - m_Z < 15$ GeV	≥ 1 OSSF pair with $ m_{\ell\ell} - m_Z < 15$ GeV	≥ 1 OSSF pair with $ m_{\ell\ell} - m_Z < 15$ GeV $m_T(\ell_W, \nu) > 40$ GeV
-	-	-	$m_T(\ell_W, \nu) > 40$ GeV
≥ 1 jet	≥ 4 jets	≥ 2 jets	1 jet
1 b -jet	2 b -jets	1 b -jet	1 b -jet
-	-	$ m_{j_a^{\text{reco}}^{\ell\ell}} - m_t > 2\sigma_{t_{\text{FCNC}}}$	-
-	-	$ m_{j_b^{\text{reco}}^{\ell_W\nu}} - m_t > 2\sigma_{t_{\text{SM}}}$	$ m_{j_b^{\text{reco}}^{\ell_W\nu}} - m_t > 2\sigma_{t_{\text{SM}}}$

Event Yields

FCNC tZq with $Z \rightarrow \ell^+ \ell^-$ at ATLAS



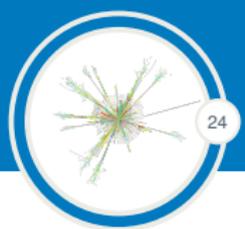
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	SR1 ($D_1 > -0.6$)	SR2 ($D_2^u > -0.7$ or $D_2^c > -0.4$)
$\tilde{t}Z + tWZ$	137 ± 12	36 ± 6
$VV + LF$	18 ± 7	24 ± 8
$VV + HF$	114 ± 19	162 ± 26
tZ	46 ± 7	108 ± 18
$\tilde{t} + tW$ fakes	14 ± 4	27 ± 8
Other fakes	7 ± 8	5 ± 6
$\tilde{t}W$	4.2 ± 2.1	3.1 ± 1.6
$\tilde{t}H$	4.8 ± 0.7	0.89 ± 0.17
Other bkg.	2.0 ± 1.0	2.5 ± 2.9
FCNC (u) tZ	0.9 ± 1.7	4 ± 8
FCNC $\tilde{t}(uZ)$	5 ± 9	0.8 ± 1.5
Total background	348 ± 15	369 ± 21
Data	345	380

	Pre-fit		Post-fit	
	VR1	VR2	VR1	VR2
$\tilde{t}Z + tWZ$	70 ± 10	2.2 ± 0.6	70 ± 7	2.4 ± 0.6
$VV + LF$	10 ± 5	9.8 ± 3.4	10 ± 5	9.7 ± 3.0
$VV + HF$	56 ± 28	36 ± 14	60 ± 14	47 ± 8
tZ	6.5 ± 1.6	13.5 ± 2.7	6.6 ± 1.5	14.7 ± 2.6
$\tilde{t} + tW$ fakes	5.4 ± 2.6	4.5 ± 1.7	4.8 ± 2.1	3.8 ± 1.4
Other fakes	0.0 ± 0.6	1.4 ± 1.9	0.03 ± 0.24	0.8 ± 1.1
$\tilde{t}W$	2.3 ± 1.2	0.48 ± 0.26	2.3 ± 1.2	0.48 ± 0.25
$\tilde{t}H$	3.0 ± 0.5	0.101 ± 0.032	3.0 ± 0.5	0.108 ± 0.033
Other bkg.	0.8 ± 0.4	0.5 ± 0.7	0.8 ± 0.4	0.5 ± 0.6
Total background	154 ± 31	69 ± 15	158 ± 13	79 ± 7
Data	151	80	151	80
Data / Bkg.	0.98 ± 0.22	1.16 ± 0.29	0.96 ± 0.11	1.01 ± 0.15

Event Yields

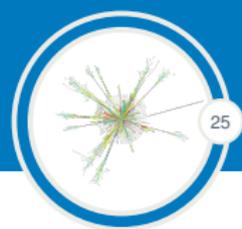
FCNC tZq with $Z \rightarrow \ell^+ \ell^-$ at ATLAS



	Side-band CR1	Side-band CR2	$\tilde{t}\tilde{t}Z$ CR	$\tilde{t}\tilde{t}$ CR
$\tilde{t}\tilde{t}Z + tWZ$	102 ± 14	8.2 ± 1.4	230 ± 18	15.4 ± 1.5
$VV + LF$	27 ± 11	12 ± 4	0.23 ± 0.19	0.38 ± 0.25
$VV + HF$	166 ± 25	64 ± 9	17 ± 8	2.9 ± 0.5
tZ	22 ± 4	6.8 ± 1.4	21 ± 5	0.96 ± 0.19
$\tilde{t}\tilde{t} + tW$ fakes	9.3 ± 2.6	7.2 ± 2.1	4.0 ± 1.3	93 ± 19
Other fakes	2 ± 4	2.0 ± 2.8	0.15 ± 0.18	0.08 ± 0.09
$\tilde{t}\tilde{t}W$	4.5 ± 2.3	2.3 ± 1.2	3.0 ± 1.5	27 ± 13
$\tilde{t}\tilde{t}H$	2.6 ± 0.4	0.33 ± 0.07	7.5 ± 1.2	14.1 ± 2.2
Other bkg.	3.3 ± 2.5	0.8 ± 0.4	1.9 ± 0.9	3.2 ± 1.5
FCNC (u) tZ	0.4 ± 0.7	0.17 ± 0.33	0.09 ± 0.18	0.05 ± 0.10
FCNC $\tilde{t}\tilde{t}(uZ)$	0.14 ± 0.27	0.04 ± 0.07	0.11 ± 0.20	0.018 ± 0.035
Total background	338 ± 18	104 ± 8	284 ± 16	157 ± 13
Data	343	104	286	157

