

Charges and fluxes on (perturbed) non-expanding horizons

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A. Ashtekar, N. Khera, M. Kolanowski and J.L.,
Non-expanding horizons: multipoles and the symmetry group, JHEP 01 (2022) 028
[2111.07873],
Charges and Fluxes on (Perturbed) Non-expanding Horizons, JHEP 02 (2022) 001
[2112.05608]

Plan of the talk

BMS like structure of non-expanding horizons

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On the non-expanding horizons by appropriate gauge selection, we introduce a structure similar to the BMS structure for null scri.

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Application: gravitational radiation through settling down horizons

The settling down horizon is described by a perturbation of a non-expanding horizon. The generators of the very BMS like symmetry are used to define charges and fluxes.

Non-expanding horizons

The idea:

Killing horizons to the zeroth order, null surfaces that have relevant properties of the black hole / cosmological horizons.

Ashtekar, Beetle, Dreyer, Fairhurst, Krishnan, JL, Wiśniewski - 2000

Mechanics	<i>Ashtekar, Beetle, JL - 2001</i>	4 dim
	<i>Korzyński, JL, Pawłowski - 2005</i>	n dim
Geometry, DOF	<i>Ashtekar, Beetle, JL - 2002</i>	4 dim
	<i>JL, Pawłowski - 2004</i>	n dim

Non-expanding horizons equations

Extremal horizons

If non-expanding horizon is also Killing horizon to the first order and it is extremal, then it satisfies the constraint:

$${}^{(n)}\nabla_{(A}\omega_{B)} + \omega_A\omega_B - \frac{1}{2}{}^{(n)}R_{AB} + \frac{1}{n}\Lambda g_{AB} = 0$$

Ashtekar, Beetle, JL - 2001

JL, Pawlowski - 2004

Exact solutions constructed from extremal horizons

Pawlowski, JL, Jezierski - 2005

Today the equation is called Near Horizon Geometry equation

Kunduri, J. Lucietti 2009

4d spacetime and NHG solutions for genus =0

$$\mathcal{S} = S_2$$

axial symmetry $\Rightarrow g_{AB}, \omega_A = g_{AB}^{\text{extremal Kerr}}, \omega_A^{\text{extremal Kerr}}$
 $\Lambda = 0$

JL, Pawłowski 2002,

generalized to the Einstein-Maxwell case

uniqueness! no more solutions!

generalized to the Einstein-Yang-Mills case

and somehow to the $\Lambda \neq 0$ case *Kunduri, J. Lucietti 2009*

Buk, JL 2022

no axial symmetry $\Rightarrow ?$ **only partial results known:**

${}^{(n)}\nabla_{[A}\omega_{B]} = 0 \Rightarrow K = \Lambda \geq 0, \omega_A = 0$ *Chruściel, Reall, Tod 2005*

(non-rotating)

the linearized equation about axisymmetric solution admits only axisymmetric solutions - partly numeric

Chruściel, Szybka, Tod 2017

Applications to filling gaps in the BH uniqueness theorems

Chruściel, Costa, Heusler 2012

NHG solutions for genus > 0

$$\mathcal{S}, g_{AB}, \omega_A \quad (2) \nabla_{(A} \omega_{B)} + \omega_A \omega_B + \frac{1}{2} (\Lambda - K) g_{AB} = 0$$

K - the Gauss curvature Λ - the cosmological constant

$$\chi_E({}^2S) \leq 0 \quad \Rightarrow \quad K = \Lambda \leq 0, \quad \omega_A = 0 \quad \text{Dobkowski-Ryłko, Kamiński, JL, Szereszewski 2018}$$

(genus > 0)

Embeddable in extremal cases $\Lambda = -\frac{1}{9M^2}$ of:

$$-\left(-1 - \frac{2M}{r} - r^2 \frac{\Lambda}{3}\right) dt^2 + \frac{dr^2}{-1 - \frac{2M}{r} - r^2 \frac{\Lambda}{3}} + r^2 \frac{2dzd\bar{z}}{\left(1 - \frac{1}{2}z\bar{z}\right)^2}$$

this is really minus

compactified by suitable subgroup of isometries

Extremal Killing horizon to the 2nd order : Uniqueness of the extremal Kerr horizon

Suppose $\mathcal{S} = \mathcal{S}_2$ and g_{AB}, ω_A, S_{AB} is axisymmetric and $\Lambda = 0$

Then, the solution of the first and the second equation is unique, modulo the obvious rescaling

$$g_{AB} \mapsto a g_{AB}, \quad S_{AB} \mapsto b S_{AB}, \quad a, b = \text{const}$$

it corresponds to the horizon in the extremal Kerr spacetime

For every solution g_{AB}, ω_A, S_{AB} the horizon H, g_{ab}, ∇_a

is embeddable in the extremal Kerr spacetime of the corresponding horizon area.

Kolanowski, Lewandowski, Szereszewski 2019

Lewandowski, Pawłowski 2019 Lucietti, Li 2016

The Petrov type D equation

Non-extremal Killing horizon to the 2nd order satisfies

$$\Psi_2 = -\frac{1}{2} \left(K - \frac{\Lambda}{3} + i\Omega \right) \neq 0 \quad \text{and} \quad \bar{m}^A \bar{m}^B {}^{(2)}\nabla_A {}^{(2)}\nabla_B \left(K - \frac{\Lambda}{3} + i\Omega \right)^{-\frac{1}{3}} = 0$$

\Leftrightarrow

The spacetime Weyl tensor of the Petrov type D at the horizon

Therefore we call it: the Petrov type D equation.

Actually, this equation is a generalization of the extremity (NHG) equation

This equation knows the secrets of the BH uniqueness theorems: the spherical topology, the rigidity, no-hair

JL, Pawłowski, 2002 Dobkowski-Ryłko, Pawłowski, JL 2018

Szereszewski JL 2018

NEH of the Hopf bundle structure

JL, Ossowski 2019, 2021, 2022

Embeddable in the NUT type spacetimes - due to them we have learned a lot about the global structure, the Misner extension.

**Conclusion: investigating the non-expanding horizons we can learn a lot about about bh spacetimes and other exact solutions to Einsteins equations.
Today we will apply the NEHs to the radiation.**

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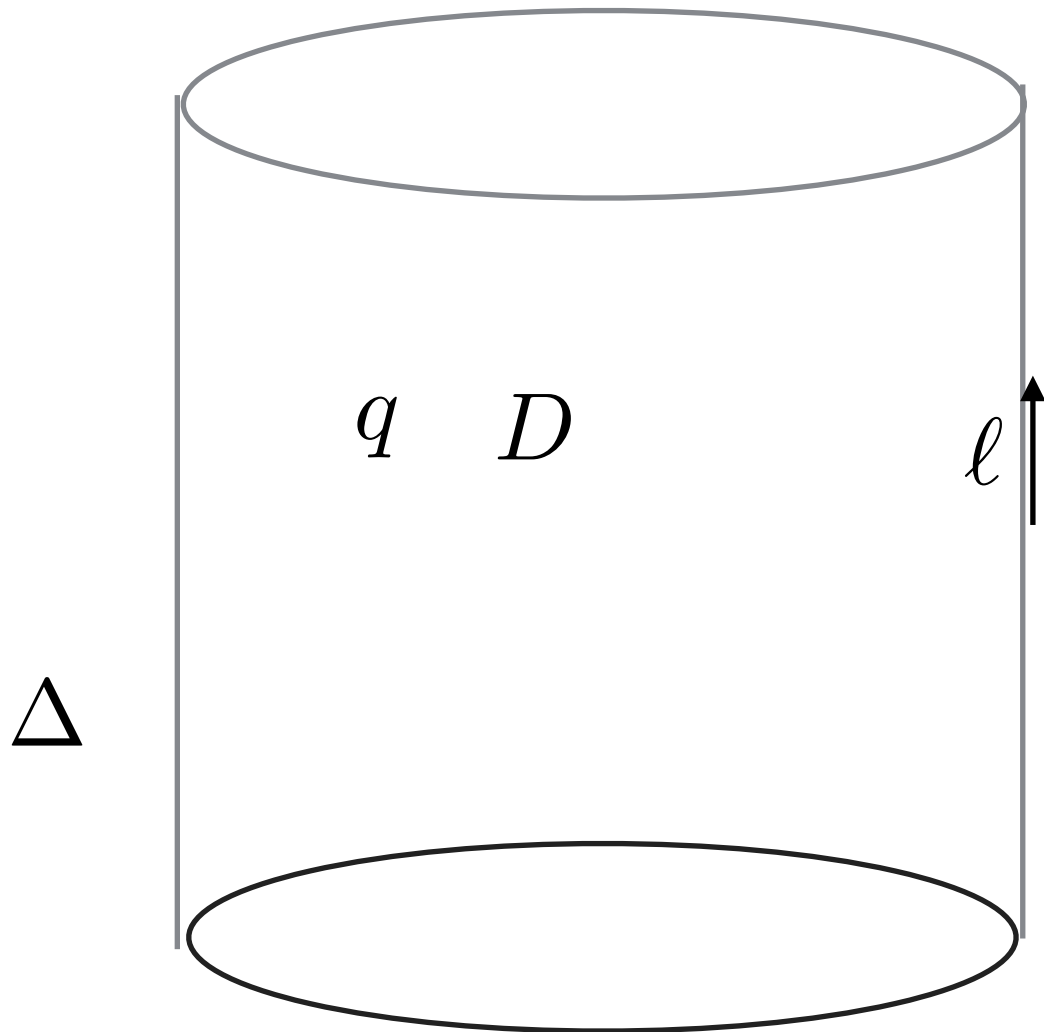
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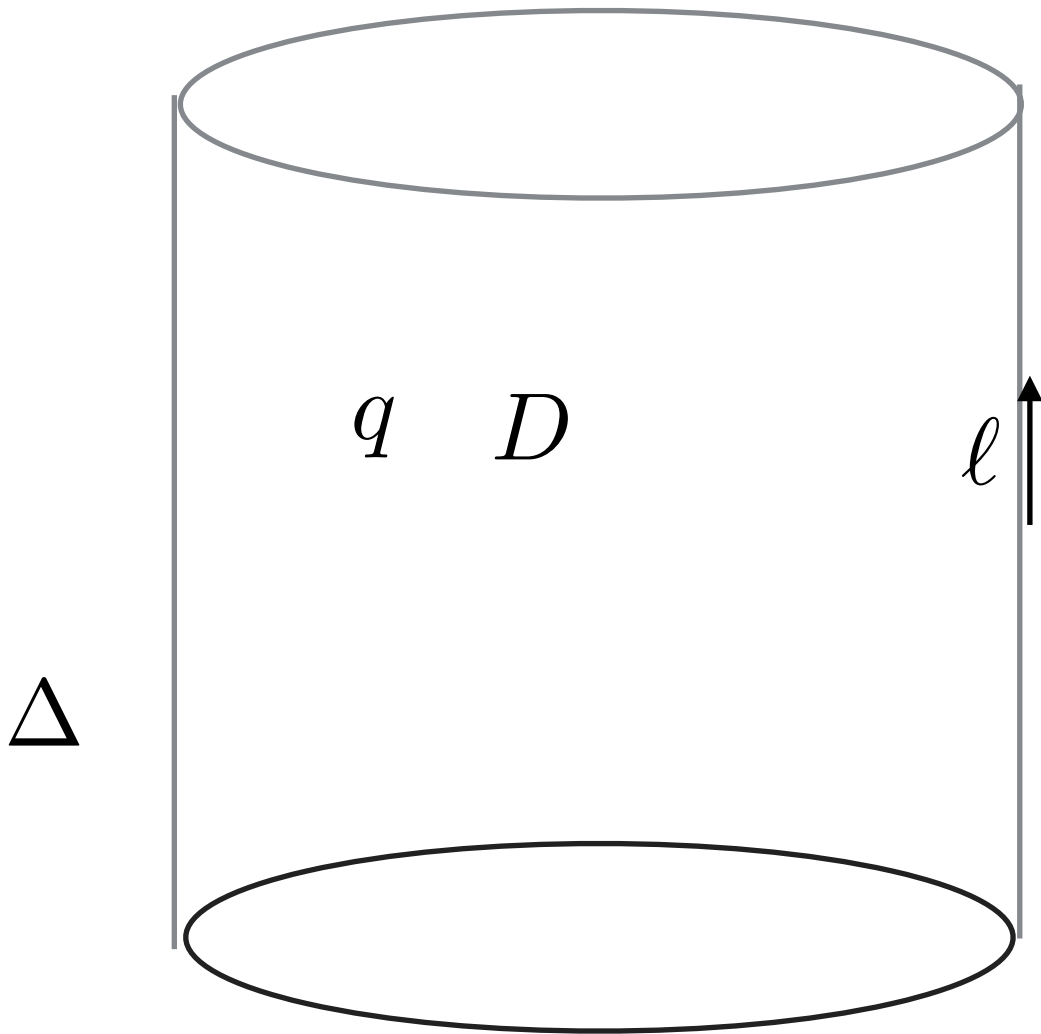
Rotation 1-form potential



Rotation 1-form potential

The rotation 1-form potential ω

$$D_a \ell^b = \omega_a \ell^b$$

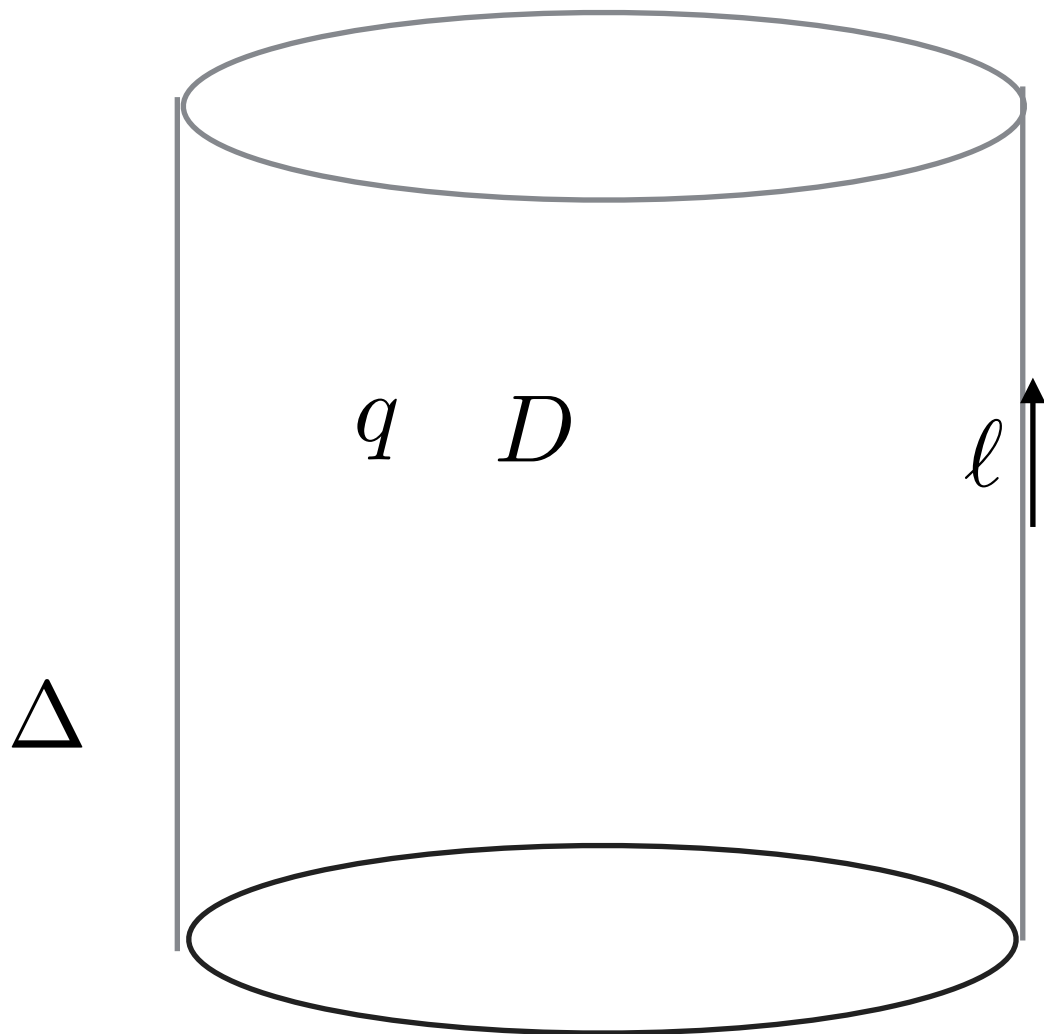


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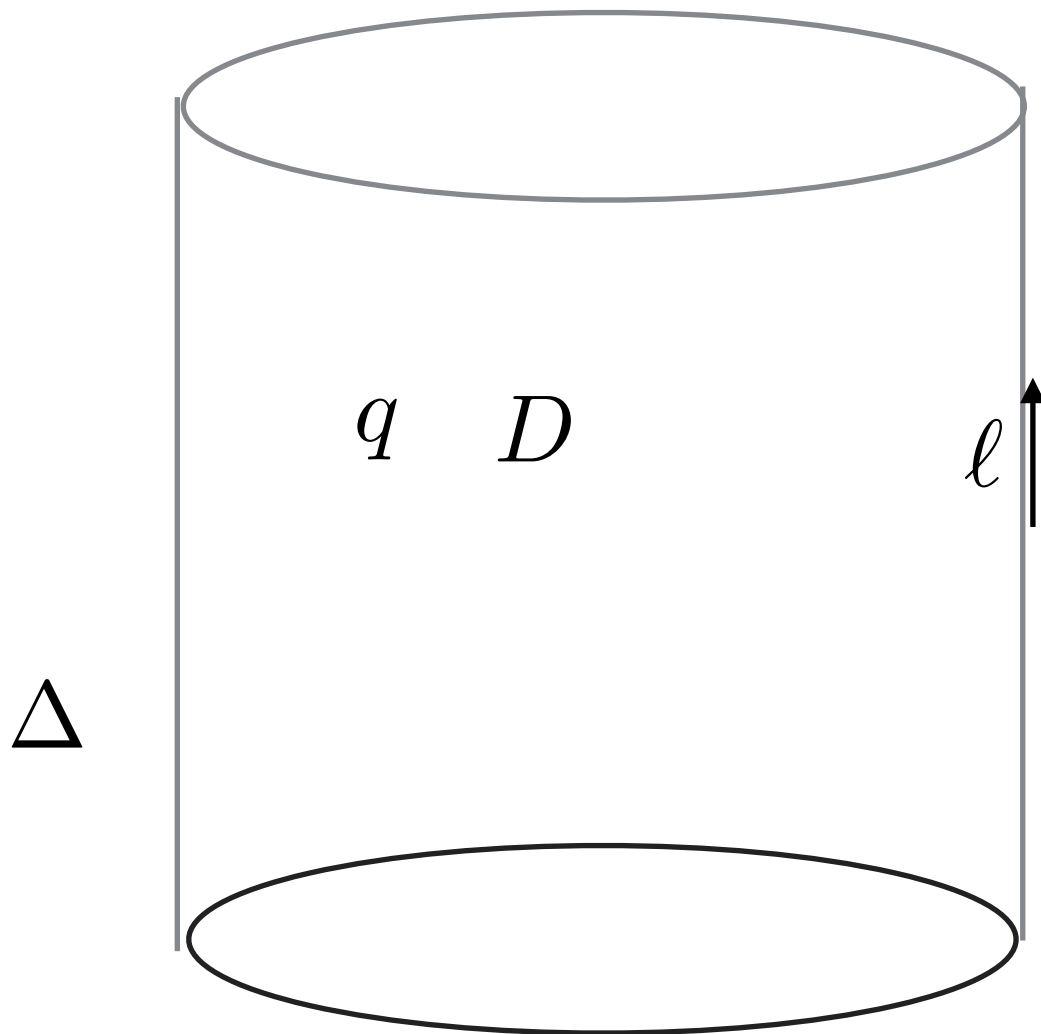
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$$l' = f l \qquad \omega' = \omega + d \ln f$$



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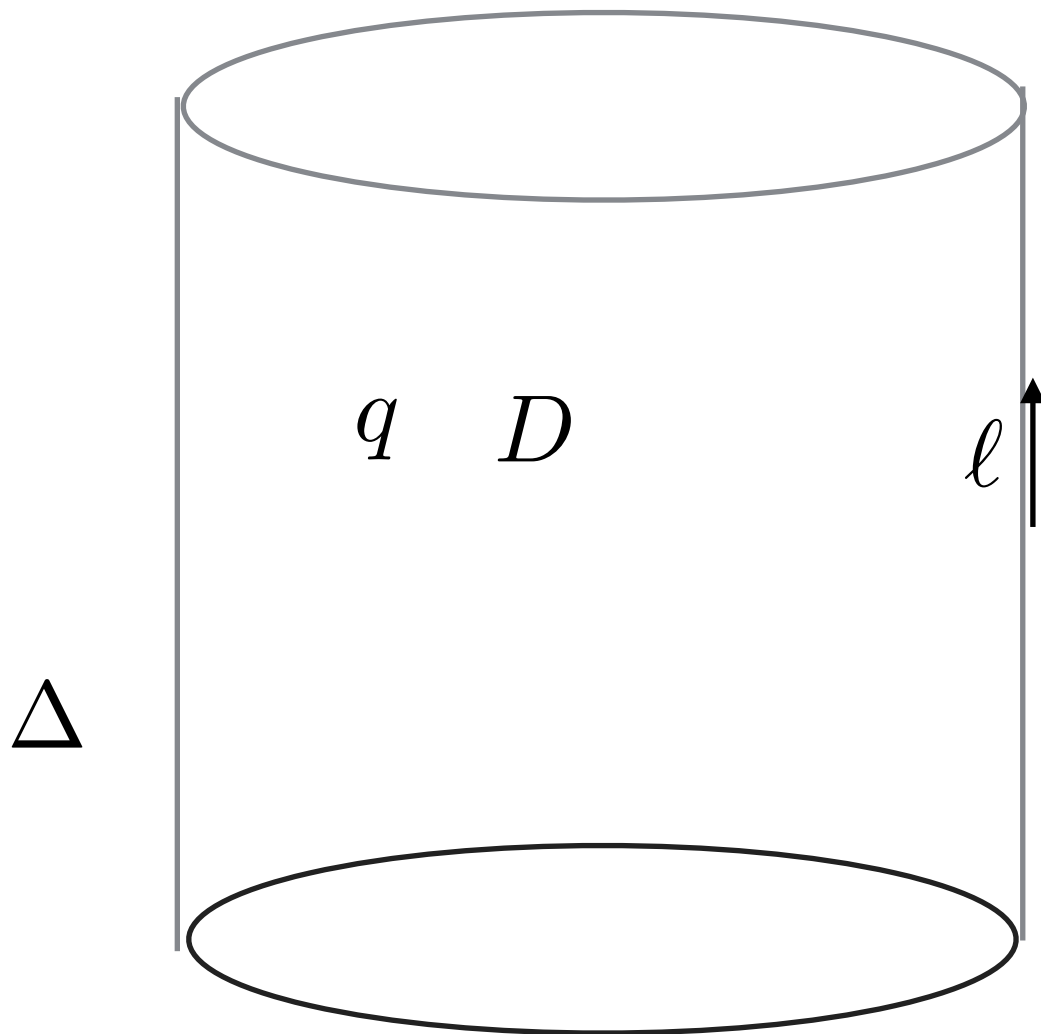
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Surface gravity κ

$$\kappa = l^a \omega_a$$

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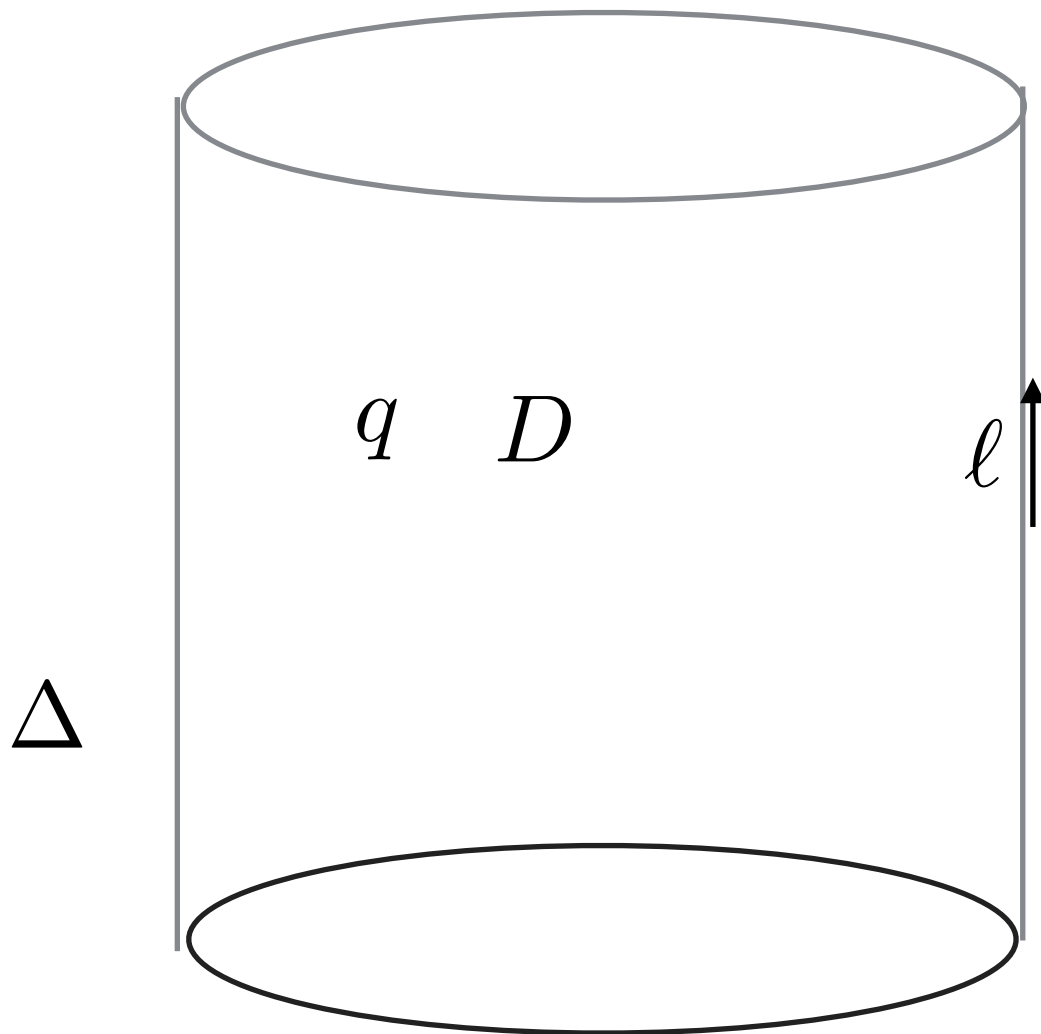
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we can make:

$$\kappa' = 0$$

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In this talk topology is trivial:

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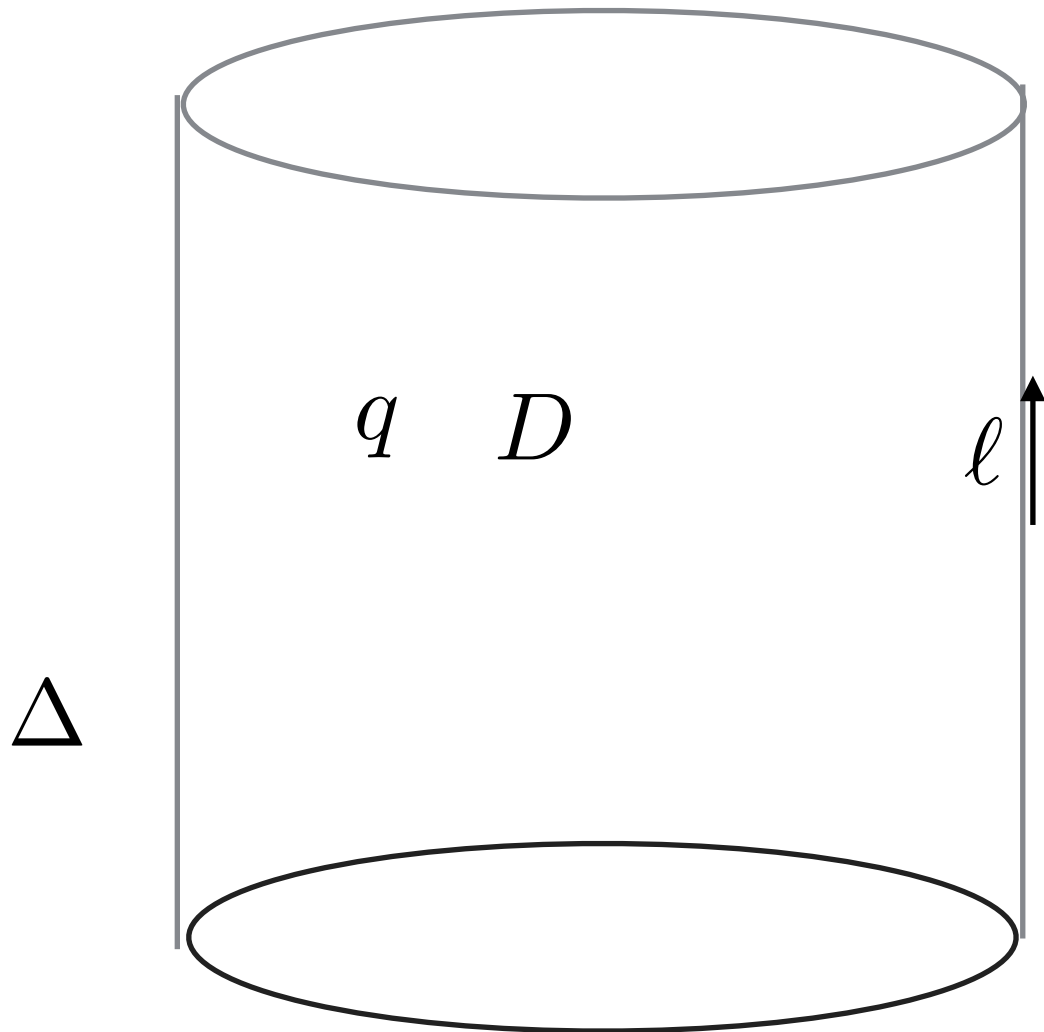
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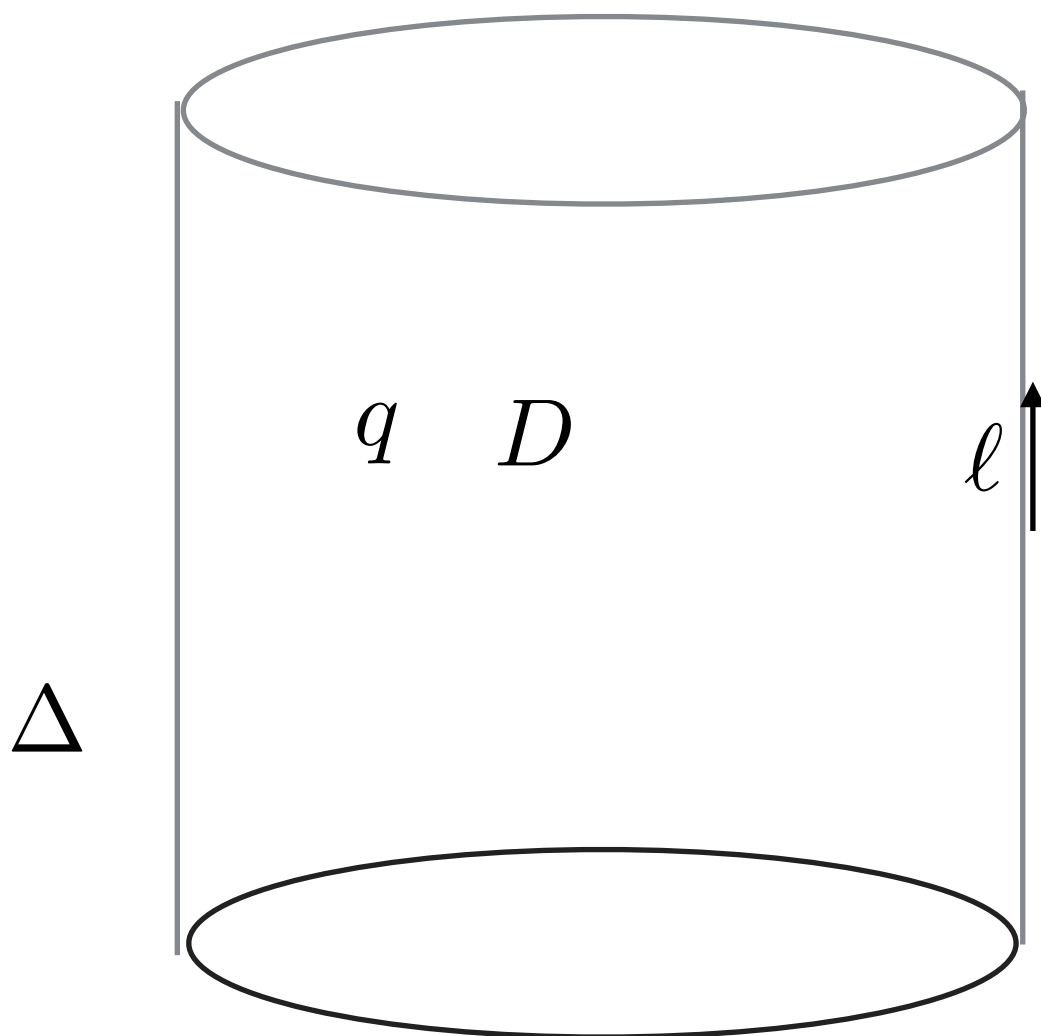
Embedded non-expanding horizon



