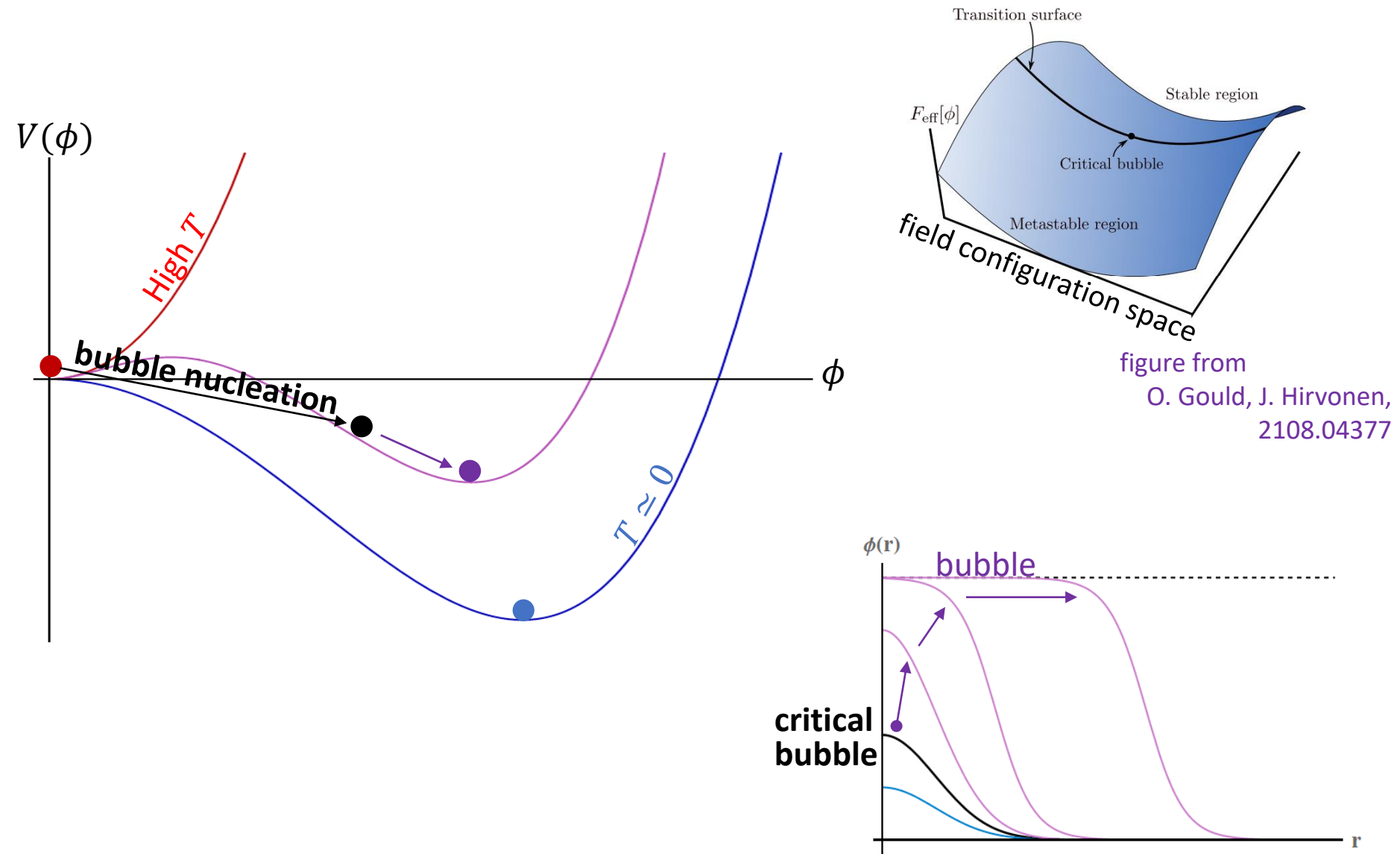


Primordial black holes from first-order phase transitions

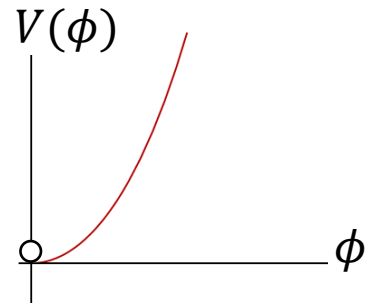
Tae Hyun Jung
Florida State University

Cosmological first-order phase transitions



Cosmological first-order phase transitions

At high $T > T_c$



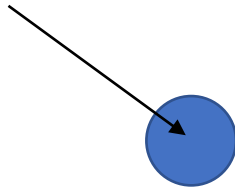
Cosmological first-order phase transitions

At $T < T_c$

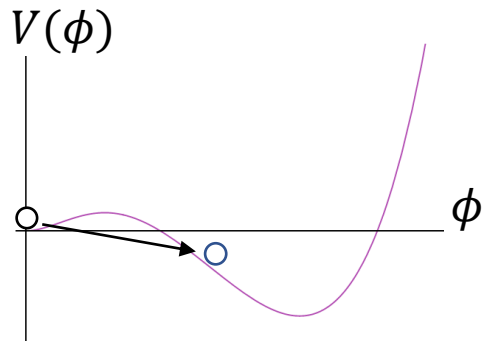
bubbles nucleated



True vacuum

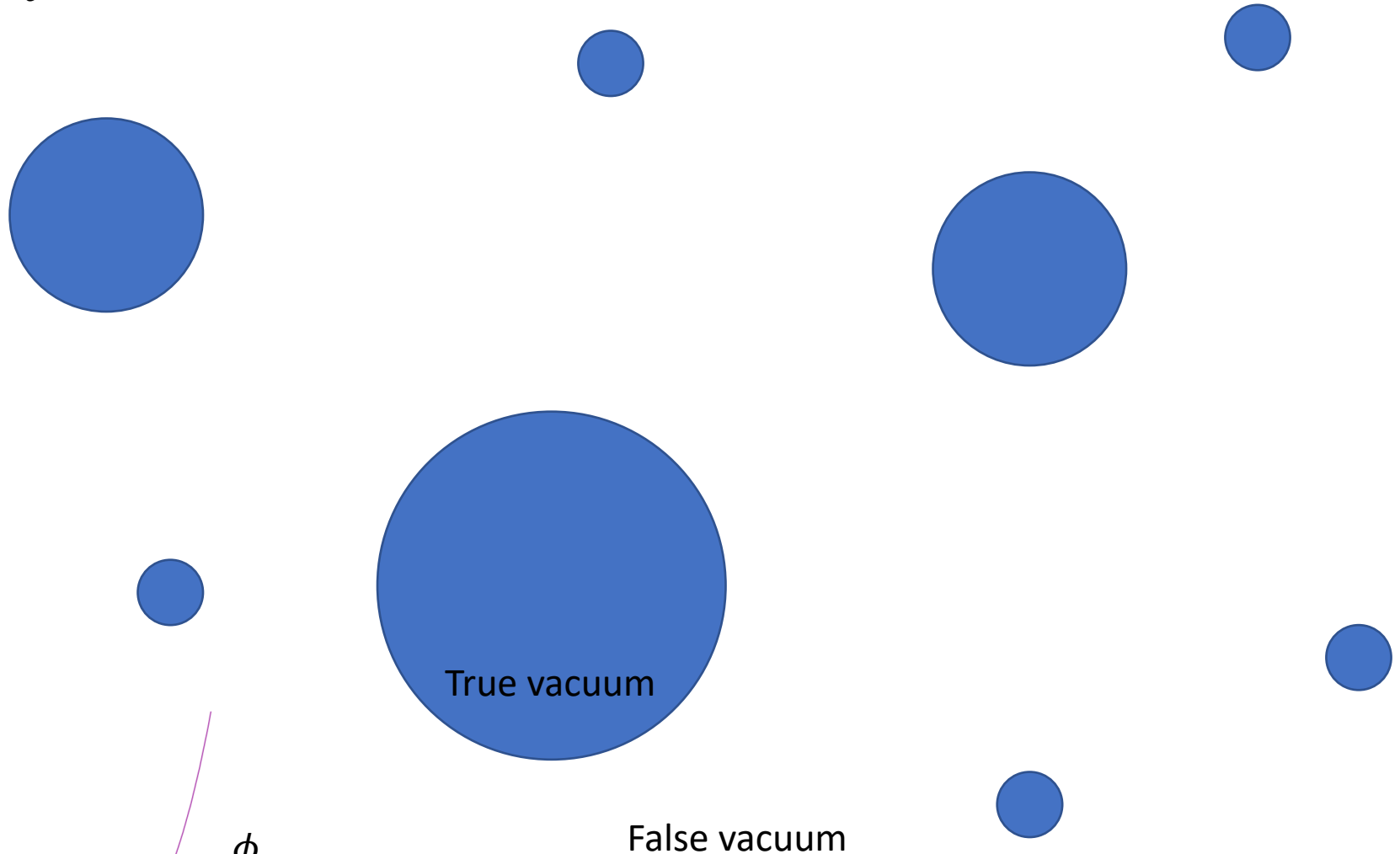


False vacuum



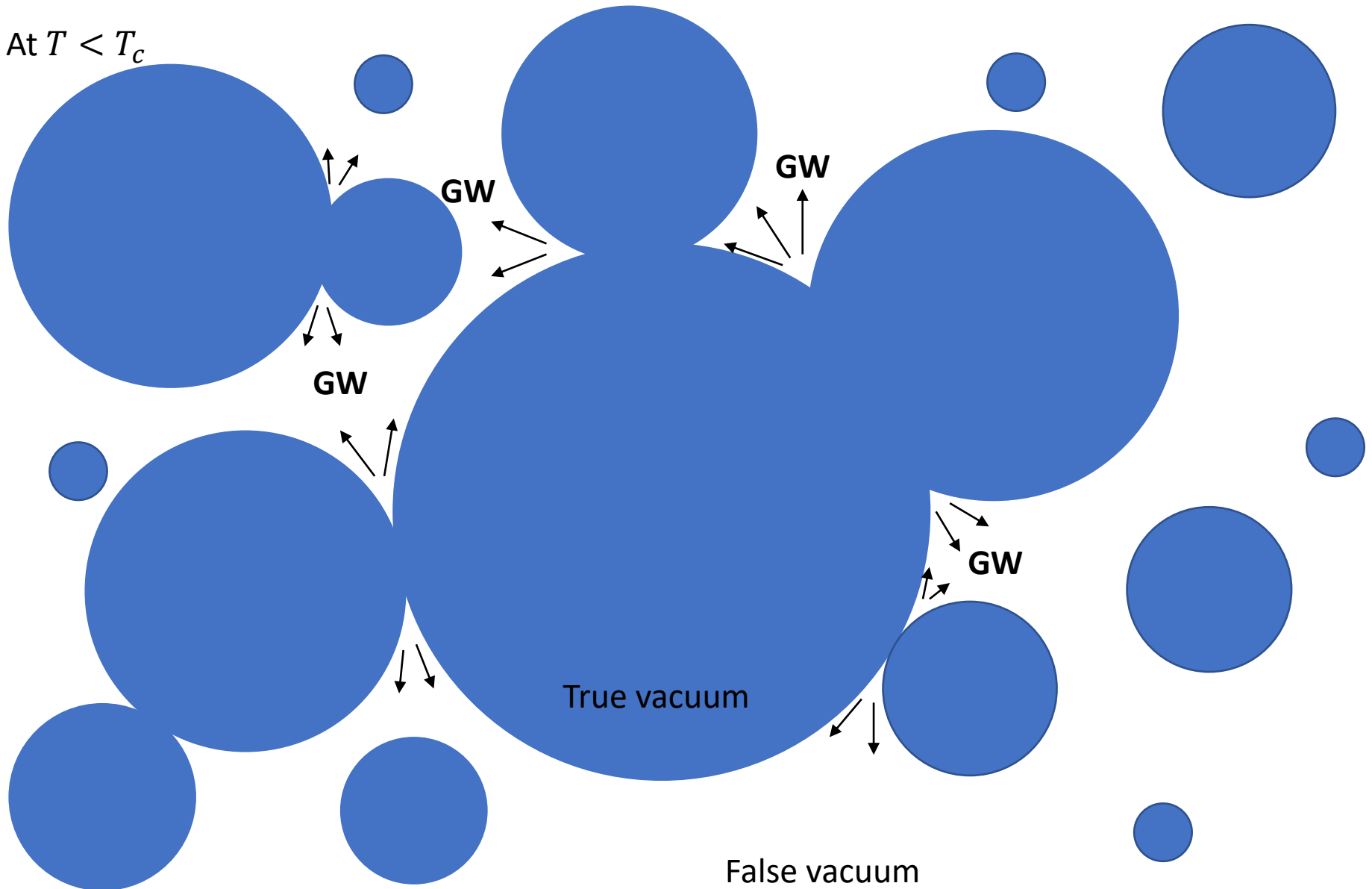
Cosmological first-order phase transitions

