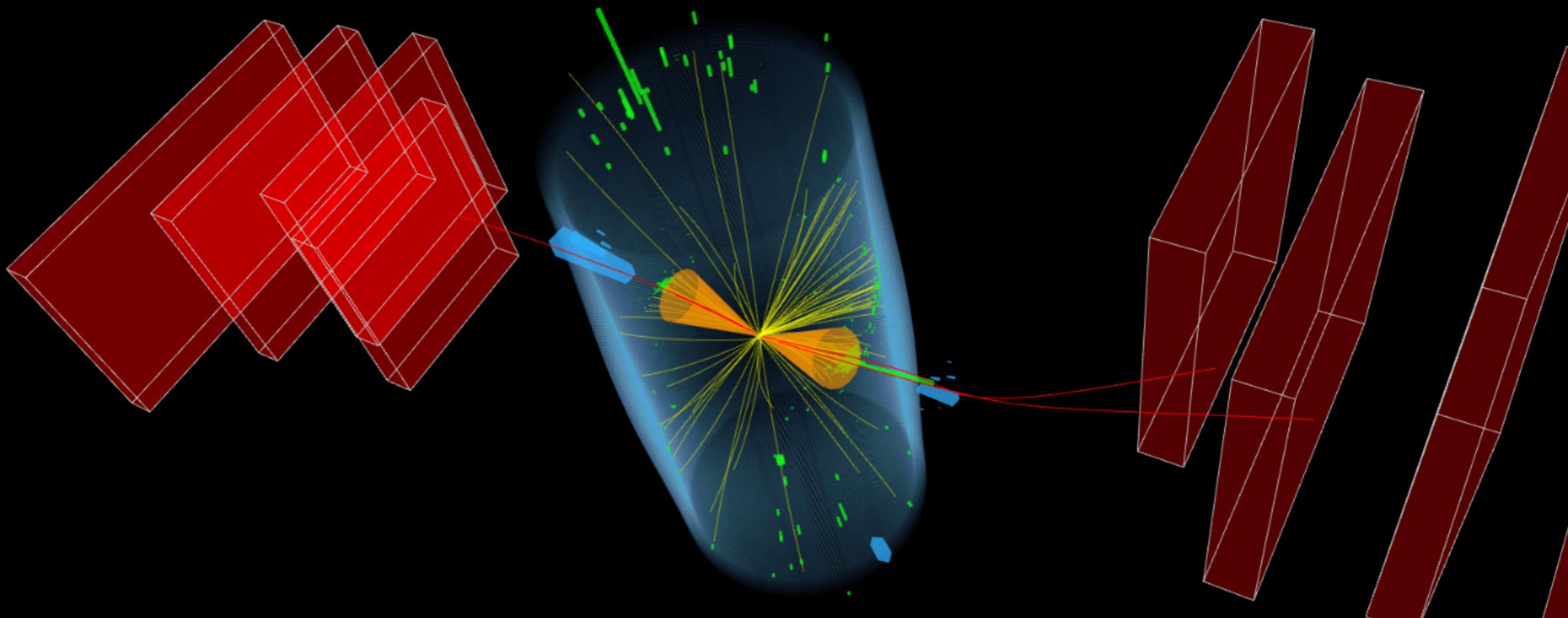
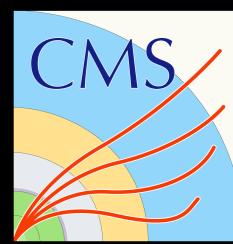




# CMS news on $\alpha_S(m_Z)$ and the PDFs

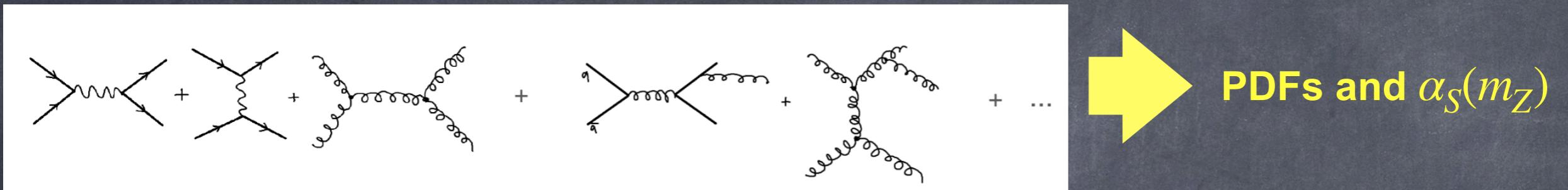
## using inclusive jets and $t\bar{t}$ cross sections



Katerina Lipka

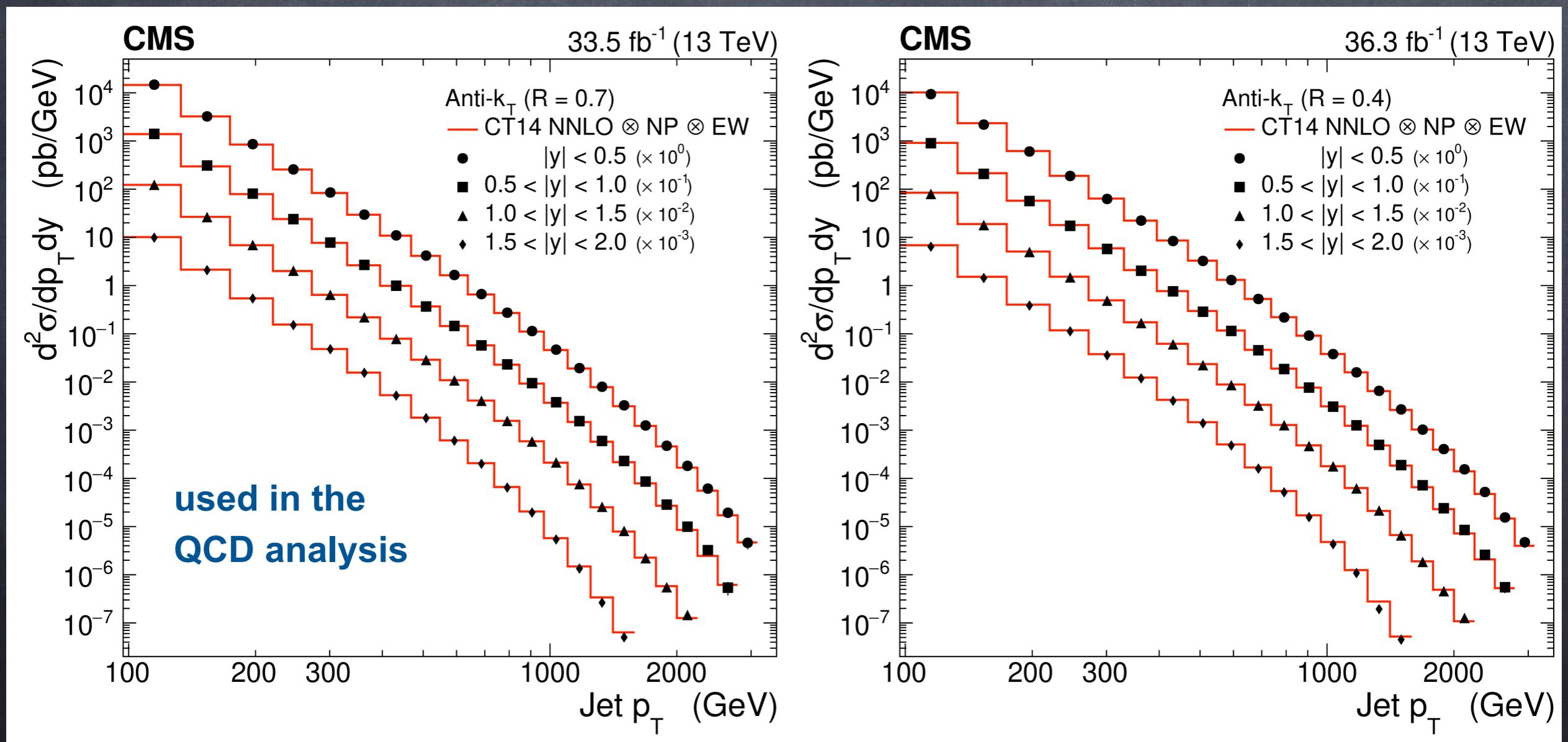
CMS Experiment at the LHC, CERN  
Data recorded: 2016-Sep-27 14:40:45

# JET PRODUCTION AT LHC: PROBE OF SM

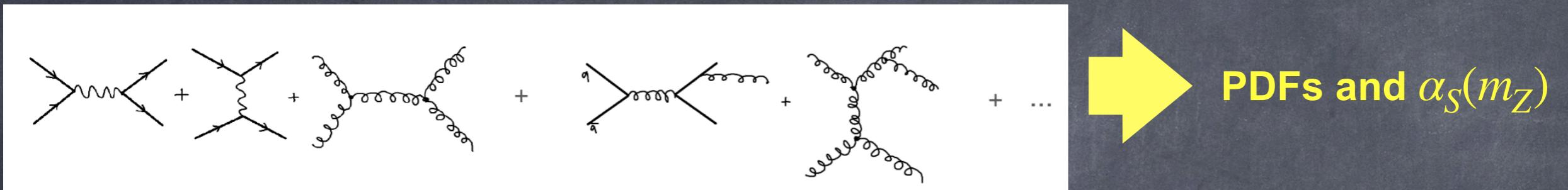


New CMS measurement: inclusive jets at 13 TeV: [arXiv:2111.10431](https://arxiv.org/abs/2111.10431)

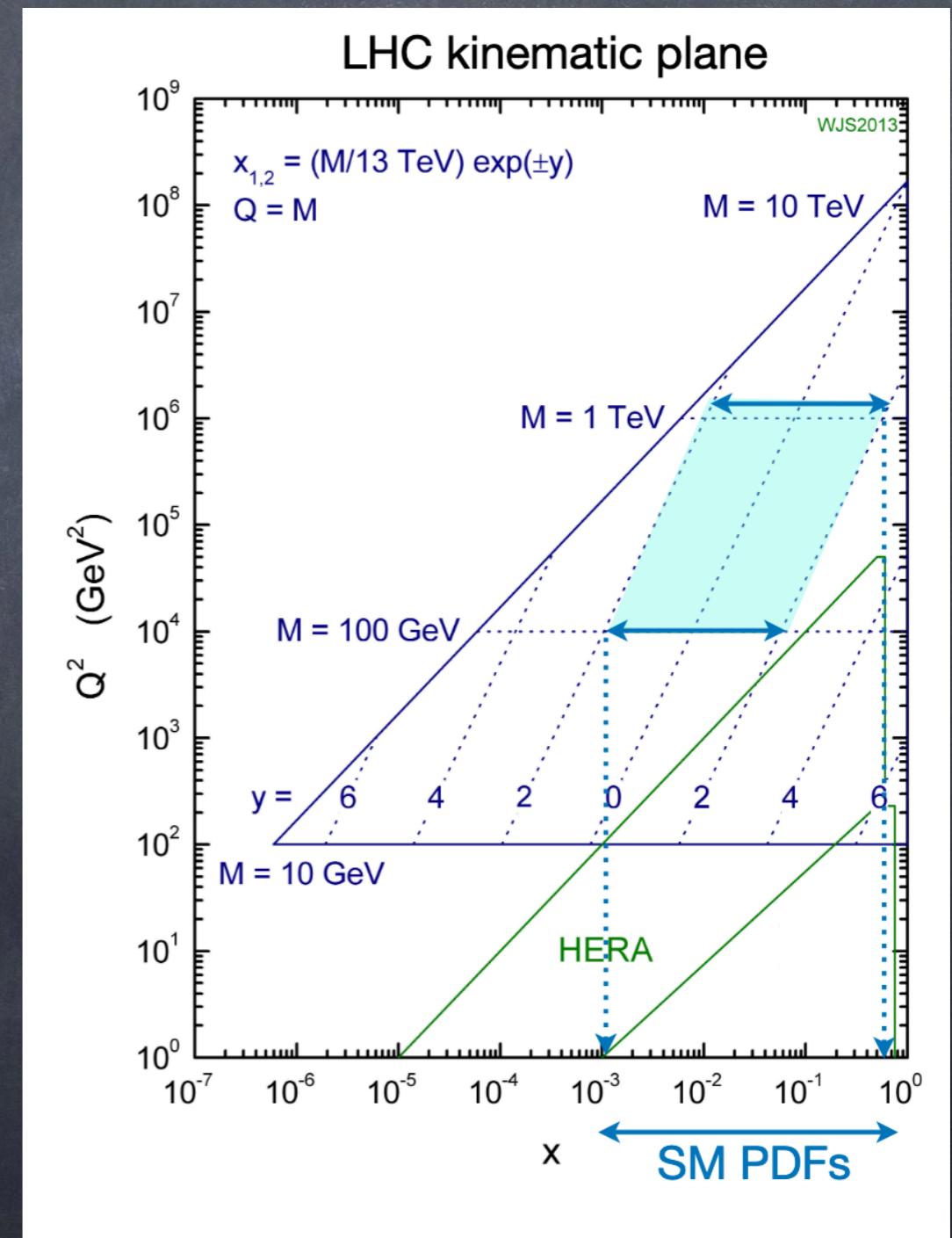
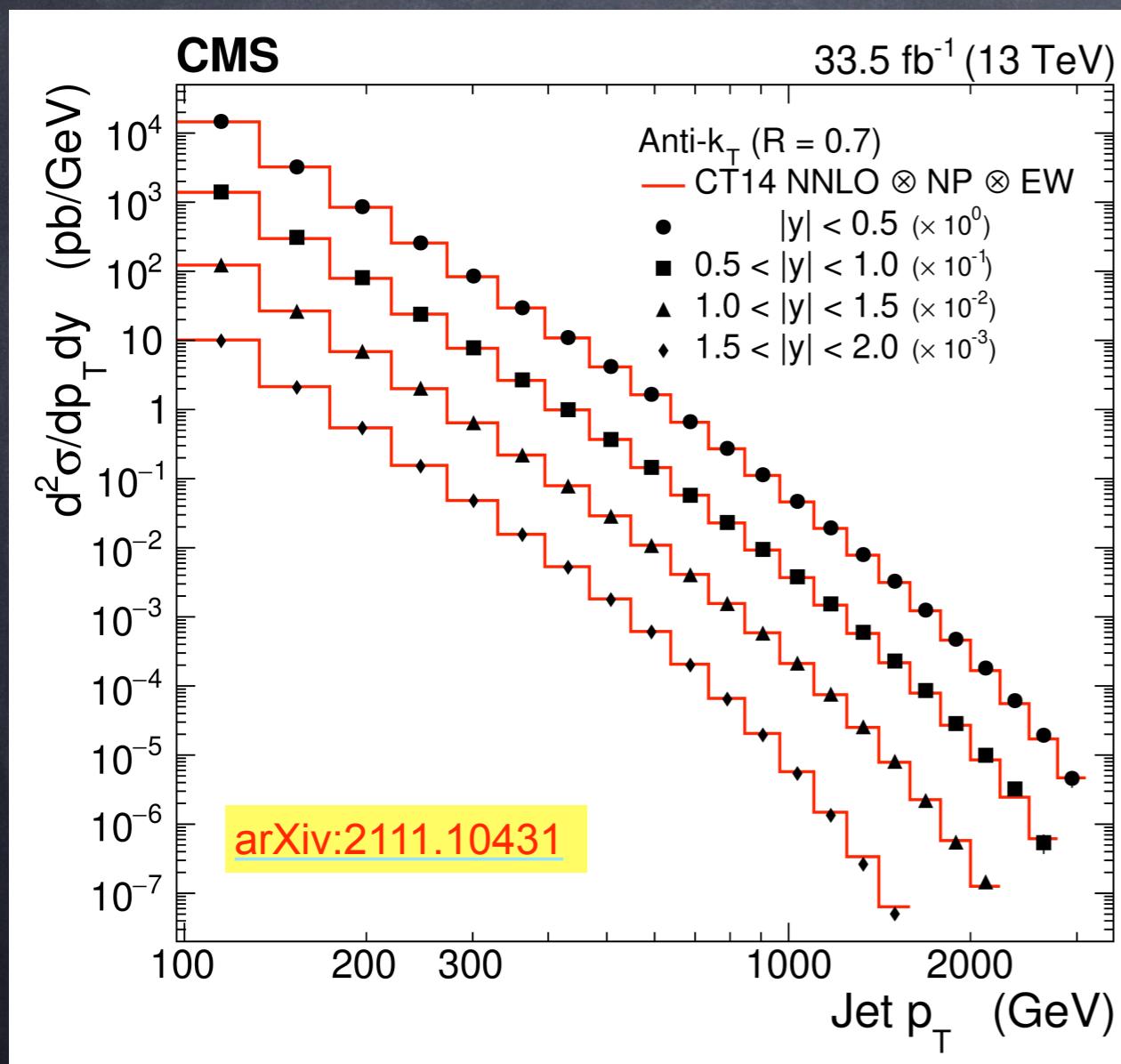
2-differential cross sections vs jet  $p_T$  and  $y$



