

# Construction of a third order approximation for heavy flavour production in deep inelastic scattering

Master Thesis in Physics at the University of Rome “La Sapienza”  
Supervisor: Dr. Marco Bonvini

Niccolò Laurenti

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1 Introduction

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3 Results

- In the parton model the hadronic structure functions for deep inelastic scattering (DIS) are computed as

$$F_a(x, Q^2) = x \sum_{i=q, \bar{q}, g} \int_x^1 dz f_i(z, \mu_F^2) C_{a,i} \left( \frac{x}{z}, Q^2, \mu_R^2, \mu_F^2, \alpha_s(\mu_R^2) \right)$$

with  $a = 2, L$

- $f_i$  are the parton distribution functions (PDF).
- $C_{a,i}$  are the coefficient functions (partonic structure functions for DIS) and are computed as an expansion in  $\alpha_s$ :

$$C_{a,i} = C_{a,i}^{(0)} + \alpha_s C_{a,i}^{(1)} + \alpha_s^2 C_{a,i}^{(2)} + \alpha_s^3 C_{a,i}^{(3)} + \dots$$

- Massless coefficient functions: known exactly up to  $\mathcal{O}(\alpha_s^3)$

$$C_{a,i}^{\text{light}}(z) = C_{a,i}^{\text{light}(0)}(z) + \alpha_s C_{a,i}^{\text{light}(1)}(z) + \alpha_s^2 C_{a,i}^{\text{light}(2)}(z) + \alpha_s^3 C_{a,i}^{\text{light}(3)}(z) + \dots$$

$$a = 2, L \text{ and } i = q, \bar{q}, g$$

- Massive coefficient functions: known exactly up to  $\mathcal{O}(\alpha_s^2)$

$$C_{a,i}(z) = \alpha_s C_{a,i}^{(1)}(z) + \alpha_s^2 C_{a,i}^{(2)}(z) + \alpha_s^3 C_{a,i}^{(3)}(z) + \dots$$

$C_{a,i}^{(3)}(z)$  is not fully known yet.

The subject of the thesis has been the construction of an approximation for the gluon coefficient function in heavy quark production for  $F_2$  at  $\mathcal{O}(\alpha_s^3)$ .

- $C_{a,i}^{(3)}(z, Q^2, \mu^2)$  can be decomposed as (where  $\mu \equiv \mu_F = \mu_R$ )

$$C_{a,i}^{(3)}(z, Q^2, \mu^2) = C_{a,i}^{(3,0)}(z, Q^2) + C_{a,i}^{(3,1)}(z, Q^2) \log \frac{\mu^2}{m^2} + C_{a,i}^{(3,2)}(z, Q^2) \log^2 \frac{\mu^2}{m^2}$$

- The  $\mu$ -dependent part is exactly known
- The only unknown part is the  $\mu$ -independent one

Therefore, we constructed an approximation for the  $\mu$ -independent part and then we reinserted the exact  $\mu$ -dependent one:

$$C_{a,i}^{\text{approx}(3)}(z, Q^2, \mu^2) = C_{a,i}^{\text{approx}(3,0)}(z, Q^2) + C_{a,i}^{(3,1)}(z, Q^2) \log \frac{\mu^2}{m^2} + C_{a,i}^{(3,2)}(z, Q^2) \log^2 \frac{\mu^2}{m^2}$$

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- We started from the results of [Kawamura, Lo Presti, Moch, Vogt: arXiv:1205.5727]
- It uses the fact that even if  $C_{2,g}^{(3,0)}$  is not known, its limits in three kinematic regions are known. Such regions are:
  - High-scale limit ( $Q^2 \gg m^2$ )
  - High-energy limit ( $s \rightarrow \infty$ , where  $s$  is the partonic center-of-mass energy and is given by  $s = Q^2(1/z - 1)$ )
  - Threshold limit ( $s \rightarrow s_{\min} = 4m^2$ )
- Combining these functions we can obtain a function that approaches the exact coefficient function in these regions, and that is an interpolation of the three functions in the intermediate regions.



# High-scale limit

- $Q^2 \gg m^2$  limit.
- Neglects power terms  $(\frac{m^2}{Q^2})^k$  but keeps logarithmic terms  $\log^h(\frac{m^2}{Q^2})$ .
- Known exactly up to  $\mathcal{O}(\alpha_s^2)$ , while at  $\mathcal{O}(\alpha_s^3)$  some terms are known only in approximate form.
- Doesn't approximate the exact function for  $Q^2 \sim m^2$ .
- Cannot describe the large- $z$  region for any  $Q^2$  since in this region the mass effects are not negligible.