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$$\Delta \subset M$$

becomes a co-dim 1 null surface

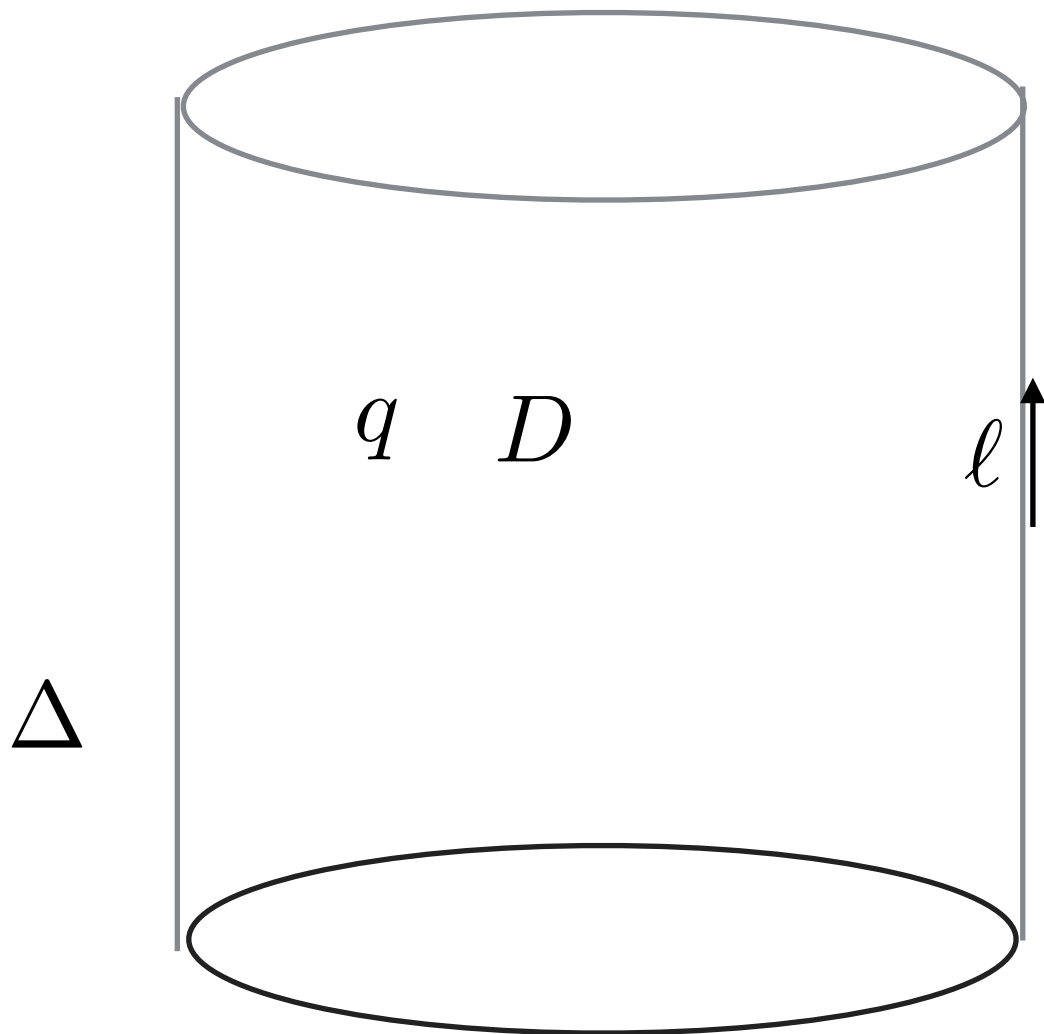


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$$D_a \kappa = \mathcal{L}_\ell \omega_a + R_{ab} \ell^b$$



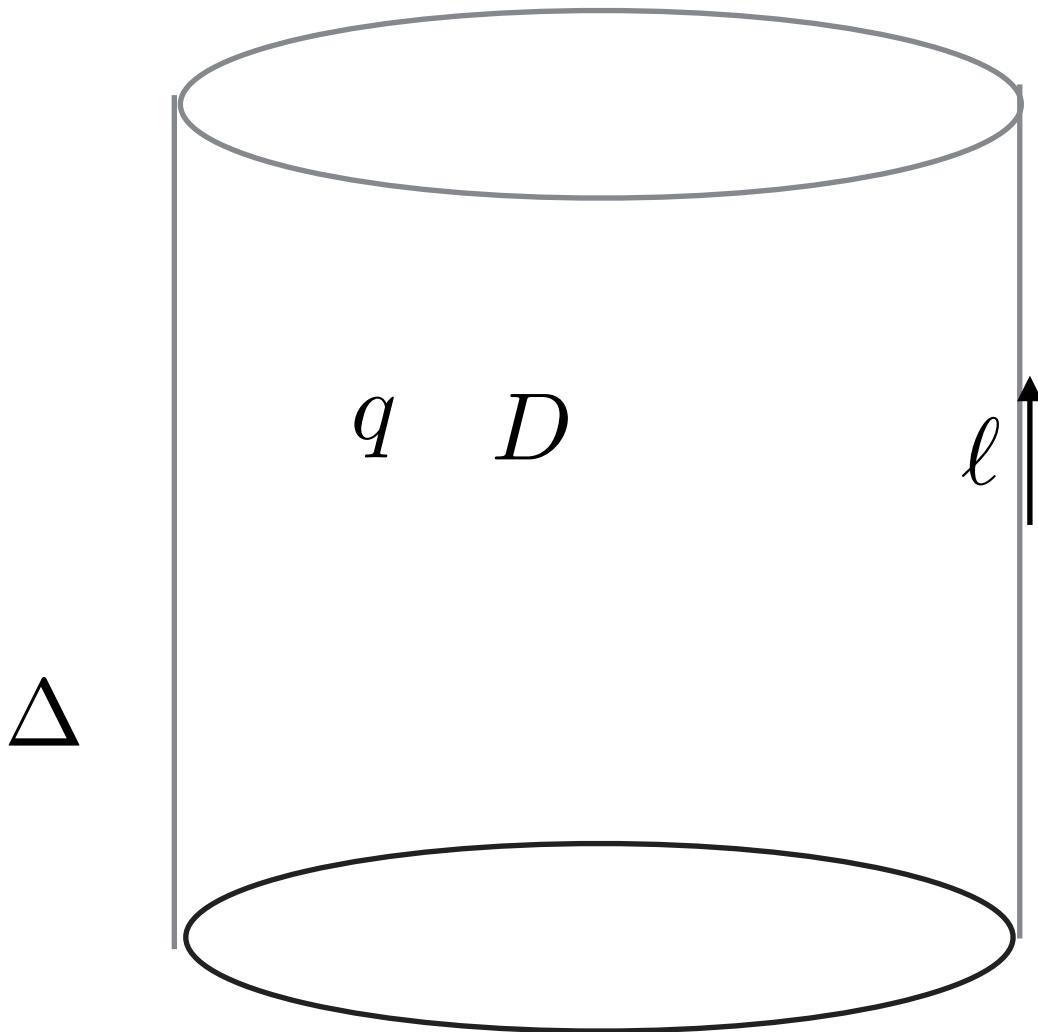
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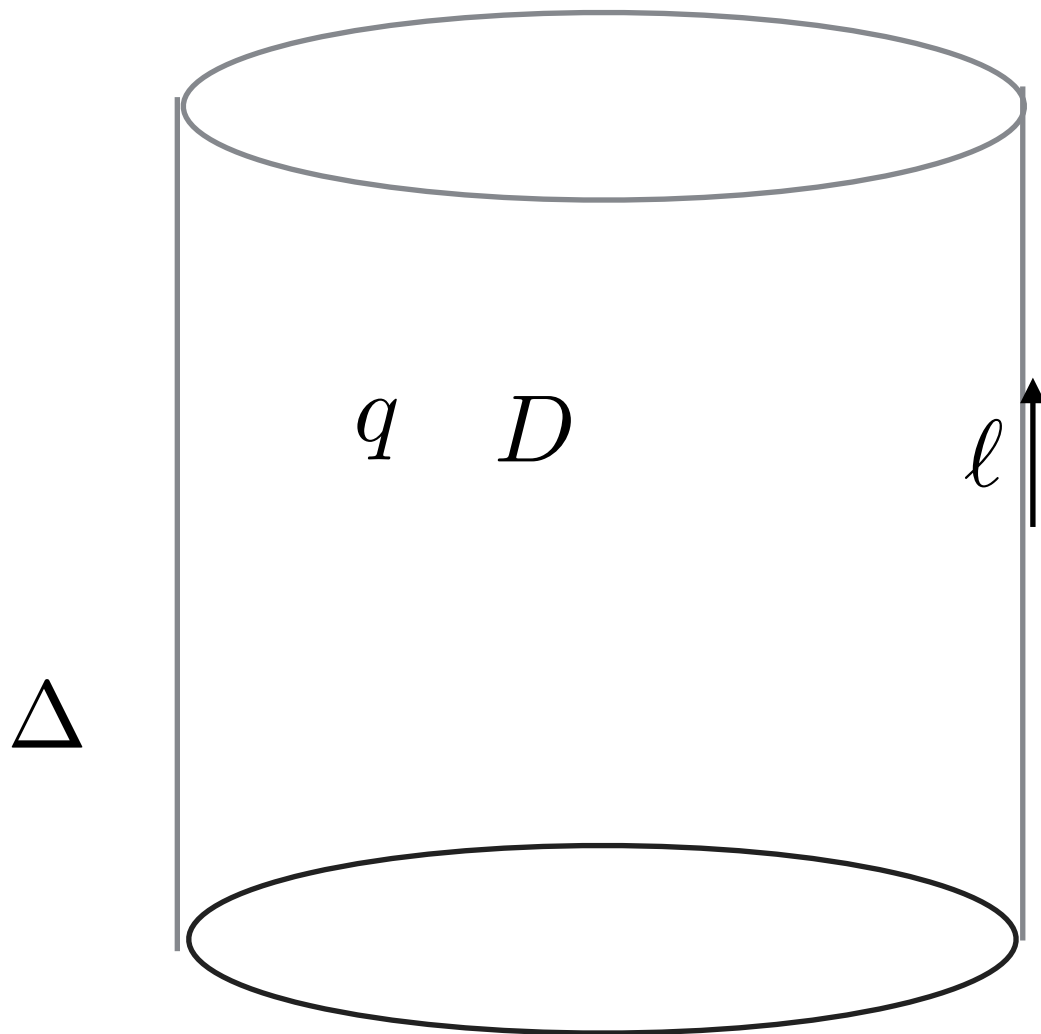
via:

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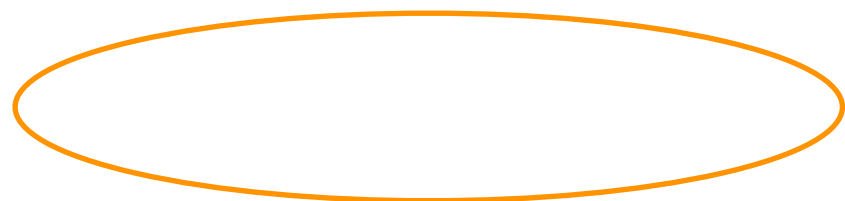
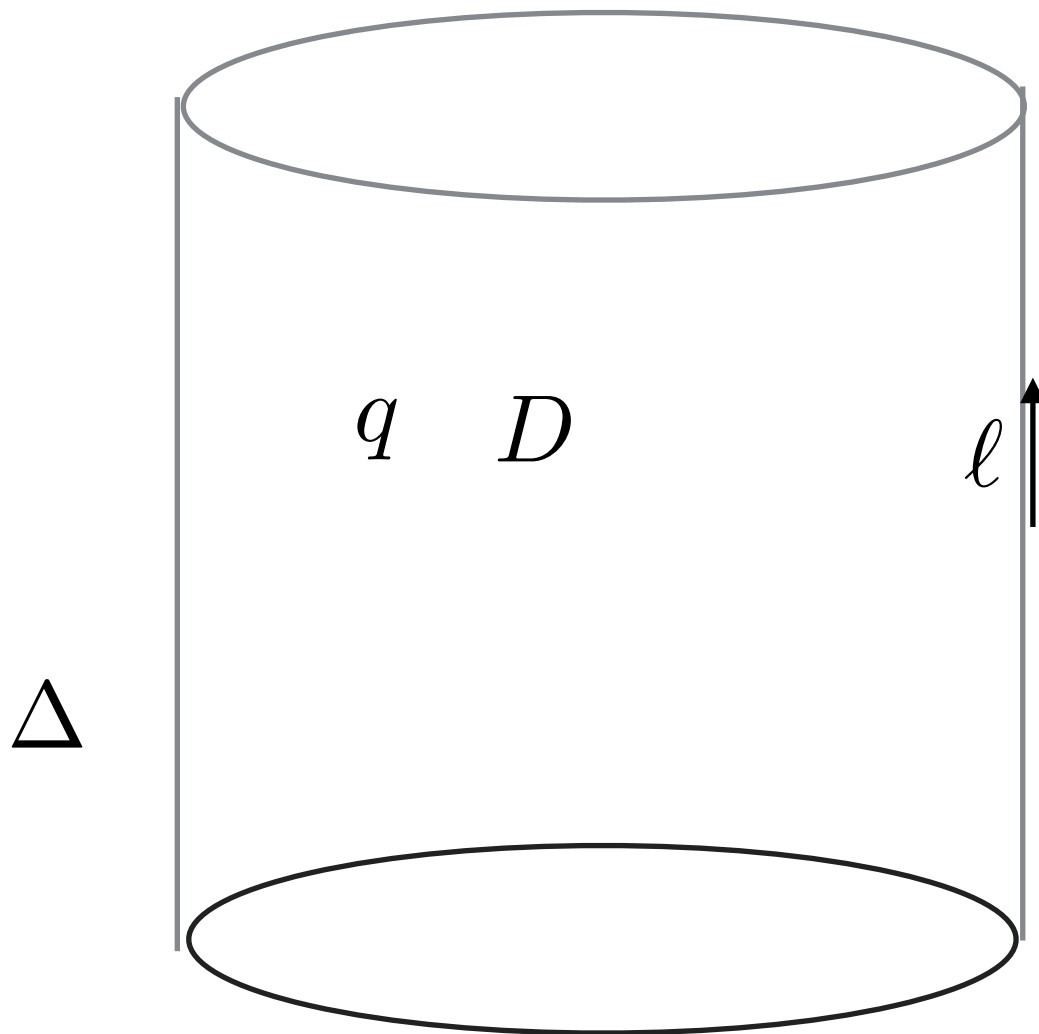
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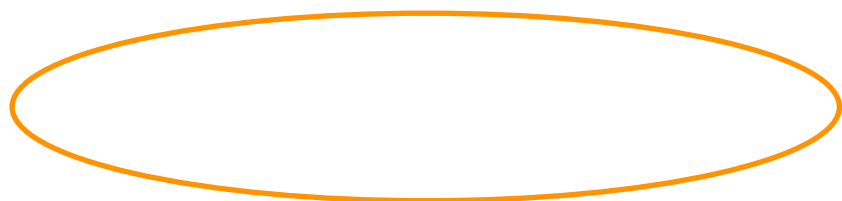
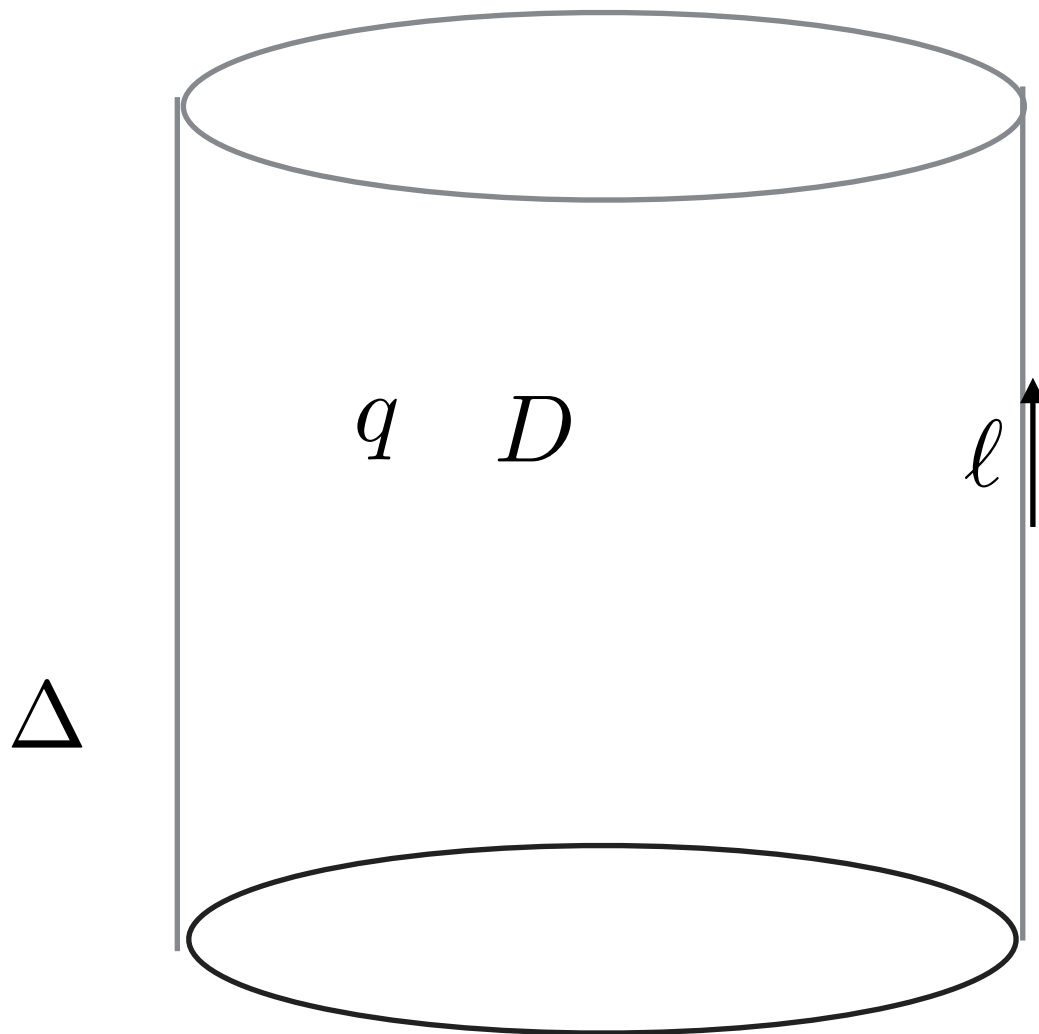
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$$q_{AB}, \omega'_A$$

$$q^{AB} D_A \omega'_B = 0$$



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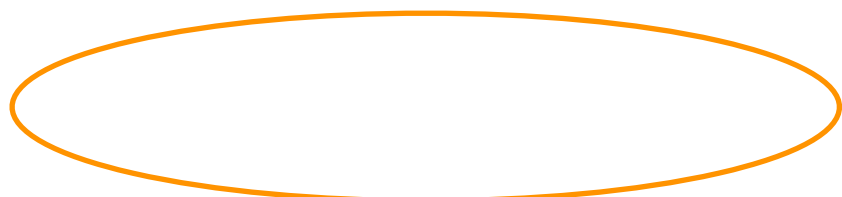
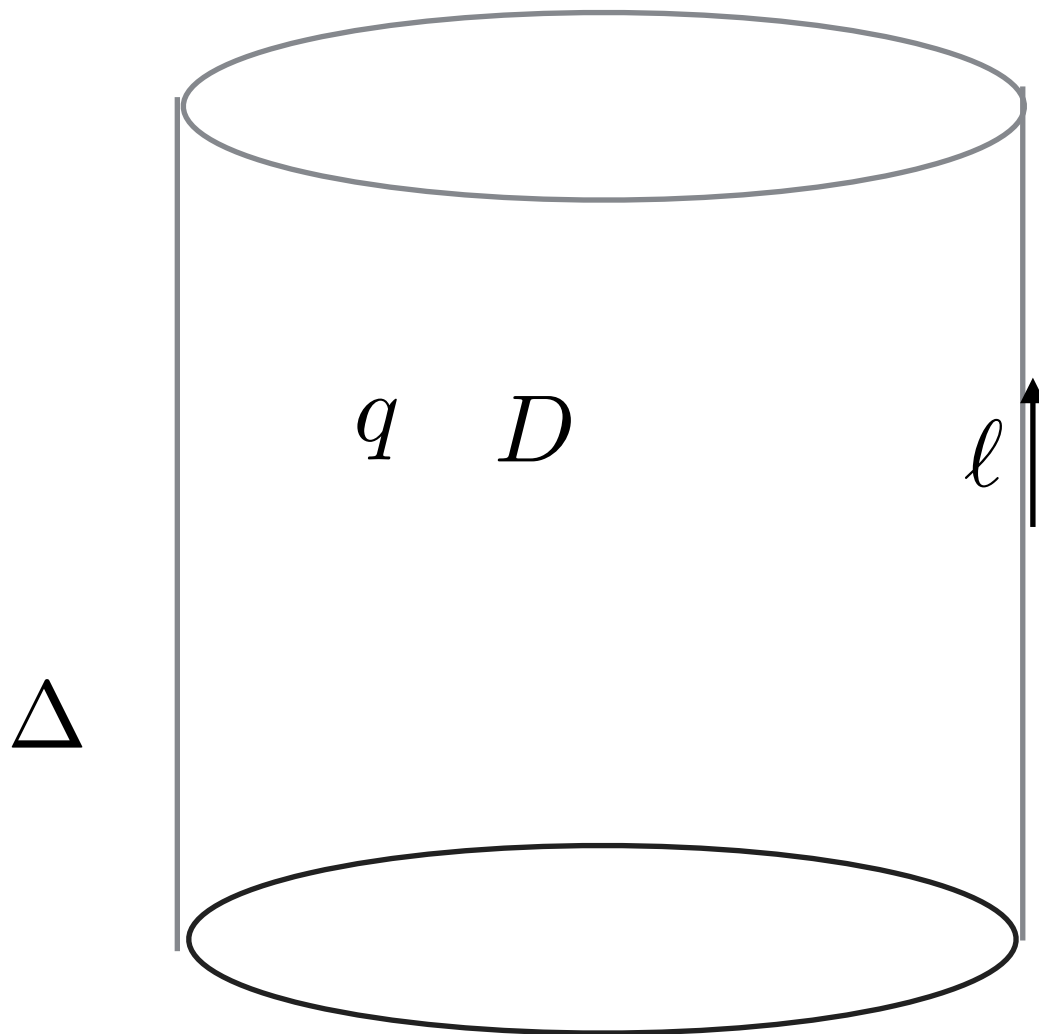
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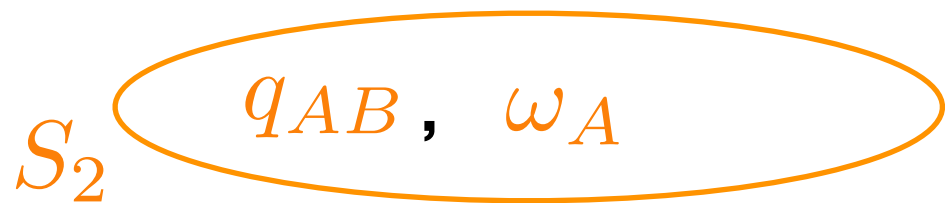
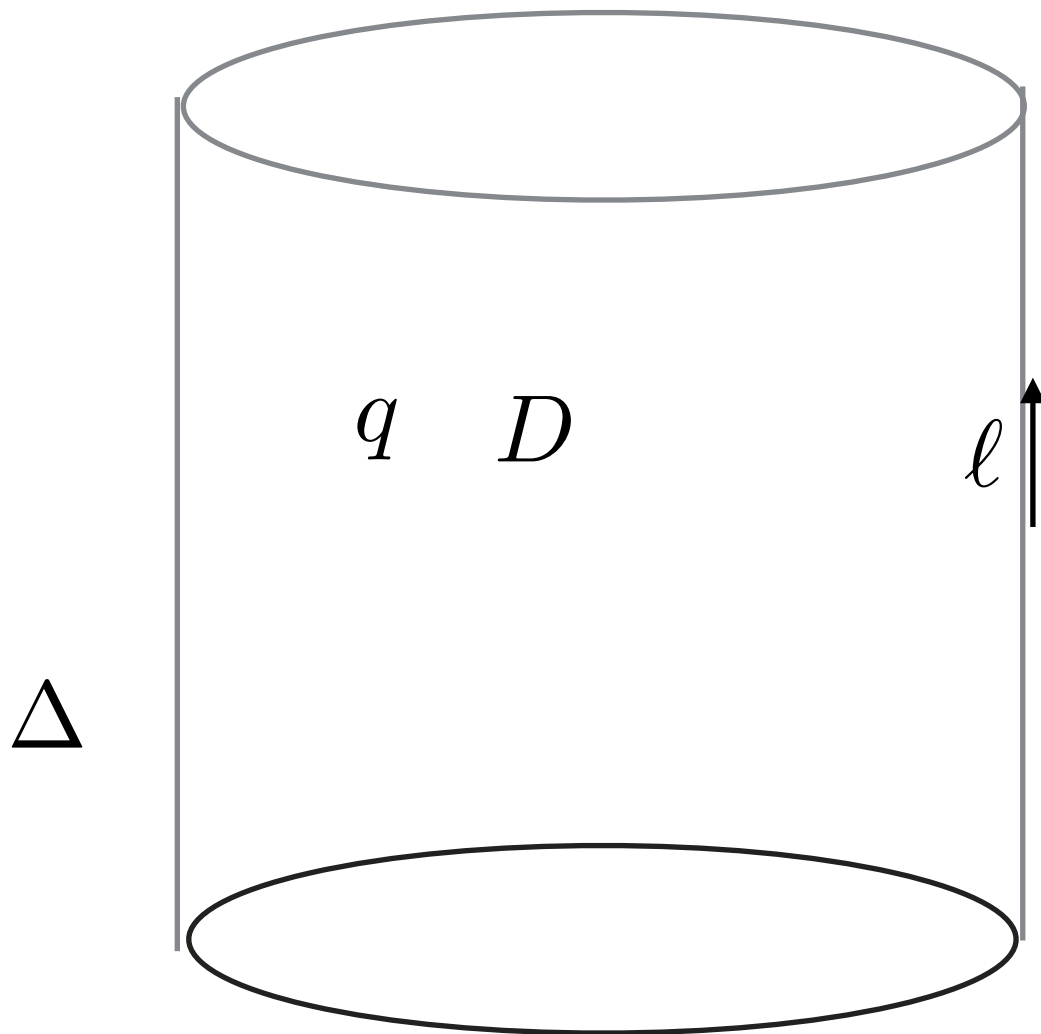
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$$D_A q_{BC} = 0 \quad D_A D_B f = D_B D_A f$$



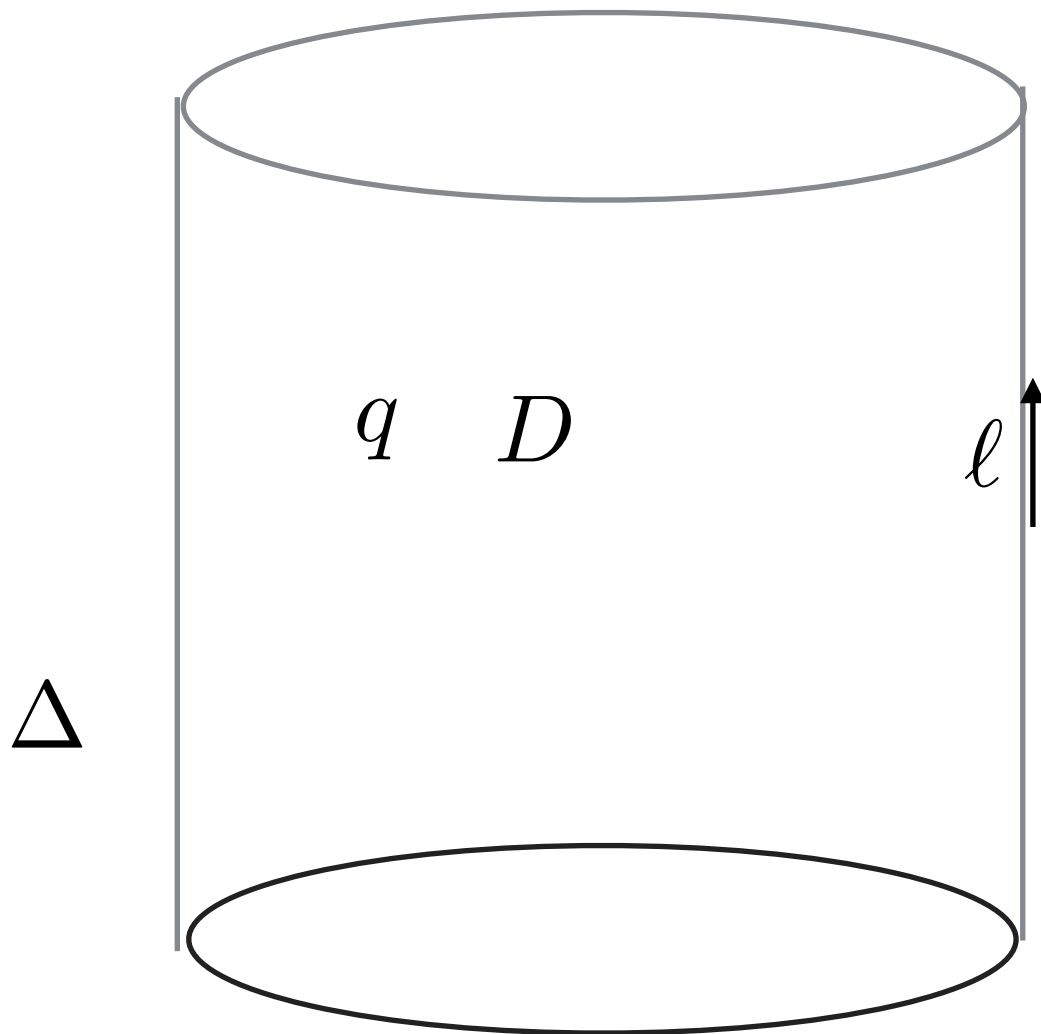
Embedded non-expanding horizons in 4d

$$\Delta = S_2 \times \mathbb{R}$$

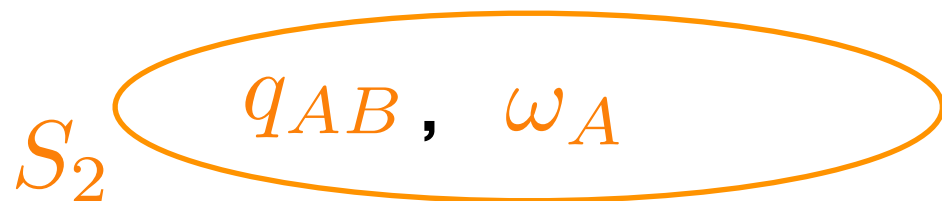


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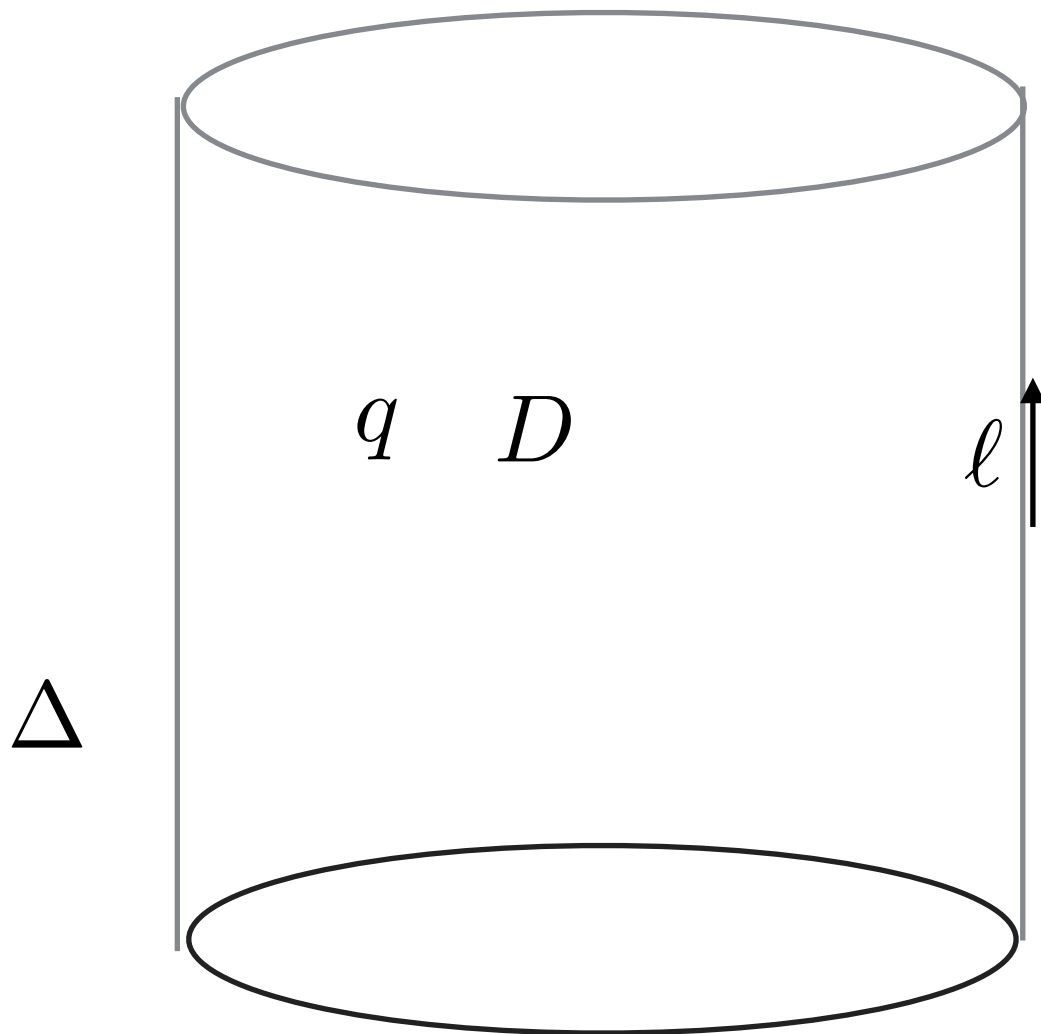


the condition:



