



# **QCD Axion Dark Matter in the presence of Peccei-Quinn symmetry breaking**

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Chung-Ang University Beyond the Standard Model Workshop

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Based on 2201.00681 with Kwang Sik Jeong, Shota Nakagawa, and Kohei Matsukawa

# 1. Introduction

## The strong CP problem

Why is the strong CP-violating effect so small?

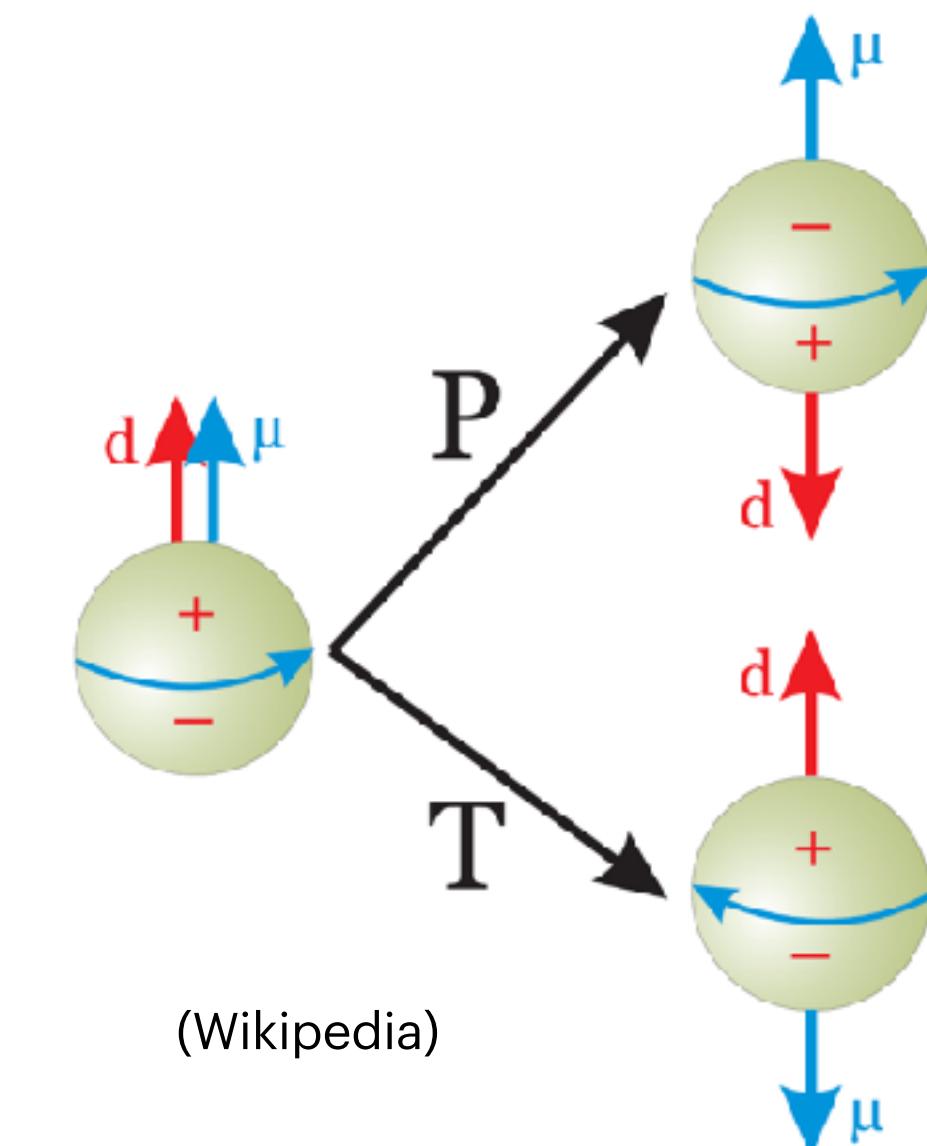
- nEDM bound on the  $\theta$ -parameter:

$$|d_n| < 1.8 \times 10^{-26} e \cdot \text{cm} \text{ (90%CL)} \quad \xrightarrow{\text{Abel et al, 2001.11966}} \quad |\bar{\theta}| \lesssim \mathcal{O}(10^{-10})$$

$$\mathcal{L}_\theta = \theta \frac{g_s^2}{32\pi^2} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a$$

~~P, CP~~

$$\bar{\theta} \equiv \theta - \arg \det(M_u M_d)$$

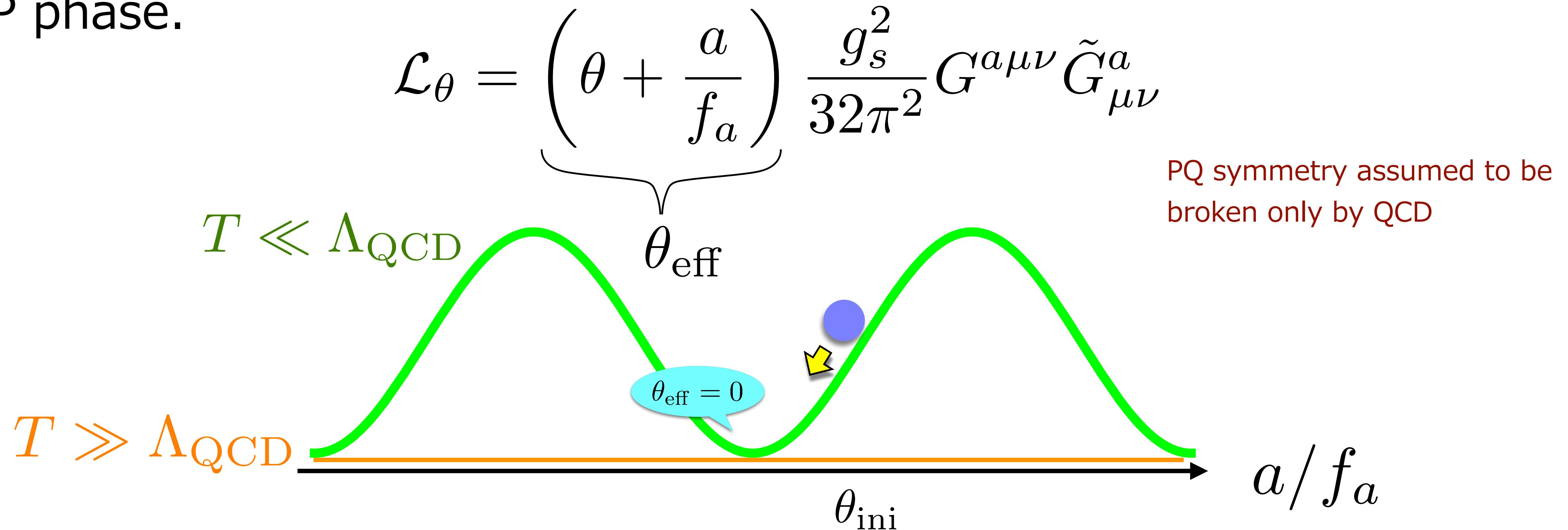


The QCD axion dynamically solves the strong CP problem!