## High-scale limit

$$C_{2,g} \Big|_{Q^2 \gg m^2} = \sum_{j=q, \bar{q}, g, c, \bar{c}} C_{2,j}^{\text{light}} \otimes K_{jg}$$

# High-energy limit

- Limit for  $s \rightarrow \infty$  with  $s = Q^2(1/z - 1)$ .
- Therefore, it is the limit for  $z \rightarrow 0$  with  $Q^2$  fixed.
- It is constructed as an expansion for small- $z$ :

## High-energy limit

$$zC_{2,g}^{(1)} = 0$$

$$zC_{2,g}^{(2)} = a_2 \log^0 z$$

$$zC_{2,g}^{(3)} = a_3 \log z + b_3 \log^0 z$$

$$zC_{2,g}^{(4)} = a_4 \log^2 z + b_4 \log z + c_4 \log^0 z$$

*LL*

*NLL*

*NNLL*

- Known exactly up to leading logarithm (LL), while at next-to-leading logarithm (NLL) is known only in an approximate form.

- Limit for  $s \rightarrow 4m^2$ , i.e. for  $z \rightarrow z_{\max} = \frac{1}{1+4m^2/Q^2}$
- It gives a good approximation of the exact coefficient function in the threshold region, for all the values of  $Q^2$ .
- Known exactly up to  $\mathcal{O}(\alpha_s^2)$ , while at  $\mathcal{O}(\alpha_s^3)$  some terms are known only in approximate form.
- It is constructed in such a way that it goes to zero for  $z \rightarrow 0$ .

## Missing terms at $\mathcal{O}(\alpha_s^3)$

- We have said that the three limits are known exactly up to  $\mathcal{O}(\alpha_s^2)$
- In fact, some terms needed for the construction of these functions at  $\mathcal{O}(\alpha_s^3)$  are known only in an approximate form:

- $C_{2,g}|_{Q^2 \gg m^2} = \sum_{j=q,\bar{q},g,c,\bar{c}} C_{2,j}^{\text{light}} \otimes K_{jg}$ . Where  
 $K_{Qg}^{(3)} = k_{Qg}^{(3)} + (\mu\text{-dependent part})$

- $zC_{2,g}^{(3,0)}|_{s \rightarrow \infty} = a_3 \log z + b_3 \log^0 z$

- $C_{2,g}^{(3,0)}|_{s \rightarrow 4m^2} = C_{2,g}^{(3,0)}|_{s \rightarrow 4m^2}^{\text{const}} + (\beta\text{-dependent part})$

- The approximate expressions of  $k_{Qg}^{(3)}$  and  $C_{2,g}^{(3,0)}|_{s \rightarrow 4m^2}^{\text{const}}$  have been taken from [Alekhin, Blümlein, Moch, Placakyte: arXiv:1701.05838] and [Kawamura, Lo Presti, Moch, Vogt: arXiv:1205.5727]
- The approximation for  $b_3$  has been computed from small- $x$  resummation.

# Combination of the limits

- In [Kawamura, Lo Presti, Moch, Vogt: arXiv:1205.5727] the three limits are combined in the following way:

Approximation from [Kawamura, Lo Presti, Moch, Vogt: arXiv:1205.5727]

$$C_{2,g}^{(3,0)\text{approx}} = C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2} f_1\left(z, \frac{Q^2}{m^2}\right) + C_{2,g}^{(3,0)} \Big|_{s \rightarrow \infty} f_2\left(z, \frac{Q^2}{m^2}\right) + C_{2,g}^{(3,0)} \Big|_{Q^2 \gg m^2} f_3\left(z, \frac{Q^2}{m^2}\right)$$

- The three limits are treated as independent contributions, each one with its own damping function.
- The form of the damping functions has been extracted applying the approximation to the (known) NNLO and comparing the approximate and the exact results.

