

# $\Xi_c^0$ production in p-Pb collisions at 5.02 TeV

January 4th 2022

KoALICE National Workshop 2021

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# Contents



- Introduction
  - $E_c^0$  production in p+Pb collisions
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# $E_c^0$ production in p+Pb collisions



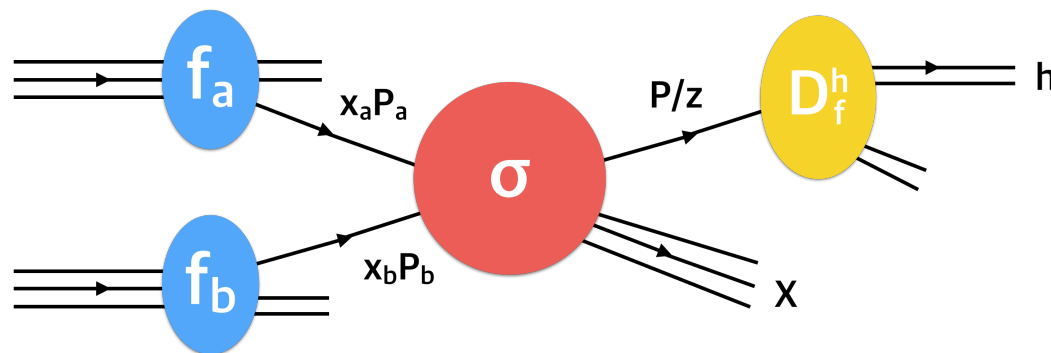
- Heavy quarks are
  - Sensitive probes to study the Quark-Gluon Plasma in heavy-ion collisions.
  - Due to their large masses, they are formed in initial hard scattering of parton before the timescale of QGP formation
    - produced early in the collision, live long enough to sample QGP
  - Experience the whole system evolution
- Measurements in proton-nucleus collisions is crucial
  - For the interpretation of the results in nucleus-nucleus collisions, the measurement in proton-nucleus collisions is also crucial.
  - In such a system cold-nuclear-matter (CNM) effects can affect the production of charm hadrons: disentangle them from the effects related to the formation of the QGP (hot-medium effects)

# $\Xi_c^0$ production in p+Pb collisions



- Measurement of charmed baryon production could play an important role in studying hadronization process.
  - In pQCD calculations, the hadronisation process is modeled via a fragmentation function
  - Fragmentation functions are tuned on electron-positron data under the assumption that they are universal
  - Among other observables, the relative production of baryons and mesons (baryon/meson ratio) is sensitive to the fragmentation process
- The interaction with the medium constituents could modify the hadronisation:
  - a significant fraction of low and intermediate-momentum charm and beauty quarks could hadronise via recombination (coalescence) with other quarks from the medium
  - Models including coalescence predict an enhanced baryon-to-meson ratio at low and intermediate  $p_T$  relative to pp's

# Heavy flavour hadronisation in small systems



$$\frac{d\sigma^D}{dp_T^D}(p_T; \mu_R; \mu_F) = \text{PDF}(x_1, \mu_F) \text{PDF}(x_2, \mu_F) \otimes \frac{d\sigma^c}{dp_T^c}(x_1, x_2, \mu_R, \mu_F) \otimes D_{c \rightarrow D}(z = p_D/p_c, Q^2)$$

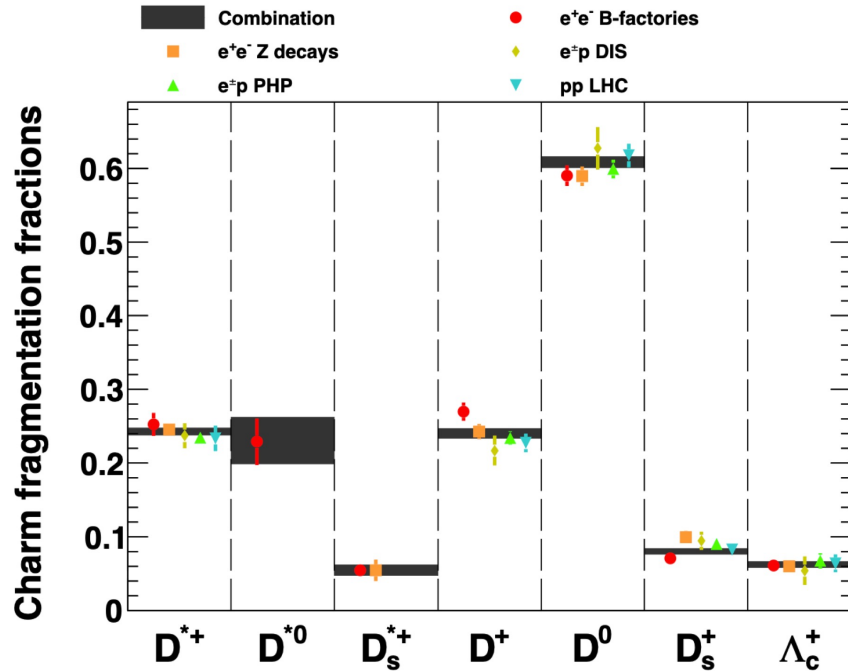
Initial State Parton Distribution Function (PDF) (non-perturbative)

pQCD partonic cross section (perturbative)

Hadronization by fragmentation (non-perturbative)

- pQCD models based on the factorisation approach:
  - use fragmentation fractions parametrised on e+e- and ep collision data
  - assume universality of fragmentation fractions versus collision systems and energies
- Recent measurements of heavy-flavour baryon production challenge this assumption
- Additional mechanisms at play in pp collisions beyond simple string fragmentation?

# Charm Fragmentation Fraction



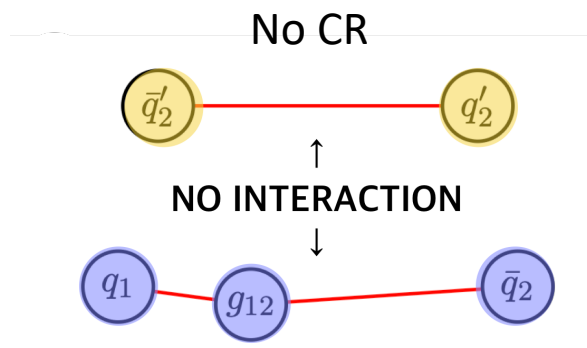
*Eur. Phys. J. C76 (2016) no.7, 397*

- Charm fragmentation fraction

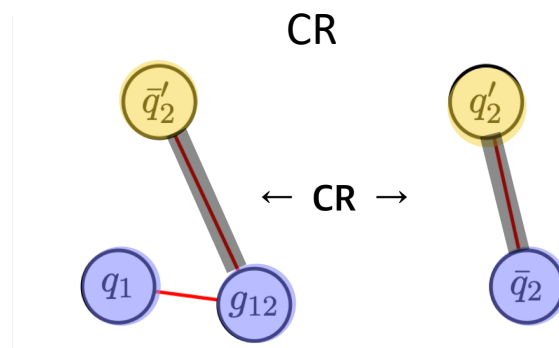
$$f(c \rightarrow H) = \sigma(H) / \sum_H \sigma(H)$$

- Measurements in different collision systems and at different energies agree within uncertainties.
- Support the hypothesis:
  - pQCD models based on factorisation approach use fragmentation fractions (FF) tuned on e+e- and consider them universal? Independent of collision systems?
- However,
  - $\sigma(\Sigma_c)$ ,  $\sigma(\Xi_c)$  and  $\sigma(\Omega_c)$  were not included.
    - In 2015, only LHCb  $\Lambda_c^+$  measurement was available.
  - Rapidity range :  $2.0 < y < 4.5$
- Measurements of charm baryons are essential for total charm cross section and FF measurement
  - Charm baryon-to-meson & baryon-to-baryon yield ratio sensitive to hadronization

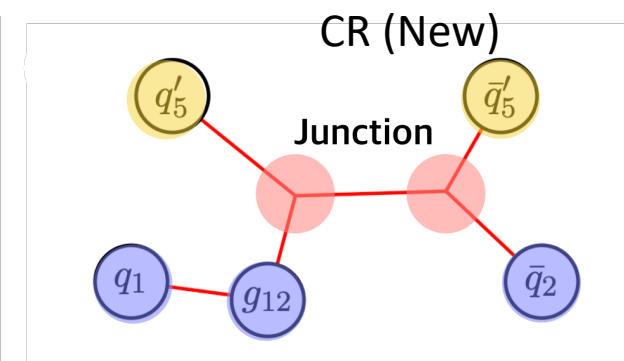
# Models of HF Baryon Enhancement – PYTHIA8 CR tunes



- Partons created in different MPIs do not interact each other



- CR allowed between partons from different MPIs to minimize the string length.
- Monash tune



- Minimization of string length over all possible configurations
- Enhancement of hadrons
- CR mode X tunes

- PYTHIA 8 with Colour Reconnection (CR) tunes
  - Colour reconnection mode with QCD SU(3) algebra + string-length minimization
  - Junction connection topologies enhance baryon formation

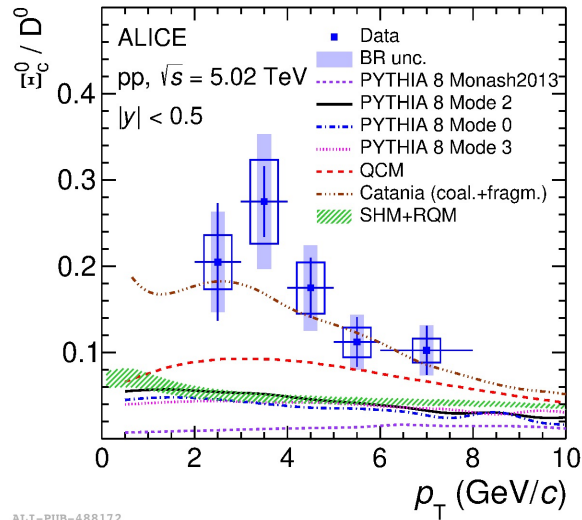
# Models of HF Baryon Enhancement



- Statistical Hadronisation Model (SHM) + additional baryon states *PLB 795 (2019) 117-121*
  - PDG : 5  $\Lambda_c$  ( $I=0$ ), 3  $\Sigma_c$  ( $I=1$ ), 8  $\Xi_c$  ( $I=1/2$ ), 2  $\Omega_c$  ( $I=0$ )
  - RQM (Relativistic Quark Model) : Add 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$  *PRD 84 (2011) 014025*
- Quark Recombination Mechanism (QCM)
  - Combination of charm quarks with co-moving light quarks
- Catania model *PLB 821 (2021) 136622*
  - Blast wave parametrization for light quarks spectra, FONLL calculation for heavy quarks spectra
  - Coalescence process of heavy quarks with light quark based on the Wigner formalism + fragmentation process