# The Peccei-Quinn (PQ) mechanism

Peccei, Quinn '77, Weinberg '78, Wilczek '78

In the PQ mechanism, the axion dynamically zeroes out the strong CP phase.



$$\text{Axion mass (at } T=0\text{): } m_{a,0} \simeq 6 \mu\text{eV} \left( \frac{f_a}{10^{12} \text{GeV}} \right)^{-1}$$

# Axion Dark Matter

The axion starts to oscillate about the CP-conserving minimum, and the oscillation energy becomes dark matter. “Misalignment mechanism”

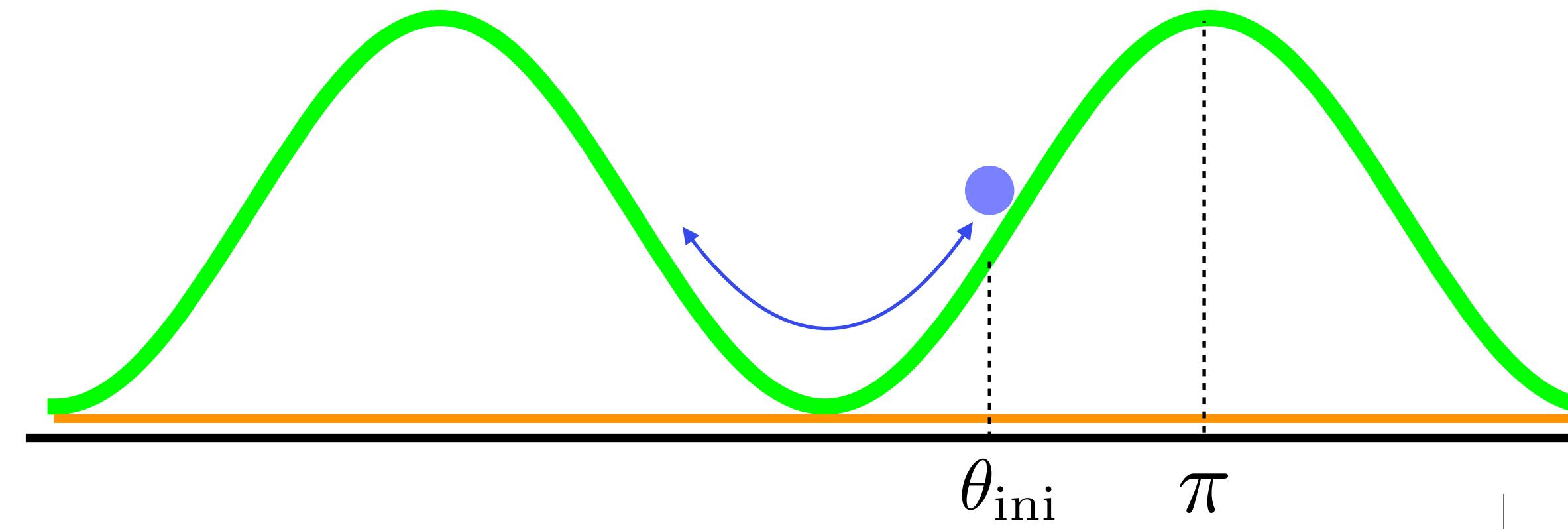
Preskill, Wise, Wilczek '83, Abbott, Sikivie, '83, Dine, Fischler, '83

$$\Omega_a h^2 \simeq 0.14 \theta_{\text{ini}}^2 F(\theta_{\text{ini}}) \left( \frac{f_a}{10^{12} \text{GeV}} \right)^{1.17}$$

Ballesteros et al, 1610.01639

The anharmonic effect:  $F(\theta_{\text{ini}}) = \left[ \ln \left( \frac{e}{1 - \theta_{\text{ini}}^2/\pi^2} \right) \right]^{1.17}$

Lyth '92, Bae, Huh and Kim 0806.0497,  
Visinelli and Gondolo 0903.4377



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$$a/f_a$$

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[Ballesteros et al, 1610.01639](#)

(Classical) axion window:

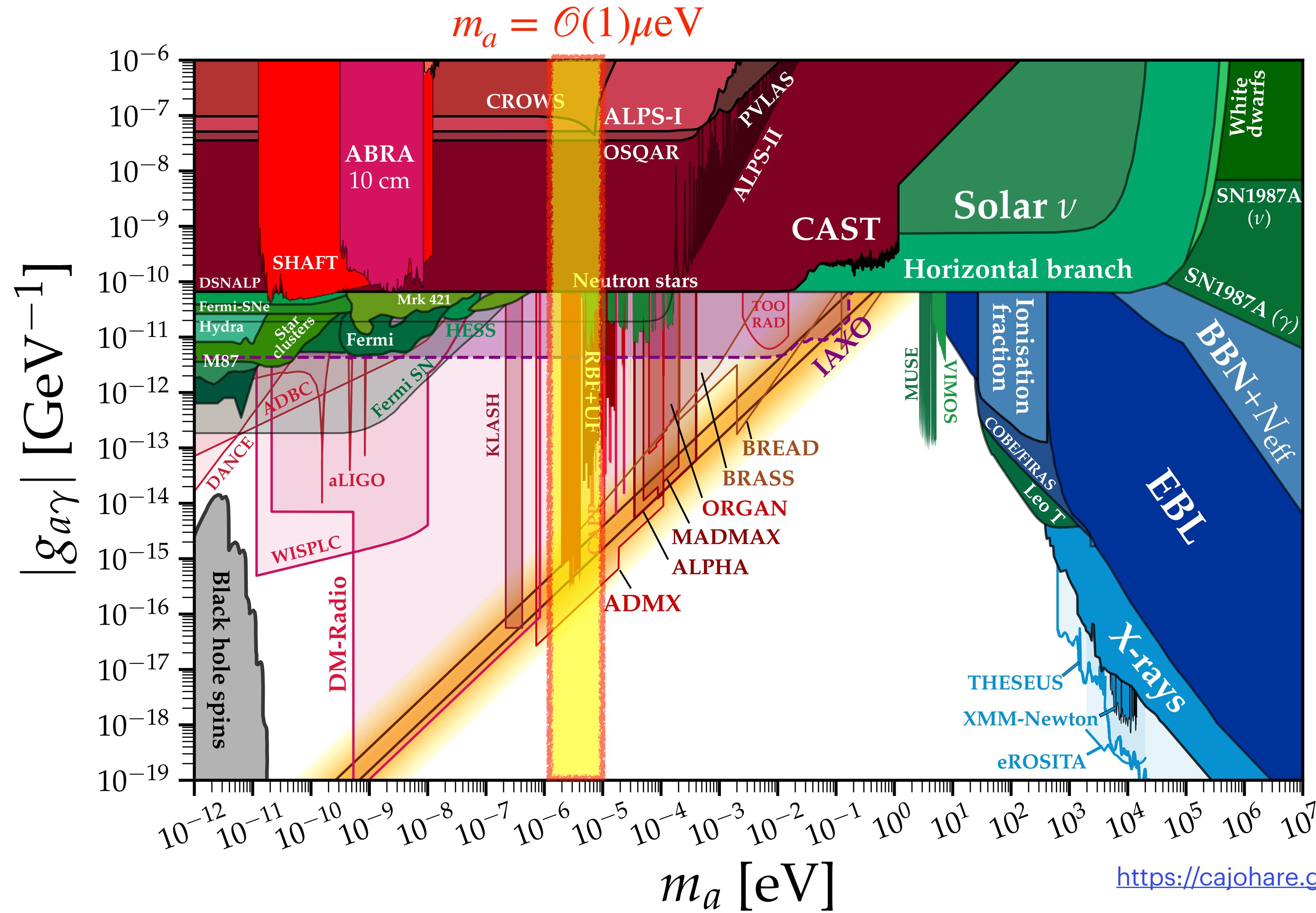
$$10^8 \text{GeV} \lesssim f_a \lesssim 10^{12} \text{GeV}$$

i.e.  $\mathcal{O}(1)\mu\text{eV} \lesssim m_a \lesssim \mathcal{O}(10) \text{meV}$

The lower bound comes from cooling arguments of e.g. neutron stars.

[Leinson 1405.6873](#), [1909.03941](#), [Hamaguchi et al 1806.07151](#),  
[Bushmann et al 2111.09892](#).

# Searching for axion dark matter



How can we produce axion dark matter heavier or lighter than  $\mu\text{eV}$ ?

