



## *RICH 2022*

11th International Workshop on Ring Imaging Cherenkov Detectors, University of Edinburgh, Edinburgh (UK), 12 - 16 September 2022

# ***Aerogel RICH detector for the next generation heavy-ion experiment at LHC***

Giacomo Volpe\* for the ALICE collaboration

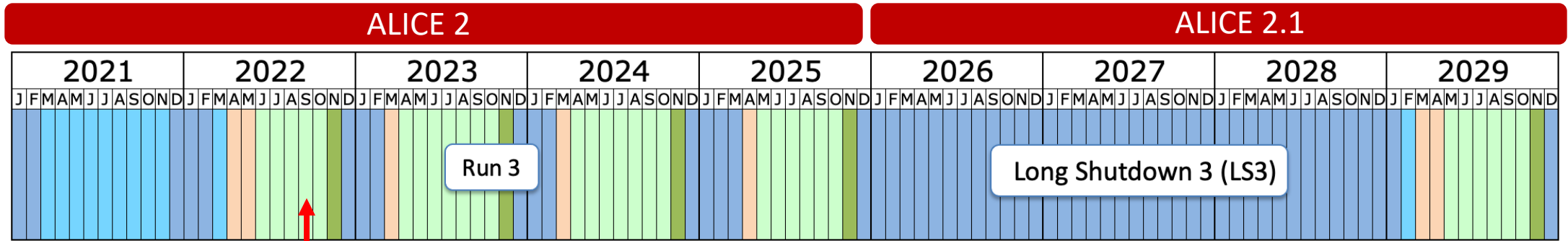
*\*University and INFN, Bari, Italy*

- ALICE roadmap
- “ALICE 3” detector requirement
- RICH system
  - Radiator
  - Photon detector
  - Possible layouts
  - Simulation studies
- Ongoing and future studies
- Summary and outlook

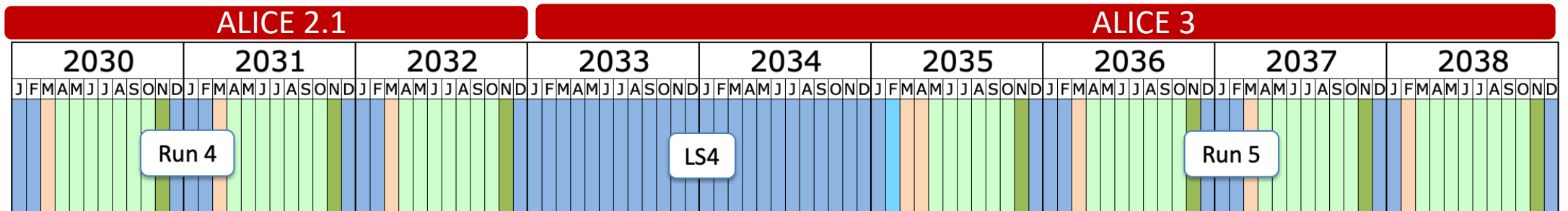
# ALICE roadmap



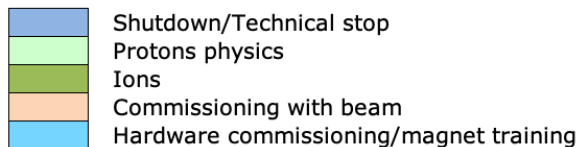
- Ideas for dedicated **heavy-ion programme for Run 5 and 6 at the LHC**
  - developed within ALICE in the course of 2018/19
  - **Letter of Intent** prepared over the course of 2021
  - **LHCC review process** started in October 2021



Today



Last updated: January 2022



# ALICE roadmap

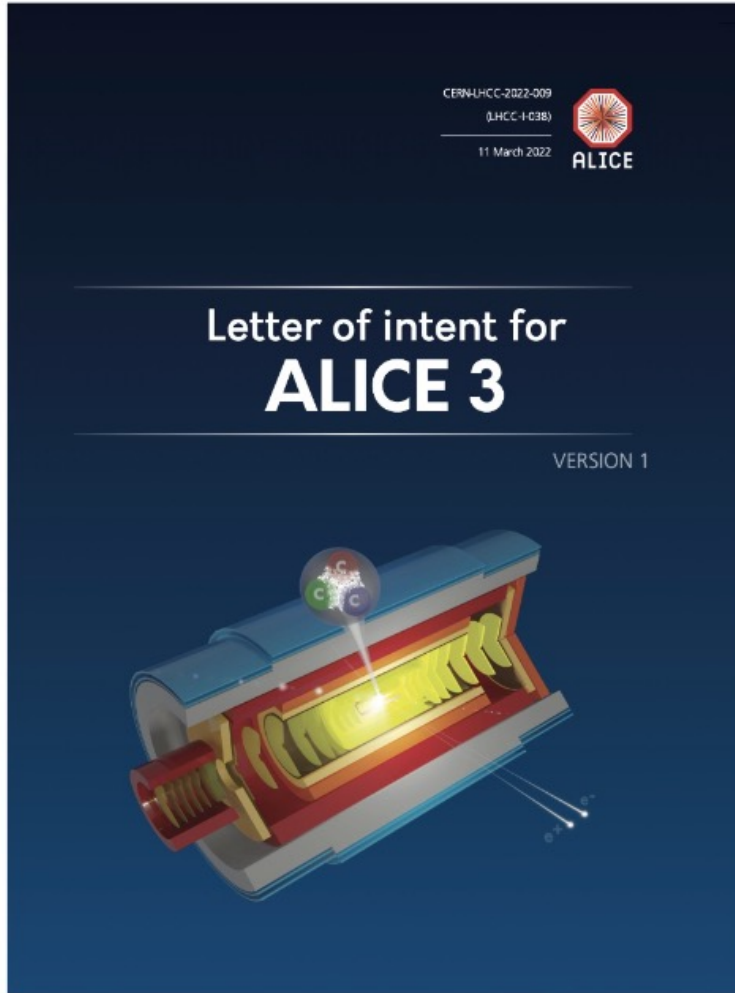


ALICE

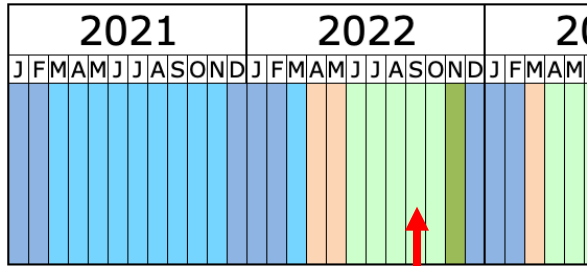
- Ideas for dedicated **heavy-ion programme** for Run 5 and 6 at the LHC

- developed within ALICE in the CERN LHCC
- Letter of Intent** prepared over the last 2 years
- LHCC review process** started in March 2022

<https://cds.cern.ch/record/2803563>

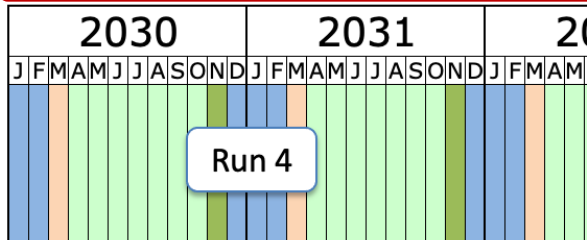


## ALICE

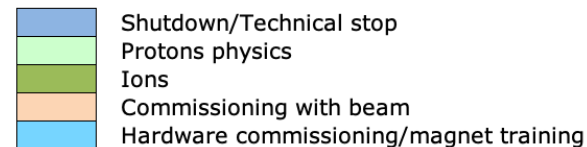


Today

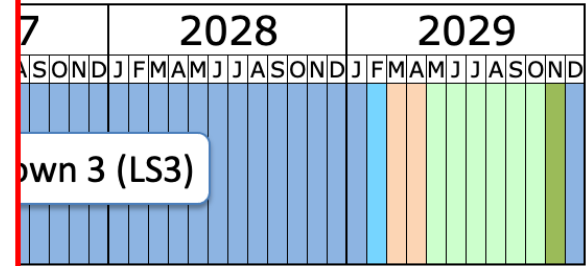
## ALICE 2.1



Run 4

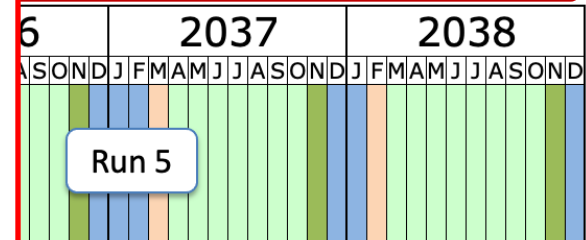


## ALICE 2.1



Run 3 (LS3)

## ALICE 3



Run 5

Last updated: January 2022

# ALICE 3 detector requirements



Component	Observables	Barrel ( $ \eta  < 1.75$ )	Forward ( $1.75 <  \eta  < 4$ )	Detectors
Vertexing	(Multi-)charm baryons, dielectrons	Best possible DCA resolution, $\sigma_{\text{DCA}} \approx 10 \mu\text{m}$ at $p_{\text{T}} = 200 \text{ MeV}/c$ , $\eta = 0$	Best possible DCA resolution, $\sigma_{\text{DCA}} \approx 30 \mu\text{m}$ at $p_{\text{T}} = 200 \text{ MeV}/c$ , $\eta = 3$	retractable Si-pixel tracker: $\sigma_{\text{pos}} \approx 2.5 \mu\text{m}$ , $R_{\text{in}} \approx 5 \text{ mm}$ , $X/X_0 \approx 0.1 \%$ for first layer
Tracking	(Multi-)charm baryons, dielectrons, photons ...	$\sigma_{p_{\text{T}}}/p_{\text{T}} \approx 1 - 2 \%$		Silicon pixel tracker: $\sigma_{\text{pos}} \approx 10 \mu\text{m}$ , $R_{\text{out}} \approx 80 \text{ cm}$ , $L \approx \pm 4 \text{ m}$ $X/X_0 \approx 1 \%$ per layer
Hadron ID	(Multi-)charm baryons	$\pi/K/p$ separation up to a few $\text{GeV}/c$		Time of flight: $\sigma_{\text{tof}} \approx 20 \text{ ps}$ RICH: $n \approx 1.006 - 1.03$ , $\sigma_{\theta} \approx 1.5 \text{ mrad}$
Electron ID	Dielectrons, quarkonia, $\chi_{c1}(3872)$	pion rejection by 1000x up to 2–3 $\text{GeV}/c$		Time of flight: $\sigma_{\text{tof}} \approx 20 \text{ ps}$ RICH: $n \approx 1.006 - 1.03$ , $\sigma_{\theta} \approx 1.5 \text{ mrad}$
Muon ID	Quarkonia, $\chi_{c1}(3872)$	reconstruction of $J/\psi$ at rest, i.e. muons from $p_{\text{T}} \sim 1.5 \text{ GeV}/c$ at $\eta = 0$		steel absorber: $L \approx 70 \text{ cm}$ muon detectors
ECal	Photons, jets	large acceptance		Pb-Sci sampling calorimeter
ECal	$\chi_c$	high-resolution segment		PbWO <sub>4</sub> calorimeter
Soft photon detection	Ultra-soft photons	measurement of photons in $p_{\text{T}}$ range 1–50 $\text{MeV}/c$		Forward conversion tracker based on silicon pixel tracker

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# RICH system in the ALICE 3 layout



