

# Collective effects studies for the SOLEIL Upgrade

Alexis Gamelin on behalf of the SOLEIL upgrade team

Contact: [alexis.gamelin@synchrotron-soleil.fr](mailto:alexis.gamelin@synchrotron-soleil.fr)

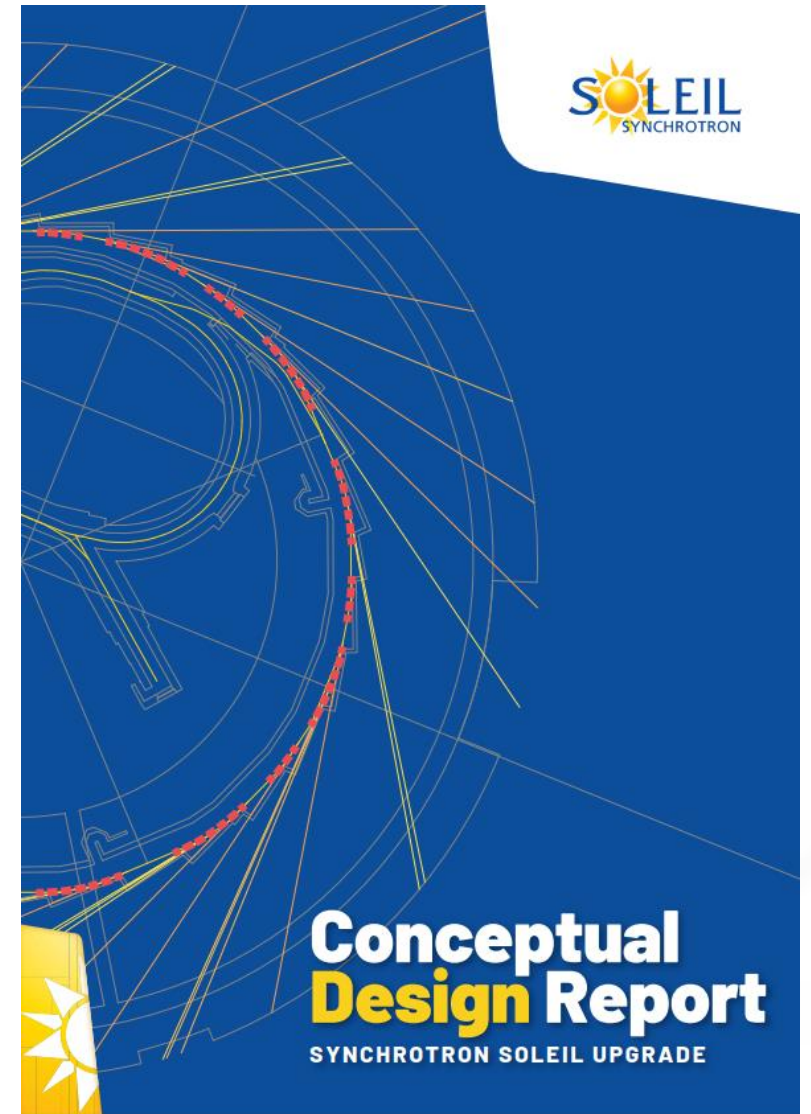
Extreme Storage Ring Workshop (ESRW22)

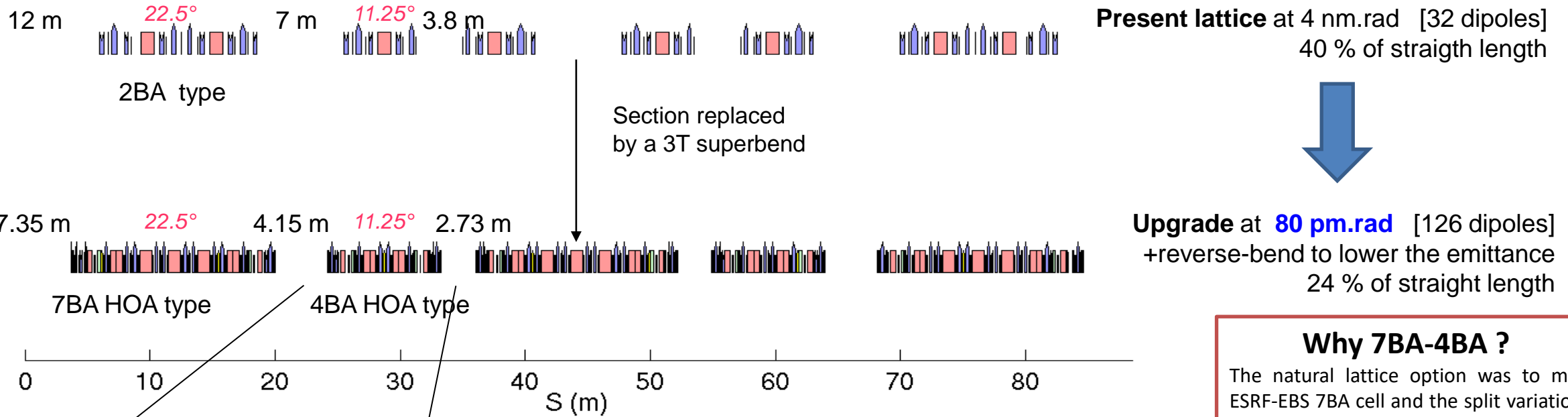
01/02/2022

The SOLEIL upgrade conceptual design report has been released in 2021.

In this talk I will only introduce the SOLEIL upgrade lattice very briefly.  
Detailed information can be found in the CDR document:

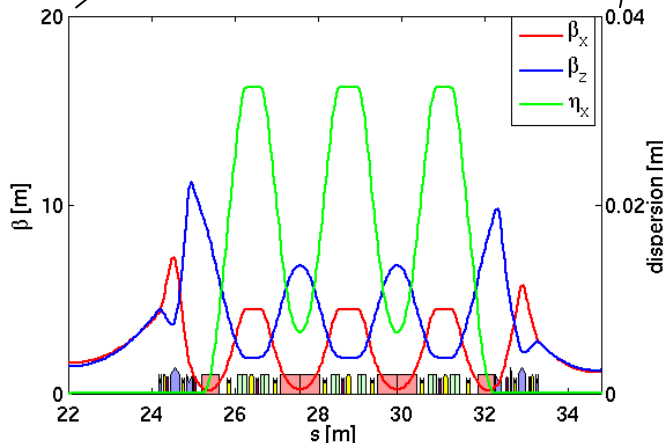
<https://www.synchrotron-soleil.fr/en/news/conceptual-design-report-soleil-upgrade>





**Present lattice** at 4 nm.rad [32 dipoles]  
40 % of straight length

**Upgrade** at **80 pm.rad** [126 dipoles]  
+reverse-bend to lower the emittance  
24 % of straight length



$$\beta_x \sim 1 \text{ m}$$

$$\beta_z \sim 1.5 \text{ m}$$

	Present lattice	CDR reference lattice
Lattice type	modified DBA	MBA (7BA-4BA)
Circumference (m)	354.10	353.74
Electron beam energy (GeV)	2.75	2.75
Maximum electron beam current (mA)	500	500
Natural emittance (pm.rad)	4000	80
Energy spread (%)	1.16	0.9
Energy loss per turn w/o IDs (keV)	917	490

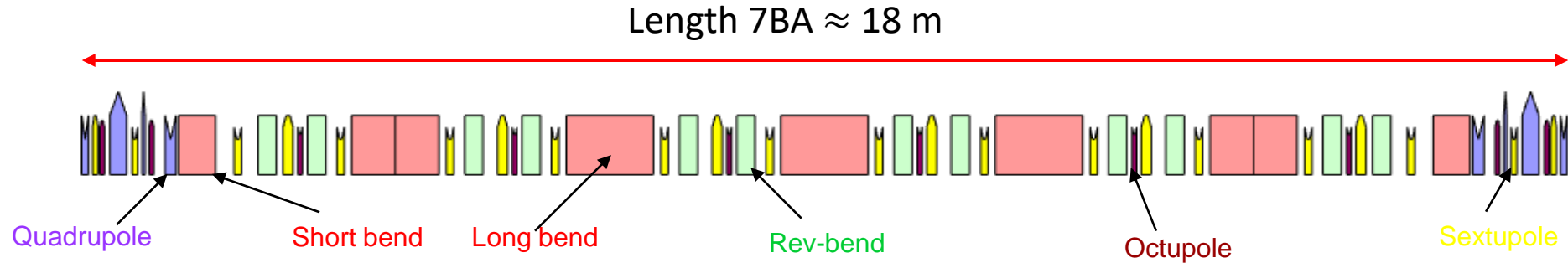
## Why 7BA-4BA ?

The natural lattice option was to mix ESRF-EBS 7BA cell and the split variation (DIAMOND) 6BA cell having a short straight at center:

- The natural horizontal emittance was considered too large with about 220 pm.rad
- To fit the beamline positioning, the short section had to be reduced below 2 m

### Solution :

- To reduce further the emittance : increase the number of cells from 16 to 20
- To fit "at best" the beamlines positioning : alternate 4BA and 7BA HOA type cell (MAXIV and SLS2)



Permanent magnet  
Bore radius = 16 mm  
 $B' = 110$  T/m

Permanent magnet  
Bore gap = 24 mm  
Short : 1 T & -8 T/m  
Long : 0.7 T & -16 T/m

Permanent magnet  
Bore radius = 21 mm  
 $B' = 83$  T/m  
 $B = -0.2$  T  
Quadrupole shifted  
by 3.8 mm

Electro magnet  
Bore radius = 16 mm  
 $1/6 B''' = 300000$  T/m<sup>3</sup>

Electro magnet  
Bore radius = 16 mm  
 $1/2 B'' = 8000$  T/m<sup>2</sup>

Total number of magnets = 996  
(w/o correctors)  
Present lattice  $\approx$  300

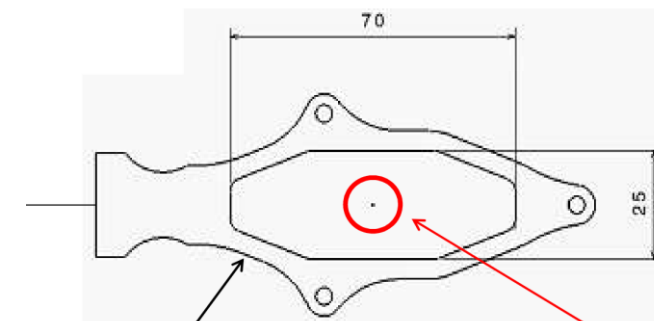
With 2 mm gap and 1 mm pipe width, **the inner pipe diameter is then of 10 mm which is maybe the smallest envisaged in synchrotrons:**

- Standard ion pumps are mostly ineffective so 95 % of the ring should be NEG coated.
- Power extraction is very challenging
- High resistive wall impedance contribution

$$Z_{\parallel, RW} \propto \frac{1}{r\sqrt{\sigma}} \qquad Z_{\perp, RW} \propto \frac{1}{r^3\sqrt{\sigma}}$$

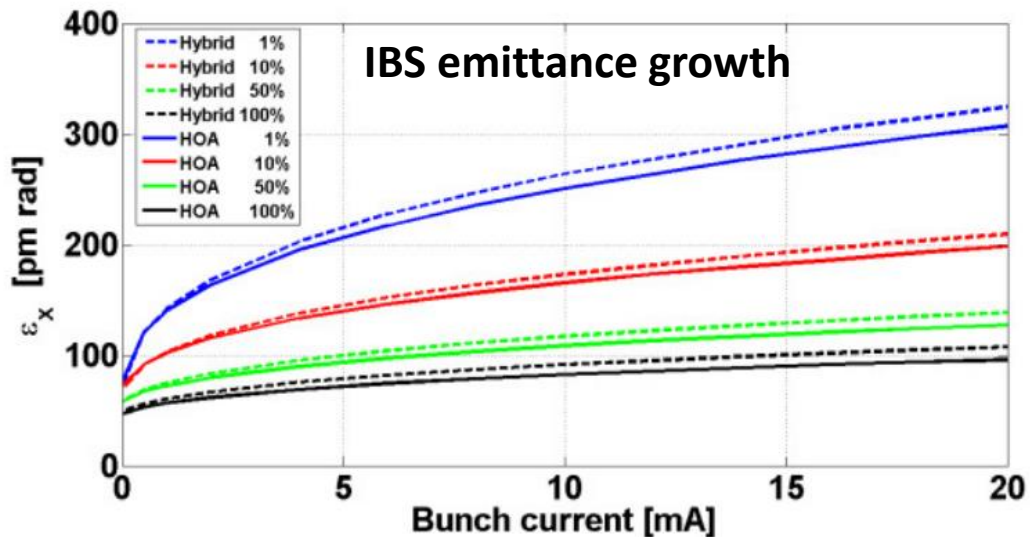
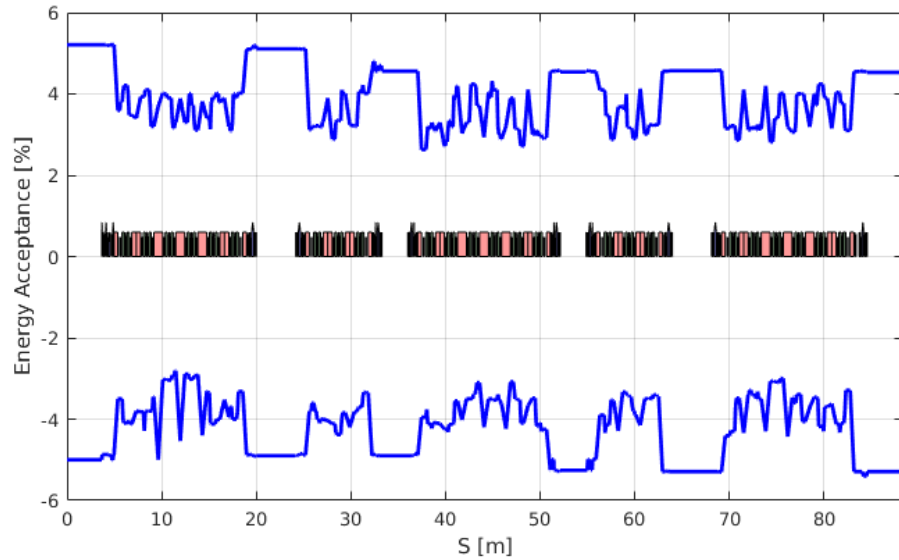
Beam pipe radius

Conductivity



SOLEIL today  
Standard vac. Chamber  
Qpole, Spole

SOLEIL UPGRADE project  
center achromat  
**ø 10 mm** internal diameter



For the uniform filling mode at 500 mA (1.2 mA per bunch) and using 10% of coupling:

- Typical Touschek beam lifetime is **~1.4 hrs** with a perfect lattice.
- Intra beam scattering (IBS) induce an **emittance growth from 80 pm.rad to about 100 pm.rad.**



