

Inclusive and heavy-flavor jet substructure measurements with ALICE



Róbert Vértesi
for the **ALICE** collaboration
vertesi.robert@wigner.hu





Substructure of inclusive jets (pp collisions)

- Groomed jet substructures
- Generalized jet angularities

Flavor dependent substructure (pp collisions)

- D^0 -meson and Λ_c -baryon fragmentation
- Dead cone, R -profile
- Charmed-jet groomed substructure

→ **Test of pQCD and hadronization models**

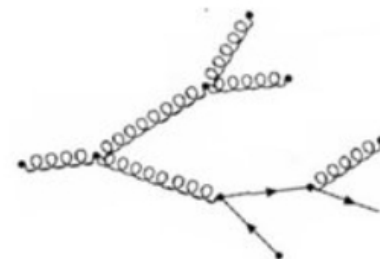
→ **Flavor-dependent production and fragmentation**

→ **Baseline for measurements in heavy-ion collisions**

Heavy-ion collisions

- Groomed jet substructures
- Subjet fragmentation

→ **Modification of jet fragmentation by the deconfined medium**



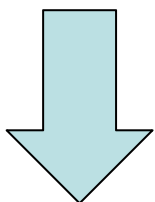
Jet measurements with ALICE



Central Barrel: $|\eta| < 0.9$

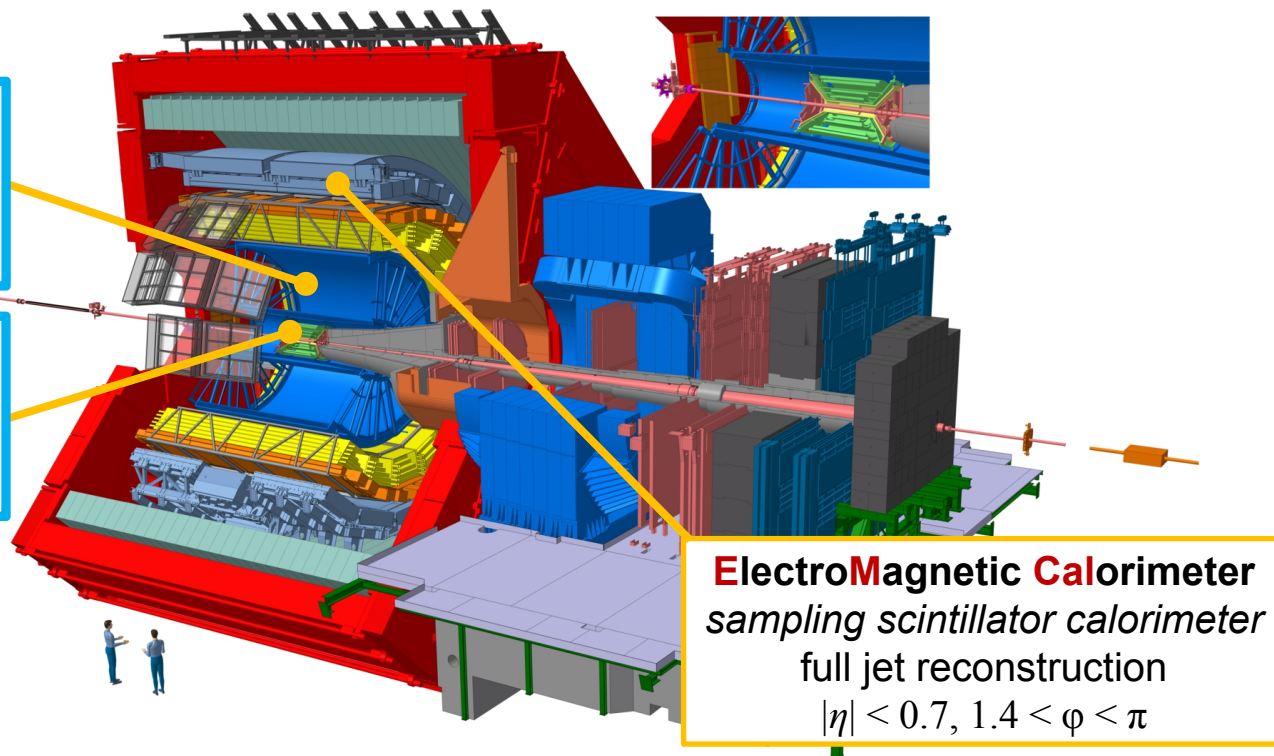
Time Projection Chamber:
gas detector
charged-particle tracking
and identification

Inner Tracking System
silicon detectors
charged-particle tracking,
secondary vertex



Charged-particle jets

- Full azimuth coverage
- High spacial precision



ElectroMagnetic Calorimeter
sampling scintillator calorimeter
full jet reconstruction
 $|\eta| < 0.7, 1.4 < \varphi < \pi$

Jet measurements with ALICE



Central Barrel: $|\eta| < 0.9$

Time Projection Chamber:
gas detector
charged-particle tracking
and identification

Inner Tracking System
silicon detectors
charged-particle tracking,
secondary vertex

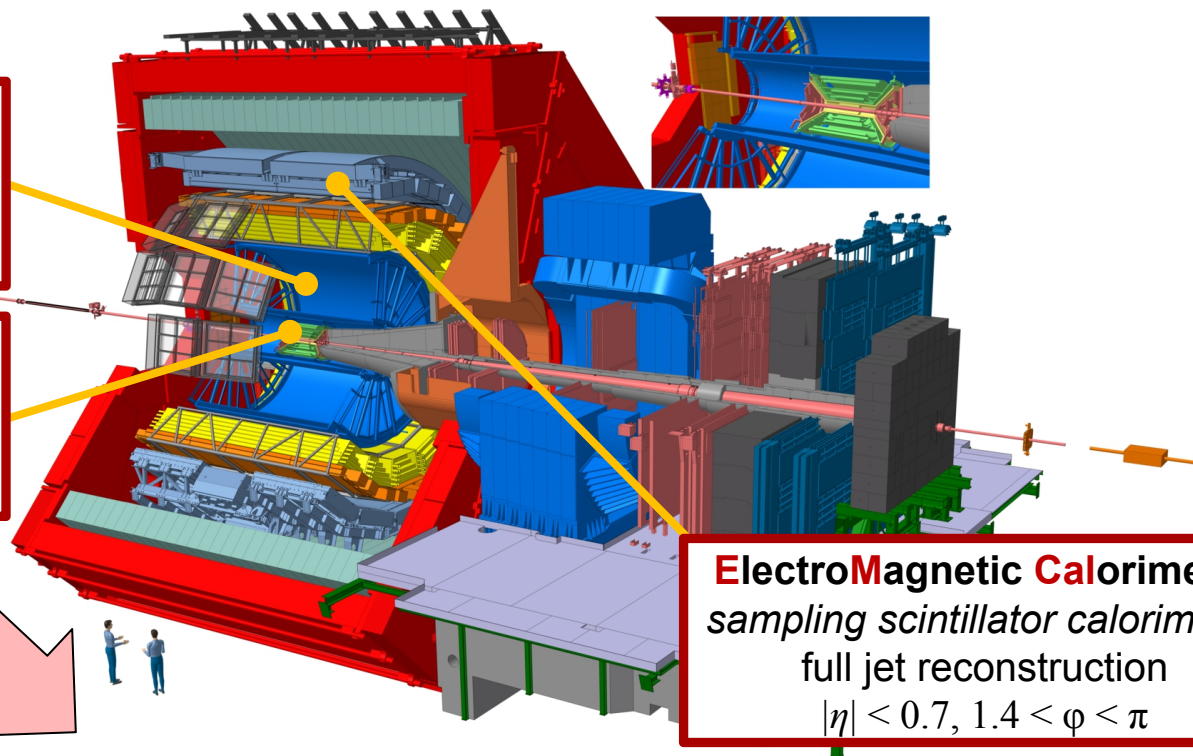
ElectroMagnetic Calorimeter
sampling scintillator calorimeter
full jet reconstruction
 $|\eta| < 0.7, 1.4 < \varphi < \pi$

Charged-particle jets

- Full azimuth coverage
- High spacial precision

Full jets

- Direct theory comparison
- Limited acceptance



Jet measurements with ALICE



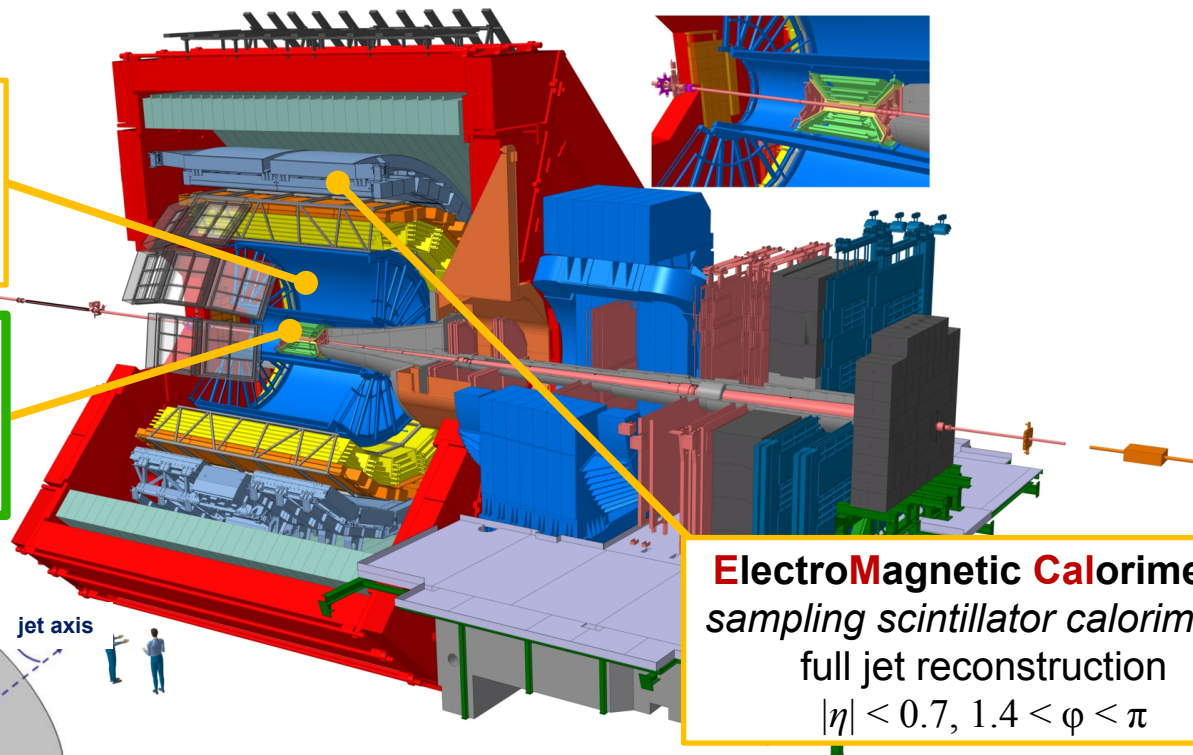
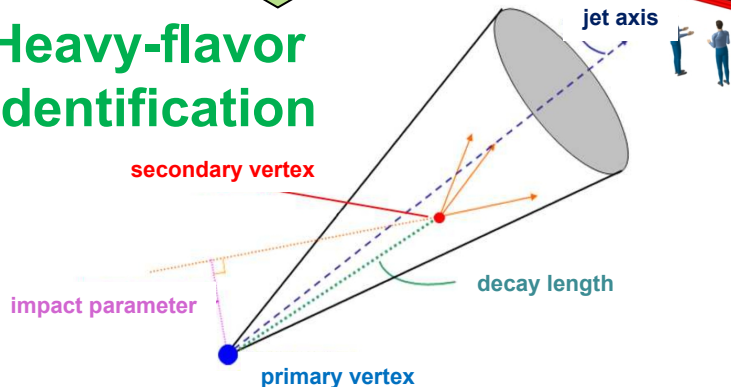
Central Barrel: $|\eta| < 0.9$

Time Projection Chamber:
gas detector
charged-particle tracking
and identification

Inner Tracking System
silicon detectors
charged-particle tracking,
secondary vertex



**Heavy-flavor
identification**



ElectroMagnetic Calorimeter
sampling scintillator calorimeter
full jet reconstruction
 $|\eta| < 0.7, 1.4 < \varphi < \pi$

Lifetime of heavy flavor: $c\tau$ (D) $\sim 100\text{--}300\ \mu\text{m}$
 $c\tau$ (B) $\sim 400\text{--}500\ \mu\text{m}$

Secondary vertex resolution: $< 100\ \mu\text{m}$

Jet substructure in pp collisions



Substructure of inclusive jets (pp collisions)

- Groomed jet substructures
- Generalized jet angularities

Flavor dependent substructure (pp collisions)

- D^0 -meson and Λ_c -baryon fragmentation
- Dead cone, R -profile
- Charmed-jet groomed substructure

→ **Test of pQCD and hadronization models**

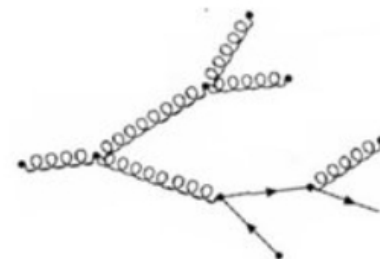
→ **Flavor-dependent production and fragmentation**