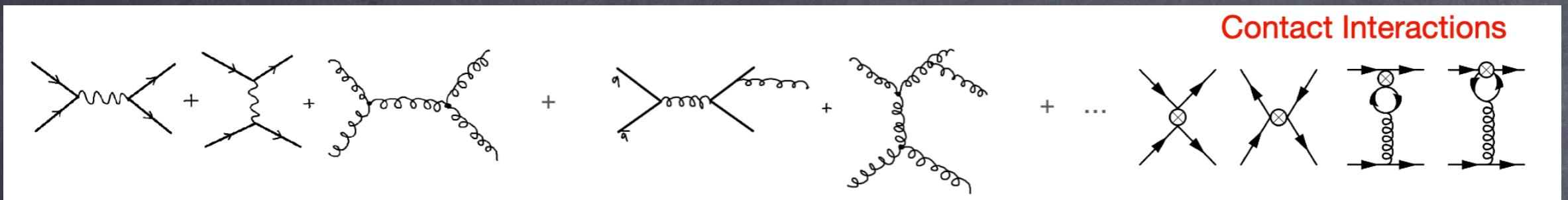
# JET PRODUCTION AT LHC: PROBE OF SM



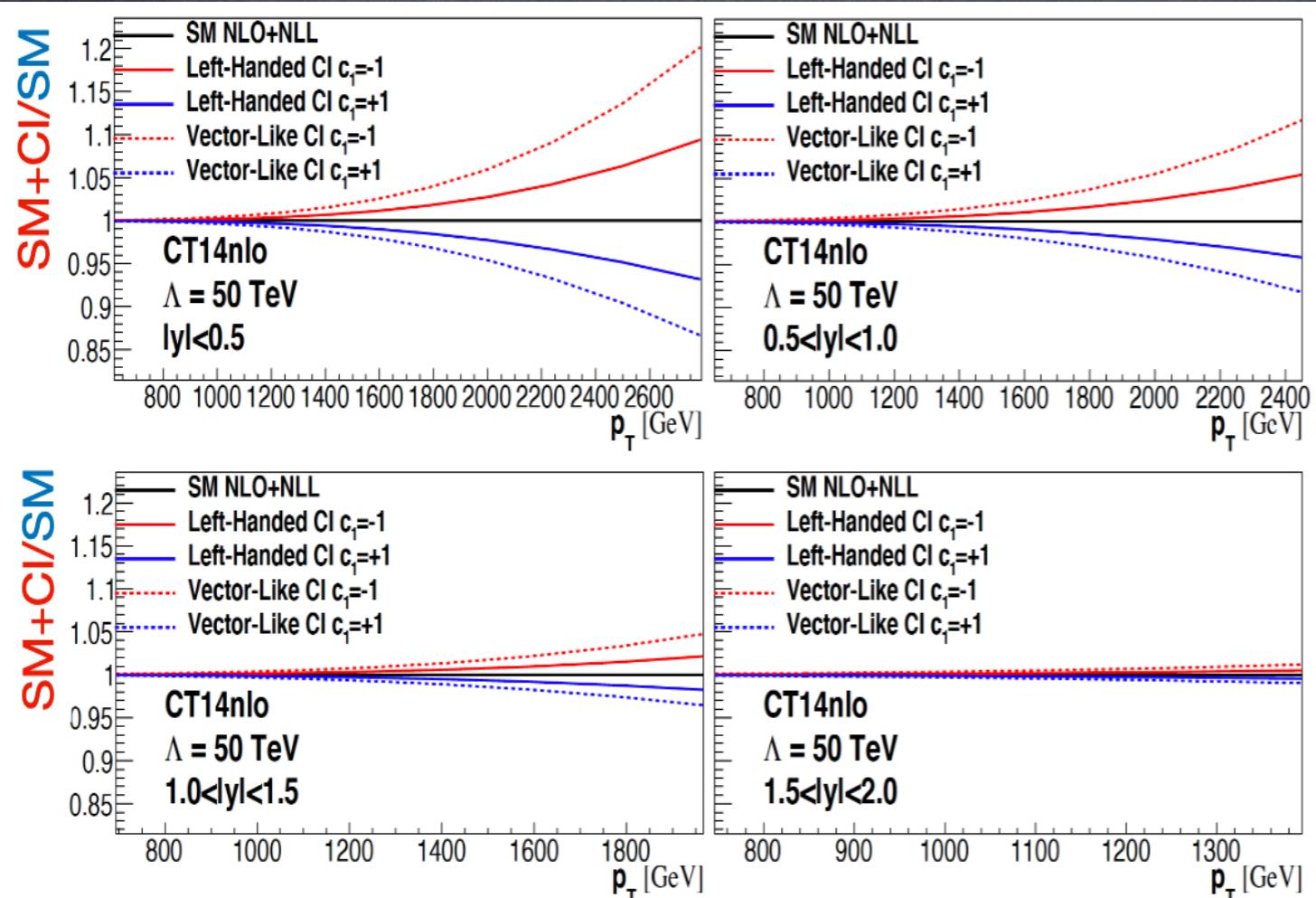
New CMS measurement: inclusive jets at 13 TeV:  
2-differential cross sections vs jet  $p_T$  and  $y$



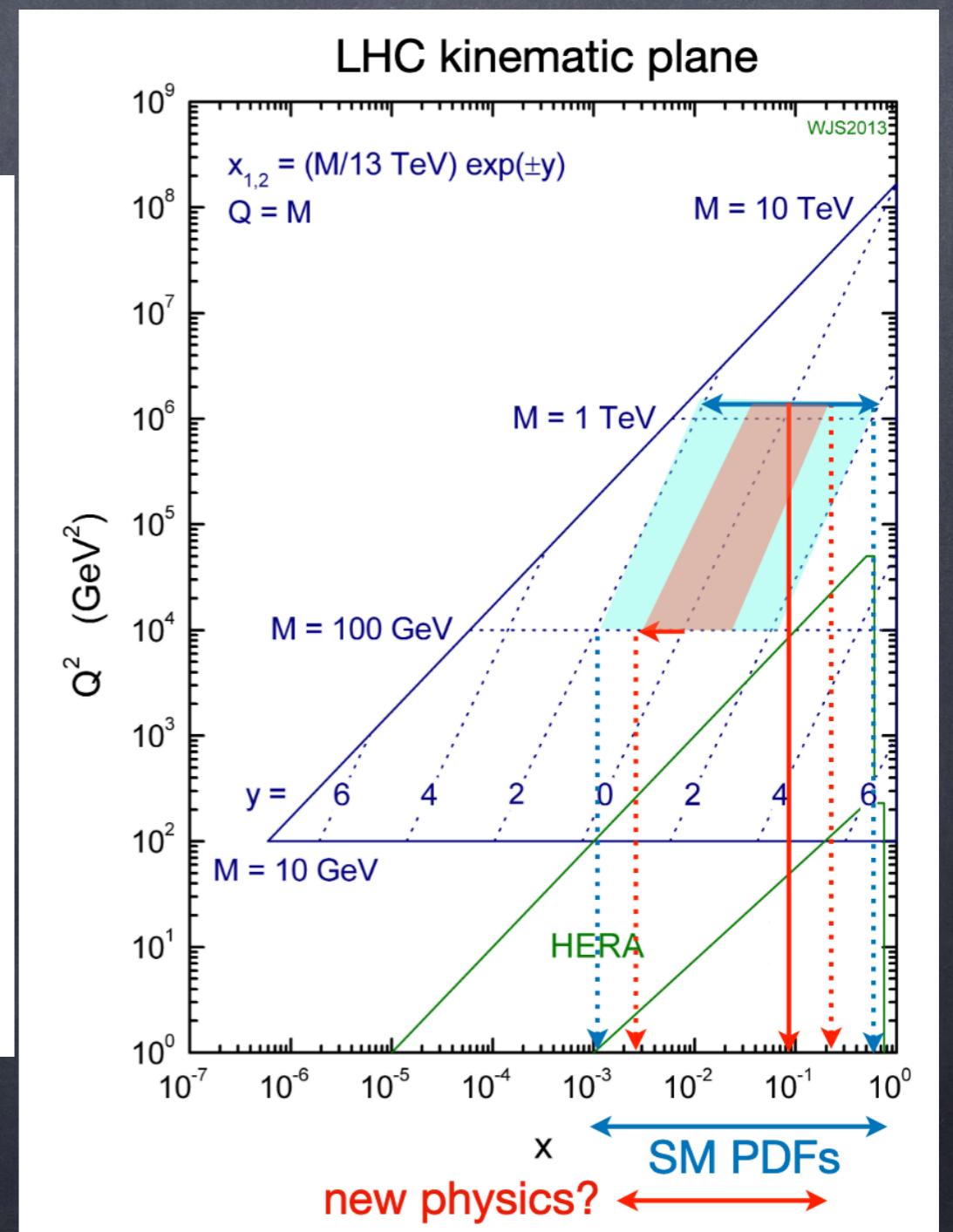
# JET PRODUCTION AT LHC: PROBE OF SM + CI



CI expected to show up at high  $p_T$  and central  $y$ :

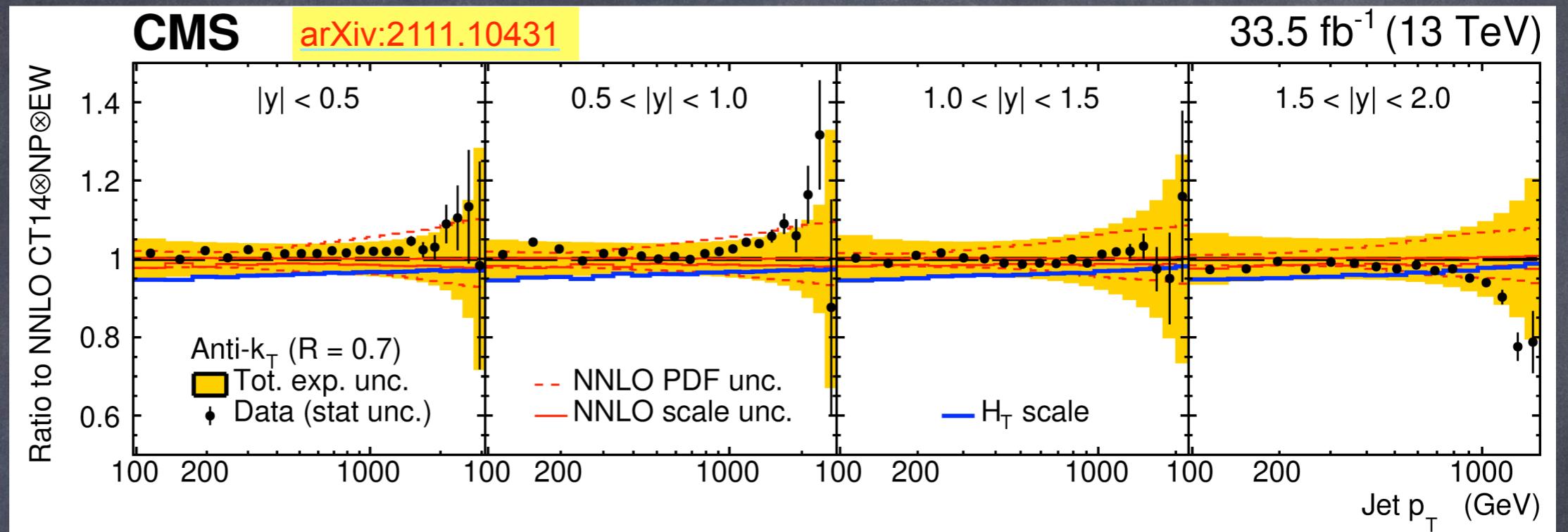


[J. Gao, CIJET arXiv:1301.7263]



