

Ambiguities and Divergences in RG Functions

Anders Eller Thomsen

Based on F. Herren, AET [2104.07037]

*Asymptotic Safety meets Particle Physics & Friends,
16 December 2021*

The logo of the University of Bern, featuring a stylized lowercase 'u' with a superscript 'b' to its upper right.

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Introduction

Flavorful trouble in the RG

Renormalization group flow

Callan–Symanzik equation for renormalized n -point functions:

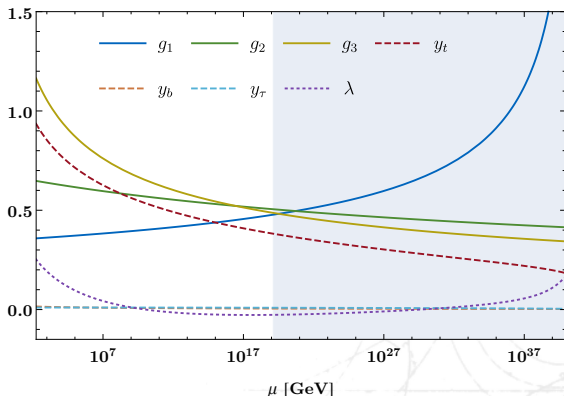
$$\left(\frac{\partial}{\partial t} + \beta_g \frac{\partial}{\partial g} + n\gamma \right) G^{(n)}(\{p\}) = 0, \quad \beta_I(g_I) = \frac{d}{dt} g_I \equiv \frac{d}{d \ln \mu} g_I$$

Renormalization group flow

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SM RG flow with 3rd generation Yukawa couplings:

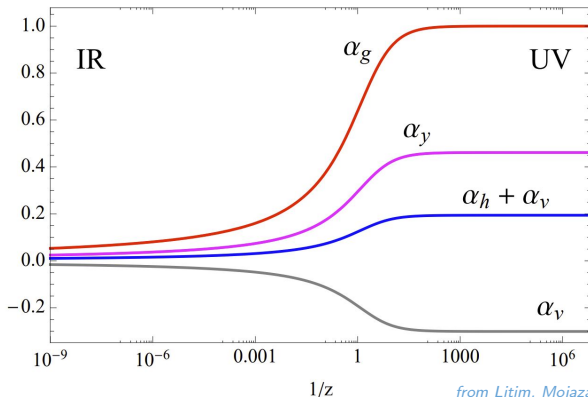


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Asymptotic safety in the Litim–Sannino model:



from Litim, Mojaza, Sannino [1501.03061]

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- The SM (and its extensions) has nontrivial flavor structure (matrix couplings)
- Improved precision necessitates their inclusion in the RG function
- Their inclusion causes new conceptual problems starting at 3-loop order:
 - The RG flow can generate spurious limit cycles
 - The $\overline{\text{MS}}$ counterterms are no longer uniquely defined
 - *RG functions can seemingly be divergent!*

Flavor symmetry in the SM

The quark sector of the SM,

$$\mathcal{L} = i\bar{q}\not{D}q + i\bar{u}\not{D}u + i\bar{d}\not{D}d + |D_\mu H|^2 - (\bar{q}y_u u\tilde{H} + \bar{q}y_d dH + \text{H.c.}),$$

has flavor symmetry (maximal symmetry of the kinetic terms)

$$G_F = \text{SU}(3)_q \times \text{SU}(3)_u \times \text{SU}(3)_d \times \text{U}(1)^3 \supset \text{U}(1)_B$$

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Physics is invariant under transformations

$$\left. \begin{aligned} y_u &\longrightarrow U_q y_u U_u^\dagger \\ y_d &\longrightarrow U_q y_d U_d^\dagger \end{aligned} \right\} \text{e.g., } (y_u, y_d) \longrightarrow (V_{\text{CKM}}^\dagger \hat{y}_u, \hat{y}_d)$$

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If flavor transformations are unphysical, one can perform arbitrary flavor rotations along the RG flow...

