# Et production with ALICE and Ett production with ALICE 3



Jinjoo Seo **Inha University** 

2022. 01. 04

### **2021** KoALICE National Workshop







04 JAN 2021

## **ALICE Overview**

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## **Production of charm hadrons**











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## Hadronisation by fragmentation

![](_page_6_Picture_5.jpeg)

![](_page_6_Picture_9.jpeg)

## **Initial Parton** distribution function

![](_page_7_Figure_3.jpeg)

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## pQCD partonic cross section

## Hadronisation by fragmentation

# $PDF(x_a, \mu_{\rm F})PDF(x_b, \mu_{\rm F}) \otimes \frac{d\sigma^{\rm c}}{dp_{\rm T}^{\rm c}}(x_a, x_b, \mu_{\rm R}, \mu_{\rm F}) \otimes D_{\rm c \to H_c}(z = p_{\rm H_c}/p_c, \mu_{\rm F})$

ALI-DER-493901

![](_page_7_Picture_11.jpeg)

![](_page_7_Picture_13.jpeg)

- $\Xi_c^{0,+}$  measurements in pp collisions at 13 TeV
  - Charm-strange baryon measurements were underestimated by most models.

![](_page_8_Figure_3.jpeg)

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## **E<sup>0,+</sup> measurements in ALICE**

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### • $\Xi_c^{0,+}$ measurements in pp collisions at 13 TeV

- Charm-strange baryon measurements were underestimated by most models.
  - cf)  $D_s^+/(D^0 + D^+)$  (Non-prompt) compatible with expectations from e+e-.
  - $_{2}^{0,+}$ measurements offer new constraints to the model prediction!

![](_page_9_Figure_5.jpeg)

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## **E<sup>0,+</sup> measurements in ALICE**

![](_page_9_Picture_11.jpeg)

# measurements in ALICE

- $\Xi_c^{0,+}$  measurements in pp collisions at 13 TeV
  - Branching-Fraction Ratio of  $\Xi_c^0$ : Total uncertainty was reduced by a factor 3 w.r.t the PDG.

![](_page_10_Figure_3.jpeg)

ALI-PUB-488898

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# measurements in ALICE

- $\Xi_c^{0,+}$  measurements in pp collisions at 13 TeV
  - Branching-Fraction Ratio of  $\Xi_c^0$ : Total uncertainty was reduced by a factor 3 w.r.t the PDG.

![](_page_11_Figure_3.jpeg)

ALI-PUB-488898

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![](_page_11_Picture_8.jpeg)

![](_page_11_Picture_9.jpeg)

• Status of paper

04 Jan 2022

Published 28 December 2021

### Measurement of the Cross Sections of $\Xi_c^0$ and $\Xi_c^+$ Baryons and of the Branching-Fraction Ratio BR $(\Xi_c^0 \to \Xi^- e^+ \nu_e)/BR(\Xi_c^0 \to \Xi^- \pi^+)$ in *pp* Collisions at $\sqrt{s} = 13$ TeV

S. Acharya et al.\* (A Large Ion Collider Experiment Collaboration)

(Received 2 August 2021; revised 18 October 2021; accepted 19 November 2021; published 28 December 2021)

The  $p_T$ -differential cross sections of prompt charm-strange baryons  $\Xi_c^0$  and  $\Xi_c^+$  were measured at midrapidity (|y| < 0.5) in proton-proton (*pp*) collisions at a center-of-mass energy  $\sqrt{s} = 13$  TeV with the ALICE detector at the LHC. The  $\Xi_c^0$  baryon was reconstructed via both the semileptonic decay ( $\Xi^- e^+ \nu_e$ ) and the hadronic decay  $(\Xi^{-}\pi^{+})$  channels. The  $\Xi_{c}^{+}$  baryon was reconstructed via the hadronic decay  $(\Xi^{-}\pi^{+}\pi^{+})$  channel. The branching-fraction ratio  $BR(\Xi_{c}^{0} \rightarrow \Xi^{-}e^{+}\nu_{e})/BR(\Xi_{c}^{0} \rightarrow \Xi^{-}\pi^{+}) = 1.38 \pm$  $0.14(\text{stat}) \pm 0.22(\text{syst})$  was measured with a total uncertainty reduced by a factor of about 3 with respect to the current world average reported by the Particle Data Group. The transverse momentum  $(p_T)$ dependence of the  $\Xi_c^0$ - and  $\Xi_c^+$ -baryon production relative to the  $D^0$  meson and to the  $\Sigma_c^{0,+,++}$ - and  $\Lambda_c^+$ baryon production are reported. The baryon-to-meson ratio increases toward low  $p_T$  up to a value of approximately 0.3. The measurements are compared with various models that take different hadronization mechanisms into consideration. The results provide stringent constraints to these theoretical calculations and additional evidence that different processes are involved in charm hadronization in electron-positron  $(e^+e^-)$  and hadronic collisions.

