

# End-to-end Reconstruction for Highly Granular Calorimeters

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Work in context of CMS ML4Reco

- ❖ Upgrade to to **High-Luminosity LHC (HL-LHC)**
- ❖ Up to 200 collisions in every event (PU 200)
- ❖ CMS will upgrade their endcap calorimeters
- ❖ **High Granularity Calorimeter (HGCal)**
  - ~ 6M readout channels (silicon + scintillators)
  - ~ 200k active channels per event

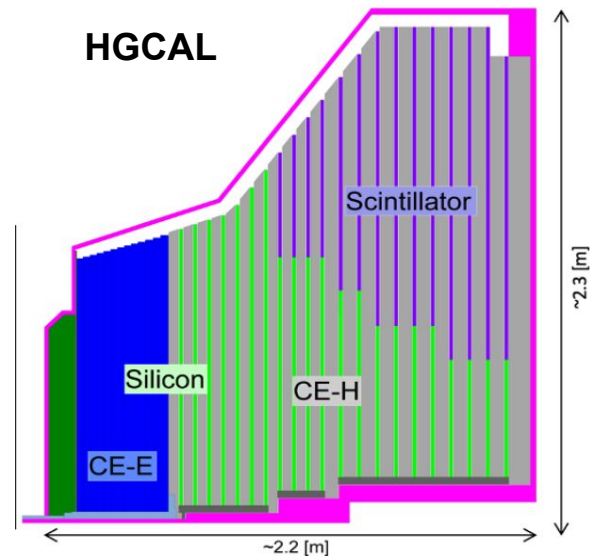
## Task of Neural Network

- ❖ Cluster hits belonging to the same particle
- ❖ Regress energy of clusters
- ❖ Particle ID, particle flow

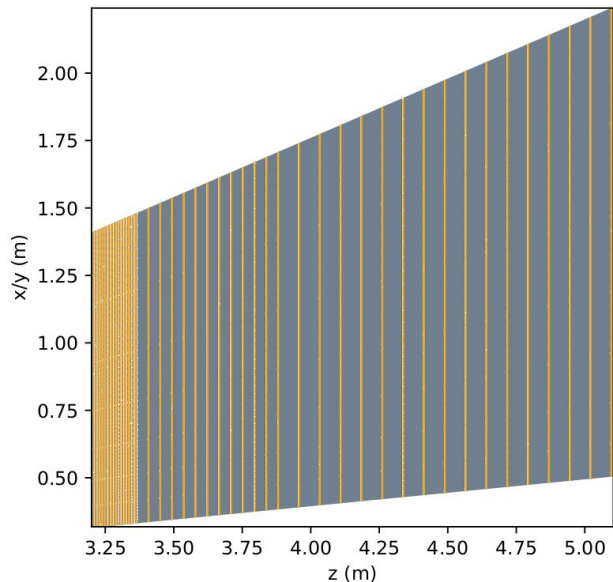
- [1] [arXiv:1902.07987](https://arxiv.org/abs/1902.07987) GravNet
- [2] [arXiv:2002.03605](https://arxiv.org/abs/2002.03605) Object Condensation
- [3] [arXiv:2204.01681](https://arxiv.org/abs/2204.01681) Full Reconstruction

## Last year at ACAT

- ❖ Poster by Thomas Klijnsma ([link](#))
- ❖ Demonstrated end-to-end reconstruction of two- $\tau$  events in HGCal
- ❖ 0 PU environment

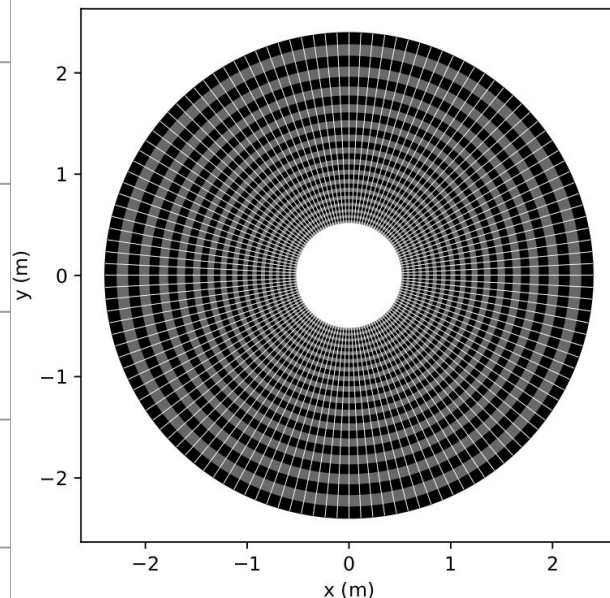


We did not use the HGCal for this work but instead a toy detector (TD) of comparable complexity