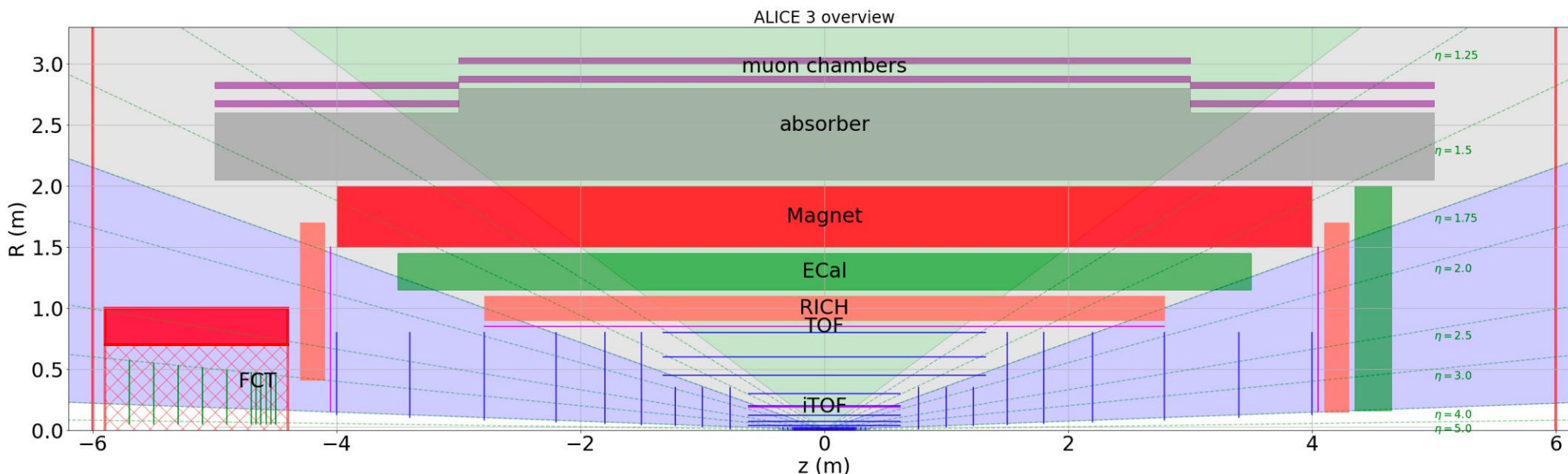
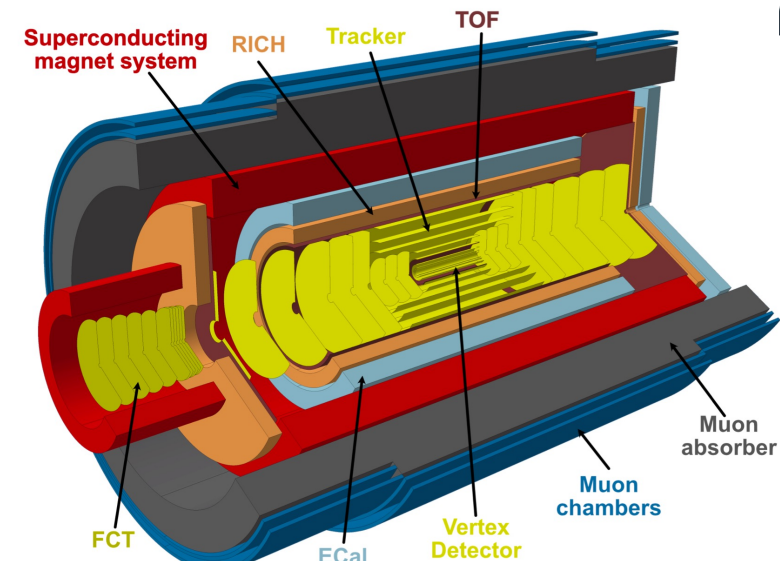
ALICE

## Barrel RICH:

- $\eta = \pm 1.75$ , total length 5.6 m
- $R = 0.9 - 1.1$  m

## Forward RICH:

- Ecal side:
  - $+1.75 \leq \eta \leq +4.0$
  - $R = 0.2 - 1.5$  m
- FCT side:
  - $-1.75 \leq \eta \leq -3.0$
  - $R = 0.5 - 1.5$  m



# RICH system motivation

Extend electron and charged hadron ID at momenta higher than the TOF range, e.g in the barrel:

$e/\pi$  : 0.5 - 2 GeV/c

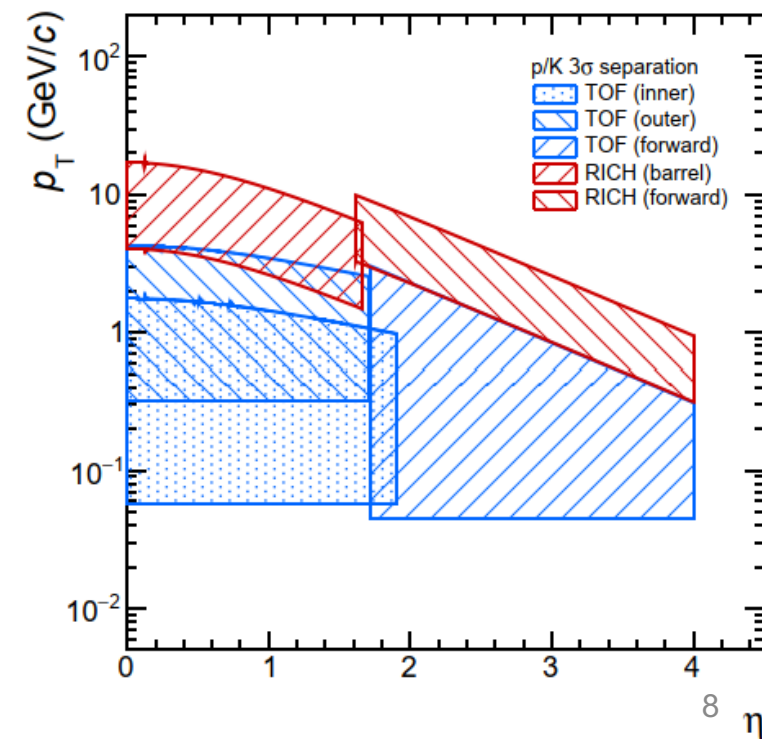
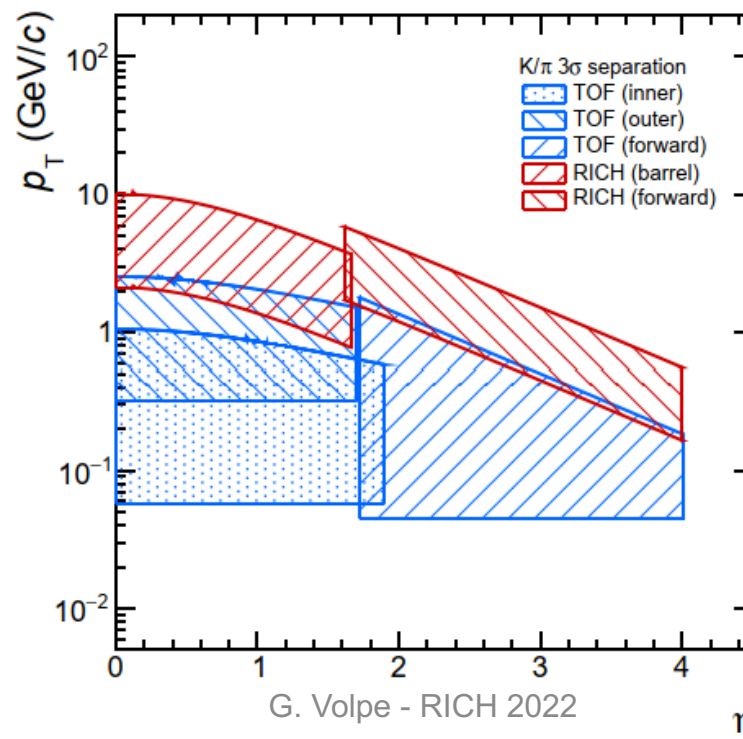
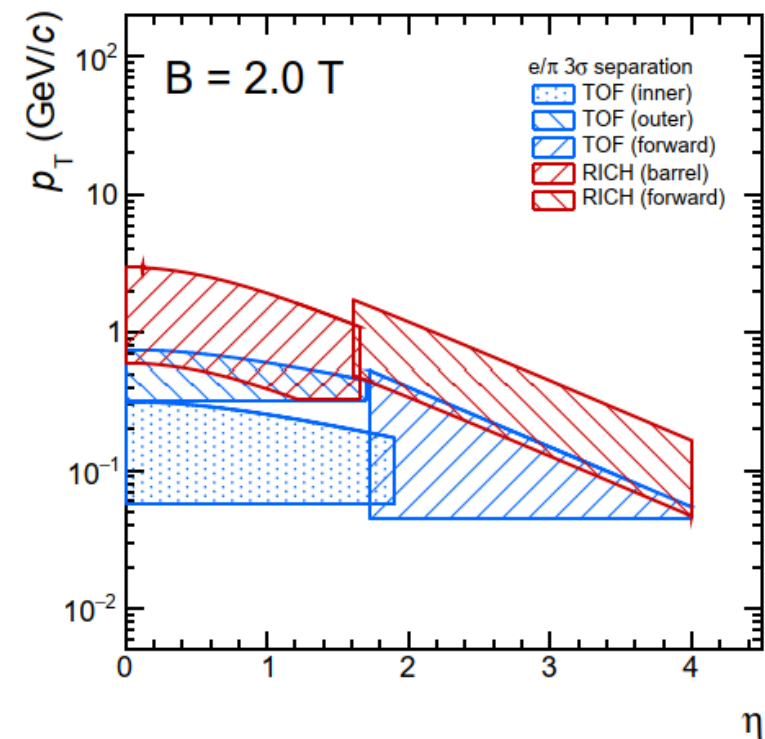
$\pi/K$  : 2.0 - 10.0 GeV/c

$K/p$  : 4.0 - 16.0 GeV/c



- Barrel RICH: aerogel Cherenkov radiator (2cm,  $n=1.03$ ) + 20 cm expansion gap + SiPM photon detector
- Forward RICH: idem, but aerogel  $n = 1.006$

Results from “fast” parametric simulation, assuming a Cherenkov angle resolution at saturation of 1.5 mrad and a TOF time resolution of 20 ps





# Aerogel Cherenkov radiator

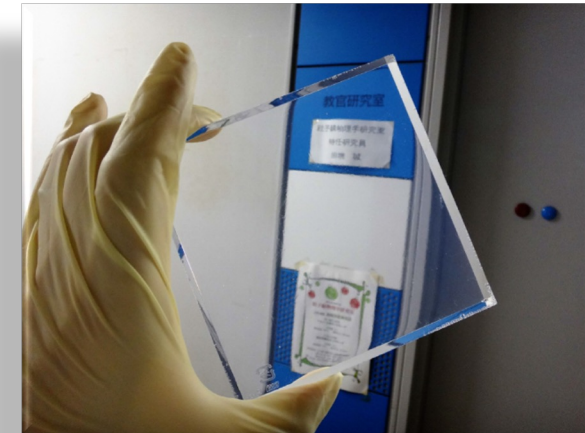
Cherenkov relation

momentum threshold for Cherenkov emission

$$\cos \vartheta_c = \frac{1}{n\beta} \rightarrow \beta_{th} = \frac{1}{n} \rightarrow p_{th} = \frac{m}{\sqrt{n^2 - 1}}$$

- Best match with PID requirements, large choice of refractive indexes
- Possibility to fine tune PID threshold and range

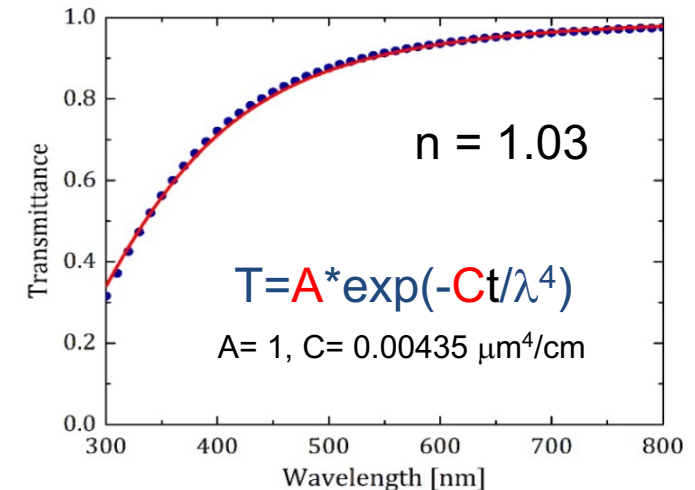
aerogel n	$\beta_{th}$	momentum threshold [GeV/c]				
		e	$\mu$	$\pi$	K	p
1.01	0.99009901	0.0036	0.7453	0.9845	3.4821	6.6181
1.02	0.98039216	0.0025	0.5257	0.6944	2.4561	4.6681
1.03	0.97087379	0.0021	0.4281	0.5656	2.0005	3.8021
1.04	0.96153846	0.0018	0.3699	0.4886	1.7282	3.2846
1.05	0.95238095	0.0016	0.3300	0.4359	1.5420	2.9307
1.06	0.94339623	0.0015	0.3005	0.3970	1.4042	2.6688
1.07	0.93457944	0.0013	0.2776	0.3667	1.2969	2.4649
1.08	0.92592593	0.0013	0.2590	0.3421	1.2102	2.3001
1.09	0.91743119	0.0012	0.2436	0.3218	1.1383	2.1634
1.14	0.87719298	0.0009	0.1930	0.2550	0.9019	1.7142



(M. Tabata – Chiba University)

Hydrophobic silica aerogel from Aerogel Factory Co. Ltd (Chiba, Japan):