DOI: 10.1103/PhysRevLett.127.272001

## measurements in ALICE

PHYSICAL REVIEW LETTERS 127, 272001 (2021)

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![](_page_13_Figure_1.jpeg)

![](_page_14_Figure_1.jpeg)

![](_page_15_Figure_1.jpeg)

![](_page_16_Figure_1.jpeg)

- Large mass of the charm quarks ( $m_c \sim 1274 \text{MeV}/c^2$ )  $\rightarrow$  Produce initial hard scattering process • Strong probe to detect the QGP formation and to study the hadronisation mechanism in the medium

![](_page_17_Figure_4.jpeg)

## ALICE 3 Physics goal

![](_page_17_Figure_8.jpeg)

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- Large mass of the charm quarks ( $m_c \sim 1274 \text{MeV}/c^2$ )  $\rightarrow$  Produce initial hard scattering process • Strong probe to detect the QGP formation and to study the hadronisation mechanism in the medium

![](_page_18_Figure_4.jpeg)

## ALICE 3 Physics goal

Jinjoo Seo - KoALICE

- Large mass of the charm quarks ( $m_c \sim 1274 \text{MeV}/c^2$ )  $\rightarrow$  Produce initial hard scattering process • Strong probe to detect the QGP formation and to study the hadronisation mechanism in the medium

![](_page_19_Figure_4.jpeg)

## ALICE 3 Physics goal

Jinjoo Seo - KoALICE

- Large mass of the charm quarks ( $m_c \sim 1274 \text{MeV}/c^2$ )  $\rightarrow$  Produce initial hard scattering process • Strong probe to detect the QGP formation and to study the hadronisation mechanism in the medium

![](_page_20_Figure_4.jpeg)

## ALICE 3 Physics goal

Jinjoo Seo - KoALICE

- Large mass of the charm quarks ( $m_c \sim 1274 \text{MeV}/c^2$ )  $\rightarrow$  Produce initial hard scattering process • Strong probe to detect the QGP formation and to study the hadronisation mechanism in the medium

![](_page_21_Figure_4.jpeg)

## **ALICE 3 Physics goal**

![](_page_22_Figure_1.jpeg)

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![](_page_22_Picture_5.jpeg)

![](_page_23_Figure_1.jpeg)

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![](_page_23_Picture_5.jpeg)

### ~1% over full η range

![](_page_24_Figure_2.jpeg)

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![](_page_24_Picture_6.jpeg)

![](_page_25_Figure_2.jpeg)

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![](_page_25_Picture_6.jpeg)

![](_page_26_Figure_1.jpeg)

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![](_page_27_Figure_1.jpeg)

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![](_page_27_Picture_4.jpeg)

![](_page_28_Figure_1.jpeg)

![](_page_28_Picture_3.jpeg)

![](_page_29_Figure_1.jpeg)

## Excellent e/π separation

![](_page_29_Figure_6.jpeg)

# $\Xi_{cc}^{++}$ Performance study with ML

- Analysis Task
  - **O2Physics** : hf-tree-creator-xicc-topkipipi (hf-task-xicc)

![](_page_30_Figure_5.jpeg)

![](_page_30_Figure_6.jpeg)

![](_page_30_Figure_7.jpeg)

# $\Xi_{cc}^{++}$ Performance study with ML

- Analysis Task
  - **O2Physics** : hf-tree-creator-xicc-topkipipi (hf-task-xicc)
- ML package
  - **hipe4ml** : <u>https://github.com/hipe4ml/hipe4ml</u>
    - BDT algorithm : XGBoost

trackextension

![](_page_31_Figure_9.jpeg)