→ **Baseline for measurements in heavy-ion collisions**

Heavy-ion collisions

- Groomed jet substructures
- Subjet fragmentation

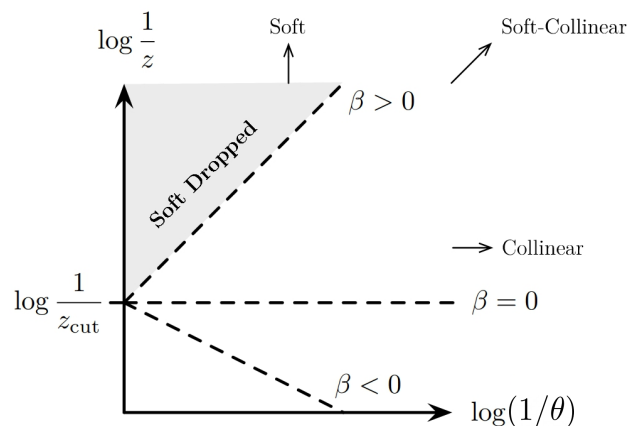
→ **Modification of jet fragmentation by the deconfined medium**



Groomed jet substructure

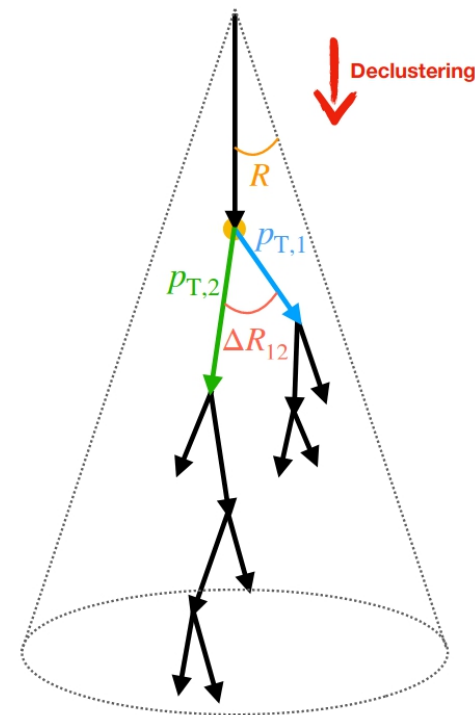


- Access to the hard parton structure of a jet
 - Mitigate influence from the underlying event, hadronization
 - Direct interface with QCD calculations
- Soft-drop grooming: Remove large-angle soft radiation
 - Recluster the jet with Cambridge-Aachen algorithm (angular ordered) and unwind the jet clusterization
 - Iteratively remove soft branches not fulfilling $z > z_{\text{cut}} \theta^\beta$



$$z = \frac{p_{T,2}}{p_{T,1} + p_{T,2}}$$

$$\theta = \frac{\Delta R_{12}}{R}$$



Larkoski, Marzani, Soyez, Thaler, JHEP 1405 (2014) 146

Groomed jet substructure



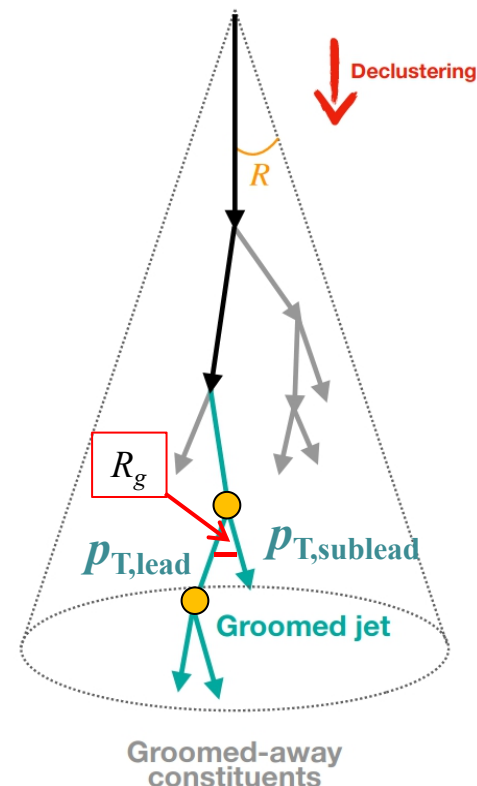
- Access to the hard parton structure of a jet
 - Mitigate influence from the underlying event, hadronization
 - Direct interface with QCD calculations
- Soft-drop grooming: Remove large-angle soft radiation
 - Recluster the jet with Cambridge-Aachen algorithm (angular ordered) and unwind the jet clusterization
 - Iteratively remove soft branches not fulfilling $z > z_{\text{cut}} \theta^\beta$

- **Substructure variables**

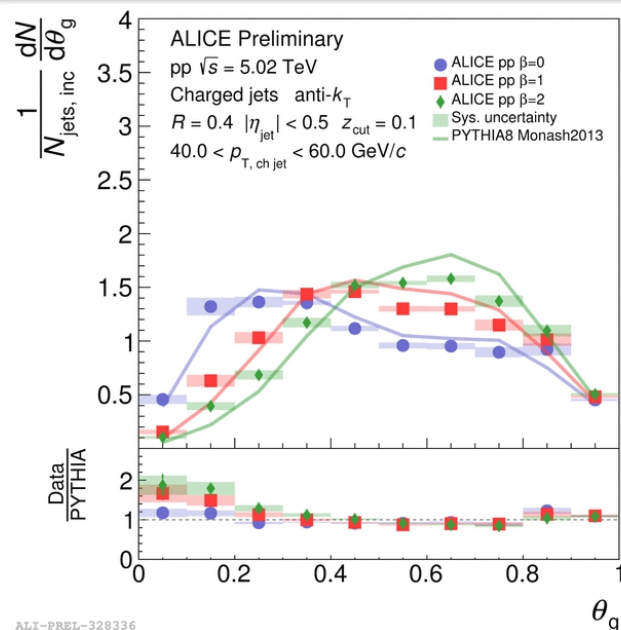
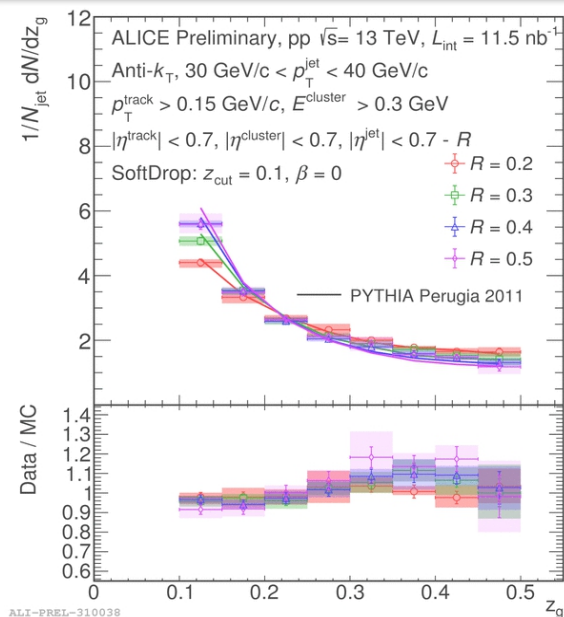
- **Groomed momentum fraction** $z_g = \frac{p_{T,\text{sublead}}}{p_{T,\text{lead}} + p_{T,\text{sublead}}}$

- **Groomed radius** $\theta_g \equiv \frac{R_g}{R}$

- **Number of soft drop splittings** n_{SD}



pp: Soft Drop grooming - z_g and θ_g



Groomed momentum fraction, full jets

pp $\sqrt{s} = 13$ TeV, $30 < p_{T,jet} < 40 \text{ GeV}/c$, $z_{cut} = 0.1$, $\beta = 0$
 absolutely norm., no background sub.

- Larger radii: more influence from non-perturbative effects
- Smaller β grooms soft splittings away \rightarrow more collimated jets
- Trends reproduced relatively well by PYTHIA

\rightarrow **test for pQCD predictions and constraints for non-perturbative effects**

Groomed radius, charged-particle jets

pp $\sqrt{s} = 13$ TeV, $40 < p_{T,jet} < 60 \text{ GeV}/c$, $z_{cut} = 0.1$, $R = 0.4$
 absolutely normalized

Generalized jet angularities



- Characterizes jet structure with transverse-momentum fraction and angular deflection of components

- Weights associated to both, in a continuous manner

$$\lambda_{\alpha}^{\kappa} \equiv \sum_i z_i^{\kappa} \theta_i^{\alpha}$$

- Infrared and collinear safe for $\kappa=1$, $\alpha>0$

- calculable from pQCD
- Special cases: λ_1^1 Jet girth
 λ_2^1 Jet thrust

$$\theta_i \equiv \Delta R_i / R$$

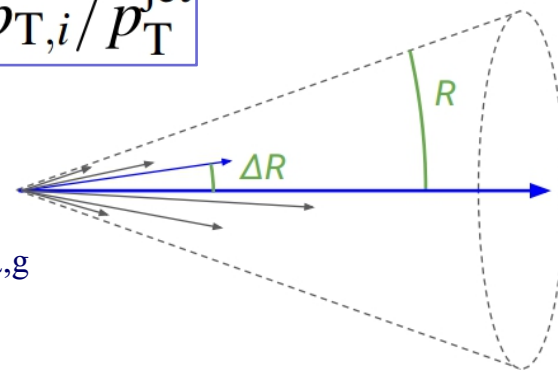
$$z_i \equiv p_{T,i} / p_T^{\text{jet}}$$

- systematic variation of α

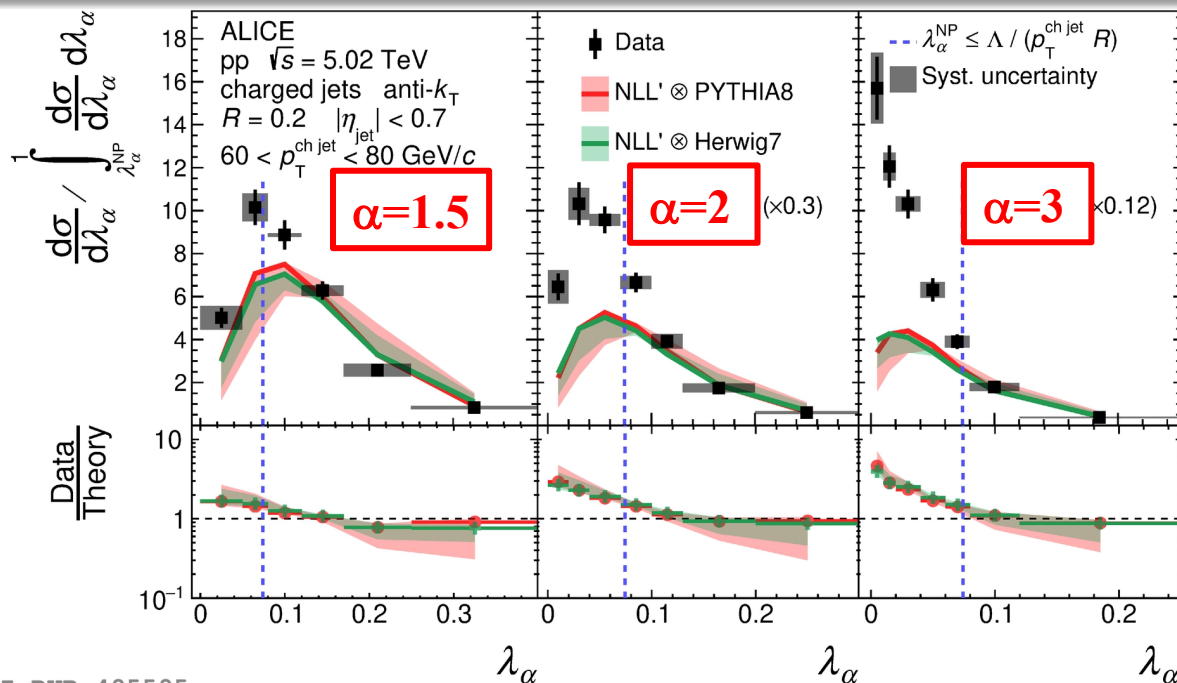
- comparison of non-groomed λ_{α} and groomed-jet $\lambda_{\alpha,g}$

⇒ Provides constraints on models

⇒ Explores interplay between perturbative and nonperturbative QCD regime



pp: Generalized jet angularities



arXiv:2107.11303

NLL': Almeida et al.
JHEP 04 (2014) 174

ALI-PUB-495595

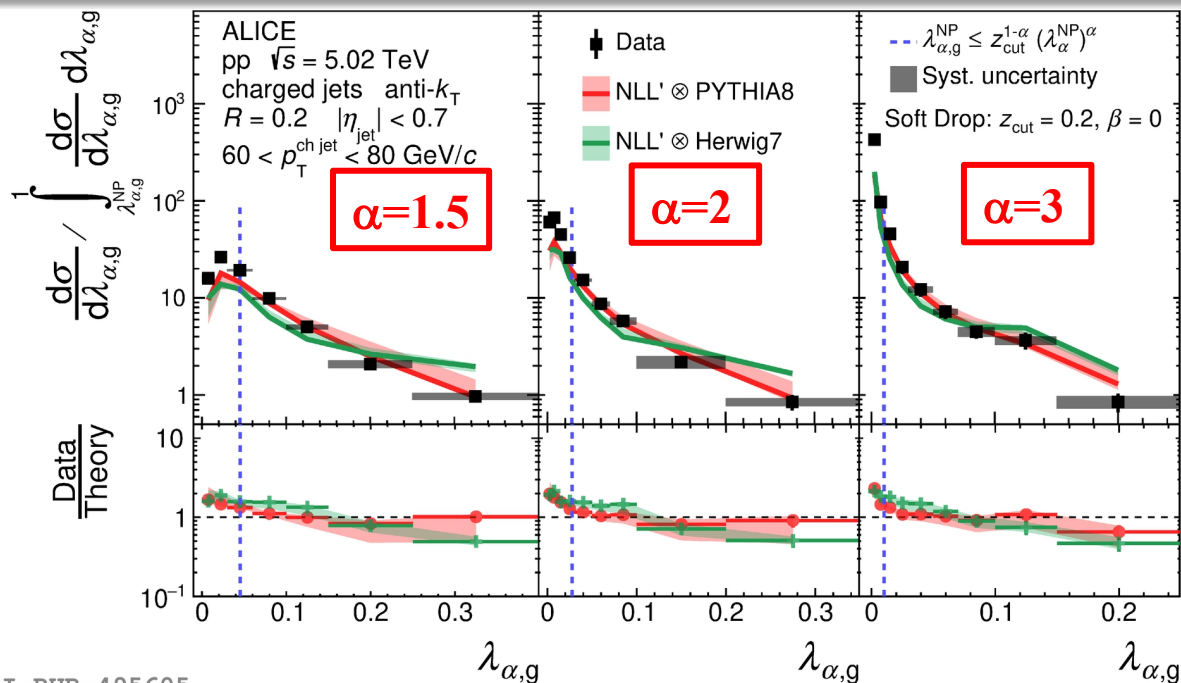
- First comparison of jet angularities to NLL' calculations at different α values

