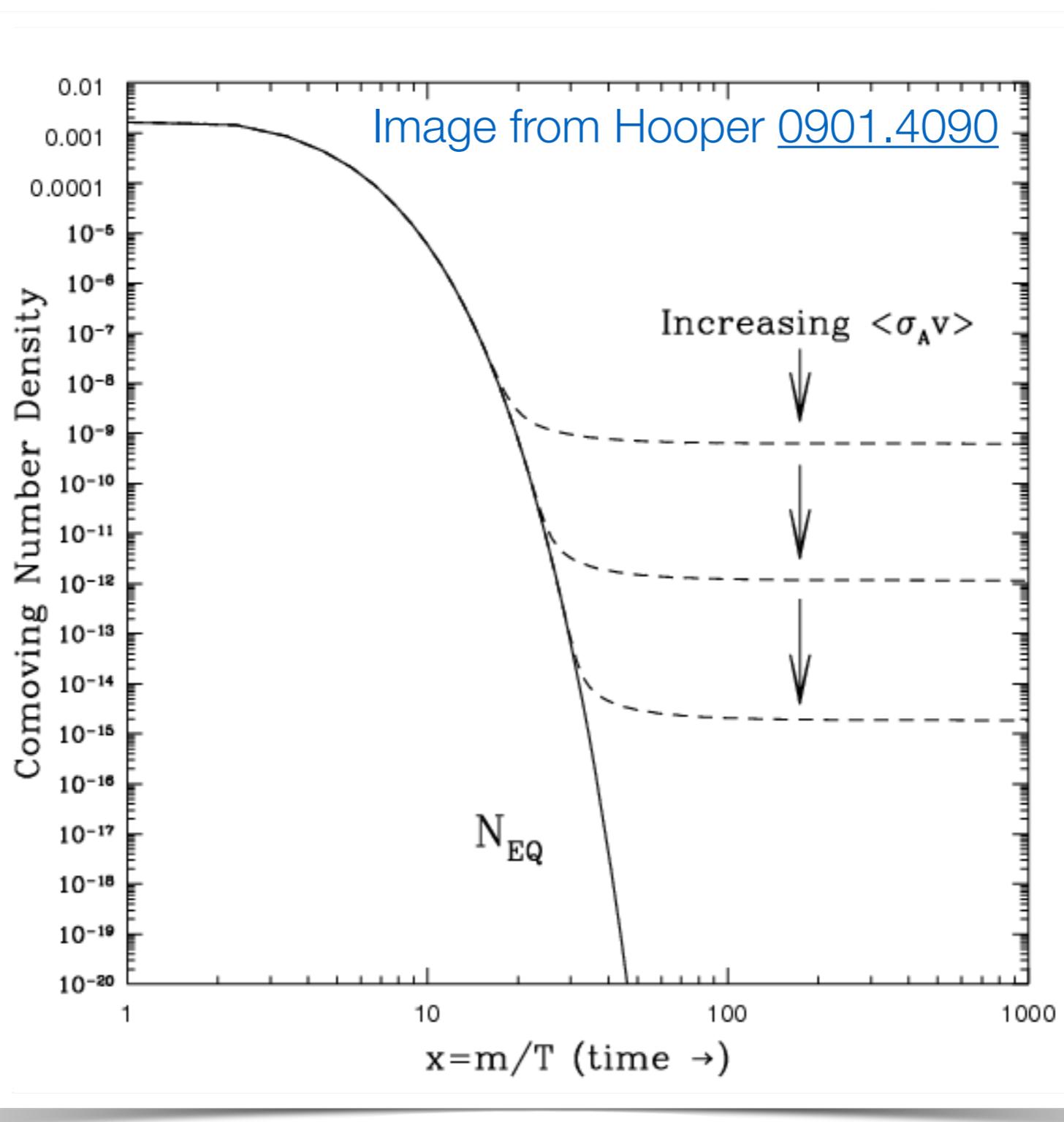


# Dark Matter from Cosmological Phase Transitions

Joachim Kopp (CERN & Uni Mainz)  
Chung And University BSM Workshop | 08.02.2022



# DM in the early Universe: Thermal Freeze-Out

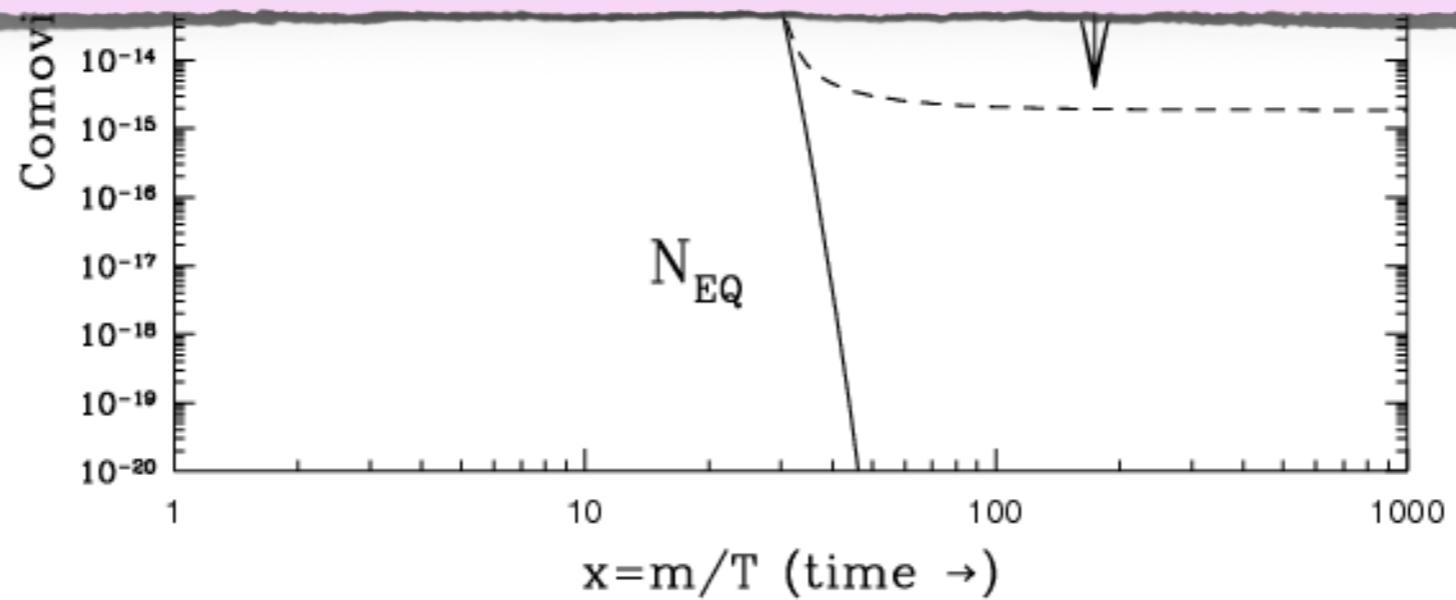


# DM in the early Universe: Thermal Freeze-Out



observed relic abundance obtained for

$$\langle \sigma(\chi\chi \rightarrow \bar{f}f) v_{\text{rel}} \rangle \simeq 2.2 \times 10^{-26} \text{ cm}^3/\text{sec}$$



# Beyond Thermal Freeze-Out



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Continued absence of signals in

- direct DM searches (DM–nucleus scattering)
- indirect searches (cosmic rays from DM annihilation)
- collider searches (production of DM particles)



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- No showstoppers yet, but the community is beginning to worry
- One alternative: setting the DM abundance in a cosmological phase transition
- This talk:
  - Filtered Dark Matter
  - Primordial Black Holes from Phase Transitions



# Phase Transitions Primer





# Phase Transitions in Everyday Life

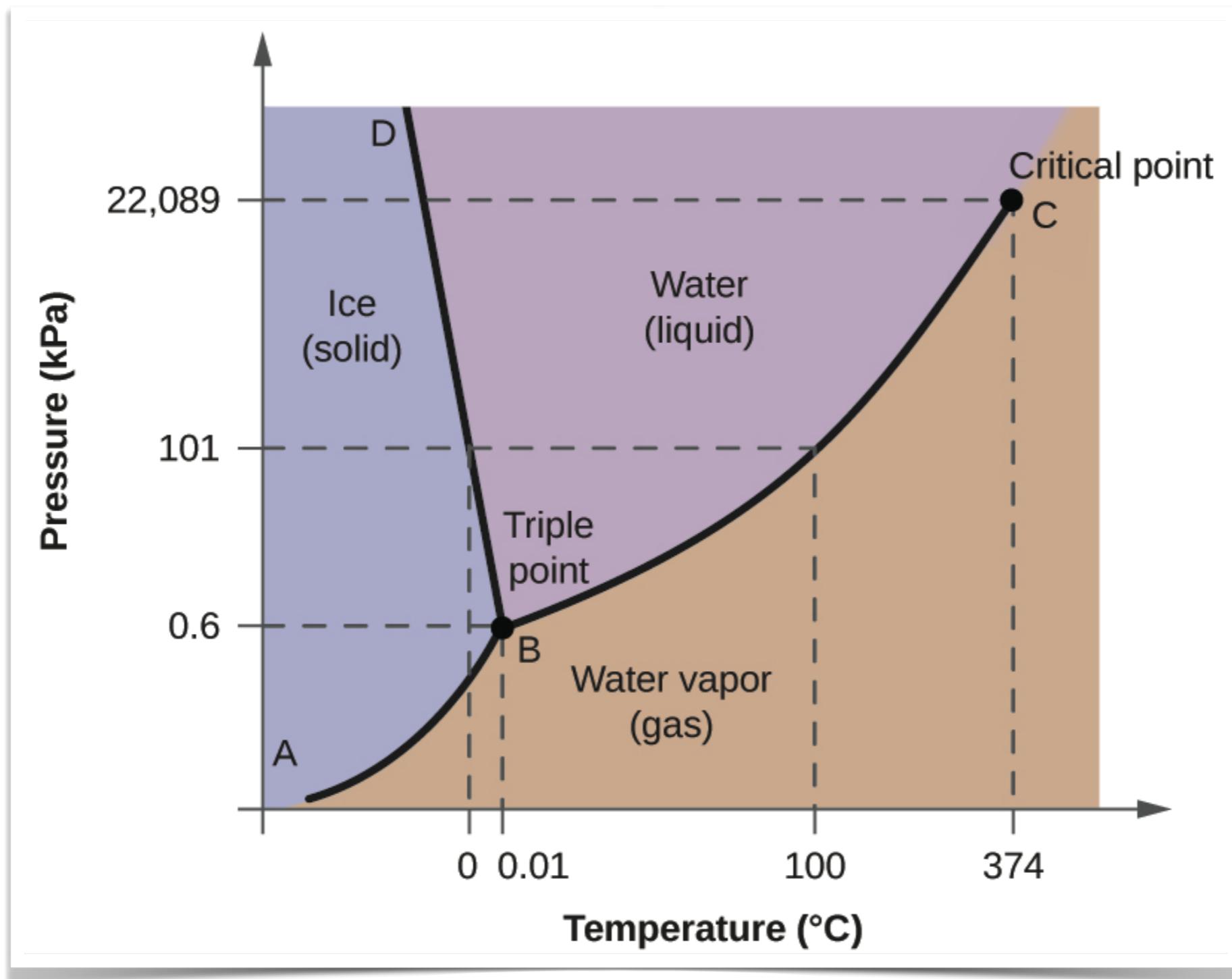


Image Credit: [libretexts.org](https://libretexts.org)

# Phase Transitions in (a Physicist's) Everyday Life

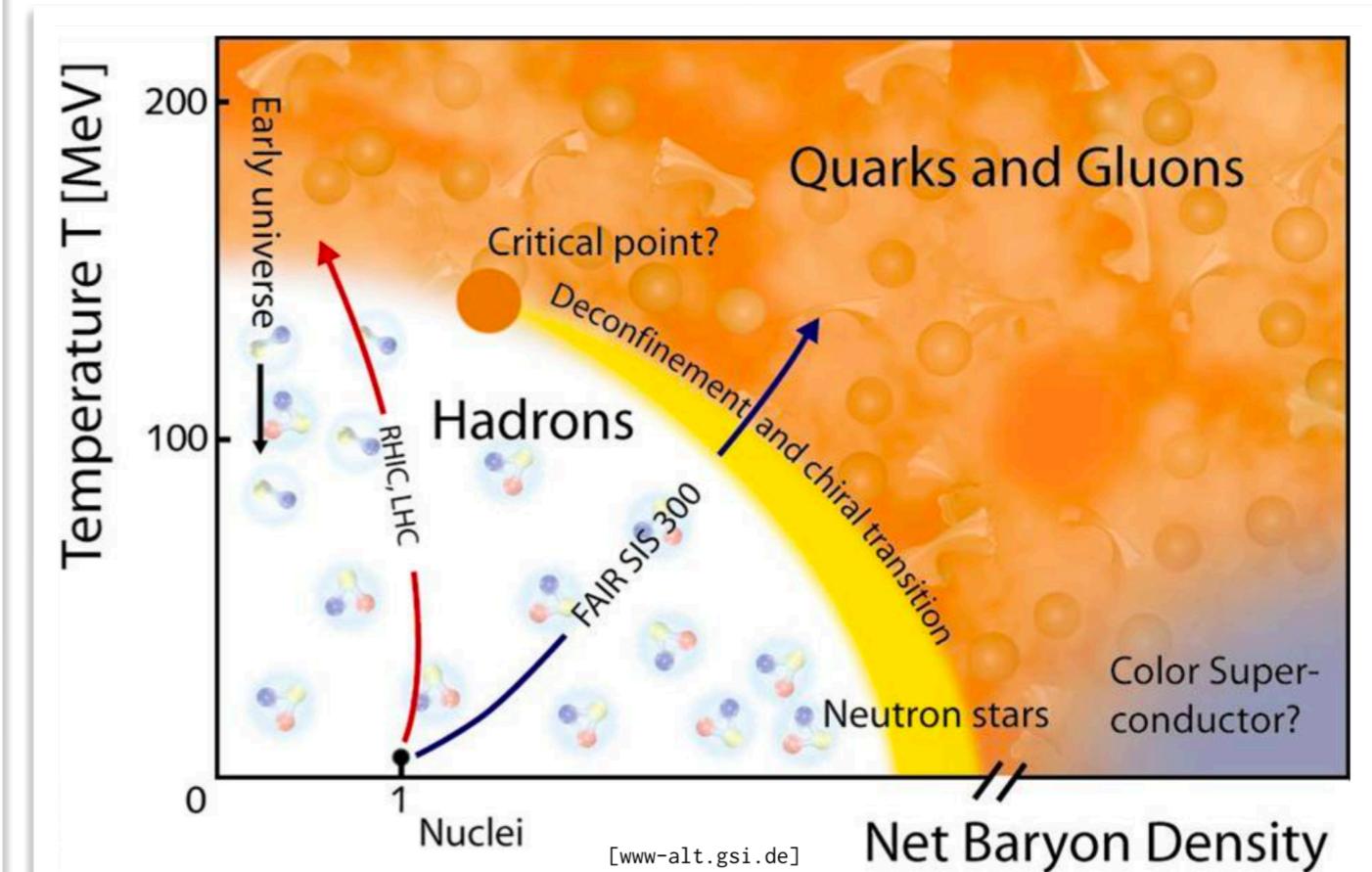
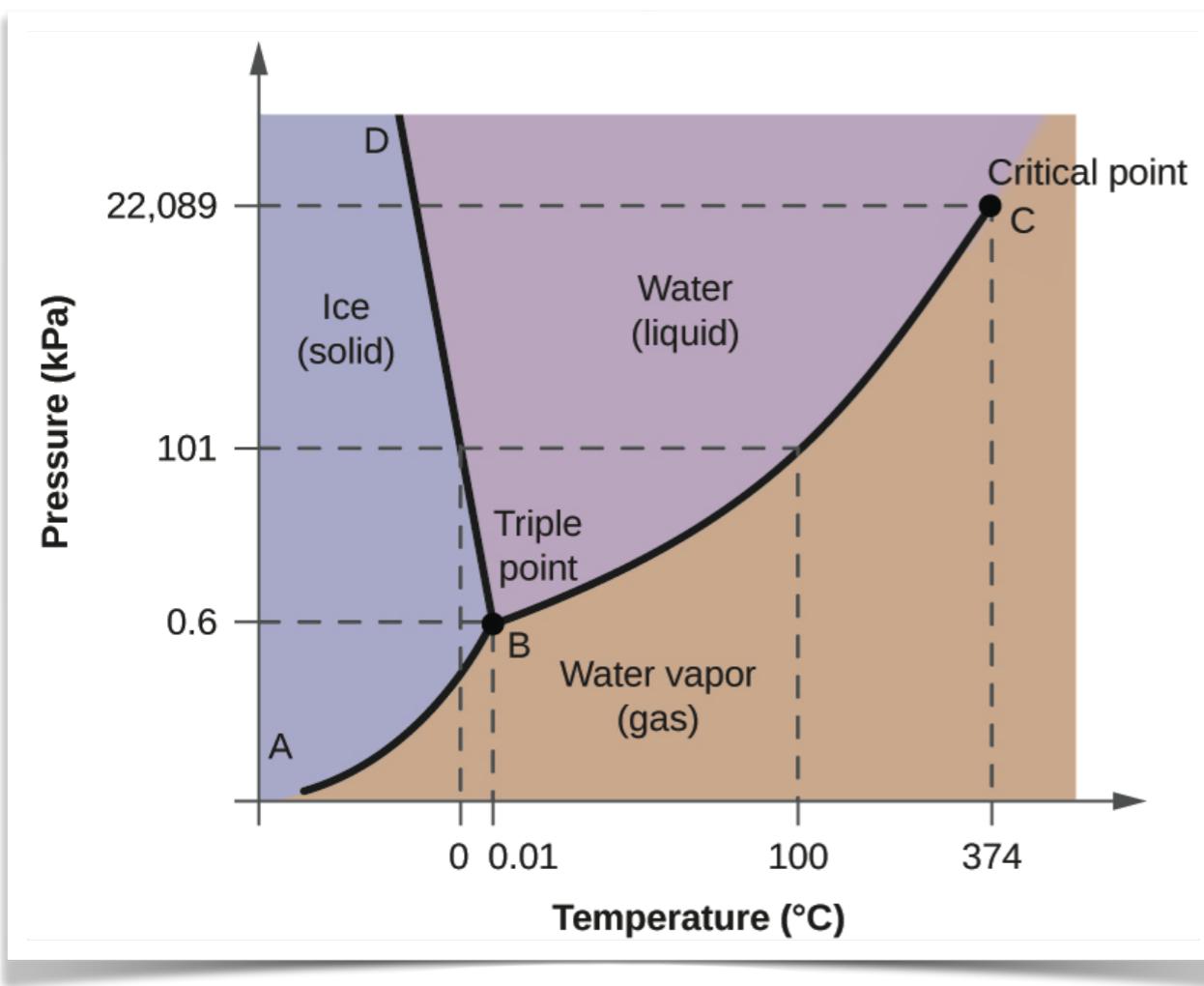


Image Credit: [libretexts.org](https://libretexts.org), Ralf-Arno Tripolt



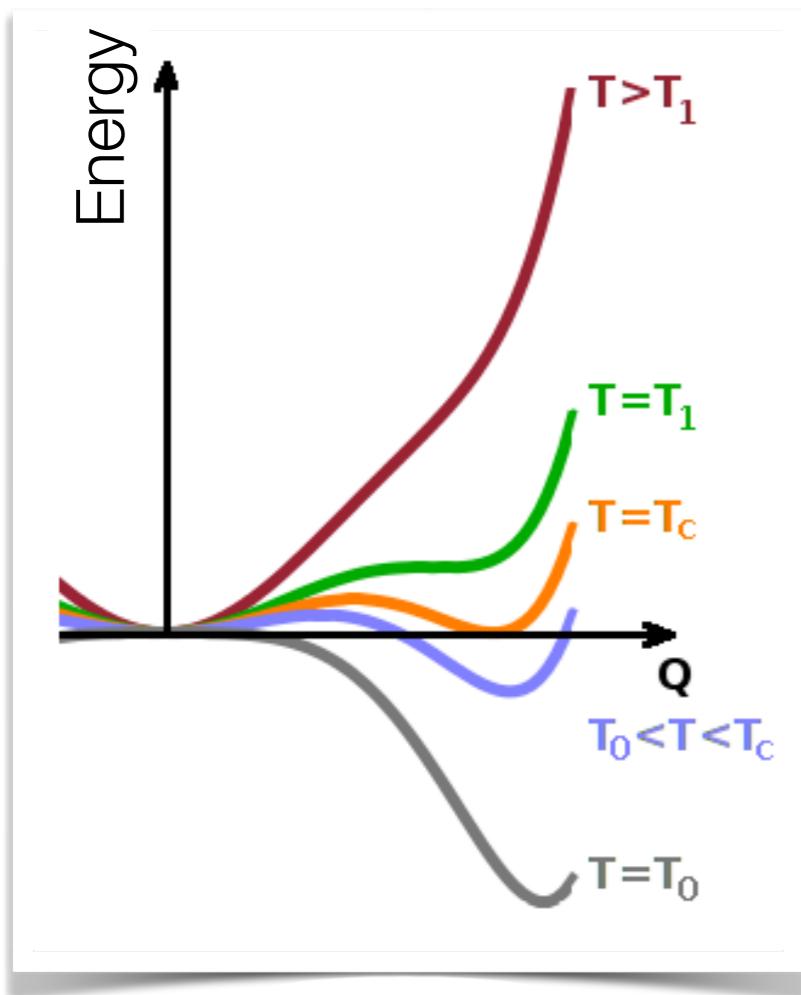
# The Order of a Phase Transition

- Order Parameter  $Q$ : a quantity measuring the change in the system across the phase transition
  - for liquid–gas transition: density  $\rho$
  - for QCD phase transition: quark condensate  $\langle \bar{q}_L q_R \rangle$



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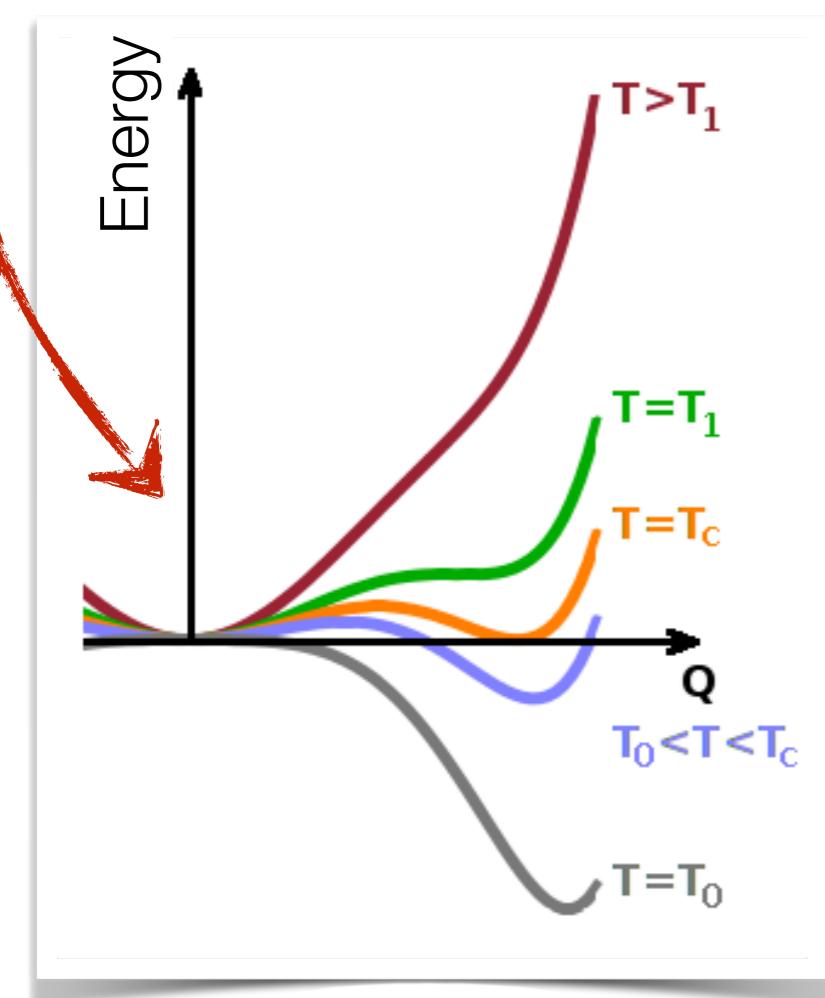
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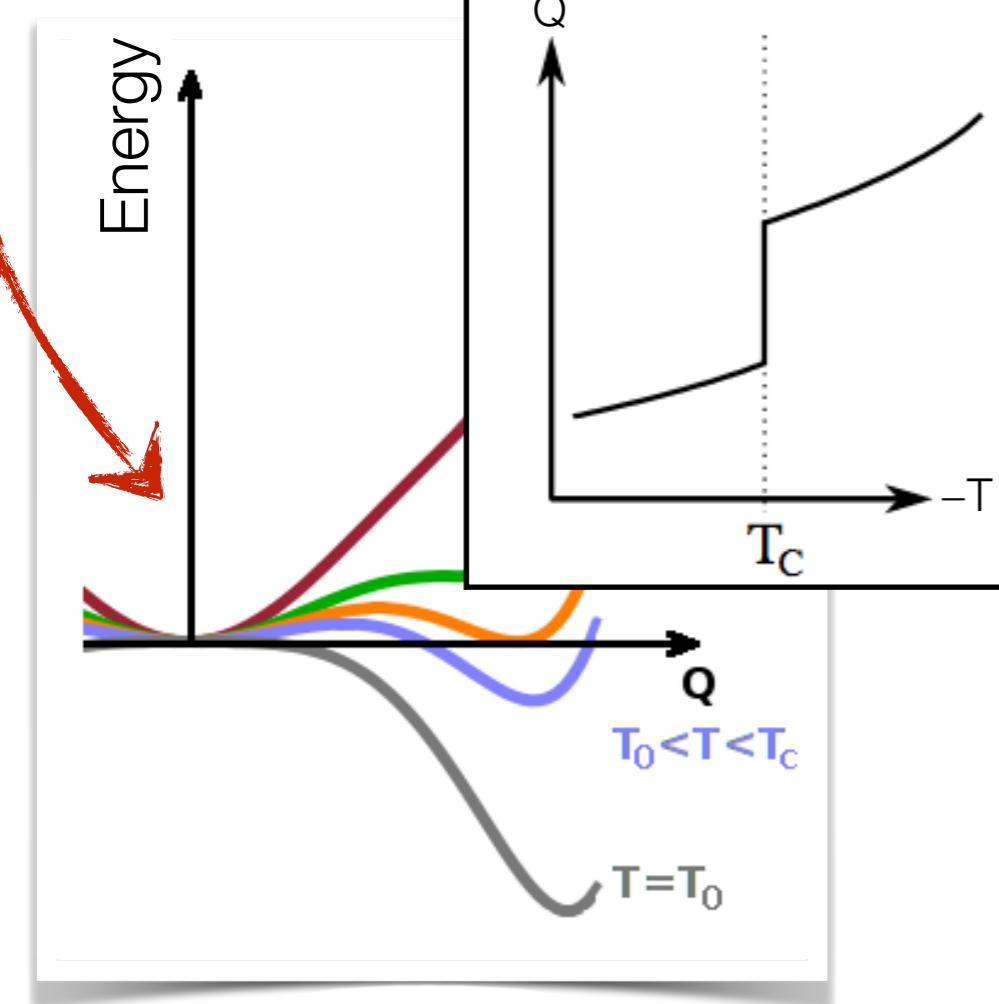
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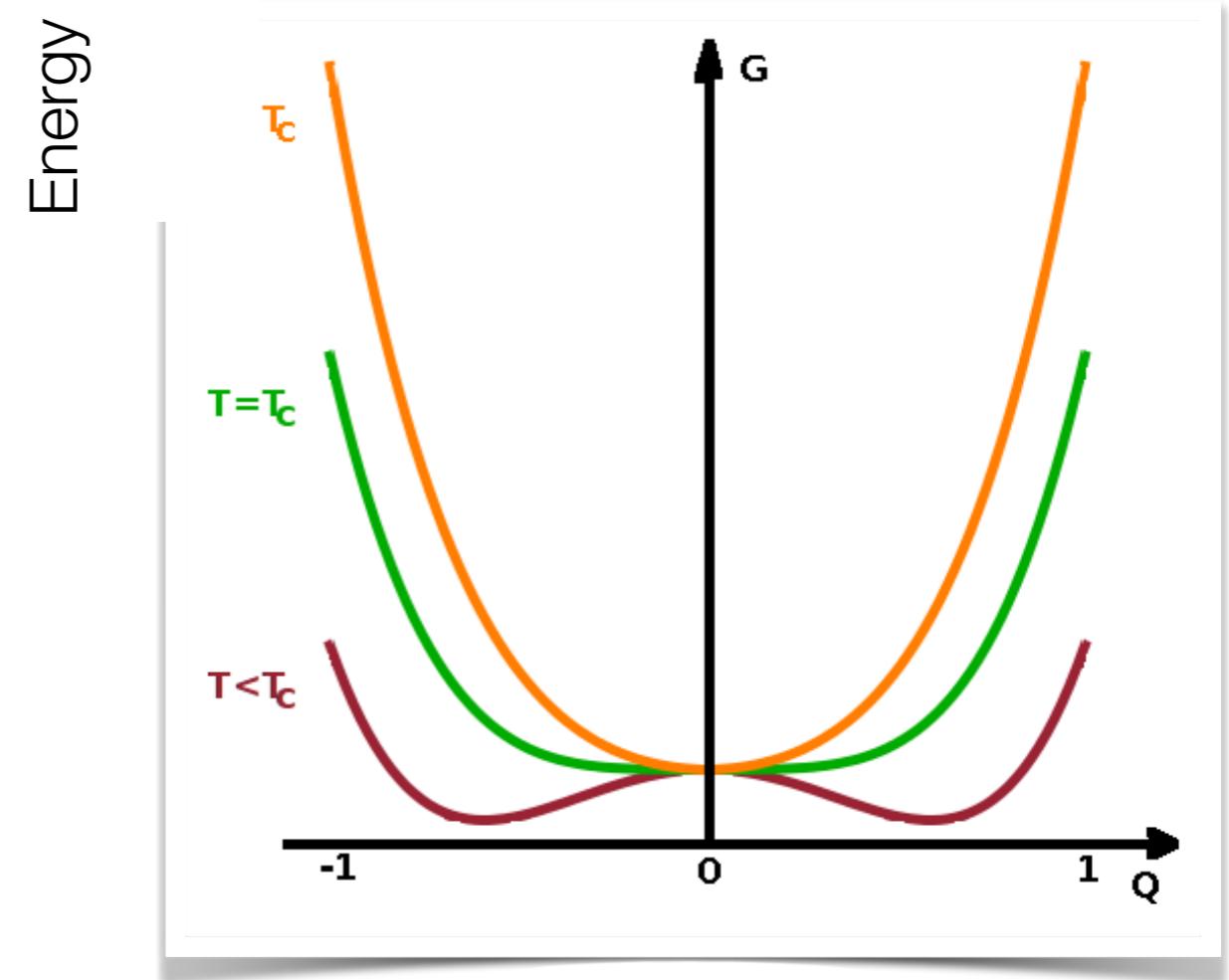
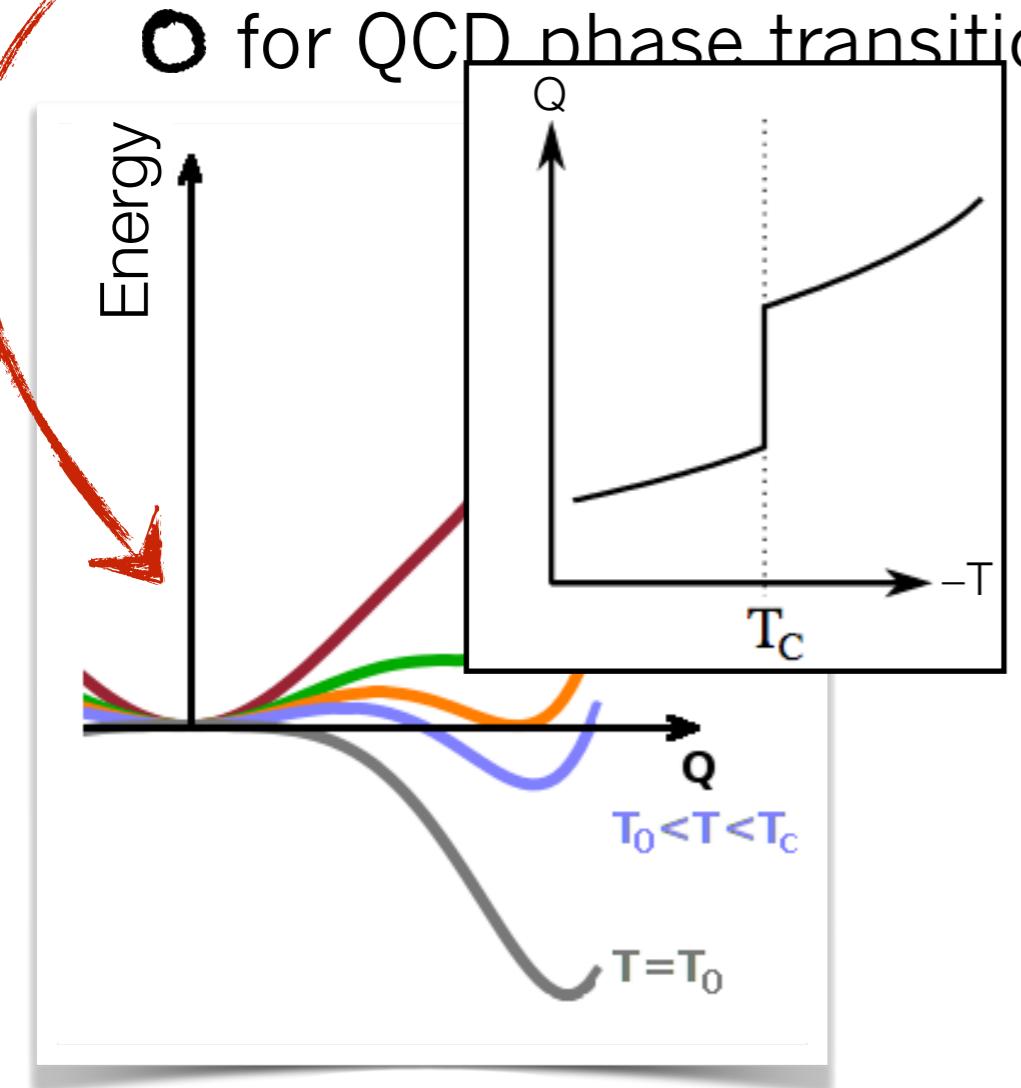
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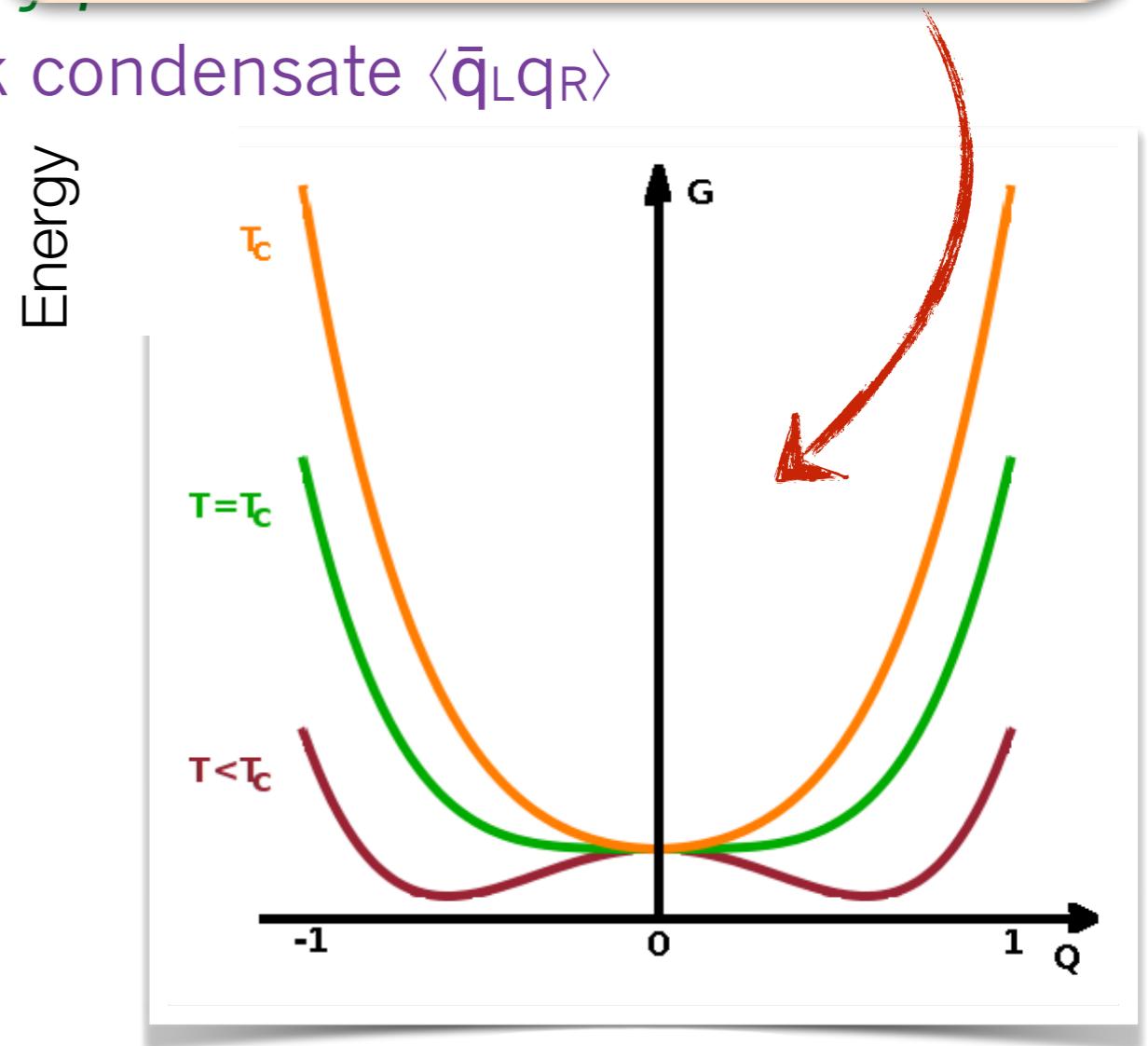
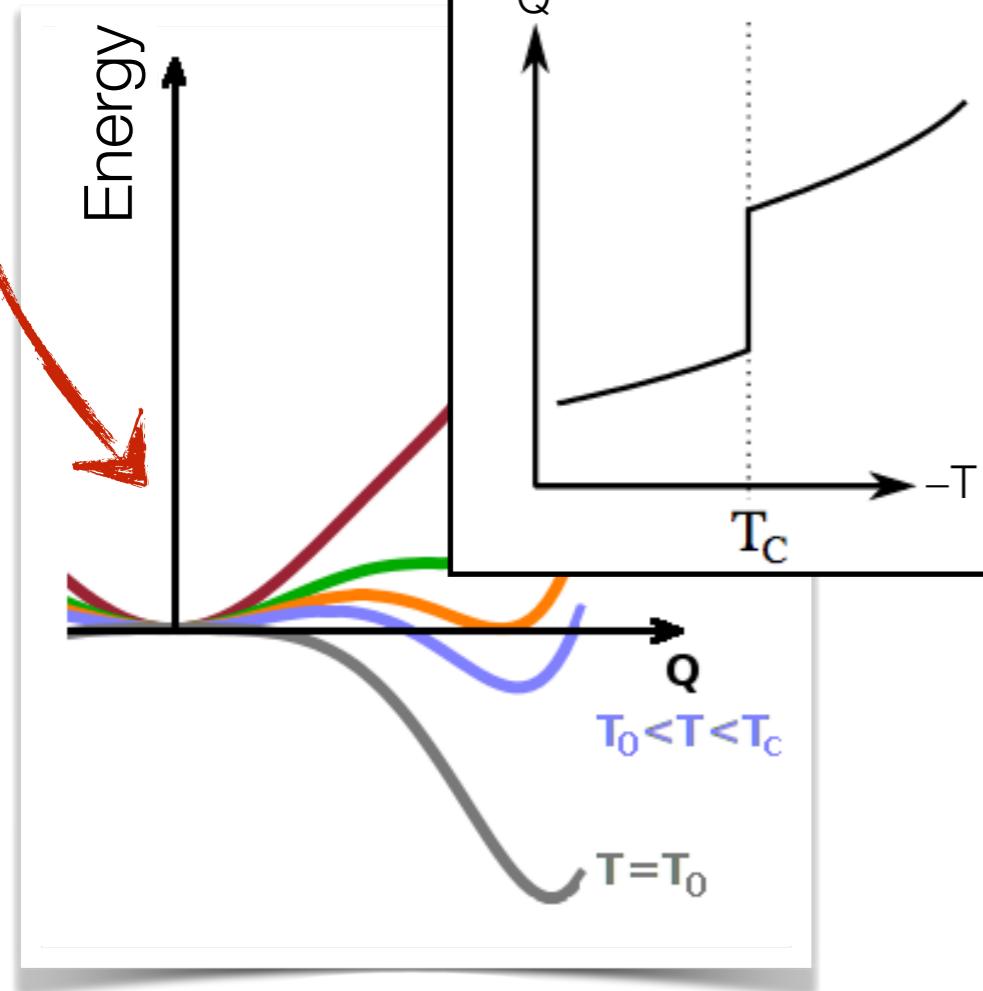


