

Searches for Nonstandard Neutrino Oscillations at Nuclear Reactors

Jeffrey Berryman

N3AS Fellow, UC Berkeley & INT, UW

Snowmass BSM@nu Workshop, 11 February, 2022

Antineutrinos from Nuclear Reactors

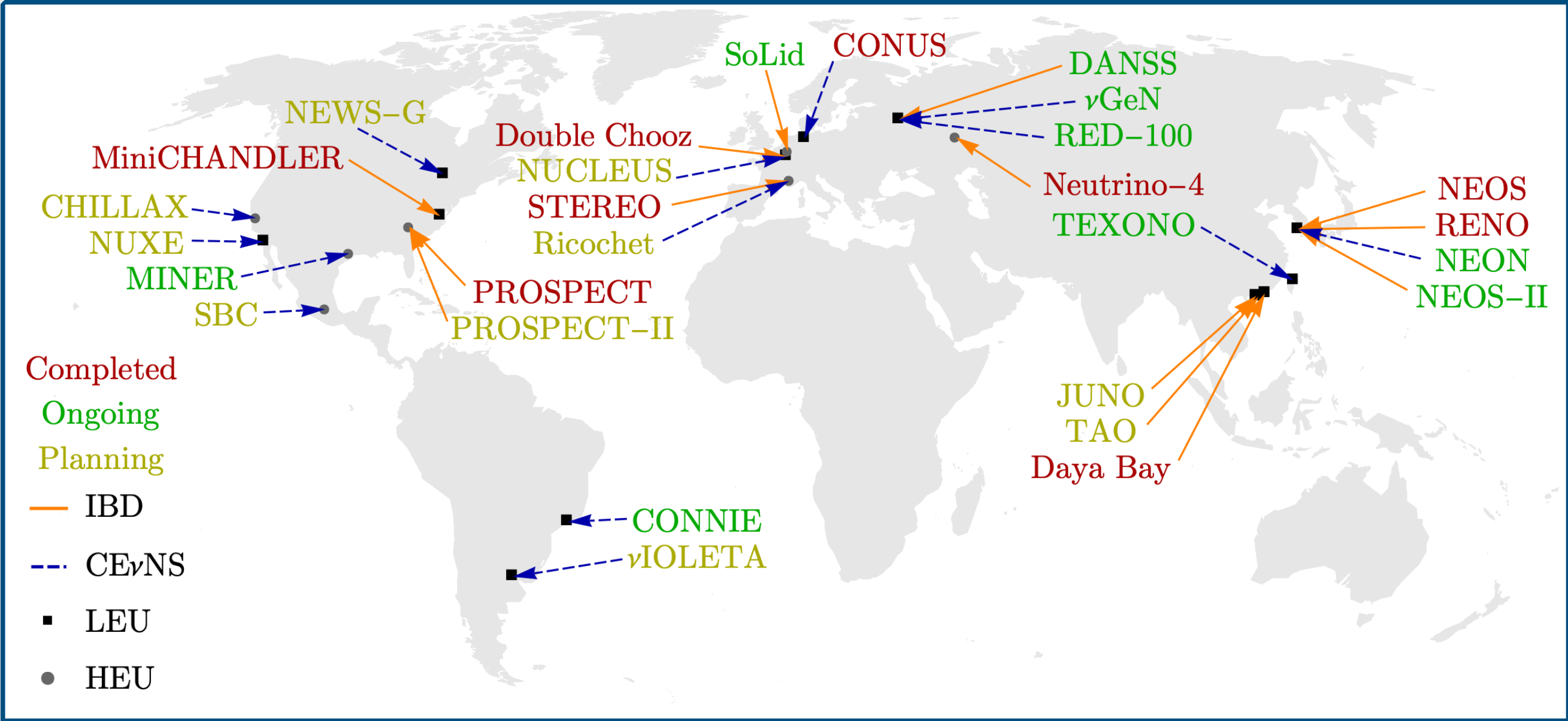
Nuclear reactors are an important part of the international neutrino program and connect to a number of NF topical groups: **NF01, NF02, NF03, NF05, NF07, NF09, NF10**.

The reactor community has been working on a comprehensive White Paper to summarize the importance of reactors to neutrino physics specifically and to the broader US HEP program.

I can only dedicate time to a small part of a much larger whole: *using nuclear reactors to search for nonstandard oscillations, particularly sterile neutrinos [1-4]*.

HEP Physics Opportunities Using Reactor Antineutrinos

1	Introduction	6
1.1	Key Takeaways	6
1.2	Narrative	6
2	Synergies with the US Neutrino Program	9
2.1	Key Takeaways	9
2.2	Narrative	10
3	Synergies with the Broader US Science Program	13
3.1	Key Takeaways	13
3.2	Narrative	13
4	Three-Neutrino Oscillation Physics with Reactors (NF01)	15
4.1	Key Takeaways	15
4.2	Narrative	16
5	Non-Standard Flavor Mixing Searches at Reactors (NF02)	23
5.1	Key Takeaways	23
5.2	The Reactor Antineutrino Anomaly	24
5.3	The 2010s — Antineutrino Spectrum Ratio Experiments	26
5.4	The Future of Short-Baseline Reactor Experiments	28
5.5	Medium- and Long-Baseline Reactor Experiments	30
6	Probing Neutrino Properties and Unknown Particles with Reactors Neutrino Detectors (NF03, NF05)	31
6.1	Key Takeaways	31
6.2	Theory	31
6.3	Experimental requirement	33
6.4	Exotic particle searches at nuclear reactors	36
7	Improving Reactor and Nuclear Physics Knowledge Through Neutrino Measurements and Modelling (NF09)	37
7.1	Key Takeaways	37
7.2	Historical measurements and improvements of reactor neutrinos	38
7.3	Modelling of reactor neutrino flux	39
7.4	Data-model Discrepancies	41
7.5	Upcoming Efforts to Improve knowledge of Isotopic Neutrino Emission	41
7.6	Non-HEP Experiments to Improve Modeling and Relevant Data	44
8	Priorities for Improving Reactor Neutrino Detection (NF10)	45