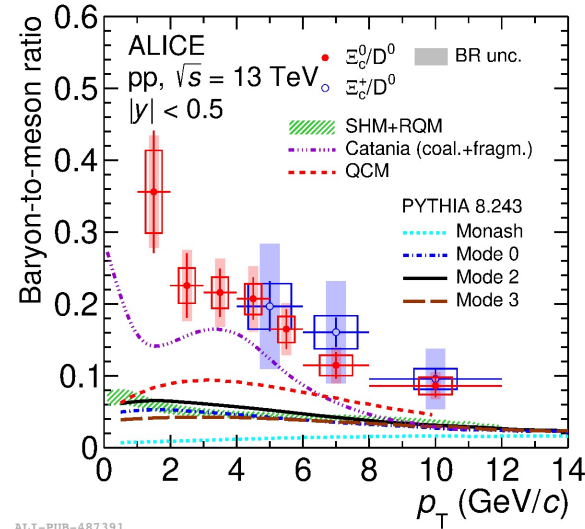


# Charmed baryon/meson ratio in ALICE



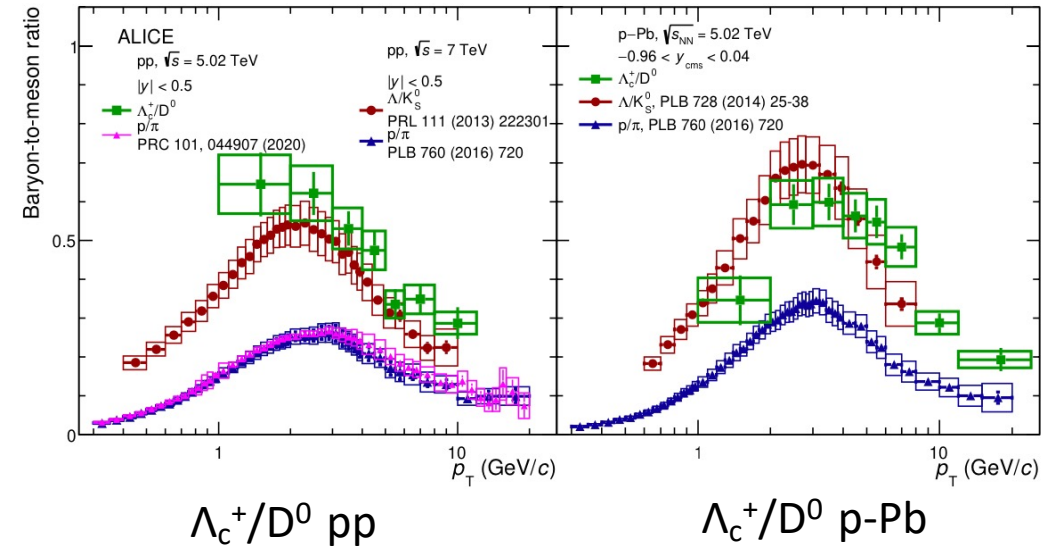
ALI-PUB-488172

$E_c^0/D^0$  pp 5TeV  
 arXiv:2105.05616  
 JHEP 10 (2021) 159



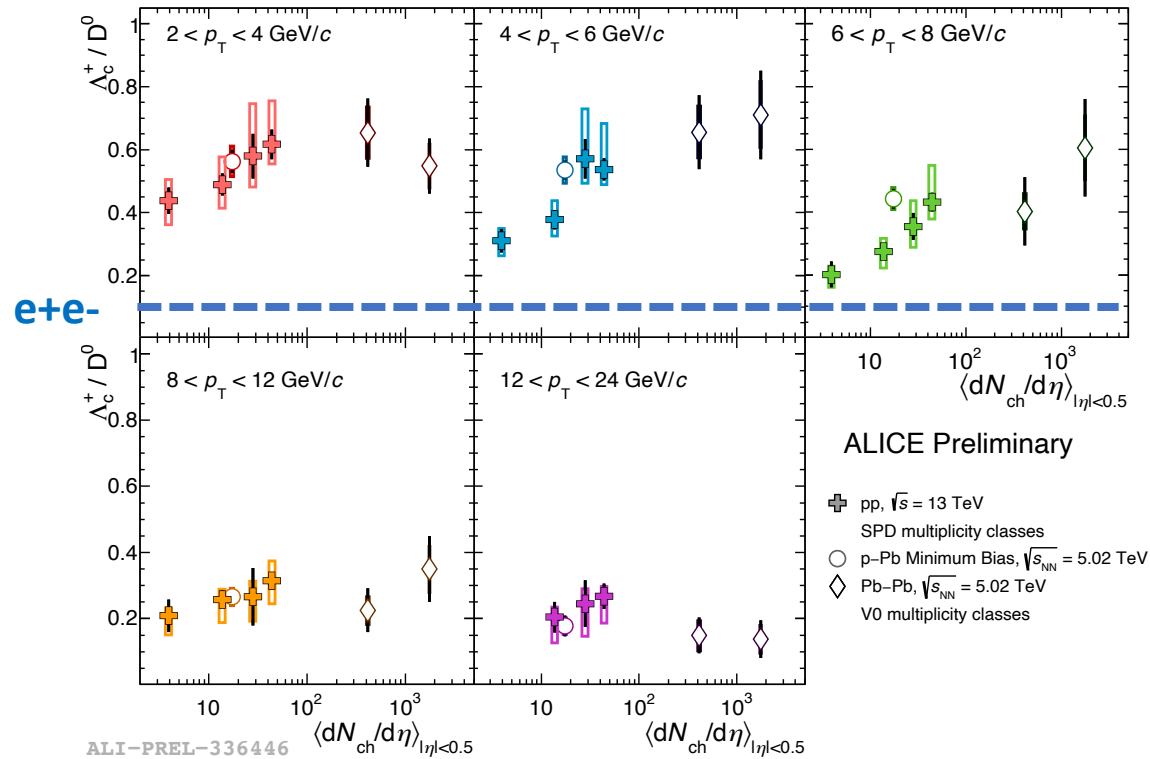
ALI-PUB-487391

$E_c^0/D^0$  pp 13TeV  
 arXiv:2105.05187  
 PRL accepted : Jinjoo Seo



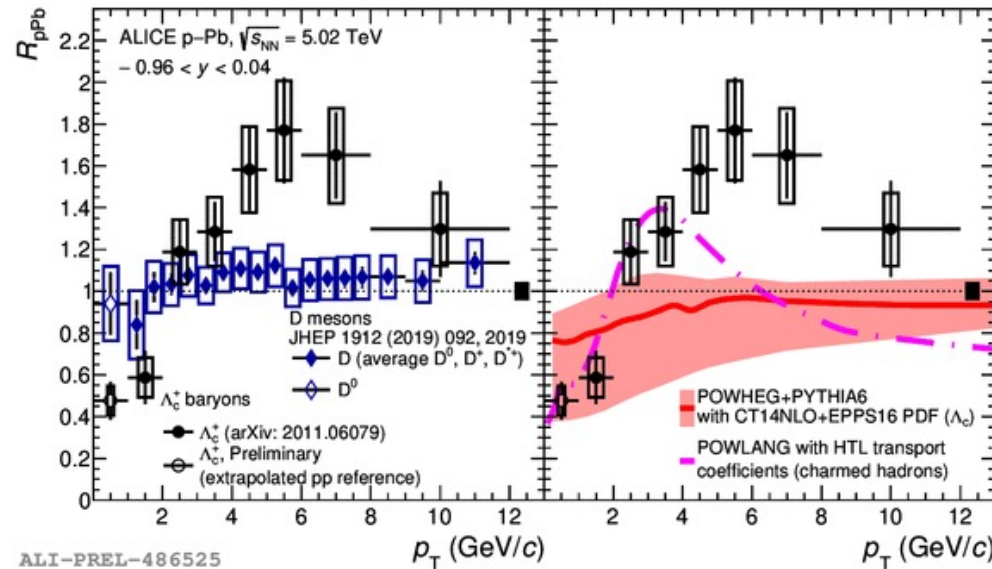
- Baryon-to-meson ratio in pp collisions at 5.02TeV and 13TeV
  - PYTHIA 8 Monash, PYTHIA 8 CR tunes, SHM+RQM and QCM underestimate the ratios significantly.
  - Catania shows better descriptions on the ratios in the measured  $p_T$  range.
- Baryon/Meson ratio comparison with light flavor
  - $\Lambda_c^+$  : seems to have similar trend in both collision systems,
  - Decreasing  $p_T > 2,3$  in both pp pA, Peak at  $2 < p_T < 4$  in both HF,LF

# Multiplicity dependence of $\Lambda_c^+/D^0$



- Smooth increasement from p+p, p+Pb to Pb+Pb multiplicities.
  - $\Lambda_c^+/D^0$  at low multiplicity already higher than e+e-
  - $\Lambda_c^+/D^0$  at high multiplicity reaching to Pb+Pb
  - In qualitative agreement with the hypothesis that recombination saturates already in high multiplicity p+p?
- Related analysis:  $\Xi_c^0$  at pp 13 TeV (Dr. Chong Kim),  $\Xi_c^+$  at pp 13 TeV (Jaeyoon Cho)

# Charm baryon $R_{pPb}(\Lambda_c^+)$ in p-Pb



ArXiv: 2011.06079

ALI-PREL-486525

- POWHEG+PYTHIA6

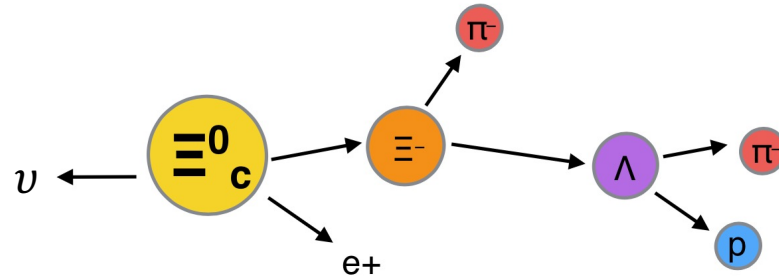
- EPJC 77 no. 3,(2017) 163, JHEP 09 (2007) 126
- CNM effect + PYTHIA 6 Parton shower + EPPS16 parameterization for PDFs

- POWLANG

- JHEP 03 (2016) 123
- Hot deconfined medium in p-Pb collisions.
- Describe the suppression at low  $p_T$ .

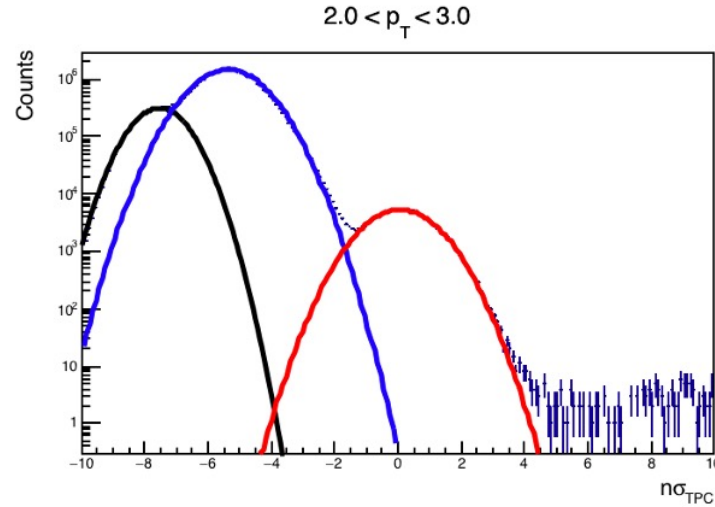
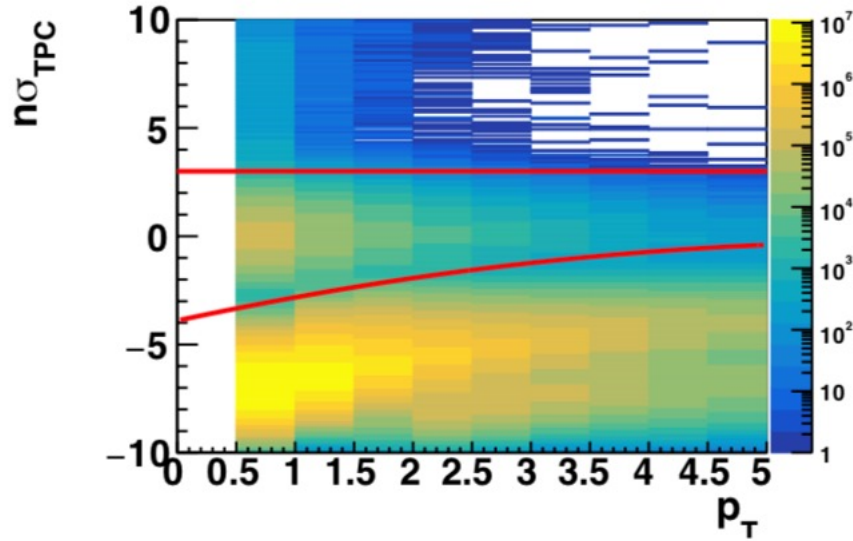
- Nuclear effects can be investigated measuring a nuclear modification factor  $R_{pPb}$ , defined as the ratio of particle yield in p-Pb collisions to those in pp collisions scaled by the average number of binary nucleon-nucleon collisions
- $R_{pPb}(\Lambda_c^+)$  down to  $p_T = 0$  GeV/c in p+Pb collisions
  - Above unity in  $p_T > 2$  GeV/c
  - Below unity in  $p_T < 2$  GeV/c
    - Possible modification due to radial flow or hadronisation mechanisms