# JET PRODUCTION AT LHC vs QCD

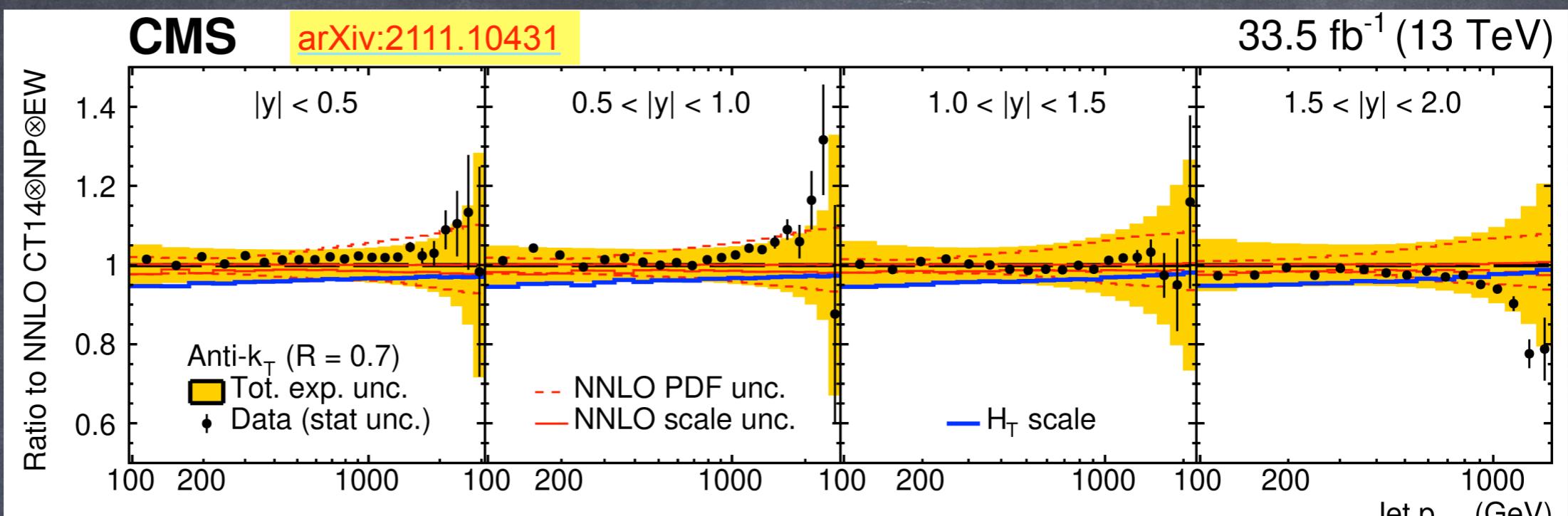
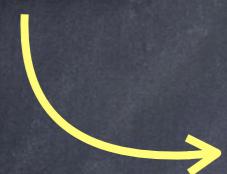
**data vs  
NNLO**



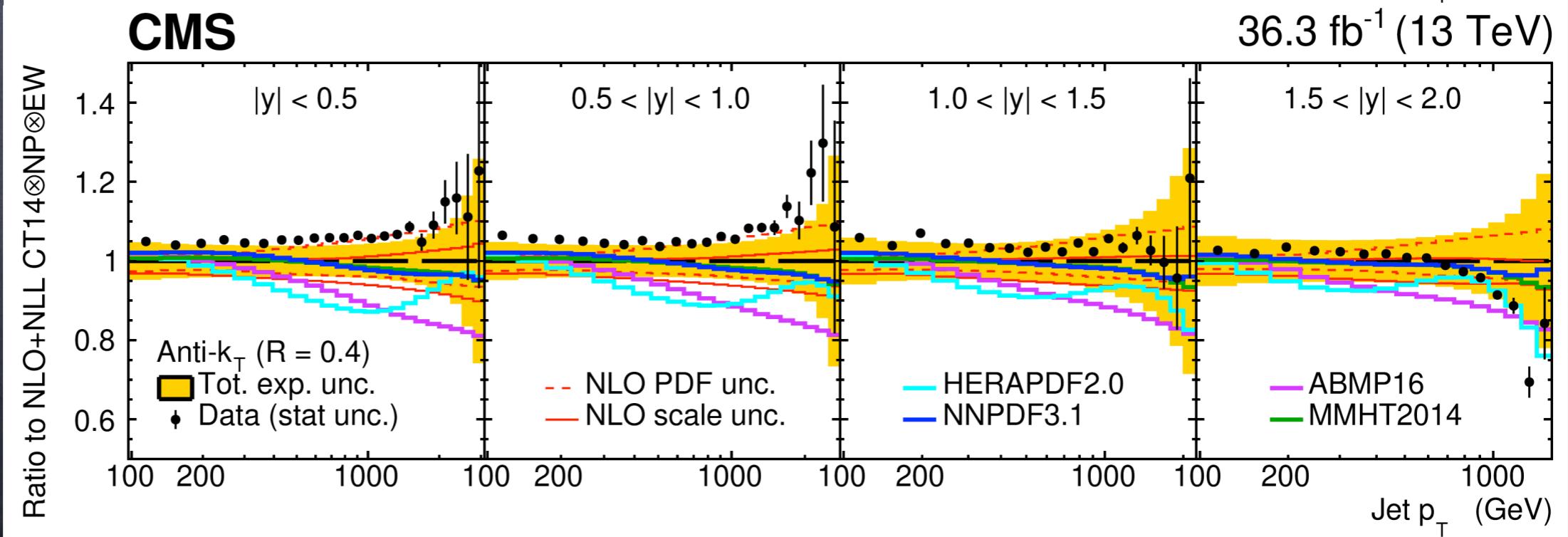
- NNLO: [Currie, Glover, Pires, PRL118 (2017) 072002]  
 [Currie et al. , JHEP 10 (2018) 155]  
 [T. Gehrmann et al., PoS RADCOR2017 (2018) 074]  
 NLOJet++ [Z. Nagy PRL 88 (2002) 122003, PRD 68 (2003) 094002]  
 fastNLO [D. Britzger, K. Rabbertz, F. Stober, M. Wobisch, arXiv:1208.3641]

# JET PRODUCTION AT LHC vs QCD

data vs  
NNLO



data vs  
NLO+NLL



NLL resummation [Liu, Moch, Ringer, arXiv:1801.07284]  
 [J. Gao et al., arXiv:1207.0513]

dominant uncertainty: PDF

# EXPLORE SENSITIVITY TO SM / SM+CI

- Investigate the impact of the measurement on the global PDF
- Perform a full QCD fit : extract simultaneously PDF and QCD parameters
- Perform a full SMEFT fit : extract simultaneously PDF and QCD parameters + CI coefficients

General idea of a full QCD analysis:

- parameterise PDFs at a starting scale  $\mu^2_0$  :  $f(x)=Ax^B(1-x)^C(1+Dx+Ex^2)$   
A: normalisation, B: small- $x$  behaviour, C:  $x \rightarrow 1$  shape
- evolve these PDFs to  $\mu^2 > \mu^2_0$
- strong coupling, quark masses, can be added as parameters
- construct cross sections from PDFs and partonic cross sections:  
SM/SMEFT predictions for every data point in  $(x, \mu^2)$  – plane
- $\chi^2$ - fit to the experimental data → determine PDF parameters,  $\alpha_S(m_Z)$ ,  $m_q$ , ...
- NB: PDFs can not be obtained from LHC data alone, use DIS data as a basis

QCD analysis platform xFitter is used: <https://www.xfitter.org/xFitter/>

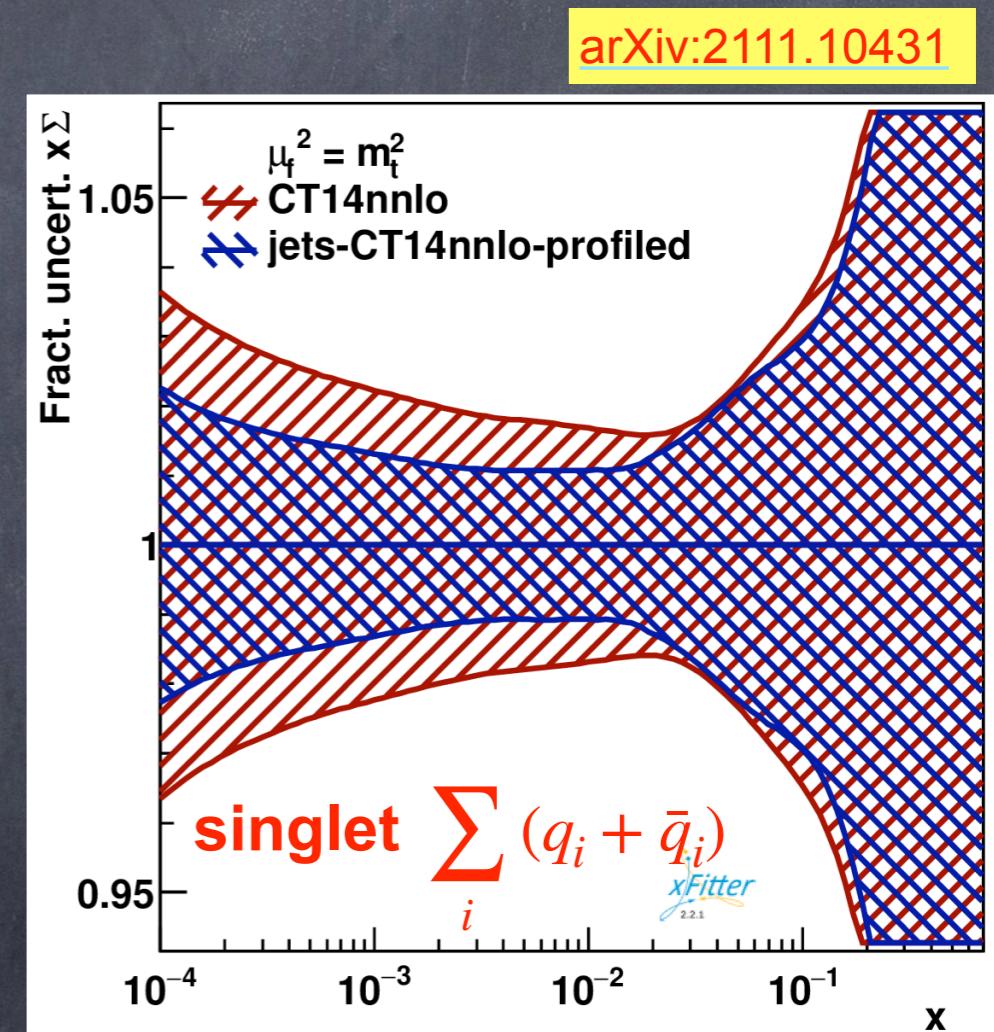
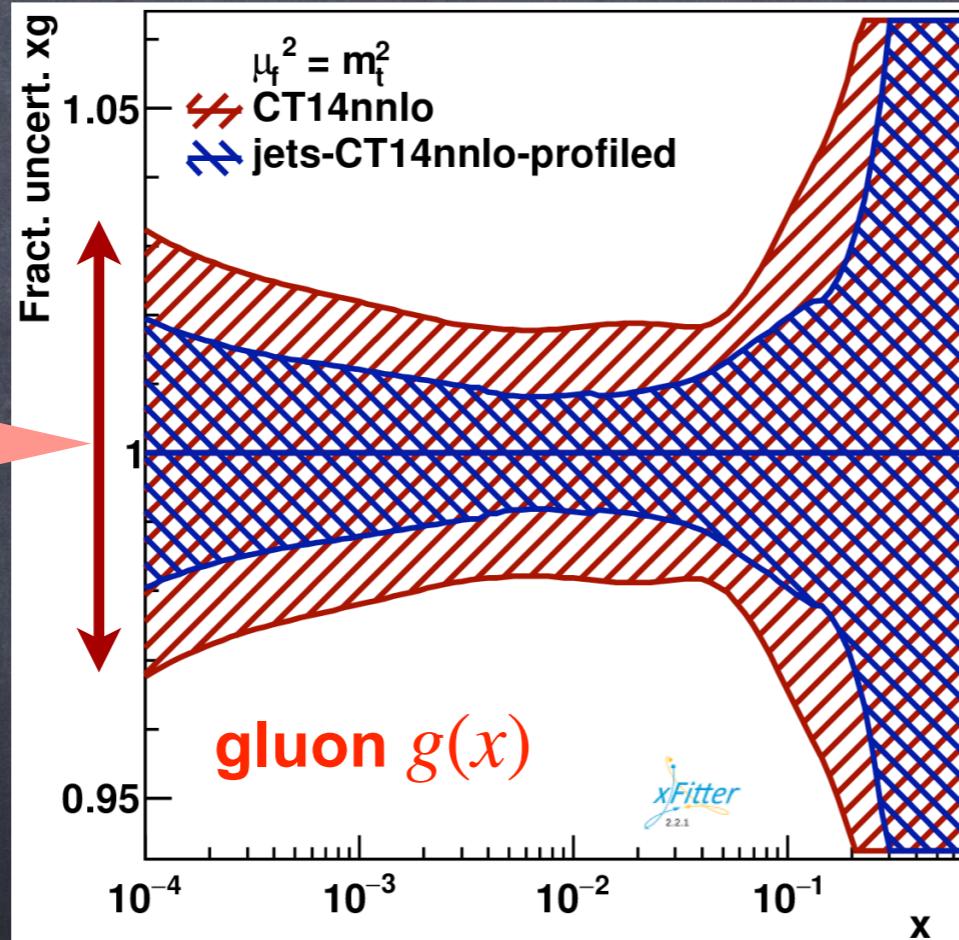
# EXPLORE SENSITIVITY TO PDF

- Investigate the impact of the measurement on the global PDF (here: CT14)

“profiling” analysis [details e.g. J. Pumplin et al arXiv:1806.07950]

