

# CMS Results on $H(\text{inv})$ Decays: VBF Channel

Alp Akpinar

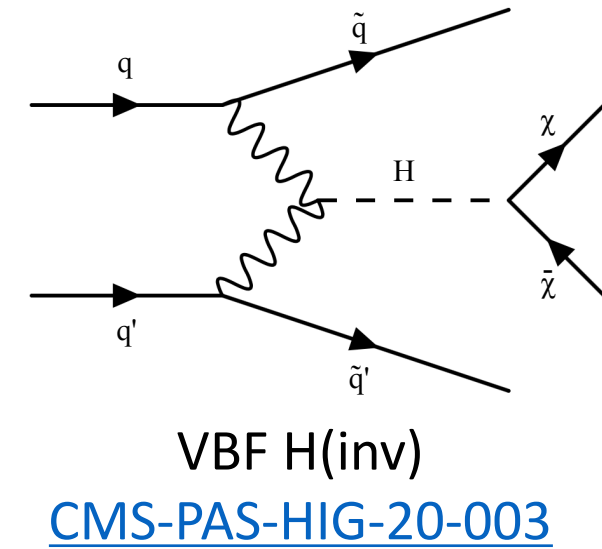
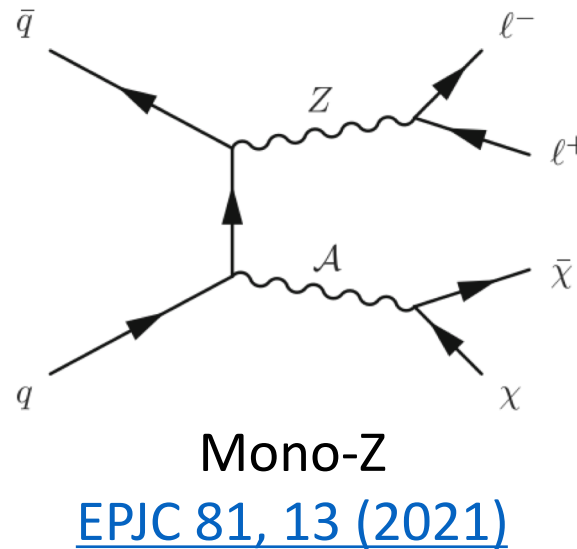
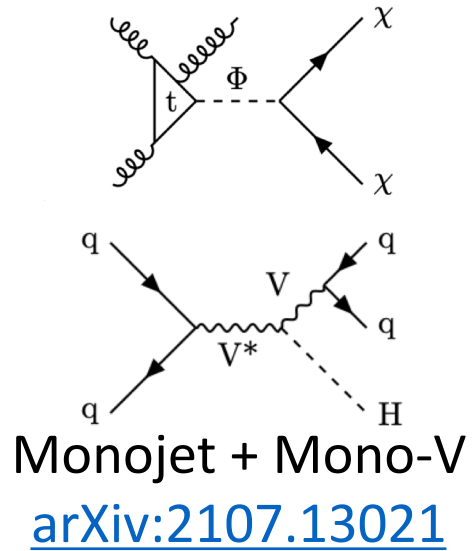
Boston University

DMWG Workshop

November 30, 2021

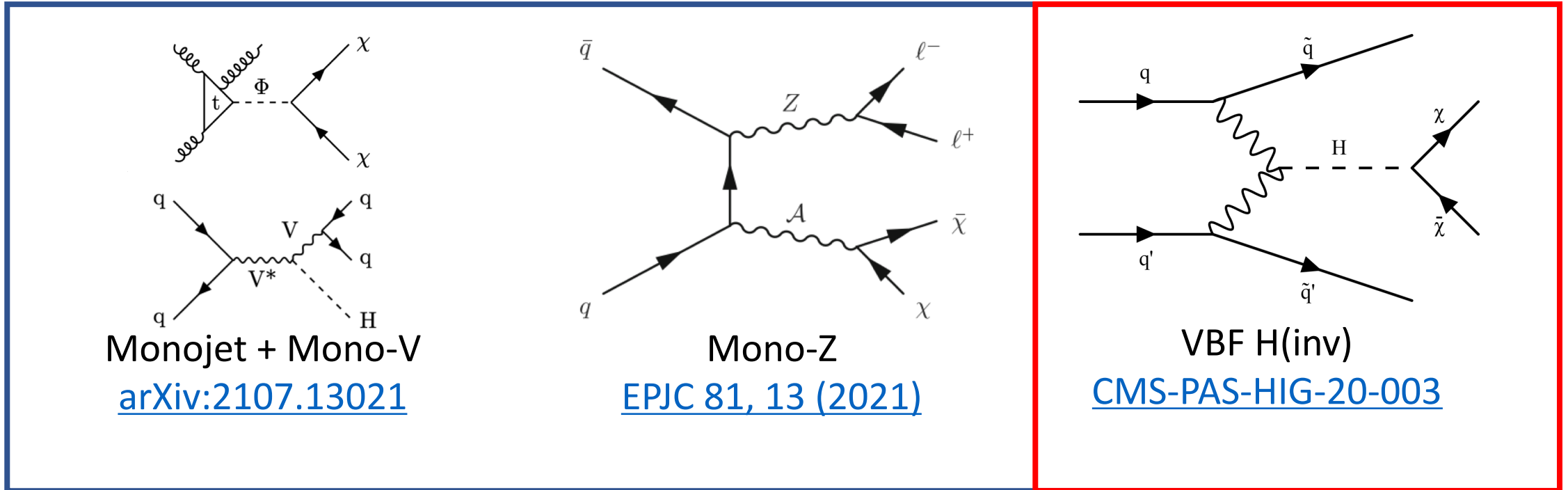
# Overall: H(inv) Searches in CMS

$H \rightarrow inv$  searches with public Run2 results in CMS so far:



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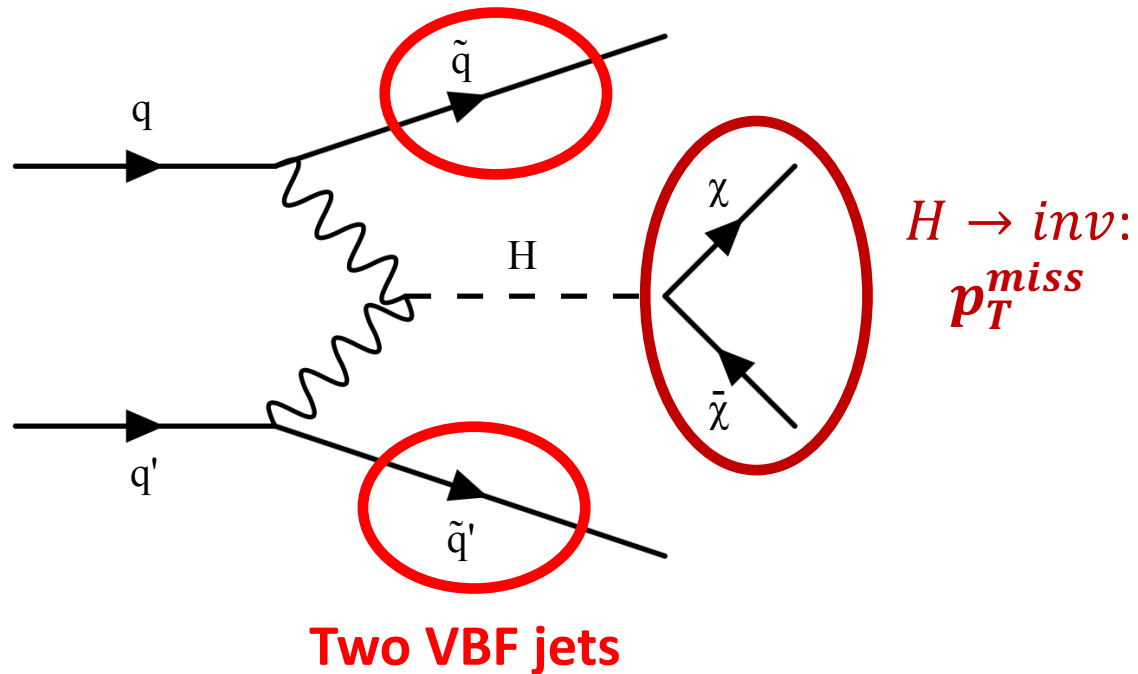
*Will focus on this channel in these slides*

# **VBF H(inv): Analysis Strategy**

# VBF H(inv): Strategy

Topology:

2 jets with large  $m_{jj}, \Delta\eta_{jj} + p_T^{miss}$



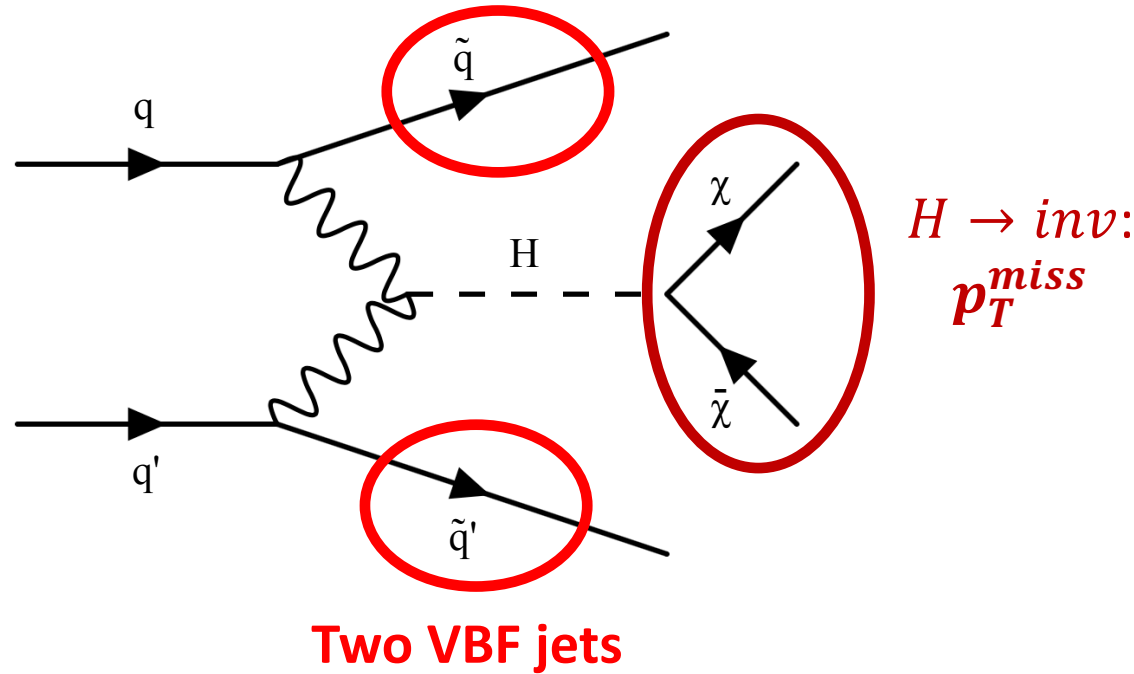
No leptons, photons, b-jets in the final state

# VBF H(inv): Strategy

EWK V: Smaller XS, but looks more like signal!