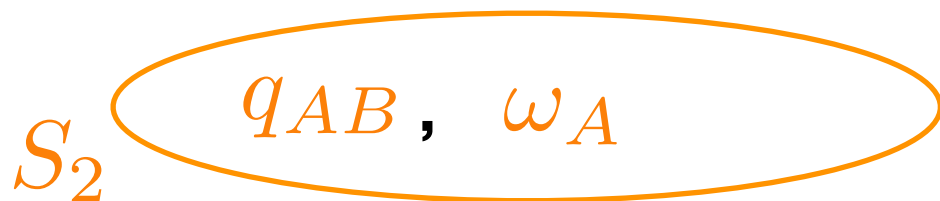
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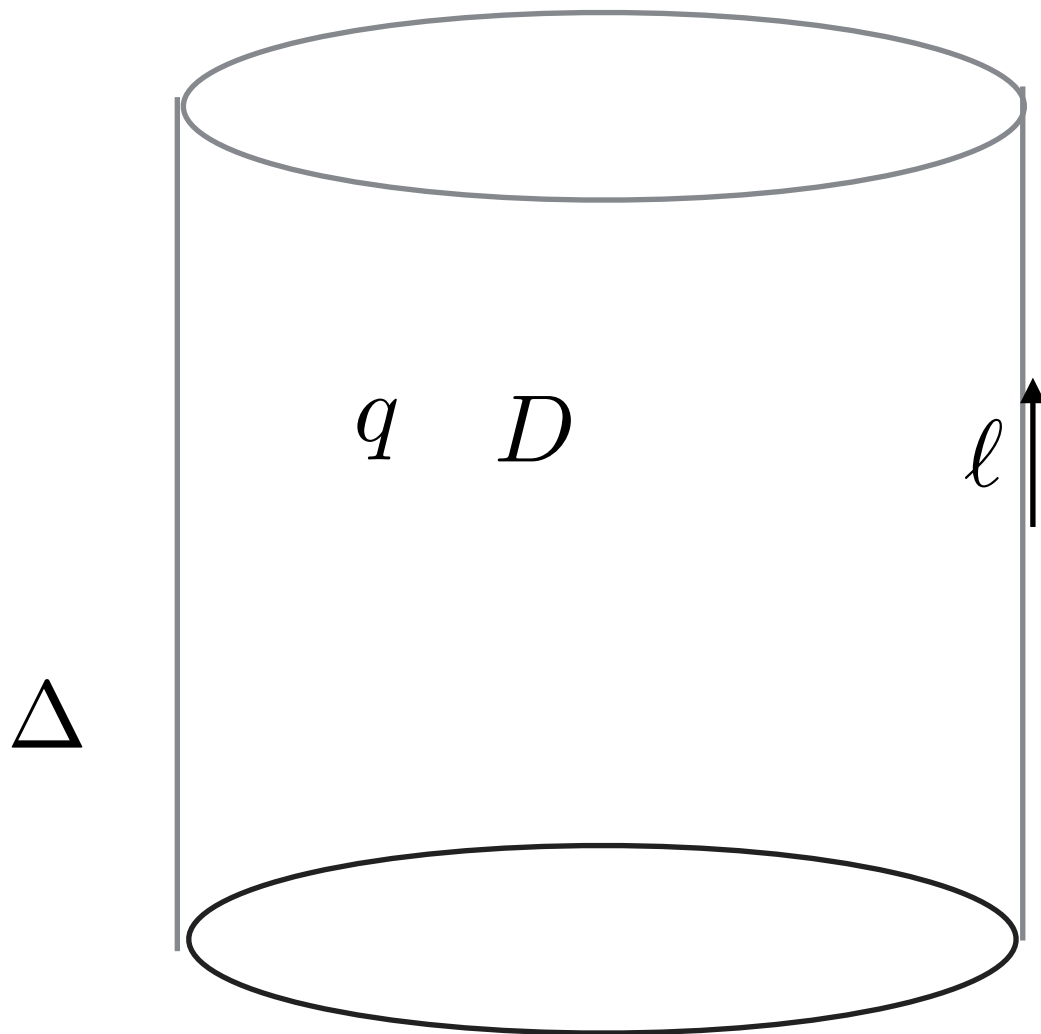
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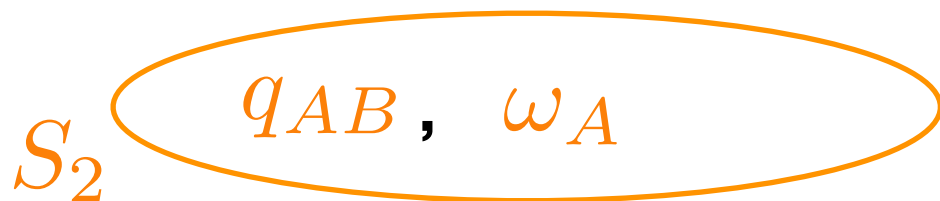
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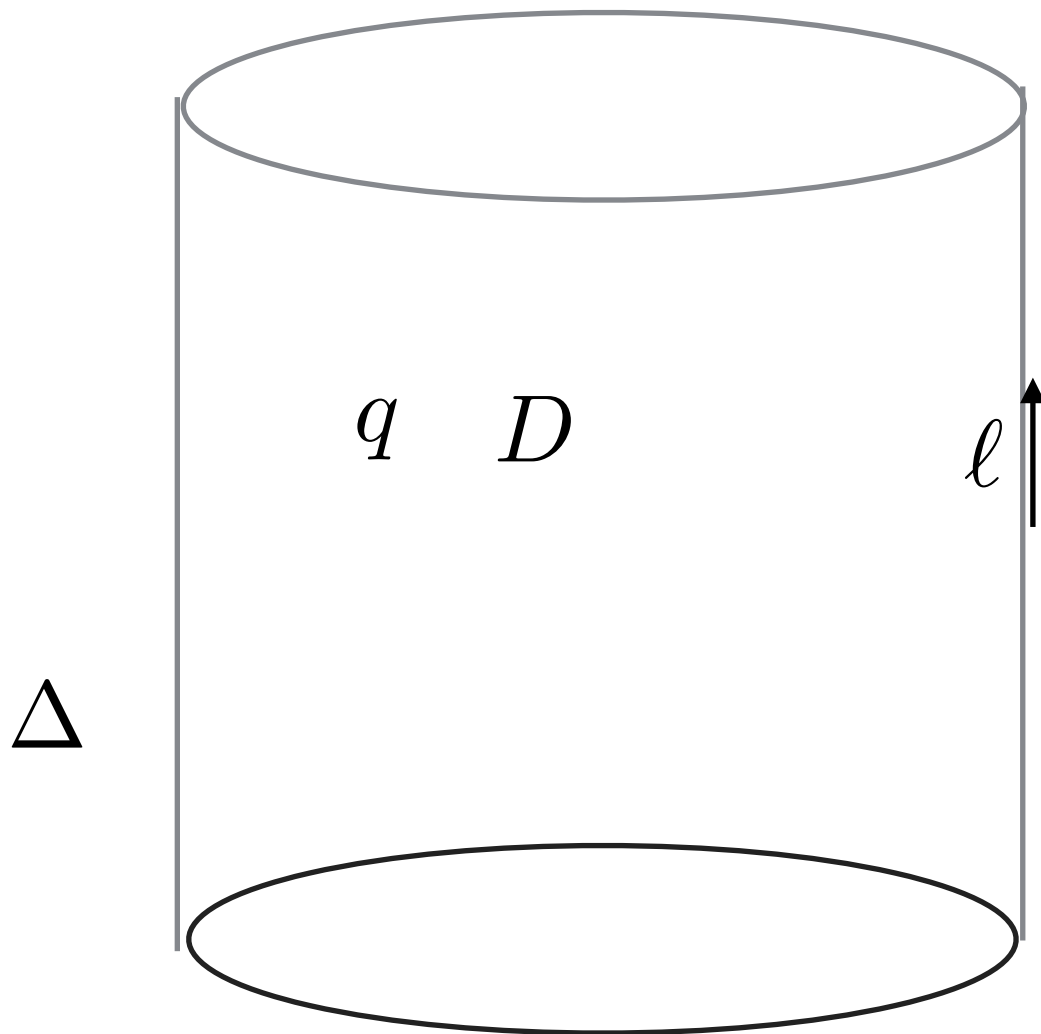
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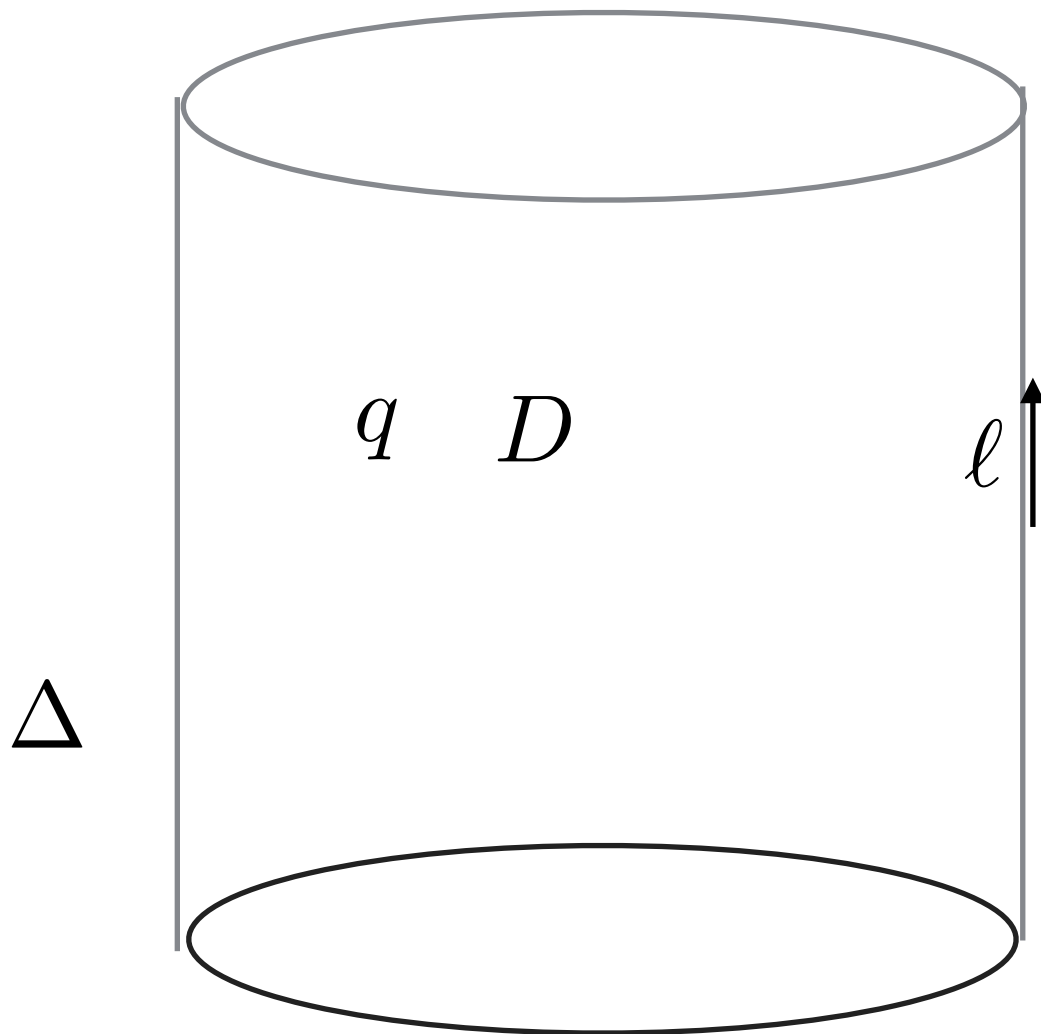
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determines l up to

$$l = al', \quad a = \text{const}$$

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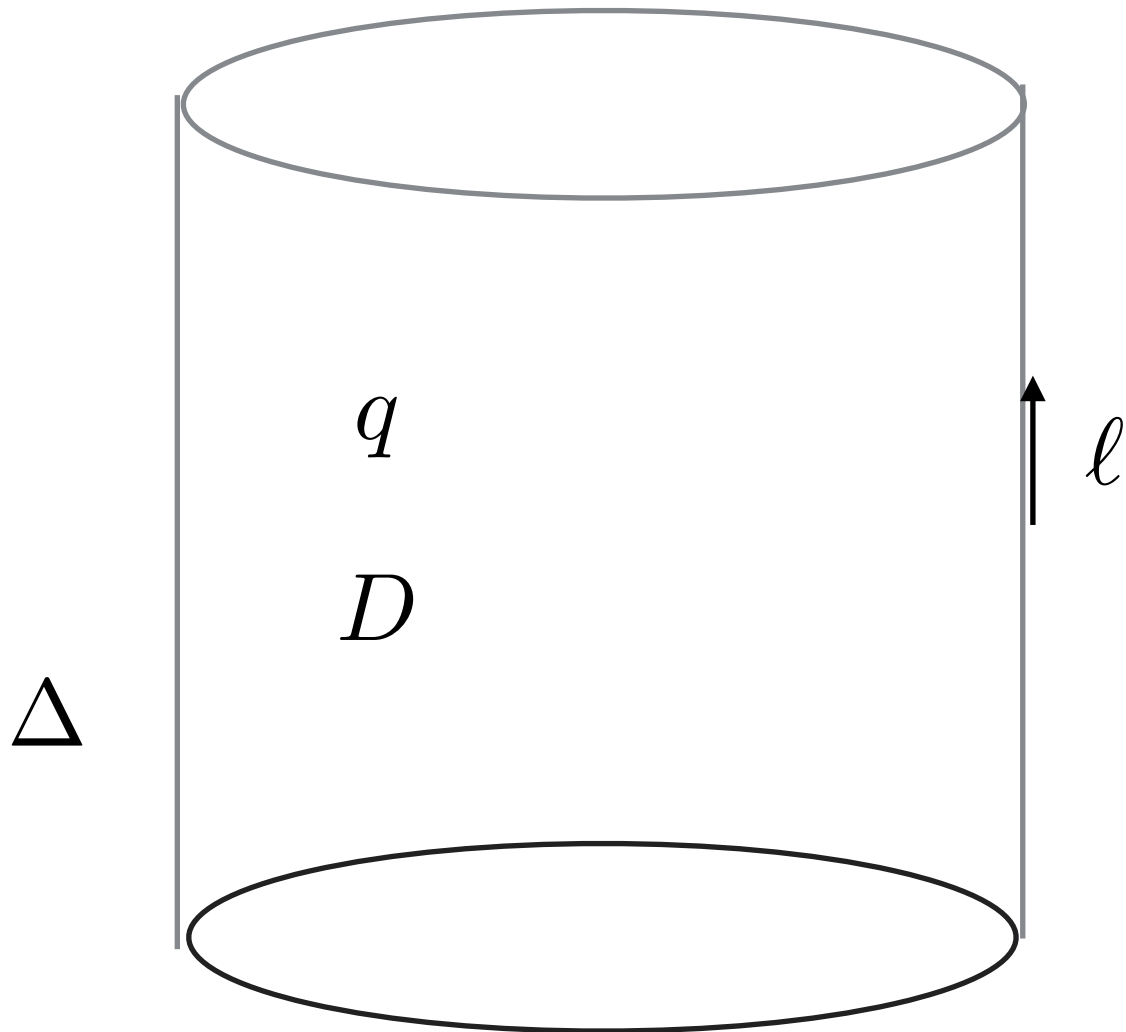
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This ambiguity will imply the extension of the BMS group

A BMS like structure of NEH

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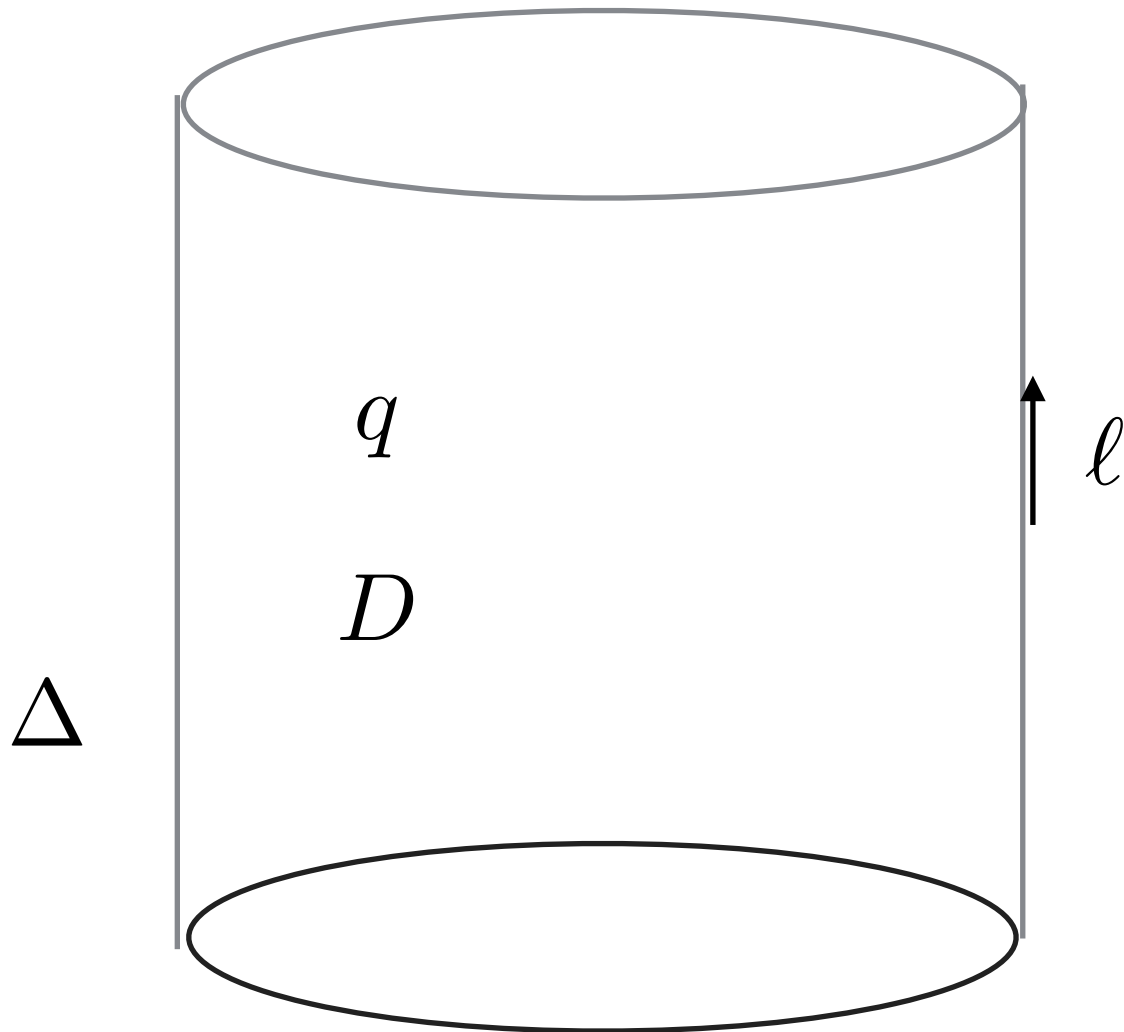


$$S_2 \quad q_{AB}, \omega_A$$
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A BMS like structure of NEH

$$\Delta = S_2 \times \mathbb{R}$$

Universal structure $[\overset{\circ}{q}, \overset{\circ}{\ell}]$

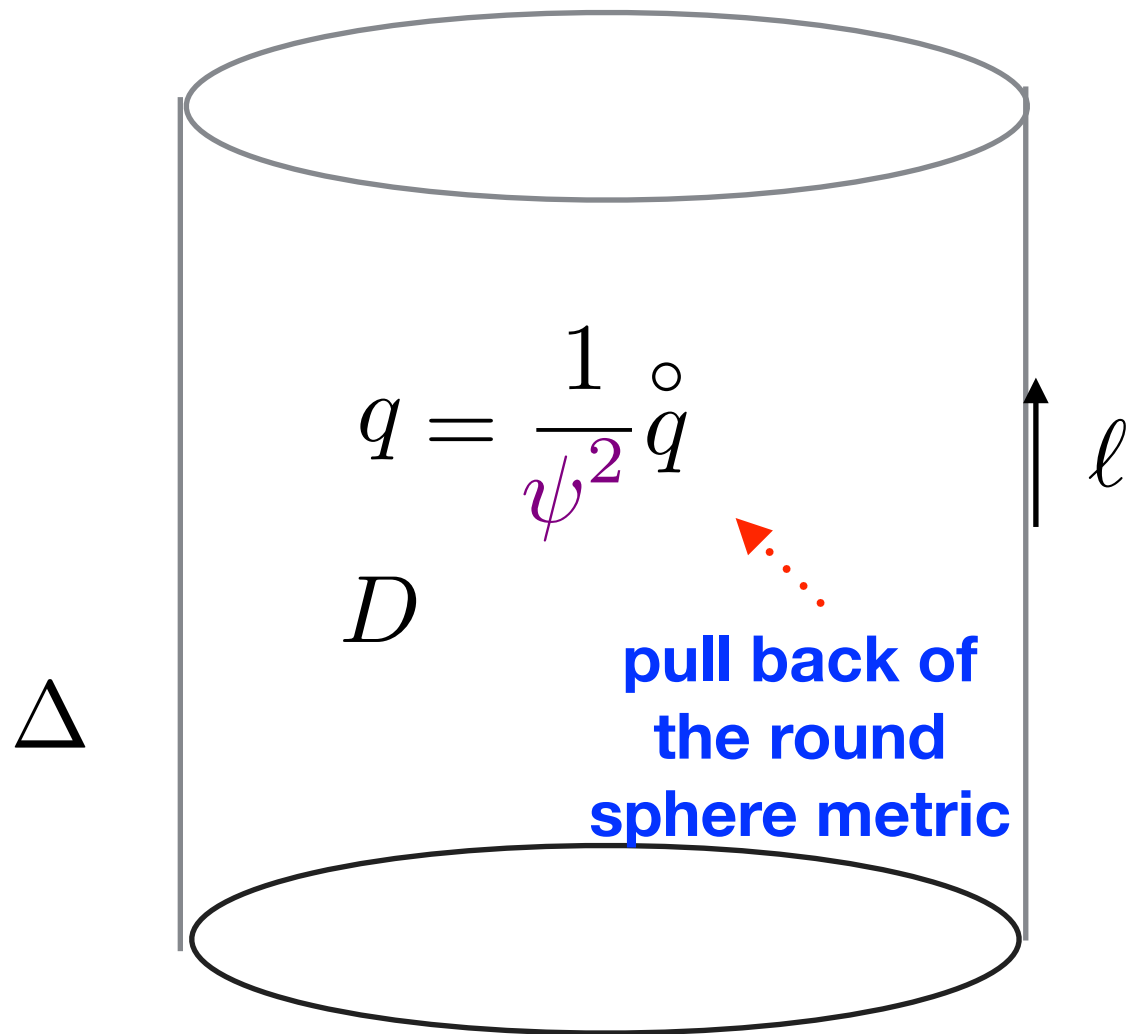


S_2 q_{AB}, ω_A
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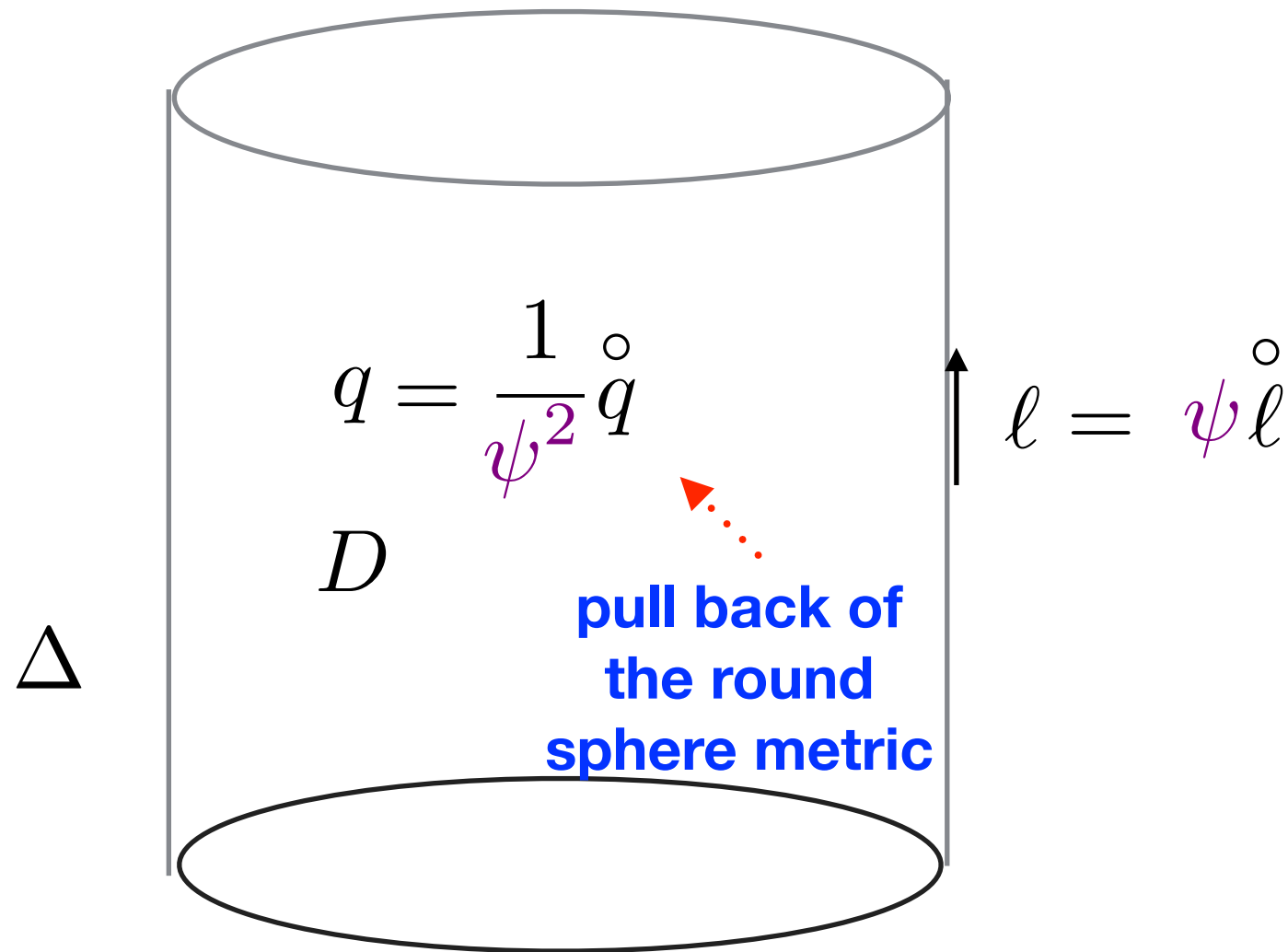
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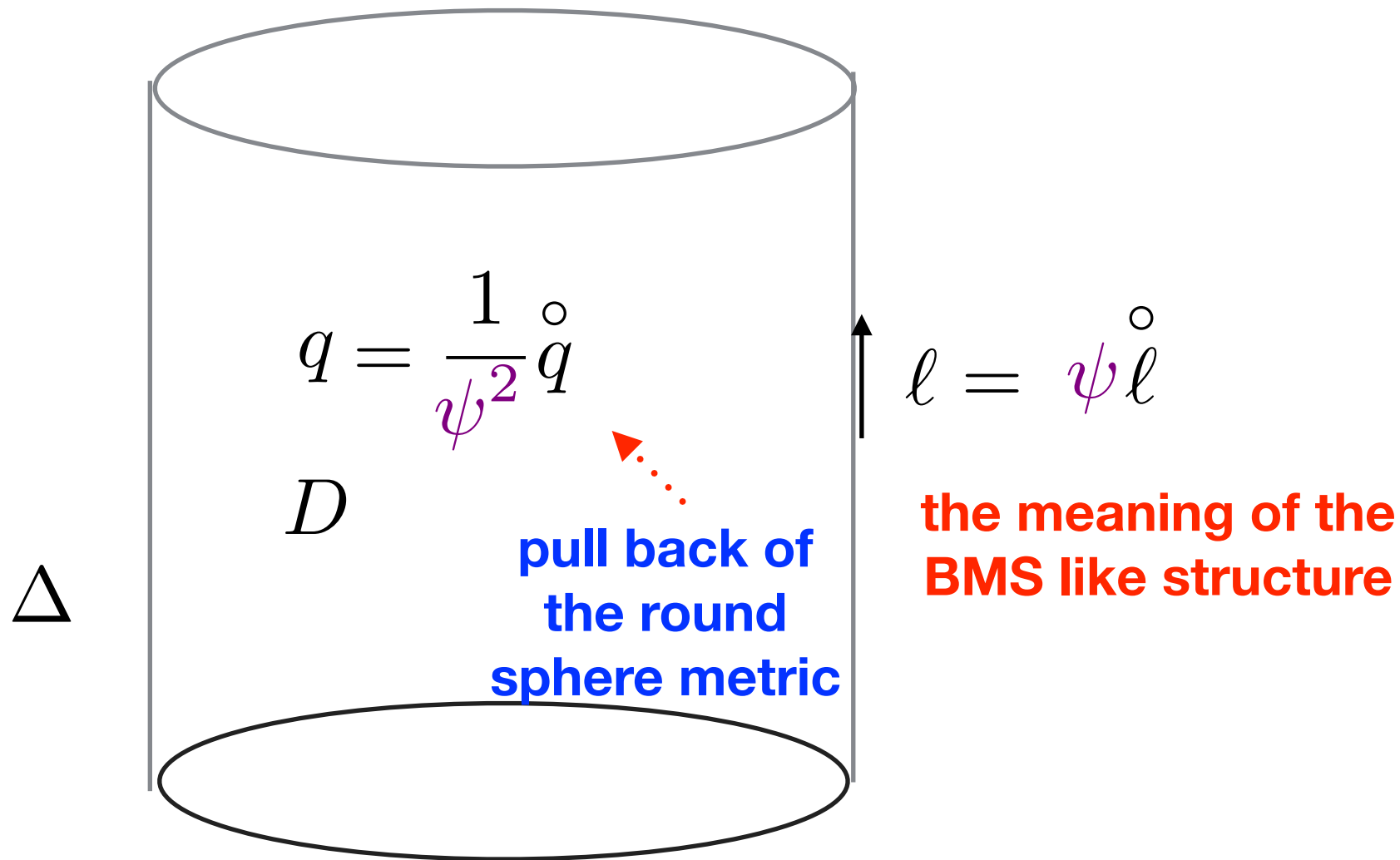
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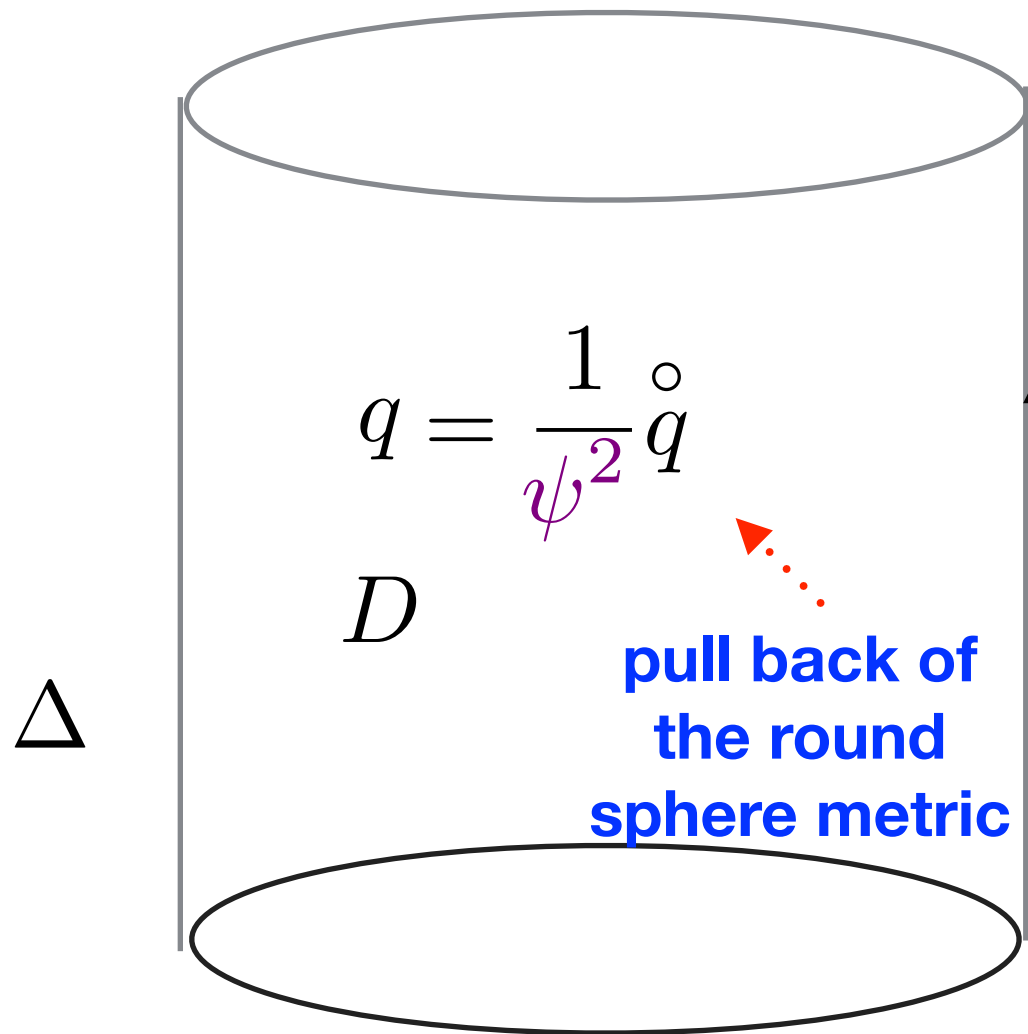
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Universal structure $[\overset{\circ}{q}, \overset{\circ}{l}]$



the 2-area elements

$$l = \psi \overset{\circ}{l} \Leftrightarrow \left(1 - \overset{\circ}{\nabla}^A \overset{\circ}{\omega}_A \right) \overset{\circ}{\epsilon} = K \epsilon$$

$$D_a l^{\circ b} =: \overset{\circ}{\omega}_a l^{\circ b}$$

the meaning of the BMS like structure

S_2

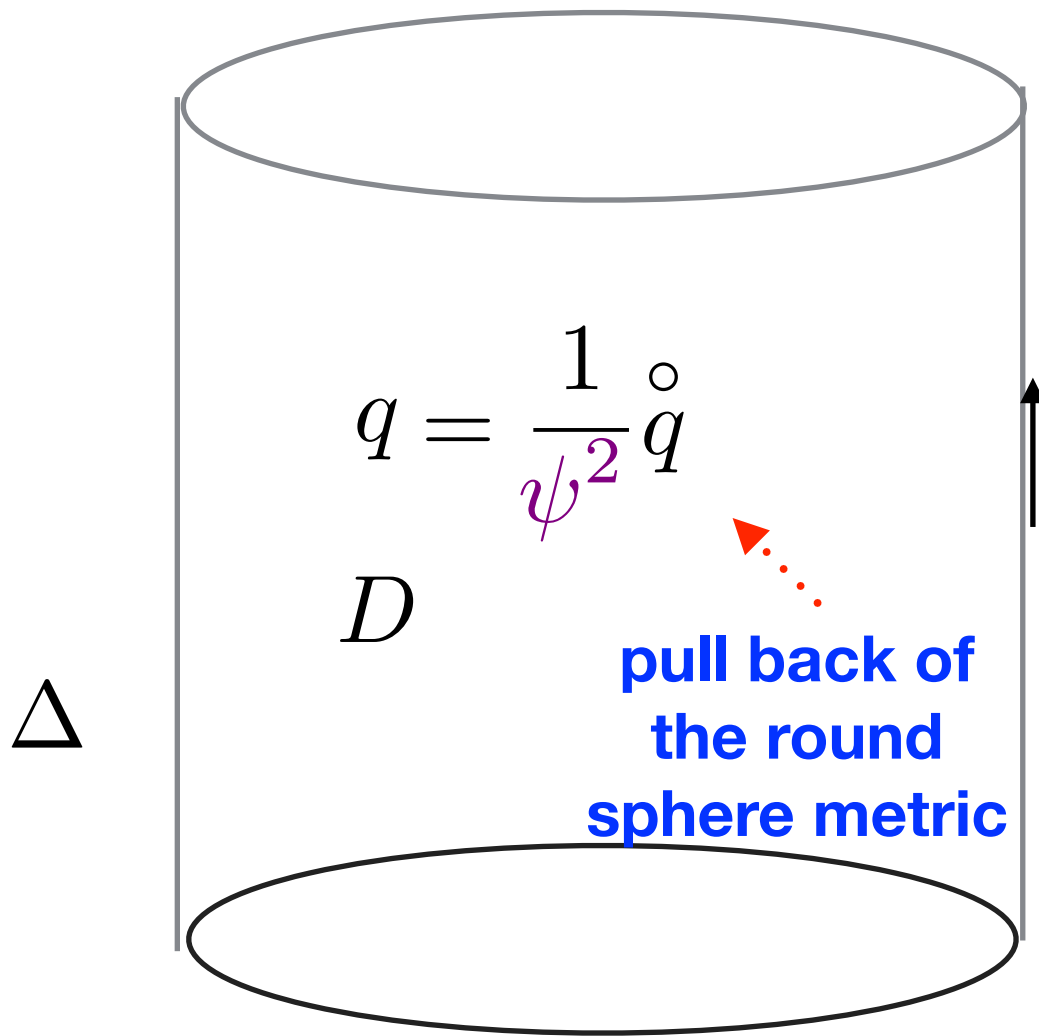
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$$D_a l =: \overset{\circ}{\omega}_a{}^{ob}$$

the meaning of the BMS like structure

ambiguity $\dots \rightarrow \overset{\circ'}{q} = \alpha^2 \overset{\circ}{q}$

$\overset{\circ'}{l} = \frac{a}{\alpha} \overset{\circ}{l}$ a constant

S_2

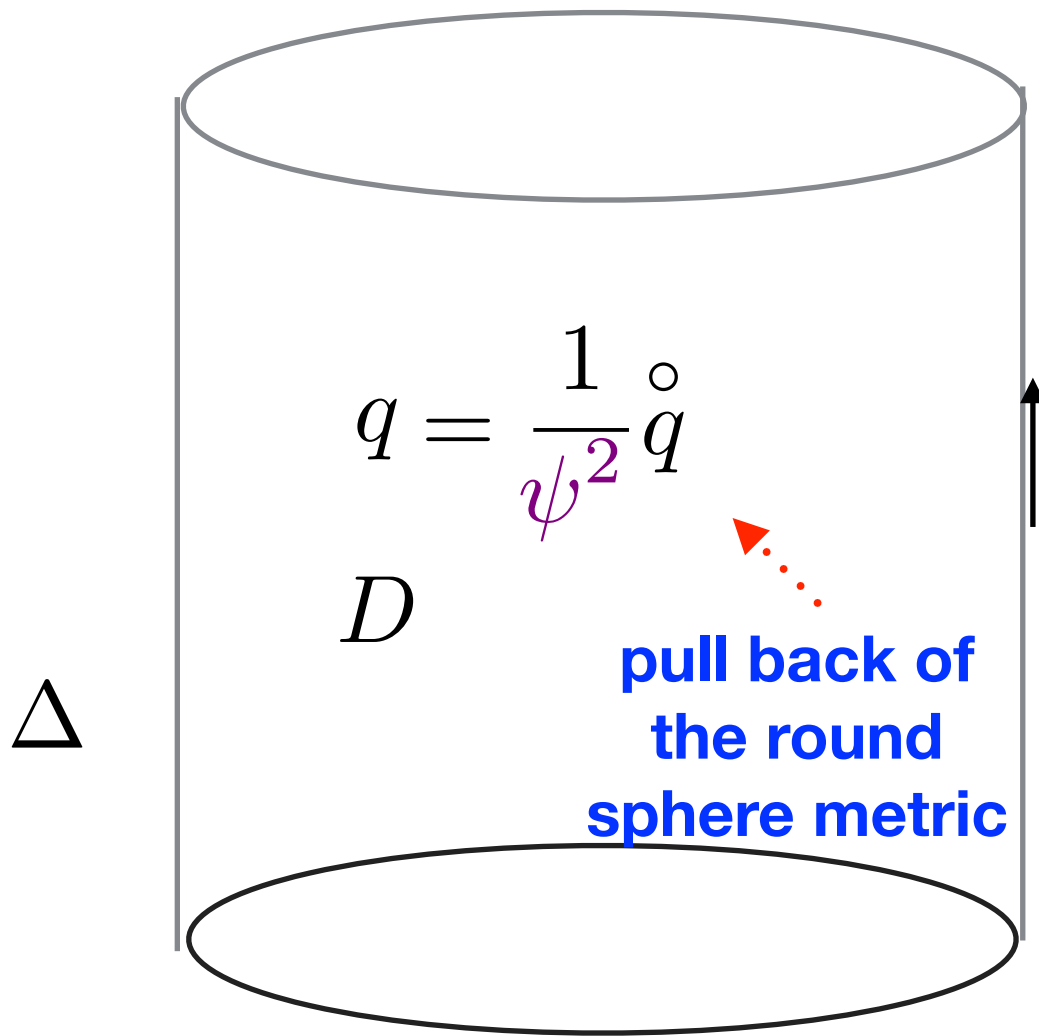
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the meaning of the BMS like structure

ambiguity $\dots \rightarrow \overset{\circ}{q}' = \alpha^2 \overset{\circ}{q}$

$$\overset{\circ}{l}' = \frac{a}{\alpha} \overset{\circ}{l}$$

a constant

$[\overset{\circ}{q}, \overset{\circ}{l}]$ is the equivalence class
the BMS like structure

