

The Geometry of Perturbative Unitarity

Paolo Benincasa

Max Planck Institut für Physik, München

09 August 2022 – Amplitudes 2022, Prague

based on:

S. Albayrak, P.B., C. Duaso Pueyo – *to appear*

other relevant works:

N. Arkani-Hamed, P.B. – 1811.01125

P.B. – 1909.02517

P.B., A. J. McLeod, C. Vergu – 2009.03047

P.B., W. J. Torres Bobadilla – 2112.09028

Physical Processes & Fundamental Principles

Physical processes are highly constrained by fundamental principles
(Locality, Causality, Unitarity)

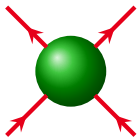
Flat space



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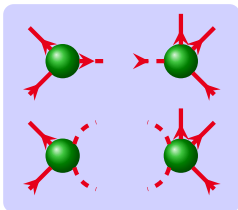
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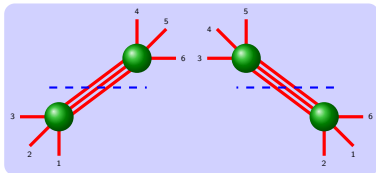


Poles

Branch cuts



[Cutkosky, 60]



[Stapp 71; Cahill, Stapp 73,75; Lassalle, 74]

[Bourjaily, Hannedottir, McLeod, Schwartz, Vergu, 20]

[P.B., McLeod, Vergu, 20; P.B., W. Torres Bobadilla, 21]

- Computational approaches
- Constraints on the interactions

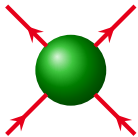
[Weinberg, 64; P.B., Cachazo, 07; P.B., Conde, 11;
McGady, Rodina 13; Arkani-Hamed, Huang, Huang, 17]



Physical Processes & Fundamental Principles

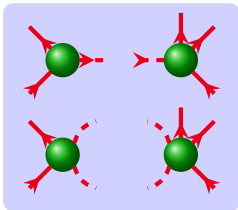
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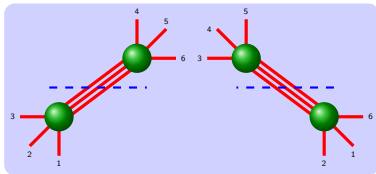


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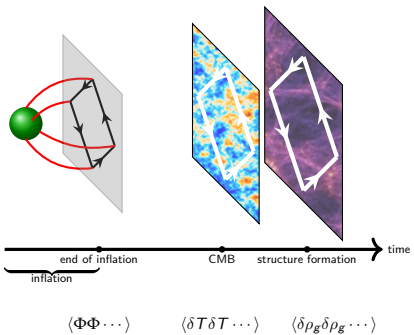
What about in expanding universes?



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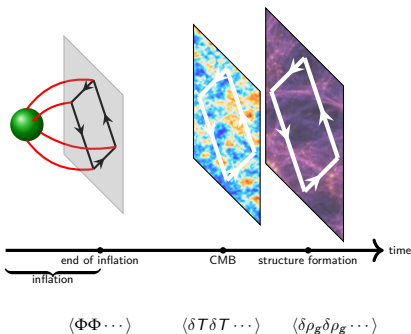


$$\langle \prod_{j=1}^n \Phi(\vec{p}_j) \rangle = \int \mathcal{D}\Phi \tilde{\rho}(\Phi) \prod_{j=1}^n \Phi(\vec{p}_j)$$

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$$\tilde{\rho}(\Phi) \equiv |\Psi(\Phi)|^2$$

probability distribution

$$\Psi[\Phi] = \langle \Phi | \hat{U}(0, -\infty) | 0 \rangle = \mathcal{N} \int \mathcal{D}\phi e^{iS[\phi]}$$

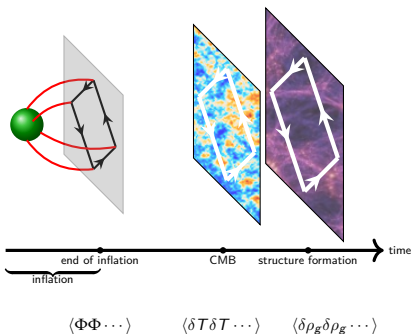
$\phi(0) = \Phi$
 $\phi(-\infty) = 0$



Physical Processes & Fundamental Principles

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What about in expanding universes?



