

# Lepton flavor phenomena in modular symmetry

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T. Kobayashi, H. Otsuka, M, K. Yamamoto, PRD 105 (2022) no.5, arXiv:2112.00493,  
arXiv:2204.12325

# Outline of my talk

- 1 Introduction
- 2 Modular symmetry
- 3 Modular invariant flavor model
- 4 Dipole operators in modular symmetry
- 5 Flavor structure of Dipole operators  
 $(g-2)_\mu, e$      $\mu \rightarrow e\gamma$ . ....
- 6 Summary

# 1 Introduction

There are a lot of works challenging  
**Flavor Problems of quarks and leptons**  
by using **Modular Symmetries**.

Flavor mixing

CP violation

Mass hierarchy

Successful results  
are obtained for  
quark / lepton sector.

Challenge SMEFT

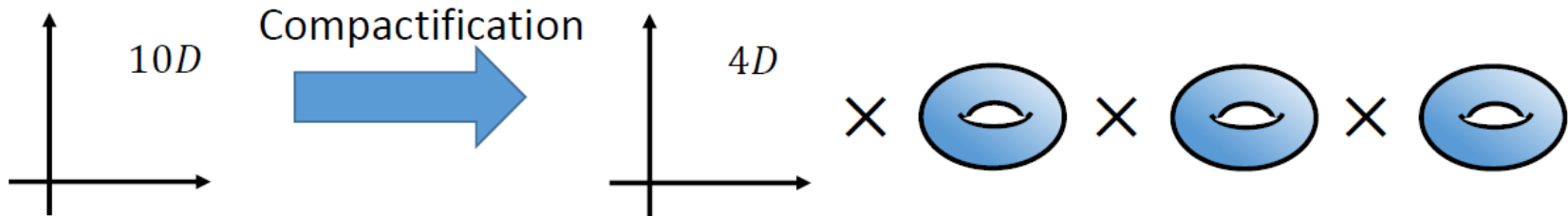
## 2 Modular symmetry

Superstring theory 10D  
Our universe is 4D



The extra 6D  
should be compactified.

Torus compactification

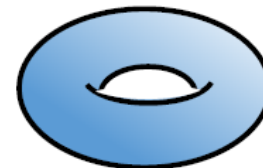


We get 4D effective Lagrangian by integrating out over 6D.

$$S = \int d^4x d^6y \mathcal{L}_{10D} \rightarrow \int d^4x \mathcal{L}_{\text{eff}}$$



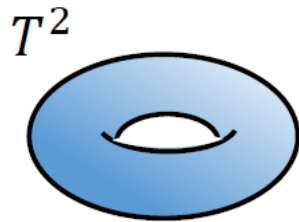
$\mathcal{L}_{\text{eff}}$  depends on the structure of



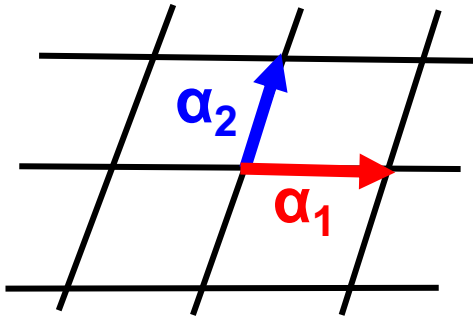
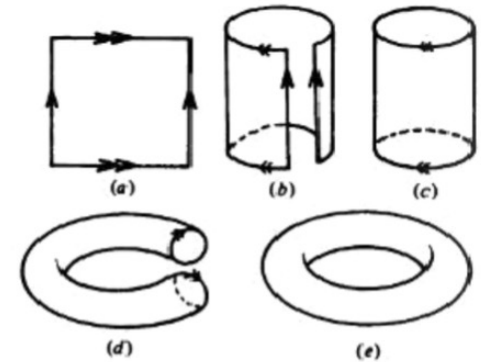
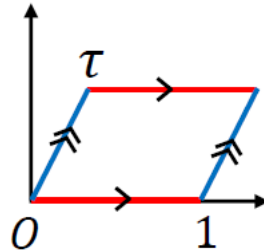
➤ 4D effective theory depends on **internal space**

2D torus ( $T^2$ ) is equivalent to parallelogram with identification of confronted sides.

by Feruglio



$\cong$



Two-dimensional torus  $T^2$  is obtained as  
 $T^2 = \mathbb{R}^2 / \Lambda$

$\Lambda$  is two-dimensional lattice,  
 which is spanned by two lattice vectors

$$(x,y) \sim (x,y) + n_1 \alpha_1 + n_2 \alpha_2$$

$$\alpha_1 = 2\pi R \quad \text{and} \quad \alpha_2 = 2\pi R \tau$$

$\tau = \alpha_2 / \alpha_1$  is a modulus parameter (complex).

The same lattice is spanned by other bases under the transformation.

$$\begin{pmatrix} \alpha'_2 \\ \alpha'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \alpha_1 \end{pmatrix}$$

$$ad - bc = 1$$

$a, b, c, d$  are integer  $SL(2, \mathbb{Z})$

$$\begin{pmatrix} \alpha'_2 \\ \alpha'_1 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_2 \\ \alpha_1 \end{pmatrix}$$



$ad-bc=1$   
 $a,b,c,d$  are integer

$$\tau = \alpha_2 / \alpha_1$$

$$\tau \xrightarrow{\gamma} \tau' = \frac{a\tau + b}{c\tau + d}$$

Modular transformation

Modular transf. does not change the lattice (torus)



4D effective theory (depends on  $\tau$ )  
must be invariant under modular transf.

$$\text{e.g.) } \mathcal{L}_{\text{eff}} \supset Y(\tau)_{ij} \phi \bar{\psi}_i \psi_j$$

The modular transformation is generated by S and T .

$$\tau \xrightarrow{\gamma} \tau' = \frac{a\tau + b}{c\tau + d}$$

$$S : \tau \longrightarrow -\frac{1}{\tau}$$

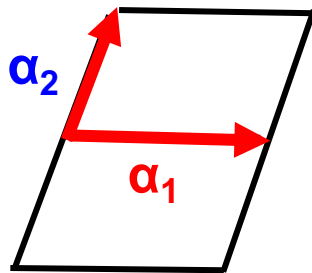
duality

$$T : \tau \longrightarrow \tau + 1$$

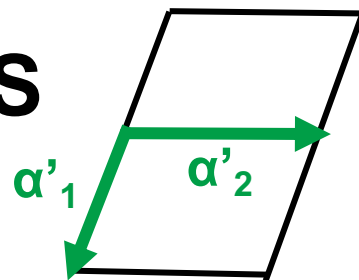
Discrete shift symmetry

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

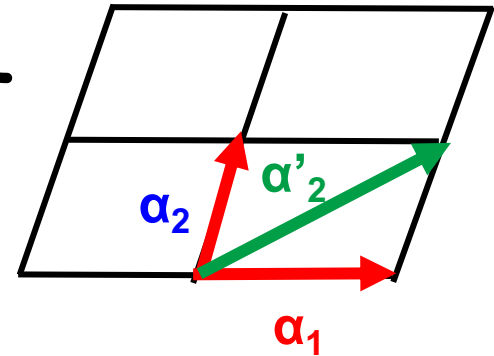
$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$



**S**



**T**



$$\tau = \alpha_2 / \alpha_1$$

$$S : \tau \longrightarrow -\frac{1}{\tau},$$

Duality

$$T : \tau \longrightarrow \tau + 1.$$

Discrete shift symmetry

$$S^2 = 1, \quad (ST)^3 = 1.$$

$\pm 1$  is identified

generate infinite discrete group

**Modular group**



Modular group

$$\Gamma \simeq \{S, T \mid S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}\}$$

Modular group has subgroups

Impose  
congruence condition

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, Z), \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

called principal congruence subgroups (normal subgroup)

$\Gamma_N \equiv \Gamma / \Gamma(N)$  quotient group finite group of level  $N$

$$\Gamma_N \simeq \{S, T \mid S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, T^N = \mathbb{I}\}$$

$$\Gamma_2 \simeq S_3 \quad \Gamma_3 \simeq A_4 \quad \Gamma_4 \simeq S_4 \quad \Gamma_5 \simeq A_5$$

isomorphic

We can consider effective theories with  $\Gamma_N$  symmetry.

$$\mathcal{L}_{\text{eff}} \in \underbrace{f(\tau)}_{\text{modular form}} \phi^{(1)} \dots \phi^{(n)} \quad f(\tau), \phi^{(I)}: \text{non-trivial rep. of } \Gamma_N$$

**modular form**

In cases of  $\Gamma_N$  ( $N=2,3,4,5$ ) ( $S_3, A_4, S_4, A_5$ )  
explicit forms of  $f(\tau)$  have been obtained.