At $T < T_c$

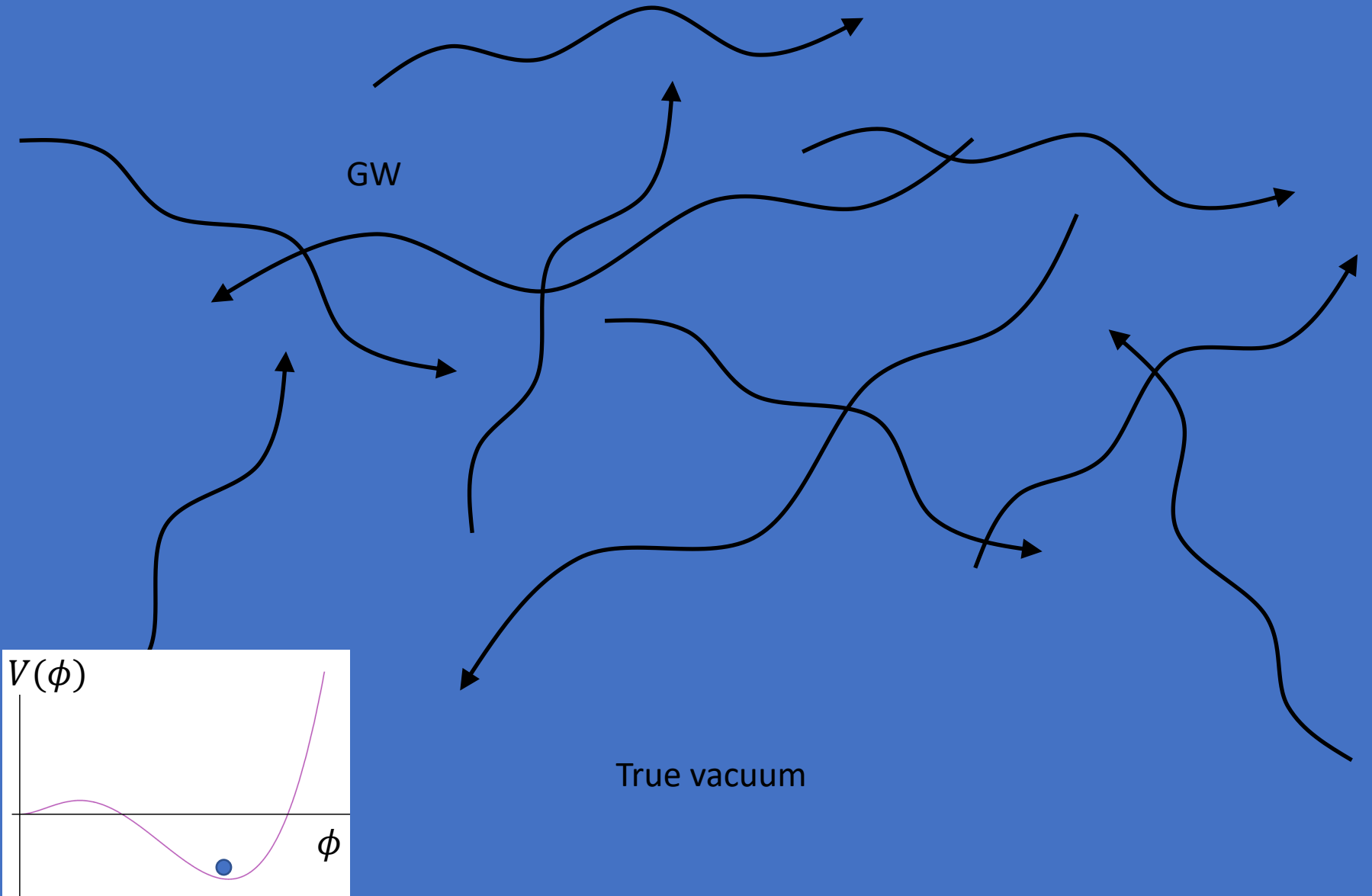


Cosmological first-order phase transitions

At $T < T_c$



Cosmological first-order phase transitions



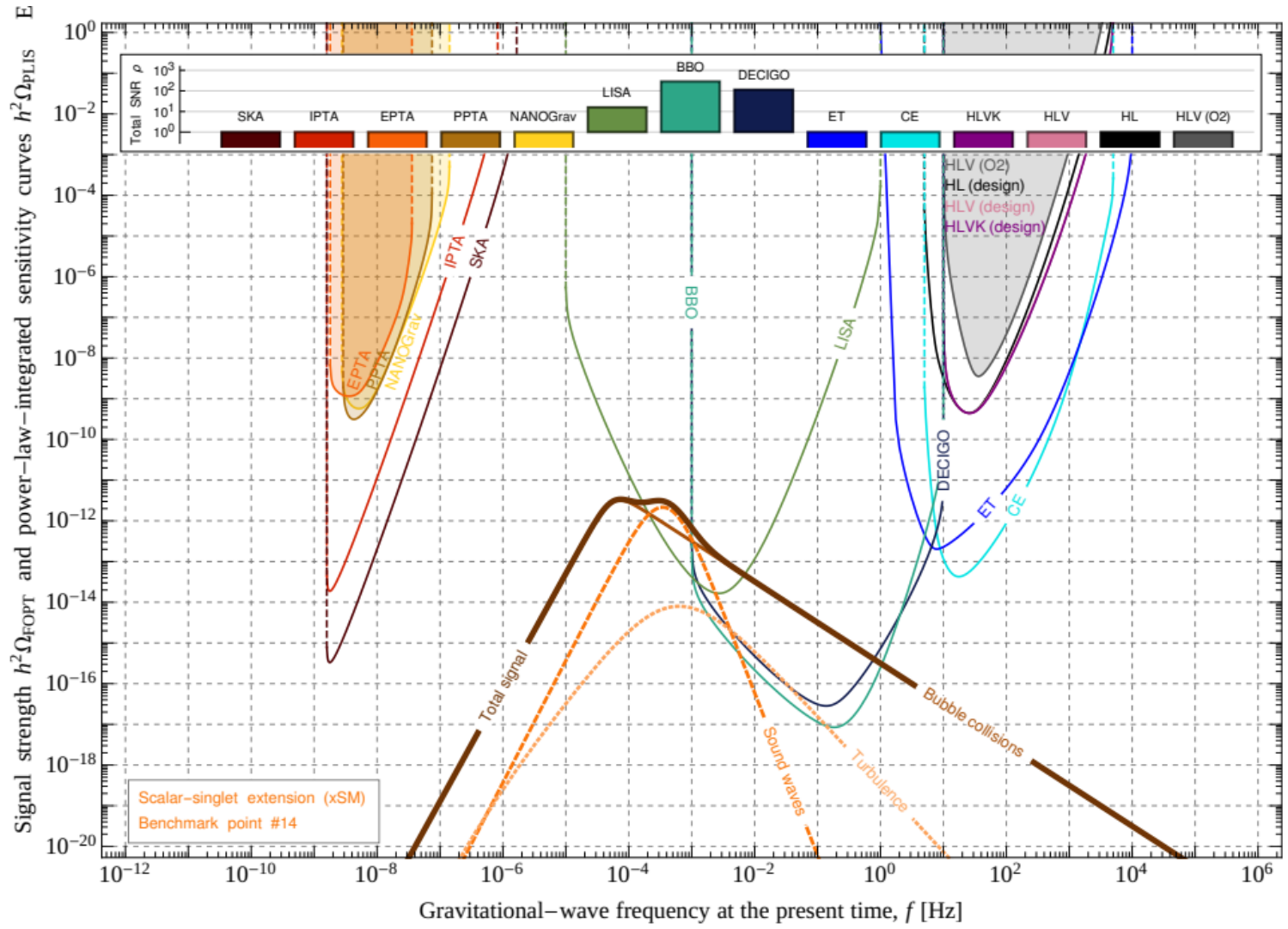
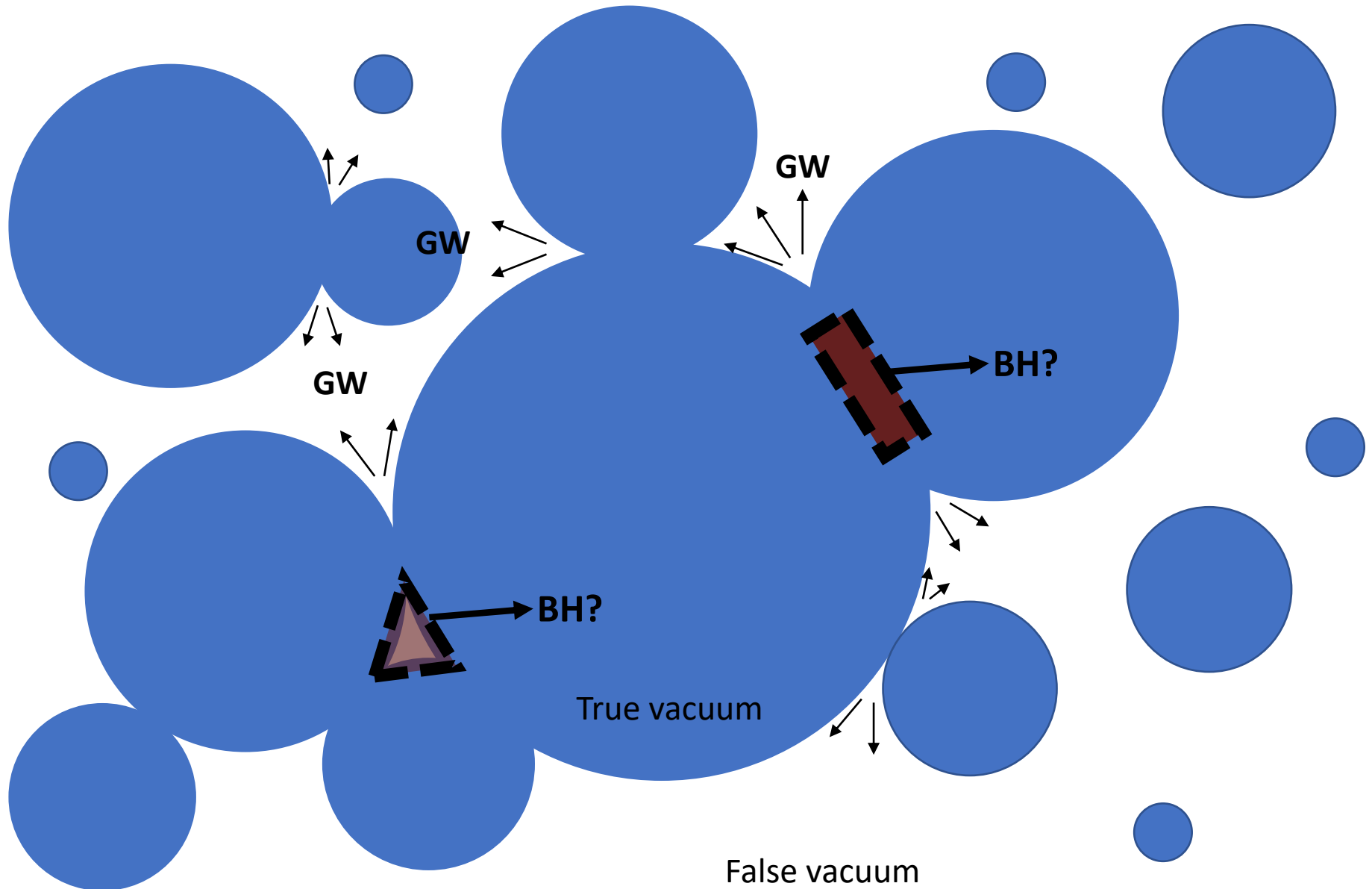
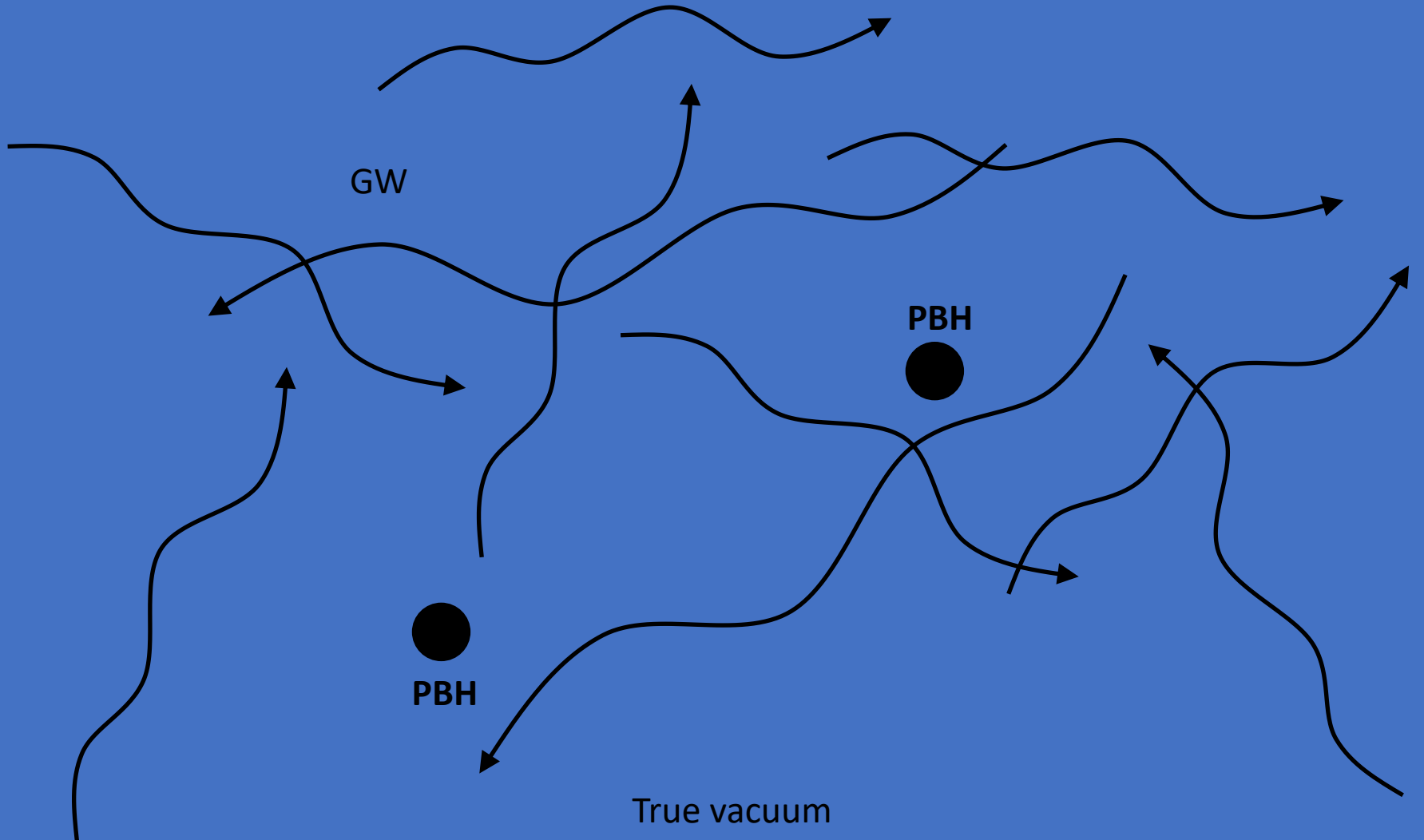


