



Bootstrapping Z-theory

Henriette Elvang

University of Michigan

Leinweber Center for Theoretical Physics

Amplitudes 2022 in Prague

August 8, 2022

Based on work with
HuanHang Chi
Aidan Herderschee
Callum Jones
Shruti Paranjape
Alan (Shih-Kuan) Chen

KLT Double-Copy Bootstrap

- Review ideas of the KLT Double-Copy Bootstrap.
- New results at 6-point.
- Bootstrapping Z-theory.
- Where is the string theory kernel?

This talk:
Tree Level



KLT Double-Copy Bootstrap

We have been investigating the fundamental structure of the KLT double-copy and how it may generalize, in particular in the context of EFTs.

Chi, HE, Herderschee, Jones, Paranjape (2021)

The diagram illustrates the KLT double-copy bootstrap equation. The central equation is $A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$. Arrows point from the following labels to the equation:

- gravity** points to the left-hand side $A_n^{L \otimes R}$.
- YM** (twice) points to the left-hand side $A_n^{L \otimes R}$ and the right-hand side $A_n^R[b]$.
- Field theory double copy kernel** points to the kernel $S_n[a|b]$.
- Sum over (n-3)! color orderings** points to the summation symbol $\sum_{a,b}$.

KLT Double-Copy Bootstrap

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Chi, HE, Herderschee, Jones, Paranjape (2021)

$$A_n^{L \otimes R} = \sum_{a,b} A_n^L[a] S_n[a|b] A_n^R[b]$$

Closed string

Open string

Open string

String theory double copy kernel

Sum over $(n-3)!$ color orderings

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GR + h.d. →

YM + h.d. ↓

YM + h.d. ↓

↑

What is the most general kernel?

Sum over $(n-3)!$ color orderings

KLT kernels

Field theory KLT kernel is inverse of any $(n-3)! \times (n-3)!$ submatrix of the tree amplitudes of the cubic Bi-Adjoint Scalar model (BAS).

Cachazo, He, Yuan

$$\mathcal{L}_{\text{BAS}} = -\frac{1}{2} \left(\partial_\mu \phi^{aa'} \right)^2 - g f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'}$$

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String theory KLT kernel is inverse of any $(n-3)! \times (n-3)!$ submatrix of the tree amplitudes of the cubic Bi-Adjoint Scalar model (BAS) + *specific* higher derivative terms determined by the α' expansion.

Mizera

$$\mathcal{L}_{\alpha'} = \mathcal{L}_{\text{BAS}} + \alpha' \partial^2 \phi^4 + \alpha'^3 \partial^6 \phi^4 + \dots$$

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Mizera

$$\mathcal{L}_{\alpha'} = \mathcal{L}_{\text{BAS}} + \alpha' \partial^2 \phi^4 + \alpha'^3 \partial^6 \phi^4 + \dots$$

Generalized EFT KLT kernel is inverse of any $(n-3)! \times (n-3)!$ submatrix of the amplitudes of the cubic Bi-Adjoint Scalar model (BAS) + *general* higher derivative terms.

Chi, HE, Herderschee, Jones, Paranjape (2021)

..but what are the rules for adding higher-derivative terms without wrecking the desired kernel properties?

The Rules: The KLT algebra.

“Standard” field theory double-copy has an **identity element**

FT \otimes FT	YM	$\mathcal{N} = 4$ SYM	χ PT	BAS
YM	gravity+	$\mathcal{N} = 4$ SG	BI	YM
$\mathcal{N} = 4$ SYM	$\mathcal{N} = 4$ SG	$\mathcal{N} = 8$ SG	$\mathcal{N} = 4$ sDBI	$\mathcal{N} = 4$ SYM
χ PT	BI	$\mathcal{N} = 4$ sDBI	sGalileon	χ PT
BAS	YM	$\mathcal{N} = 4$ SYM	χ PT	BAS

Cubic Bi-Adjoint Scalar model (BAS)

$$\mathcal{L}_{\text{BAS}} = -\frac{1}{2} \left(\partial_\mu \phi^{aa'} \right)^2 - g f^{abc} \tilde{f}^{a'b'c'} \phi^{aa'} \phi^{bb'} \phi^{cc'}$$

$$L = L \otimes \mathbf{1}, \quad R = \mathbf{1} \otimes R, \quad \underbrace{\mathbf{1} = \mathbf{1} \otimes \mathbf{1}}_{\text{identity element}}, \quad \mathbf{1} = \text{BAS model}$$

This links identity element to the kernel => inverse submatrix relation

Proposal

Proposal: take the KLT algebra as the fundamental property

$$L = L \otimes \mathbf{1}, \quad R = \mathbf{1} \otimes R, \quad \mathbf{1} = \mathbf{1} \otimes \mathbf{1}.$$

KKBCJ / monodromy relations

KLT Bootstrap Equation

The double-copy kernel is the inverse of a suitable submatrix of the tree amplitudes in the identity model.