Images: [Rudi Winter](#),  
[Caroline Röhr](#) and [Heinz Gericke](#)

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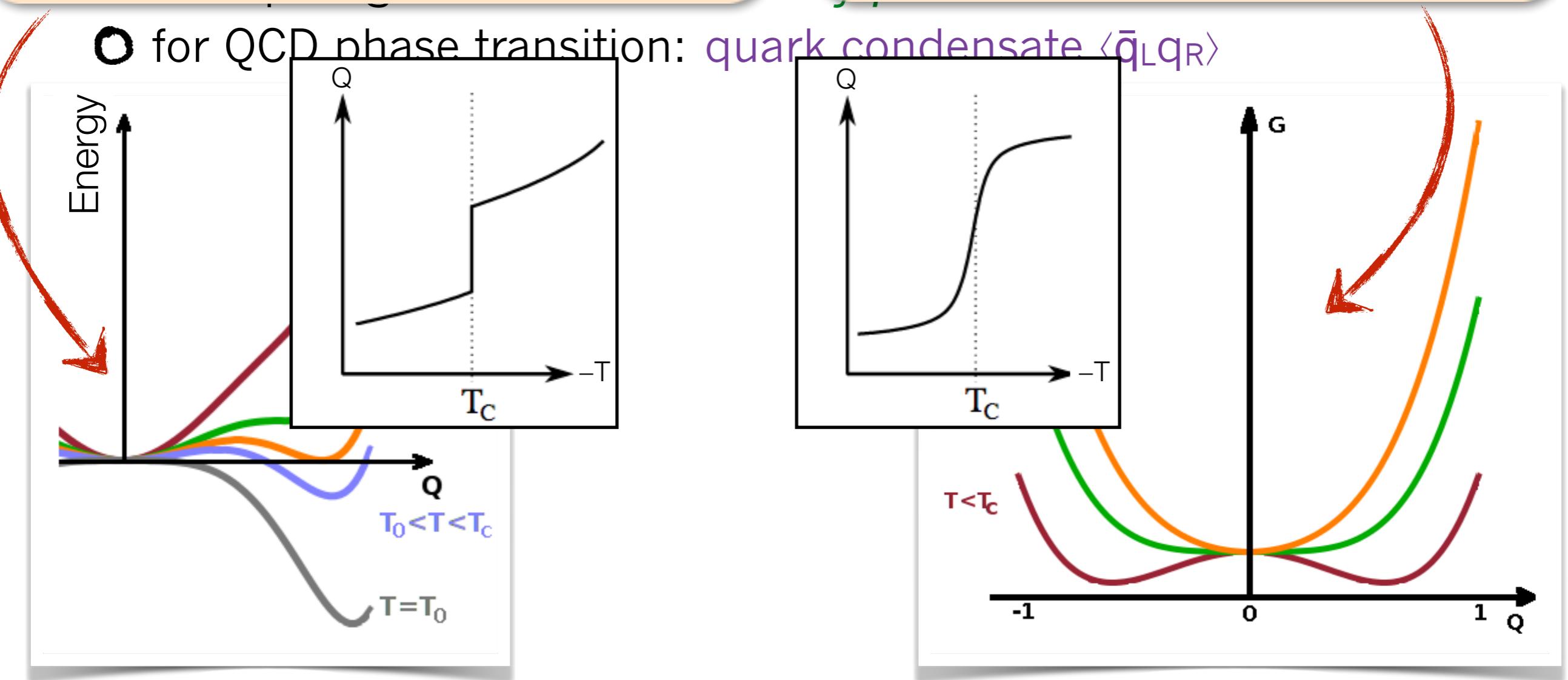


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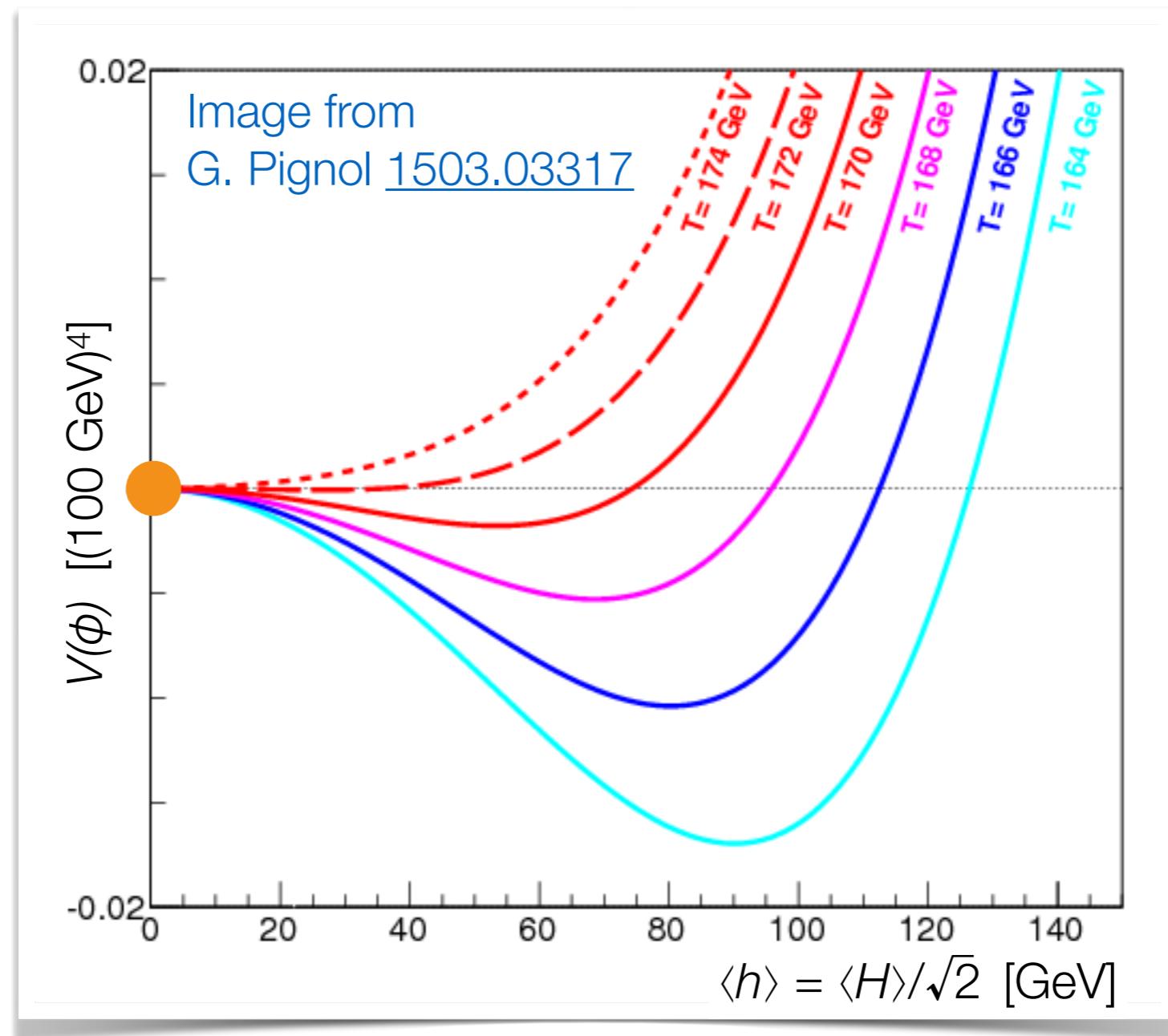
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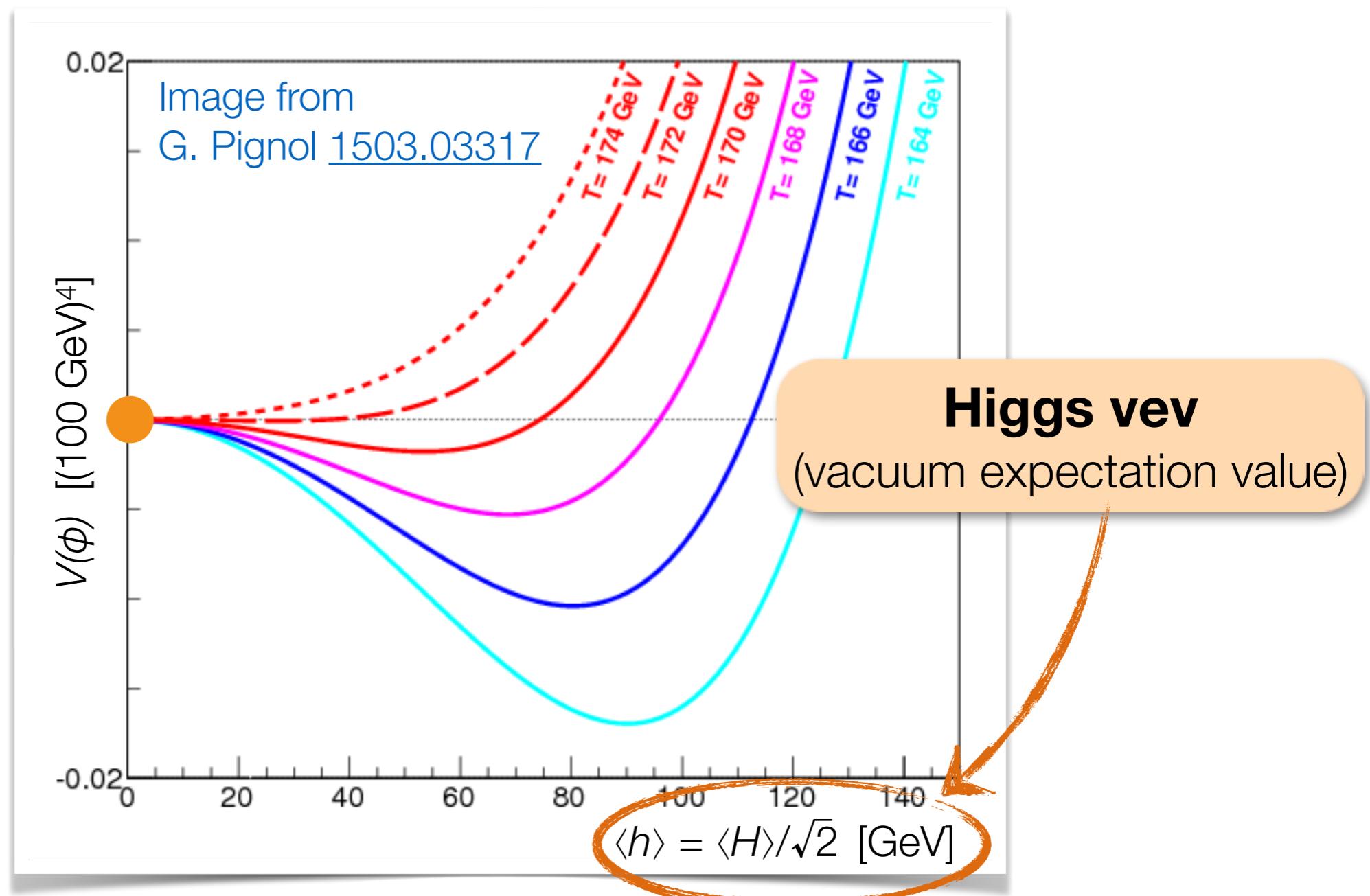


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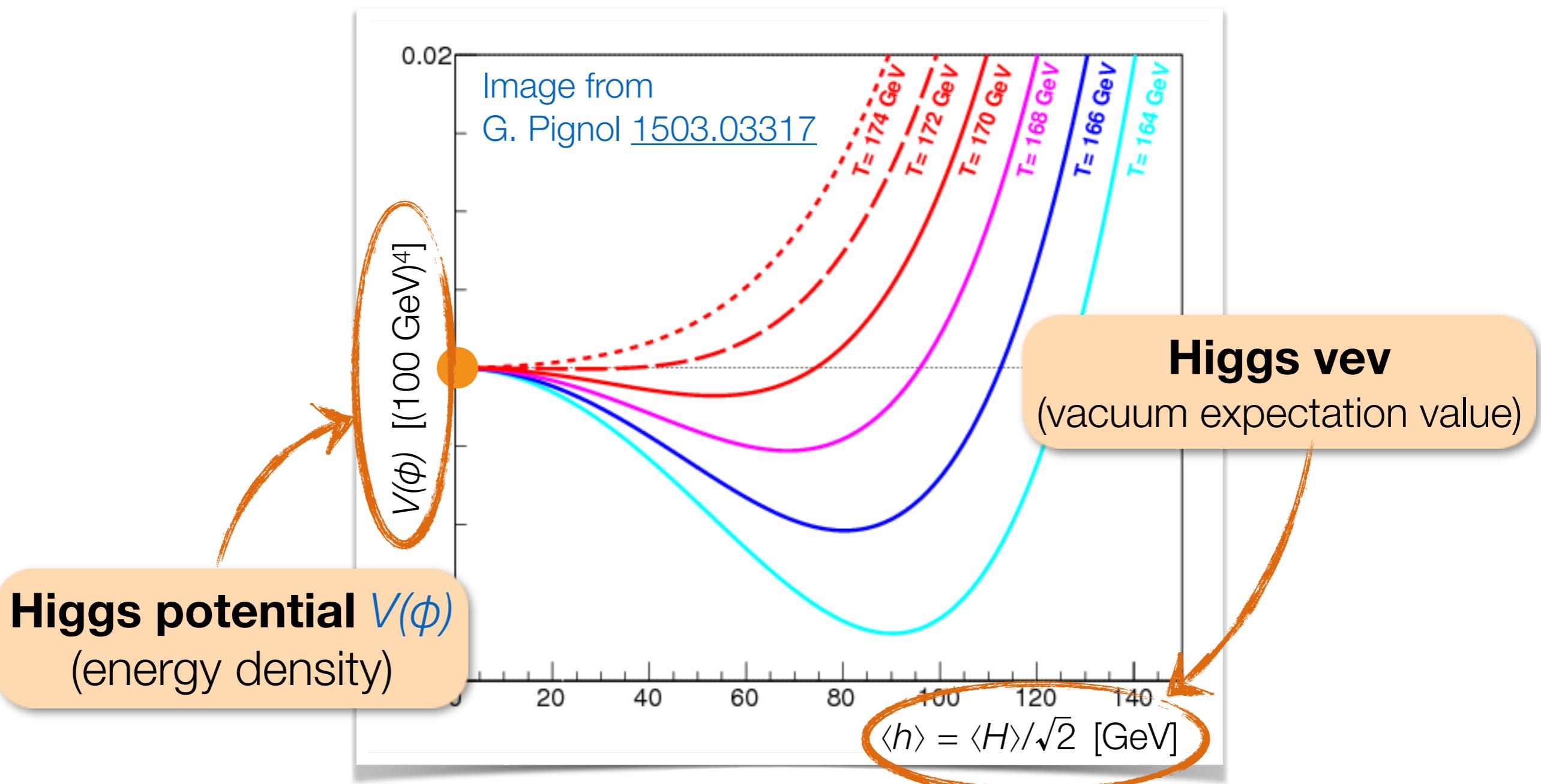
# The Electroweak Phase Transition



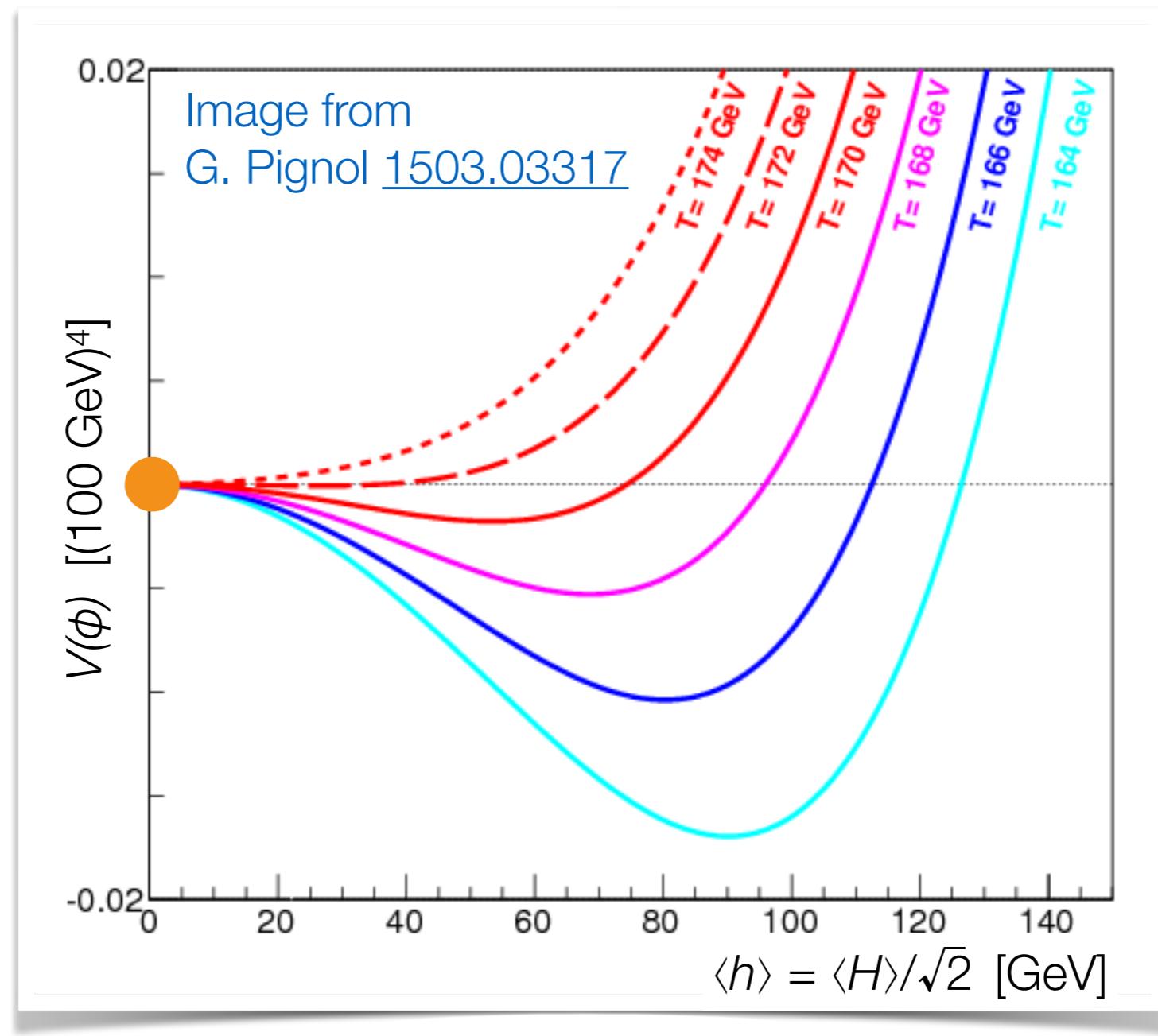
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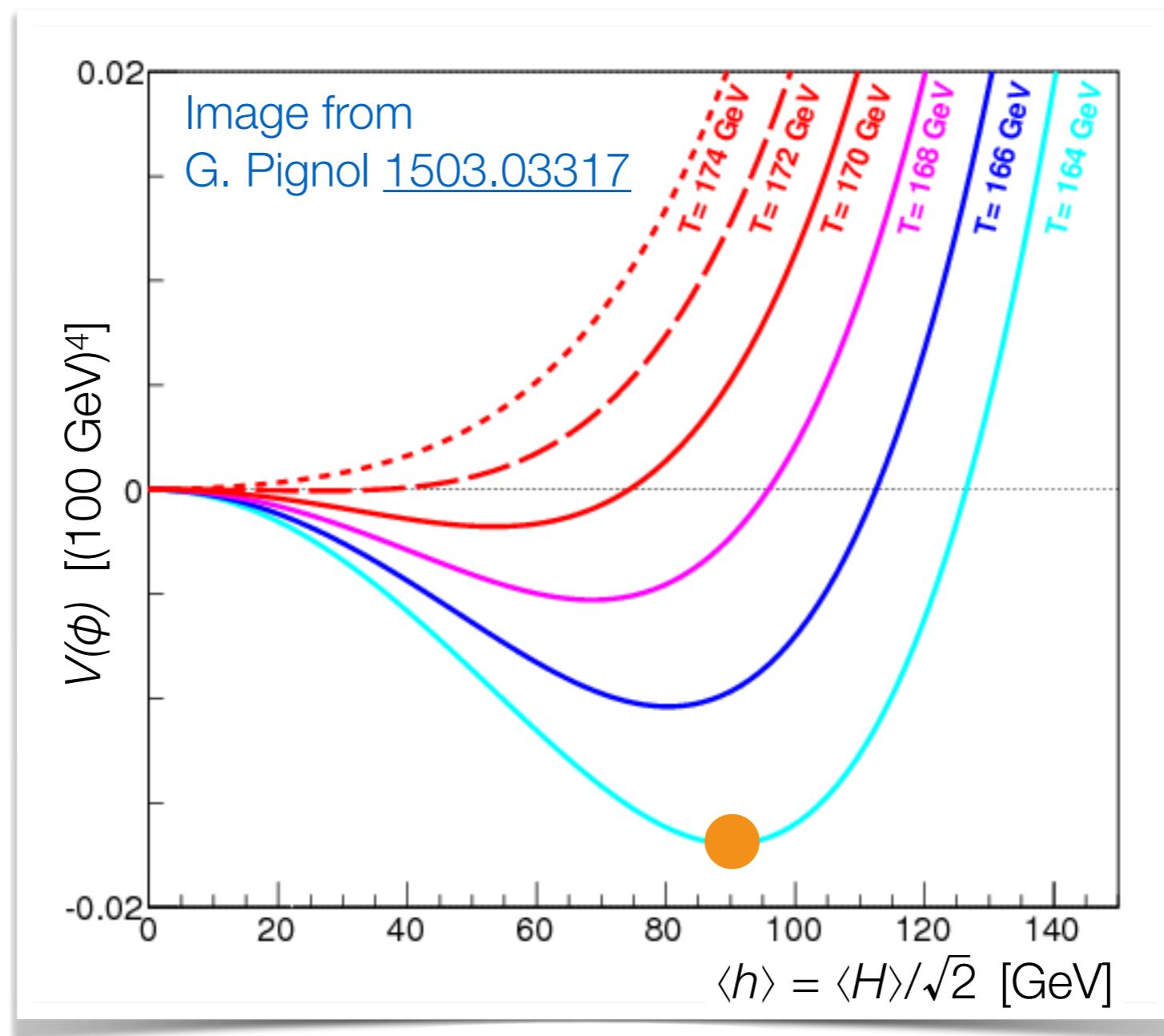
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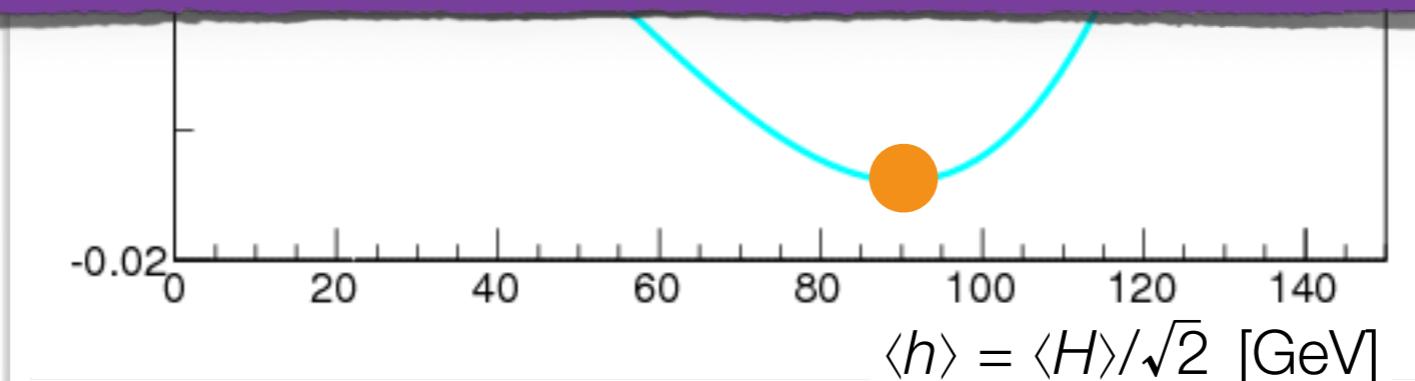
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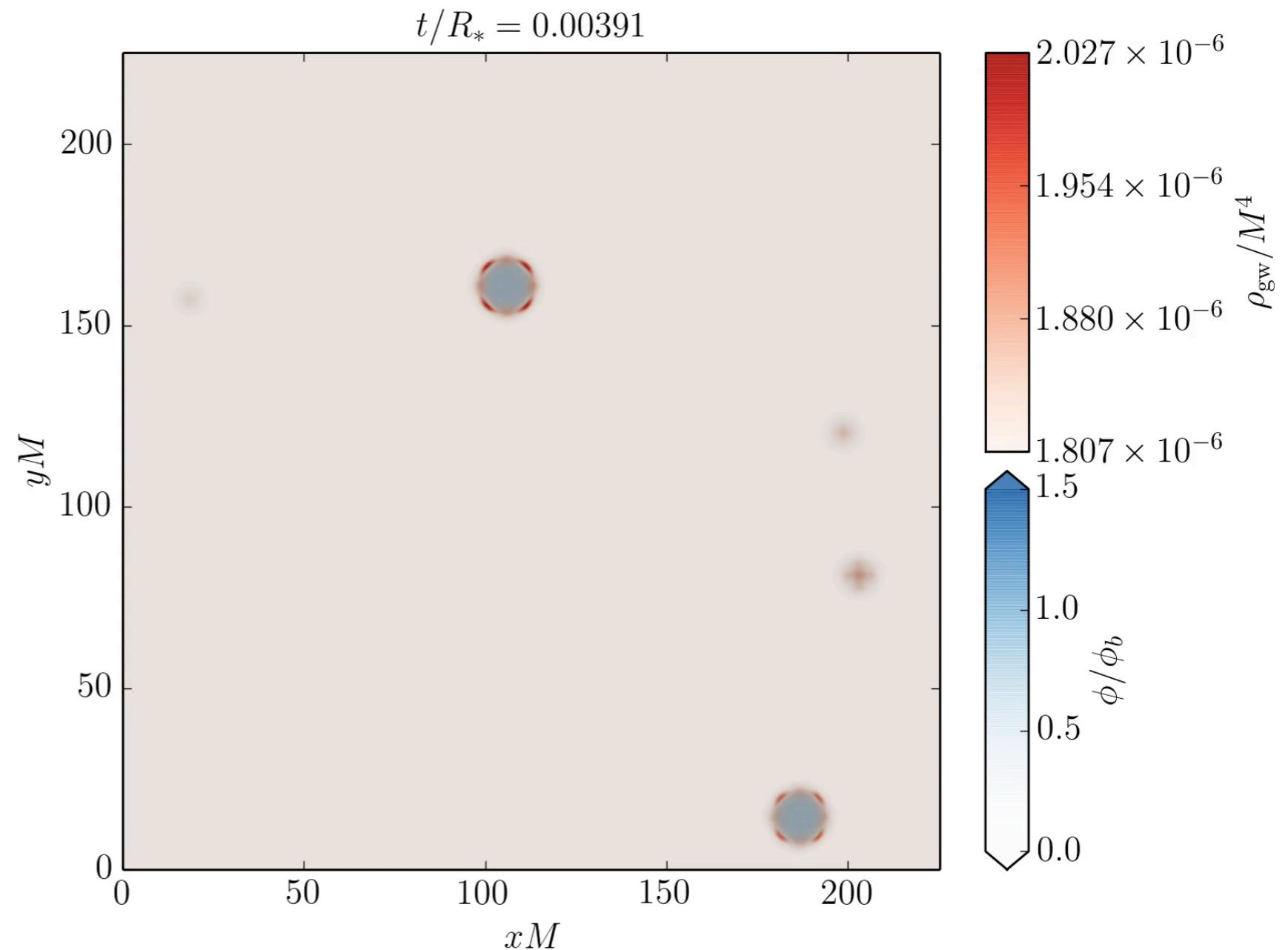
What happens to Dark Matter  
during a phase transition?