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probability distribution

Wavefunction of the universe

$$\Psi[\Phi] = \langle \Phi | \hat{U}(0, -\infty) | 0 \rangle = \mathcal{N} \int_{\phi(-\infty)=0}^{\phi(0)=\Phi} \mathcal{D}\phi e^{iS[\phi]}$$

Bunch-Davies vacuum

$$\phi \xrightarrow{\eta \rightarrow -\infty} \sim e^{iE\eta}$$



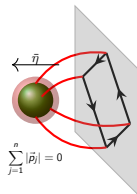
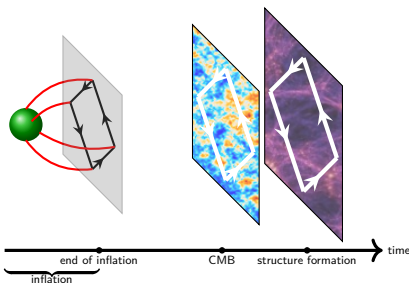
Max-Planck-Institut für Physik
(Heisenberg Institute)

Physical Processes & Fundamental Principles

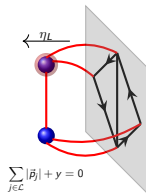
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What about in expanding universes?

Singularities & Factorisation



Scattering Amplitude \mathcal{A}^{h+}



$\mathcal{A}_{\mathcal{L}} \times \Psi_{\mathcal{R}}$

Constraints on higher codim singularities

$$\text{Disc}_{E_{\mathfrak{b}_1}} \cdots \text{Disc}_{E_{\mathfrak{b}_k}} \psi_n^{(L)} = 0$$

$$\langle \Phi \Phi \cdots \rangle \quad \langle \delta T \delta T \cdots \rangle \quad \langle \delta \rho_g \delta \rho_g \cdots \rangle$$

$$\Psi[\Phi] = \Psi_{\text{free}}[\Phi] \left[1 + \sum_{n \geq 2} \int \prod_{j=1}^n \left[\frac{d^d p_j}{(2\pi)^d} \Phi(\vec{p}_j) \right] \sum_{L \geq 0} \psi_n^{(L)}(\vec{p}_1, \dots, \vec{p}_n) \right]$$



Unitarity & The $i\epsilon$ -prescription

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It is unitary: $\hat{U}_{\text{int}}^\dagger = \hat{U}_{\text{int}}^{-1}$



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It is unitary: $\hat{U}_{\text{int}}^{\dagger} = \hat{U}_{\text{int}}^{-1}$

It is no longer unitary: $\hat{U}_{\epsilon}^{\dagger} \neq \hat{U}_{\epsilon}^{-1}$

↑
No time reversal
invariance



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It is no longer unitary: $\hat{U}_\epsilon^\dagger \neq \hat{U}_\epsilon^{-1}$

**In order to make any statement about unitarity
 $i\epsilon$ -prescription needs to preserve unitarity**

**No time reversal
invariance**

e.g.: $\hat{U}_\epsilon = \mathcal{T} \left\{ \exp \left[-i \int_{-\infty}^0 d\eta e^{\epsilon\eta} \hat{H}_{\text{int}}(\eta) \right] \right\}$ **It is not the only choice**

Unitarity & The wavefunction of the universe

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$$\hat{U}_\epsilon \hat{U}_\epsilon^\dagger = \hat{1}$$



$$\hat{V}_\epsilon + \hat{V}_\epsilon^\dagger = -\hat{V}_\epsilon \hat{V}_\epsilon^\dagger$$

$$\hat{U}_\epsilon = \hat{1} + \hat{V}_\epsilon$$

$$\psi_n^{(L)}(\vec{p}_j; \epsilon) + \psi_n^{(L)\dagger}(-\vec{p}_j; \epsilon) = - \sum_{\text{cuts}} \psi_n^{(L)}$$

Not Unique

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[Baumann, Chen, Duaso Pueyo, Joyce, Lee, Pimentel, 21] [Albayrak, **P.B.**, Duaso Pueyo, to appear]



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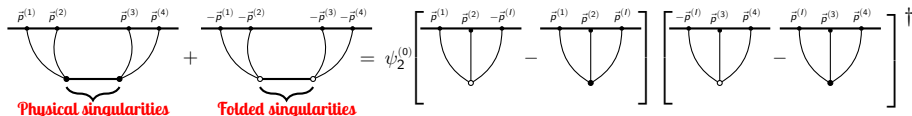