To generalize the double-copy kernel, we generalize the identity element

“Minimal rank condition”

$$\mathbf{1} = \mathbf{1} \otimes \mathbf{1} \quad \Leftrightarrow \quad (n-1)! \times (n-1)! \text{ matrix of tree amplitudes of the identity model has rank } (n-3)!$$

Chi, HE, Herderschee, Jones, Paranjape (2021)

4-point bootstrap

4-point: $(n-1)! = 6$ independent single-trace color-orderings: 1234, 1243, 1324, 1342, 1423, 1432

=> 6 x 6 matrix of doubly-ordered tree amplitudes $m[a|b]$ for the identity model

Cyclic symmetry and momentum relabeling: can express in terms of just three functions f_1, f_2, f_6

$$\mathbf{m}_4 = \begin{pmatrix} m_4[1234|1234] & m_4[1234|1243] & m_4[1234|1324] & \cdots & m_4[1234|1432] \\ m_4[1243|1234] & m_4[1243|1243] & m_4[1243|1324] & \cdots & m_4[1243|1432] \\ \vdots & \vdots & \vdots & & \vdots \\ m_4[1432|1234] & m_4[1432|1243] & m_4[1432|1324] & \cdots & m_4[1432|1432] \end{pmatrix} = \begin{pmatrix} f_1(s,t) & f_2(s,t) & f_2(u,t) & f_2(s,t) & f_2(u,t) & f_6(s,t) \\ f_2(s,u) & f_1(s,u) & f_2(t,u) & f_6(s,u) & f_2(t,u) & f_2(s,u) \\ f_2(u,s) & f_2(t,s) & f_1(t,s) & f_2(t,s) & f_6(t,s) & f_2(u,s) \\ f_2(s,u) & f_6(t,u) & f_2(t,u) & f_1(t,u) & f_2(t,u) & f_2(s,u) \\ f_2(u,s) & f_2(t,s) & f_6(u,s) & f_2(t,s) & f_1(u,s) & f_2(u,s) \\ f_6(u,t) & f_2(s,t) & f_2(u,t) & f_2(s,t) & f_6(u,t) & f_2(u,t) \end{pmatrix}$$

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$\mathbf{1} = \mathbf{1} \otimes \mathbf{1} \iff$ All 2x2 minors vanish \iff 6x6 matrix has rank 1

$$f_1(s,t) = \frac{f_2(s,t)f_2(-s-t,s)}{f_2(t,s)}, \quad f_6(s,t) = f_1(s,t).$$

$$f_2(s,t)f_2(-s-t,s)f_2(t,-s-t) = f_2(t,s)f_2(-s-t,t)f_2(s,-s-t).$$

Let's look more at the bootstrap results at 4-point

Ansatz $f_2(s, t) = -\frac{g^2}{s} + a_{0,0} + a_{1,0} t + a_{1,1} s + a_{2,0} t^2 + a_{2,1} st + a_{2,2} s^2 + \dots$

Let's look more at the bootstrap results at 4-point

Ansatz $f_2(s, t) = -\frac{g^2}{s} + \cancel{a_{0,0}} + a_{1,0} t + a_{1,1} s + a_{2,0} t^2 + a_{2,1} st + \cancel{a_{2,2} s^2} + \dots$

4-point bootstrap + locality

$$f_2(s, t) = -\frac{g^2}{s} + a_{1,0} t + a_{1,1} s + a_{2,0} t(s + t) + a_{3,0} t^3 + a_{3,1} st^2 + a_{3,2} s^2 t + a_{3,3} s^3 + a_{4,0} t^4 + a_{4,1} st^3 + a_{4,2} s^2 t^2 + \underbrace{(a_{4,0} + a_{4,1} + a_{4,2})}_{a_{4,3} \text{ fixed to this}} s^3 t + \dots$$

All $a_{2k,2k} = 0$
by locality of f_1

Chi, HE, Herderschee, Jones, Paranjape (2021)

Compare with the inverse string kernel

$$f_2^{\text{string}}(s, t) = -\frac{1}{\sin(\pi\alpha's)} = -\frac{1}{\pi\alpha's} - \frac{1}{6}\pi\alpha's - \frac{7}{360}(\pi\alpha's)^3 - \frac{31}{15120}(\pi\alpha's)^5 + \dots$$

$$\mathcal{L}_{\alpha'} = \mathcal{L}_{\text{BAS}} + \alpha' \partial^2 \phi^4 + \alpha'^3 \partial^6 \phi^4 + \dots$$