The Local RG

An excellent tool for RG analysis

Four-dimensional QFT

Most general renormalizable theory in 4D (ignoring relevant couplings):

$$\begin{aligned}\mathcal{L} = & +\frac{1}{2}(D_\mu\phi)_a(D^\mu\phi)_a + i\psi_i^\dagger\bar{\sigma}^\mu(D_\mu\psi)^i + \mathcal{L}_{\text{gh}} + \mathcal{L}_{\text{gf}} \\ & -\frac{1}{4}a_{AB}^{-1}F_{\mu\nu}^A F^{B\mu\nu} - \frac{1}{2}(Y_{aij}\psi^i\psi^j + \text{H.c.})\phi_a - \frac{1}{24}\lambda_{abcd}\phi_a\phi_b\phi_c\phi_d\end{aligned}$$

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Compactly, the action is

$$S = S_{\text{kin}}[\Phi] + \int d^d x \left(\underbrace{g_I \mathcal{O}^I(x)}_{\text{set of all marginal couplings}} + \underbrace{\mathcal{J}_\alpha \Phi^\alpha}_{\text{all field sources}} \right)$$

The vacuum functional

$$e^{i\mathcal{W}[\mathcal{J}]} = \int [\mathcal{D}\Phi] e^{iS[\Phi, \mathcal{J}]}$$

generates all the connected n -point functions.

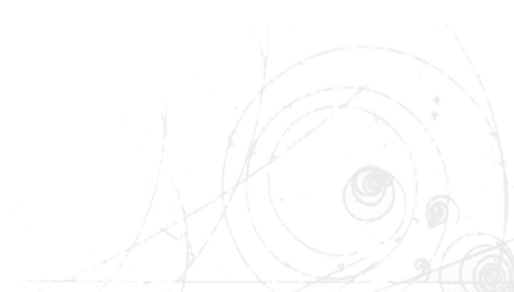
Everything is a source

LRG was developed to probe the trace anomaly by introducing new sources:

Shore '87; Jack, Osborn '90; Osborn '91; Jack, Osborn [1312.0428]; Baume, Keren-Zur, Rattazzi, Vitale [1401.5983]

$$[T^\mu{}_\mu] = \beta_I[\mathcal{O}^I] + v \cdot \partial_\mu [J_F^\mu]$$

stress-energy tensor flavor current; $J_F^\mu \in \mathfrak{g}_F$

$$\begin{cases} T_{\mu\nu} : & \eta_{\mu\nu} \rightarrow \gamma_{\mu\nu}(x) \\ \mathcal{O}^I : & g_I \rightarrow g_I(x) \\ J_F^\mu : & D_\mu \rightarrow D_\mu - a_\mu(x) \end{cases}$$


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All renormalization is source renormalization,

$$S = S_{\text{kin}}[\Phi, \gamma, a_0] + \int d^d x \sqrt{\gamma} (g_{0,I} \mathcal{O}^I + \mathcal{J}_{0,\alpha} \Phi^{\alpha}) + S_{\text{ct}}[\gamma, g_0, a_0],$$

so the renormalized vacuum functional is

$$\mathcal{W}[\gamma, g, a, \mathcal{J}] = \mathcal{W}_0[\gamma, g_0(g), a_0(a, g), \mathcal{J}_0(\mathcal{J}, g)]$$

Weyl transformation

S is symmetric under the Weyl symmetry, with infinitesimal generator

$$\Delta_{\sigma}^W = \int d^d x \left(2\sigma \gamma^{\mu\nu} \frac{\delta}{\delta \gamma^{\mu\nu}} - \underbrace{\sigma \beta_I}_{\beta\text{-function}} \frac{\delta}{\delta g_I} + \sigma \mathcal{J}_{\beta} \left[(d - \Delta_{\alpha}) \delta^{\beta}_{\alpha} - \underbrace{\gamma^{\beta}_{\alpha}}_{\text{field anomalous dimension}} \right] \frac{\delta}{\delta \mathcal{J}_{\alpha}} + \left[\partial_{\mu} \sigma \underbrace{v}_{\text{RGs of the } G_F \text{ current}} - \sigma D_{\mu} g_I \underbrace{\rho^I}_{\text{RGs of the } G_F \text{ current}} \right] \cdot \frac{\delta}{\delta a_{\mu}} \right)$$

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RGs of the G_F current; $v, \rho^I \in \mathfrak{g}_F$