# “Filtered” Dark Matter

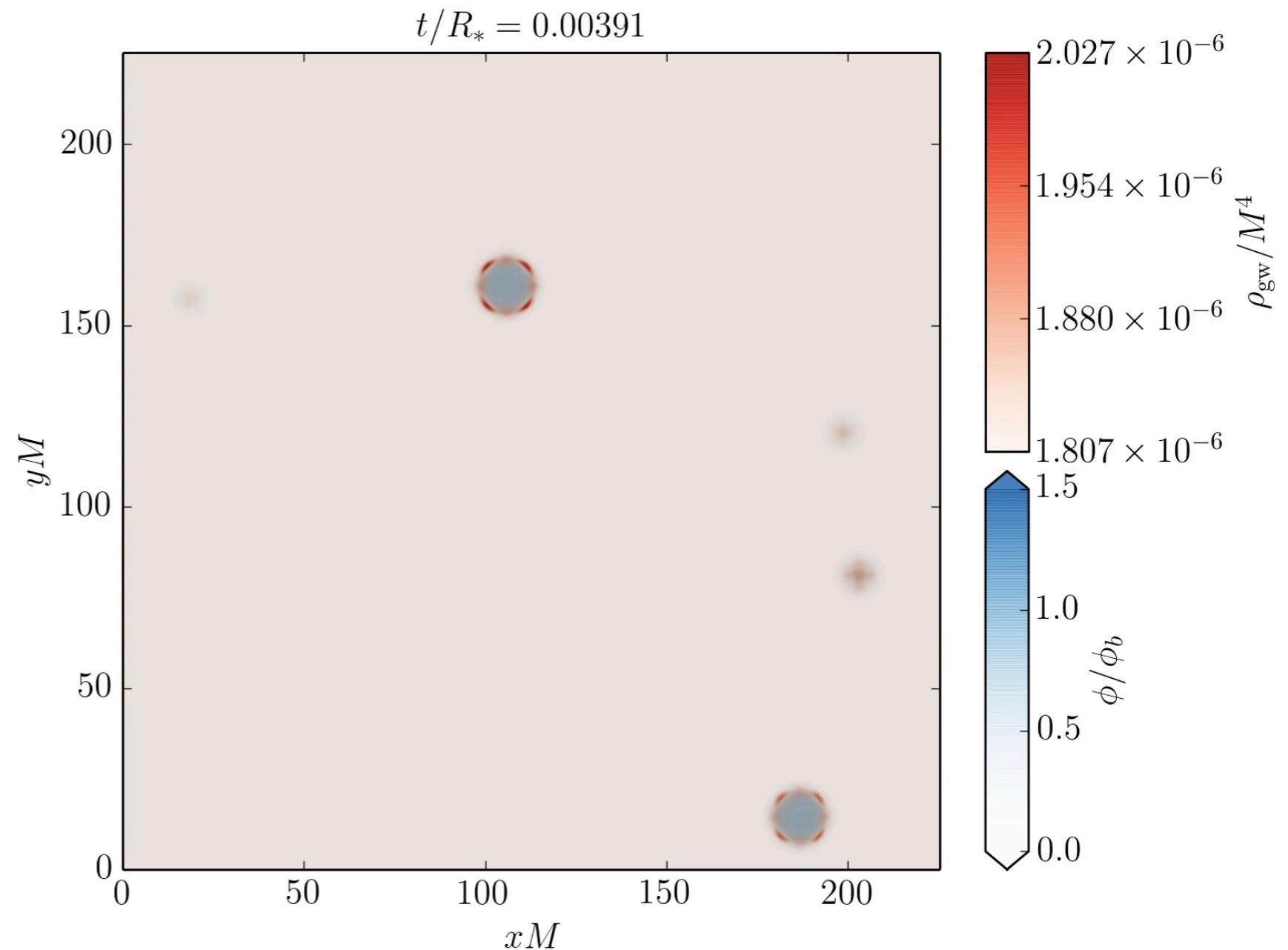


# DM Filtering at Bubble Walls



[Witten 1984, Cutting Hindmarsh Weir 2018](#)

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Assume DM ( $\chi$ ) acquires mass during a phase transition

$$\mathcal{L} \supset -y_{\text{DM}} \phi \bar{\chi} \chi$$



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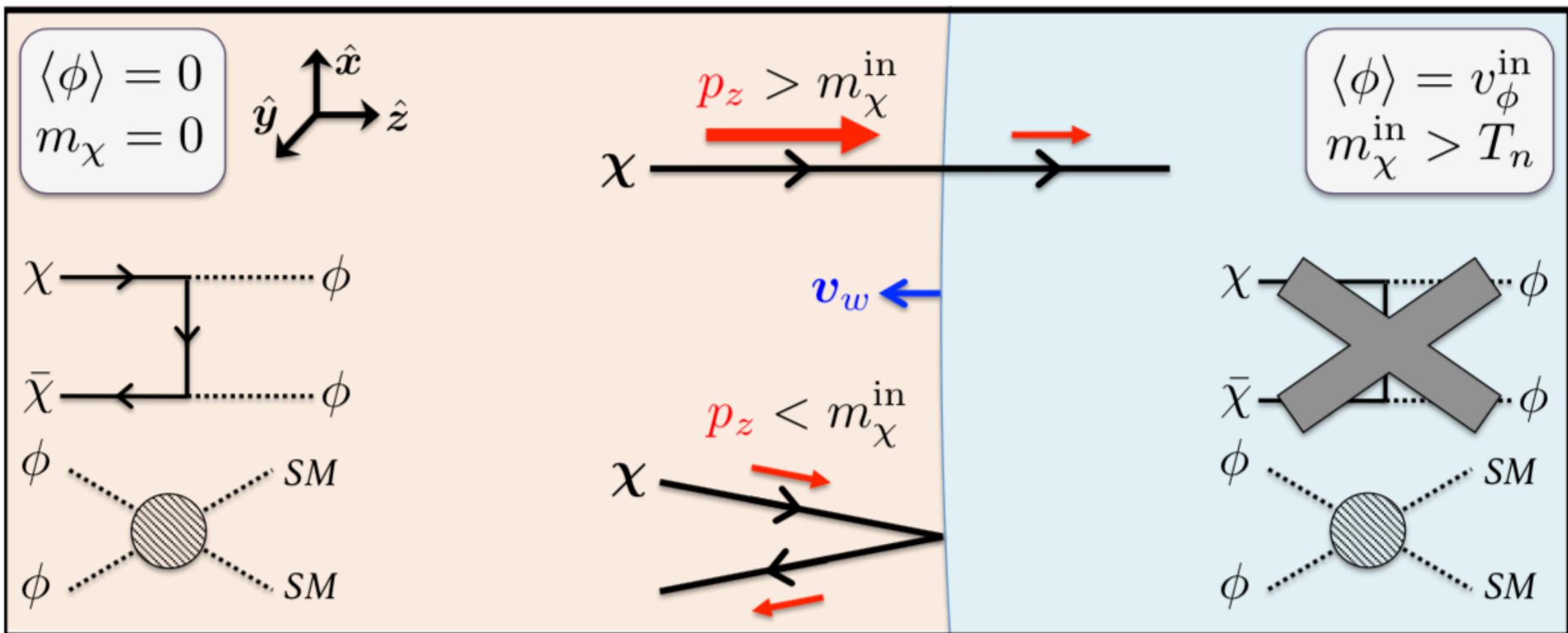


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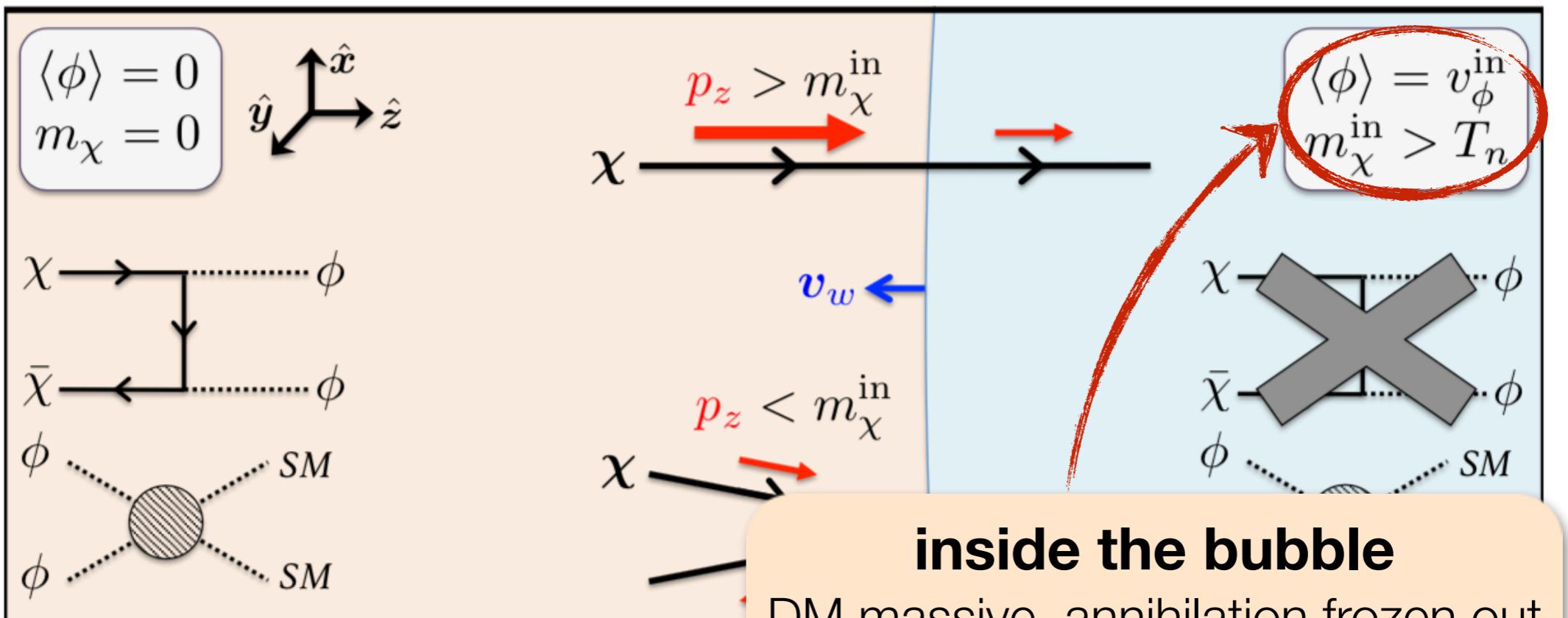
Baker JK Long, arXiv:1912.02830

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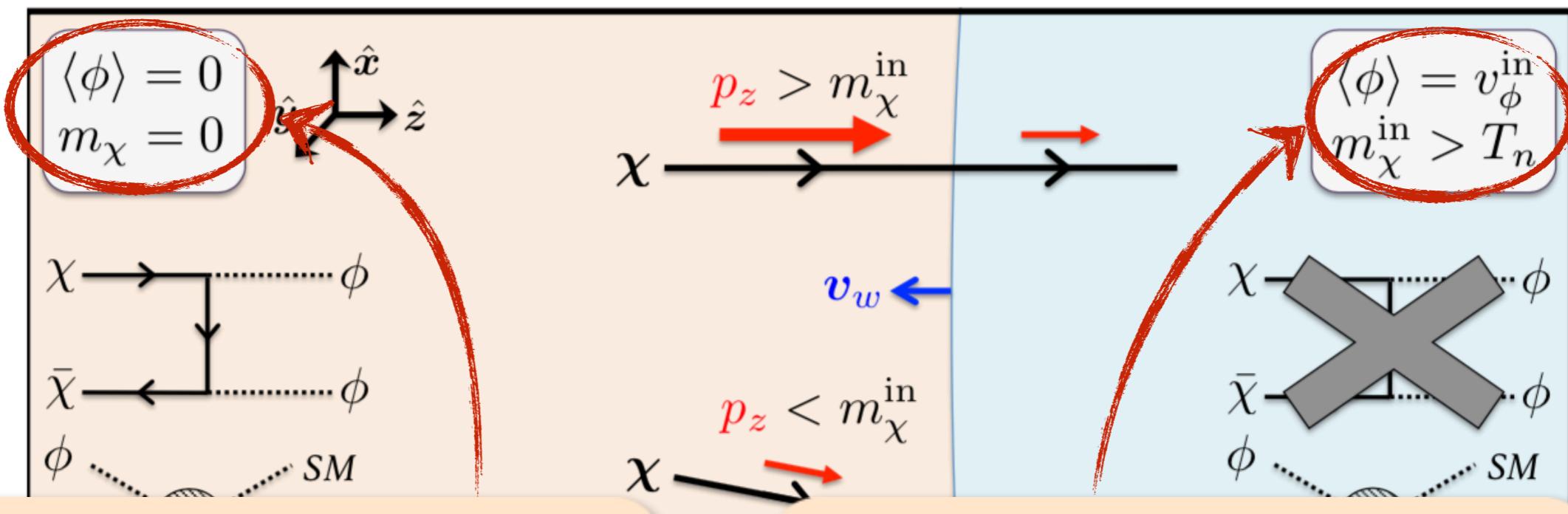
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**outside the bubble**

DM massless, annihilates efficiently

**inside the bubble**

DM massive, annihilation frozen out

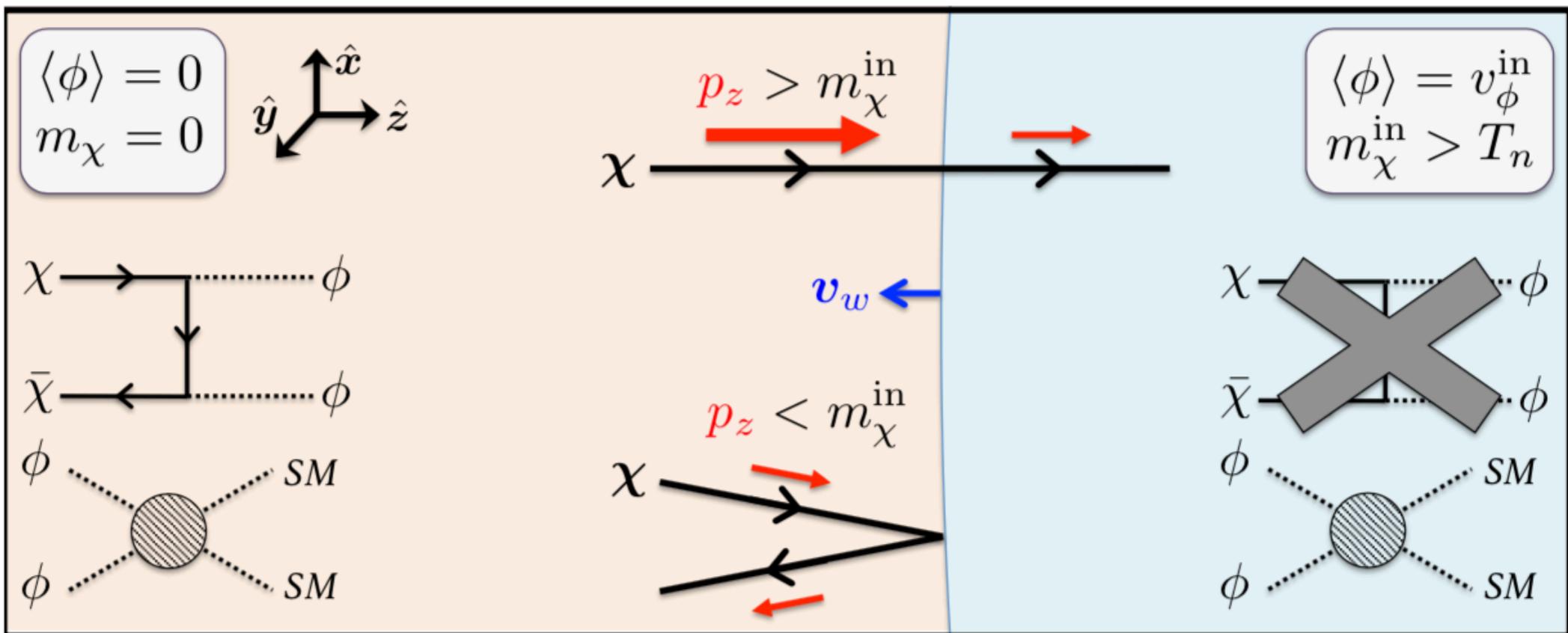
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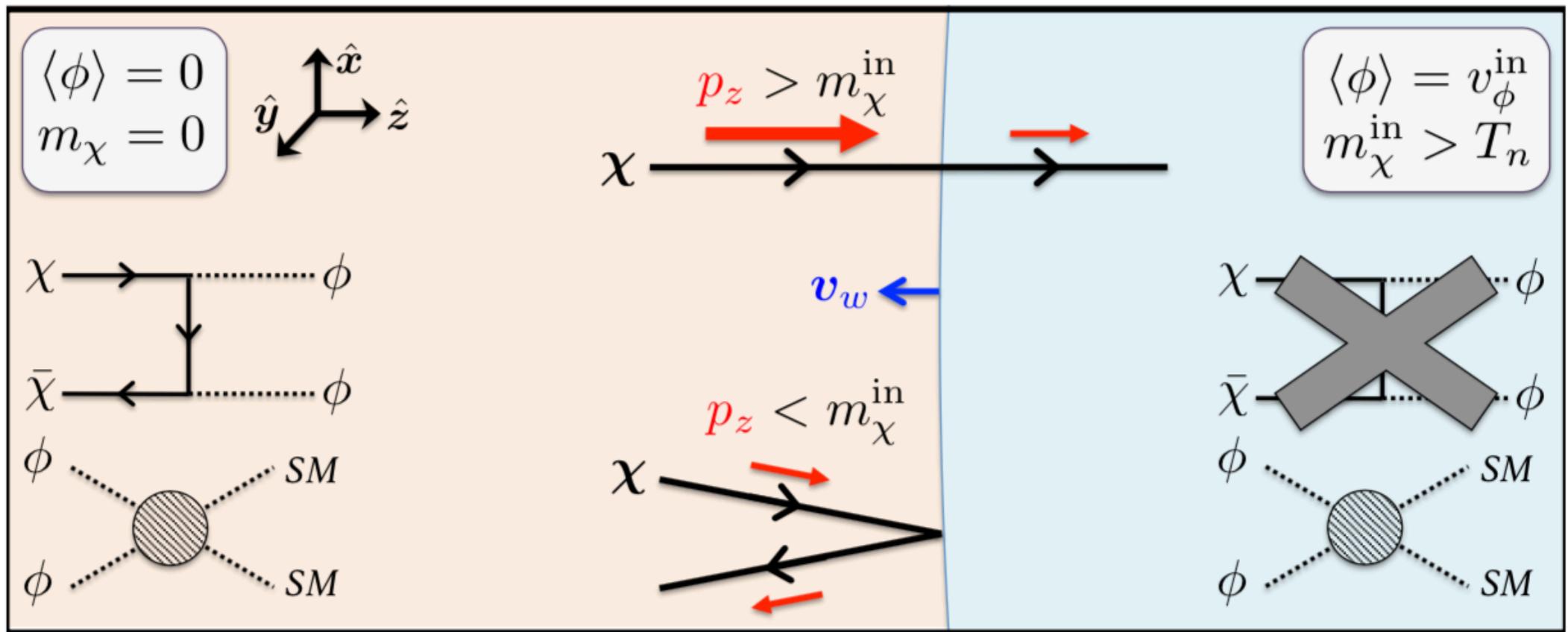
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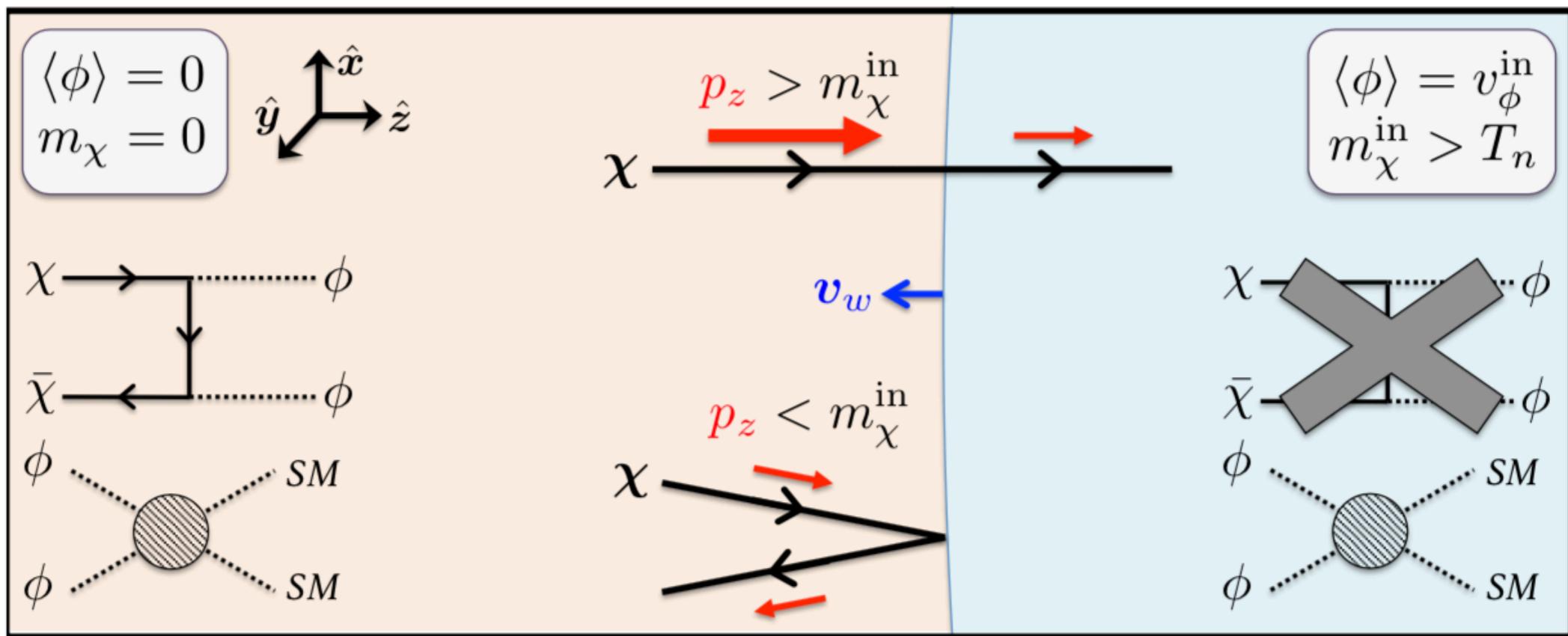
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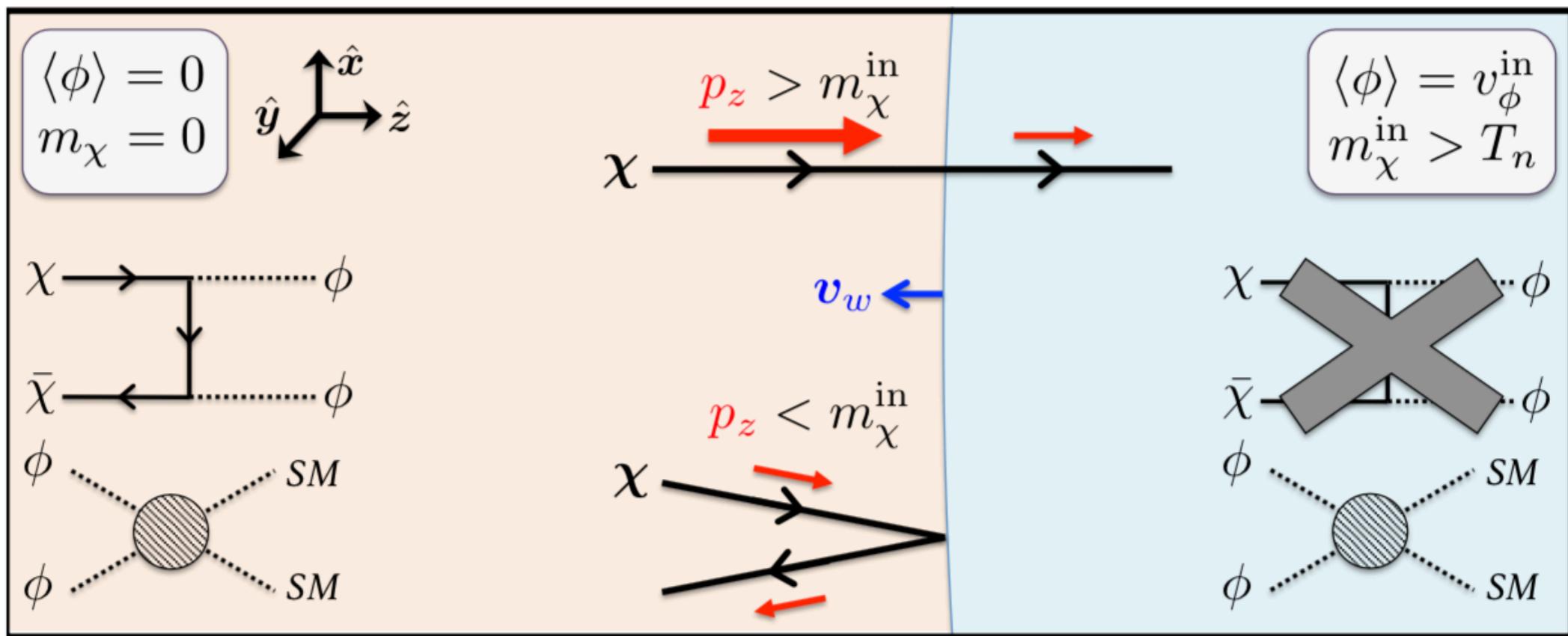
# DM Filtering at Bubble Walls



small DM abundance inside the bubble persists

Baker JK Long, arXiv:1912.02830

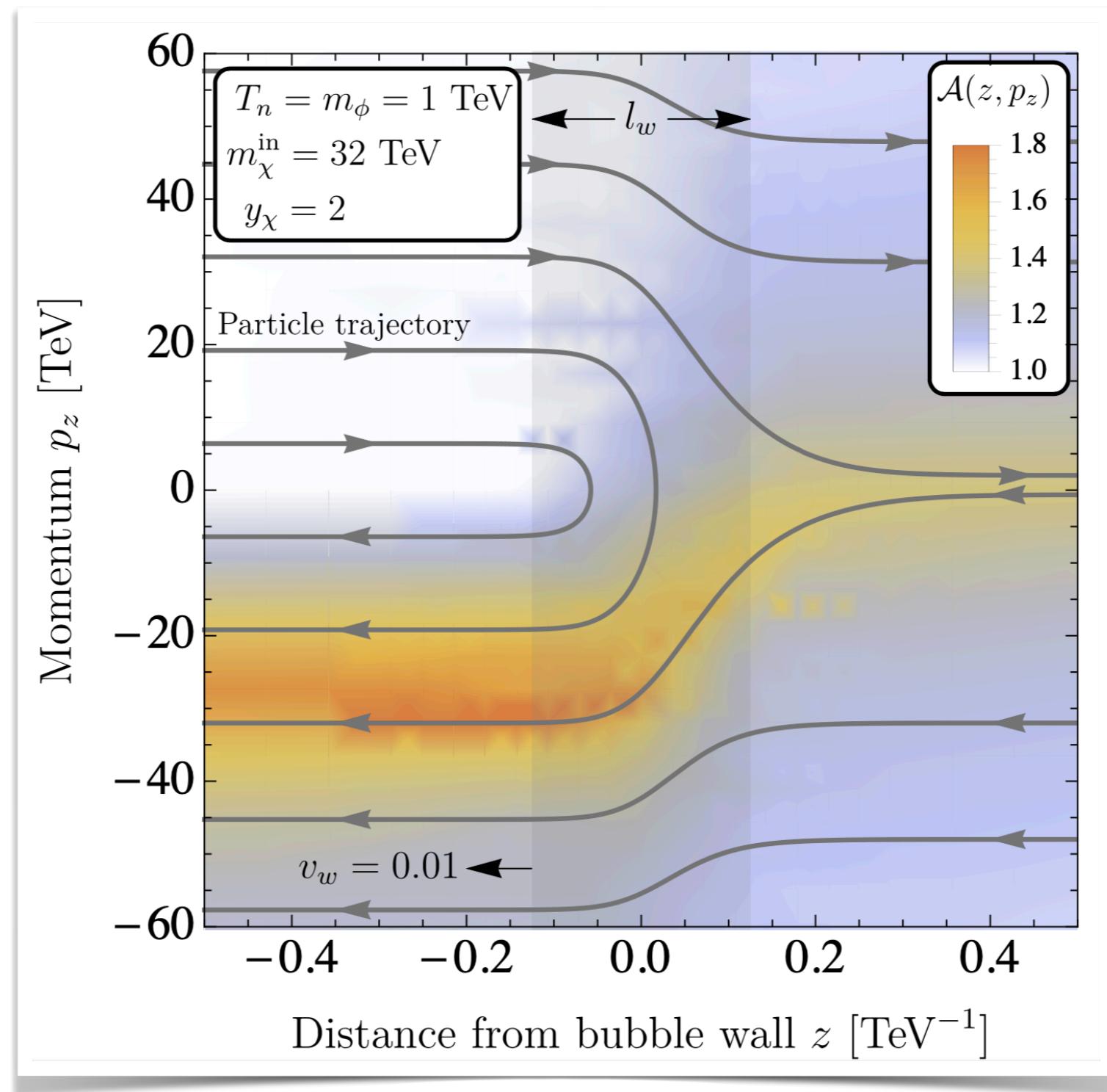
# DM Filtering at Bubble Walls



- small DM abundance inside the bubble persists
- most DM particles remain outside, annihilate efficiently

Baker JK Long, arXiv:1912.02830

# Dark Matter at Bubble Walls



# Solving the Boltzmann Equations



# Solving the Boltzmann Equations

General Boltzmann Equation

$$\mathbf{L}[f_\chi] = \mathbf{C}[f_\chi]$$



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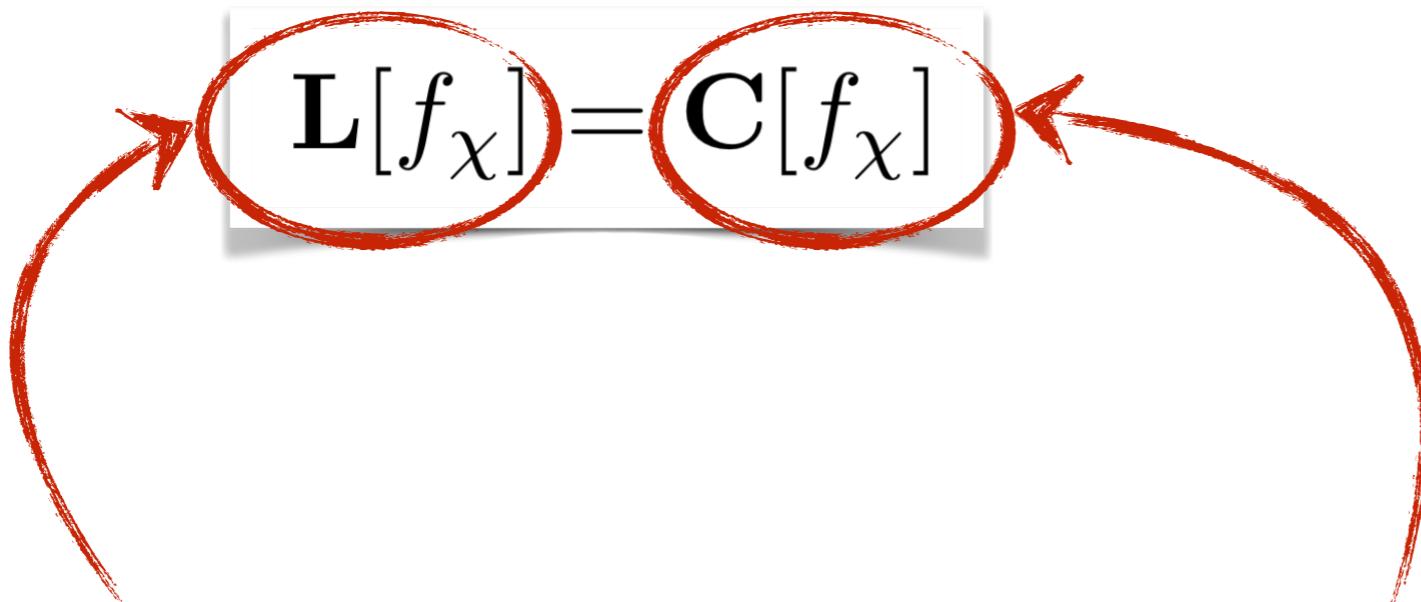
### **Liouville operator**

total time derivative of  
phase space distribution



# Solving the Boltzmann Equations

## General Boltzmann Equation

$$\mathbf{L}[f_\chi] = \mathbf{C}[f_\chi]$$


### **Liouville operator**

total time derivative of  
phase space distribution

### **collision term**

change in phase space distribution  
due to collision and annihilation

# Solving the Boltzmann Equations

General Boltzmann Equation

$$\mathbf{L}[f_\chi] = \mathbf{C}[f_\chi]$$

Liouville operator

$$\mathbf{L}[f_\chi] = \frac{df_\chi}{dt^w} = \frac{\partial f_\chi}{\partial t^w} + \frac{\partial \mathbf{x}^w}{\partial t^w} \frac{\partial f_\chi}{\partial \mathbf{x}^w} + \frac{\partial \mathbf{p}^w}{\partial t^w} \frac{\partial f_\chi}{\partial \mathbf{p}^w}$$