# Analysis procedure

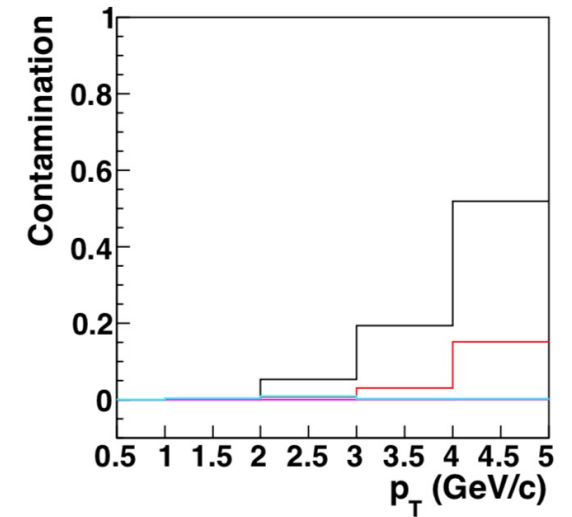
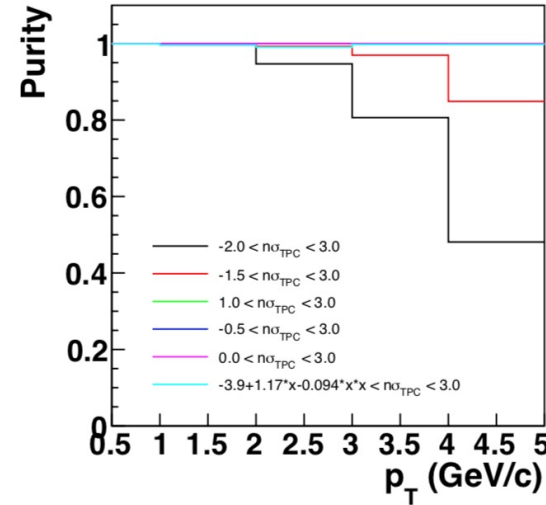


- Select  $e$  and  $\Xi$
- Make Right-Sign (RS) pairs ( $e^-\Xi^+$ ,  $e^+\Xi^-$ ) and Wrong-Sign (WS) pairs ( $e^+\Xi^+$ ,  $e^-\Xi^-$ ) which are selected to satisfy conditions
- Signal extraction by subtracting the WS spectra from the RS spectra
- Correct for the efficiency caused by the "prefilter" of electron candidates.
- Convert  $p_T(e\Xi)$  into  $p_T(\Xi_c^0)$  using unfolding technique.
- Efficiency correction
- Compute  $d\sigma$

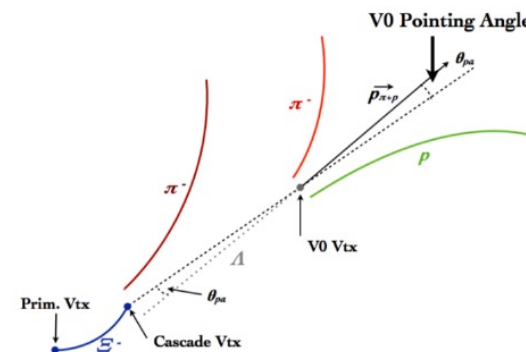
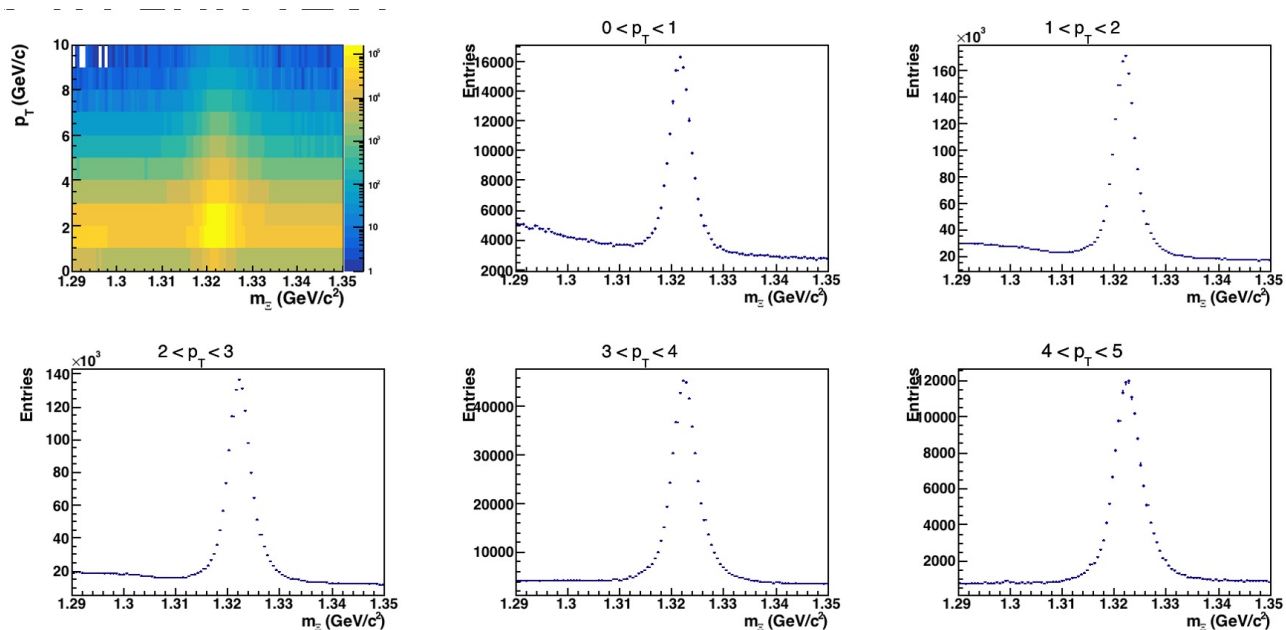
# Analysis Detail: Electron selection



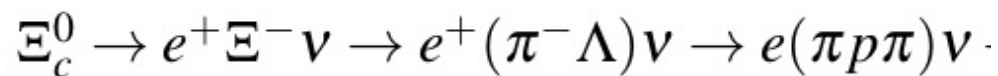
- Particle identification for electron : TPC
- Cut is optimized for electron
- High purity, low contamination after  $p_T$  - dependent cut



# Analysis Detail: $\Xi$ selection : $\Xi$ mass



- $\Xi$  mass distribution.



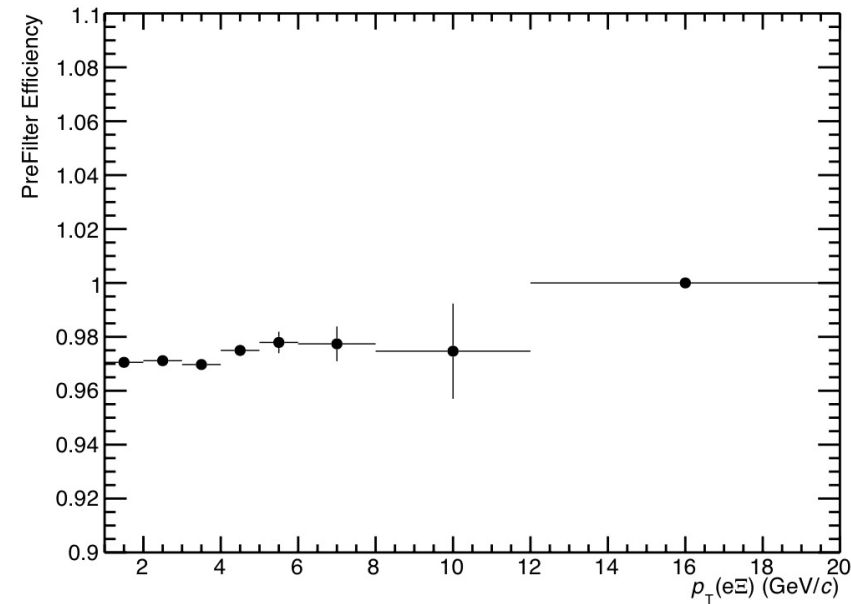
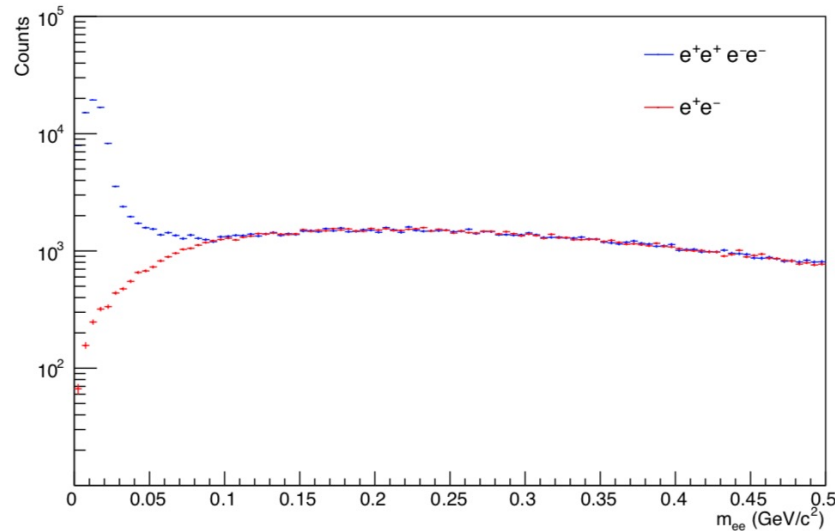
- Other cut variables

Cuts variables	cuts
Number of CrossedRows	>70
CrossedRows Over Findable Cluster	>0.77
$\Lambda$ Mass tolerance (MeV/c <sup>2</sup> )	7.5
$\Xi$ Mass tolerance (MeV/c <sup>2</sup> )	8
DCA of V0 to PV (cm)	>0.03
DCA of V0 daughters to PV (cm)	>0.073
V0 cosine of pointing angle to $\Xi$ vertex	>0.983
$\Xi$ cosine of pointing angle to PV	>0.983
DCA of bachelor track to PV (cm)	>0.0204
V0 decay length (cm)	>2.67
$\Xi$ decay length (cm)	>0.38
$ n\sigma_{TPC} $ (proton)	<4
$ n\sigma_{TPC} $ (pion)	<4