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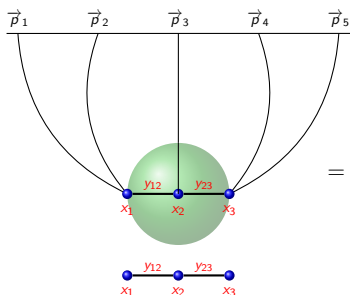


A Combinatorial Description of the Wavefunction

[N. Arkani-Hamed, **P.B.**, A. Postnikov, 17]; [**P.B.**, 19]

$$S[\phi] = \int_{-\infty}^0 d\eta \int d^d x \left[\frac{1}{2} (\partial\phi)^2 - \sum_{k \geq 3} \frac{\lambda(\eta)}{k!} \phi^k \right],$$

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$$= \prod_{v \in \mathcal{V}} \left[\int_{\check{X}_v}^{+\infty} dx_v \tilde{\lambda}(x_v) \right] \underbrace{\int_{-\infty}^0 \prod_{v \in \mathcal{V}} [d\eta_v e^{ix_v \eta_v}] \prod_{e \in \mathcal{E}} G(y_e, \eta_{v_e}, \eta_{v'_e})}_{\psi_G(x_v, y_e)}$$

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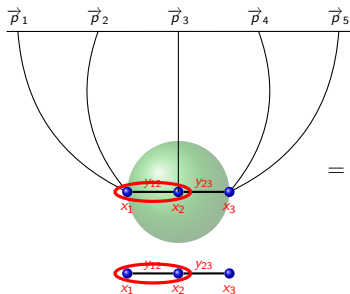


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Poles \iff **Subgraphs**

$$E_g = x_1 + x_2 + y_{23}$$

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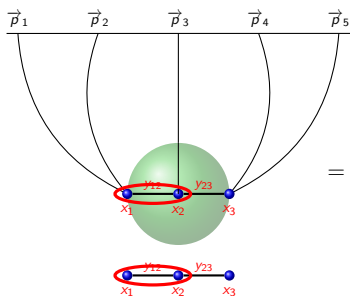


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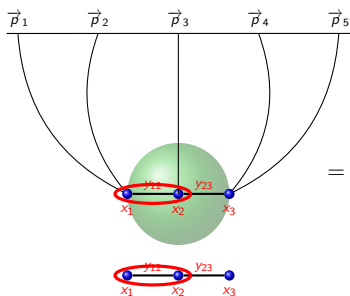


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- Conformally-coupled ϕ , ϕ^k -interactions in FRW
[N. Arkani-Hamed, **P.B.**, A. Postnikov, 17]
- More general scalars via operators on conformally-coupled ϕ
[**P.B.**, 19]; [D. Baumann, C. Duaso Pueyo, A. Joyce, G. Pimentel, 19]
- Spinning propagating states
[D. Baumann, C. Duaso Pueyo, A. Joyce, G. Pimentel, 19]
- Scalar integrals appearing in wavefunctions for states with a flat-space counter-part.

Poles \iff **Subgraphs**

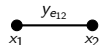
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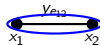


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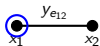
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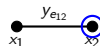
$$\mathcal{Y} = (x_1, y_{e_{12}}, x_2)$$



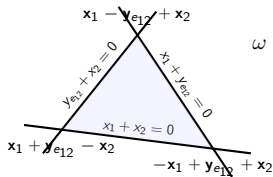
$$x_1 + x_2 = 0$$



$$x_1 + y_{e_{12}} = 0$$



$$y_{e_{12}} + x_2 = 0$$



$$\begin{aligned} \omega &= \frac{\langle 123 \rangle^2 \langle \mathcal{Y} d^2 \mathcal{Y} \rangle}{\langle \mathcal{Y} 12 \rangle \langle \mathcal{Y} 23 \rangle \langle \mathcal{Y} 31 \rangle} \\ &= \frac{dx_1 dy_{e_{12}} dx_2 / \text{Vol}\{GL(1)\}}{(y_{e_{12}} + x_2)(x_1 + x_2)(x_1 + y_{e_{12}})} \end{aligned}$$

$$\{Z_1^{(e_{12})}, Z_2^{(e_{12})}, Z_3^{(e_{12})}\} = \{x_1 - y_{e_{12}} + x_2, x_1 + y_{e_{12}} - x_2, -x_1 + y_{e_{12}} + x_2\}$$