Antineutrinos from Nuclear Reactors

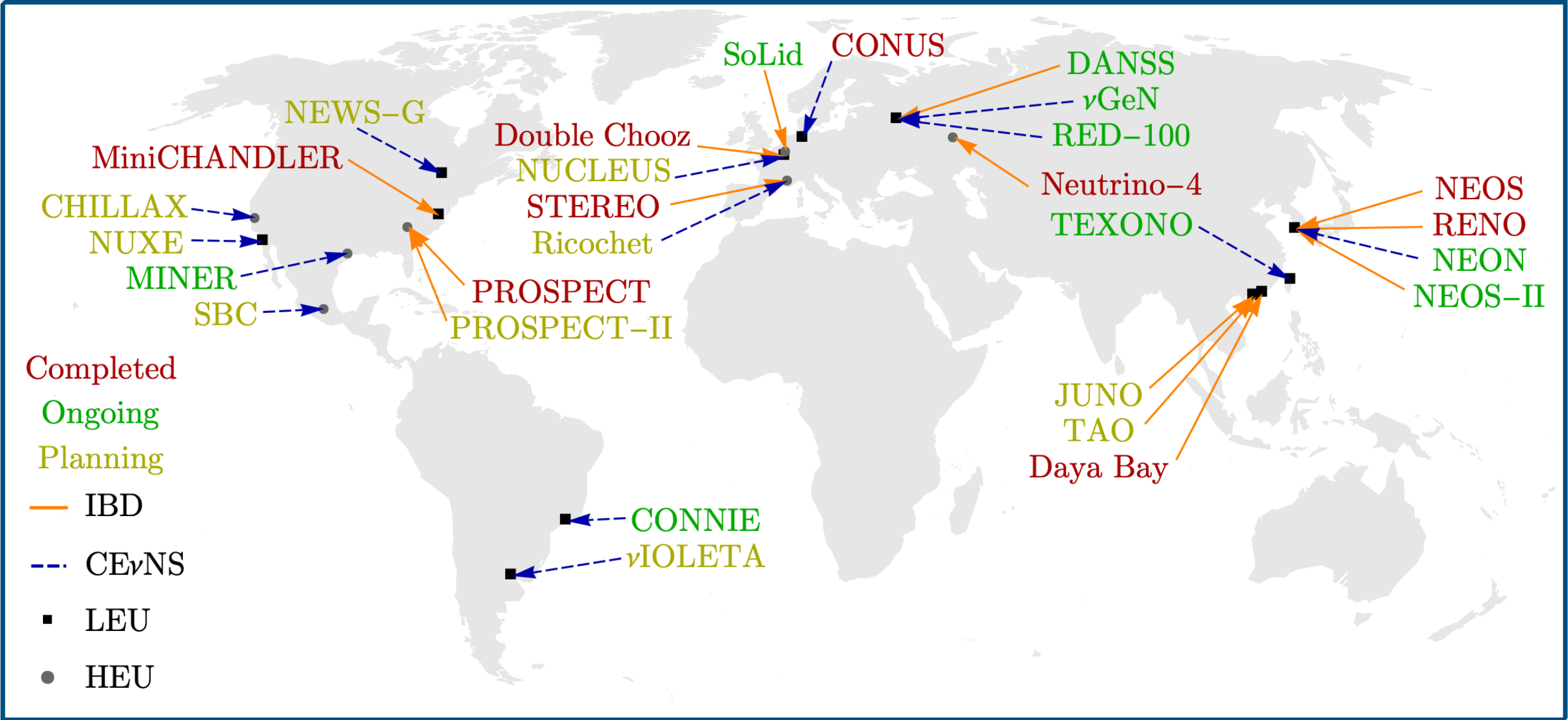
Nuclear reactors are an important part of the international neutrino program and connect to a number of NF topical groups: **NF01, NF02, NF03, NF05, NF07, NF09, NF10**.

The reactor community has been working on a comprehensive White Paper to summarize the importance of reactors to neutrino physics specifically and to the broader US HEP program.

I can only dedicate time to a small part of a much larger whole: *using nuclear reactors to search for nonstandard oscillations, particularly sterile neutrinos [1-4]*.

HEP Physics Opportunities Using Reactor Antineutrinos

1	Introduction	6
1.1	Key Takeaways	6
1.2	Narrative	6
2	Synergies with the US Neutrino Program	9
2.1	Key Takeaways	9
2.2	Narrative	10
3	Synergies with the Broader US Science Program	13
3.1	Key Takeaways	13
3.2	Narrative	13
4	Three-Neutrino Oscillation Physics with Reactors (NF01)	15
4.1	Key Takeaways	15
4.2	Narrative	16
5	Non-Standard Flavor Mixing Searches at Reactors (NF02)	23
5.1	Key Takeaways	23
5.2	The Reactor Antineutrino Anomaly	24
5.3	The 2010s — Antineutrino Spectrum Ratio Experiments	26
5.4	The Future of Short-Baseline Reactor Experiments	28
5.5	Medium- and Long-Baseline Reactor Experiments	30
6	Probing Neutrino Properties and Unknown Particles with Reactors Neutrino Detectors (NF03, NF05)	31
6.1	Key Takeaways	31
6.2	Theory	31
6.3	Experimental requirement	33
6.4	Exotic particle searches at nuclear reactors	36
7	Improving Reactor and Nuclear Physics Knowledge Through Neutrino Measurements and Modelling (NF09)	37
7.1	Key Takeaways	37
7.2	Historical measurements and improvements of reactor neutrinos	38
7.3	Modelling of reactor neutrino flux	39
7.4	Data-model Discrepancies	41
7.5	Upcoming Efforts to Improve knowledge of Isotopic Neutrino Emission	41
7.6	Non-HEP Experiments to Improve Modeling and Relevant Data	44
8	Priorities for Improving Reactor Neutrino Detection (NF10)	45



The Reactor Antineutrino Anomaly

In 2011, short-baseline reactor experiments were reinterpreted [5] with updated predictions for the antineutrino flux [6,7] — and came up 5.7(2.3)% short! This is the *Reactor Antineutrino Anomaly (RAA)*. This can be interpreted as *modest* evidence in favor of a sterile neutrino.