# The Liouville Operator

$$\mathbf{L}[f_\chi] = \frac{df_\chi}{dt^w} = \frac{\partial f_\chi}{\partial t^w} + \frac{\partial \mathbf{x}^w}{\partial t^w} \frac{\partial f_\chi}{\partial \mathbf{x}^w} + \frac{\partial \mathbf{p}^w}{\partial t^w} \frac{\partial f_\chi}{\partial \mathbf{p}^w}$$

## Simplifications:

- stationarity ( $\partial f_\chi / \partial t^w = 0$ )
- translation invariance in  $x$  and  $y$
- integrate over  $x$  and  $y$  (to reduce number of variables)
- make ansatz  $f_\chi = \mathcal{A}(z^w, p_z^w) \exp\left(-\frac{E^p}{T}\right)$

(superscript “w”: wall rest frame, “p”: plasma rest frame)

full details in Baker JK Long, arXiv:1912.02830



# The Collision Term

$$g_\chi \int \frac{dp_x dp_y}{(2\pi)^2} \mathbf{C}[f_\chi] = \sum_{\text{spins}} \int \frac{dp_x dp_y}{(2\pi)^2} d\Pi_{q^p} d\Pi_{k^p} d\Pi_{l^p} \frac{(2\pi)^4}{2E_p^p} \delta^{(4)}(p^p + q^p - k^p - l^p) |\mathcal{M}|^2 \\ \cdot \left[ f_{\chi_p} f_{\bar{\chi}_q} (1 \pm f_{\phi_k}) (1 \pm f_{\phi_l}) - f_{\phi_k} f_{\phi_l} (1 \pm f_{\chi_p}) (1 \pm f_{\bar{\chi}_q}) \right],$$

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integrate out  $x$  and  $y$

full details in Baker JK Long, arXiv:1912.02830



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integrate out  $x$  and  $y$

phase space integrals

full details in Baker JK Long, arXiv:1912.02830



# The Collision Term

matrix element

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$$[f_{\chi_p} f_{\bar{\chi}_q} (1 \pm f_{\phi_k}) (1 \pm f_{\phi_l}) - f_{\phi_k} f_{\phi_l} (1 \pm f_{\chi_p}) (1 \pm f_{\bar{\chi}_q})],$$

integrate out  $x$  and  $y$

phase space integrals

distribution functions,  
Pauli blocking / Bose enhancement

full details in Baker JK Long, arXiv:1912.02830



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## Simplifications:

- same as for the Liouville operator, but also
- neglect Pauli blocking / Bose enhancement

full details in Baker JK Long, arXiv:1912.02830



# Solving the Boltzmann Equation

After simplifications, Boltzmann equation takes the form

$$\left[ \left( \frac{p_z}{m_\chi} \frac{\partial}{\partial z} - \left( \frac{\partial m_\chi}{\partial z} \right) \frac{\partial}{\partial p_z} - \left( \frac{\partial m_\chi}{\partial z} \right) \frac{v_w}{T_n} \right) \mathcal{A}(z, p_z) \right] \frac{g_\chi m_\chi T_n}{2\pi} \exp \left[ \frac{v_w p_z - \sqrt{m_\chi^2 + (p_z)^2}}{T_n} \right] = g_\chi \int \frac{dp_x dp_y}{(2\pi)^2} \mathbf{C}[f_\chi]$$

A PDE of the form

$$a(z^w, p_z^w) \frac{\partial \mathcal{A}}{\partial z^w} + b(z^w, p_z^w) \frac{\partial \mathcal{A}}{\partial p_z^w} = c(\mathcal{A}, z^w, p_z^w)$$

can be solved by the method of characteristics

Define parametric curve via

$$\frac{dz^w(\lambda)}{d\lambda} = a(z^w, p_z^w), \quad \frac{dp_z^w(\lambda)}{d\lambda} = b(z^w, p_z^w)$$



# Solving the Boltzmann Equation

- ✓ A PDE of the form

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can be solved by the **method of characteristics**

- ✓ Define parametric curve via

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- ✓ Solution along the curve is given by

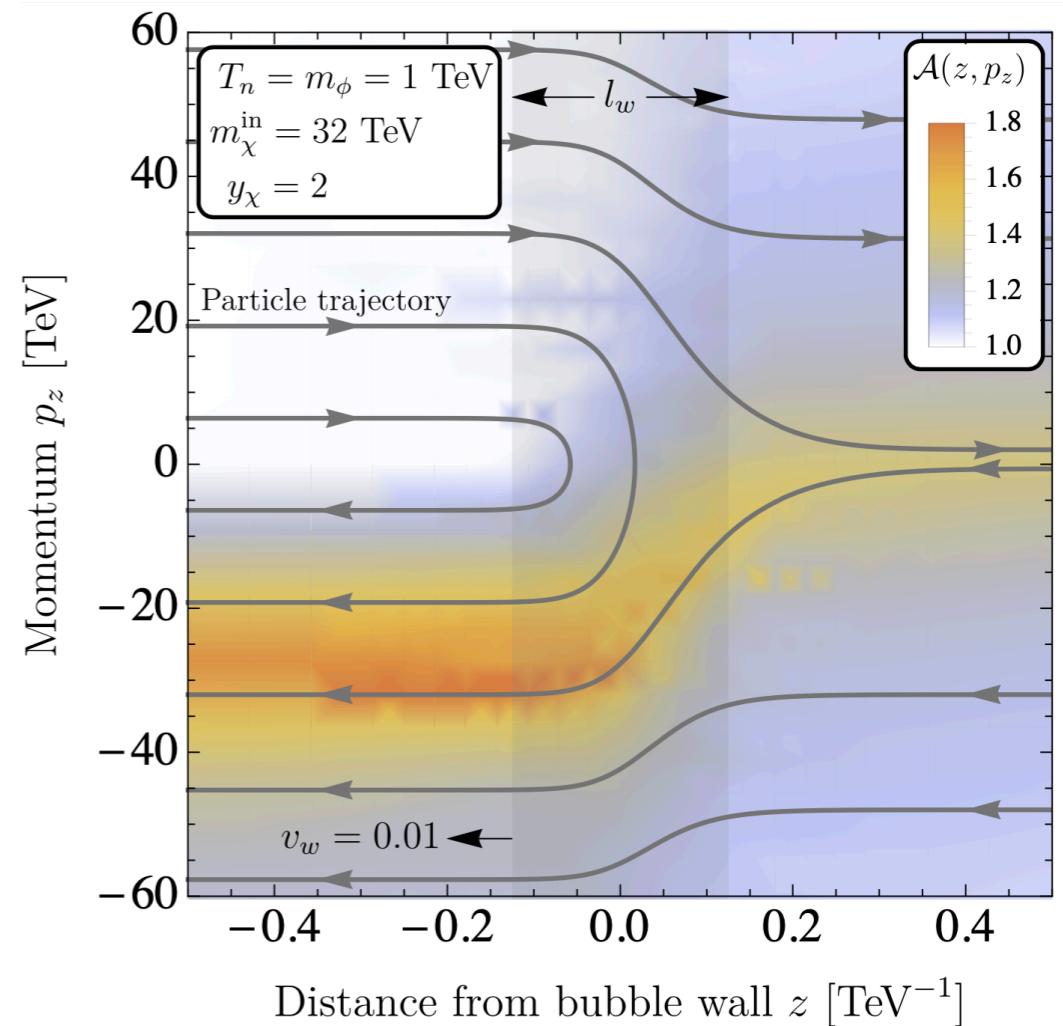
$$\frac{d\mathcal{A}(z^w(\lambda), p_z^w(\lambda))}{d\lambda} = c(\mathcal{A}(\lambda), z^w(\lambda), p_z^w(\lambda))$$

# Solving the Boltzmann Equation

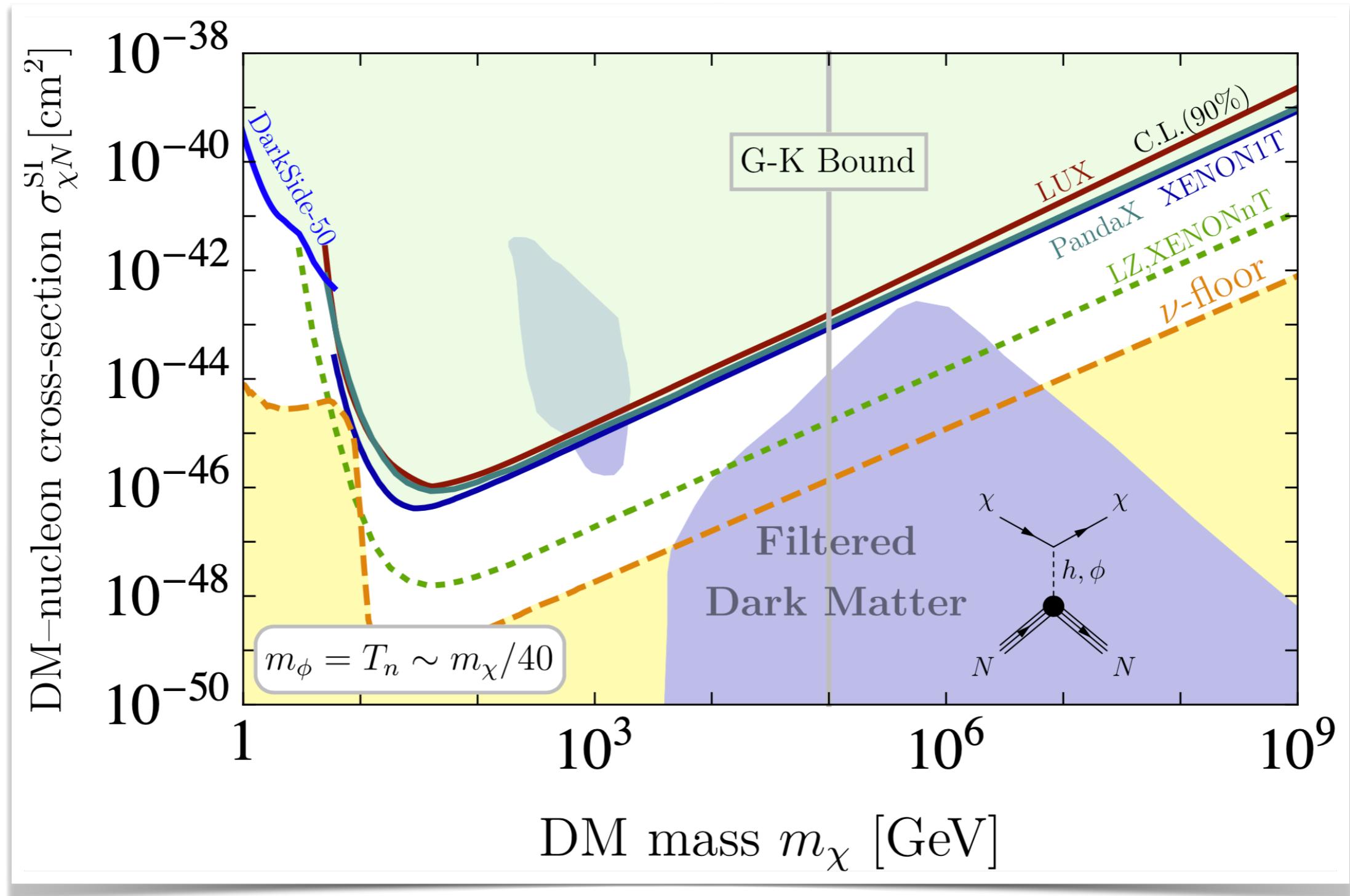
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$$\frac{d\mathcal{A}(z^w(\lambda), p_z^w(\lambda))}{d\lambda} = c(\mathcal{A}(\lambda), z^w(\lambda), p_z^w(\lambda))$$

Physical interpretation:  
○ curves = particle trajectories



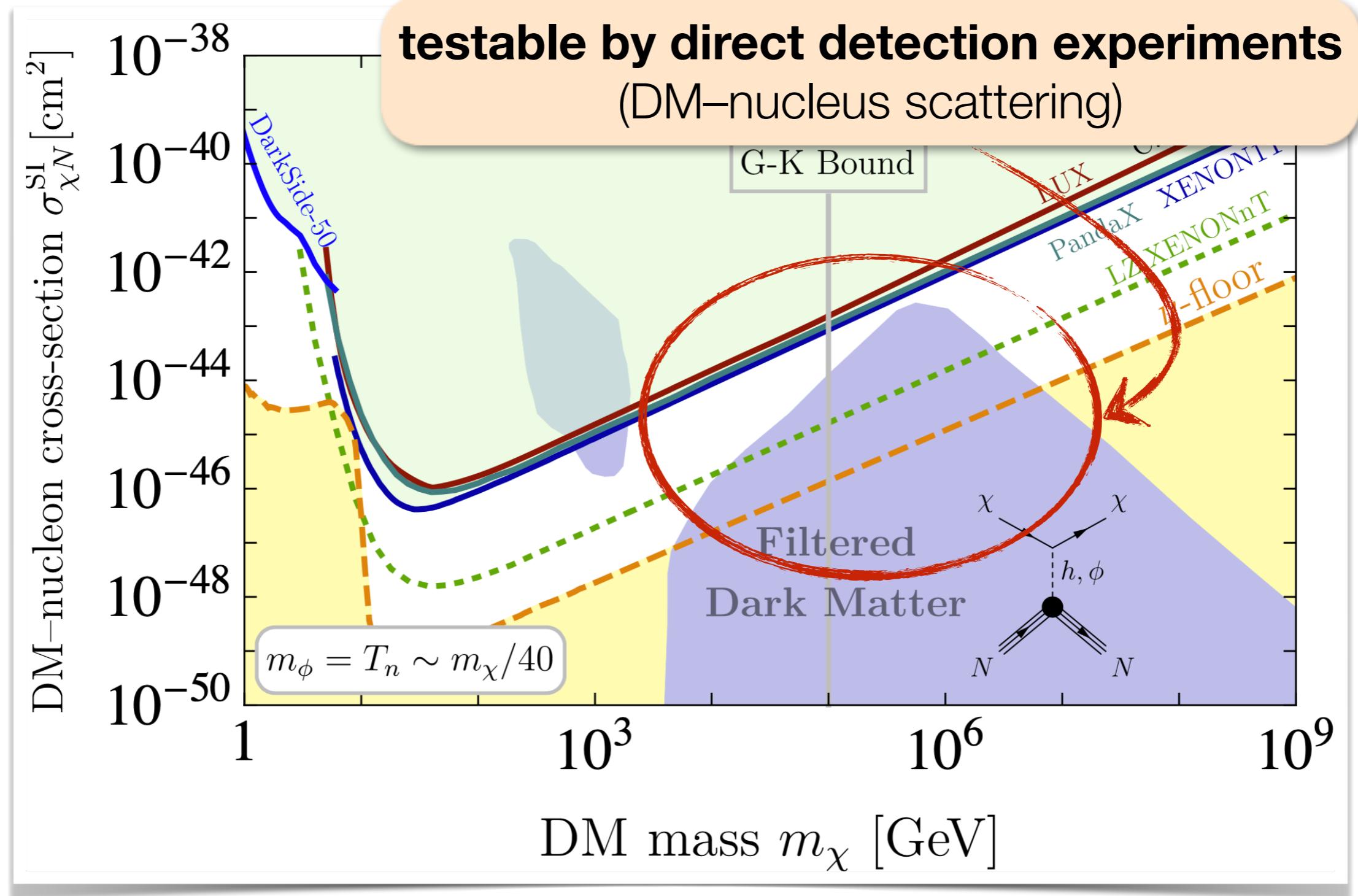
# DM Filtering at Bubble Walls



Baker JK Long, arXiv:1912.02830

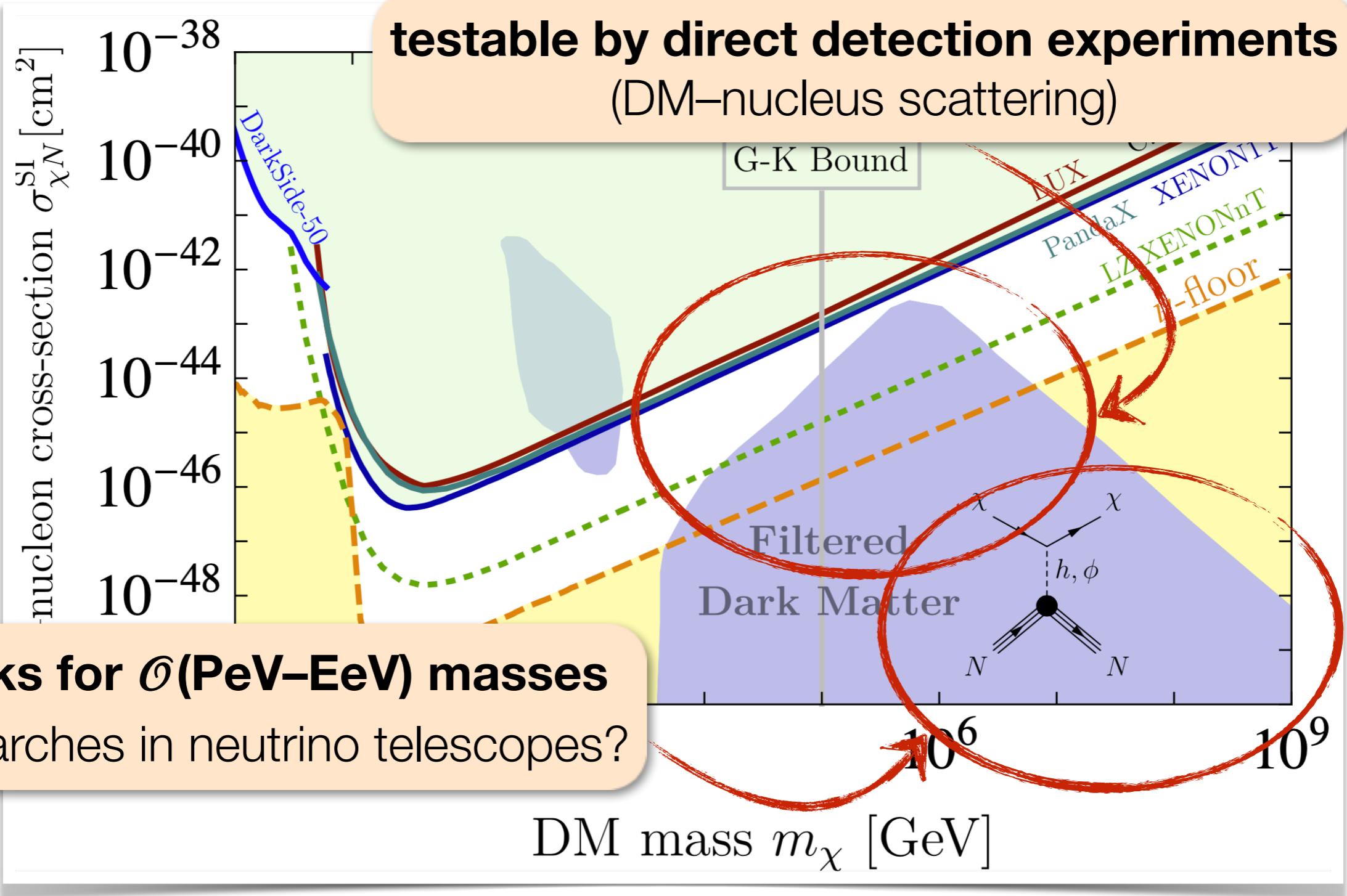


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# DM Filtering at Bubble Walls



# Filtered Baryogenesis

can be combined with a baryogenesis mechanism:

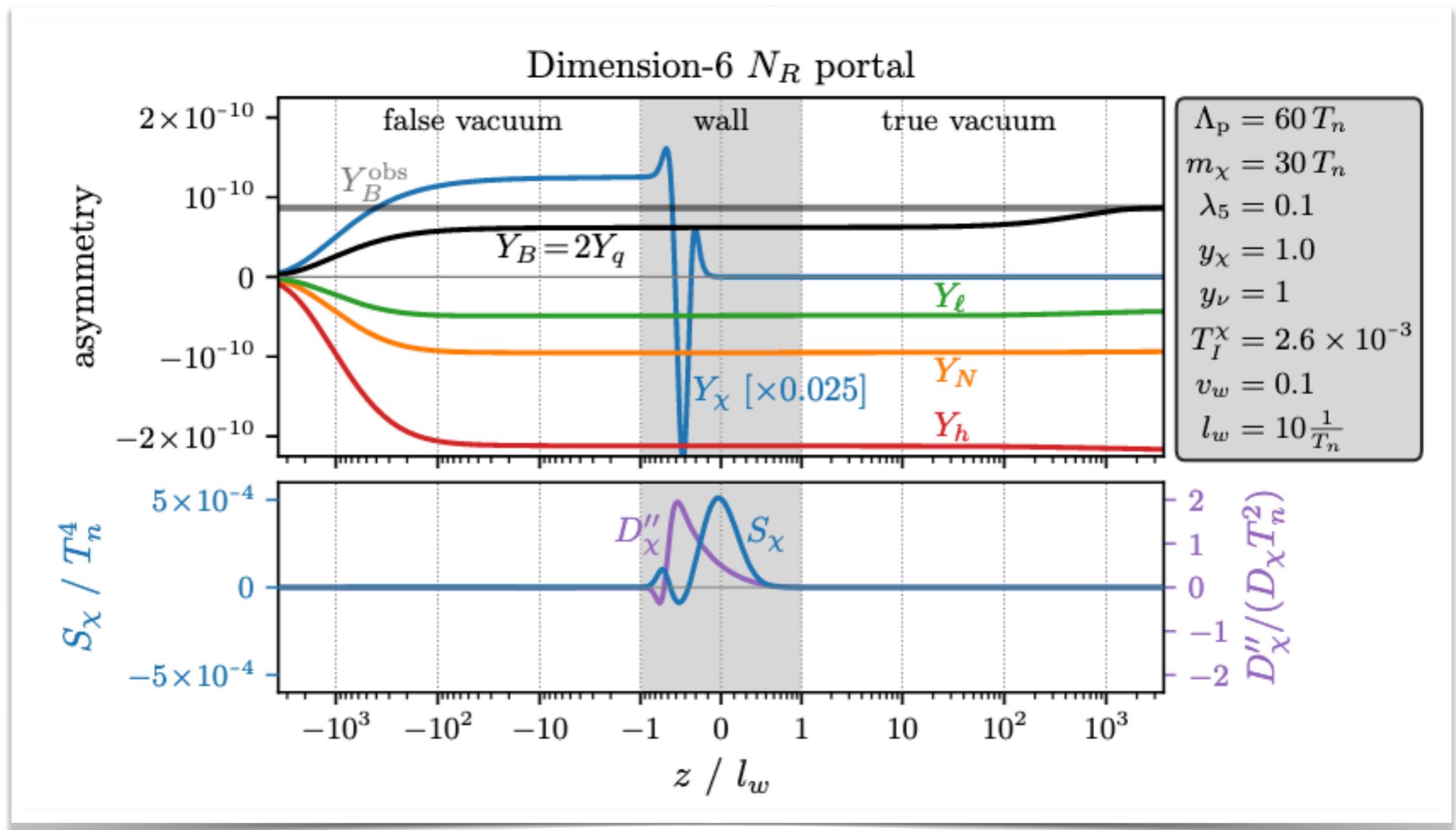
- CP-violating  $\chi-\phi$  interaction leads to chiral asymmetry in  $\chi$   
(analogous to electroweak baryogenesis)
- portal operator converts chiral asymmetry  
to lepton asymmetry
- SM sphalerons produce a baryon asymmetry

Baker Breitbach JK Mittnacht Soreq arXiv:2112.08987



# Filtered Baryogenesis

✓ can be combined with a baryogenesis mechanism:



Baker Breitbach JK Mittnacht Soreq arXiv:2112.08987

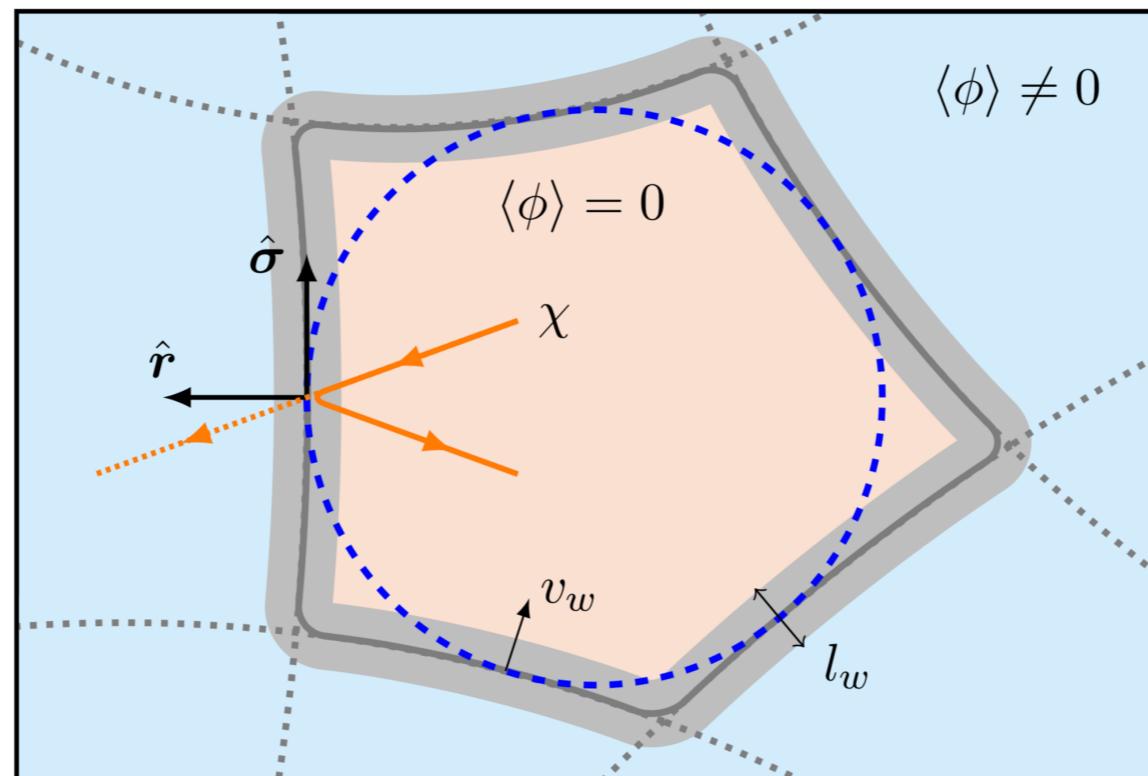


# Primordial Black Holes from Phase Transitions



# A Modified Scenario with Less Annihilation

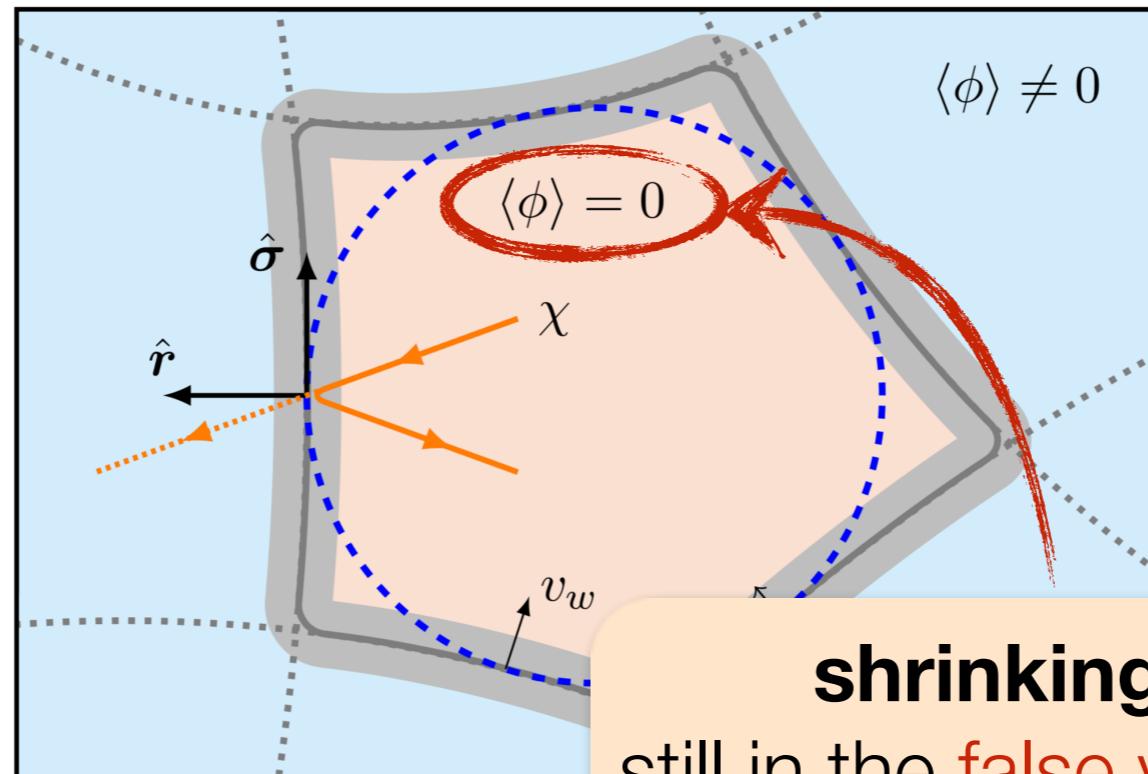
- Assume fermion  $\chi$  acquires mass during phase transition
- but cannot annihilate efficiently
- $\chi$  overdensity builds up in front of the bubble wall



Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

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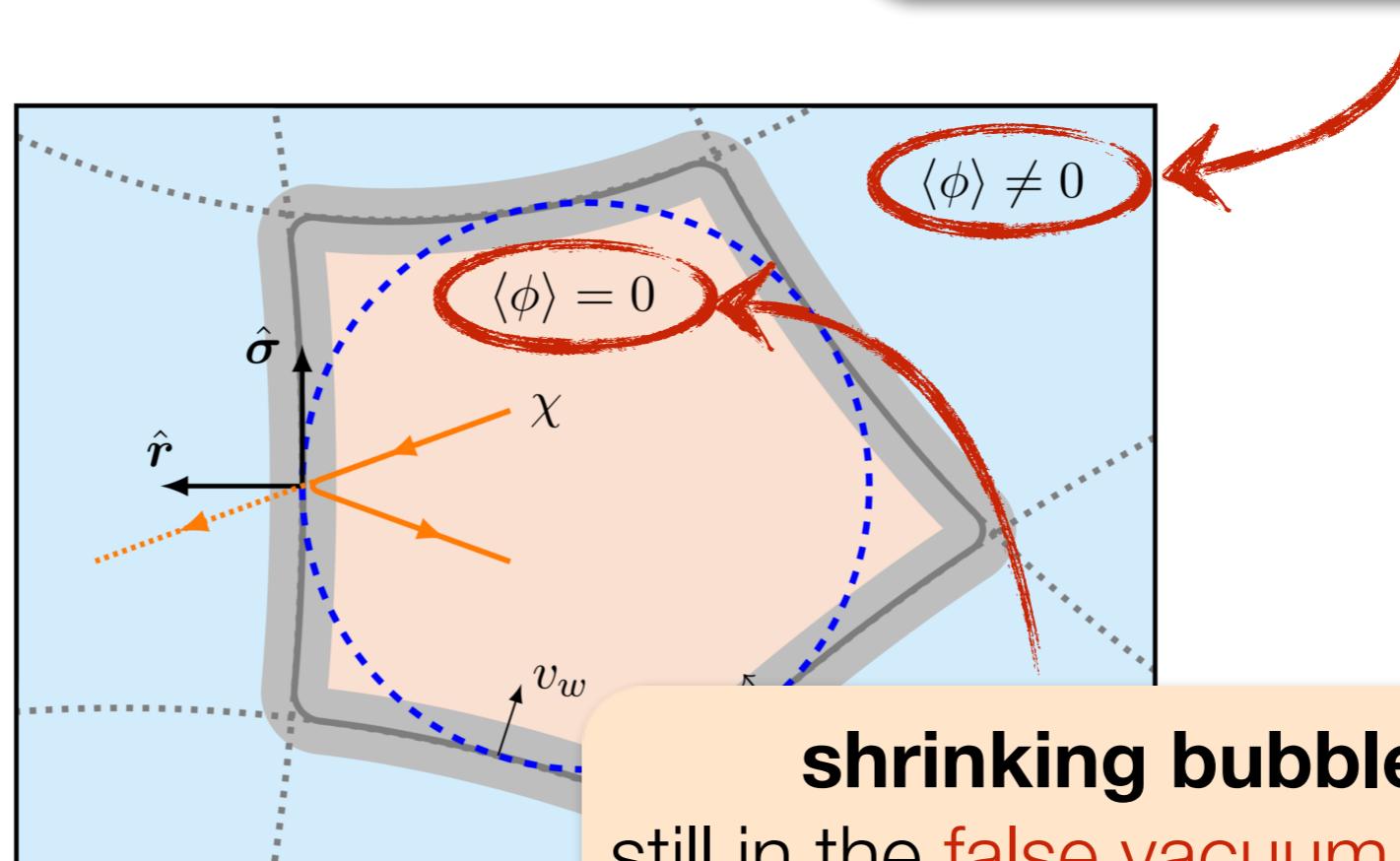
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Baker Breitbach JK Mittnacht, [arXiv:2105.07481](#) and [arXiv:2110.00005](#)

