# HPDIRC - THE DIRC DETECTOR FOR THE FUTURE ELECTRON ION COLLIDER EXPERIMENT





RICH2022, Edinburgh, Sep 12-16, 2022



Detection of Internally Reflected Cherenkov Light

## The high-performance DIRC (hpDIRC) was selected as the barrel PID system for the future electron ion collider (EIC) experiment (ePIC) at BNL

Fast focusing DIRC concept, PID goal:  $\geq$  3 s.d.  $\pi/K$  up to 6 GeV/c,  $\geq$  3 s.d.  $e/\pi$  up to ~1.2 GeV/c

Conceptual design advanced, many important performance aspects were validated in particle beams Technical designs in progress, aiming for TDR-readiness in 2024 for CD2/3a

- > PID Requirements for the EIC
- hpDIRC Design and Components
- Prototyping and Performance Validation
- > Outlook



## CHARGED PID AT THE EIC

EIC physics requires clean and efficient separation of

- > Electrons from photons ->  $4\pi$  coverage in tracking
- Electrons from charged hadrons -> mostly provided by calorimetry
- > Charged pions, kaons, and protons from each other
  - > over a wide range  $|\eta| ≤ 3.5$
  - with better than 3σ separation
  - contribute to pion/electron suppression
  - > momentum-rapidity coverage (for  $\pi/K$ ):
    - Hadron side: up to 50 GeV/c (dRICH)
    - Central: up to 6 GeV/c (hpDIRC)
    - Electron side: up to 10 GeV/c (mRICH)

-> Suite of Cherenkov detectors (plus TOF at low momentum)

see presentations on mRICH (Xiaochun, Thu) and dRICH (Chandra, Tue; Roberto, Thu)





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EIC Yellow Report, arXiv:2103.05419

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EIC requires increasing BaBar DRC  $\pi/K$  momentum coverage by 50%

-> R&D for a high-performance EIC DIRC started in 2011 (BNL/DOE funding via eRD program) EIC Yellow Report, arXiv:2103.05419



Schematic view of RICH systems in EIC reference detector



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## IMPROVING ON THE BABAR DIRC

- > Make DIRC less sensitive to background (main challenge for BABAR and SuperB)
  - decrease size of expansion volume, replace water as medium, add focusing optics;
  - $_{\circ}$   $\,$  find a way to place photon detector inside magnetic field.
- > Investigate alternative radiator shapes (plates, disks), develop endcap device
- > Push DIRC  $\pi/K$  separation to higher momentum

$$\sigma_{\theta_c}(particle) \approx \sqrt{\left(\frac{\sigma_{\theta_c}(photon)}{\sqrt{N_{\gamma}}}\right)^2 + \sigma_{correlated}^2}$$

- improve angular resolution of tracking system, mitigate multiple scattering impact;
- use photon detectors better PDE, improve Cherenkov angle resolution per photon.

$$\sigma_{\theta_c}(photon) \approx \sqrt{\sigma_{bar}^2 + \sigma_{pix}^2 + \sigma_{chrom}^2}$$

#### BABAR DIRC $\sigma_{\theta_c}(photon) = 9.6 \text{ mrad}$

#### Limited in BABAR by:

- size of bar image
- size of PMT pixel
- chromaticity (n=n(λ))

- Improve for future DIRCs via:
- focusing optics
- smaller pixel size
- better time resolution





9.6 mrad  $\rightarrow$  5-6 mrad per photon  $\rightarrow$  1 mrad per particle (EIC goal) in reach

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~4.1 mrad

~5.5 mrad

~5.4 mrad

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## HIGH-PERFORMANCE DIRC OVERVIEW

#### A recipe for extending DIRC $\pi/K$ separation coverage to 6 GeV/c

- > Concept: fast focusing DIRC, utilizing high-resolution 3D (x,y,t) reconstruction.
- > Radiation-hard 3-layer spherical lens to reduce bar image size and shape imaging plane;
- > Lifetime-enhanced MCP-PMTs with fine anode segmentation to reduce pixel size;
- Fast photon timing for chromatic dispersion mitigation;
- > Narrow bars for robust performance in high-multiplicity jet events (reuse BaBar DIRC bars?);
- > Compact expansion volume to simplify integration into central detector.
- Benefit from additional EPIC detector improvements:
  - high-precision tracking, expect 0.5mrad polar angle resolution;
  - > post-DIRC tracking layer (LGAD or MPGD) for multiple scattering mitigation.
- > Predicted performance:  $3\sigma \pi/K$  separation up to at least 6 GeV/c

for rapidity range  $-1 \le \eta \le +1$  (Cherenkov angle resolution  $\le 1$ mrad), supplemental  $e/\pi$  separation up to 1.2 GeV/c.