The symmetry is anomalous ($\Delta_\sigma^W S_{ct} \neq 0$)

$$\Delta_\sigma^W \mathcal{W} = \int d^d x \mathcal{A}_\sigma^W(\gamma, g, a)$$

Δ_σ^W contains the trace anomaly equation

$$[T^\mu_\mu] = \beta_I [\mathcal{O}^I] + v \cdot \partial_\mu [J_F^\mu] - \eta_a \partial^2 [\mathcal{O}_M^a] \quad (\text{FSCC})$$

Flat-space constant-coupling limit:

$$\gamma_{\mu\nu}(x) = \eta_{\mu\nu}, \quad g_I(x) = g_I, \quad a_\mu = 0$$

RG transformation

Accounting identity for mass dimension:

$$\Delta^\mu \mathcal{W} = 0, \quad \Delta^\mu = \mu \frac{\partial}{\partial \mu} + \int d^d x \left(2\gamma^{\mu\nu} \frac{\delta}{\delta \gamma_{\mu\nu}} + (d - \Delta_\alpha) \mathcal{J}_\alpha \frac{\delta}{\delta \mathcal{J}_\alpha} \right)$$

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The generator of the RG is $\Delta^{\text{RG}} = \Delta^\mu - \Delta_{\sigma=1}^W$, from which we recover the *CS equation*

$$0 = \Delta^{\text{RG}} \mathcal{W} = \left(\frac{\partial}{\partial t} + \beta_I \partial^I + \int d^d x \mathcal{J}_\beta \gamma^\beta \alpha \frac{\delta}{\delta \mathcal{J}_\alpha} \right) \mathcal{W} \quad (\text{FSCC})$$

Exactly what we would get from $\frac{d\mathcal{W}}{dt} = 0$:

$$\left(\frac{\partial}{\partial t} + \beta_g \frac{\partial}{\partial g} + n\gamma \right) G^{(n)}(\{p\}) = 0$$

Flavor transformations

G_F is a symmetry of S with generator

$$\Delta_\omega^F = \int d^d x \left(D_\mu \omega \cdot \frac{\delta}{\delta a_\mu} - (\omega g)_I \frac{\delta}{\delta g_I} - (\omega \mathcal{J})_\alpha \frac{\delta}{\delta \mathcal{J}_\alpha} \right), \quad \omega \in \mathfrak{g}_F,$$

but it is typically anomalous:

Keren-Zur [1406.0869]

$$\Delta_\omega^F \mathcal{W} = \int d^d x \mathcal{A}_\omega^F(\gamma, g, a)$$

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The Weyl generator can be combined with a flavor rotation to generate a class of Weyl symmetries:

$$\Delta_\sigma^{W'} = \Delta_\sigma^W + \Delta_{\sigma\alpha}^F, \quad \alpha(g) \in \mathfrak{g}_F,$$

$$[\Delta_\omega^F, \Delta_\sigma^{W'}] = [\Delta_\sigma^{W'}, \Delta_{\sigma'}^{W'}] = 0, \quad \Delta_\sigma^{W'} \mathcal{W} = \int d^d x \mathcal{A}_\sigma^{W'}$$

An ambiguity in the RG

Ambiguity in RG functions defined by the Weyl transformation:

$$\beta'_I = \beta_I + (\alpha g)_I, \quad v' = v + \alpha, \quad \rho'^I = \rho^I - \partial^I \alpha, \quad \gamma'^{\alpha\beta} = \gamma^{\alpha\beta} - \alpha^{\alpha\beta}.$$

\implies *The RG flow has a flavor rotation ambiguity*

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Flavor-improved RG functions are invariant:

$$B_I = \beta_I - (v g)_I, \quad P^I = \rho^I + \partial^I v, \quad \Gamma^\alpha{}_\beta = \gamma^\alpha{}_\beta + v^\alpha{}_\beta.$$