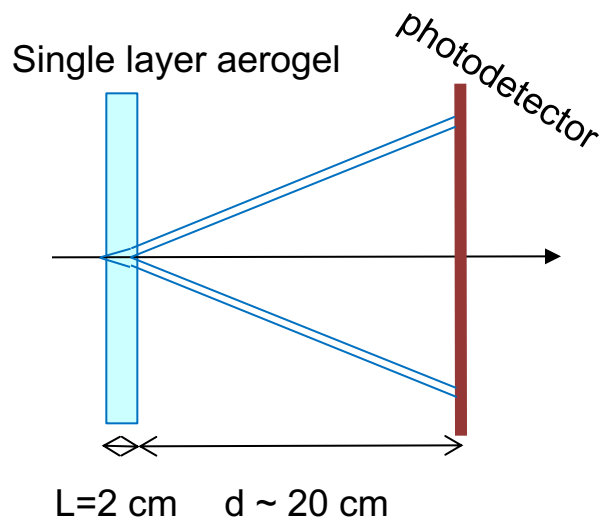
- No degradation for exposure to humidity, easy storage
- Excellent transparency in the range 1.02-1.05
- Stable up to 10 Mrad



# Barrel RICH layout options

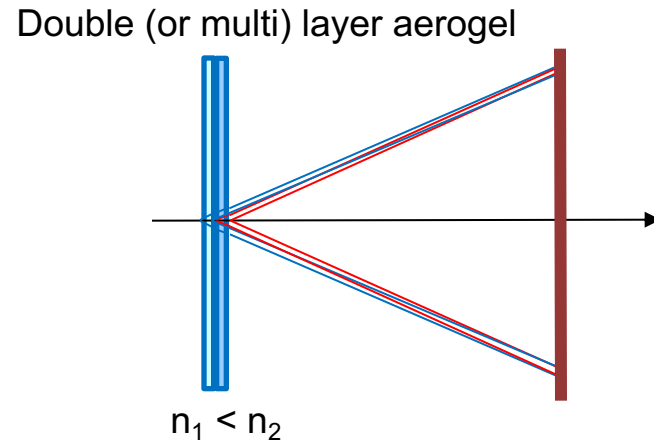
## Baseline layout:

- No aerogel focusing
- Aerogel layer @ 0.9 m from IP
- Photodetector @ 1.1 m
- Aerogel  $\sim 32 \text{ m}^2$ , p.d.  $\sim 39 \text{ m}^2$



## Aerogel focusing layout:

- Two or more aerogel layers with increasing n
- Aerogel layers @ 0.9 m from IP
- Photodetector @ 1.1 m
- Aerogel  $\sim 32 \text{ m}^2$ , p.d.  $\sim 39 \text{ m}^2$

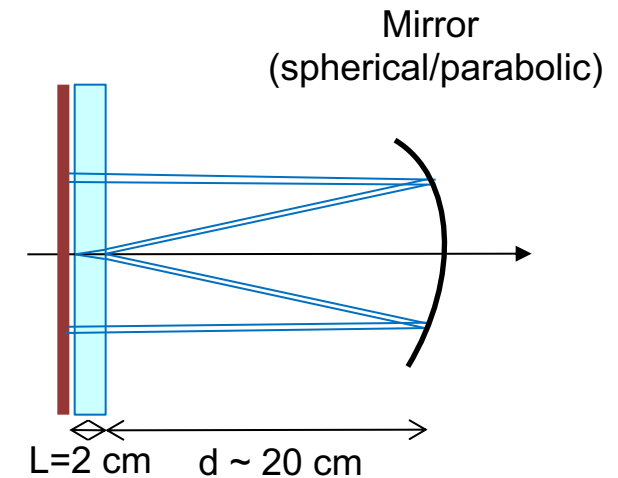


### → pro's:

- photons produced in the second layer reach the pd @ same radius as the first one, thus reducing the geometric aberration error in saturation

## Mirror layout:

- With or w/o aerogel focusing
- aerogel layers @ 0.95 m from IP
- photodetector @ 0.9 m
- Aerogel  $\sim 33 \text{ m}^2$ , p.d.  $\sim 32 \text{ m}^2$



### → pro's:

- **Reduce/suppress geometric aberration** depending on mirror:
  - flat: doubling of gap
  - cylindrical: focusing in one direction + doubling of gap
  - parabolic: full focusing
- **reduce p.d. area by 60%**

### → con's:

- $\sim 20\%$  photon loss due to double crossing of aerogel and mirror reflection
- spherical aberration and mirror alignment to be taken into account

# Analytical estimation of Cherenkov angle resolution



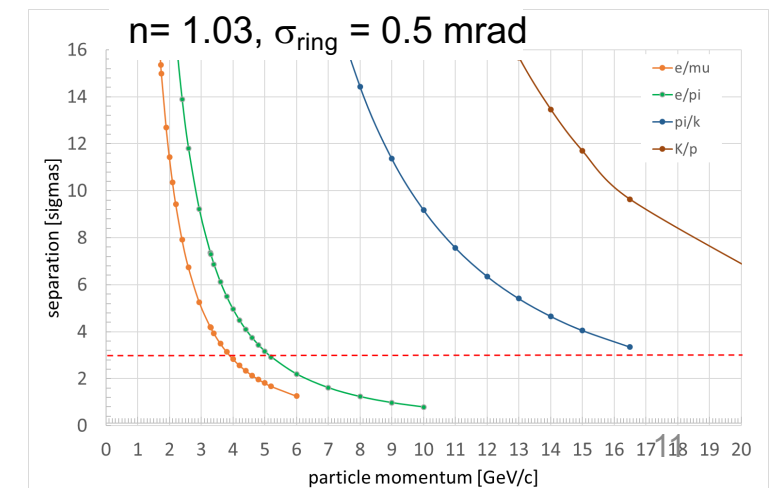
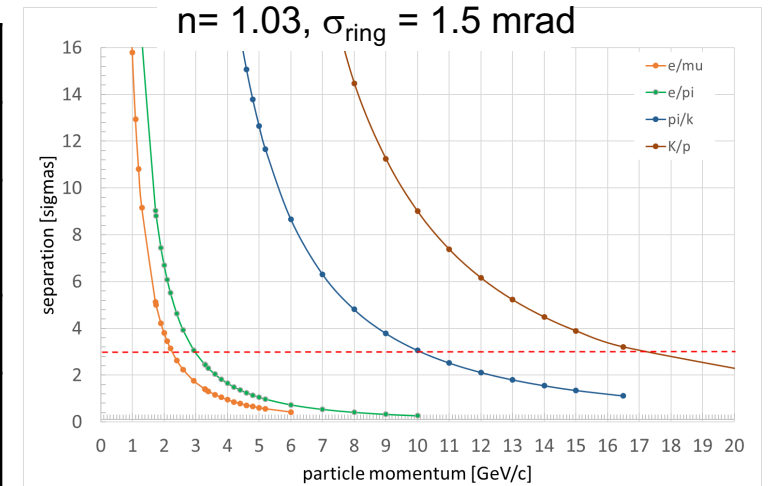
The particle separation depends on single photon angular resolution and on the amount of detected photons

$$\sigma_{g_c}(tot) = \frac{\sigma_{g_c}(p.e.)}{\sqrt{N_{p.e.}}} \oplus \sigma_{g_c}(track)$$

$$\sigma_{\theta_c}(p.e.) = \sqrt{\sigma_{\theta_c}^2(\text{chromatic}) + \sigma_{\theta_c}^2(\text{geometric}) + \sigma_{\theta_c}^2(\text{pixel}) + \sigma_{\theta_c}^2(\text{noise})}$$

$\propto \frac{dn(\lambda)}{d\lambda}$        $\propto \frac{L}{d}$        $\propto \frac{x}{d}$

layout	GAP [cm]	radiator L [cm]	pixel [cm]	chrom_err	geom_err	pixel_err	$\sigma_{\text{single p.e.}}$ [mrad]	# of photoel.	$\sigma_{\text{ring}}$ [mrad]
baseline	20	2	0.3	1.4	6	3.9	7.4	24	1.5
two aerogel layers in focusing	20	2 x 1	0.3	1.4	3.1	3.9	5.2	24	1.1
spherical mirror	20	2	0.3	1.4	1.0	3.9	4.2	20	0.9
spherical mirror+ smaller pixel	20	2	0.1	1.4	1.0	1.3	2.1	20	0.5



PID reach for various  $n$  and angle resolution options

3 $\sigma$ separation	n = 1.03 $\sigma = 1.5$ mrad	n = 1.03 $\sigma = 0.5$ mrad	n = 1.02 $\sigma = 0.5$ mrad	n = 1.01 $\sigma = 0.5$ mrad
e/ $\pi$	3.0 GeV/c	5 GeV/c	5.5 GeV/c	6.8 GeV/c
$\pi$ /K	10 GeV/c	17 GeV/c	20.0 GeV/c	23 GeV/c
K/p	18 GeV/c	24 GeV/c	32 GeV/c	39 GeV/c

## Main requirements

- Single photon sensitivity in the visible range (Photon Detection Efficiency (PDE) > 40-50%)
- Integration fill factor > 90%
- Pixel  $\sim 3 \times 3 \text{ mm}^2$
- Time resolution  $\sigma < \sim 100 \text{ ps}$
- Magnetic field  $B \leq 2 \text{ T}$
- Expected radiation load:  
 $\text{NIEL} \sim 10^{12} \text{ 1-MeV } n_{\text{eq}} / \text{cm}^2$



- **Vacuum-based devices (MCPs, LAPPDs)**
  - Single photon detection efficiency  $\sim 25\text{-}30\%$
  - Low noise and good radiation tolerance
  - Time resolution  $\sim 30 \text{ ps}$
  - **Main limitations:**
    - Sensitivity to B (x10 gain drop above 0.5 T, no gain for  $\perp$  B)
    - HV operation
    - Bulky, reduced fill factor  $\sim 70\%$ , large  $X_0$
    - Cost of commercial devices
- **SiPM**
  - PDE  $\sim 50\%$
  - LV operation
  - Time resolution  $\sim 50 \text{ ps}$
  - **Main limitations:**
    - Noise at room temperature, increase above  $10^{11} \text{ 1-MeV } n_{\text{eq}} / \text{cm}^2$
    - Cost of commercial devices

# The photon detector



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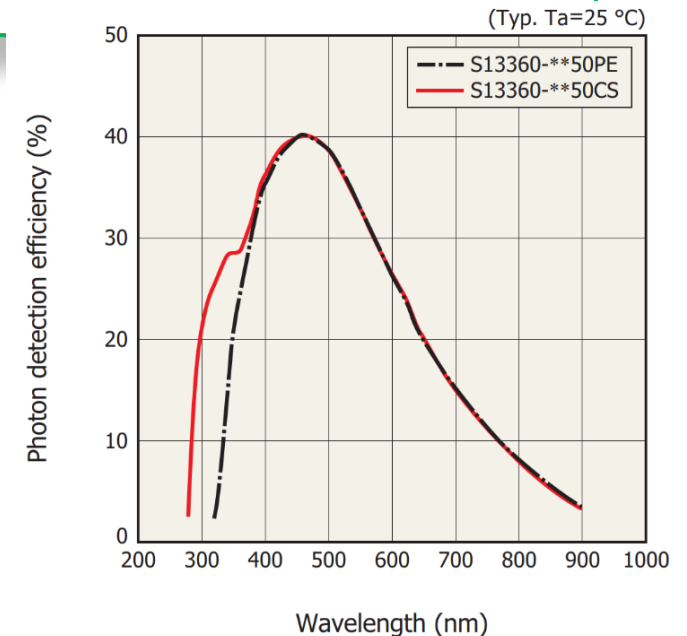
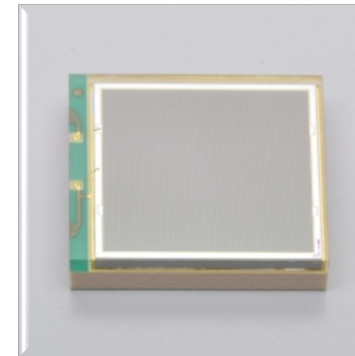
Significant enhancement on the semiconductor process over past decades, excellent improvement of CMOS SPAD performance → renewed interest for the **development of digital-SiPM** for large area coverage in HEP applications (e.g.: development ongoing in Sherbrooke University and FBK)

- **R&D on digital SiPM based on CMOS Imaging technology**

- Reduce cost
- Explore solutions for:
  - noise performance improvement (beyond online/offline time gate)
  - radiation hardness improvement (1-2 orders of magnitude,  $10^{12}$  1-MeV  $n_{eq}/cm^2$  required)
  - TOF applications (MIPs detection with time resolution  $\sim 20$  ps)