$\Upsilon = S, T$

$$\tau \longrightarrow \tau' = \gamma\tau = \frac{a\tau + b}{c\tau + d}$$

**Modular transformation**

**Automorphy factor**

$$f_i(\tau) \longrightarrow f_i(\gamma\tau) = \underbrace{(c\tau + d)^k}_{\text{K is modular weight}} \underbrace{\rho(\gamma)_{ij}}_{\text{representation matrix}} f_j(\tau)$$

**modular forms of weight k**

**representation matrix**

**K is modular weight**

**Chiral superfields**

$$(\phi^{(I)})_i(x) \longrightarrow \underbrace{(c\tau + d)^{-k_I}}_{\text{K is modular weight}} \underbrace{\rho(\gamma)_{ij}}_{\text{representation matrix}} (\phi^{(I)})_j(x)$$

**Modular forms are explicitly given if weight k is fixed.**

**On the other hand, chiral superfields are not modular forms and we have no restriction on the possible value of weight  $k_I$ , a priori.**

Consider  $f_i(\tau) \phi^{(I)} \phi^{(J)} H$

Automorphy factor  $(c\tau + d)^k (c\tau + d)^{-k_I} (c\tau + d)^{-k_J} = (c\tau + d)^{k - k_I - k_J}$   
vanishes if  $k = k_I + k_J$

$\mathcal{L}_{\text{eff}}$  is modular invariant if sum of weights satisfy  $\sum k_I = k$ .

## Modular invariant kinetic terms of matters

Simplest

Kähler potential

$$K^{\text{matter}} = \frac{1}{[i(\bar{\tau} - \tau)]^{k_I}} |\phi^{(I)}|^2$$

# A<sub>4</sub> Modular symmetry

$$\Gamma_N \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, T^N = \mathbb{I}\}$$

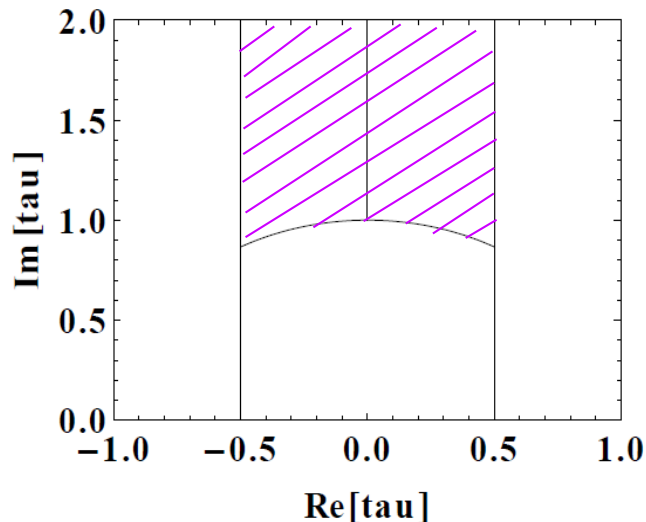
Taking  $T^3=1$ , we get **A<sub>4</sub> modular group** ( $\Gamma_3$ ). N=3 ~ A<sub>4</sub>

**# of modular forms is k+1 (for N=3)**

**k: weight**

There are **3** linealy independent modular forms for **weight 2**, which forms **A<sub>4</sub> triplet**.

Fundamental domain of  $\tau$  on **SL(2,Z)**



$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$$

# $A_4$ triplet of modular forms with weight 2

$$f_i(\gamma\tau) = (c\tau + d)^k \rho(\gamma)_{ij} f_j(\tau)$$

F. Feruglio, arXiv:1706.08749

$$Y_1(\tau) = \frac{i}{2\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} - \frac{27\eta'(3\tau)}{\eta(3\tau)} \right)$$

$$Y_2(\tau) = \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega^2 \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right),$$

$$Y_3(\tau) = \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega^2 \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right),$$

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n) \quad \text{Dedekind eta-function} \quad Y_2^2 + 2Y_1Y_3 = 0$$

$$\eta(-1/\tau) = \sqrt{-i\tau} \eta(\tau), \quad \eta(\tau+1) = e^{i\pi/12} \eta(\tau)$$

$$\begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix} = \begin{pmatrix} 1 + 12q + 36q^2 + 12q^3 + \dots \\ -6q^{1/3}(1 + 7q + 8q^2 + \dots) \\ -18q^{2/3}(1 + 2q + 5q^2 + \dots) \end{pmatrix}$$

$$q = e^{2\pi i\tau}$$

# 3 Modular invariant flavor model

We can construct quark / lepton mass matrices in the framework of modular symmetry.

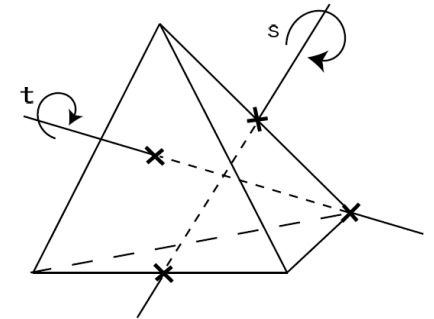
Non-Abelian Discrete Symmetry

$A_4$  group

Irreducible representations:  $1, 1', 1'', 3$   
The minimum group containing triplet

It could be adjusted to Family Symmetry.

$3: (e_L, \mu_L, \tau_L), 1: e_R, 1'': \mu_R, 1': \tau_R$



Symmetry of tetrahedron

Flavor symmetry should be broken !

We should know how to break the flavor symmetry.

**Key : Modulus  $\tau$  and Modular forms**

**We can construct a simple mass matrix by using weight 2 modular forms**

**$A_4$  assignments:** left-handed doublet **3** right-handed singlets **1, 1'', 1'**

$$M_E = v_d \begin{pmatrix} \alpha_e & 0 & 0 \\ 0 & \beta_e & 0 \\ 0 & 0 & \gamma_e \end{pmatrix} \begin{pmatrix} Y_1 & Y_3 & Y_2 \\ Y_2 & Y_1 & Y_3 \\ Y_3 & Y_2 & Y_1 \end{pmatrix}_{RL}$$

**Typical mass matrix of fermions by using weight 2 modular forms**

# Simple model of CP violation in Lepton sector

H.Okada, M.Tanimoto, JHEP 03(2021),010 [arXiv:2012.01688 [hep-ph]]

	$L$	$(e^c, \mu^c, \tau^c)$	$H_u$	$H_d$	$\mathbf{Y}_r^{(2)}, \mathbf{Y}_r^{(4)}$
$SU(2)$	2	1	2	2	1
$A_4$	3	(1, 1'', 1')	1	1	3, {3, 1, 1'}
$-k_I$	-2	(0, 0, 0)	0	0	2, 4

$$M_E = v_d \begin{pmatrix} \alpha_e & 0 & 0 \\ 0 & \beta_e & 0 \\ 0 & 0 & \gamma_e \end{pmatrix} \begin{pmatrix} Y_1 & Y_3 & Y_2 \\ Y_2 & Y_1 & Y_3 \\ Y_3 & Y_2 & Y_1 \end{pmatrix}_{RL}$$

3, 1, 1'

$$w_\nu = -\frac{1}{\Lambda} (H_u H_u L L \mathbf{Y}_r^{(k)})_1$$

Weinberg operator by using weight 4 modular forms

5 modular forms

$$M_\nu = \frac{v_u^2}{\Lambda} \left[ \begin{pmatrix} 2Y_1^{(4)} & -Y_3^{(4)} & -Y_2^{(4)} \\ -Y_3^{(4)} & 2Y_2^{(4)} & -Y_1^{(4)} \\ -Y_2^{(4)} & -Y_1^{(4)} & 2Y_3^{(4)} \end{pmatrix} + g_{\nu 1} \mathbf{Y}_1^{(4)} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} + g_{\nu 2} \mathbf{Y}_{1'}^{(4)} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right]_{LL}$$