Compare with the inverse string kernel

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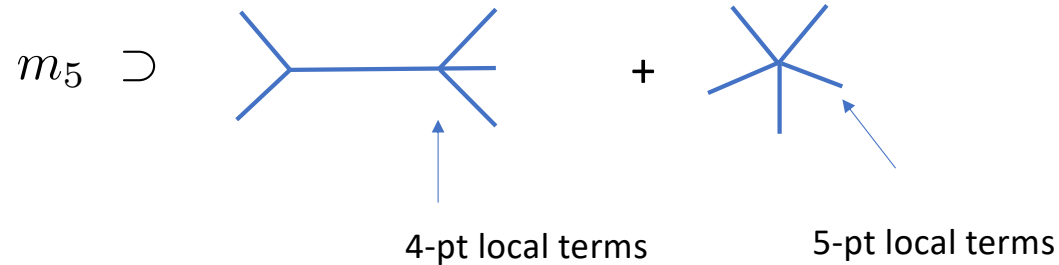
4-point bootstrap + locality

$$f_2(s, t) = \underbrace{-\frac{g^2}{s}}_{g^2 = \frac{1}{\pi\alpha'}} + \cancel{a_{1,0}t} + \underbrace{a_{1,1}s}_{-\frac{1}{6}\pi\alpha'} + \cancel{a_{2,0}t(s+t)} \\ + \cancel{a_{3,0}t^3} + \cancel{a_{3,1}st^2} + \cancel{a_{3,2}s^2t} + \underbrace{a_{3,3}s^3}_{-\frac{7}{360}\pi^3\alpha'^3} \\ + \cancel{a_{4,0}t^4} + \cancel{a_{4,1}st^3} + \cancel{a_{4,2}s^2t^2} + \cancel{(a_{4,0} + a_{4,1} + a_{4,2})s^3t} \\ + \dots$$

So the result of the 4-pt KLT bootstrap is *much more general than the strings kernel*.

5-point bootstrap

$$\mathbf{1} = \mathbf{1} \otimes \mathbf{1} \quad \Leftrightarrow \quad (n-1)! \times (n-1)! \text{ matrix has rank } (n-3)!$$



Bootstrap: 24 x 24 matrix of tree amplitudes must have rank 2

5-point bootstrap gives *no constraints* on 4-point coefficients.

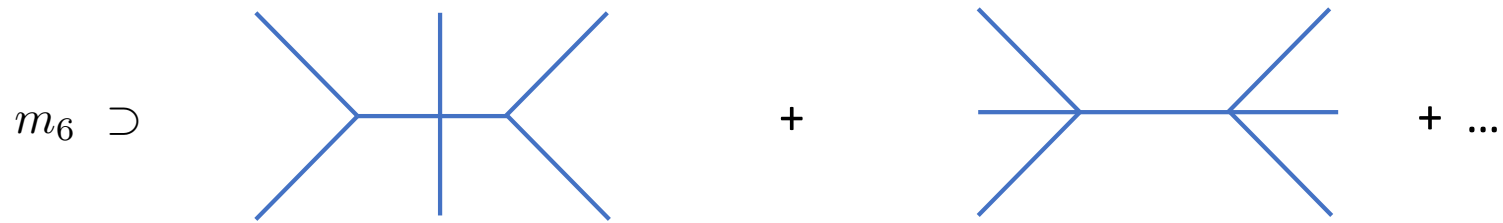
There is freedom in local coefficients starting at order $(Mandelstam)^3$.

Chi, HE, Herderschee, Jones, Paranjape (2021)

6-point bootstrap

$$\mathbf{1} = \mathbf{1} \otimes \mathbf{1} \quad \Leftrightarrow \quad (n-1)! \times (n-1)! \text{ matrix has rank } (n-3)!$$

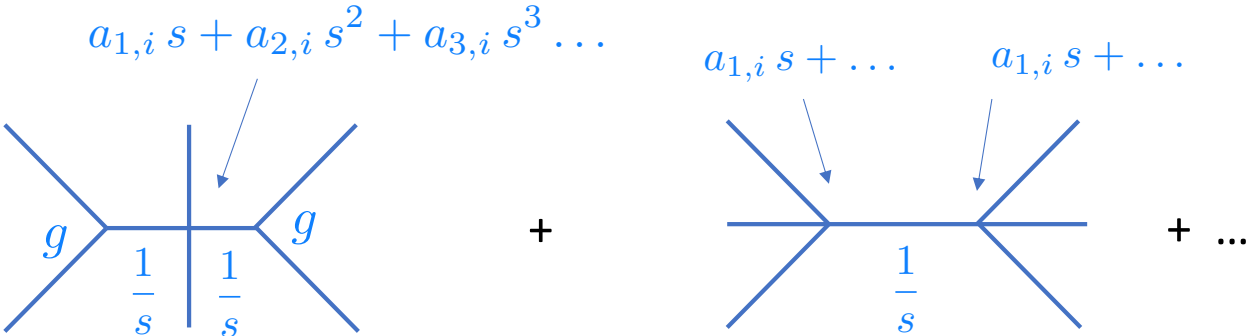
At 6-points, things start to get interesting because factorization to lower-point plays a crucial role