It is essential to lengthen the bunches to be able to mitigate these effects. **The idea is to use a super conducting passive HC of the 3<sup>rd</sup> or 4<sup>th</sup> harmonic.**

**This talk will be about the consequences of this lattice design on the collective effects:**

- Longitudinal beam dynamics with bunch lengthening
- Collective effects with extremely small beam pipe aperture  $r \approx 5$  mm

The total voltage given by an RF system with a  $m^{\text{th}}$  harmonic cavity can be expressed as:

$$V_{tot}(t) = V_1 \cos(\omega_{RF}t + \phi_1) + V_2 \cos(m\omega_{RF}t + \phi_2)$$

Voltage and phase of the main cavity
Voltage and phase of the harmonic cavity

Where the following condition is imposed to insure energy balance:

$$V_{tot}(0) = \frac{U_{loss}}{e}$$

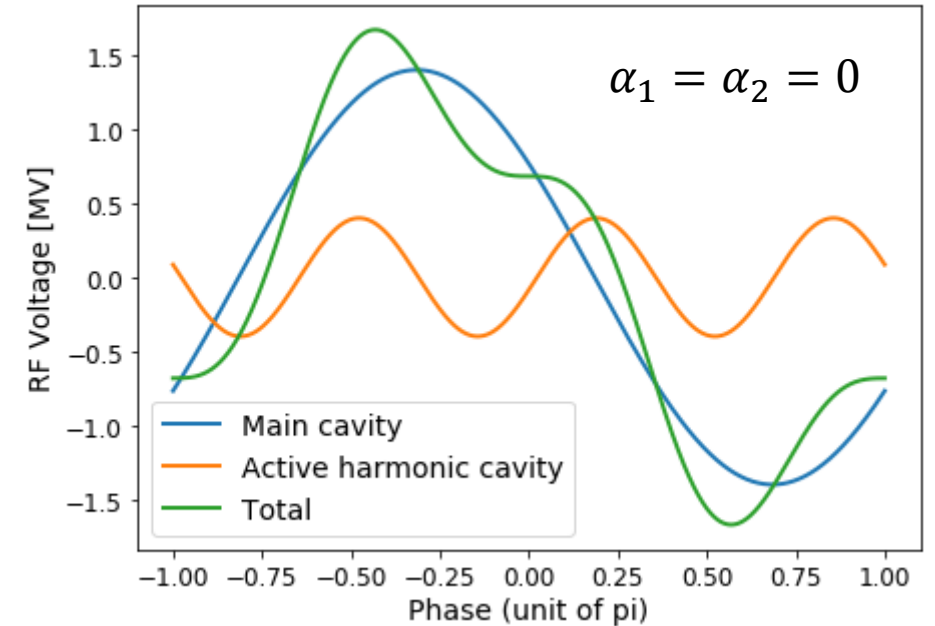
Losses per turn

The RF system is usually operated near the “flat potential conditions”:

$$\frac{dV_{tot}}{dt}(0) = \alpha_1 \approx 0 \quad \frac{d^2V_{tot}}{dt^2}(0) = \alpha_2 \approx 0$$

Which gives the following conditions:

$$\cos(\phi_1) = \frac{m^2}{m^2 - 1} \frac{U_{loss}}{eV_1} \quad \tan(\phi_2) = \frac{(V_1 \omega_{RF} \sin(\phi_1) - \alpha_1) m}{V_1 \omega_{RF} \cos(\phi_1)} \quad V_2 = -\frac{V_1 \cos(\phi_1)}{m^2 \cos(\phi_2)}$$





For a passive harmonic cavity, the voltage and the phase of the harmonic cavity can not be set independently. The harmonic voltage is given by:

$$V(t) = -2I_0 R_s F \cos(\psi) \cos(m\omega_{RF}t + \psi - \Phi)$$

Beam current
Cavity shunt impedance
Form factor (depend on bunch profile)

Where  $\psi$  is the tuning angle, which is linked to the resonance angular frequency  $\omega_r$  of the cavity by:

$$\tan(\psi) = Q \left( \frac{\omega_r}{m\omega_{RF}} - \frac{m\omega_{RF}}{\omega_r} \right) \approx 2Q \frac{\Delta\omega}{\omega_r}$$

Cavity quality factor
Cavity detuning

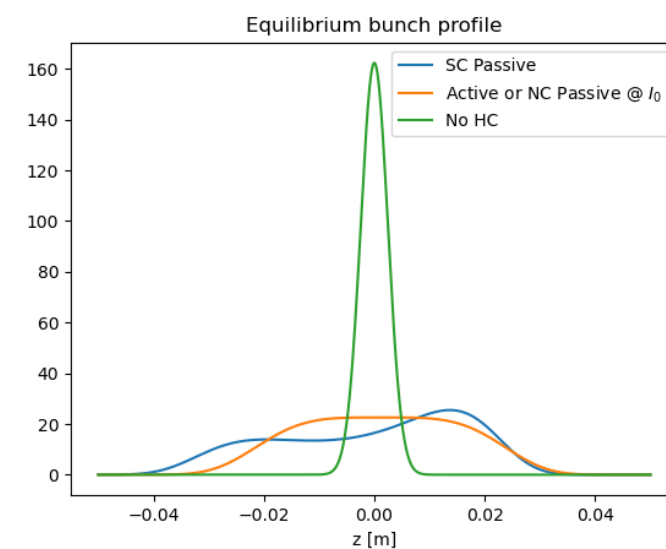
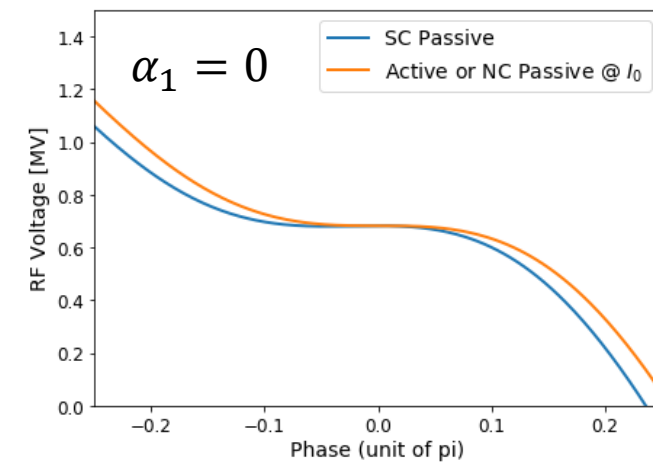
For a chosen beam current  $I_0$  and shunt impedance  $R_s$ , conditions to get the “flat potential” are very similar to the active case:

$$\cos(\phi_1) = \frac{m^2}{m^2 - 1} \frac{U_{loss}}{eV_1} \quad \tan(\psi) = \frac{(V_1 \omega_{RF} \sin(\phi_1) - \alpha_1) m}{V_1 \omega_{RF} \cos(\phi_1)}$$

$$V_2 = -\frac{V_1 \cos(\phi_1)}{m^2 \cos(\psi)^2} = -2I_0 R_s$$

But if  $I_0$  and  $R_s$  are already fixed, only 2 degrees of freedom are left with  $\psi$  and  $\phi_1$  so it is not possible to achieve “flat potential” anymore.

$$V_{tot}(0) = \frac{U_{loss}}{e} \quad \frac{dV_{tot}}{dt}(0) = \alpha_1 \approx 0 \quad \frac{d^2V_{tot}}{dt^2}(0) = \alpha_2 \approx 0$$



For a normal conducting (NC) passive harmonic cavity, you can design the system ( $R_s \approx M\Omega$ ) in such a way to achieve “flat potential” conditions for given current value  $I_0$ . For all other currents, the cavity tuning needs to change to get the correct voltage in the harmonic cavity which also change the phase, so you can not cancel  $\frac{d^2V_{tot}}{dt^2}$ .

For a super conducting (SC) passive harmonic cavity, the shunt impedance  $R_s$  is very high, typically  $R_s \approx G\Omega$ , so the current needed to be at “flat potential” condition is very low. In practice, you can never have  $\frac{d^2V_{tot}}{dt^2} = 0$ . The advantage is that you can use the SC HC from much lower current compared to a NC HC.

If you assume small oscillations ( $\tau \approx 0$ ) in the longitudinal equation of motions with a double RF system, you can write the linear synchrotron frequency as:

$$\omega_s^2 = \frac{e\eta\omega_{RF}}{E_0T_0} [1 - \xi] V_1 \sin(\phi_1) \quad \text{where} \quad \xi = -\frac{mV_2 \sin(\phi_2)}{V_1 \sin(\phi_1)} = \frac{2mI_0R_s F \cos(\psi) \sin(\psi - \Phi)}{V_1 \sin(\phi_1)}$$

Passive HC case

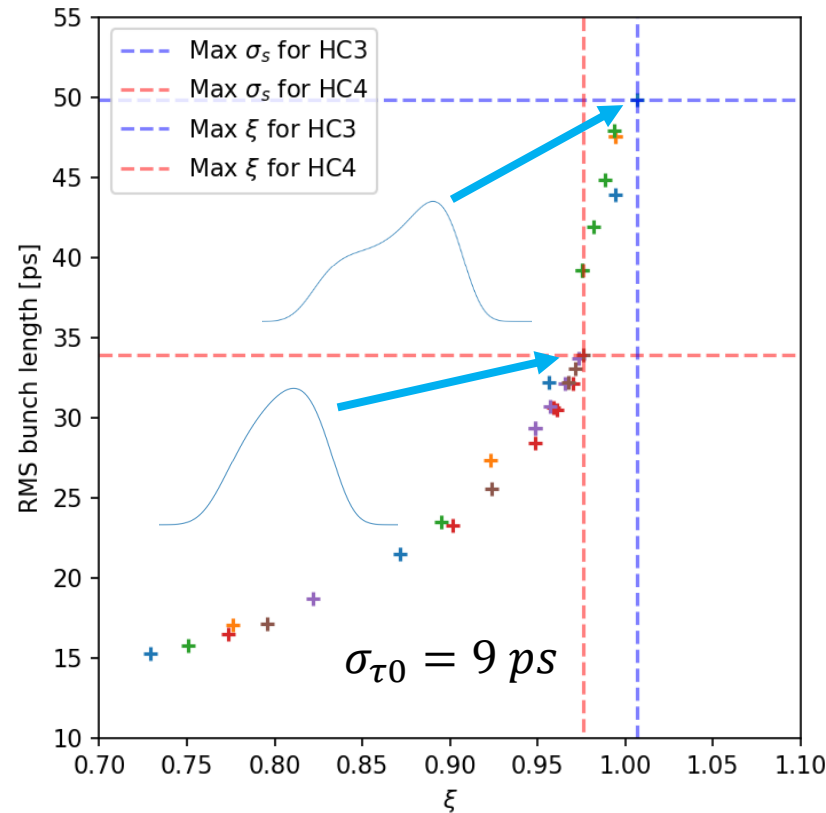
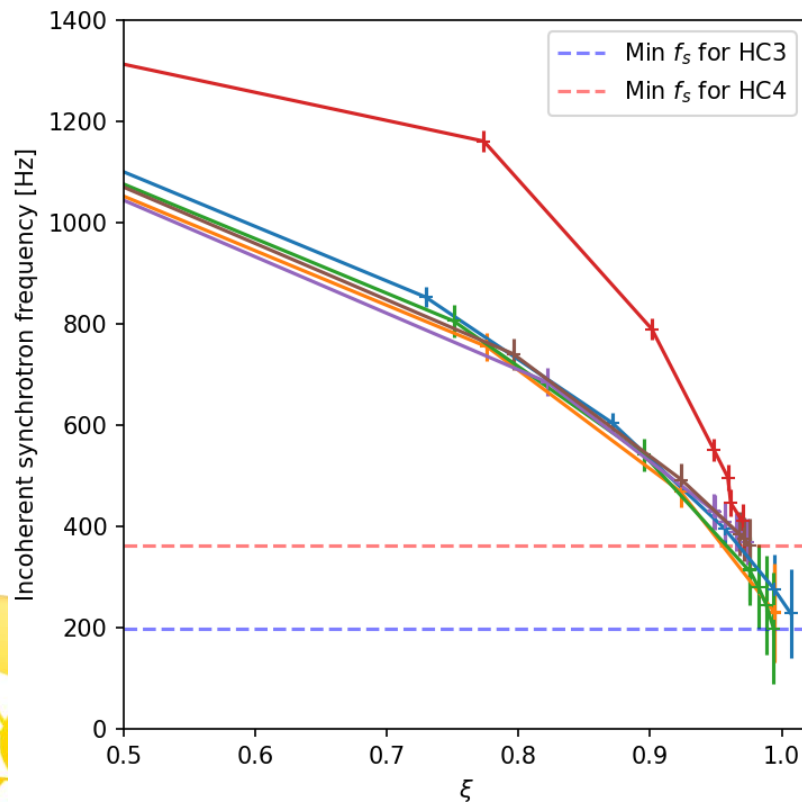
$\xi = 0$  corresponds to the usual case without harmonic cavity and  $\xi = 1$  corresponds to the “flat potential conditions”

The matching condition gives you an estimate of the expected bunch lengthening:  $\sigma_\tau = \frac{\alpha_c \sigma_\delta}{\omega_s}$



Things get interesting when the double RF system is pushed close (or beyond)  $\xi = 1$  to get an important bunch lengthening factor.

