

~~FAKE GUT based on  $SU(5) \times SU(3)$~~

# More on Fake GUT

Keiichi Watanabe (ICRR, U.Tokyo)

Based on arXiv: 2205.01336

[Collaborator : M. Ibe, S. Shirai, M. Suzuki and T T. Yanagida]

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# Motivation

A mysterious fact in the SM

$$\begin{array}{l}
 Q = \begin{pmatrix} u \\ d \end{pmatrix} \\
 L = \begin{pmatrix} \nu \\ e \end{pmatrix} \\
 \bar{u} \quad \bar{d} \quad \bar{e}
 \end{array}
 \xrightarrow{\text{just enough!}}
 \begin{array}{l}
 \bar{5} = (\bar{d}_R \quad \bar{d}_G \quad \bar{d}_B \quad e \quad -\nu), \\
 10 = \begin{pmatrix} 0 & \bar{u}_B & -\bar{u}_G & u_R & d_R \\ -\bar{u}_B & 0 & \bar{u}_R & u_G & d_G \\ \bar{u}_G & -\bar{u}_R & 0 & u_B & d_B \\ -u_R & -u_G & -u_B & 0 & \bar{e} \\ -d_R & -d_G & -d_B & -\bar{e} & 0 \end{pmatrix}
 \end{array}$$

This fact is quite remarkable!

In general, chiral fermions with SM gauge charges do not necessarily satisfy this property.

# Motivation

An example of anomaly-free chiral fermion set

R. Foot et al, PRD 39 (1989) 3411-3424

	$Q_1$	$Q_2$	$Q_3$	$Q_4$	$L_1$	$L_2$	$L_3$	$L_4$
$SU(3)_c$	$\bar{3}$	3	3	$\bar{3}$	1	1	1	1
$SU(2)_L$	3	2	2	1	3	2	2	1
$U(1)_Y$	$-1/3$	$5/6$	$-1/6$	$-1/3$	1	$-3/2$	$-1/2$	1

# Motivation

A mysterious fact in the SM

$$\begin{array}{l}
 Q = \begin{pmatrix} u \\ d \end{pmatrix} \\
 L = \begin{pmatrix} \nu \\ e \end{pmatrix} \\
 \bar{u} \quad \bar{d} \quad \bar{e}
 \end{array}
 \xrightarrow{\text{just enough!}}
 \begin{array}{l}
 \bar{5} = (\bar{d}_R \quad \bar{d}_G \quad \bar{d}_B \quad e \quad -\nu), \\
 10 = \begin{pmatrix} 0 & \bar{u}_B & -\bar{u}_G & u_R & d_R \\ -\bar{u}_B & 0 & \bar{u}_R & u_G & d_G \\ \bar{u}_G & -\bar{u}_R & 0 & u_B & d_B \\ -u_R & -u_G & -u_B & 0 & \bar{e} \\ -d_R & -d_G & -d_B & -\bar{e} & 0 \end{pmatrix}
 \end{array}$$

The SM cannot offer an explanation of this mystery.


**Fake GUT can do !**

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1. Motivation 

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## 2. FAKE GUT

Difference between SU(5) GUT and fake GUT

	group	fermions	SM matters
SU(5) GUT	SU(5) → SM	chiral $\bar{5}, 10$	embedded into chiral $\bar{5}, 10$
fake GUT	SU(5) × H → SM	chiral $\bar{5}, 10$ vector $\psi, \bar{\psi}$	<b>Not necessarily</b> embedded into chiral $\bar{5}, 10$ can be embedded into vector $\psi, \bar{\psi}$

## 2. FAKE GUT

The case where  $\bar{\psi}$  has the same charge as  $L$  after SSB  
(1 generation case)

$$\begin{aligned}\mathcal{L}_{mass} &= \psi_L (M_1 \quad M_2) \begin{pmatrix} \bar{5}_L \\ \bar{\psi}_L \end{pmatrix} \\ &= \psi_L (M_1 \quad M_2) \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix} = \psi_L (M_L \quad 0) \begin{pmatrix} L_M \\ L \end{pmatrix}\end{aligned}$$

$\bar{5}_L$  : lepton component in  $\bar{5}$        $L_M$  : heavy lepton

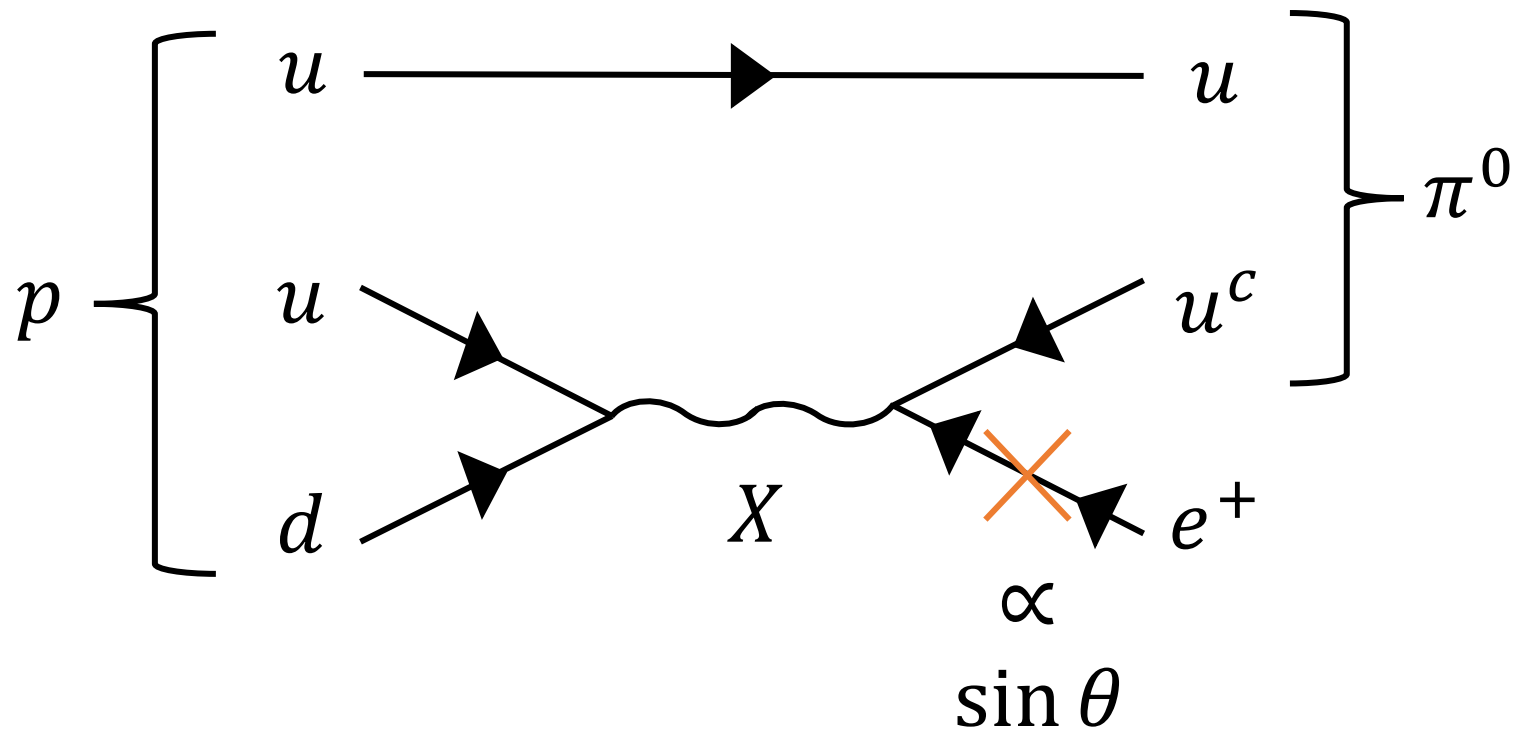
If  $\bar{5}$ ,  $10$ ,  $\psi$  and  $\bar{\psi}$  exist at first, only SM fermions remain massless, even if they are not embedded into  $\bar{5}$  and  $10$ .