# Combination of the limits

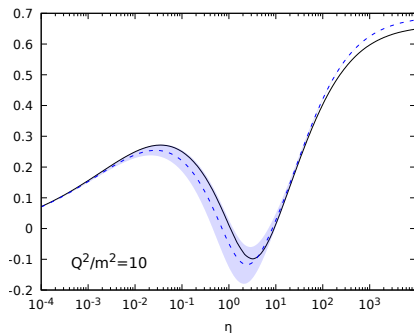
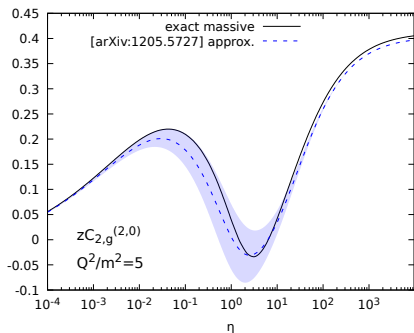
- The approximation proposed in [Kawamura, Lo Presti, Moch, Vogt: arXiv:1205.5727] is the central value of the band given by the two extremes:

$$\begin{aligned} C_{2,g}^{(3,0)\text{approx,A}} &= C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2}' + (1 - f(Q^2/m^2)) \beta C_{2,g}^{(3,0),A} \Big|_{Q^2 \gg m^2} \\ &\quad + f(Q^2/m^2) \beta^3 \left[ -C_{2,g}^{(3,0)\text{LL}} \frac{\log \eta}{\log z} + C_{2,g}^{(3,0)\text{NLL,A}} \frac{\eta^\gamma}{C + \eta^\gamma} \right] \\ C_{2,g}^{(3,0)\text{approx,B}} &= C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2}' - 2f(Q^2/m^2) C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2}^{\text{const}} \\ &\quad + (1 - f(Q^2/m^2)) \beta^3 C_{2,g}^{(3,0),B} \Big|_{Q^2 \gg m^2} \\ &\quad + f(Q^2/m^2) \beta^3 \left[ -C_{2,g}^{(3,0)\text{LL}} \frac{\log \eta}{\log z} + C_{2,g}^{(3,0)\text{NLL,B}} \frac{\eta^\delta}{D + \eta^\delta} \right] \end{aligned}$$

# Combination of the limits

- $C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2}^{\text{const}}$  is the approximation of the constant term of the threshold limit at N<sup>3</sup>LO.
- $C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2}'$  is the threshold limit defined without  $C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2}^{\text{const}}$ .
- $C_{2,g}^{(3,0),A} \Big|_{Q^2 \gg m^2}$  and  $C_{2,g}^{(3,0),B} \Big|_{Q^2 \gg m^2}$  are the two extremes of the uncertainty band of the N<sup>3</sup>LO high-scale limit.
- $C_{2,g}^{(3,0)\text{LL}}$  is the known LL expansion for small- $z$ , while  $C_{2,g}^{(3,0)\text{NLL},A}$  and  $C_{2,g}^{(3,0)\text{NLL},B}$  are the two extremes of the uncertainty band of an approximation of the N<sup>3</sup>LO NLL expansion for small- $z$  (that is different from our approximation of the NLL term).
- $f\left(\frac{Q^2}{m^2}\right) = \frac{1}{1 + \exp(2(Q^2/m^2 - 4))}$  and  $\beta$  is the velocity of the final heavy quarks.
- $\gamma = 1.0$ ,  $C = 20.0$ ,  $\delta = 0.8$  and  $D = 10.7$ .

# Combination of the limits: Results at NNLO

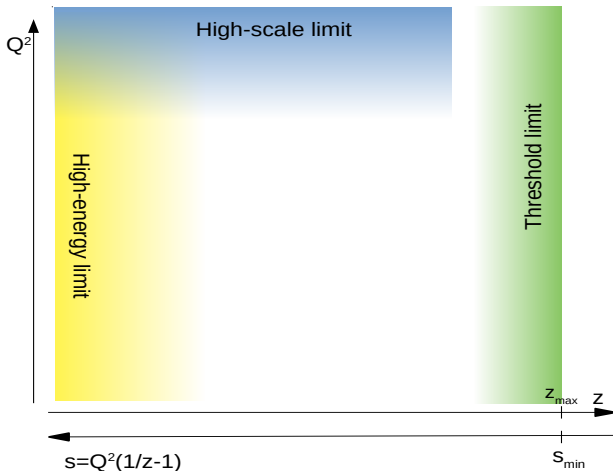


$$\text{with } \eta = \frac{s}{4m^2} - 1 = \frac{Q^2}{4m^2} \left( \frac{1}{z} - 1 \right) - 1$$