Full range of measurement: $p_T^{\text{ch jet}} / (\text{GeV}/c) \in [20, 100]$, $R = 0.2, 0.4$

Unfolded in $p_T^{\text{ch jet}}$ and $\lambda_\alpha \Rightarrow$ direct comparison to theory

- Large deviations in the non-perturbative large- α range
- Better agreement in the perturbative, small- α range

pp: Generalized jet angularities - groomed



arXiv:2107.11303

NLL': Almeida et al.
JHEP 04 (2014) 174

ALI-PUB-495605

- First measurement of groomed-jet angularities - soft drop algorithm

Full range of measurement: $p_T^{\text{chjet}}/(\text{GeV}/c) \in [20, 100]$, $R = 0.2, 0.4$

Unfolded in p_T^{chjet} and $\lambda_{\alpha} \Rightarrow$ direct comparison to theory

- Extended perturbative regime with grooming
- Good agreement with NLL' calculations

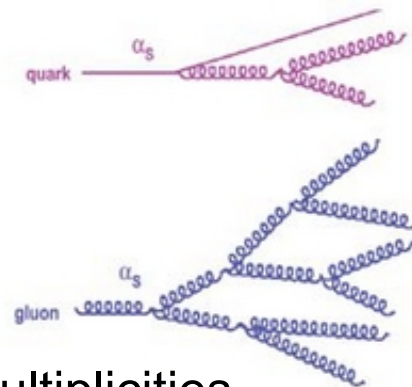
Fragmentation of heavy-flavor



- $m_q > \Lambda_{\text{QCD}} \Rightarrow$ perturbative production down to low jet p_T
- Heavy flavour conserved throughout the jet evolution
- Flavor-dependence of fragmentation:

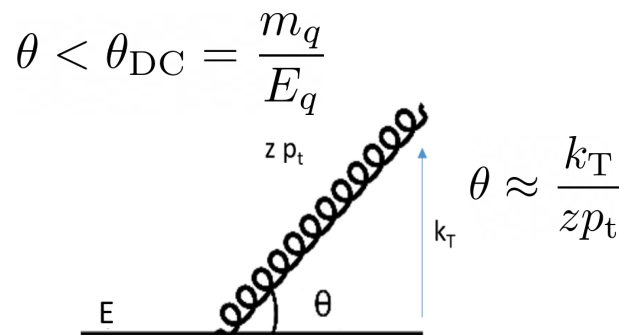
1) Color-charge effect

- Light jets are mostly gluon-initiated, while heavy-flavor jets are quark-initiated
- Couplings are different: qqg $C_F \sim 4/3$ vs. ggg $C_A \sim 3$
- Results in different shapes, momentum distributions, multiplicities



2) Mass-related effects

- **Heavy flavor fragments hard:** A large fraction of momentum is taken by the heavy hadron
- **Dead cone:** Forward emissions from radiators with large mass are suppressed

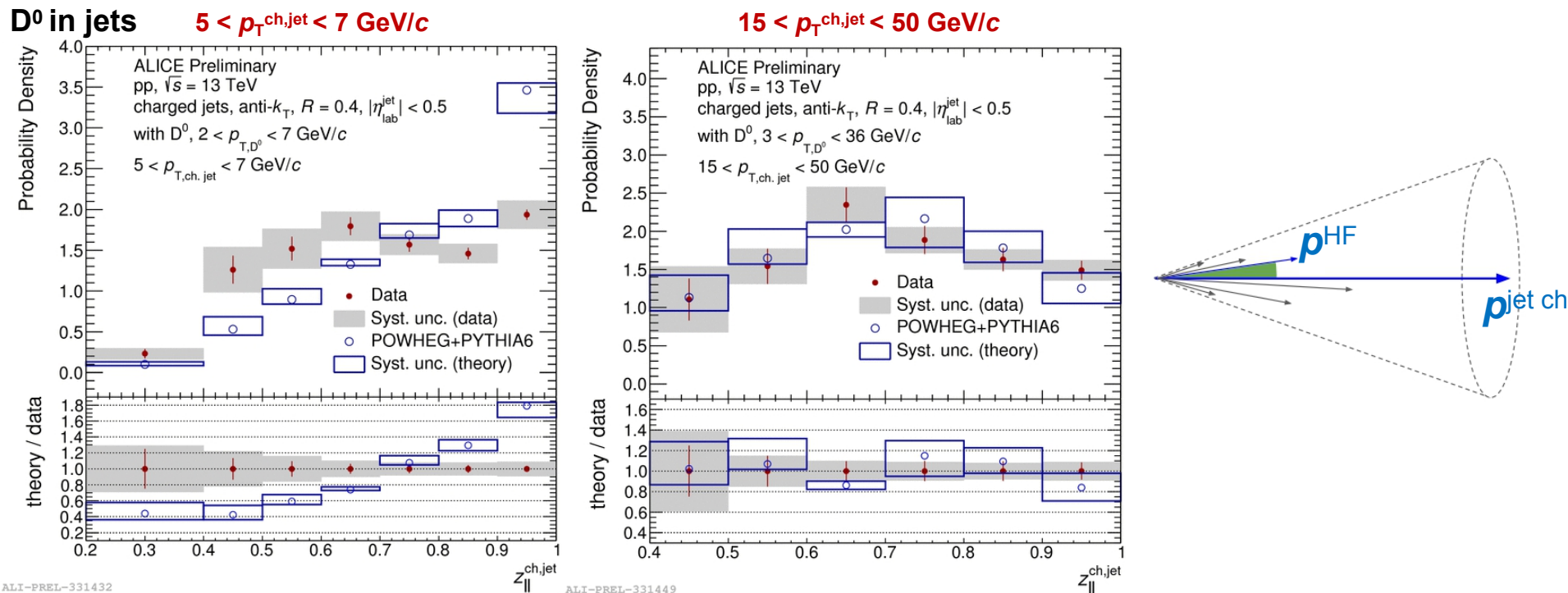


pp: Charm fragmentation - D-jet z_{\parallel}



- Parallel momentum fraction, pp $\sqrt{s} = 13$ TeV**
 - Characteristic to heavy-flavor fragmentation
- D⁰-meson fragmentation is softer at high p_T than at lower p_T**
 - POWHEG+PYTHIA6 predicts a stronger change towards low p_T

$$z_{\parallel}^{\text{ch}} = \frac{p^{\text{jet ch}} \cdot p^{\text{HF}}}{p^{\text{jet ch}} \cdot p^{\text{jet ch}}}$$



ALI-PREL-331432

ALI-PREL-331449

pp: Charm fragmentation - Λ_c -jet vs. D-jet $z_{||}$

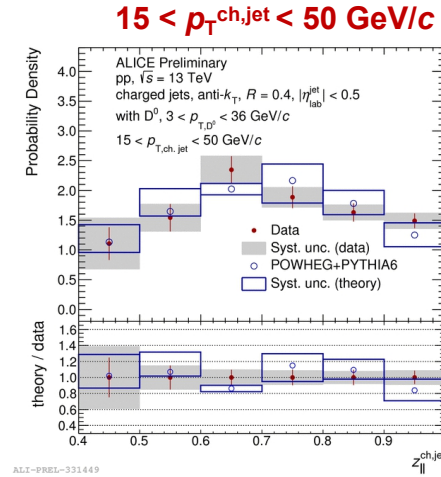
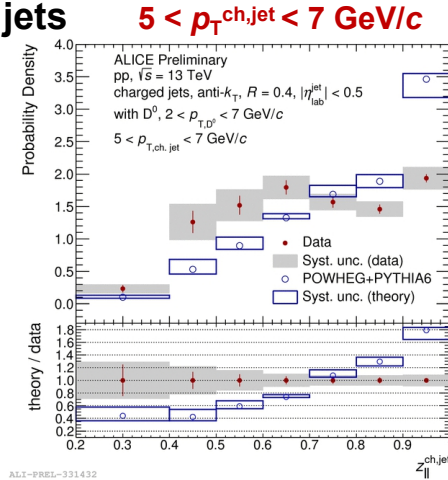


Parallel momentum fraction, pp $\sqrt{s} = 13$ TeV

$$z_{||}^{\text{ch}} = \frac{p^{\text{jet ch}} \cdot p^{\text{HF}}}{p^{\text{jet ch}} \cdot p^{\text{jet ch}}}$$

- Characteristic to heavy-flavor fragmentation
- D⁰-meson fragmentation** is softer at high p_T than at lower p_T
 - POWHEG+PYTHIA6 predicts a stronger change towards low p_T

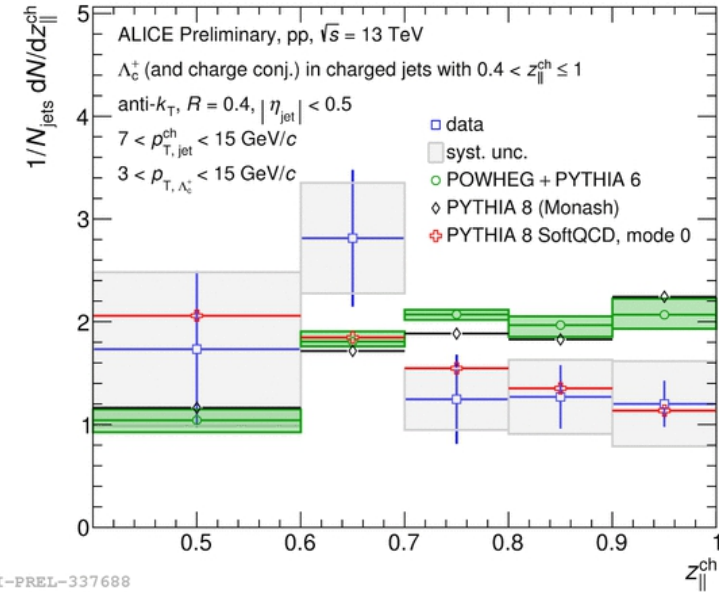
D⁰ in jets



Λ_c fragmentation

- PYTHIA8 with SoftQCD settings performs well with Λ_c
- Comparison of baryon to meson fragmentation**

Λ_c in jets **7 < $p_{T,\text{ch,jet}} < 15$ GeV/c**



pp: Charm fragmentation - Λ_c -jet vs. D-jet $z_{||}$



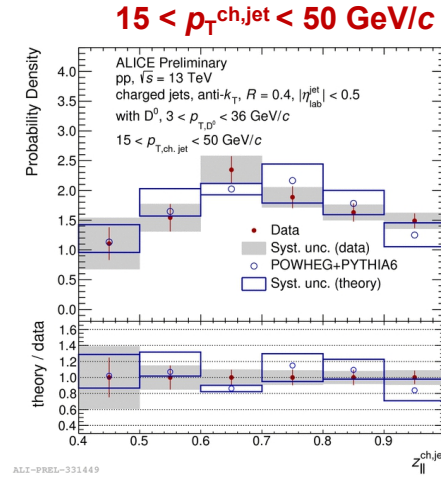
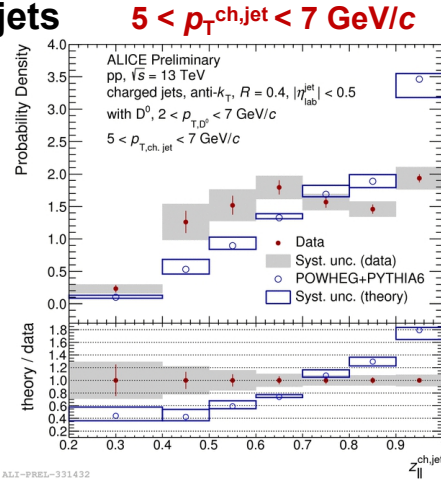
Parallel momentum fraction, pp $\sqrt{s} = 13$ TeV

$$z_{||}^{\text{ch}} = \frac{p^{\text{jet ch}} \cdot p^{\text{HF}}}{p^{\text{jet ch}} \cdot p^{\text{jet ch}}}$$

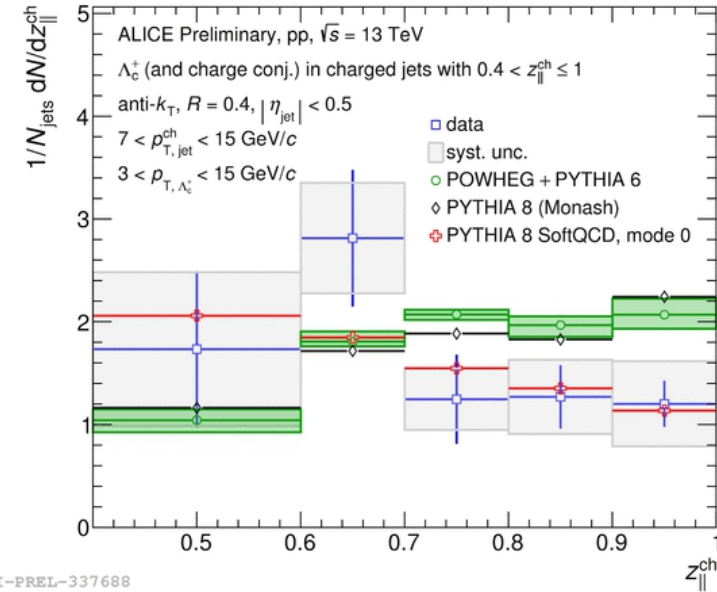
- Characteristic to heavy-flavor fragmentation
- D⁰-meson fragmentation** is softer at high p_T than at lower p_T
 - POWHEG+PYTHIA6 predicts a stronger change towards low p_T