![](_page_31_Figure_10.jpeg)

# $\Xi_{cc}^{++}$ Performance study with ML

- Analysis Task
  - **O2Physics :** hf-tree-creator-xicc-topkipipi (hf-task-xicc)
- ML package
  - hipe4ml : <u>https://github.com/hipe4ml/hipe4ml</u>
    - BDT algorithm : XGBoost
- Input sample
  - Signal :  $\Xi_{cc}^{++}$  enhanced MC; generated by DelphesO2
  - Background : pp 14TeV MB MC; on the AliHyperloop

ぼ +/-	HF O2 developments for ALICE3 pp C	)pen HF 2.0 T					
Analyzers:	apalasci, dthomas, fcolamar, fgrosa, ginn	ocen,jseo,ldellos	t,pchrist,skun	du, strogolo, vl	kucera 📋		
Package:	O2Physics::nightly-20211227-1				or newer tags	Future t	ag based
	Wagon	LHC21d9	LHC21d9	LHC21d9i	LHC21d9f	LHC21d9	LHC2 <sup>2</sup>
hf-task-xico		×	×	🔽 🗵	×	×	×
hf-track-ind	dex-skims-creator-2-3-prong-openhf	×	×	×	×	×	×
						••	
hf-tree-cre	ator-xicc-topkpipi 📫	×	×	<b>V X</b>	×	×	×

trackextension

![](_page_32_Figure_11.jpeg)

![](_page_32_Figure_12.jpeg)

### • Signal vs background distribution ( $2 \le p_T < 4 \, \text{GeV}/c$ )

![](_page_33_Figure_2.jpeg)

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## Signal vs Background

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## **BDT model output**

### • Feature importance $(2 \le p_T < 4 \,\text{GeV}/c)$

- Cosine of pointing angle of  $\Xi_{cc}^{++}$  is critical to separate the signal and background.

![](_page_34_Figure_3.jpeg)

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1.2 0.8 1.0 mean(|SHAP value|) (average impact on model output magnitude)

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## **BDT model output**

- Model output  $(2 \le p_{\rm T} < 4 \,{\rm GeV}/c)$ 
  - **BDT output :** Kind of the probability of signal
    - 0.999 BDT output cut is applied to separate the signal and background.

![](_page_35_Figure_4.jpeg)

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![](_page_35_Picture_9.jpeg)

![](_page_36_Picture_0.jpeg)

### • Performance study : $\Xi_{cc}^{++}$ on non-strangeness decay

- Efficiency : Increases with  $p_T$  due to higher momentum  $\pi^+$
- Significance

### arXiv:hep-ph/9710339

- Large uncertainty on production cross section(factor 200) and branching ratio(factor 5)

![](_page_36_Figure_7.jpeg)

## Results

![](_page_36_Figure_9.jpeg)

• Signal/event : Theoretical expectation(cross section, 39 nb) + PYTHIA 8 ( $p_T$  shape) + efficiency + BR(0.03%)

![](_page_36_Picture_22.jpeg)

## Summary of 2021 Activity

- $\Xi_c^{0,+}$  production in pp collisions at 13 TeV
  - Thanks a lot for all of your help!

### PHYSICAL REVIEW LETTERS **127**, 272001 (2021)

Measurement of the Cross Sections of  $\Xi_c^0$  and  $\Xi_c^+$  Baryons and of the Branching-Fraction Ratio BR $(\Xi_c^0 \to \Xi^- e^+ \nu_e)/BR(\Xi_c^0 \to \Xi^- \pi^+)$  in pp Collisions at  $\sqrt{s} = 13$  TeV

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DOI: 10.1103/PhysRevLett.127.272001