Event Selection

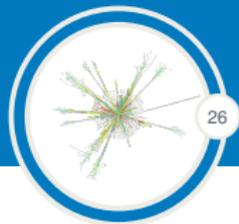
FCNC tq with $t \rightarrow \ell \nu b$ at ATLAS



Observable	Common requirements			
$n_{\text{Tight}}(e) + n_{\text{Medium}}(\mu)$	= 1			
$n_{\text{Loose}}(e) + n_{\text{Loose}}(\mu)$	= 1			
E_T^{miss}	> 30 GeV			
$m_T(W)$	> 50 GeV			
$n(j)$	≥ 1			
$p_T(\ell)$	$> 50 \text{ GeV} \cdot \left(1 - \frac{\pi - \Delta\phi(j, \ell) }{\pi - 1}\right)$			
Analysis regions				
	SR	W+jets VR	$t\bar{t}$ VR	tq VR
$n(\eta(j) < 2.5)$	= 1	= 1	= 2	= 1
$n(b)$	= 1	= 1	= 2	= 1
ϵ_b	30%	60% (veto 30%)	30%	30%
$n(\eta(j) > 2.5)$	≥ 0	≥ 0	≥ 0	= 1
$D_{1(2)}$	-	$0.3 < D_{1(2)} < 0.6$	-	$0.2 < D_{1(2)} < 0.4$

Limits Comparison

FCNC $tq\bar{q}$ with $t \rightarrow \ell\nu b$ at ATLAS

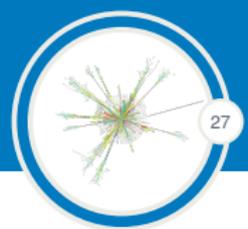


Scenario	Description	$\mathcal{B}_{95}^{\text{exp}}(t \rightarrow u + g)$	$\mathcal{B}_{95}^{\text{exp}}(t \rightarrow c + g)$
(1)	Data statistical only	1.1×10^{-5}	2.4×10^{-5}
(2)	Experimental uncertainties also	3.1×10^{-5}	12×10^{-5}
(3)	All uncertainties except MC statistical	3.9×10^{-5}	18×10^{-5}
(4)	All uncertainties	4.9×10^{-5}	20×10^{-5}

Analysis	$\mathcal{B}_{95}^{\text{obs}}(t \rightarrow u + g)$	$\mathcal{B}_{95}^{\text{exp}}(t \rightarrow u + g)$	$\mathcal{B}_{95}^{\text{obs}}(t \rightarrow c + g)$	$\mathcal{B}_{95}^{\text{exp}}(t \rightarrow c + g)$
ATLAS 13 TeV	6.1×10^{-5}	4.9×10^{-5}	37×10^{-5}	20×10^{-5}
ATLAS 8 TeV	12×10^{-5}	11×10^{-5}	64×10^{-5}	57×10^{-5}
CMS 7 TeV \oplus 8 TeV	2.0×10^{-5}	2.8×10^{-5}	41×10^{-5}	28×10^{-5}

Event Yields

FCNC tHq with $H \rightarrow b\bar{b}$ at CMS



Category	b2j3	b2j4	b3j3	b3j4	b4j4
Data	1 431 931	2 207 121	59 002	228 311	12 981
$t\bar{t}b\bar{b}$	$33\,038 \pm 6\,500$	$115\,300 \pm 24\,000$	$5\,452 \pm 430$	$49\,251 \pm 3\,900$	$6\,974 \pm 710$
$t\bar{t}c\bar{c}$	$115\,968 \pm 19\,000$	$397\,302 \pm 61\,000$	$5\,362 \pm 840$	$45\,055 \pm 6\,500$	$2\,815 \pm 830$
$t\bar{t}$ LF	$1\,020\,955 \pm 22\,000$	$1\,547\,909 \pm 47\,000$	$42\,893 \pm 990$	$120\,172 \pm 4\,500$	$2\,118 \pm 230$
Other	$188\,582 \pm 17\,000$	$146\,711 \pm 14\,000$	$5\,508 \pm 630$	$13\,757 \pm 1\,400$	$1\,061 \pm 120$
QCD	$73\,167 \pm 13\,000$	-	-	-	-
Total	$1\,431\,710 \pm 37\,000$	$2\,207\,222 \pm 82\,000$	$59\,215 \pm 1\,500$	$228\,235 \pm 8\,900$	$12\,967 \pm 1\,100$

Table 1: Number of events in the combined 2017+2018 data and simulation, shown separately for each jet category, with uncertainties obtained from the fitting assuming a nonzero Hct coupling.

Limits

FCNC tHq with $H \rightarrow b\bar{b}$ at CMS