# of modular forms is  $k+1$

weight 4  $k=4$

**5 modular forms**

$$Y_1^{(4)}(\tau) = Y_1(\tau)^2 + 2Y_2(\tau)Y_3(\tau),$$

$$Y_{1'}^{(4)}(\tau) = Y_3(\tau)^2 + 2Y_1(\tau)Y_2(\tau),$$

$$Y_{1''}^{(4)}(\tau) = Y_2(\tau)^2 + 2Y_1(\tau)Y_3(\tau) = 0,$$

$$Y_3^{(4)}(\tau) = \begin{pmatrix} Y_1^{(4)}(\tau) \\ Y_2^{(4)}(\tau) \\ Y_3^{(4)}(\tau) \end{pmatrix} = \begin{pmatrix} Y_1(\tau)^2 - Y_2(\tau)Y_3(\tau) \\ Y_3(\tau)^2 - Y_1(\tau)Y_2(\tau) \\ Y_2(\tau)^2 - Y_1(\tau)Y_3(\tau) \end{pmatrix}$$

# CP symmetry

P. P. Novichkov, J. T. Penedo, S. T. Petcov and A. V. Titov, JHEP 07 (2019) 165

## CP transformation

$$\tau \xrightarrow{\text{CP}} -\tau^*, \quad \psi(x) \xrightarrow{\text{CP}} X_r \bar{\psi}(x_P), \quad \mathbf{Y}_r^{(k)}(\tau) \xrightarrow{\text{CP}} \mathbf{Y}_r^{(k)}(-\tau^*) = \mathbf{X}_r \mathbf{Y}_r^{(k)*}(\tau)$$

$$\mathbf{X}_r \rho_r^*(g) \mathbf{X}_r^{-1} = \rho_r(g'), \quad g, g' \in G$$

$$\mathbf{X}_r = \mathbb{1}_r$$

can be taken in the base of symmetric S and T.

After fixing  $\tau$ , real part of  $\tau$  gives imaginary part of the mass matrices.

$$Y_1(\tau) = 1 + 12q + 36q^2 + 12q^3 + \dots,$$

$$Y_2(\tau) = -6q^{1/3}(1 + 7q + 8q^2 + \dots),$$

$$Y_3(\tau) = -18q^{2/3}(1 + 2q + 5q^2 + \dots).$$

$$q = e^{2\pi i \tau}$$

$$|q| \ll 1$$

$$Y_2^2 + 2Y_1Y_3 = 0$$

$$M_E(\tau) \xrightarrow{CP} M_E(-\tau^*) = M_E^*(\tau) = v_d \begin{pmatrix} \alpha_e & 0 & 0 \\ 0 & \beta_e & 0 \\ 0 & 0 & \gamma_e \end{pmatrix} \begin{pmatrix} Y_1(\tau)^* & Y_3(\tau)^* & Y_2(\tau)^* \\ Y_2(\tau)^* & Y_1(\tau)^* & Y_3(\tau)^* \\ Y_3(\tau)^* & Y_2(\tau)^* & Y_1(\tau)^* \end{pmatrix}_{RL}$$

$$M_\nu(\tau) \xrightarrow{CP} M_\nu(-\tau^*) = M_\nu^*(\tau) \\ = \frac{v_u^2}{\Lambda} \left[ \begin{pmatrix} 2Y_1^{(4)*}(\tau) & -Y_3^{(4)*}(\tau) & -Y_2^{(4)*}(\tau) \\ -Y_3^{(4)*}(\tau) & 2Y_2^{(4)*}(\tau) & -Y_1^{(4)*}(\tau) \\ -Y_2^{(4)*}(\tau) & -Y_1^{(4)*}(\tau) & 2Y_3^{(4)*}(\tau) \end{pmatrix} + g_1^{\nu*} \mathbf{Y}_1^{(4)*}(\tau) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} + g_2^{\nu*} \mathbf{Y}_{1'}^{(4)*}(\tau) \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \right]$$

**Impose CP symmetry**  $\text{Re } \tau=0$  and boundary of fundamental region

$$M_E(\tau) = M_E^*(\tau), \quad M_\nu(\tau) = M_\nu^*(\tau) \quad \text{which leads to } g_1^\nu \text{ and } g_2^\nu \text{ being real.}$$

**6 parameters +  $\tau$  = 8 parameters** **CP violation is realized by  $\tau$ !**

**3 charged lepton masses + 2 neutrino mass differences + 3 mixing angles = 8**

**CP phase and mass absolute values can be predicted !**

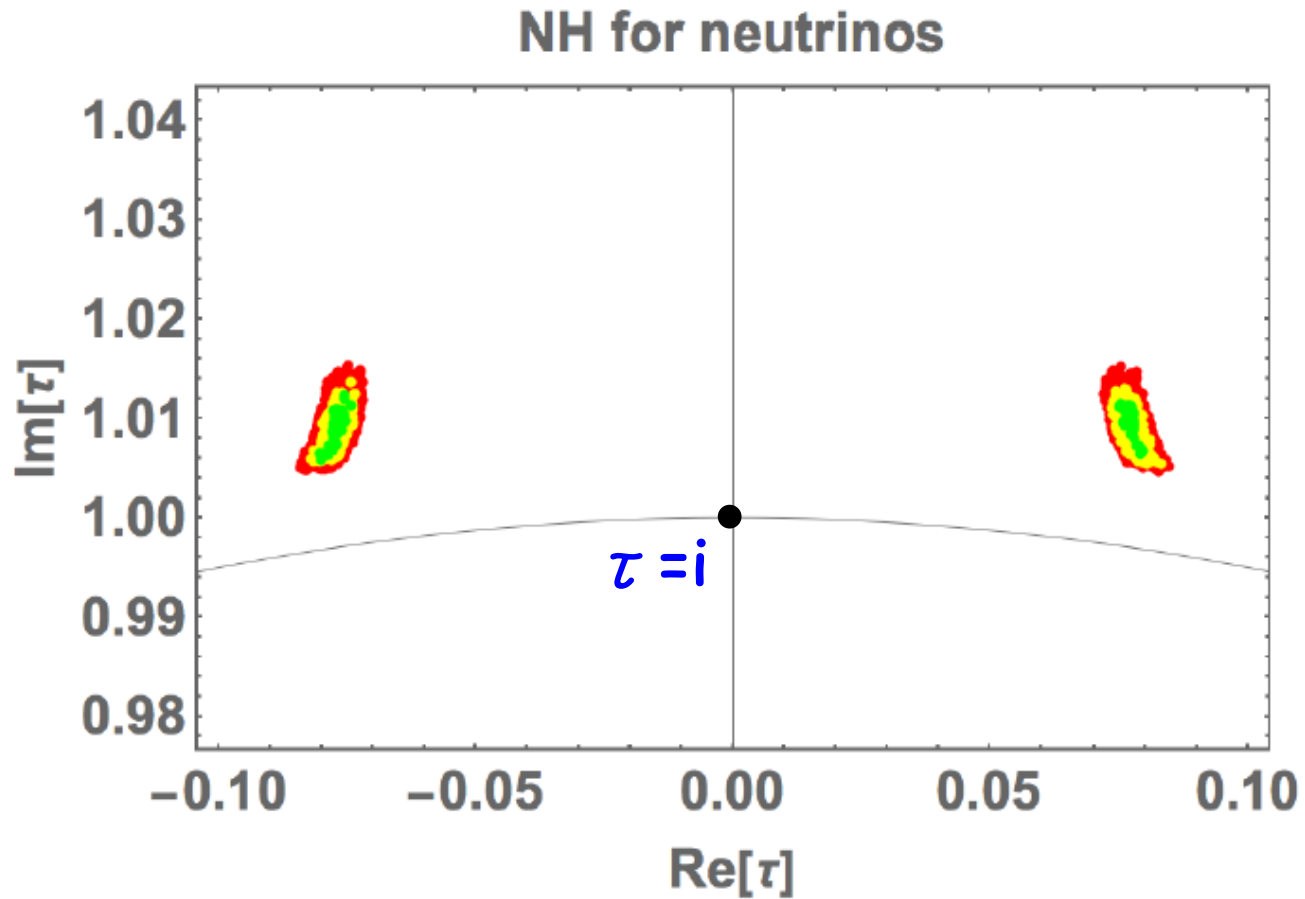
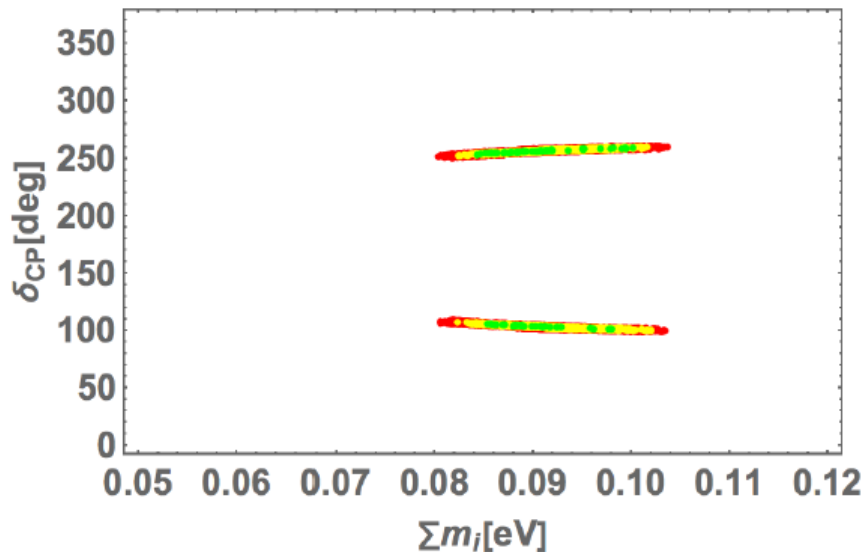