Fig. from
K. Schmitz, 2002.04615

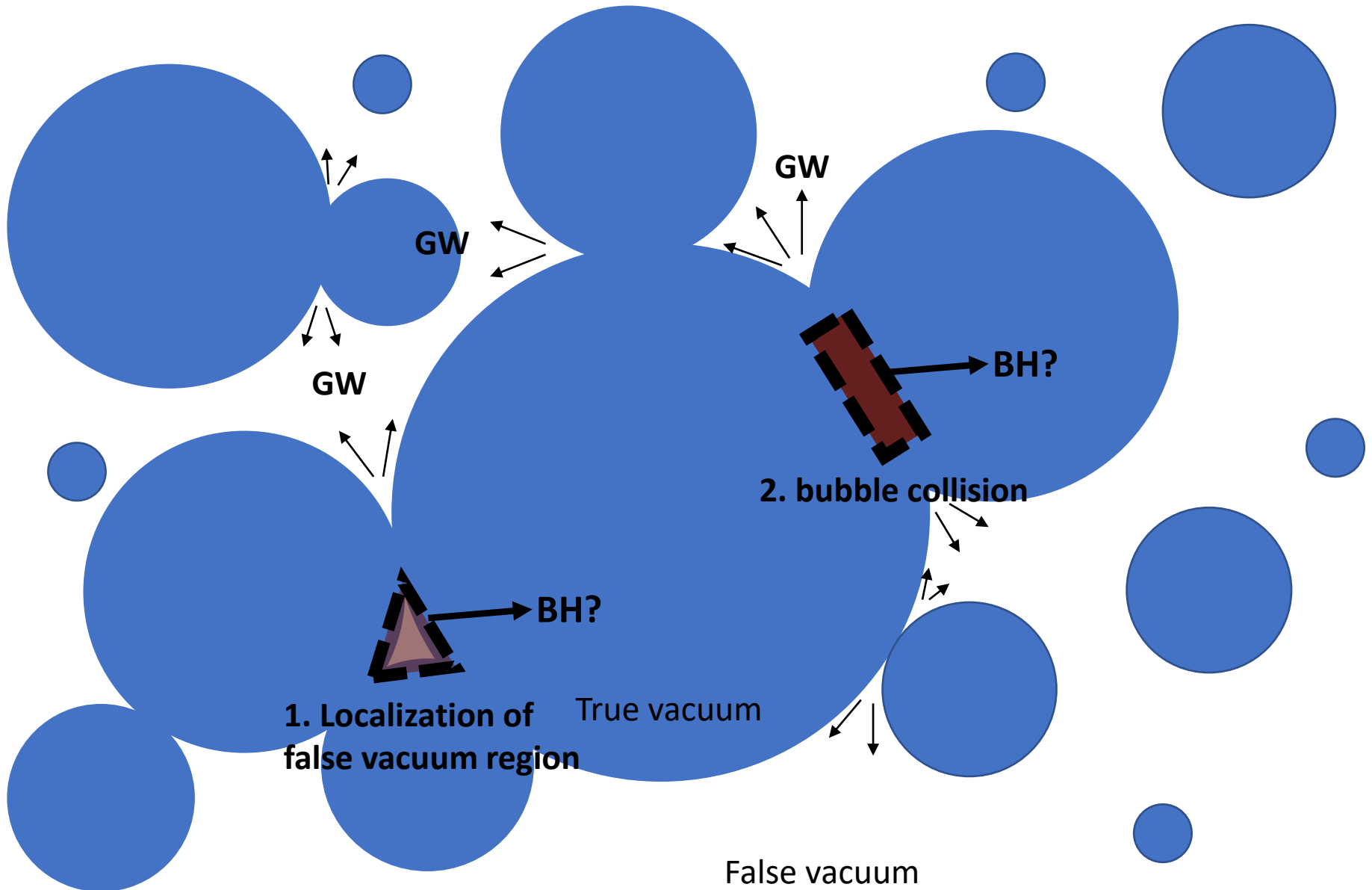
Cosmological first-order phase transitions



Cosmological first-order phase transitions



Cosmological first-order phase transitions



1. From localization of false vacuum region

From a localization of false vacuum

- K. Sato, M. Sasaki, H. Kodama, K. Maeda, 1981,
H. Kodama, M. Sasaki, K. Sato, 1982,

With plasma in false vacuum region

- L. J. Hall and S. D. H. Hsu, 1990
M. J. Baker, M. Breitbach, J. Kopp, L. Mittnacht, 2021
2105.07481

During the inflation

- A. Kusenko, M. Sasaki, S. Sugiyama, M. Takada, V. Takhistov, E. Vitagliano, 2020
2001.09160

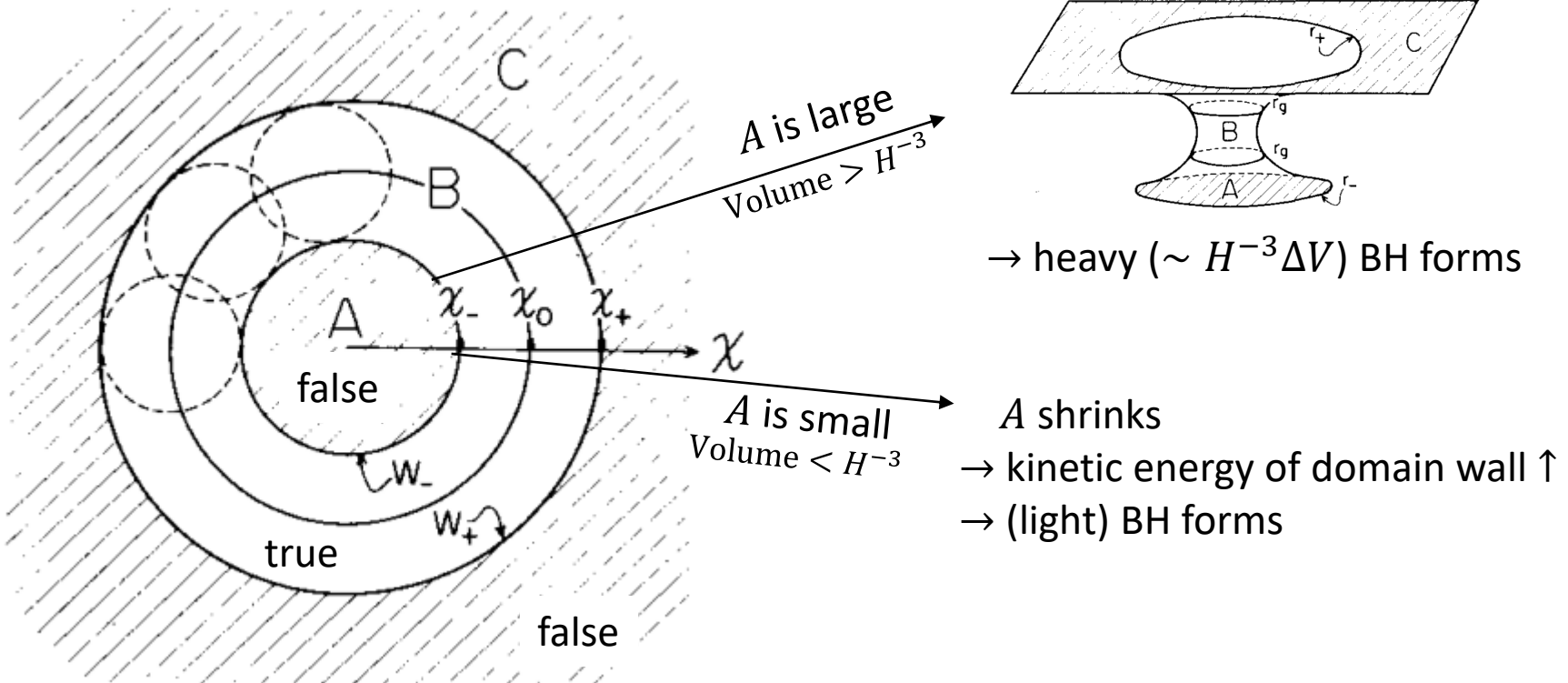
Semi-bubble collision (bubble collision \rightarrow false vacuum created \rightarrow BH)

- M. Khlopov, R. Konoplich, S. Rubin, A. Sakharov, 1998, 1999
hep-ph/9912422

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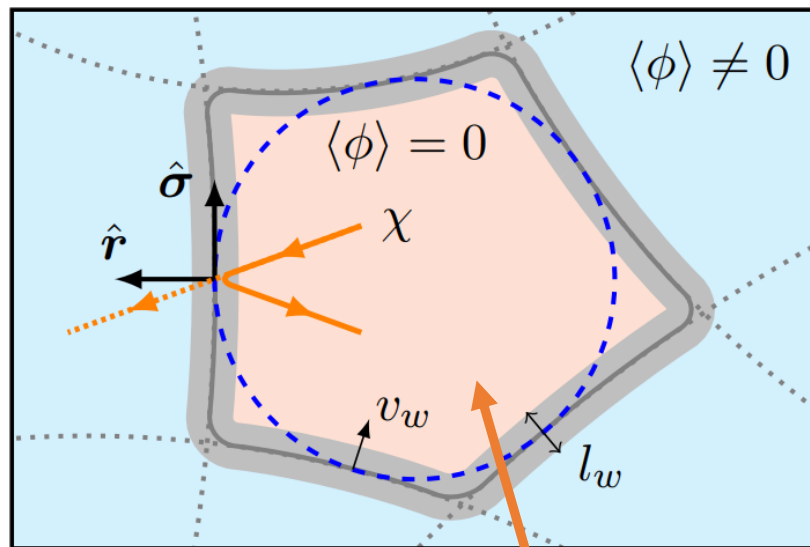
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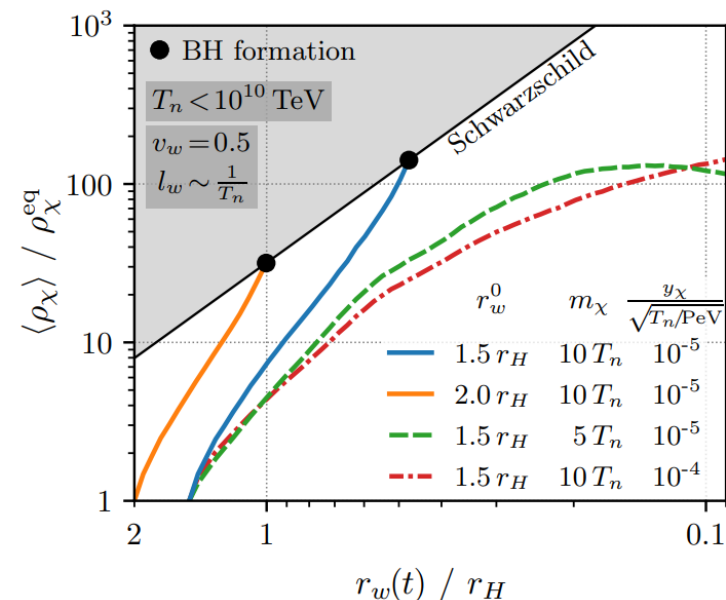
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plasma heated

(As discussed in Kopp's talk)

2105.07481



figures from Baker et. al., 2105.07481

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From a localization of false vacuum

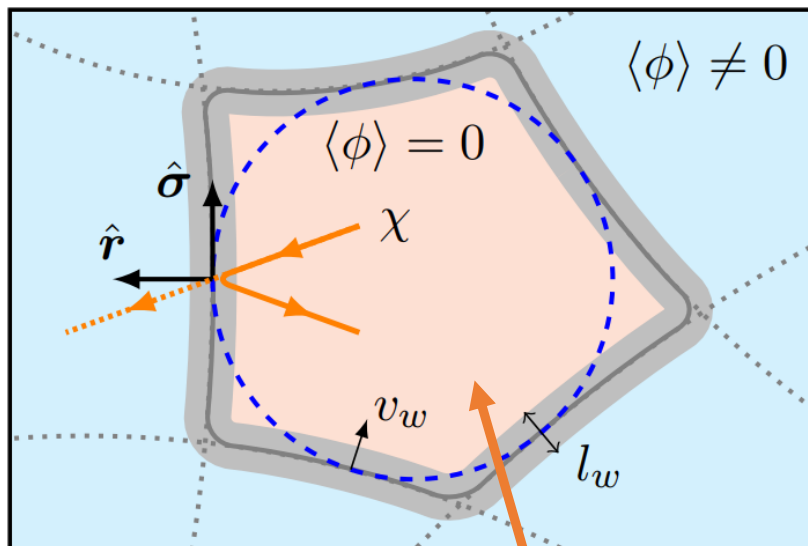
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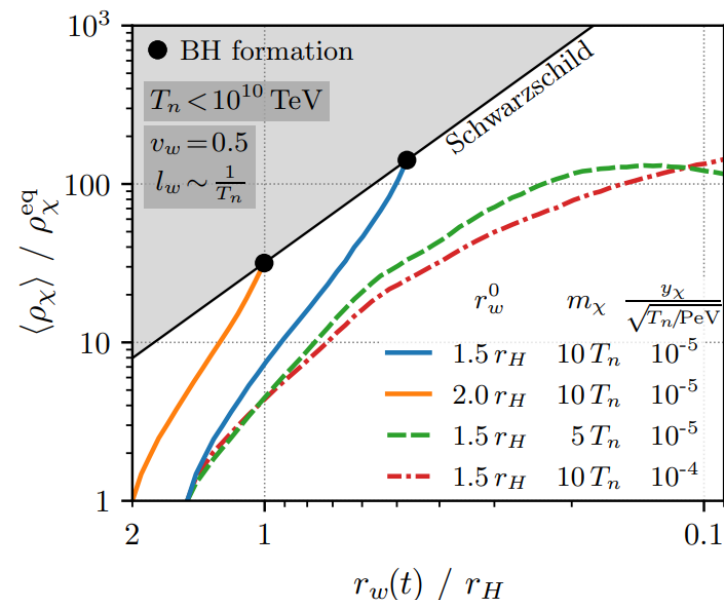
surrounded region
from random nucleations