# A Modified Scenario with Less Annihilation

- Assume fermion  $\chi$  acquires mass during phase transition
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Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

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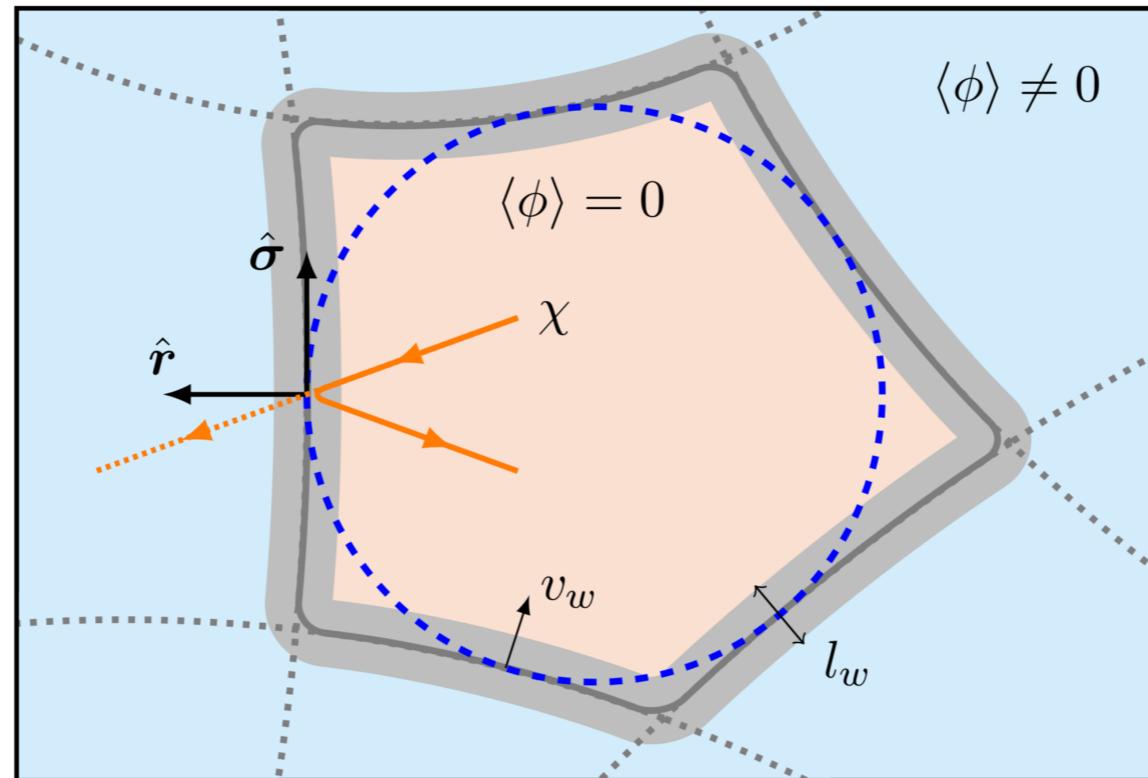
most  $\chi$  particles reflected

**shrinking bubble**

still in the **false vacuum** phase

Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

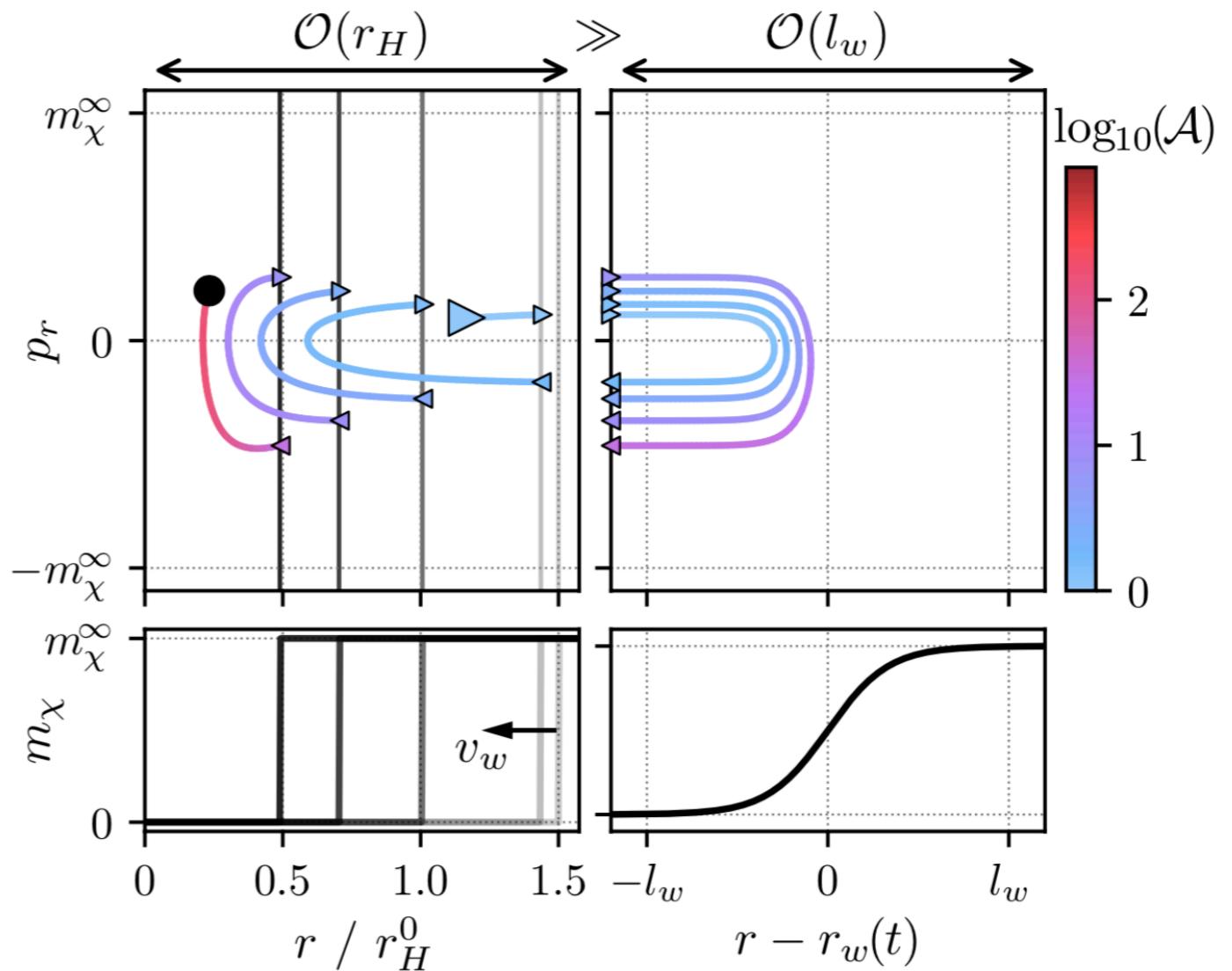
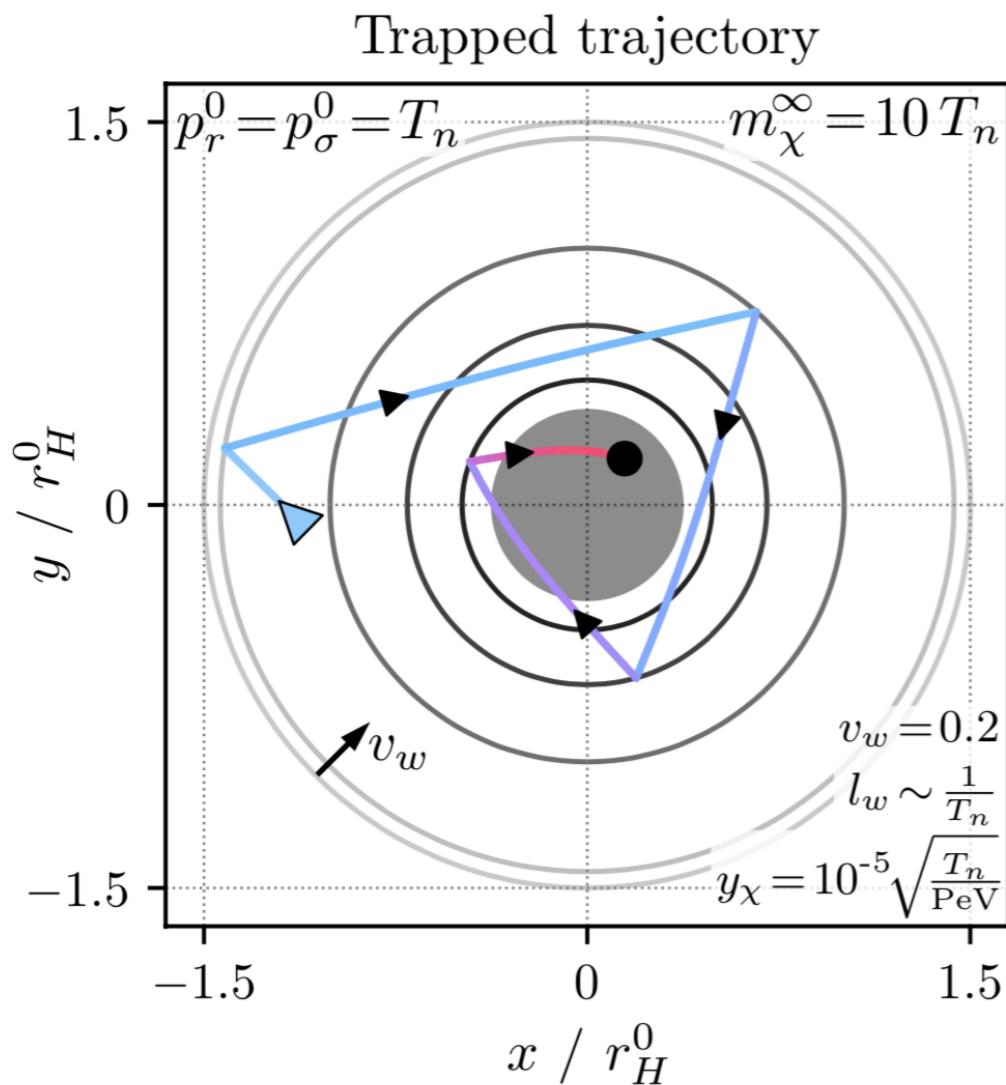
# A Modified Scenario with Less Annihilation



if population of  $\chi$  particles **shrinks** below its **Schwarzschild radius**, a **black hole** forms

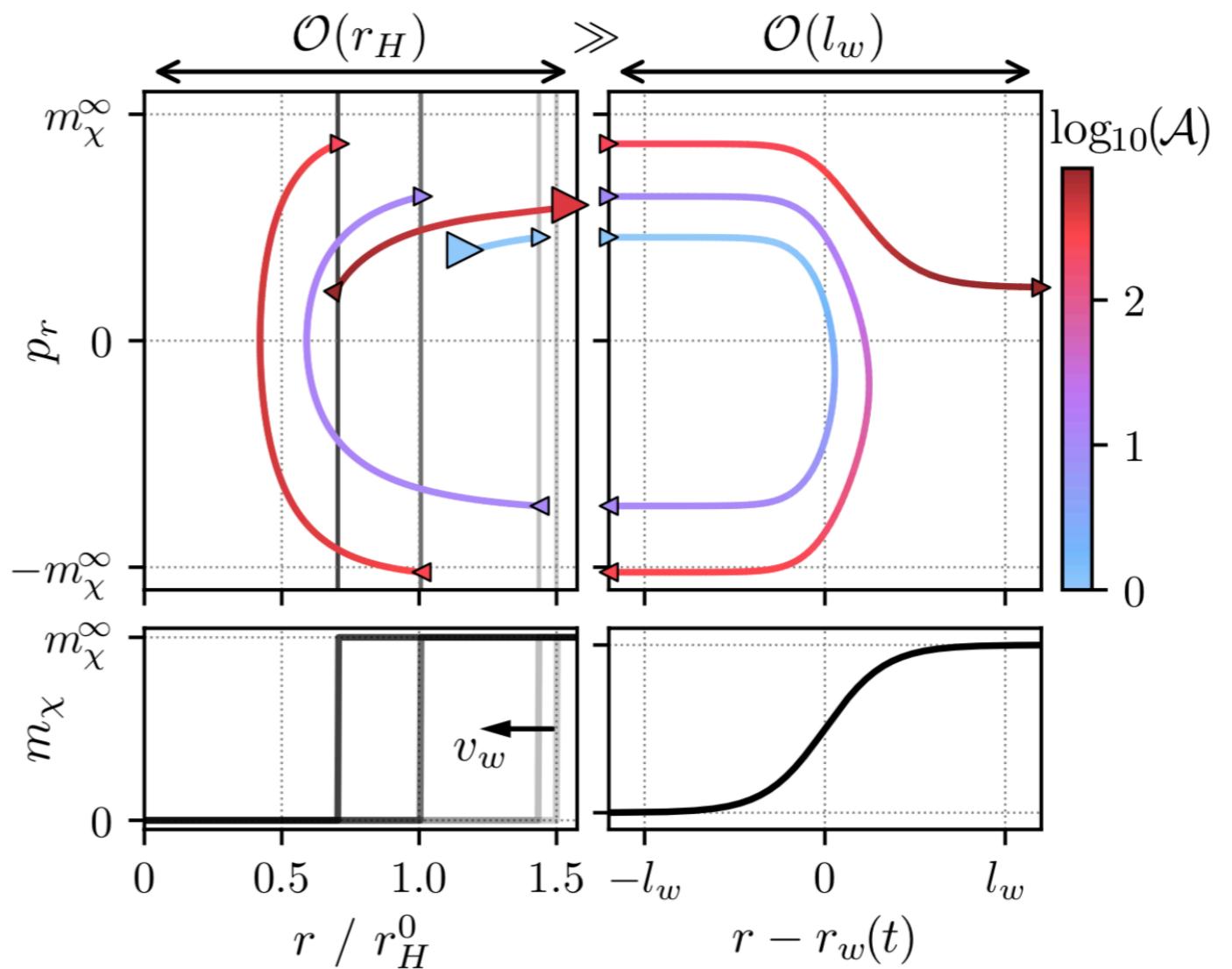
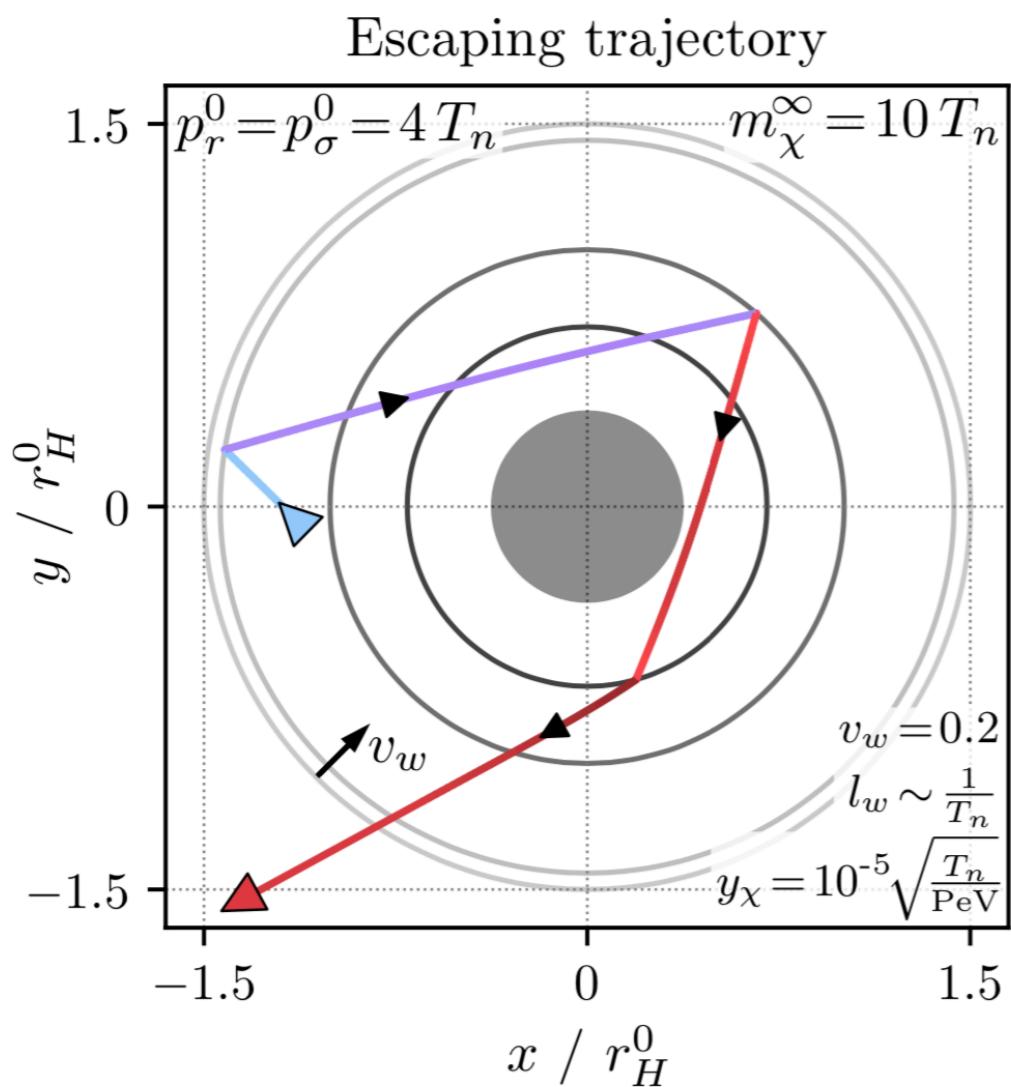
Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

# Typical Particle Trajectories (1)

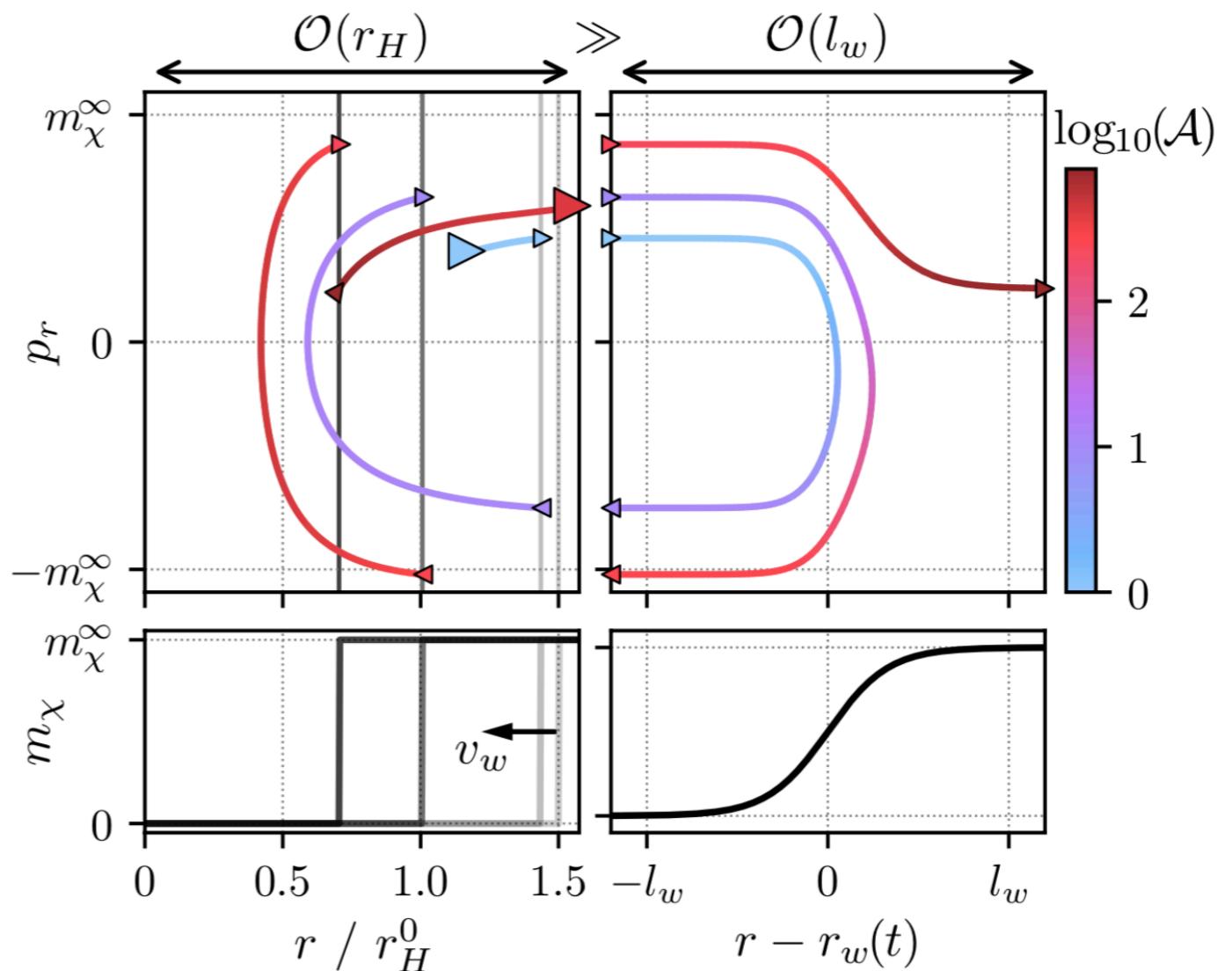
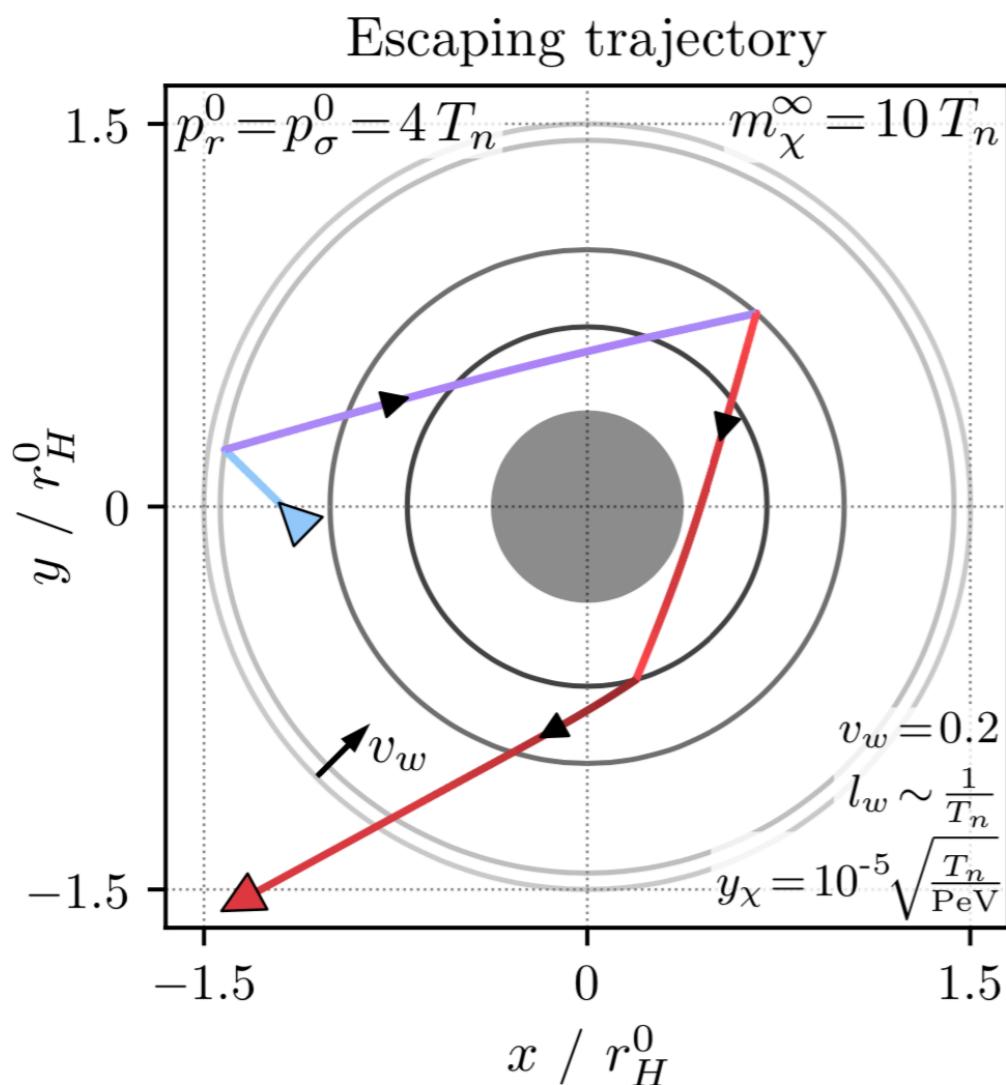


- particle reflected multiple times
- eventually ends up in black hole

# Typical Particle Trajectories (2)

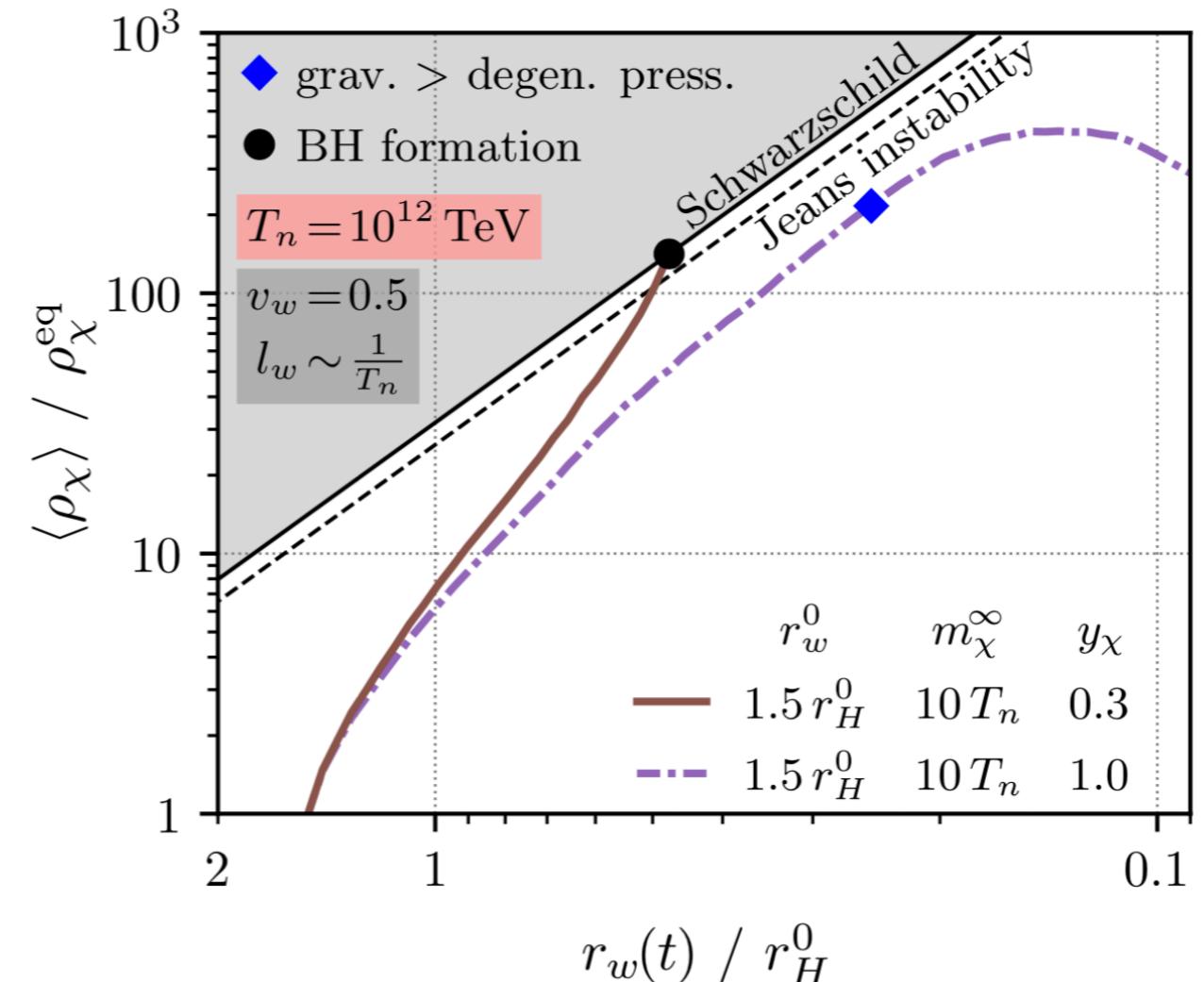
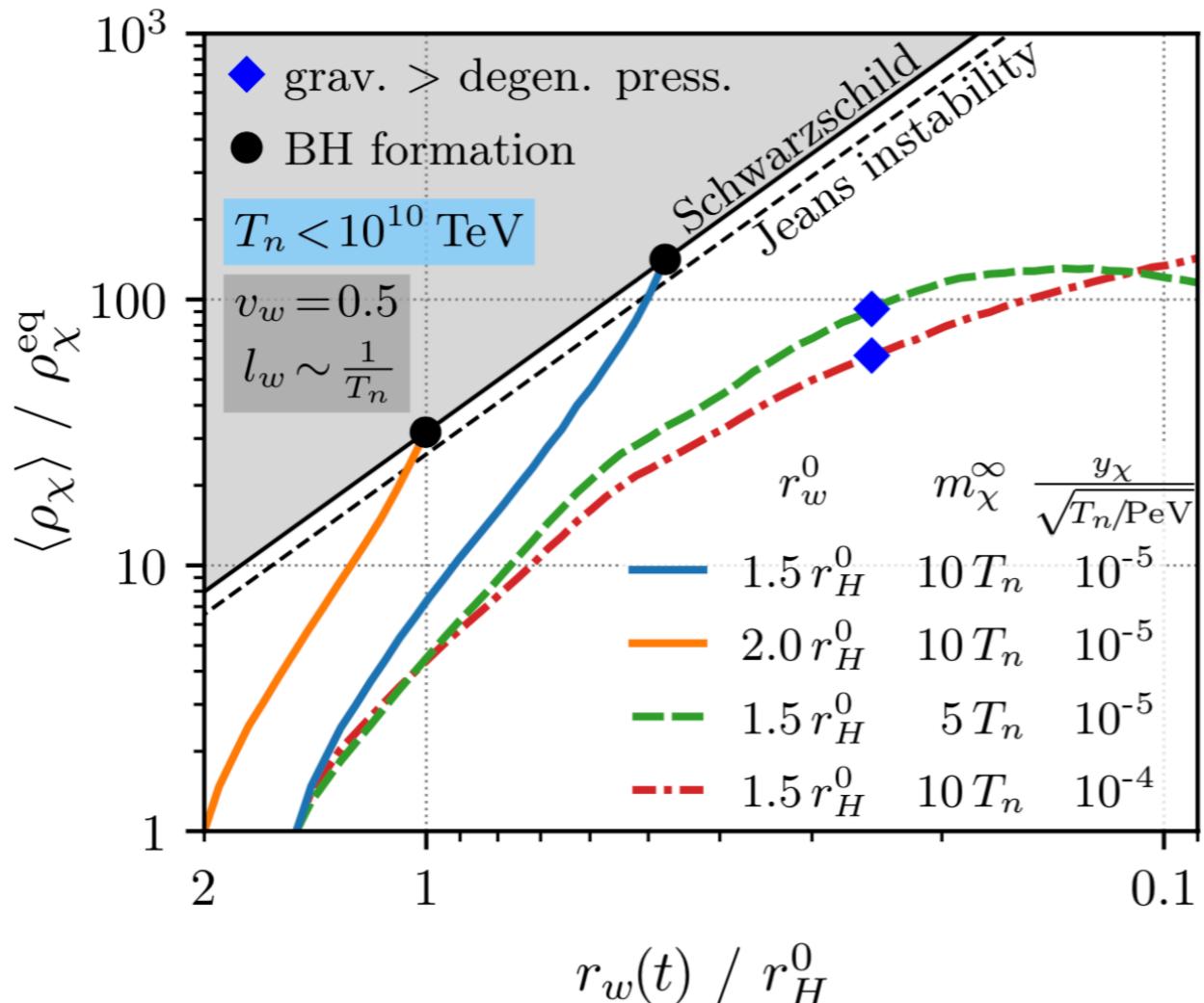