S_2

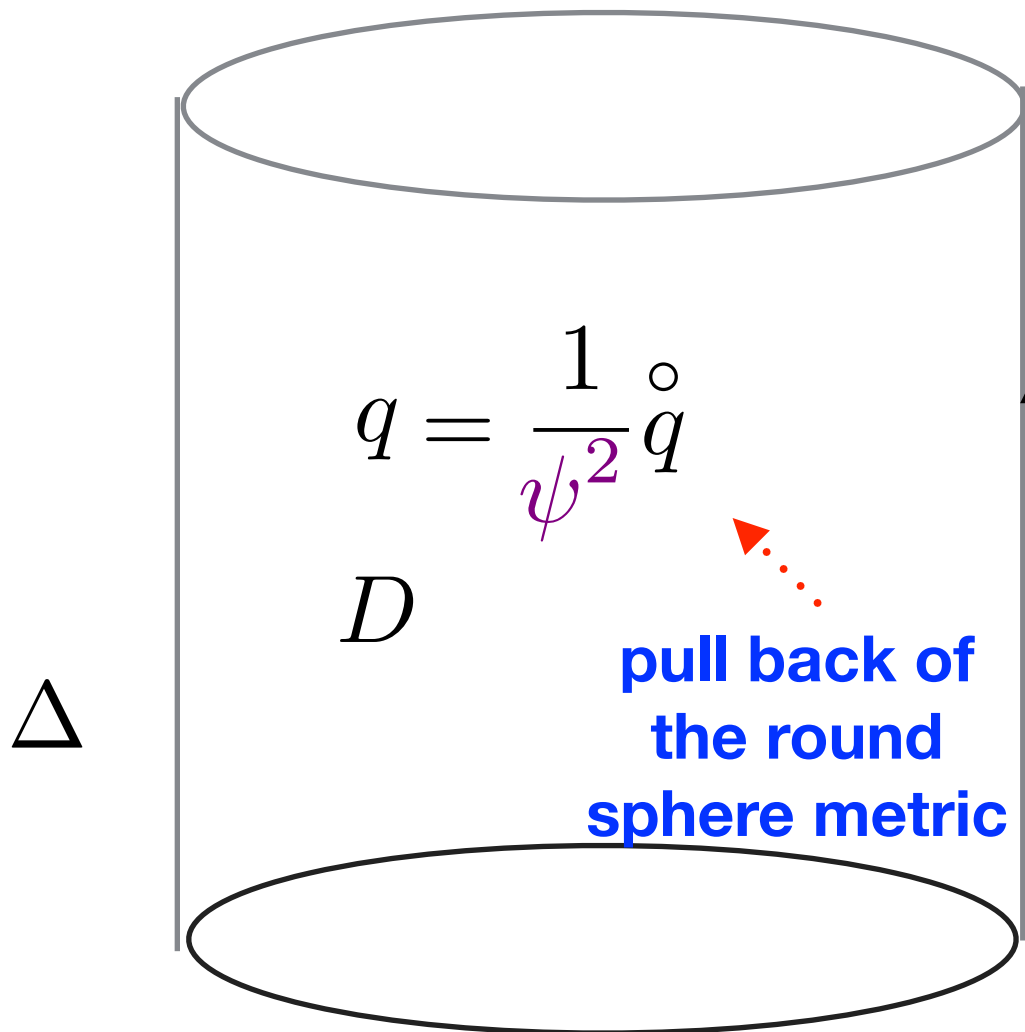
$$q_{AB}, \omega_A$$

$$q^{AB} D_A \omega_B = 0$$

A BMS like structure of NEH

$$\Delta = S_2 \times \mathbb{R}$$

Universal structure $[\overset{\circ}{q}, \overset{\circ}{l}]$



$$l = \psi \overset{\circ}{l}$$

ambiguity $\dots \rightarrow \overset{\circ}{q}' = \alpha^2 \overset{\circ}{q}$

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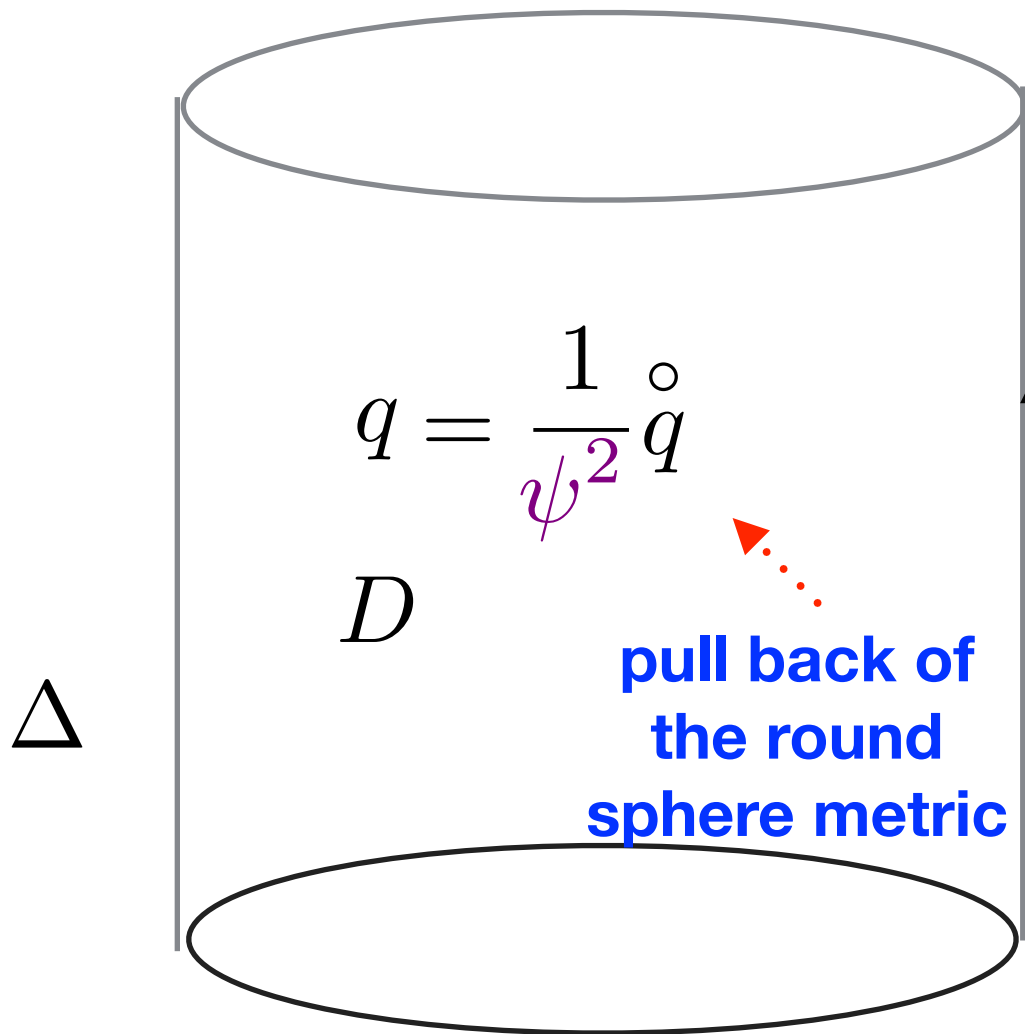
$$\underline{\alpha}^{-1} = \alpha_0 + \sum_{i=1}^3 \alpha_i \hat{r}^i, \quad \text{for real constants } \alpha_0 \text{ and } \alpha_i, \text{ with}$$

$$\hat{r}^i = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta) \quad \text{and} \quad -\alpha_0^2 + \sum_{i=1}^3 (\alpha_i)^2 = -1,$$

A BMS like structure of NEH

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$\dots \rightarrow$ a constant

$\overset{\circ}{l}' = \frac{a \overset{\circ}{l}}{\alpha}$

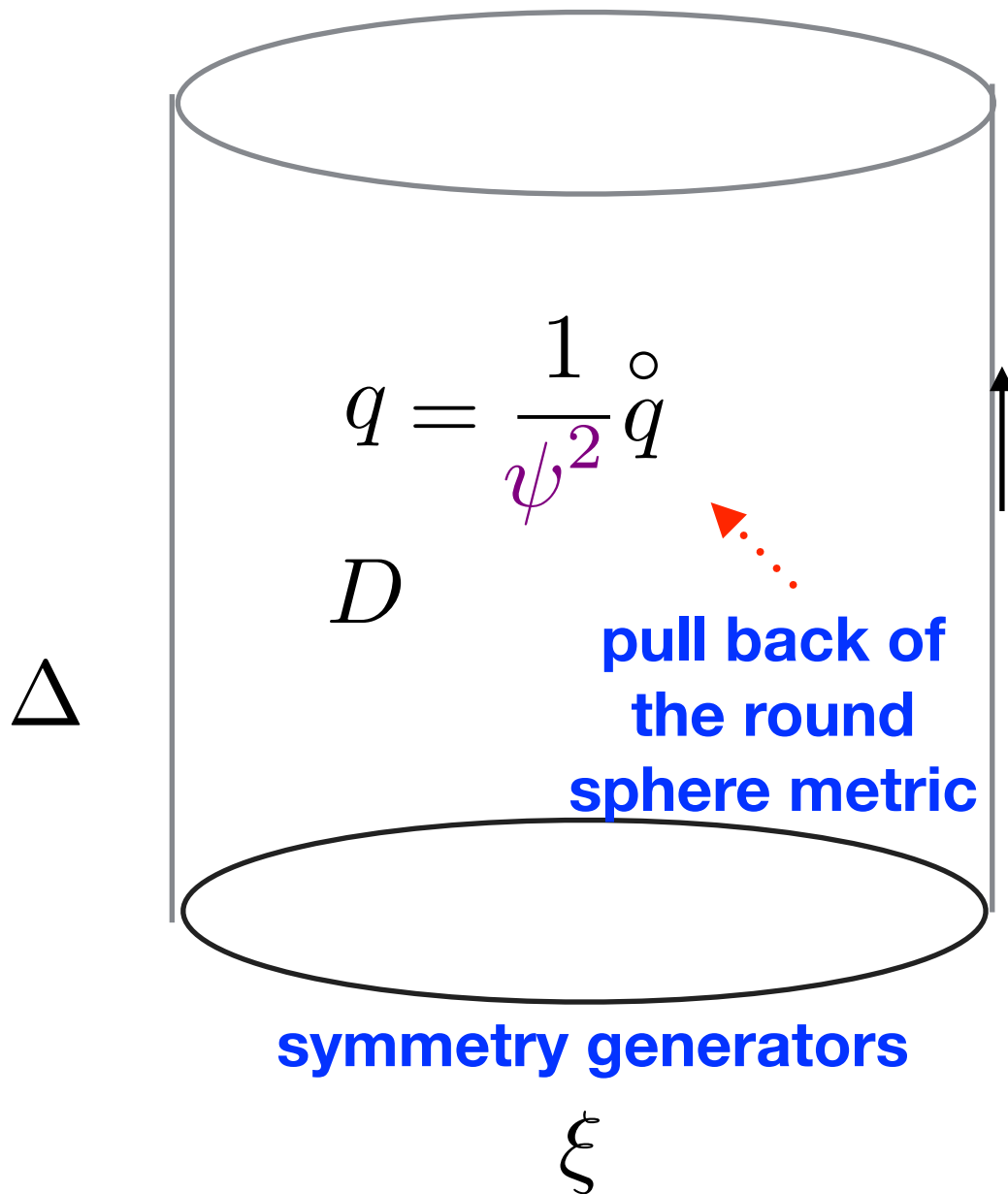
$[\overset{\circ}{q}, \overset{\circ}{l}]$ is the equivalence class
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the SYMMETRIES are all the $\Delta \rightarrow \Delta$
that preserve $[\overset{\circ}{q}, \overset{\circ}{l}]$

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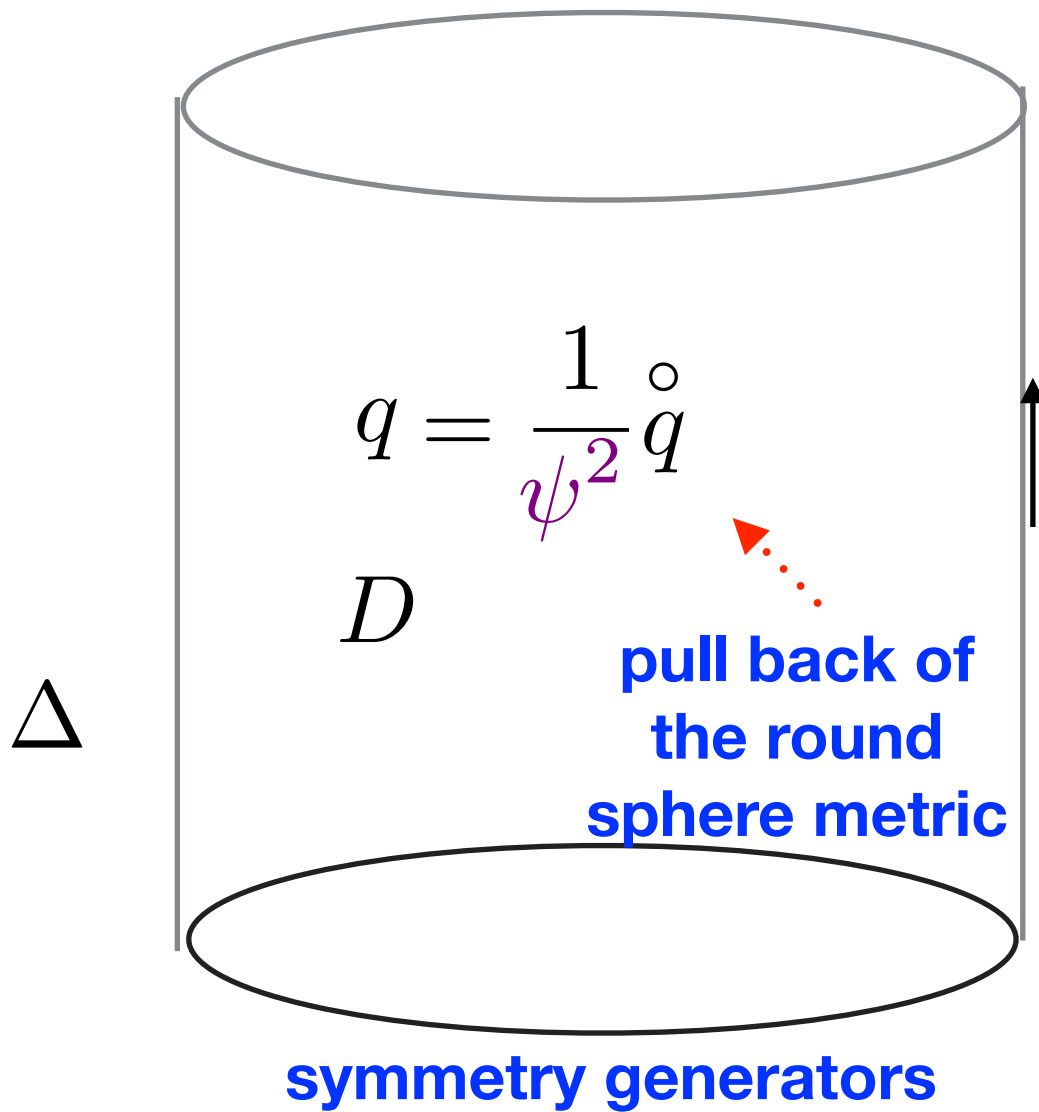
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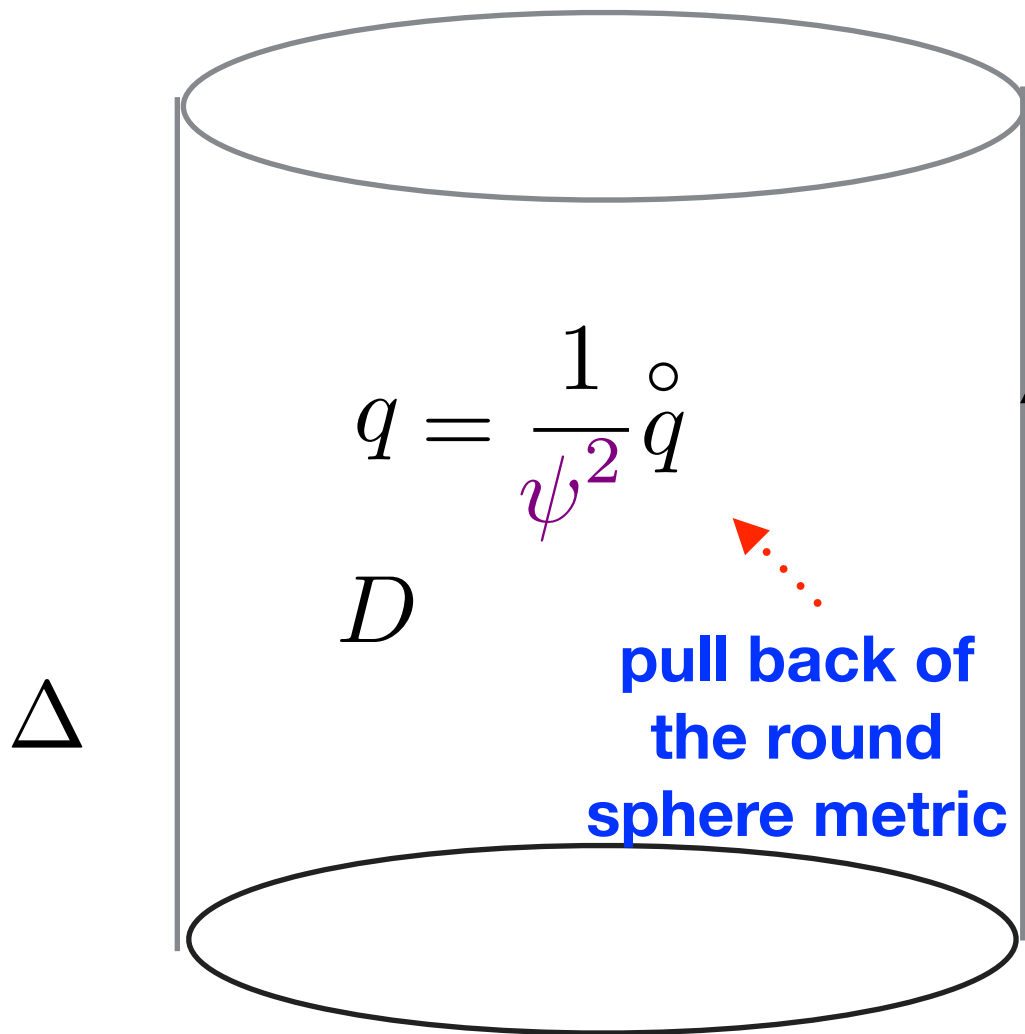
the SYMMETRIES are all the $\Delta \rightarrow \Delta$
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$$\mathcal{L}_\xi \overset{\circ}{q}_{ab} = 2\overset{\circ}{\phi} \overset{\circ}{q}_{ab} \quad \mathcal{L}_\xi \overset{\circ}{l}^a = -(\overset{\circ}{\phi} + k) \overset{\circ}{l}^a$$

A BMS like structure of NEH

$$\Delta = S_2 \times \mathbb{R}$$

Universal structure $[\overset{\circ}{q}, \overset{\circ}{l}]$



symmetry generators

ξ

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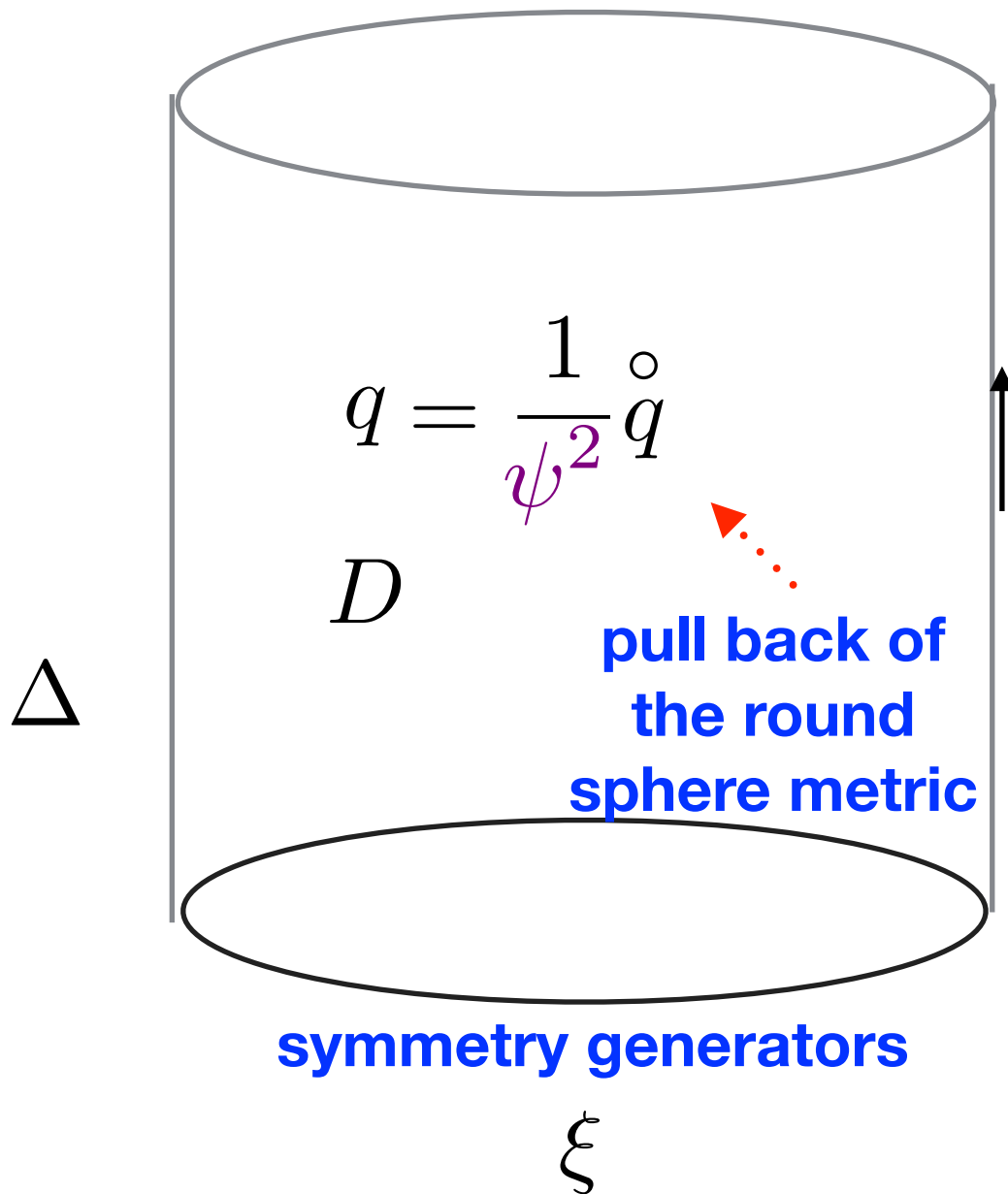
$$\mathcal{L}_\xi \overset{\circ}{q}_{ab} = 2\overset{\circ}{\phi} \overset{\circ}{q}_{ab} \quad \mathcal{L}_\xi \overset{\circ}{l}^a = -(\overset{\circ}{\phi} + k) \overset{\circ}{l}^a \quad k \text{ is a constant}$$

$$\overset{\circ}{\phi} = \sum_m a_m Y_{1,m}$$

A BMS like structure of NEH

$$\Delta = S_2 \times \mathbb{R}$$

Universal structure $[\overset{\circ}{q}, \overset{\circ}{l}]$



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$k = 0$ corresponds to the BMS

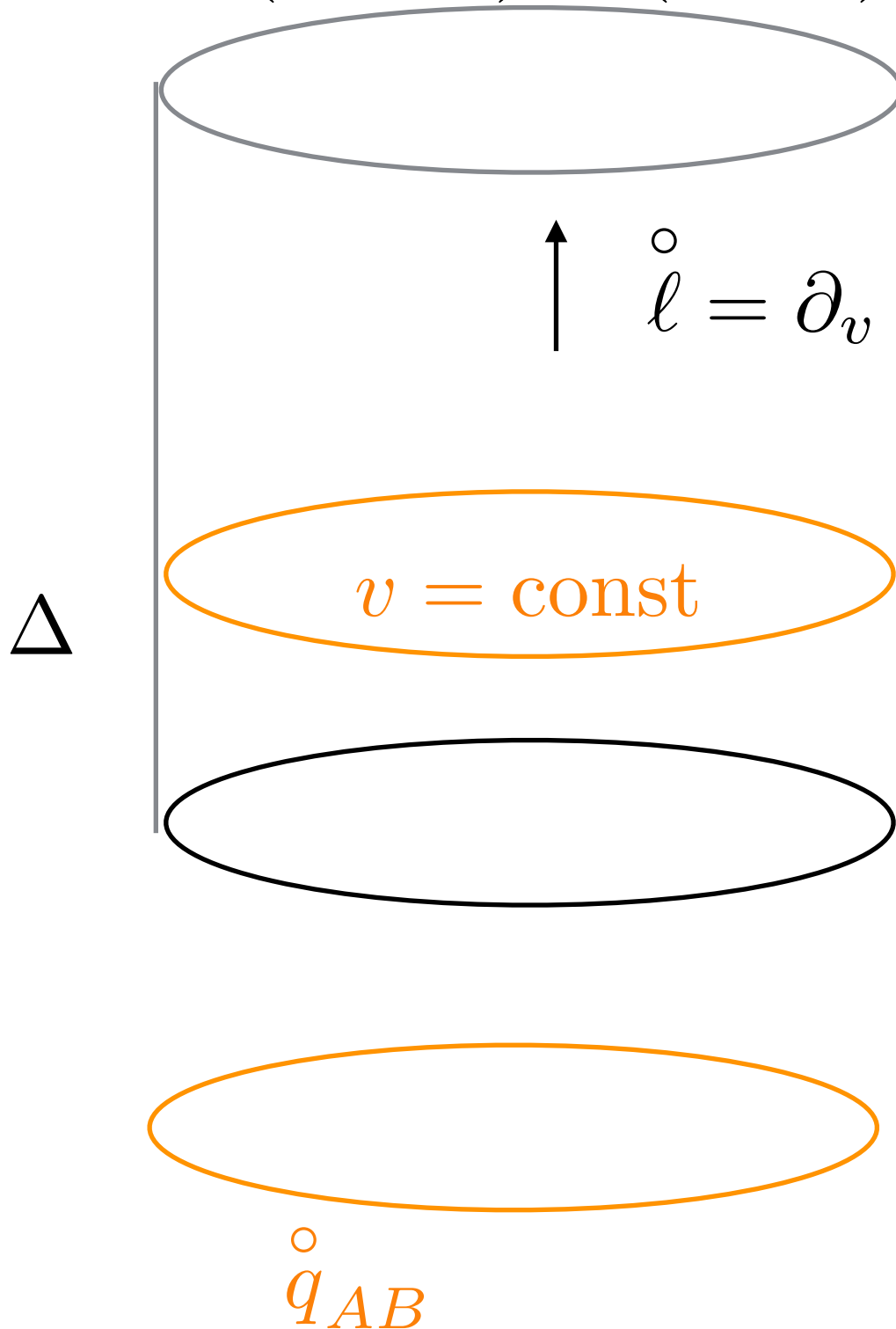
k is a constant

$$\overset{\circ}{\phi} = \sum_m a_m Y_{1,m}$$

The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v) = (x^A, v)$$

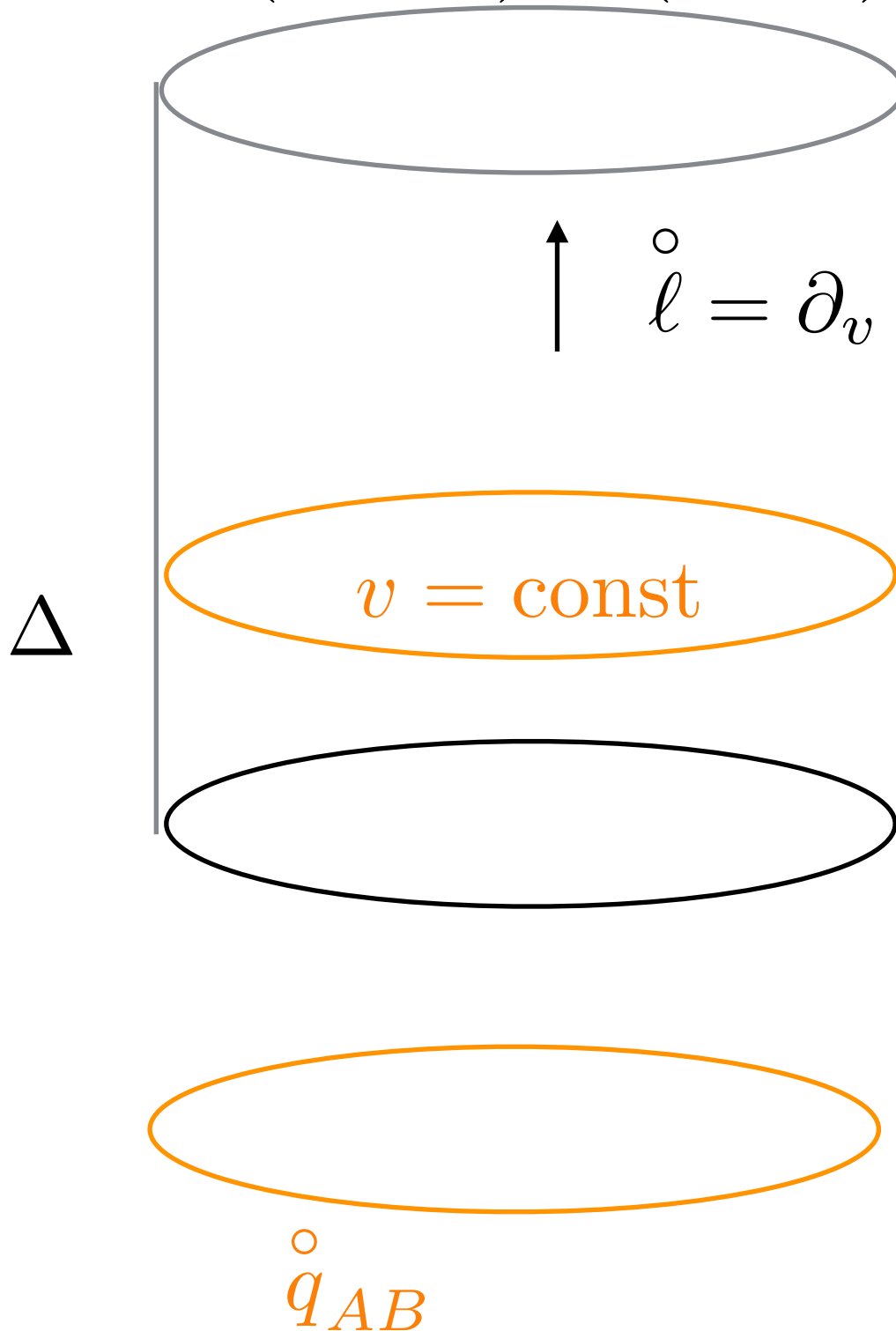


The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v) = (x^A, v)$$

dylations



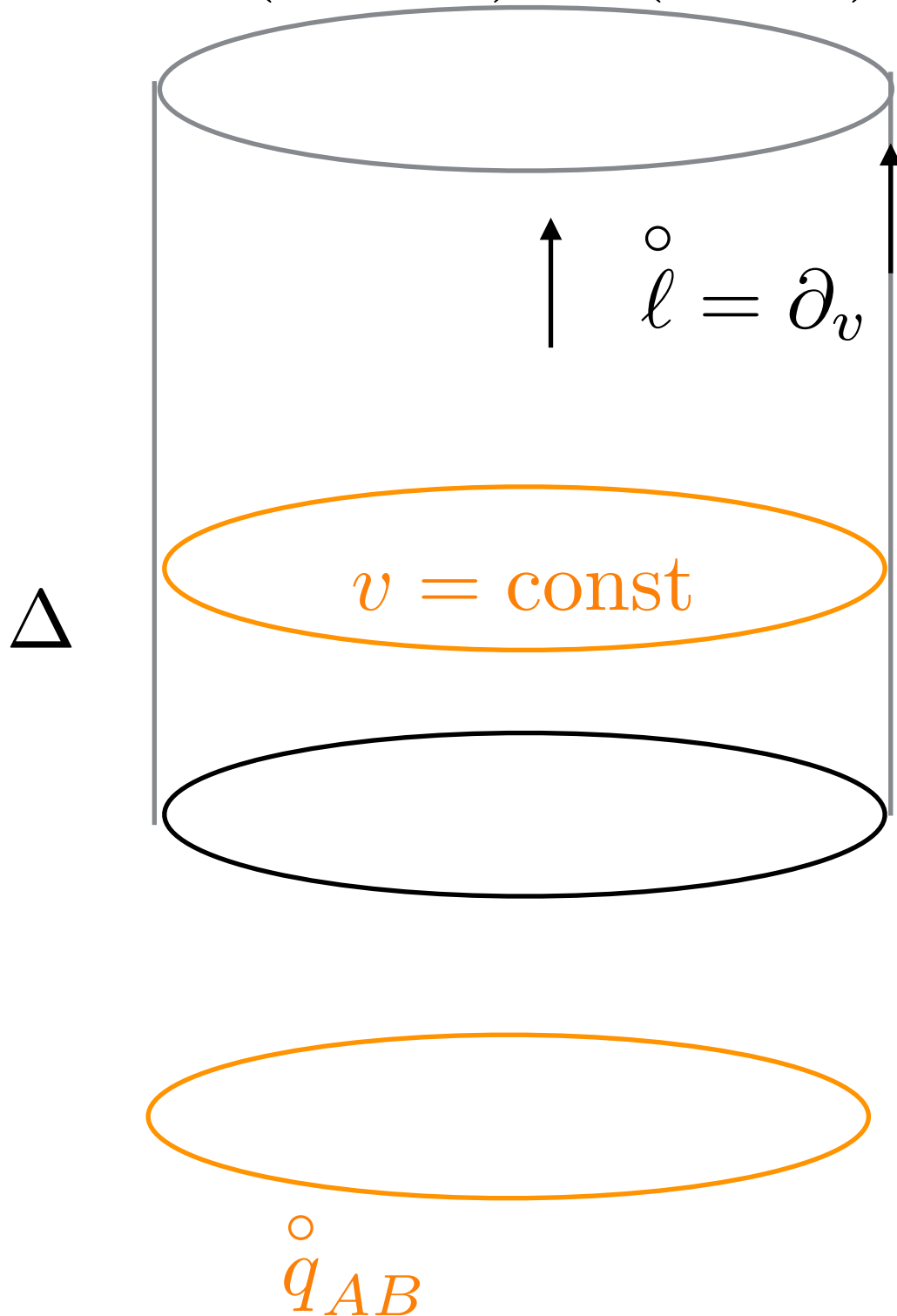
The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v) = (x^A, v)$$