Alan (Shih-Kuan) Chen & HE (to appear)

6-point bootstrap

At 6-points, things start to get interesting because factorization to lower-point plays a crucial role



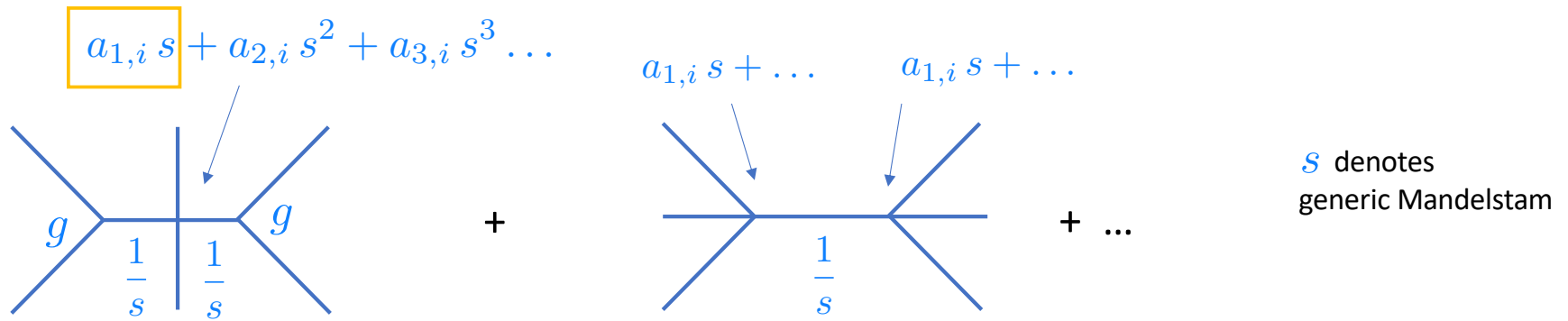
s denotes generic Mandelstam

Alan (Shih-Kuan) Chen & HE (to appear)



Factorization plays a key role at 6-point

At 6-points, things start to get interesting because factorization to lower-point plays a crucial role

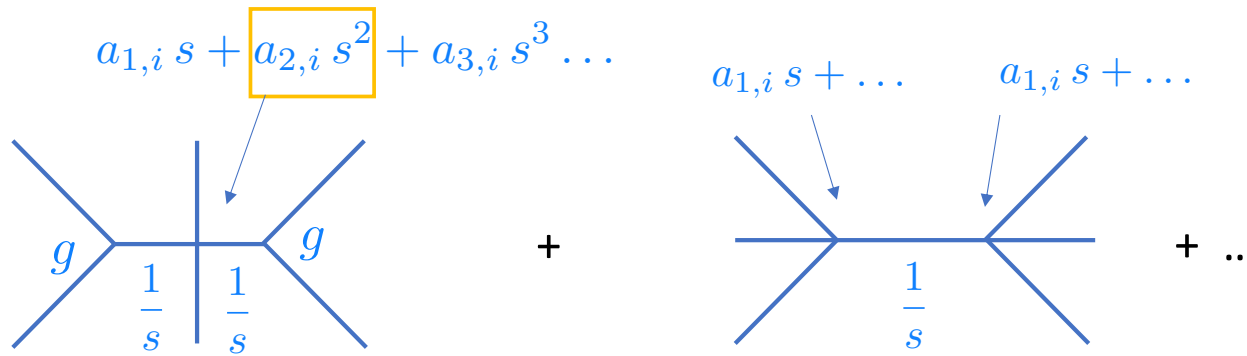


$O(1/s)$ Non-trivial that minimal rank conditions holds, no adjustments possible from local 6pt terms.
It does work.