Here are the stable settings found for a 3<sup>rd</sup> HC and a 4<sup>th</sup> HC for the SOLEIL Upgrade using multi-bunch tracking taking into account the beam loading in the main and harmonic cavity (mbtrack2):



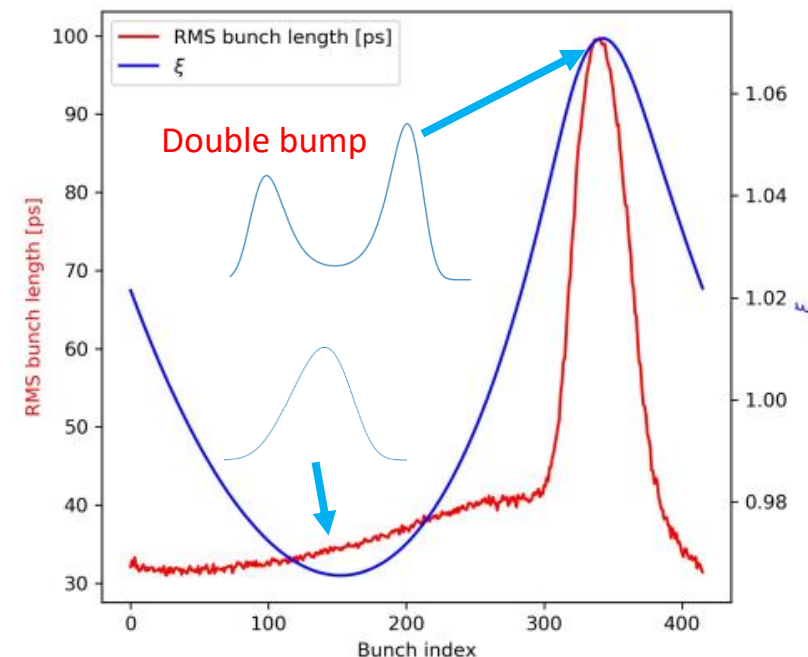
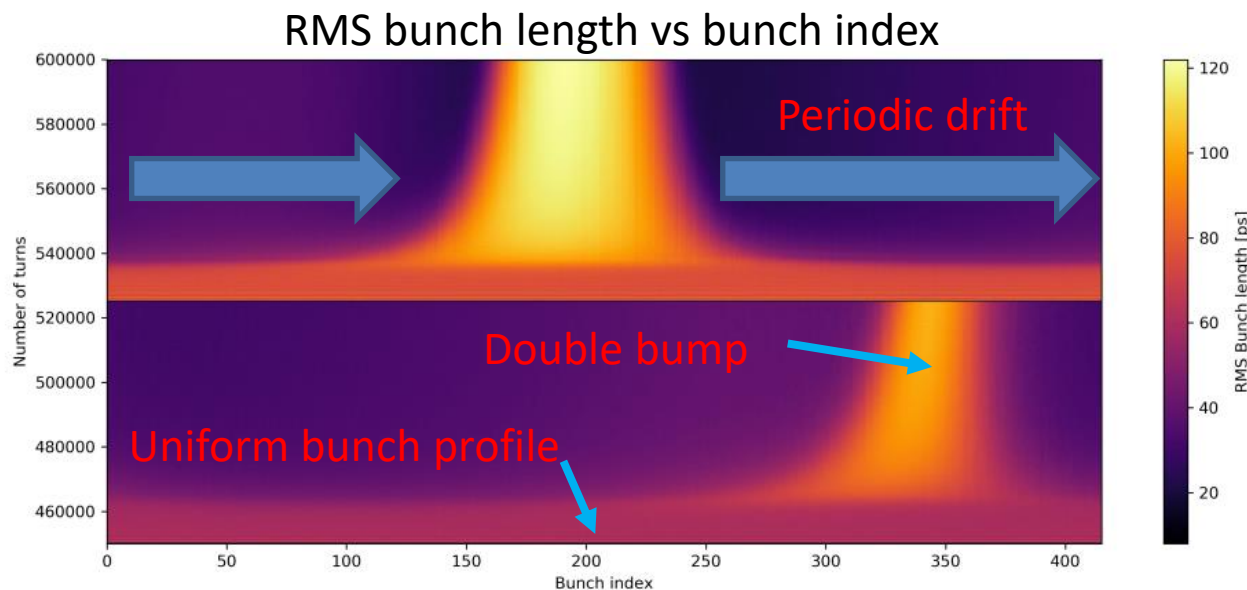
At high current, 500 mA in uniform filling, the 3<sup>rd</sup> HC allows to get a bit past “flat potential conditions” while the 4<sup>th</sup> HC is limited before  $\xi = 1$ .

The limitation, in both cases, is the “slow moving transient instability” but it is happening at different distance from the “flat potential conditions”.

This “slow moving transient instability” seems to happen when the usual stable solution found in the case of a uniform filling beam where all the bunches have the same bunch profile break down:

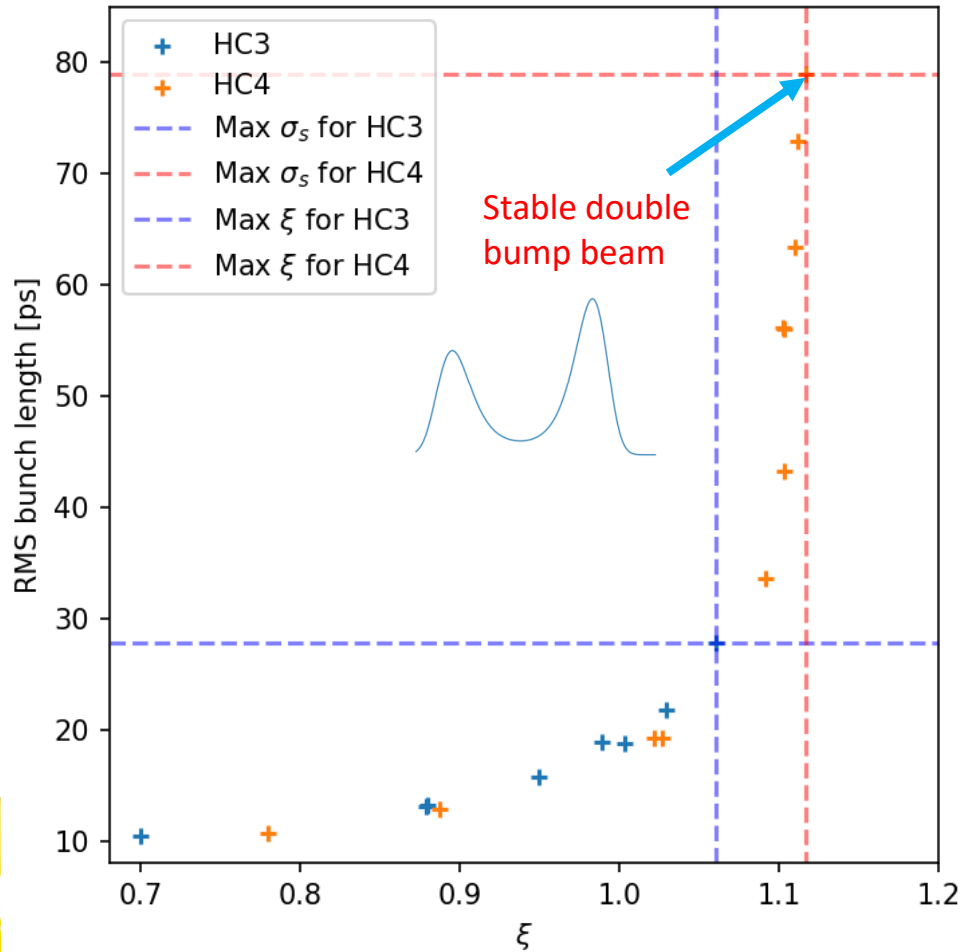
- When  $\xi \ll 1$ , all the bunches have the same behavior as the conditions set for the RF system allow for a solution for a set of equation (energy balance condition, form factor equations for both MC and HC) with a unique bunch profile  $\rho_0$ .
- When getting close to the  $\xi = 1$  condition, this solution for a unique bunch profile for all the bunches no longer exists which “forces” the different bunches to have different bunch profiles. In that case, the system fall back to a very different state where a quasi-stable state is found. This quasi-stable state can drift slowly in the bunch index space with a rather long time period ( $f \approx \text{Hz}$ ).

It has been observed in simulations (SOLEIL-U, Diamond-II) and experimentally at MAX IV<sup>[1]</sup> and has yet to be fully understood.

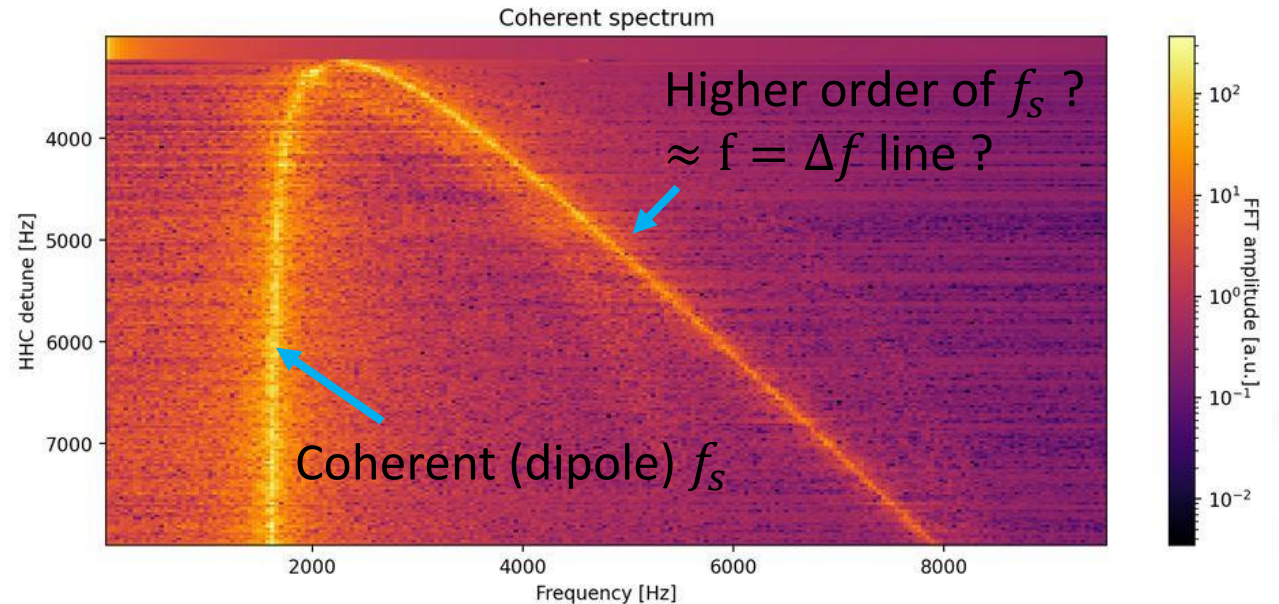


[1] Private communication with T. Olson (Diamond) and F. Cullinan (MAXIV).

We also want to have the possibility to lengthen the bunches at low current, for example for the single bunch mode (at 20 mA) where the Touschek lifetime and IBS are very critical.



In that case, the picture is very different as the 4<sup>th</sup> HC allow to lengthen the bunches all the way to double bump bunches in a stable way. The 3<sup>rd</sup> HC is very rapidly limited by another instability which could be the “fast mode coupling instability” [1] or simply the interaction of cavity detuning with the dipole mode synchrotron frequency (?).



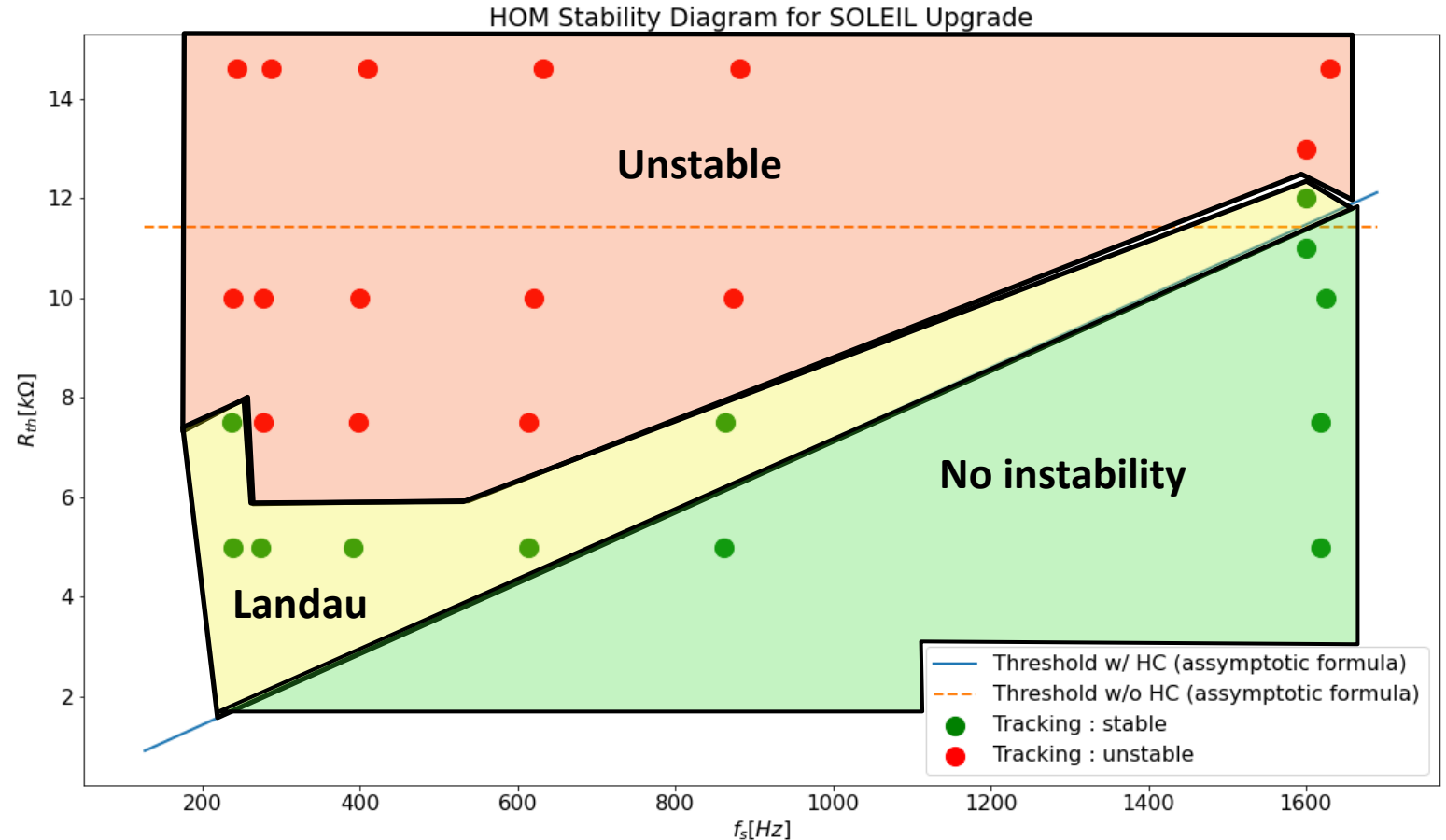
[1] R. A. Bosch, K. J. Kleman, and J. J. Bisognano, “Robinson instabilities with a higher-harmonic cavity”, Physical Review Special Topics-Accelerators and Beams 4, Publisher: APS, 074401 (2001).

Now let us have a look at the longitudinal coupled-bunch instability (LCBI) driven by the HOM of the main cavity and how the harmonic cavity may impact this instability.

The HOM instability is well explained by the LCBI theory:

$$R_{th} = \frac{4\pi}{\tau_s \alpha_c} \frac{E}{I_0} \frac{f_s}{f_0} \frac{1}{\omega}$$

- The lowering of the incoherent synchrotron frequency induced by the harmonic cavity lower the HOM threshold.
- In SOLEIL upgrade case, this effect is not compensated by the increased Landau damping due to the synchrotron frequency spread.