**Topology:**

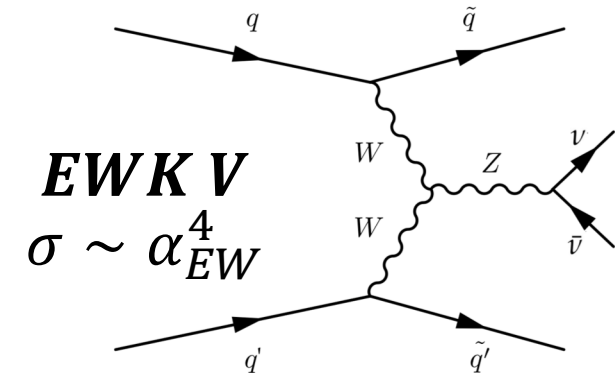
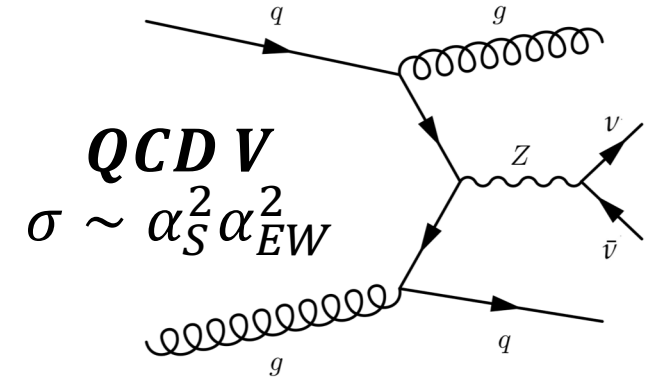
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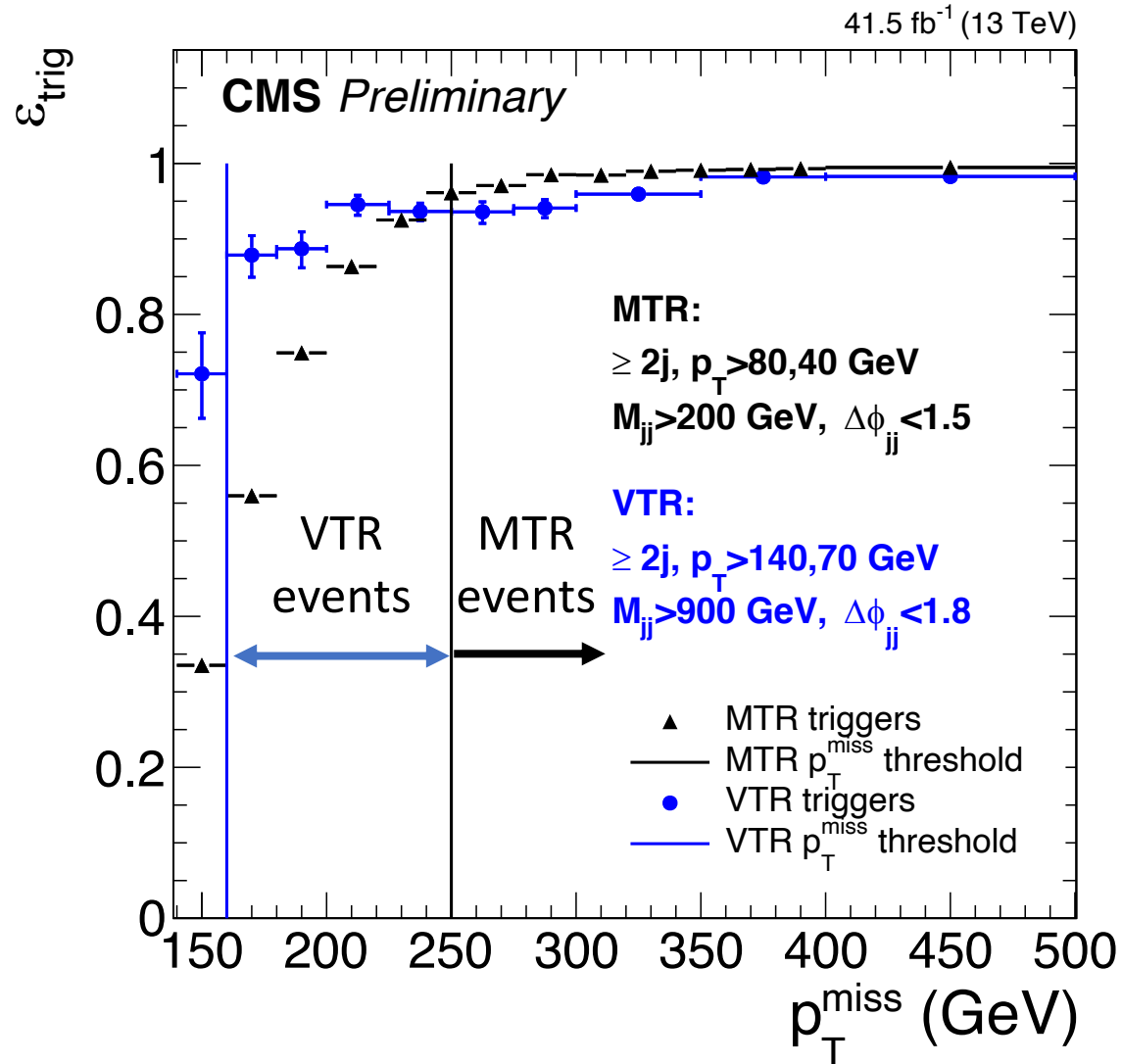
No leptons, photons, b-jets in the final state

**Dominating backgrounds:**

$V + 2 jets$



# Event Selection (Online)



Two sets of triggers are used for two data taking categories:

- **MTR:**  $p_T^{\text{miss}}$  triggered category, target  $p_T^{\text{miss}} > 250 \text{ GeV}$
- **VTR (new in 17+18):** VBF triggered category, target events @ lower  $p_T^{\text{miss}}$

VBF triggers additionally cut on:

Online jet  $p_T, m_{jj}$

→ VTR improves the sensitivity by  $\approx 8\%$

# Event Selection (Offline)

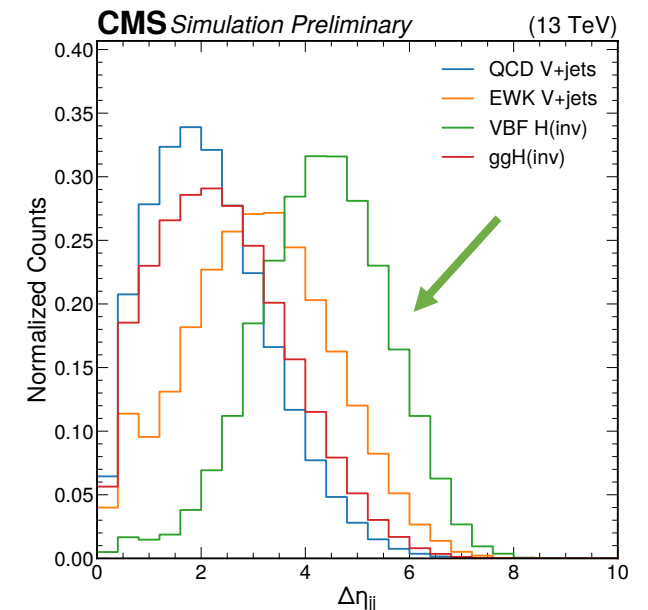
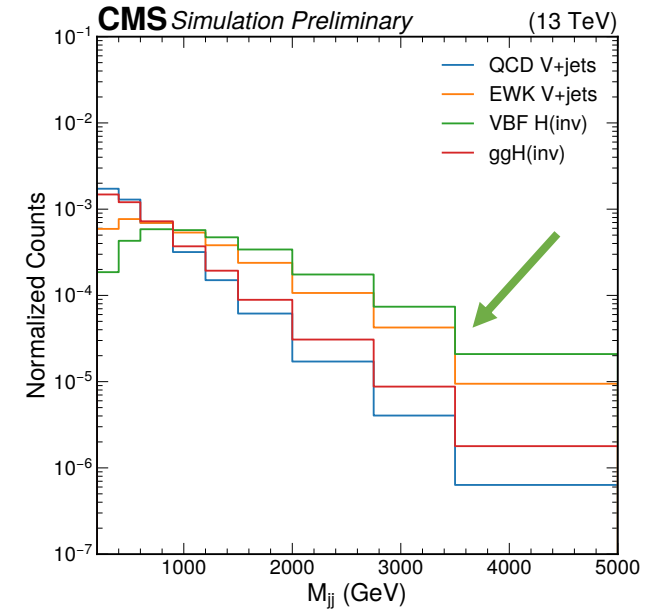
Distinguishing properties of the **VBF H(inv)** signal:

Two VBF jets have  $\rightarrow$  Large  $m_{jj}$  &  $\Delta\eta_{jj}$

Select events with di-jet +  $p_T^{miss}$  with:

	MTR	VTR
Jet $p_T$	$> 80, 40 \text{ GeV}$	$> 140, 70 \text{ GeV}$
$m_{jj}$	$> 200 \text{ GeV}$	$> 900 \text{ GeV}$
$\Delta\eta_{jj}$	$> 1.0$	$> 1.0$
$\Delta\phi_{jj}$	$< 1.5 \text{ rad}$	$< 1.8 \text{ rad}$
$p_T^{miss}$	$> 250 \text{ GeV}$	$[160, 250) \text{ GeV}$
$\min\Delta\phi(j, p_T^{miss})$	$> 0.5 \text{ rad}$	$> 1.8 \text{ rad}$