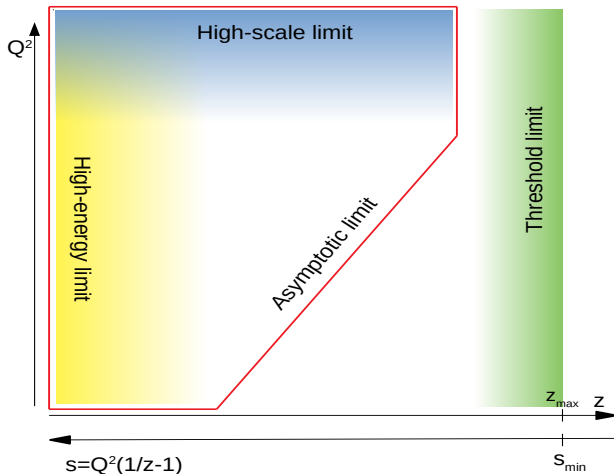
# Combination of the limits

- However, the high-scale and the high-energy cannot be treated separately since they overlap in a certain region.



# Combination of the limits

- For this reason, we slightly modified the way in which the limits are combined, defining the asymptotic limit.



# Asymptotic limit

Combining the high-scale and the high-energy limits we constructed the asymptotic limit.

- It is constructed reinserting in the high-scale limit the  $z \rightarrow 0$  limit of the power terms.

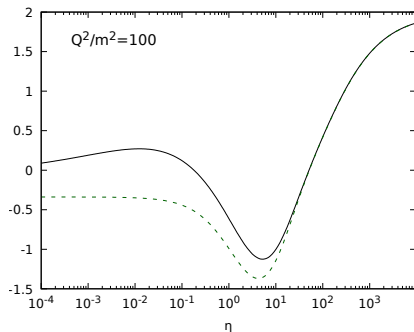
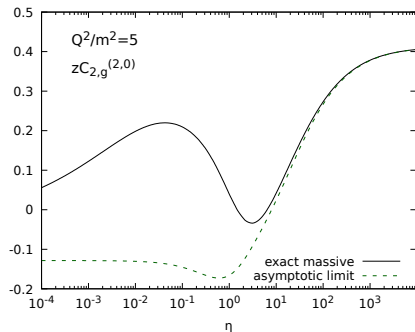
## Power terms for $z \rightarrow 0$

$$\Delta C_{2,g}^{(3,0)} \Big|_{s \rightarrow \infty} = C_{2,g}^{(3,0)} \Big|_{s \rightarrow \infty} - C_{2,g}^{(3,0)} \Big|_{\substack{s \rightarrow \infty \\ Q^2 \gg m^2}}$$

## Asymptotic limit

$$C_{2,g}^{(3,0)} \Big|_{\text{asyp}} = C_{2,g}^{(3,0)} \Big|_{Q^2 \gg m^2} + \Delta C_{2,g}^{(3,0)} \Big|_{s \rightarrow \infty}$$

# Asymptotic limit: NNLO



- It approaches the exact function for  $\eta \rightarrow \infty$  for any  $Q^2$ . Therefore, we have corrected the high- $\eta$  behavior for all the values of  $Q^2$ .
- For  $Q^2 \gg m^2$  it approaches the exact function in the whole range of  $\eta$ , with the exception of the threshold region.

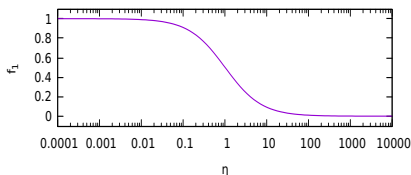
# Combination of Asymptotic and Threshold

## Approximation that we propose

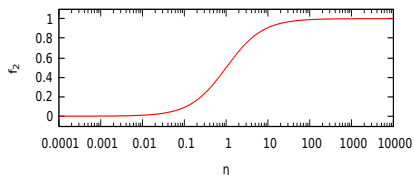
$$C_{2,g}^{(3,0)\text{approx}} = C_{2,g}^{(3,0)} \Big|_{s \rightarrow 4m^2} f_1(\eta) + C_{2,g}^{(3,0)} \Big|_{\text{asympt}} f_2(\eta)$$

with

$$f_1(\eta) \xrightarrow{\eta \rightarrow 0} 1, \quad f_1(\eta) \xrightarrow{\eta \rightarrow \infty} 0,$$



$$f_2(\eta) \xrightarrow{\eta \rightarrow 0} 0, \quad f_2(\eta) \xrightarrow{\eta \rightarrow \infty} 1$$



- In this way our approximation approaches the exact function in the threshold and asymptotic regions.
- For intermediate values of  $\eta$  the approximation is an interpolation between asymptotic and threshold limits. Its accuracy in this zone will depend on the form of the damping functions.