Alan (Shih-Kuan) Chen & HE (to appear)

Factorization plays a key role at 6-point

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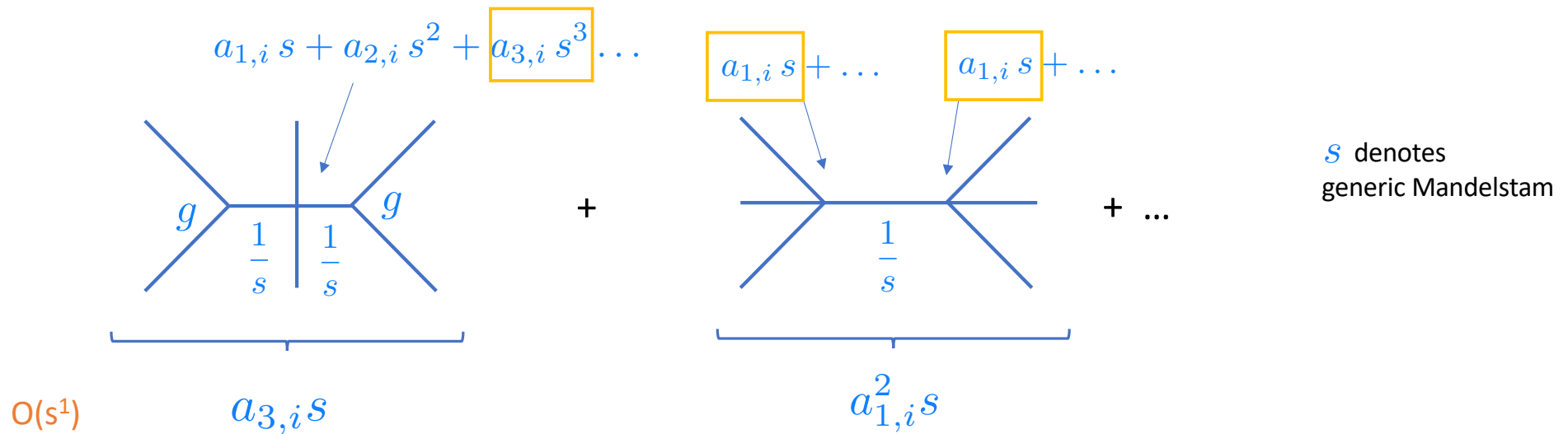
s denotes generic Mandelstam

$O(s^0)$ No constraints on $a_{2,0}$

Alan (Shih-Kuan) Chen & HE (to appear)

Factorization plays a key role at 6-point

At 6-points, things start to get interesting because factorization to lower-point plays a crucial role



Rank condition imposes relations like $a_{3,j} \propto a_{1,i}^2$ **All four $a_{3,i}$ are completely fixed!**

Alan (Shih-Kuan) Chen & HE (to appear)

New constraints at 4- and 5-point follow from the 6-point bootstrap

$$a_{3,0} = \frac{2}{5g^2} a_{1,0} (a_{1,0} - 2a_{1,1})$$

$$a_{3,1} = \frac{1}{10g^2} a_{1,0} (a_{1,0} - 12a_{1,1})$$

$$a_{3,2} = \frac{1}{5g^2} a_{1,0} (2a_{1,0} - 9a_{1,1})$$

$$a_{3,3} = -\frac{7}{10g^2} a_{1,1}^2$$

Alan (Shih-Kuan) Chen & HE (to appear)



New constraints at 4- and 5-point follow from the 6-point bootstrap

$$a_{3,0} = \frac{2}{5g^2} a_{1,0} (a_{1,0} - 2a_{1,1}) \rightarrow 0$$

$$a_{3,1} = \frac{1}{10g^2} a_{1,0} (a_{1,0} - 12a_{1,1}) \rightarrow 0$$

$$a_{3,2} = \frac{1}{5g^2} a_{1,0} (2a_{1,0} - 9a_{1,1}) \rightarrow 0$$

$$a_{3,3} = -\frac{7}{10g^2} a_{1,1}^2 \rightarrow -\frac{7}{360} \pi^3 \alpha'^3$$

$$g^2 = \frac{1}{\pi\alpha'}$$

Recall that string theory has

$$a_{1,0} = 0 \quad a_{1,1} = -\frac{1}{6} \pi \alpha'$$

$$a_{2,0} = 0$$

Exactly the string kernel values

Alan (Shih-Kuan) Chen & HE (to appear)

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$$a_{1,0} = 0 \quad a_{1,1} = -\frac{1}{6} \pi \alpha'$$

$$a_{2,0} = a_{4,0} = a_{6,0} = 0$$

6-pt bootstrap: all $a_{4,i}$ fixed, except for one.

all $a_{5,i}$ fixed.

all $a_{6,i}$ fixed, except for one.

...

Alan (Shih-Kuan) Chen & HE (to appear)

Can we bootstrap the string theory kernel?

Not quite, there must be free parameters.

For a good reason: our results must in fact be more general than the string kernel.

To see why, we need to take a little detour...

... to another corner of the KLT double-copy relation world....