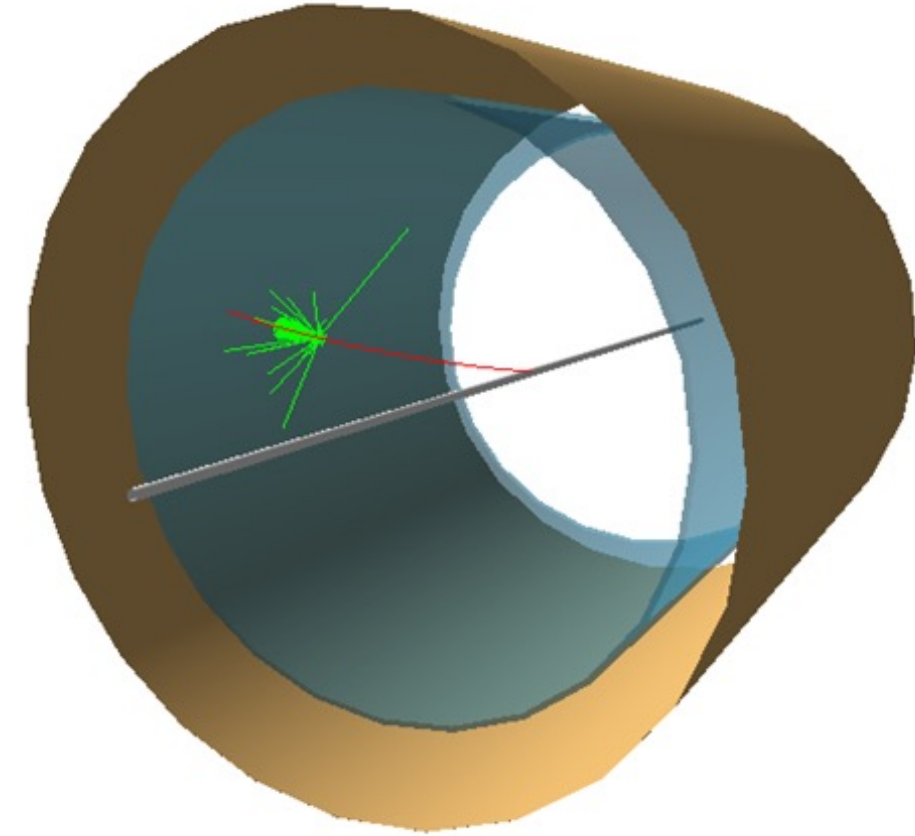
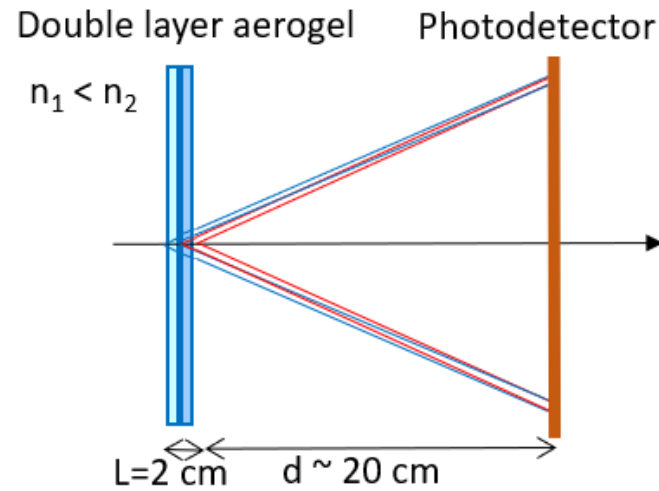
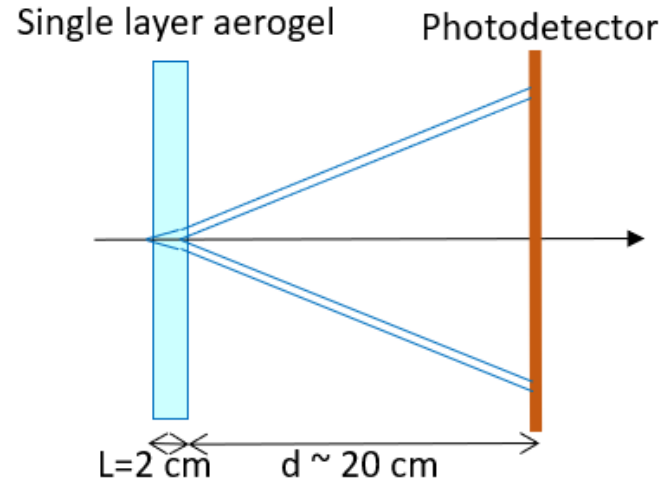
- **Backup option: commercial SiPM**

- Example: SiPM HPK 13360 3050CS
  - $3 \times 3$  mm<sup>2</sup> pixel (3600 SPADs with 50  $\mu$ m pitch)
  - Dark count rate (DCR)  $\sim 50$  kHz/mm<sup>2</sup>
  - 50 ps time resolution
  - Above  $10^{11}$  1-MeV  $n_{eq}/cm^2$  → DCR increasing



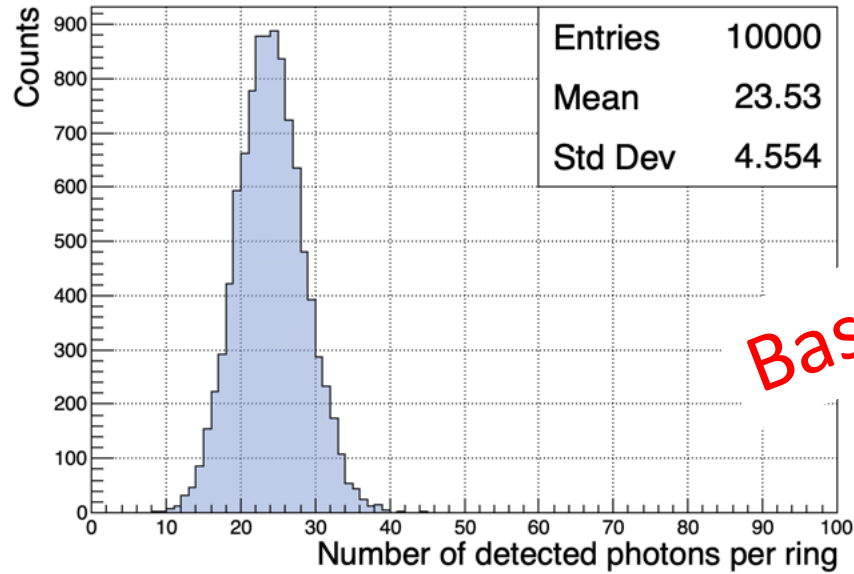
**Aerogel  $n=1.03$ , p.d. @  $R=1.2$  m, HPK 13360 3050CS (pixel  $3 \times 3$  mm<sup>2</sup>, DCR 50 kHz/mm<sup>2</sup>, 90% fill factor)**

- **single particle events**
  - uniform energy distribution
  - isotropic angular distribution
  - origin from (0, 0, 0)
  - one particle per event:  $e^-$   $\pi^-$   $\mu^-$   $K^-$   $p$
  - 10 k events/particle
- **Pythia8 pp collisions**
  - $\sqrt{s} = 14$  TeV
  - c-cbar biased processes
  - 100k events
- **Pythia8 Xe-Xe and Pb-Pb collisions**
  - $\sqrt{s} = 5.76$  TeV
  - minimum bias
  - 1k events

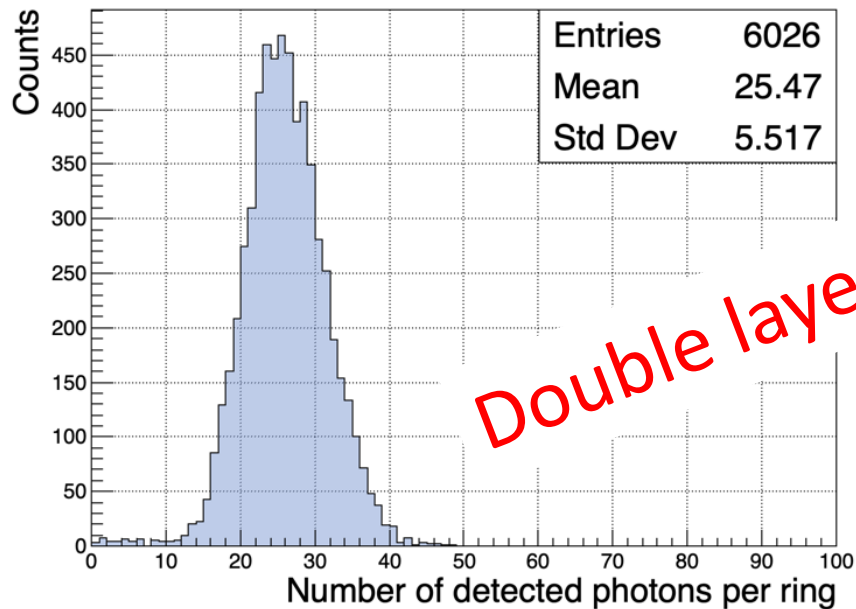
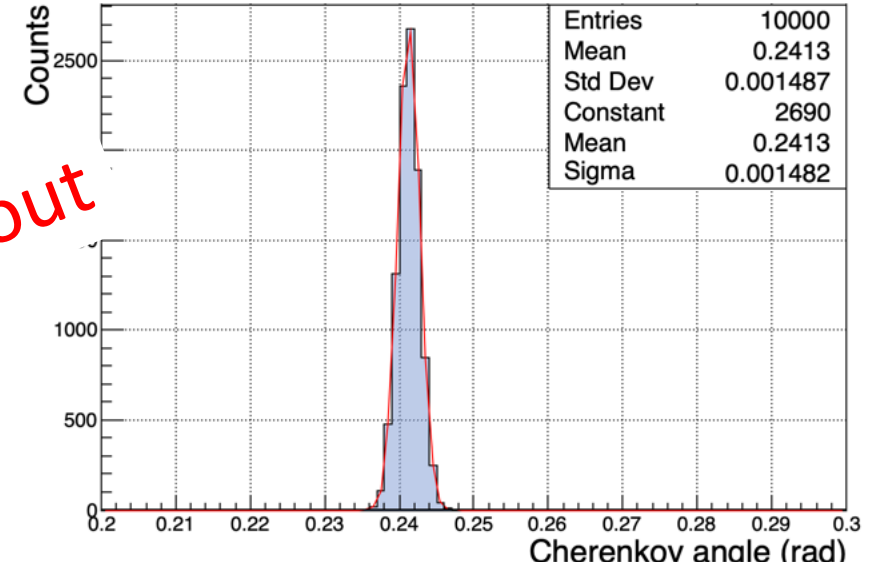