$\swarrow$   
Suppress multijet  
events





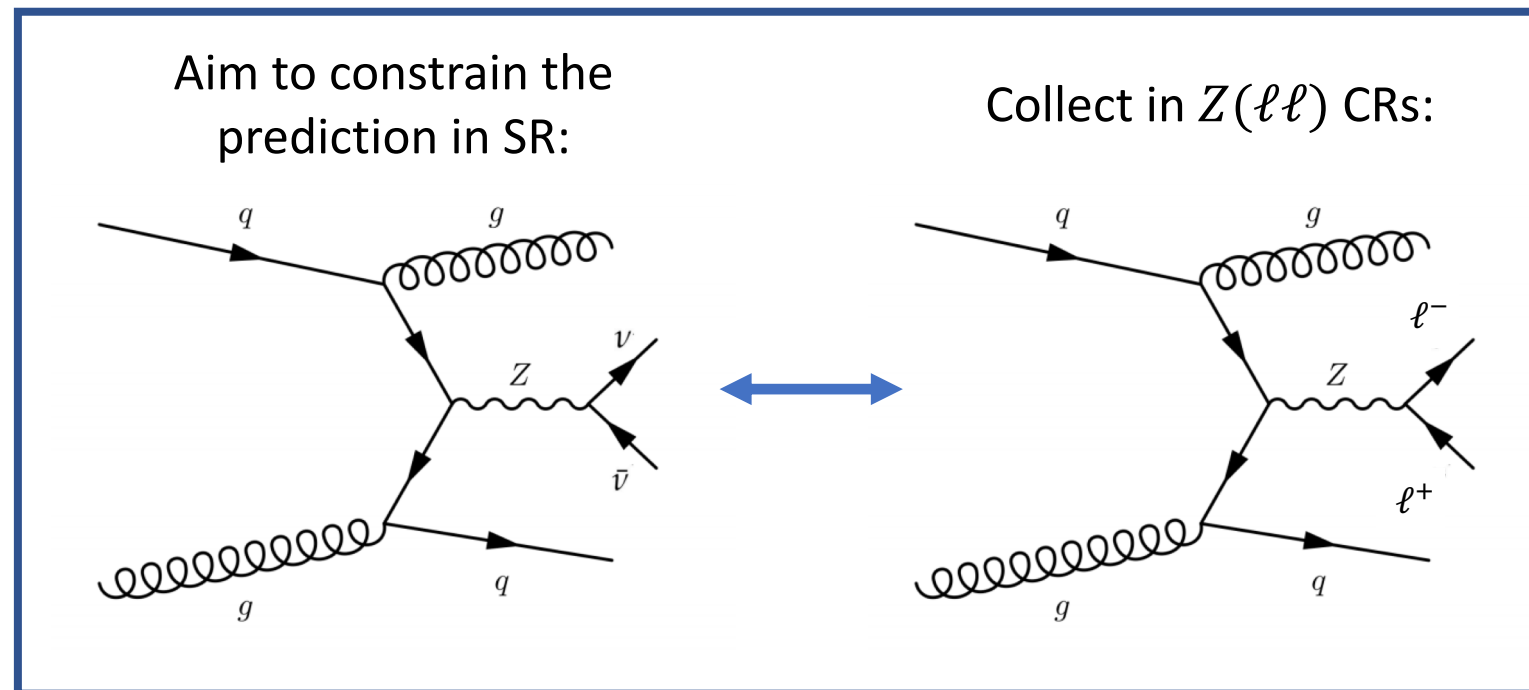
# Background Estimation (V+Jets)

In CRs:  $p_T^{miss} \rightarrow p_{T,no-\ell}^{miss}$

Define  $V + jets$  **control regions (CR)** to model  $V + jets$  backgrounds in **signal region (SR)**

Identical VBF kinematic selection together with:

**Dilepton CRs:**  $Z(\rightarrow \ell\ell) + jets \rightarrow 2$  oppositely signed  $ee/\mu\mu$  with  $|M_{\ell\ell} - M_Z| < 30 \text{ GeV}$



# Background Estimation (V+Jets)

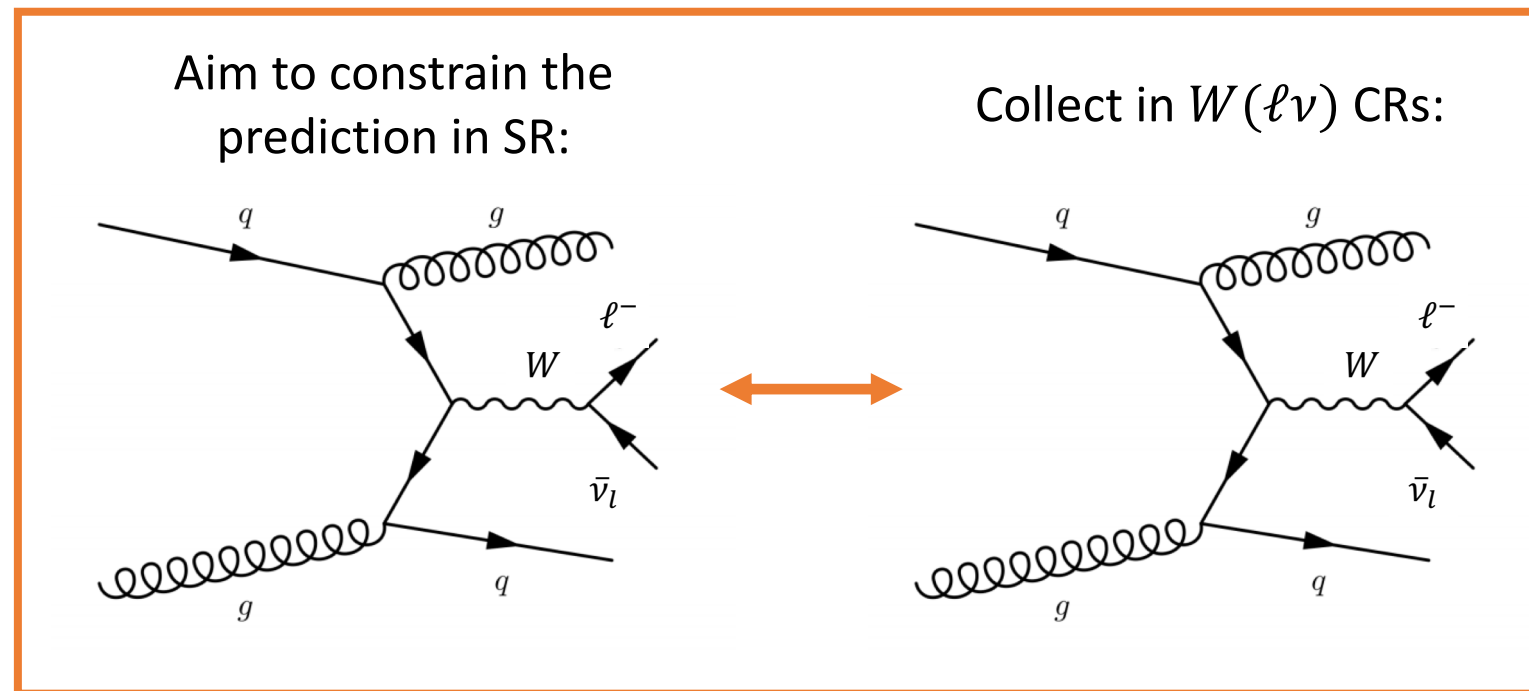
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**Single lepton CRs:**  $W(\rightarrow \ell\nu) + jets \rightarrow 1 e/\mu$  with  $p_T^{miss} > 80 \text{ GeV}$



# Background Estimation (V+Jets)

In CRs:  $p_T^{miss} \rightarrow p_{T,no-\ell}^{miss}$

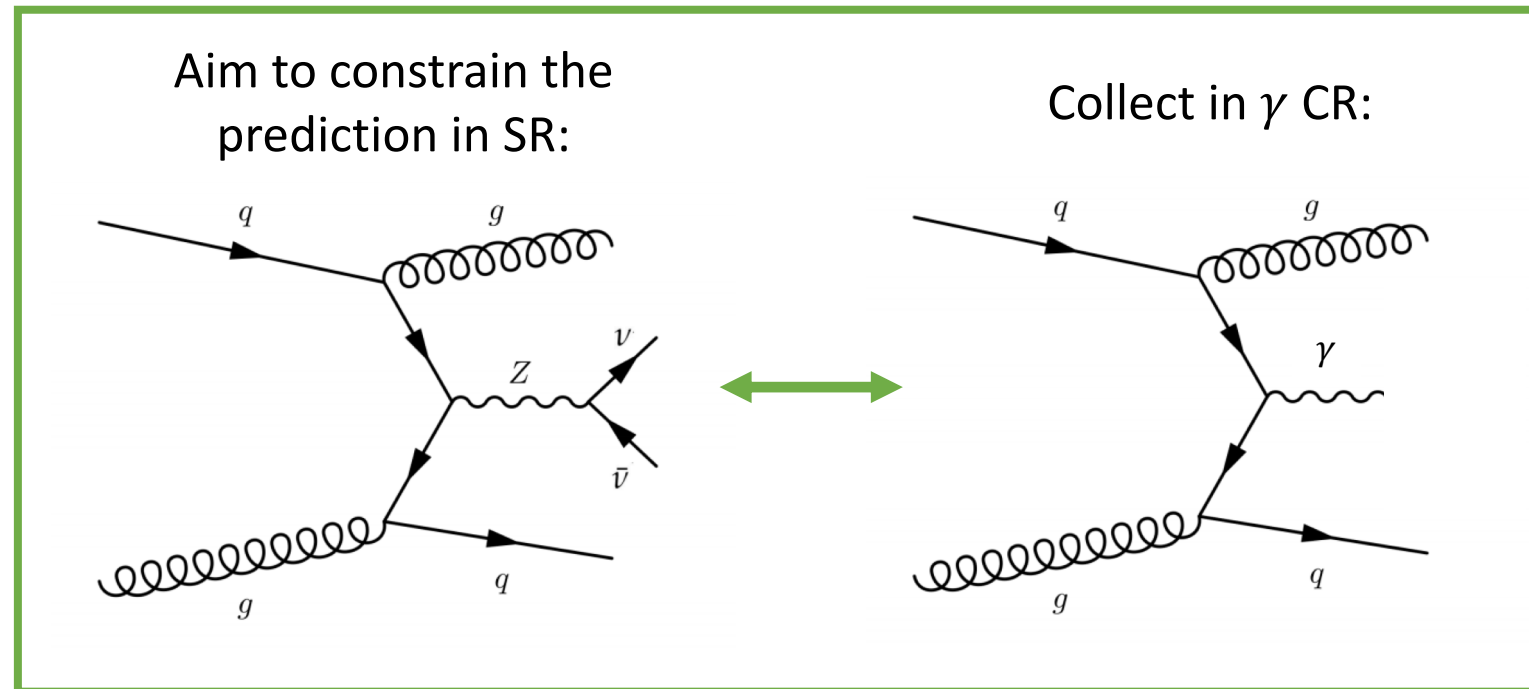
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**Single lepton CRs:**  $W(\rightarrow \ell\nu) + jets \rightarrow 1 e/\mu$  with  $p_T^{miss} > 80 \text{ GeV}$