## 2. FAKE GUT

Diagram of proton decay ( $p \rightarrow \pi^0 + e^+$ )

If  $\bar{5}_L$  and  $10_{\bar{e}}$  contain  $\sin \theta L$  and  $\sin \theta \bar{e}$  respectively,



## 2. FAKE GUT

Proton lifetime ( $\bar{5}_L$  and  $10_{\bar{e}}$  contain  $\sin \theta L$  and  $\sin \theta \bar{e}$ )

$$\tau(p \rightarrow \pi^0 + e^+) \cong 10^{26} \frac{1}{\sin^2 \theta} \left( \frac{M_X / g_5}{10^{14} \text{ GeV}} \right)^4 \text{ yrs}$$

—————  $\sin \theta \lesssim 10^{-4}$  due to  $\tau(p \rightarrow \pi^0 + e^+) > 2.4 \times 10^{34} \text{ yrs}$

A. Takenaka et al. (SK collaboration) PRD102, 112011 (2020)

Actually, mass terms of fermions have generation dependence.

## 2. FAKE GUT

An example of mixing of the 1st and 2nd generations  
(consider SM lepton doublets case)

$$\begin{aligned}\mathcal{L}_{mass} &= (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 0 & \delta \\ 0 & M & 0 & 0 \end{pmatrix} (\bar{5}_{1L} \ \bar{5}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T \\ &= \psi_{L1} (M \ \delta) (\bar{5}_{1L} \ \bar{\psi}_{L2})^T + M \psi_{L2} \bar{5}_{2L}\end{aligned}$$

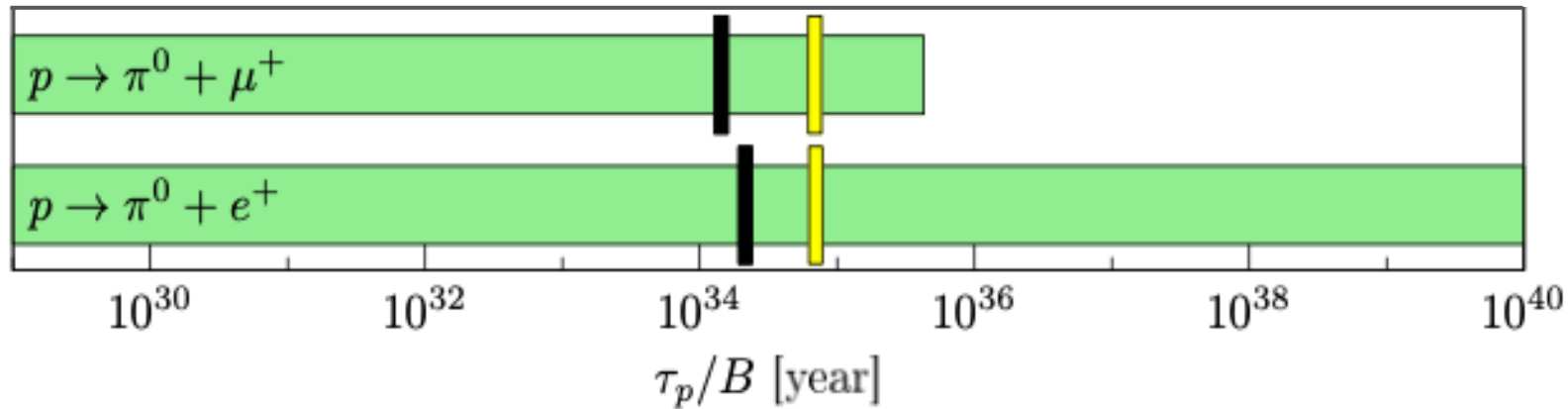
$\bar{\psi}_{L1} = L_e$ .  $\bar{5}_{2L}$  is a heavy fermion.



$\bar{5}_{1L}$  contains a little component of  $L_\mu$ . ( $M \gg \delta$ )

## 2. FAKE GUT

Proton lifetime ( $\bar{5}_{1L}$  contains a little  $L_\mu$ ,  $\bar{5}_{2L}$  is heavy.  
 $10_{1\bar{e}}$  contains a little  $\bar{e}_\mu$ ,  $10_{2\bar{e}}$  is heavy.)



black : SK  
 yellow : HK

$$\tau(p \rightarrow \pi^0 + \mu^+) < \tau(p \rightarrow \pi^0 + e^+)$$

The fake GUT can make the different prediction than the conventional GUT.