$$d = kv\partial_v$$

dylations



The symmetry generators

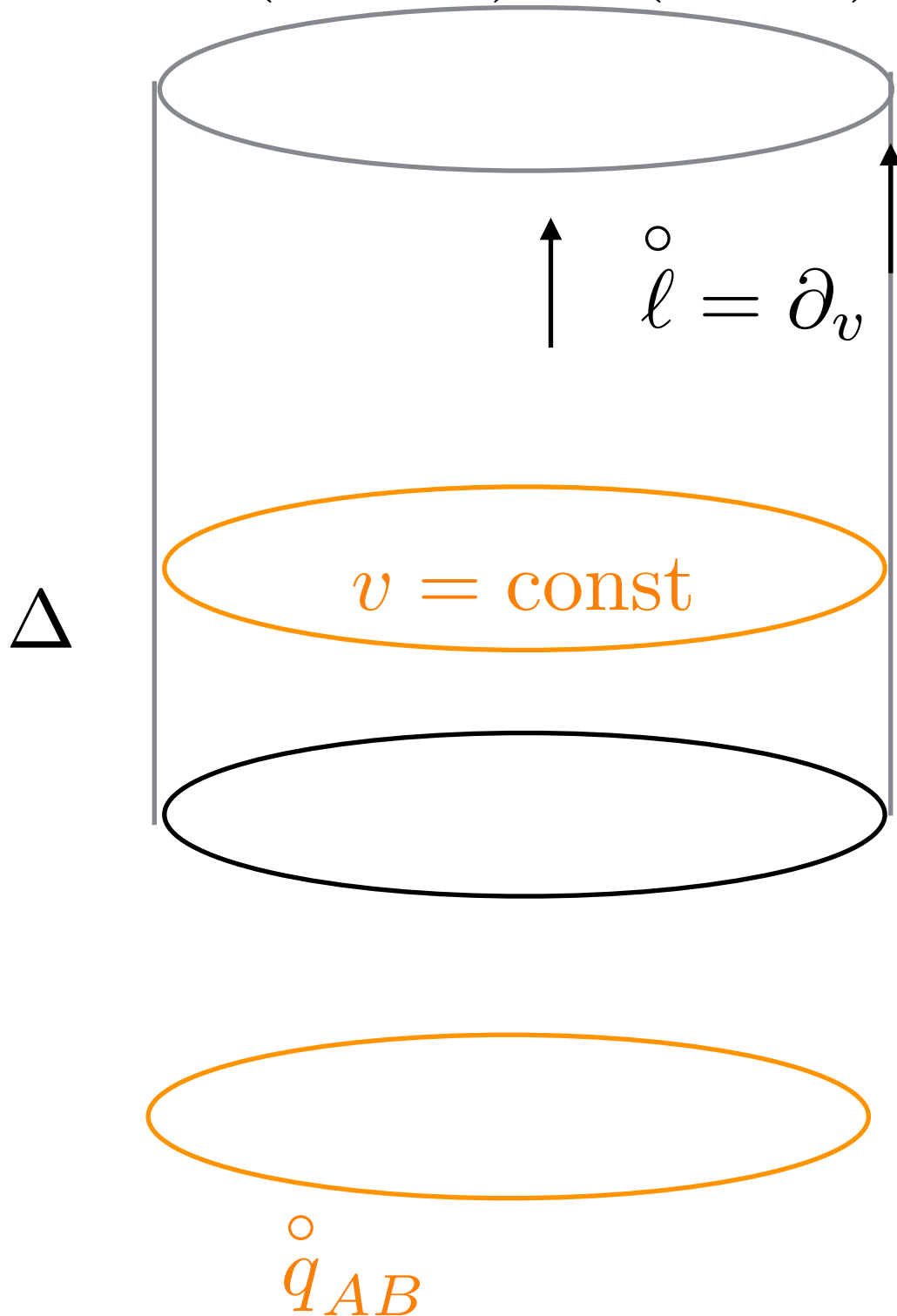
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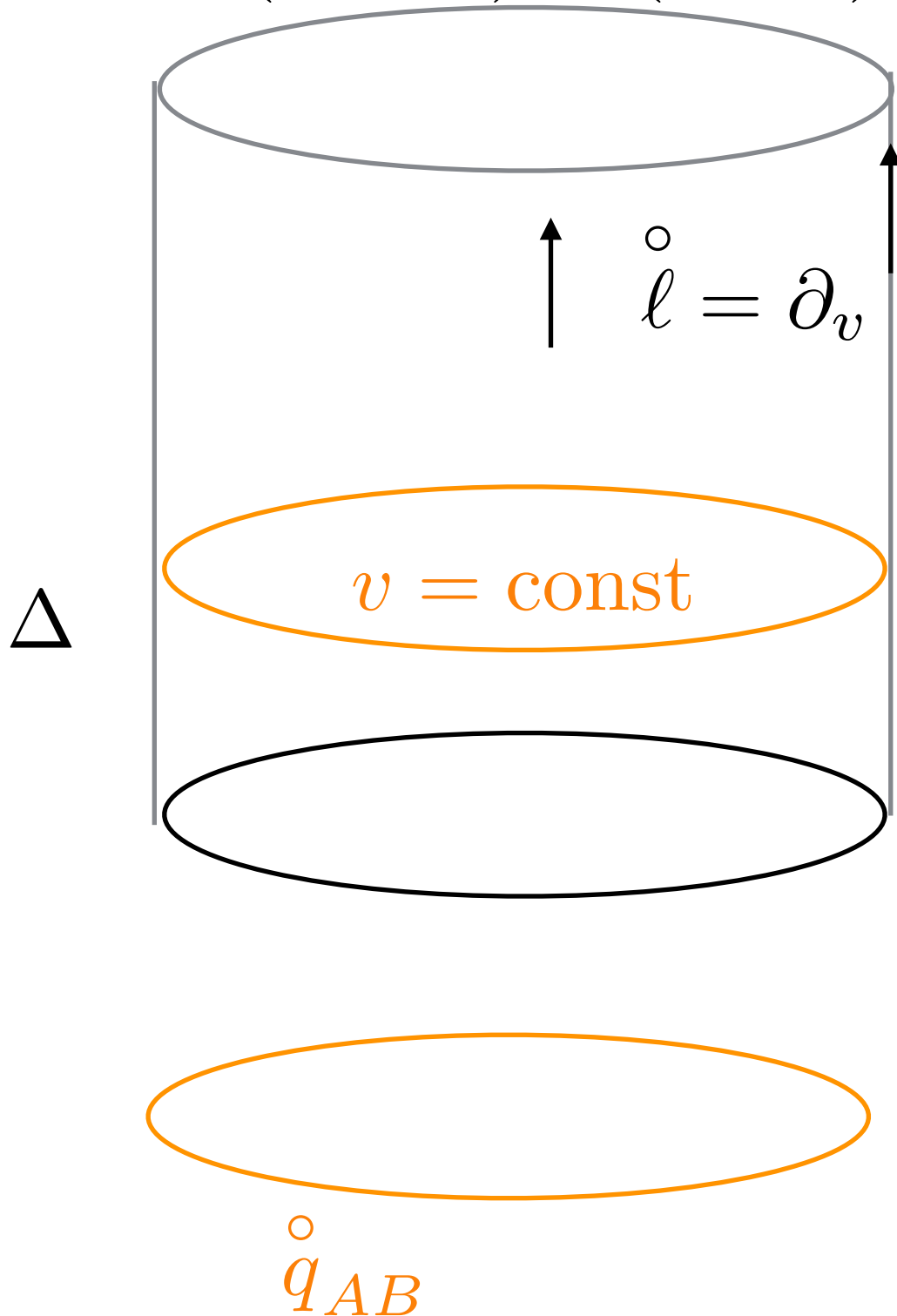
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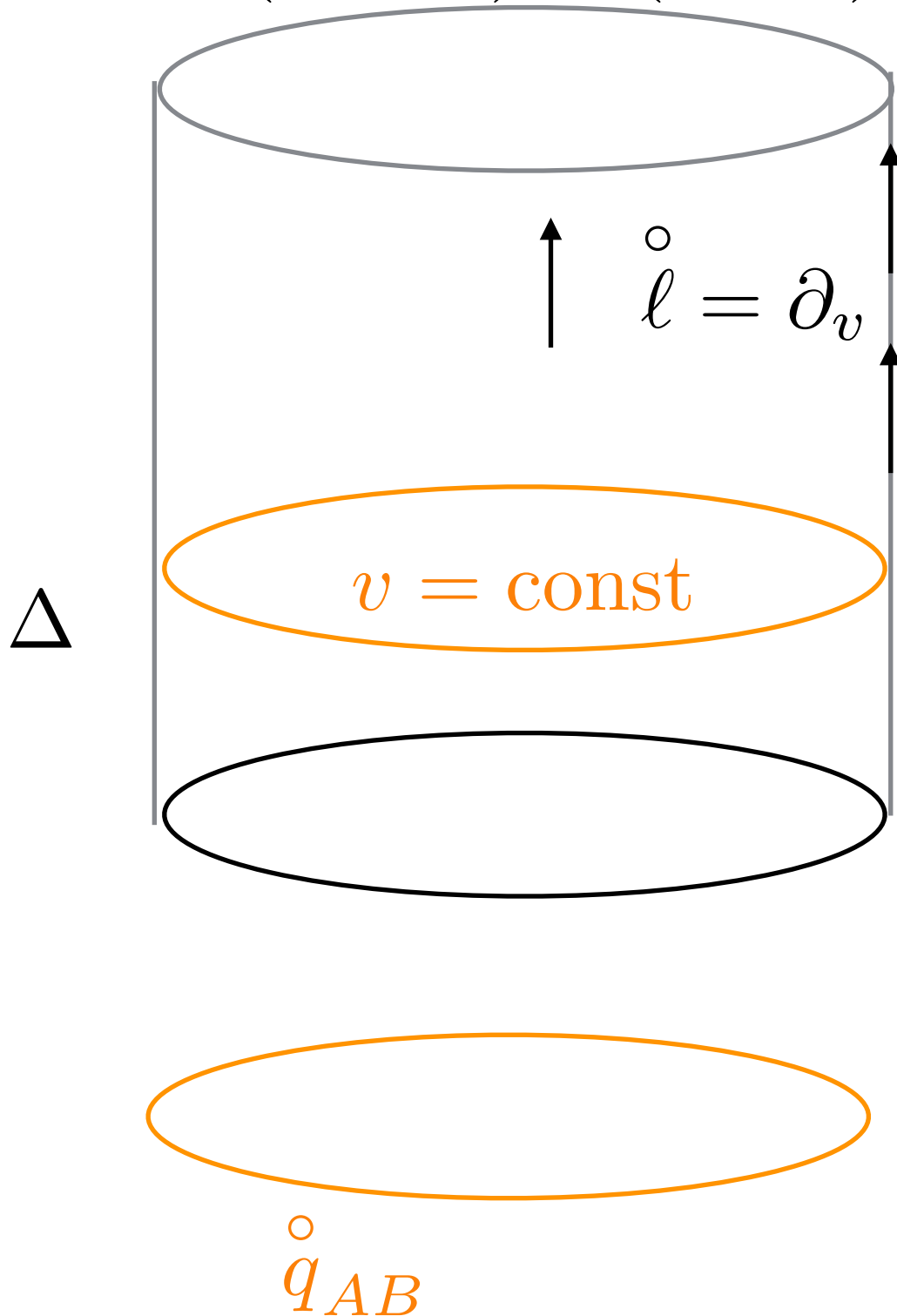
$$d = kv\partial_v$$

dylations

$$k = \text{const}$$

$$S = s\partial_v$$

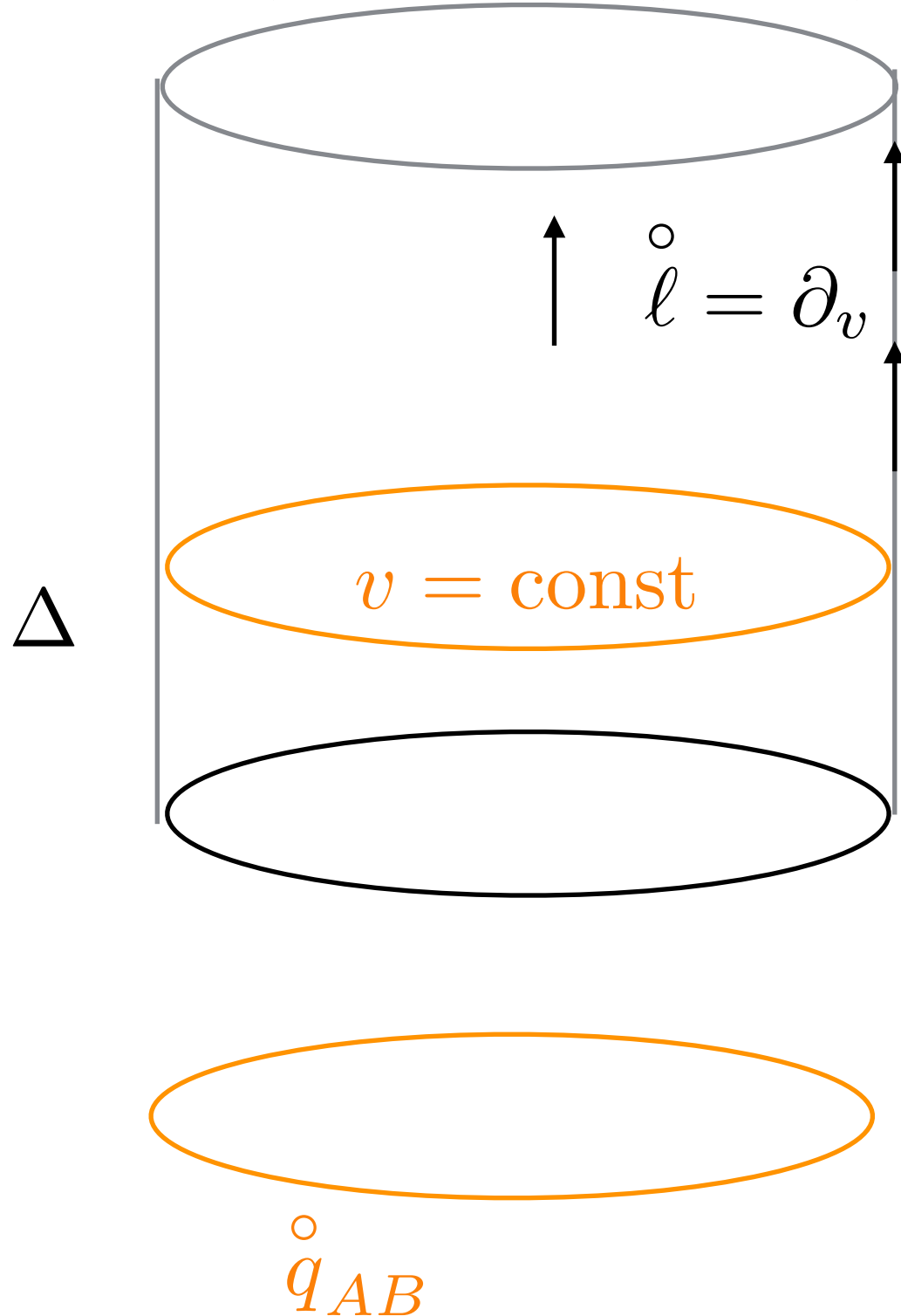
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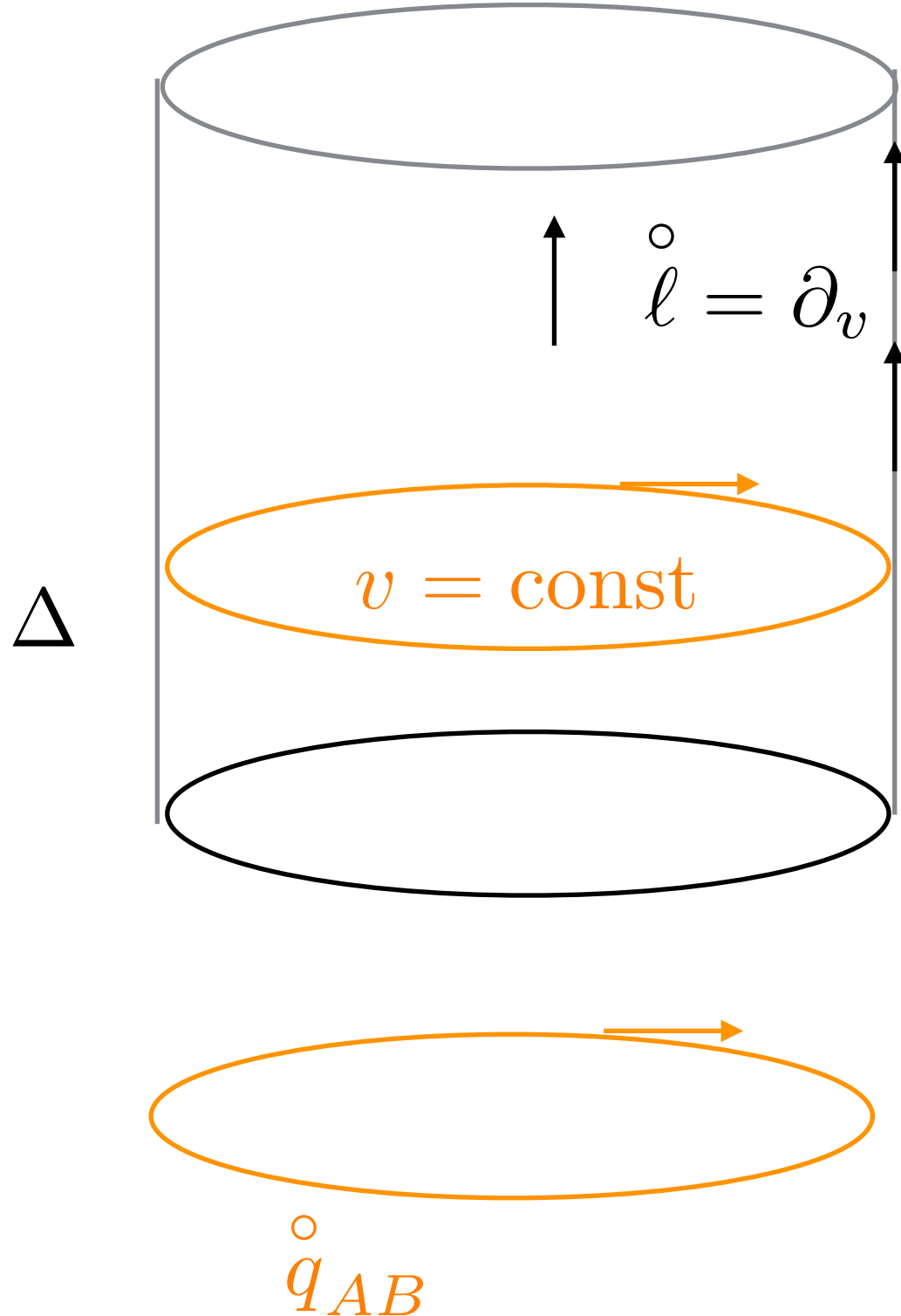
super translations

$$s = s(\theta, \varphi)$$

The symmetry generators

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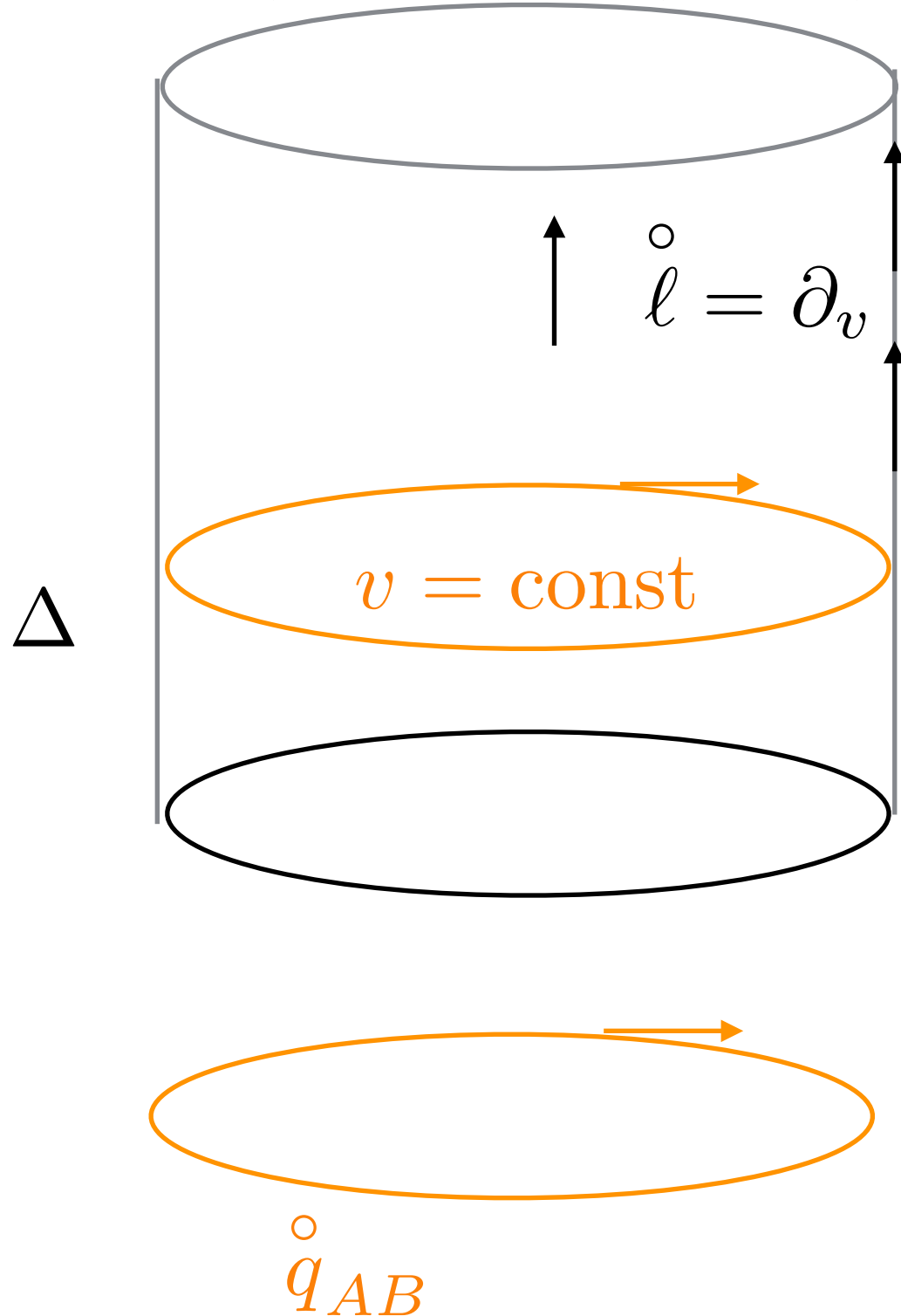
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rotations

The symmetry generators

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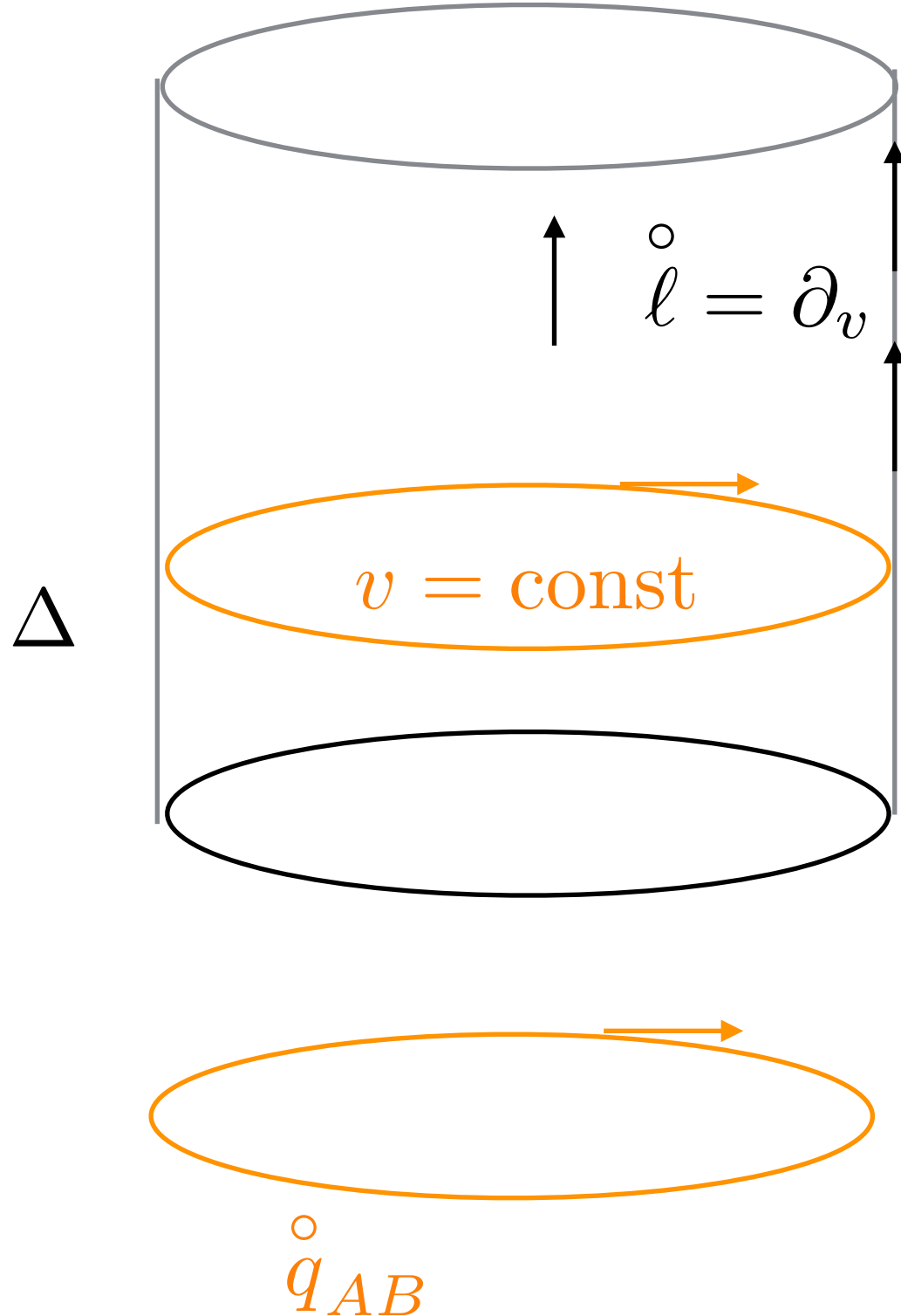
$$R = \epsilon^{AB} \chi_{,B} \partial_A$$

rotations

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$$(\theta, \varphi, v) = (x^A, v)$$