Measurements of heavy-flavor hadron production in high-energy proton-proton (pp) collisions provide important tests of quantum chromodynamics (QCD). The cross sections of heavy-flavor hadrons are usually computed using the factorization approach as a convolution of three factors [1]: (i) the parton distribution functions of the incoming protons, (ii) the hard-scattering cross section at partonic level, and (iii) the fragmentation function of heavy quarks into a given heavy-flavor hadron. The D- and *B*-meson cross sections in pp collisions at several center-of-mass energies at the LHC [2-7] are described within uncertainties by perturbative QCD calculations [8-12], which use fragmentation functions tuned on  $e^+e^-$  data, over a wide range of transverse momentum  $(p_T)$ . Measurements of  $\Lambda_c^+$ -baryon production at midrapidity in pp collisions at the center-of-mass energy  $\sqrt{s} = 5.02$ and 7 TeV were reported by the ALICE and CMS Collaborations in Refs. [13–15]. The measured  $\Lambda_c^+/D^0$ ratio is higher than previous measurements in  $e^+e^-$  [16–18] and  $e^{-}p$  [19,20] collisions. A similar observation was drawn from the measurement of the inclusive  $\Xi_c^0$ -baryon

production at midrapidity in pp collisions at  $\sqrt{s} =$ 7 TeV [21].

PYTHIA8.2 tunes including string formation beyond the leading-color approximation [22] and a statistical hadronization model (SHM) [23] including a set of higher-mass charm-baryon states as prescribed by the relativistic quark model (RQM) and from lattice QCD [24,25] qualitatively describe the measured  $\Sigma_c^{0,+,++}/D^0$  and  $\Lambda_c^+/D^0$  cross section ratios [15,26], but underestimate the  $\Xi_c^0/D^0$  ratio [21]. The observed enhancement of the charm-baryon production can also be explained by model calculations considering hadronization of charm quarks via coalescence in addition to the fragmentation in pp collisions [27,28]. The increased vield of charm baryons makes it mandatory to include their contribution for an accurate measurement of the  $c\bar{c}$  production cross section in pp collisions at the LHC [29].

In this Letter, the measurements of the cross sections of the prompt (i.e., produced directly in the hadronization of charm quarks and in the decays of directly produced excited charm states) charm-strange baryons  $\Xi_c^0$  and  $\Xi_c^+$ at midrapidity (|y| < 0.5) in pp collisions at  $\sqrt{s} = 13$  TeV are reported. The  $\Xi_c^0$  baryon was reconstructed via the decay channels  $\Xi^- e^+ \nu_e$ , BR =  $(1.8 \pm 1.2)\%$  and  $\Xi^- \pi^+$ ,  $BR = (1.43 \pm 0.32)\%$  [30] together with their charge conjugates in the interval  $1 < p_T < 12 \text{ GeV}/c$ . The  $\Xi_c^+$ baryon was reconstructed via the decay channel  $\Xi^-\pi^+\pi^+$ ,  $BR = (2.86 \pm 1.21 \pm 0.38)\%$  [31], together with its charge conjugate, in the interval  $4 < p_T < 12 \text{ GeV}/c$ .

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<sup>&</sup>lt;sup>\*</sup>Full author list given at the end of the article.

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## Summary of 2021 Activity

### • $\Xi_c^{0,+}$ production in pp collisions at 13 TeV

• Thanks a lot for all of your help!

### • $\Xi_{cc}^{++}$ Performance study

- O2Physics
  - Develop analysis workflow
- ALICE 3 Lol
  - Still working on until final review
  - Open for comments: until 21 January
  - Final LHCC Review : 21 February

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### EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH

![](_page_38_Picture_13.jpeg)

![](_page_38_Picture_14.jpeg)

CERN-LHCC-202 ALICE-PUBLIC-2021-xxx

Letter of Intent: ALICE 3

Draft v3 ALICE Collaboration

\*See Appendix ?? for the list of collaboration members

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![](_page_38_Picture_29.jpeg)

![](_page_39_Picture_0.jpeg)

## Back up

And I have been

![](_page_39_Picture_3.jpeg)

### - Unfolding

### Unfolding

- The  $p_{T}$  of e  $\equiv$  pairs is corrected for the missing momentum of the neutrino using unfolding techniques.
- Convergence of the Bayesian unfolding is achieved after three iterations.