Effective radius  $b_{eff}$  for the resistive wall (RW) impedance:

$$b_{eff}^{(n)} = \frac{L\beta^*}{\sqrt{\sigma^*}} \left( \sum_i \frac{l_i \beta_i}{r_i^n \sqrt{\sigma_i}} \right)^{-1} \quad \sigma^* = \frac{1}{L} \sum l_i \sigma_i \quad \beta^* = \frac{1}{L} \sum l_i \beta_i$$

$$b_{eff,y} \approx 4 \text{ mm}$$

$$b_{eff,x} \approx 6 \text{ mm}$$

$$\tau^{-1} = \text{Im } \Delta\Omega_0 = \frac{\beta_0 \omega_0 I}{4\pi E/e} \frac{R}{b_{eff}^3} \left[ \frac{2cZ_0 \rho_r}{(1 - \Delta Q_\beta) \omega_0} \right]^{1/2},$$

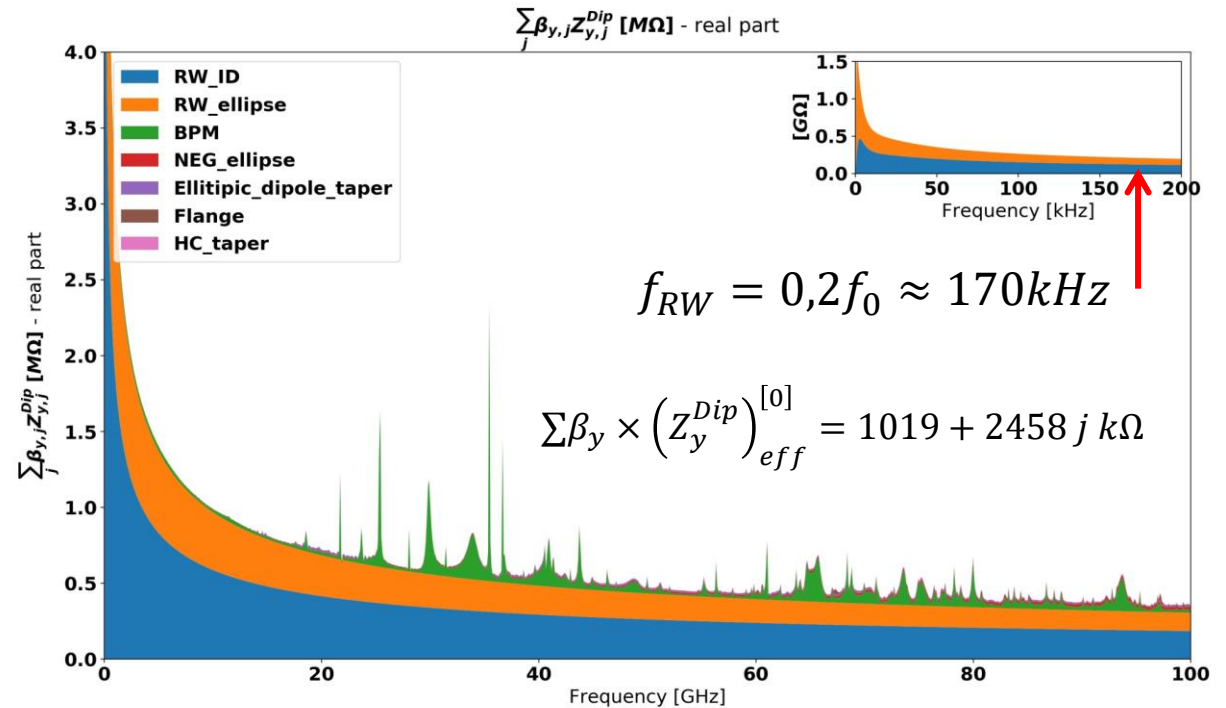
$$(I_{th})_{RW}^{\xi=0} = \frac{4\pi E/e}{c\beta_0} \frac{b_{eff}^3}{\tau_{rad}} \left[ \frac{(1 - \Delta Q_\beta) \omega_0}{2cZ_0 \rho_r} \right]^{1/2}.$$

$b_{eff}$	$\tau$	$I_{th}$
4 mm	117 $\mu$ s	6 mA
5 mm	228 $\mu$ s	12 mA
6 mm	395 $\mu$ s	21 mA

$$\tau_x = 7,3 \text{ ms}$$

$$\tau_y = 13,1 \text{ ms}$$

Elements	Length
RW ID (elliptic - 3 mm x 80 mm - Cu)	18 m
RW (elliptic - 10 mm x 12 mm - Cu)	336 m





- The RW coupled bunch instability threshold is first estimated by tracking the 416 bunches with  $10^6$  macro-particles (mbtrack<sup>[1]</sup>), and then by a Vlasov solver (rwmbi<sup>[2]</sup>), assuming only the RW impedance with IDs gap closed @ 3 mm:

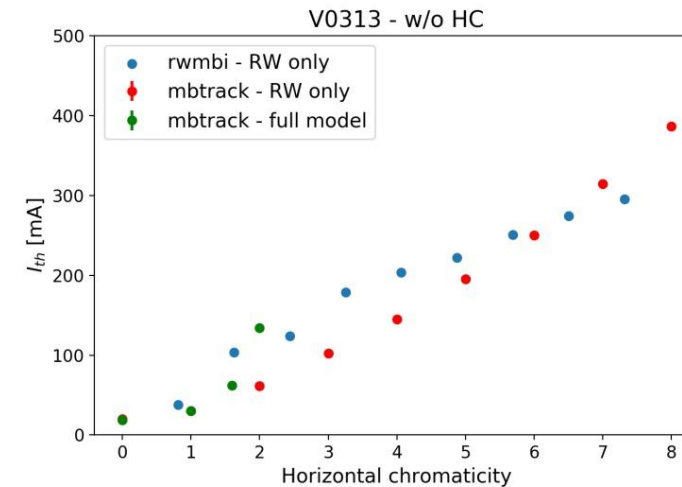
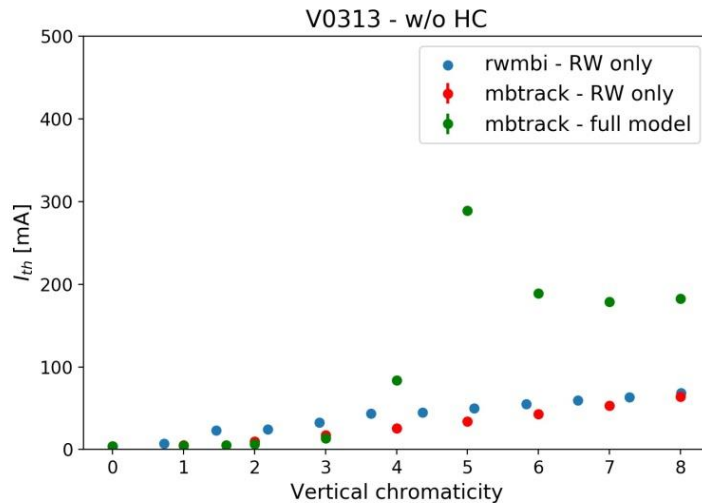
- $I_{th,Y} = 5 \text{ mA}$  and  $I_{th,X} = 20 \text{ mA}$  for  $\xi_{x,y} = 0$
- $I_{th,Y} = 10 \text{ mA}$  and  $I_{th,X} = 65 \text{ mA}$  for  $\xi_{x,y} = 2$
- $I_{th,Y} = 35 \text{ mA}$  and  $I_{th,X} = 195 \text{ mA}$  for  $\xi_{x,y} = 5$

Good point for a benchmark, as at  $\xi = 0$ , the instability threshold does not depend on the geometric impedance.

- Then the full impedance model (longitudinal + transverse) is used to take into account the beneficial impact of head-tail damping:

- $I_{th,Y} = 4 \text{ mA}$  and  $I_{th,X} = 20 \text{ mA}$  for  $\xi_{x,y} = 0$
- $I_{th,Y} = 7 \text{ mA}$  and  $I_{th,X} = 135 \text{ mA}$  for  $\xi_{x,y} = 2$
- $I_{th,Y} = 295 \text{ mA}$  and  $I_{th,X} > 500 \text{ mA}$  for  $\xi_{x,y} = 5$

Very low instability threshold around nominal chromaticity but not so much lower than the one measured on SOLEIL at  $\xi_y = 0$ ,  $I_{th,Y} = 30 \text{ mA}$  (IDs open)



[1] Skripka, Galina, et al. "Simultaneous computation of intrabunch and interbunch collective beam motions in storage rings." *Nuclear Instruments and Methods in Physics Research Section A: Accelerators, Spectrometers, Detectors and Associated Equipment* 806 (2016).

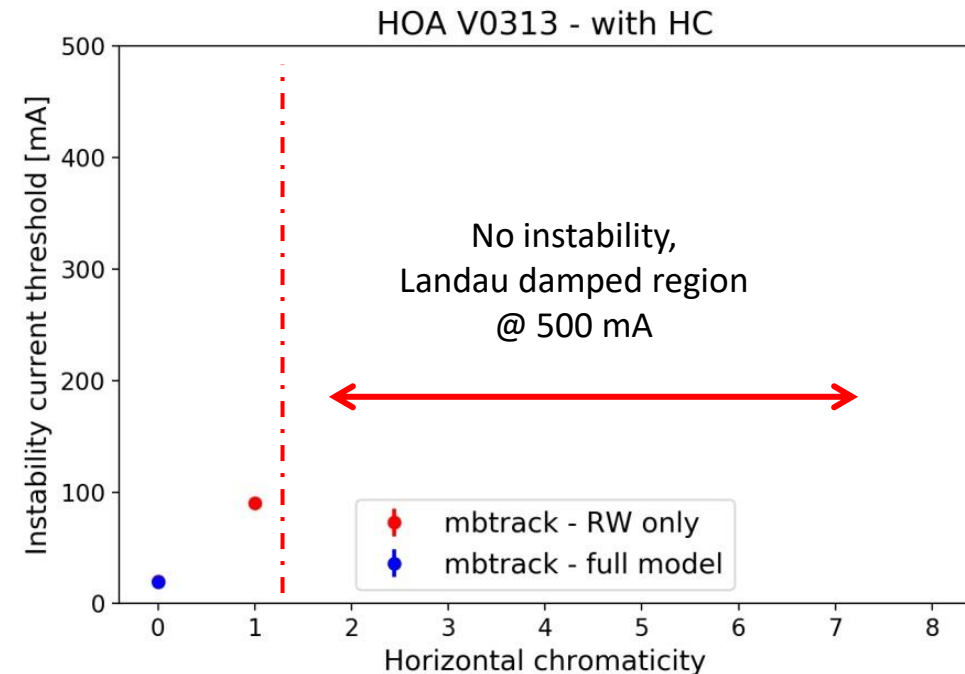
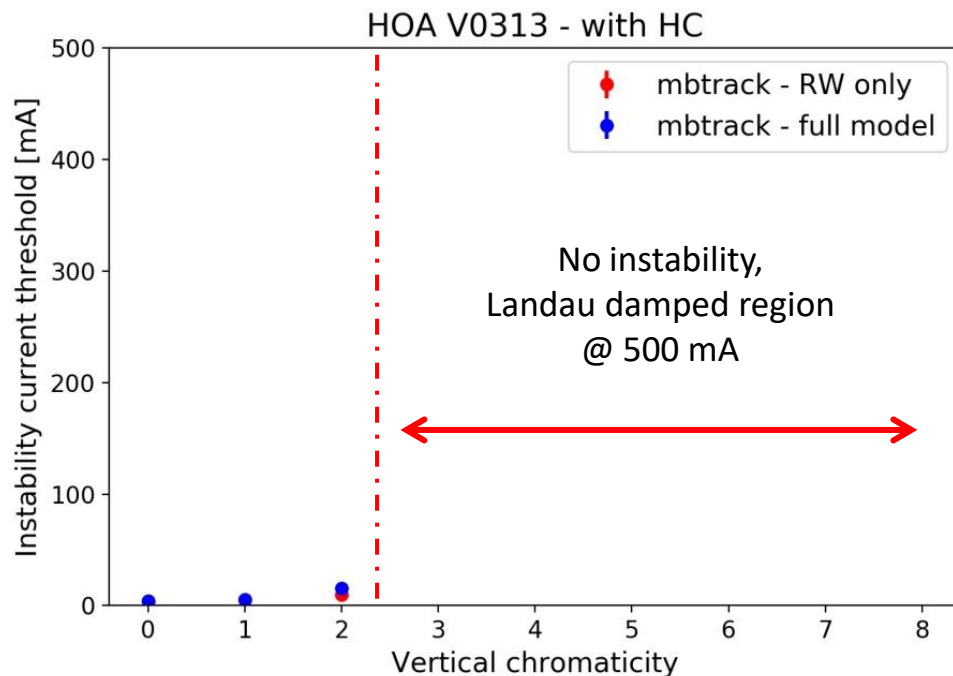
[2] Nagaoka, R., & Bane, K. L. (2014). Collective effects in a diffraction-limited storage ring. *Journal of synchrotron radiation*.

➤ If the HC ( $m = 4$ ) is taken into account, the instability is Landau damped by the synchrotron tune spread induced by the HC:

- $I_{th,Y} = 4 \text{ mA}$  and  $I_{th,X} = 20 \text{ mA}$  for  $\xi_{x,y} = 0$
- $I_{th,Y} = 10 \text{ mA}$  and  $I_{th,X} > 500 \text{ mA}$  for  $\xi_{x,y} = 2$
- $I_{th,Y} > 500 \text{ mA}$  and  $I_{th,X} > 500 \text{ mA}$  for  $\xi_{x,y} = 3$

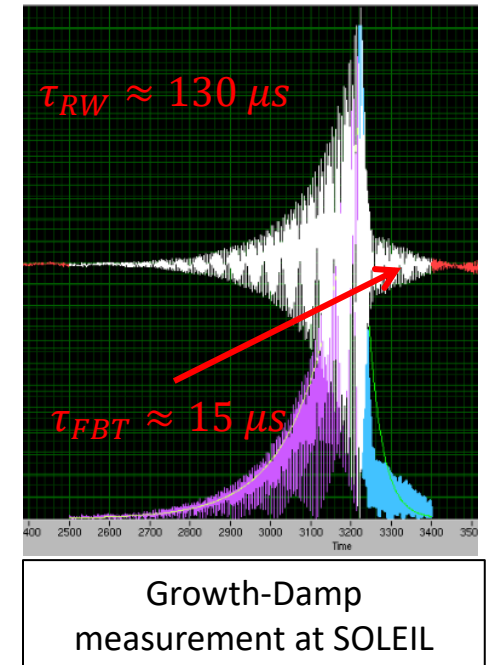
➤ Only the head-tail modes  $m \geq 1$  can be Landau damped by the synchrotron tune spread:

$$\omega_p = \omega_0(pM + \mu + kv_\beta + mv_s), \quad -\infty < p < +\infty, \quad p \in \mathbb{Z}$$





- Transverse Feedback:
  - Has been very effective at SOLEIL and will be improved for the upgrade.
  - Damping time of  $\tau_{FBT} \approx 15 \mu s$  measured at SOLEIL, which is much less than the different expected instability rise times for the upgrade.
  