Eszter Frajna
Thursday 18:34

D⁰ in jets



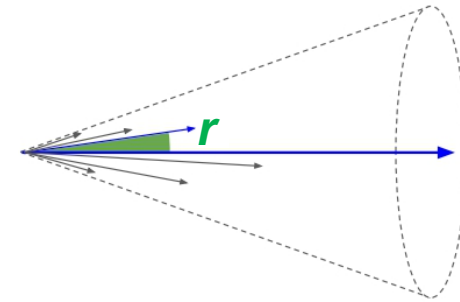
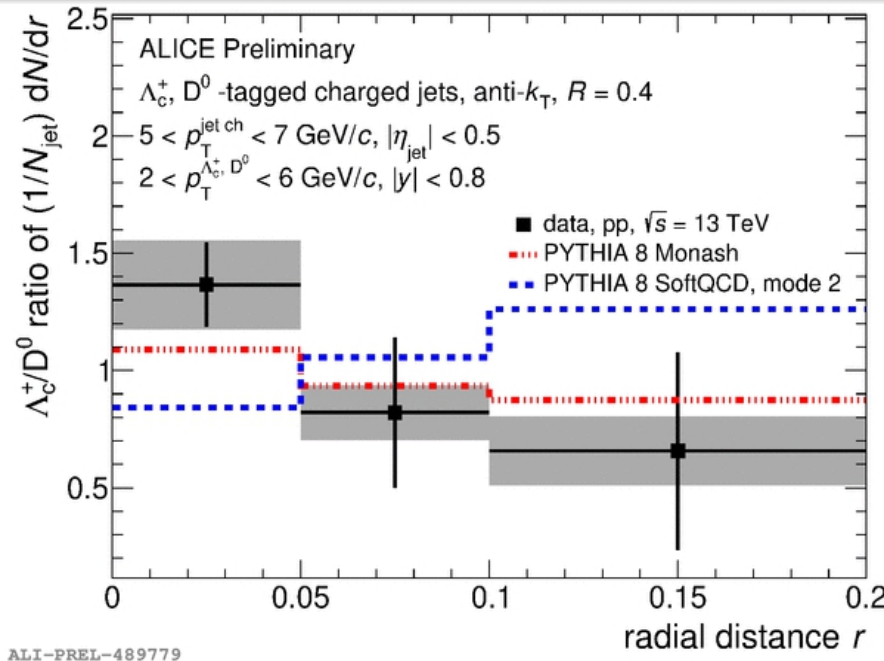
Λ_c in jets **7 < $p_{T,\text{ch,jet}} < 15$ GeV/c**



Λ_c fragmentation

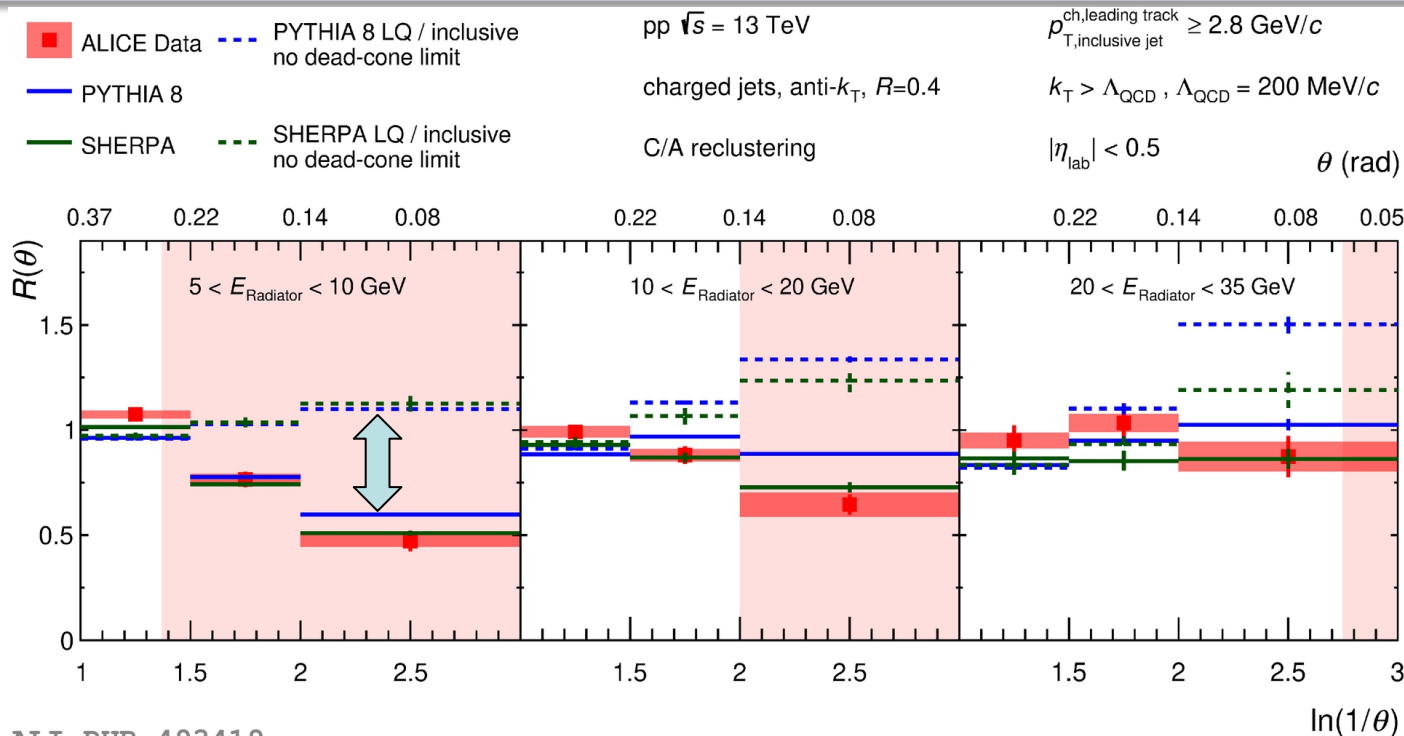
- PYTHIA8 with SoftQCD settings performs well with Λ_c
- Comparison of baryon to meson fragmentation**

pp: Charm fragmentation - Λ_c , D-jet r-shape



- **Radial angular distance distribution of a hadron from the jet axis, pp $\sqrt{s}=13 \text{ TeV}$**
 - Sensitive to different hadronisation mechanisms
 - Complementary to fragmentation function
- **Λ_c fragments closer to jet axis than D^0**
 - Better described by Monash than enhanced colour reconnection

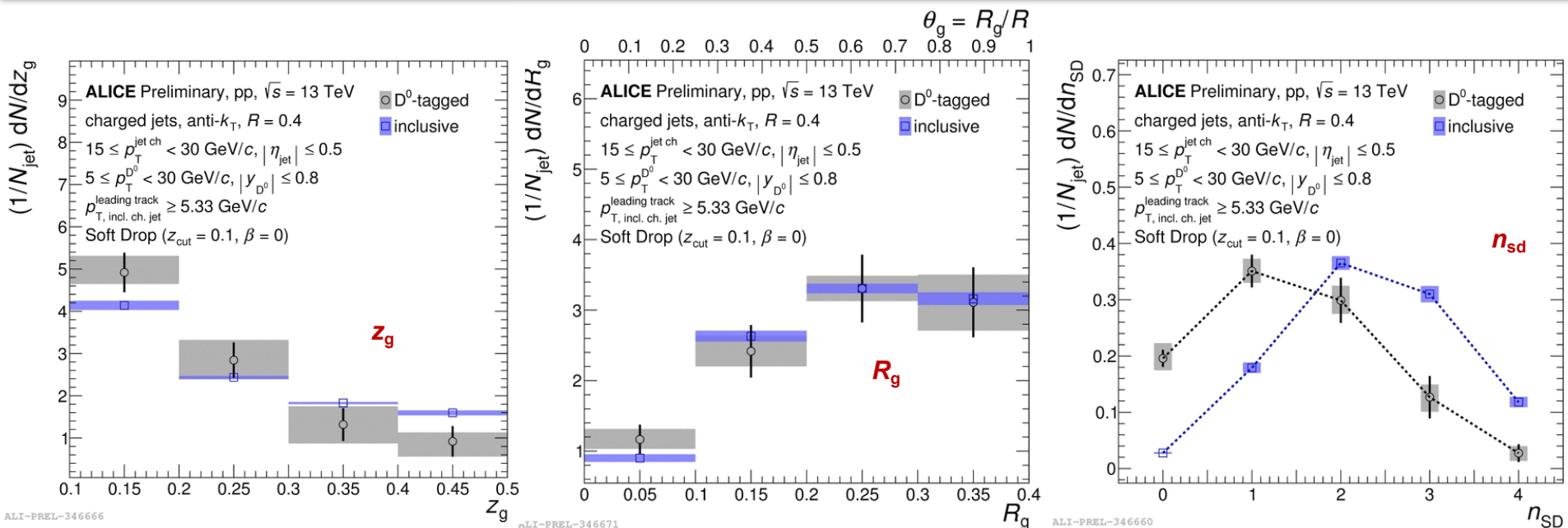
pp: Dead cone effect in ALICE



ALI-PUB-493419

- **D-tagged to inclusive ratios vs. $\ln(1/\theta)$ at $\sqrt{s}=13 \text{ TeV}$**
- Significant suppression of low-angle splittings in D-tagged jet
 \Rightarrow **First direct measurement of the dead cone** in hadronic collisions
- Effect decreases toward higher energy of the radiator ($\rightarrow \theta > m_q/E_q$)

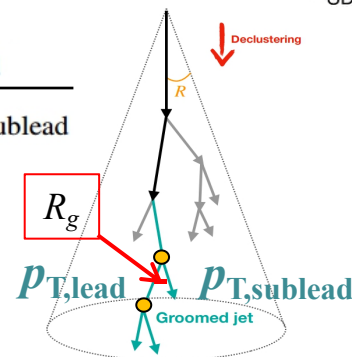
pp: D-jet substructure - z_g , R_g , n_{SD}



ALICE-PUBLIC-2020-002

- **D⁰-tagged charged-jet groomed substructure**
pp $\sqrt{s} = 13$ TeV, $z_{\text{cut}} = 0.1, \beta = 0$
 - n_{SD} : charm jets typically have less hard splitting than light jets
- ⇒ **Consistent with harder heavy-flavor fragmentation**
(mass and color charge effects)

$$z_g = \frac{P_{T,\text{sublead}}}{P_{T,\text{lead}} + P_{T,\text{sublead}}}$$



Jet substructure in Pb-Pb collisions



Substructure of inclusive jets (pp collisions)

- Groomed jet substructures
- Generalized jet angularities

Flavor dependent substructure (pp collisions)

- D^0 -meson and Λ_c -baryon fragmentation
- Dead cone, R -profile
- Charmed-jet groomed substructure

→ Test of pQCD and hadronization models

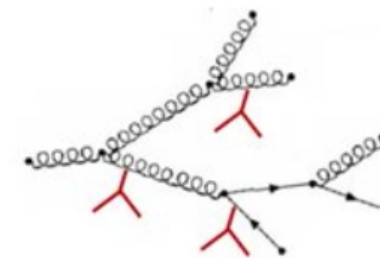
→ Flavor-dependent production and fragmentation