**Photon CR:**  $\gamma + jets \rightarrow 1 \gamma, p_T^\gamma > 230 \text{ GeV}$



**Photon CR:**

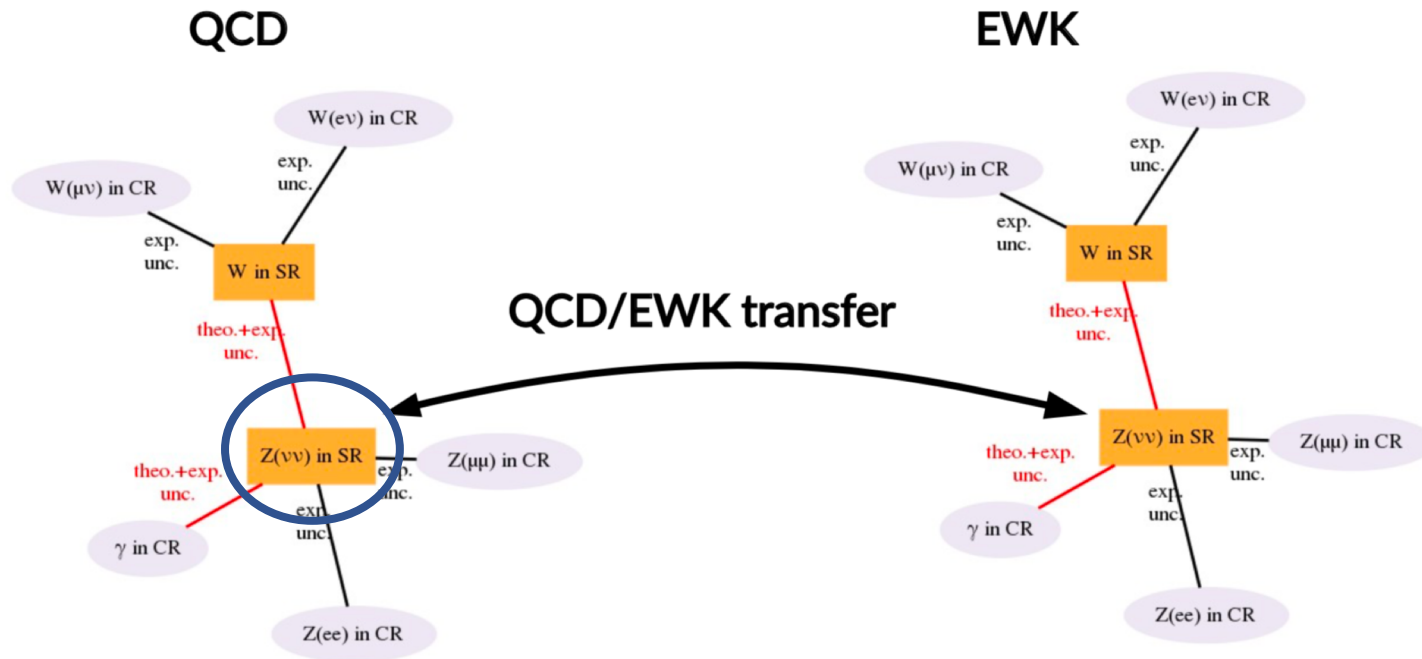
New in 2017+2018  
Improves sensitivity  
by  $\approx 11\%$

# Background Estimation (V+Jets)

With the SR and CRs

→ Define transfer factors (TF) as ratios of the processes:

$$e.g. R_{Z(\mu\mu)/Z(\nu\nu)} = \frac{N_{Z(\mu\mu)}^i}{N_{Z(\nu\nu)}^i}$$

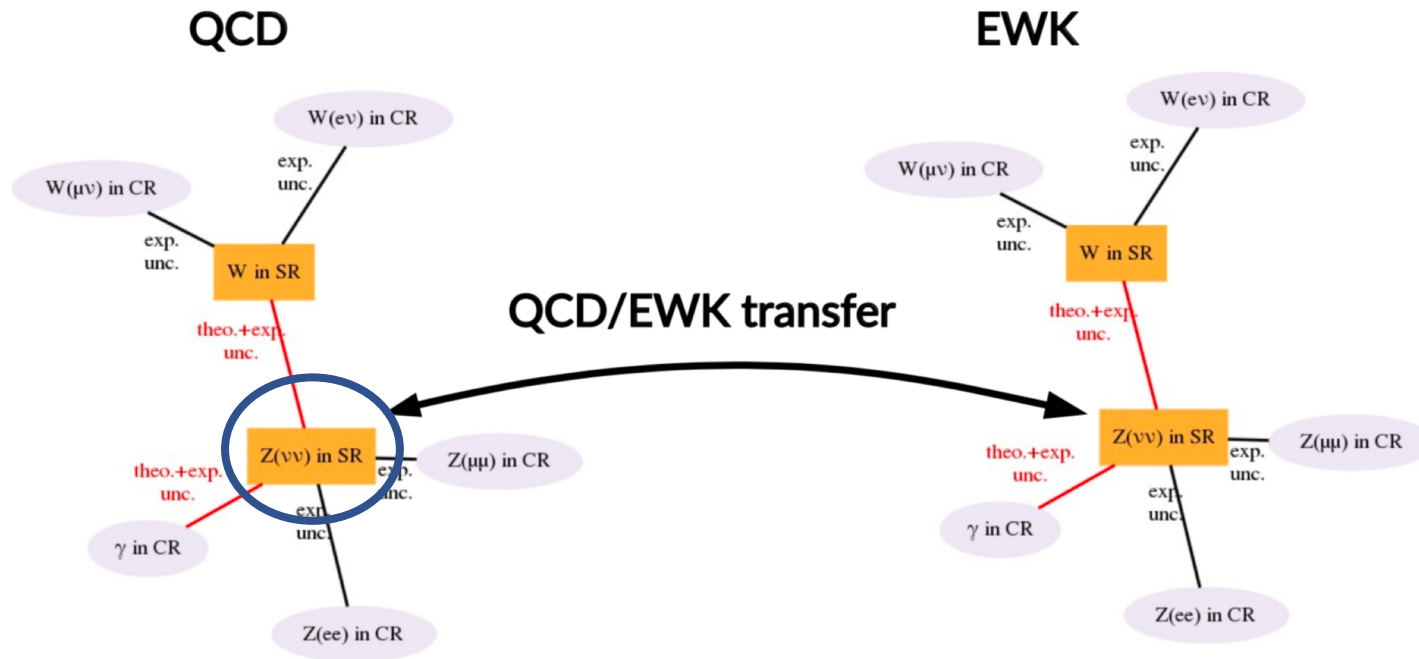


# Background Estimation (V+Jets)

With the SR and CRs

→ Define transfer factors (TF) as ratios of the processes:

$$e.g. R_{Z(\mu\mu)/Z(\nu\nu)} = \frac{N_{Z(\mu\mu)}^i}{N_{Z(\nu\nu)}^i}$$



**Using TFs:** Can express all yields in terms of  $Z(\nu\nu)$  in SR:

$$N_{Z(\mu\mu)}^i = R_{Z(\mu\mu)/Z(\nu\nu)} \times N_{Z(\nu\nu)}^i$$

Build a likelihood function  $L$ :

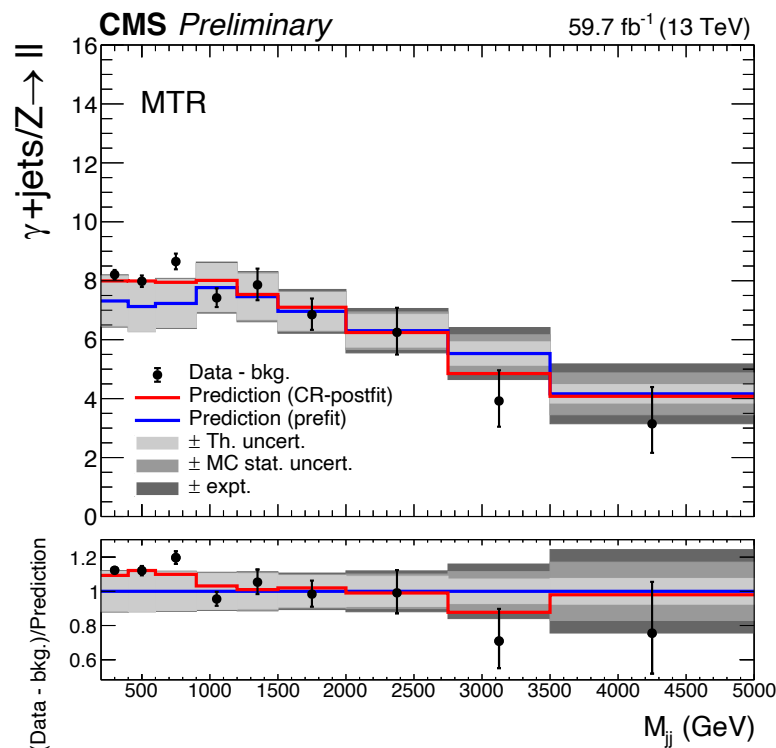
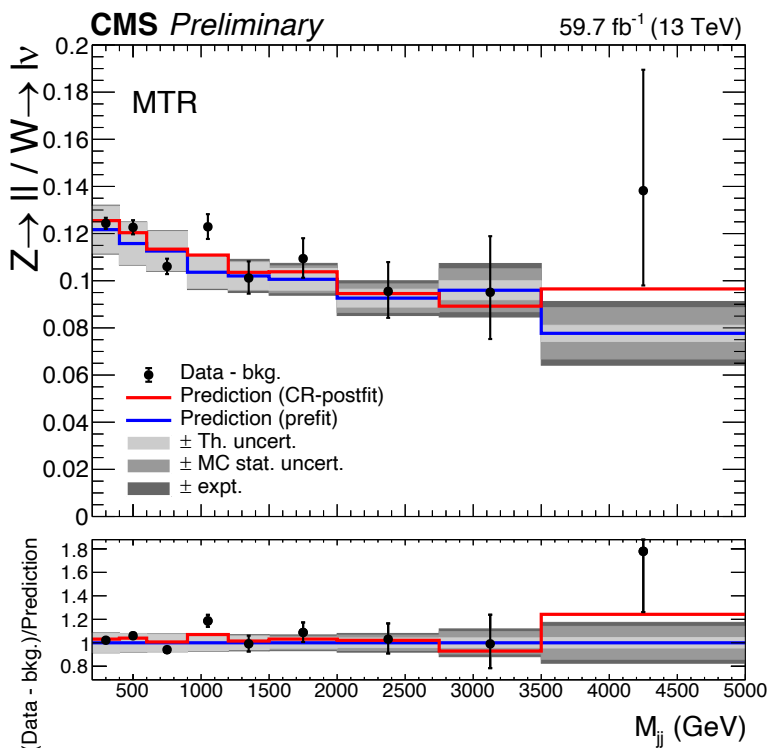
$$L(N_{Z(\nu\nu)}^i, \mu, \vec{\theta})$$