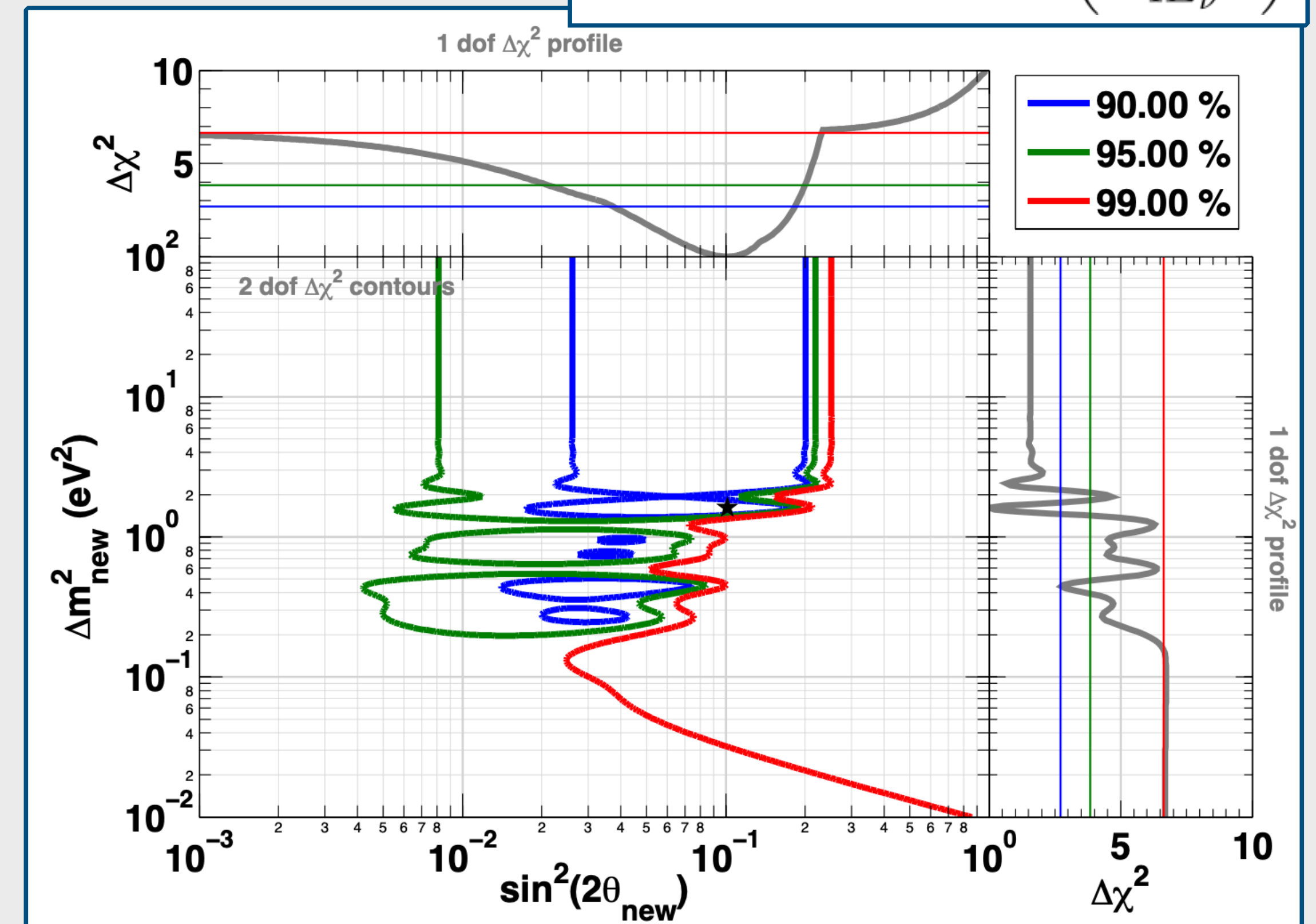
The antineutrino fluxes have been intensely scrutinized. Models based on different techniques [8,9] disagree in the level of discrepancy — but recent measurements from Kurchatov Institute (KI) [10,11] imply that these *may* be starting to converge.

A recent study [12] has explicitly calculated the severity of the RAA for several flux models and finds that

- A. flux models based on modern data imply *no significant deficit*; and
- B. there still exists room for a nonstandard contribution at the level ~5-10%.

The punchline: *the RAA is not quite dead, but it's probably on life support!*

$$P_{ee} \simeq 1 - \sin^2 2\theta_{ee} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E_\nu} \right)$$



The Reactor Antineutrino Anomaly

In 2011, short-baseline reactor experiments were reinterpreted [5] with updated predictions for the antineutrino flux [6,7] — and came up 5.7(2.3)% short! This is the *Reactor Antineutrino Anomaly (RAA)*. This can be interpreted as *modest* evidence in favor of a sterile neutrino.