→ Baseline for measurements in heavy-ion collisions

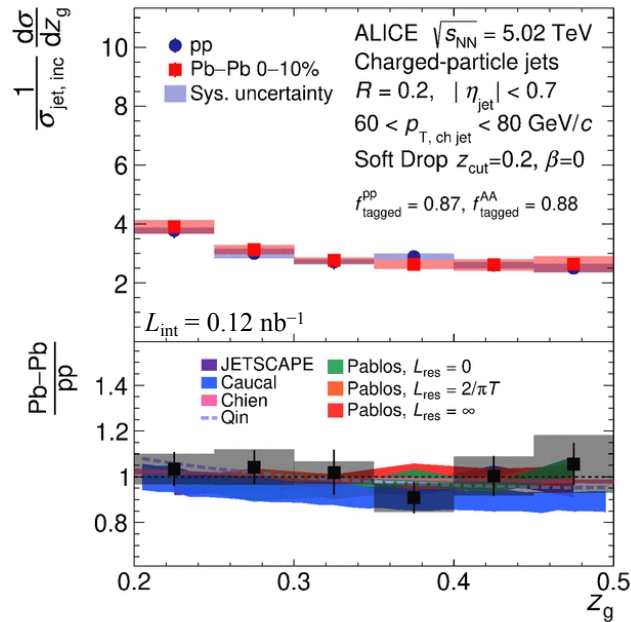
Heavy-ion collisions

- Groomed jet substructures
- Subjet fragmentation

→ **Modification of jet fragmentation by the deconfined medium**

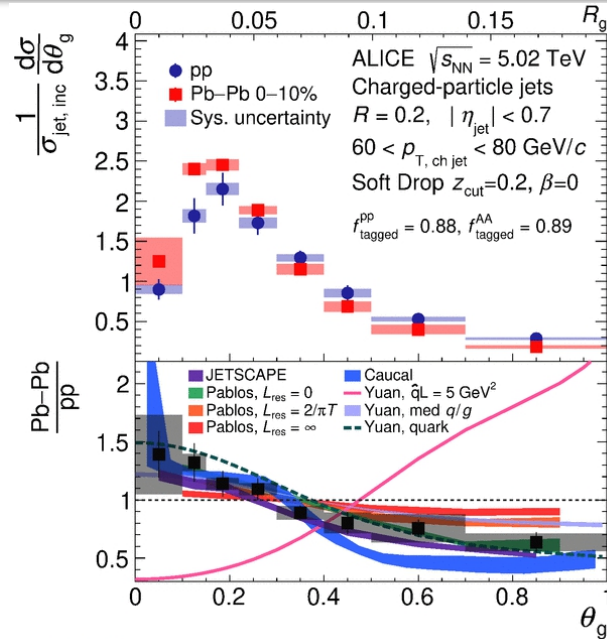


Pb-Pb: groomed jets - z_g and θ_g



ALI-PUB-495853

groomed momentum fraction

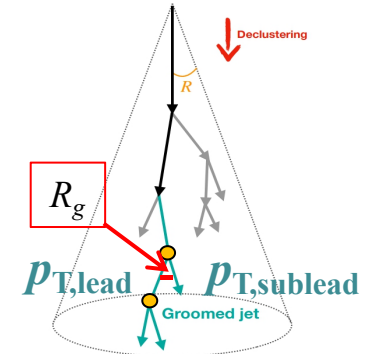


ALI-PUB-495863

groomed radius

arXiv:2107.12984

$$z_g = \frac{p_{T,\text{sublead}}}{p_{T,\text{lead}} + p_{T,\text{sublead}}}$$



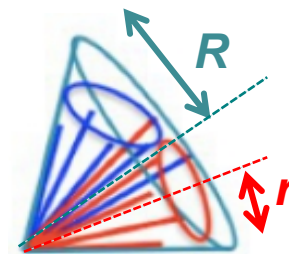
Charged-particle jets, fully unfolded, Pb-Pb $\sqrt{s_{\text{NN}}} = 5 \text{ TeV}$ $z_{\text{cut}} = 0.2$, $R = 0.2$
Combinatorial background suppressed using event-wise constituent subtraction

- z_g : no effect of interaction of the jet shower with medium
- θ_g : suppression of large angles, enhancement of small angles => medium filters out wider subjets
- Models with incoherent energy loss as well as gluon filtering qualitatively describe data



- Recluster jets using anti- k_T with a resolution parameter $r < R$
- Characterize leading subjets with momentum fraction

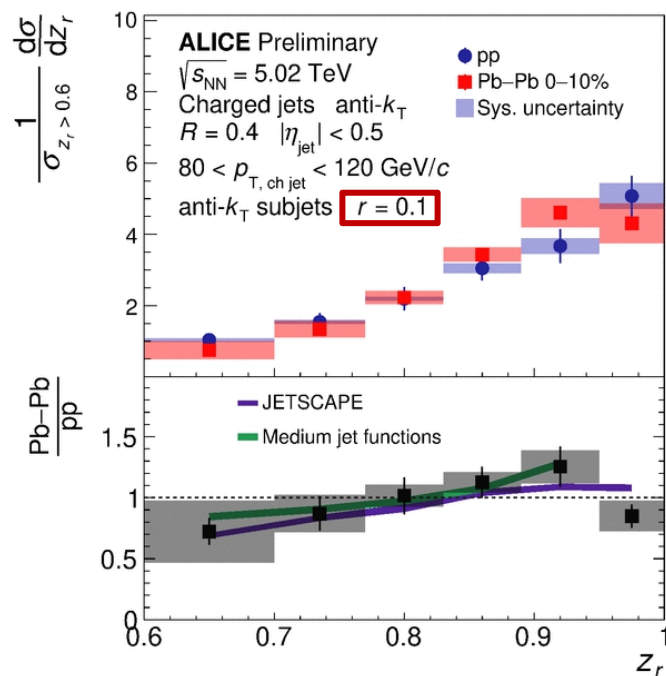
$$z_r = \frac{p_{\text{T}}^{\text{ch,subjet}}}{p_{\text{T}}^{\text{ch,jet}}}$$



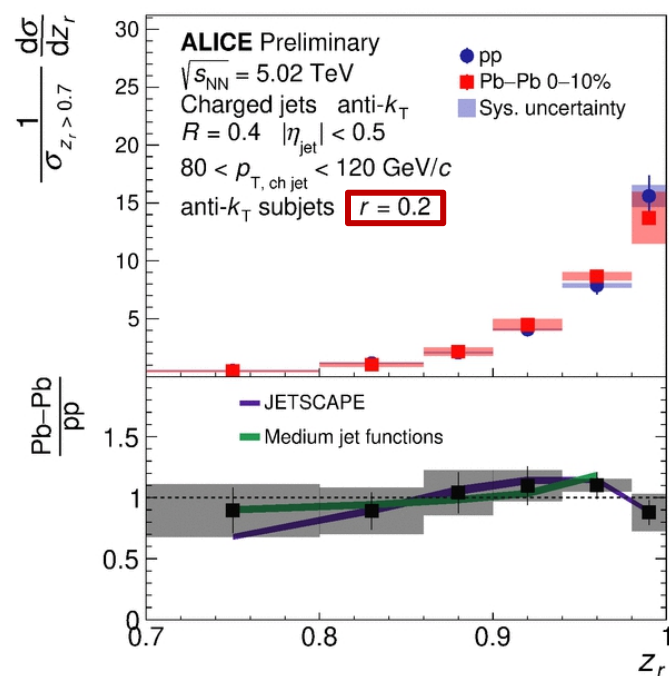
Subjet properties are sensitive to radiation patterns within a jet

- Subjet-fragmentation probes high- z fragmentation
 \Rightarrow access a quark-dominated sample
- Measure sub-jet energy loss at the cross-section level

Pb-Pb: Subjet fragmentation



ALI-PREL-490655



ALI-PREL-490660

Subjet fragmentation z_r in Pb-Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV

- $z_r \sim 1$ is quark-dominated
- **Hints of modification** for $r = 0.1$ subjets
- Consistent with no modification for $r = 0.2$ subjets
- **Consistent with model predictions**



- **pp collisions**- test of pQCD evolution and hadronization
 - **Grooming techniques** separate hard pQCD processes from soft radiation
 - **Generalized angularities** directly test of pQCD calculations as well as non-perturbative shape functions
- **Charmed jets** - a handle on fragmentation without reclustering
 - Direct access to fragmentation without grooming ($z_{||}$, **R -shapes**)
 - Means to explore flavor and mass-dependent fragmentation:
First observation of the dead cone in hadronic collisions
- **Pb-Pb collisions** - jet modification by the medium
 - **Groomed substructure observables, subjet-fragmentation**
 - Test different aspects of medium modification on jet evolution
 - Separation of soft and hard components



- **pp collisions**- test of pQCD evolution and hadronization
 - **Grooming techniques** separate hard pQCD processes from soft radiation
 - **Generalized angularities** directly test of pQCD calculations as well as non-perturbative shape functions
- **Charmed jets** - a handle on fragmentation without reclustering
 - Direct access to fragmentation without grooming ($z_{||}$, ***R*-shapes**)
 - Means to explore flavor and mass-dependent fragmentation:
First observation of the dead cone in hadronic collisions
- **Pb-Pb collisions** - jet modification by the medium
 - **Groomed substructure observables, subjet-fragmentation**
 - Test different aspects of medium modification on jet evolution
 - Separation of soft and hard components

Just a fraction of ALICE substructure measurements - much more out there

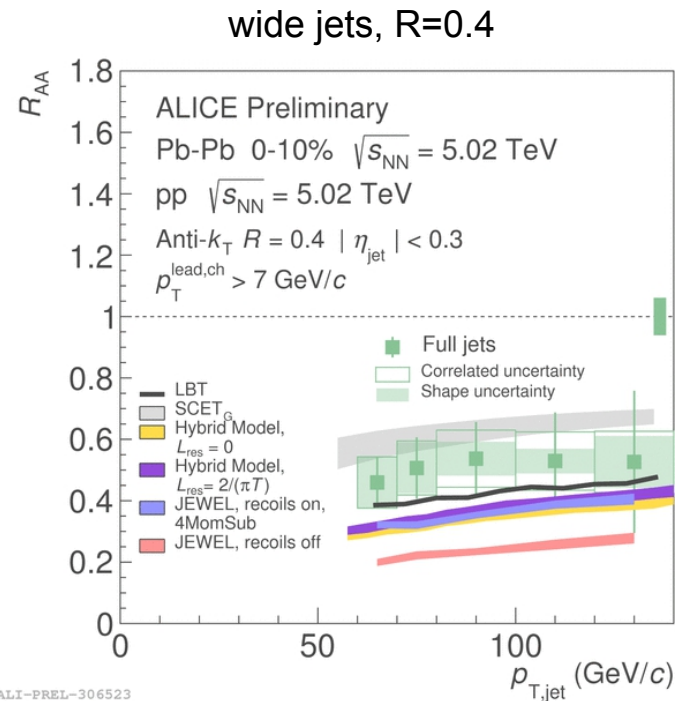
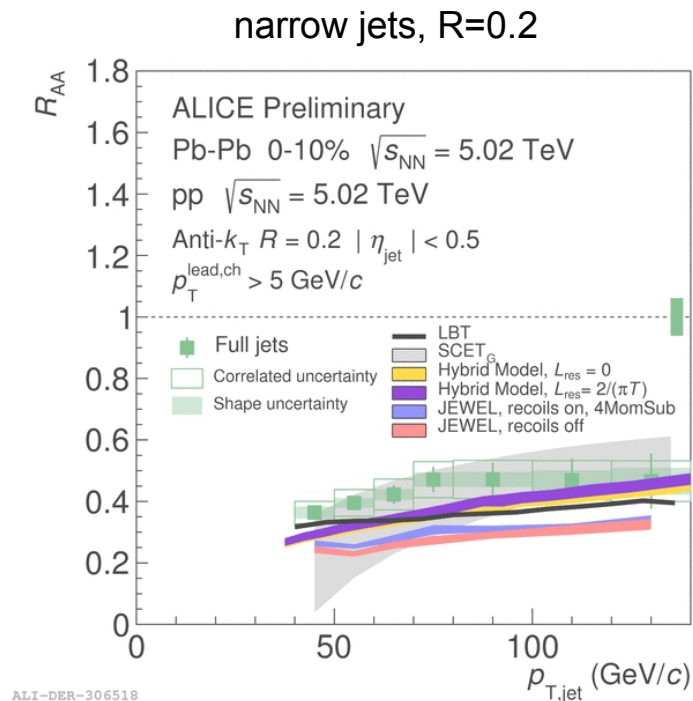
High-precision Run3 data: beauty-jets, nuclear modification in details...



Thank you!

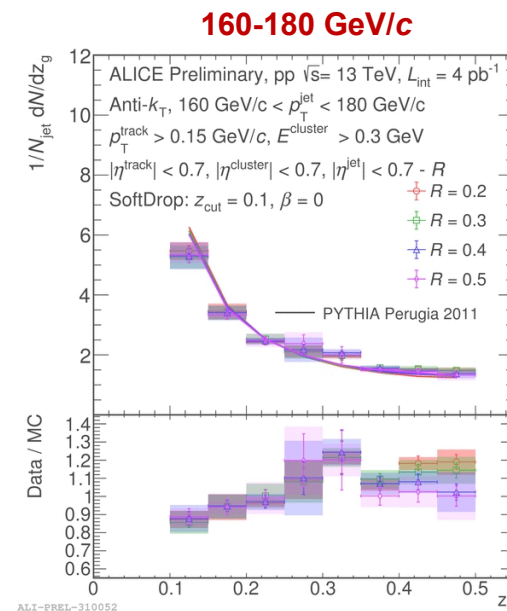
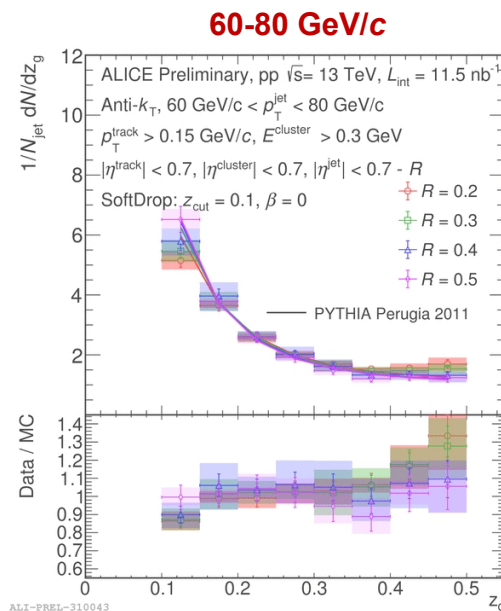
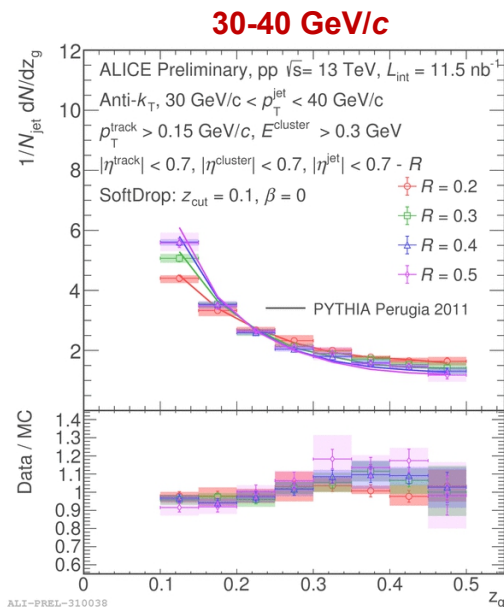