→ Simultaneous max. likelihood fit to get best-fit  $N_{Z(\nu\nu)}^i$

**TFs:** Parametrized as a function of nuisance params (uncertainties)

# Background Estimation (V+Jets)

Validate the ratios predicted by simulation by comparing to the ratios measured in data:



Ratios predicted:

**Blue:** From simulation

**Red:** After a simultaneous fit to data on all CRs

*Theory uncertainties* especially important @ low  $m_{jj}$ :

**Scale uncertainties:** Partially-correlated between processes

**PDF uncertainties:** Fully-correlated

→ Predictions agree with data within the uncertainties

# Background Estimation (QCD Multi-jet)

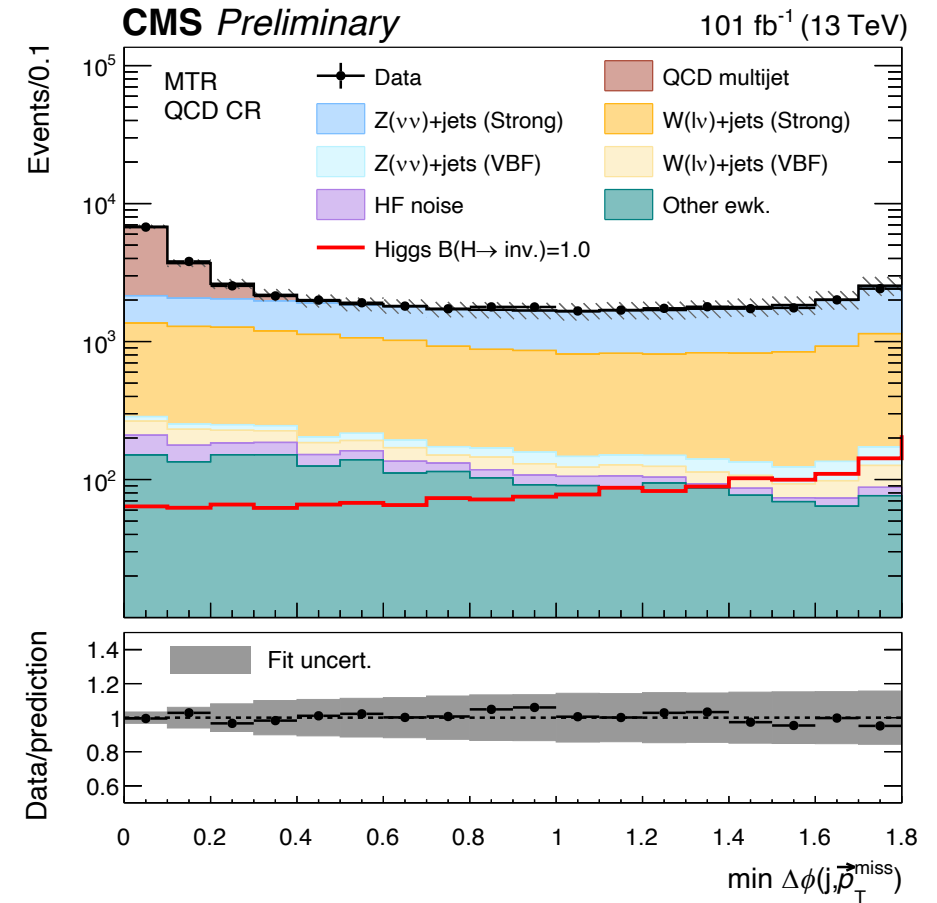
Aside from  $V + jets$ , additional backgrounds arise due to jet  $p_T$  mis-measurements

**QCD multi-jet:** Jet resolution effects result in high  $p_T^{miss}$  for QCD multi-jet events

**Low** probability of severe mis-measurement  $\times$  **High**  $\sigma$   
 $\rightarrow$  Results in a small but non-negligible background to SR

**Approach:** Estimate this contribution using a QCD control region in data  $\rightarrow \min\Delta\phi(jet, p_T^{miss}) < 0.5$

$\rightarrow$  Fit the distribution in data and extract the yields in SR  
 i.e.  $\min\Delta\phi(jet, p_T^{miss}) > 0.5$  (or 1.8 for VTR)



# Background Estimation (QCD Multi-jet)

Aside from  $V + jets$ , additional backgrounds arise due to jet  $p_T$  mis-measurements

**Forward calorimeter (HF) noise:** High  $p_T^{miss}$  events due to jet  $p_T$  mis-measurements @ high  $\eta$

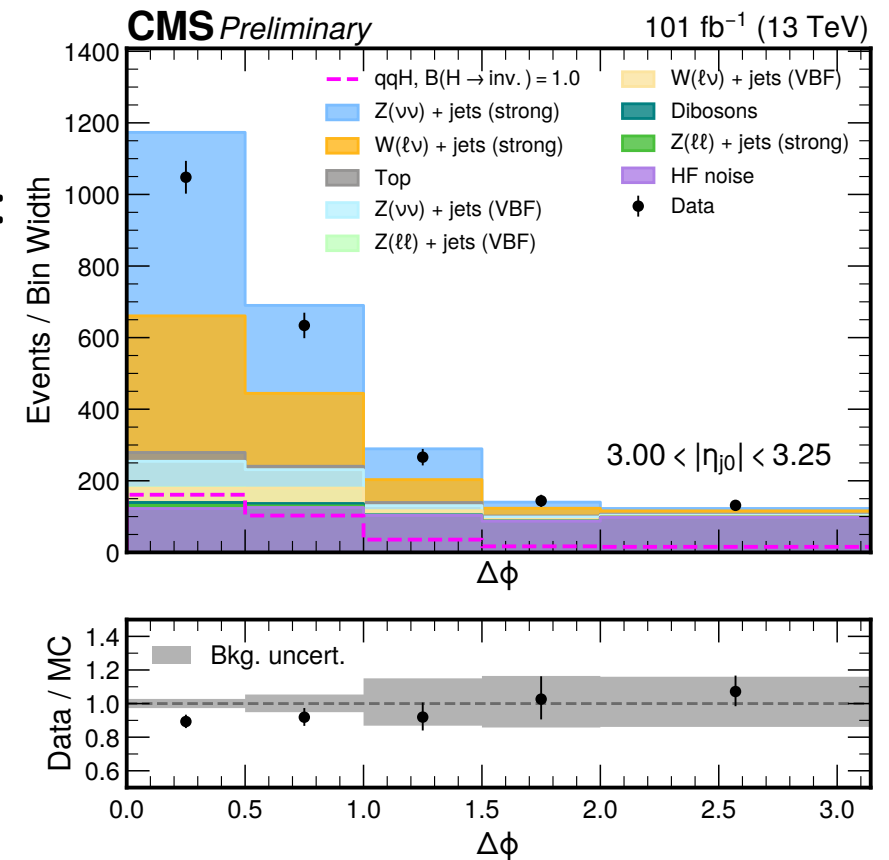
Mis-measured jets can be identified via their shower shapes:

- ✓ Typically, the  $\eta$ -width is larger than  $\phi$ -width
- ✓ Larger # of PF candidates within  $\Delta\phi(cand, jet) \approx 0.05$

→ These features are used to develop selections to reject events with such jets

**Noise estimation:** Invert the selection, compute the residual contribution from data

Estimation validated in VBF SR:



$$\Delta\phi: \Delta\phi(p_{T,Trk}^{miss}, p_T^{miss})$$

20% norm uncertainty assigned



# Results

2017+2018 data is analyzed here, combination with the existing 2016 result is performed!