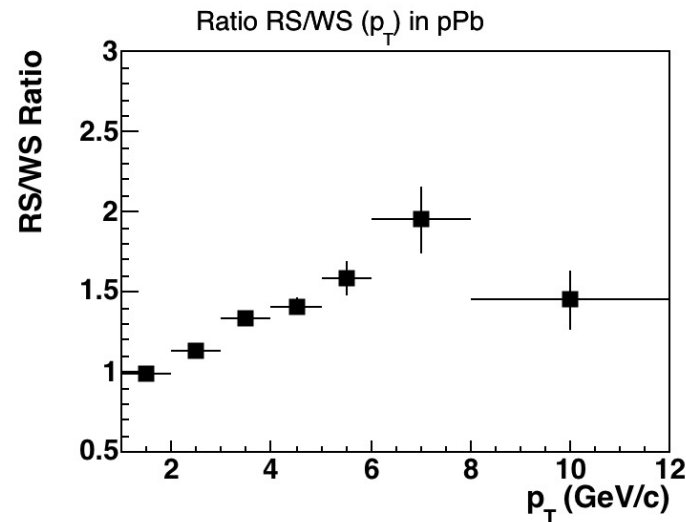
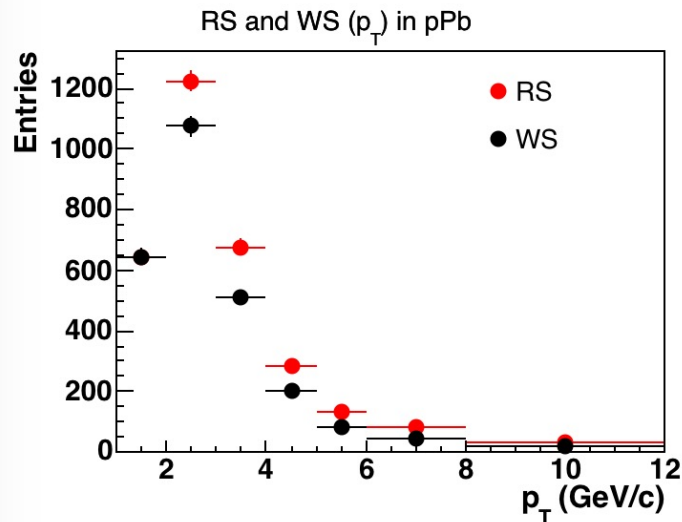
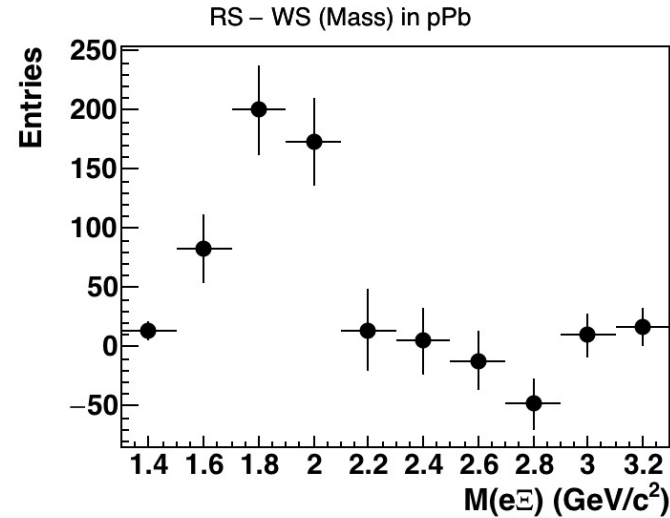
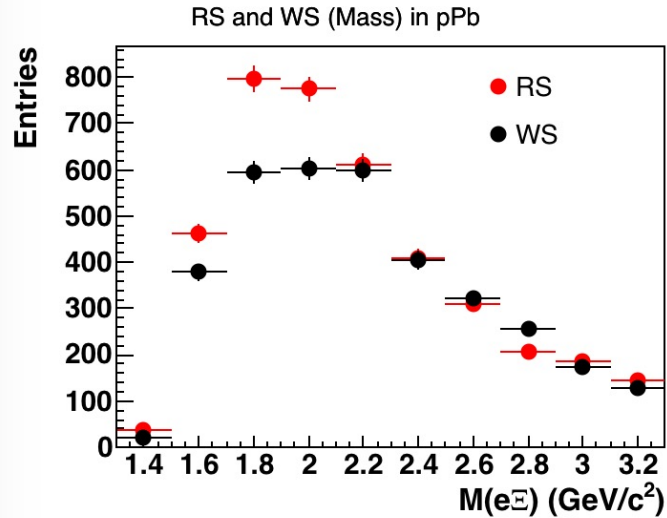
# Analysis Detail: prefilter: e-pair mass cut



- the number of  $e\Xi$  pairs having the same-sign for which the electron has a same-sign partner which from a pair with invariant mass lower than 0.05 GeV.
- peak at  $m_{ee} \sim 0$  GeV/ $c^2$  in the invariant mass distribution of such pairs, which clearly shows the contributions from the  $\pi^0$  Dalitz decays and  $\gamma$



# Analysis Detail: Background subtraction

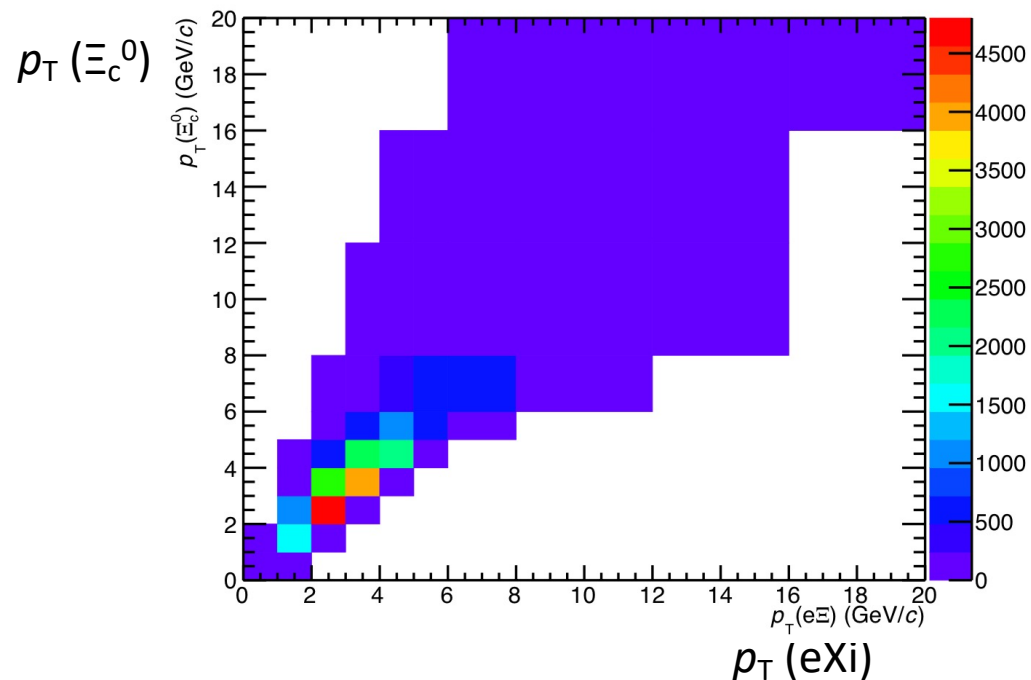
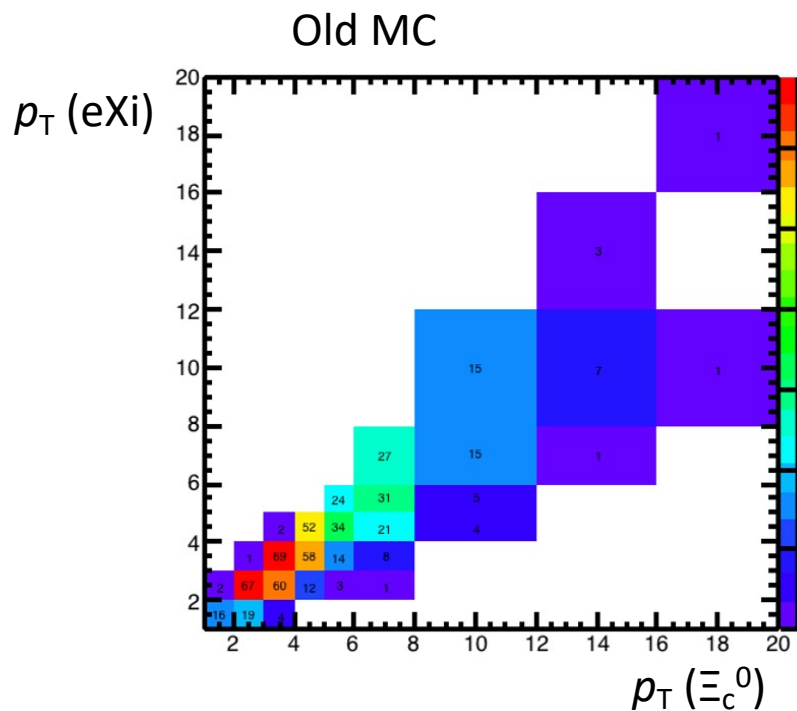


- Right-Sign(RS)
  - $e^+\Xi^-$ ,  $e^-\Xi^+$
- Wrong-Sign (WS) pairs
  - $e^+\Xi^+$ ,  $e^-\Xi^-$
- we assume that most of the background sources contribute equally to WS and RS pairs
- Subtract WS same-sign pair from RS opposite-sign pair
- Invariant Mass cut
  - $M(e\Xi) < 2.5 \text{ GeV}/c^2$

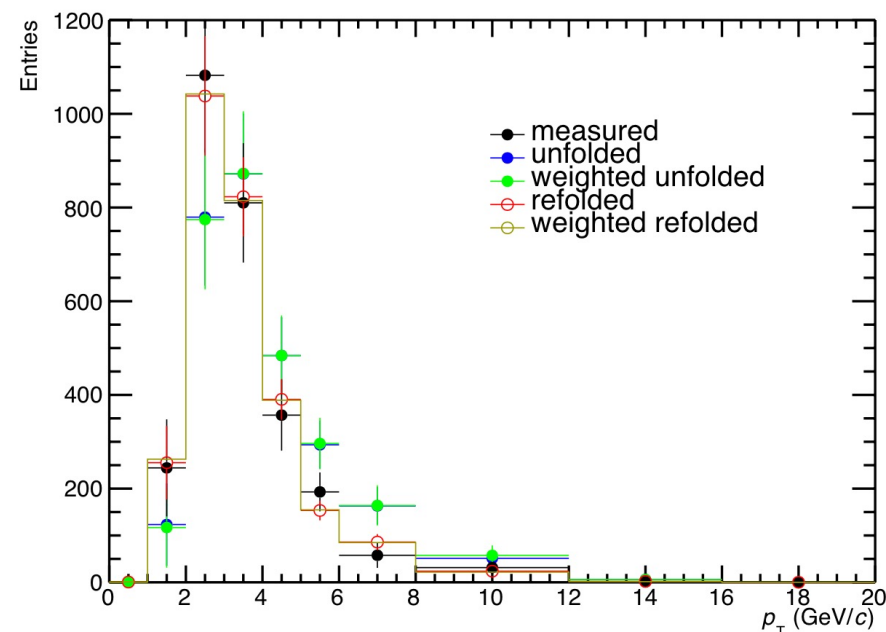
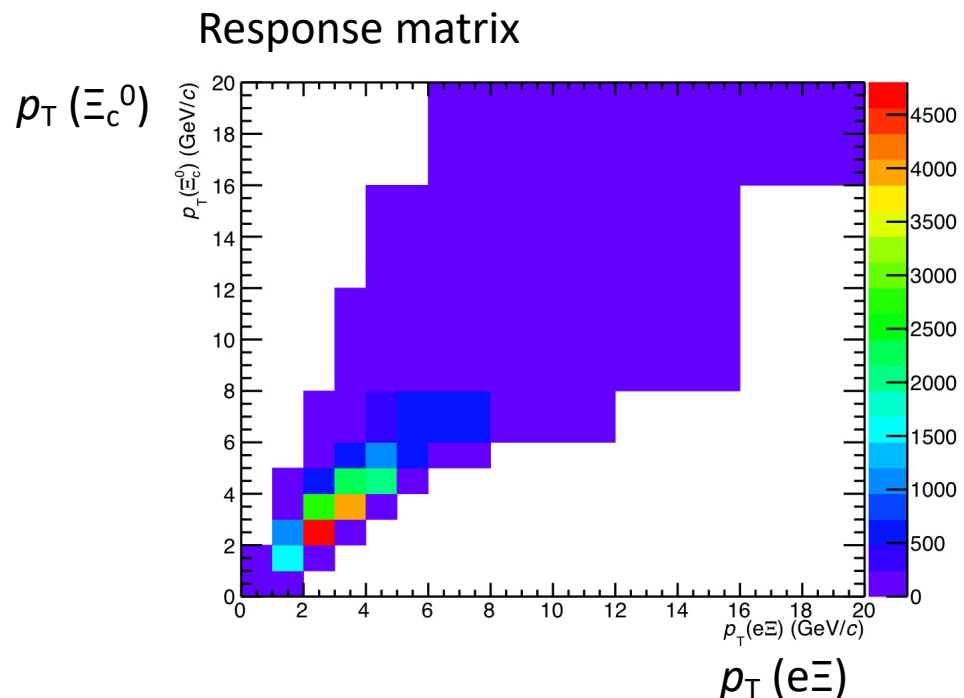


# MC production

- MC production is under final QA
- Xic-dedicated MC using PYTHIA8+HIJING
- Much more statistics than previous MC for electron from heavy flavor decay.