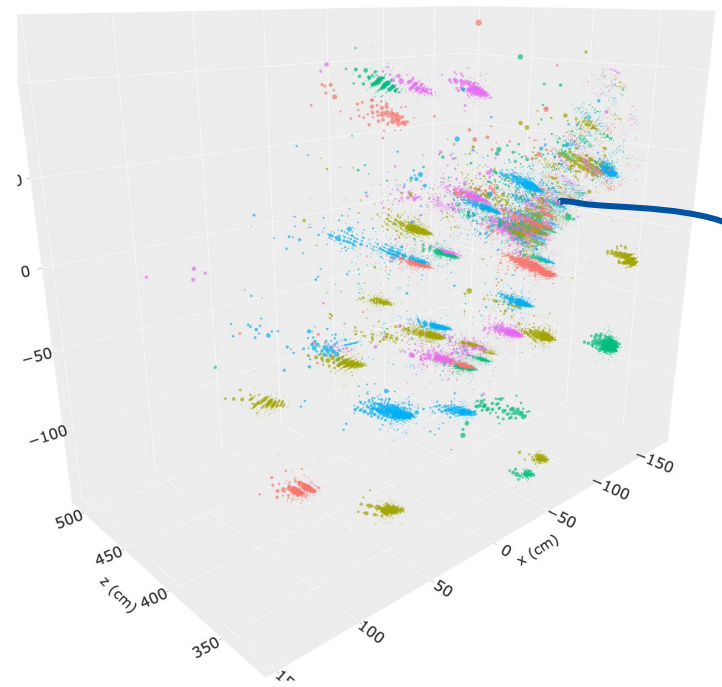
Longitudinal view [\[3\]](#)

	HGCAL	TD
Channels	3 M per endcap	0.8 M
Sensor shape	hexagons (silicon)	squares ( $\eta, \varphi$ )
Layers	52	56
Varying materials	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
#hits (200PU)	~250k	~180k



Transverse view [\[3\]](#)

Example train event - 60 Particles + PU in 30°  $\phi$  region

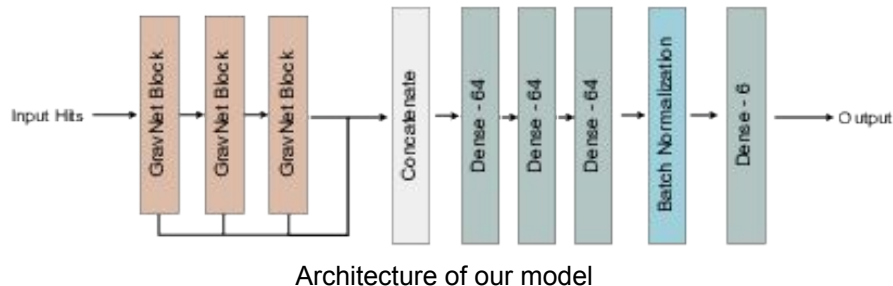


- ◆ **Single Particle**
  - Simulated with GEANT4
  - $e^-$ ,  $\gamma$ ,  $\pi^+$ ,  $\pi^0$ ,  $\tau$
  - $E \in [0.1, 200]$  GeV  $\eta \in [1.4, 3.1]$
  - particles generated 1 mm in front of detector (no tracker or magnetic field)
  
- ◆ **Pile Up**
  - Minimum bias proton-proton collisions generated using PYTHIA8
  - $\sqrt{s} = 13$  TeV
  - only added in random 30°  $\phi$  region
    - memory constraints while training
    - reduces hits from ~200k to ~34k
    - this will not be applied to test sets

Training events are 60 single particle simulations combined random Gaussian detector noise and 200 PU added in a random 30°  $\phi$  region