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2. FAKE GUT 

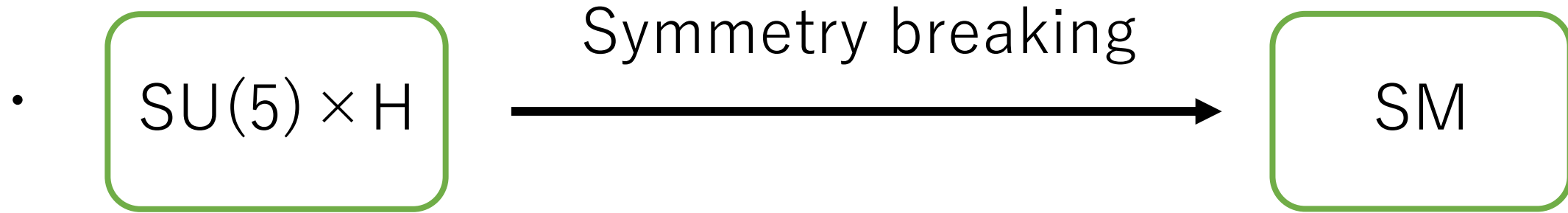
3. Conclusion

# 3. Conclusion

- In the fake GUT, the SM fermions form  $\bar{5}$  and  $10$  of  $SU(5)$  at the low energy even if they are not embedded into  $\bar{5}$  and  $10$  of  $SU(5)$  at the high energy.
- In the fake GUT, the predictions of the nucleon decay rates and the branching ratios are different from those in the conventional GUT.

BACK UP

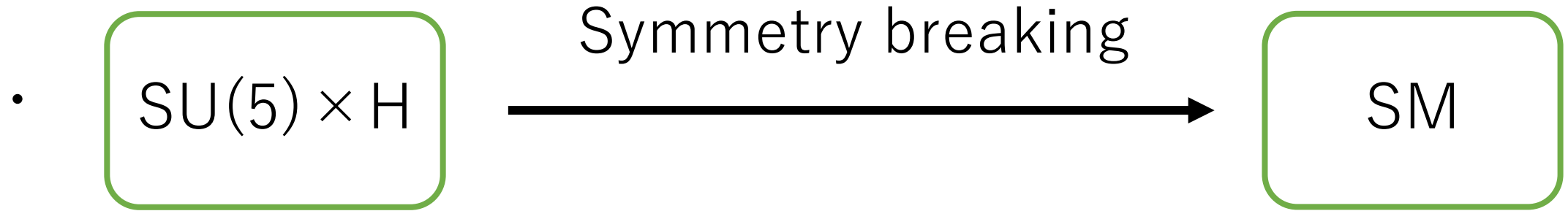
# FAKE GUT



- $SU(5) \supset (SU(3)_c, SU(2)_L, U(1)_Y)$
- Some of  $SU(3)_c$ ,  $SU(2)_L$  and  $U(1)_Y$  may be diagonal subgroup of  $SU(5) \times H$
- Fermions
  - Chiral fermion  $\bar{5}, 10$
  - Vector-like fermion  $\psi, \bar{\psi}$



# FAKE GUT



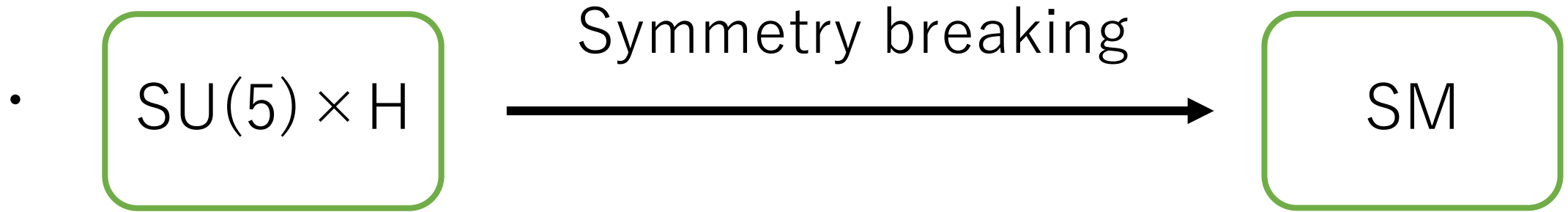
- Fermions

Chiral fermion  $\bar{5}, 10$

Vector-like fermion  $\psi, \bar{\psi}$

In the SU(5) GUT, all the SM fermions are contained in  $\bar{5}$  and  $10$ .

# FAKE GUT



- Fermions

Chiral fermion  $\bar{5}, 10$   
Vector-like fermion  $\psi, \bar{\psi}$

In the fake GUT, the SM fermions can be contained in vector-like fermions.

# $SU(5) \times U(2)_H$ model

Fermions (  $SU(5), SU(2)_H, U(1)_H$  )