2105.07481



plasma heated

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figures from Baker et. al., 2105.07481

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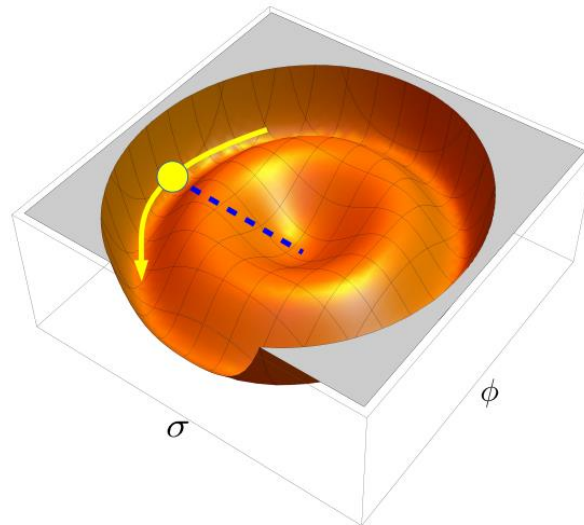
2105.07481

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Bubble collision → false vacuum created → BH

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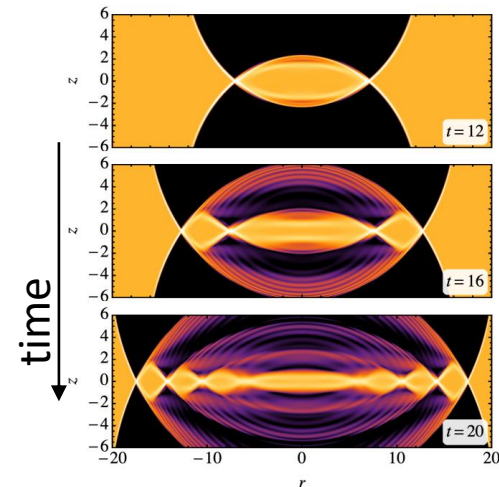
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Bubble collision \rightarrow false vacuum created \rightarrow BH

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 \rightarrow **M. Lewicki, V. Vaskonen, 2019**
: Not so consistent with numerical simulations



M. Lewicki, V. Vaskonen,
1912.00997

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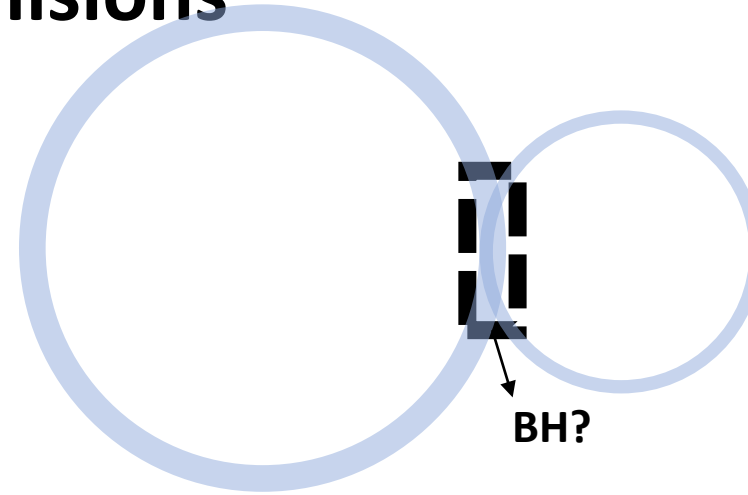
Bubble collision → false vacuum created → BH

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→ **M. Lewicki, V. Vaskonen, 2019** hep-ph/9912422
: Not so consistent with numerical simulations

(And many other scenarios. I apologize if I missed your work.)

2. From bubble collisions

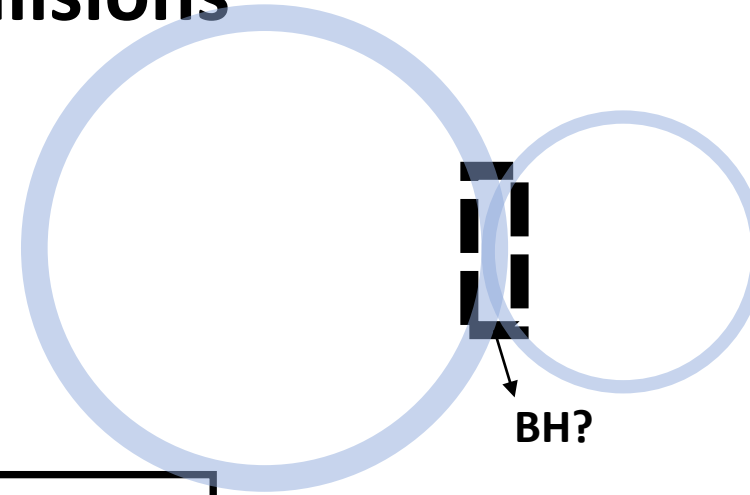
- S. W. Hawking, I. G. Moss, J. M. Stewart, 1982
Naïve estimation, **flat spacetime** ($R \ll H^{-1}$),
⇒ collision of a large number of bubbles is required
- I. G. Moss, 1994
 $R > H^{-1}$ (GR), **infinitesimally thin wall** approximation
⇒ a collision of two bubbles **cannot** produce a black hole
- THJ, T. Okui, 2021
 $R > H^{-1}$ (GR), **non-negligible thickness of energy density around the wall**,
⇒ a collision of two bubbles **can** produce a black hole



⇒ Main subject of this talk

2. From bubble collisions

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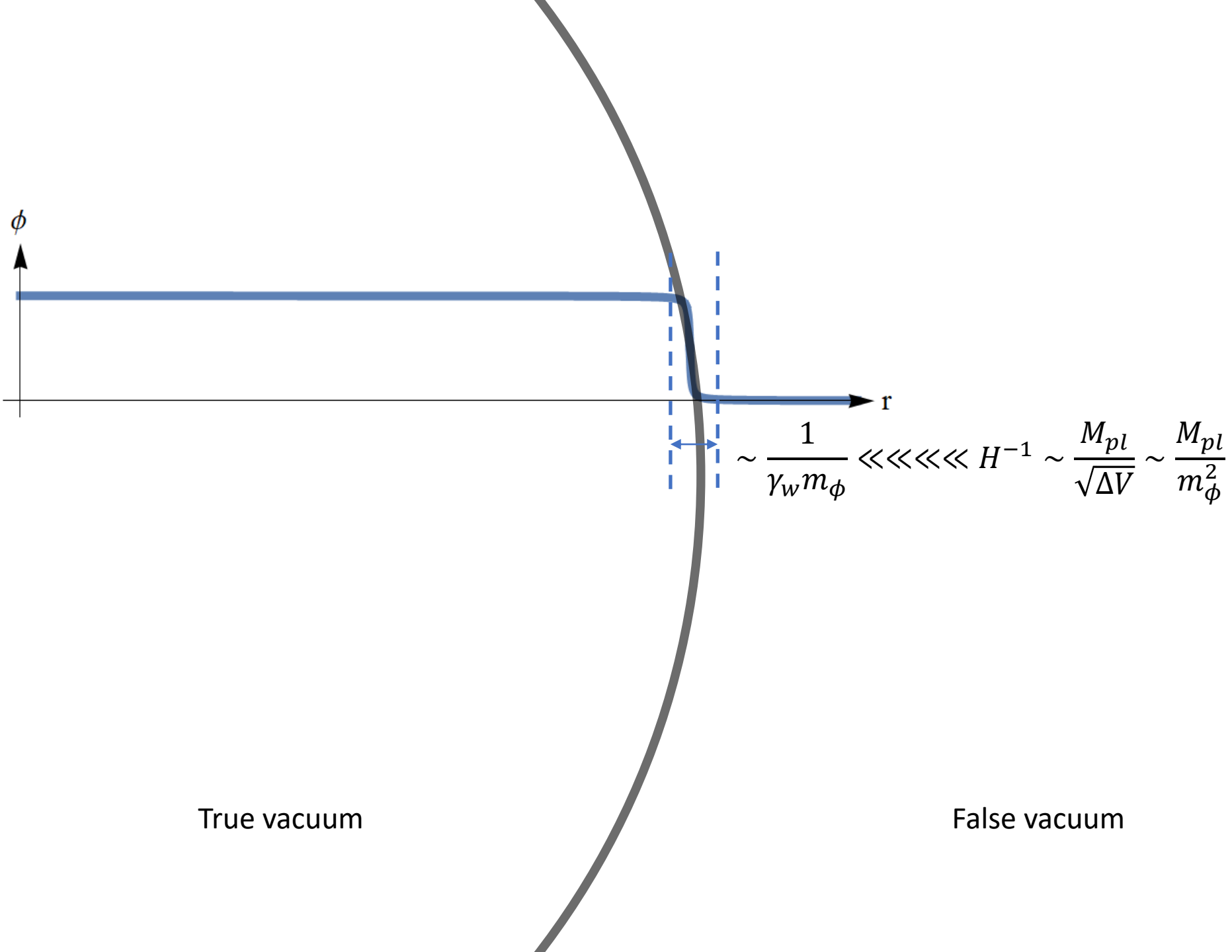
⇒ Main subject of this talk

How to realize it?