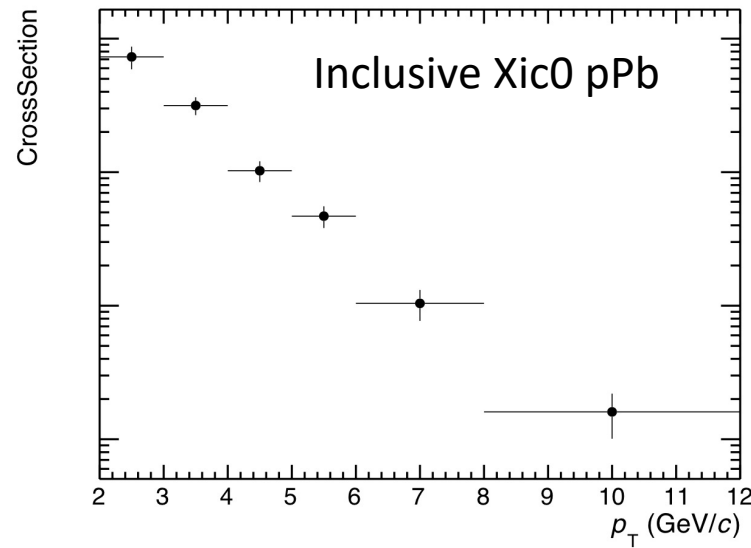
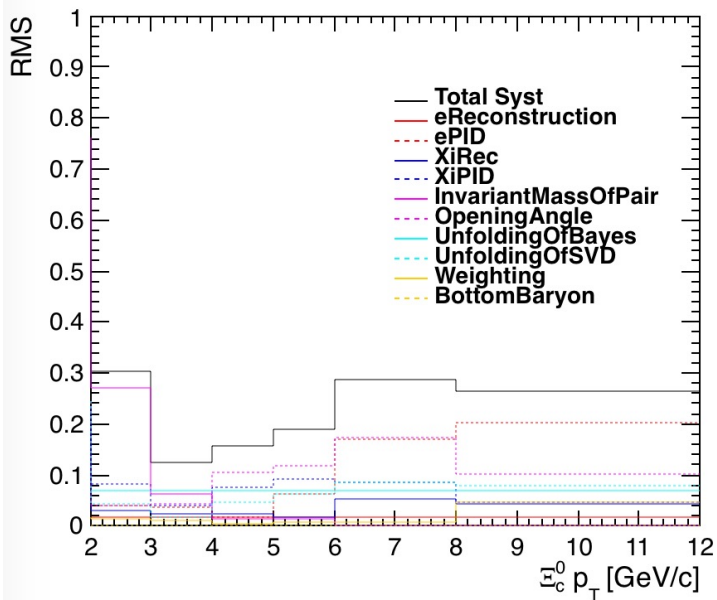


# Unfolding



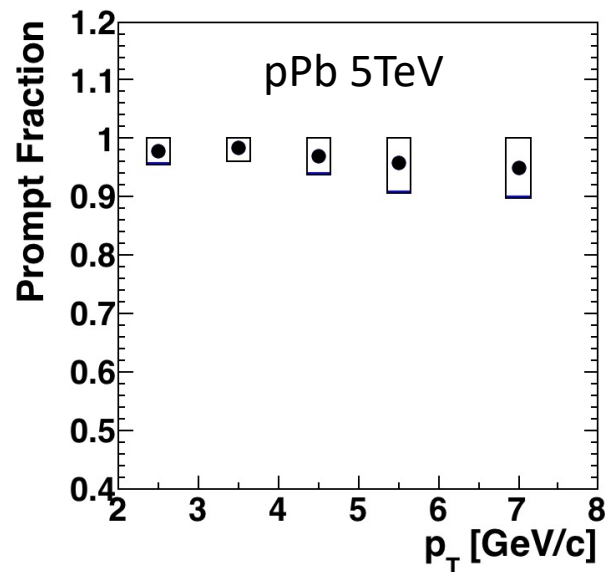
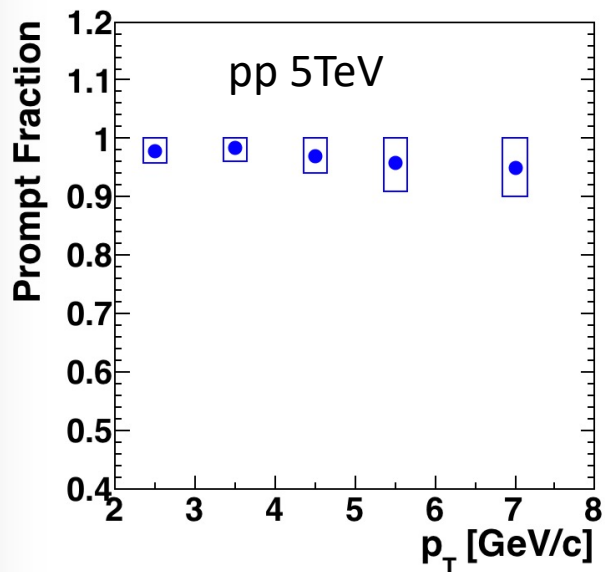
- Measured  $p_T$  is  $p_T$  of  $e\Xi$  pair.
- missing momentum of neutrino  $\Xi_c^0 \rightarrow e^+ \Xi^- \nu \rightarrow e^+ (\pi^- \Lambda) \nu \rightarrow e (\pi p \pi) \nu$ .
- Bayesian unfolding to extract  $p_T$  of  $\Xi_c^0$  from  $p_T$  of  $e\Xi$  pair
- crosscheck with SVD unfolding, varying the number of iteration

# Systematic uncertainty



- Syst. Included
  - e, Xi PID, reco
  - Pair mass, opening angle
  - Unfolding
- Missing syst.
  - ITS-TPC matching
  - rapidity range
  - prompt fraction

# prompt fraction



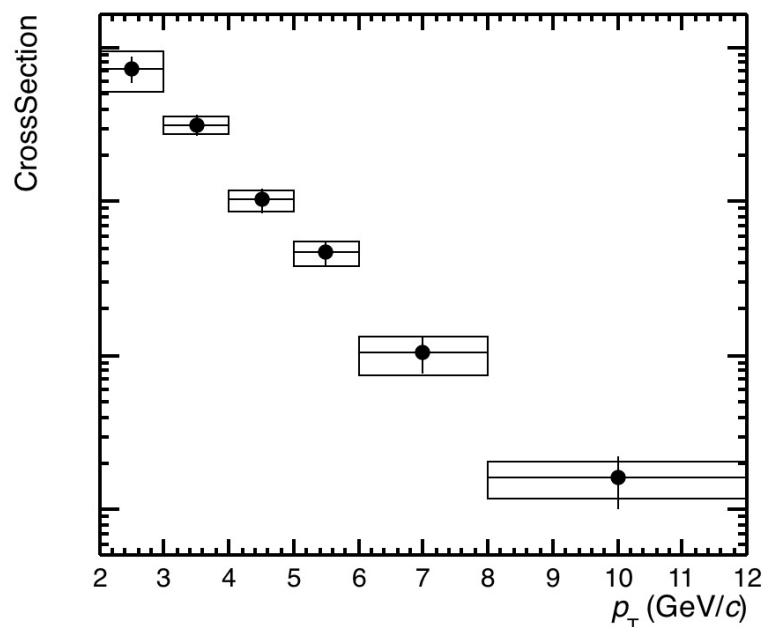
- Following Lc analysis
  - *ArXiv:2011.06079*
  - assumed that the  $R_{pPb}$  of prompt and feed-down Lc were equal
 
$$0.9 < R_{pPb}^{feed-down} / R_{pPb}^{prompt} < 1.3$$
  - Prompt fraction from pp5TeV
  - Referred D-meson paper
    - *Phys. Rev. C94 no. 5, (2016) 054908*
    - Additional uncertainty was small

of  $D$  mesons from  $B$  decays. On the basis of calculations including initial-state effects through the EPS09 nuclear PDF parametrizations [20] or the color glass condensate formalism [27], it was assumed that the  $R_{pPb}$  of prompt and feed-down  $D$  mesons were equal and their ratio was varied in the range  $0.9 < R_{pPb}^{feed-down} / R_{pPb}^{prompt} < 1.3$  to evaluate the systematic uncertainties. The resulting  $f_{prompt}$  values and their

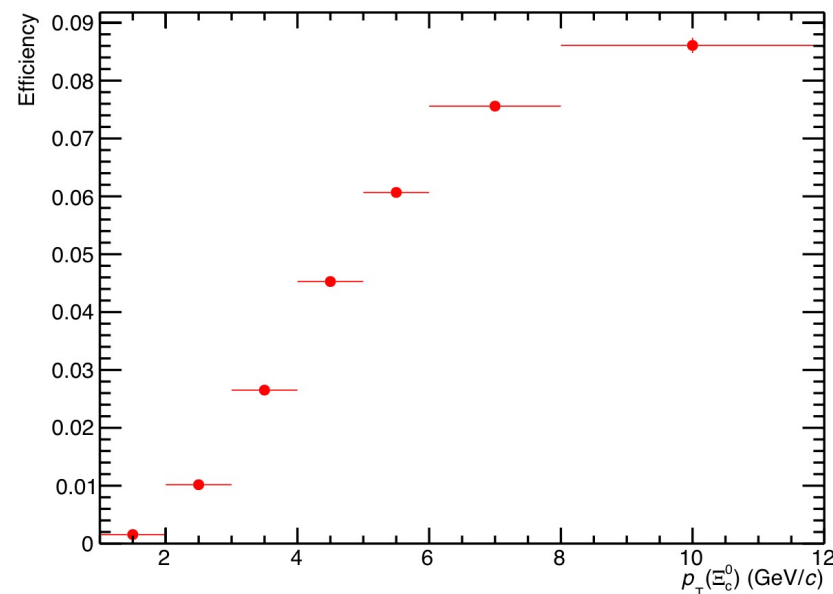
# Cross Section

$$BR \cdot \frac{d\sigma^{\Xi_c^0}}{dp_T dy} = \frac{N_{\Xi_c^0}}{2 \cdot \Delta p_T \Delta y \cdot (A \times \epsilon \times \epsilon_{\Xi_{\text{tag}}}) \cdot L_{\text{int}}} \quad L_{\text{int}} = \frac{N_{\text{evt}}}{\sigma_{\text{pPb}}^{\text{MBAND}}}$$

- $\sigma_{\text{pPb}}^{\text{MBAND}}$  : cross section of MBAND trigger // 2.09e+06 ub
- $A \times \epsilon \times \epsilon_{\Xi_{\text{tag}}}$  : product of the geometrical accepted (A) and the reconstruction and selection efficiency ( $\epsilon$ ) for  $\Xi_c^0 \rightarrow e\Xi v$



(Prompt Xic0) Cross section (syst. not final)

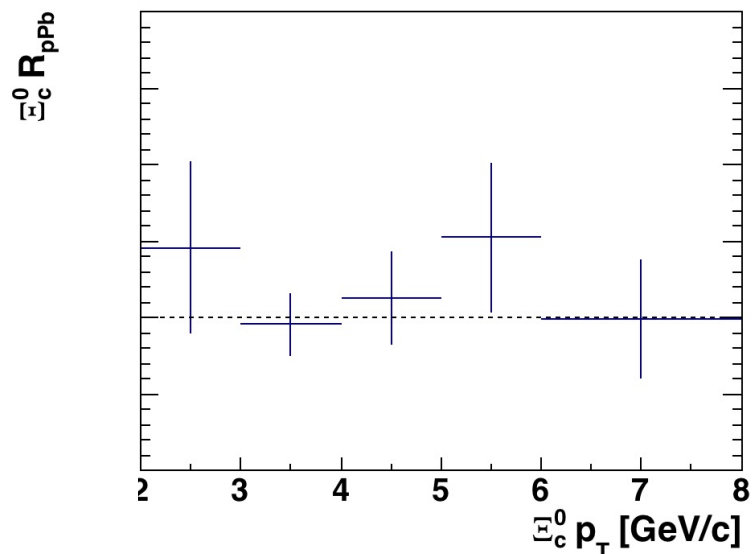


Acc\*Efficiency

# R<sub>pPb</sub>

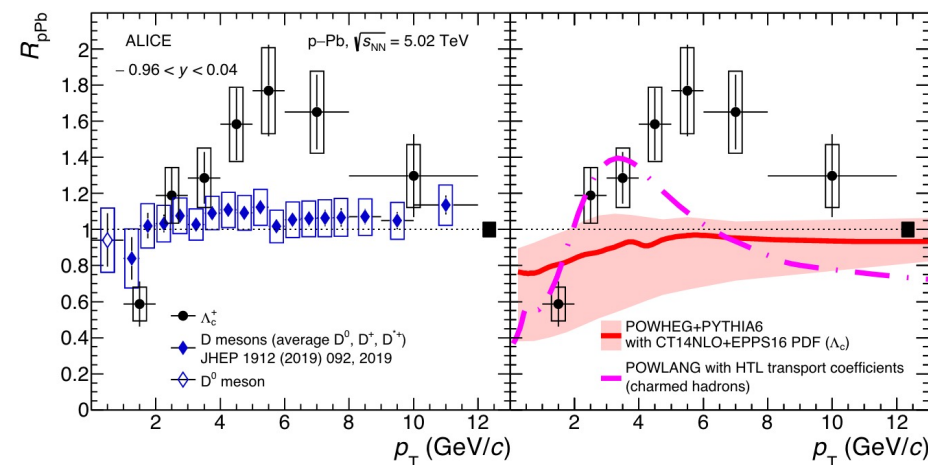
- Nuclear effects can be investigated measuring the nuclear modification factor R<sub>pPb</sub>, defined as the ratio of the cross section in p-Pb collisions to that in pp interactions scaled by the average number of binary nucleon-nucleon collisions

$$R_{pPb}(p_T, \text{cent}) = \frac{dN_{\text{cent}}^{pPb}/dp_T}{\langle N_{\text{coll}}^{\text{cent}} \rangle dN^{pp}/dp_T}$$