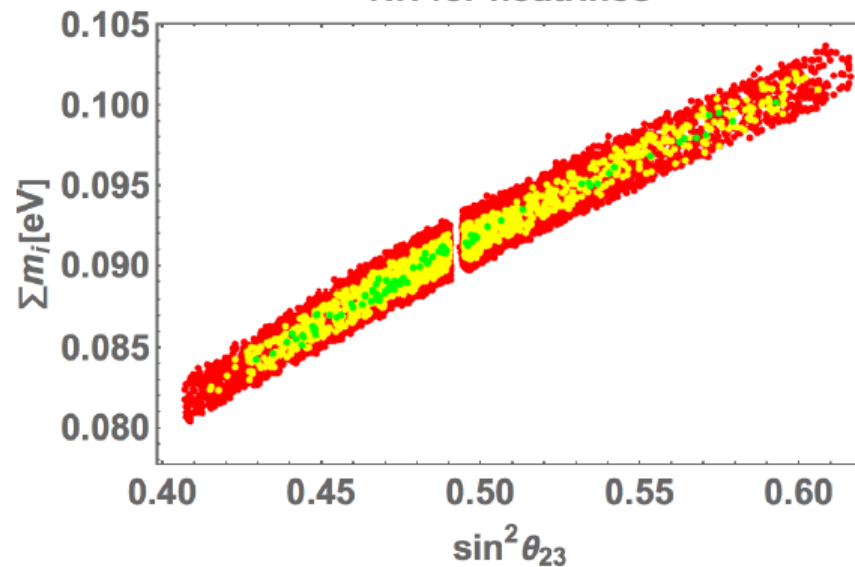
Figure 1: Allowed regions of  $\tau$  for NH. Green, yellow and red correspond to  $2\sigma$ ,  $3\sigma$ ,  $5\sigma$  confidence levels, respectively. The solid curve is the boundary of the fundamental domain,  $|\tau| = 1$ .

$\delta_{CP}$  is predicted clearly in  $[98^\circ, 110^\circ]$  and  $[250^\circ, 262^\circ]$  at  $3\sigma$  confidence level.

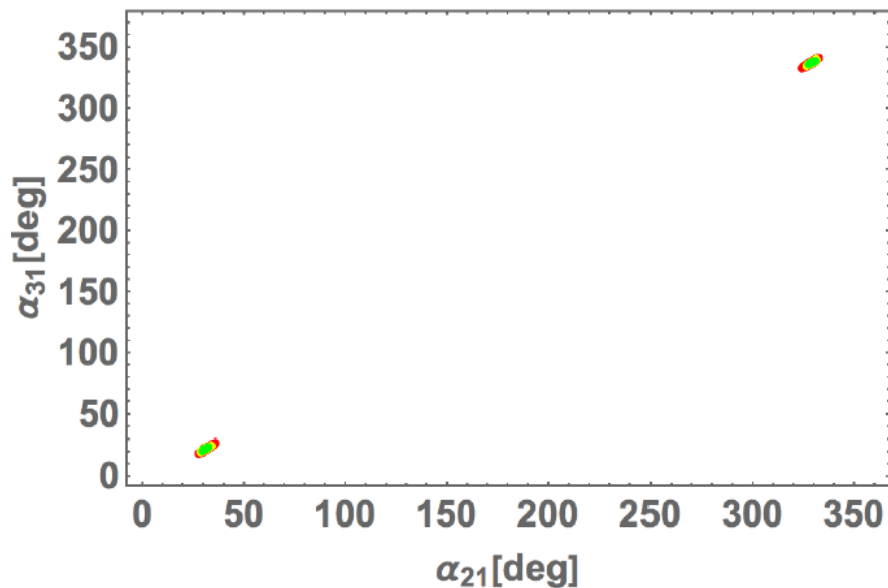
NH for neutrinos



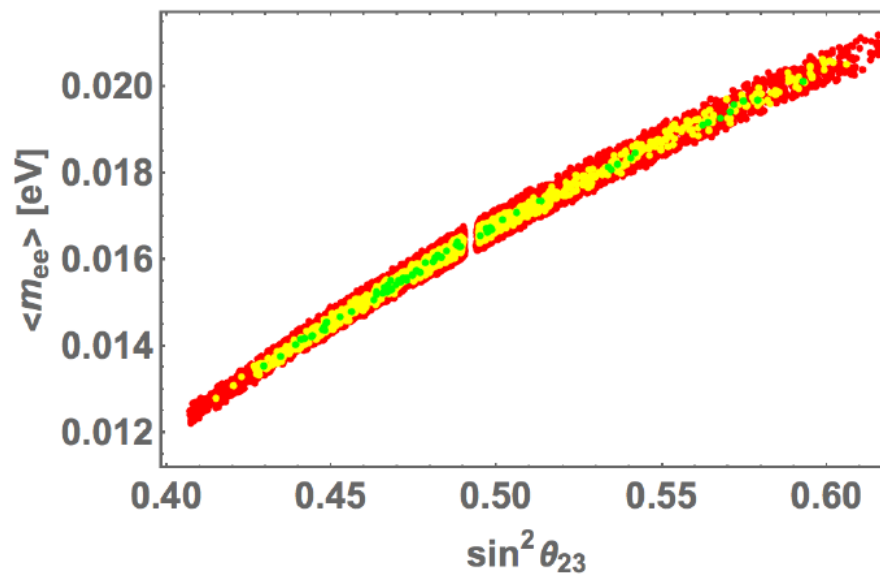
NH for neutrinos



NH for neutrinos



NH for neutrinos



# Modular Symmetry meets SM Effective Field Theory (SMEFT)

T. Kobayashi, H. Otsuka, Eur. Phys. J. C82 (2022) no.1, 25,  
arXiv:2108.02700

”On stringy origin of Minimum Flavor Violation”

Kikuchi, Kobayashi, Nasu, Otsuka, Takada, Uchida, arXiv:2203.14667

”Modular symmetry of soft SUSY breaking terms”