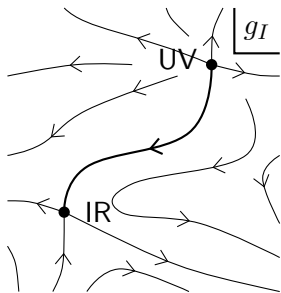
We can choose a “gauge” where $v = 0$:

$$\begin{aligned} \widehat{\Delta}_\sigma^W = \Delta_\sigma^W + \Delta_{-\sigma v}^F = & \int d^d x \left(2\sigma \gamma^{\mu\nu} \frac{\delta}{\delta \gamma^{\mu\nu}} - \sigma B_I \frac{\delta}{\delta g_I} \right. \\ & \left. + \sigma \mathcal{J}_\beta [(d - \Delta_\alpha) \delta^\beta{}_\alpha - \Gamma^\beta{}_\alpha] \frac{\delta}{\delta \mathcal{J}_\alpha} - \sigma D_\mu g_I P^I \cdot \frac{\delta}{\delta a_\mu} \right), \end{aligned}$$

But generally $B_I \neq \frac{dg_I}{dt} \dots$

How to recognize a CFT

Fixed Points

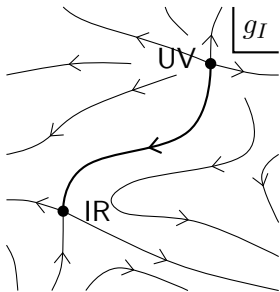


Traditionally CFTs were understood to be FPs:

$$[T^\mu{}_\mu] = \beta_I[\mathcal{O}^I] = 0$$

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Limit Cycles



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ignores J_F^μ

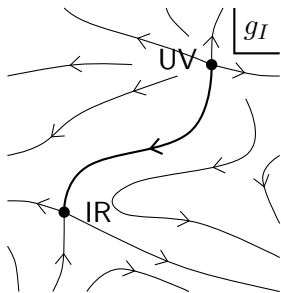
Limit cycles are actually CFTs

Fortin, Grinstein, Stergiou [1206.2921, 1208.3674]

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B_I is a more physical β -function

Divergences and Ambiguities

Does \overline{MS} break down at higher loop order?

How to compute RG functions

In $\overline{\text{MS}}$ ($d = 4 - 2\epsilon$) the counterterms are arranged by poles

$$\delta g_I = \sum_{n=1}^{\infty} \frac{\delta g_I^{(n)}}{\epsilon^n}, \quad Z = 1 + \sum_{n=1}^{\infty} \frac{z^{(n)}}{\epsilon^n}$$

The RG functions are determined recursively from the poles

$$\beta_I^{(-1)} = -k_I g_I, \quad \beta_I^{(n)} = (\zeta - k_I) \delta g_I^{(n+1)} - \sum_{k=0}^{n-1} \beta_J^{(k)} \partial^J \delta g_I^{(n-k)}, \quad n \geq 0$$

$$\gamma^{(n)} = -\zeta z^{(n+1)} + \sum_{k=0}^{n-1} \left[\beta_I^{(k)} \partial^I z^{(n-k)} - z^{(n-k)} \gamma^{(k)} \right], \quad n \geq 0$$

** Similar formulas hold for v involving a counterterm of the flavor current.*

Callan–Symanzik equation revisited

The evolution of *renormalized* amplitudes is governed by the CS Eq.:

$$0 = \Delta^{\text{RG}} \mathcal{W} = \left(\frac{\partial}{\partial t} + \beta_I \partial^I + \int d^d x \mathcal{J}_\beta \gamma^\beta_\alpha \frac{\delta}{\delta \mathcal{J}_\alpha} \right) \mathcal{W} \quad (\text{FSCC})$$

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Recall the G_F Ward identity (FSCC):

$$0 = \Delta_\omega^F \mathcal{W} = \left((\omega g)_I \partial^I - \int d^d x \mathcal{J}_\beta \omega^\beta{}_\alpha \frac{\delta}{\delta \mathcal{J}_\alpha} \right) \mathcal{W}, \quad \omega \in \mathfrak{g}_F$$

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The RG flow is finite due to

RG Finiteness (*theorem*)

$$\gamma^{(n)} \in \mathfrak{g}_F \quad \text{and} \quad \beta_I^{(n)} = -(\gamma^{(n)} g)_I, \quad n \geq 1$$