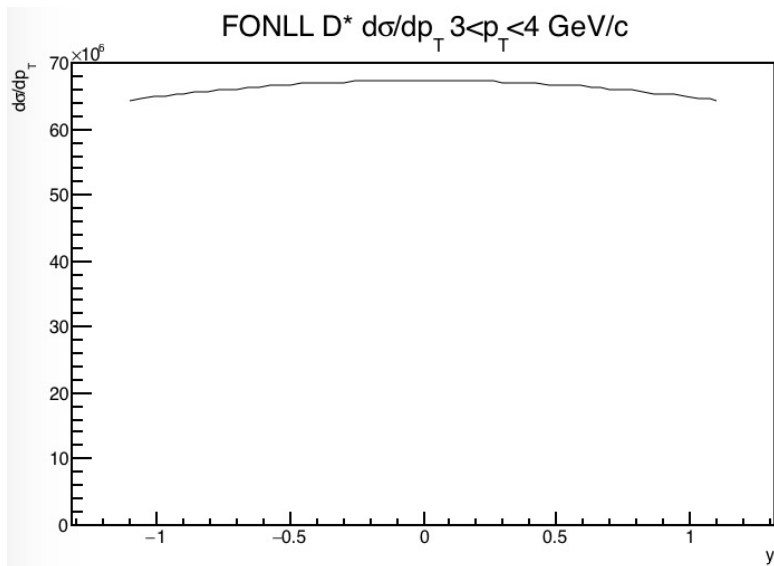
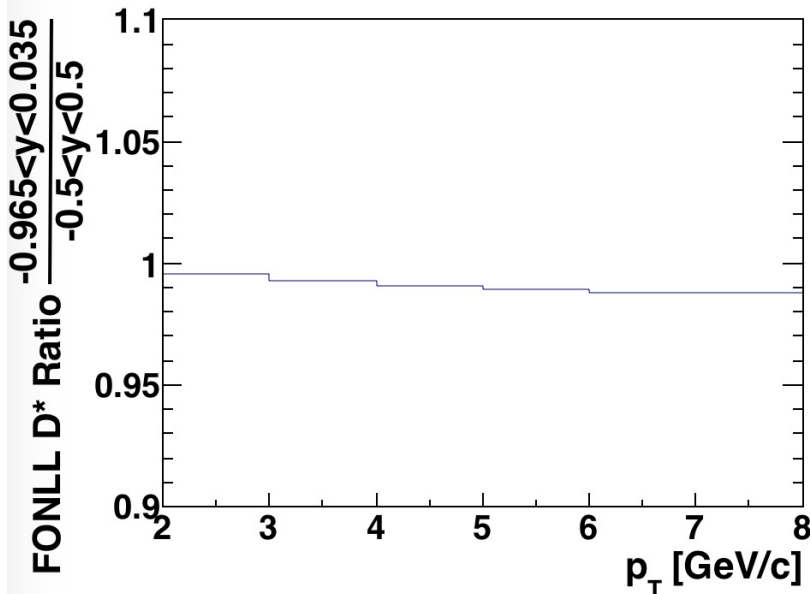


Stat. err. only

Lc result ArXiv: 2011.06079



# Rapidity correction for $R_{pPb}$



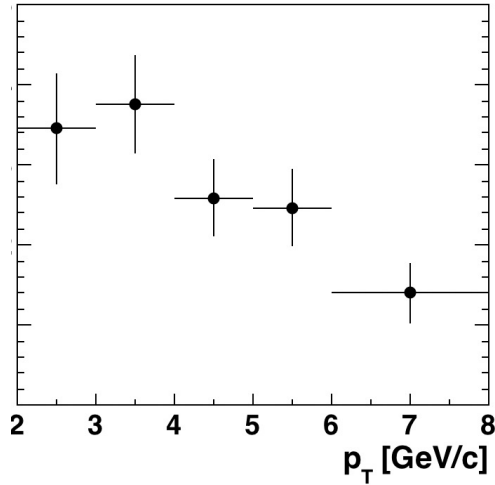
- FONLL rapidity ratio
  - Following Lc analysis <https://alice-notes.web.cern.ch/node/811>
  - pPb:  $-0.5 < y_{Lab} < 0.5$ 
    - $\rightarrow (y_{cms} = -y_{Lab} - 0.465)$
    - $\rightarrow -0.965 < y_{cms} < 0.035$
- Uncertainty not applied yet. D\* Central value only in this slide.

$$\frac{d\sigma^{-0.965 < y < 0.035} / dp_T}{d\sigma^{-0.5 < y < 0.5} / dp_T}$$

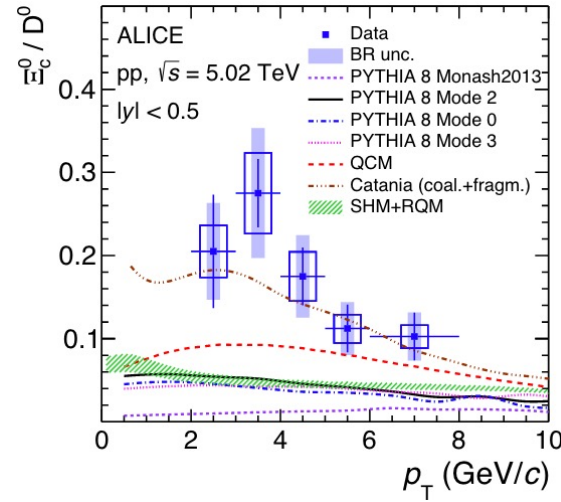
# Baryon/Meson ratio ( $\Xi_c^0/D^0$ )



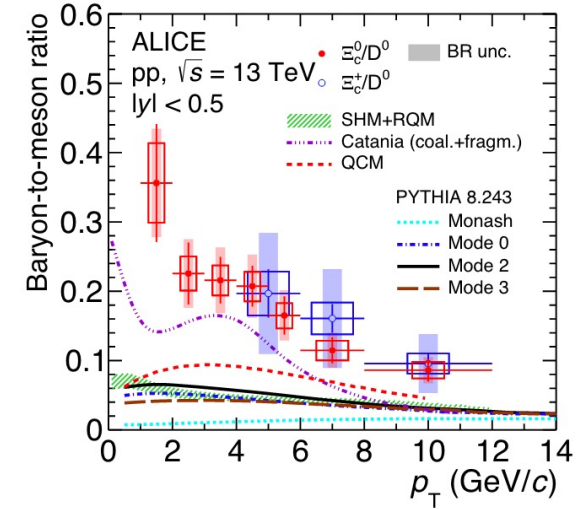
$\Xi_c^0/D^0$  ratio pPb



Stat. err. only

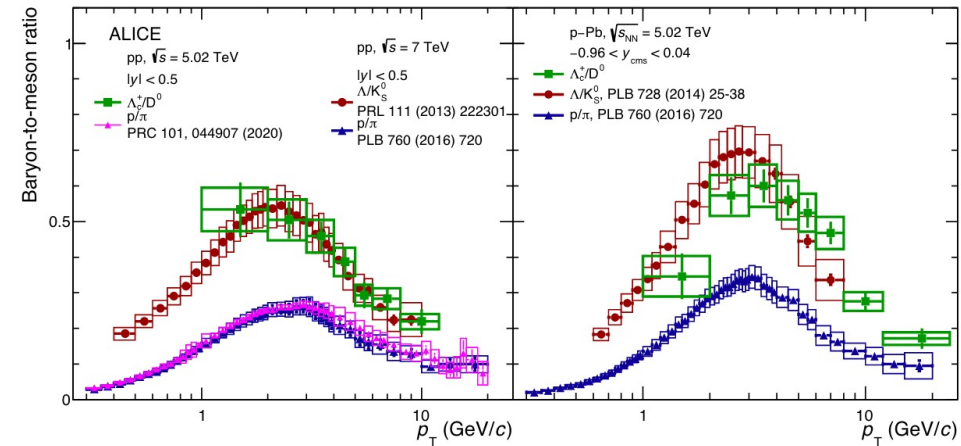


pp 5TeV



pp 13TeV

- Will be compared when the results come.



Lc p-Pb 5TeV



# Summary and Outlook

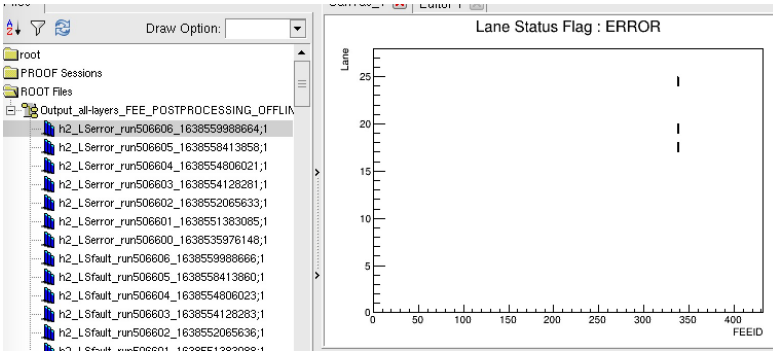


- Studying charmed baryon in pPb collisions could play an important role in the investigation of strongly-interacting matter from heavy ion collisions and hadronization process.
- To do list in the analysis
  - Systematic uncertainty
  - Analysis note
- Expected results in January
  - $R_{pPb}$
  - Baryon/Meson ratio ( $\Xi_c^0/D^0$ ) comparison with  $\Lambda_c^+$
  - Baryon/Meson ratio with High Multiplicity pp result in terms of multiplicity

# Activity in ALICE – ITS QC (FEE post-processing offline)



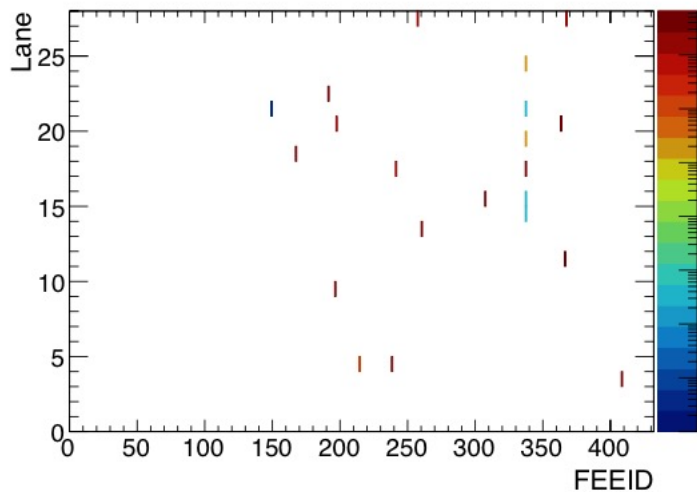
Lane Status Flag: ERROR  
Run 506606



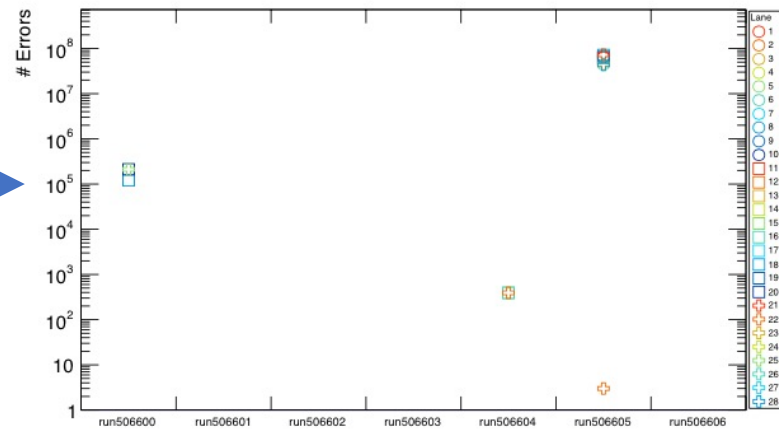
- FEE post-processing offline
- Jeongsu Bok, Jaeyoon Cho
- collecting Lane Status Flags
  - ERROR, FAULT, OK, WARNING
  - To check the trend quickly and easily