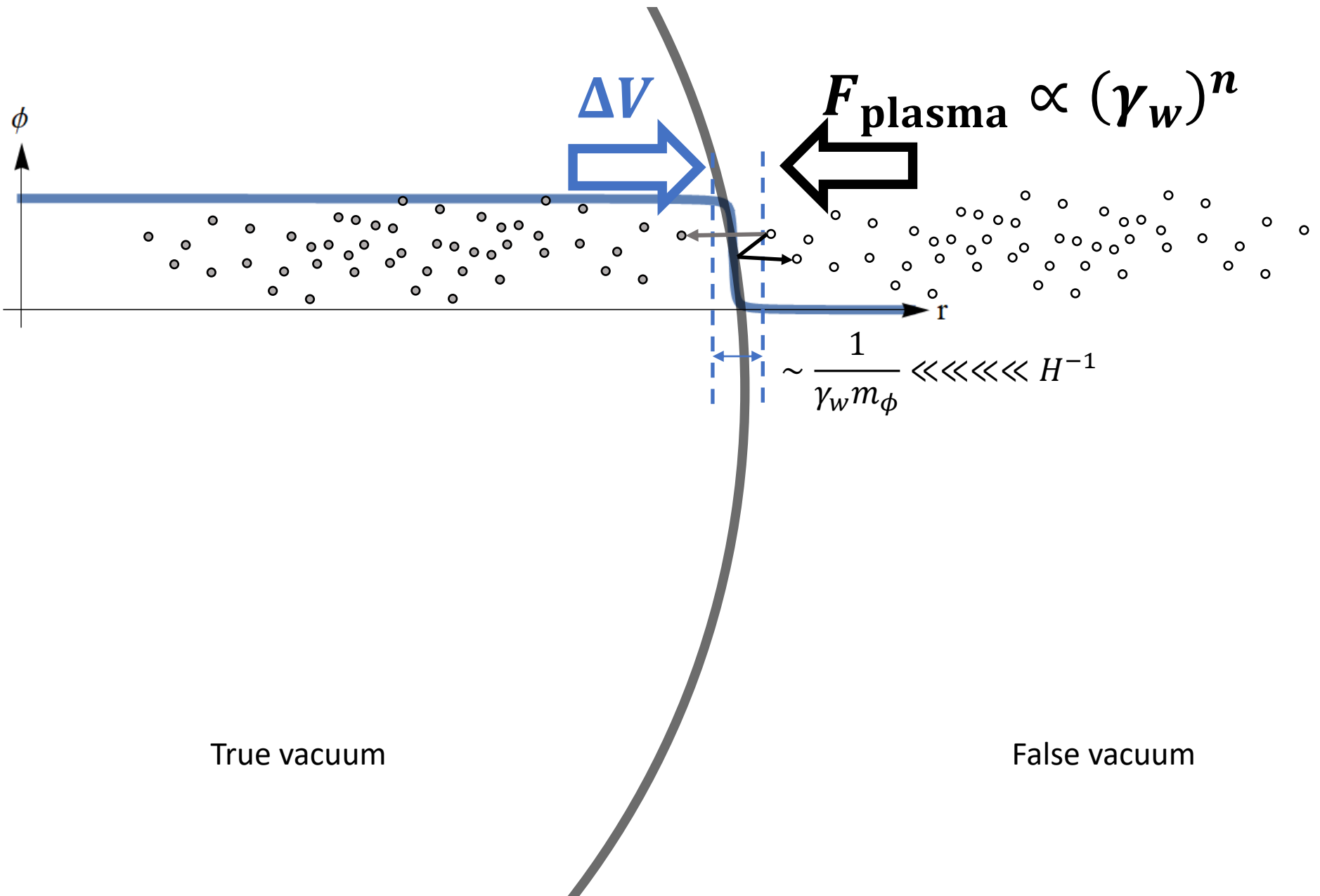


True vacuum

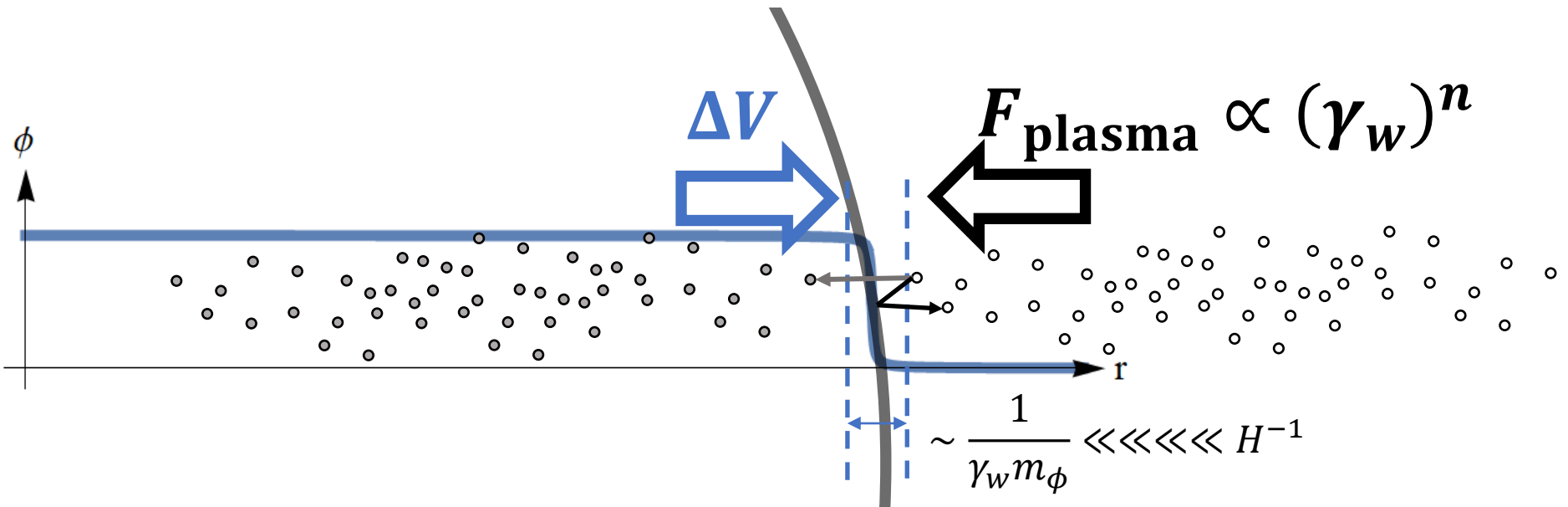
False vacuum



Fluid shell



Fluid shell



1. If $n > 0$, bubble wall velocity is frozen by $\Delta V = F_{\text{plasma}}$.
 ΔV is transferred to fluid energy \Rightarrow bulk motion is generated
 (bubble wall works on plasma)

$$\partial_\mu (T_{\text{fluid}}^{\mu\nu} + T_\phi^{\mu\nu}) = 0 \quad \Rightarrow$$

deflagration
 $\xi_w < c_s$

hybrid
 $\xi_w > c_s$

detonation
 $\xi_w > c_s$

(thick energy density profile)

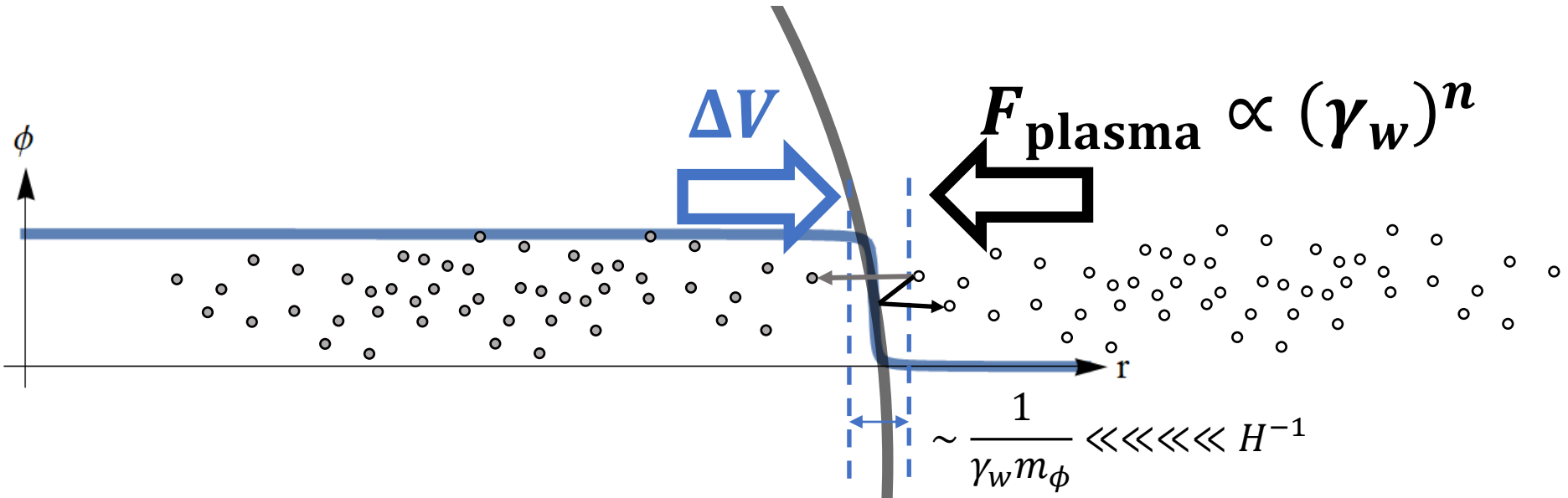
$$L \sim \frac{1}{\gamma_w^2} R$$

e.g. see [Espinosa et. al., 1004.4187](#)

2. If $n \rightarrow 0$ & $\Delta V \gg F_{\text{fluid}}$, bubble wall runs away.
 ΔV is transferred to scalar profile \Rightarrow kinetic energy of scalar profile $\uparrow \Rightarrow$ wall width $\downarrow \Rightarrow$ No BH

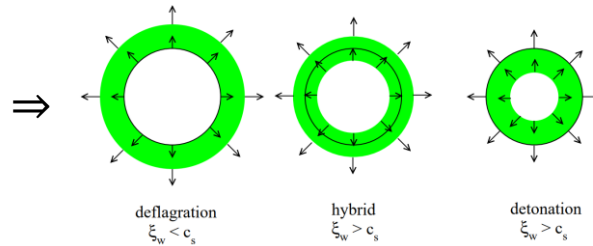
$$L \propto 1/R$$

Fluid shell



1. If $n > 0$, bubble wall velocity is frozen by $\Delta V = F_{\text{plasma}}$.
 ΔV is transferred to fluid energy \Rightarrow bulk motion is generated
 (bubble wall works on plasma)

$$\partial_{\mu} (T_{\text{fluid}}^{\mu\nu} + T_{\phi}^{\mu\nu}) = 0$$



(thick energy density profile)

$$L \sim \frac{1}{\gamma_w^2} R$$

e.g. see Espinosa et. al., 1004.4187

THJ, T. Okui, 2110.04271

Preview of our findings

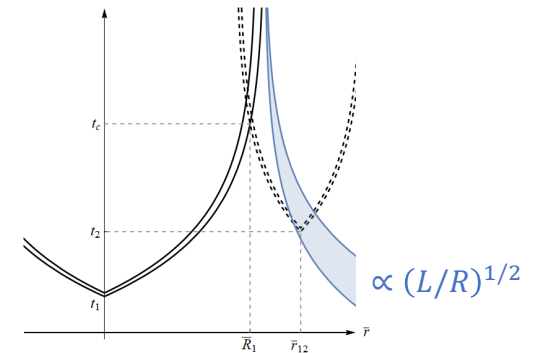
THJ, T. Okui, 2110.04271

Condition:

$$R \gtrsim \frac{H^{-1}}{\sqrt{L/R}}$$

$L/R \ll 1$
in our framework

$$N(t_1) = \int dV \Gamma_n(t_2) P_f(t_c)$$



Number density:

$$n_{PBH} = \frac{1}{R_f^3} \int dt_1 e^{3Ht_1} N(t_1) \Gamma_n(t_1)$$

Mass:

$$M_{PBH} > \frac{4\pi}{3} H^{-3} \Delta V$$

(mostly on the threshold
since $P(t_c)$ decreases quickly as $r_{12} \uparrow$)

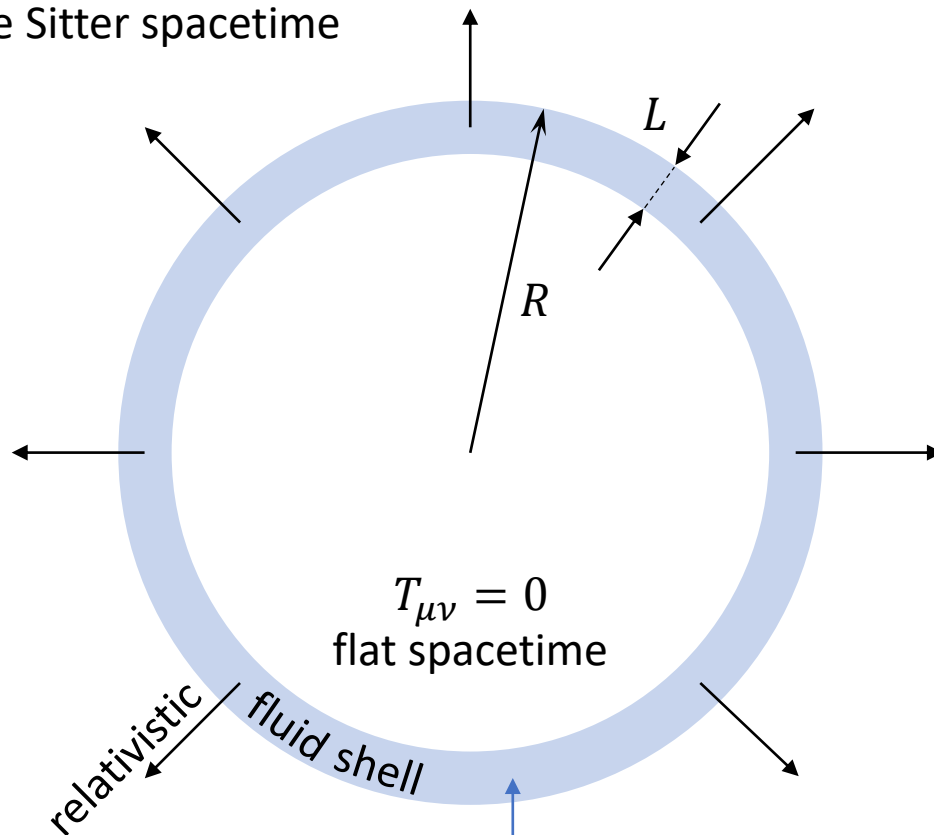
Condition for PBH formation

PBH production from bubble collisions

THJ, T. Okui, 2110.04271

$$T_{\mu\nu} = \Delta V g_{\mu\nu}$$

de Sitter spacetime



$T_{\mu\nu} = 0$
flat spacetime

relativistic
fluid shell

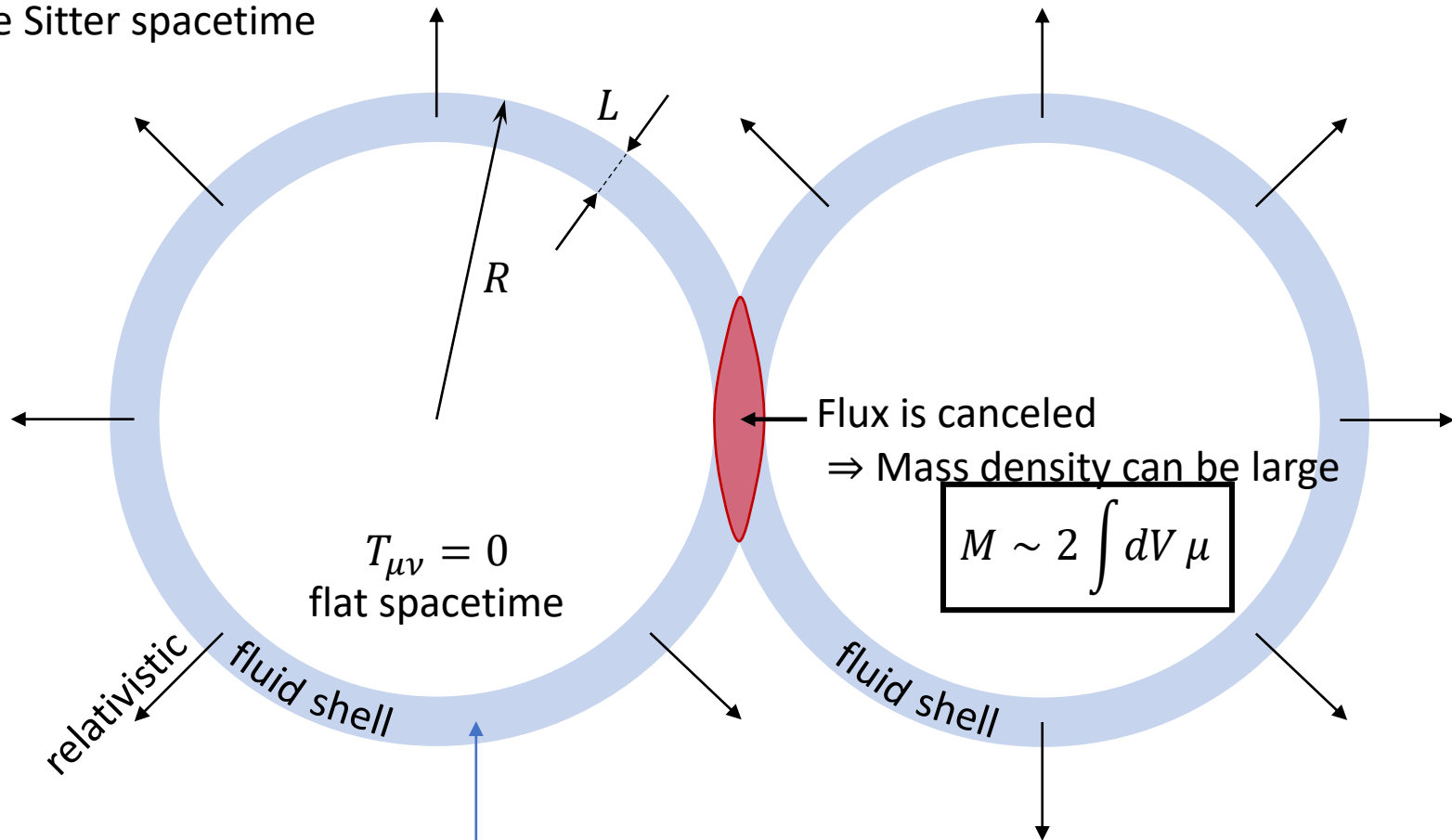
Large $T_{\mu\nu}$,
Energy density \simeq energy flux \sim large
Mass density \sim microscopic scale