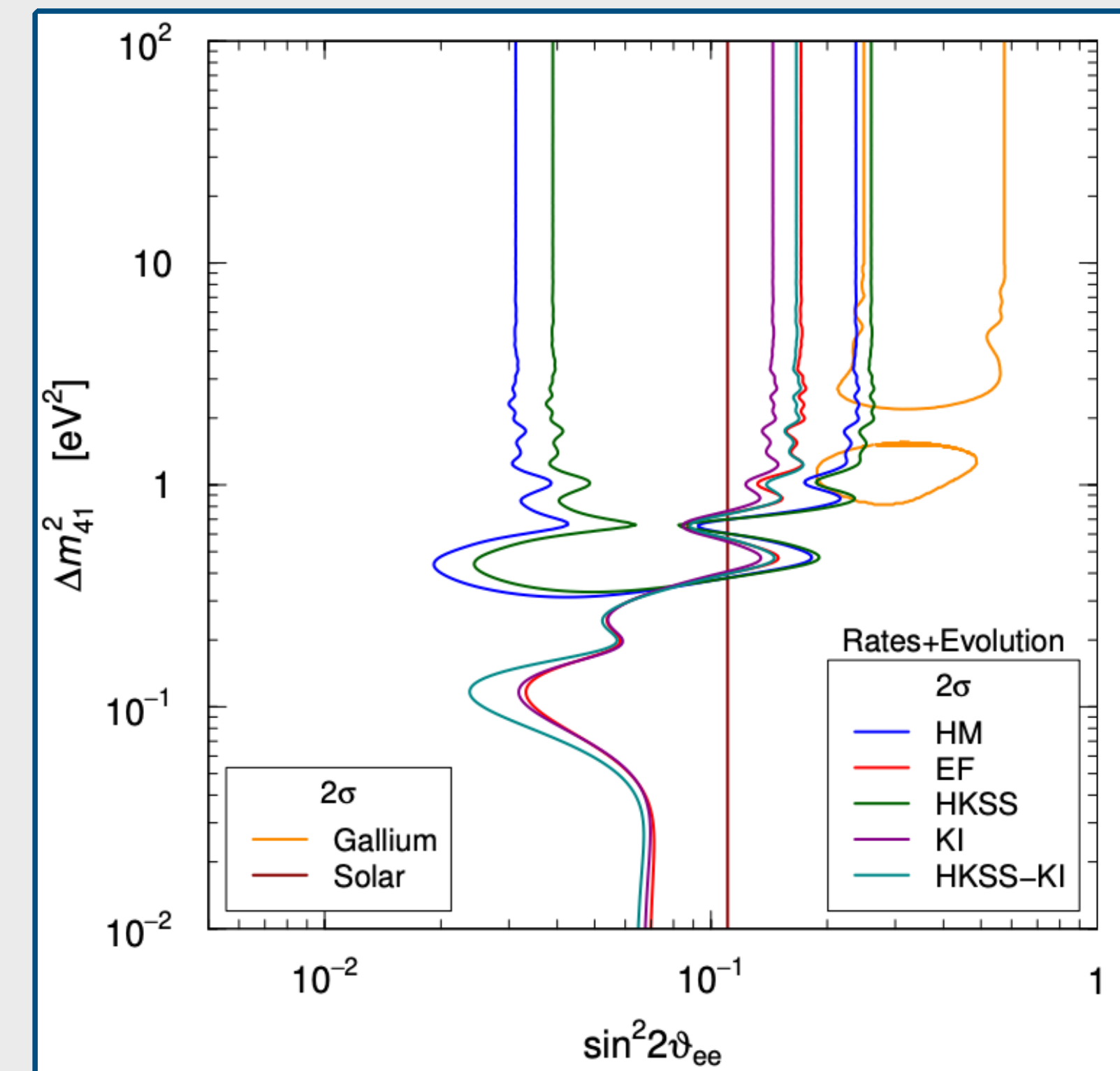
The antineutrino fluxes have been intensely scrutinized. Models based on different techniques [8,9] disagree in the level of discrepancy — but recent measurements from Kurchatov Institute (KI) [10,11] imply that these *may* be starting to converge.

A recent study [12] has explicitly calculated the severity of the RAA for several flux models and finds that

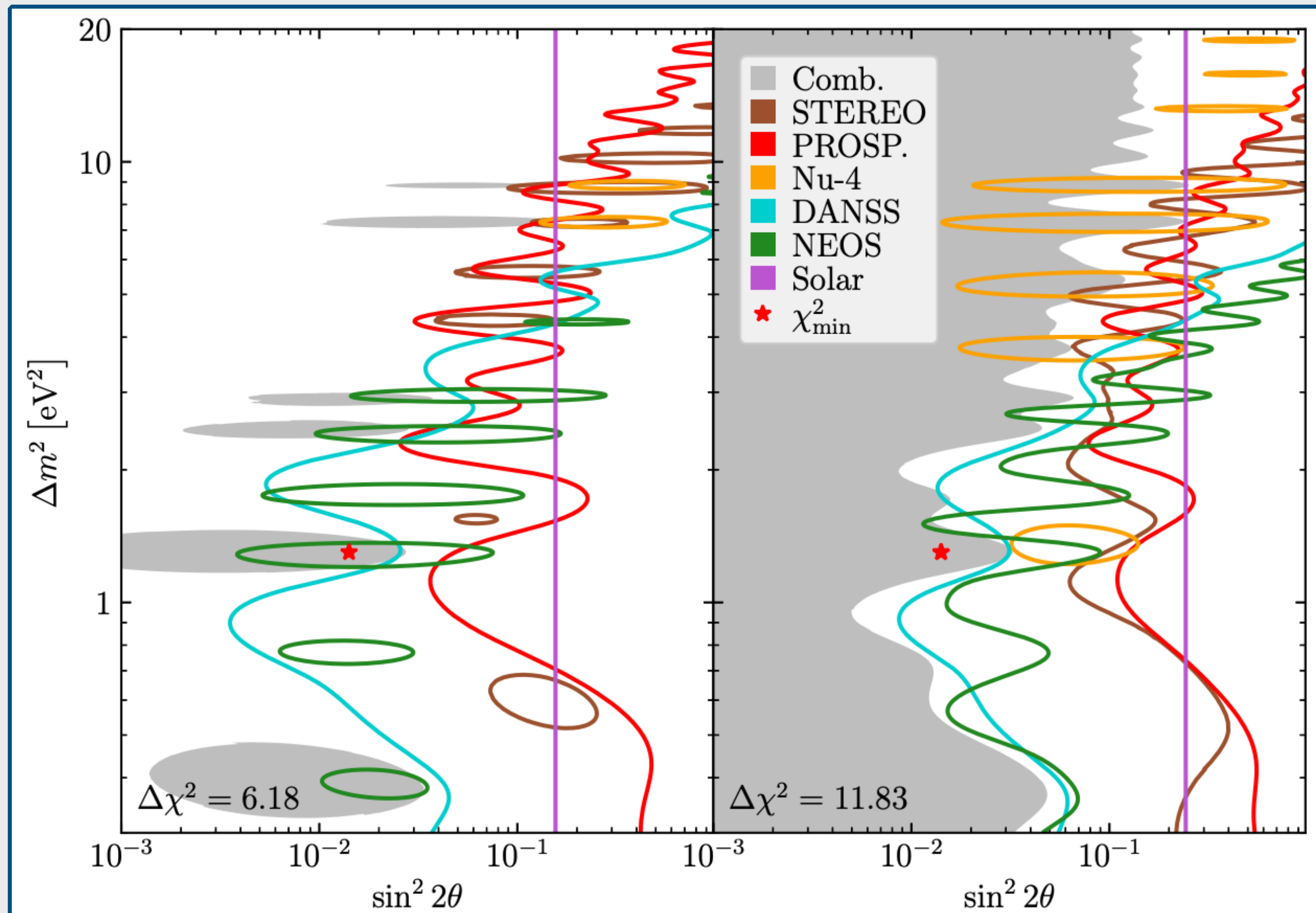
- A. flux models based on modern data imply *no significant deficit*; and
- B. there still exists room for a nonstandard contribution at the level ~5-10%.