# How to produce axion DM heavier or lighter than $\mu\text{eV}$ ?

- $m_a \ll \mu\text{eV}$

Fine-tune the initial angle,  $|\theta_{\text{ini}}| \ll 1$ .

e.g.  $\theta_{\text{ini}} = \mathcal{O}(10^{-3})$  for  $f_a = 10^{16-17} \text{ GeV}$

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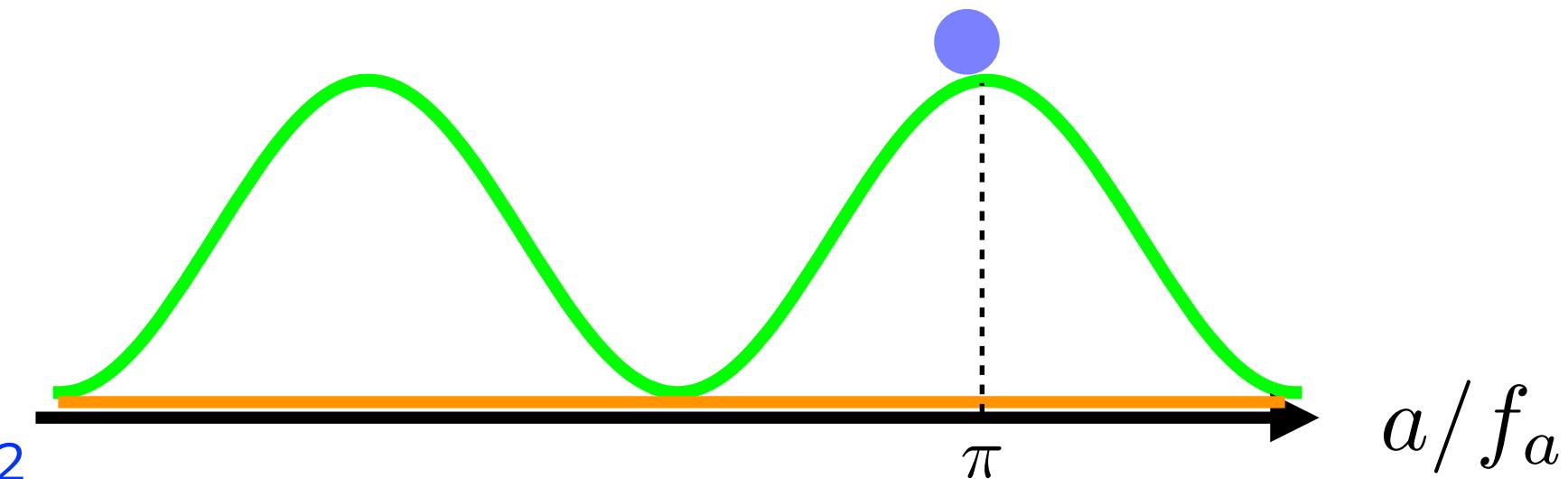
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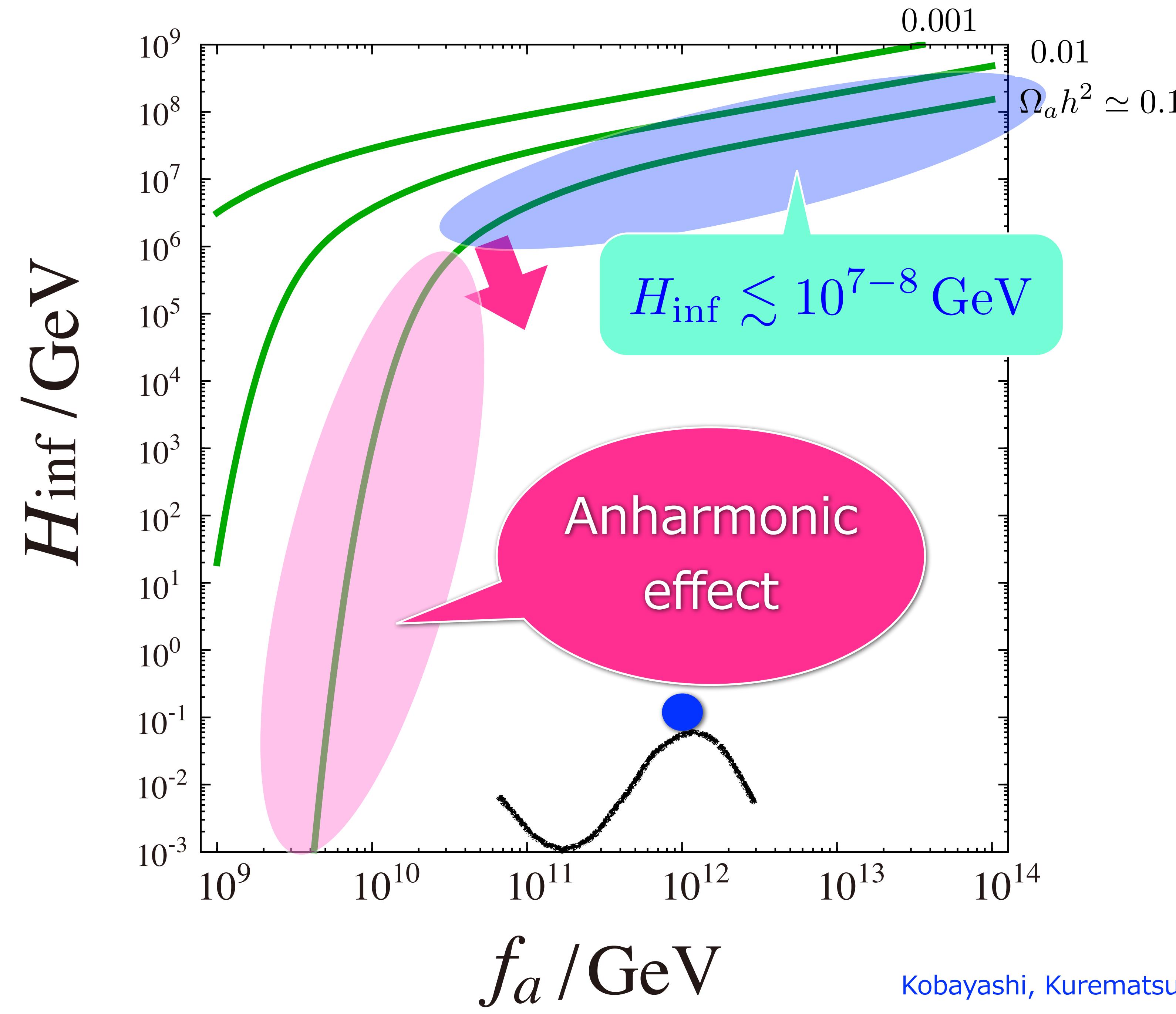
Fine-tune the initial angle,  $|\theta_{\text{ini}}| \rightarrow \pi$ .

FT, Yin 1702.03284 ,1908.06071, Co, Gonzalez, and Harigaya 1812.11192

However, the enhancement is only logarithmic, and the isocurvature perturbation and non-Gaussianity get extremely enhanced.