# Damping functions

- We have to choose the functional forms of the functions  $f_1$  and  $f_2$  in order to have the best accuracy in the interpolation zone.

## Damping functions

$$f_1(\eta) = \frac{1}{1 + \left(\frac{\eta}{h}\right)^k}$$

$$f_2(\eta) = 1 - f_1(\eta)$$

where  $h = h\left(\frac{Q^2}{m^2}\right)$ ,  $k = k\left(\frac{Q^2}{m^2}\right)$  and  $\eta = \frac{s}{4m^2} - 1 = \frac{Q^2}{4m^2} \left(\frac{1-z}{z}\right) - 1$

- $h$  and  $k$  must be functions of  $Q^2/m^2$  because:
  - The exchange between asymptotic and threshold limit becomes more strict as  $Q^2/m^2$  increases  $\implies k\left(\frac{Q^2}{m^2}\right)$  must increase as  $Q^2/m^2$  increases.
  - The center of the interpolation zone moves leftwards as  $Q^2/m^2$  increases  $\implies h\left(\frac{Q^2}{m^2}\right)$  must decrease as  $Q^2/m^2$  increases.

Forms of  $h\left(\frac{Q^2}{m^2}\right)$  and  $k\left(\frac{Q^2}{m^2}\right)$

$$h\left(\frac{Q^2}{m^2}\right) = A + \frac{B - A}{1 + \exp\left(a\left(\log\frac{Q^2}{m^2} - b\right)\right)}$$

$$k\left(\frac{Q^2}{m^2}\right) = C + \frac{D - C}{1 + \exp\left(c\left(\log\frac{Q^2}{m^2} - d\right)\right)}$$

In order to extract the parameters and to check the accuracy of the approximation we applied it to the NNLO.

## Parameters at NNLO

$$A = 1.7,$$

$$C = 2.5,$$

$$a = c = 2.5,$$

$$B = 2.5,$$

$$D = 1.2,$$

$$b = d = 5$$

- These parameters have been extracted studying the agreement between the approximate and the exact NNLO curves.

## Parameters at NLO

$$A = 0.2, \quad B, C, D, a, b, c, d \text{ are unchanged}$$

## Parameters at N<sup>3</sup>LO

$$A = 0.3, \quad B, C, D, a, b, c, d \text{ are unchanged}$$



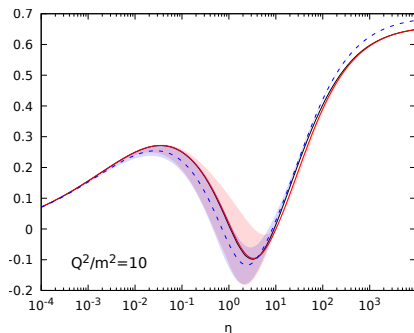
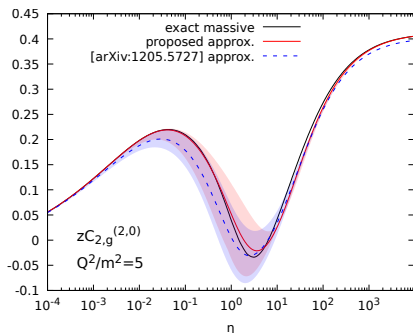
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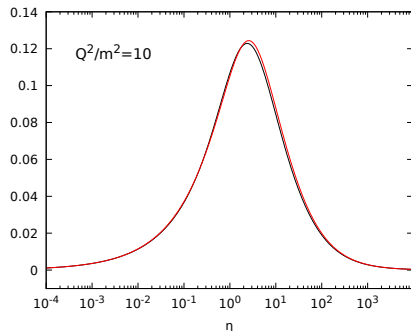
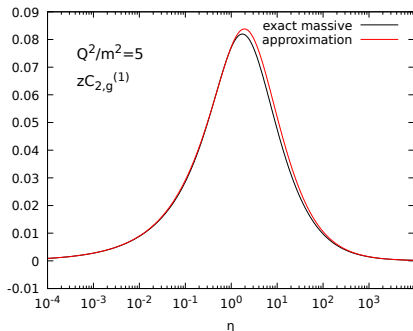
# Approximation: NNLO



- The uncertainty band has been constructed varying the parameters of the damping functions and taking the envelope of the curves obtained in this way.