Tracking and TOF layers not shown

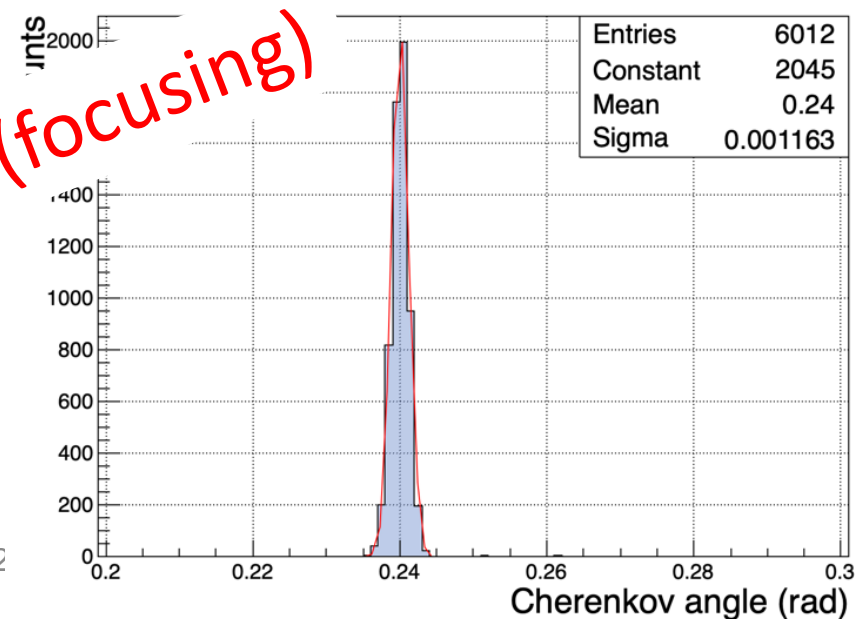
# Simulation studies



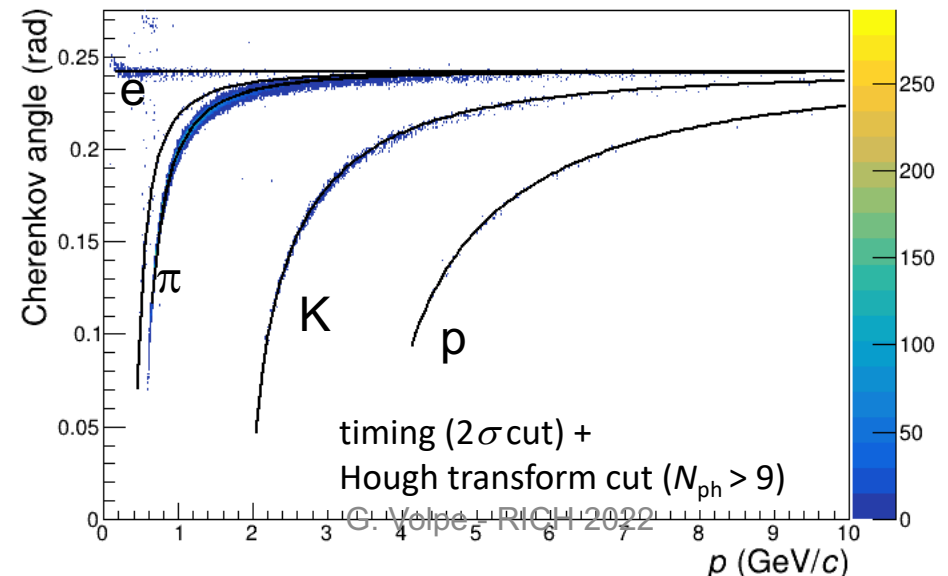
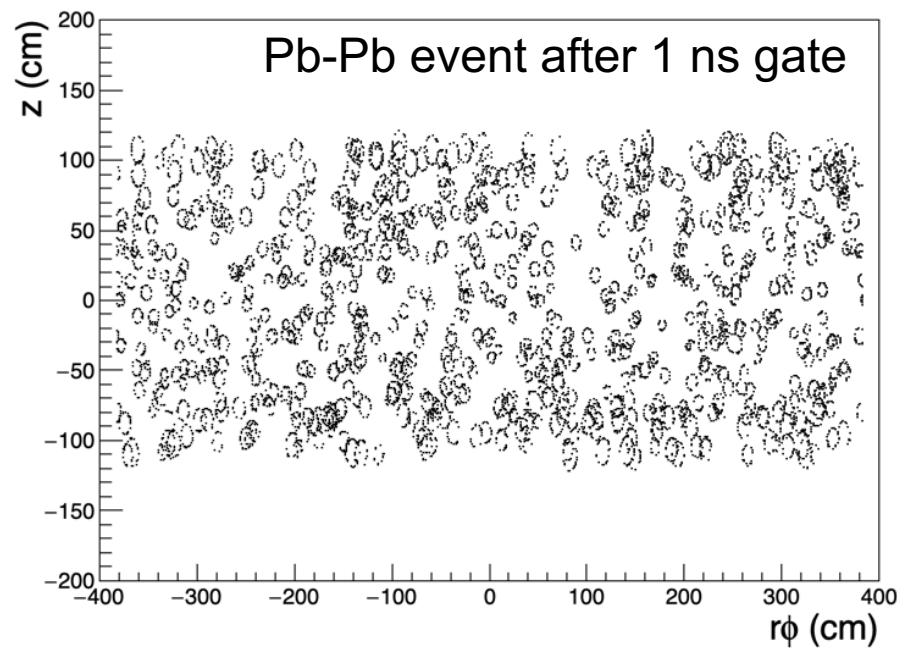
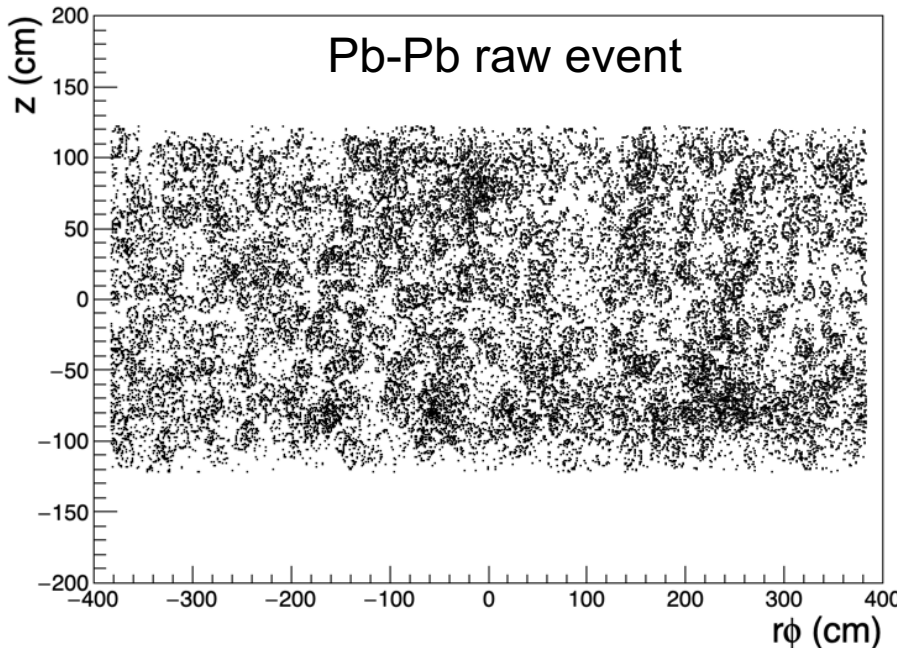
Baseline layout



Double layer aerogel (focusing)



# Simulation studies





- Design

- Performance vs Layout (options: aerogel  $n$ , focusing aerogel, mirror shape, SiPM pixel size)
- Next
  - MonteCarlo (Geant4) simulations:
    - ✓ bRICH performance: aerogel  $n$  tuning (vs TOF performance), focusing aerogel, mirrors

- Aerogel

- Optical properties (transparency, Rayleigh+Mie scattering), tile size, **multiple layer focusing performance, barrel integration (segmentation, mechanical support, mounting)**
- Ongoing: basic characterization of hydrophobic aerogel ( $2 \times 10 \times 10 \text{ cm}^3$  tiles) from Aerogel factory, JP (optical properties, mechanical tolerances)
- Characterization of larger tiles ( $2 \times 15 \times 15 \text{ cm}^3$ )
- Characterization of lower  $n$  and multi-layer (focusing)
- Integration/Mounting issues

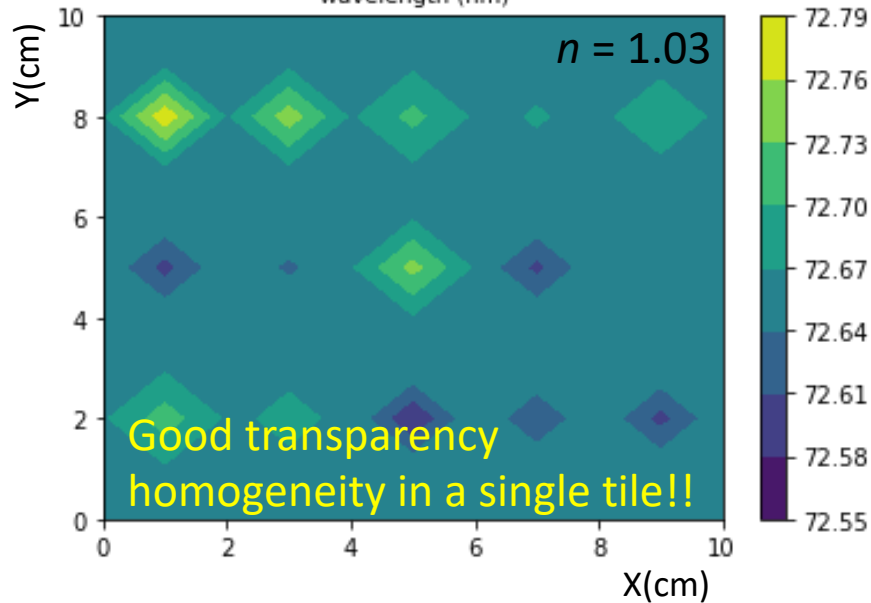
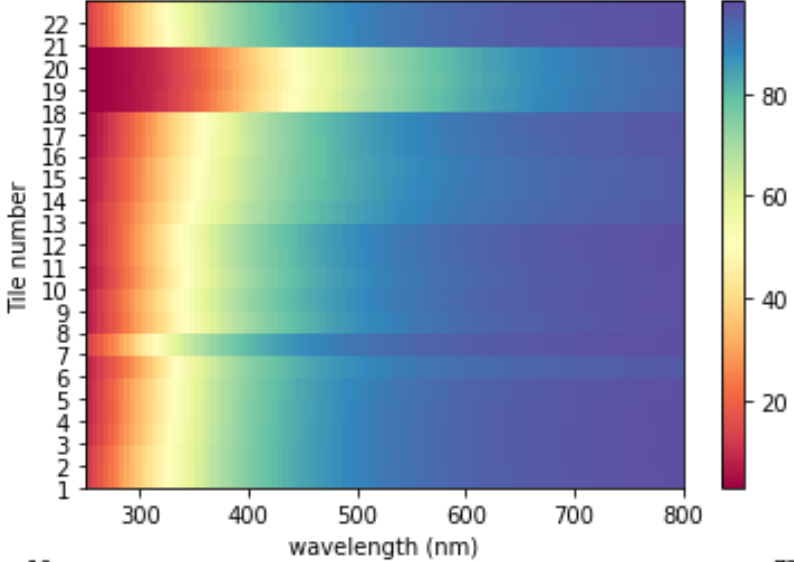
# Ongoing and future studies: aerogel tile characterization



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## Transparency measurement in lab!

Average Transmittance through Sample at Wavelength



#	Sample	n	Max t (mm)	Measured abs. length
1	LEC4-1b	1.03	21.2302	71.6598
2	LEC4-2a	1.03	21.3703	72.0948
3	LEC6-1a	1.03	20.783	68.774
4	LEC6-1b	1.03	21.2154	68.9515
5	LEC6-2b	1.03	20.961	67.9965
6	SP3-0	1.03	11.7749	32.2599
7	SP3-1	1.03	12.5724	44.6441
8	LEC11-6	1.04	20.8677	57.823
9	LEC11-7	1.04	21.4847	61.4199
10	LEC12-1	1.04	20.9313	57.6797
11	LEC12-4	1.04	21.1525	60.1764
12	LEC12-6	1.04	21.5779	61.1588
13	LEC8-1	1.05	21.1954	51.7276
14	LEC8-2	1.05	21.0821	49.4528
15	LEC8-6	1.05	21.8642	48.9281
16	LEC9-1	1.05	21.0111	47.2045
17	LEC9-2	1.05	21.07	48.0334
18	TSA41-2a	1.00539	20.3624	19.8812
19	TSA41-2b	1.00544	21.2492	19.3864
20	TSA41-3a	1.00548	21.1344	19.7495
21	TSA38-2	1.0312	21.443	70.9995
22	TSA38-8	1.0311	21.4538	72.2721

HERMES  
1998

# Ongoing and future studies: aerogel tile characterization

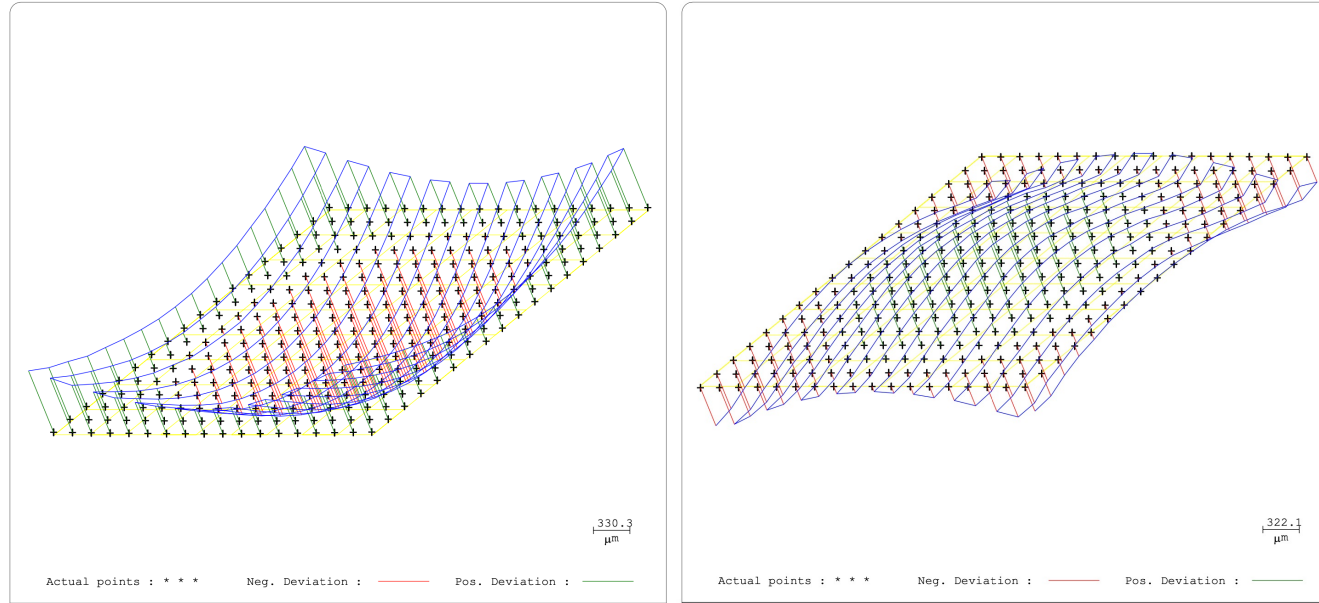
## Thickness and flatness measurement in metrology lab at CERN!