$$\bar{5} : (\bar{5}, 1, 0) \qquad 10 : (10, 1, 0)$$

$$L_H : (1, 2, -1/2) \qquad \bar{L}_H : (1, 2, 1/2)$$

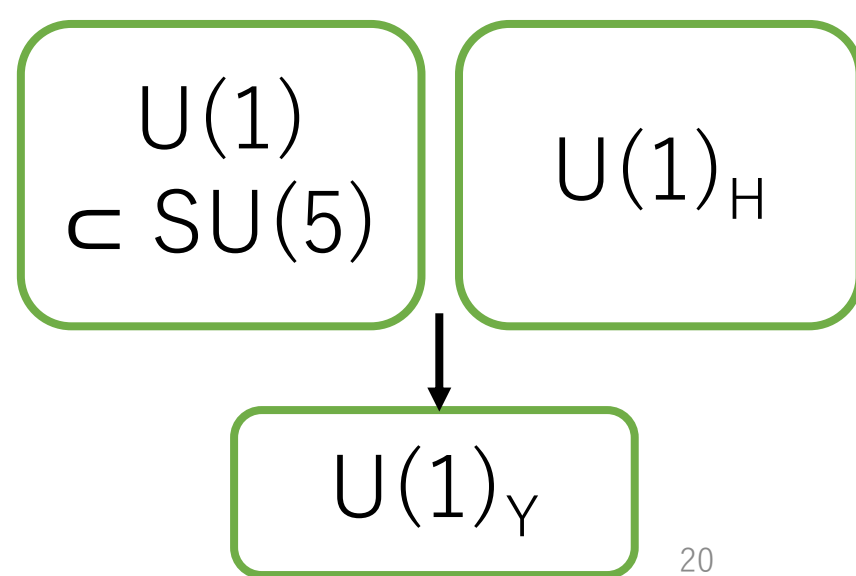
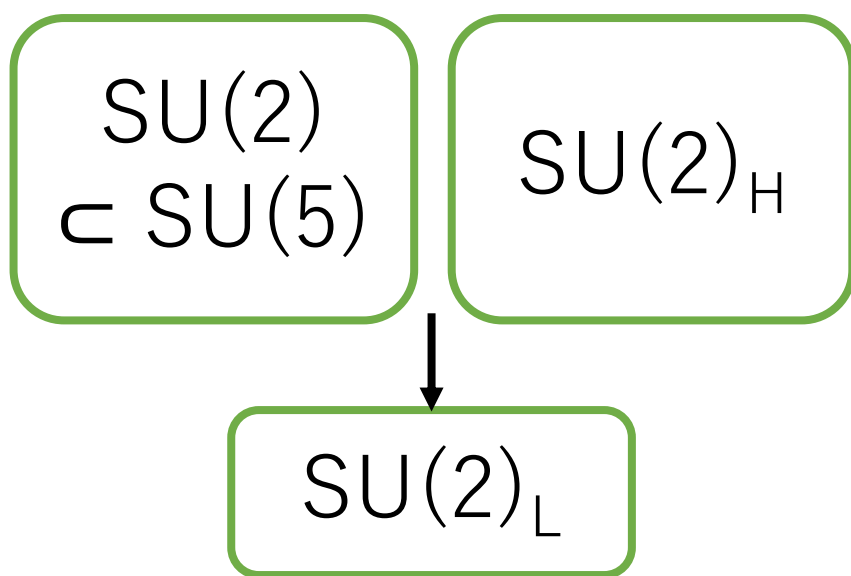
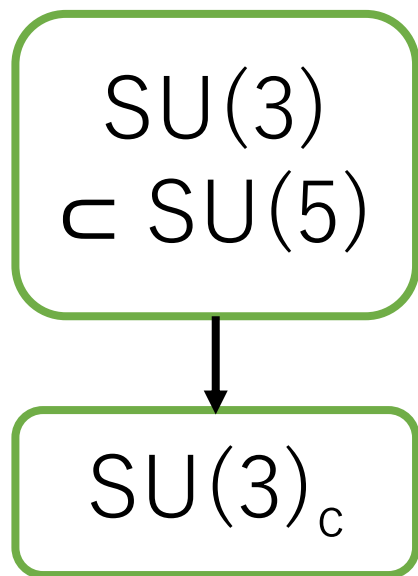
$$E_H : (1, 1, -1) \qquad \bar{E}_H : (1, 1, 1)$$

- As we will show later, SM leptons are mostly contained in  $L_H$  and  $\bar{E}_H$ .
- SM quarks are all contained in  $\bar{5}$  and  $10$ .

# $SU(5) \times U(2)_H$ model

Scalar  $\phi_2 : (5, 2, -1/2)$  of  $(SU(5), SU(2)_H, U(1)_H)$

$$\langle \phi_2 \rangle = \left( \underbrace{\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{SU(3)} \quad \underbrace{\begin{pmatrix} v_2 & 0 \\ 0 & v_2 \end{pmatrix}}_{SU(2)} \right) \Bigg\} SU(2)_H$$



# SU(5) × U(2)<sub>H</sub> model

## Lagrangian

$$\mathcal{L} = m_L L_H \bar{L}_H + \lambda_L \bar{5} \phi_2 \bar{L}_H + m_E E_H \bar{E}_H + \frac{\lambda_E}{\Lambda} E_H \phi_2^\dagger \phi_2^\dagger \mathbf{10}$$

( $E_H$  and  $\bar{E}_H$  are omitted) ↓

$$\bar{L}_H \begin{pmatrix} \lambda_L \frac{v}{\sqrt{2}} & m_L \end{pmatrix} \begin{pmatrix} \bar{5}_L \\ L_H \end{pmatrix} \longrightarrow \bar{L}_H \begin{pmatrix} M_L & 0 \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix}$$

Only SM fermions remain massless at the low energy.

$$\begin{array}{ccc} \bar{5}_{\bar{d}} & \longrightarrow & \text{quark} \\ L_M & \xrightarrow{\langle \Phi \rangle, m_L} \bar{L}_H & \\ & & L \longrightarrow \text{lepton} \end{array}$$

# $SU(5) \times U(2)_H$ model

Mixing of lepton components

$L$  : SM lepton

$L_M$  : heavy lepton

$$\begin{pmatrix} \bar{5}_L \\ L_H \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} L_M \\ L \end{pmatrix} \quad \tan \theta = \frac{m_L}{\lambda_L v}$$

# $SU(5) \times U(2)_H$ model

Yukawa interactions

We consider a case one SM Higgs remains in the low energy.