# Approximation: NLO

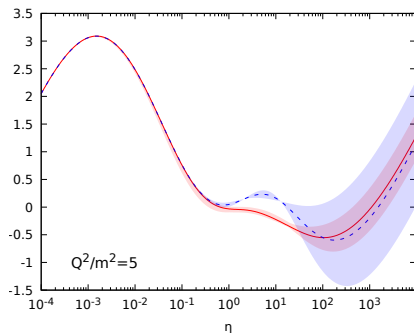
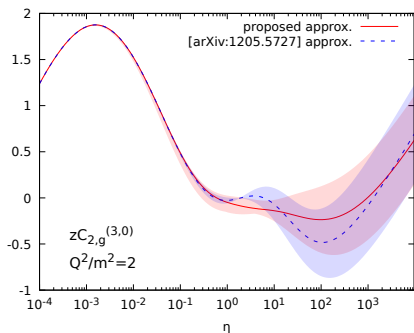
- Before applying the approximation to the  $N^3\text{LO}$ , we applied it to the NLO.
- This was done in order to verify that our construction wasn't too specific for the NNLO (since the other orders are in principle different functions with respect to the NNLO).



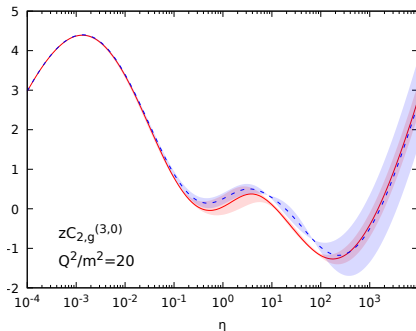
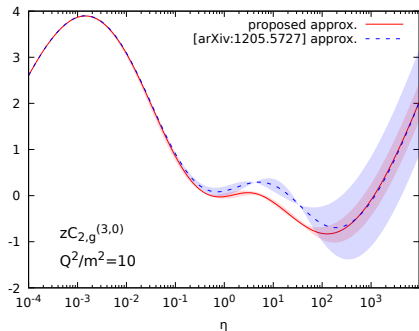
# Approximation: $N^3LO$

Now we can apply our construction to the  $N^3LO$ .

- We chose the same functions for  $h(Q^2/m^2)$  and  $k(Q^2/m^2)$  that we have tuned from the NNLO.
- For all the approximate contributions that we have at  $N^3LO$  we have used the center of the uncertainty band.



# Approximation: N<sup>3</sup>LO



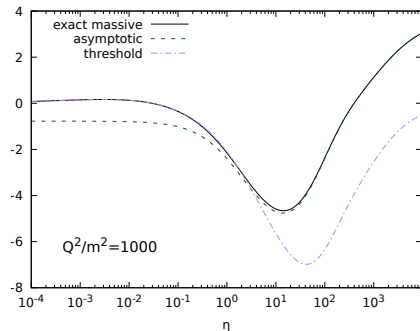
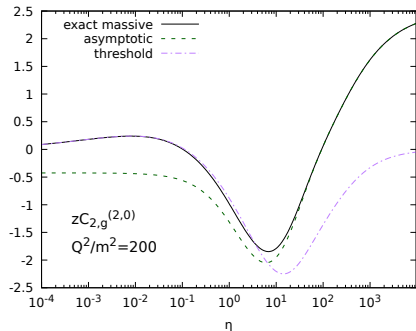
- Using the three known kinematics limits of the  $N^3\text{LO}$  gluon coefficient function we constructed an approximation that is valid in the whole range of  $z$ .
- It has been validated on the NNLO, that is known, and it has been compared with other approximations available in the literature.
- We can apply the same approximation procedure on the  $N^3\text{LO}$  quark coefficient function.
- Such result is an important ingredient for a  $N^3\text{LO}$  PDF fit, that is fundamental in the study of precision physics at LHC.