- Results obtained on a tile of  $n = 1.03$  with the touch probe system (force applied by the probe is 2 gr).
- The measuring system is the LEITZ PMMC with  $\pm 0.3 \mu\text{m}$  of precision

Thickness =  $19.96 \pm 0.17$

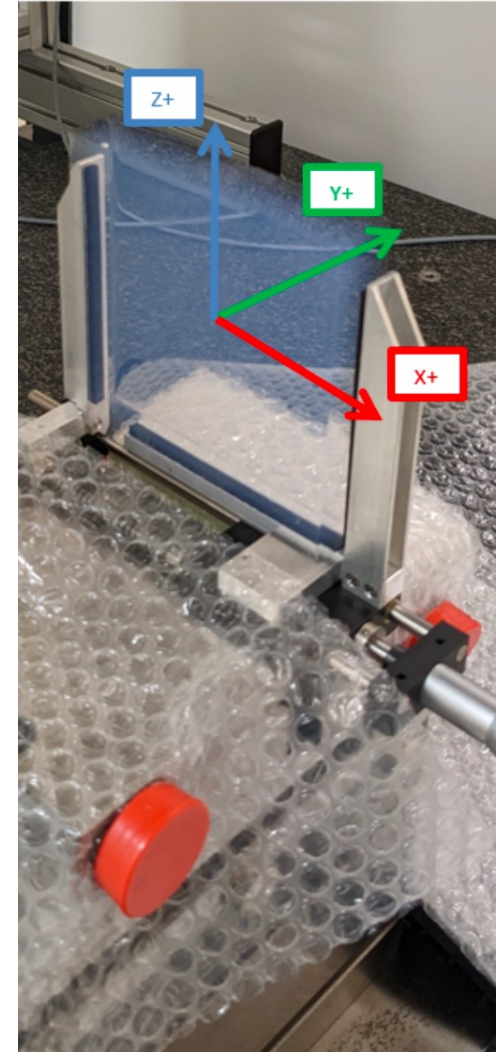
Plane Y- side = 0.7060

Plane Y+ side = 1.2716



There is a variation in thickness from the centre to the edges, of the order of **0.4 mm**, and a different planarity in the two faces, **one 0.7 mm, the other 1.27 mm**. In general the tiles have the shape of a dome.

- The manufacturer (Aerogel Factory Ltd, Chiba, JP) stated that it is possible to improve the flatness and the thickness uniformity;
- the planarity can be mapped, to include the defect in the reconstruction of the Cherenkov angle.

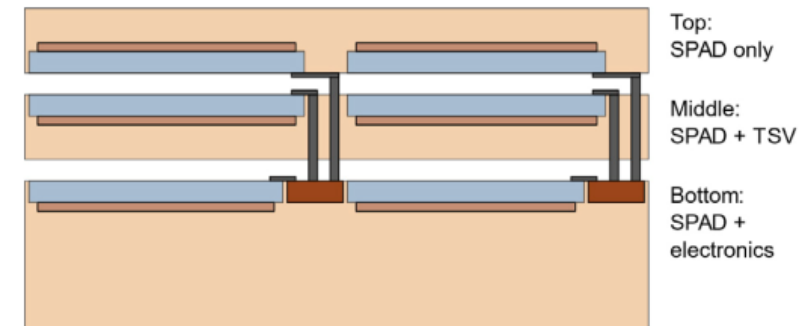
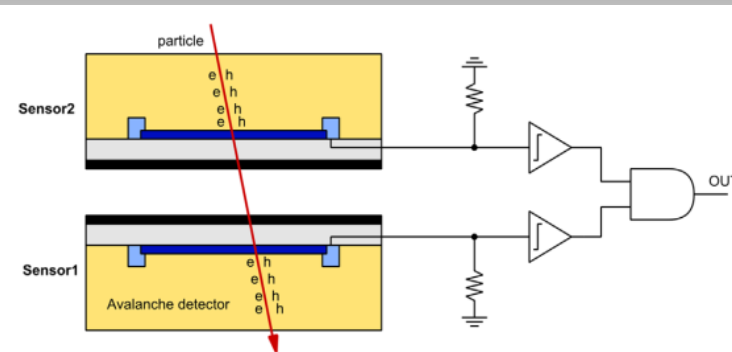


# Ongoing and future studies: photon detector

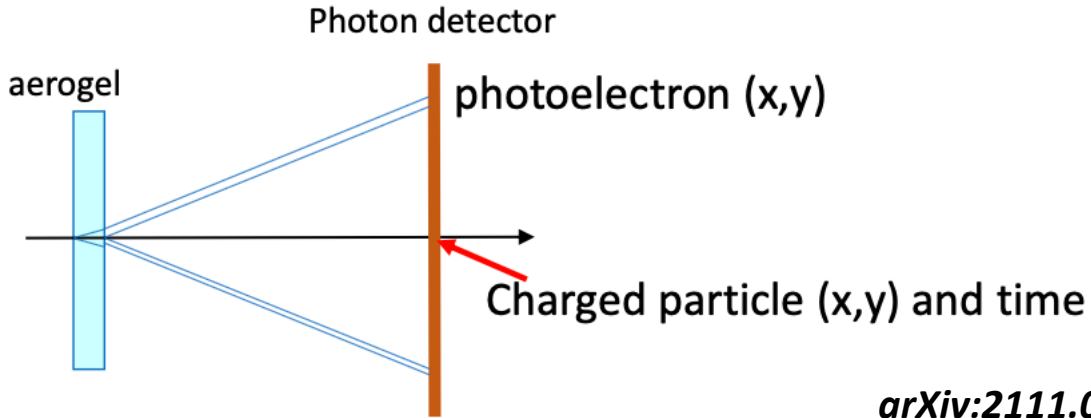
## A few examples of SiPM R&D ideas

- **Need to instrument large area without minimal gaps, solutions:** integrate sensor + front-end with 3D manufacturing (+ active quenching, fast signal processing and TDC integration, disabling of hot SPADs, .....
- Implementation of MIP detection for TOF measurements in the same photo-detector layer (**F. Carnesecchi et al., arXiv:submit/4155801**): detection efficiency and time resolution for MIPS?
- Exploit Cherenkov photons from thin SiO<sub>2</sub> coating?

- “Monolithic” 3D approach for double layer pixel coincidence (DCR suppression), APIX/ASAP concept (**L. Pancheri et al. NIM A 845 (2017) 143-146, P. Brogi et al. NIM A 958 (2020) 162546, L. Ratti et al. doi: 10.3389/fphy.2020.607319**)  
→ 10 ps measured in test beam (**L. Gramuglia et al., arXiv:2111.09998v1**)

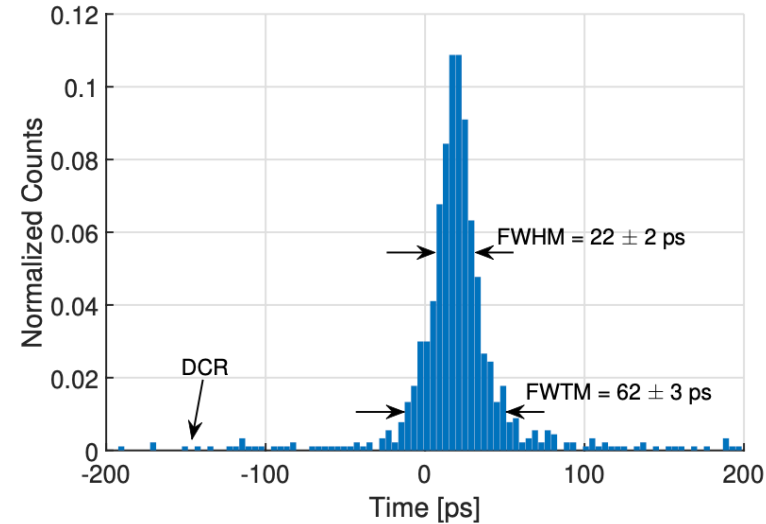
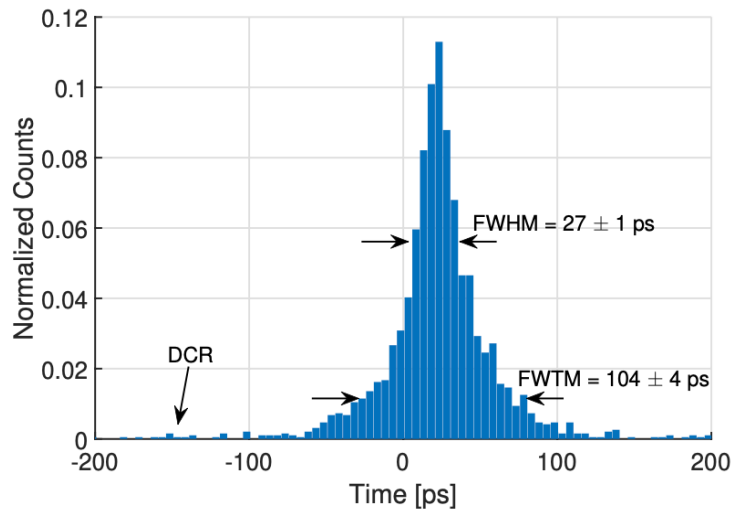


# Ongoing and future studies: photon detector



**Can SiPMs be used for both detection of Cherenkov photons and TOF for charged particles?!**

*arXiv:2111.09998v1 [physics.ins-det]*



Bias (V)	FWHM (ps)	FWTM (ps)	$\sigma$ (ps)	$\sigma_{single}$ (ps)
24	$27 \pm 1$	$104 \pm 4$	$11.5 \pm 0.4$	$8.1 \pm 0.3$
27	$22 \pm 2$	$62 \pm 3$	$9.4 \pm 0.7$	$6.6 \pm 0.5$