![](_page_40_Figure_5.jpeg)

**ALI-PREL-344791** 

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![](_page_40_Picture_9.jpeg)

![](_page_40_Figure_12.jpeg)

## **ALICE 3 Physics needs**

![](_page_41_Figure_1.jpeg)

### Luminosity

- In pp collisions : O(10-100) fb<sup>-1</sup>
- In AA collision : O(10) fb<sup>-1</sup>

### Tracking/Vertexing

- Primary/secondary vertex resolution and  $d_0$  : O (1)  $\mu m$
- $p_{\rm T}$  reach down to ~ 100 MeV
- $J/\psi$  reconstruction down to 0 GeV
  - σ(p<sub>T</sub>) is probably critical here!
- Particle Identification
  - Hadron PID : p ~ 0.1 to 2-3 GeV/c
  - Lepton PID : p ~ 0.7-1 to 5-10 GeV/c

### • Both $\sigma(p_T)$ and $\sigma(d_0)$ matters!

![](_page_41_Figure_17.jpeg)

![](_page_41_Picture_19.jpeg)

![](_page_42_Figure_1.jpeg)

![](_page_42_Picture_3.jpeg)

## **ML Preselection & Training variable**

### Pre-selection and Training variable

- Very loose cuts
  - InSigmaTOFI < 3 & InSigmaTOFCombinedI < 5 are already applied to reduce the tree output size
    - NOT considered nSigmaTOF and nSigmaTOFCombined as training variable -> will be added

### Preselection

![](_page_43_Figure_6.jpeg)

### Training variable

```
("fDecayLength", &fDecayLength);
("fDecayLengthXY", &fDecayLengthXY);
("fDecayLengthNormalised", &fDecayLengthNormalised);
("fDecayLengthXYNormalised", &fDecayLengthXYNormalised);
("fImpactParameterNormalised0", &fImpactParameterNormalised0);
("fPtProng0", &fPtProng0);
("fPProng0", &fPProng0);
("fImpactParameterNormalised1", &fImpactParameterNormalised1);
("fPtProng1", &fPtProng1);
("fPProng1", &fPProng1);
("fImpactParameter0", &fImpactParameter0);
("fImpactParameter1", &fImpactParameter1);
("fErrorImpactParameter0", &fErrorImpactParameter0);
("fErrorImpactParameter1", &fErrorImpactParameter1);
("fCPA", &fCPA);
("fCPAXY", &fCPAXY);
```

![](_page_43_Picture_22.jpeg)

### • Signal vs background distribution ( $2 \le p_T < 4 \, \text{GeV}/c$ )

![](_page_44_Figure_2.jpeg)

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## Signal vs Background

![](_page_44_Figure_6.jpeg)

## BDT model output

![](_page_45_Figure_1.jpeg)

![](_page_45_Figure_2.jpeg)

![](_page_45_Picture_3.jpeg)

![](_page_46_Figure_1.jpeg)

![](_page_46_Figure_2.jpeg)

![](_page_46_Figure_3.jpeg)

## **ROC curve**

![](_page_46_Figure_5.jpeg)

## Multi-HF hadron

![](_page_47_Figure_1.jpeg)

$$\begin{aligned} \Xi_{cc}^{++} &\to \Xi_{c}^{+} + \pi^{+} \\ & \Xi_{c}^{+} \to p + K^{-} + \pi^{+} \end{aligned}$$

![](_page_47_Figure_5.jpeg)

$$\begin{split} \Omega_{ccc}^{++} &\to \Omega_{cc}^{+} + \pi^{+} & & \\ \Omega_{cc}^{+} &\to \Omega_{c}^{0} + \pi^{+} & & 5\% \\ \Omega_{c}^{0} &\to \Omega^{-} + \pi^{+} & & 5\% \\ \Omega^{-} &\to \Lambda + K^{-} & & 67.8\% \\ \Lambda &\to p + \pi^{-} & & 63.9\% \\ 0.0054\% \end{split}$$

![](_page_47_Picture_7.jpeg)

### PYTHIA 8 with Colour Reconnection (CR) tunes JHEP 08 (2015) 003

- Colour reconnection mode with QCD SU(3) algebra + string-length minimization
- Junction connection topologies enhance baryon formation
- Mode parameters : string reconnection, connection causality of dipoles, time dilation

![](_page_48_Figure_5.jpeg)

• Partons created in different MPIs do not interact each other

![](_page_48_Figure_7.jpeg)