Jet suppression in Pb-Pb



- Measurement down to $p_T = 40$ GeV/c \Rightarrow redistribution of energy
- Only weak dependence seen in data on jet resolution R
- Challenge to some models: stronger R dependence predicted than in data

Soft Drop grooming: z_g vs. jet R

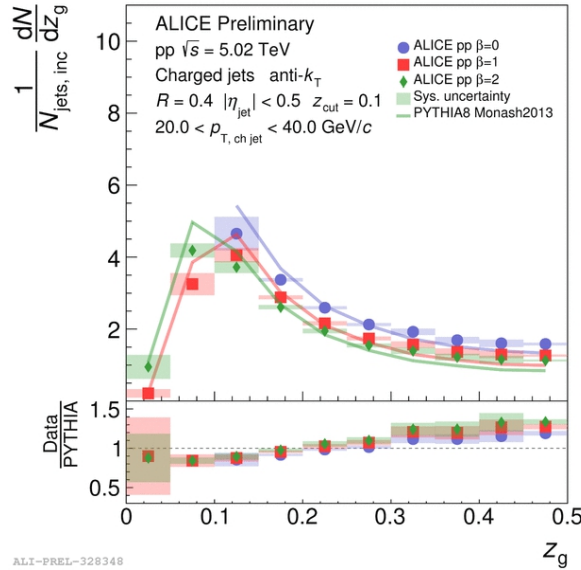


- **Full-jet groomed momentum fraction in pp collisions at $\sqrt{s} = 13$ TeV**
 $z_{\text{cut}} = 0.1$, $\beta = 0$, absolutely normalized, no background subtraction
- **At low p_T :** small radii jets tend to split more symmetrically
 larger radii: higher sensitivity to non-perturbative effects
- Slight p_T -dependence for small radii
- Trends reproduced well by PYTHIA

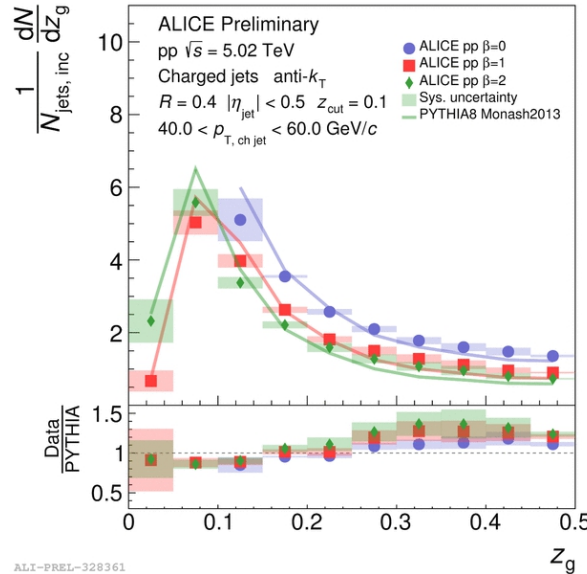
Soft Drop grooming: z_g vs. β



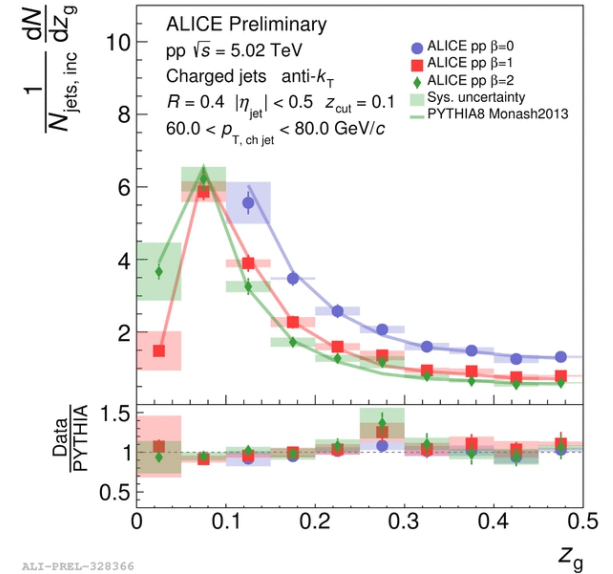
20-40 GeV/c



40-60 GeV/c



60-80 GeV/c

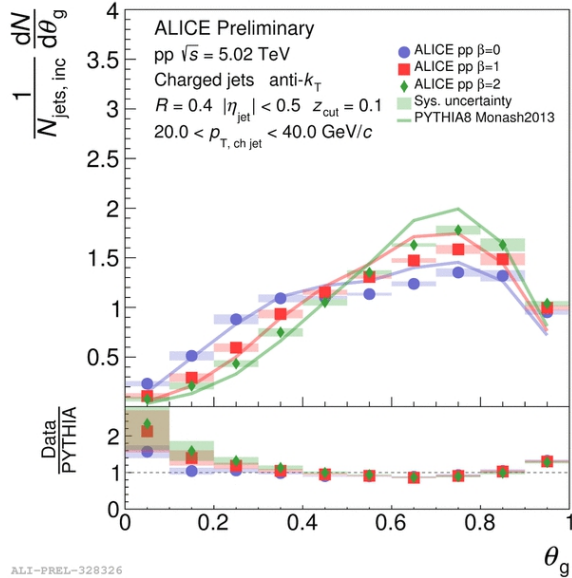


- **Charged-particle jet groomed momentum fraction in pp collisions at $\sqrt{s} = 13$ TeV**
 $z_{\text{cut}} = 0.1$, $R = 0.4$, absolutely normalized
- A weak p_T -dependence is present
- Trends reproduced relatively well by PYTHIA

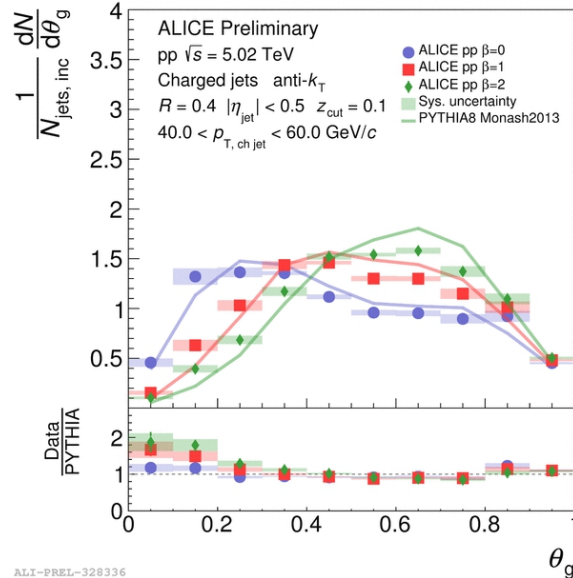
Soft Drop grooming: θ_g vs. β



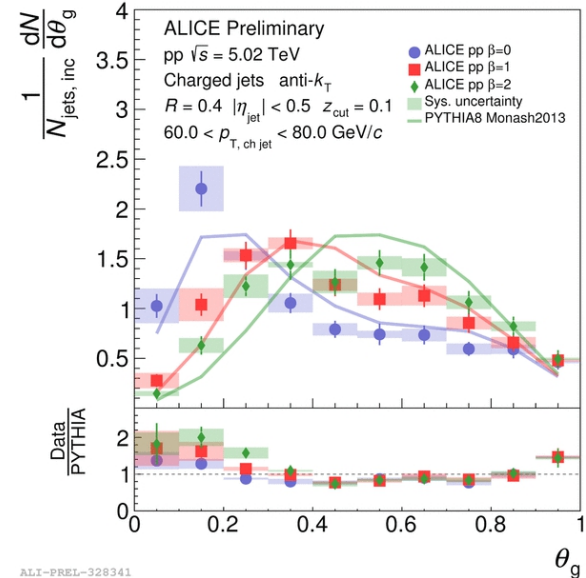
20-40 GeV/c



40-60 GeV/c

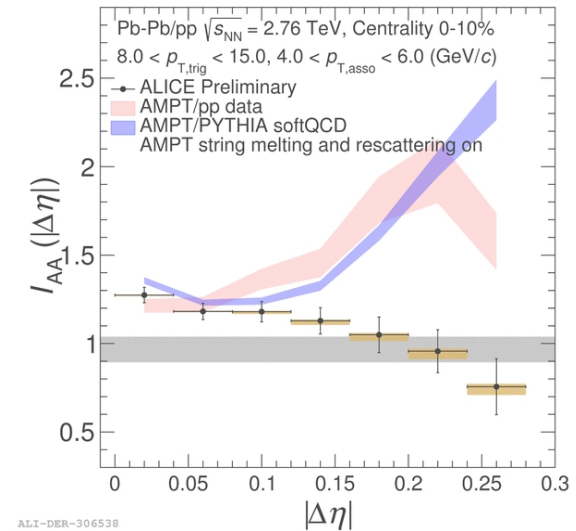
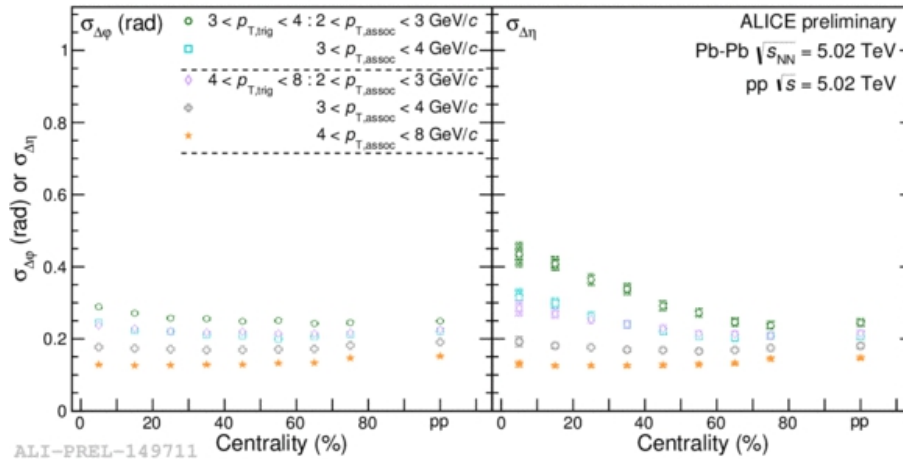


60-80 GeV/c



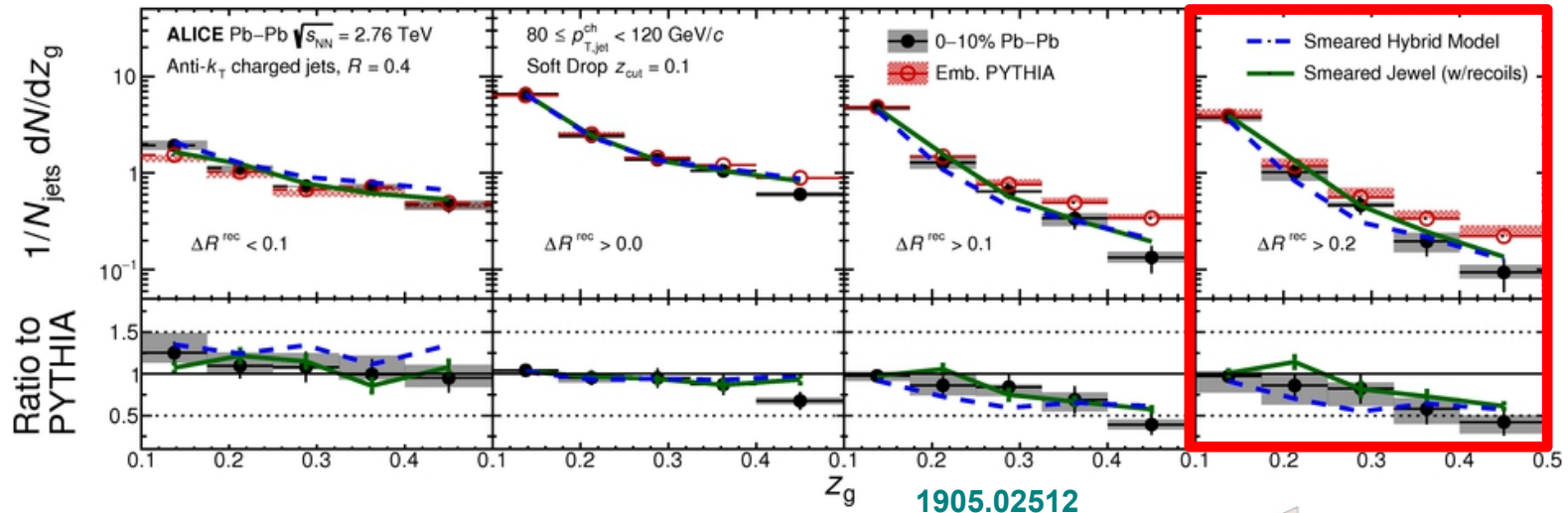
- **Charged-particle jet groomed radius in pp collisions at $\sqrt{s} = 13$ TeV**
 $z_{\text{cut}} = 0.1$, $R = 0.4$, absolutely normalized
- Smaller β grooms soft splittings away \rightarrow more collimated jets
- Trends reproduced relatively well by PYTHIA
 \rightarrow *possibility to explore contributions from partonic and hadronic stages*

Jet-medium interactions



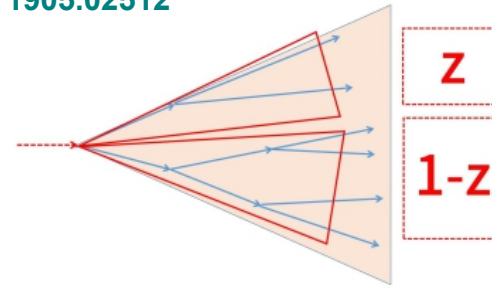
- **Low p_T :** Azimuthal h-h correlations, per-trigger normalized
 - **Broadening** of **central** angular correlation peaks in the $\Delta\eta$ direction
 - Understanding: rescattering with radial flow (AMPT)
- **Higher p_T :** Azimuthal h-h correlations, $I_{AA} = Y_{AA}/Y_{pp}$
 - **Narrowing** of the peak in **central** events in the $\Delta\eta$ direction
 - Jet structure modifications? No proper understanding by models.