Lyth '90, Kobayashi, Kurematsu, FT, 1304.0922





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cf. see Peter W. Graham, Adam Scherlis, [1805.07362](#), FT, Wen Yin, Alan H. Guth, [1805.08763](#) for stochastic dynamics

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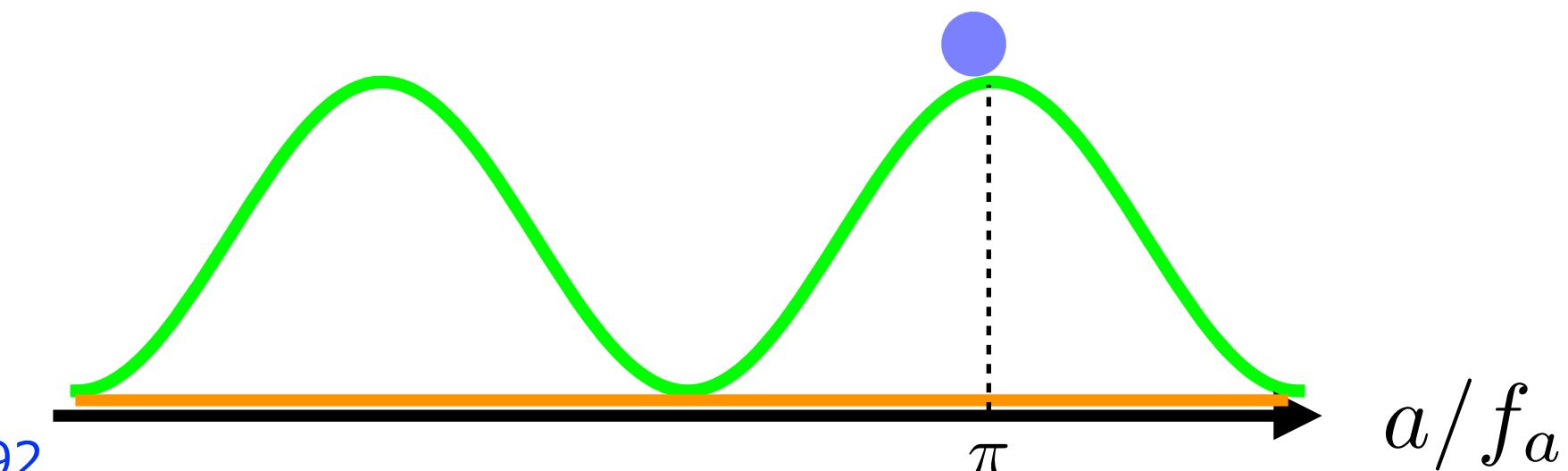
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## Decays of topological defects (domain walls and cosmic strings)

$f_a = \mathcal{O}(10^{10}) \text{ GeV}?$

Hiramatsu et al [1012.5502](#), Hiramatsu, Kawasaki, Saikawa, Sekiguchi, [1202.5851](#), Kawasaki, Saikawa, Sekiguchi, [1412.0789](#), Gorgetto, Hardy and Villadoro, [1806.04677](#), [2007.04990](#), Klaer, Moore, [1708.07521](#), Vaquero, Redondo and Stadler, [1809.09241](#), Buschmann, Foster and Safdi [1906.00967](#), Hindmarsh et al, [1908.03522](#), [2102.07723](#), Buschmann et al, [2108.05368](#), Dine [2111.10942](#), and more..

In fact, the string-wall evolution may depend on the UV completion:

e.g. clockwork axion model

See also Sikivie '86, Kim, Nilles, Peloso hep-ph/0409138, Choi, Kim, Yun 1404.6209, Higaki, FT 1404.6923, Harigaya and Ibe, 1407.4893, Choi and Im, 1511.00132, Kaplan and Rattazzi, 1511.01827, Giudice and McCullough [1610.07962](#)

Consider  $N$  complex scalars with  $N$  U(1) symmetries.

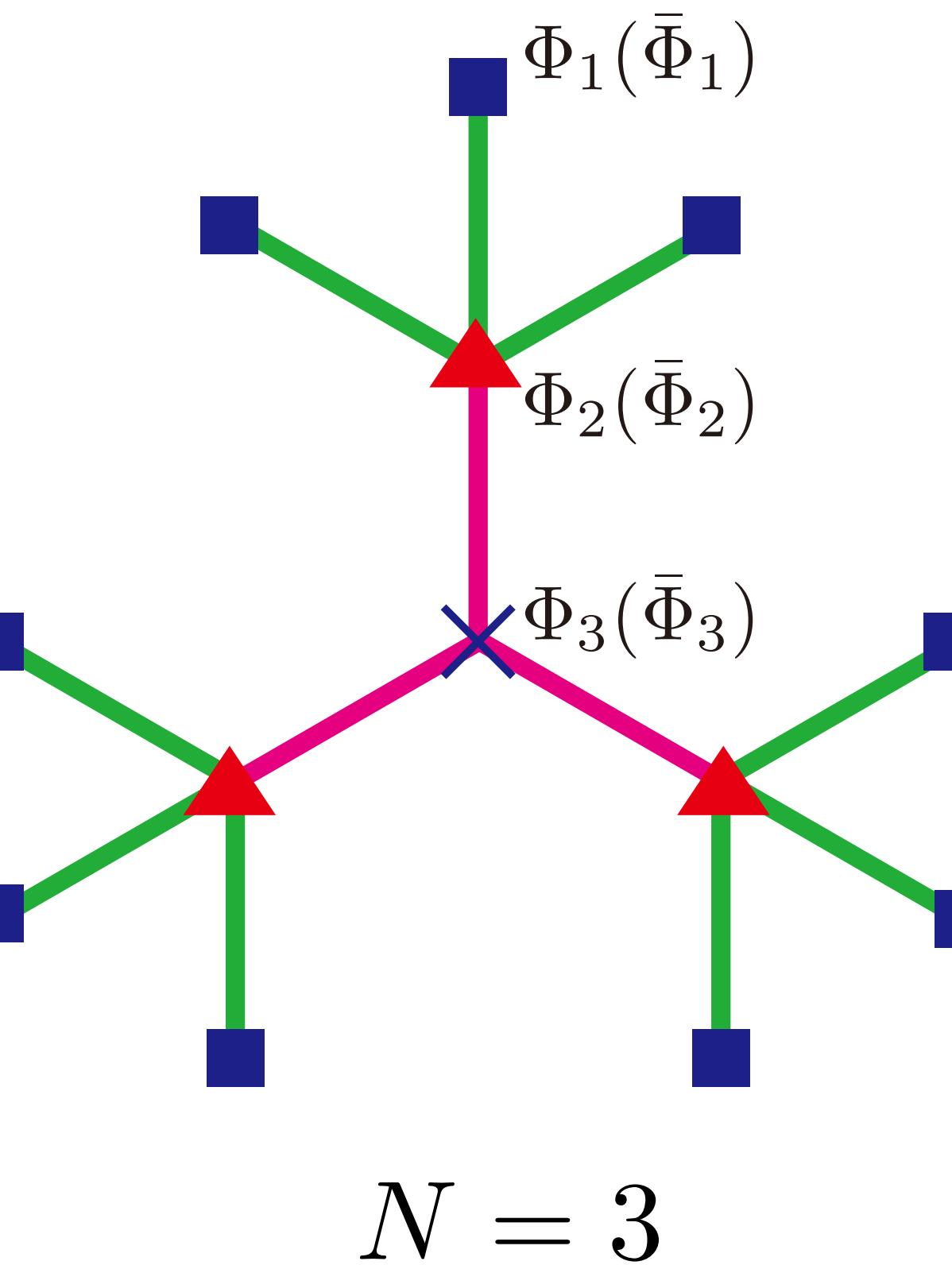
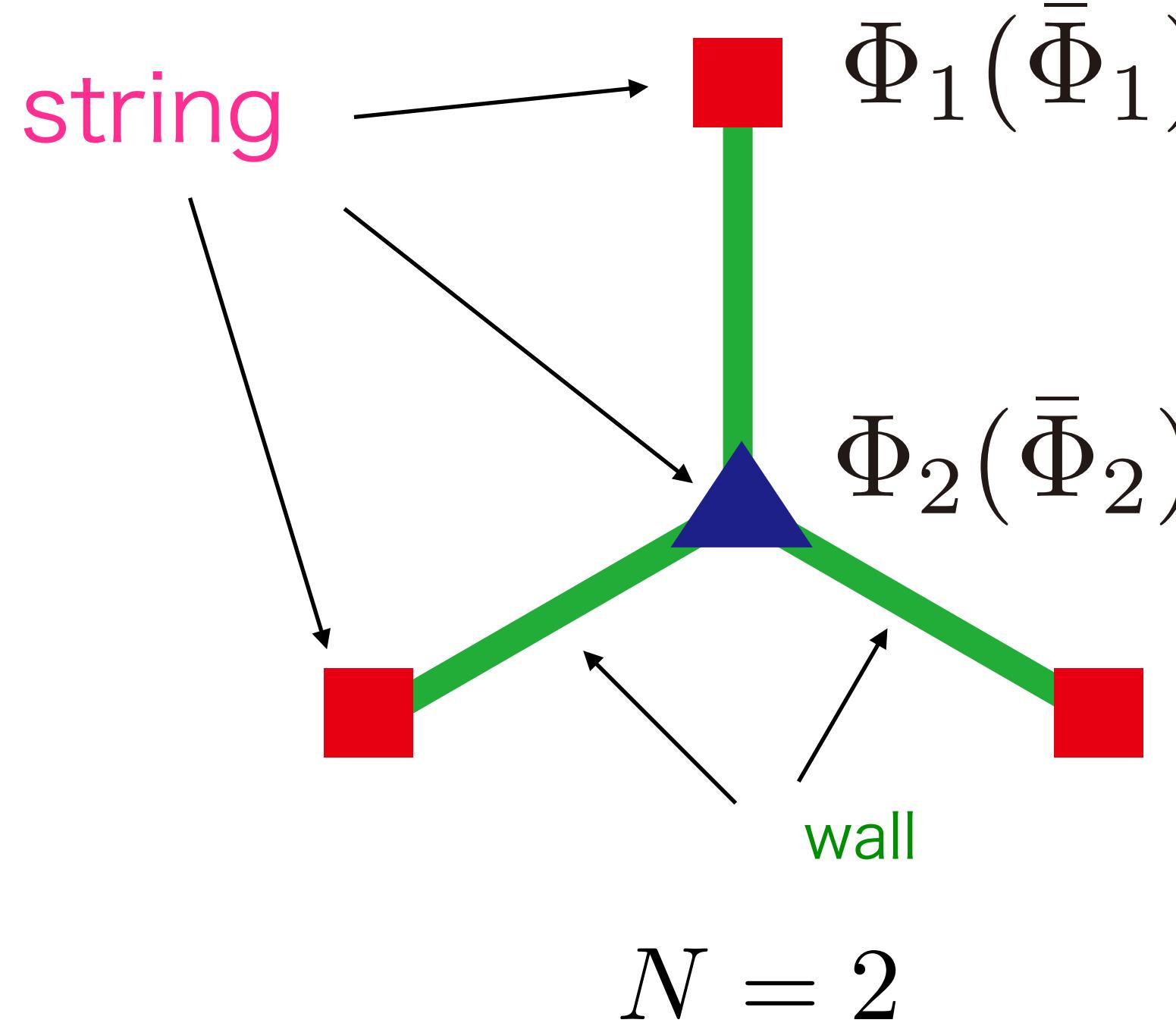
$$V = \sum_{i=1}^N (-m_i^2 |\Phi_i|^2 + \lambda_i |\Phi_i|^4) + \sum_{i=1}^{N-1} \epsilon (\Phi_i \Phi_{i+1}^3 + \text{h.c.}) \quad \rightarrow \quad f_a \sim 3^N f$$