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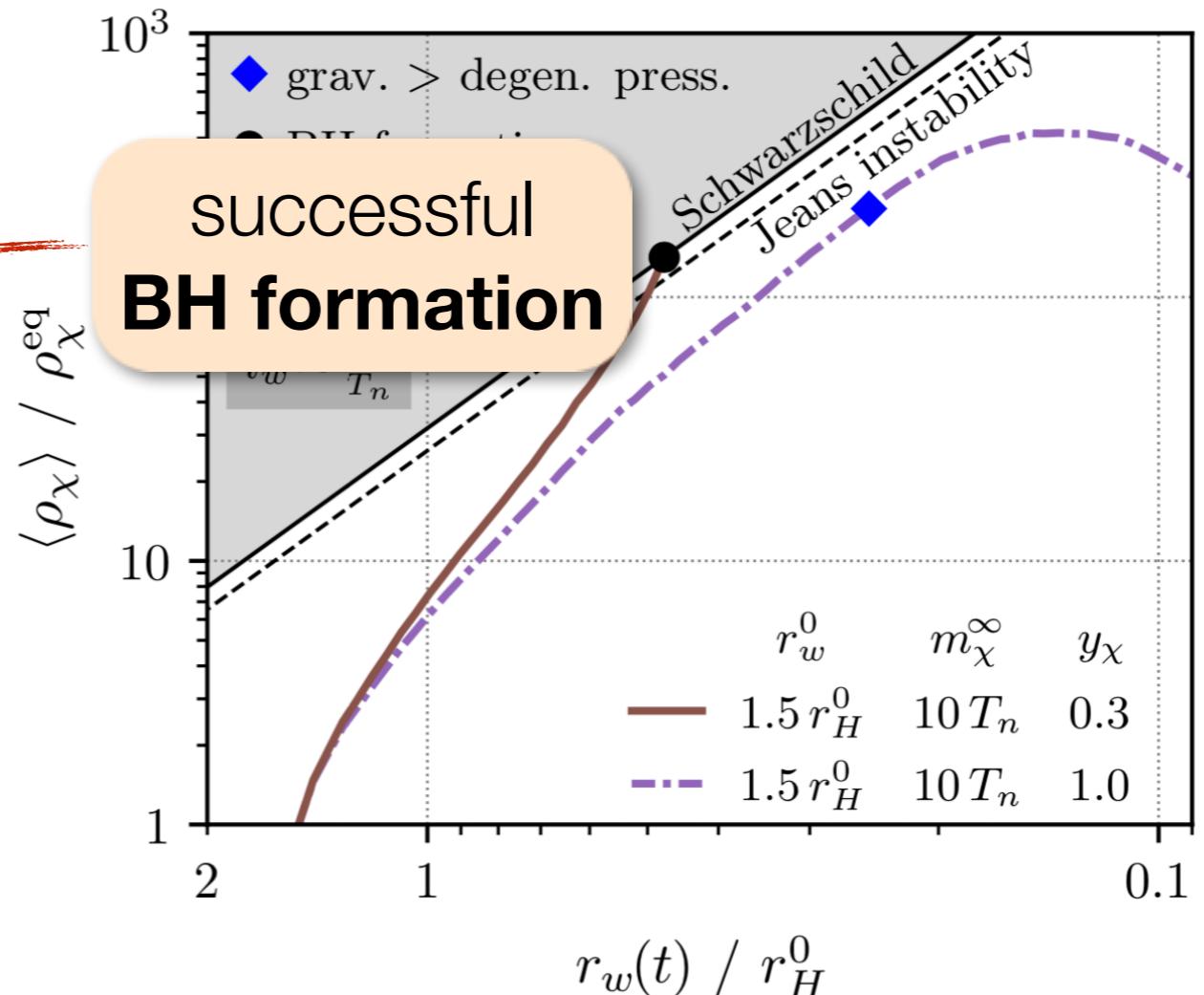
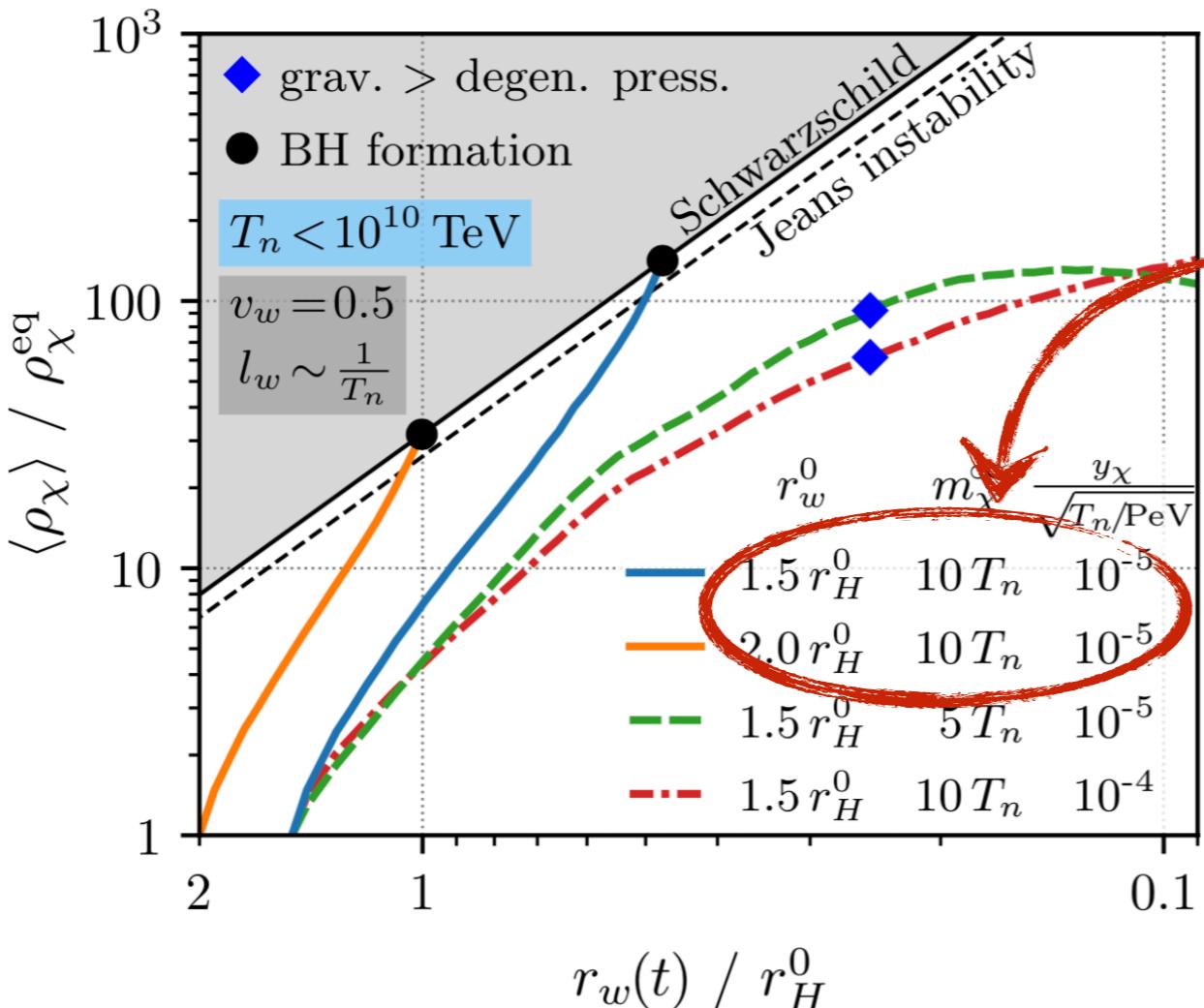
- particle reflected multiple times, gains energy each time
- eventually has sufficient energy to pass through the wall

# Bubble Trajectories



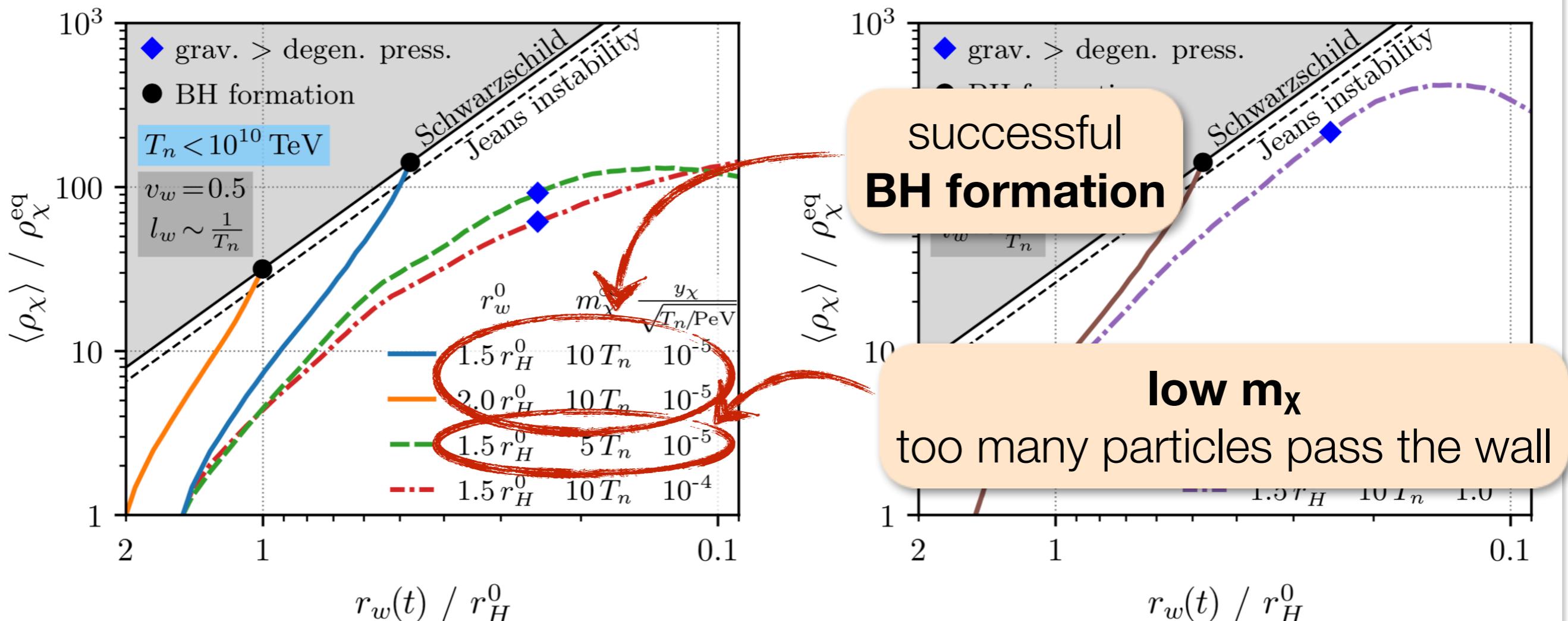
Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

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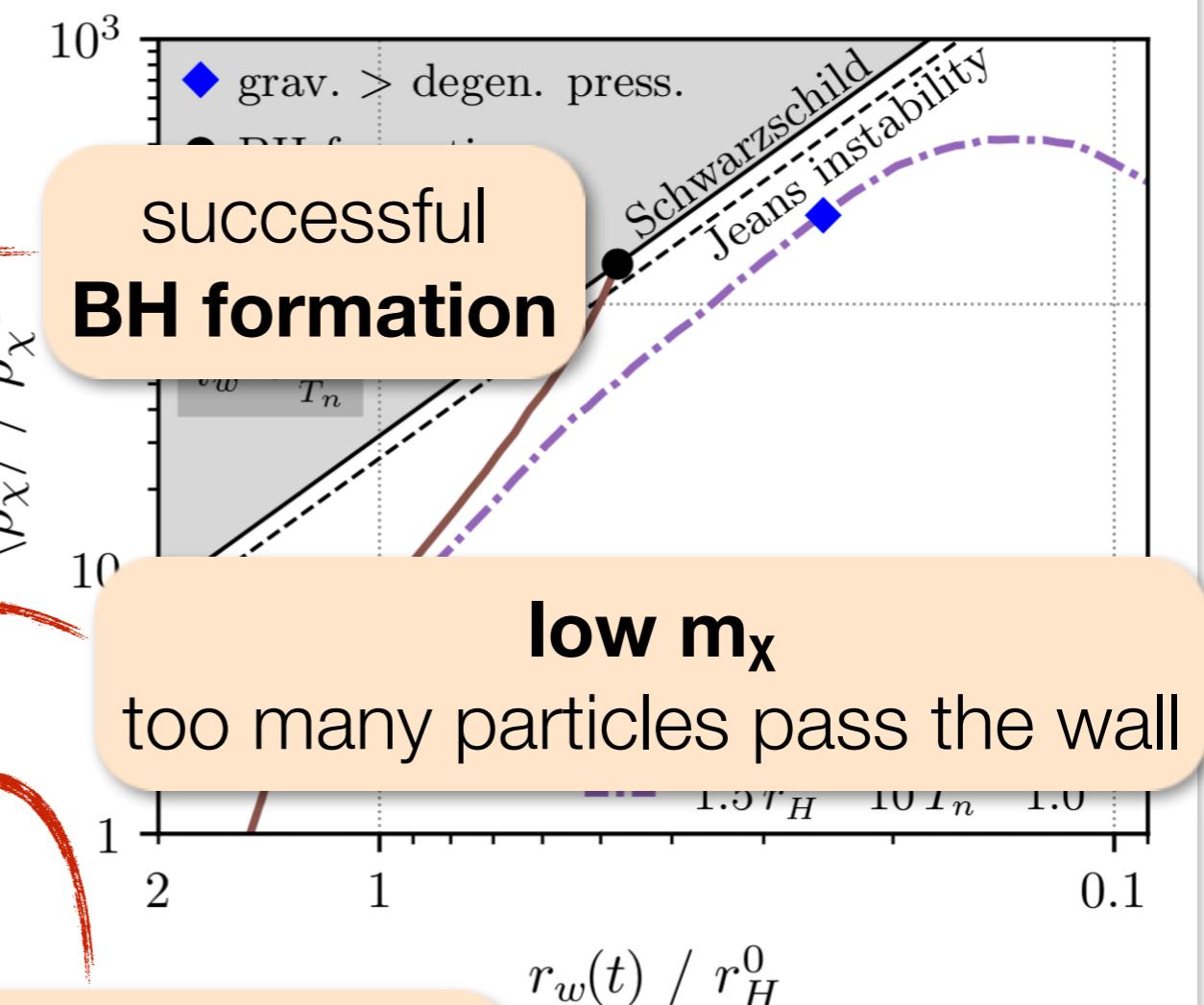
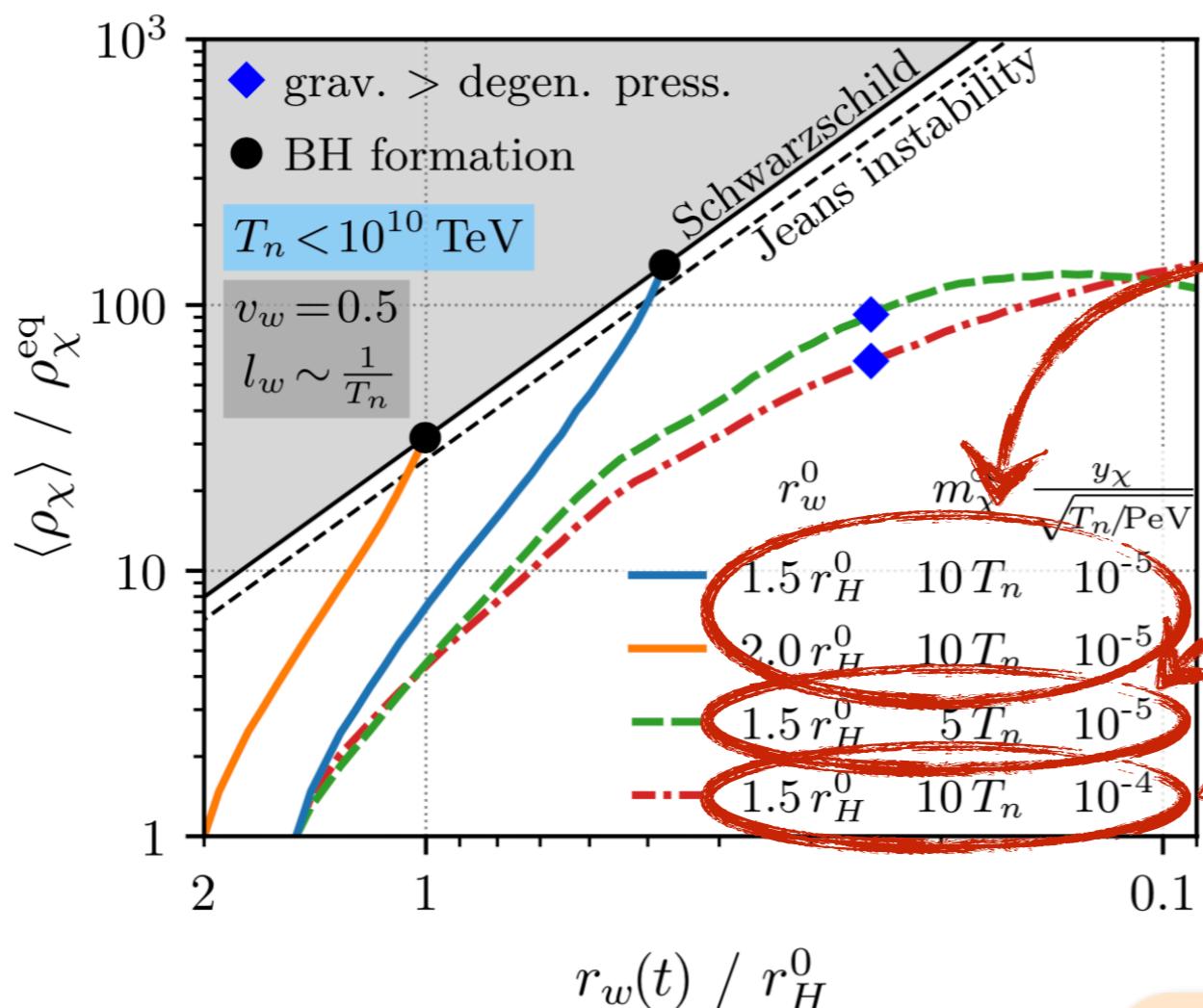
Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

# Bubble Trajectories



Baker Breitbach JK Mittnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

# Bubble Trajectories



Baker Breitbach [arXiv:2110.00005](#) [\[181\]](#) and [arXiv:2110.00005](#)

**large Yukawas**

annihilation too large



# Requirements

## large bubble radii ( $\gtrsim r_H$ ):

- larger Schwarzschild radius  $\rightarrow$  BH formation easier
- requires slow PTs ( $\leq$  one bubble per Hubble volume)
- realised for instance in supercooled PTs

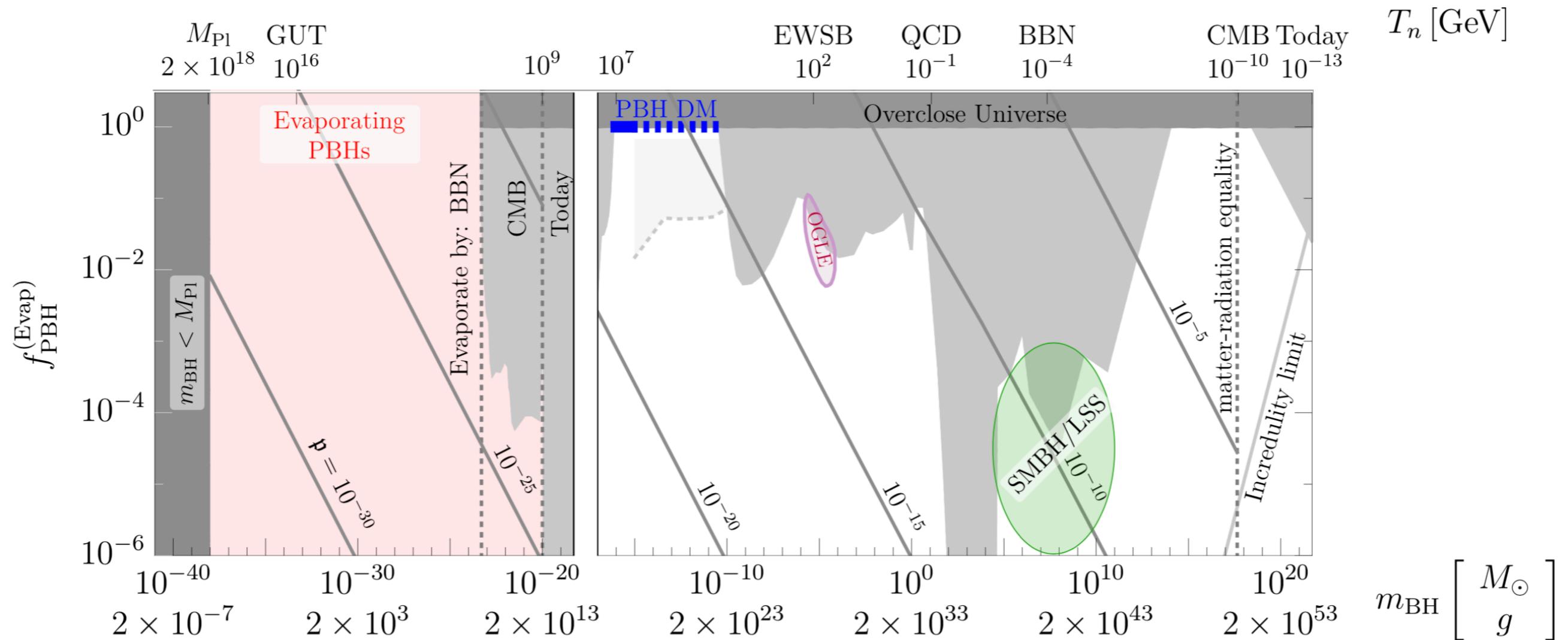
Hambye Struma Teresi, [arXiv:1805.01473](https://arxiv.org/abs/1805.01473)  
delle Rose Panico Redi Tesi, [arXiv:1912.06139](https://arxiv.org/abs/1912.06139)

## constraints on the strength of the PT

- large enough to overcome pressure from  $\propto$  overdensity
- small enough to avoid intermittent vacuum domination



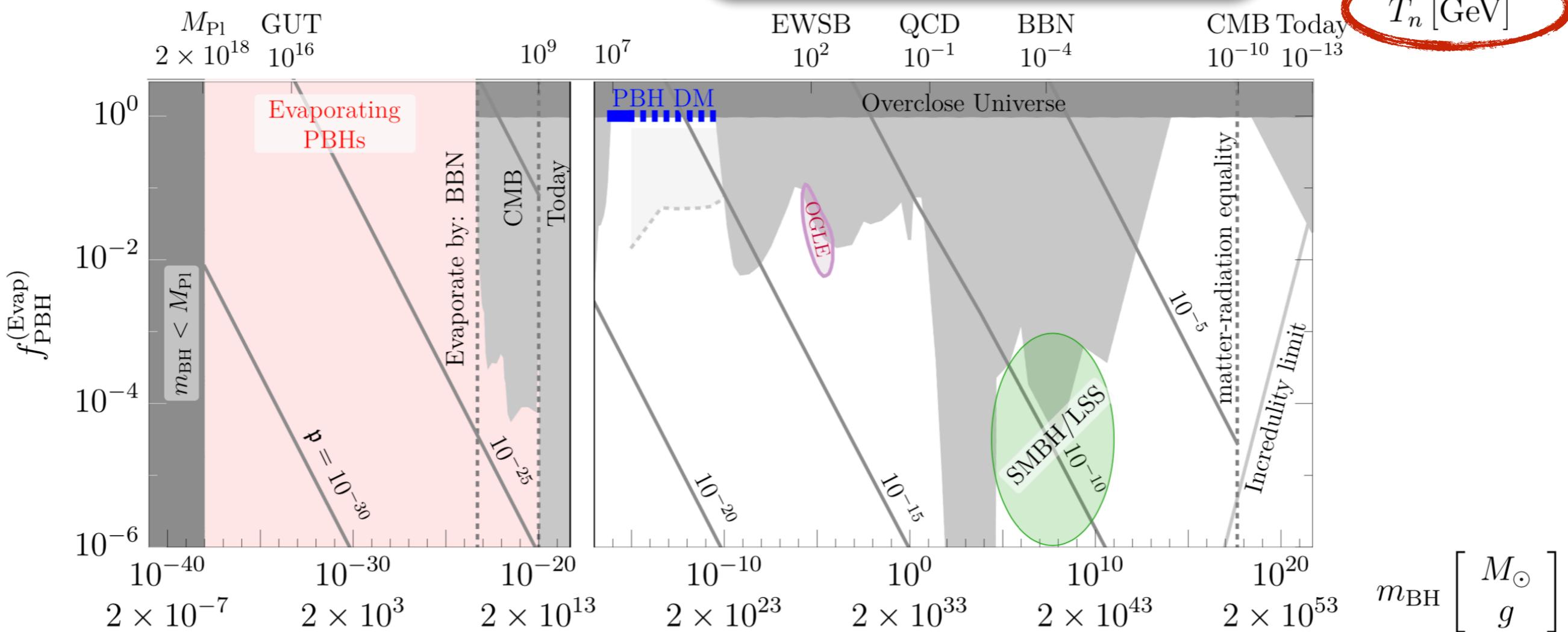
# PBH Parameter Space



Baker Breitbach JK Mitnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

# PBH Parameter Space

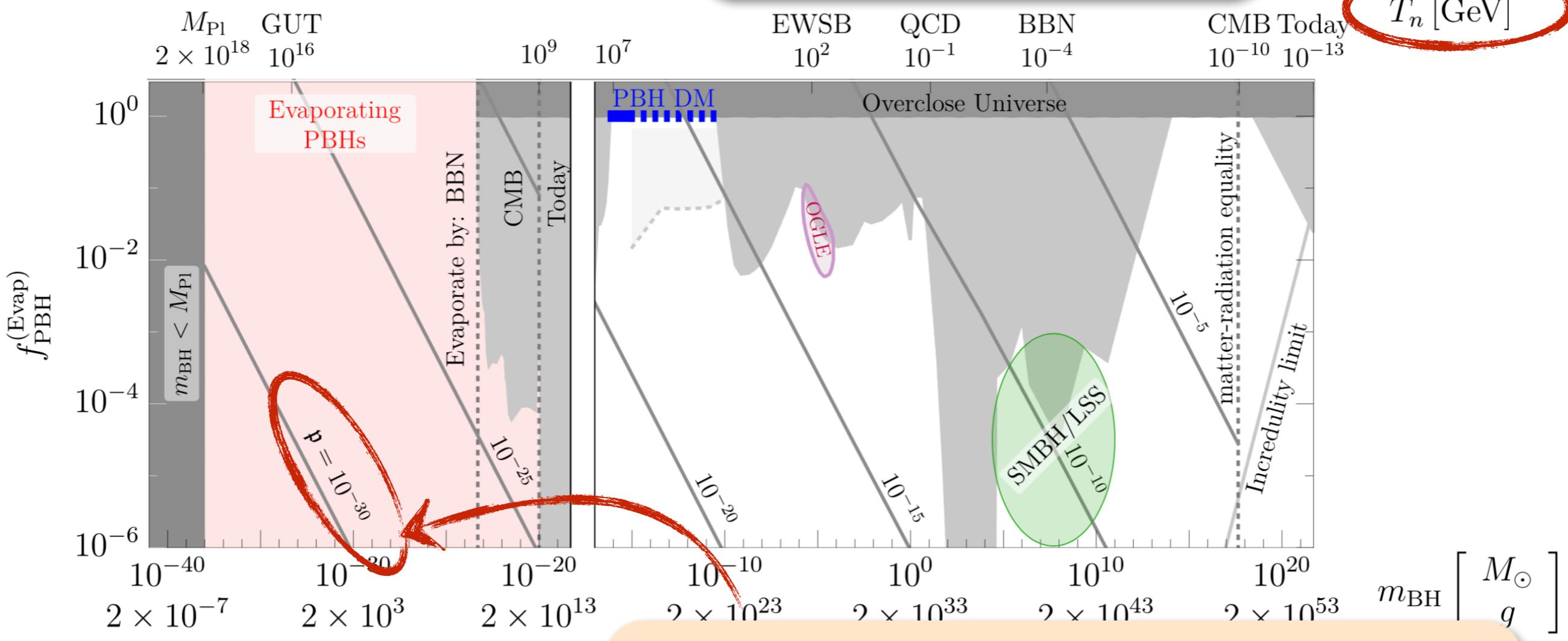
phase transition  
**temperature**  
 that yields given BH mass



Baker Breitbach JK Mitnacht, [arXiv:2105.07481](https://arxiv.org/abs/2105.07481) and [arXiv:2110.00005](https://arxiv.org/abs/2110.00005)

# PBH Parameter Space

phase transition  
**temperature**  
 that yields given BH mass



Baker Breit

probability for  
 nucleating a **new true vacuum bubble**  
 in the shrinking false vacuum one



005

# Summary



Neutrino  
PLATFORM



JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ

## Phase Transition in the early Universe

- can determine the dark matter abundance by “filtering”
- can produce primordial black holes