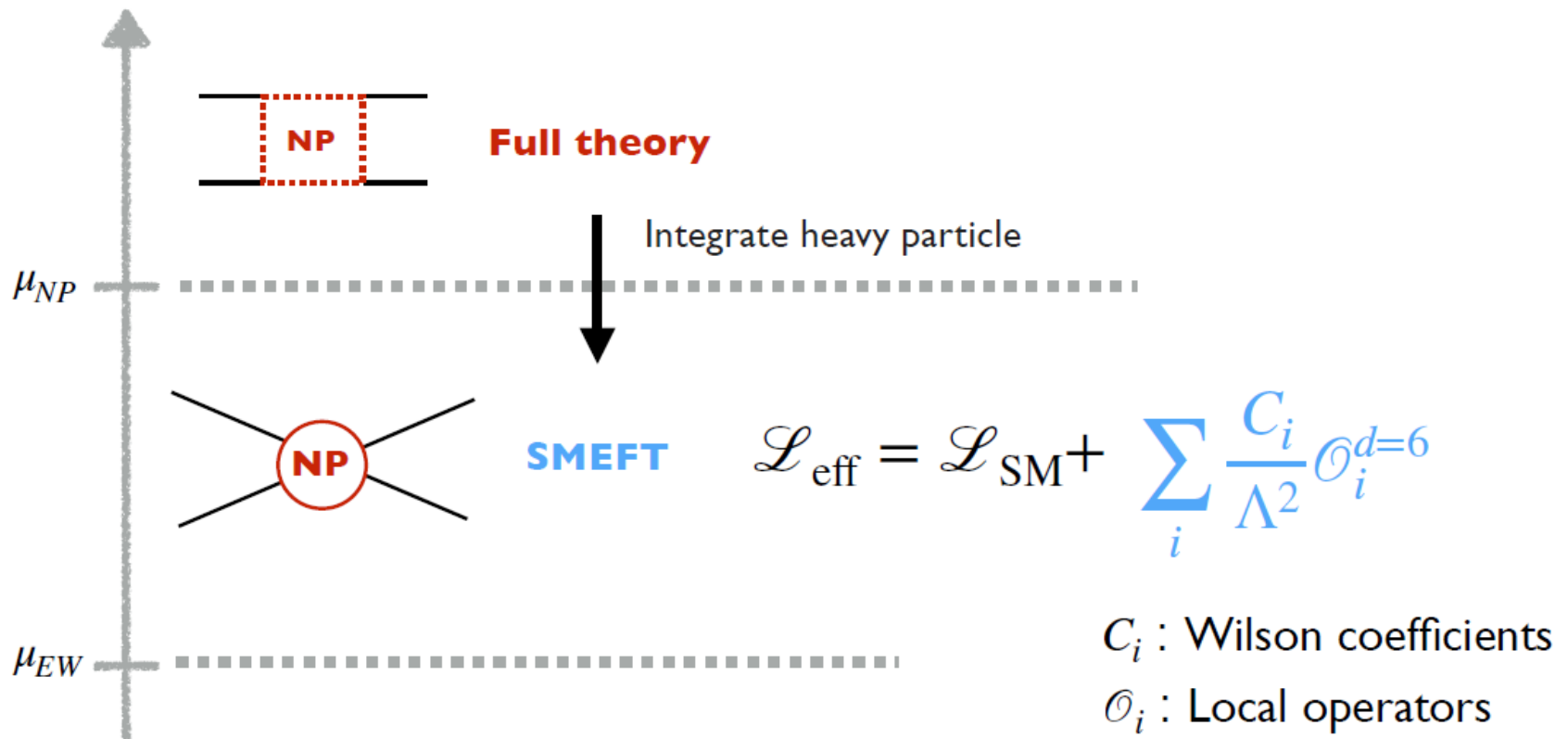
SUSY breaking terms are invariant (covariant) under modular transformation in moduli-mediated SUSY breaking scenario

We can consider modular invariant SMEFT  
by supposing modular forms to be spurion !

# SM Effective Field Theory (SMEFT)

B. Grzadkowski, M. Iskrzynski,  
M. Misiak and J. Rosiek  
[1008.4884]

- SMEFT is an effective theory based on  $SU(3)_c \times SU(2)_L \times U(1)_Y$  at scale  $\mu_{EW} < \mu < \mu_{NP}$



# 4 Dipole operators in modular symmetry

## Stringy Ansatz

String compactifications leads to 4-dim low energy field theories with the specific structure:

T. Kobayashi, H. Otsuka, Eur. Phys. J. C82 (2022) no.1, 25, arXiv:2108.02700

4-point coupling comes from three point coupling in superstring theory *Kobayashi and Otsuka [2108.02700]*

**m is virtual mode**  
**H, ...**

$$y_{ijkl}^{(4)} = \sum_m y_{ijm}^{(3)} y_{mkl}^{(3)}$$

$$\begin{array}{l} \rightarrow Q_{qq}^{(1)} \quad (\bar{q}_p \gamma_\mu q_r) (\bar{q}_s \gamma^\mu q_t) \\ Q_{lq}^{(1)} \quad (\bar{l}_p \gamma_\mu l_r) (\bar{q}_s \gamma^\mu q_t) \end{array}$$



# Our model : $A_4$ modular symmetry

## Assignments of weights

focus on charged-lepton sector (weight 2)

	$L_L$	$(e_R^c, \mu_R^c, \tau_R^c)$	$H_d$	<b>modular forms</b> $Y(\tau_q), Y(\tau_e)$
$SU(2)$	<b>2</b>	<b>1</b>	<b>2</b>	<b>1</b>
$A_4$	<b>3</b>	$(1, 1'', 1')$	<b>1</b>	<b>3</b>
$k$	2	$(0, 0, 0)$	0	2

$k_i$  : modular weights

$$\mathcal{L}_{\text{eff}} \supset Y(\tau)_{ij} \phi \bar{\psi}_i \psi_j \quad \text{modular invariant}$$

## Modular forms

The holomorphic and anti-holomorphic modular forms with weight 2 compose the  $A_4$  triplet

$$Y_3^{(2)}(\tau) = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix}, \quad \overline{Y_3^{(2)}(\tau)} \equiv Y_3^{(2)*}(\tau) = \begin{pmatrix} Y_1^*(\tau) \\ Y_3^*(\tau) \\ Y_2^*(\tau) \end{pmatrix}$$

$$[\bar{L}_R L_L] \longrightarrow [\bar{L}_R Y(\tau_q) L_L]$$

$$A_4: \quad \{1, 1'', 1'\} \otimes 3 \quad \{1, 1'', 1'\} \otimes 3 \otimes 3$$

$$k_l: \quad 0 \quad 2 \quad \quad \quad 0 \quad 2 \quad 2$$

# $(\bar{L}R)$ structure in the modular symmetry

$$\begin{aligned}
 [\bar{L}_R \otimes Y(\tau) \otimes L_L]_1 &= \alpha_e \bar{e}_R \otimes (Y_1 e_L + Y_2 \tau_L + Y_3 \mu_L)_1 + \beta_e \bar{\mu}_R \otimes (Y_2 \mu_L + Y_1 \tau_L + Y_3 e_L)_1 \\
 &\quad + \gamma_e \bar{\tau}_R \otimes (Y_3 \tau_L + Y_1 \mu_L + Y_2 e_L)_1 \\
 &= (\bar{e}_R, \bar{\mu}_R, \bar{\tau}_R) \begin{pmatrix} \alpha_e & 0 & 0 \\ 0 & \beta_e & 0 \\ 0 & 0 & \gamma_e \end{pmatrix} \begin{pmatrix} Y_1(\tau) & Y_3(\tau) & Y_2(\tau) \\ Y_2(\tau) & Y_1(\tau) & Y_3(\tau) \\ Y_3(\tau) & Y_2(\tau) & Y_1(\tau) \end{pmatrix} \begin{pmatrix} e_L \\ \mu_L \\ \tau_L \end{pmatrix}
 \end{aligned}$$

Same structure with mass matrix :

$$M_e = v_d \begin{pmatrix} \alpha_{e(m)} & 0 & 0 \\ 0 & \beta_{e(m)} & 0 \\ 0 & 0 & \gamma_{e(m)} \end{pmatrix} \begin{pmatrix} Y_1(\tau) & Y_3(\tau) & Y_2(\tau) \\ Y_2(\tau) & Y_1(\tau) & Y_3(\tau) \\ Y_3(\tau) & Y_2(\tau) & Y_1(\tau) \end{pmatrix}_{RL}$$

**Stringy Ansatz leads to**

$$\alpha_e = \kappa \alpha_{e(m)}, \quad \beta_e = \kappa \beta_{e(m)}, \quad \gamma_e = \kappa \gamma_{e(m)}$$

**if mode  $m$  is only Higgs.**

**Then, FC transition vanish in the mass basis.  $\mu \rightarrow e \gamma$  never happen !**

However, additional unknown modes (NP) causes flavor violations ( for example, **multi Higgs modes**).

Suppose unknown mode contribution being small and couplings are Higgs-like.

$$\alpha_e - \alpha_{e(m)} \ll \alpha_e, \quad \beta_e - \beta_{e(m)} \ll \beta_e, \quad \gamma_e - \gamma_{e(m)} \ll \gamma_e.$$

$$\begin{aligned} \frac{\tilde{\beta}_e}{\tilde{\beta}_{e(m)}} &= \frac{\tilde{\beta}_{e(m)} + c_\beta}{\tilde{\beta}_{e(m)}} = 1 + \frac{c_\beta}{\tilde{\beta}_{e(m)}} \equiv 1 + \delta_\beta, \\ \frac{\tilde{\alpha}_e}{\tilde{\alpha}_{e(m)}} &= \frac{\tilde{\alpha}_{e(m)} + c_\alpha}{\tilde{\alpha}_{e(m)}} = 1 + \frac{c_\alpha}{\tilde{\alpha}_{e(m)}} \equiv 1 + \delta_\alpha, \\ \frac{\tilde{\gamma}_e}{\tilde{\gamma}_{e(m)}} &= \frac{\tilde{\gamma}_{e(m)} + c_\gamma}{\tilde{\gamma}_{e(m)}} = 1 + \frac{c_\gamma}{\tilde{\gamma}_{e(m)}} \equiv 1 + \delta_\gamma, \end{aligned}$$

$\delta$ 's are very small.