Jet Substructure in Pb-Pb



1905.02512

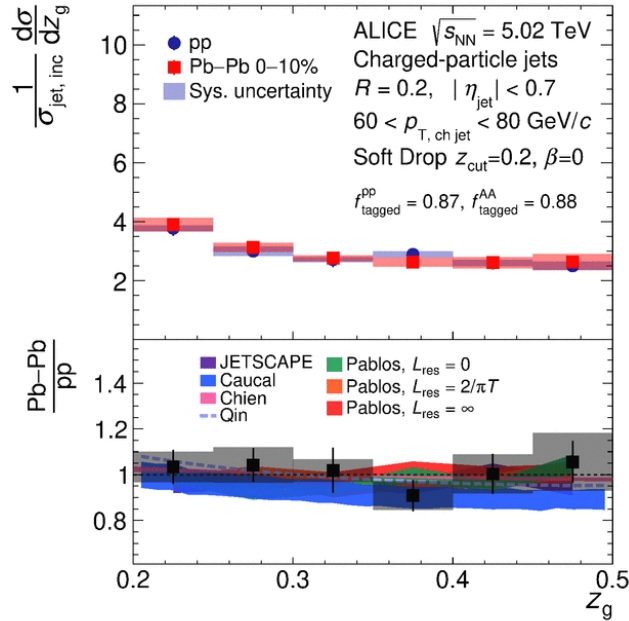
- First intra-jet splitting z_g
 - At small angles ($\Delta R < 0.1$): consistent z_g distributions in Pb-Pb and vacuum
 - At large angles ($\Delta R > 0.2$): z_g distributions are steeper in medium than in vacuum



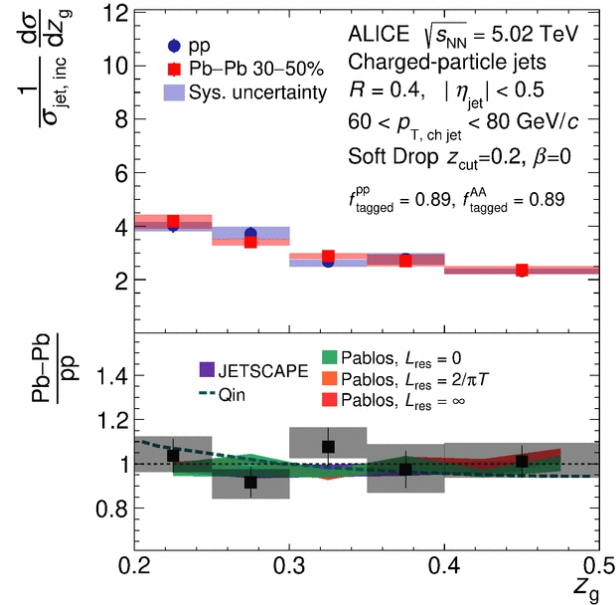
$$z = \frac{\min(p_{T,1}, p_{T,2})}{p_{T,1} + p_{T,2}}$$

- Early jet development influenced by medium

Pb-Pb: groomed jets - z_g



ALI-PUB-495853

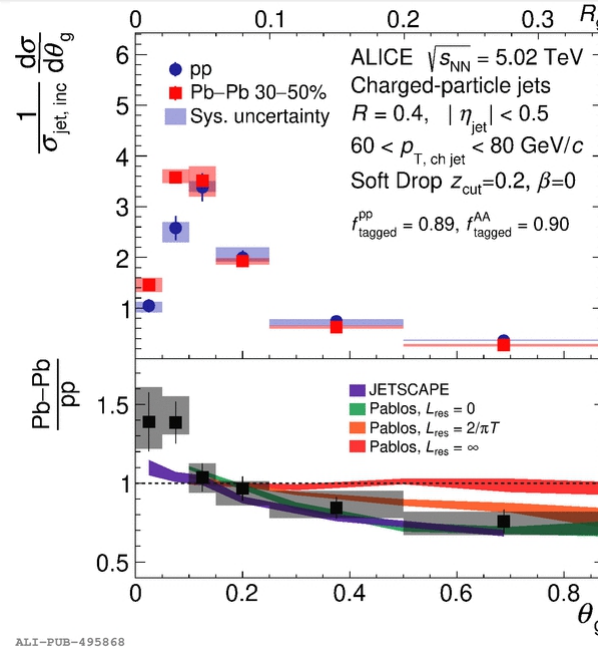
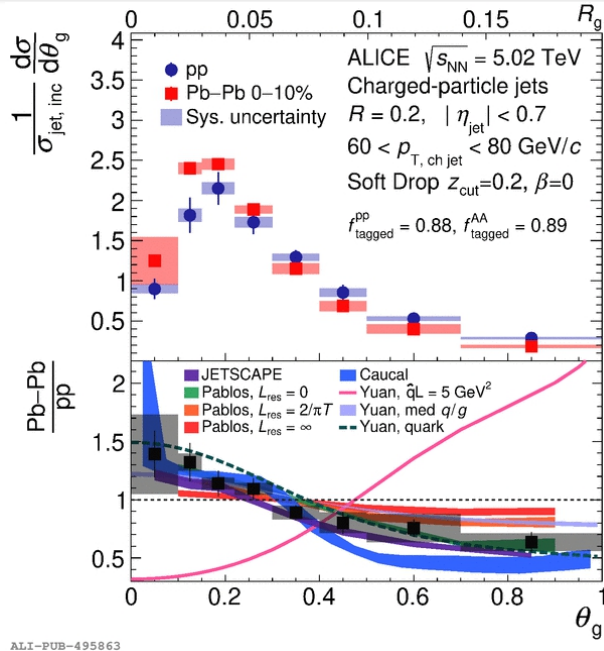


ALI-PUB-495858

arXiv:2107.12984

- **Charged-particle jet groomed momentum fraction**
Fully unfolded, Pb-Pb $\sqrt{s_{NN}} = 5$ TeV $z_{cut} = 0.2$, $R = 0.2$
- Combinatorial background suppressed using event-wise constituent subtraction
- Consistent with no modification:
 interaction of the jet shower with medium does not affect z_g

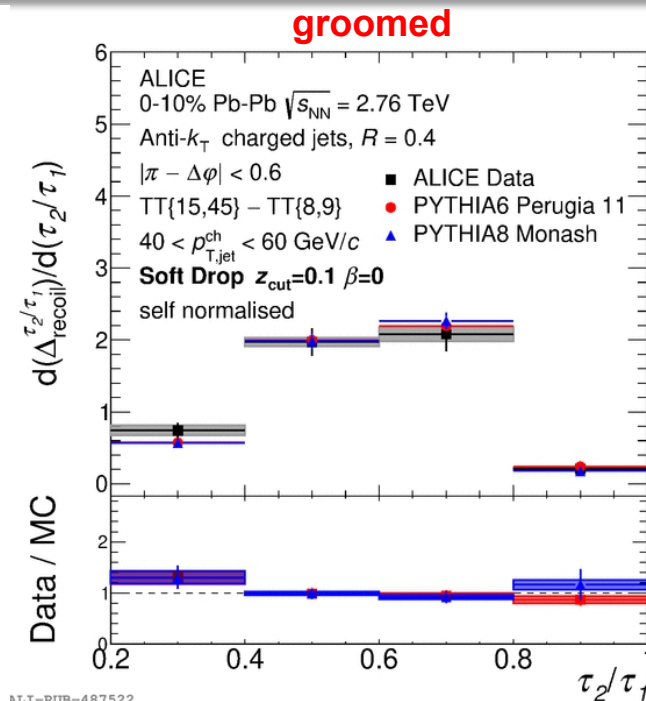
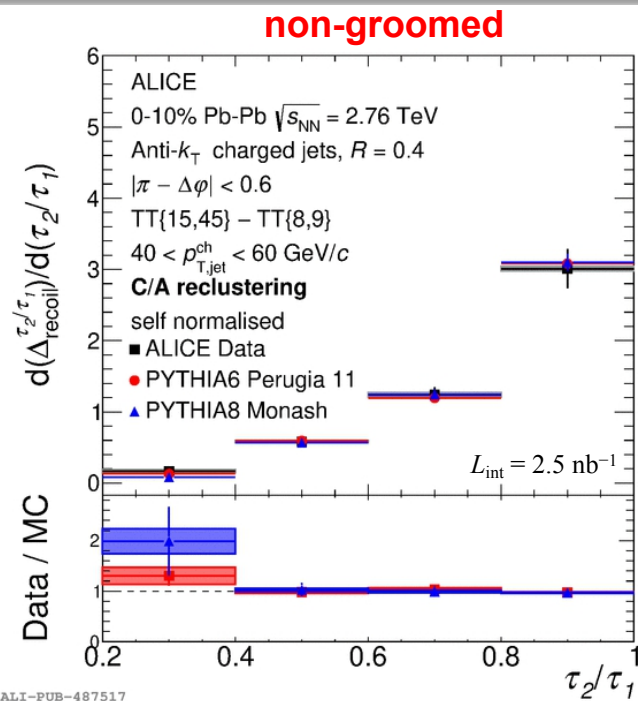
Pb-Pb: groomed jets - θ_g



arXiv:2107.12984

- **Charged-particle jet groomed radius**
Fully unfolded, Pb-Pb $\sqrt{s_{NN}} = 5$ TeV $z_{cut} = 0.2$, $R = 0.2$
- Suppression of large angles and enhancement of small angles
=> medium filters out wider subjets
- Models with incoherent energy loss as well as gluon filtering qualitatively describe data

Pb-Pb: N -subjettiness



arXiv:2105.04936

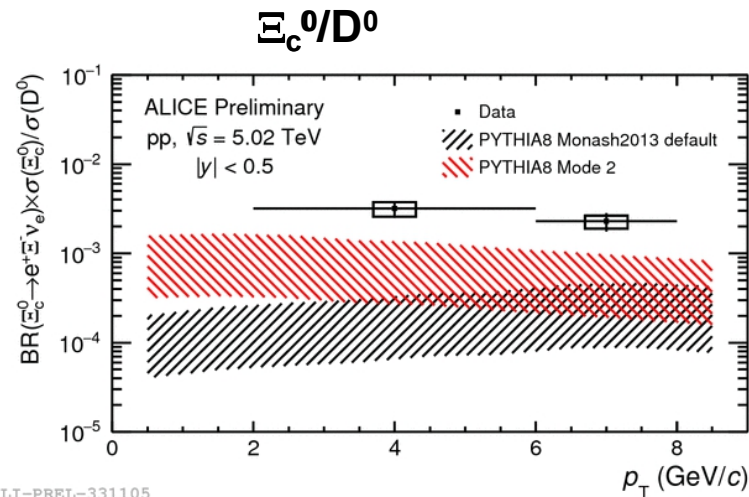
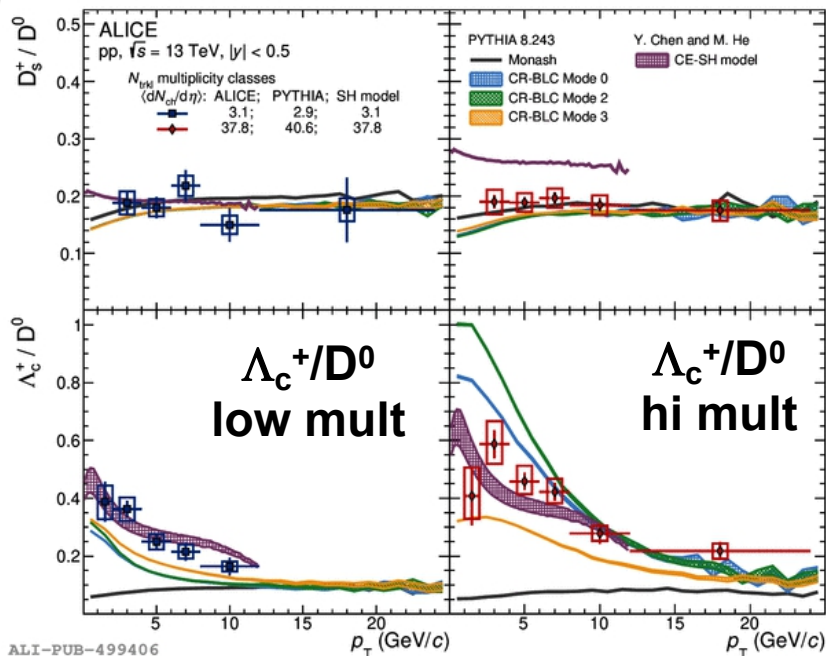
1st measurement of N -subjettiness in Pb–Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV

- Fully corrected τ_2/τ_1 distributions (from recoil jets, unbiased towards lower $p_{T, \text{chjet}}$)
- Subjet axes determined using C/A-reclustering: slight deviation from PYTHIA8
- When C/A reclustering with soft-drop grooming applied:

No modification within current precision compared to PYTHIA



Baryon-to-meson ratio: $\Lambda_c^+/D^0, \Xi_c^0/D^0$



PYTHIA8: JHEP 05 (2006) 026
DIPSY: JHEP 1503 (2015) 148
HERWIG7: EPJ C76 (2016) no.4 196

arXiv:2111.11948

■ Ξ_c^0/D^0 as well as Λ_c^+/D^0 are underestimated by models based on ee collisions:
Does charm hadronization depend on collision system?