- CR allowed between partons from different MPIs to minimize the string length
- Used in Monash tune

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- Minimization of string length over all possible configurations
- Enhancement of hadrons
- Used in CR mode X tunes

![](_page_48_Picture_16.jpeg)

![](_page_48_Picture_17.jpeg)

![](_page_48_Picture_19.jpeg)

### PYTHIA 8 with Colour Reconnection (CR) tunes JHEP 08 (2015) 003

- Colour reconnection mode with QCD SU(3) algebra + string-length minimization
- Junction connection topologies enhance baryon formation
- Mode parameters : string reconnection, connection causality of dipoles, time dilation
- Statistical Hadronisation Model (SHM) + additional baryon states PLB 795 (2019) 117-121
  - **PDG** : 5  $\Lambda_c$  (I=0), 3  $\Sigma_c$  (I=1), 8  $\Xi_c$  (I=1/2), 2  $\Omega_c$  (I=0)
  - RQM (Relativistic Quark Model) : Add 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$  PRD 84 (2011) 014025

$n_i \ (\cdot 10^{-4} \ {\rm fm}^{-3})$	$D^0$	$D^+$	$D^{*+}$	$D_s^+$	$\Lambda_c^+$	$\Xi_c^{+,0}$	$\Omega_c^0$
PDG(170)	1.161	0.5098	0.5010	0.3165	0.3310	0.0874	0.0064
PDG(160)	0.4996	0.2223	0.2113	0.1311	0.1201	0.0304	0.0021
RQM(170)	1.161	0.5098	0.5010	0.3165	0.6613	0.1173	0.0144
RQM(160)	0.4996	0.2223	0.2113	0.1311	0.2203	0.0391	0.0044

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- Quark Recombination Mechanism (QCM) EPJC 78 no.4, (2018) 344
  - Combination of charm quarks with co-moving light quarks

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![](_page_50_Figure_13.jpeg)

![](_page_50_Picture_14.jpeg)

### PYTHIA 8 with Colour Reconnection (CR) tunes JHEP 08 (2015) 003

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- Quark Recombination Mechanism (QCM) EPJC 78 no.4, (2018) 344
  - Combination of charm quarks with co-moving light quarks
- Catania model arXiv:2012.12001
  - Coalescence process of heavy quarks with light quark based on the Wigner formalism + fragmentation process • Blast wave parametrization for light quarks spectra, FONLL calculation for heavy quarks spectra

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![](_page_51_Picture_16.jpeg)

![](_page_51_Picture_26.jpeg)

Parameter StringPT:sigma StringZ:aLund StringZ:bLund StringFlav:probQQtoQ StringFlav:ProbStoUD

StringFlav:probQQ1toQQ0join

MultiPartonInteractions:pT0Ref BeamRemnants:remnantMode BeamRemnants:saturation ColourReconnection:mode ColourReconnection:allowDoubleJunRem ColourReconnection:m0 ColourReconnection: allowJunctions ColourReconnection:junctionCorrection ColourReconnection:timeDilationMode ColourReconnection:timeDilationPar

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## PYTHIA

	Monash	Mode 0	Mode 2	Mode 3
	= 0.335	= 0.335	= 0.335	= 0.335
	= 0.68	= 0.36	= 0.36	= 0.36
	= 0.98	= 0.56	= 0.56	= 0.56
	= 0.081	= 0.078	= 0.078	= 0.078
	= 0.217	= 0.2	= 0.2	= 0.2
	= 0.5,	= 0.0275,	= 0.0275,	= 0.0275,
	0.7,	0.0275,	0.0275,	0.0275,
	0.9,	0.0275,	0.0275,	0.0275,
	1.0	0.0275	0.0275	0.0275
	= 2.28	= 2.12	= 2.15	= 2.05
	= 0	= 1	= 1	= 1
	-	= 5	= 5	= 5
	= 0	= 1	= 1	= 1
n	= on	= off	= off	= off
	-	= 2.9	= 0.3	= 0.3
	-	= on	= on	= on
	-	= 1.43	= 1.20	= 1.15
	-	= 0	= 2	= 3
	-	-	= 0.18	= 0.073

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