## A step forward: Cherenkov-based TOF system

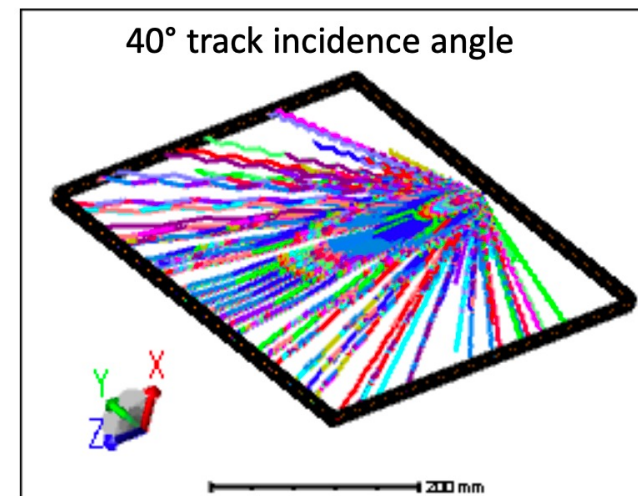
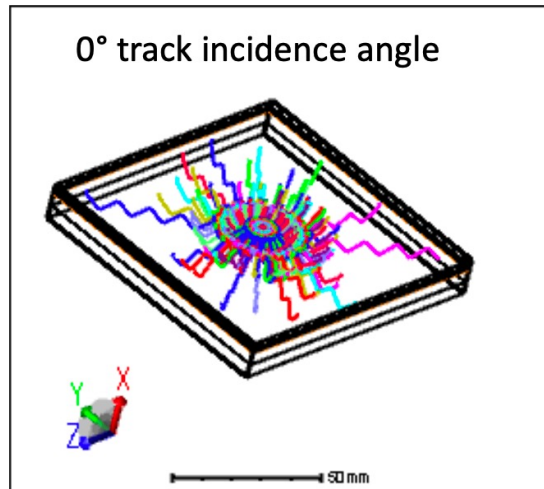
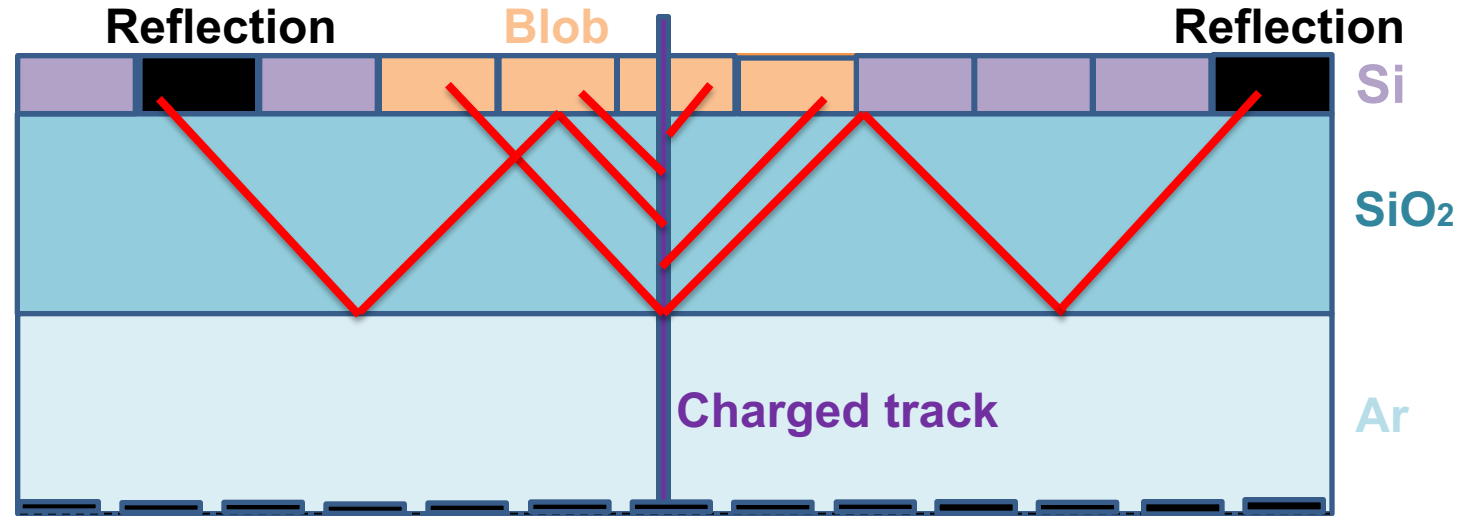
### Reflection background

About 30% of photons reflected at  $SiO_2 - Si$   
Total reflection at  $SiO_2 - Ar$

### Track time resolution

Determined by single photon resolution and blob size

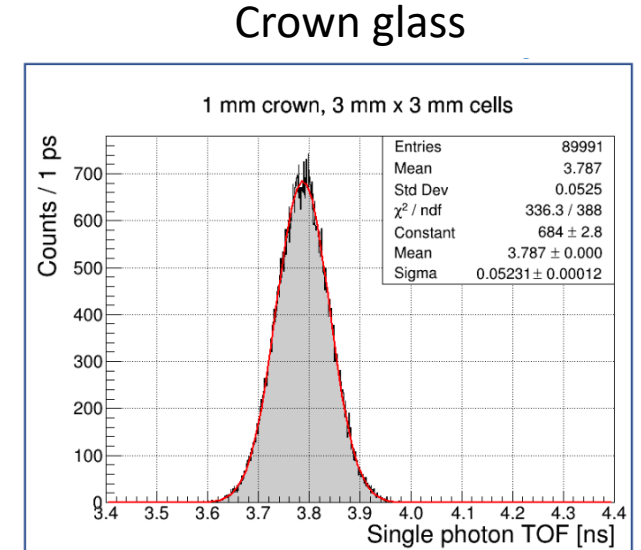
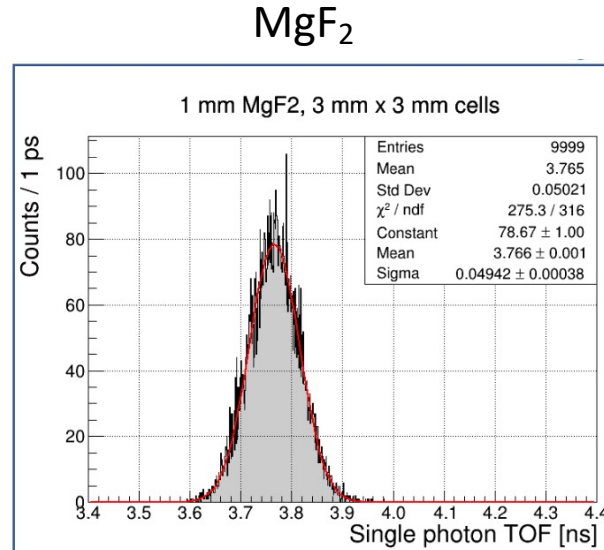
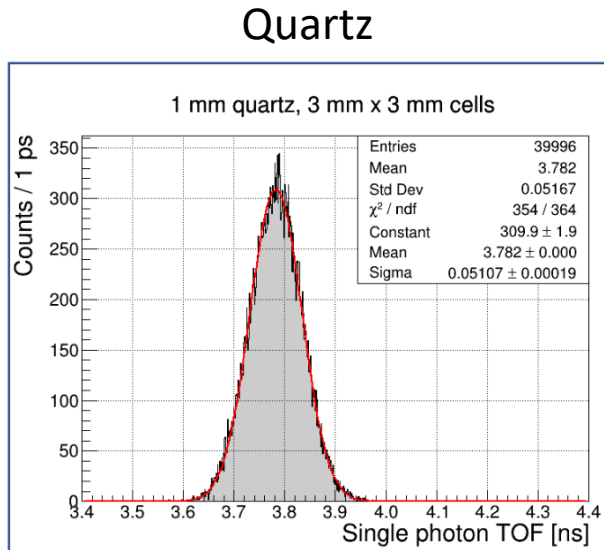
$$\sigma_{\theta_t}^{trk} = \frac{\sigma_t^y}{\sqrt{N_{blob}}}$$



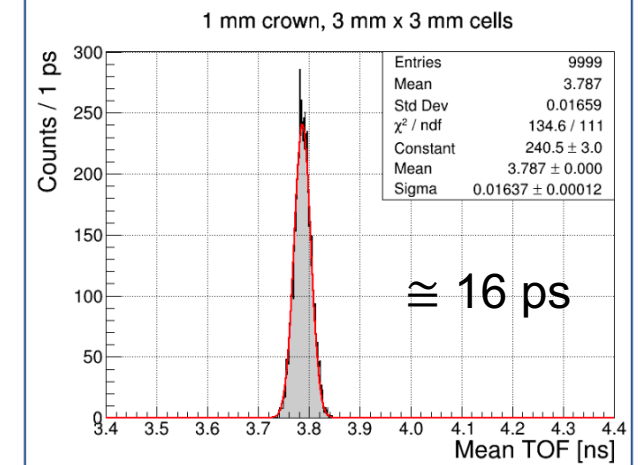
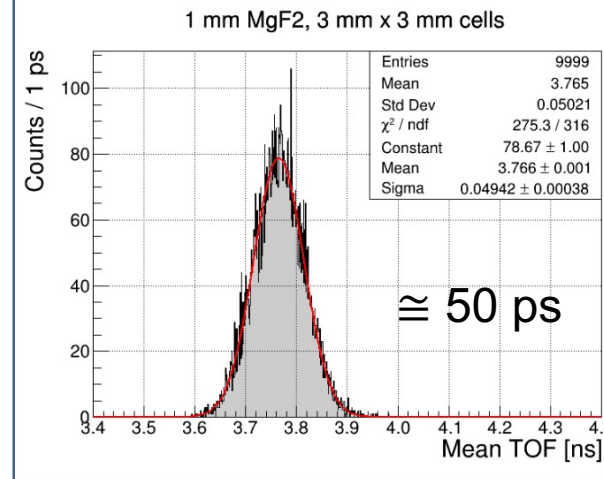
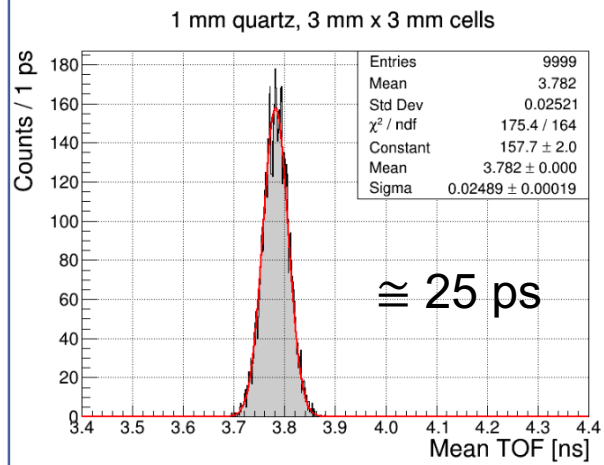
# Ongoing and future studies: photon detector

Simulations with different window materials have been performed in ZEMAX [<https://www.zemax.com>]  
(Assuming intrinsic SiPM time resolution = 50 ps)

Single photons



Average of all photons for track



# Participating institutes

Institute	Interest
CERN, Geneva, Switzerland	D-SiPM
INFN, Bari, Italy	Design studies (simulations), aerogel, D-SiPM
INFN, Bologna, Italy	D-SiPM: synergy with EIC (SiPM radiation tolerance) and ALICE 3 timing layer (MIPS induced signal in SiPM)
UNAM, Mexico City, Mexico	Design studies (simulations), D-SiPM

*Further contributions are more than welcome!*



- The RICH system studied and presented in the ALICE 3 Lol was conceived to fulfill preliminary PID requirements.
- Depending on final timing performance of the TOF system and finalization of PID requirements, the detector layout can be further optimized (aerogel n, focusing, mirror integration, ...) to achieve full coverage of electron and charged hadron ID.
- The evolution of CMOS SPAD technology has renewed the interest for digital SiPM to be exploited in HEP large systems.
  - The challenging R&D could profit of the synergy among research institutes, academy and industry.

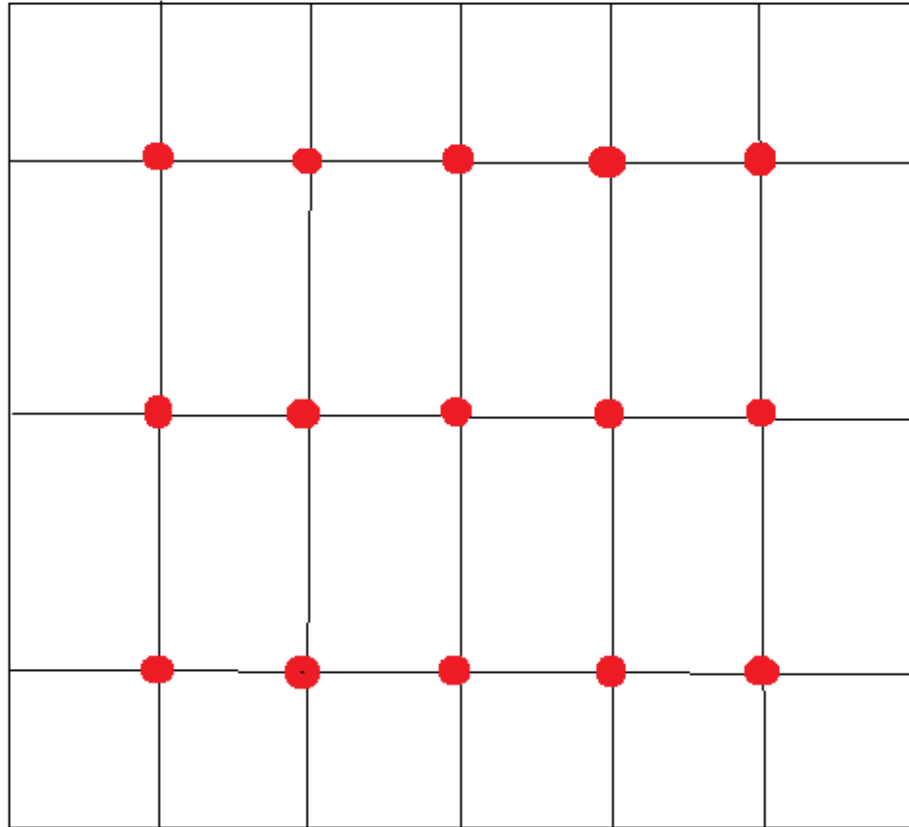
# Backup

- After Run 3 and 4 some QGP features will still remain unrevealed
- Qualitative steps needed in detector performance and statistics
  - next-generation heavy-ion experiment!