- Phase transition takes place at lower  $T \sim f \ll f_a$
- Strings and walls form complicated network

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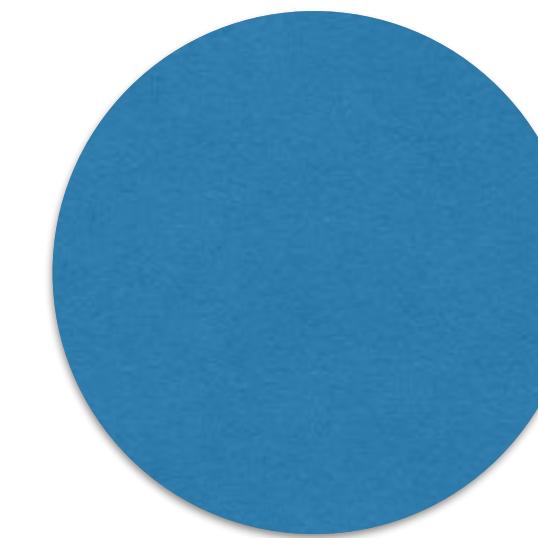
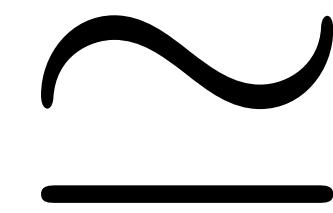
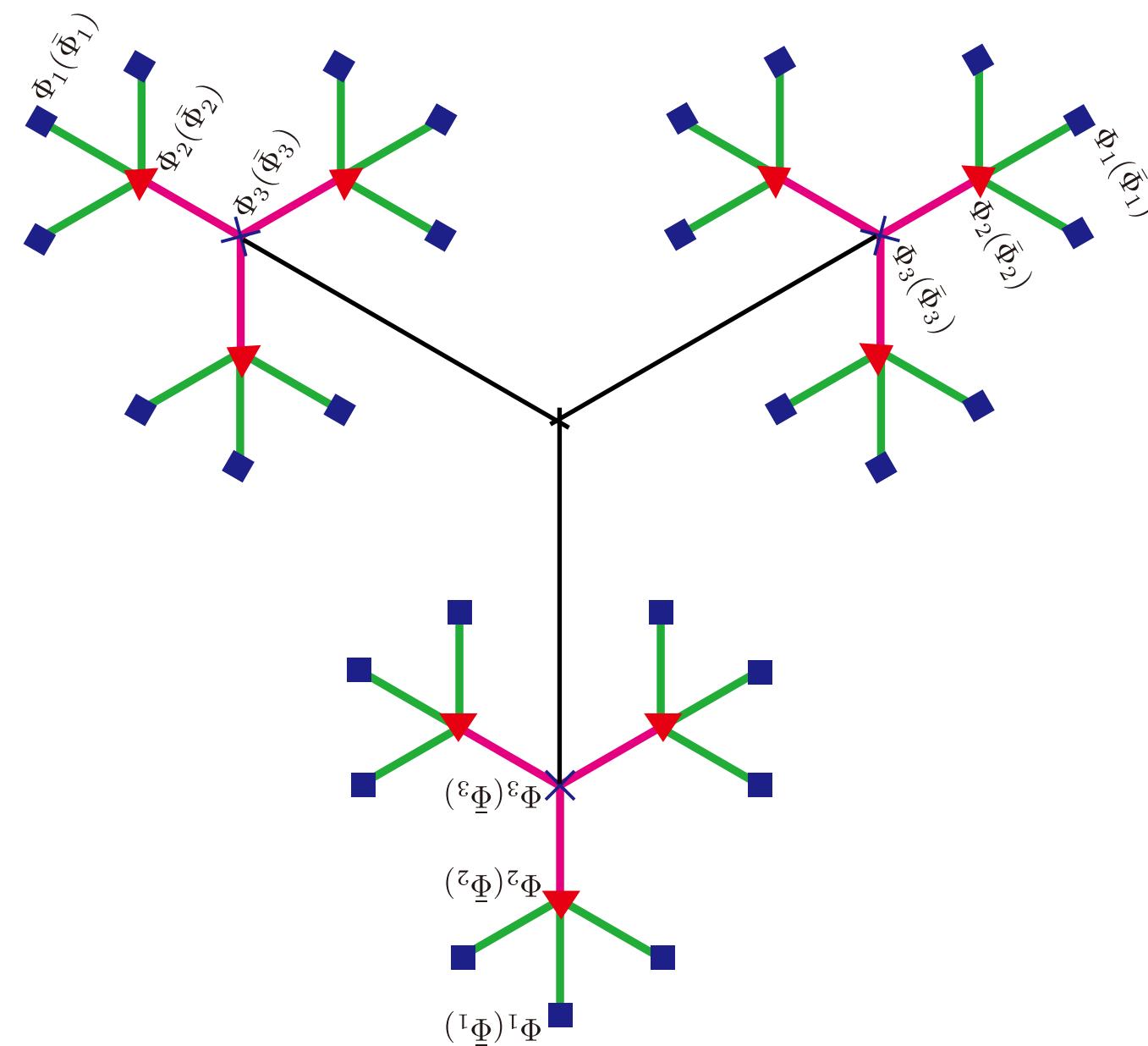
$N = 3$