## DETECTOR-1 (EPIC) HPDIRC BASELINE DESIGN

#### Radiator bars:

- Size: 4200mm x 35mm x 17mm (L x W x T)
- Barrel: 720mm radius, 12 bar boxes, 10 long bars per bar box long bar: 4 bars glued end-to-end, flat mirror on far end baseline design: reuse of BaBar DIRC bars (R&D started)
- Focusing optics:

Radiation-hard 3-layer spherical lens (sapphire or PbF<sub>2</sub>)

Expansion volume:

Solid fused silica prism: 24 x 36 x 30 cm<sup>3</sup> (H x W x L)

Readout system:

MCP-PMT Sensors (e.g. Photek/Photonis/Incom) ASIC-based Electronics (e.g UH/Nalu Scientific)

 Several core design aspects, as well as detailed Geant simulation, validated in PANDA Barrel DIRC beam tests (prototype tests in cosmic rays and test beams in preparation)





## EXPECTED HPDIRC PERFORMANCE



 $\rightarrow$  3 s.d.  $\pi/K$  separation at 6 GeV/c and 1 mrad Cherenkov angle resolution seems to be in reach ... but why should we believe the Geant prediction?

## VALIDATION: PANDA BARREL DIRC SYNERGY

#### Performance validation: synergetic beam test with PANDA prototype at CERN PS in 2018

- > Narrow fused silica bar, 3-layer spherical lens
- > 30 cm-deep fused silica prism
- 2x4 PHOTONIS Planacon MCP-PMT array (larger pixels, slower readout electronics than EIC)
- PiLas picosecond laser calibration system
- > 7 GeV/c  $\pi$ /p beam equivalent to 3.5 GeV/c  $\pi$ /K
- $\succ$  MCP-TOF system to cleanly tag  $\pi$  and p events





Schematic view of 2018 prototype





MCP-PMT array

Frontend electronics (PADIWA) (air-cooled)

#### DAQ boards (TRB)

## PANDA BARREL DIRC PROTOTYPE

#### Performance validation: 2018 prototype at CERN PS







Measured Cherenkov angle resolution per photon (SPR), photon yield, and
 π/K separation in excellent agreement with expectation and Geant4 simulation

- > Achieved  $\pi/K$  separation power of N<sub>sep</sub>=5.0 s.d. with time imaging reconstruction for PANDA configuration, will improve with smaller pixels, better PDE and timing
- Same simulation/reconstruction code used for EIC high-performance DIRC
   -> good degree of confidence in Geant prediction for hpDIRC performance

(see also Roman's talk on Monday)

## FROM PANDA TO HPDIRC PROTOTYPE

#### Performance validation: 2018 prototype at CERN PS



#### Geant simulation of hpDIRC prototype



- > Measured Cherenkov angle resolution per photon (SPR), photon yield, and  $\pi/K$  separation in excellent agreement with expectation and Geant4 simulation
- > Achieved  $\pi/K$  separation power of N<sub>sep</sub>=5.0 s.d. with time imaging reconstruction for PANDA configuration, will improve with smaller pixels, better PDE and timing
- Same simulation/reconstruction code used for EIC high-performance DIRC
   -> good degree of confidence in Geant prediction for hpDIRC performance
- Expected π/K separation of future prototype at 6 GeV/c at 20°: 3.1 s.d.
   (assuming new MCP-PMTs with smaller pixels and electronics, 100ps single p.e. timing)
- > Transfer of PANDA Barrel DIRC prototype to US for hpDIRC tests in fall 2022





## HPDIRC: REMAINING R&D

Main objective: To validate the PID performance of a cost-optimized hpDIRC design for with a vertical-slice prototype by FY24