## Architecture is based around GravNet layers

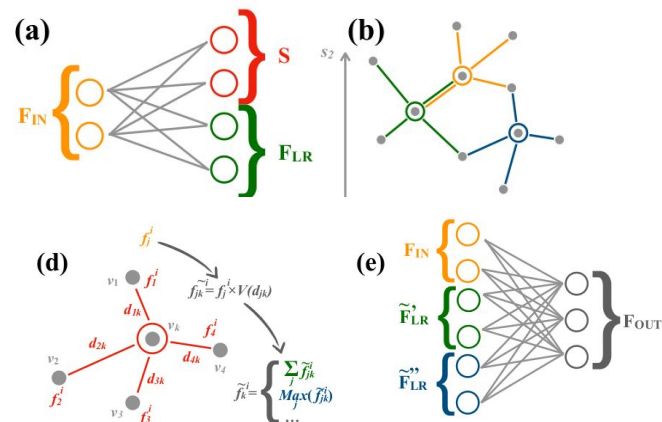
- ❖ Graph based approach is natural for sparse data
- ❖ Allows propagation of information through detector
- ❖ Faster than similar approaches e.g. DGCNN [4]



[4] Yue Wang et al. Dynamic Graph CNN for Learning on Point Clouds

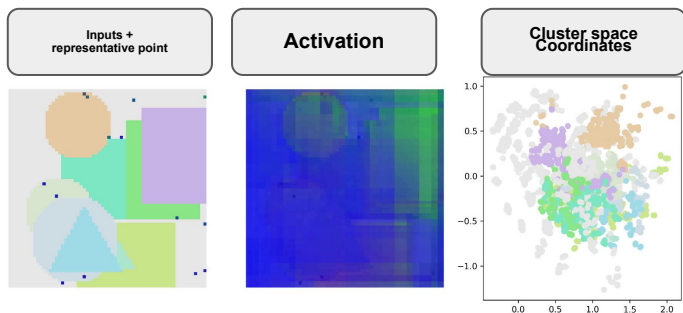
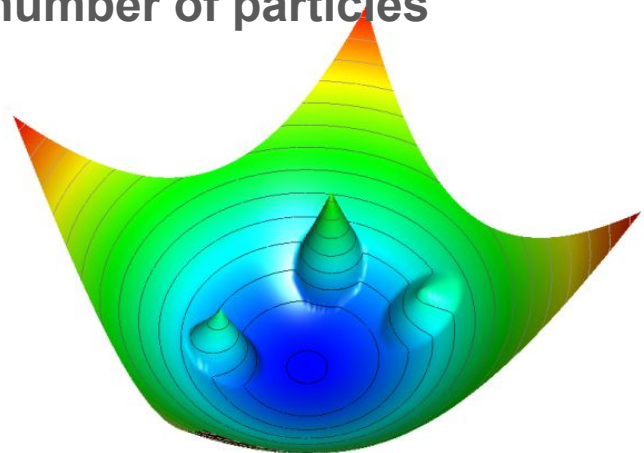
## GravNet

- Speed
  - a) Transform input features  $F_{IN}$  into
    - transformed features  $F_{LR}$
    - latent coordinates  $S$
- Performance
  - b) Build graph using coordinates  $S$
  - d) Aggregate weighted features
    - Weights depending on distance
    - Aggregation typically is *mean* or *max*
  - e) Concatenate the new features



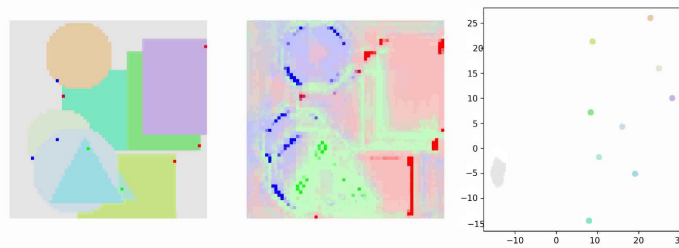
Enables us to reconstruct an a priori unknown number of particles

- ❖ Every vertex can represent a shower
- ❖ Encourage model to have one representative vertex (RV) per object
- ❖ In the latent space:
  - Vertices are pulled towards their RV
  - Vertices are pushed away from other RVs
  - Hits of the same shower are clustered together
- ❖ Points around RVs in the latent space are collected as shower
- ❖ RV is then used to predict shower's properties