Higaki, Jeong, Kitajima, Sekiguchi, FT, 1606.05552

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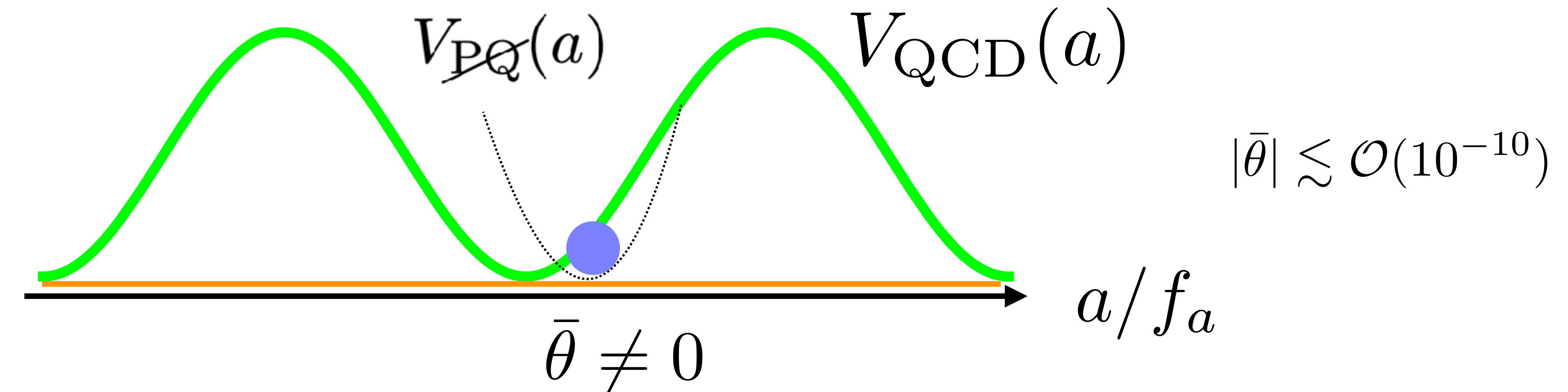
$$\mu_{\text{eff}} \simeq \pi (3^{2(N-1)} f_1^2 + \dots + 3^2 f_{N-1}^2 + f_N^2) \ln \left( \frac{R}{\delta} \right) = \pi f_a^2 \ln \left( \frac{R}{\delta} \right)$$

**However, it is unclear if such isolated string bundles are actually formed.  
If not, the collapse of the complicate string-wall network may produce  
more axions and nHz GWs.**

Higaki, Jeong, Kitajima, Sekiguchi, FT, 1606.05552

# Quality of the PQ symmetry

In the PQ mechanism, the PQ symmetry is assumed to be broken only by QCD. Other PQ symmetry breaking terms must be suppressed.



N.B. if the extra PQ breaking is effectively time-dependent, its size can be larger in the early Universe.

e.g. larger  $f_a$  in the early universe, the Witten effect, mirror SM sectors, etc.

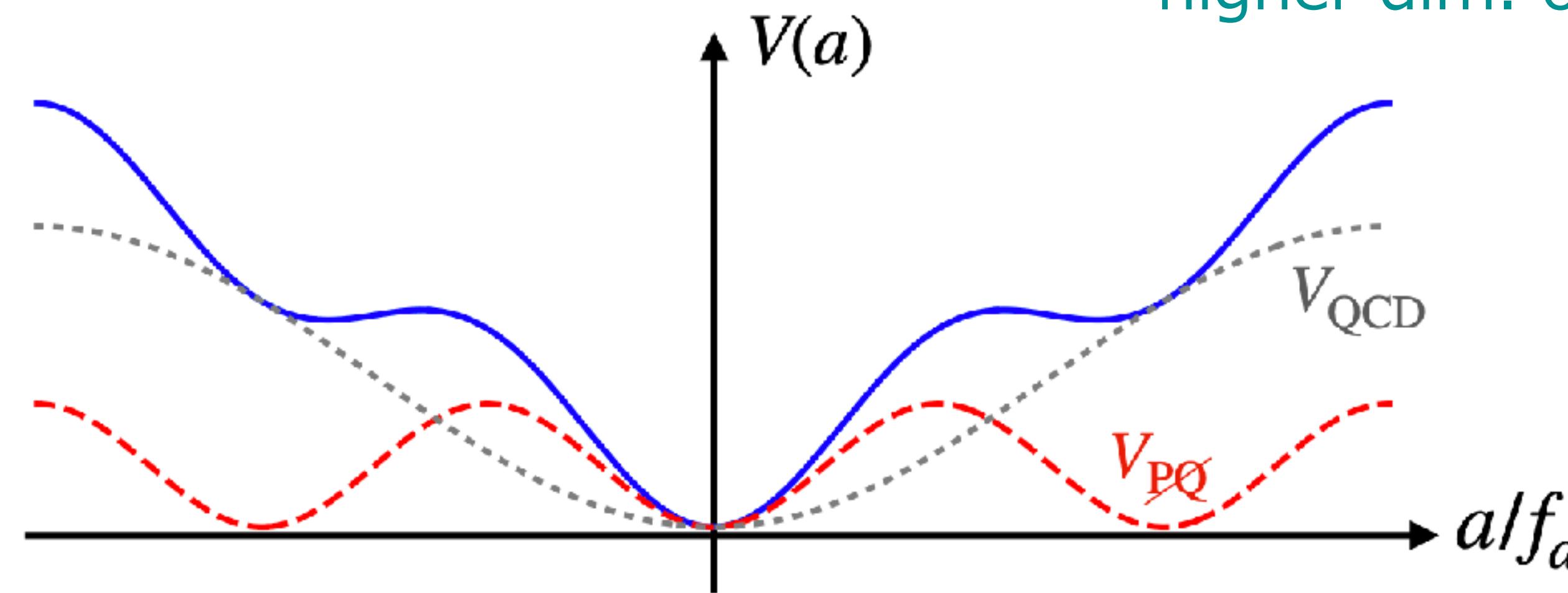
$$S^{n+4}/M^n$$

$$m_a^2 \propto n_M$$

# What we did in 2201.00681:

We study the cosmological effect of the (time-independent) extra PQ breaking term on the QCD axion DM.

e.g. hidden non-Abelian gauge sym,  
higher dim. operator of the PQ scalar.