3-loop RG divergences in the SM: using counterterms from Herren, Mihaila, Steinhauser [1712.06614]

$$(4\pi)^6 \gamma_q^{(1)} = \frac{g_1^2}{96} [y_u y_u^\dagger, y_d y_d^\dagger] + \frac{1}{32} [y_u y_u^\dagger y_u y_u^\dagger, y_d y_d^\dagger] + \frac{1}{32} [y_d y_d^\dagger y_d y_d^\dagger, y_u y_u^\dagger]$$

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$\beta_{y_u}^{(1)} = -(\gamma^{(1)} y_u)$, $\beta_{y_u}^{(2)} = -(\gamma^{(2)} y_u)$, etc. in the SM

$$(\omega y_u)^i_j = \omega_q^i_k y_u^k_j - y_u^i_k \omega_u^k_j + \omega_h y_u^i_j$$

SM RG functions are RG finite at 3-loop order

Renormalization ambiguity

\mathcal{W} is invariant under flavor rotations, $R \in G_F$: e.g., $y_u \rightarrow R_q y_u R_u^\dagger$ in the SM

$$\mathcal{W}[\gamma, g, \mathcal{J}, a] = \mathcal{W}[\gamma, Rg, R\mathcal{J}, a^R] =$$

$$\mathcal{W}_0[\gamma, g_0, \mathcal{J}_0, a_0] = \mathcal{W}_0[\gamma, Rg_0, R\mathcal{J}_0, a_0^R], \quad (Rg_0)_I = g_{0,I}(Rg)$$

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\mathcal{W} is invariant under flavor rotations, $R \in G_F$: e.g., $y_u \longrightarrow R_q y_u R_u^\dagger$ in the SM

$$\mathcal{W}[\gamma, g, \mathcal{J}, a] = \mathcal{W}[\gamma, Rg, R\mathcal{J}, a^R] =$$

$$\mathcal{W}_0[\gamma, g_0, \mathcal{J}_0, a_0] = \mathcal{W}_0[\gamma, Rg_0, R\mathcal{J}_0, a_0^R], \quad (Rg_0)_I = g_{0,I}(Rg)$$

Take a divergent rotation instead:

$$U = \exp \left[- \sum_{n=1}^{\infty} \frac{1}{\epsilon^n} u^{(n)}(g) \right], \quad u^{(n)} \in \mathfrak{g}_F$$

$$\mathcal{W}[\gamma, g, \mathcal{J}, a] = \mathcal{W}_0[\gamma, g_0, \mathcal{J}_0, a_0] = \mathcal{W}_0[\gamma, Ug_0, U\mathcal{J}_0, a_0^U]$$

It results in a change of counterterms, e.g., [Ambiguity in taking \$\sqrt{Z^\dagger Z}\$](#)

$$(U\mathcal{J}_0)_\alpha = \mathcal{J}_{0,\beta} U^{\dagger\beta}{}_\alpha = \mathcal{J}_\beta (Z^{-1}U^\dagger)^\beta{}_\alpha \implies \tilde{Z}^\alpha{}_\beta = U^\alpha{}_\gamma Z^\gamma{}_\beta.$$

Ambiguity in RG the functions

$\mathcal{W}_0[\gamma, g_0, \mathcal{J}_0, a_0] = \mathcal{W}_0[\gamma, U g_0, U \mathcal{J}_0, a_0^U]$ *but produce different RG functions!*

$$\Delta\gamma \equiv \gamma^U - \gamma = -\beta_I U \partial^I U^\dagger$$

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- iii) RG-finiteness is conserved

$$\beta_I^{(n)} = -(\gamma^{(n)} g)_I$$

- (β_I, γ) that are not RG finite cannot be made so by a shift

The preferred set of RG functions

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In principle we know only that at least one element in

$$\left\{ (B_I, \Gamma + \alpha) : \alpha(g) \in \mathfrak{g}_F, (\alpha g)_I = 0 \right\}$$

is finite \implies *RG-finiteness*.

Is there more than one element?

Summary

- i) The occurrence of a certain class of ϵ poles in the RG functions is consistent with the Callan–Symanzik equation and not a sign of the theory or renormalization scheme breaking down.
- ii) There is an ambiguity in choosing renormalization constants due to the flavor symmetry.
- iii) Using the ambiguity, it is always possible to remove all the poles simultaneously from γ and β_I to recover finite RG functions.
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No need to panic if you encounter an RG pole!