- minimise  $\chi^2$  function, based on nuisances of experimental and theory uncertainties
- result: profiled PDFs with respect to the original ones

uncertainty  
in  
original PDF



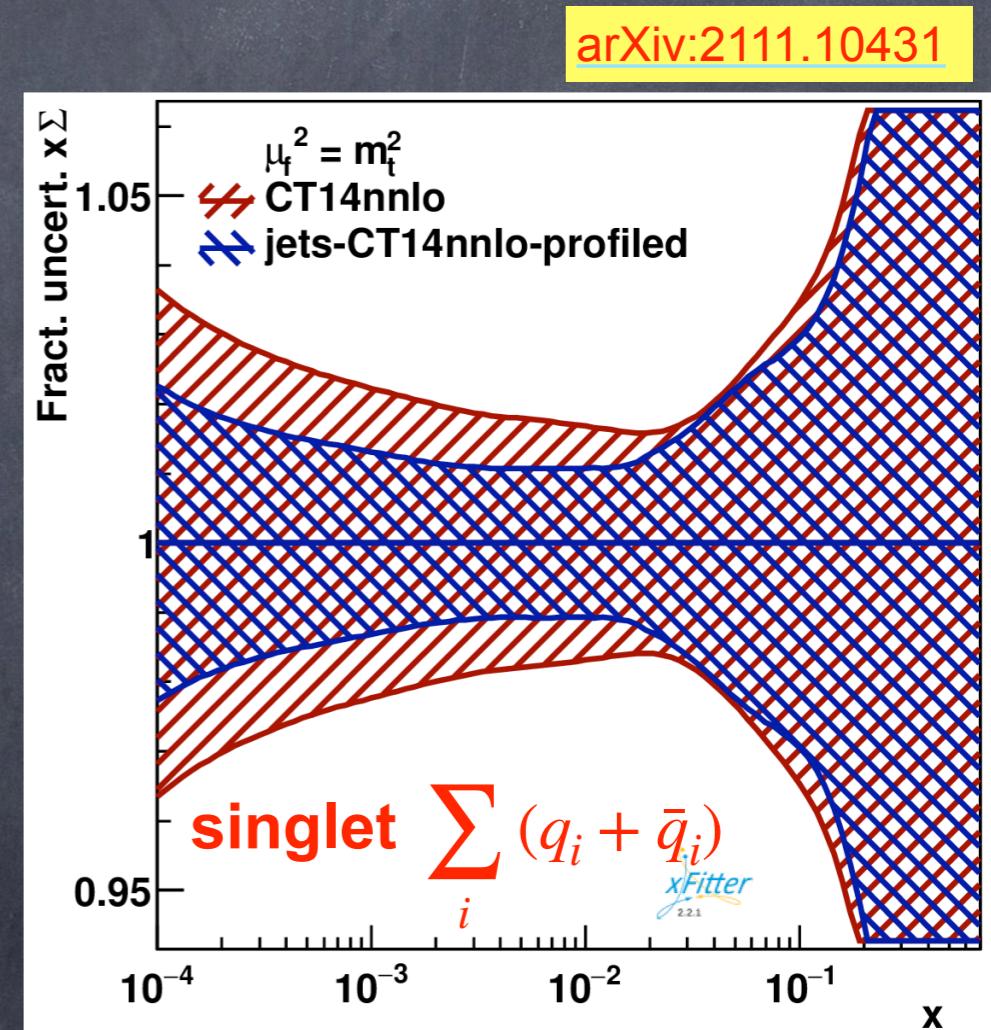
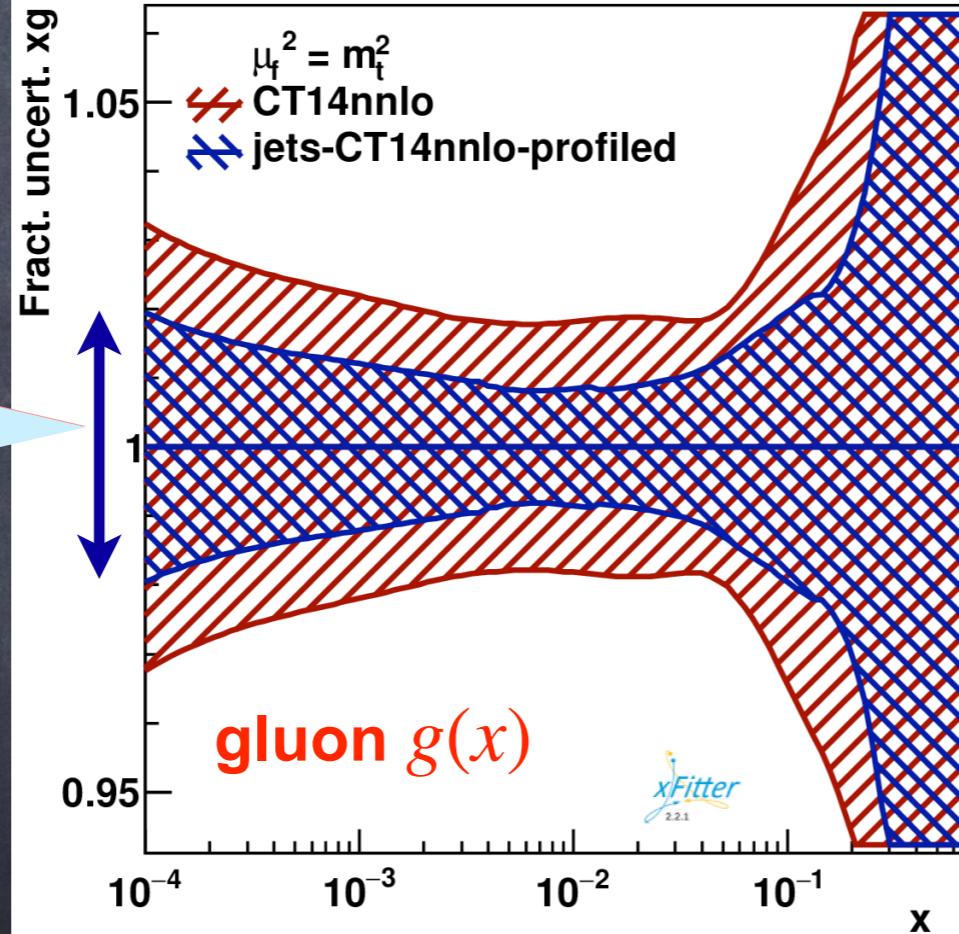
# EXPLORE SENSITIVITY TO PDF

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- result: profiled PDFs with respect to the original ones

improved by  
jet data

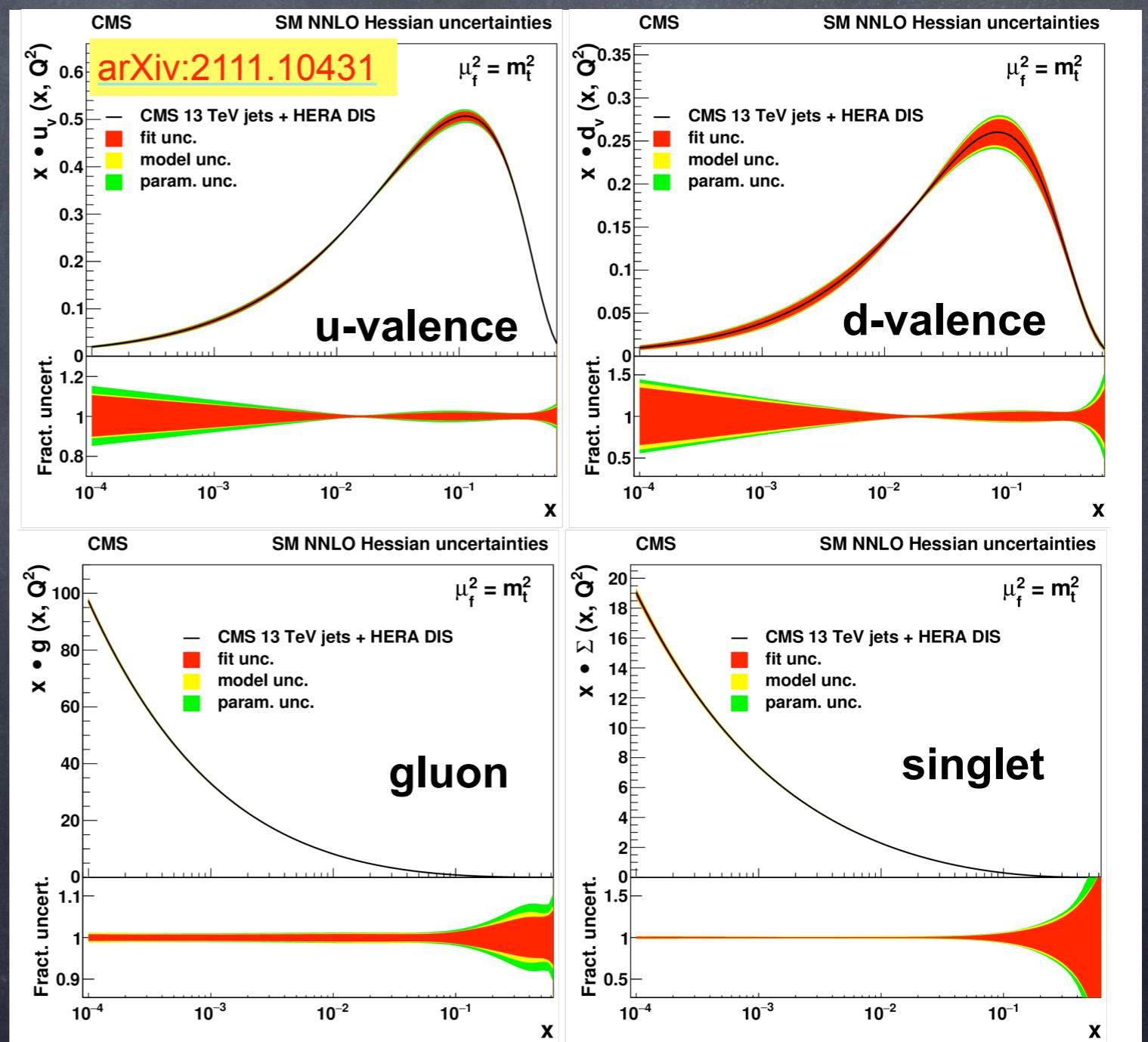


Significant improvements in PDF uncertainties expected for global PDF analyses

# EXPLORE SENSITIVITY TO PDF + $\alpha_s$ at NNLO

- Full QCD fit at NNLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042] + CMS inclusive jets at 13 TeV [arXiv:2111.10431]: sensitivity to PDF and  $\alpha_s$
- NNLO predictions obtained via fasNLO grids using NNLO k-factors

- PDF + uncertainties from:
  - uncertainties in exp. data
  - assumed  $m_c, m_b, f_S$ , scale variation
  - uncertainties in parametrisation



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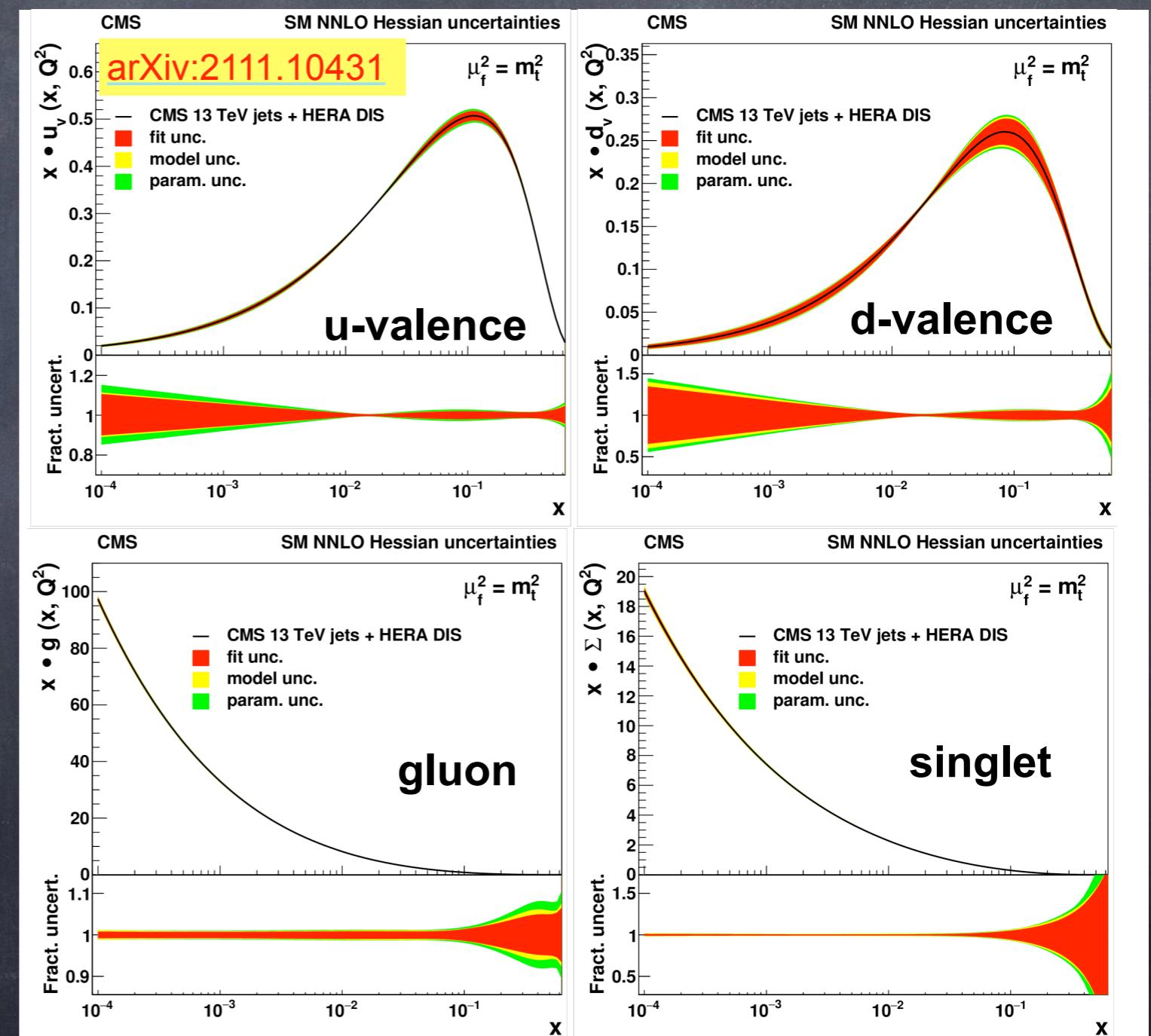
- Strong coupling constant

$$\alpha_s(m_Z) = 0.1170 \pm 0.0019$$

$$0.0014_{\text{fit}} \pm 0.0007_{\text{model}} \pm 0.0008_{\text{scale}} \pm 0.0001_{\text{param}}$$

PDF and  $\alpha_s(m_Z)$

obtained simultaneously !