We find that the axion abundance can be enhanced or reduced, depending on the initial condition. In particular, the axion can explain DM for any  $f_a$ , if a mild tuning is allowed.

cf. Higaki, Jeong, Kitajima, and FT, 1603.02090

“Quality of the Peccei-Quinn symmetry in the Aligned QCD Axion and Cosmological Implications”

## 2. Experimental limits on explicit PQ breaking

In the usual scenario, the axion acquires a potential from QCD as

$$V_{\text{QCD}}(a) = m_a^2(T) f_a^2 \left( 1 - \cos \frac{a}{f_a} \right)$$

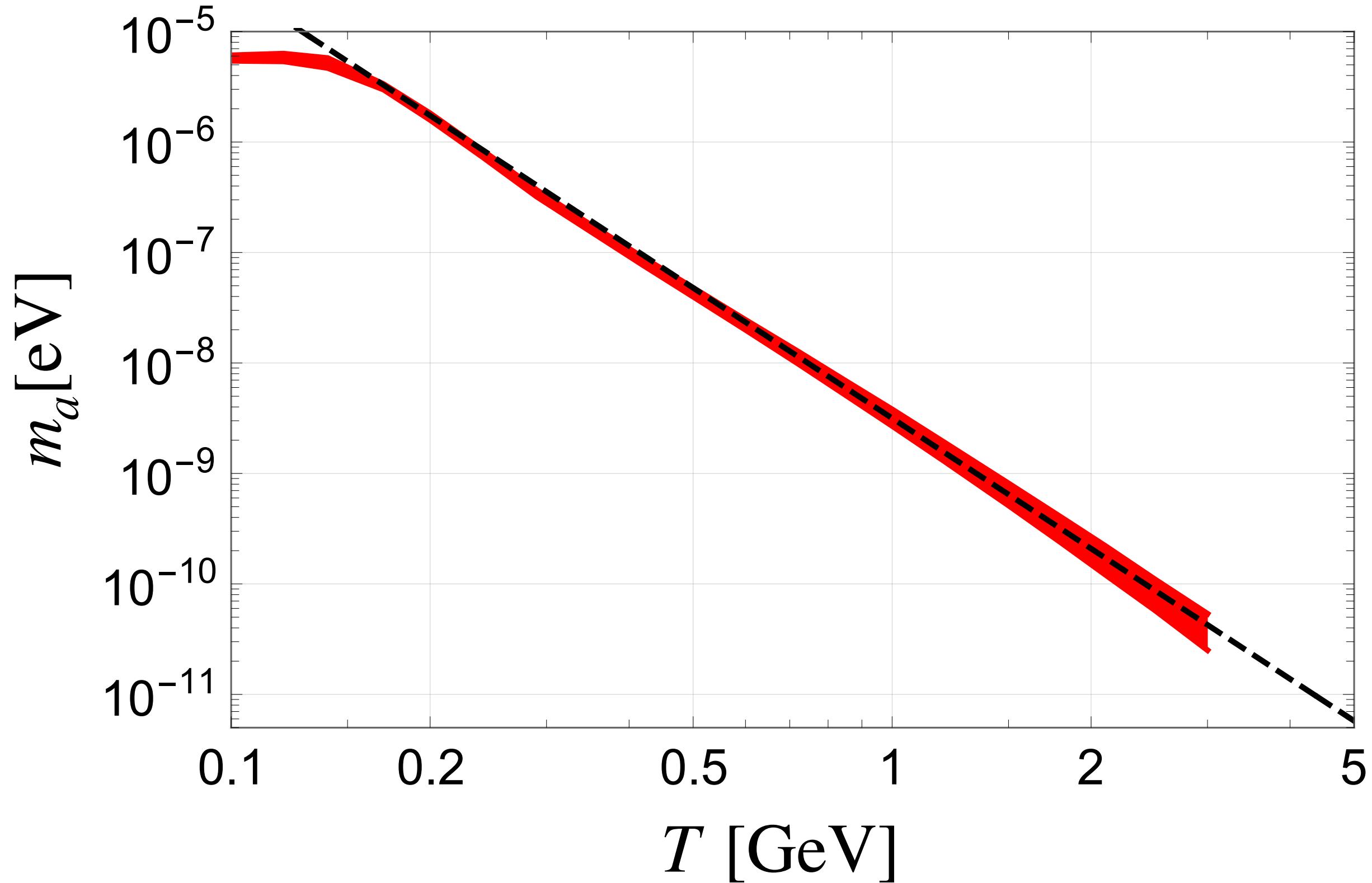
with

$$m_a(T) \simeq \begin{cases} m_{a,0} \left( \frac{T}{\Lambda_{\text{QCD}}} \right)^{-\tilde{b}} & \text{for } T > \Lambda_{\text{QCD}} \\ m_{a,0} & \text{for } T < \Lambda_{\text{QCD}} \end{cases}$$

$$m_{a,0} \simeq 6 \mu\text{eV} \left( \frac{f_a}{10^{12} \text{GeV}} \right)^{-1}$$

$$\Lambda_{\text{QCD}} = 150 \text{MeV}, \quad \tilde{b} = 3.92$$

Borsanyi et al, 1606.07494

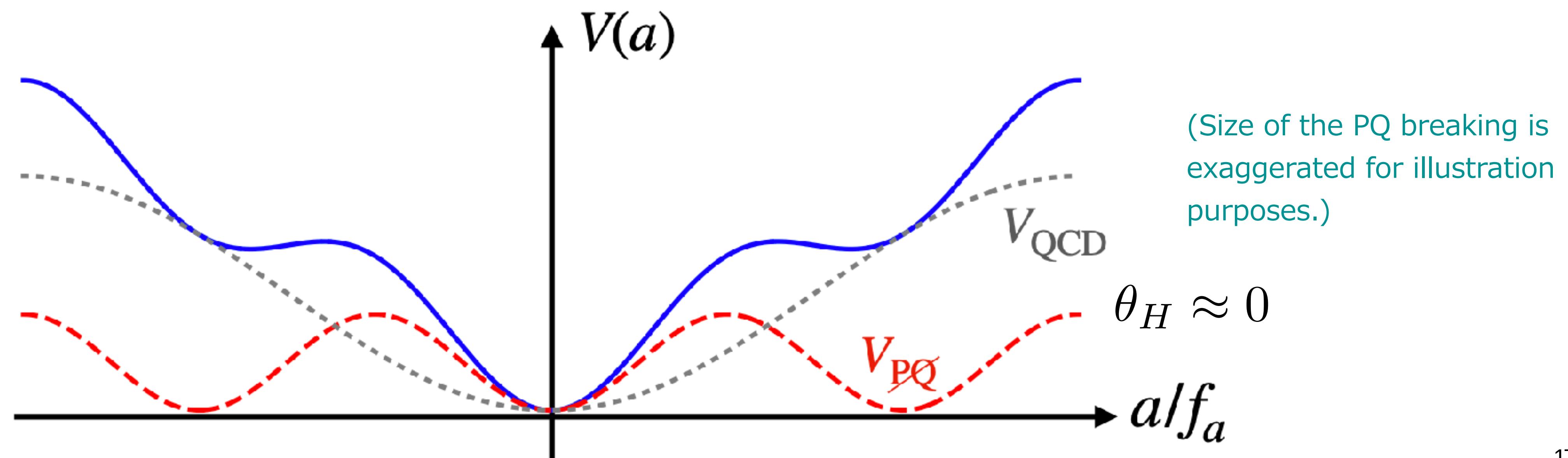


Now we introduce the extra PQ breaking term,

$$V_{\text{PQ}}(a) = \Lambda_H^4 \left[ 1 - \cos \left( N \left( \frac{a}{f_a} - \theta_H \right) \right) \right]$$

with the relative height  $r \equiv \Lambda_H / \sqrt{m_{a,0} f_a}$  and relative phase  $\theta_H$ .