- Coupling between the X/Y planes:
  - The synchrotron damping, and thus the instability thresholds, are shared between the two planes when coupling is strong.
  - Landau damping can also be shared on some conditions<sup>[1]</sup>
  
- In 4<sup>th</sup> generation light sources, non-linear effect like the Amplitude Dependent Tune Shift (ADTS) are very strong, but here they are not (yet) taken into account in the simulation. Betatron tune spread can Landau damp the RW mode  $m = 0$  which can not be damped via synchrotron tune spread (HC).
  
- The synchrotron damping times used to compute the instabilities are the one for the storage ring without the IDs ( $U_0 = 490 keV$ ), when the IDs are closed the damping times gets smaller ( $U_0 \approx 766 keV$ ).



[1] Métral, Elias. "Theory of coupled Landau damping." *Part. Accel.* 62.CERN-PS-99-011-CA (1999).

Thank you for your attention!

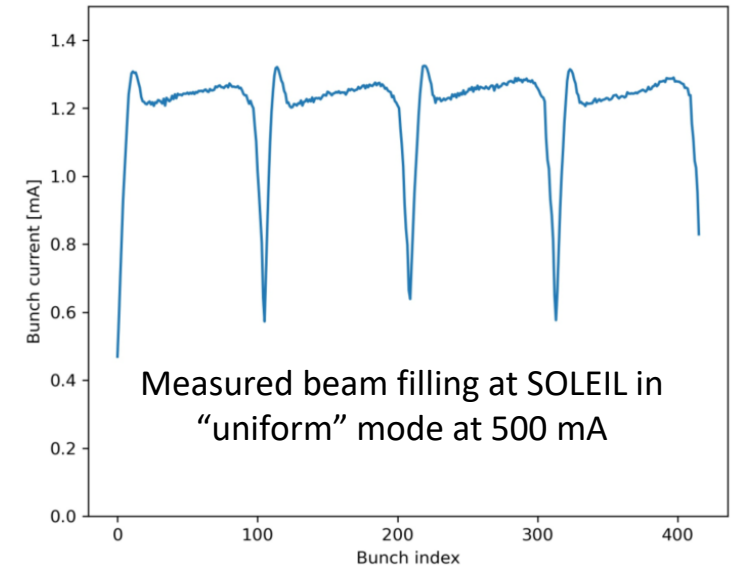
Also ... two post-doc positions just opened at SOLEIL:

- General beam dynamics and lattice design
  - Collective effects

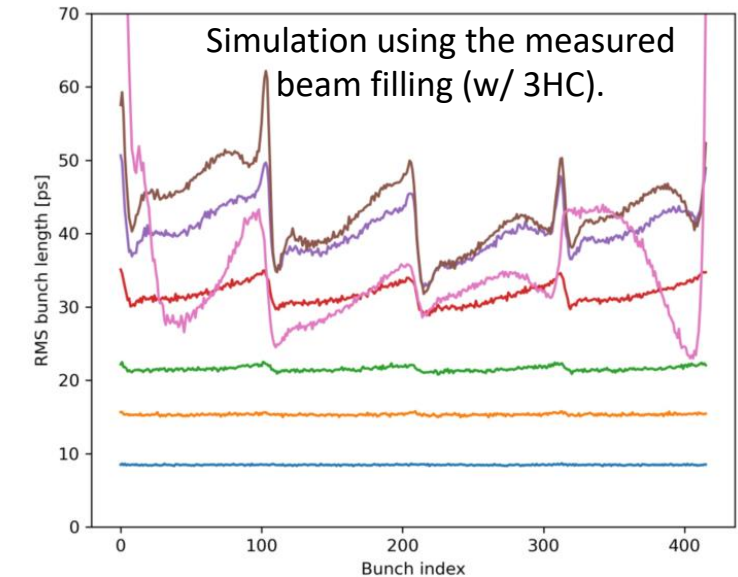
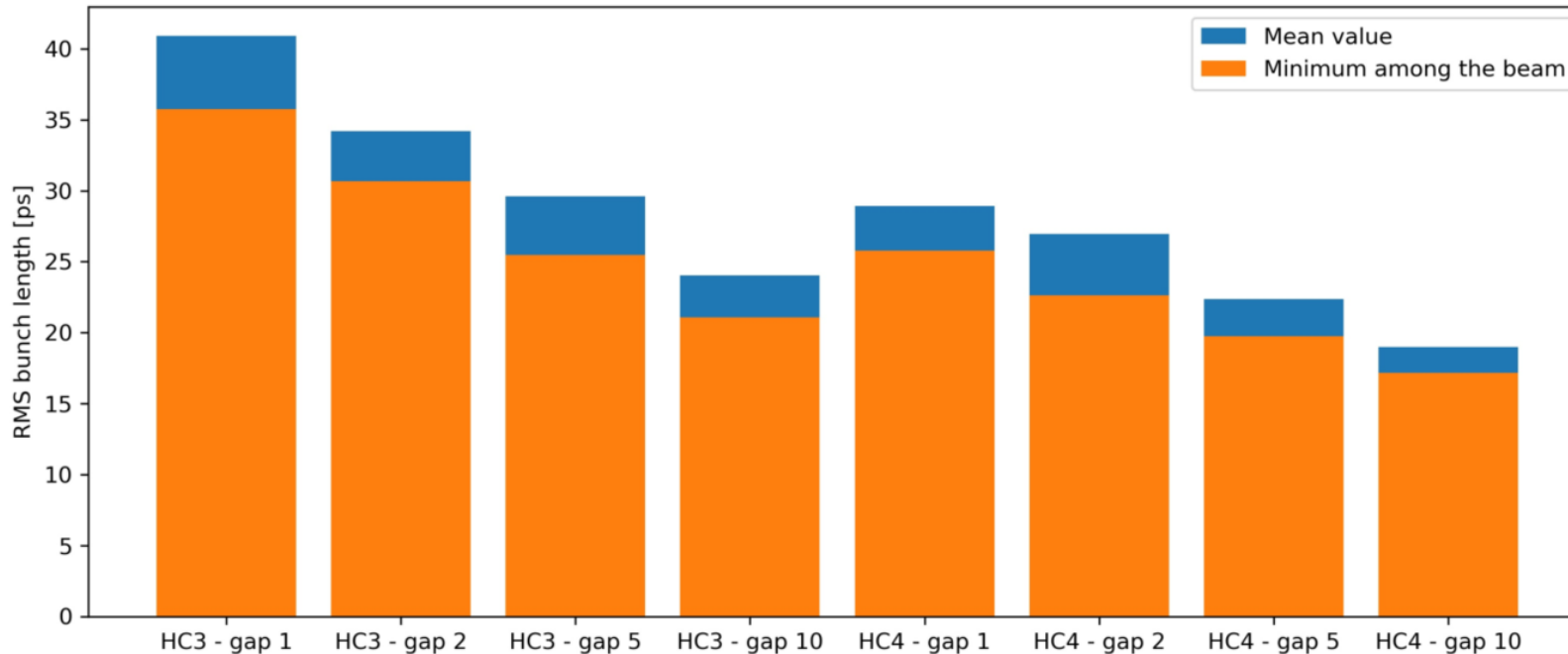
Contact: [nagaoka@synchrotron-soleil.fr](mailto:nagaoka@synchrotron-soleil.fr)



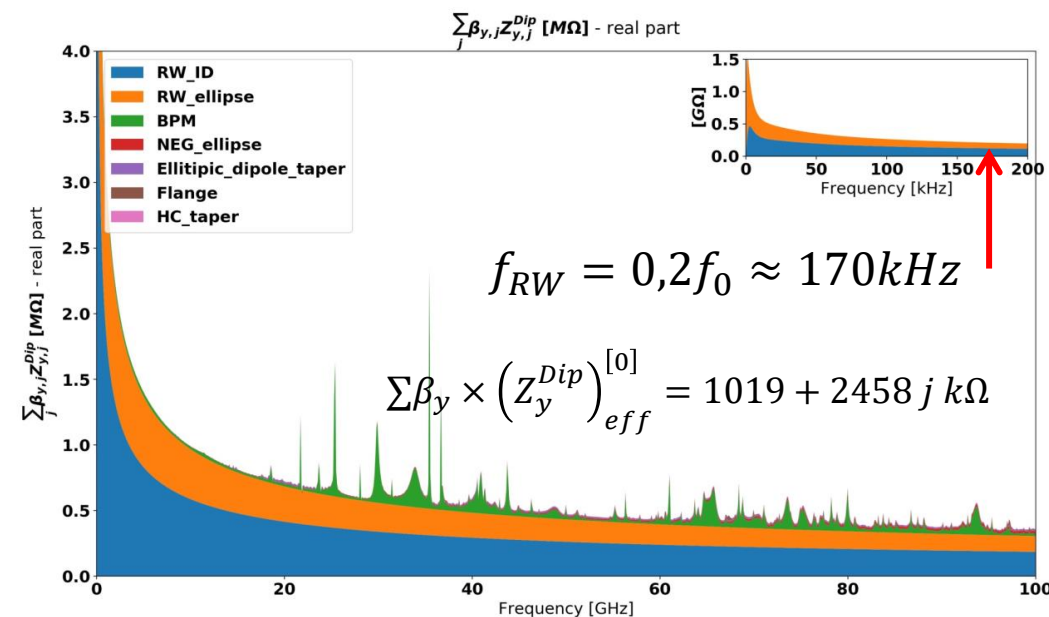
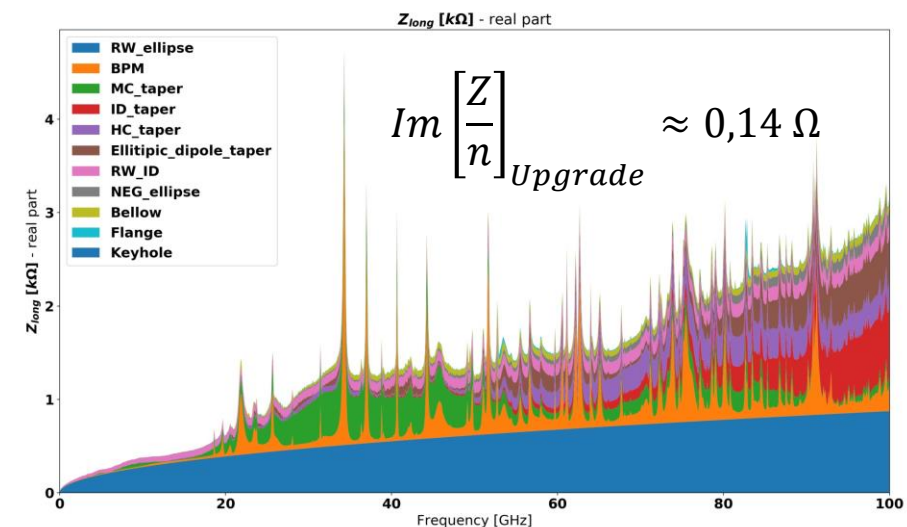
In today SOLEIL, the “uniform” filling pattern is injected by steps of 104 bunches ( $\frac{1}{4}$  of the full filling). Due to the transmission from the booster, there is some variation of the current per bunch depending on the bunch index as shown in the measured filling pattern taken during an operation run:



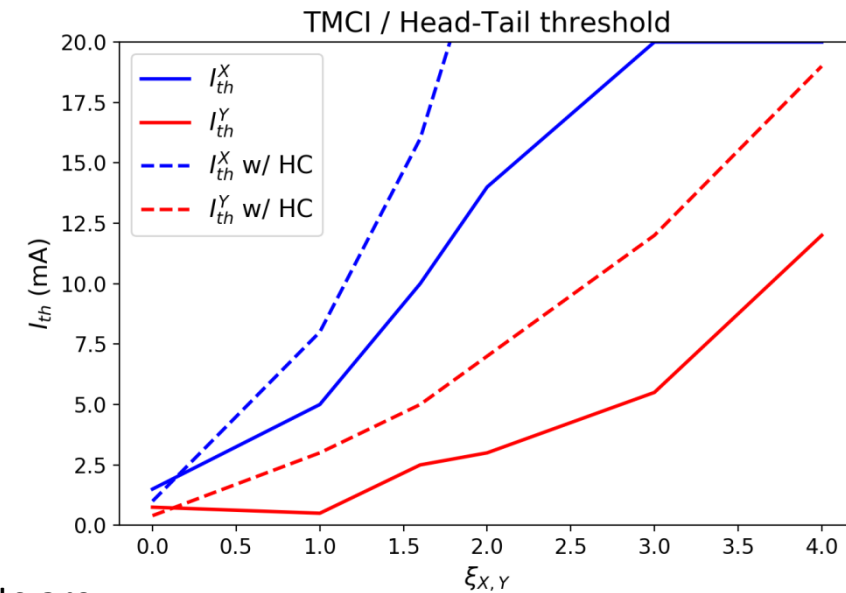
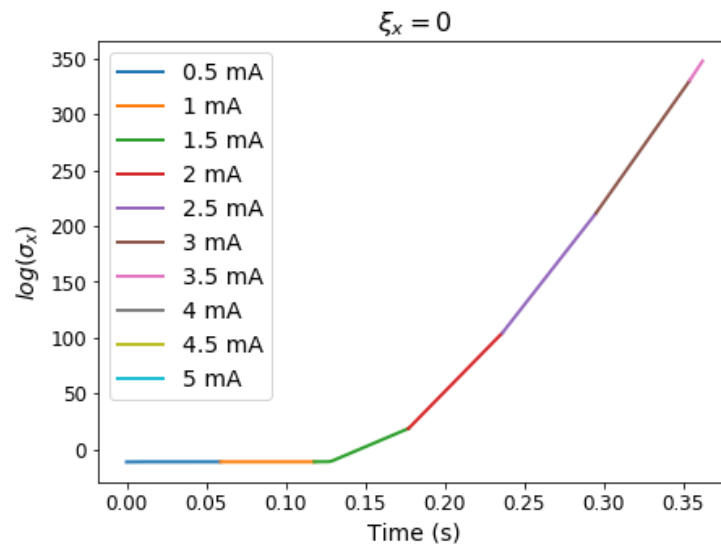
Impact of gaps in the beam filling pattern



Elements	Number	Remarks
BPMs	200	
Keyholes	12	
Bellows	125	“comb” type
Flanges	250	“impedance free” type
Taper Main RF (per pair)	1	$L = 250 \text{ mm} \Leftrightarrow 10,2^\circ$
Taper Harmonic RF (per pair)	1	$L = 100 \text{ mm} \Leftrightarrow 8,5^\circ$
Taper dipoles (per pair)	116	$L = 43 \text{ mm} \Leftrightarrow 8^\circ$
Taper ID under vacuum (per pair)	9	$L = 100 \text{ mm} \Leftrightarrow 2^\circ$ (on vertical plane only)
RW ID (elliptic - 3 mm x 80 mm - Cu)	18 m	
RW (elliptic - 10 mm x 12 mm - Cu)	336 m	
NEG	336 m	$\rho_{NEG} \approx 2,5 \times 10^{-5} \Omega \cdot m$ $h_{NEG} \approx 1 \mu m$



The transverse single bunch instabilities, TMCI at  $\xi = 0$  and Head-Tail at  $\xi \neq 0$ , are estimated by using the *mbtrack* tracking code. We take into account both longitudinal and transverse impedances and track  $10^6$  macro-particles for several damping times.