Scalar containing the SM Higgs

$$H_5 : (5, 1, 0)$$

$$H_5 = \begin{pmatrix} h_5^{color} \\ h_5^{SM} \end{pmatrix}$$

$$H_2 : (1, 2, 1/2)$$

$$H_2 = h_2^{SM}$$

Higgs mixing term

$$\mathcal{L}_{52\text{ mix}} = \mu_{mix} H_2 \phi_2 H_5^* + h.c.$$

$$h^{SM} = \cos \theta_h h_2^{SM} - \sin \theta_h h_5^{SM}$$

# $SU(5) \times U(2)_H$ model

Yukawa interactions

$$\mathcal{L}_{YQ} = -(\mathbf{y}_5)_{ij} \bar{5}_i 10_j H_5^* - (\mathbf{y}_{10})_{ij} 10_i 10_j H_5 + h.c.$$

$$\mathcal{L}_{YL} = -(\mathbf{y}_{LE})_{ij} L_{Hi} \bar{E}_{Hj} H_2^* + h.c.$$

$$(\mathbf{y}_u^{SM})_{ij} = -\sin \theta_h (\mathbf{y}_{10})_{ij}$$

$$(\mathbf{y}_d^{SM})_{ij} = -\sin \theta_h (\mathbf{y}_5)_{ij}$$

$$(\mathbf{y}_e^{SM})_{ij} = \cos \theta_h (\mathbf{y}_{LE})_{ij} + \mathcal{O}(\theta_L \theta_E) \sin \theta_h (\mathbf{y}_5)_{ij}$$