The punchline: *the RAA is not quite dead, but it's probably on life support!*

Flux Model	R	Significance	2σ Limit on $\sin^2 2\theta_{ee}$
[6,7] HM	$0.930^{+0.024}_{-0.023}$	2.8σ	[0.031, 0.236]
[8] EF	$0.975^{+0.032}_{-0.030}$	0.8σ	< 0.170
[9] HKSS	$0.922^{+0.024}_{-0.023}$	3.0σ	[0.039, 0.259]
[10,11] KI	0.970 ± 0.021	1.4σ	< 0.144
[12] HKSS-KI	$0.960^{+0.022}_{-0.021}$	1.8σ	< 0.166



Reactor Spectral Ratios



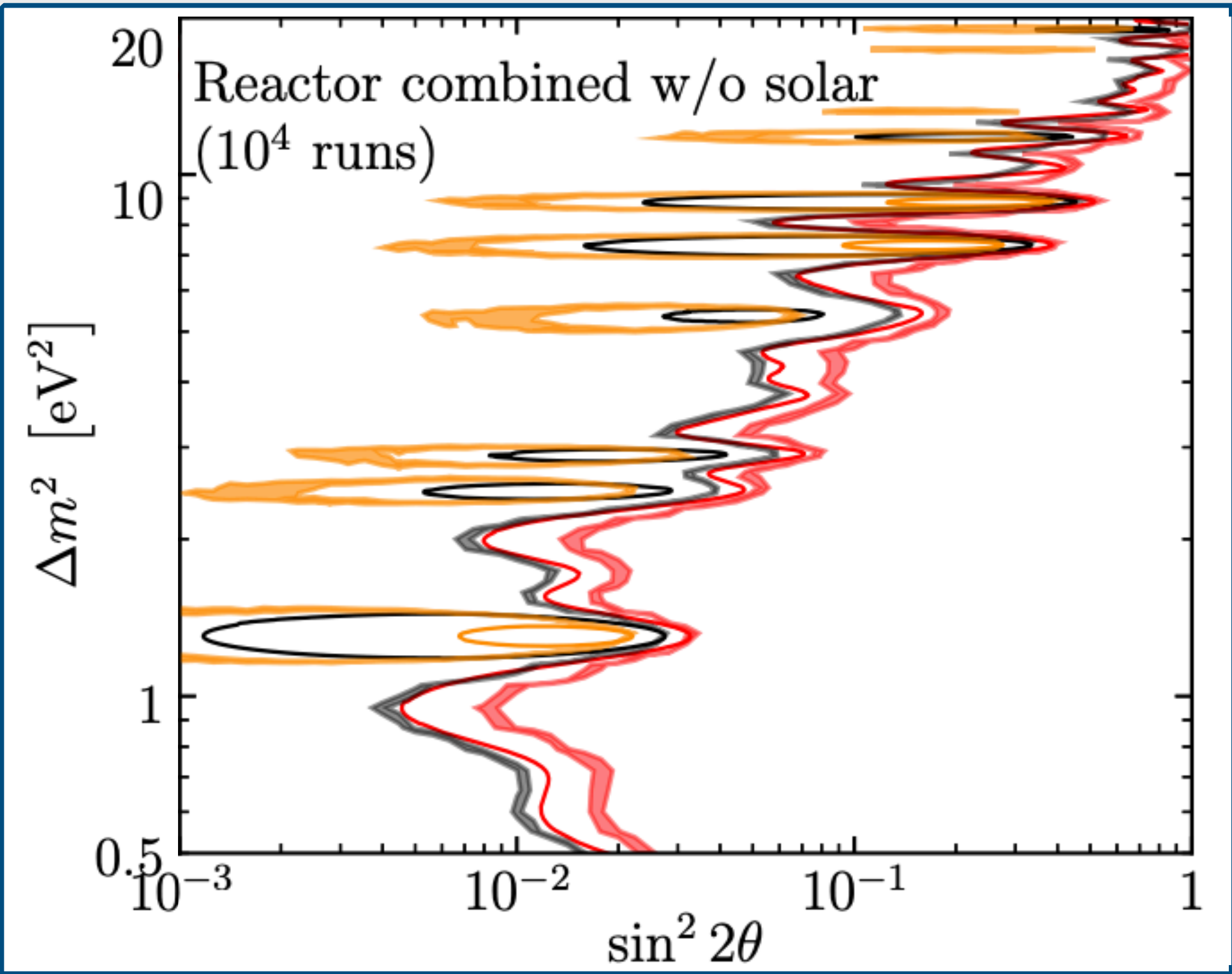
That said, anomalous ν_e appearance at LSND and MiniBooNE can be interpreted as indications of new oscillations at the eV scale. This hypothesis can be more robustly tested at reactors using *ratios of measured spectra at short baselines* ($L \lesssim 25$ m).

Prior to 2021, combined analyses of spectral ratios yielded $\gtrsim 3\sigma$ hints of nonstandard oscillations [13-16]. However, a combination of more data from more experiments and improved statistical methods [17-20] implies that this is more appropriately $\gtrsim 1\sigma$ — *which is obviously quite a bit less!*

Therefore, reactor spectral ratios place a strong constraint on sterile-neutrino interpretations of LSND and MiniBooNE!