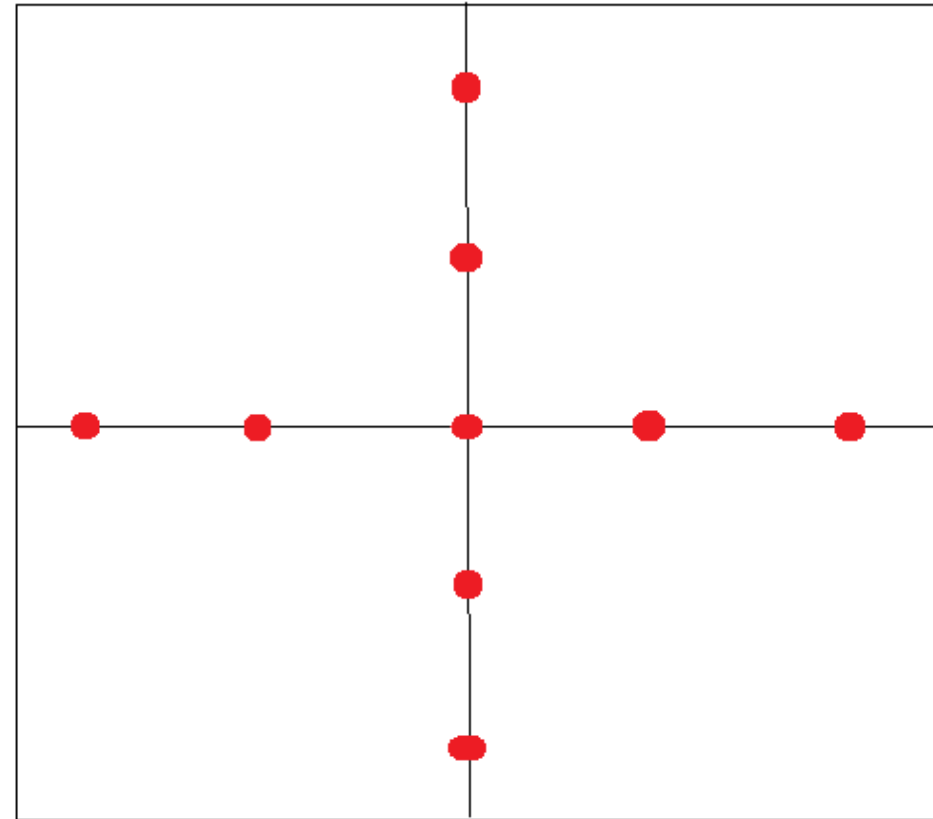
## Key physics objects and the respective kinematic ranges of interest for ALICE 3

Observables	Kinematic range
Heavy-flavour hadrons	$p_T \rightarrow 0,$ $ \eta  < 4$
Dielectrons	$p_T \approx 0.05$ to $3 \text{ GeV}/c,$ $M_{ee} \approx 0.05$ to $4 \text{ GeV}/c^2$
Photons	$p_T \approx 0.1$ to $50 \text{ GeV}/c,$ $-2 < \eta < 4$
Quarkonia and exotica	$p_T \rightarrow 0,$ $ \eta  < 1.75$
Ultrasoft photons	$p_T \approx 1$ to $50 \text{ MeV}/c,$ $3 < \eta < 5$
Nuclei	$p_T \rightarrow 0,$ $ \eta  < 4$

# Measuring points



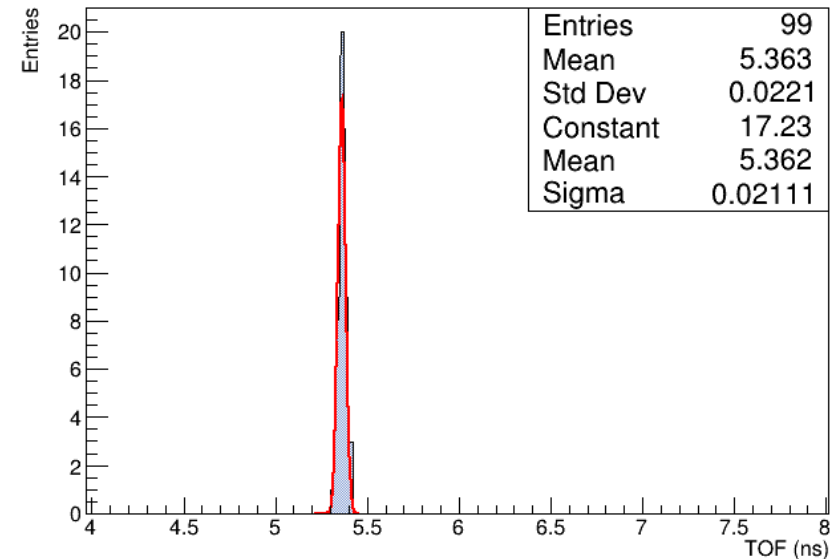
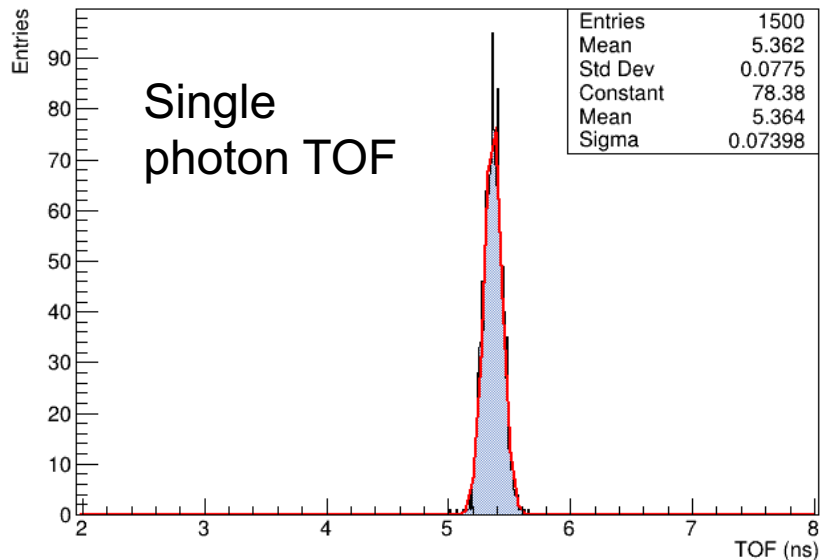
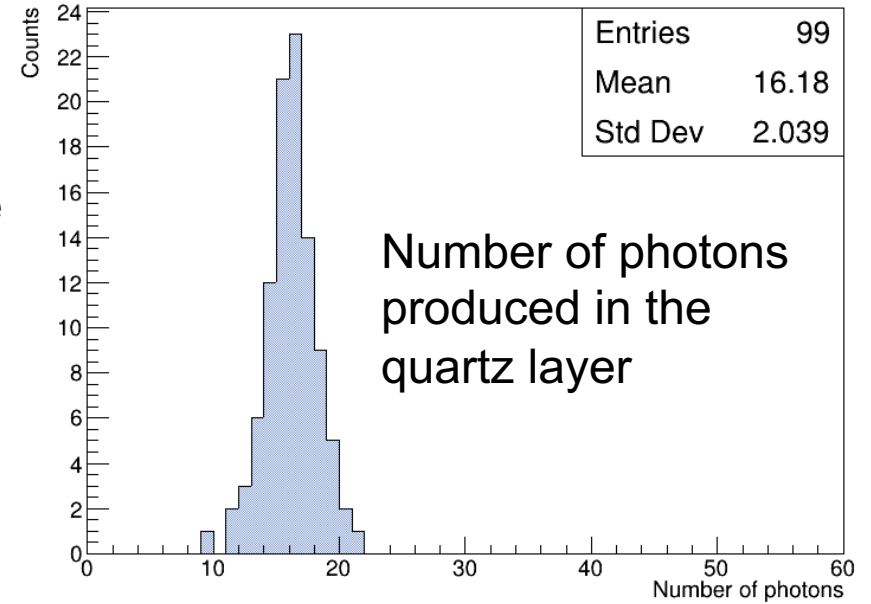
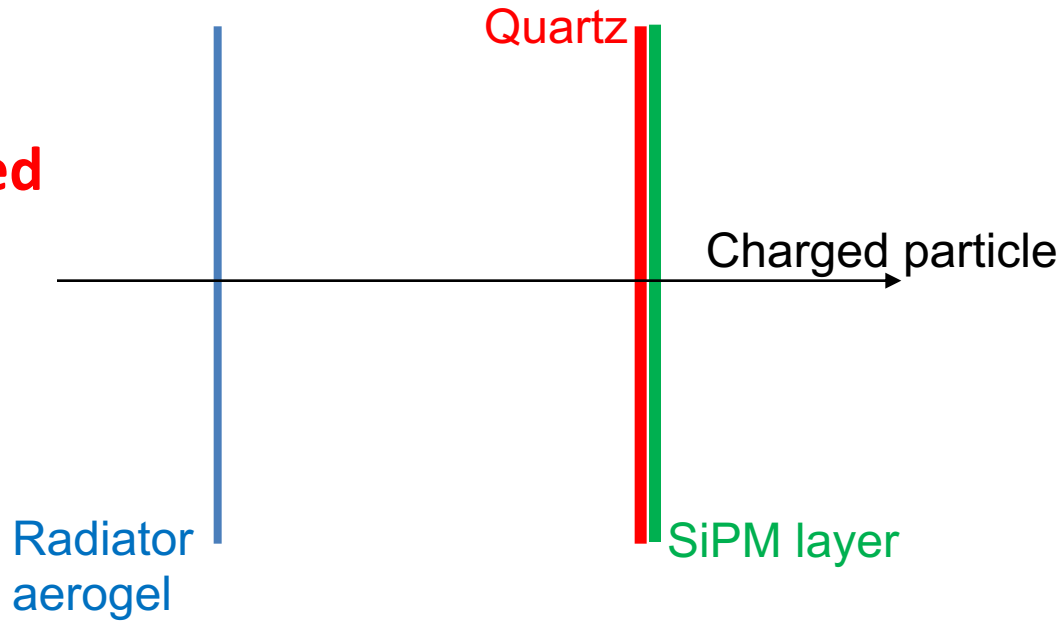
10x10 cm



15x15 cm

# Ongoing and future studies: photon detector

## A step forward: Cherenkov-based TOF system



# Ongoing and future studies: photon detector

**3 mm x 3 mm cells, SPTR = 50 ps**

## Normal incidence

Material	Thickness (mm)	Time resolution (ps)
SiO2	5	12.3
	3	11.7
	1	24.9
MgF2	5	14.2
	3	14.9
	1	49.4
Crown	5	8.5
	3	12.0
	1	16.4

## MIP at 40° wrt normal

Material	Thickness (mm)	Time resolution (ps)
SiO2	5	8.5
	3	10.8
	1	17.4
MgF2	5	8.3
	3	10.8
	1	20.2
Crown	5	8.5
	3	11.1
	1	14.9

**3 mm x 3 mm cells, SPTR = 60 ps**

## Normal incidence

Material	Thickness (mm)	Time resolution (ps)
SiO2	5	14.9
	3	14.0
	1	29.8
MgF2	5	17.0
	3	18.0
	1	59.0
Crown	5	10.2
	3	14.3
	1	19.6

## MIP at 40° wrt normal

Material	Thickness (mm)	Time resolution (ps)
SiO2	5	10.2
	3	12.9
	1	20.8
MgF2	5	9.9
	3	12.9
	1	24.2
Crown	5	10.2
	3	13.2
	1	17.9

**3 mm x 3 mm cells, SPTR = 70 ps**

## Normal incidence

Material	Thickness (mm)	Time resolution (ps)
SiO2	5	17.3
	3	16.3
	1	34.6
MgF2	5	19.8
	3	20.9
	1	68.4
Crown	5	11.9
	3	16.7
	1	23.0

## MIP at 40° wrt normal

Material	Thickness (mm)	Time resolution (ps)
SiO2	5	12.0
	3	15.0
	1	24.3
MgF2	5	11.5
	3	15.1
	1	28.2
Crown	5	11.9
	3	15.5
	1	20.9

# Preparing for October 2022 test beam

- New photo-detector based on Hamamatsu sensors arranged in strip of 128 13552 (0.25x1.62 mm<sup>2</sup>) and matrix of 8x8 13361-3050AE (3x3 mm<sup>2</sup>)