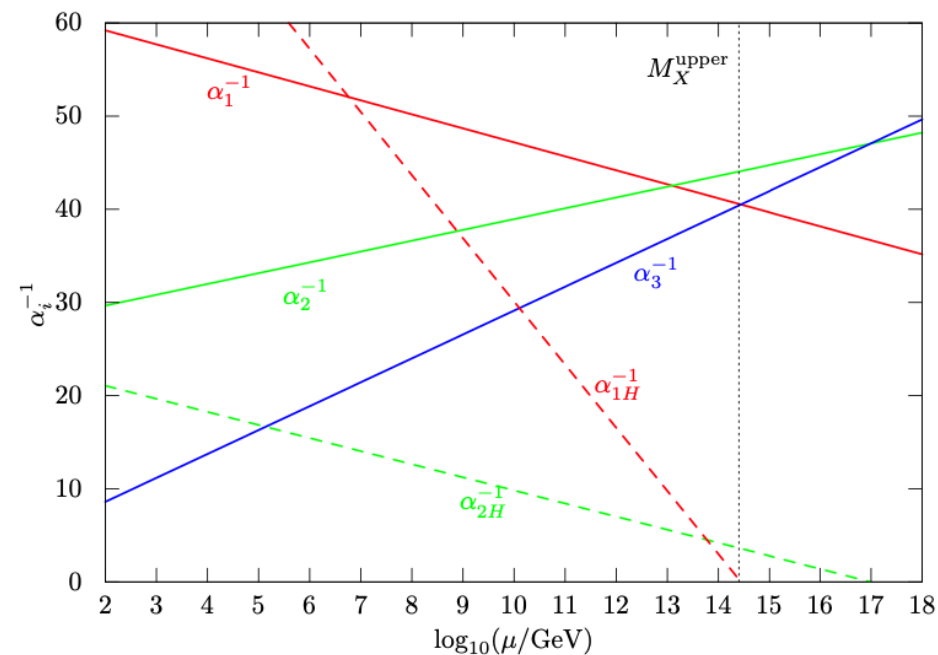
# SU(5) × U(2)<sub>H</sub> model

Gauge couplings

$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

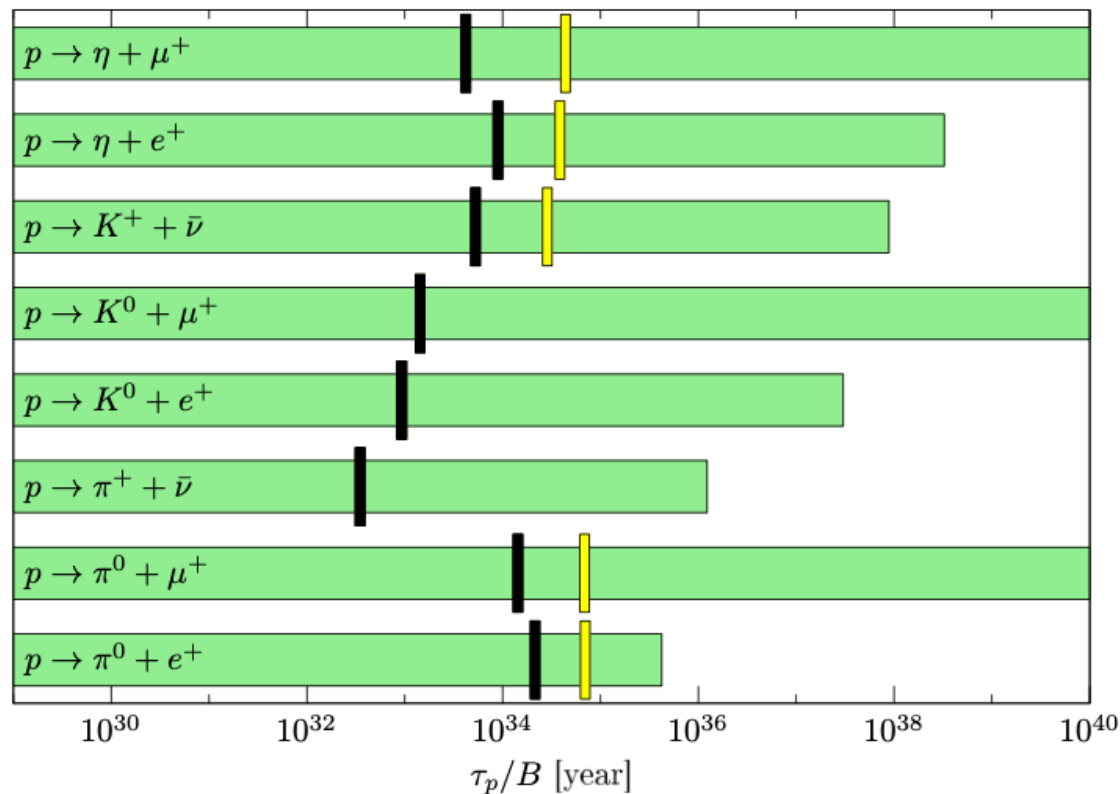


$$g_{1H}(M_2) \sim g_{2H}(M_2) \gg g_5(M_2)$$

$$\longrightarrow M_X \sim 10^{14-15} \text{ GeV}$$

# SU(5) × U(2)<sub>H</sub> model

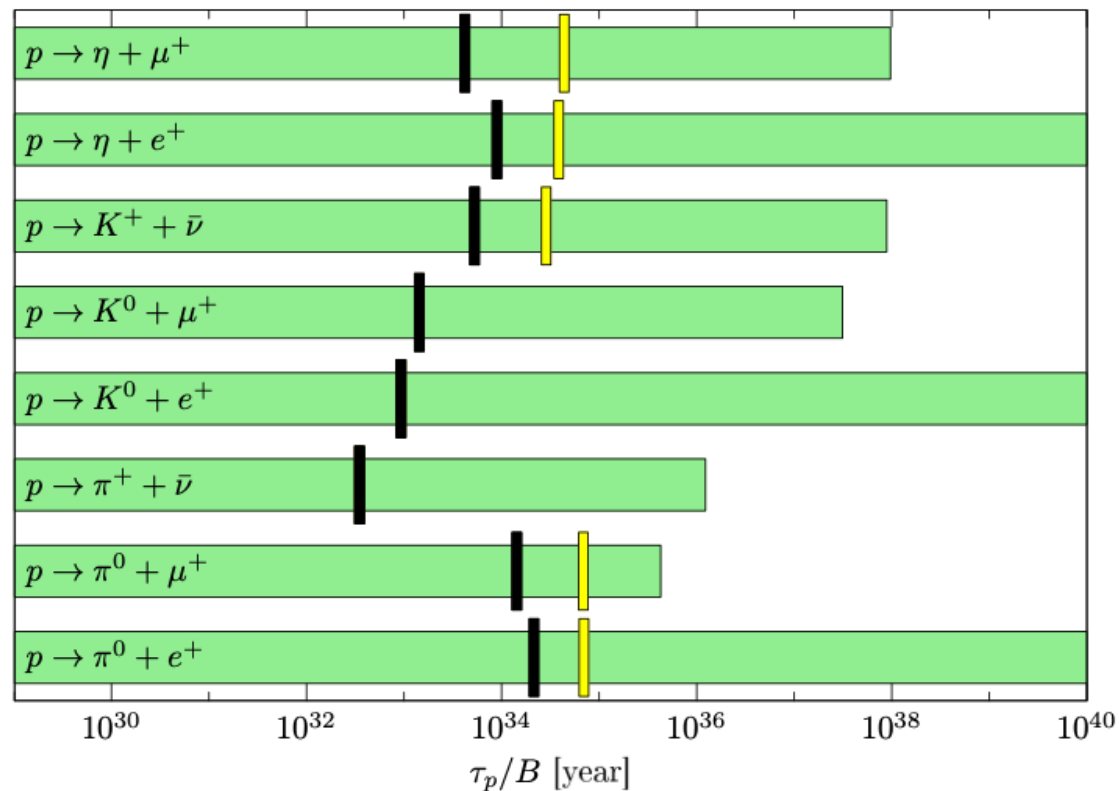
## Proton lifetime



$$\mathcal{L}_{mass} = (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 10^{-4}M & 0 \\ 0 & M & 0 & 0 \end{pmatrix} (\bar{\psi}_{1L} \ \bar{\psi}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T$$

# SU(5) × U(2)<sub>H</sub> model

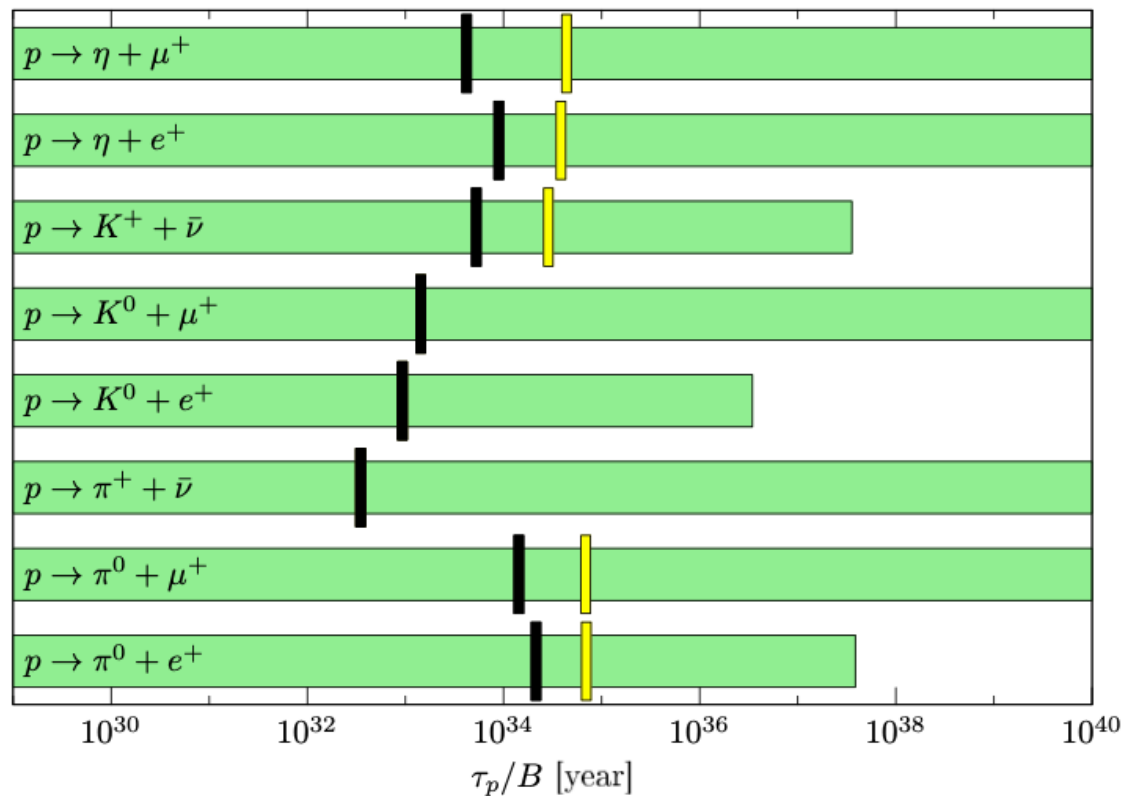
## Proton lifetime



$$\mathcal{L}_{mass} = (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 0 & 10^{-4}M \\ 0 & M & 0 & 0 \end{pmatrix} (\bar{\psi}_{1L} \ \bar{\psi}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T$$