- Currently evaluating components and prototypes in DIRC labs (ODU, JLab, GSI, BNL)
- Cosmic ray telescope (SBU) will be used for incremental hpDIRC prototype assembly, readout integration, and preparation for possible particle beam test in Fermilab
- Key prototype components transferred from PANDA Barrel DIRC will be used to save cost and time
- Primary R&D focus on bars, lenses, MCP-PMTs, readout electronics





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#### **Opportunity: Tests of DIRC Prototype with Cosmic Rays**

- Crowded beam test schedules validate hpDIRC with cosmic muons
- > Work on mechanical and readout aspects of hpDIRC prototype
- Collaboration of CUA GSI ODU SBU to develop cosmic ray telescope (CRT) design and measurement plan

#### Current design:

- Momentum selection: new CO<sub>2</sub> Cherenkov threshold tagger (> ~3.5 GeV/c)
- > 3D tracking: two GEM tracker stations (from sPHENIX) above and below
   DIRC bar, potentially combined with TPC prototype
- > Shower rejection: scintillator plates as veto counters
- > T<sub>0</sub> start counter: MCP-PMT/LAPPD or PICOSEC-Micromegas counter
- > Mechanical design progressing, prototype polar angle rotation foreseen
- Geant simulation package in preparation



## **PROTOTYPE PLANS**

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## HPDIRC: BARS

#### Opportunity and technical challenge: Reuse of BaBar DIRC bars

- > DIRC bars notoriously expensive and difficult to produce BaBar DIRC bars available for reuse
- Bar width and thickness fully compatible with latest hpDIRC design
- > Reuse potentially saves approximately \$5M in cost, reduces technical and schedule risk
- Full-size bar boxes are too long, do not fit into EIC central detector,
   wedges deteriorate resolution: need to disassemble bar boxes for reuse
- Eight bar boxes located at SLAC, awarded to JLab for potential use in EIC For reasonably high yield of high-quality bars: number of bars sufficient for EPIC hpDIRC
- Detailed plan developed with SLAC DIRC experts to open bar boxes and decouple long bars into short bars in SLAC DIRC lab using heat gun (Matt McCulloch and Jerry Va'vra involved)
- Bars will be shipped to new QA DIRC lab at JLab to measure quality of bar surfaces with laser system, validate disassembly method using HeCd laser scans (reflection coefficient).
   (Risks: deterioration after 20+ years in bar box, contamination of bar surfaces from opening of box and from heat gun disassembly)









## HPDIRC LENS FOCUSING

#### Technical challenge: lens focusing

Barrel DIRC counters (PANDA, EIC) require focusing for wide range of photon angles Conventional plano-convex lens with air gap limits DIRC performance

- Significant photon yield loss for particle polar angles around 90°, gap in DIRC PID
- Distortion of image plane, PID performance deterioration

Innovative solution:

3-layer compound lens (without air gap):

layer of high-refractive index material (focusing/defocusing) sandwiched between two layers of fused silica

- Creates flat focal plane matched to fused silica prism shape
- Avoids photon loss and barrel PID gap
- > Detailed radiation-hardness studies performed with <sup>60</sup>Co source, neutron irradiation next
- Lanthanum crown glass (LaK33B) for PANDA, rad-hard sapphire or PbF<sub>2</sub> for EIC
- Performance of spherical 3-layer lenses validated with PANDA Barrel DIRC prototype



fused silies writers share



Geant4 simulation: photon yield



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#### > Detailed scans of lens focusing properties with laser in optical lab at ODU

# 



#### Radiation-hard 3-layer lens prototypes



#### Radiation hardness of sapphire



#### Sensor development has been crucial to DIRC progress

Main hpDIRC development directions: Smaller pixels and faster single photon timing

- reduces sensitivity to backgrounds
- improves Cherenkov angle resolution per photon
- > allows chromatic dispersion mitigation

#### Main challenge: Maintain fast timing and single photon sensitivity

- > in high magnetic fields for compact camera designs (up to 3 Tesla for EIC?)
- > after large ionizing radiation doses and neutron fluxes
- during long lifetime (10-20+ C/cm<sup>2</sup> integrated anode charge)
- > during high interaction rates and photon hit rates (MHz/cm<sup>2</sup>)
- > for high hit multiplicities per event (coherent oscillation?)