PBH production from bubble collisions

THJ, T. Okui, 2110.04271

$$T_{\mu\nu} = \Delta V g_{\mu\nu}$$

de Sitter spacetime



$T_{\mu\nu} = 0$
flat spacetime

Flux is canceled
⇒ Mass density can be large

$$M \sim 2 \int dV \mu$$

relativistic
fluid shell

fluid shell

Large $T_{\mu\nu}$,

Energy density \simeq energy flux \sim large

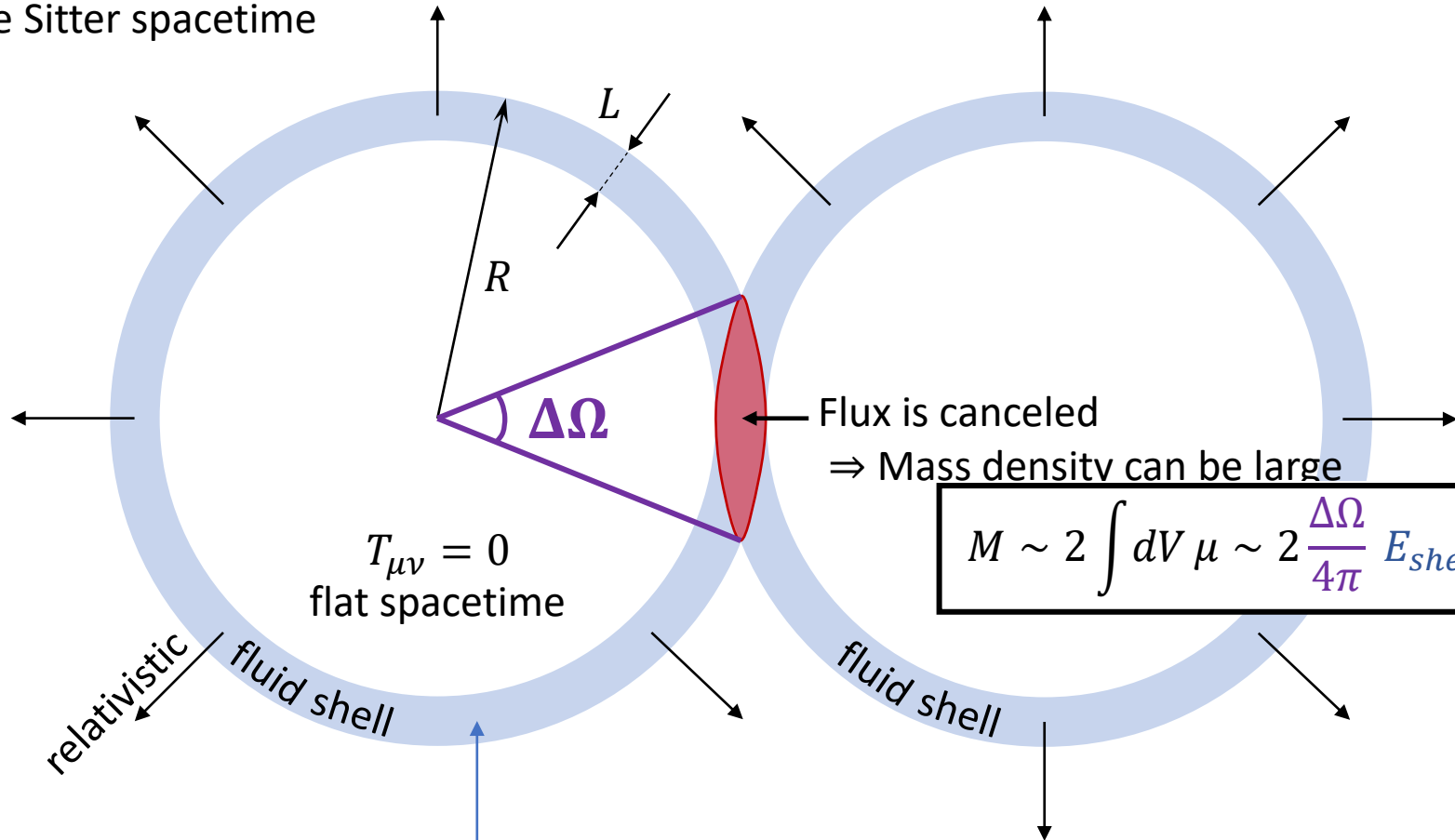
Mass density \sim microscopic scale

PBH production from bubble collisions

THJ, T. Okui, 2110.04271

$$T_{\mu\nu} = \Delta V g_{\mu\nu}$$

de Sitter spacetime



Flux is canceled

\Rightarrow Mass density can be large

$$M \sim 2 \int dV \mu \sim 2 \frac{\Delta\Omega}{4\pi} E_{shell}$$

relativistic
fluid shell

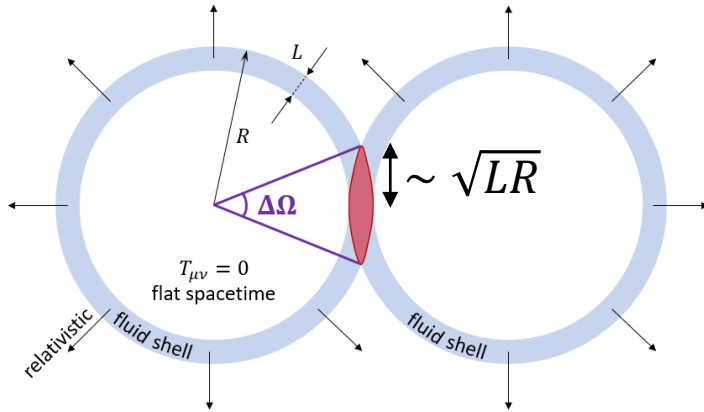
fluid shell

Large $T_{\mu\nu}$,

Energy density \simeq energy flux \sim large

Mass density \sim microscopic scale

PBH production from bubble collisions



$$\Rightarrow M \sim 2 \frac{\Delta\Omega}{4\pi} E_{\text{Shell}}$$

$$\Delta\Omega \simeq \pi \frac{L}{R}$$

When $R \ll H^{-1}$,

$$E_{\text{shell}} \simeq \frac{4\pi}{3} R^3 \Delta V \text{ since energy is conserved } (\partial_\mu T^{\mu\nu} = 0).$$

A BH forms if

$$1 < \frac{2GM}{\sqrt{RL}} \sim H^2 R^2 \sqrt{L/R} \quad : R > \frac{H^{-1}}{(L/R)^{1/4}}$$

$E_{\text{shell}}(\mathbf{R})$ for $R \gtrsim H^{-1}$

(R : outer surface area = $4\pi R^2$)

With $8\pi G = 1$, Vaidya-de Sitter metric:

$$ds^2 = -\left(1 - \frac{\bar{\rho}(u)}{3}\right) \bar{r}^2 dt^2 - 2dt dr + r^2 d\Omega^2$$

$$u = t - \frac{1}{H} \log(1 + e^{Ht} Hr), \bar{r} = r e^{Ht}$$

$T_{\alpha\beta} = G_{\alpha\beta} \rightarrow \mu = T_{\alpha\beta} n^\alpha n^\beta$ where $n \perp H$
For $H: t = t_c$,

$$\bar{\rho}(u) = 0 \text{ for } u > u_1 + \epsilon$$

$$\Delta V \text{ for } u < u_1$$

$$ds^2 = -dt^2 + e^{2Ht}(dr^2 + r^2 d\Omega^2) - \frac{\Delta H^2(u) r^2 e^{2Ht}}{(1 + H r e^{Ht})^2} (dt - dr)^2$$

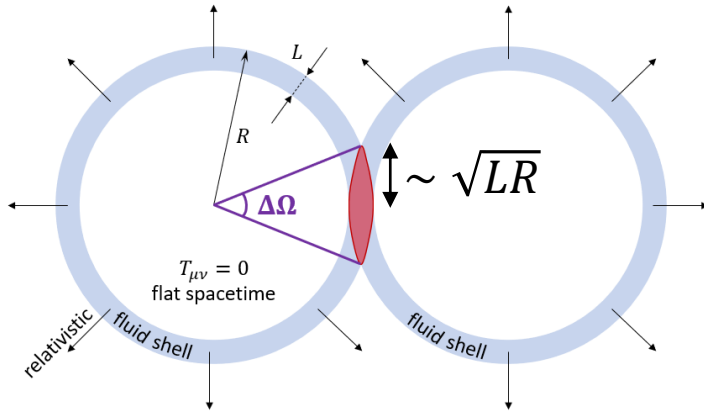
some messy calculations

$$E_{\text{shell}} = \int_{\Sigma} \mu \sqrt{\det g_{ij}}$$

$$\rightarrow \begin{cases} \frac{4\pi}{3} R^3 \Delta V & \text{for } R \ll H^{-1} \\ \frac{4\pi}{3} R^3 \Delta V \times \frac{1}{2HR} & \text{for } R \gg H^{-1} \end{cases}$$

$$E_{\text{shell}} \simeq \frac{4\pi}{3} R^3 \Delta V \times \frac{1}{2HR} \quad \text{for } R \gg H^{-1}$$

PBH production from bubble collisions



$$\Rightarrow M \sim 2 \frac{\Delta\Omega}{4\pi} E_{\text{Shell}}$$

$$\Delta\Omega \simeq \pi \frac{L}{R}$$

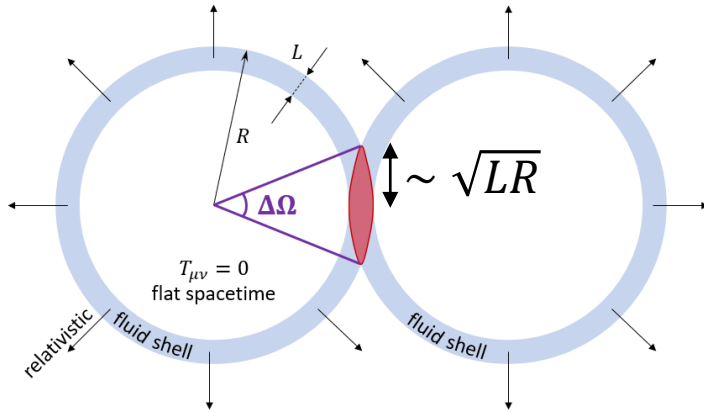
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PBH production from bubble collisions



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$$\Delta\Omega \simeq \pi \frac{L}{R}$$

When $R \ll H^{-1}$,

\gg

$$E_{\text{shell}} \simeq \frac{4\pi}{3} R^3 \Delta V \times \frac{1}{2HR}$$

~~shell energy, 4\pi R^2 \Delta V (2\pi R)~~

A BH forms if

$$1 < \frac{2GM}{\sqrt{RL}} \sim H^1 R^1 \sqrt{L/R}$$

$$: R > \frac{H^{-1}}{(L/R)^{1/2}}$$

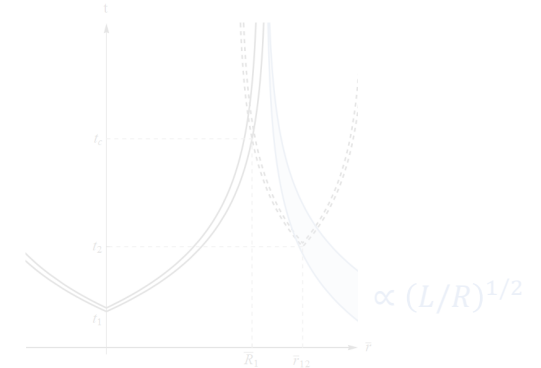
$$M_{\text{PBH}} > \frac{4\pi}{3} H^{-3} \Delta V$$

Summary

Condition:

$$R \gtrsim \frac{H^{-1}}{\sqrt{L/R}}$$

$L/R \ll 1$
in our framework



$$N(t_1) = \int dV \Gamma_n(t_2) P_f(t_c)$$

$$P_f(t) = \exp\left(-\frac{4\pi}{3} H^{-3} \int_{-\infty}^t dt' (1 - e^{-H(t-t')})^3 \Gamma_n(t')\right)$$

Number density:

$$n_{PBH} = \frac{1}{R_f^3} \int dt_1 e^{3Ht_1} N(t_1) \Gamma_n(t_1)$$

Mass:

$$M_{PBH} > \frac{4\pi}{3} H^{-3} \Delta V$$

(mostly on the threshold
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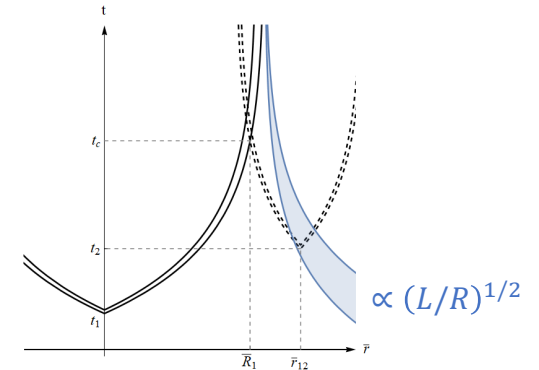
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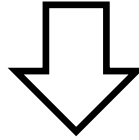
(mostly on the threshold
since $P(t_c)$ decreases quickly as $r_{12} \uparrow$)