Z-theory

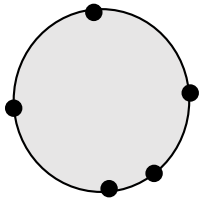
Open string with its alpha' corrections

$$\text{Z-theory} \otimes_{\text{SS}} \text{YM} = \text{open string}$$

Result of
string
disk integrals

Standard BAS kernel

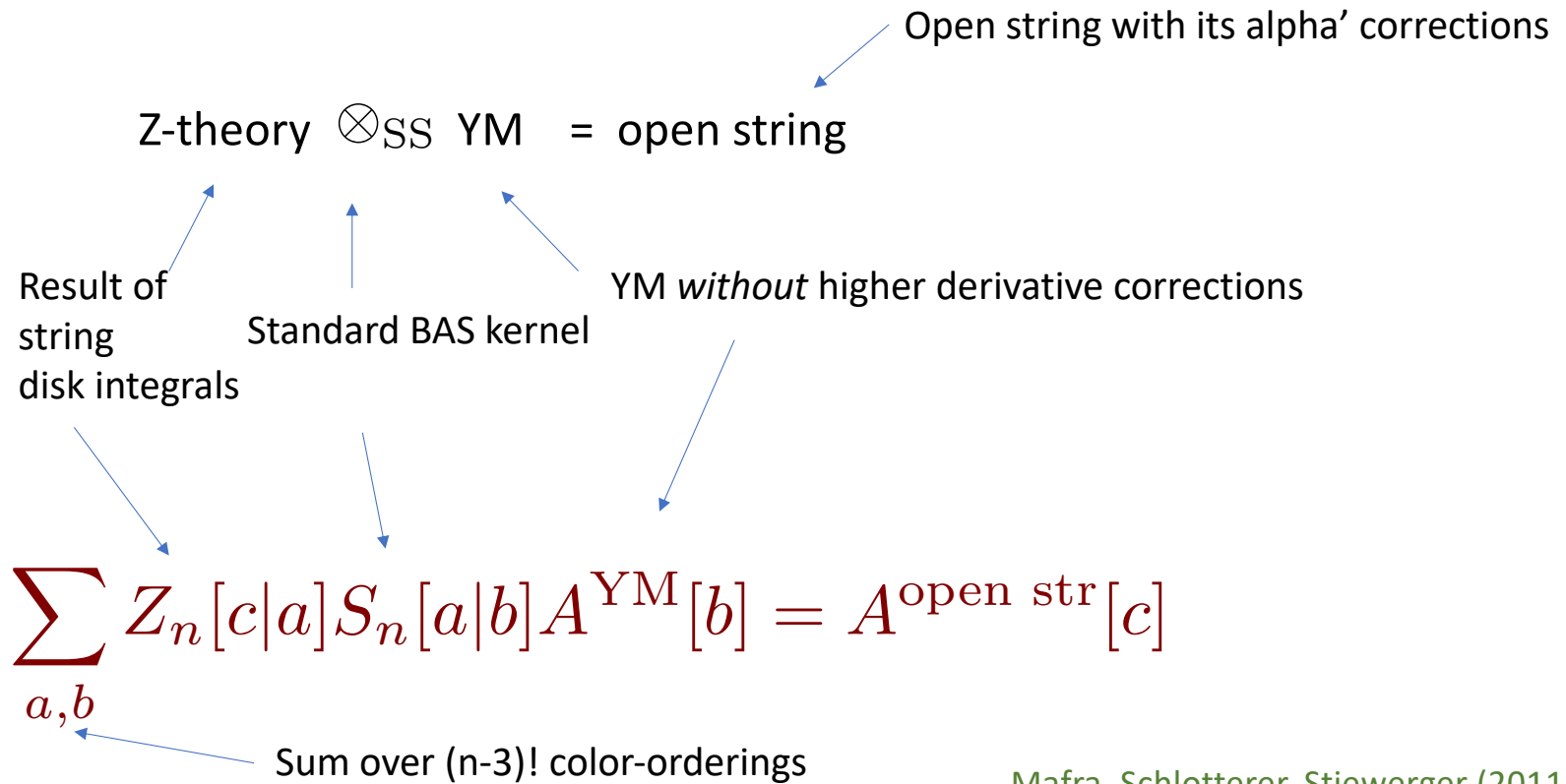
YM *without* higher derivative corrections



$$Z[\sigma|\rho] = Z_\sigma(\rho(1, 2, \dots, n)) = (2\alpha')^{n-3} \int_{\sigma \{-\infty \leq z_1 \leq z_2 \leq \dots \leq z_n \leq \infty\}} \frac{dz_1 \dots dz_n}{\text{vol}(\text{SL}(2, \mathbb{R}))} \frac{\prod_{i < j}^n |z_{ij}|^{\alpha' s_{ij}}}{\rho \{z_{12} z_{23} \dots z_{n-1, n} z_{n, 1}\}}$$