A Combinatorial Description of the Wavefunction

[Arkani-Hamed, *P.B.*, Postnikov, 17; Arkani-Hamed, *P.B.*, 18; *P.B.*, 18; *P.B.*, 19]



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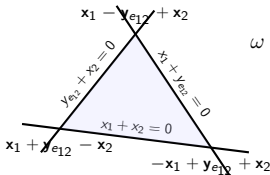
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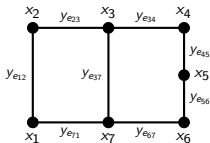
$$\{Z_1^{(e_{12})}, Z_2^{(e_{12})}, Z_3^{(e_{12})}\} = \{x_1 - y_{e_{12}} + x_2, x_1 + y_{e_{12}} - x_2, -x_1 + y_{e_{12}} + x_2\}$$

locus of the intersections of the facets outside \mathcal{P}_G

Cosmological polytopes \mathcal{P}_G as convex hull

$$\{\mathbf{x}_i - \mathbf{y}_{e_{ij}} + \mathbf{x}_j, \mathbf{x}_i + \mathbf{y}_{e_{ij}} - \mathbf{x}_j, -\mathbf{x}_i + \mathbf{y}_{e_{ij}} + \mathbf{x}_j\}_{e_{ij} \in \mathcal{E}}$$

$$\omega = \frac{n_\delta(\mathcal{Y}) \langle \mathcal{Y} d^{n_s + n_e - 1} \mathcal{Y} \rangle}{\prod_{g \subseteq G} q_g(\mathcal{Y})}$$



A Combinatorial Description of the Wavefunction

[Arkani-Hamed, *P.B.*, Postnikov, 17; Arkani-Hamed, *P.B.*, 18; *P.B.*, 18; *P.B.*, 19]



$$\mathcal{Y} = (x_1, y_{e_{12}}, x_2)$$



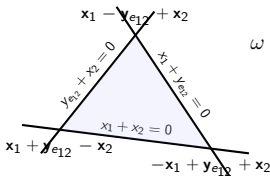
$$x_1 + x_2 = 0$$



$$x_1 + y_{e_{12}} = 0$$



$$y_{e_{12}} + x_2 = 0$$



$$\begin{aligned} \omega &= \frac{\langle 123 \rangle^2 \langle \mathcal{Y} d^2 \mathcal{Y} \rangle}{\langle \mathcal{Y} 12 \rangle \langle \mathcal{Y} 23 \rangle \langle \mathcal{Y} 31 \rangle} \\ &= \frac{dx_1 dy_{e_{12}} dx_2 / \text{Vol}\{GL(1)\}}{(y_{e_{12}} + x_2)(x_1 + x_2)(x_1 + y_{e_{12}})} \end{aligned}$$

$$\{Z_1^{(e_{12})}, Z_2^{(e_{12})}, Z_3^{(e_{12})}\} = \{\mathbf{x}_1 - \mathbf{y}_{e_{12}} + \mathbf{x}_2, \mathbf{x}_1 + \mathbf{y}_{e_{12}} - \mathbf{x}_2, -\mathbf{x}_1 + \mathbf{y}_{e_{12}} + \mathbf{x}_2\}$$

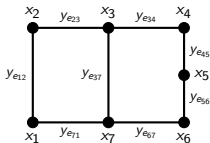
locus of the intersections of the facets outside \mathcal{P}_G

Cosmological polytopes \mathcal{P}_G as convex hull

$$\{\mathbf{x}_i - \mathbf{y}_{e_{ij}} + \mathbf{x}_j, \mathbf{x}_i + \mathbf{y}_{e_{ij}} - \mathbf{x}_j, -\mathbf{x}_i + \mathbf{y}_{e_{ij}} + \mathbf{x}_j\}_{e_{ij} \in \mathcal{E}}$$

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Wavefunction ψ_G



A Combinatorial Description of the Wavefunction

[Arkani-Hamed, *P.B.*, Postnikov, 17; Arkani-Hamed, *P.B.*, 18; *P.B.*, 18; *P.B.*, 19]



$$\mathcal{Y} = (x_1, y_{e_{12}}, x_2)$$



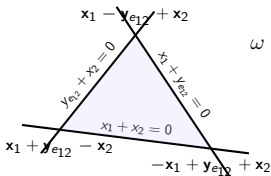
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locus of the intersections of the facets outside \mathcal{P}_G