- PYTHIA8 with string formation beyond leading colour approximation?

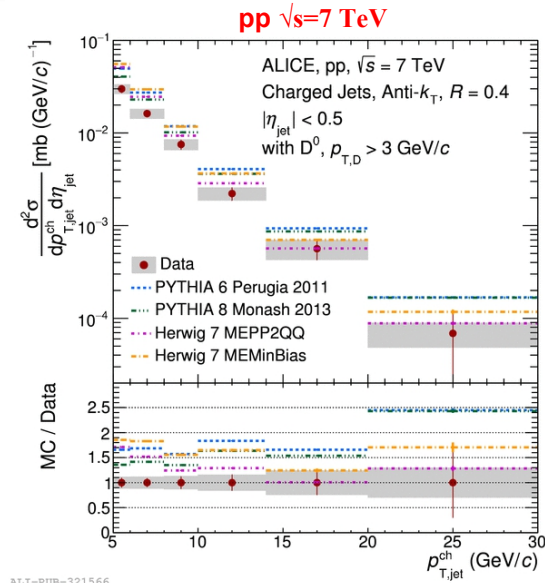
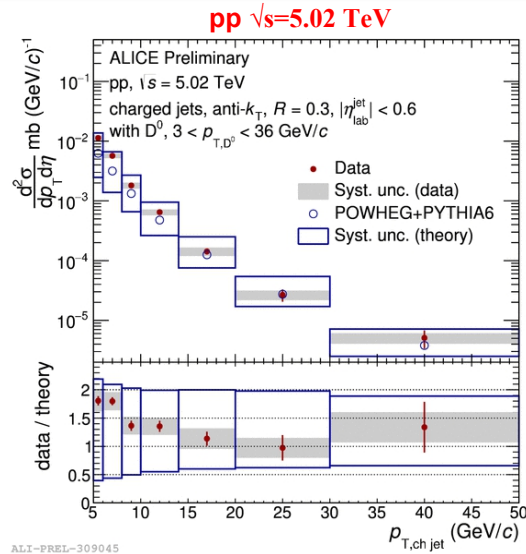
Christiansen, Skands, JHEP 1508 (2015) 003

- Feed-down from augmented set of charm-baryon states?

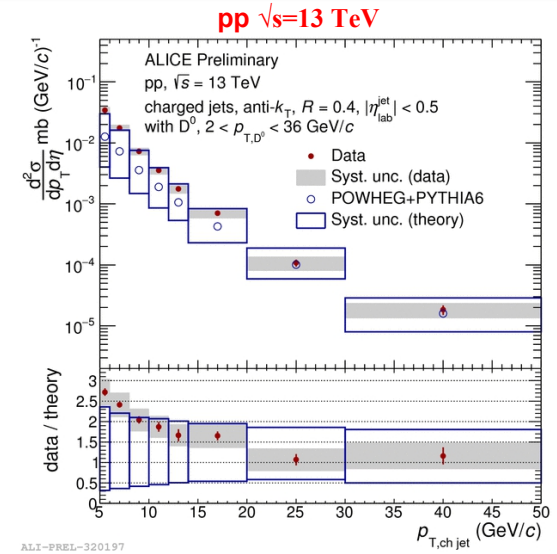
Chen-He, PLB 815 (2021) 136144

■ Detailed measurements of charm baryons: input for theoretical understanding of HF fragmentation

Charm production: D^0 -jet cross sections



JHEP 1908 (2019) 133

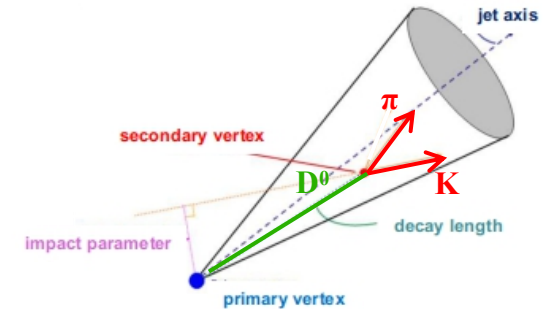


■ Analysis technique

- Identify D^0 mesons via hadronic decays
- Replace decay products with D^0 in jet

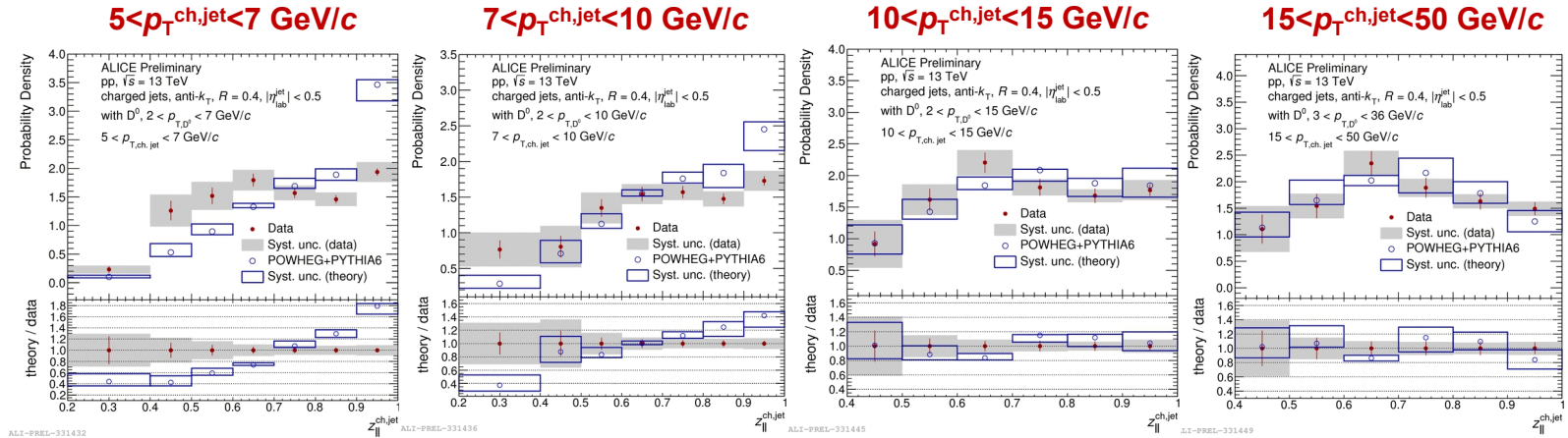
■ Comparison with models

- NLO POWHEG+PYTHIA (hvq) calculations consistent with data (only marginally at low- p_T)
- Neither LO PYTHIA 6 and 8, nor NLO HERWIG 7 describe the cross-section





Charm fragmentation: D-jet $z_{||}$ vs. p_T



pp $\sqrt{s}=13$ TeV

- **parallel momentum fraction**

- Characteristic to heavy-flavor fragmentation

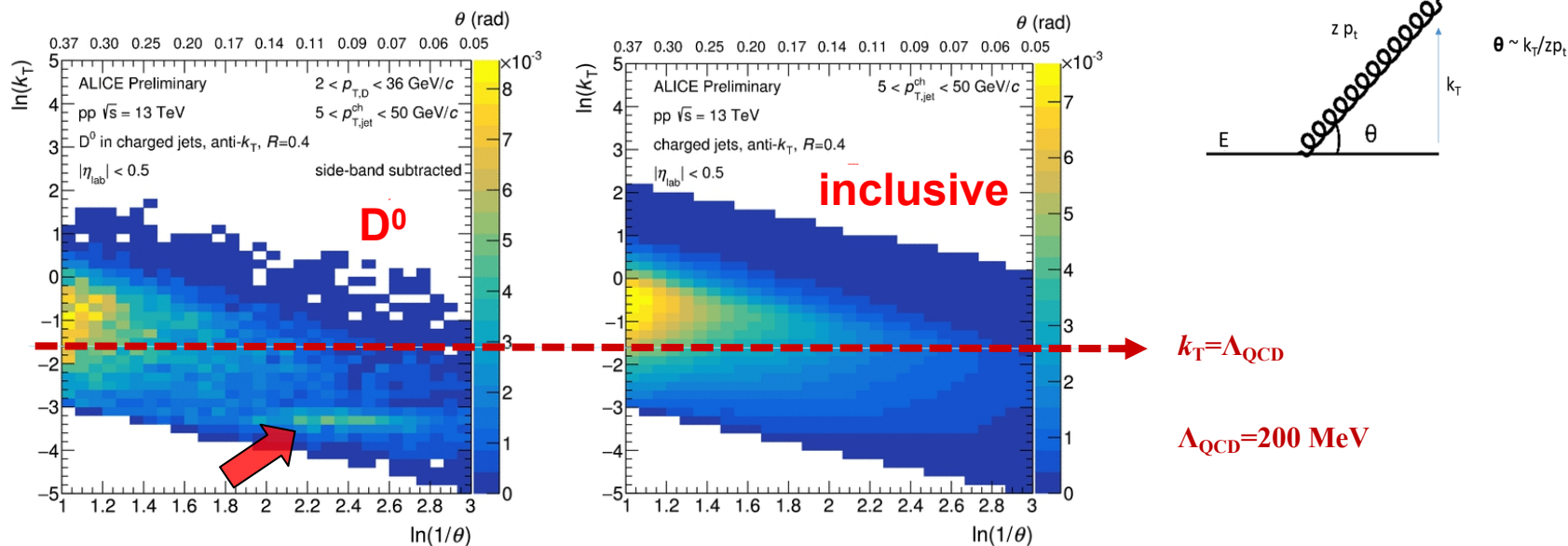
$$z_{||}^{\text{ch}} = \frac{p^{\text{jet ch}} \cdot p^{\text{HF}}}{p^{\text{jet ch}} \cdot p^{\text{jet ch}}}$$

- D-meson fragmentation is softer at high p_T than at lower p_T
- POWHEG+PYTHIA6 predicts a stronger change towards low p_T

Dead cone: the Lund plane



- D^0 as well as inclusive jets: Reclustering with C/A
L. Cunqueiro, M. Ploskon, PRD 99, 074027
- Lund plane populated with all splittings of the radiator's prong
 - D^0 : depletion expected at low angles (\sim higher $\ln(1/\theta)$ values)
Note: 10 to 15% feed-down contribution in D^0 from b



- k_T -cut to remove contamination from hadronization, decay and the underlying event

ALICE Upgrade for Run-3 and Run-4

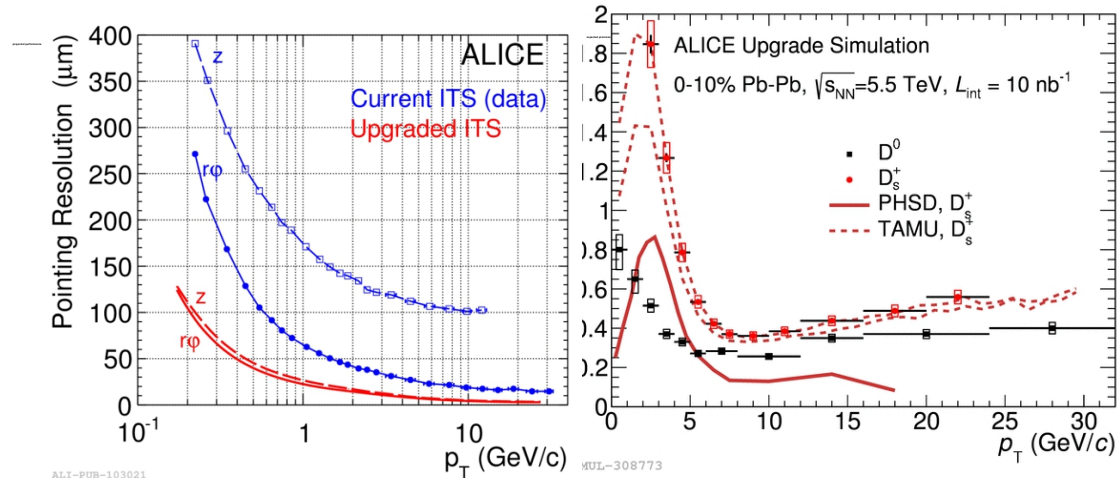
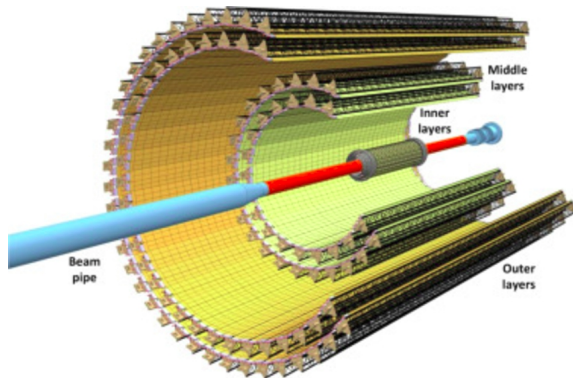
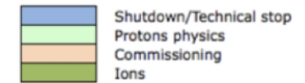


Run 2: $\mathcal{L}_{\text{Pb-Pb}} = 1.0 \text{ nb}^{-1}$

Run 3: $\mathcal{L}_{\text{Pb-Pb}} = 6.0 \text{ nb}^{-1}$

Run 4: $\mathcal{L}_{\text{Pb-Pb}} = 7.0 \text{ nb}^{-1}$

- Up to 50 kHz Pb-Pb interaction rate
- Requested Pb-Pb luminosity: 13 nb^{-1} (50-100x Run2 Pb-Pb)
- Improved tracking efficiency and resolution at low p_T
- Detector upgrades: ITS, TPC, MFT, FIT
- Faster, continuous readout



ALI-PHB-103021

MUL-308773