Reactor Spectral Ratios

	χ^2_{\min}/dof	Δm^2_{\min}	$\sin^2(2\theta_{\min})$	$\Delta\chi^2_{3\nu}$	p_0	$\#\sigma$	$\#\sigma^{(W)}$
REACTORS	428/421	8.86 eV ²	0.26	7.3	27.4%	1.1	2.2
W/ Solar	432/425	1.30 eV ²	0.014	6.6	17.8%	1.3	2.1



That said, anomalous ν_e appearance at LSND and MiniBooNE can be interpreted as indications of new oscillations at the eV scale. This hypothesis can be more robustly tested at reactors using *ratios of measured spectra at short baselines* ($L \lesssim 25$ m).

Prior to 2021, combined analyses of spectral ratios yielded $\gtrsim 3\sigma$ hints of nonstandard oscillations [13-16]. However, a combination of more data from more experiments and improved statistical methods [17-20] implies that this is more appropriately $\gtrsim 1\sigma$ — *which is obviously quite a bit less!*

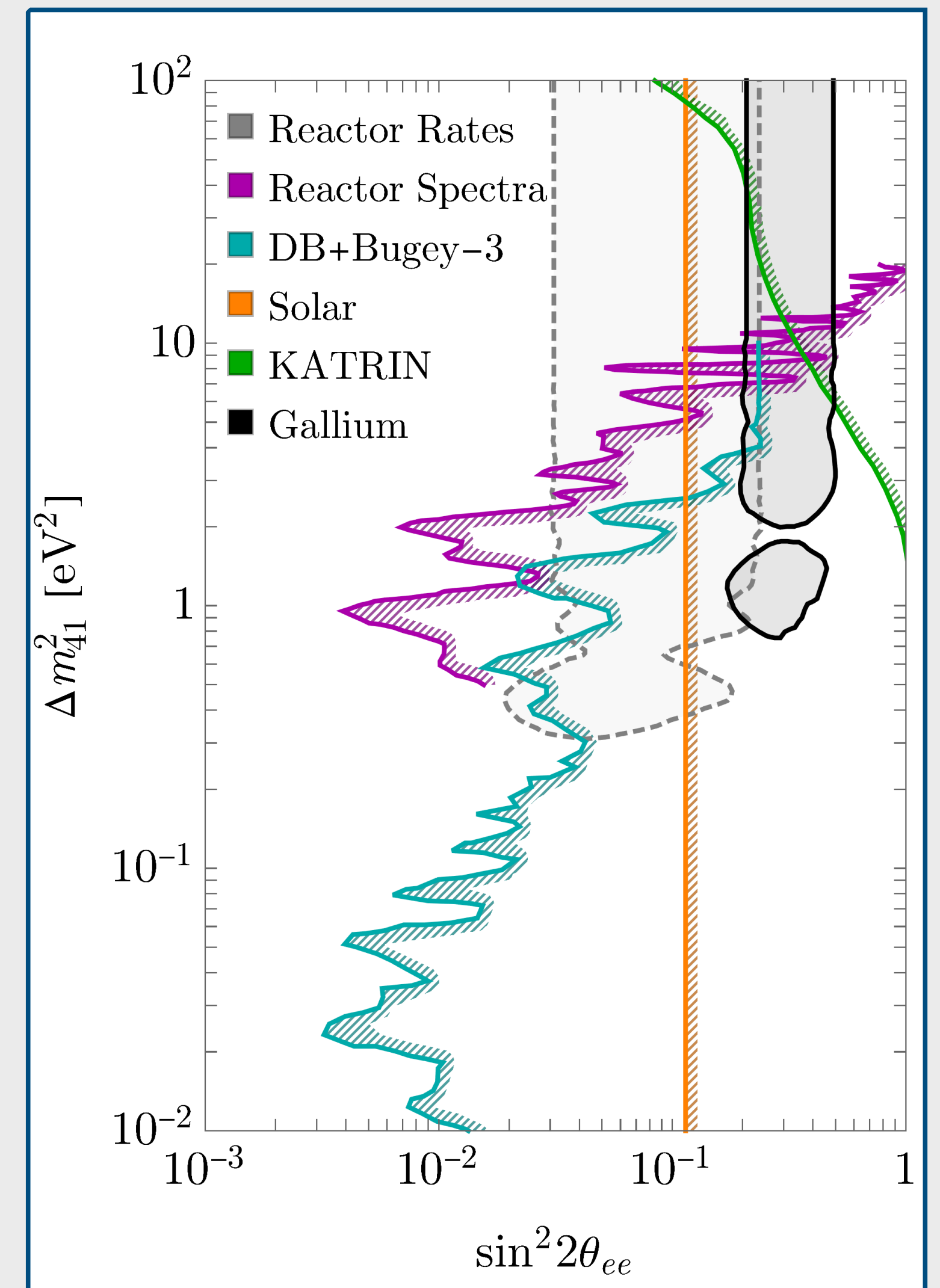
Therefore, reactor spectral ratios place a strong constraint on sterile-neutrino interpretations of LSND and MiniBooNE!

The Status of ν_e Disappearance Searches

Here's a full(ish) picture of ν_e disappearance:

- Reactors are fully consistent with solar experiments [21] — push towards smaller values of $\sin^2 2\vartheta_{ee}$.
- Reactors are *also* fully consistent with anomalous signal from BEST [22-24] and other gallium experiments — push towards larger Δm^2_{41} .
- Clearly, *solar and gallium experiments are quite unhappy with one another!* This tension amounts to $\approx 3\sigma$ [20].
- KATRIN [25] constrains the high- Δm^2_{41} space ($\gtrsim 20 \text{ eV}^2$) — the gallium region is under *even more* tension!
- Also some pressure from ν_e – ^{12}C scattering, T2K and MicroBooNE; these are a bit more complicated to interpret because they receive ν_μ contributions.
- By the way, cosmology is *very* unhappy for sterile neutrinos to exist in this range of masses and mixings [26].

A coherent explanation of the anomalies has yet to emerge, but it's clear that 3+1 doesn't cut it!