Mafra, Schlotterer, Stieberger (2011)+(2013)

Z-theory



Mafra, Schlotterer, Stiewerger (2011)+(2013)

Z-theory

$$\sum_{a,b} Z_n[c|a] S_n[a|b] A^{\text{YM}}[b] = A^{\text{open str}}[c]$$

Sum over $(n-3)!$ color-orderings

Independence of the choice of $(n-3)!$ color-orderings in the KLT sum requires that the Z-theory amplitudes must obey the L-sector standard field theory KKBCJ relations:

Specifically, the Z-theory amplitudes must obey $\mathbf{L} \otimes \mathbf{1} = \mathbf{L}$ (but not necessarily $\mathbf{1} \otimes \mathbf{R} = \mathbf{R}$)

Z-theory

$$\sum_{a,b} Z_n[c|a] S_n[a|b] A^{\text{YM}}[b] = A^{\text{open str}}[c]$$

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This can be imposed as $\sum_a Z[c|a] n_i[a] = 0$ where $n_i[a] = 0$ are the $(n-1)! - (n-3)!$ null vectors of the matrix of BAS amplitudes

Sum over $(n-1)!$ color-orderings

That means the matrix of Z-amplitudes itself has the $(n-1)! - (n-3)!$ null vectors under R-multiplication. So it too has rank $(n-3)!$ and therefore *it solves the KLT bootstrap equation.*

Z-theory

Z-theory then defines its own kernel: $\mathbf{1}_Z = \mathbf{1}_Z \otimes_Z \mathbf{1}_Z$

This means that **Z-theory must be a special case of our generalized KLT bootstrap!!**

The most general solution to the KLT bootstrap equations must include both

- 1) the inverse string kernel
- 2) Z-theory

Alan (Shih-Kuan) Chen & HE (to appear)



What do Z-theory amplitudes look like?

$$f_1^Z(s, t) = m_4^Z[1234|1234] = \frac{1}{\pi} \frac{\Gamma(\alpha' s) \Gamma(\alpha' u)}{\Gamma(\alpha' s + \alpha' u)} \quad \leftarrow \text{Beta function}$$

Use the KKBCJ relation $A_4[\underline{1243}] = \frac{u}{t} A[\underline{1234}]$

$$f_2^Z(s, t) = m_4^Z[1234|\underline{1243}] = \frac{u}{t} m_4^Z[1234|\underline{1234}] = \frac{u}{t} f_1^Z(s, t) = -\frac{1}{\pi s} \frac{\Gamma(1 + \alpha' s) \Gamma(1 + \alpha' u)}{\Gamma(1 + \alpha' s + \alpha' u)}$$

What do Z-theory amplitudes look like?

Low-energy expansion

$$f_2^Z(s, t) = -\frac{1}{\pi s} \frac{\Gamma(1 + \alpha' s)\Gamma(1 + \alpha' u)}{\Gamma(1 + \alpha' s + \alpha' u)}$$
$$= -\frac{1}{\pi \alpha' s} - \frac{1}{6} \pi \alpha' (s + t) - \frac{\zeta(3)}{\pi} \alpha'^2 t(s + t) - \frac{1}{360} \pi^3 \alpha'^3 (s + t)(7s^2 + 7st + 4t^2) + \dots$$

BAS with $g^2 = \frac{1}{\pi \alpha'}$

Z-theory is BAS plus specific higher-derivative operators!

Z-theory comparison

Low-energy expansion

$$f_2^Z(s, t) = -\frac{1}{\pi s} \frac{\Gamma(1 + \alpha' s) \Gamma(1 + \alpha' u)}{\Gamma(1 + \alpha' s + \alpha' u)}$$

$$= -\frac{1}{\pi \alpha' s} - \frac{1}{6} \pi \alpha' (s + t) - \frac{\zeta(3)}{\pi} \alpha'^2 t(s + t) - \frac{1}{360} \pi^3 \alpha'^3 (s + t)(7s^2 + 7st + 4t^2) + \dots$$

BAS with $g^2 = \frac{1}{\pi \alpha'}$

$$a_{1,1} = a_{1,0} = -\frac{1}{6} \pi \alpha'$$

$$a_{2,0} = -\frac{\zeta(3)}{\pi} \alpha'^2$$

$$a_{3,0} = -\frac{1}{90} \pi^3 \alpha'^3$$

$$a_{3,1} = -\frac{11}{360} \pi^3 \alpha'^3$$

$$a_{3,2} = -\frac{7}{180} \pi^3 \alpha'^3$$

$$a_{3,3} = -\frac{7}{360} \pi^3 \alpha'^3$$

$$g^2 = \frac{1}{\pi\alpha'}$$

6-pt bootstrap:

all $a_{3,i}$ fixed

all $a_{4,i}$ fixed, except for $a_{4,0}$

all $a_{5,i}$ fixed.

all $a_{6,i}$ fixed, except for $a_{6,0}$

...