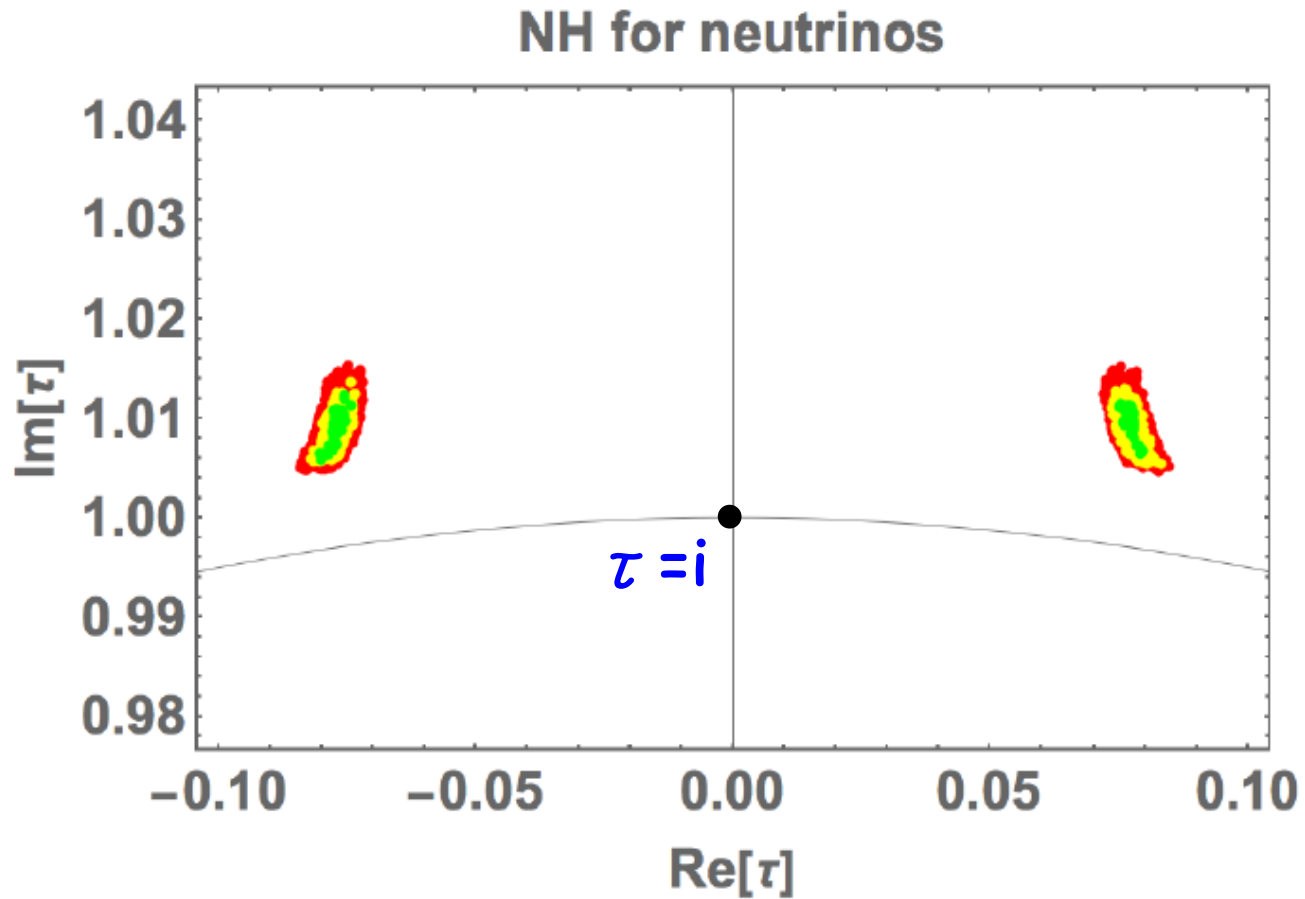


Figure 1: Allowed regions of  $\tau$  for NH. Green, yellow and red correspond to  $2\sigma$ ,  $3\sigma$ ,  $5\sigma$  confidence levels, respectively. The solid curve is the boundary of the fundamental domain,  $|\tau| = 1$ .

# Mass matrix of charged leptons at nearby $\tau = i$

$$M_e = v_d \begin{pmatrix} \alpha_{e(m)} & 0 & 0 \\ 0 & \beta_{e(m)} & 0 \\ 0 & 0 & \gamma_{e(m)} \end{pmatrix} \begin{pmatrix} Y_1(\tau) & Y_3(\tau) & Y_2(\tau) \\ Y_2(\tau) & Y_1(\tau) & Y_3(\tau) \\ Y_3(\tau) & Y_2(\tau) & Y_1(\tau) \end{pmatrix}_{RL}$$

$$Y(\tau_e = i) = Y_1(i) \begin{pmatrix} 1 \\ 1 - \sqrt{3} \\ -2 + \sqrt{3} \end{pmatrix}$$

$$\tau = i + \epsilon$$

$$\epsilon_1 = \frac{1}{2} \epsilon_2 = 2.05 i \epsilon$$

$$\frac{Y_2(\tau)}{Y_1(\tau)} \simeq (1 + \epsilon_1) (1 - \sqrt{3})$$

$$\frac{Y_3(\tau)}{Y_1(\tau)} \simeq (1 + \epsilon_2) (-2 + \sqrt{3})$$

## Move to mass eigenstate

$$\begin{aligned}
 E_L &\rightarrow E_L^m \equiv U_{Lme}^\dagger U_S E_L, & \bar{E}_L &\rightarrow \bar{E}_L^m \equiv \bar{E}_L U_S^T U_{Lme}, \\
 E_R &\rightarrow E_R^m \equiv U_{Rme}^\dagger U_{12}^T E_R, & \bar{E}_R &\rightarrow \bar{E}_R^m \equiv \bar{E}_R U_{12} U_{Rme},
 \end{aligned}$$

$$U_S = \frac{1}{2\sqrt{3}} \begin{pmatrix} 2 & 2 & 2 \\ \sqrt{3}+1 & -2 & \sqrt{3}-1 \\ \sqrt{3}-1 & -2 & \sqrt{3}+1 \end{pmatrix}, \quad U_{12} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$U_{Lme} \simeq P_e^* \begin{pmatrix} 1 & s_{L12}^e & s_{L13}^e \\ -s_{L12}^e & 1 & s_{L23}^e \\ s_{L12}^e s_{L23}^e - s_{L13}^e & -s_{L23}^e & 1 \end{pmatrix}, \quad U_{Rme} \simeq \begin{pmatrix} 1 & s_{R12}^e & s_{R13}^e \\ -s_{R12}^e & 1 & s_{R23}^e \\ s_{R12}^e s_{R23}^e - s_{R13}^e & -s_{R23}^e & 1 \end{pmatrix}$$

$$P_e = \begin{pmatrix} e^{i\eta_e} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \eta_e = \arg \epsilon_1.$$

$\bar{\mu}_R \Gamma \tau_L$ $\bar{\mu}_L \Gamma \tau_R$	$\bar{e}_R \Gamma \tau_L$ $\bar{e}_L \Gamma \tau_R$	$\bar{e}_R \Gamma \mu_L$ $\bar{e}_L \Gamma \mu_R$
$\frac{\sqrt{3}}{2}(\tilde{\alpha}_e + 2s_{R23}^e \tilde{\gamma}_e)$ $(\sqrt{3}s_{23L}^e + s_{12L}^e  \epsilon_1^* ) \tilde{\gamma}_e - \frac{3}{2}s_{R23}^e \tilde{\alpha}_e$	$\frac{\sqrt{3}}{2}(\tilde{\beta}_e - s_{12R}^e \tilde{\alpha}_e + 2(s_{R13}^e - s_{R12}^e s_{R23}^e) \tilde{\gamma}_e)$ $(\sqrt{3}s_{13L}^e +  \epsilon_1^* ) \tilde{\gamma}_e$	$\frac{3}{2}(\tilde{\beta}_e + s_{12R}^e \tilde{\alpha}_e)$ $\frac{1}{2}(3s_{12L}^e - \sqrt{3}s_{13L}^e + 2 \epsilon_1^* ) \tilde{\alpha}_e$