**DIRC SENSOR REQUIREMENTS** 

(see also Steffen talk tomorrow)



## **DIRC SENSOR REQUIREMENTS**

### Sensor development has been crucial to DIRC progress

#### Single photon detection

- > excellent rms timing precision, more important than simple TTS
- > reduce tails in timing distribution by increasing PC-MCP voltage

High photon yield (up to 120 photoelectrons per particle, multiple particles per sector)

- need long lifetime (often >10-20 C/cm<sup>2</sup>)
- need highly pixelated readout, likely DC-coupled (capacitive coupling could be difficult due to ambiguities)
- need tolerance for high occupancy per sensor (concern about coherent oscillations)

Long photon propagation paths in bar (arrival time often spread over >30ns)

need low noise rates (coincidence timing very difficult/impossible to use)



A. Lehmann TIPP2021 (updates tomorrow)



#### Challenge: single photon detection with 100ps precision in high B fields

Multi-anode Photomultipliers (MaPMTs)

used successfully in DIRC prototypes, sensor of choice for SuperB FDIRC, GlueX DIRC cost-efficient but do not work in magnetic fields, serious challenge for DIRC integration

HPDIRC SENSOR OPTIONS

Geiger-mode Avalanche Photo Diodes (SiPMs)

high dark count rate problematic for reconstruction (DIRCs need large time window) radiation hardness a serious issue  $\rightarrow$  cryogenic operation and annealing? cost-efficient, could be a good candidate in the future, needs further R&D

#### DIRC sensor location in PANDA, B~1T





#### Micro-channel Plate Photomultipliers (MCP-PMTs)

good gain and PDE, excellent timing and magnetic field performance up to 2T previous issues with rate capability and aging resolved in recent years multiple vendors available Hamamatsu, Photek, Photonis (, and LAPPD)



(see also Albert's talk tomorrow)

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## HPDIRC READOUT ELECTRONICS

- hpDIRC unique readout requirements:
  - Sensors (Large number of small pixels; Fast single photon timing; Relatively high photon rates and sensor occupancies)
  - Performance requirements (fast timing, triggerless streaming, data reduction, bandwidth, latency and throughput)
  - Critical factors (e.g. power consumption, integration issues at the detector front end)
- The close collaboration between Nalu and UH was established several years ago in the design, fabrication and deployment of the Belle II DIRC TOP detector (below left), which shares many similarities to the hpDIRC.
- High Density digitizer System on a Chip (HDSoC):New front-end readout system based on the waveform sampling low-power, higher-performance SiREAD ASICs.
  - ASICs integrated on boards directly matching the footprint of the sensor
  - Readout cards are arranged as a board stack, placed behind the photosensor, permitting seamless abutting of the sensor array
  - A simple and standard power and serial interface allows groups of 256- anode devices to be collected into a single ethernet acquisition node

#### 32-chnl test HDSoC ASIC



#### HDSoC ASIC parameters:

Specifications
64
1-2 GSa/s
4096
0.7-1.1 GHz
<1mV
10-11 bits
2.1 V
12-bits
on chip
Serial LVDS
20-40 mW/ch

## CHROMATIC DISPERSION IN DIRCS

#### Technical challenge: properties of synthetic fused silica

- Pros: Optically transparent over wide wavelength range
   Shown to be radiation hard at Mrad levels
   Can be polished to excellent surface finish (few Å *rms* roughness)
- Cons: Production process can produce inclusions (bubbles) in bulk material or layers with optical index variations (striae)
   Dispersion of refractive index impacts angular resolution

Impact of chromatic dispersion on Cherenkov angle resolution

For  $\beta=1$ :  $\theta_{C}=813...834$  mrad (for  $300 \le \lambda \le 700$  nm photons produced in FS)

 $\rightarrow$  significant contribution to Cherenkov angle resolution per photon

Several approaches to dispersion mitigation are being investigated:

Limit wavelength range (custom photocathode or band filter) Use transition to different refractive index (LiF prism)

Use fast photon timing to tag photon wavelength using time dispersion → SuperB fDIRC first to demonstrate feasibility of this method (JS, RICH2007)