➤ For a nominal chromaticity at  $\xi_x = \xi_y = 1,6$  the threshold currents are:

- $I_{th,Y} = 2,5 \text{ mA}$  and  $I_{th,X} = 10 \text{ mA}$  without HC
- $I_{th,Y} = 5 \text{ mA}$  and  $I_{th,X} = 16 \text{ mA}$  with HC ( $m = 3$ )

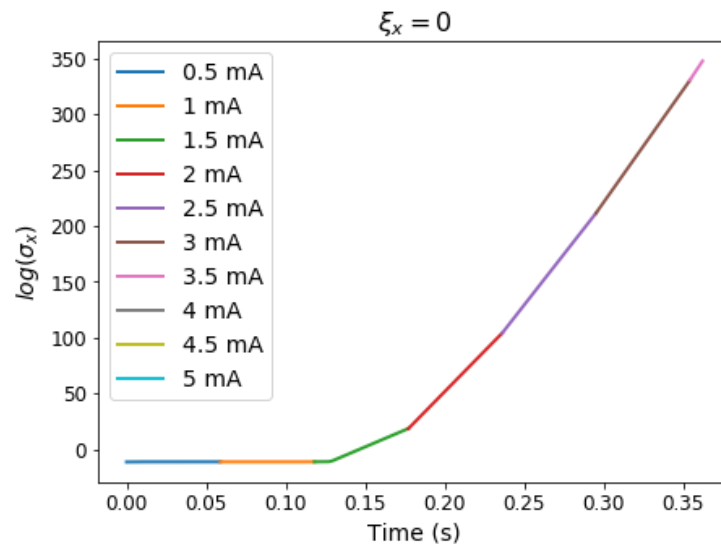
➤ Recent studies using Vlasov formalism<sup>[1]</sup> have shown that harmonic cavities (HC) can decrease the TMCI threshold current. Our simulations seems to confirm this prediction.



Because the incoherent synchrotron frequency is much smaller using HC, the mode  $l = -1$  is closer to the  $l = 0$  mode leading to a decrease of the TMCI threshold.

[1] Venturini, M. "Harmonic cavities and the transverse mode-coupling instability driven by a resistive wall." *Physical Review Accelerators and Beams* 21.2 (2018).

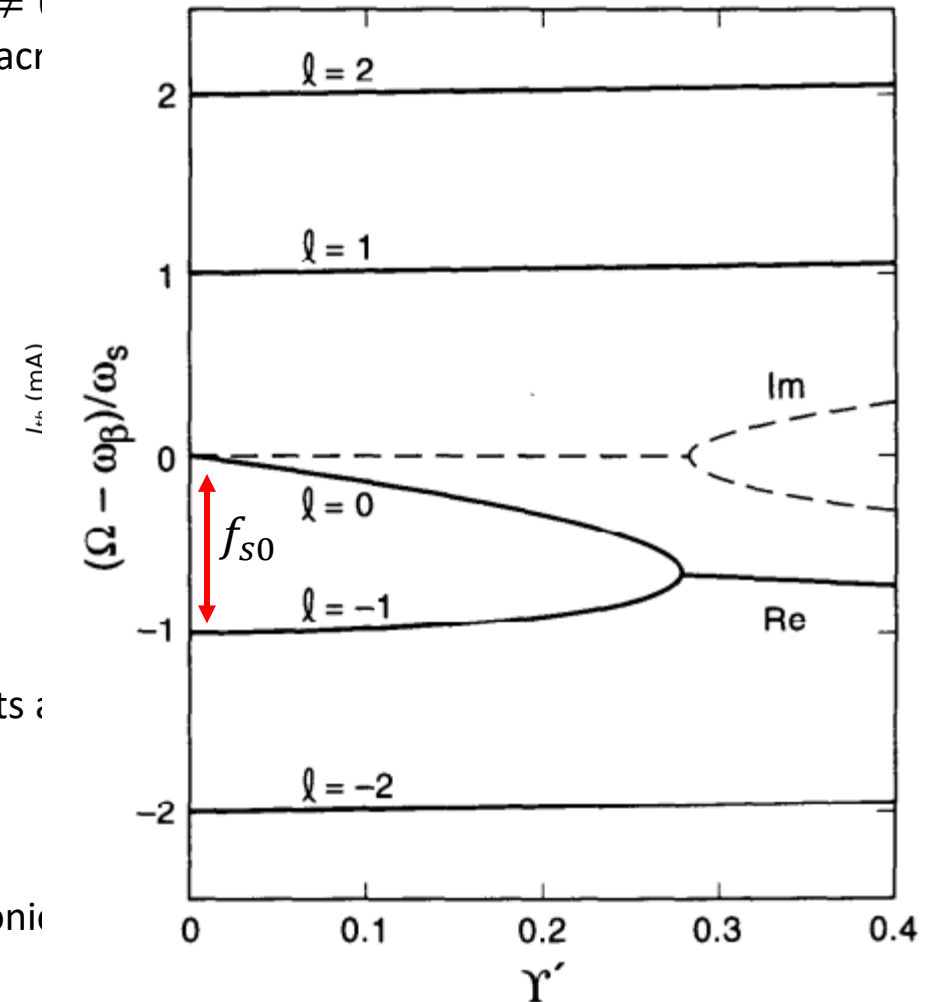
The transverse single bunch instabilities, TMCI at  $\xi = 0$  and Head-Tail at  $\xi \neq 0$  into account both longitudinal and transverse impedances and track  $10^6$  macroparticles



- For a nominal chromaticity at  $\xi_x = \xi_y = 1,6$  the threshold currents are:
  - $I_{th,Y} = 2,5 \text{ mA}$  and  $I_{th,X} = 10 \text{ mA}$  without HC
  - $I_{th,Y} = 5 \text{ mA}$  and  $I_{th,X} = 16 \text{ mA}$  with HC ( $m = 3$ )
- Recent studies using Vlasov formalism<sup>[1]</sup> have shown that harmonic simulations seem to confirm this prediction.



Because the incoherent synchrotron frequency is much smaller using HC, the mode  $l = -1$  is closer to the  $l = 0$  mode leading to a decrease of the TMCI threshold.



We take

rent. Our

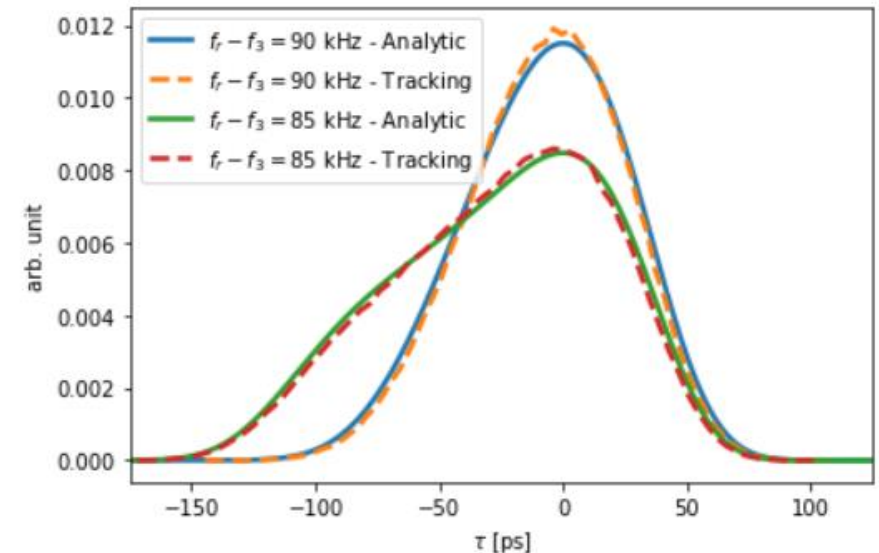
[1] Venturini, M. "Harmonic cavities and the transverse mode-coupling instability driven by a resistive wall." *Physical Review Accelerators and Beams* 21.2 (2018).



All the tracking results for harmonic cavities in this talk are obtained using mbtrack2 [1]:

- Multi-bunch python tracking code using  $10^4 - 10^5$  macro-particules per bunch in parallel.
- Using the CavityResonator class allows to simulate active/passive RF cavities with beam loading.
- The implementation of this class is very similar to what can be found in the SOLEIL/KEK mbtrack version [2].
- Open source: <https://gitlab.synchrotron-soleil.fr/PA/collective-effects/mbtrack2>

The analytic calculations of the bunch profile are obtained by solving an equation system similar to a Haïssinski equation [3]. The method is available in mbtrack2 code library.



[1] A. Gamelin, W. Foosang, and R. Nagaoka, "mbtrack2, a Collective Effect Library in Python", IPAC'21 MOPAB070.

[2] N. Yamamoto, A. Gamelin, and R. Nagaoka, "Investigation of Longitudinal Beam Dynamics With Harmonic Cavities by Using the Code mbtrack" IPAC'19 MOPGW039

[3] A. Gamelin and N. Yamamoto, "Equilibrium Bunch Density Distribution With Multiple Active and Passive RF Cavities", IPAC'21 MOPAB069.

The parameters used for the simulations shown here are:

RF parameters:

Main cavity (4 ESRF-EBS type):

- $m = 1$
- $R_s = 19,6 \text{ M}\Omega$
- $Q_0 = 34\ 000$
- $Q_L = 6\ 000$
- $V_{RF} = 1,7 \text{ MV}$

Passive harmonic cavity (2 Super3HC type):

- $m = 3$
- $R_s = 90 \times 10^8 \Omega$
- $Q_0 = Q_L = 10^8$

SOLEIL Upgrade CDR (v0313):

- $h = 416$
- $L = 354,73 \text{ m}$
- $E_0 = 2,75 \text{ GeV}$
- $\epsilon_x/\epsilon_y = 52 \text{ pm.rad}$
- $\nu_x/\nu_y = 0,2/0,2$
- $\tau_x/\tau_y = 9,2/9,3 \text{ ms}$
- $\tau_s = 11,3 \text{ ms}$
- $\alpha_c = 9,12 \times 10^{-5}$
- $\sigma_0 = 8 \text{ ps}$
- $\sigma_\delta = 9 \times 10^{-4}$
- $U_0 = 515 \text{ keV}$  (w/o IDs)

- No feedback of any sort for the both main and harmonic cavities.
- Main cavity is set to a given tuning (usual close to the optimal tuning point) and the generator voltage is computed to get the design voltage and phase.
- For the passive harmonic cavity, the tuning is the only knob to adjust the voltage.

- Usual way to include RF systems in macro-particle tracking code, what we call “**perfect cavity**”, is just a sum of cosine (or sine) like:

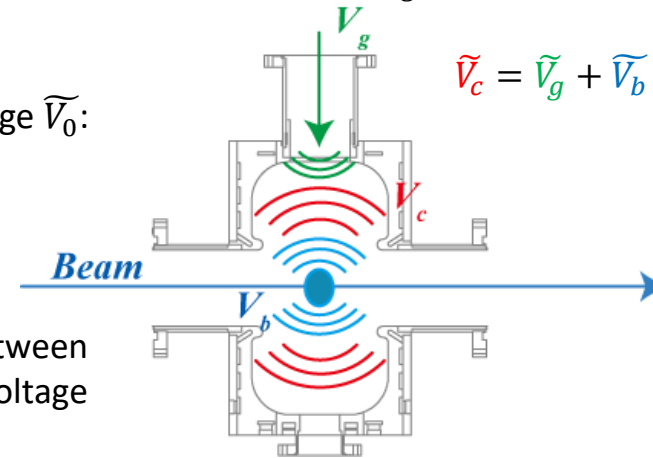
$$\Delta_{RF} = \sum_n \frac{eV_n}{E_0} \cos(m_n \omega_{RF} t + \phi_n)$$

- But **this approach can not simulate instabilities generated by the RF system or the transient beam loading.** Instead, the total cavity voltage  $\tilde{V}_c$  is decomposed in two components, the generator voltage  $\tilde{V}_g$  and the beam induced voltage  $\tilde{V}_b$ .

- When a charged particle goes through the RF cavity, it induces a voltage  $\tilde{V}_0$ :

$$\tilde{V}_0 = -2qk_l$$

Particle charge  $\rightarrow$   $\tilde{V}_0$   $\leftarrow$  Cavity loss factor



- The voltage induced by the different particles crossing the cavity between time  $t$  and time  $t+\Delta t$  is added to the voltage  $\tilde{V}_b$  already present in the cavity at time  $t$ :

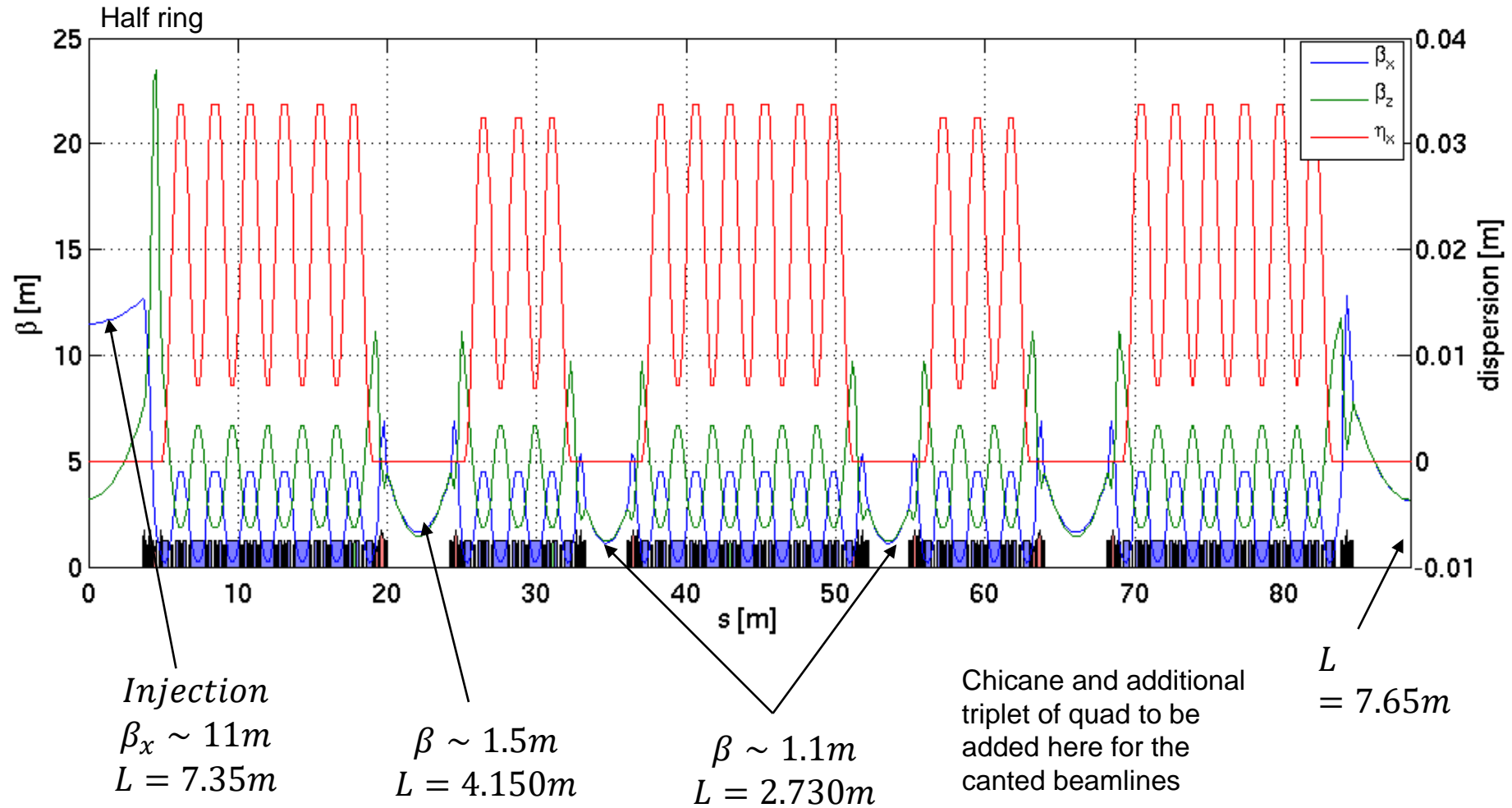
$$\tilde{V}_b(t + \Delta t) = \tilde{V}_b(t) e^{-\frac{\Delta t}{\tau}} e^{j\delta\Delta t} + \tilde{V}_0$$