$$\frac{\sqrt{3}}{2}(\tilde{\alpha}_e - \tilde{\alpha}_{e(m)})$$

A common overall factor  $(1 - \sqrt{3})$  is omitted

$$s_{L12}^e \simeq -|\epsilon_1^*|, \quad s_{L23}^e \simeq -\frac{\sqrt{3} \tilde{\alpha}_{e(m)}^2}{4 \tilde{\gamma}_{e(m)}^2}, \quad s_{L13}^e \simeq -\frac{\sqrt{3}}{3} |\epsilon_1^*|,$$

$$s_{R12}^e \simeq -\frac{\tilde{\beta}_{e(m)}}{\tilde{\alpha}_{e(m)}}, \quad s_{R23}^e \simeq -\frac{1 \tilde{\alpha}_{e(m)}}{2 \tilde{\gamma}_{e(m)}}, \quad s_{R13}^e \simeq -\frac{1 \tilde{\beta}_{e(m)}}{2 \tilde{\gamma}_{e(m)}}$$

$$\tilde{\alpha}_{e(m)} = (6 - 3\sqrt{3})Y_1(i)\alpha_{e(m)}, \quad \tilde{\beta}_{e(m)} = (6 - 3\sqrt{3})Y_1(i)\beta_{e(m)} \quad \text{and} \quad \tilde{\gamma}_{e(m)} = (6 - 3\sqrt{3})Y_1(i)\gamma_{e(m)}.$$

$$\tau = -0.080 + 1.007i, \quad |\epsilon_1| = 0.165, \quad \frac{\tilde{\alpha}_{e(m)}}{\tilde{\gamma}_{e(m)}} \simeq \frac{\tilde{\alpha}_e}{\tilde{\gamma}_e} = 6.82 \times 10^{-2}, \quad \frac{\tilde{\beta}_{e(m)}}{\tilde{\alpha}_{e(m)}} \simeq \frac{\tilde{\beta}_e}{\tilde{\alpha}_e} = 1.50 \times 10^{-2}.$$

### Best fit values of parameters in $A_4$ modular invariant model

# Dipole operators in mass basis

$$\mathcal{O}_{LR}^{e\gamma} = \frac{v}{\sqrt{2}} \bar{E}_L \sigma^{\mu\nu} E_R F_{\mu\nu}, \quad \mathcal{C}'_{LR}{}^{e\gamma} = \begin{pmatrix} \mathcal{C}'_{ee}{}^{e\gamma} & \mathcal{C}'_{e\mu}{}^{e\gamma} & \mathcal{C}'_{e\tau}{}^{e\gamma} \\ \mathcal{C}'_{\mu e}{}^{e\gamma} & \mathcal{C}'_{\mu\mu}{}^{e\gamma} & \mathcal{C}'_{\mu\tau}{}^{e\gamma} \\ \mathcal{C}'_{\tau e}{}^{e\gamma} & \mathcal{C}'_{\tau\mu}{}^{e\gamma} & \mathcal{C}'_{\tau\tau}{}^{e\gamma} \end{pmatrix}$$

$$\mathcal{O}_{RL}^{e\gamma} = \frac{v}{\sqrt{2}} \bar{E}_R \sigma^{\mu\nu} E_L F_{\mu\nu}, \quad \mathcal{C}'_{RL}{}^{e\gamma} = \mathcal{C}'_{LR}{}^{e\gamma\dagger}$$

4-point couplings LRHW(B)

$$\mathcal{L}_{\text{dipole}} = \frac{1}{\Lambda^2} \left( \mathcal{C}'_{LR}{}^{e\gamma} \mathcal{O}_{LR}^{e\gamma} + \mathcal{C}'_{RL}{}^{e\gamma} \mathcal{O}_{RL}^{e\gamma} \right)$$



$$C'_{\tau\mu}{}^{e\gamma} = \frac{\sqrt{3}}{2}(1 - \sqrt{3})\tilde{\alpha}_e \left( 1 - \frac{\tilde{\gamma}_e}{\tilde{\gamma}_{e(m)}} \frac{\tilde{\alpha}_{e(m)}}{\tilde{\alpha}_e} \right),$$

$$C'_{\tau e}{}^{e\gamma} = \frac{\sqrt{3}}{2}(1 - \sqrt{3})\tilde{\beta}_e \left( 1 + \frac{\tilde{\alpha}_e}{\tilde{\alpha}_{e(m)}} \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_e} - 2 \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_e} \frac{\tilde{\gamma}_e}{\tilde{\gamma}_{e(m)}} \right),$$

$$C'_{\mu e}{}^{e\gamma} = \frac{3}{2}(1 - \sqrt{3})\tilde{\beta}_e \left( 1 - \frac{\tilde{\alpha}_e}{\tilde{\alpha}_{e(m)}} \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_e} \right),$$

**Absolute values of Wilson coefficients is unknown unless NP is specified.**

**Diagonal elements are given as:**

$$C'_{ee}{}^{e\gamma} = 3(1 - \sqrt{3})\tilde{\beta}_e |\epsilon_1^*|, \quad C'_{\mu\mu}{}^{e\gamma} = \frac{3}{2}(1 - \sqrt{3})\tilde{\alpha}_e, \quad C'_{\tau\tau}{}^{e\gamma} = \sqrt{3}(1 - \sqrt{3})\tilde{\gamma}_e$$

# 5 Flavor structure of Dipole operators

## Experimental constraints of Dipole operators

G. Isidori, J. Pages and F. Wilsch, JHEP03(2022) , arXiv:2111.13724

**muon (g-2)**

$$\Delta a_\mu = a_\mu^{\text{Exp}} - a_\mu^{\text{SM}} = (251 \pm 59) \times 10^{-11}$$

$$\Delta a_\mu = \frac{4m_\mu}{e} \frac{v}{\sqrt{2}} \frac{1}{\Lambda^2} \text{Re} [C'_{e\gamma}_{\mu\mu}] \quad \rightarrow \quad \frac{1}{\Lambda^2} \text{Re} [C'_{e\gamma}_{\mu\mu}] \approx 1.0 \times 10^{-5} \text{ TeV}^{-2}$$

**$\mu \rightarrow e \gamma$**

$$\mathcal{B}(\mu^+ \rightarrow e^+ \gamma) < 4.2 \times 10^{-13} \text{ (90\% C.L.)}$$

$$\mathcal{B}(l_r \rightarrow l_s \gamma) = \frac{m_{l_r}^3 v^2}{8\pi \Gamma_{l_r}} \frac{1}{\Lambda^4} \left( |C'_{e\gamma}_{rs}|^2 + |C'_{e\gamma}_{sr}|^2 \right) \quad \rightarrow \quad \frac{1}{\Lambda^2} |C'_{e\gamma}_{e\mu(\mu e)}| < 2.1 \times 10^{-10} \text{ TeV}^{-2}$$

$$\left| \frac{C'_{e\gamma}_{e\mu(\mu e)}}{C'_{e\gamma}_{\mu\mu}} \right| < 2.1 \times 10^{-5}$$

# muon and electron (g-2)

$$C'_{e\gamma_{ee}} = 3(1 - \sqrt{3})\tilde{\beta}_e|\epsilon_1^*|, \quad C'_{e\gamma_{\mu\mu}} = \frac{3}{2}(1 - \sqrt{3})\tilde{\alpha}_e, \quad C'_{e\gamma_{\tau\tau}} = \sqrt{3}(1 - \sqrt{3})\tilde{\gamma}_e$$

$$\frac{C'_{e\gamma_{ee}}}{C'_{e\gamma_{\mu\mu}}} = 2\frac{\tilde{\beta}_e}{\tilde{\alpha}_e}|\epsilon_1^*| \simeq 4.9 \times 10^{-3},$$

$$\frac{C'_{e\gamma_{\mu\mu}}}{C'_{e\gamma_{\tau\tau}}} = \frac{\sqrt{3}\tilde{\alpha}_e}{2\tilde{\gamma}_e} \simeq 5.9 \times 10^{-2},$$

$$\Delta a_e = \frac{4m_e}{e} \frac{v}{\sqrt{2}} \frac{1}{\Lambda^2} \text{Re}[C'_{e\gamma_{ee}}] \simeq 5.8 \times 10^{-14}$$

This result is agreement with the naive mass scaling  $\Delta a_\ell \propto m_\ell^2$

observations

$$\Delta a_e^{Cs} = a_e^{\text{Exp}} - a_e^{\text{SM,Cs}} = (-8.8 \pm 3.6) \times 10^{-13},$$

$$\Delta a_e^{Rb} = a_e^{\text{Exp}} - a_e^{\text{SM,Rb}} = (4.8 \pm 3.0) \times 10^{-13}.$$