# EXPLORE SENSITIVITY TO PDF + $\alpha_S$ at NNLO

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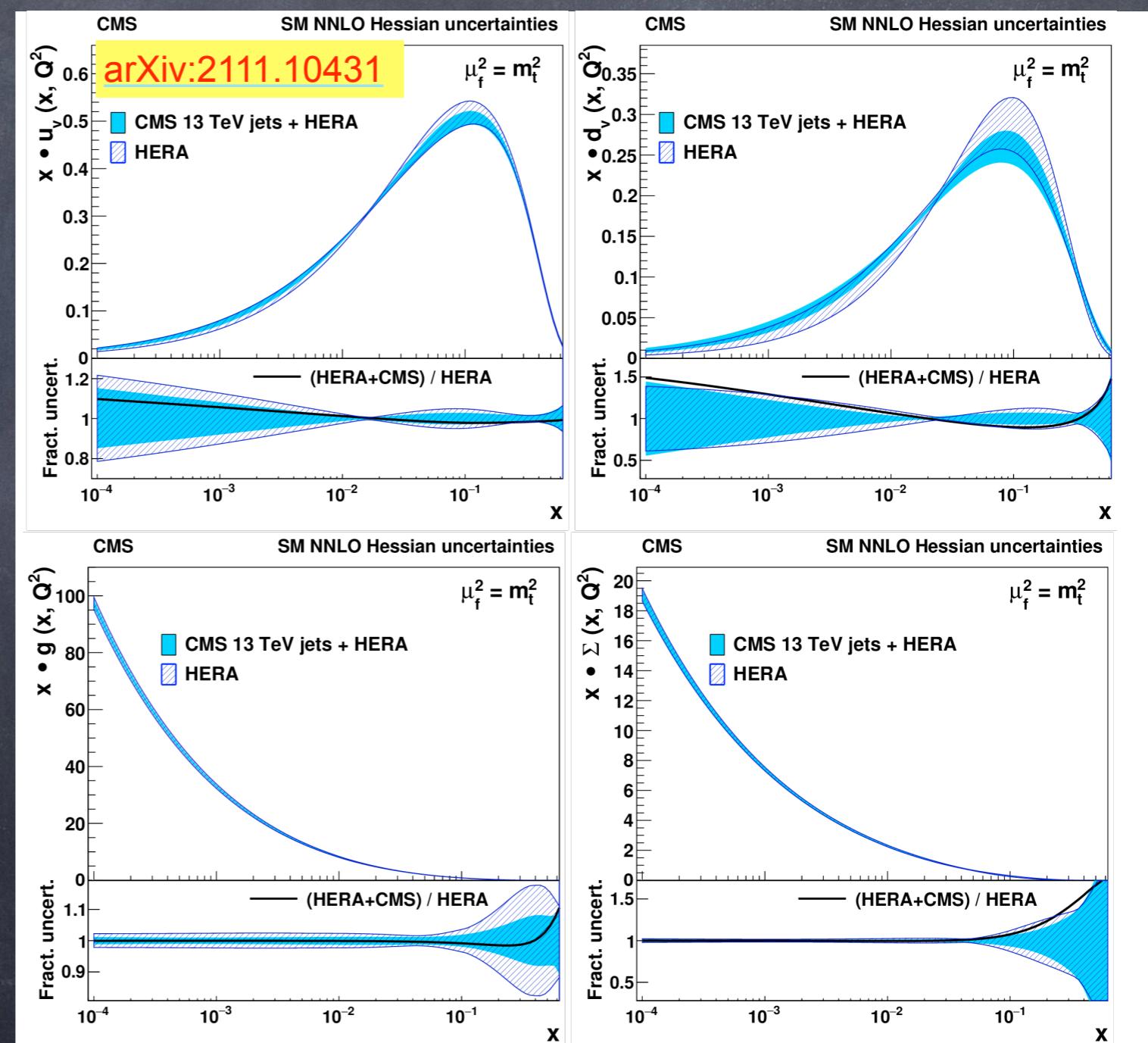
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- Strong coupling constant

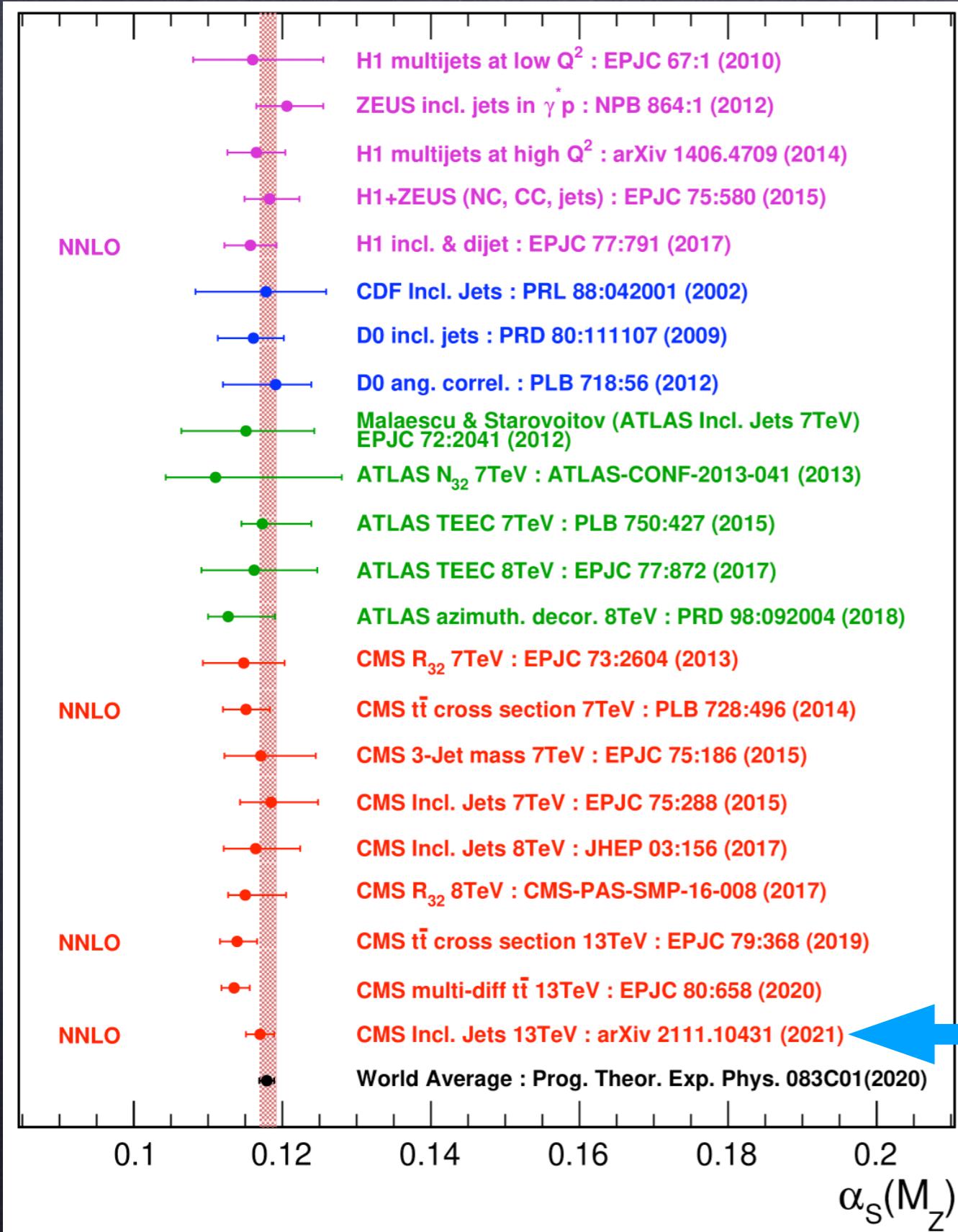
$$\alpha_S(m_Z) = 0.1170 \pm 0.0019$$

- compared to HERA-only fit:

Improved precision  
of the gluon at high  $x$  !



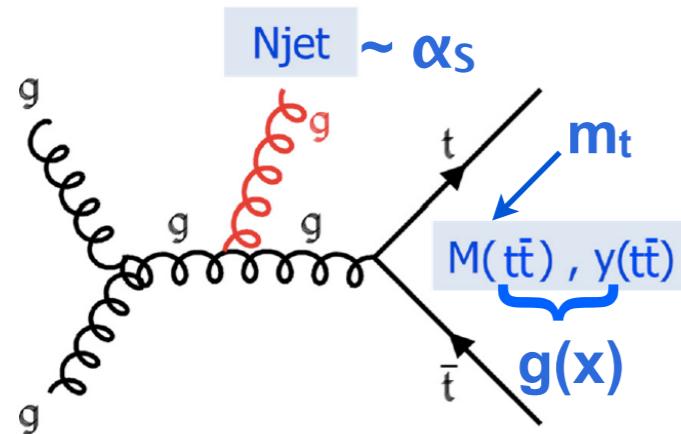
# New $\alpha_s(m_Z)$ value on the landscape of earlier results



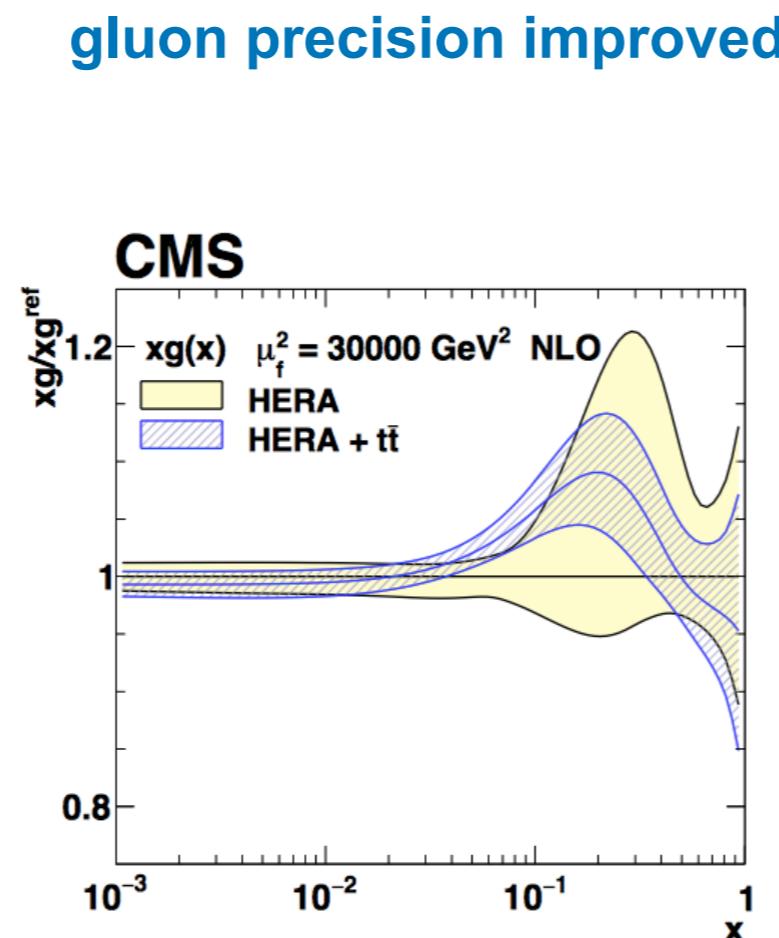
most precise single measurement  
(error 1.6%)  
mitigated dependence on PDFs

# EXPLORE SENSITIVITY TO PDF + $\alpha_s$ + $m_q$ at NLO

- Full QCD fit at NLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
  - + CMS inclusive jets at 13 TeV [arXiv:2111.10431]: sensitivity to PDF and  $\alpha_s$
  - + CMS 3-D  $t\bar{t}$  cross sections [arXiv:1904.05237]:  $m_t$  + additional sensitivity to  $\alpha_s$



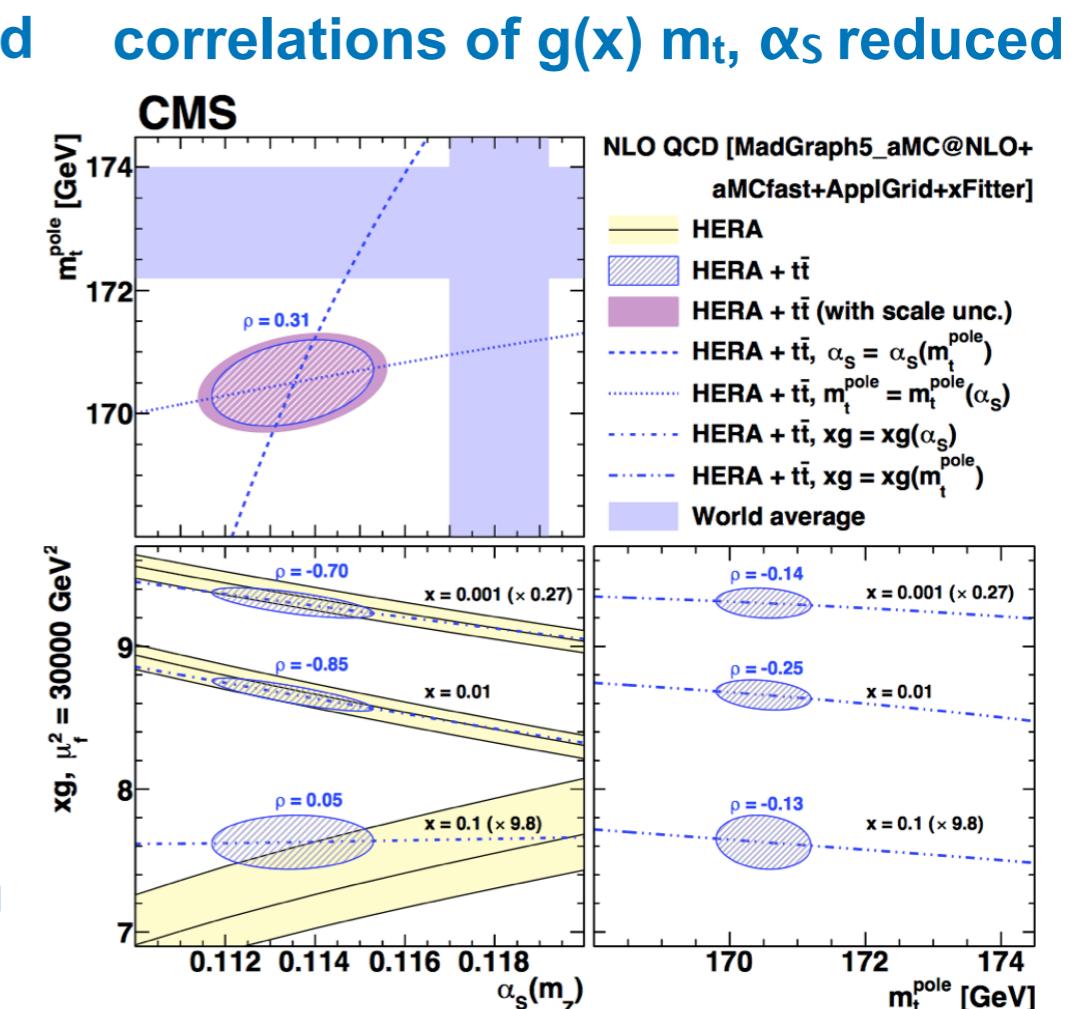
**cross section measured  
in 3-d :  $M_{t\bar{t}}$ ,  $y_{t\bar{t}}$ ,  $N_{jet}$**



**PDFs,  $\alpha_s(M_Z)$ ,  $m_t^{pole}$  extracted simultaneously:**

$$\alpha_s(m_Z) = 0.1135 \pm 0.0016(\text{fit})^{+0.0002}_{-0.0004}(\text{model})^{+0.0008}_{-0.0001}(\text{param})^{+0.0011}_{-0.0005}(\text{scale}) = 0.1135^{+0.0021}_{-0.0017}(\text{total}),$$