← before Training  
after Training →

J. Kieseler, [arXiv:2002.03605](https://arxiv.org/abs/2002.03605), Eur. Phys. J. C 80, 886 (2020)



## Energy Regression

- ❖ Unfavourable to directly predict showers' energies as
  - energies can differ by orders of magnitude
  - sensitive to splitting or merging showers
- ❖ Instead learn a correction factor  $\psi$  multiplied to shower's energy

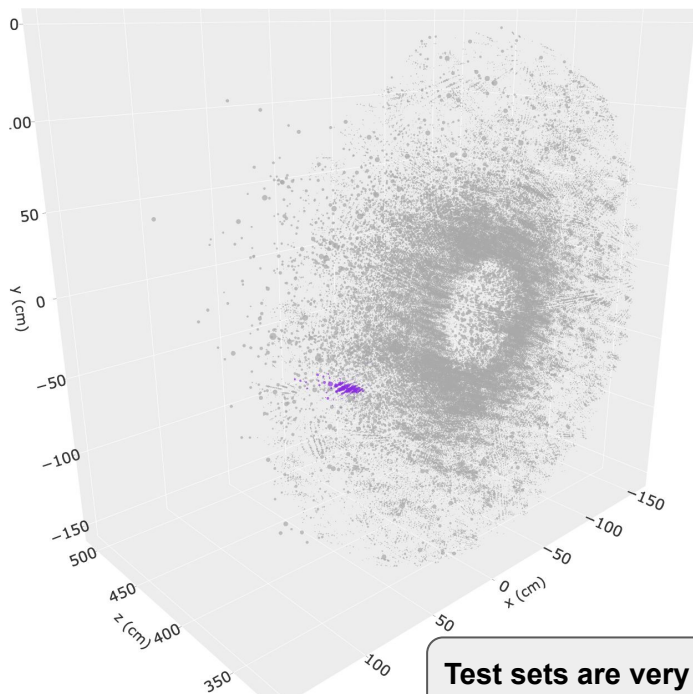
$$E_{pred} = \psi \sum_{h \in \text{shower}} e_h$$

## Transverse Momentum

- ❖ Calculated from energy using the showers energy weighted mean position
- ❖ For consistency this is used for
  - $p_{Tpred}$  (using  $E_{pred}$ )
  - $p_{Ttruth}$  (using  $E_{truth}$ )

$$p_T = E / \cosh \eta$$

Example test event - Single Particle + 200 PU



Test sets are very different from training set  
→ We test the model's ability to generalize

- ◆ **Single Particle**
  - Simulated with GEANT4
  - $e^-$ ,  $\gamma$ ,  $\pi^+$
  - $E \in [0.1, 200]$  GeV
  - $\eta \in [1.6, 2.9]$
- ◆ **Jets**
  - $q\bar{q} \rightarrow t\bar{t}$
  - generated at  $\sqrt{s} = 13$  TeV using PYTHIA8
- ◆ **Pile Up**
  - Minimum bias proton-proton collisions generated using PYTHIA8
  - $\sqrt{s} = 13$  TeV

Test sets are a single particle or jet events combined with random Gaussian detector noise and up to 200 PU



**EIOU:** Energy-weighted hit-intersection over hit-union

**EIOM:** Energy-weighted hit-intersection over hit-minimum

$$\text{EIOU}(t, p) = \frac{\sum_{h \in H_t \cap H_p} e_h}{\sum_{h \in H_t \cup H_p} e_h}$$

$$\text{EIOM}(t, p) = \frac{\sum_{h \in H_t \cap H_p} e_h}{\min(\sum_{h \in H_t} e_h, \sum_{h \in H_p} e_h)}$$

$$\hat{p} = \operatorname{argmax}_{p \in P} (\text{EIOU}(\hat{t}, p))$$

## Baseline

$$E_{\text{baseline}} = \sum_{h \in H_t} e_h$$

This baseline will be hard to match as it uses the truth information of the showers