Inverse string theory kernel

$$a_{1,0} = 0 \quad a_{1,1} = -\frac{1}{6}\pi\alpha'$$

$$a_{2,0} = a_{4,0} = a_{6,0} = 0 \quad \text{etc}$$

Z-theory

$$a_{1,1} = a_{1,0} = -\frac{1}{6}\pi\alpha' \quad a_{4,0} = -\frac{\zeta(5)}{\pi}\alpha'^4$$

$$a_{2,0} = -\frac{\zeta(3)}{\pi}\alpha'^2 \quad a_{6,0} = -\frac{\zeta(7)}{\pi}\alpha'^6 \quad \text{etc}$$

Alan (Shih-Kuan) Chen & HE (to appear)

$$g^2 = \frac{1}{\pi\alpha'}$$

6-pt bootstrap:

all $a_{3,i}$ fixed
 all $a_{4,i}$ fixed, except for $a_{4,0}$
 all $a_{5,i}$ fixed.
 all $a_{6,i}$ fixed, except for $a_{6,0}$
 ...

Inverse string theory kernel

$$a_{1,0} = 0 \quad a_{1,1} = -\frac{1}{6}\pi\alpha'$$

$$a_{2,0} = a_{4,0} = a_{6,0} = 0 \quad \text{etc}$$

Z-theory

$$a_{1,1} = a_{1,0} = -\frac{1}{6}\pi\alpha' \quad a_{4,0} = -\frac{\zeta(5)}{\pi}\alpha'^4$$

$$a_{2,0} = -\frac{\zeta(3)}{\pi}\alpha'^2 \quad a_{6,0} = -\frac{\zeta(7)}{\pi}\alpha'^6 \quad \text{etc}$$

Higher-point
 KLT bootstrap *cannot*
 fix more at 4pt b/c
 of transcendentality

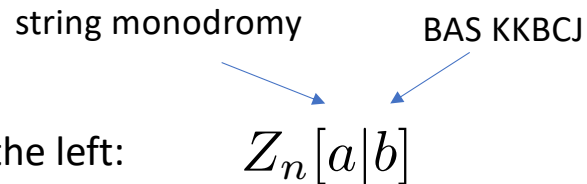
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Observations

- Z-theory obeys $\mathbf{Z} \otimes_{\mathbb{S}\mathbb{S}} \mathbf{1}_{\mathbb{S}\mathbb{S}}$ Standard BAS KKBCJ relations from the right
- Impose BAS KKBCJ from the right on most general ansatz GS model:
- Find at 4-point: $\text{GS} = \mathbf{Z} V$ for *stu*-symmetric local function V .

This means: KLT bootstrap + fixing $a_{1,0}$ & $a_{1,1}$ \Rightarrow Z-theory

Where is the string theory kernel?



Z-theory obeys string monodromy relations on the left:

Let Z^T be the model whose matrix of tree amplitudes are the transpose of the $(n-1)! \times (n-1)!$ tree amplitudes of Z-theory amplitudes. Will obey BAS KKBCJ relations from the *left* and *string monodromy on the right*.

So: The result of $Z \otimes_{SS} Z^T$ will obey string monodromy on both L and R.

Is it the inverse string kernel??

Almost! $Z \otimes_{SS} Z^T = m^{\alpha'\alpha'} U$, where $U = -\frac{\Gamma[\alpha's]\Gamma[\alpha't]\Gamma[\alpha'u]}{\Gamma[-\alpha's]\Gamma[-\alpha't]\Gamma[-\alpha'u]}$

Where is the string theory kernel?

Hybrid decomposition conjecture:

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General minimal rank model amplitudes obey $GG = GS \otimes_{SS} SG$

where the “hybrid models” GS and SG obey BAS KKBCJ from the R / L, respectively

4-point: $GS \sim Z$ after fixing $a_{1,0}=a_{1,1}$ gives

Differ by *stu*-symmetric function

$$GG \sim GS \otimes_{SS} SG \sim GS \otimes_{SS} (GS)^T \sim Z \otimes_{SS} Z^T \sim \text{inv str kernel}$$

Closing in on bootstrapping the most general 4-point kernel to be in the *same* equivalence class as the string kernel!

Collaborators



Alan (Shih-Kuan) Chen
(poster)



Aidan Herderschee
(poster)



Callum Jones



Shruti Paranjape



HuanHang Chi



Justin Berman (poster)

Greetings from Michigan