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dylations

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$$S = s\partial_v$$

super translations

$$s = s(\theta, \varphi)$$

$$R = \epsilon^{AB} \chi_{,B} \partial_A$$

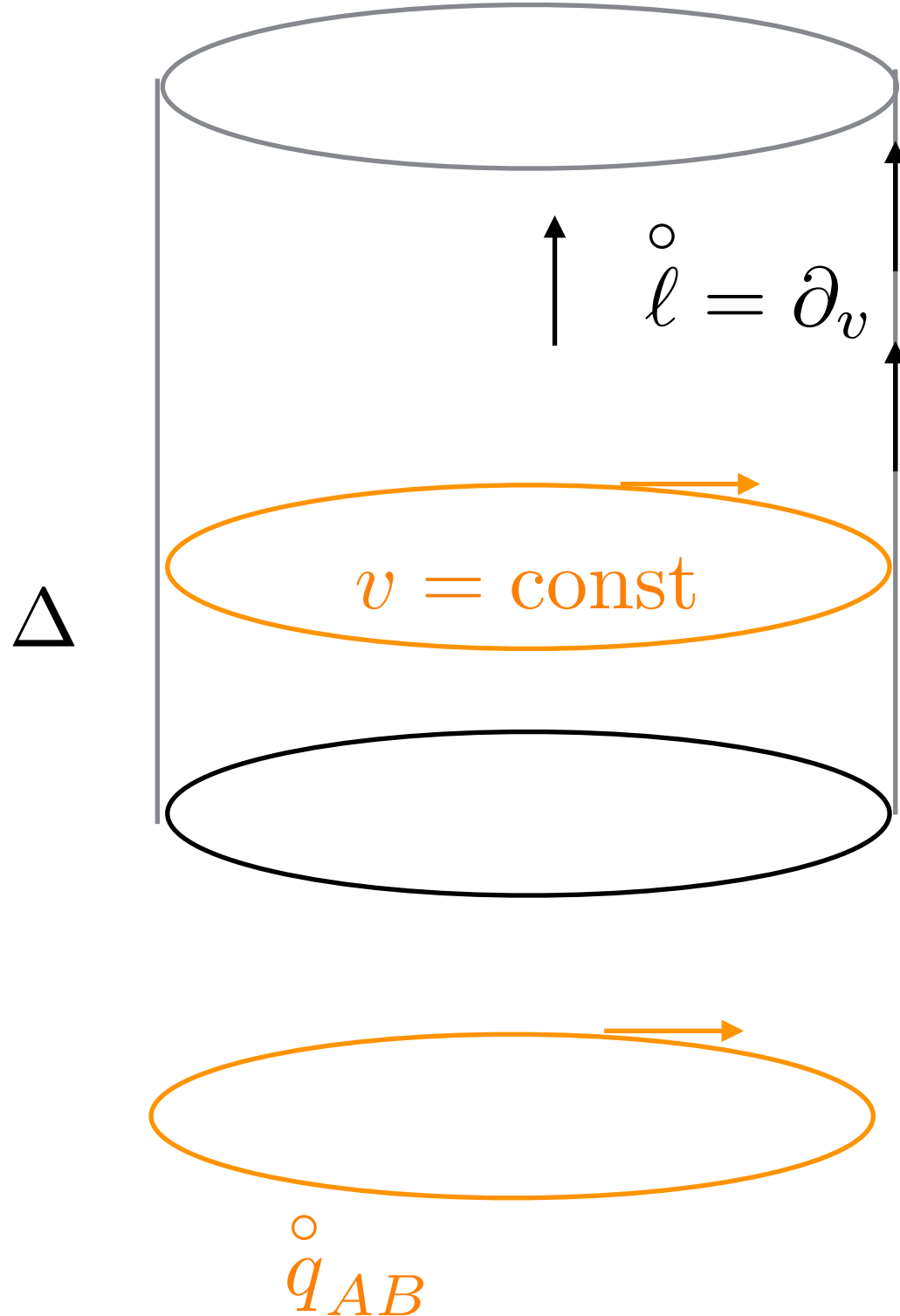
rotations

$$\chi = \chi(\theta, \varphi)$$

The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v) = (x^A, v)$$



$$d = kv\partial_v$$

dylations

$$k = \text{const}$$

$$S = s\partial_v$$

super translations

$$s = s(\theta, \varphi)$$

$$R = \epsilon^{\circ AB} \chi_{,B} \partial_A$$

rotations

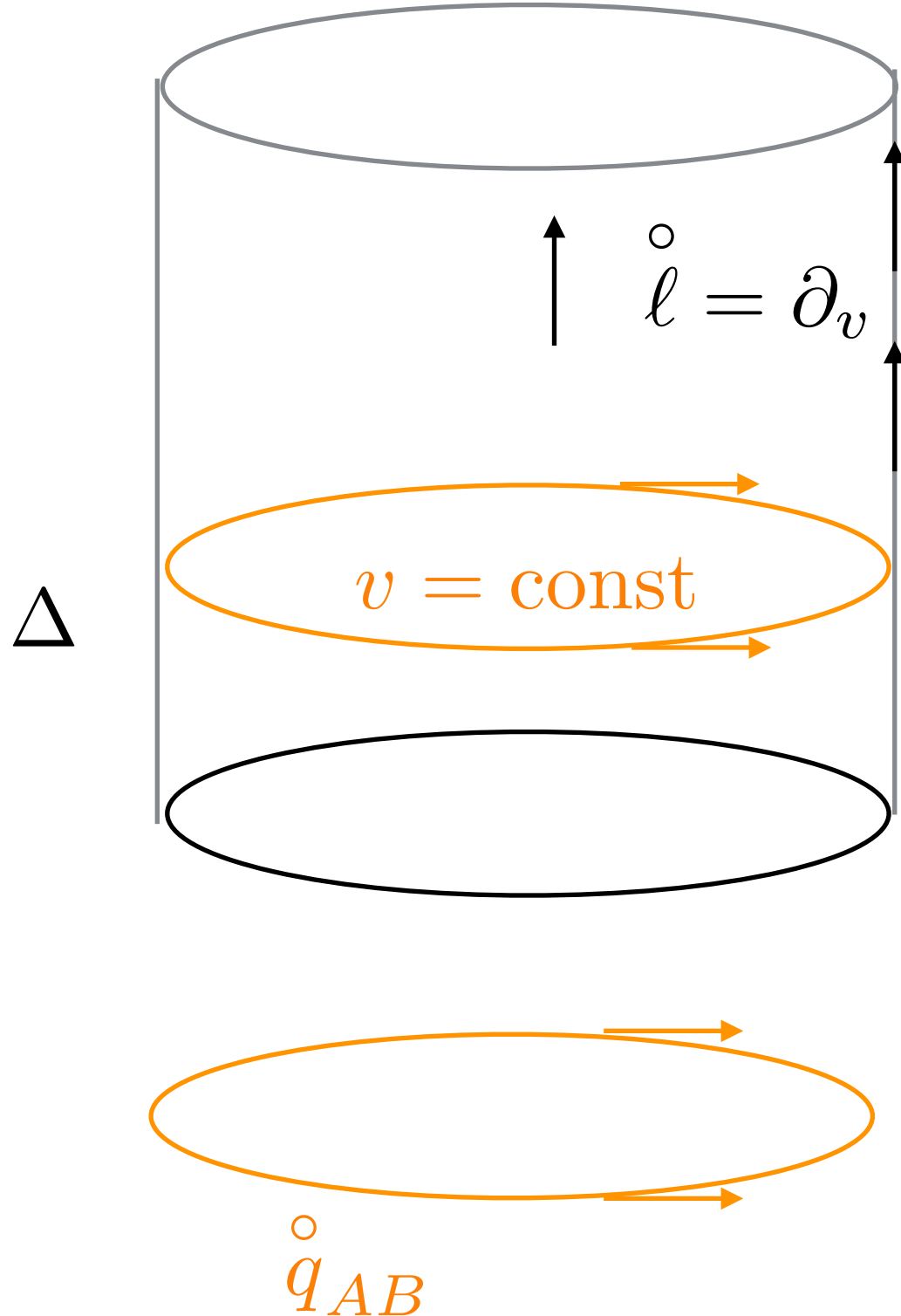
$$\chi = \chi(\theta, \varphi)$$

$$\Delta \chi = -2\chi$$

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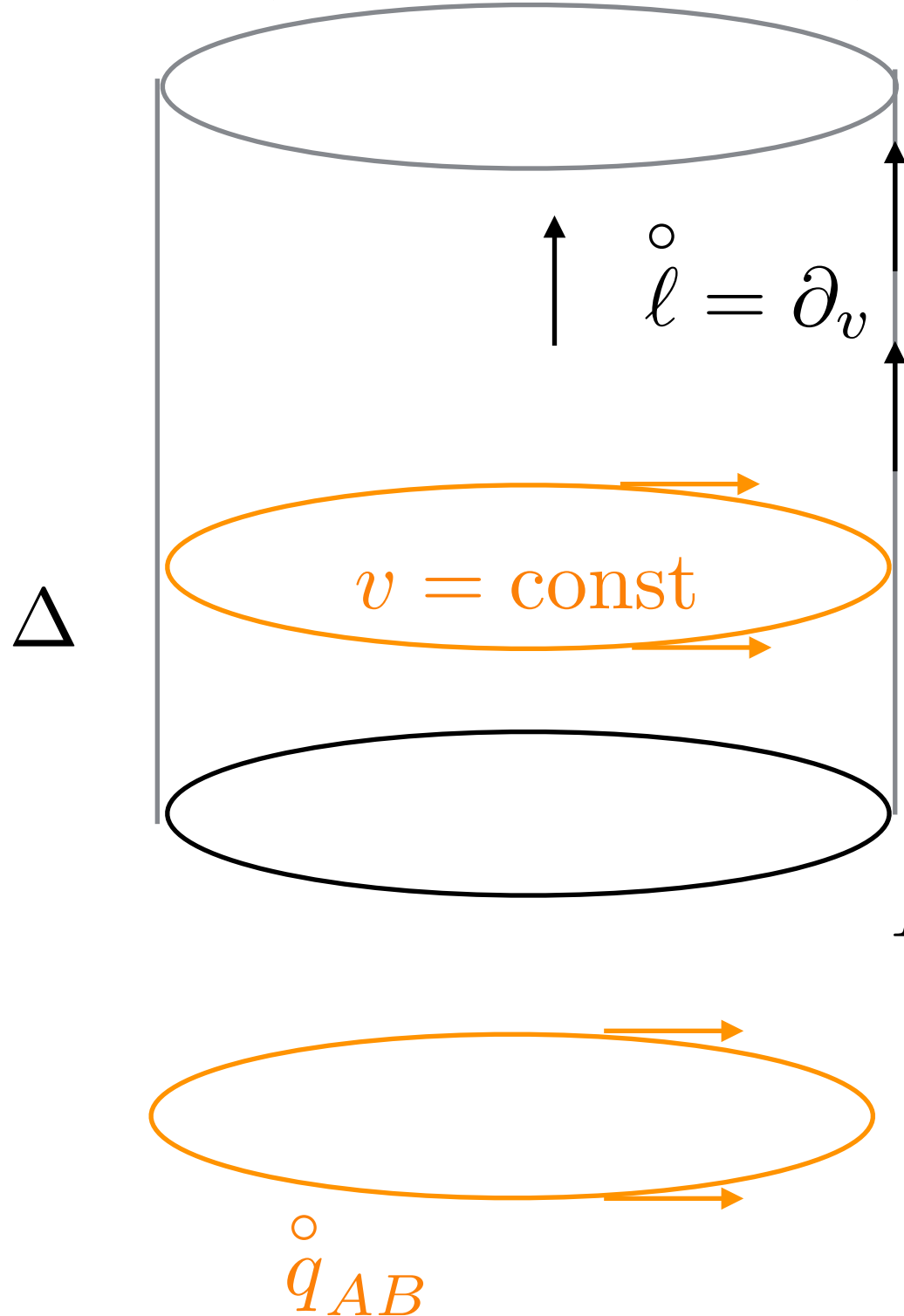
$$\Delta \chi = -2\chi$$

boosts

The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v) = (x^A, v)$$



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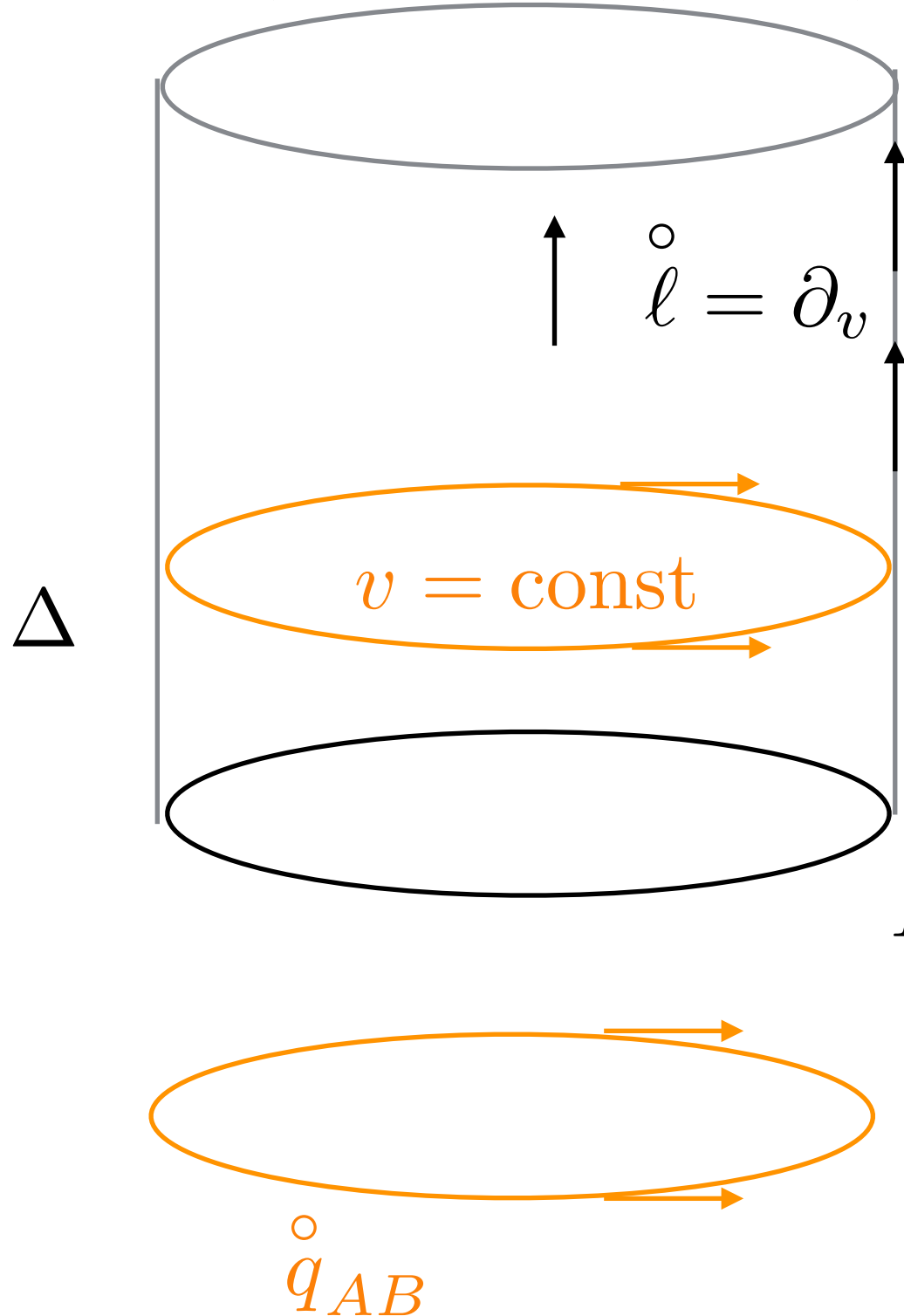
$$B = q^{\circ AB \circ} \phi_{,B} \partial_A$$

boosts

The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v) = (x^A, v)$$



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dylations

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super translations

$$s = s(\theta, \varphi)$$

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rotations

$$\chi = \chi(\theta, \varphi)$$

$$\Delta \chi = -2\chi$$

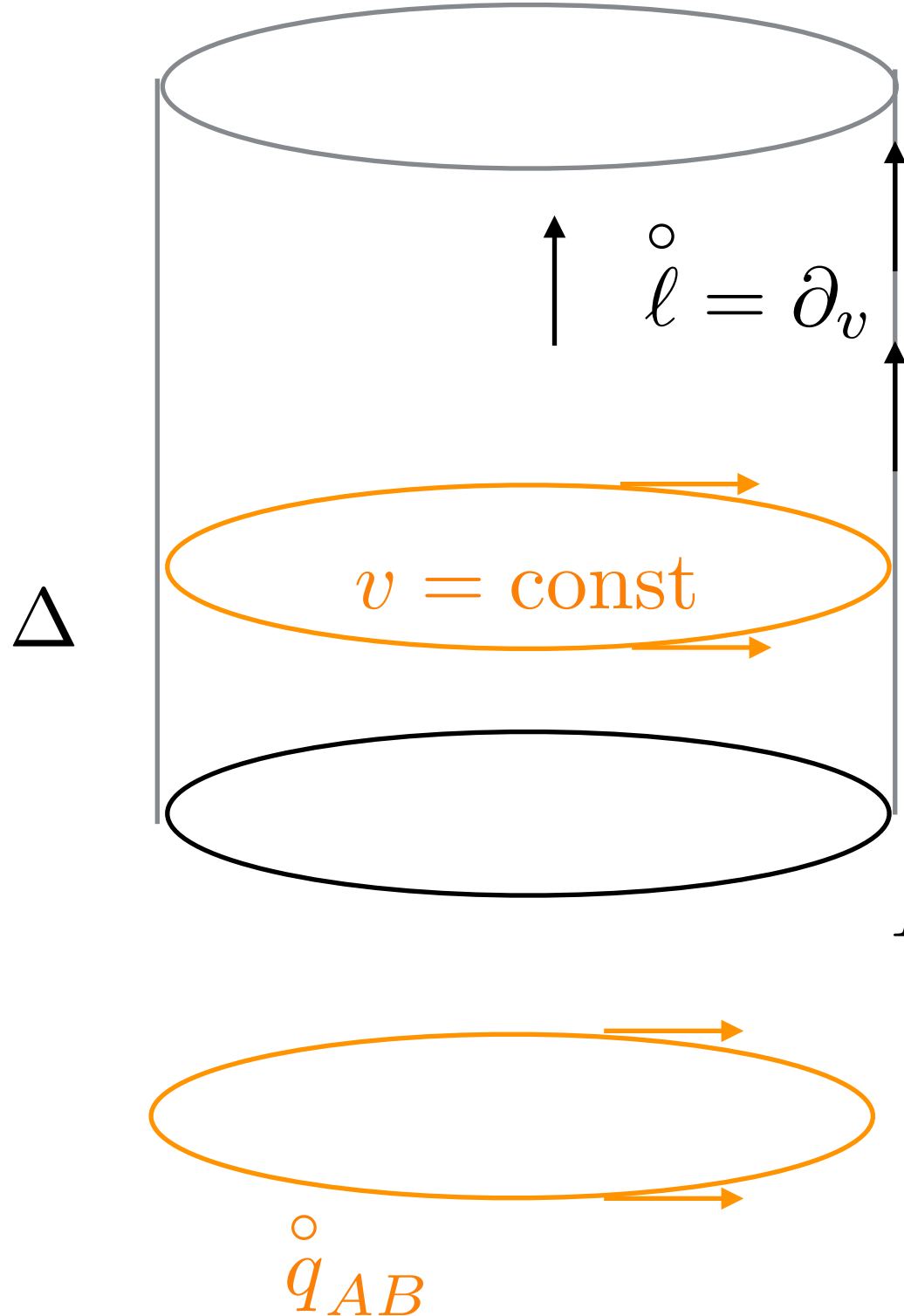
$$B = q^{AB} \phi_{,B} \partial_A + v \phi \partial_v$$

boosts

The symmetry generators

$$\Delta = S_2 \times \mathbb{R}$$

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dylations

$$k = \text{const}$$

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$$s = s(\theta, \varphi)$$

$$R = \epsilon^{AB} \chi_{,B} \partial_A$$

rotations

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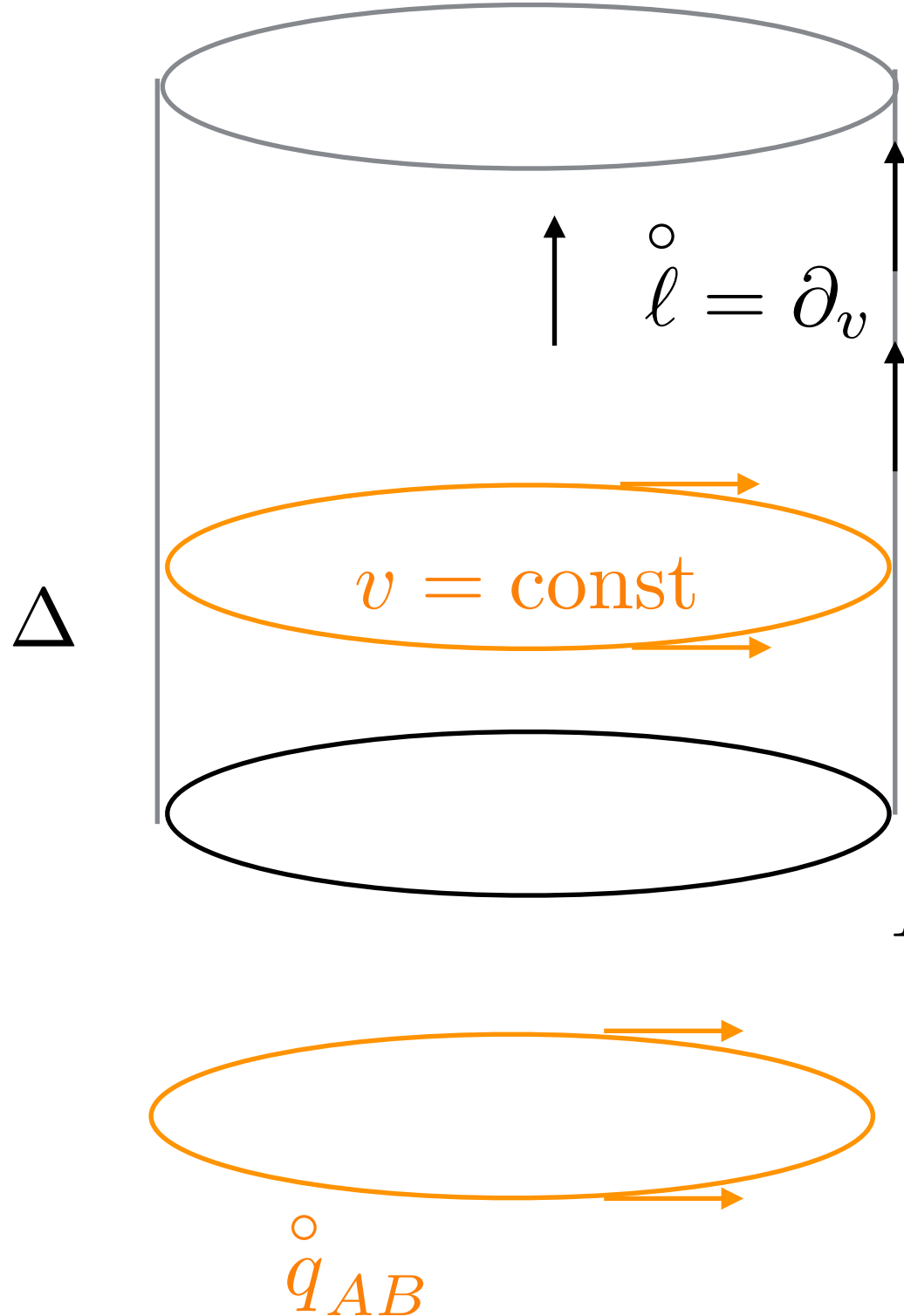
boosts

$$\phi = \phi(\theta, \varphi)$$

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$$B = q^{AB} \phi_{,B} \partial_A + v \phi \partial_v$$

boosts

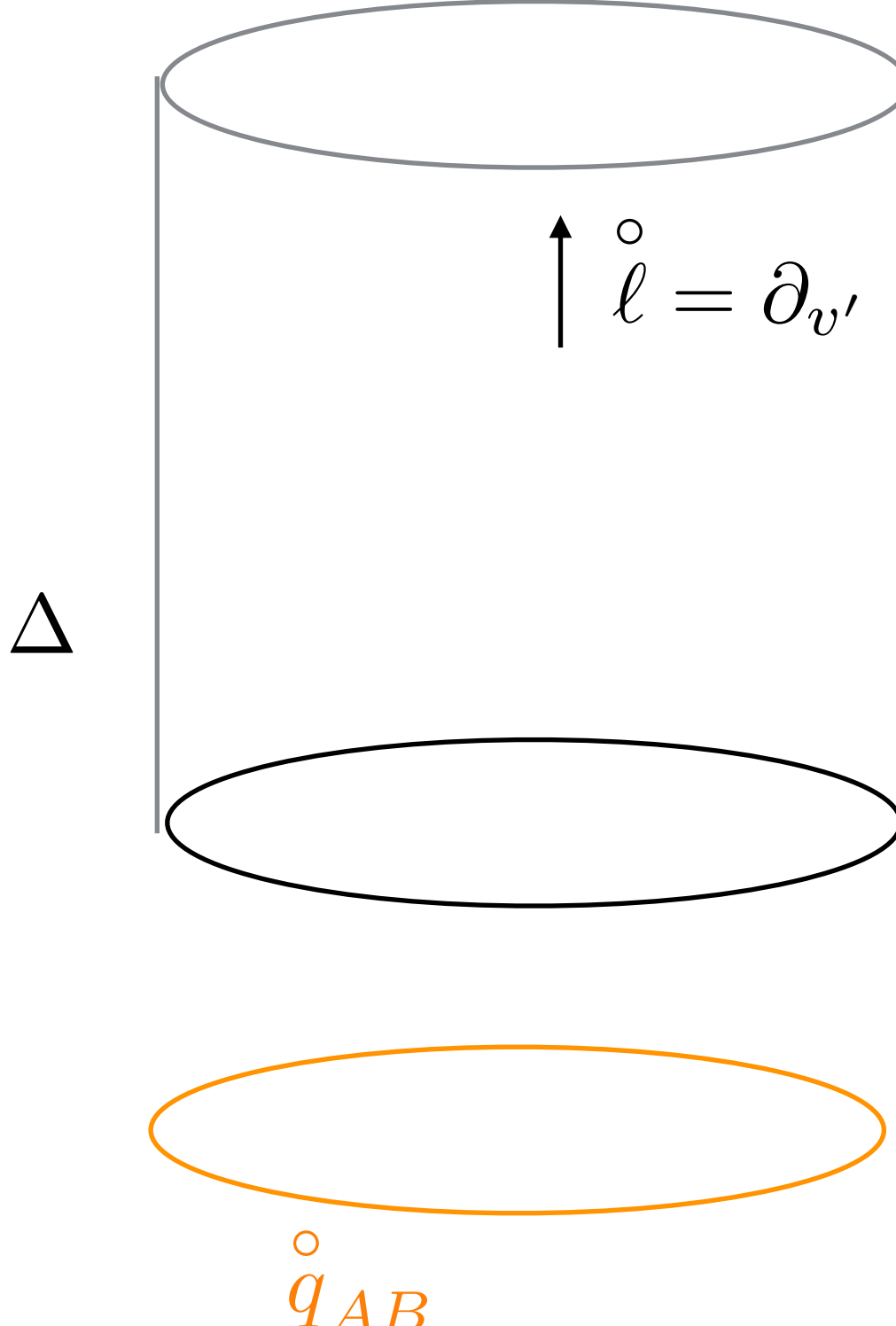
$$\phi = \phi(\theta, \varphi)$$

$$\Delta \phi = -2\phi$$

Ambiguities in the Lorenz transformations

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v' = v + f(\theta, \phi)) =: (x'^A, v')$$


$$\uparrow \overset{\circ}{\ell} = \partial_{v'}$$

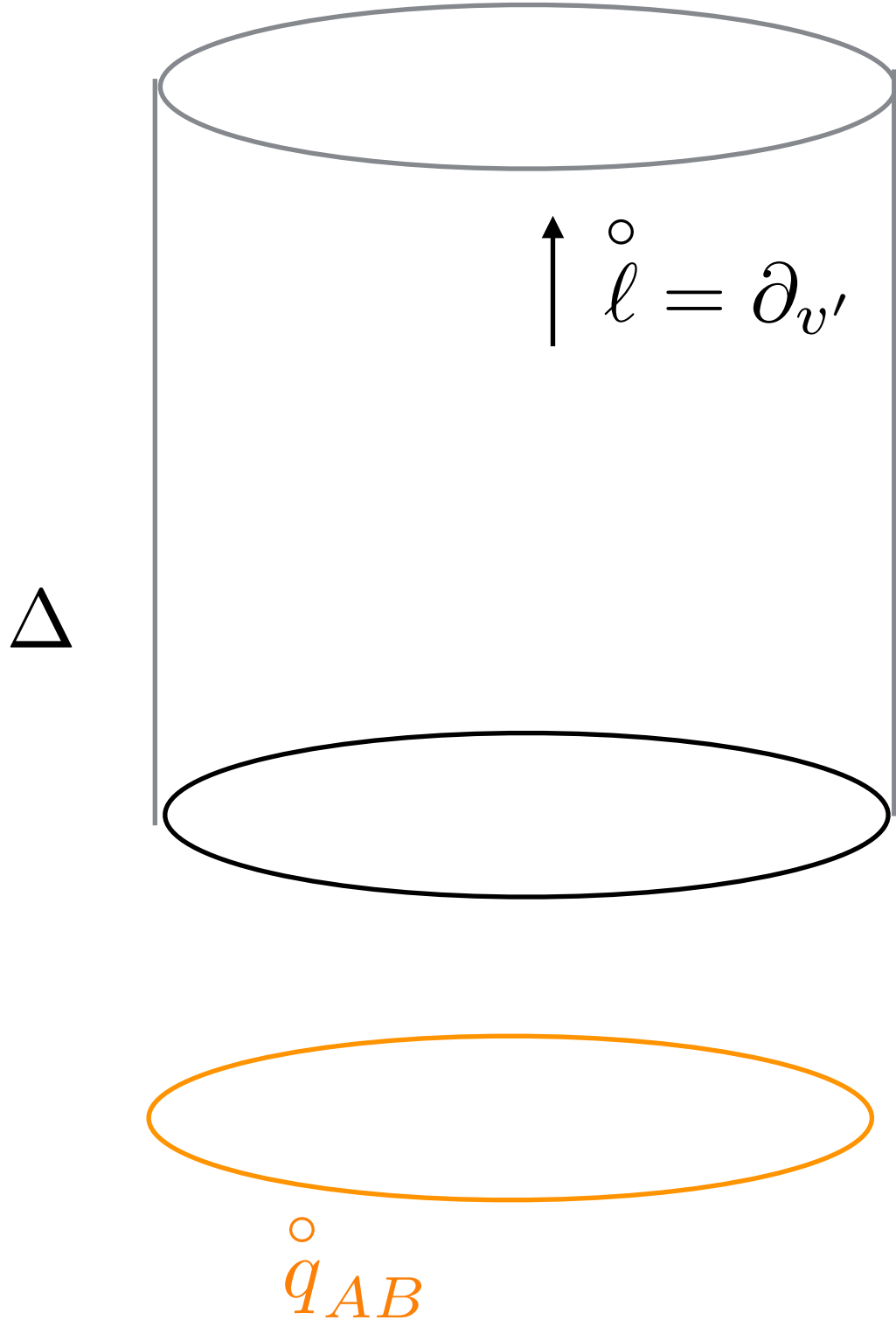
Δ

$\overset{\circ}{q}_{AB}$

Ambiguities in the Lorenz transformations

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v' = v + f(\theta, \phi)) =: (x'^A, v') \quad kv' \partial_{v'}$$


$$\uparrow \overset{\circ}{\ell} = \partial_{v'}$$

$$\overset{\circ}{q}_{AB}$$

Ambiguities in the Lorenz transformations

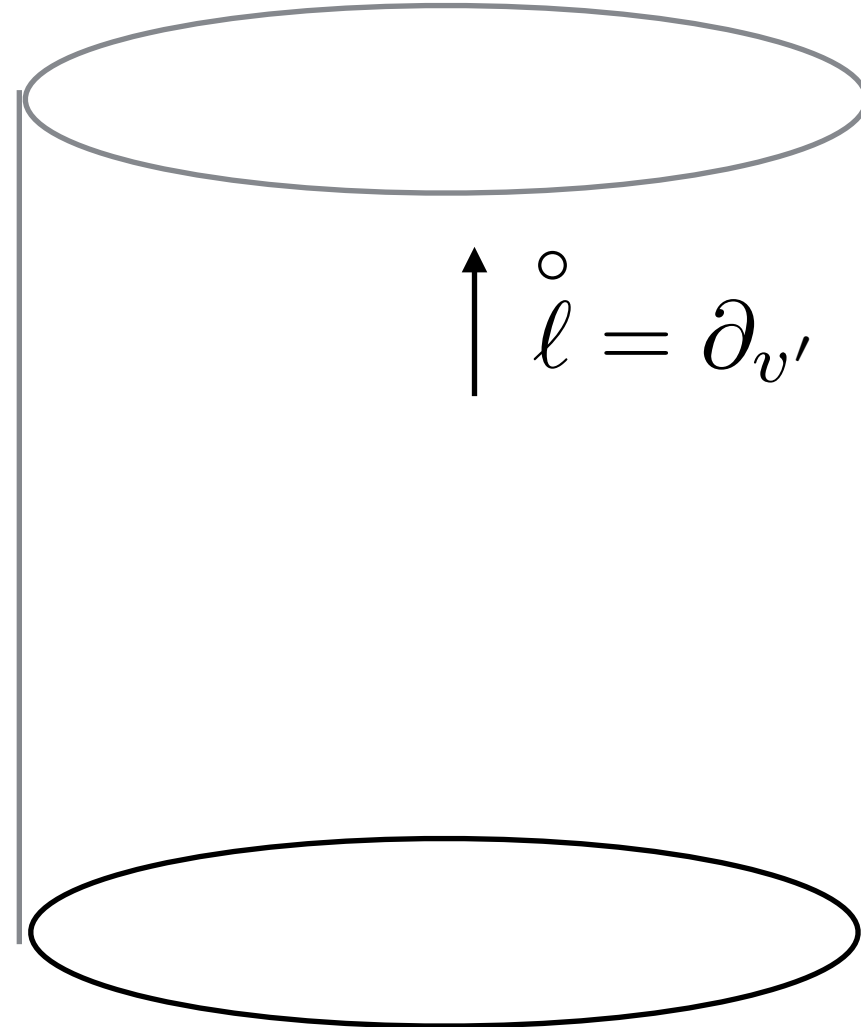
$$\Delta = S_2 \times \mathbb{R}$$

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$$\uparrow \overset{\circ}{\ell} = \partial_{v'}$$