**Thank you for your attention!**

## 4 Backup

# Extraction of the parameter $A$ : NNLO

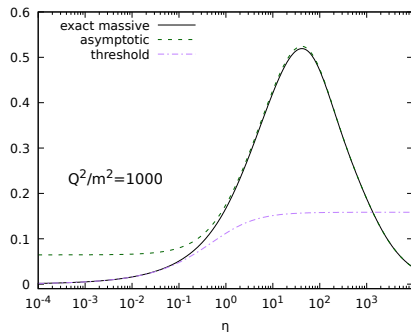
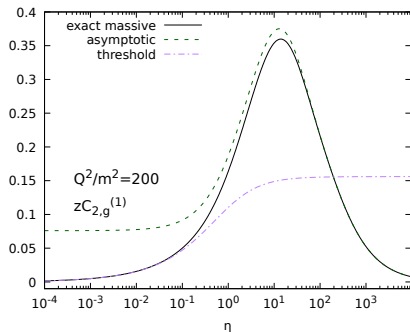
- Studying the asymptotic and the threshold limits for large  $Q^2$  we extracted the parameter  $A$





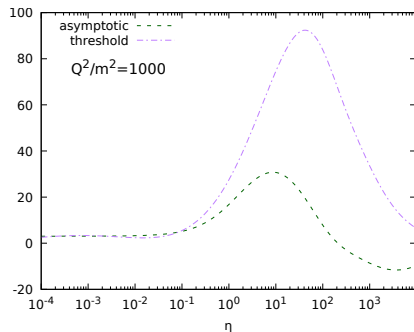
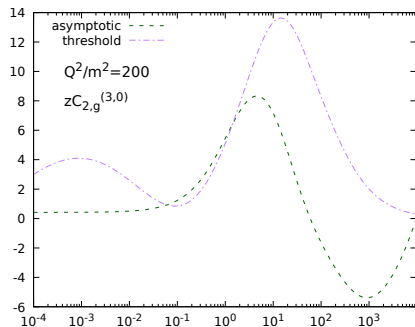
# Extraction of the parameter A: NLO

- We did the same for the NLO



# Extraction of the parameter $A$ : $N^3\text{LO}$

- And for the  $N^3\text{LO}$



- Obviously, in this case we don't have the exact function that helps us to choose the value of  $A$ . This will give a bigger uncertainty in the final result.

- In Mellin space we have that

$$F_{2,N}(\xi) = \hat{F}_{2,N}(\xi) f_{g,N}(\mu^2)$$

with

$$\hat{F}_{2,N}(\xi) = K_{2,N}(\xi, \gamma) h(\gamma) \left( \frac{m^2}{\mu^2} \right)^\gamma$$

- Expanding in  $\gamma$  we find

$$\hat{F}_{2,N}(\xi) = \hat{F}_{2,N}^{(0)} + \gamma \hat{F}_{2,N}^{(1)} + \gamma^2 \hat{F}_{2,N}^{(2)} + \mathcal{O}(\gamma^3)$$

- Then we make the substitution

$$\gamma^0 \rightarrow [\gamma^0] = 1$$

$$\gamma^1 \rightarrow [\gamma^1] = \gamma = \alpha_s \gamma_0 + \alpha_s^2 \gamma_1 + \mathcal{O}(\alpha_s^3)$$

$$\gamma^2 \rightarrow [\gamma^2] = \gamma(\gamma - \alpha_s \beta_0) = \alpha_s^2 (\gamma_0^2 - \gamma_0 \beta_0) + \mathcal{O}(\alpha_s^3)$$

# Computation of the approximate NLL expansion of $C_{2,g}^{(3)}$

- Therefore we find

$$\hat{F}_{2,N}(\xi) = \hat{F}_{2,N}^{(0)} + \alpha_s \hat{F}_{2,N}^{(1)} \gamma_0 + \alpha_s^2 \left( \hat{F}_{2,N}^{(1)} \gamma_1 + \hat{F}_{2,N}^{(2)} (\gamma_0^2 - \gamma_0 \beta_0) \right)$$

- In order to find our approximation we used

$$\gamma_0^{\text{NLL}} = \frac{a_{11}}{N} + \frac{a_{10}}{N+1} \quad \gamma_1^{\text{NLL}} = \frac{a_{21}}{N} - \frac{2a_{21}}{N+1}$$

where the coefficients  $a_{11}$ ,  $a_{10}$  and  $a_{21}$  are given by

$$a_{11} = \frac{C_A}{\pi}$$
$$a_{10} = -\frac{11C_A + 2n_f(1 - 2C_F/C_A)}{12\pi}$$
$$a_{21} = n_f \frac{26C_F - 23C_A}{36\pi^2}$$

- Going back from Mellin to  $x$  space we found our approximate result