# Results: Data vs Bkg. Predictions

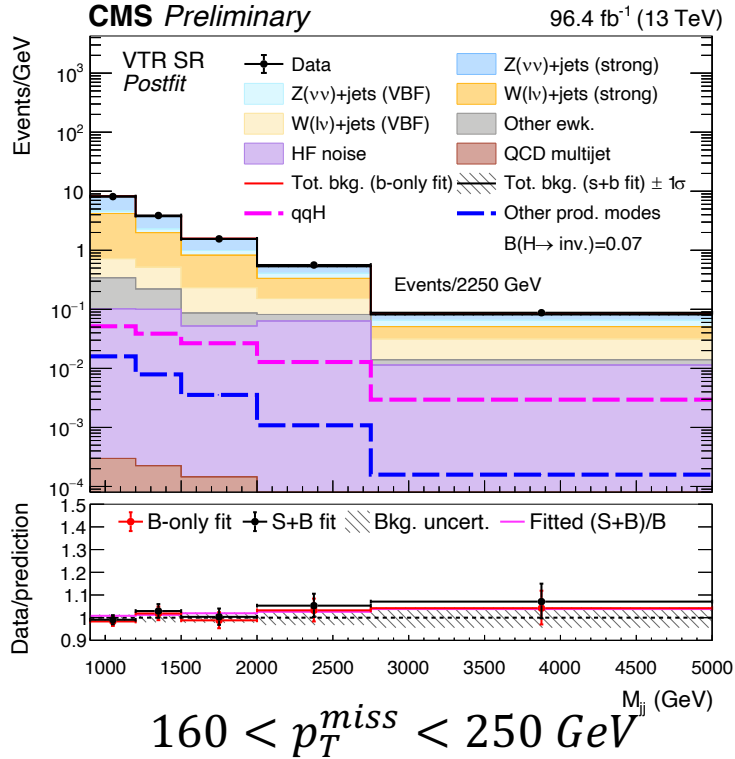
Data & background estimation in signal region:

Best-fit signal strength:

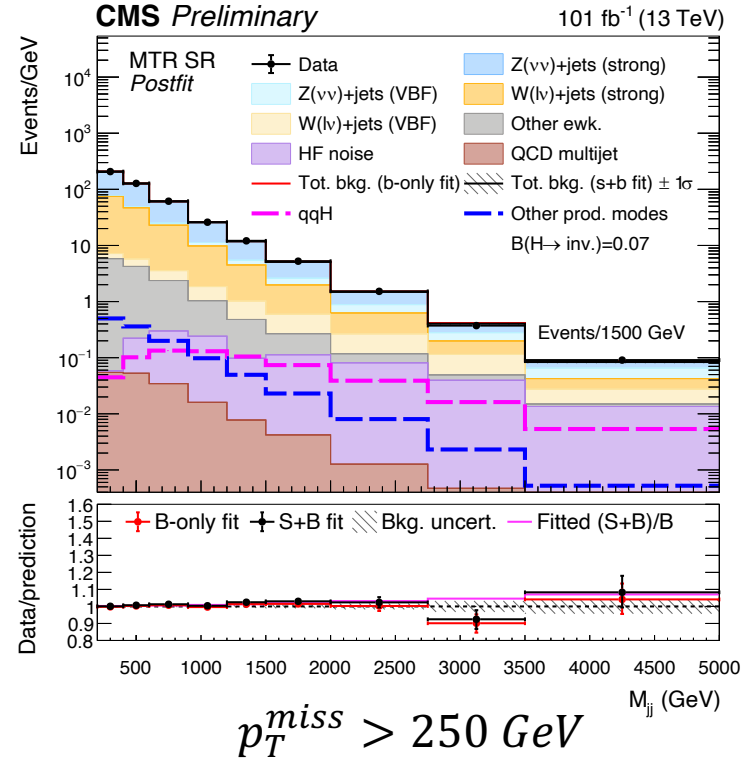
$$\mu = 0.076^{+0.057}_{-0.055}$$

Theoretical modeling, simulation & trigger efficiency uncertainties dominate:

VTR 2017+2018:



MTR 2017+2018:



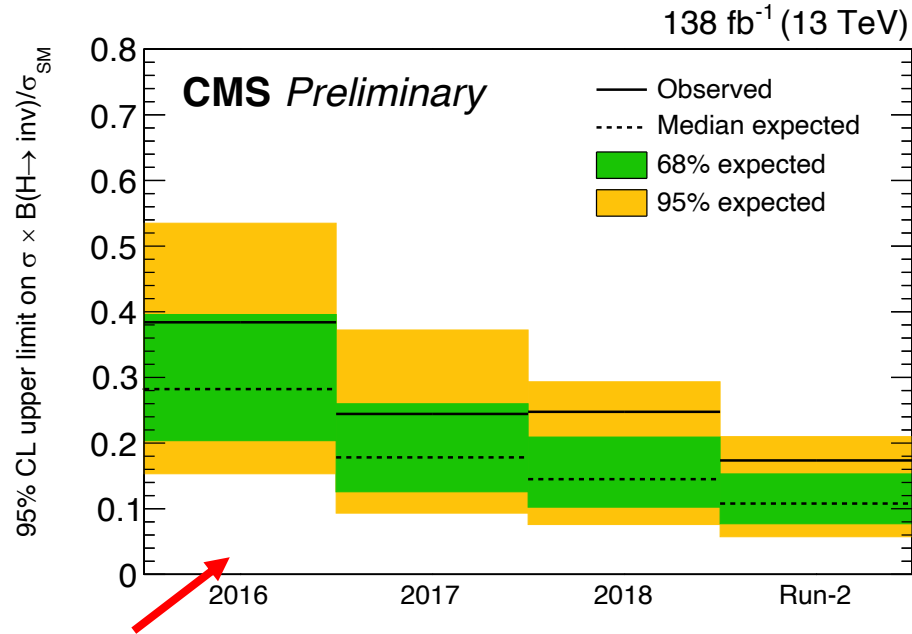
No excess of data over background predictions are observed in either category

→ Put constraints on  $B(H \rightarrow inv)$

Group of systematic uncertainties	Observed impact on $\mathcal{B}(H \rightarrow inv)$
Theory	+0.026 -0.025
MC stat.	+0.024 -0.023
Triggers	+0.021 -0.022
Leptons/photons/b	+0.012 -0.011
QCD multijet mismodeling	±0.013
Jet calibration	+0.010 -0.007
Lumi/PU	±0.005
Other systematic uncertainties	+0.013 -0.010
Stat.	±0.029

# Results: Exclusion Limits

Exclusion limits on  $B(H \rightarrow inv)$ :



**2016:** Taken from [arXiv:1809.05937](https://arxiv.org/abs/1809.05937)

+ NLO correction on signal (backup)

## Statistical combination with 2016 analysis:

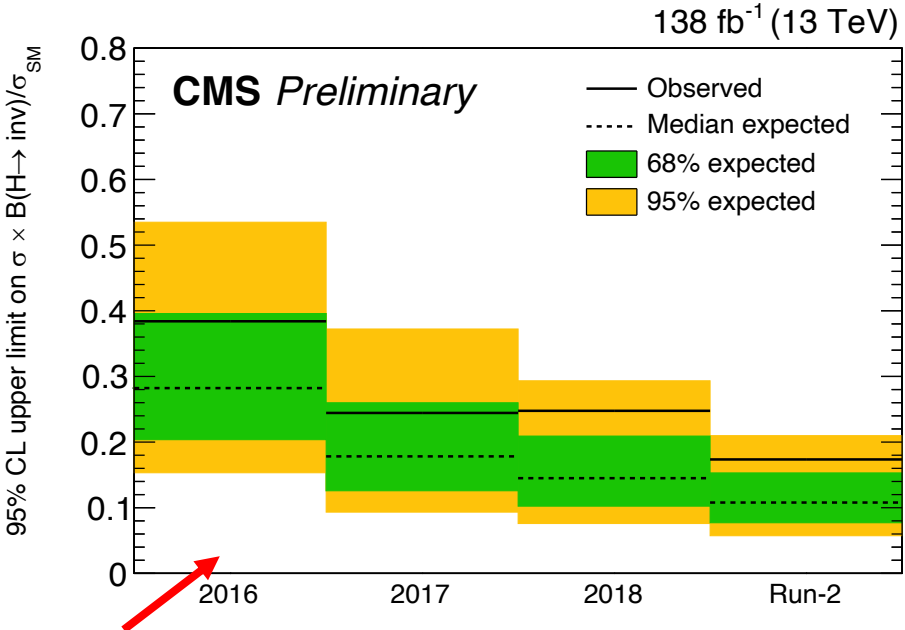
Expected sensitivity: **11%**, observed: **17%**

→ Most stringent expected single-channel sensitivity to date!

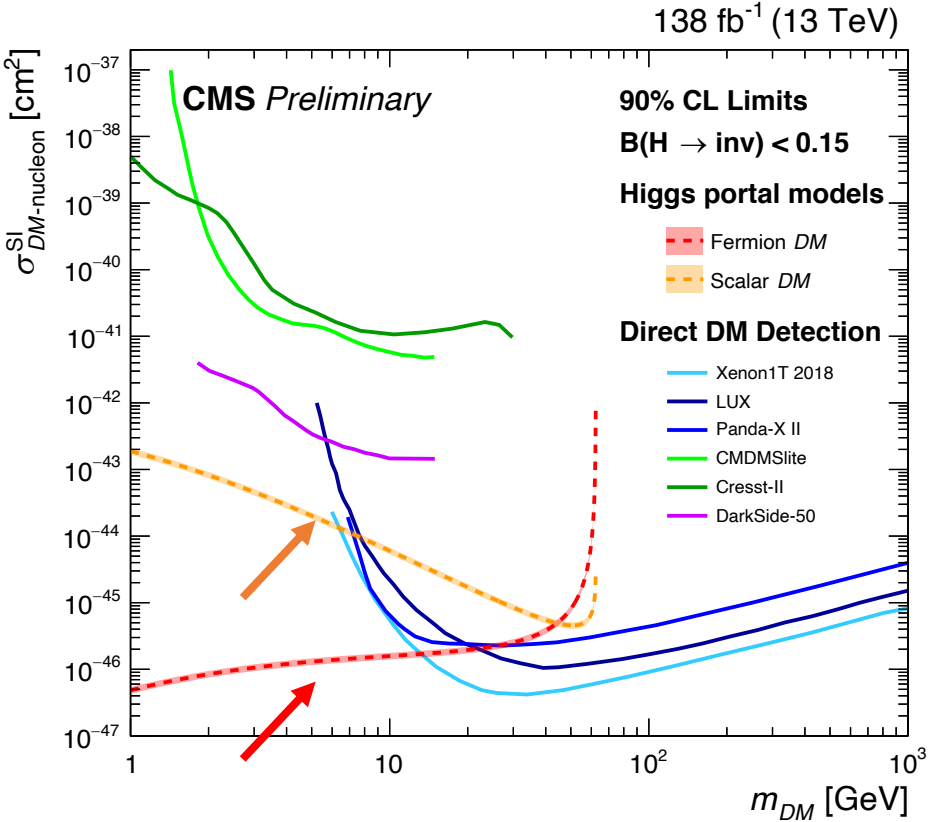
# Results: Exclusion Limits

## DM Interpretation

Exclusion limits on  $B(H \rightarrow inv)$ :



Can compare the results with direct detection:  
Exclusion on  $B(H \rightarrow inv) \rightarrow$  Exclusion on  $\sigma_{DM}$



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**Statistical combination with 2016 analysis:**