Lane Status Flag: ERROR  
Run 506600~506606

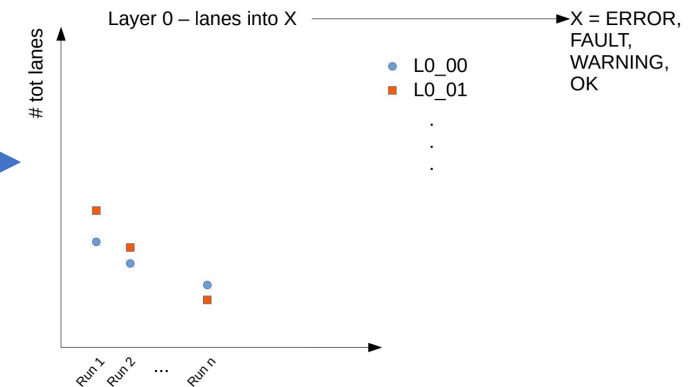
Lane Status Flag Error, from\_run506600\_to\_run506606.root



OB, LaneStatusFlag Error trends from\_run506600\_to\_run506606



Projection to Yaxis(Lane). OB

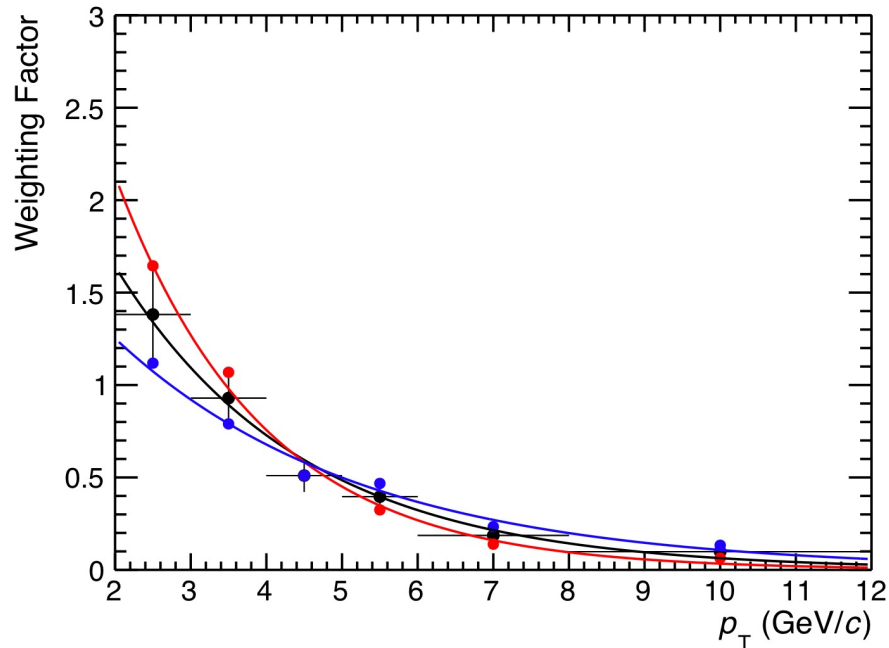


Run

# Backup slides

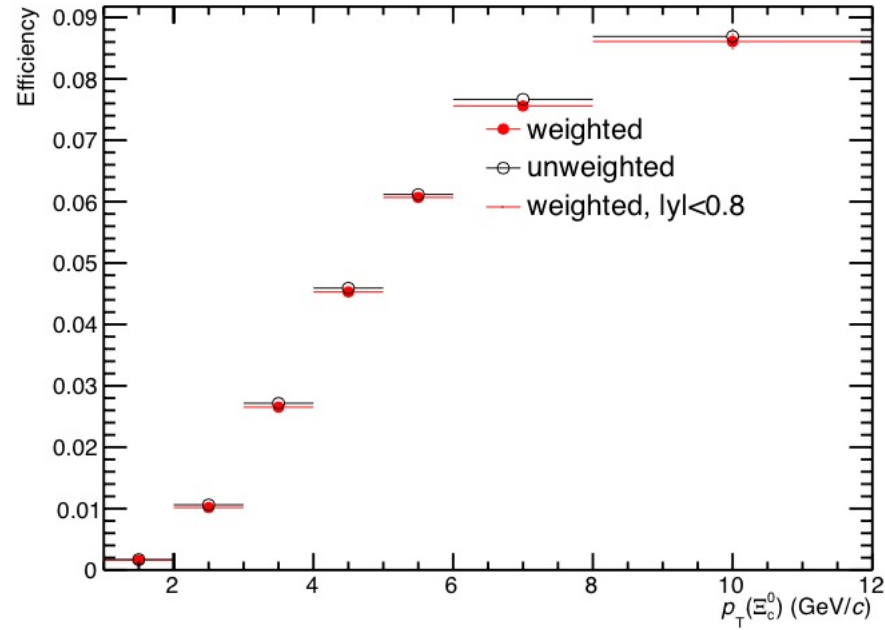


# Weighting Factor



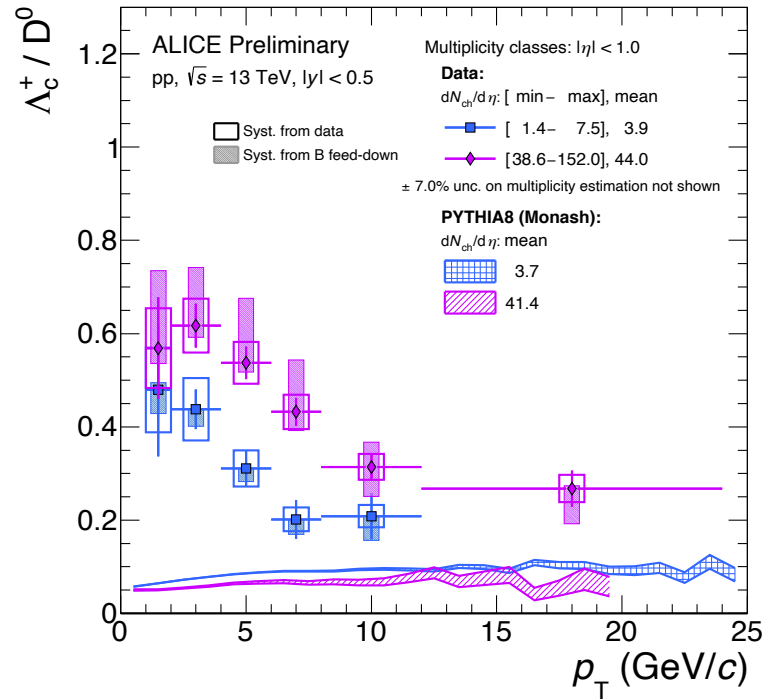
- Match shape of MC and unfolded (unweighted) spectra in  $2 < p_T < 12$ 
  - Normalize within  $2 < p_T < 12$ , fit with exponential, then get the ratio between two functions  $\rightarrow$  weighting factor
- Included in the systematic uncertainty.

# Efficiency

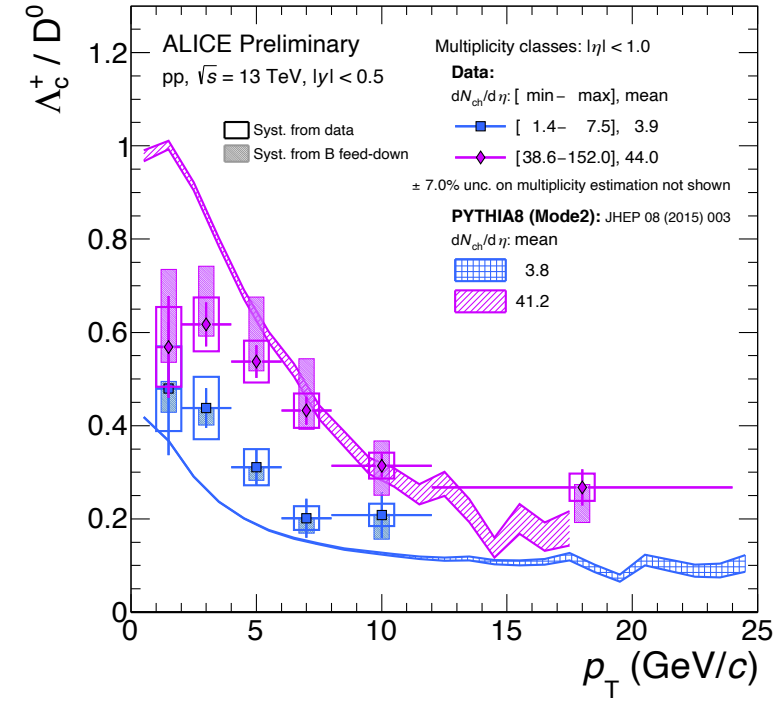


- Weighted, unweighted difference is included in syst.
- Rapidity variation ( $y < 0.5, 0.8$ ) is not included yet.

# Multiplicity dependence of $\Lambda_c^+ / D^0$



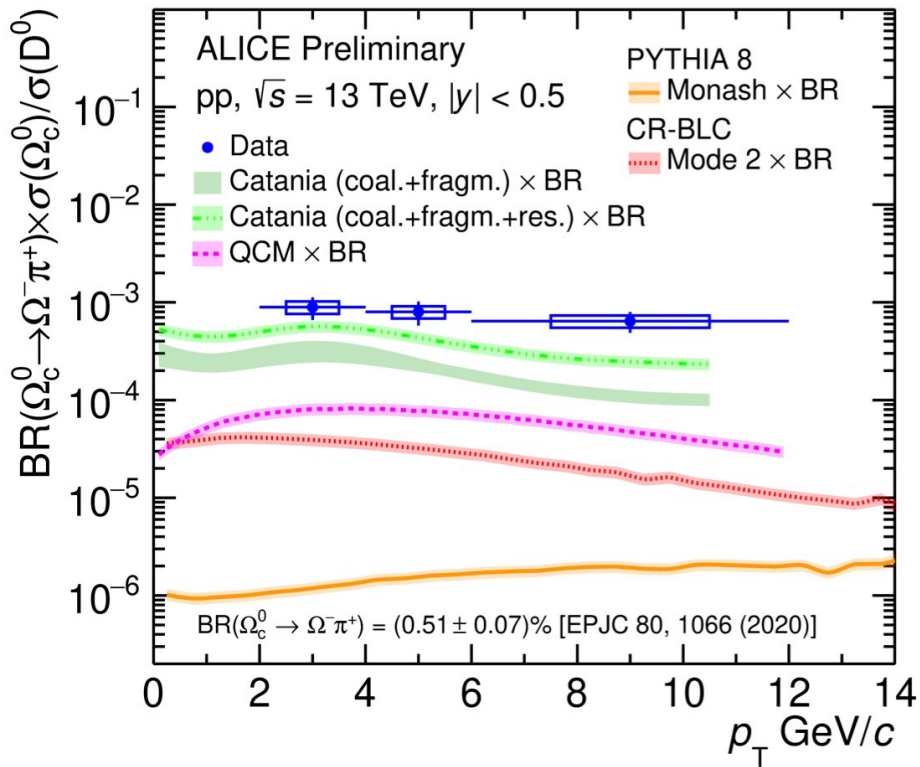
ALI-PREL-336426



ALI-PREL-336434

- Monash tune doesn't reproduce the pattern
- Enhanced CR mechanisms show a good agreement