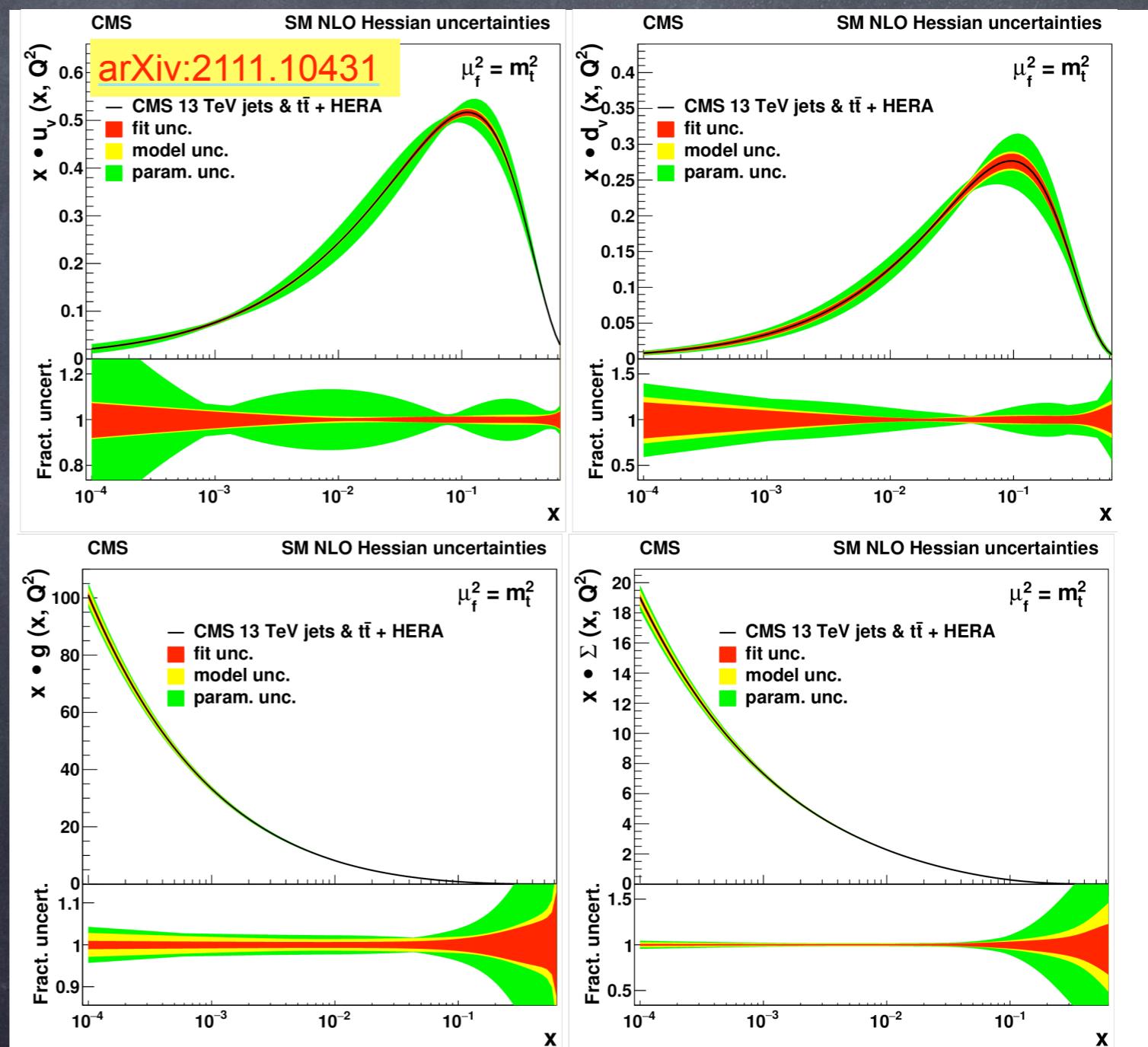
$$m_t^{pole} = 170.5 \pm 0.7(\text{fit}) \pm 0.1(\text{model})^{+0.0}_{-0.1}(\text{param}) \pm 0.3(\text{scale}) \text{ GeV} = 170.5 \pm 0.8(\text{total}) \text{ GeV}.$$



# EXPLORE SENSITIVITY TO PDF + $\alpha_s$ + $m_q$ at NLO

- Full QCD fit at NLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
  - + CMS inclusive jets at 13 TeV [arXiv:2111.10431]: **sensitivity to PDF and  $\alpha_s$**
  - + CMS 3-D  $t\bar{t}$  cross sections [arXiv:1904.05237]:  **$m_t$  + additional sensitivity to  $\alpha_s$**

- PDF + uncertainties from:
  - uncertainties in exp. data
  - assumed  $m_c, m_b, f_S$ , scale variation
  - uncertainties in parametrisation



# EXPLORE SENSITIVITY TO PDF + $\alpha_s$ + $m_q$ at NLO

- Full QCD fit at NLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
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- PDF + uncertainties from:
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- QCD parameters:

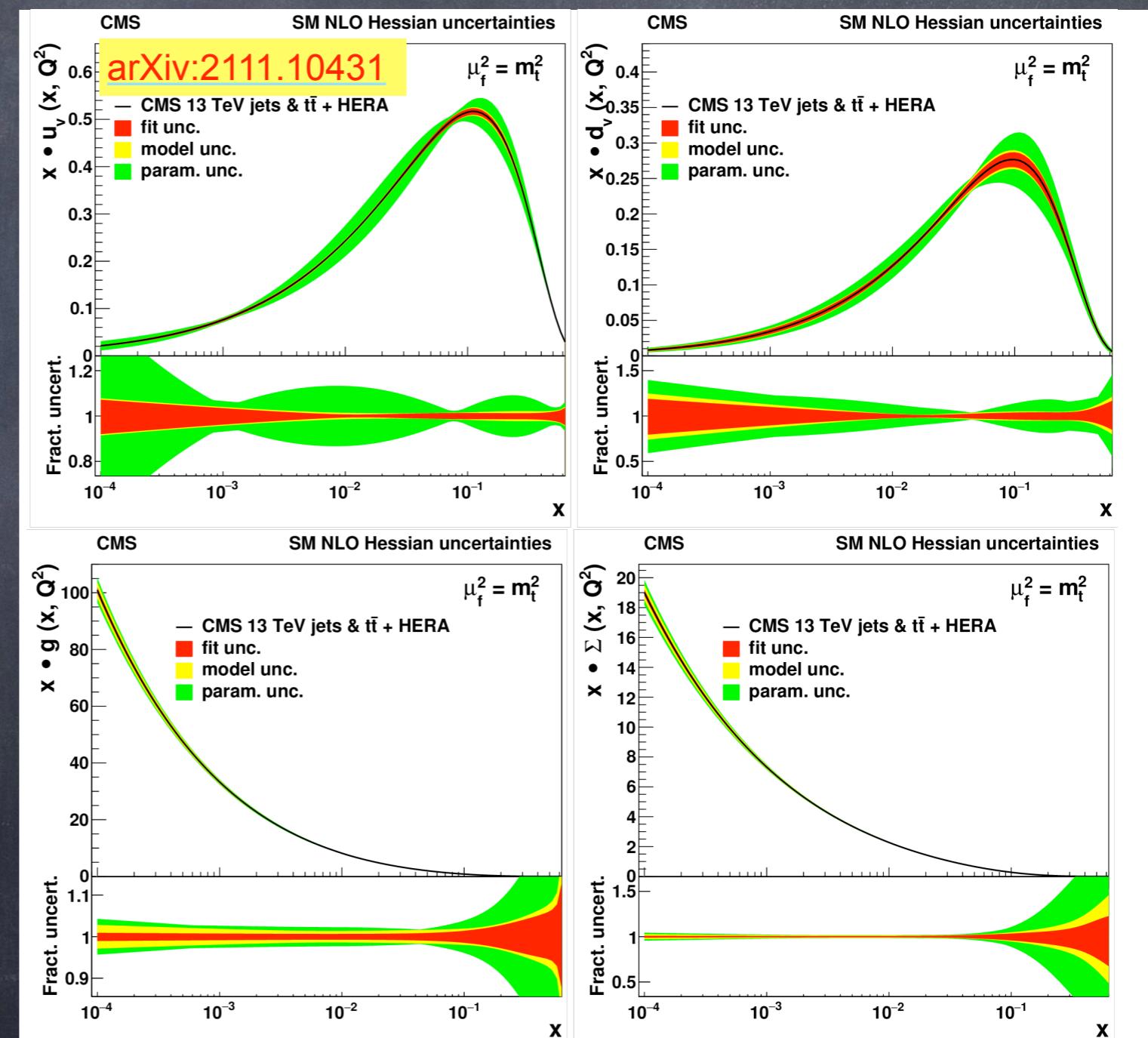
$$\alpha_s(m_Z) = 0.1188 \pm 0.0026$$

$$0.0017_{fit} \pm 0.0025_{scale} \pm 0.0004_{mod} + 0.0001_{param}$$

$$m_t^{pole} = 170.4 \pm 0.7 \text{ GeV}$$

$$0.6_{fit} \pm 0.1_{scale} \pm 0.1_{mod} \pm 0.1_{param}$$

PDF,  $\alpha_s(m_Z)$ ,  $m_t^{pole}$  obtained  
simultaneously !



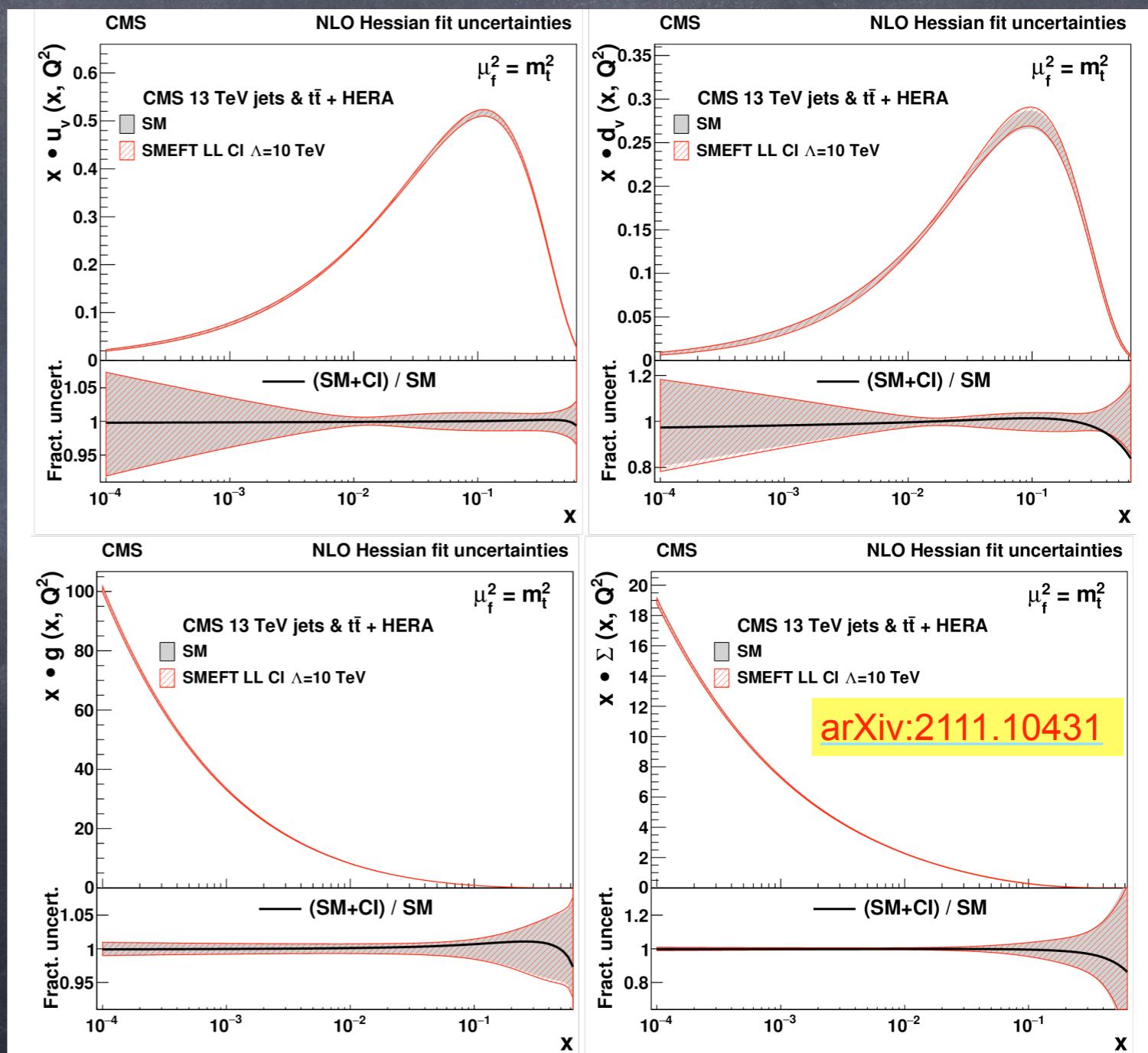
# EXPLORE SENSITIVITY TO PDF + $\alpha_S$ + $m_q$ + CI

- Full QCD fit at NLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
  - + CMS inclusive jets at 13 TeV [CMS-SMP-PAS-20-011]: sensitivity to PDF,  $\alpha_S$  + CI
  - + CMS 3-D  $t\bar{t}$  cross sections [arXiv:1904.05237]:  $m_t$  + additional sensitivity to  $\alpha_S$

## SMEFT Solution:

**PDFs in SM and SMEFT very similar**  
 → no risk of the BSM effects being absorbed in the SM PDF fit

only fit uncertainty shown



# EXPLORE SENSITIVITY TO PDF + $\alpha_S$ + $m_q$ + CI

- Full QCD fit at NLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
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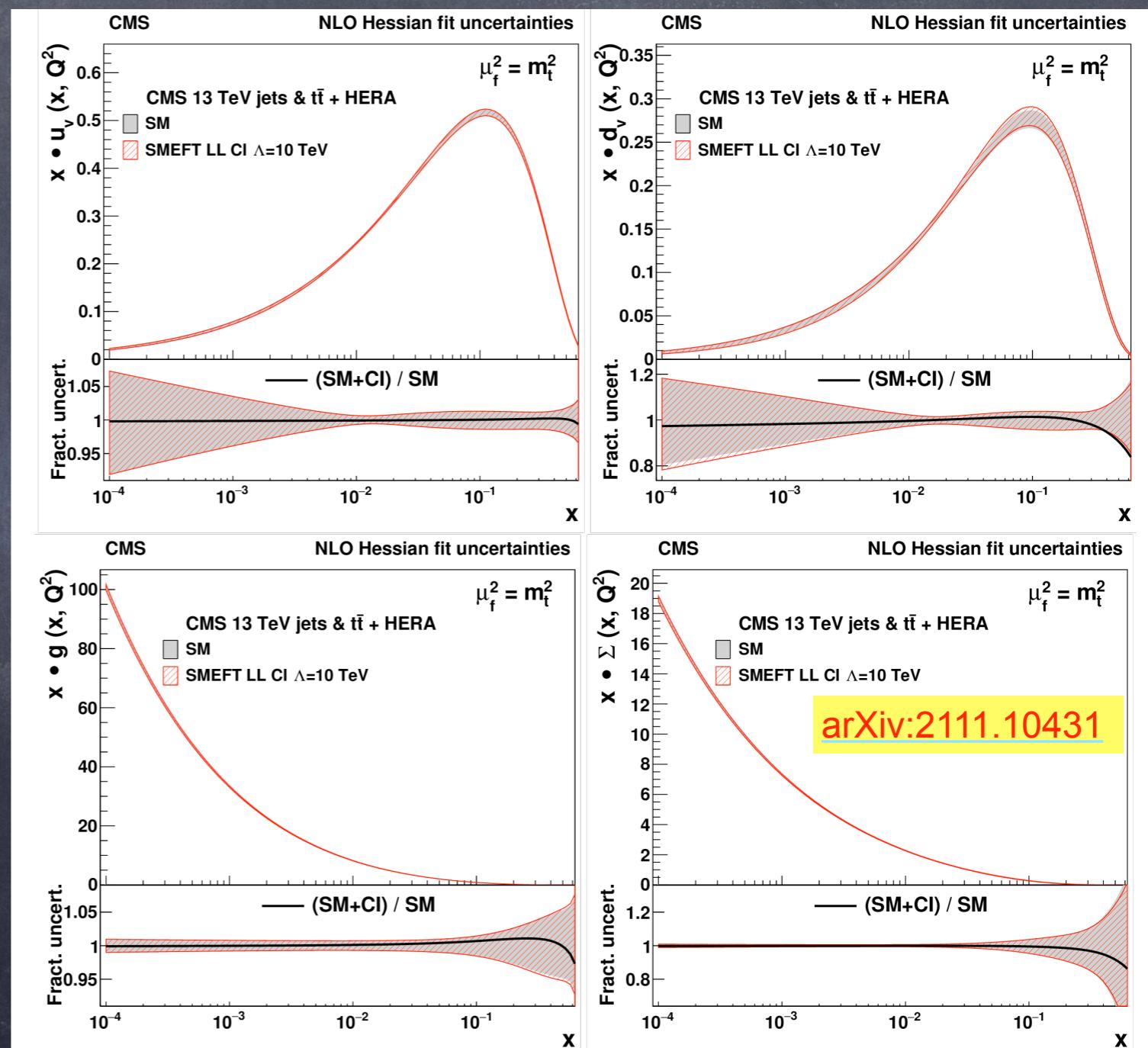
only fit uncertainty shown