## Efficiency:

% of true showers where  $\text{EIOU}(\hat{t}, \hat{p}) \geq 0.5$

## Unmatched Rate:

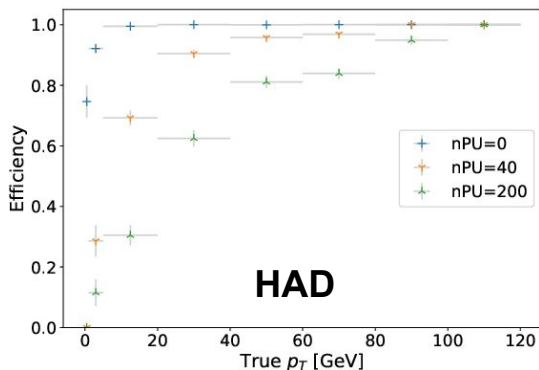
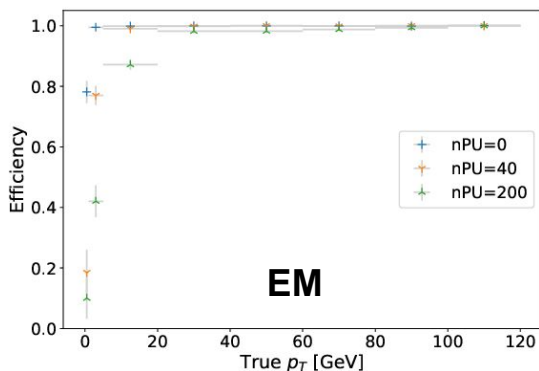
% of predicted showers where  $\text{EIOU}(\hat{t}, p) < 0.5$   
 $\text{EIOM}(\hat{t}, p) > 0.9$

## Response

$$\langle p_{T_{\text{pred}}} / p_{T_{\text{truth}}} \rangle$$

## Mean-corrected resolution

$$\sigma(p_{T_{\text{pred}}} / p_{T_{\text{truth}}}) / \langle p_{T_{\text{pred}}} / p_{T_{\text{truth}}} \rangle$$



## Efficiency EM

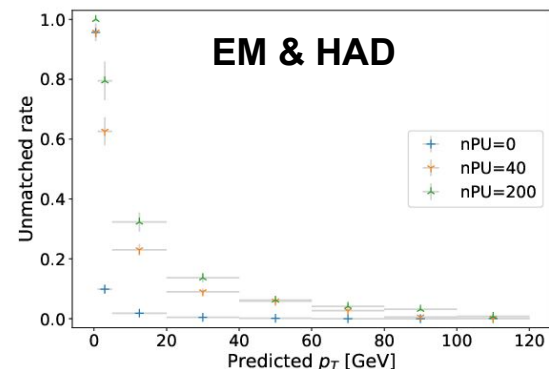
- ❖ Efficiency quickly approached 100% with high  $p_T$
- ❖ PU reduces efficiency for showers with small  $p_T$  ( $< 20$  GeV)

## Efficiency HAD

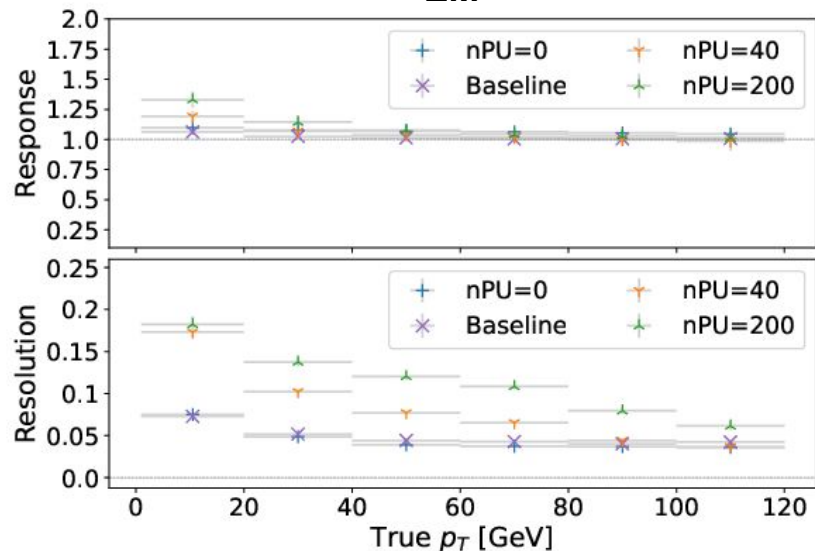
- ❖ PU has larger impact on reconstruction efficiency
- ❖ 200 PU hadronic showers are the most challenging case