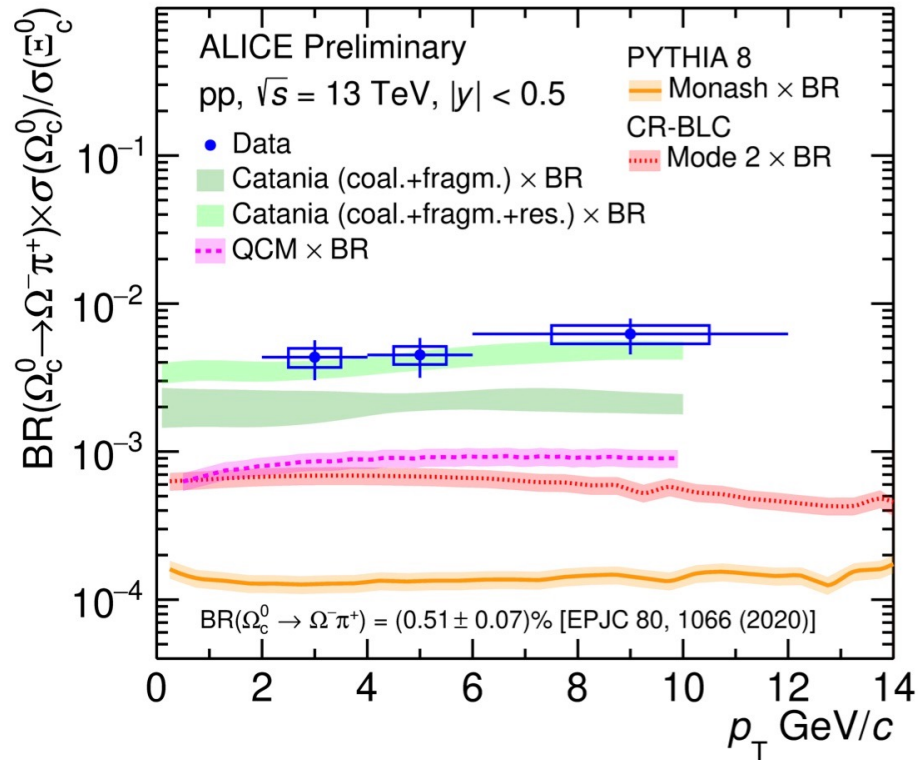
# $\Omega_c^0/D^0$ results in ALICE



ALI-PREL-486632

- $BR \times \Omega_c^0/D^0$  ratio in pp collisions at 13TeV
  - First measurement of  $\Omega_c^0$  production at LHC
  - $BR(\Omega_c^0 \rightarrow \Omega^- \pi^+) = 0.51 \pm 0.07\%$ 
    - Theoretical calculation: *EPJC 80, 1066 (2020)*
- Model comparison
  - **PYTHIA 8 Monash** largely underestimate the measurement.
  - **PYTHIA 8 CR tunes (Mode2)** underestimate the measurement.
  - **Catania** and **QCM**
    - underestimate even though coalescence is included

# Baryon-to-Baryon ratio: $\Omega_c^0/\Xi_c^0$ in ALICE

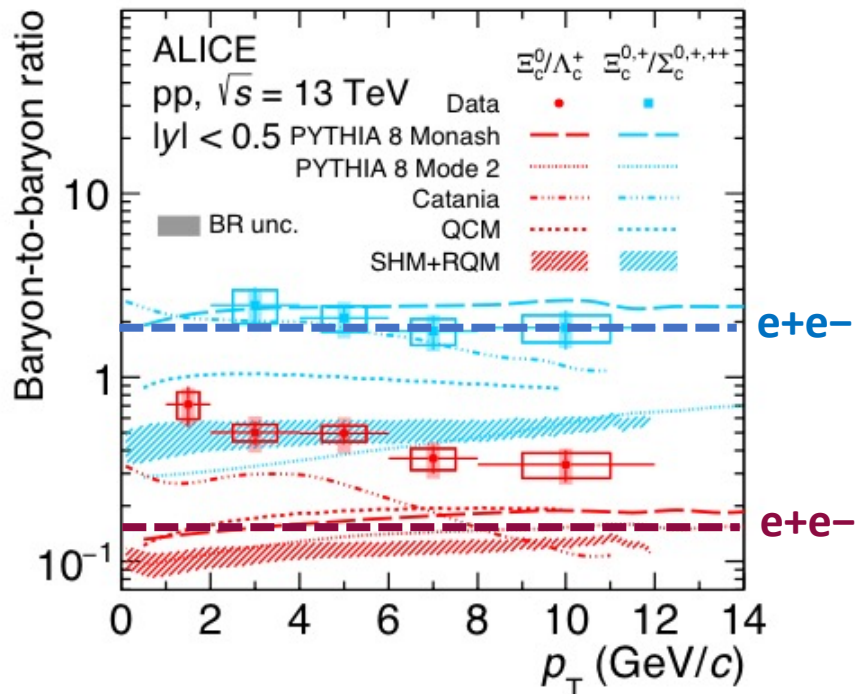


ALI-PREL-486637

- BRx $\Omega_c^0/D^0$  ratio in pp collisions at 13TeV
  - First measurement of  $\Omega_c^0$  production at LHC
  - BR ( $\Omega_c^0 \rightarrow \Omega^- \pi^+$ ) =  $0.51 \pm 0.07\%$ 
    - Theoretical calculation: *EPJC 80, 1066 (2020)*
- Model comparison
  - Largely underestimate the measurement.
    - **PYTHIA 8 Monash**, **PYTHIA 8 CR tunes (Mode2)**, **QCM**
  - Except the **Catania** model
    - considers coalescence, fragmentation, and additional resonance states



# Baryon-to-Baryon ratio: $\Xi_c^0/\Lambda_c^+$ , $\Xi_c^0/\Sigma_c$ in ALICE



arXiv:2105.05187

PYTHIA 8 Monash (*EPJC* 74 (2014) 3024)  
 PYTHIA 8 CR Modes (*JHEP* 08 (2015) 003)  
 SHM (*PLB* 795 (2019) 117-121)  
 RQM (*PRD* 84 (2011) 014025)  
 QCM (*EPJC* 78 no.4, (2018) 344)  
 Catania (arXiv:2012.12001)  
 Belle (*PRD* 97, 072005 (2018))

- $\Xi_c^0/\Lambda_c^+$ ,  $\Xi_c^0/\Sigma_c$  in p+p 13 TeV
- First measurement of charm baryon-to-baryon ratio yields at the LHC.
- Similar enhancement for  $\Xi_c^0$ ,  $\Sigma_c$  with respect to e+e-
- $\Xi_c^0/\Sigma_c$ : Catania describes the magnitude and  $p_T$  shape, Monash describes the magnitude.
  - Both underestimate the ratio

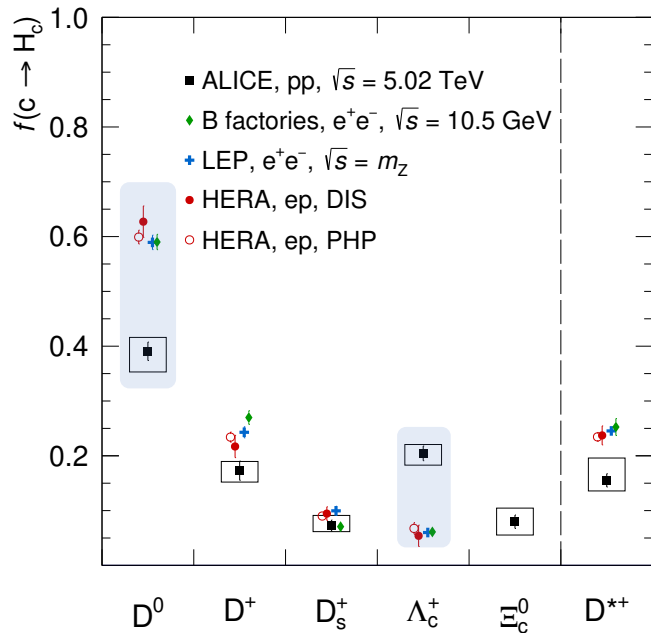
# Charm Fragmentation Fractions in pp collisions at LHC



$H_c$	$f(c \rightarrow H_c)[\%]$
$D^0$	$39.1 \pm 1.7(\text{stat})_{-3.7}^{+2.5}(\text{syst})$
$D^+$	$17.3 \pm 1.8(\text{stat})_{-2.1}^{+1.7}(\text{syst})$
$D_s^+$	$7.3 \pm 1.0(\text{stat})_{-1.1}^{+1.9}(\text{syst})$
$\Lambda_c^+$	$20.4 \pm 1.3(\text{stat})_{-2.2}^{+1.6}(\text{syst})$
$\Xi_c^0$	$8.0 \pm 1.2(\text{stat})_{-2.4}^{+2.5}(\text{syst})$
$D^{*+}$	$15.5 \pm 1.2(\text{stat})_{-1.9}^{+4.1}(\text{syst})$

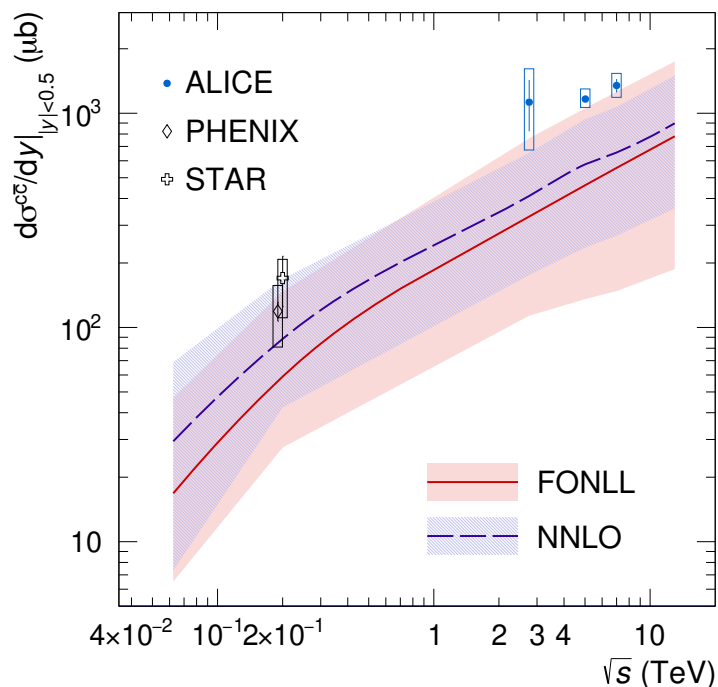
- We measure now all single charm hadron ground states
  - For the first time, fragmentation fraction for the  $\Xi_c^0$  baryon is measured
- Not counting  $D^{*+}$  contribution
  - Feed down into  $D^0, D^+$
- charm fragmentation fractions:
  - Not universal

arXiv:2105.06335



B factories  
 (EPJC 76 no.7, (2016) 397)  
 LEP  
 (EPJC 77 no.1, (2015) 19)  
 HERA  
 (EPJC 76 no.7, (2016) 397)

# Total charm cross section



ALI-PUB-488622

arXiv:2105.06335

STAR (*PRD* 86 (2012) 072013)

PHENIX (*PRC* 84 (2001) 044905)

FONLL (*JHEP* 10 (2012) 137)

NNLO (*PRL* 118 (2017) 12, 122001)

- Charm production cross section at the LHC
- First measurement of charm production cross section per unit of rapidity at midrapidity in pp collisions at 5.02 TeV

$$d\sigma^{c\bar{c}}/dy|_{|y|<0.5} = 1165 \pm 44(\text{stat})_{-101}^{+134}(\text{syst}) \mu\text{b}$$

- According to new charm fragmentation fractions, updated charm cross section in pp 2.76, 7TeV are about 40% higher than previous publications
  - pp 2.76TeV (*JHEP* 07 (2012) 191)
  - pp 7TeV (*EPJC* 77 no. 8, (2017) 550)
- All ALICE results with new charm fragmentation fractions are at the upper edge of the pQCD calculations.