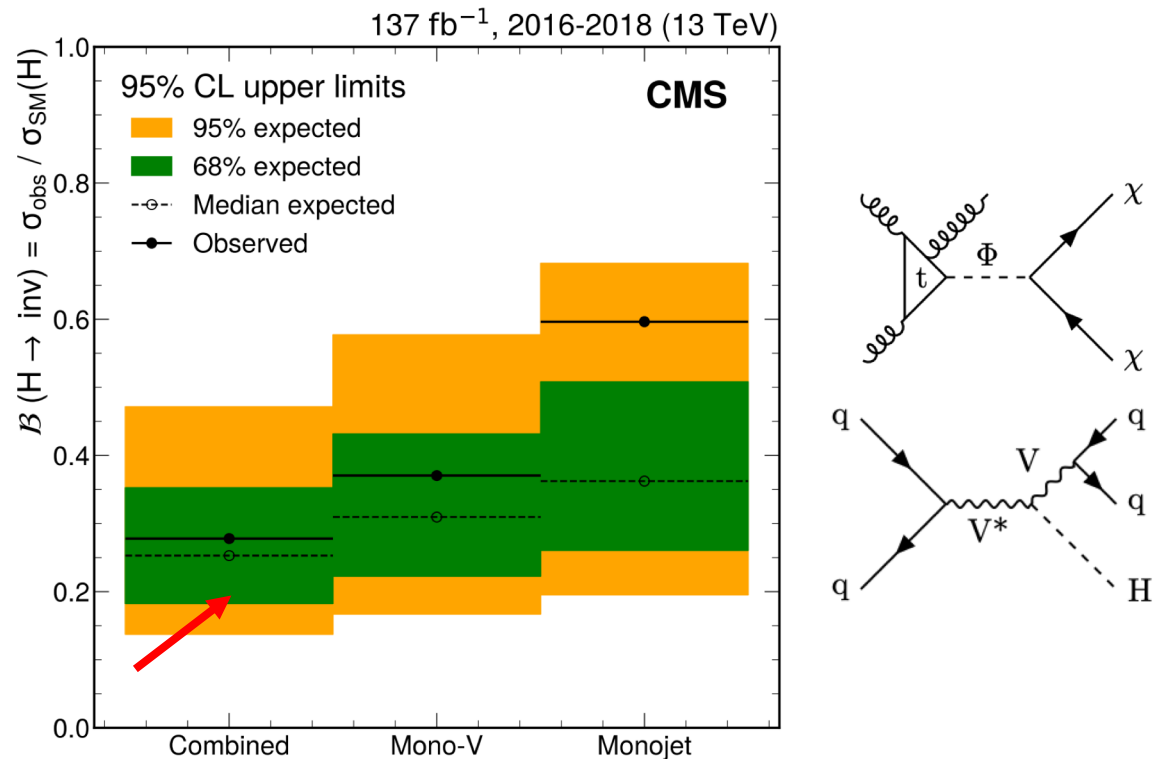
Expected sensitivity: **11%**, observed: **17%**  
→ Most stringent expected single-channel sensitivity to date!

→ Results complement the direct-detection experiments nicely for  $m_{DM} < O(10 GeV)$

# Results: More Channels!

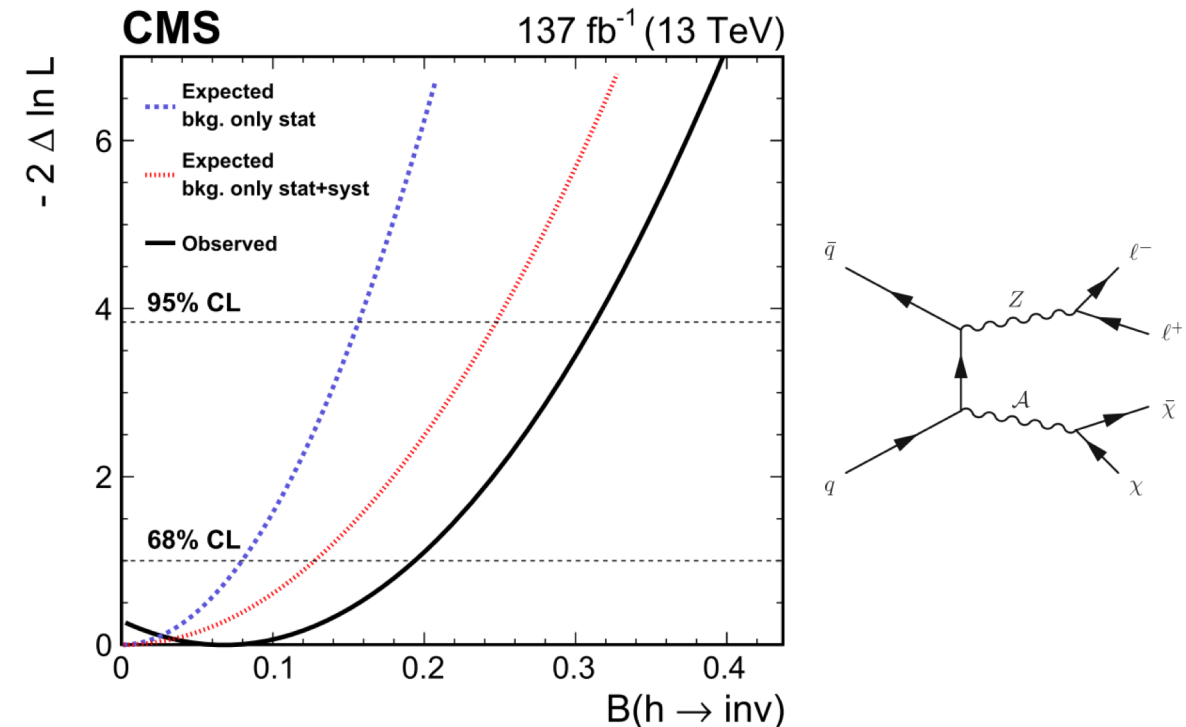
H(inv) interpretation results from other channels in CMS:

Exclusion limits on  $B(H \rightarrow inv)$  from  
mono-jet + mono-V:



Two channels combined: 28% obs. limit

Mono-Z:



29% obs. (25% exp.) limit

# Summary

A brief summary of the latest VBF H(inv) analysis

Important updates w.r.t. earlier analysis:

- ✓ Addition of the forward calorimeter (HF-HF) events!
- ✓ Addition of VBF triggered category (VTR)
- ✓ Addition of photon CR to MTR

→ Observed limit reaches to 17% with all years combined (exp. 11%)

# Summary

[CMS-PAS-HIG-20-003](#)

[CMS-PAS-HIG-18-008](#)

[JHEP11 \(2021\) 153](#)

[EPJC 81, 13 \(2021\)](#)

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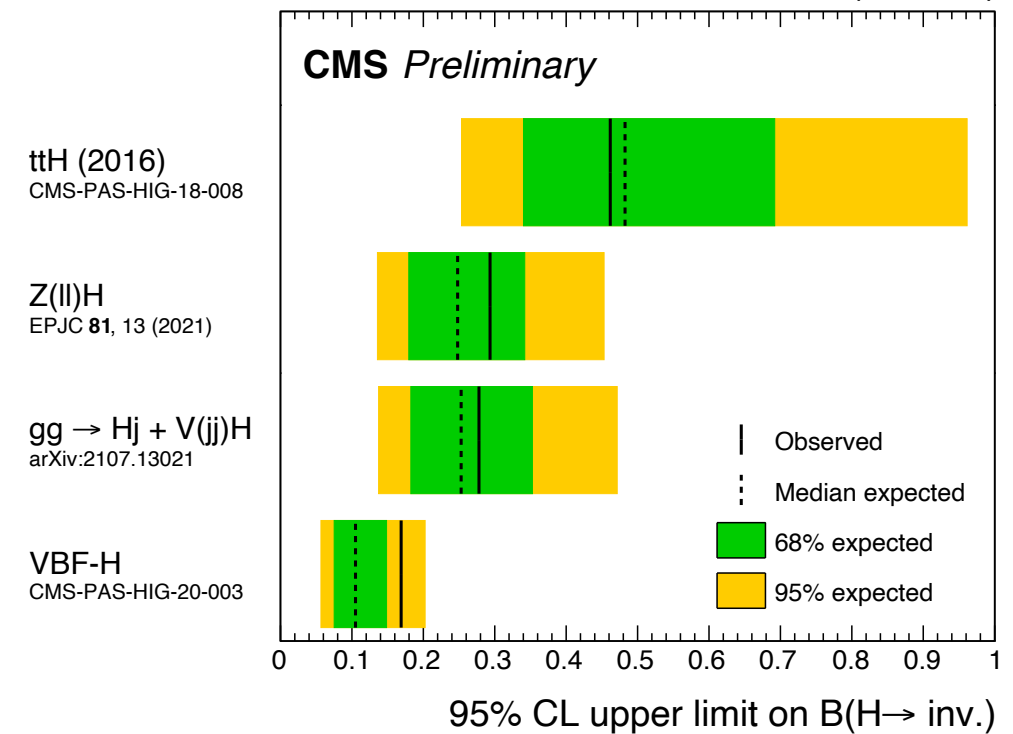
→ Observed limit reaches to 17% with all years combined (exp. 11%)

**Can compare with other latest results from CMS:**

- ✓ VBF is the most sensitive channel so far
- ✓ Mono-Z( $\ell\ell$ ) and mono-jet + mono-V analyses are also out
- ✓ Mono-Z: 29% obs. limit
- ✓ Mono-jet + mono-V: 28% obs. limit

Exclusion limits from different channels:

35.9-138 fb<sup>-1</sup> (13 TeV)



**Backup**



# Event Selection: Signal Region

Object definitions are all according to POG recommendations

Event selection requirements for **MTR & VTR** signal regions are listed below:

Observable	MTR	VTR
Choice of pair	leading- $p_T$	leading- $M_{jj}$
Leading (subleading) jet	$p_T > 80$ (40) GeV, $ \eta  < 4.7$	$p_T > 140$ (70) GeV, $ \eta  < 4.7$
$p_T^{\text{miss}}$	$> 250$ GeV	$160 < p_T^{\text{miss}} \leq 250$
$\min\Delta\phi(\vec{p}_T^{\text{miss}}, \vec{p}_T^{\text{jet}})$	$> 0.5$ rad	$> 1.8$ rad
$ \Delta\phi_{jj} $	$< 1.5$ rad	$< 1.8$ rad
$M_{jj}$	$> 200$ GeV	$> 900$ GeV
$ p_T^{\text{miss}} - \text{calo}p_T^{\text{miss}}  / p_T^{\text{miss}}$		$< 0.5$
Leading/subleading jets $ \eta  < 2.5$		NHEF $< 0.8$ , CHEF $> 0.1$
HF-noise jet candidates		0 (see Table ??)
$\tau_h$ candidates		$N_{\tau_h} = 0$ with $p_T > 20$ GeV, $ \eta  < 2.3$
b quark jet		$N_{\text{jet}} = 0$ with $p_T > 20$ GeV, DeepCSV Medium
$\eta_{j1} \times \eta_{j2}$		$< 0$
$ \Delta\eta_{jj} $		$> 1$
Muons (electrons)		$N_{\mu,e} = 0$ with $p_T > 10$ GeV, $ \eta  < 2.4$ (2.5)
Photons		$N_{\gamma} = 0$ with $p_T > 15$ GeV, $ \eta  < 2.5$

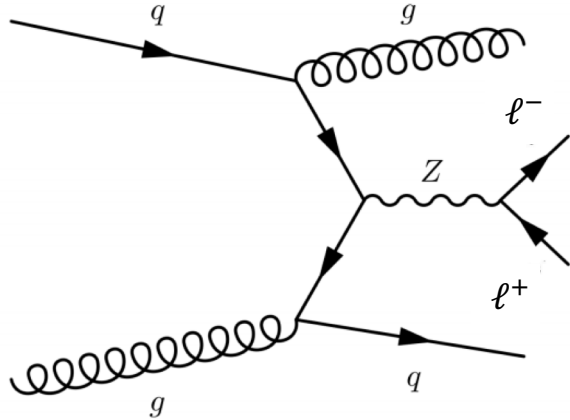
# Event Selection: Control Regions

CRs built on top of the SR selections: VBF requirements are applied in all regions

## Z CRs

2 CRs

Collect  $Z \rightarrow \ell\ell$  events to constrain  $Z(\nu\nu)$  in SR



$$p_{T, no-\ell}^{miss} > 250 \text{ GeV (MTR)}$$
$$\epsilon [160, 250] \text{ GeV (VTR)}$$

$$|M_{\ell\ell} - M_Z| < 30 \text{ GeV}$$

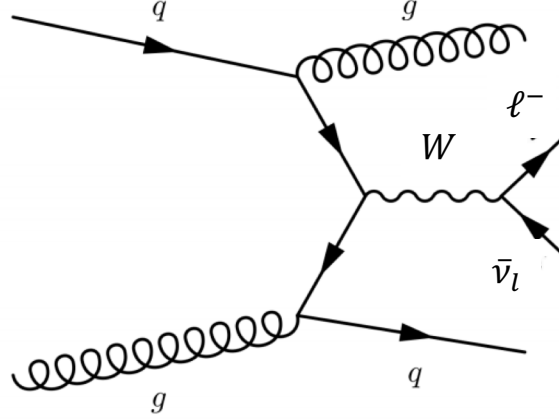
$$N_{\ell} = 2 \text{ (at least 1 tight)}$$

11/30/21

## W CRs

2 CRs

Collect  $W \rightarrow \ell\nu$  events to constrain  $W(\ell\nu)$  in SR



$$p_{T, no-\ell}^{miss} > 250 \text{ GeV (MTR)}$$
$$\epsilon [160, 250] \text{ GeV (VTR)}$$

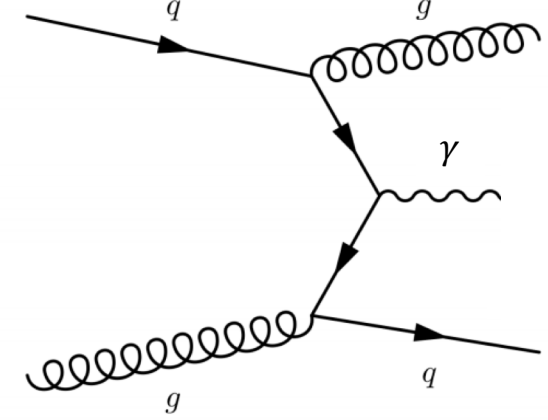
$$N_{\ell} = 1 \text{ (tight)}$$

VBF H(inv) Results - Alp Akpinar

## $\gamma + jets$ CR

1 CR

Collect  $\gamma + jets$  events to further constrain  $Z(\nu\nu)$



**Only in MTR!**

$$p_{T, no-\gamma}^{miss} > 250 \text{ GeV}$$

$$p_T^{\gamma} > 230 \text{ GeV}$$

$$N_{\gamma} = 1 \text{ (tight)}$$

25

# VBF: Total List Of Uncertainties

Total list of uncertainties for VBF H(inv) analysis is shown on the right

## Theoretical uncertainties:

- Scale uncertainties taken as partially correlated between V+jets processes
- PDF uncertainties taken as fully correlated
- NLO EWK full correction applied as uncertainty

## Experimental uncertainties:

- Uncertainties related to physics object identification + triggering

Source of uncertainty	Ratios	Uncertainty vs. $M_{jj}$
Theoretical uncertainties		
Ren. scale V+jets (VBF)	$Z_{SR}/W_{SR}$	7.5%
Ren. scale V+jets (strong)	$Z_{SR}/W_{SR}$	8.2%
Fac. scale V+jets (VBF)	$Z_{SR}/W_{SR}$	1.5%
Fac. scale V+jets (strong)	$Z_{SR}/W_{SR}$	1.3%
PDF V+jets (strong)	$Z_{SR}/W_{SR}$	0%
PDF V+jets (VBF)	$Z_{SR}/W_{SR}$	0%
NLO EWK corr. V+jets (strong)	$Z_{SR}/W_{SR}$	0.5%
Ren. scale $\gamma$ +jets (VBF)	$Z_{SR}/\gamma_{CR}$	6–10%
Ren. scale $\gamma$ +jets (strong)	$Z_{SR}/\gamma_{CR}$	6–10%
Fac. scale $\gamma$ +jets (VBF)	$Z_{SR}/\gamma_{CR}$	2.5%
Fac. scale $\gamma$ +jets (strong)	$Z_{SR}/\gamma_{CR}$	2.5%
PDF $\gamma$ +jets (strong)	$Z_{SR}/\gamma_{CR}$	2.5%
PDF $\gamma$ +jets (VBF)	$Z_{SR}/\gamma_{CR}$	2.5%
NLO EWK corr. $\gamma$ +jets	$Z_{SR}/\gamma_{CR}$	3%
Experimental uncertainties		
Muon id. eff.	$Z_{CR}/Z_{SR}, W_{CR}/W_{SR}$	$\approx 0.5\%$ (per lepton)
Muon iso. eff.	$Z_{CR}/Z_{SR}, W_{CR}/W_{SR}$	$\approx 0.1\%$ (per lepton)
Electron reco. eff.	$Z_{CR}/Z_{SR}, W_{CR}/W_{SR}$	$\approx 0.5\%$ (per lepton)
Electron id. eff.	$Z_{CR}/Z_{SR}, W_{CR}/W_{SR}$	$\approx 1\%$ (per lepton)
Photon id. eff.	$Z_{SR}/\gamma$	5%
Muon veto	$Z_{SR}/W_{SR}, W_{CR}/W_{SR}$	$\approx 0.5\%$
Electron veto (reco)	$Z_{SR}/W_{SR}, W_{CR}/W_{SR}$	$\approx 1.5$ (1)% for VBF (strong)
Electron veto (id)	$Z_{SR}/W_{SR}, W_{CR}/W_{SR}$	$\approx 2.5$ (2)% for VBF (strong)
$\tau$ veto	$Z_{SR}/W_{SR}, W_{CR}/W_{SR}$	$\approx 1\%$
Electron trigger	$Z_{CR}/Z_{SR}, W_{CR}/W_{SR}$	$\approx 1\%$
$p_T^{\text{miss}}$ trigger	$Z_{CR}/Z_{SR}, W_{CR}/W_{SR}$	$\approx 2\%$
Photon trigger	$Z_{SR}/\gamma$	1%
	$Z_{SR}/W_{SR}$	1–2%
	$W_{CR}/W_{SR}$	1.0–1.5%
Jet energy scale	$Z_{CR}/Z_{VV}$	1%
	$Z_{SR}/\gamma$	3%
	$Z_{SR}/W_{SR}$	1.0–2.5%
	$W_{CR}/W_{SR}$	1.0–1.5%
Jet energy resolution	$Z_{CR}/Z_{SR}$	1%
	$Z_{SR}/\gamma$	1–4%

# NLO EWK Correction on VBF Signal

NLO EWK corrections on VBF signal are derived using [HAWK](#) generator

→ Was not applied previously

Corrections are computed as a function of Higgs boson  $p_T$   
(with the VBF topology cuts applied):



**HAWK**

A Monte Carlo generator for the production of Higgs bosons Attached to Weak bosons

NLO EWK corrections:

- ✓ **Inclusive:**  $\delta_{EWK}^{inclusive} \approx 5\%$  → Included in the VBF XS
- ✓ **Differential:**  $\delta_{EWK}^{diff} > 7.5\%$

The remaining differential correction becomes:

$$\epsilon^{NLO}(p_T^H) = (1 - 0.000372 \times p_T^H - 0.0304) / 0.95$$

Total NLO EWK correction as a function of Higgs boson  $p_T$

**Impact on sensitivity:**  $\approx 15\%$  loss in sensitivity

## Note on 2016:

2016 signal templates are re-calculated with this correction for the final Run-2 result

→  $\approx 10\%$  loss in sensitivity

# List of URLs

Sorry, having issues with the PDF converters either modifying plots or removing links

If you're not able to click on the links on main slides, you can find the URLs here:

**CMS-PAS-HIG-20-003:** <https://cms.cern.ch/iCMS/analysisadmin/get?analysis=HIG-20-003-pas-v3.pdf>

**CMS-PAS-HIG-18-008:** <http://cms.cern.ch/iCMS/analysisadmin/get?analysis=HIG-18-008-pas-v12.pdf>

**JHEP11 (2021) 153:** [https://link.springer.com/article/10.1007/JHEP11\(2021\)153](https://link.springer.com/article/10.1007/JHEP11(2021)153)

**EPJC 81, 13 (2021):** <https://link.springer.com/content/pdf/10.1140/epjc/s10052-020-08739-5>

**HAWK Generator:** <https://hawk.hepforge.org/>