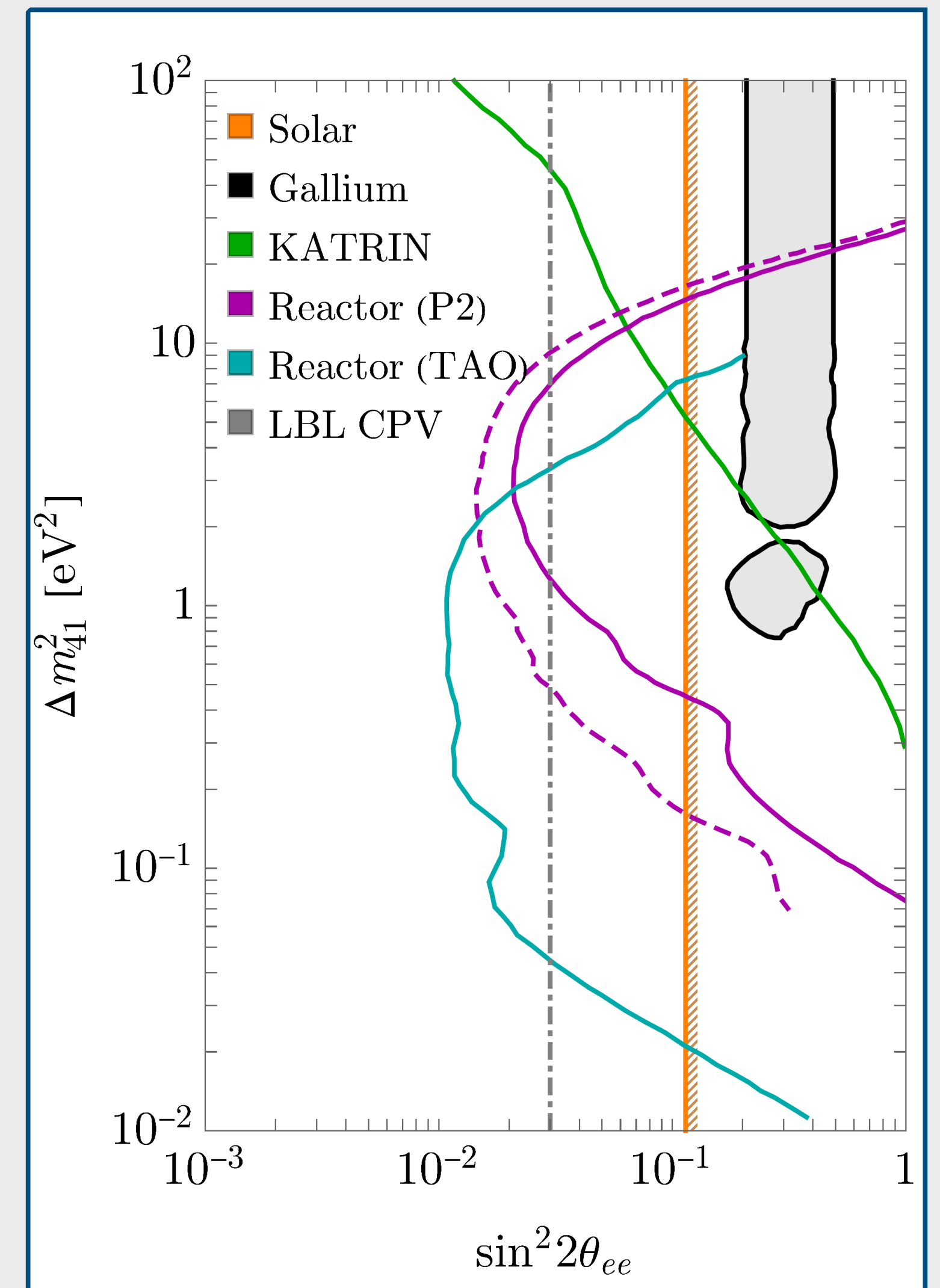


The Status of ν_e Disappearance Searches

Here's a full(ish) picture of ν_e disappearance:

- Reactors are fully consistent with solar experiments [21] — push towards smaller values of $\sin^2 2\vartheta_{ee}$.
- Reactors are *also* fully consistent with anomalous signal from BEST [22-24] and other gallium experiments — push towards larger Δm^2_{41} .
- Clearly, *solar and gallium experiments are quite unhappy with one another!* This tension amounts to $\approx 3\sigma$ [20].
- KATRIN [25] constrains the high- Δm^2_{41} space ($\gtrsim 20 \text{ eV}^2$) — the gallium region is under *even more* tension!
- Also some pressure from ν_e – ^{12}C scattering, T2K and MicroBooNE; these are a bit more complicated to interpret because they receive ν_μ contributions.
- By the way, cosmology is *very* unhappy for sterile neutrinos to exist in this range of masses and mixings [26].

A coherent explanation of the anomalies has yet to emerge, but it's clear that 3+1 doesn't cut it!



Looking to the Future

What else could possibly explain the anomalies?

- *Additional sterile species?*
- *Decay of the sterile neutrino?*
- *Nonstandard interactions?*
- *Coupling to hidden sector/dark matter?*
- *Assuredly many, many others!*

Aside from the *existing* anomalies, what other (new) physics scenarios can be probed with reactors?

- *Decoherence of the neutrino wave packet [27-29]*
- *Violation of CPT, Lorentz invariance [30,31]*
- *The existence of large extra dimensions [32]*
- *Again, many others!*

More broadly, *what is it about nuclear reactors that makes them well suited to study neutrino physics, both new and old?*

- A. They're a *flavor-pure* source of (anti)neutrinos.
- B. They're (largely) uninfluenced by *matter effects*, even at (and beyond) medium baselines.
- C. The *low energies* allow oscillations to develop more prominently over shorter distances, and make the final states relatively simple to characterize.
- D. They're relatively *inexpensive* — the reactors are built for other purposes (e.g., power generation), so one really only needs to procure a detector.

These features have made – and will continue to make – reactors an important piece of the overall puzzle of neutrino physics!