Cavity filling time  $\rightarrow$   $e^{-\frac{\Delta t}{\tau}}$   $\leftarrow$  Cavity phase slippage  $\rightarrow$   $e^{j\delta\Delta t}$

- So the energy change of a particle is given by:

$$\Delta_{RF} = \sum_n \frac{e}{E_0} [V_{g,n} \cos(m_n \omega_{RF} t + \phi_{g,n}) + Re[\tilde{V}_b] - qk_l]$$

# Present reference lattice optics : V0313



Coupled transverse instabilities occur when certain frequencies of the beam (coherent modes) are excited by their interaction with impedances having neighboring frequencies.

The modes are defined by different numbers, for example for a beam having  $M$  bunches:

$\mu$  = coupled bunch number = 0, 1, 2, ..., ( $M - 1$ )

- $m$  = head-tail number (also called azimuthal or synchrotron) = ..., -2, -1, 0, 1, 2, ...
- $k$  = phase space periodicity number = 1 (dipole), 2 (quadrupole), ...

Each mode  $(m, \mu, k)$  has a frequency spectrum  $\omega_p$  :

$$\omega_p = \omega_0(pM + \mu + kv_\beta + mv_s)$$

With  $p$  being an integer that can vary between  $\pm\infty$ ,  $\omega_0$  is the ring angular revolution frequency,  $v_\beta$  and  $v_s$  are the betatron and synchrotron tunes.

# RW - Theory

For each mode  $(m, \mu)$ , we can compute an effective impedance  $(Z_{\perp})_{eff}^{[m,\mu]}$  corresponding to the intersection of the impedance  $Z_{\perp}$  with the bunch frequency spectrum  $h_m$  sampled at the frequencies  $\omega_p$  of the mode displaced by the chromatic frequency  $\omega_{\xi}$ .

This effective impedance  $(Z_{\perp})_{eff}^{[m,\mu]}$  can be used to estimate the coherent slip of complex tunes and certain instability thresholds :

$$(Z_{\perp})_{eff}^{[m,\mu]} = \frac{\sum_{p=-\infty}^{\infty} Z_{\perp}(\omega_p) h_m(\omega_p - \omega_{\xi})}{\sum_{p=-\infty}^{\infty} h_m(\omega_p - \omega_{\xi})}$$

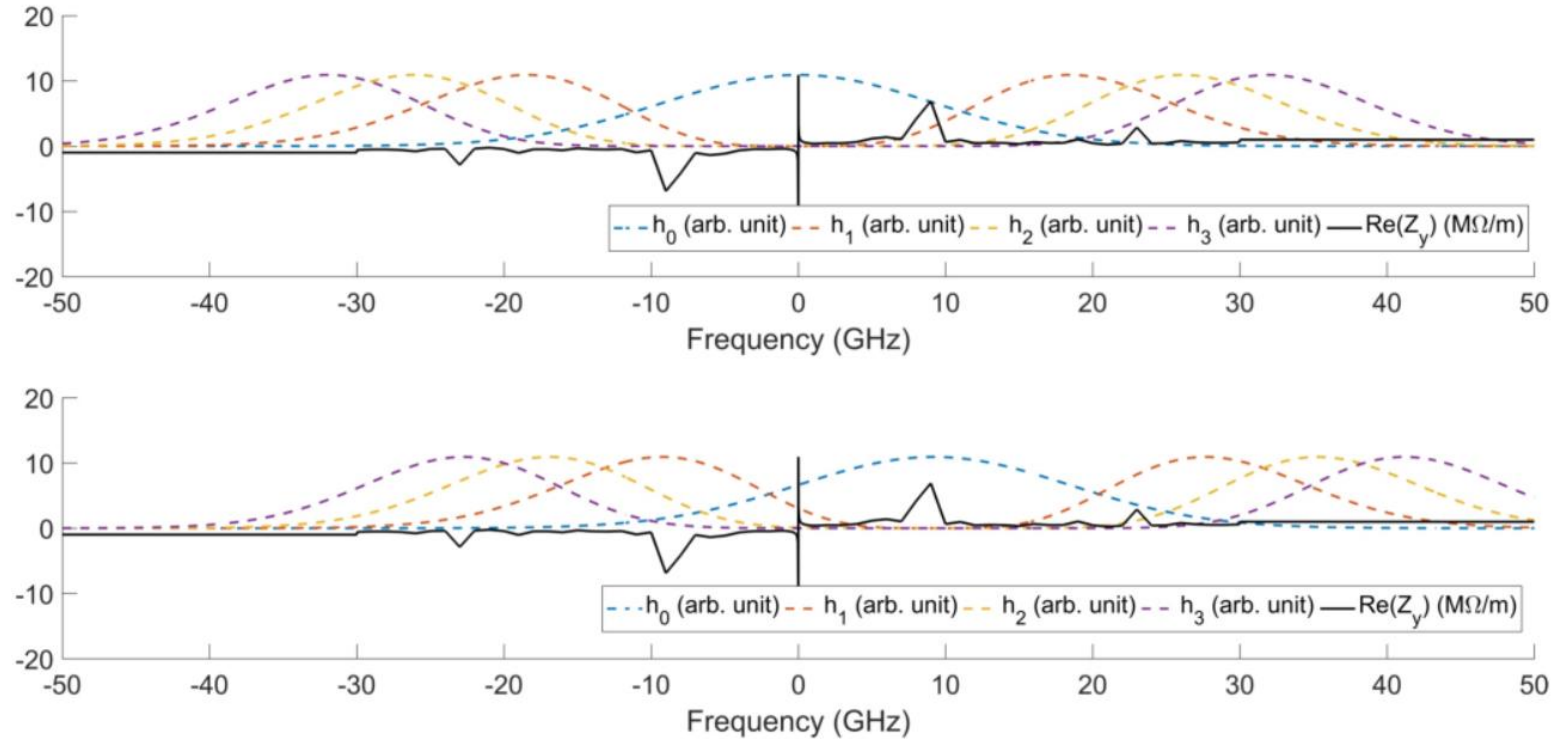
Where  $\omega_{\xi} = \frac{\omega_0 \xi}{\eta}$  is the chromatic frequency which has the effect of shifting in frequency the bunch spectrum  $h_m$ ,  $\xi = \Delta v_{\beta} / \delta$  is the chromaticity (not normalized) and  $\eta = \alpha_c - 1/\gamma^2$ .

For Gaussian bunch of RMS length  $\sigma_s$ , the bunch frequency spectrum for the  $m$  mode is given by:

$$h_m(\omega) = (\omega \sigma_s)^{2m} e^{-\omega^2 \sigma_s^2}$$

(Hermitian mode)

# RW – Head-Tail Damping

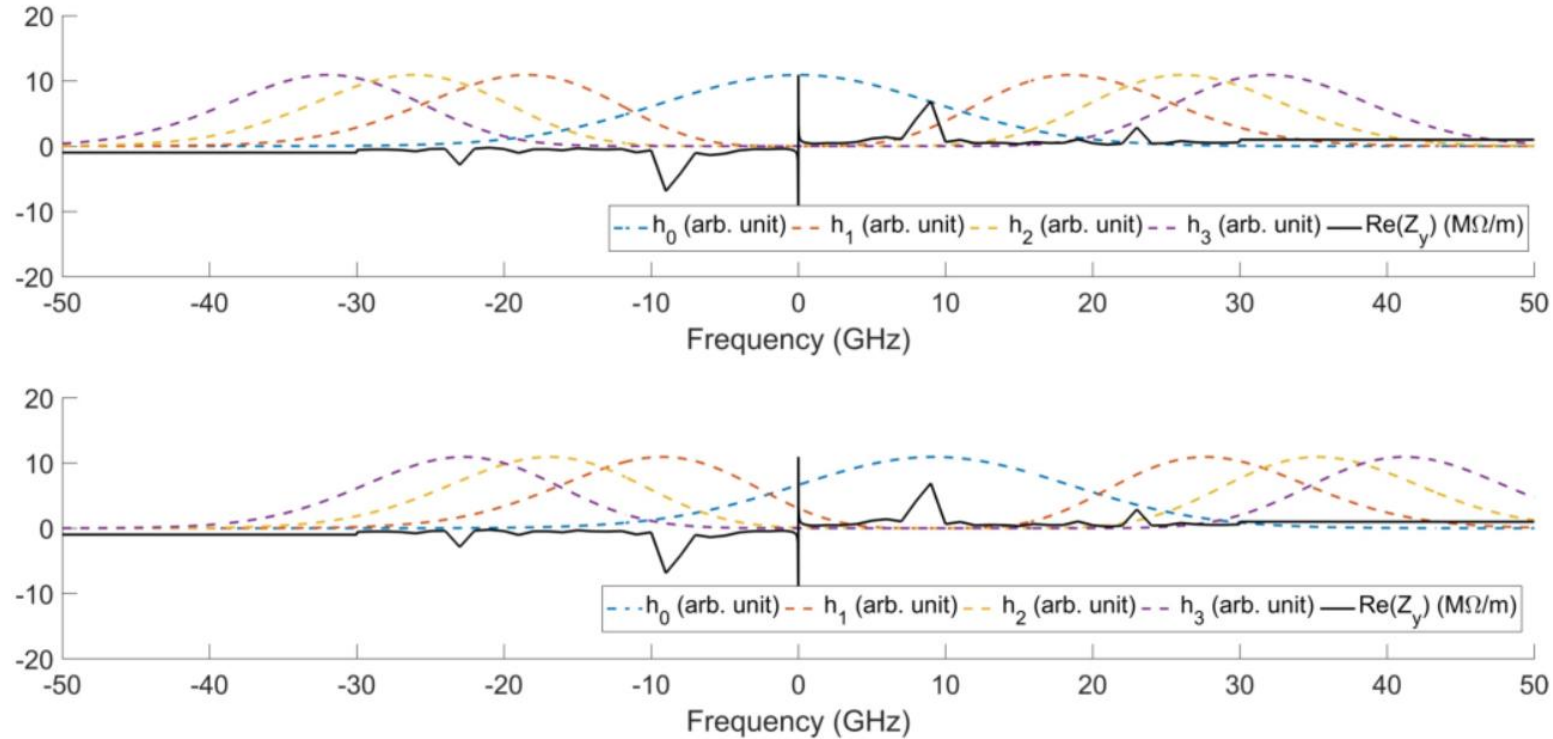


As the real part of the transverse impedance at odd symmetry  $Re[Z_{\perp}(-\omega)] = -Re[Z_{\perp}(\omega)]$ , the negative frequencies in the equation will contribute to the instability while the positive frequencies provide damping.

When chromaticity is zero, the positive and negative frequency contributions cancel out for "broad band" impedances and only "narrowband" type impedances contribute to the instability.



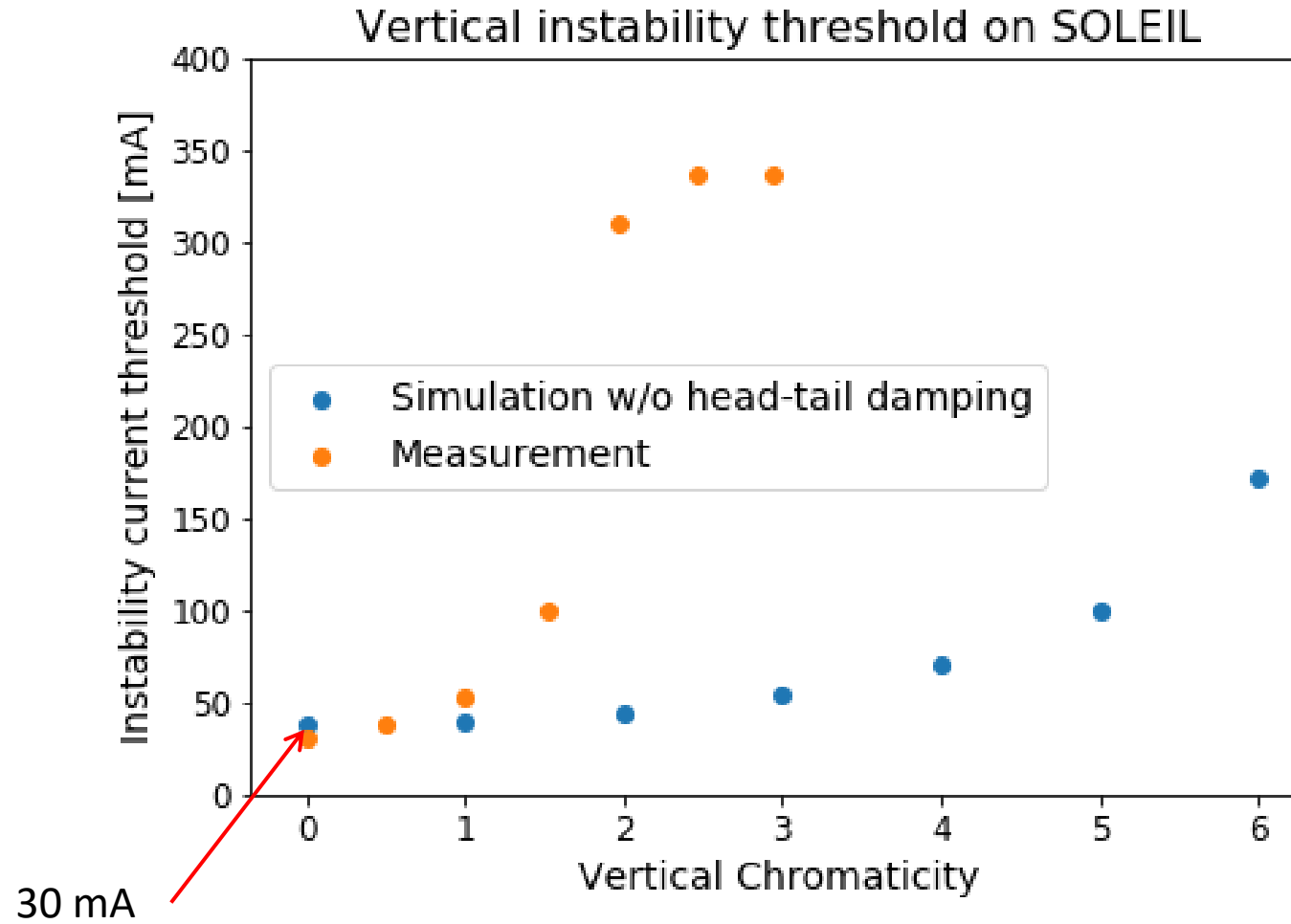
# RW – Head-Tail Damping



When the chromaticity is non-zero, this cancellation is no longer effective because the frequency spectrum of the bunch  $h_m$  is displaced by  $\omega_\xi$ .