## Unmatched Rate

- ❖ High PU causes low  $p_T$  showers to be unmatched

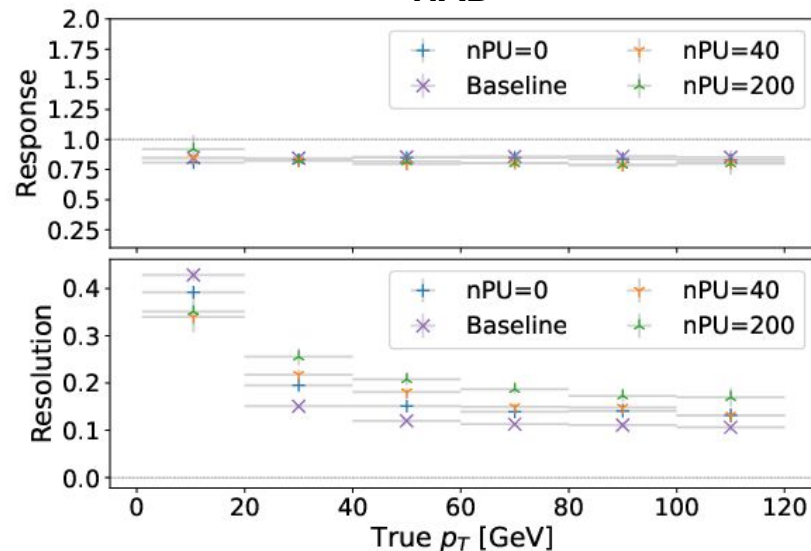


## EM



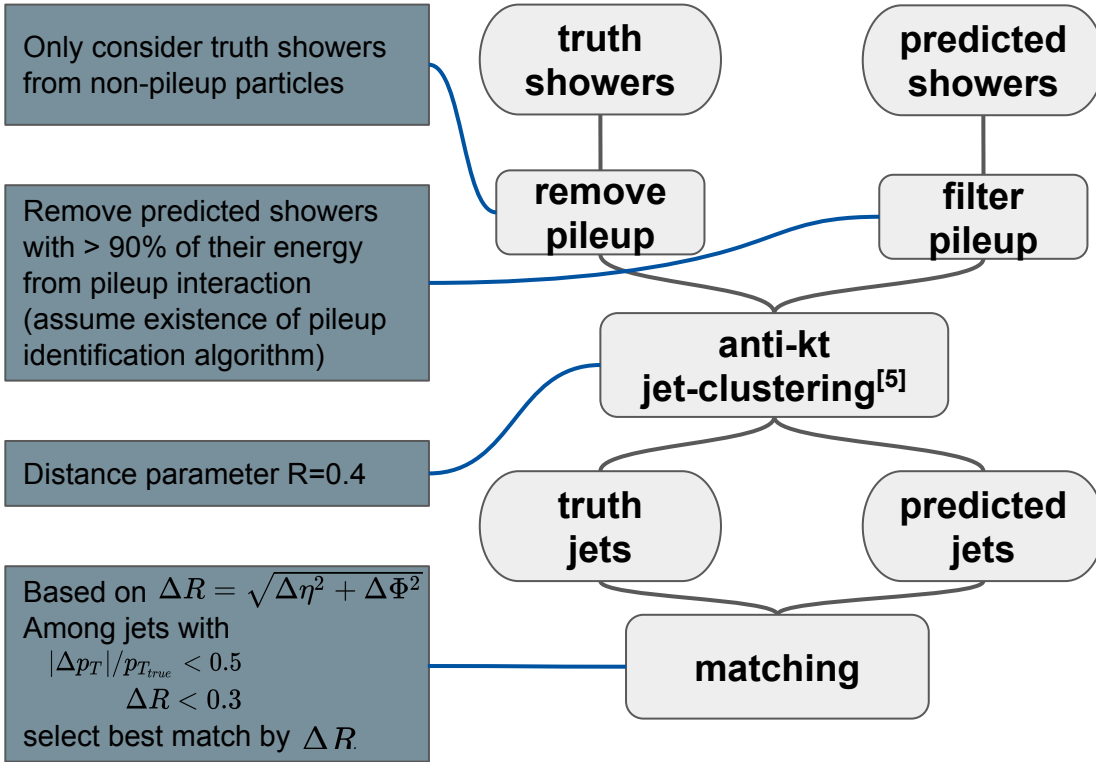
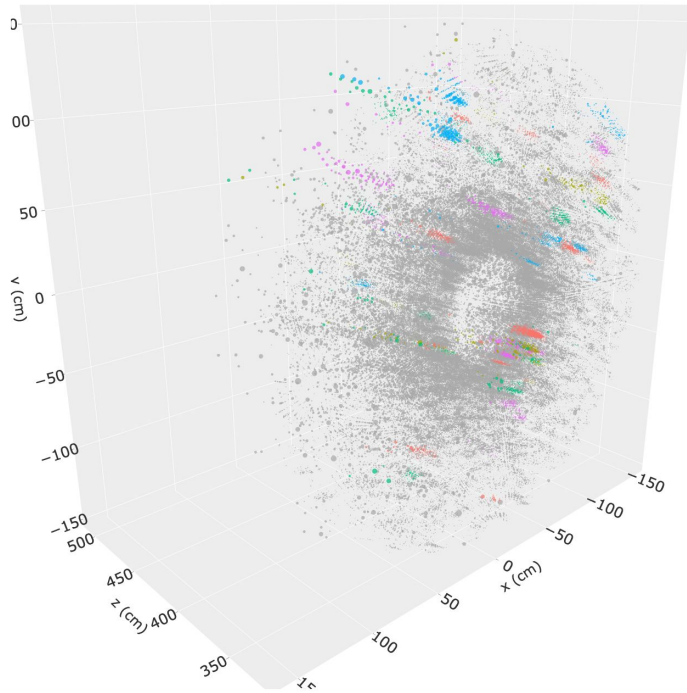
- ❖ Without PU resolution and response match **truth assisted** base line
- ❖ PU influences resolution, but only affects response for low energies