# Thank you!

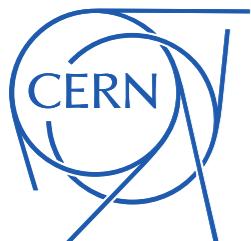


Neutrino  
PLATFORM

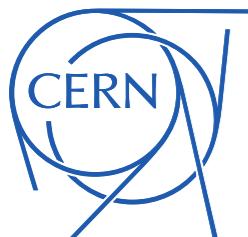


JG|U  
JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ

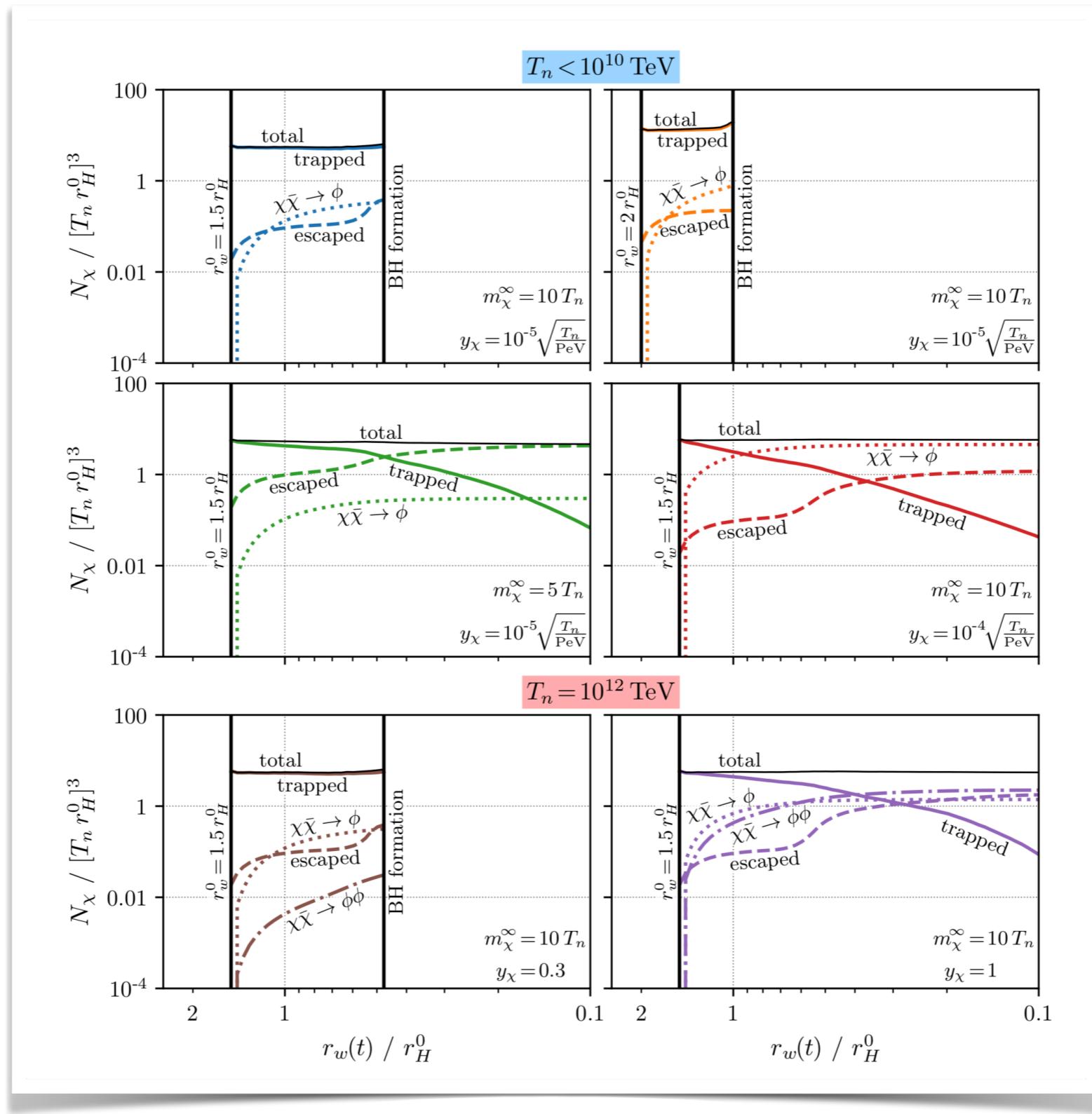
# Bonus Slides



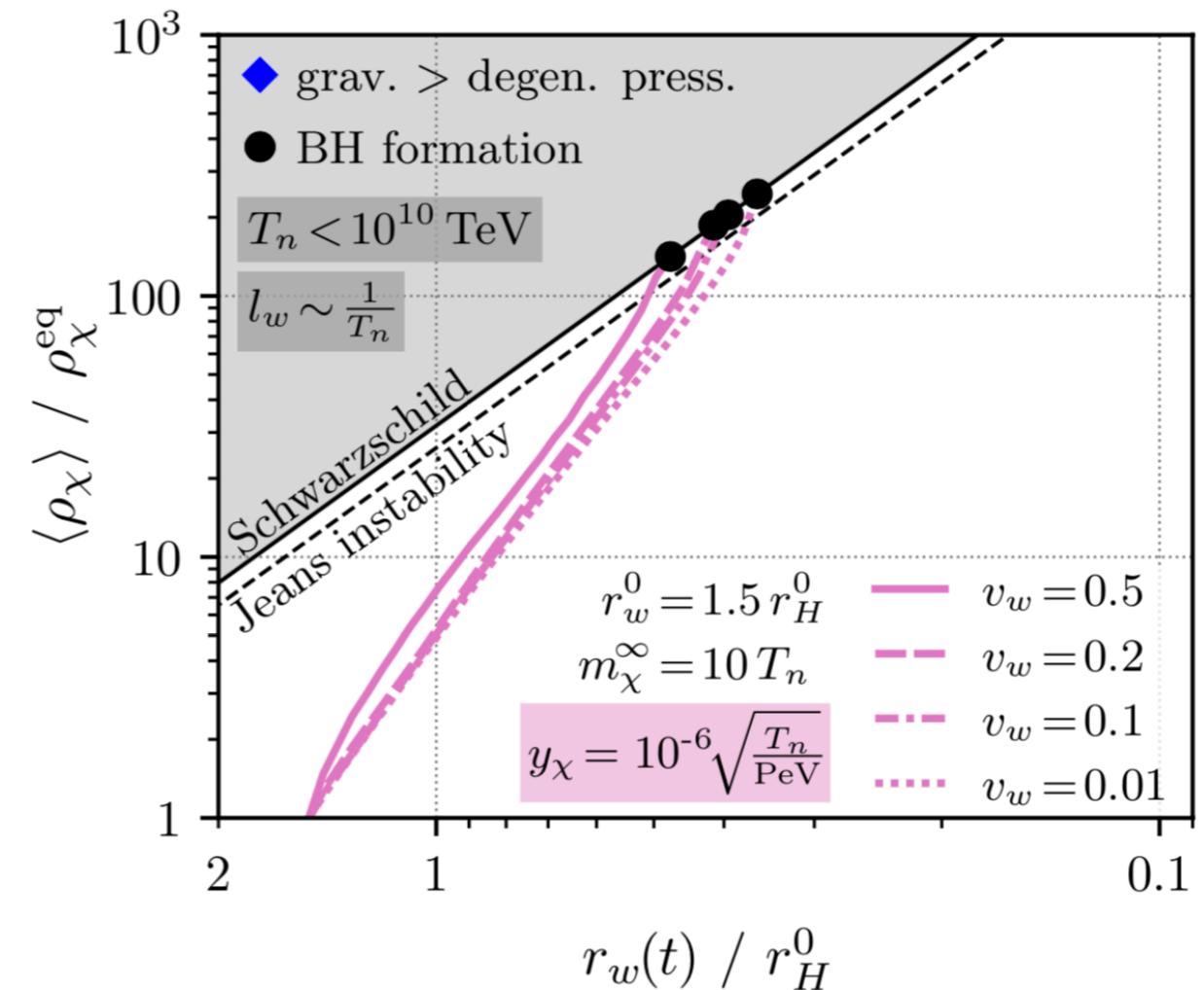
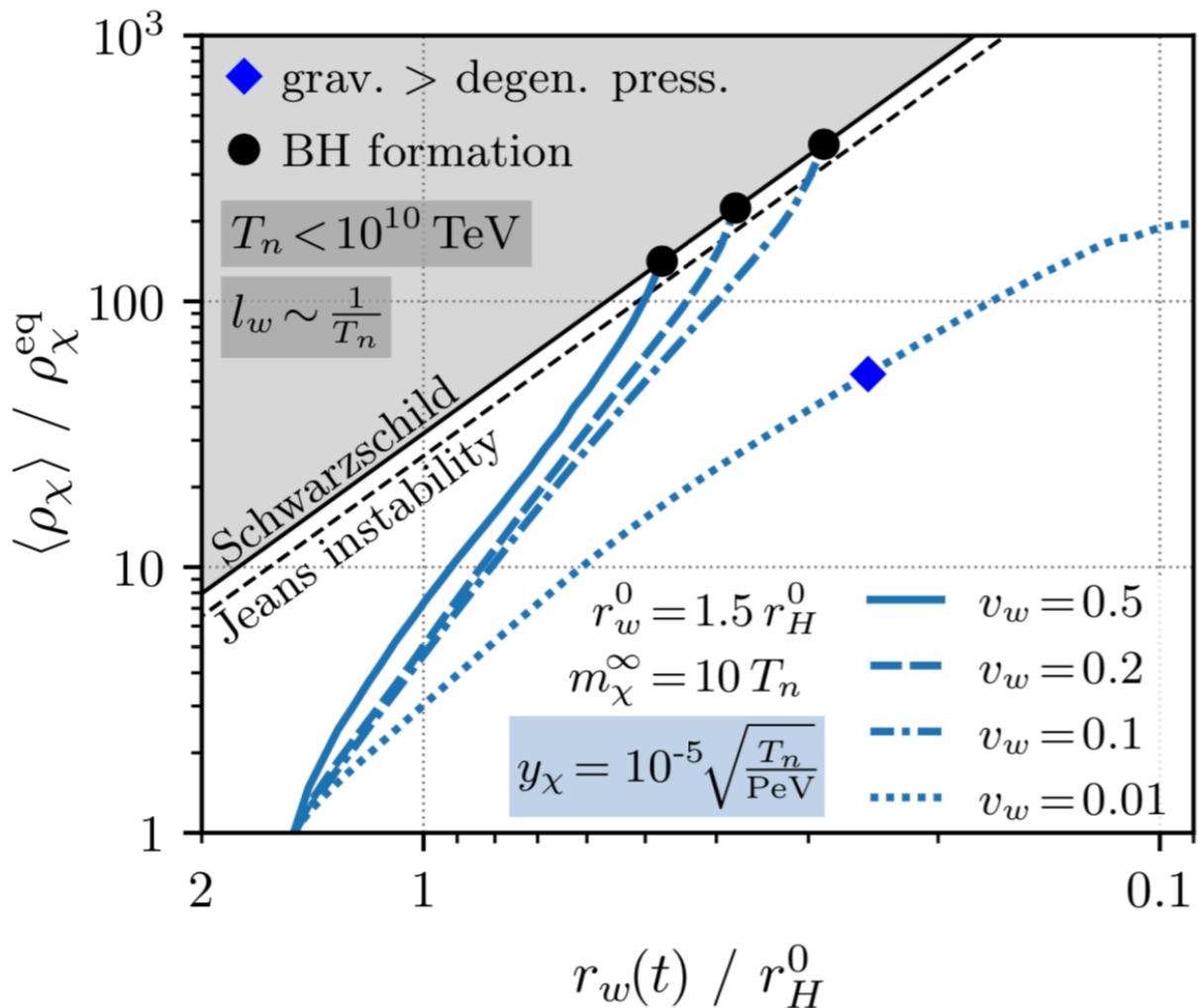
# PBHs from Phase Transitions



# Interplay of Different Processes

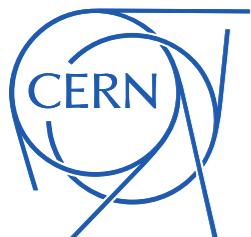


# Varying the Wall Velocity



# Implications B1

## Gravitational Waves



Neutrino  
PLATFORM



# Gravitational Wave and Baryogenesis

## Gravitational waves

- 1<sup>st</sup> order phase transitions contribute to stochastic GW background
- relevant processes: bubble collisions, sound waves, turbulence
- potentially detectable by LISA (TeV scale)  
or by pulsar timing arrays (GeV scale)

e.g. Breitbach JK Madge Opferkuch Schwaller arXiv:1811.11175

## Electroweak Baryogenesis

- relate particle–antiparticle asymmetry of the Universe to different permeability of bubble walls for fermions and anti-fermions

Baker Breitbach JK Mittnacht Soreq, *work in progress*

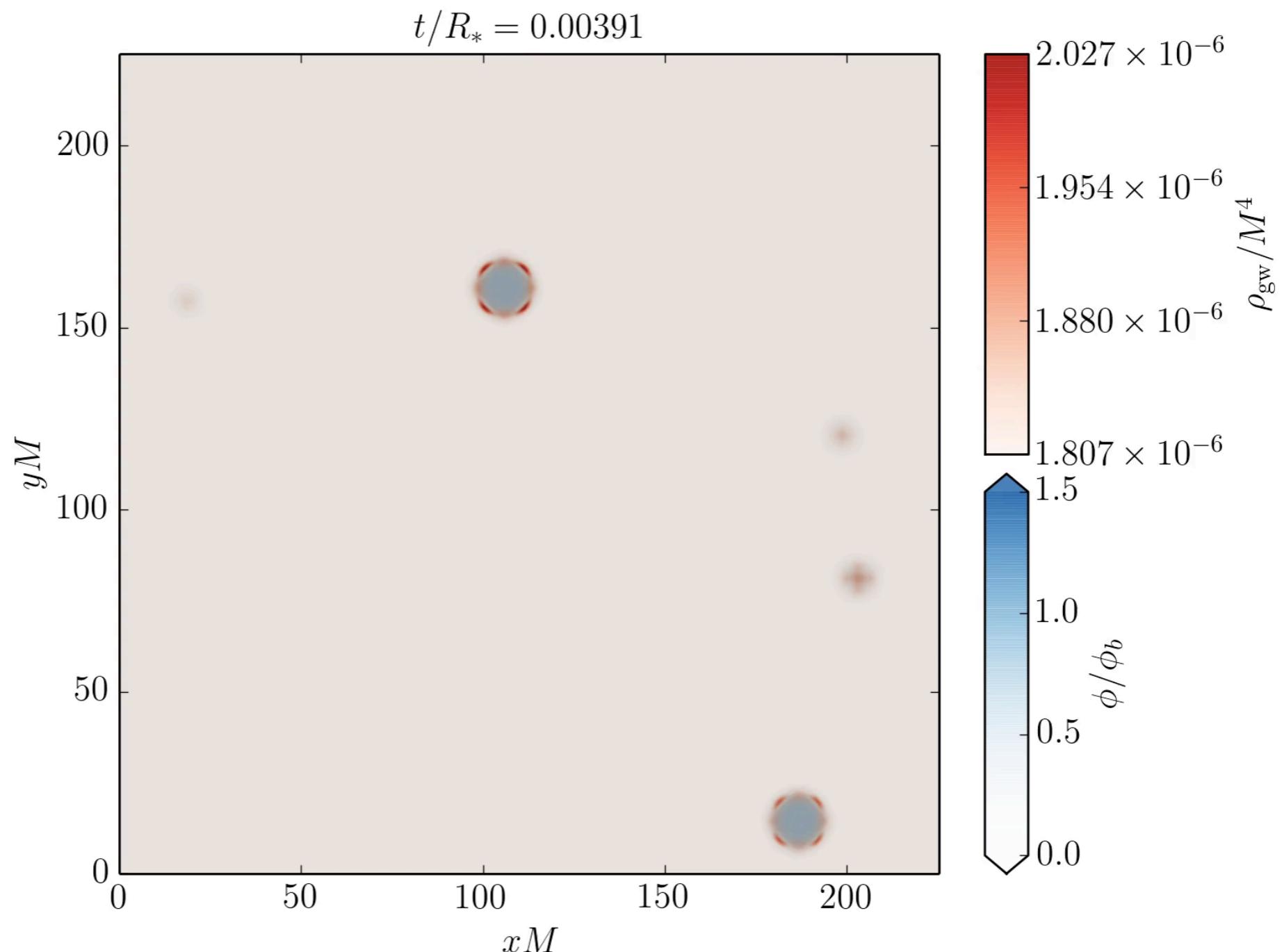


# Gravitational Waves from Phase Transitions

- Phase transitions in extended scalar sectors often 1<sup>st</sup> order  
→ gravitational wave signals?

[Witten 1984](#)

[Cutting Hindmarsh Weir 2018](#)

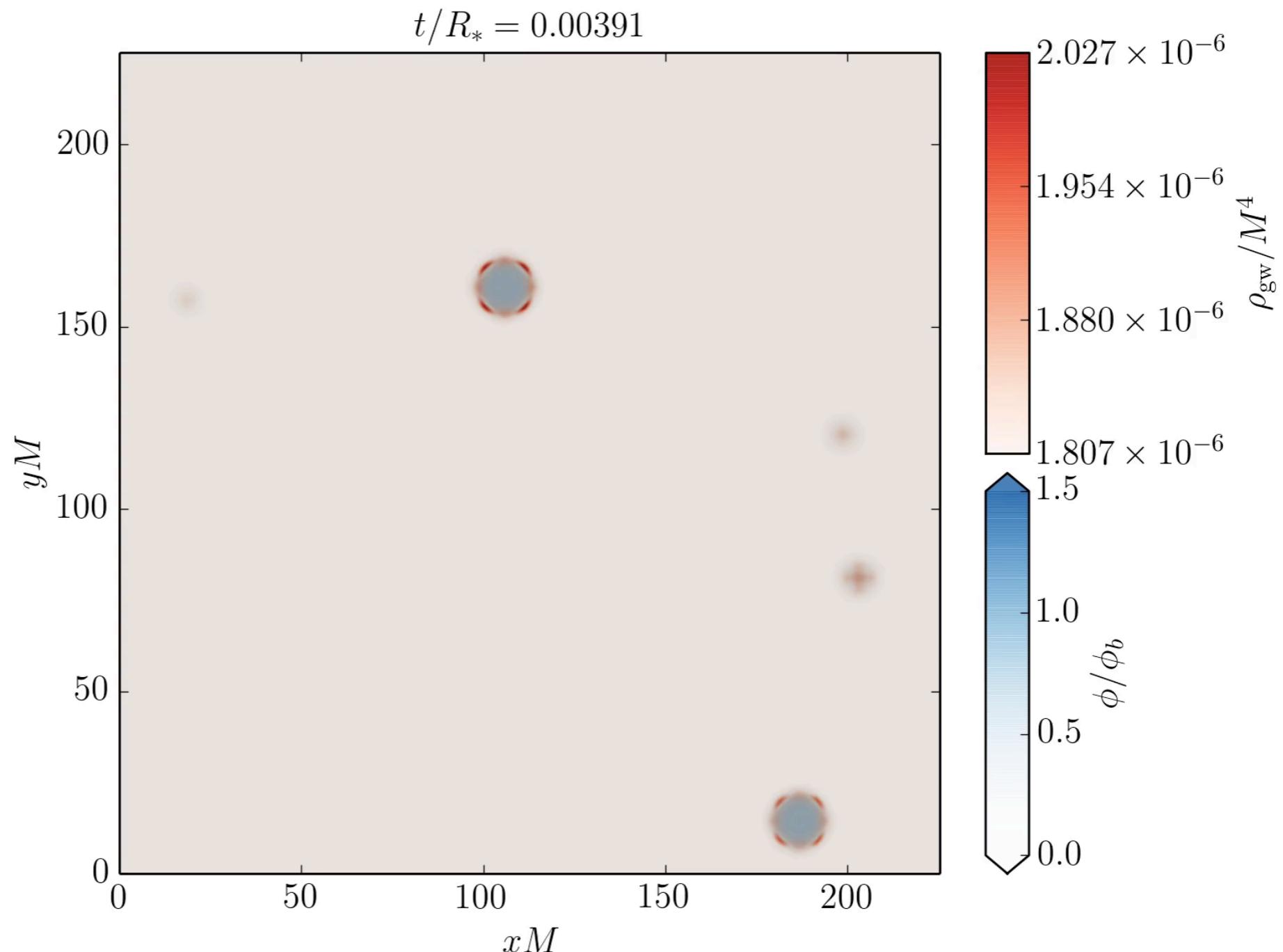


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# Gravitational Waves from Phase Transitions

## Three contributions

- Bubble collisions
- Collisions of **sound waves** generated during bubble expansion
- Turbulence in the plasma

## How to compute the GW signal from these contributions:

- requires numerical simulations (**large uncertainties!**)
- Parameterize results, e.g. as

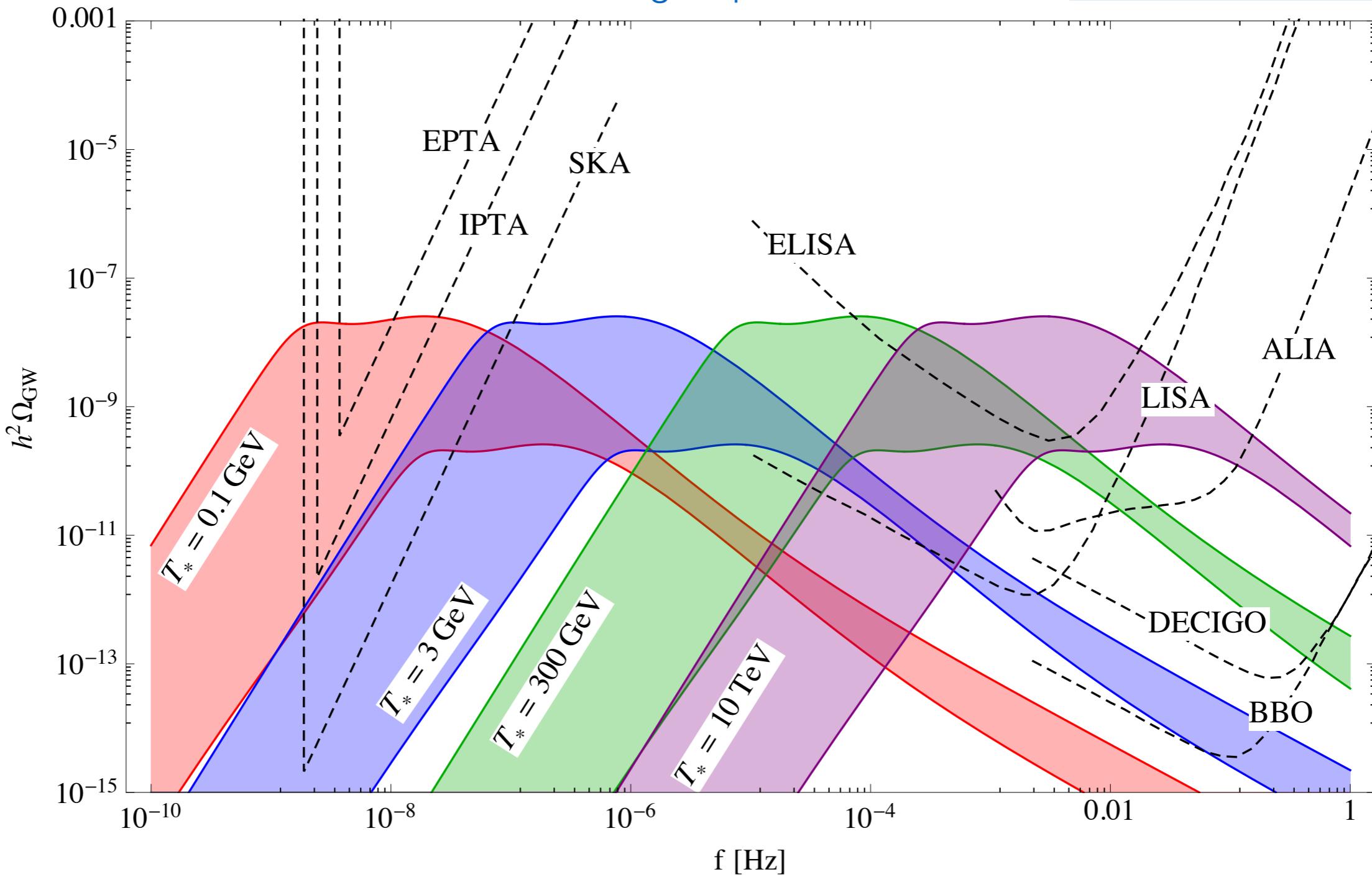
$$\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}(f)}{d \log f} \simeq \mathcal{N} \Delta \left( \frac{\kappa \alpha}{1 + \alpha} \right)^p \left( \frac{H}{\beta} \right)^q s(f)$$



# Gravitational Wave Spectra

plot from Schwaller arXiv:1504.07263

see also Breitbach JK Madge Opferkuch Schwaller arXiv:1811.11175



# Phase Transition Parameter for GWs

## Four relevant parameters

- Bubble nucleation temperature  $T^{\text{nuc}}$
- Strength of the phase transition

$$\alpha \equiv \frac{\epsilon}{\rho_R} = \frac{1}{\rho_R} \left( -\Delta V + T^{\text{nuc}} \frac{\partial \Delta V}{\partial T} \Big|_{T^{\text{nuc}}} \right)$$

- Inverse duration of phase transition

$$\frac{\beta}{H} = T_h^{\text{nuc}} \frac{dS_E(T)}{dT} \Big|_{T_h^{\text{nuc}}}$$

- Bubble wall velocity  $v_w$



# Phase Transition Parameter for GWs

## Four relevant parameters

- Bubble nucleation temperature latent heat release
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$$\frac{\beta}{H} = T_h^{\text{nuc}} \frac{dS_E(T)}{dT} \Big|_{T^{\text{nuc}}}$$

Euclidean action  
corresponding to the  
transition path in field space

- Bubble wall velocity  $v_w$



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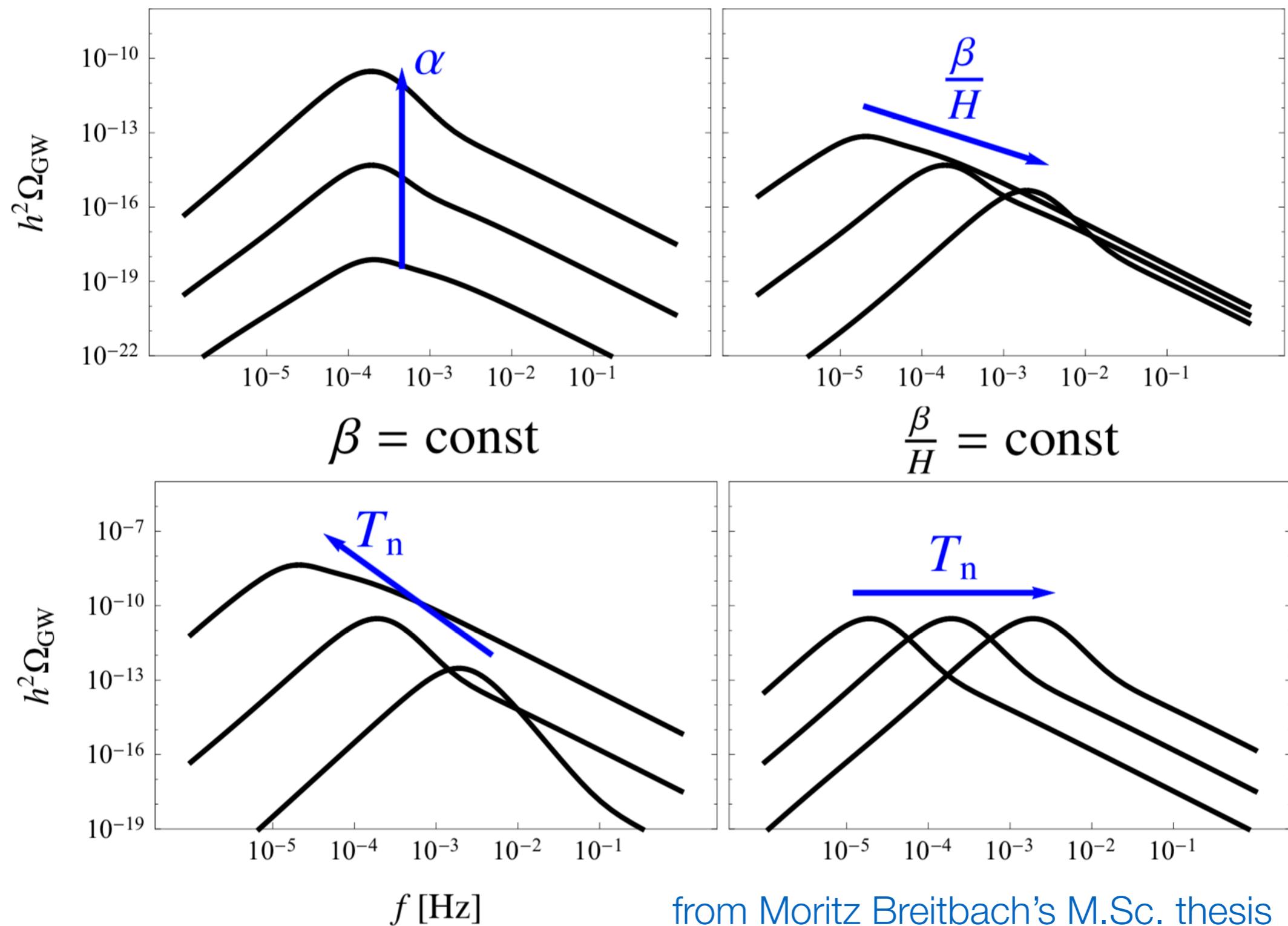
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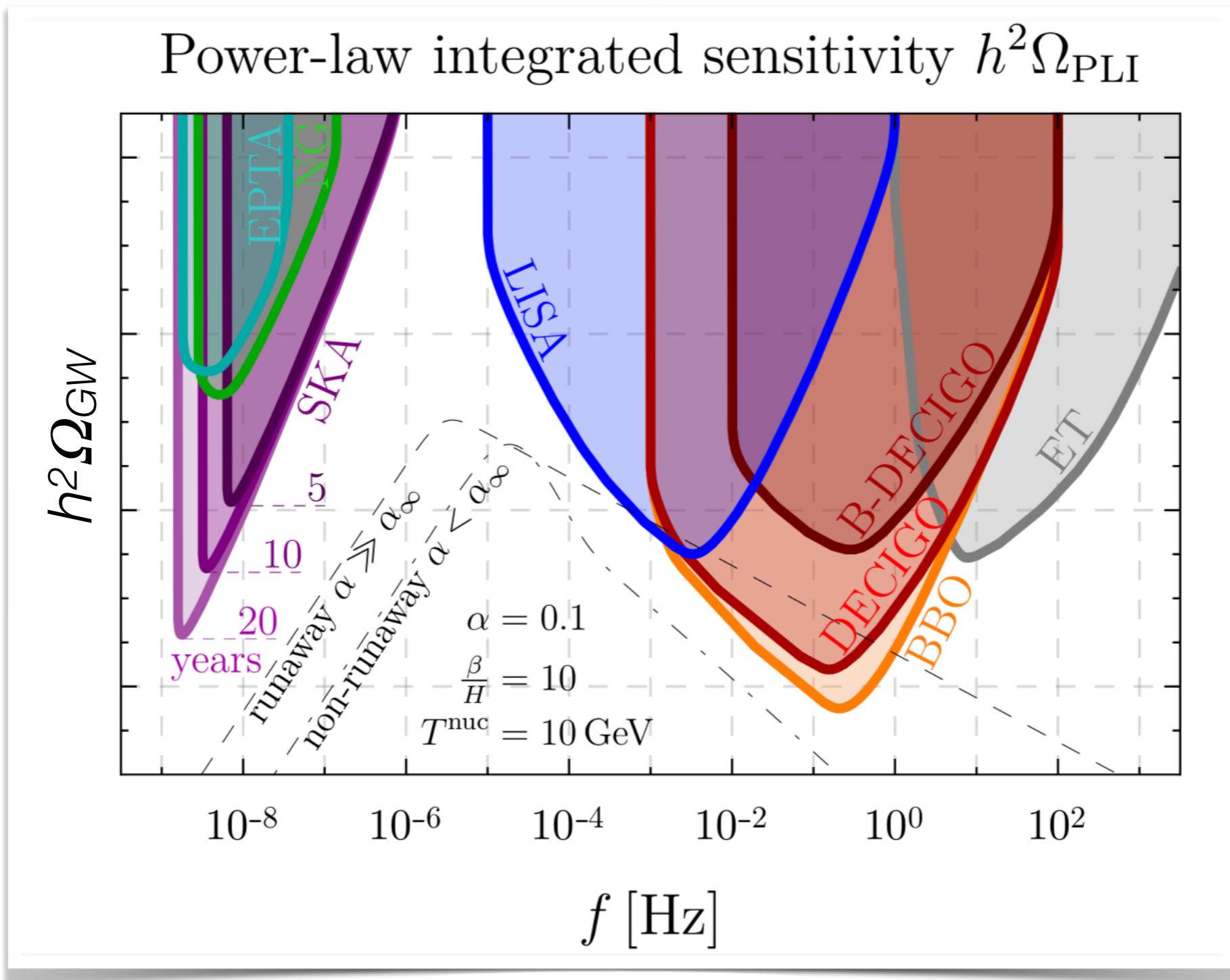
- Bubble wall velocity  $v_w$



# Parameter Dependence of GW Spectra



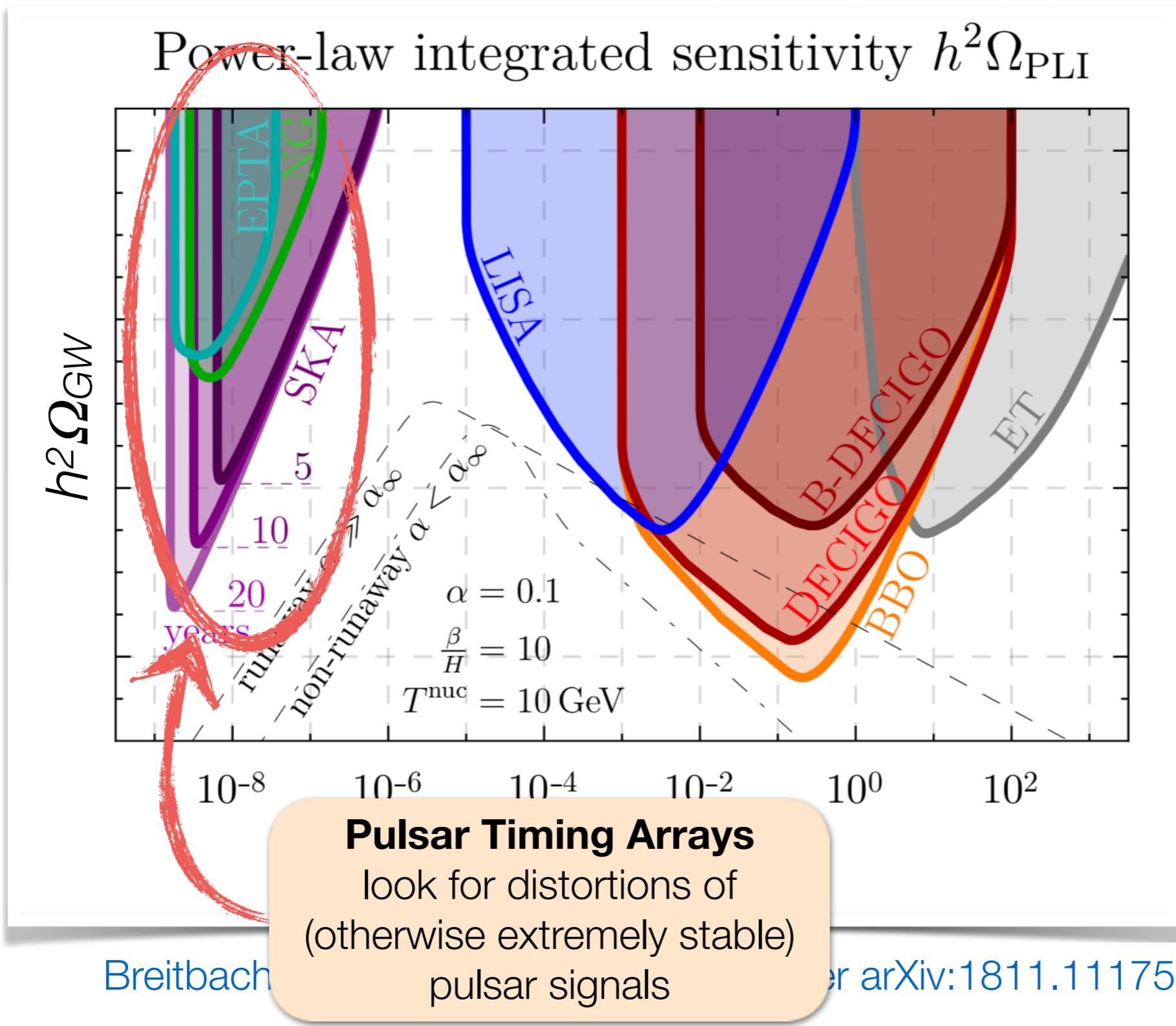
# Gravitational Wave Observatories



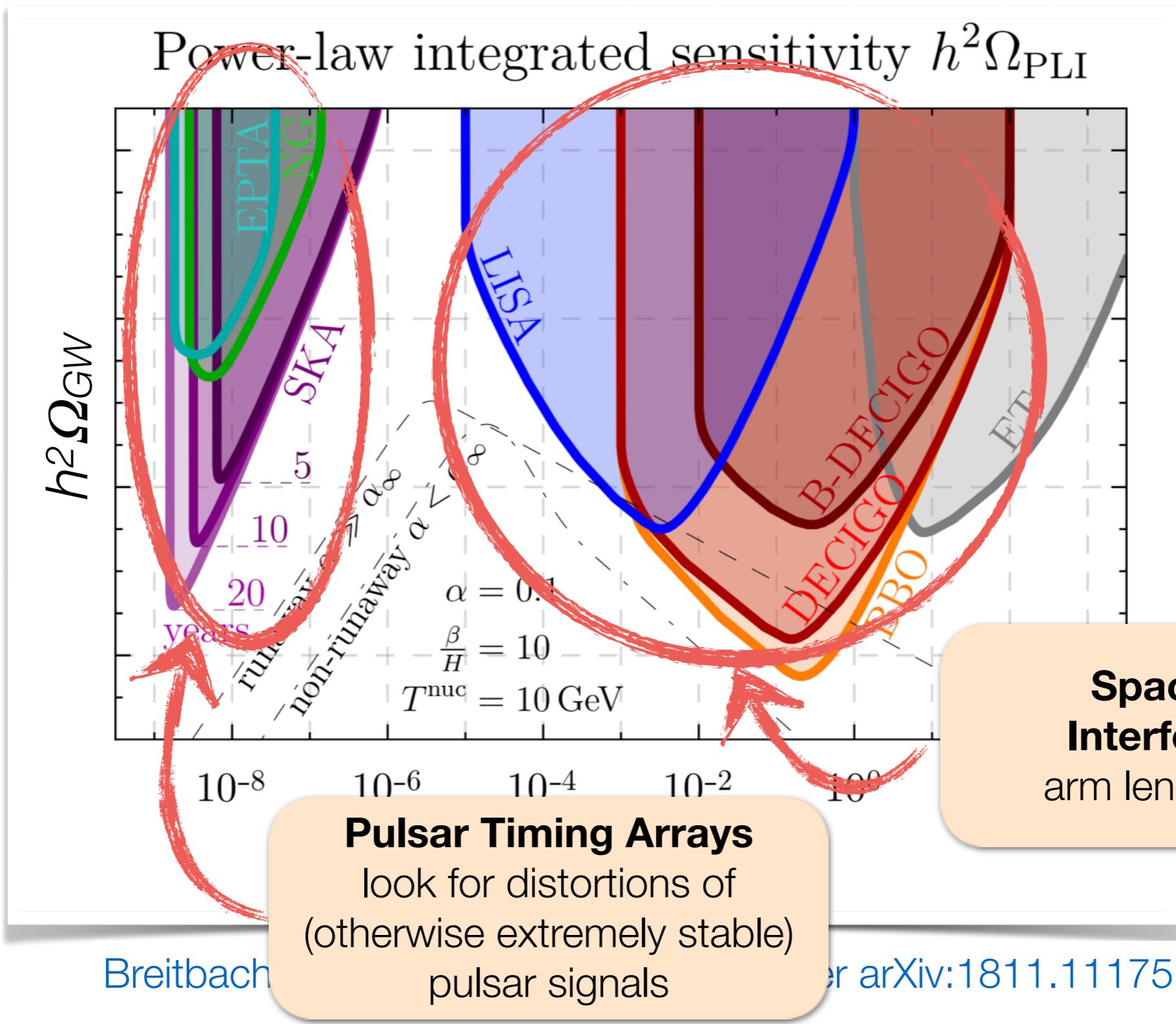
Breitbach JK Madge Opferkuch Schwaller arXiv:1811.11175