# SU(5) × U(2)<sub>H</sub> model

## Proton lifetime



$$\mathcal{L}_{mass} = (\psi_{L1} \ \psi_{L2}) \begin{pmatrix} M & 0 & 0 & 0 \\ 0 & M & 10^{-4}M & 0 \end{pmatrix} (\bar{\psi}_{1L} \ \bar{\psi}_{2L} \ \bar{\psi}_{L1} \ \bar{\psi}_{L2})^T$$

# $SU(5) \times SU(3)_H$ model

Fermions(  $SU(5), SU(3)_H$  )

$$\bar{5} : (\bar{5}, 1) \qquad 10 : (10, 1)$$

$$L_T : (1, 3) \qquad \bar{L}_T : (1, \bar{3})$$

$$L_T = (L_H \ \bar{E}_H) \qquad \bar{L}_T = (\bar{L}_H \ E_H)$$

- As we will show later, SM leptons are mostly contained in  $L_H$  and  $\bar{E}_H$ .
- SM quarks are all contained in  $\bar{5}$  and  $10$ .

# $SU(5) \times SU(3)_H$ model

## Scalars

$$A : (1, 8), \quad SU(3)_H \longrightarrow SU(2)_H \times U(1)_H$$

$$\phi_3 : (5, \bar{3}), \quad SU(5) \times SU(2)_H \times U(1)_H \longrightarrow SM$$

$$\langle A \rangle = \begin{pmatrix} v_A & 0 & 0 \\ 0 & v_A & 0 \\ 0 & 0 & -2v_A \end{pmatrix} \quad \langle \phi_3 \rangle = \begin{pmatrix} 0 & 0 & 0 & v_3 & 0 \\ 0 & 0 & 0 & 0 & v_3 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

# $SU(5) \times SU(3)_H$ model

Gauge couplings

$$SU(5) \times SU(3)_H \longrightarrow SU(5) \times SU(2)_H \times U(1)_H \longrightarrow \text{SM}$$

$$\frac{1}{3} \alpha_{1H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_{2H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

$$M_{X \text{ max}} \leq 4 \times 10^{10} \text{ GeV}$$

# SU(5) $\times$ SU(3)<sub>H</sub> model

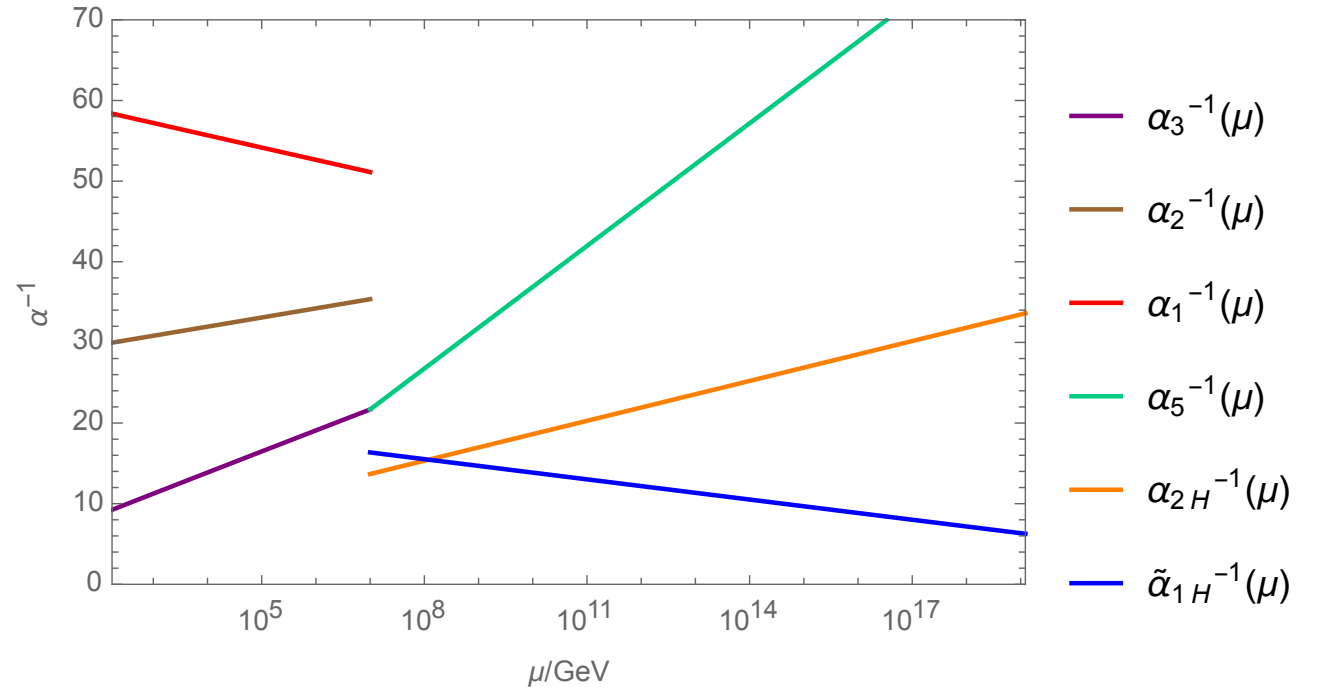
$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5}\alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

$$\frac{1}{3}\alpha_{1H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_{2H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$