## HAD



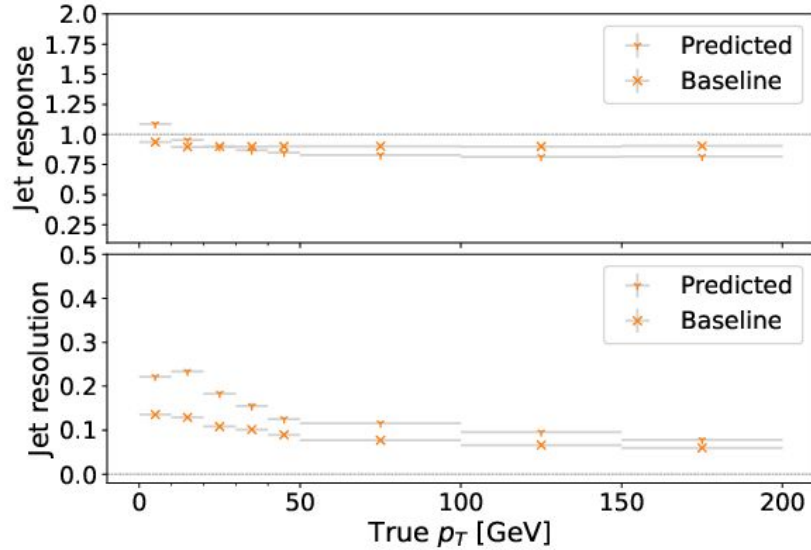
- ❖ Lower resolution for hadronic particles also for **truth assisted** base line
- ❖ PU again mostly affects resolution