PBH abundance

PBH abundance

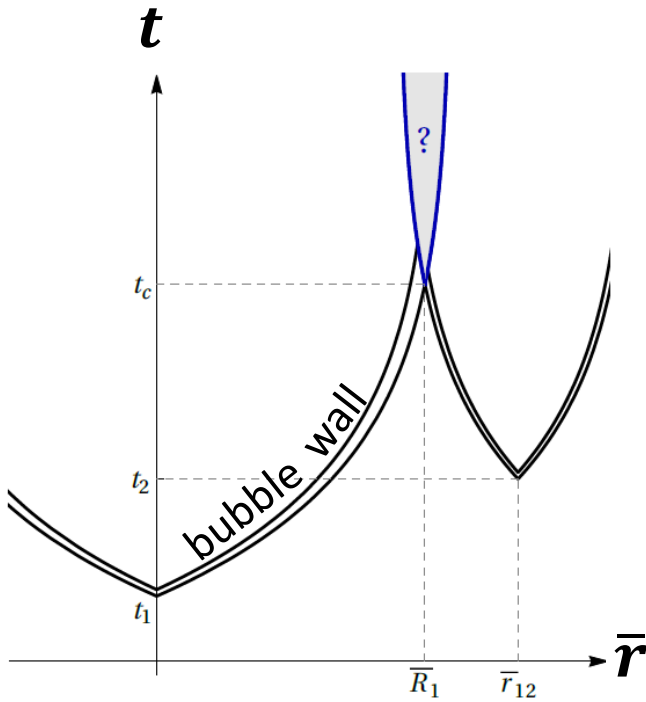
$$\frac{H^{-1}}{\sqrt{L/R}} < R$$

defined by the surface area = $4\pi R^2$ at collision



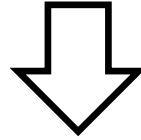
In FRW(-like) coordinates ?

PBH abundance



$$\frac{H^{-1}}{\sqrt{L/R}} < R$$

↑
defined by the surface area = $4\pi R^2$ at collision

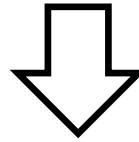


In FRW(-like) coordinates ? $\bar{R}_i = R_i e^{-H(t_c - t_i)}$

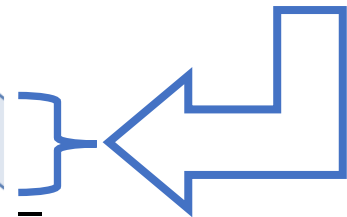
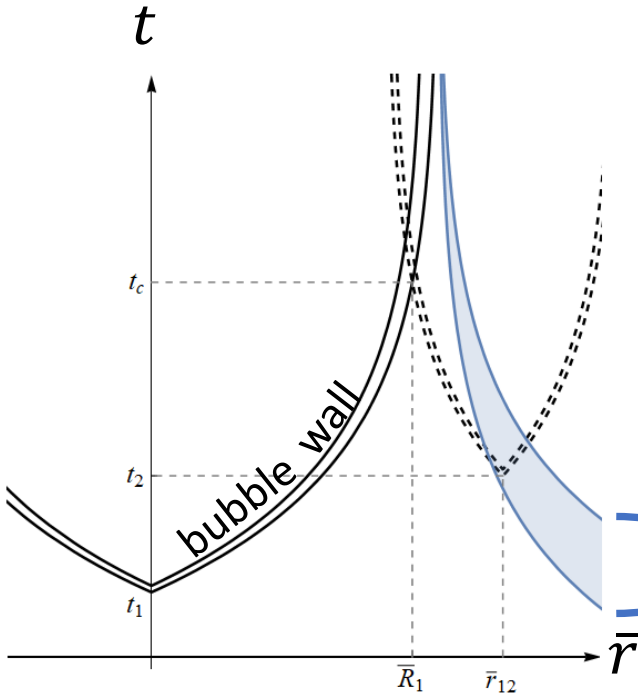
PBH abundance

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defined by the surface area = $4\pi R^2$ at collision



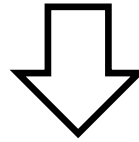
In FRW(-like) coordinates ? $\bar{R}_i = R_i e^{-H(t_c - t_i)}$



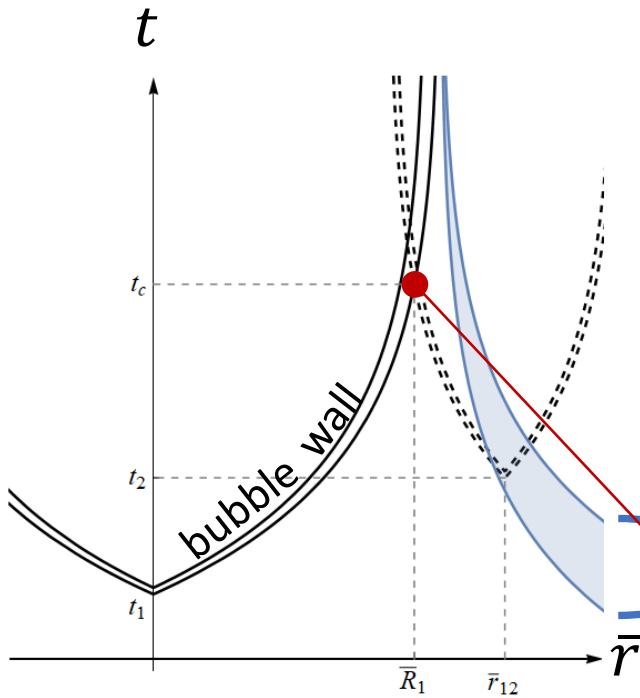
PBH abundance

$$\frac{H^{-1}}{\sqrt{L/R}} < R$$

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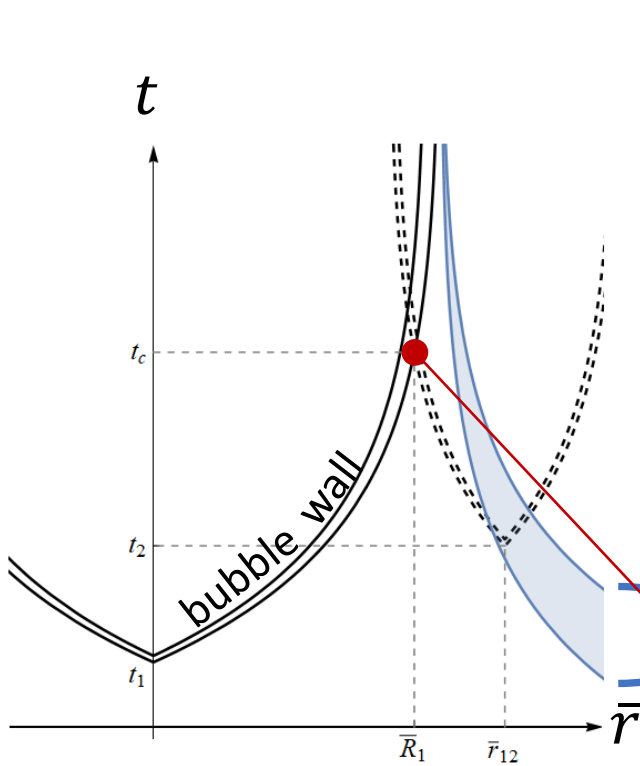
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For a bubble nucleated at t_1 ,

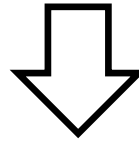
1. Another bubble nucleated in the band
2. Collision point should be in the FV

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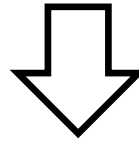
$$N(t_1) = \int dV_2 \Gamma_n(t_2) P_f(t_c)$$

$P_f(t_c)$ = prob. that a point remains in FV at t_c

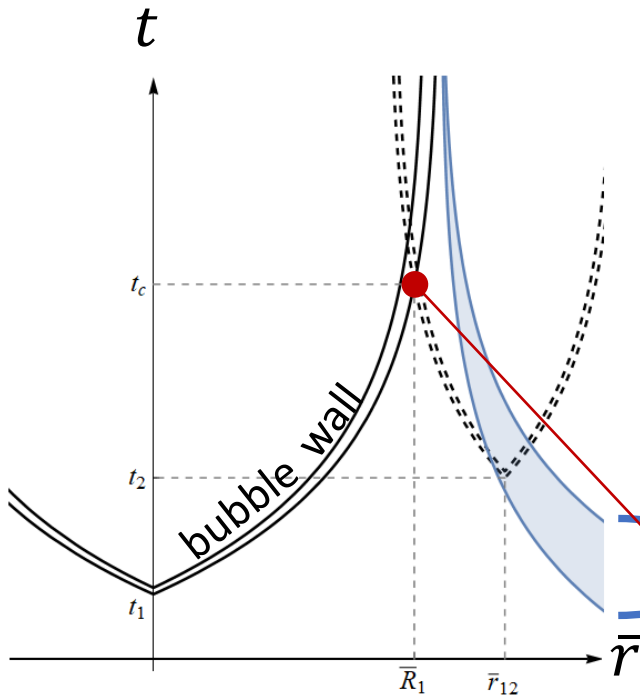
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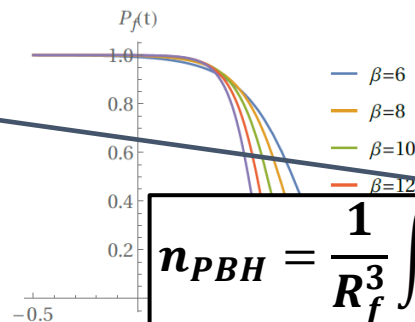


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$$n_{PBH} = \frac{1}{R_f^3} \int dt_1 e^{3Ht_1} N(t_1) \Gamma_n(t_1)$$

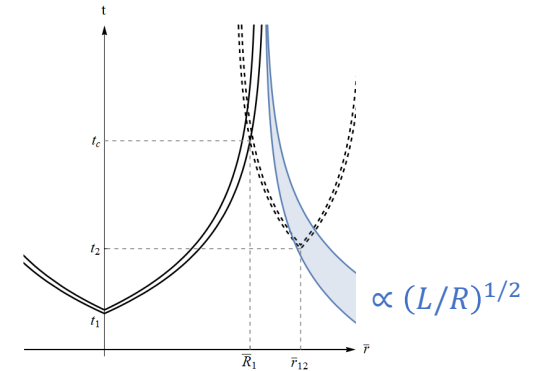
Summary

Condition:

$$R \gtrsim \frac{H^{-1}}{\sqrt{L/R}}$$

$L/R \ll 1$
in our framework

$$N(t_1) = \int dV \Gamma_n(t_2) P_f(t_c)$$



Number density:

$$n_{PBH} = \frac{1}{R_f^3} \int dt_1 e^{3Ht_1} N(t_1) \Gamma_n(t_1)$$

Mass:

$$M_{PBH} > \frac{4\pi}{3} H^{-3} \Delta V$$

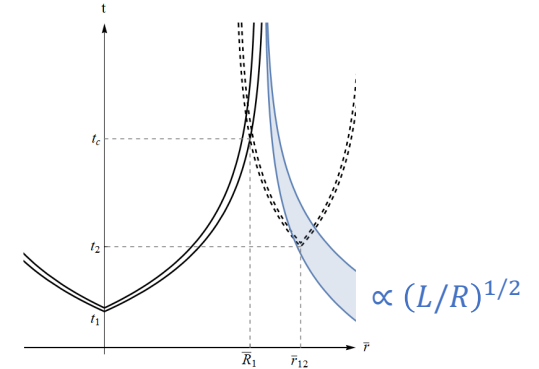
(mostly on the threshold
since prob. of having large R decreases quickly as $R \uparrow$)