## • QCD parameters:

$$\alpha_S(m_Z) = 0.1187 \pm 0.0033$$

$$m_t^{pole} = 170.4 \pm 0.7 \text{ GeV}$$

very similar to those in SM fit



# EXPLORE SENSITIVITY TO PDF + $\alpha_S$ + $m_q$ + CI

- Full QCD fit at NLO: basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
  - + CMS inclusive jets at 13 TeV [CMS-SMP-PAS-20-011]: sensitivity to PDF,  $\alpha_S$  + CI
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### • QCD parameters:

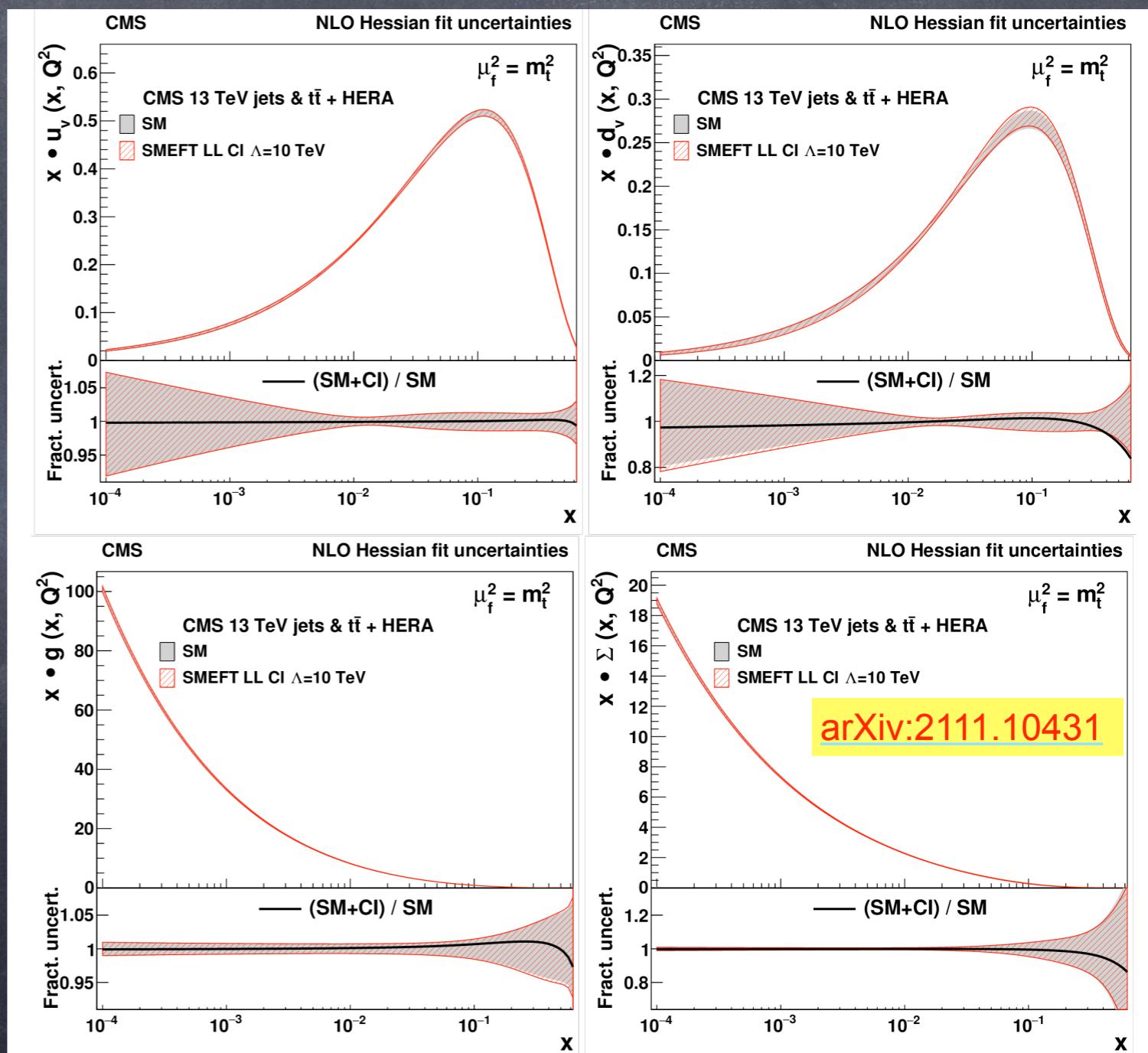
$$\alpha_S(m_Z) = 0.1187 \pm 0.0033$$

$$m_t^{pole} = 170.4 \pm 0.7 \text{ GeV}$$

### • CI parameters (for $\Lambda_{NP} = 10 \text{ TeV}$ ):

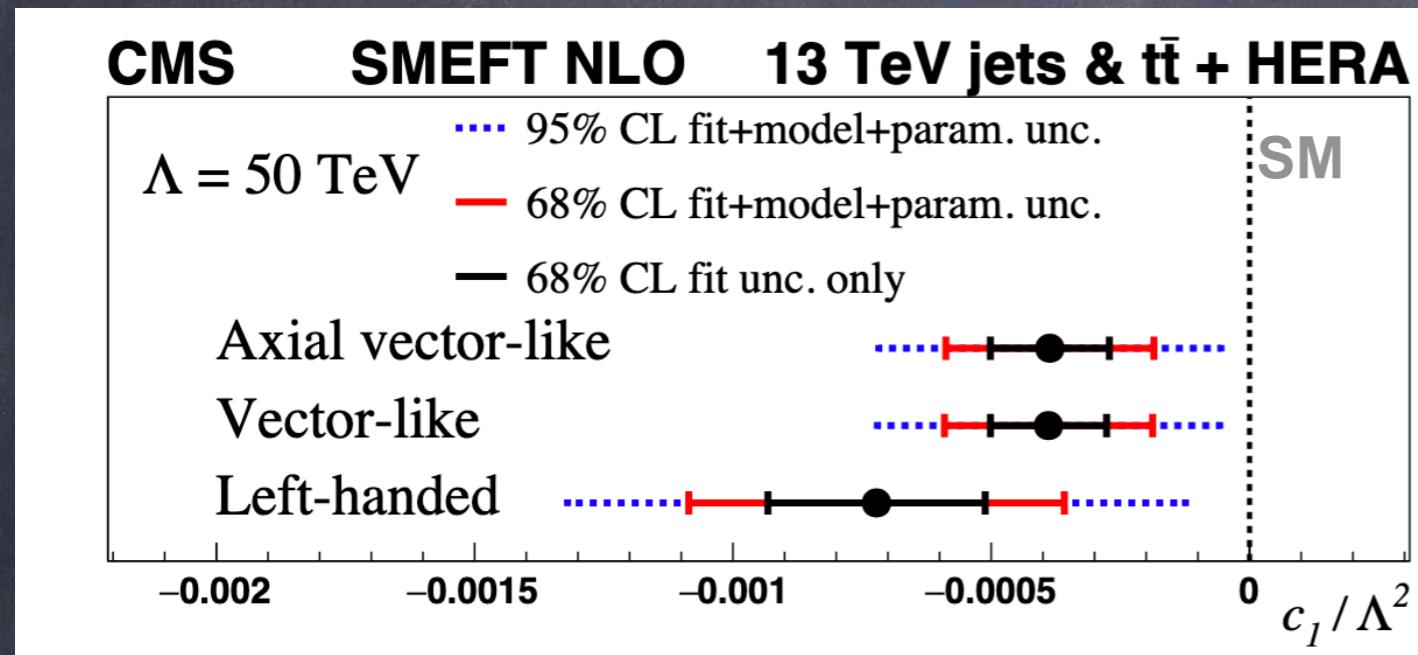
$$c_1^L = -0.07 \pm 0.02_{exp} \pm 0.01_{mod+par}$$

**SM +BSM obtained simultaneously !**



# EXPLORE SENSITIVITY TO PDF + $\alpha_s$ + $m_q$ + CI

SMEFT fit: obtain PDFs, QCD parameters and CI Wilson coefficients simultaneously



Compare to conventional studies:  
 $\Lambda$  scan, fixed  $c_1 = \pm 1$

correspond to 95% exclusion limits for  $\Lambda$  ( $c_1 = -1$ ):

Left-handed :  $\Lambda > 24 \text{ TeV}$

Vector-like:  $\Lambda > 32 \text{ TeV}$

Axial-vector like  $\Lambda > 31 \text{ TeV}$

Agrees well with e.g. ATLAS result [arXiv:1703.09127]  
 $\Lambda$  (left-handed CI) > 22 TeV

No significant deviation from SM observed.  
BSM constrained in a less QCD-biased way in a SMEFT analysis

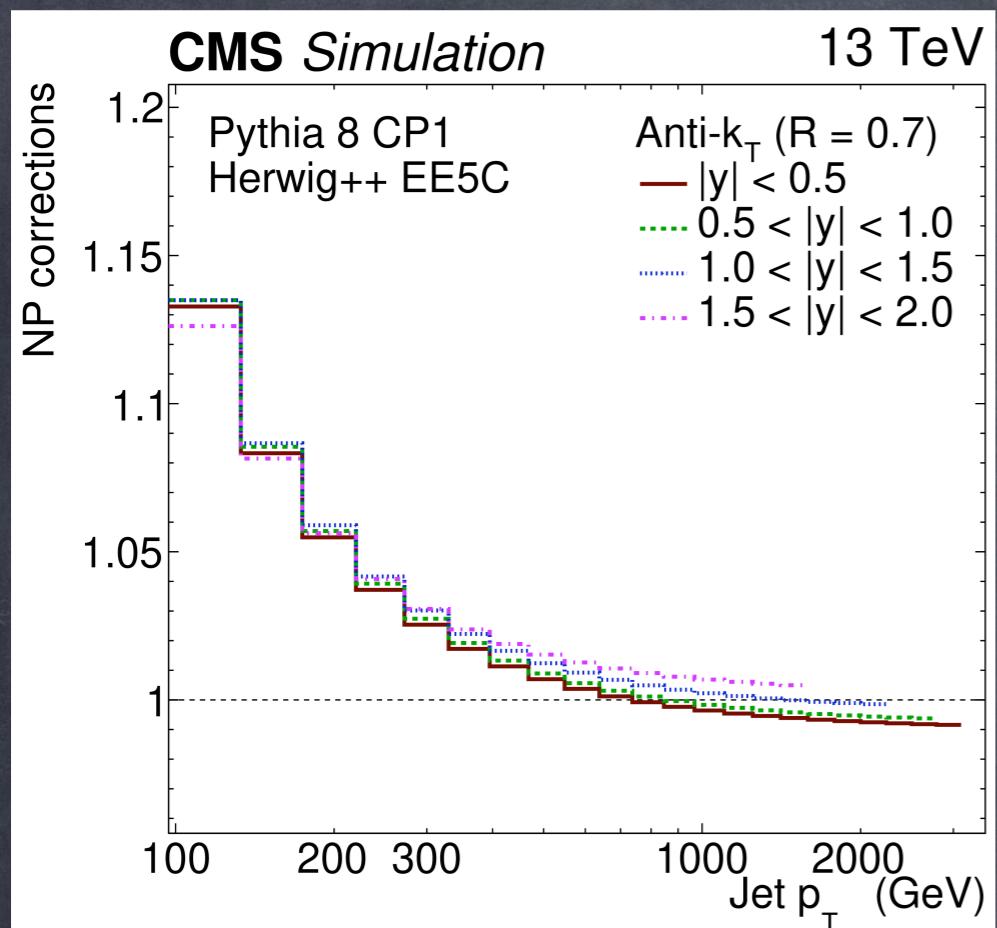
# SUMMARY

- New measurement of inclusive jet cross section at 13 TeV (2016 data) available
- NNLO result on strong coupling: most precise single result at a hadron machine
- pave the way towards global SMEFT fit

THANKS FOR LISTENING !