Example test event:  $q\bar{q} \rightarrow t\bar{t} + 200 \text{ PU}$

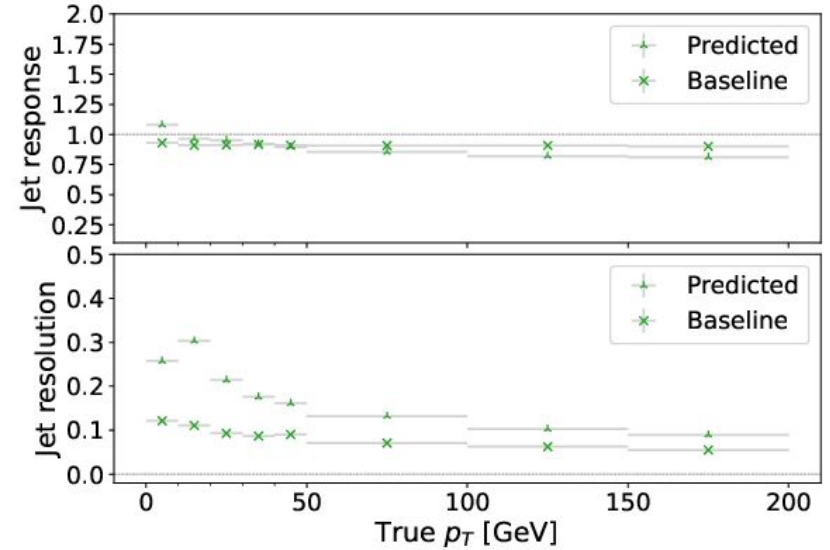


[5] M. Cacciari, G. P. Salam, and G. Soyez. The anti-kt jet clustering algorithm. Journal of High Energy Physics, 2008(04):063, 2008.

## Pile Up 40



## Pile Up 200

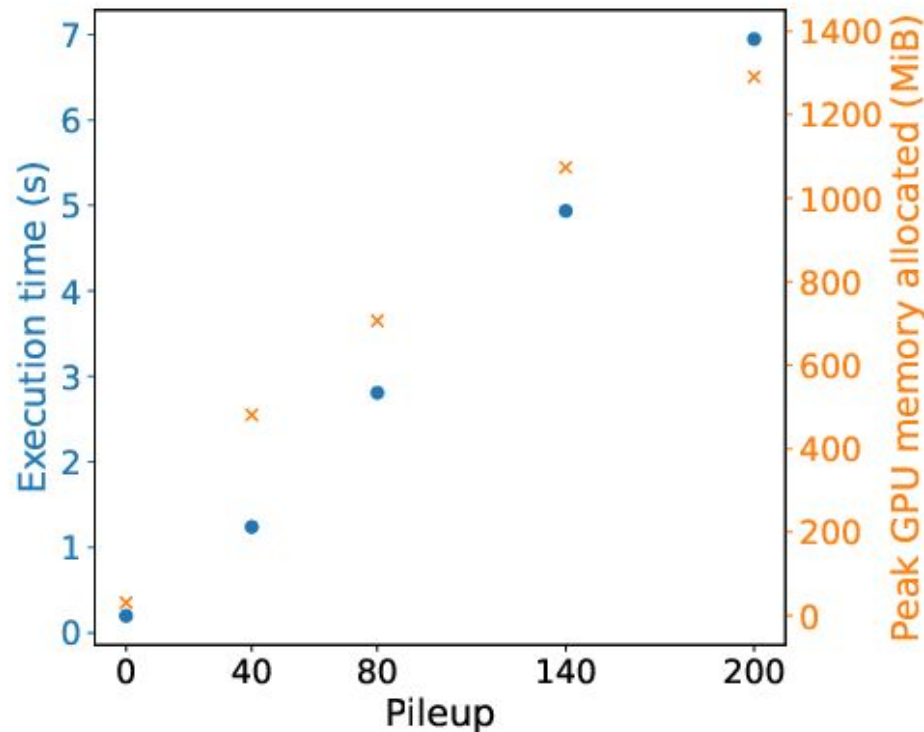


### Baseline:

based on true deposited energy of incident non-pileup particles

- ❖ Response < 1 due to large hadronic contributions
- ❖ Comparable response to baseline
- ❖ Resolution approaching 10% in both PU scenarios

- ❖ Inference time and memory both scale linear with number of hits in detector
- ❖ Less than 10 seconds inference time for 200 PU (NVIDIA V100 GPU)
- ❖ Less than 1.5 GB peak memory usage for 200 PU → Can be deployed on low-end GPUs
- ❖ Ongoing work on inclusion of small clustering models to compress input indicate potential for significant speed ups



## Summary

- ❖ End-to-end reconstruction of particles and jets in 200 PU events
- ❖ Promising performance, often close to a truth assisted base line
- ❖ Demonstrated generalization over different types of events
- ❖ Fast execution time, linear scaling with detector hits
- ❖ Possible to be used on affordable low-end GPUs

## Ongoing work

- ❖ Prediction of Particle ID
- ❖ Compression/Clustering of input for faster inference time
- ❖ Use of information from other detector subsystems (e.g. tracker)