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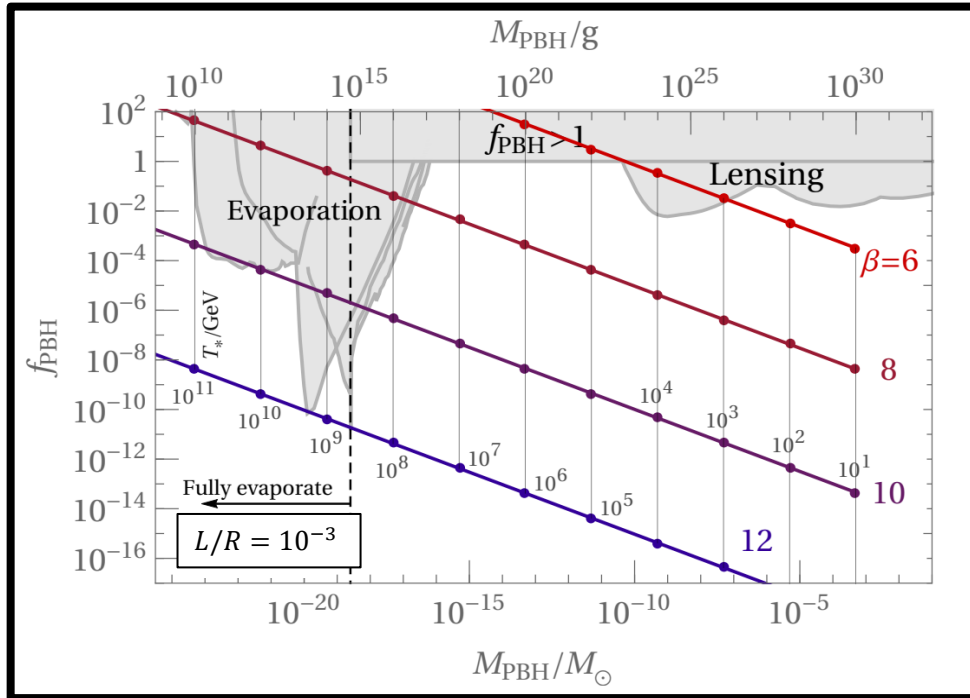
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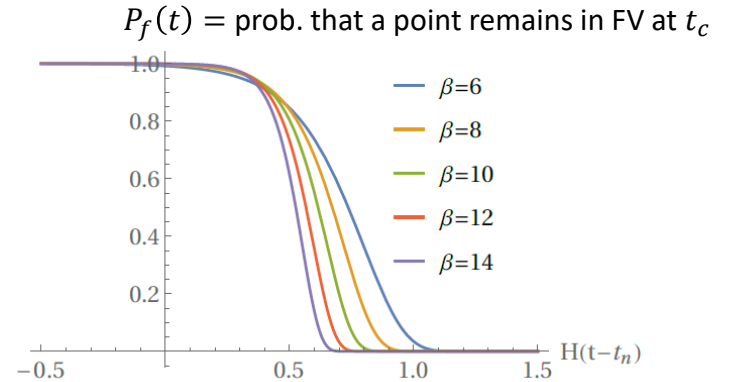
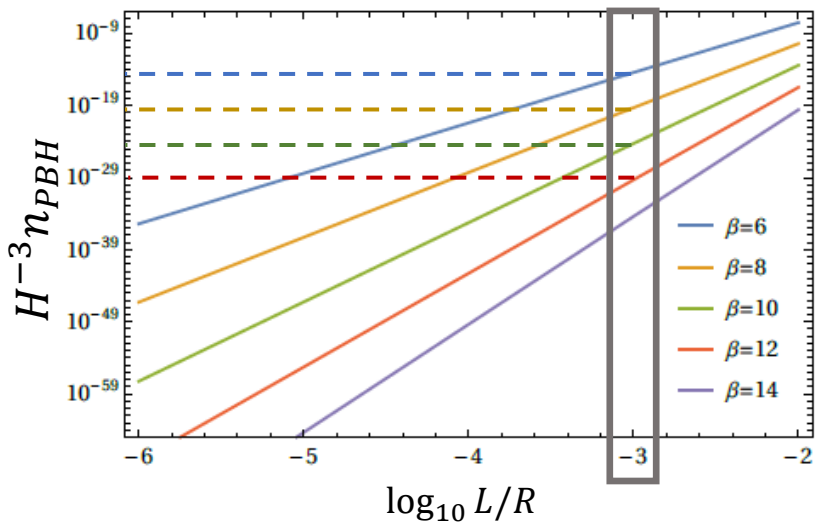
(mostly on the threshold
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We can calculate $Y_{PBH} = M_{PBH} n_{PBH} / s$ if we know $\begin{cases} L/R \\ \Gamma_n(t) \\ \Delta V \end{cases}$

Example: $\Gamma_n(t) = H^4 e^{\beta H(t-t_n)}$ & $L/R = 10^{-3}$



- $R > 30 H^{-1}$ for PBH formation
- t_n doesn't change the result
- $(\beta H)^{-1}$: duration of PT
- $T_* \sim (\Delta V)^{1/4}$: reheating temp.
or
critical temp.
or
some characteristic scale
- Exponential $\Gamma_n(t)$: no eternal inflation



Thank you for your attention!!

Next steps

- Small β (=long duration of PT)? Estimation from a concrete particle physics model?
- $L/R = \text{const}$ in $R \gg H^{-1}$?
- With help of non-relativistic outcome from the collision?
- Numerical relativity?

Backup slides for those who don't like hand-waving arguments

Hoop conjecture

original

A BH forms if and only if a mass M gets compacted in a region whose largest circumference is less than $2\pi \cdot (2GM)$.

refined

A BH forms if and only if there exist a hypersurface H and its subset V that satisfies

$$1 < \frac{2\pi \cdot (2GM(V))}{C(V)},$$

where $M(V)$ is the mass inside V and $C(V)$ is the largest circumference of V .

Definition of mass $M(V)$

For a given spacelike hypersurface H and $V \subset H$

$$M(V) = \int_V d^3x \sqrt{\det(g_{ij})} (\mu - \sqrt{J_\alpha J^\alpha}),$$

where $\mu = T_{\alpha\beta} n^\alpha n^\beta$, $J^\alpha = T^{\alpha\beta} n_\beta + \mu n^\alpha$ and $n^\alpha \perp H$.

: well-motivated from Schoen-Yau theorem, 1983

Theorem (Schoen and Yau, 1983)

Suppose H is any spacelike hypersurface in spacetime, and V is a bounded region in H on which $\mu - \sqrt{J_\alpha J^\alpha} \geq \Lambda > 0$, for some $\Lambda > 0$. If $R(V) > \pi \sqrt{\frac{3}{2\Lambda}}$, where $R(V)$ is a suitably defined measure of the radius of V , then V contains an apparent horizon.

$R(V) \sim$ the radius of the largest torus that can be embedded in V

$$E_{\text{shell}}(R) \quad (R: \text{outer surface area} = 4\pi R^2)$$

With $8\pi G = 1$, Vaidya-de Sitter metric:

$$ds^2 = -\left(1 - \frac{\bar{\rho}(u)}{3} \bar{r}^2\right) dt^2 - 2dt dr + r^2 d\Omega^2 \quad \begin{array}{l} \bar{\rho}(u) = 0 \text{ for } u > u_1 + \epsilon \\ \Delta V \text{ for } u < u_1 \end{array}$$

$$u = t - \frac{1}{H} \log(1 + e^{Ht} Hr), \bar{r} = r e^{Ht}$$

$$ds^2 = -dt^2 + e^{2Ht} (dr^2 + r^2 d\Omega^2) - \frac{\Delta H^2(u) r^2 e^{2Ht}}{(1 + H r e^{Ht})^2} (dt - e^{Ht} dr)^2$$

for $R \ll H^{-1}$

$$T_{\alpha\beta} = G_{\alpha\beta} \quad \rightarrow \quad \mu = T_{\alpha\beta} n^\alpha n^\beta \text{ where } n \perp H$$

for $R \gg H^{-1}$

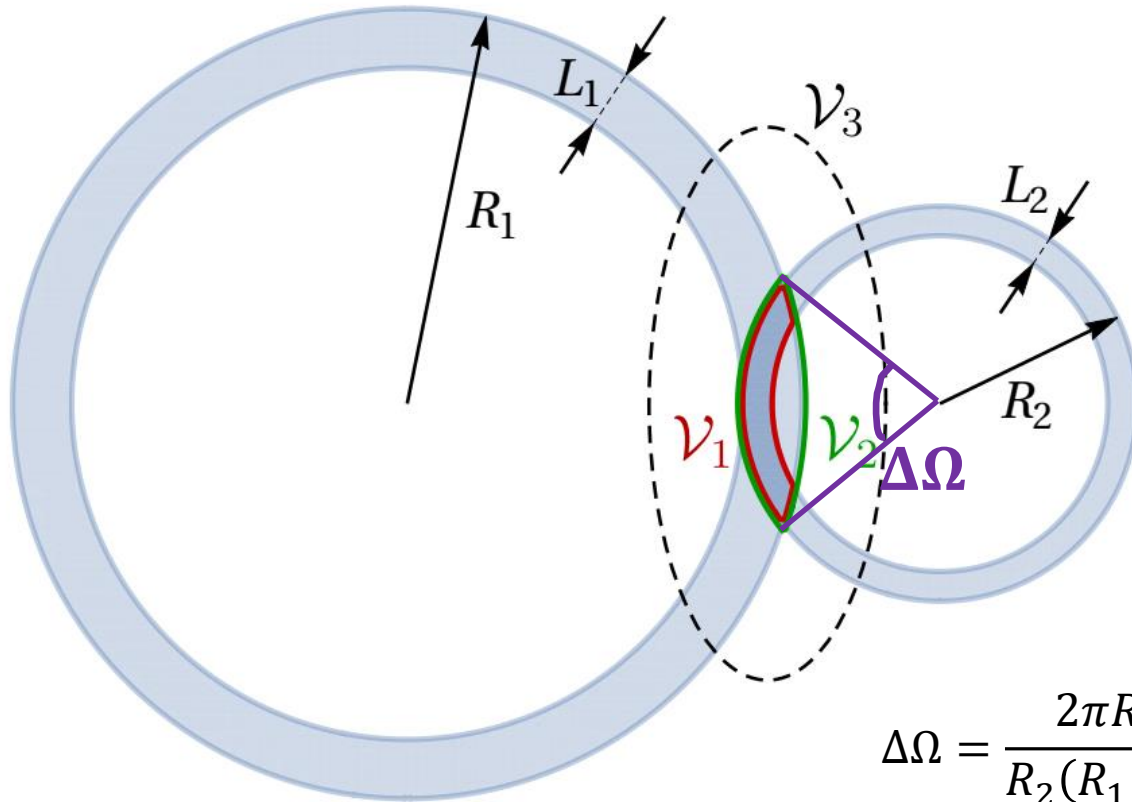
For $H: t = t_c$,

$$E_{\text{shell}} = \int_{\text{shell}} d^3x \sqrt{\det g_{ij}} \mu$$

$$\rightarrow \begin{cases} \frac{4\pi}{3} R^3 \Delta V \\ \frac{4\pi}{3} R^3 \Delta V \times \frac{1}{2HR} \end{cases}$$

$$E_{\text{shell}} \simeq \frac{4\pi}{3} R^3 \Delta V \times \frac{1}{2HR} \quad \text{for } R \gg H^{-1}$$

When $R_1 \neq R_2$



$$M(V_1) \sim 2 \frac{\Delta\Omega}{4\pi} E_{shell}(R_2)$$

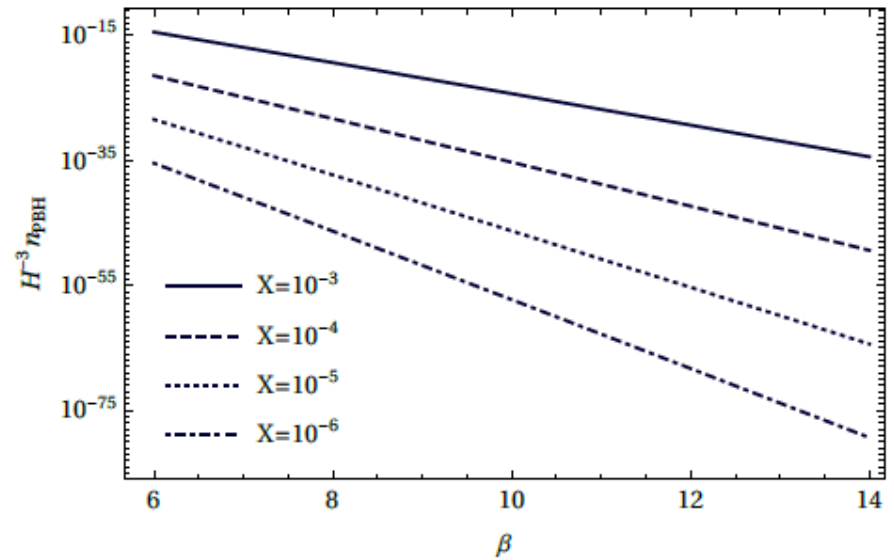
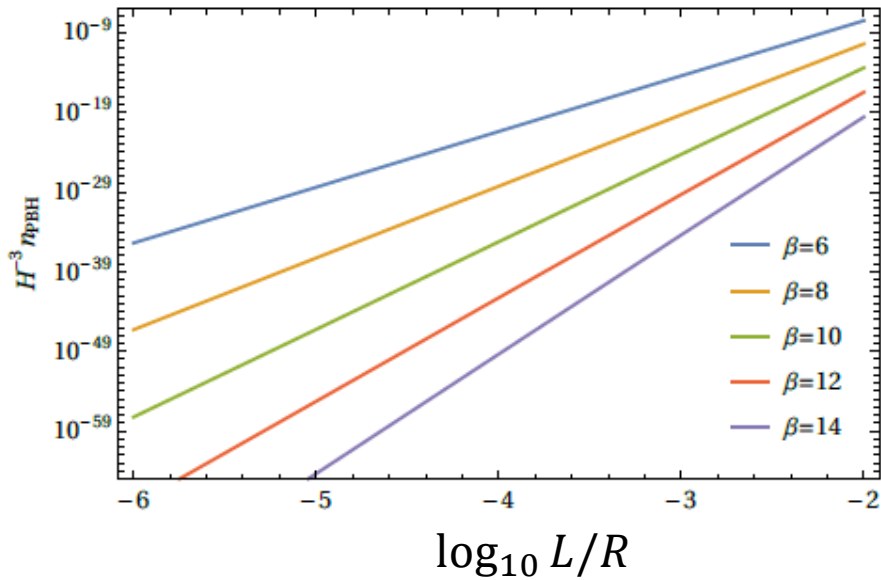
$$\begin{aligned} & \mu - \sqrt{J_\alpha J^\alpha} \\ & \simeq (\mu_1 + \mu_2) - \sqrt{(J_1 + J_2)_\alpha (J_1 + J_2)^\alpha} \\ & \simeq (\mu_1 + \mu_2) - \mu_1 \left(1 + \frac{J_1 \cdot J_2}{\mu_1^2} \right) \\ & \simeq 2\mu_2 \end{aligned}$$

$$\Delta\Omega = \frac{2\pi R_1 L_1}{R_2 (R_1 + R_2)}, \quad C(V_1) = 2\pi \sqrt{\frac{2R_1 R_2 L_1}{R_1 + R_2}}$$

$$1 < \frac{2\pi \cdot 2GM}{C(V_1)} \quad \Rightarrow \quad R_2 > f(1,2) \frac{H^{-1}}{\sqrt{L/R}}$$

Backup slides for detailed analysis

Example: $\Gamma_n(t) = H^4 e^{\beta H(t-t_n)}$ & $L/R = 10^{-3}$



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