Cosmological polytopes \mathcal{P}_G as convex hull

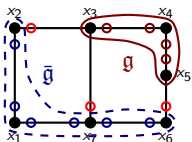
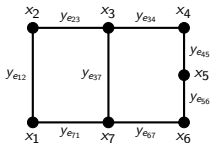
$$\{x_i - y_{e_{ij}} + x_j, x_i + y_{e_{ij}} - x_j, -x_i + y_{e_{ij}} + x_j\}_{e_{ij} \in \mathcal{E}}$$

$$\omega = \frac{n_g(\mathcal{Y}) \langle \mathcal{Y} d^{n_s + n_e - 1} \mathcal{Y} \rangle}{\prod_{g \subseteq G} q_g(\mathcal{Y})}$$

Wavefunction ψ_G

Flat-space cutting rules!

$$\omega = \left(\prod_{e \in \mathcal{E}} \frac{1}{2y_e} \right) \mathcal{A}[g] \times \mathcal{A}[\bar{g}] \langle Z_G Z_{\bar{g}} \mathcal{Y} d^{n_s + n_e - 3} \mathcal{Y} \rangle$$



Unitarity from Non-Convexity

[Albayrak, P.B., Duaso Pueyo, to appear]



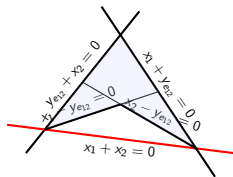
$$\mathcal{Y} = (x_1, y_{e12}, x_2)$$

$$x_1 - y_{e12} + x_2$$

$$x_1 + y_{e12} - x_2$$

$$-x_1 + y_{e12} + x_2$$

$$x_1 + y_{e12} + x_2$$



Unitarity from Non-Convexity

[Albayrak, P.B., Duaso Pueyo, to appear]



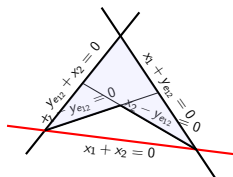
$$\mathcal{Y} = (x_1, y_{e12}, x_2)$$

$$x_1 - y_{e12} + x_2$$

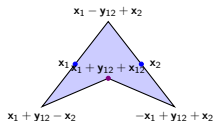
$$x_1 + y_{e12} - x_2$$

$$-x_1 + y_{e12} + x_2$$

$$x_1 + y_{e12} + x_2$$



- Optical theorem as a consequence of the equivalence of triangulations



Unitarity from Non-Convexity

[Albayrak, P.B., Duaso Pueyo, to appear]



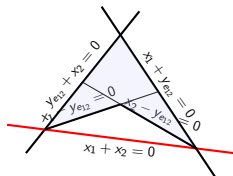
$$\mathcal{Y} = (x_1, y_{e12}, x_2)$$

$$x_1 - y_{e12} + x_2$$

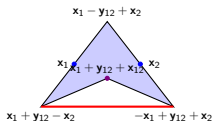
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$$x_1 + y_{e12} + x_2$$



- Optical theorem as a consequence of the equivalence of triangulations



$$\bullet_{x_1} \text{---} \bullet_{x_2} + \circ_{-x_1} \text{---} \circ_{-x_2} =$$

Unitarity from Non-Convexity

[Albayrak, P.B., Duaso Pueyo, to appear]



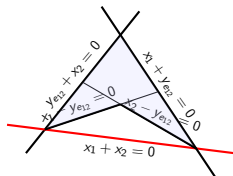
$$\mathcal{Y} = (x_1, y_{e12}, x_2)$$

$$x_1 - y_{e12} + x_2$$

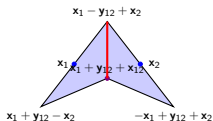
$$x_1 + y_{e12} - x_2$$

$$-x_1 + y_{e12} + x_2$$

$$x_1 + y_{e12} + x_2$$



- Optical theorem as a consequence of the equivalence of triangulations



$$x_1 \text{---} x_2 + \text{---} x_1 \text{---} x_2 = \frac{1}{x_1 - x_2} \left[\text{---} x_1 \text{---} y_{12} \text{---} x_2 - \text{---} x_1 \text{---} y_{12} \text{---} x_2 \right]$$