$$s\partial_{v'}$$

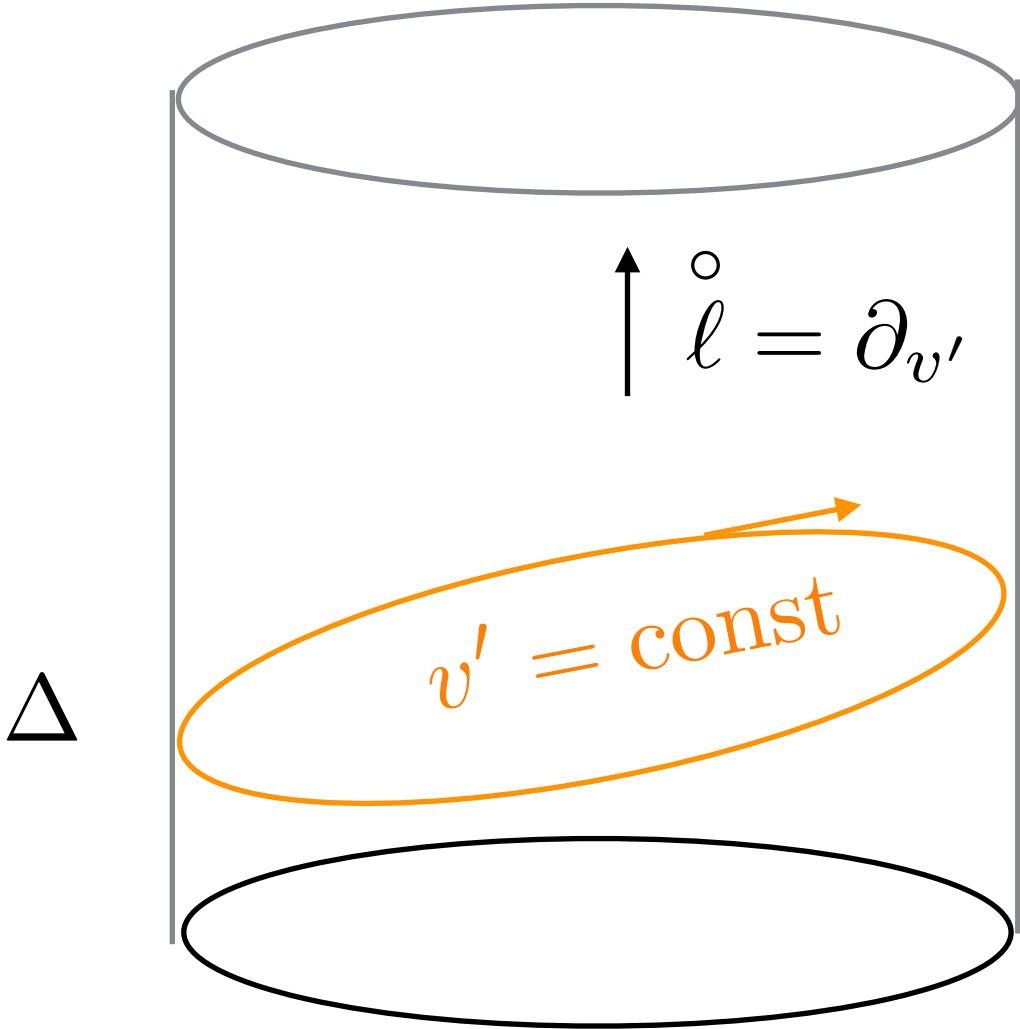
Δ



Ambiguities in the Lorenz transformations

$$\Delta = S_2 \times \mathbb{R}$$

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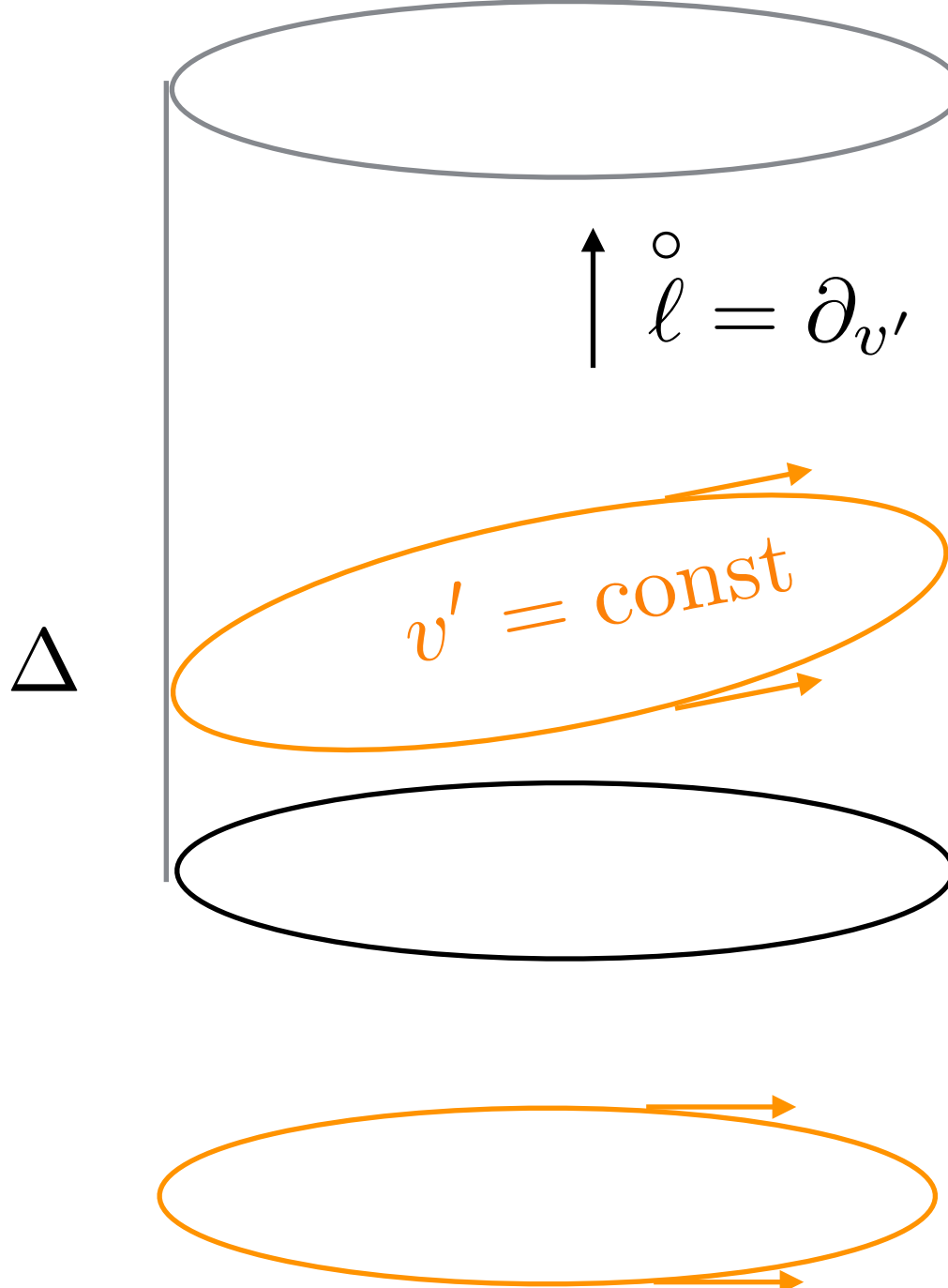
$$\epsilon^{\circ AB} \chi_{,B} \partial'_A$$

$$\overset{\circ}{q}_{AB}$$

Ambiguities in the Lorenz transformations

$$\Delta = S_2 \times \mathbb{R}$$

$$(\theta, \varphi, v' = v + f(\theta, \phi)) =: (x'^A, v') \quad kv' \partial_{v'}$$



$$\uparrow \overset{\circ}{l} = \partial_{v'}$$

$$s \partial_{v'}$$

$$v' = \text{const}$$

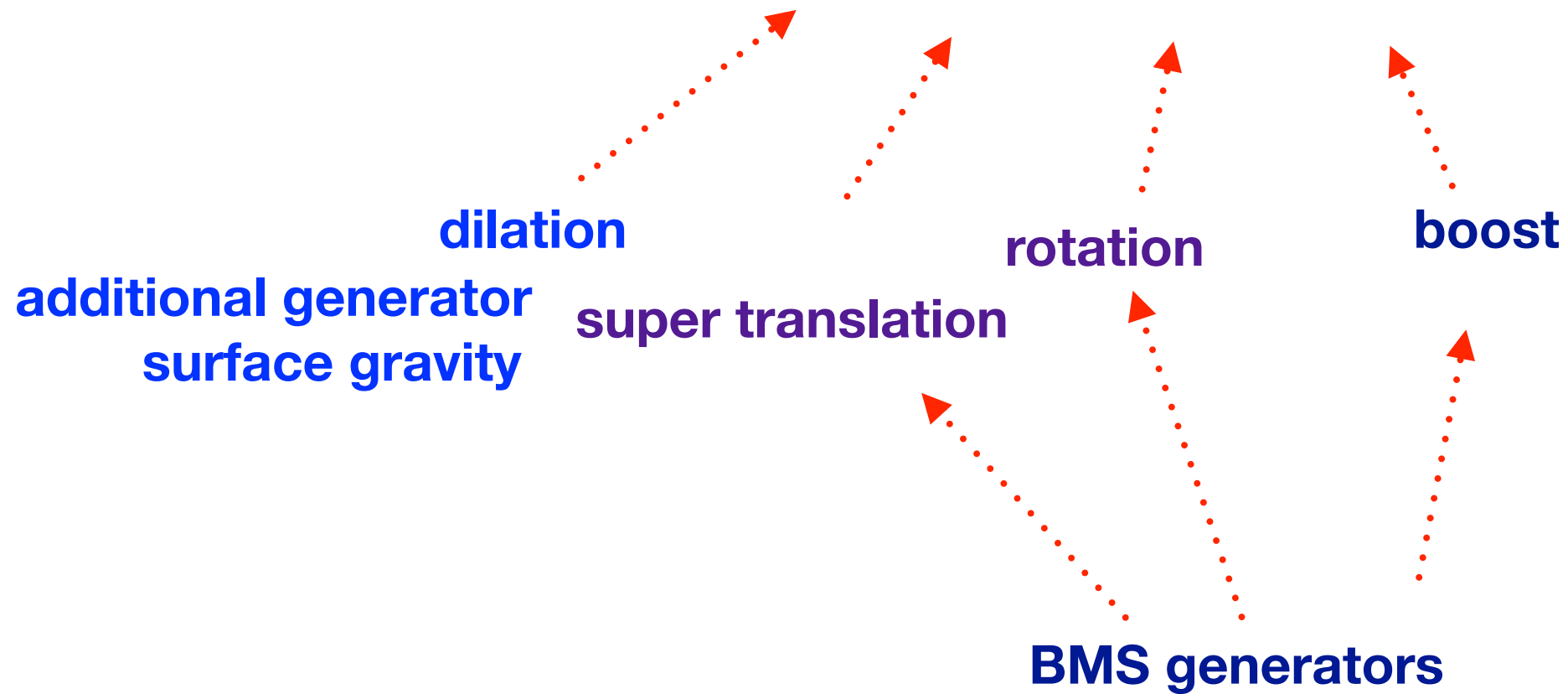
$$\overset{\circ}{\epsilon}{}^{AB} \chi_{,B} \partial'_A$$

$$\overset{\circ}{q}{}^{AB} \overset{\circ}{\phi}_{,B} \partial'_A + v' \phi \partial_{v'}$$

$$\overset{\circ}{q}{}_{AB}$$

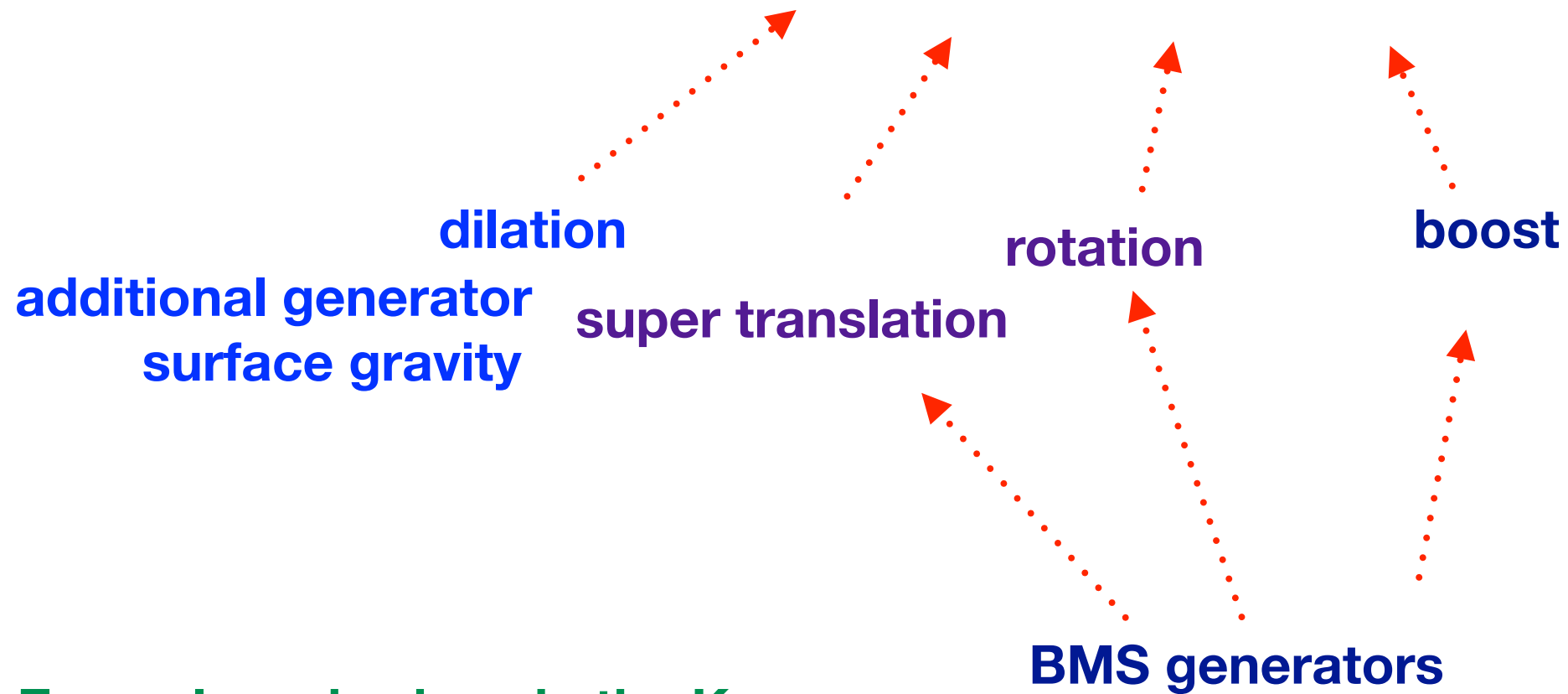
Comparison with BMS

$$\xi^a = d^a + S^a + R^a + B^a$$



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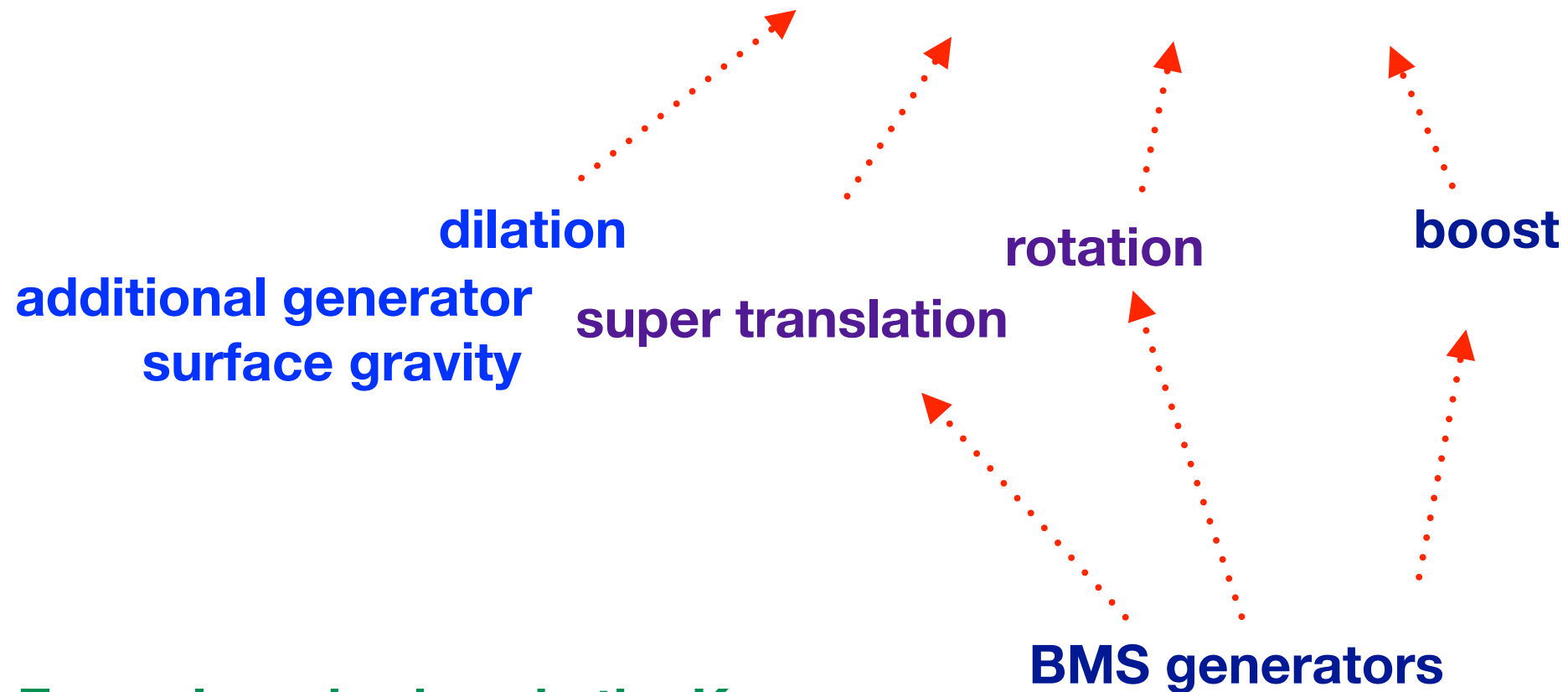


Example: a horizon in the Kerr spacetime:

$$d = \partial_t + \Omega \partial_\phi$$

Comparison with BMS

$$\xi^a = d^a + S^a + R^a + B^a$$



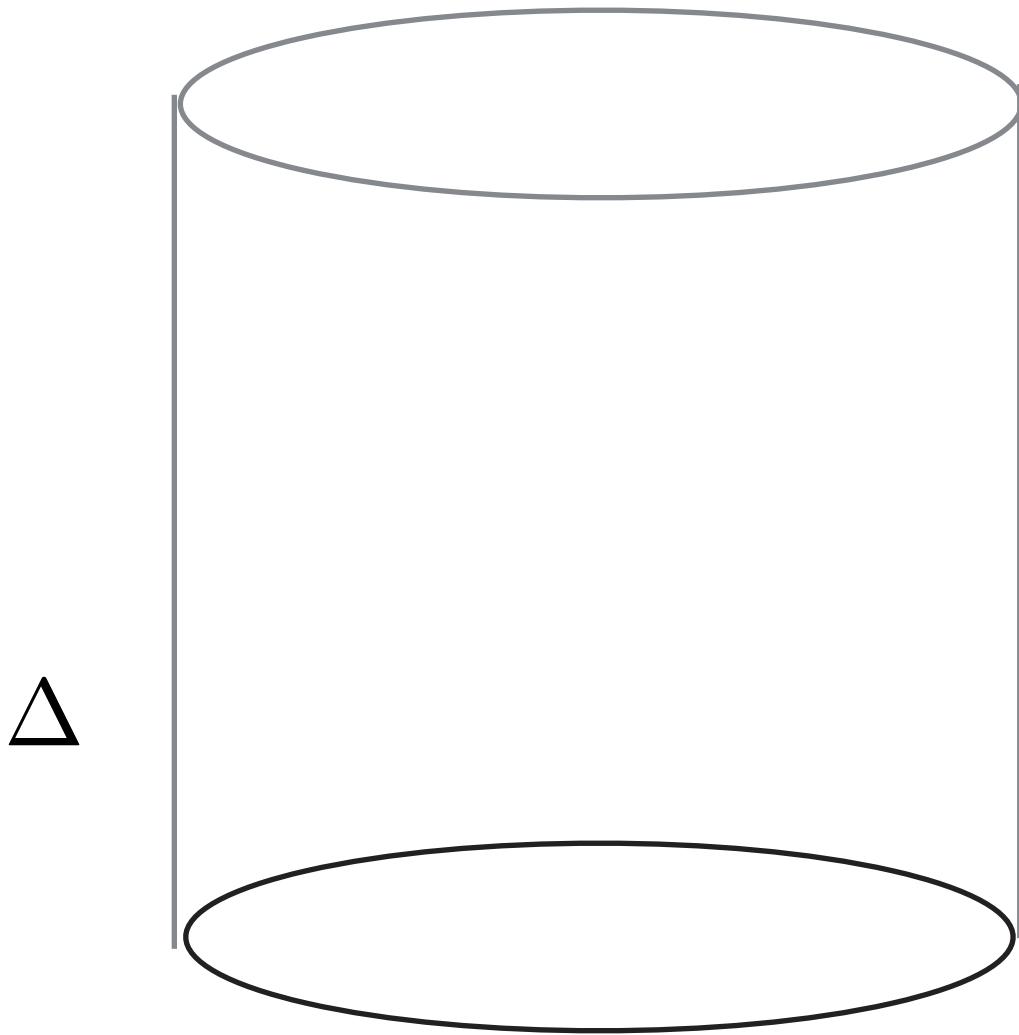
Example: a horizon in the Kerr spacetime:

$$d = \partial_t + \Omega \partial_\phi$$

That is why we accept that additional to BMS symmetry

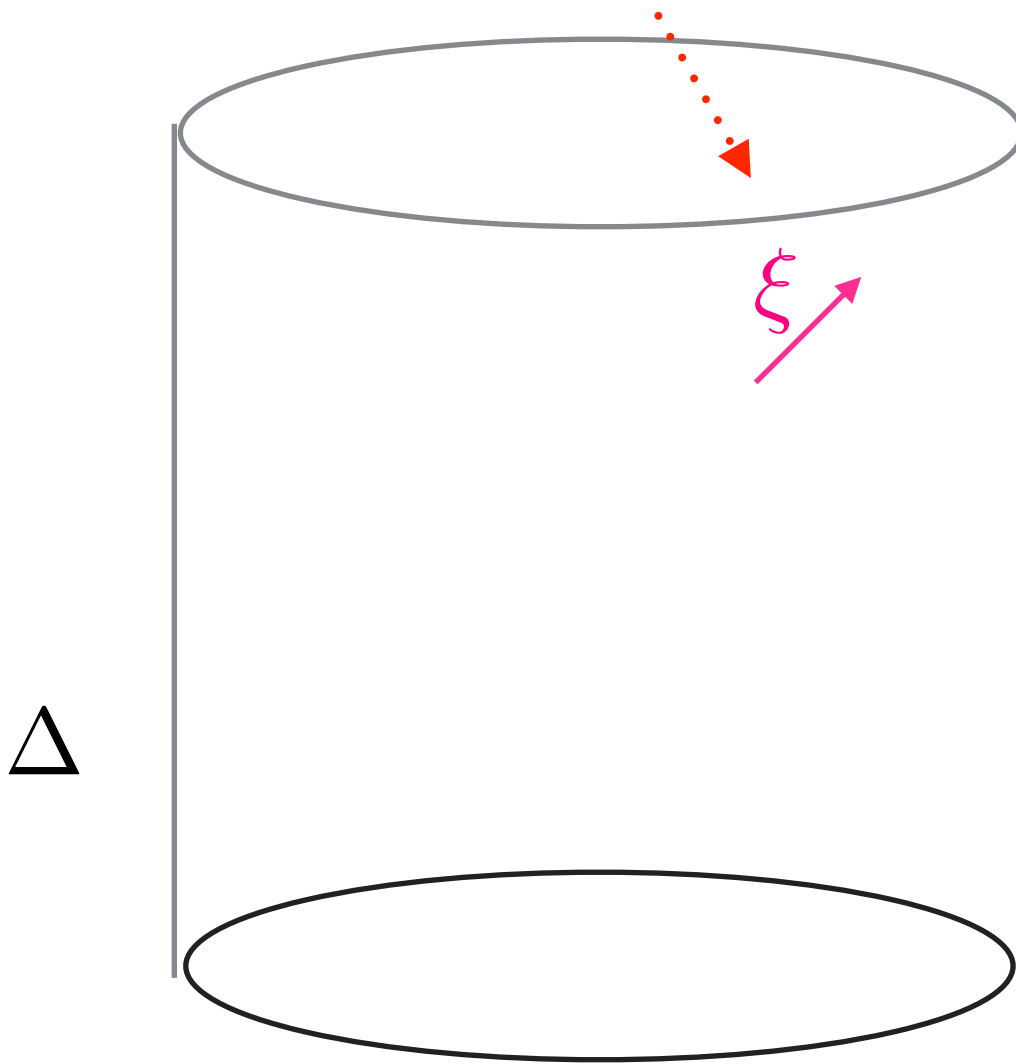
Spacetime extension important for the charges

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Spacetime extension important for the charges

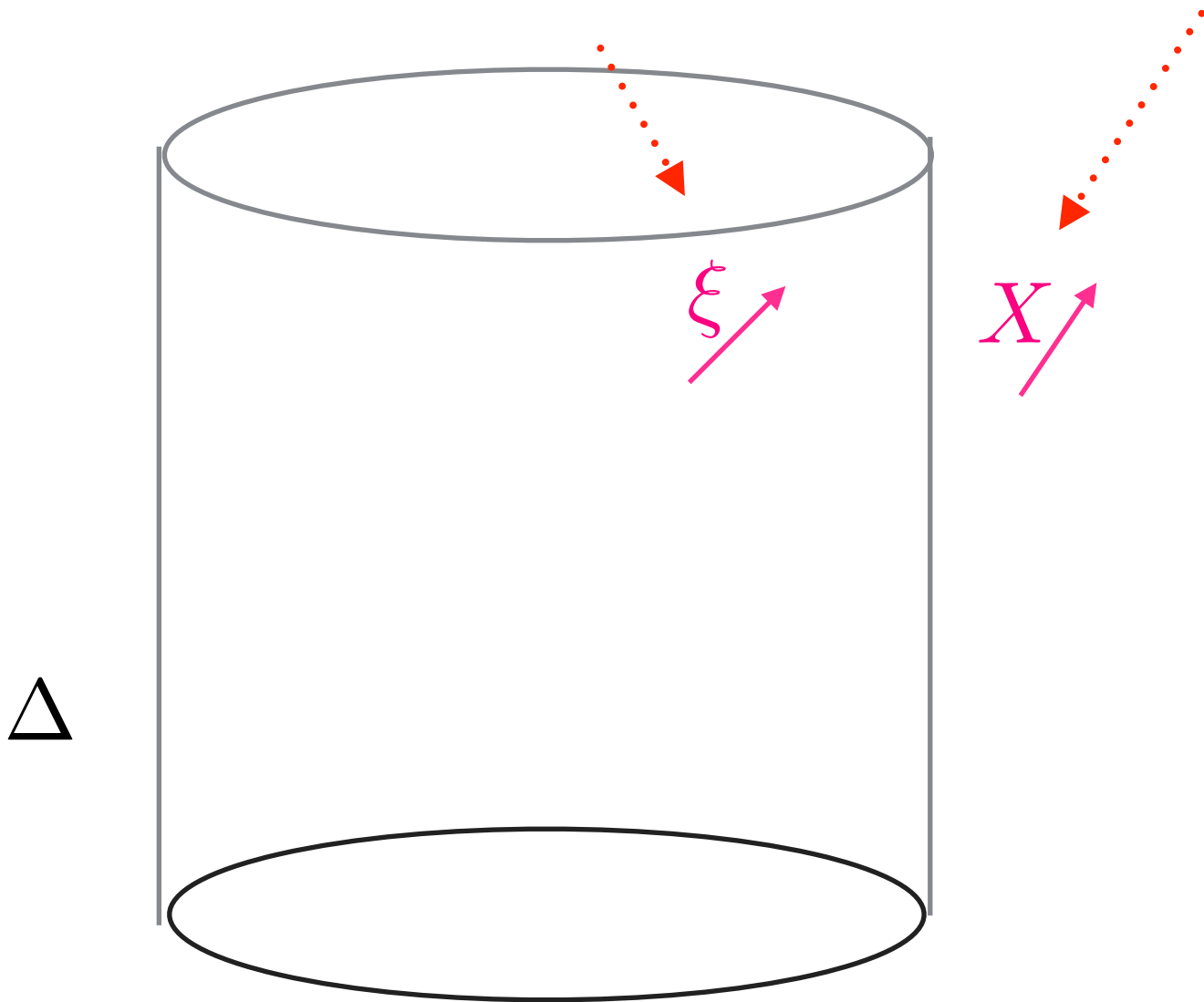
symmetry of the horizon



Spacetime extension important for the charges

symmetry of the horizon

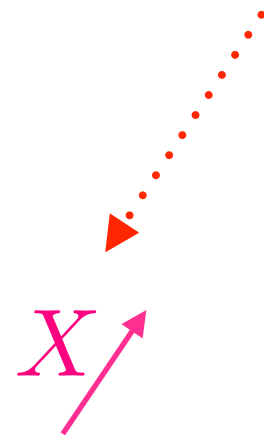
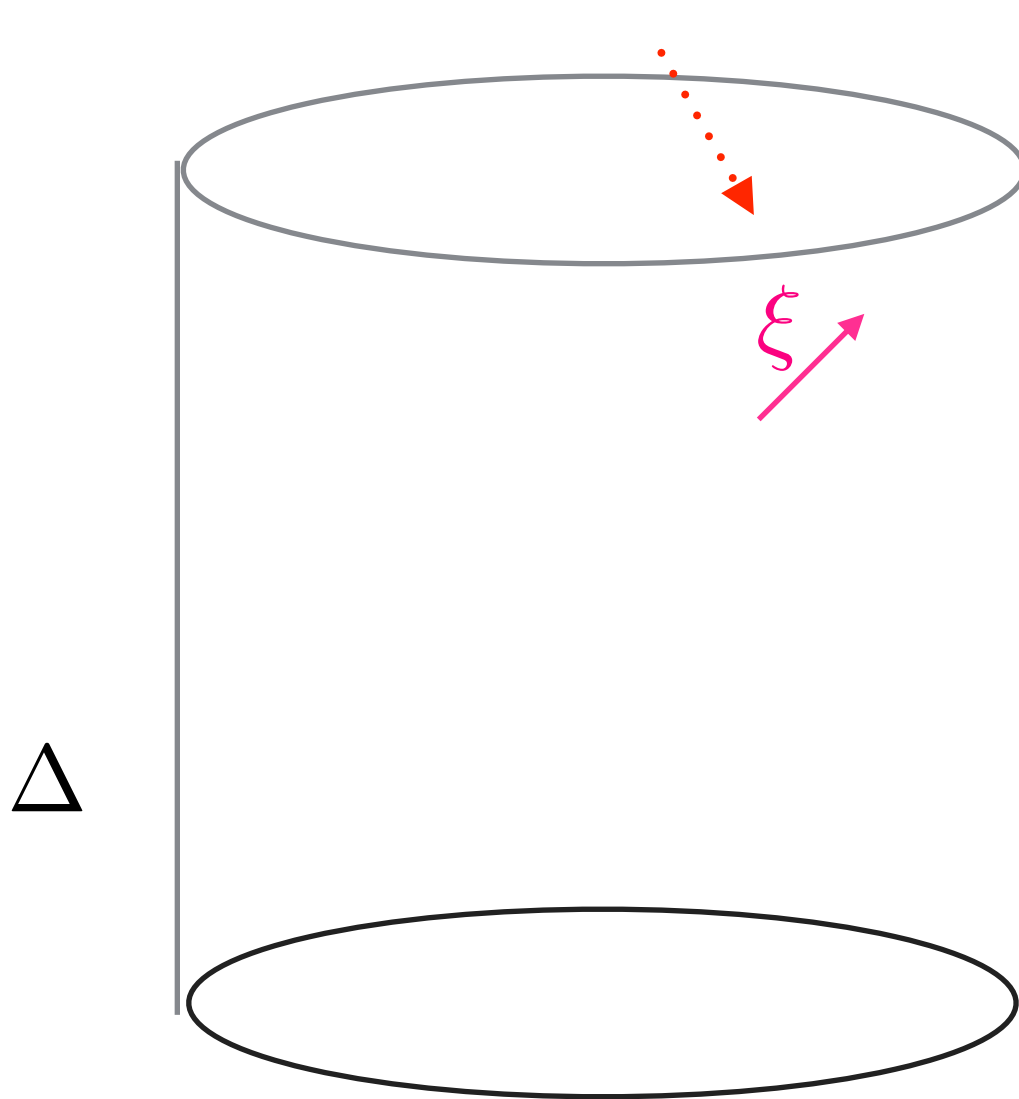
spacetime extension