**Wait for future measurements !**

# muon (g-2) versus $\mu \rightarrow e \gamma$

G. Isidori et al.

$$\left| \frac{C'_{e\gamma}_{e\mu}}{C'_{e\gamma}_{\mu\mu}} \right| = \frac{\tilde{\beta}_e}{\tilde{\alpha}_e} \left| 1 - \frac{\tilde{\alpha}_e}{\tilde{\alpha}_{e(m)}} \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_e} \right| < 2.1 \times 10^{-5}$$

$$\left| 1 - \frac{\tilde{\alpha}_e}{\tilde{\alpha}_{e(m)}} \frac{\tilde{\beta}_{e(m)}}{\tilde{\beta}_e} \right| \simeq |\delta_\beta - \delta_\alpha| < 1.4 \times 10^{-3}$$

$$\begin{aligned} \frac{\tilde{\beta}_e}{\tilde{\beta}_{e(m)}} &= \frac{\tilde{\beta}_{e(m)} + c_\beta}{\tilde{\beta}_{e(m)}} = 1 + \frac{c_\beta}{\tilde{\beta}_{e(m)}} \equiv 1 + \delta_\beta, \\ \frac{\tilde{\alpha}_e}{\tilde{\alpha}_{e(m)}} &= \frac{\tilde{\alpha}_{e(m)} + c_\alpha}{\tilde{\alpha}_{e(m)}} = 1 + \frac{c_\alpha}{\tilde{\alpha}_{e(m)}} \equiv 1 + \delta_\alpha, \\ \frac{\tilde{\gamma}_e}{\tilde{\gamma}_{e(m)}} &= \frac{\tilde{\gamma}_{e(m)} + c_\gamma}{\tilde{\gamma}_{e(m)}} = 1 + \frac{c_\gamma}{\tilde{\gamma}_{e(m)}} \equiv 1 + \delta_\gamma, \end{aligned}$$

# $\tau \rightarrow \mu \gamma$ and $\tau \rightarrow e \gamma$

$$\frac{C'_{\tau e}}{C'_{\mu e}} = \frac{1}{\sqrt{3}} \times \mathcal{O}(1),$$

$$\frac{C'_{\tau e}}{C'_{\tau \mu}} = \frac{\tilde{\beta}_e}{\tilde{\alpha}_e} \times \mathcal{O}(1) \sim 10^{-2}$$

$$\mathcal{B}(\tau \rightarrow \mu \gamma) : \mathcal{B}(\tau \rightarrow e \gamma) : \mathcal{B}(\mu \rightarrow e \gamma) \sim 10^4 : 1 : 10.$$

## Present experimental upper bounds

$$\mathcal{B}(\tau \rightarrow e \gamma) \text{ and } \mathcal{B}(\tau \rightarrow \mu \gamma) \text{ are } 3.3 \times 10^{-8} \text{ and } 4.4 \times 10^{-8}$$

# 6 Summary

## Modular flavor symmetry meets SMEFT.

We need more studies of SMEFT with modular symmetry.

We should check whether our results is model dependent?

other models with  $S_4, A_5 \dots$

Approach to other flavor phenomena

in the quark sector  $b \rightarrow s \gamma \dots$

**Back up slides**

Predictions at  $U(2)$  case

$$\text{BR}(\tau \rightarrow \mu\gamma) \gg \text{BR}(\mu \rightarrow e\gamma) \gg \text{BR}(\tau \rightarrow e\gamma)$$

Different prediction

Predictions at Modular  $\tau = i$  case

$$\text{BR}(\tau \rightarrow \mu\gamma) \gg \text{BR}(\mu \rightarrow e\gamma) \sim \text{BR}(\tau \rightarrow e\gamma)$$



# U(2) prediction of Lepton Flavor Violation

	$\mu \rightarrow e\gamma$	$\tau \rightarrow \mu\gamma$	$\tau \rightarrow e\gamma$
$\bar{R}L$	$(\rho_1 s_e \delta'_e)^* [\bar{e}_R \sigma^{\mu\nu} \mu_L]$	$(\sigma_1 \epsilon_\ell \delta_e)^* [\bar{\mu}_R \sigma^{\mu\nu} \tau_L]$	$(\sigma_1 \epsilon_\ell s_e \delta'_e)^* [\bar{e}_R \sigma^{\mu\nu} \tau_L]$
$\bar{L}R$	$-\rho_1 s_e \delta_e [\bar{e}_L \sigma^{\mu\nu} \mu_R]$	$\beta_1 \epsilon_\ell [\bar{\mu}_L \sigma^{\mu\nu} \tau_R]$	-

Spurion order count

$$1 > \epsilon_i > \delta_i > \delta'_i > 0$$

Predictions at U(2) case

$$\text{BR}(\tau \rightarrow \mu\gamma) \gg \text{BR}(\mu \rightarrow e\gamma) \gg \text{BR}(\tau \rightarrow e\gamma)$$

# EDM of electron

$$|d_e/e| \lesssim 1.1 \times 10^{-29} \text{ cm} = 5.6 \times 10^{-13} \text{ TeV}^{-1}$$

$$\mathcal{O}_{\text{edm}} = -\frac{i}{2} d_e(\mu) \bar{e} \sigma^{\mu\nu} \gamma_5 e F_{\mu\nu},$$

$$\mathcal{L}_{\text{EDM}} = \frac{1}{\Lambda^2} C'_{ee} \mathcal{O}_{LR}^{e\gamma} = \frac{1}{\Lambda^2} C'_{ee} \frac{v}{\sqrt{2}} \bar{e}_L \sigma^{\mu\nu} e_R F_{\mu\nu}:$$

$$d_e = -\sqrt{2} \frac{v}{\Lambda^2} \text{Im} [C'_{ee}]$$

## Experimental constraint from electron EDM

$$\frac{1}{\Lambda^2} \text{Im} [\mathcal{C}'_{ee}] < 1.6 \times 10^{-12} \text{ TeV}^{-2}$$

**(g-2) gives**  $\frac{\text{Im} [\mathcal{C}'_{ee}]}{\text{Re} [\mathcal{C}'_{ee}]} \simeq (\text{Im} \delta_\beta) < \frac{1.6 \times 10^{-12}}{4.9 \times 10^{-8}} = 3.3 \times 10^{-5}$

Since  $\mathcal{C}'_{ee} = 3(1 - \sqrt{3})\tilde{\beta}_e |\epsilon_1^*| = 3(1 - \sqrt{3})\tilde{\beta}_{e(m)}(1 + \delta_\beta) |\epsilon_1^*|$

We get  $\text{Im} [\mathcal{C}'_{ee}] \simeq 3(1 - \sqrt{3})\tilde{\beta}_{e(m)} (\text{Im} \delta_\beta) |\epsilon_1^*|$ ,  $\mathcal{C}'_{\mu e} \simeq \frac{3}{2}(1 - \sqrt{3})\tilde{\beta}_{e(m)}(\delta_\beta - \delta_\alpha)$ .

Then, we have prediction

$$\mathcal{B}(\mu^+ \rightarrow e^+ \gamma) < 2.3 \times 10^{-16}.$$

$$\begin{aligned}
 & \overset{\nu_L}{\left( \begin{array}{c} a_1 \\ a_2 \\ a_3 \end{array} \right)}_{\mathbf{3}} \otimes \overset{\nu_R}{\left( \begin{array}{c} b_1 \\ b_2 \\ b_3 \end{array} \right)}_{\mathbf{3}} = (a_1b_1 + a_2b_3 + a_3b_2)_{\mathbf{1}} \oplus (a_3b_3 + a_1b_2 + a_2b_1)_{\mathbf{1}'} \\
 & \oplus (a_2b_2 + a_1b_3 + a_3b_1)_{\mathbf{1}''} \\
 & \oplus \frac{1}{3} \left( \begin{array}{c} 2a_1b_1 - a_2b_3 - a_3b_2 \\ 2a_3b_3 - a_1b_2 - a_2b_1 \\ 2a_2b_2 - a_1b_3 - a_3b_1 \end{array} \right)_{\mathbf{3}} \oplus \frac{1}{2} \left( \begin{array}{c} a_2b_3 - a_3b_2 \\ a_1b_2 - a_2b_1 \\ a_1b_3 - a_3b_1 \end{array} \right)_{\mathbf{3}}. \\
 & \text{symmetric} \times \mathbf{3}_Y \qquad \text{anti-symmetric} \times \mathbf{3}_Y
 \end{aligned}$$