$$M_X = 1.0 \times 10^7 \text{ GeV}$$

$$M_\Omega \cong 1.2 \times 10^8 \text{ GeV}$$



# SU(5) × SU(3)<sub>H</sub> model

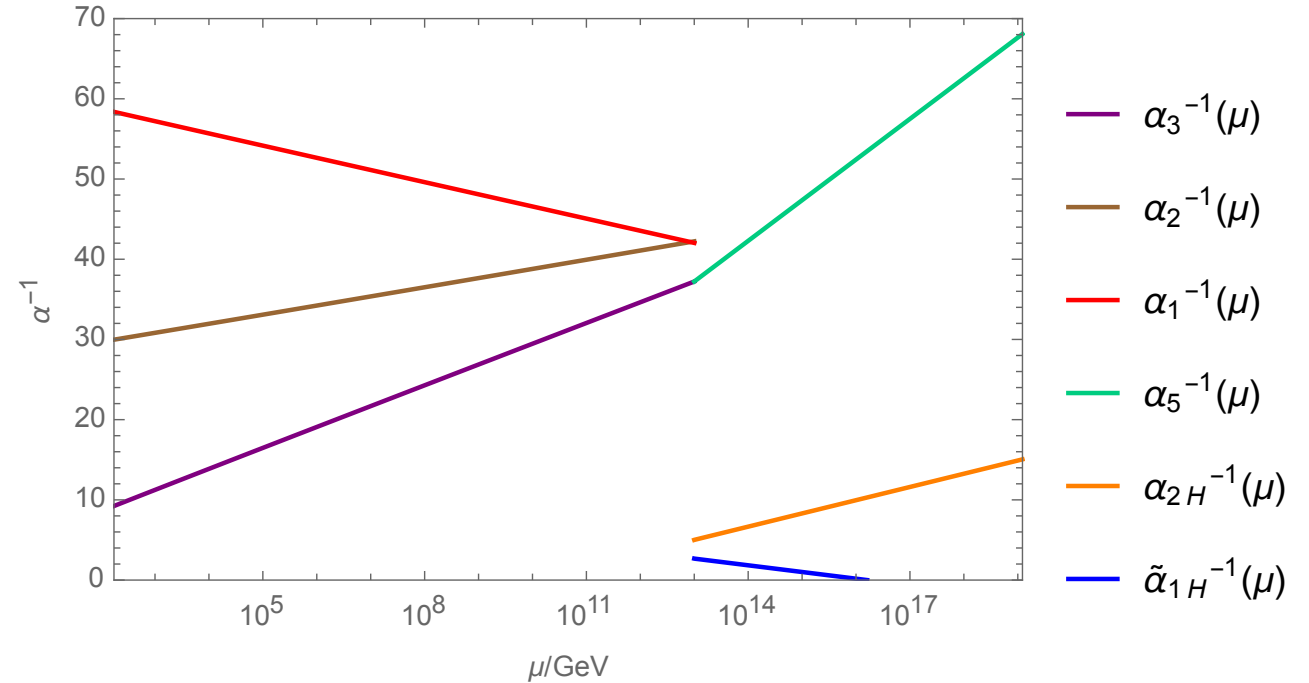
$$\alpha_1^{-1}(M_X) = \alpha_5^{-1}(M_X) + \frac{3}{5} \alpha_{1H}^{-1}(M_X)$$

$$\alpha_2^{-1}(M_X) = \alpha_5^{-1}(M_X) + \alpha_{2H}^{-1}(M_X)$$

$$\alpha_3^{-1}(M_X) = \alpha_5^{-1}(M_X)$$

$$\frac{1}{3} \alpha_{1H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$

$$\alpha_{2H}^{-1}(M_\Omega) = \alpha_{3H}^{-1}(M_\Omega)$$



$$M_X = 1.0 \times 10^{13} \text{ GeV}$$

$$M_\Omega \cong 1.1 \times 10^{12} \text{ GeV}$$

# $SU(5) \times SU(3)_H$ model

Proton lifetime

$$\tau(p \rightarrow \pi^0 e^+) \cong 10^{10} \frac{1}{\sin^2 \theta} \left( \frac{M_X / g_5}{10^{10} \text{ GeV}} \right)^4 \text{ yrs}$$

→  $\sin \theta \lesssim 10^{-12}$  due to  $\tau(p \rightarrow \pi^0 e^+) > 2.4 \times 10^{34} \text{ yrs}$

A. Takenaka et al. (SK collaboration) PRD102, 112011 (2020)

# $SU(5) \times SU(3)_H$ model

## Yukawa interactions

We consider a case one SM Higgs remains in the low energy.

Scalar containing the SM Higgs

$$H_5 : (5, 1)$$

$$H_3 : (1, 3)$$

$$H_5 = \begin{pmatrix} h_5^{color} \\ h_5^{SM} \end{pmatrix}$$

$$H_3 = \begin{pmatrix} h_3^{SM\dagger} \\ h_3^{singlet} \end{pmatrix}$$

Higgs mixing term

$$\mathcal{L}_{53 \text{ mix}} = \mu_{53} H_3^\dagger \phi_3 H_5^\dagger + h.c.$$

# SU(5) $\times$ SU(3)<sub>H</sub> model

Yukawa interactions  $H_5$  (5, 1)  $H_3$  (1, 3)

quark  $\mathcal{L}_{YQ} = -(\mathbf{y}_5)_{ij} \bar{5}_i 10_j H_5^* - (\mathbf{y}_{10})_{ij} 10_i 10_j H_5 + h.c.$

lepton  $\mathcal{L} = -(\mathbf{y}_{LT})_{ij} \varepsilon_{abc} H_3^a L_{Ti}^b L_{Tj}^c = -(\mathbf{y}_{LT})_{ij} \varepsilon_{abc} H_3^a L_{Tj}^c L_{Ti}^b$   
 $= (\mathbf{y}_{LT})_{ij} \varepsilon_{acb} H_3^a L_{Tj}^c L_{Ti}^b = (\mathbf{y}_{LT})_{ij} \varepsilon_{abc} H_3^a L_{Tj}^b L_{Ti}^c$   
 $= -(\mathbf{y}_{LT})_{ji} \varepsilon_{abc} H_3^a L_{Ti}^b L_{Tj}^c$

# $SU(5) \times SU(3)_H$ model

Yukawa interactions

$$(y_{LT})_{ij} = -(y_{LT})_{ji} \quad y_{LT} = \begin{pmatrix} 0 & a & b \\ -a & 0 & c \\ -b & -c & 0 \end{pmatrix}$$

$$\rightarrow \det y_{LT} = 0, \text{Tr} [y_{LT}] = 0$$

$\rightarrow$  massless electron  
 $\mu$  and  $\tau$  lepton have the same mass

# $SU(5) \times SU(3)_H$ model

Yukawa interactions

$$\mathcal{L} = -\frac{(Y_{LT})_{ij}}{\Lambda_Y} L_{Ti}^a A_a^b L_{Tj}^c H_3^d \varepsilon_{bcd} + h.c.$$