Spacetime extension important for the charges

symmetry of the horizon

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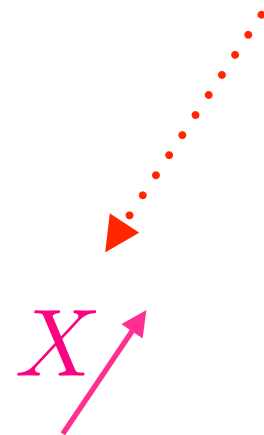
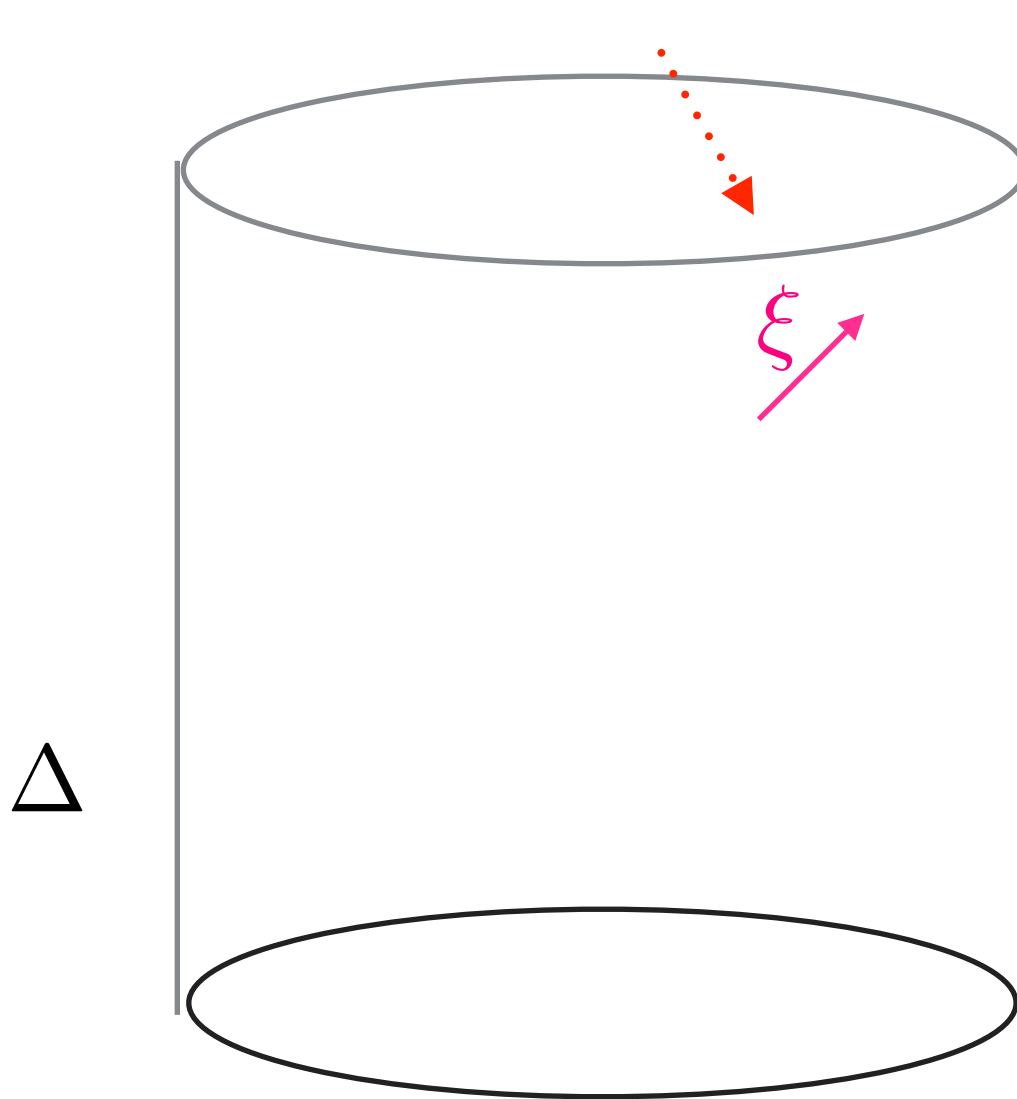


$$X|_{\Delta} = \xi$$

Spacetime extension important for the charges

symmetry of the horizon

spacetime extension



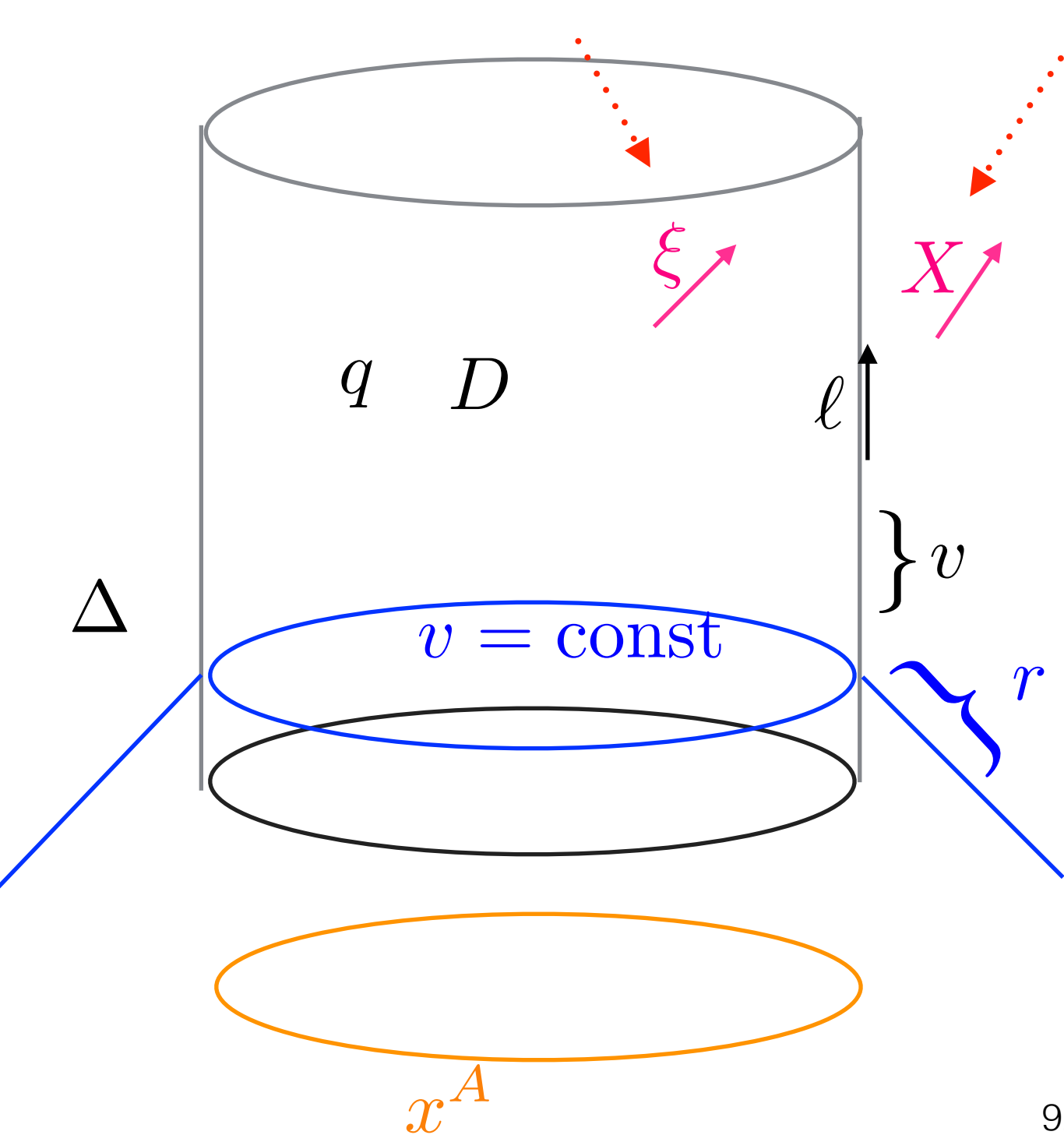
$$X|_{\Delta} = \xi$$

$$(\mathcal{L}_X g_{\alpha a}) l^a = 0$$

Spacetime extension important for the charges

symmetry of the horizon

spacetime extension



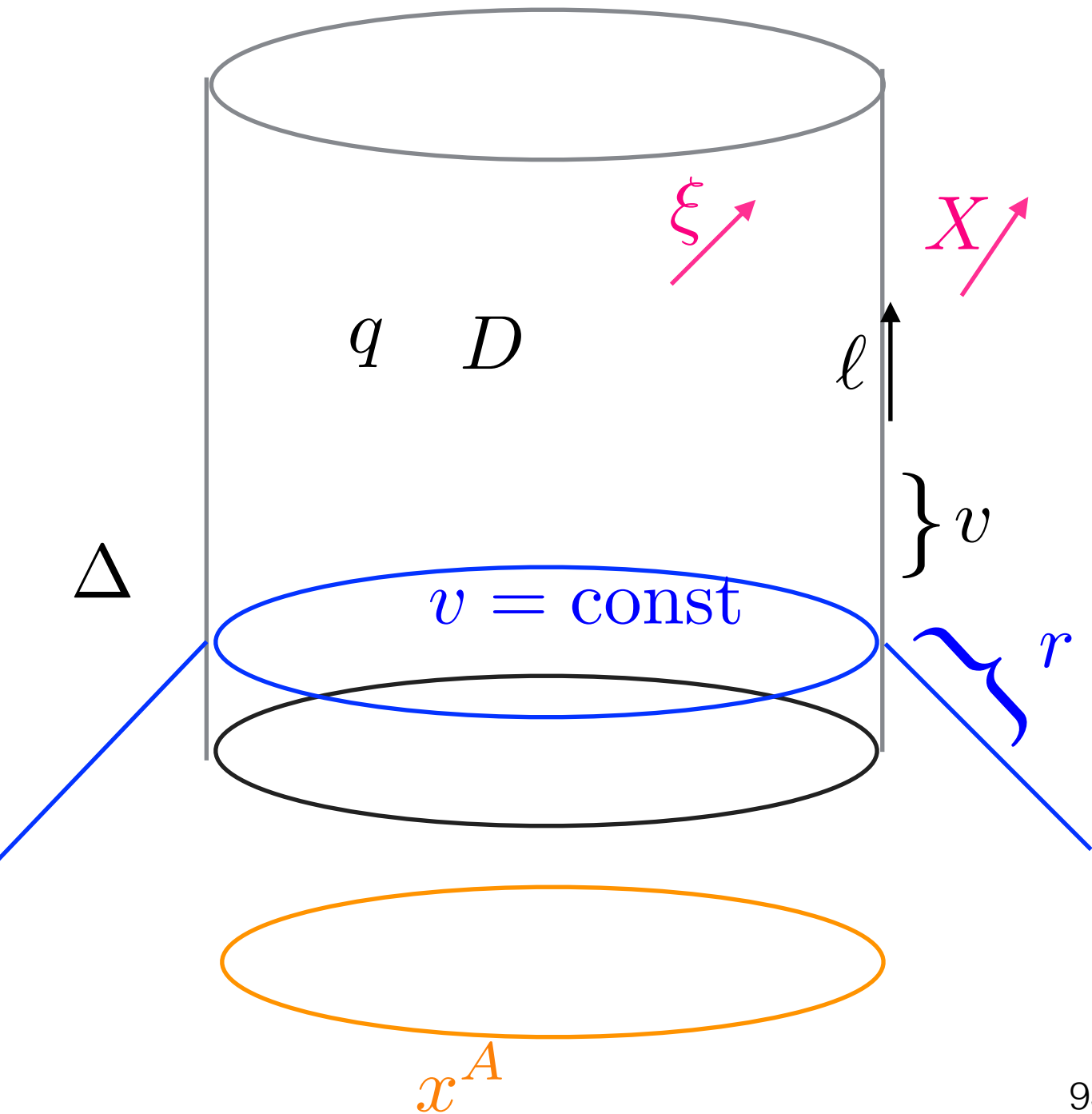
$$X|_{\Delta} = \xi$$

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The Newman-Unti coordinates

Spacetime extension important for the charges

$$X = (vf_1 + f_2)\partial_v + H^A\partial_A - rf_1\partial_r - r\tilde{X}^v\partial_v + r\tilde{X}^A\partial_A + r^2\tilde{X}^r\partial_r.$$



$$X|_{\Delta} = \xi$$

$$(\mathcal{L}_X g_{\alpha a})l^a = 0$$

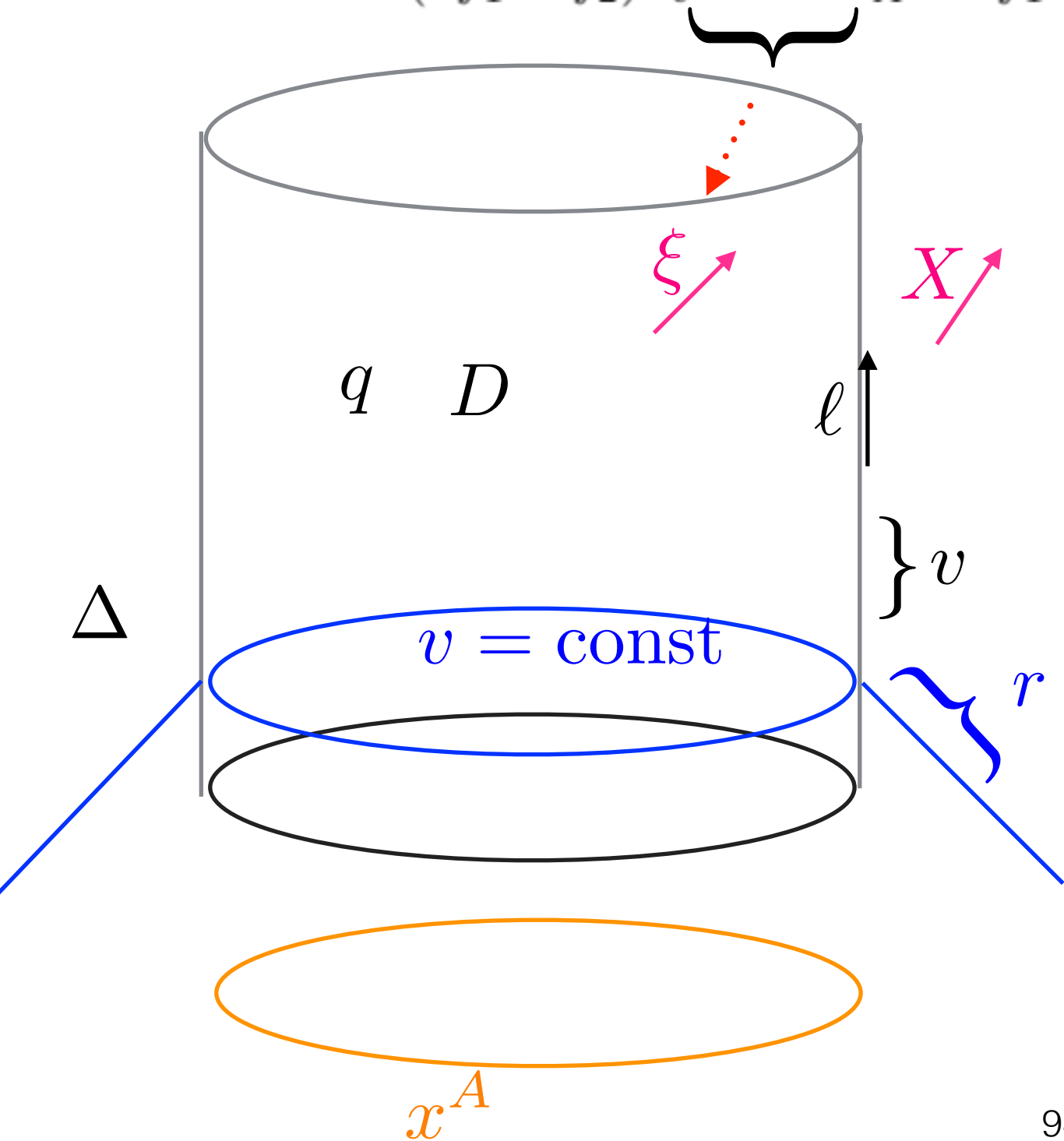
The Newman-Unti coordinates

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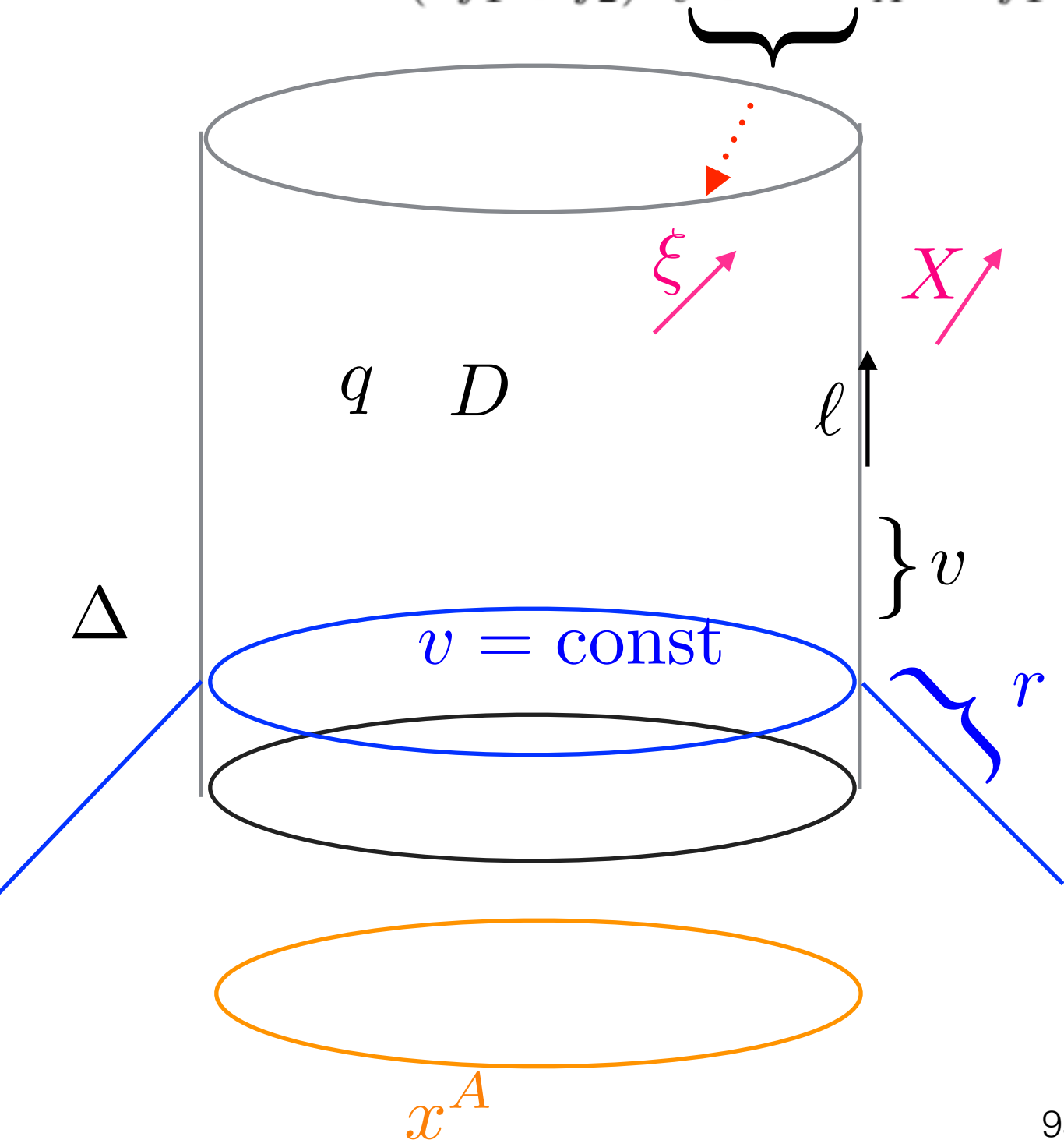
The Newman-Unti coordinates

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$$X|_{\Delta} = \xi$$

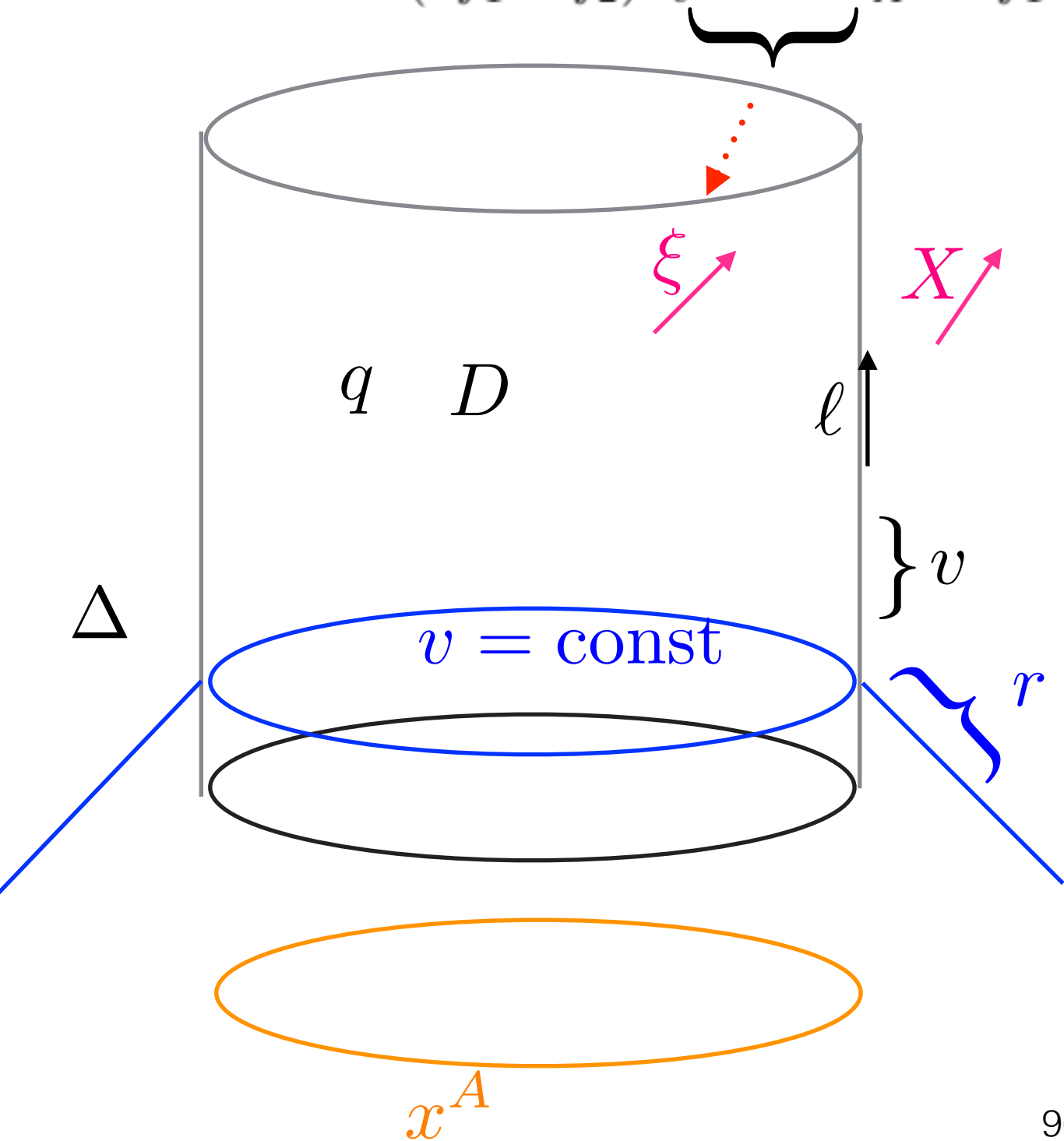
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The Newman-Unti coordinates

Spacetime extension important for the charges

$$X = (vf_1 + f_2)\partial_v + \underbrace{H^A\partial_A}_{\xi} - rf_1\partial_r - r\tilde{X}^v\partial_v + r\tilde{X}^A\partial_A + r^2\tilde{X}^r\partial_r.$$



The Newman-Unti coordinates

arbitrary

$$X|_{\Delta} = \xi$$

$$(\mathcal{L}_X g_{\alpha a})l^a = 0$$

Settling down perturbed horizon

Settling down perturbed horizon

consider 1-dimensional family of metric tensors:

Settling down perturbed horizon

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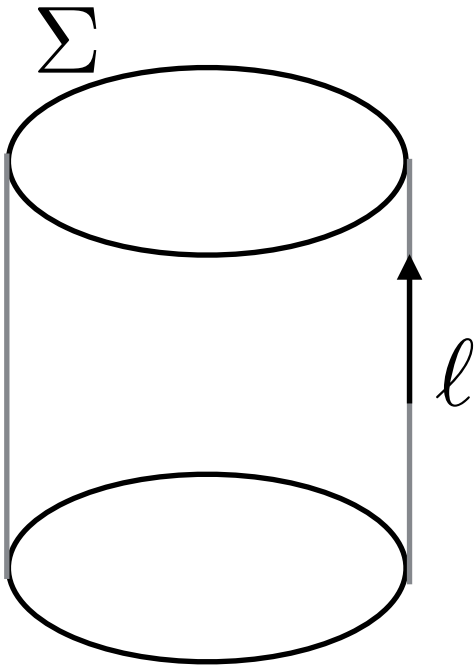
$$\begin{aligned} g_{ab}(\lambda) &= {}^{\circ}g_{ab} + \lambda \left. \frac{dg_{ab}(\lambda)}{d\lambda} \right|_{\lambda=0} + \frac{\lambda^2}{2} \left. \frac{d^2 g_{ab}(\lambda)}{d\lambda^2} \right|_{\lambda=0} + \dots \\ &=: {}^{\circ}g_{ab} + \lambda^1 h_{ab} + \frac{\lambda^2}{2} {}^2 h_{ab} + \dots \end{aligned}$$

Settling down perturbed horizon

consider 1-dimensional family of metric tensors:

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and a surface:



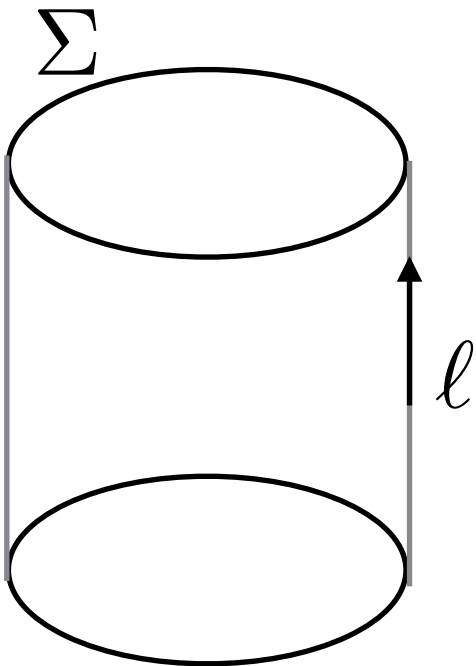
Settling down perturbed horizon

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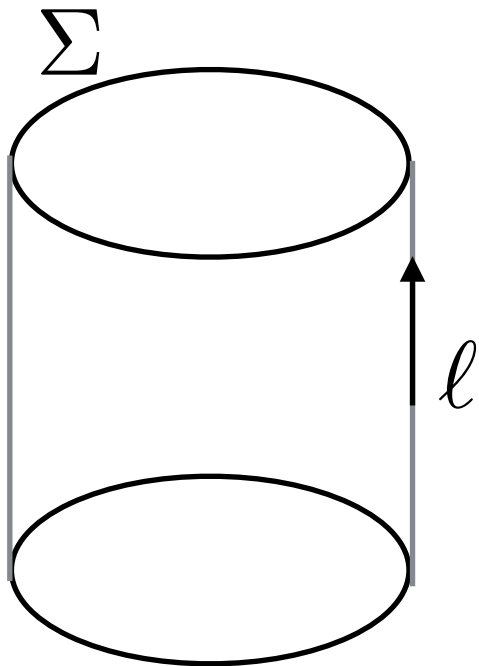
Settling down perturbed horizon

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Settling down perturbed horizon

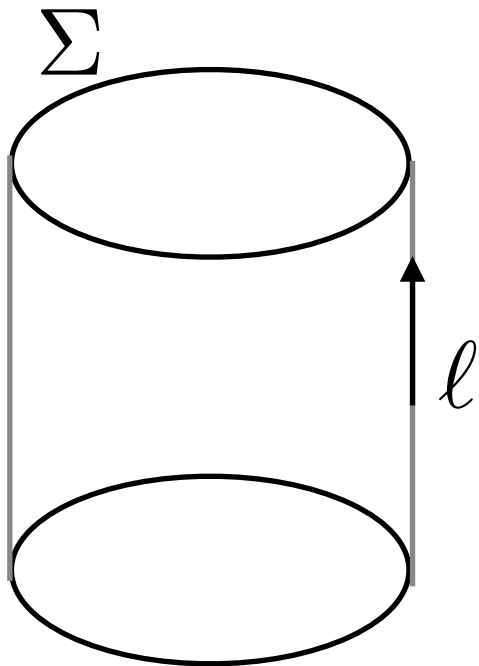
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Settling down perturbed horizon

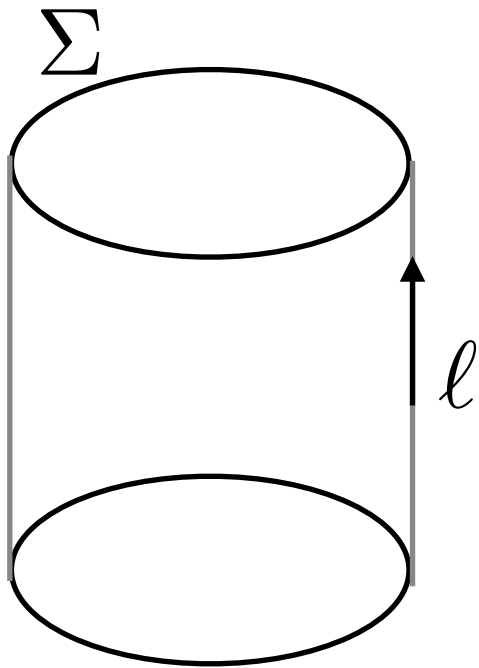
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Settling down perturbed horizon

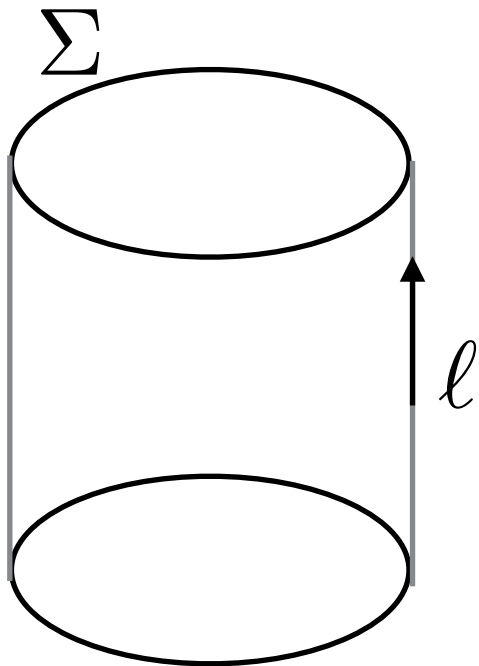
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Settling down perturbed horizon

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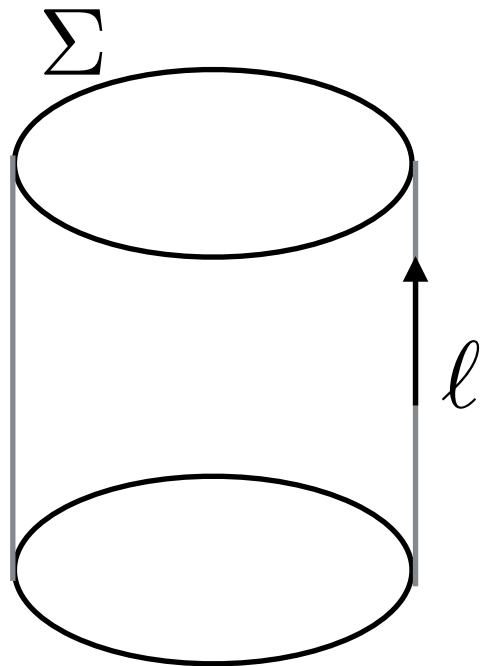
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Settling down perturbed horizon

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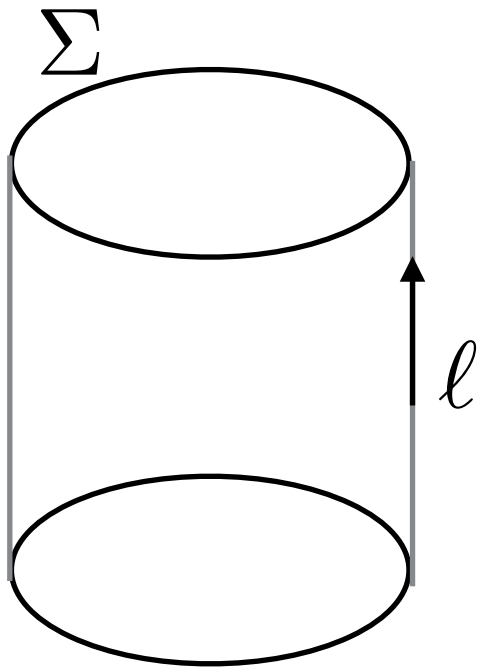
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Settling down perturbed horizon

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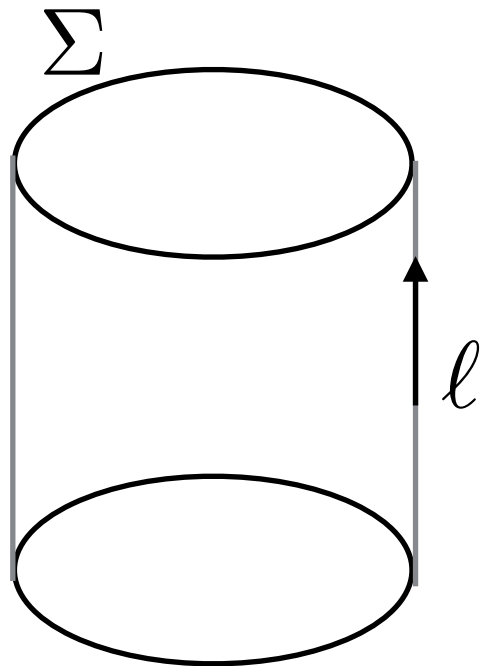
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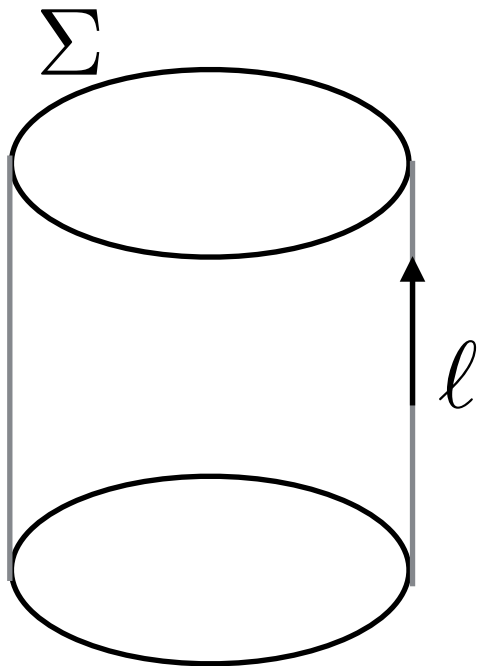
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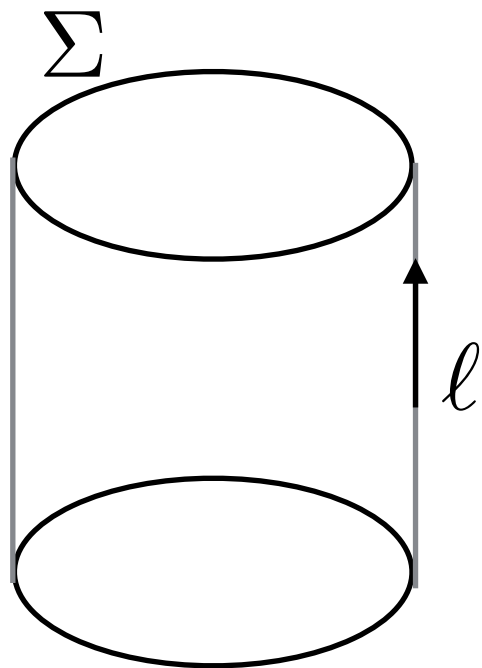
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We still use the symmetries of the background non-expanding horizon

Settling down perturbed horizon

$$g_{\mu\nu}(\lambda)dx^\mu dx^\nu = -r^2 \gamma(\lambda) dv^2 + 2 dvdr + 2r \beta_A(\lambda) dvdx^A + q_{AB}(\lambda) dx^A dx^B$$

$$D^A \beta_A(0) = 0 \quad q^{AB}(0) \frac{d}{d\lambda} q_{AB,v}(0)|_{r=0} = 0 \quad q_{AB,v}(0)|_{r=0} = 0$$

$$\xi = ((k + \phi(x))v + s(x)) \partial_v + H^A(x) \partial_A,$$

$$X = \xi - r(k + \phi(x)) \partial_r + r \tilde{X}^v \partial_v + r \tilde{X}^A \partial_A + r^2 \tilde{X}^r \partial_r$$

Charges and fluxes - we use Wald-Zoupas and Chandrasekaran-Flanagan-Prambhu:

$$Q_\xi[C](\lambda) = \frac{1}{8\pi G} \oint_C \left(k + \phi - \frac{1}{2} \beta_A(\lambda) H^A - \theta(\lambda) ((k + \phi)v + s) \right) \sqrt{\det q(\lambda)} dx^1 \wedge dx^2$$

$$\mathcal{F}_\xi[\Sigma_{1,2}](\lambda) = \frac{1}{2} \lambda^2 \frac{1}{16\pi G} \int_{H_{1,2}} \left(\mathcal{L}_\xi q'_{AB} \partial_v q'^{AB} + \phi \partial_v q''_{AA} \right) \sqrt{\det q} dv \wedge dx^1 \wedge dx^2 + O(\lambda^3)$$

$$\mathcal{F}[\Sigma_{1,2}](0) = \frac{d}{d\lambda} \mathcal{F}[\Sigma_{1,2}](0) = 0$$

$$\mathcal{F}_d[H_{1,2}](\lambda) = \frac{1}{2} \lambda^2 \frac{1}{16\pi G} \int_{H_{1,2}} kv \partial_v q'_{AB} \partial_v q'^{AB} \sqrt{\det q} dv \wedge dx^1 \wedge dx^2 + O(\lambda^3)$$

Summary

Fixing in a suitable way the gauge depending part of the 2-metric tensor and the rotation 1-form, respectively endows NHE with a structure similar to that of the scri of asymptotically flat spacetime.

The symmetry group contains the BMS group plus one more generator: dilation.

Natural completeness and consistency conditions determine the extension to a neighborhood of the horizon.

Our framework is compatible with that of Wald-Zoupas-Chandrasekaran-Flanagan-Prambhu hence we can apply their charges and fluxes. One can also apply other charges, for example those of Barnich, Donnelly, Freidel, Speziale

Thank you