By correcting the chromaticity to a positive value, we can use this displacement to damp the instability by using the stabilizing contribution of the positive frequencies of the “broadband” impedances (head-tail damping).

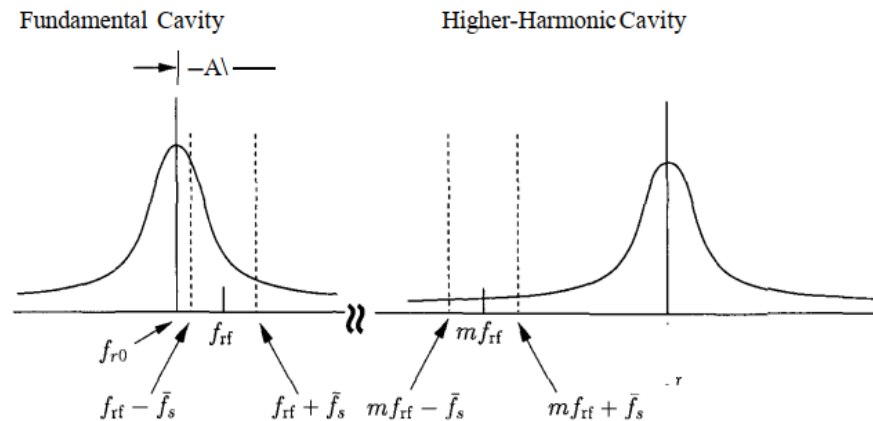
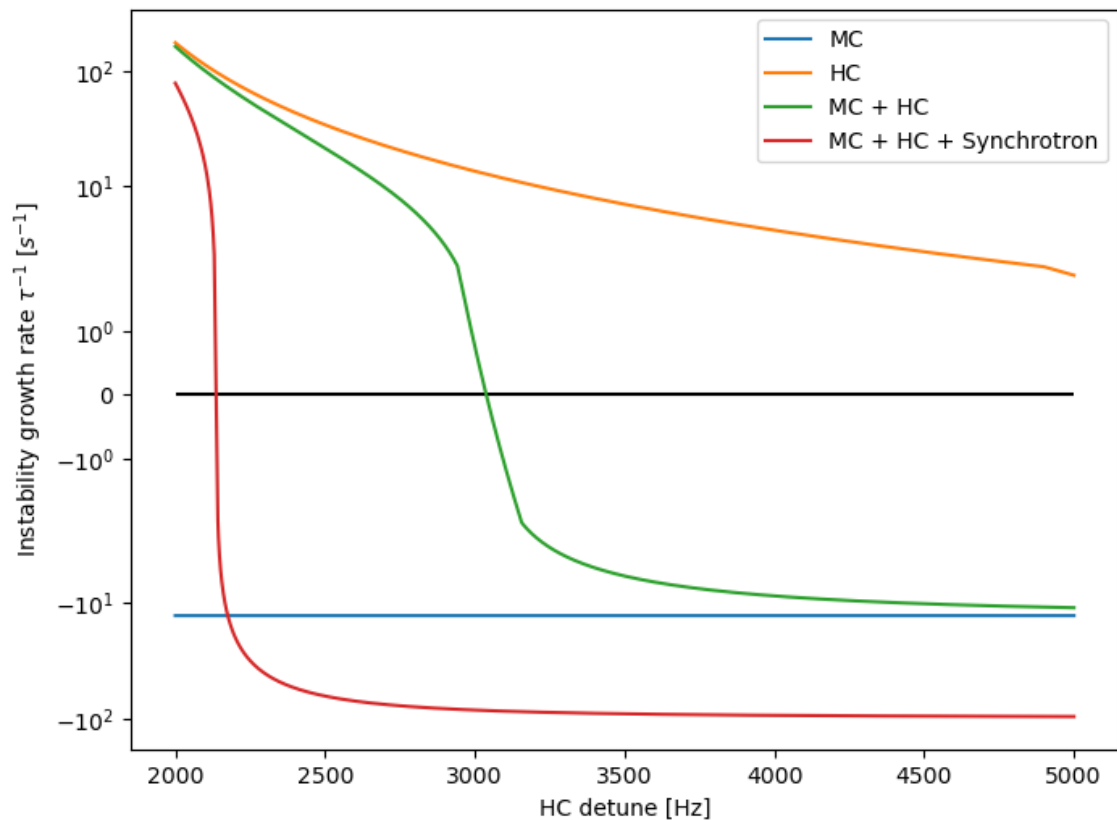
# RW instability measurement on SOLEIL



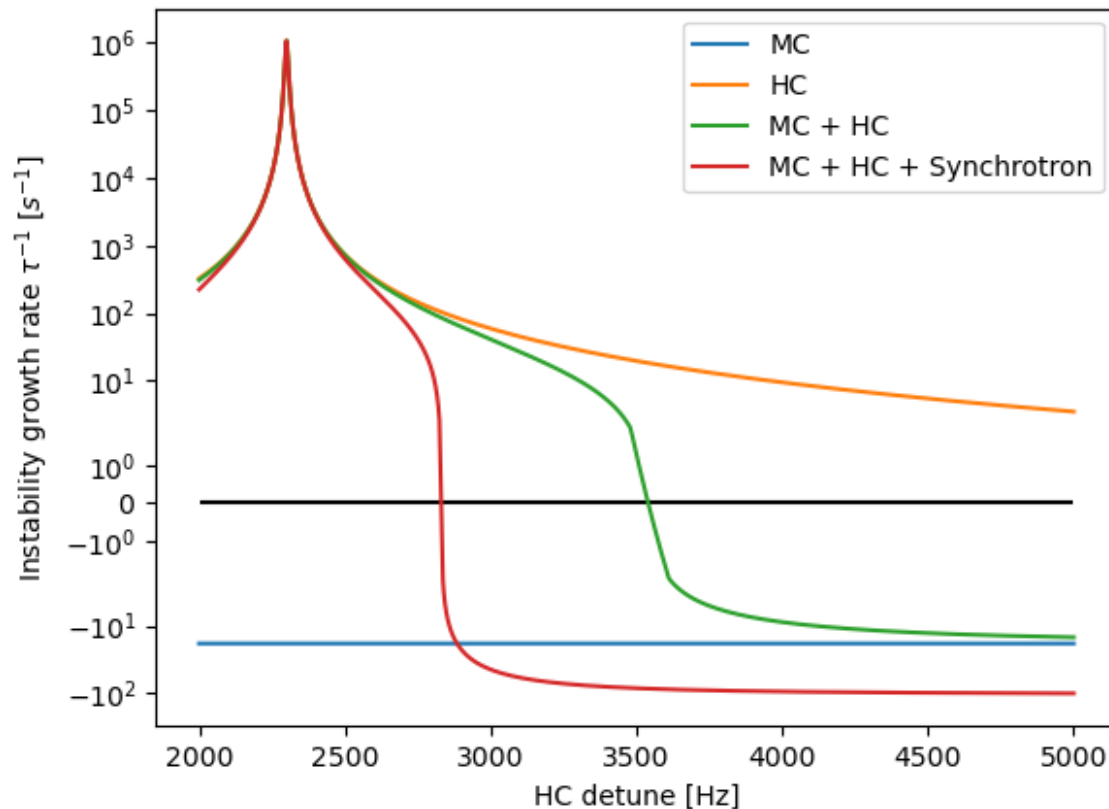
Usual longitudinal coupled bunch formula applied to MC + HC impedance :

$$\frac{1}{\tau} = \text{Im } \Omega \approx \frac{\eta I_0 \omega_{\text{rf}}}{2E_0 T_0 \bar{\omega}_s} \left\{ \left[ \text{Re } Z_0^{\parallel}(\omega_{\text{rf}} + \bar{\omega}_s) - \text{Re } Z_0^{\parallel}(\omega_{\text{rf}} - \bar{\omega}_s) \right] + m \left[ \text{Re } Z_0^{\parallel}(m\omega_{\text{rf}} + \bar{\omega}_s) - \text{Re } Z_0^{\parallel}(m\omega_{\text{rf}} - \bar{\omega}_s) \right] \right\}$$

$f_s = f_{s0} \approx 1600 \text{ Hz}$



$f_s = 2300 \text{ Hz}$



Now let us have a look at the longitudinal coupled-bunch instability (LCBI) driven by the HOM of the main cavity and how the harmonic cavity may impact this instability.

The HOM instability is well explained by the LCBI theory:

$$\tau_g^{-1} = \frac{e\alpha I_0}{4\pi E V_s} \left\{ \sum_{n=0}^{\infty} \omega_{\mu,n}^+ \operatorname{Re}[Z(\omega_{\mu,n}^+)] - \sum_{n=1}^{\infty} \omega_{\mu,n}^- \operatorname{Re}[Z(\omega_{\mu,n}^-)] \right\}$$

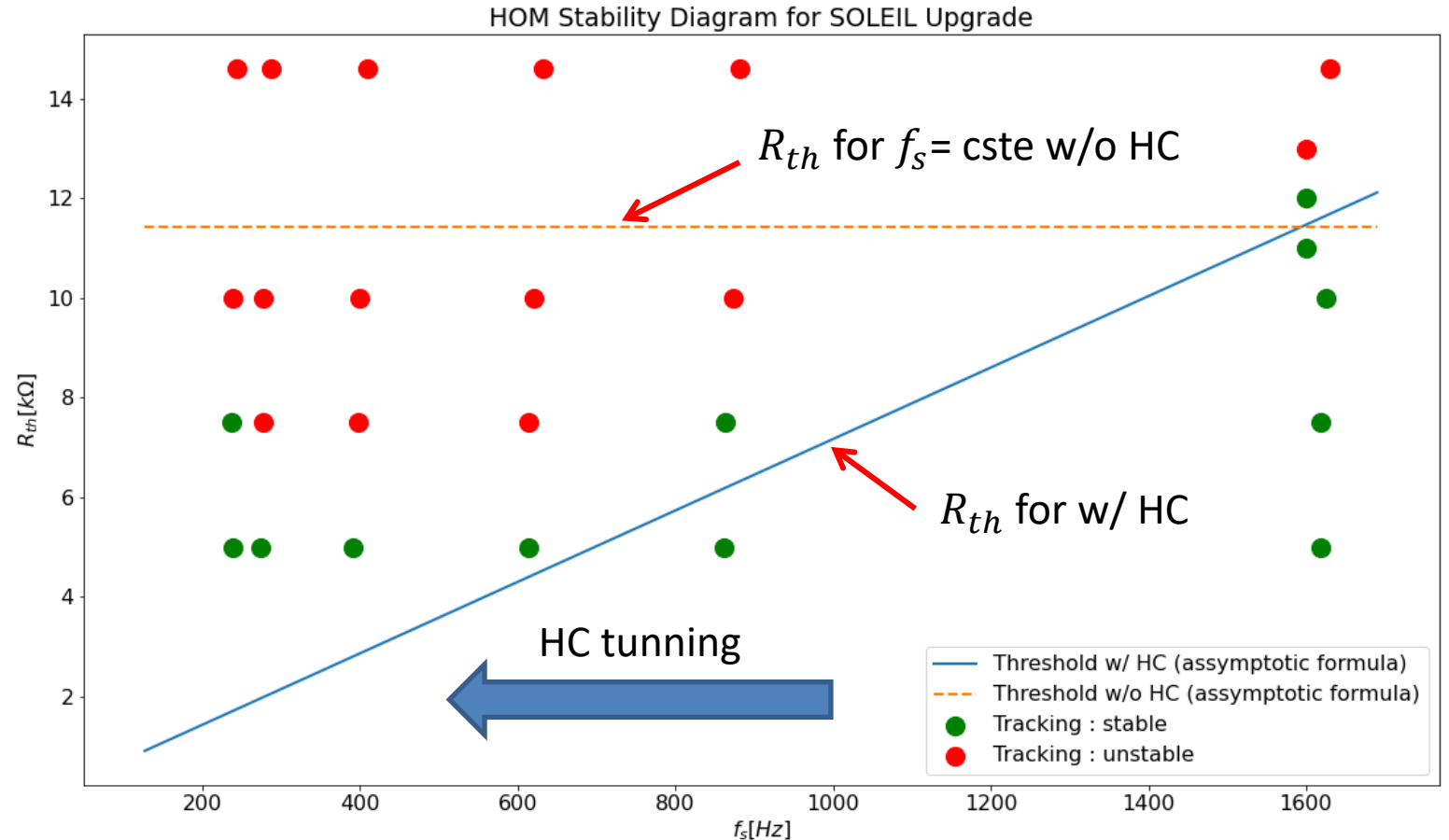
$$\omega_{\mu,n}^{\pm} = \{nM \pm (\mu + \nu_s)\} \omega_0$$

Where the HOM impedance is described by the resonator model:

$$Z(\omega) = \frac{R}{1 + iQ_L \left( \frac{\omega_r}{\omega} - \frac{\omega}{\omega_r} \right)}$$

When  $\omega_{\mu,n} = \omega_r$ , corresponding to the strongest instability growth rate:

$$R_{th} = \frac{4\pi E f_s}{\tau_s \alpha_c I_0 f_0 \omega}$$



Now let us have a look at the longitudinal coupled-bunch instability (LCBI) driven by the HOM of the main cavity and how the harmonic cavity may impact this instability.

- The lowering of the incoherent synchrotron frequency induced by the harmonic cavity lower the HOM threshold.
- In SOLEIL upgrade case, this effect is not compensated by the increased Landau damping due to the synchrotron frequency spread.