Unitarity from Non-Convexity

[Albayrak, P.B., Duaso Pueyo, to appear]



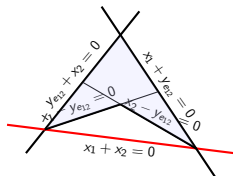
$$\mathcal{Y} = (x_1, y_{e12}, x_2)$$

$$x_1 - y_{e12} + x_2$$

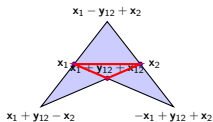
$$x_1 + y_{e12} - x_2$$

$$-x_1 + y_{e12} + x_2$$

$$x_1 + y_{e12} + x_2$$



- Optical theorem as a consequence of the equivalence of triangulations



$$\begin{aligned}
 \bullet_{x_1} \text{---} \bullet_{x_2} + \circ_{-x_1} \text{---} \circ_{-x_2} &= \frac{1}{x_1 - x_2} \left[\circ_{x_1 - y_{12}} \text{---} \bullet_{y_{12} + x_2} - \bullet_{x_1 + y_{12}} \text{---} \circ_{-y_{12} + x_2} \right] \\
 &= \frac{1}{2y_{12}} \left[\circ_{x_1 - y_{12}} \text{---} \circ_{-y_{12} + x_2} + \circ_{x_1 - y_{12}} \text{---} \bullet_{y_{12} + x_2} - \bullet_{x_1 + y_{12}} \text{---} \circ_{-y_{12} + x_2} + \bullet_{x_1 + y_{12}} \text{---} \bullet_{y_{12} + x_2} \right]
 \end{aligned}$$



Unitarity from Non-Convexity

[Albayrak, P.B., Duaso Pueyo, to appear]



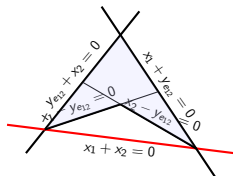
$$\mathcal{Y} = (x_1, y_{e_{12}}, x_2)$$

$$x_1 - y_{e_{12}} + x_2$$

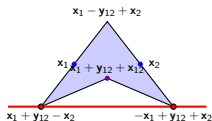
$$x_1 + y_{e_{12}} - x_2$$

$$-x_1 + y_{e_{12}} + x_2$$

$$x_1 + y_{e_{12}} + x_2$$



- Flat-space cutting rules as sum of disconnected codimension-2 boundaries



$$\delta(x_1 + x_2) \left[\frac{1}{2y_{e_{12}}} \delta(x_1 - y_{e_{12}}) + \frac{1}{2y_{e_{12}}} \delta(x_1 + y_{e_{12}}) + \frac{1}{2y_{e_{12}}} \delta(x_2 - y_{e_{12}}) + \frac{1}{2y_{e_{12}}} \delta(x_2 + y_{e_{12}}) \right]$$

More generally

$$\delta(E_G) \sum_{g \in \mathcal{G}} [\Omega(\mathcal{P}'_g \cap \mathcal{W}^{(g)} \cap \mathcal{W}^g) \delta(E_g)]$$



Conclusion

- Fundamental questions:
 1. What's the imprint of basic principles such as unitarity and causality in the wavefunction of the universe?
 2. How is it connect to the one in flat-space scattering amplitudes?
- Unitarity & the $i\epsilon$ -prescription
 1. So far $i\epsilon$ just introduced for convergence reasons;
 2. Not all the choices preserve the unitarity of the evolution operator;
 3. They correspond to kinematic $i\epsilon$;
 4. In the flat-space limit they can reduce to the Feynman $i\epsilon$;
 5. A careful analysis of the $i\epsilon$ -contour needed. What about causality?
- The geometry of perturbative unitarity
 1. Cosmological polytopes encode the cosmological optical theorem in a non-convex part;
 2. The total energy hyperplane intersects such a non-convex polytope just on codimension-2 boundaries, providing the flat-space cutting rules;
 3. All the possible *cutting rules* are triangulations of such non-convex polytopes.
 4. The geometrical picture can prescind from the explicit $i\epsilon$ -analysis which is encoded in its boundaries;
 5. The $i\epsilon$ can be made explicit via the contour integral representation of the canonical form;
 6. The factorisation properties of ψ do not appear to come directly from the cosmological optical theorem. What is their origin?