## CHROMATIC DISPERSION CORRECTION

#### Example from PANDA Barrel DIRC prototype beam test at CERN in 2018:

(see also Roman's talk on Monday)

#### before correction

PANDA Barrel DIRC prototype at CERN PS, 7 GeV/c, mixed hadron beam, 90° polar angle
Cherenkov angle corrected by normalized photon propagation time difference (calculated using average wavelength of 370nm, 196.5mm/ns photon velocity)



#### after chromatic correction by photon timing



# Clear improvement of Cherenkov angle resolution per photon after correction

(beam test with modest timing precision (~200ps) and moderate photon path (1m-3.3m); expect better timing, longer paths, larger correction effect for EIC hpDIRC)

## EXPECTED HPDIRC PERFORMANCE

#### Challenge: $e/\pi$ separation at low momentum

- > Yellow report effort identified need for supplemental  $e/\pi$  suppression from PID systems to support EM calorimeter at lower momentum
- Simulation shows that ID of scattered electron requires O(10<sup>4</sup>) suppression of large pionic background
- hpDIRC e/π performance at low momentum very different from high-momentum domain, dominated by multiple scattering (MS) and EM showers in DIRC bars
- > Without any MS mitigation: > 3 s.d.  $e/\pi$  separation at 1.2 GeV/c (caveat: tails)
- Study of potential improvements from DIRC "ring center fit" and impact of additional MPGD tracking layer outside DIRC radius starting (also expected to further improve high-momentum π/K separation)









## FROM PHOTON TIMING TO EVENT TIMING

#### **DIRC RECONSTRUCTION**



- Timing information not used for PID but crucial in dealing with accelerator-induced background
- > Powerful DIRC timing variable: difference between measured and calculated photon arrival time
- Backgrounds from other tracks can be efficiently suppressed
- hpDIRC sensor timing factor >10 better than BaBar

J.S., DIRC2009

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Calculate average time of Cherenkov light emission per particle

from difference between measured and calculated arrival time for each detected photon

Good precision for large number of photoelectrons, can be useful as TOF "stop time" if event T<sub>0</sub> is known



#### **Opportunity: Cost saving and performance improvement**

- EIC detector barrel length requires additional fused silica bars or plate to connect BaBar DIRC bars to prism
- Narrow bars could be obtained by cutting and repolishing BaBar DIRC bars or by ordering new bars from industry
- At RICH 2016 J. Va'vra showed the "ultimate fDIRC" concept for SuperB with then best-in-class predicted DIRC performance
   Concept: use single short wide plate as transition light guide between BaBar DIRC bars and expansion volume
- For EIC hpDIRC design: use plate as lightguide between BaBar DIRC bars and prism, combine with lens focusing
- Would significantly reduce cost compared to new narrow bars and potentially improve hpDIRC performance



GEANT4 visualization of hybrid of bars and plate in each sector

## **EIC** REFERENCE SCHEDULE



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## BARREL DIRC OVERVIEW

	BABAR DIRC	BELLE II TOP	PANDA BARREL DIRC	EPIC HPDIRC*
Radiator geometry	Narrow bars (35mm)	Wide plates (450mm)	Narrow bars (53mm)	Narrow bars (35mm)
Barrel radius	85cm	115cm	48cm	72cm
Bar length	490cm <i>(4×122.5)</i>	250cm <i>(2×125)</i>	240cm (2×120)	420cm (3×122.5 + 1x52.5)
Number of long bars	144 (12×12 bars)	16 (16×1 plates)	48 (16×3 bars)	120 (12×10 bars)
Expansion volume	110cm, ultrapure water	10cm, fused silica	30cm, fused silica	30cm, fused silica
Focusing	None (pinhole)	Mirror (for some photons)	Spherical lens system	Spherical lens system
Photodetector	~11k PMTs	~8k MCP-PMT pixels	~8k MCP-PMT pixels	~74k MCP-PMT pixels
Timing resolution	~1.5ns	<0.1ns	~0.1ns	~0.1ns
Pixel size	25mm diameter	5.6mm×5.6mm	6.5mm×6.5mm	3.2mm×3.2mm
PID goal	3 s.d. π/K to 4 GeV/c	3 s.d. π/K to 4 GeV/c	3 s.d. π/K to 3.5 GeV/c	3 s.d. π/K to 6 GeV/c
Timeline	1999 - 2008	Running (installed 2016)	Installation ~2025	TDR-ready in 2024