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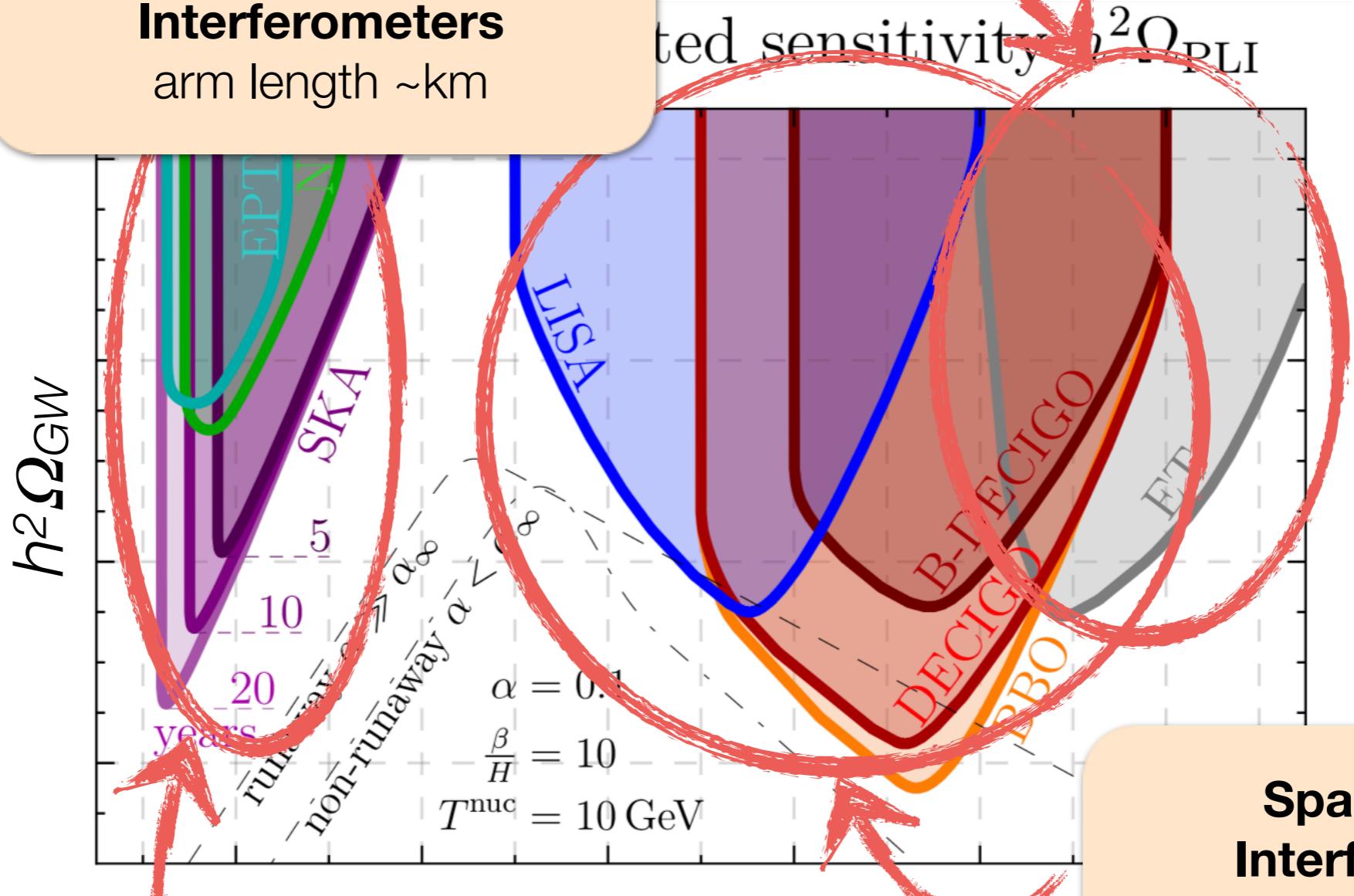


# Gravitational Wave Observatories



# Gravitational Wave Observatories

Ground Based  
Interferometers  
arm length  $\sim$ km



Space Based  
Interferometers  
arm length  $\sim 10^6$  km

## Pulsar Timing Arrays

look for distortions of  
(otherwise extremely stable)  
pulsar signals

arXiv:1811.11175

Breitbach



# GWs from Hidden Sector Phase Transitions

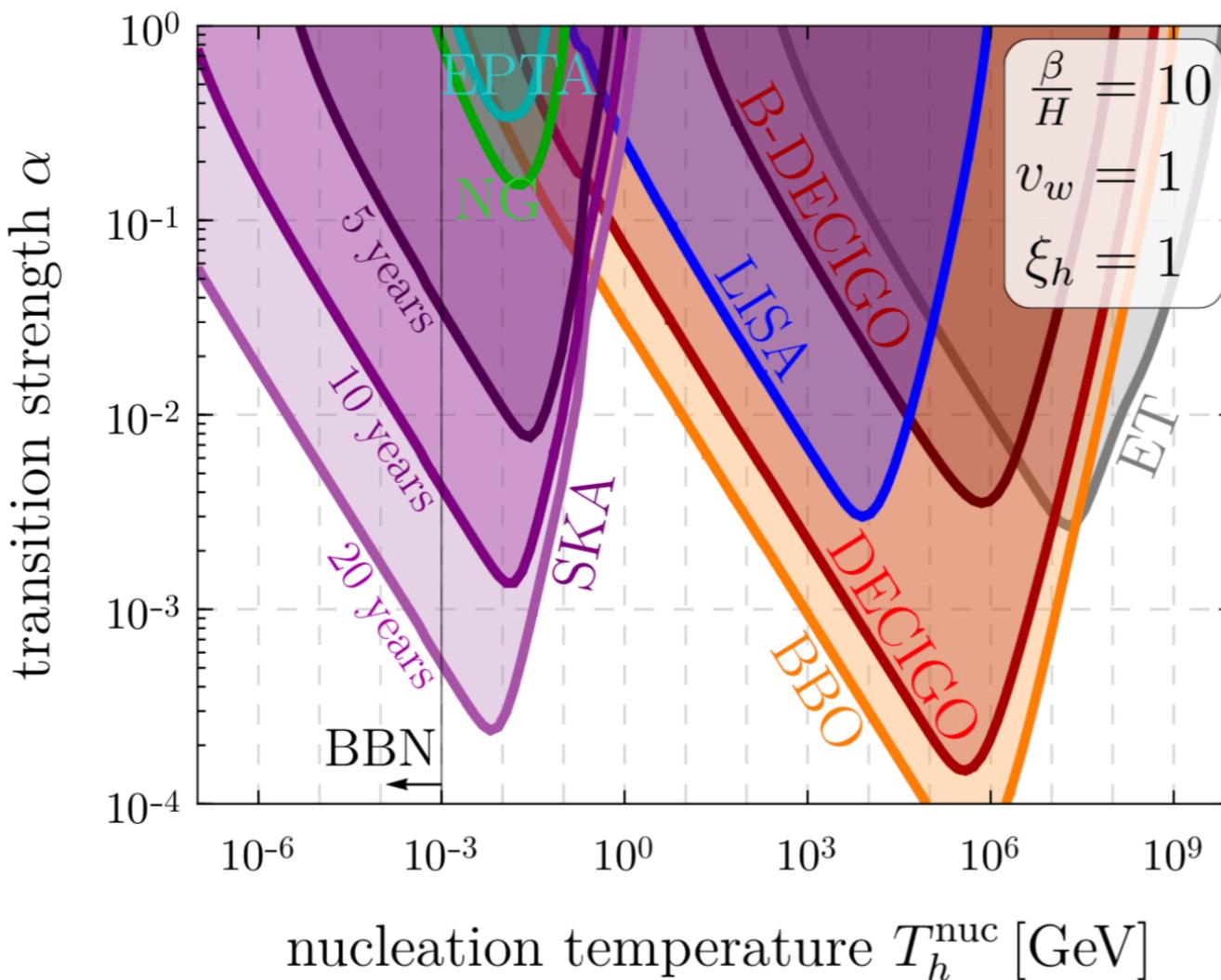
## Important Plot Twist

- hidden sector may have **different temperature** than visible sector
- parameterized by temperature ratio  $\xi_h$

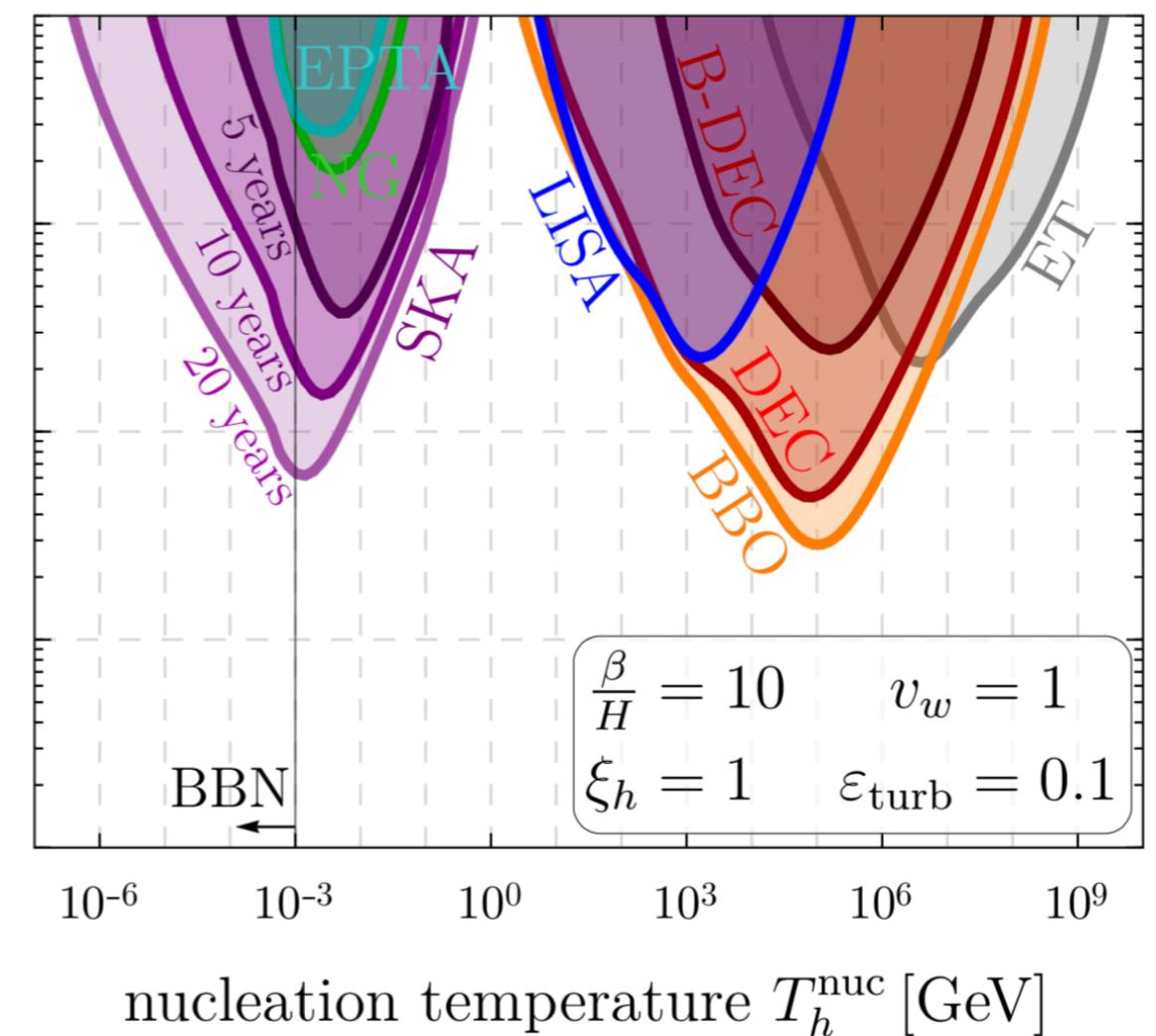


# Dependence on Hidden Sector Temperature

Runaway bubbles with  $\alpha \gg \alpha_\infty$

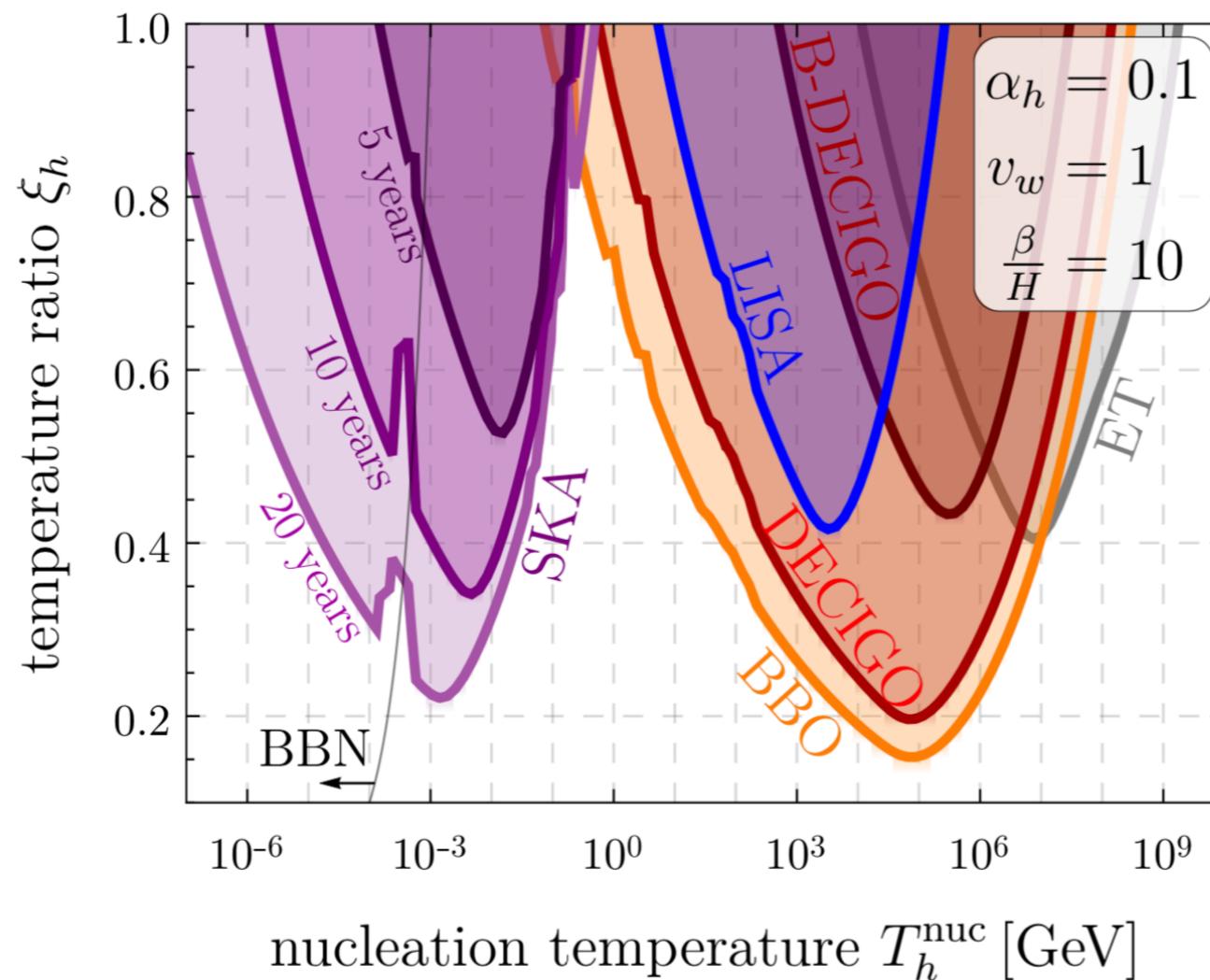


Non-runaway bubbles ( $\alpha < \alpha_\infty$ )

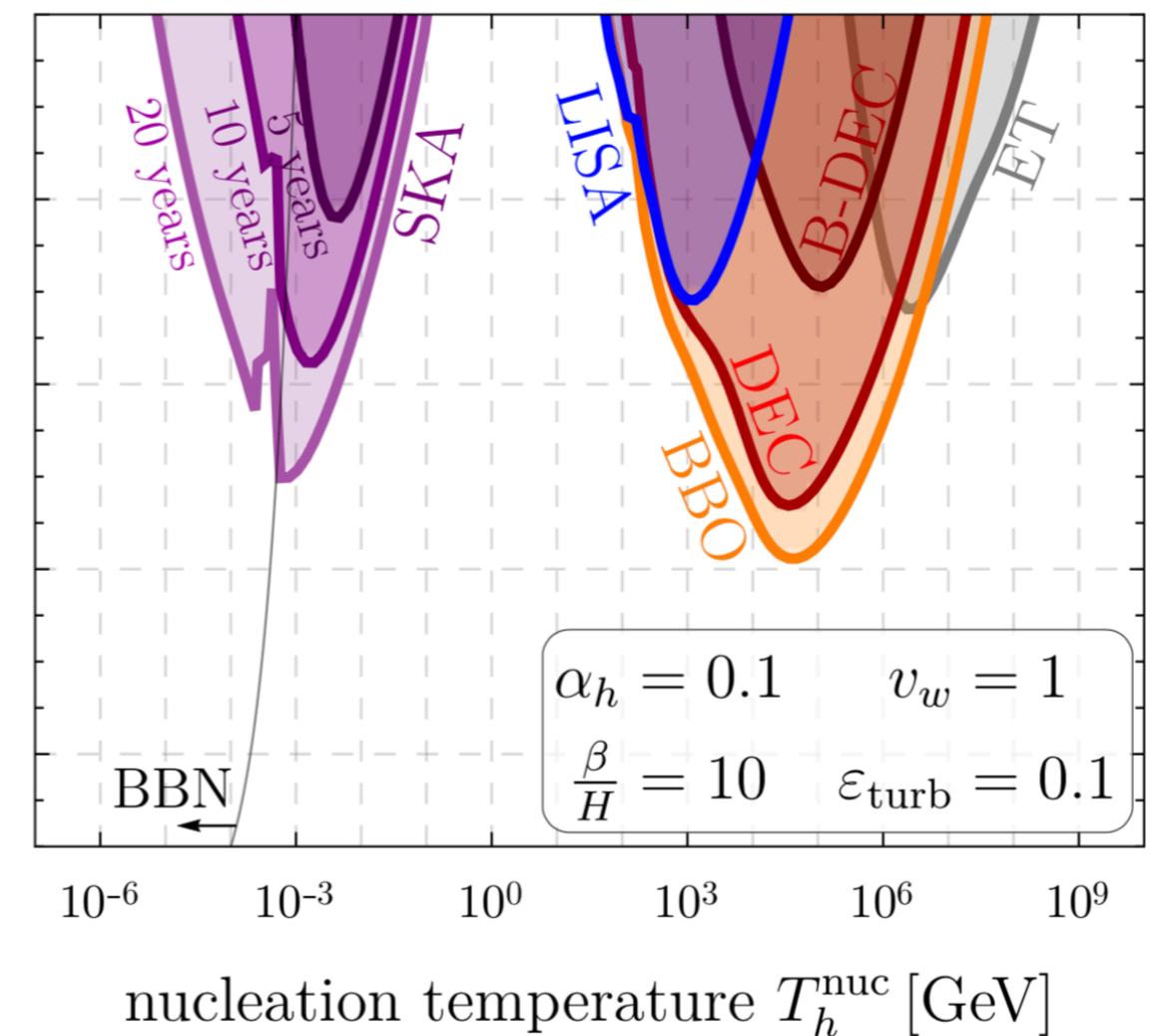


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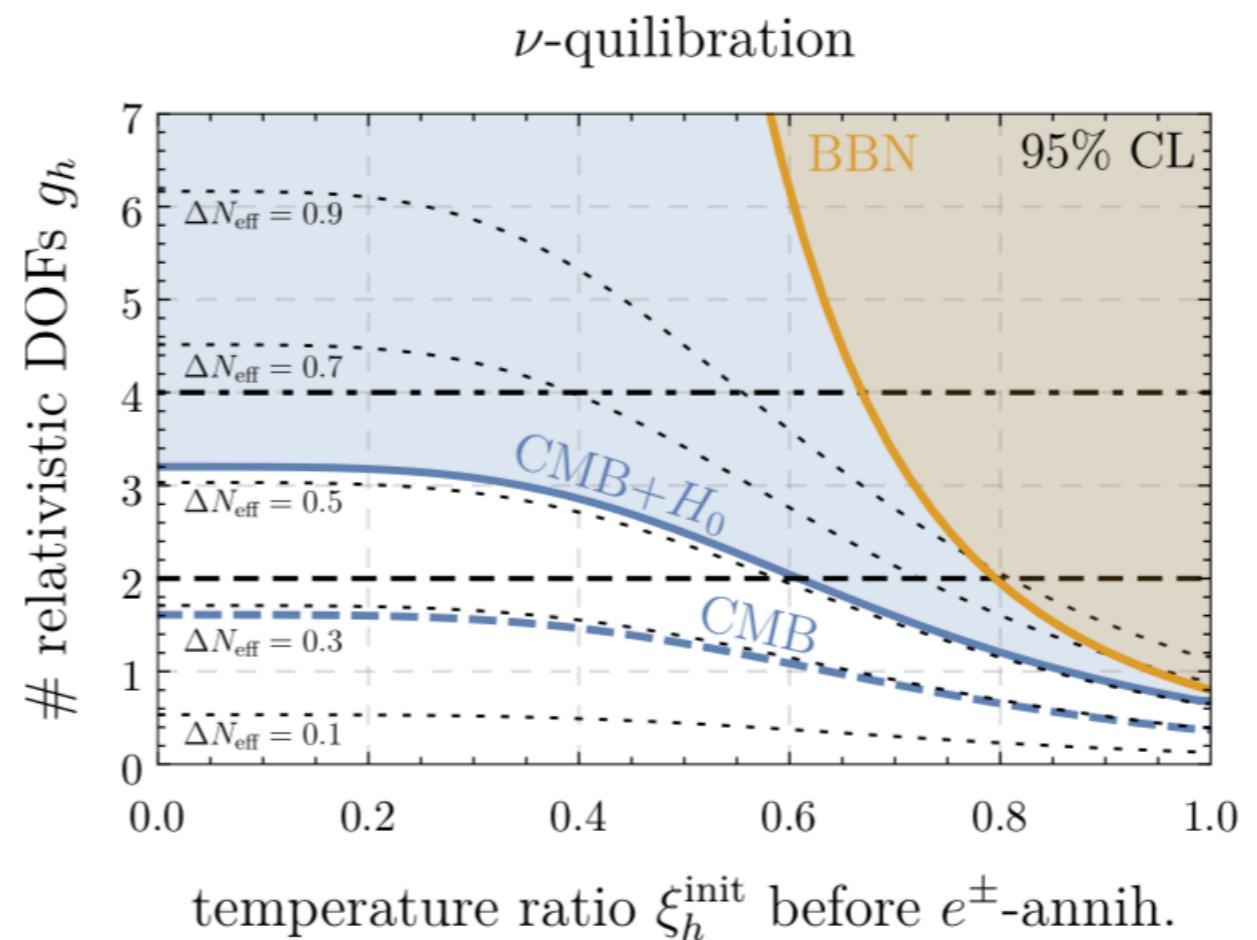
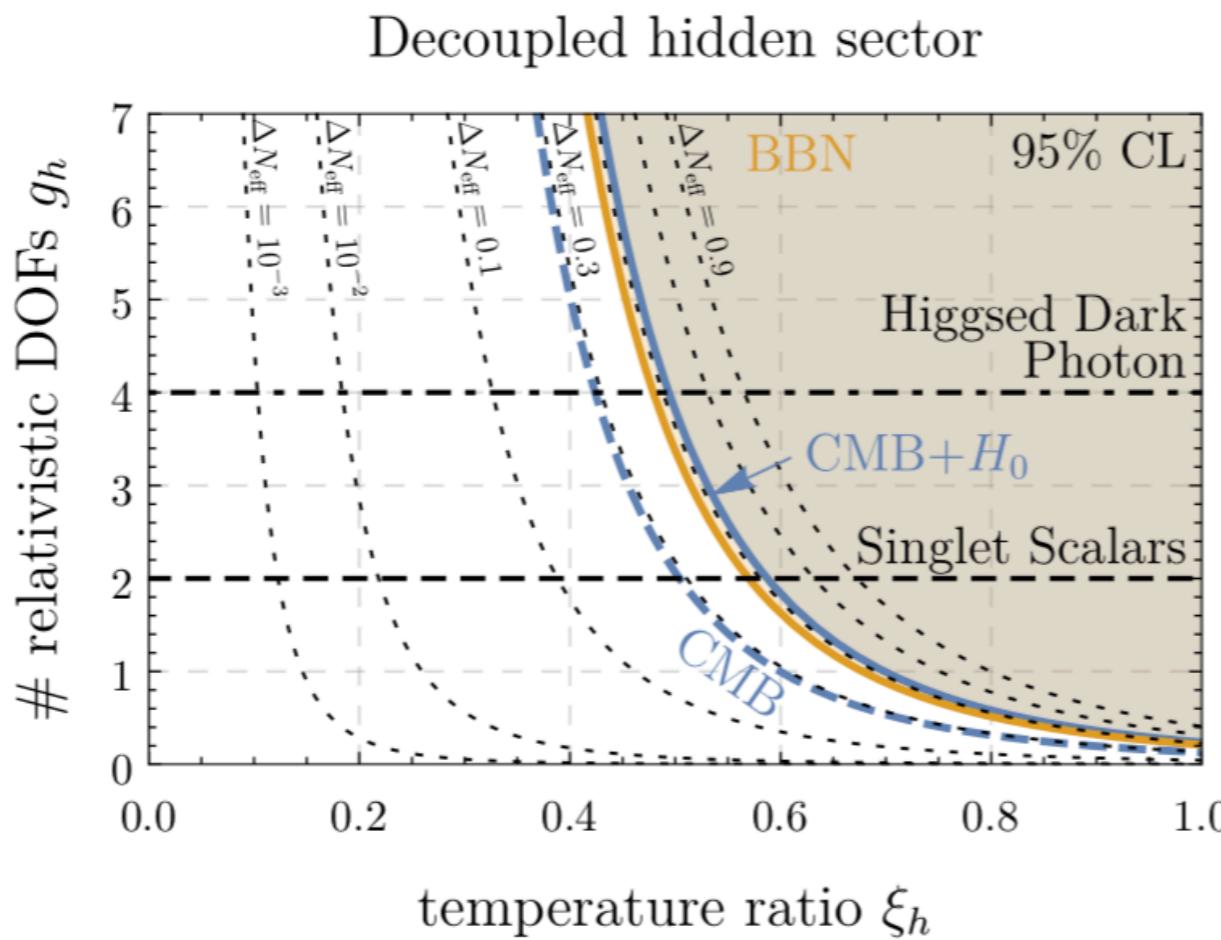
Non-runaway bubbles ( $\alpha < \alpha_\infty$ )



# What is Needed for a Strong Phase Transition?

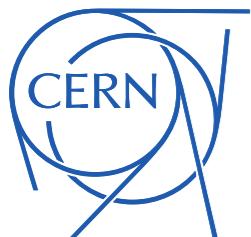
## In practice

- difficult to realize sufficiently strong 1<sup>st</sup> order phase transitions (participating particles must be large fraction of total radiation density)
- easier at lower energies (pulsar timing arrays!)
- but strong constraints from BBN



# Implications B2

## Baryogenesis



# Electroweak Baryogenesis

- Consider 1<sup>st</sup> order electroweak phase transition  
e.g. SM + real singlet scalar
- Penetrating bubble walls is difficult for top quarks  
massless on the outside, massive on the inside  $\rightarrow$  potential wall
- Permeability can be larger for  $t_L$  and  $t_R$   
requires new CP-violating interaction
- Deficit of  $t_L$  outside the bubbles



# Electroweak Baryogenesis

- $B+L$  (baryon number + lepton number) violated by sphaleron transitions
  - effect of the weak interaction  $\rightarrow$  affect only LH particles
  - active only outside the bubble (electroweak symmetry broken inside)
  - $B-L$  remains conserved
- Entropy maximization implies that baryons are regenerated from leptons
- Net gain in baryon number
- Excess baryons are eventually swept up by advancing bubble walls

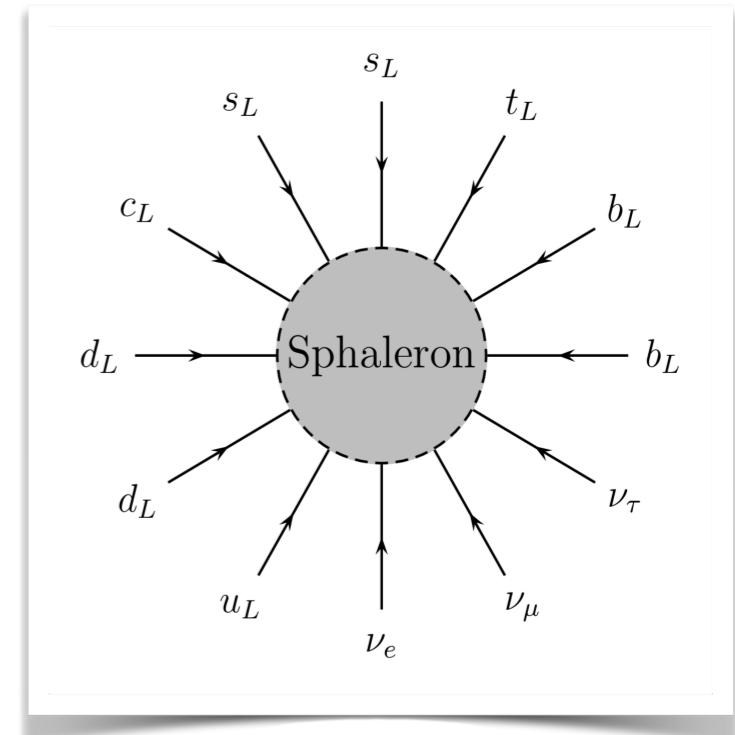
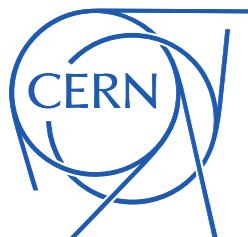


Image: Wilfried Buchmüller, [hep-ph/9812447](#)

# Implications B3

## Collider Observables



# Connections to Higgs Physics at Colliders



# Connections to Higgs Physics at Colliders

Early Universe phase transitions often controlled by scalar fields



# Connections to Higgs Physics at Colliders

- Early Universe phase transitions often controlled by scalar fields
- Connection to the SM: Higgs portal  $(S^\dagger S)(H^\dagger H)$



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- Testable at colliders:
  - Invisible Higgs decays



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  - Invisible Higgs decays
  - If  $\langle S \rangle \neq 0$ : mixing between  $S$  and  $H$ 
    - electroweak precision observables ( $S, T, U$  parameters)
    - modified  $H$  branching ratios
    - direct observation of  $S$   
(similar production/decay channels as  $H$ , but suppressed by mixing)



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    - modified  $H$  branching ratios
    - direct observation of  $S$   
(similar production/decay channels as  $H$ , but suppressed by mixing)
  - Precision measurements of Higgs self-coupling  
(e.g. in di-Higgs production)

Barger *et al.*, <https://arxiv.org/abs/0706.4311>

Robens & Stefaniak, [arXiv:1601.07880](https://arxiv.org/abs/1601.07880)