References

- [1] “Where Are We With Light Sterile Neutrinos?,” A. Diaz et al., *Phys. Rept.* 884 (2020) 1, [arXiv:1906.00045](#).
- [2] “Status of Light Sterile Neutrino Searches,” S. Böser et al., *Prog. Part. Nucl. Phys.* 111 (2020) 103736, [arXiv:1906.01739](#).
- [3] “Sterile Neutrinos,” B. Dasgupta & J. Kopp, *Phys. Rept.* 928 (2021) 1, [arXiv:2106.05913](#).
- [4] “Status of Anomalies and Sterile Neutrino Searches at Nuclear Reactors,” S. Schoppmann, *Universe* 7 (2021) 10, 360 [arXiv:2109.13541](#).
- [5] “The Reactor Antineutrino Anomaly,” G. Mention et al., *PRD* 83 (2011) 073006, [arXiv:1101.2755](#).
- [6] “Improved Predictions of Reactor Antineutrino Spectra,” Th. A. Mueller et al., *PRC* 83 (2011) 054615, [arXiv:1101.2663](#).
- [7] “On the determination of anti-neutrino spectra from nuclear reactors,” P. Huber, *PRC* 84 (2011) 024617, [arXiv:1106.0687](#).
- [8] “Updated Summation Model: An Improved Agreement with the Daya Bay Antineutrino Fluxes,” M. Estienne et al., *PRL* 123 (2019) 2, 022502, [arXiv:1904.09358](#).
- [9] “First-forbidden transitions in the reactor anomaly,” L. Hayen et al., *PRC* 100 (2019) 5, 054323, [arXiv:1908.008302](#).
- [10] “Measurement of the Ratio of Cumulative Spectra of Beta Particles from ^{235}U and ^{239}Pu Fission Products for Solving Problems of Reactor-Antineutrino Physics,” V. I. Kopeikin, Yu. N. Panin & A. A. Sabelnikov, *Phys. Atom. Nucl.* 84 (2021) 1, 1.
- [11] “Reevaluating reactor antineutrino spectra with new measurements of the ratio between ^{235}U and ^{239}Pu β spectra,” V. Kopeikin, M. Skorokhvatov & O. Titov, *PRD* 104 (2021) 7, L071301, [arXiv:2103.01684](#).
- [12] “Reactor antineutrino anomaly in light of recent flux model refinements,” C. Giunti et al., [arXiv:2110.06820](#).
- [13] “Model-Independent $\bar{\nu}_e$ Short-Baseline Oscillations from Reactor Spectral Ratios,” S. Gariazzo et al., *PLB* 782 (2018) 13-21, [arXiv:1801.06467](#).
- [14] “Updated global analysis of neutrino oscillations in the presence of eV-scale sterile neutrinos,” M. Dentler et al., *JHEP* 08 (2018) 010, [arXiv:1803.10661](#).
- [15] “KATRIN bound on 3+1 active-sterile neutrino mixing and the reactor antineutrino anomaly,” C. Giunti, Y.-F. Li & Y.-Y. Zhang, *JHEP* 05 (2020) 061, [arXiv:1912.12956](#).
- [16] “Sterile Neutrinos and the Global Reactor Antineutrino Dataset,” J. M. Berryman & P. Huber, *JHEP* 01 (2021) 167, [arXiv:2005.01756](#).
- [17] “A Unified Approach to the Classical Statistical Analysis of Small Signals,” G. J. Feldman & R. D. Cousins, *PRD* 57 (1998) 3873, [physics/9711021](#).
- [18] “Statistical Significance of Reactor Antineutrino Active-Sterile Oscillations,” C. Giunti, *PRD* 101 (2020) 9, 095025, [arXiv:2004.07577](#).
- [19] “Statistical interpretation of sterile neutrino oscillation searches at reactors,” P. Coloma, P. Huber & T. Schwetz, *EPJC* 81 (2021) 1, 2, [arXiv:2008.06083](#).
- [20] “Statistical significance of the sterile-neutrino hypothesis in the context of reactor and gallium data,” J. M. Berryman et al., accepted to *JHEP*, [arXiv:2111.12530](#).
- [21] “Testing sterile neutrino mixing with present and future solar neutrino data,” K. Goldhagen et al., *EPJC* 82 (2022) 2, 116, [arXiv:2109.14898](#).
- [22] “Results from the Baksan Experiment on Sterile Transitions (BEST),” V. V. Barinov et al., [arXiv:2109.11482](#).
- [23] “BEST Impact on Sterile Neutrino Hypothesis,” V. Barinov & D. Gorbunov, [arXiv:2109.14654](#).
- [24] “A Search for Electron Neutrino Transitions to Sterile States in the BEST Experiment,” V. V. Barinov et al., [arXiv:2201.07364](#).
- [25] “Improved eV-scale Sterile-Neutrino Constraints from the Second KATRIN Measurement Campaign,” KATRIN Collaboration, [arXiv:2201.11593](#).
- [26] “Bounds on light sterile neutrino mass and mixing from cosmology and laboratory searches,” S. Hagstotz et al., *PRD* 104 (2021) 12, 123524, [arXiv:2003.02289](#).
- [27] “Probing neutrino quantum decoherence at reactor experiments,” A. de Gouvêa, V. De Romeri & C. A. Ternes, *JHEP* 08 (2020) 018, [arXiv:2005.03022](#).
- [28] “Combined analysis of neutrino decoherence at reactor experiments,” A. de Gouvêa, V. De Romeri & C. A. Ternes, *JHEP* 06 (2021) 042, [arXiv:2104.05806](#).
- [29] “Impact of Wave Package Separation in Low-Energy Sterile Neutrino Searches,” C. A. Argüelles, T. Bertólez-Martínez & J. Salvado, [arXiv:2201.05108](#).
- [30] “First Test of Lorentz Violation with a Reactor-based Antineutrino Experiment,” Double Chooz Collaboration, *PRD* 86 (2012) 112009, [arXiv:1209.5810](#).
- [31] “Search for a time-varying electron antineutrino signal at Daya Bay,” Daya Bay Collaboration, *PRD* 98 (2018) 9, 092013 [arXiv:1809.04660](#).
- [32] “Short-baseline oscillation scenarios at JUNO and TAO,” V. S. Basto-Gonzalez et al., [arXiv:2112.00379](#).