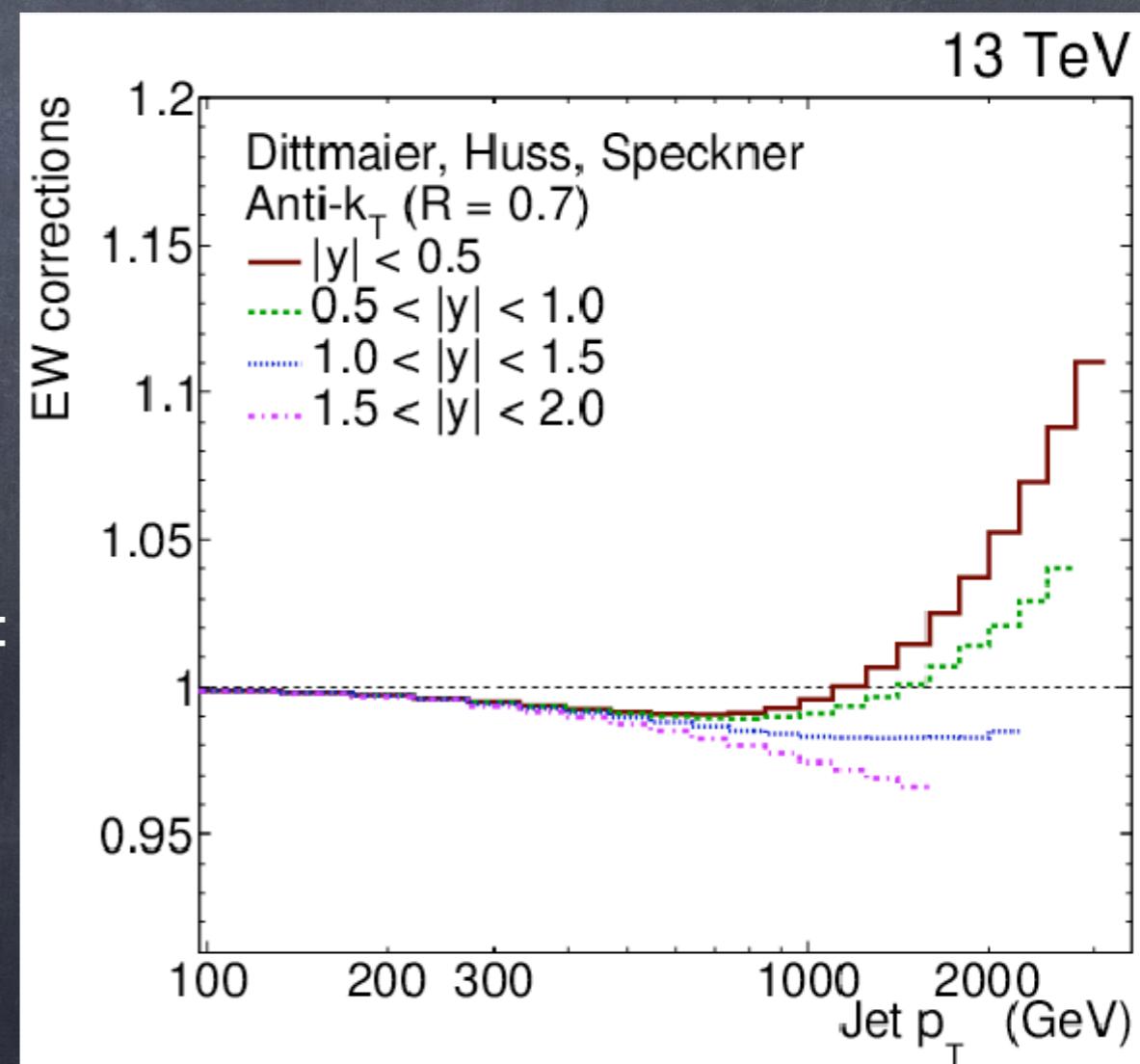
**BACKUP**

# CORRECTIONS TO NLO/NNLO



$$NP_i = \frac{\sigma_i^{\text{MC}}(\text{PS} \& \text{MPI} \& \text{HAD})}{\sigma_i^{\text{MC}}(\text{PS})},$$

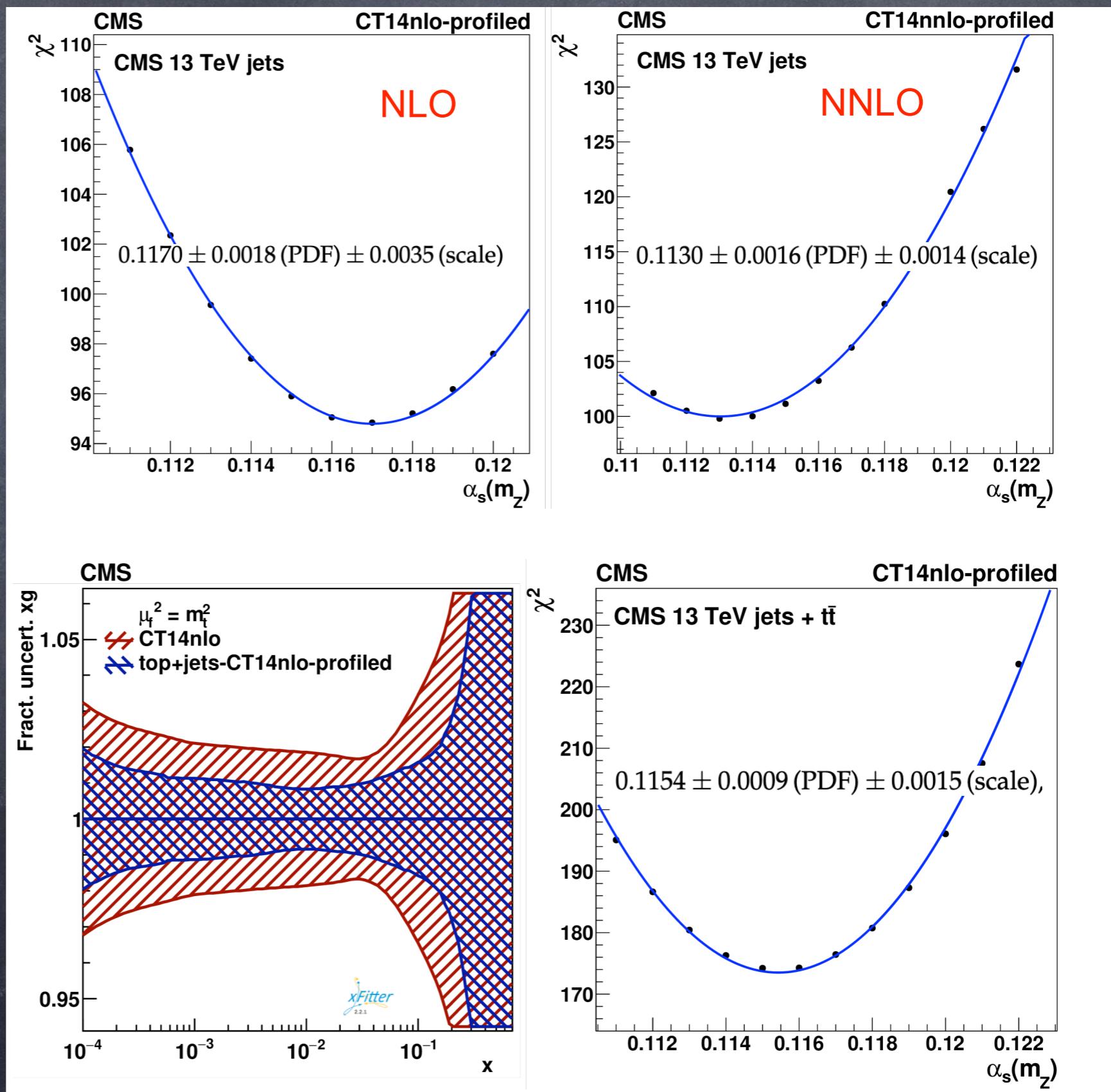
average + envelope of PYTHIA and HERWIG used



EWK corrections:

# PROFILING RESULTS

Jets: profiling strong coupling:



Jets+top: NLO

tension in  $\alpha_s(m_Z)$  between jet and top data observed in global PDFs

(no tensions in the data !)

# FIT RESULTS NNLO

Parametrisation NNLO (HERA+CMS jets):

$$\begin{aligned}
 xg(x) &= A_g x^{B_g} (1-x)^{C_g} (1 + \underline{D}_g x + \underline{E}_g x^2), \\
 xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + E_{u_v} x^2), \\
 xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}, \\
 x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1 + \underline{D}_{\bar{U}} x), \\
 x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}} (1 + \underline{E}_{\bar{D}} x^2).
 \end{aligned}$$

Goodness of Fit NNLO:

Data sets		HERA-only	HERA+CMS
		Partial $\chi^2/N_{dp}$	Partial $\chi^2/N_{dp}$
HERA I+II neutral current	$e^+ p, E_p = 920 \text{ GeV}$	378/332	375/332
HERA I+II neutral current	$e^+ p, E_p = 820 \text{ GeV}$	60/63	60/63
HERA I+II neutral current	$e^+ p, E_p = 575 \text{ GeV}$	201/234	201/234
HERA I+II neutral current	$e^+ p, E_p = 460 \text{ GeV}$	208/187	209/187
HERA I+II neutral current	$e^- p, E_p = 920 \text{ GeV}$	223/159	227/159
HERA I+II charged current	$e^+ p, E_p = 920 \text{ GeV}$	46/39	46/39
HERA I+II charged current	$e^- p, E_p = 920 \text{ GeV}$	55/42	56/42
CMS inclusive jets 13 TeV	$0.0 <  y  < 0.5$	—	13/22
	$0.5 <  y  < 1.0$	—	31/21
	$1.0 <  y  < 1.5$	—	18/19
	$1.5 <  y  < 2.0$	—	14/16
Correlated $\chi^2$		66	83
Global $\chi^2/N_{dof}$		1231/1043	1321/1118

# FIT RESULTS NLO SM / SMEFT

Parametrisation SMEFT NLO (HERA+CMS jets +  $t\bar{t}$ ):

$$\begin{aligned}
 xg(x) &= A_g x^{B_g} (1-x)^{C_g} (1 + E_g x^2), \\
 xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + D_{u_v} x + E_{u_v} x^2), \\
 xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}} (1 + D_{d_v} x), \\
 x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}}, \\
 x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}},
 \end{aligned}$$

Goodness of Fit NLO:

Data sets		SM fit	SMEFT fit
		Partial $\chi^2/N_{dp}$	Partial $\chi^2/N_{dp}$
HERA I+II neutral current	$e^+ p, E_p = 920 \text{ GeV}$	402/332	404/332
HERA I+II neutral current	$e^+ p, E_p = 820 \text{ GeV}$	60/63	60/63
HERA I+II neutral current	$e^+ p, E_p = 575 \text{ GeV}$	198/234	198/234
HERA I+II neutral current	$e^+ p, E_p = 460 \text{ GeV}$	208/187	208/187
HERA I+II neutral current	$e^- p, E_p = 920 \text{ GeV}$	223/159	223/159
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HERA I+II charged current	$e^- p, E_p = 920 \text{ GeV}$	55/42	54/42
CMS 13 TeV $t\bar{t}$ 3D		23/23	23/23
CMS inclusive jets 13 TeV	$0.0 <  y  < 0.5$	13/22	20/22
	$0.5 <  y  < 1.0$	28/21	27/21
	$1.0 <  y  < 1.5$	13/19	11/19
	$1.5 <  y  < 2.0$	33/16	28/16
Correlated $\chi^2$		121	115
Global $\chi^2/N_{dof}$		1411/1141	1401/1140

# THEORY PREDICTIONS

- **SM Jets:** NNLO computation: NNLOJet, in QCD analysis via K-factors  
NLO: NLOJet++/FastNLO improved by NLL (MEKS) via K-factors  
QCD predictions corrected for NP and EW effects  
Scales:  $\mu_r = \mu_f = p_T$  (individual jet), variation up/down by factor 2 independently
- **3-differential  $t\bar{t}$  cross section:** NLO MADGRAPH MC@NLO interfaced to APPLGRID  
Scale:  $\mu_r = \mu_f = 1/2 \sum_i m_{T,i}$ ,  $m_{T,i} \equiv \sqrt{m_i^2 + p_{T,i}^2}$  ;  
 $i$ —final-state partons  $t, \bar{t}$  and max. 3 light partons for  $t\bar{t} + jet$
- **CI:** CIJET interfaced to fastNLO / xFitter ;  $L_{SMEFT} = L_{SM} + \frac{2\pi}{\Lambda^2} \sum_{n \in \{1,3,5\}} c_n \mathcal{O}_n$

studied non-renormalisable operators  $\mathcal{O}_n$  :  
colour-singlet BSM-exchange between  
two quark lines integrated out

3 cases studied:  
CI left-handed / vector-like / axial-vector-like

Type of CI	$c_1$	$c_3$	$c_5$
Purely left-handed:	fitted	0	0
Vector-like:	fitted	$2c_1$	$c_1$
Axial-vector-like:	fitted	$-2c_1$	$c_1$

$$O_1 = \delta_{ij}\delta_{kl} \left( \sum_{c=1}^3 \bar{q}_{Lci} \gamma_\mu q_{Lcj} \sum_{d=1}^3 \bar{q}_{Ldk} \gamma^\mu q_{Ldl} \right)$$

$$O_3 = \delta_{ij}\delta_{kl} \left( \sum_{c=1}^3 \bar{q}_{Lci} \gamma_\mu q_{Lcj} \sum_{d=1}^3 \bar{q}_{Rdk} \gamma^\mu q_{Rdl} \right)$$

$$O_5 = \delta_{ij}\delta_{kl} \left( \sum_{c=1}^3 \bar{q}_{Rci} \gamma_\mu q_{Rcj} \sum_{d=1}^3 \bar{q}_{Rdk} \gamma^\mu q_{Rdl} \right)$$

c, d - generations

i,j,k - colour indices

# HESSIAN PROFILING TECHNIQUE

Define a  $\chi^2$  with theory uncertainties ( $b_{\text{th}}$  are the PDF uncertainties)

$$\begin{aligned}\chi^2(\mathbf{b}_{\text{exp}}, \mathbf{b}_{\text{th}}) = & \\ & \sum_{i=1}^{N_{\text{data}}} \frac{\left(\sigma_i^{\text{exp}} + \sum_{\alpha} \Gamma_{i\alpha}^{\text{exp}} b_{\alpha,\text{exp}} - \sigma_i^{\text{th}} - \sum_{\beta} \Gamma_{i\beta}^{\text{th}} b_{\beta,\text{th}}\right)^2}{\Delta_i^2} \\ & + \sum_{\alpha} b_{\alpha,\text{exp}}^2 + \sum_{\beta} b_{\beta,\text{th}}^2.\end{aligned}$$

Correlated experimental and theoretical uncertainties are included using the nuisance parameter vectors  $\mathbf{b}_{\text{exp}}$  and  $\mathbf{b}_{\text{th}}$ , respectively.

Their influence on the data and theory predictions is described by  $\Gamma_{i\alpha}^{\text{exp}}$  and  $\Gamma_{i\alpha}^{\text{th}}$  matrices

index  $\alpha$  ( $\beta$ ) corresponds to the experimental (theoretical) uncertainty nuisance parameters

**Minimisation of  $\chi^2(\mathbf{b}_{\text{exp}}, \mathbf{b}_{\text{th}})$  leads to a system of linear equations.**

The value at the minimum of the  $\chi^2$  function provides a compatibility test of the data and theory.

The values at the minimum of the nuisance parameters  $\mathbf{b}_{\beta_{\text{th}}}^{\min}$  are interpreted as optimisation (“profiling”) of PDFs to describe the data. **The shifted PDFs have reduced uncertainties.**

- In **xFitter**:
- Add the hessian PDF uncertainties as nuisance parameters  $\beta$  in the  $\chi^2$
  - Minimise  $\chi^2$  and profile the PDF shifts  $\beta$  to the data  $\chi^2(\beta_{\text{exp}}) \rightarrow \chi^2(\beta_{\text{exp}}, \beta_{\text{th}})$
  - Propagate the shifts and the reduction of the uncertainties to the PDFs