\*Preliminary design

> The Electron Ion Collider is being developed on an aggressive schedule

SUMMARY & OUTLOOK

- to become the next major facility for nuclear physics in the USA
- > The hpDIRC was selected for the barrel PID of the EIC Detector-1 (EPIC)
- > Fast focusing DIRC concept, improving on BaBar, Belle-II, PANDA, etc
- ≻ Expected PID: ≥ 3 s.d.  $\pi/K$  up to 6 GeV/c, ≥ 3 s.d.  $e/\pi$  up to ~1.2 GeV/c
- Conceptual design well-advanced (simulation and lenses validated in particle beams)
- Key elements of the hpDIRC are the novel radiation-hard, multi-layer spherical lens, small-pixel photosensors, and fast readout electronic.
- Next steps towards a TDR in 2024: design optimization, full system prototype, investigating possibility of reusing BaBar DIRC radiator bars.

## Thank you all for your attention.







## **Extra Slides**

## DETECTOR-1 HPDIRC BASELINE DESIGN



ECCE proposal hpDIRC configuration

- <u>10 bars</u> side by side per bar box
- <u>12 bar boxes</u>
- 20mm rib width between bar boxes
- Barbox width: 362mm
- Barbox thickness: 29mm
- Middle hpDIRC radius: 729.6mm
- Minimum hpDIRC radius: 715.1mm
- Maximum hpDIRC radius: 765.8mm
- hpDIRC total radial thickness 50.7mm
- Azimuthal coverage 91.6%

## HPDIRC SIMULATION

- Realistic geometry and material properties based on prototypes, with wavelength-dependent material properties and processes with all relevant resolution terms
- Performance in EIC Reference Detector simulation matches standalone simulation results
- Standalone simulation validated with test beam data results
- Performance largely independent of number of sectors, barrel radius, and bar length!



Geant4: hpDIRC designs to scale





## Detection of Internally Reflected Cherenkov Light

**DIRC CONCEPT** 

DIRC: Compact subtype of RICH detector

utilizing total internal reflection of Cherenkov photons in a solid radiator medium

- Charged particle traversing solid radiator, refractive index n
- For n>√2 some photons are always totally internally reflected for β ≈1 tracks
- Radiator: bar, plate, or disk,
   typically made from Synthetic Fused Silica ("Quartz")
- > Mirror attached to one bar end, reflects photon back to readout end.
- Photons exit radiator via optional focusing optics into expansion region, detected on photon detector array.







- Magnitude of Cherenkov angle conserved during many internal reflections (provided optical surfaces are square, parallel, highly polished)
- Quartz bar/plate/disk both radiator and light guide, transporting photons away from crowded central detector to suitable sensor location
- DIRC is intrinsically a 3-D device, measuring: x, y, and time of Cherenkov photons, defining θ<sub>c</sub>, φ<sub>c</sub>, t<sub>propagation</sub>.
- > Ultimate deliverable for DIRC: PID likelihoods.

DIRC hit patterns are not typical Cherenkov rings. Different DIRCs use different reconstruction approaches to provide likelihood for observed hit pattern (in detector space or in Cherenkov space) to be produced by  $e/\mu/\pi/K/p$  plus event/track background. DIRC requires momentum and position of particle measured by tracking system.







Hit pattern BABAR DIRC

Accumulated hit pattern PANDA Barrel DIRC

## **BABAR DIRC**



Single photon timing resolution	1.7 ns
Single photon Cherenkov angle resolution	~10 mrad
Photon yield	20-60 photons per track
Track Cherenkov angle resolution	2.4 mrad (di-muons)
$\pi/K$ separation power	4.3 σ @ 3 GeV/c, ~3σ @ 4 GeV/c



Excellent performance: very reliable, robust, easy to operate, significant contribution to almost all BABAR physics results.

Nucl.Instrum.Meth. A 538 (2005) 281

momentum (GeV/c)

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4