The total axion potential is  $V(a) = V_{\text{QCD}}(a) + V_{\text{PQ}}(a)$



# The nEDM bound on the PQ breaking

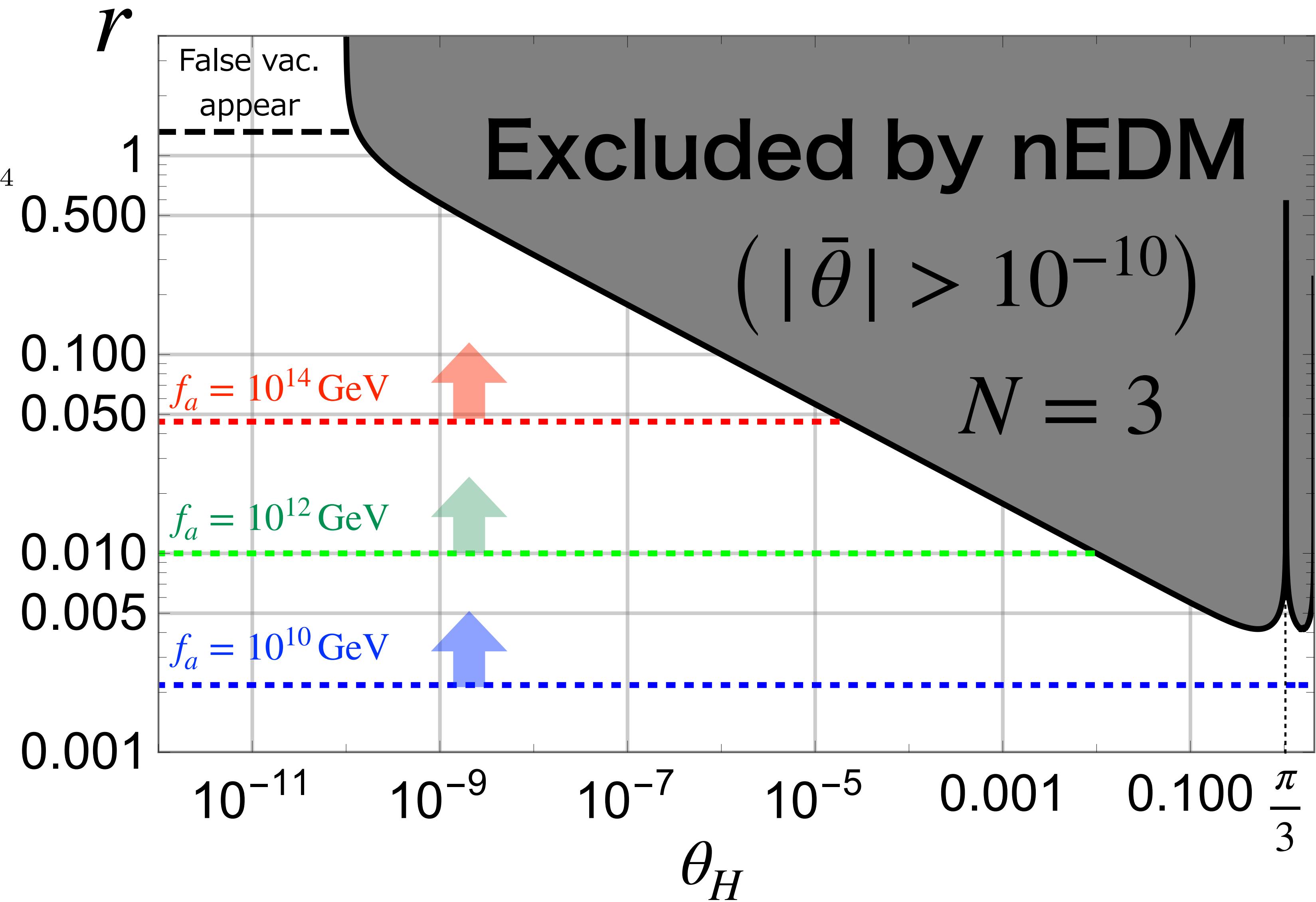
$$|\bar{\theta}| \lesssim 10^{-10}$$



$$r \equiv \frac{\Lambda_H}{\sqrt{m_{a,0} f_a}} \lesssim \left| \frac{10^{-10}}{N \sin(N(10^{-10} - \theta_H))} \right|^{1/4}$$

Here we set  $N = 3$ .

The axion first starts to oscillate due to  $V_{PQ}$  in the region above the dotted line for each  $f_a$ .



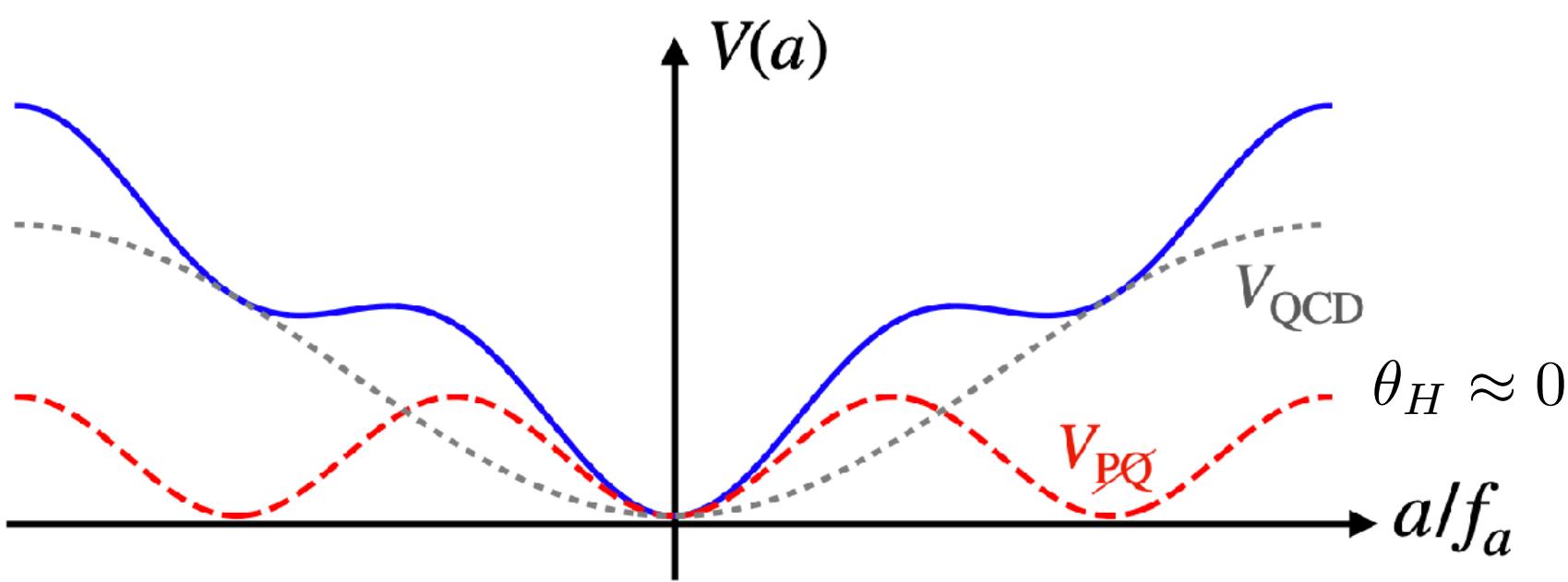
# 3. Axion abundance

We assume the axion first starts to oscillate about one of the minima of  $V_{\text{PQ}}$ , i.e.

$$T_{\text{osc}} \gtrsim T_{\text{osc}}^{(\text{conv})}$$

$$V''_{\text{QCD}} \sim H^2(T) \quad \Rightarrow \quad T_{\text{osc}}^{(\text{conv})} \simeq 1.1 \text{ GeV} \left( \frac{g_*}{80} \right)^{-0.084} \left( \frac{f_a}{10^{12} \text{ GeV}} \right)^{-0.17}$$

$$V''_{\text{PQ}} \sim H^2(T) \quad \Rightarrow \quad T_{\text{osc}} \simeq 0.91 \text{ GeV} \left( \frac{g_*}{80} \right)^{-1/4} \left( \frac{Nr^2}{3 \times 10^{-4}} \right)^{1/2} \left( \frac{f_a}{10^{12} \text{ GeV}} \right)^{-1/2}$$



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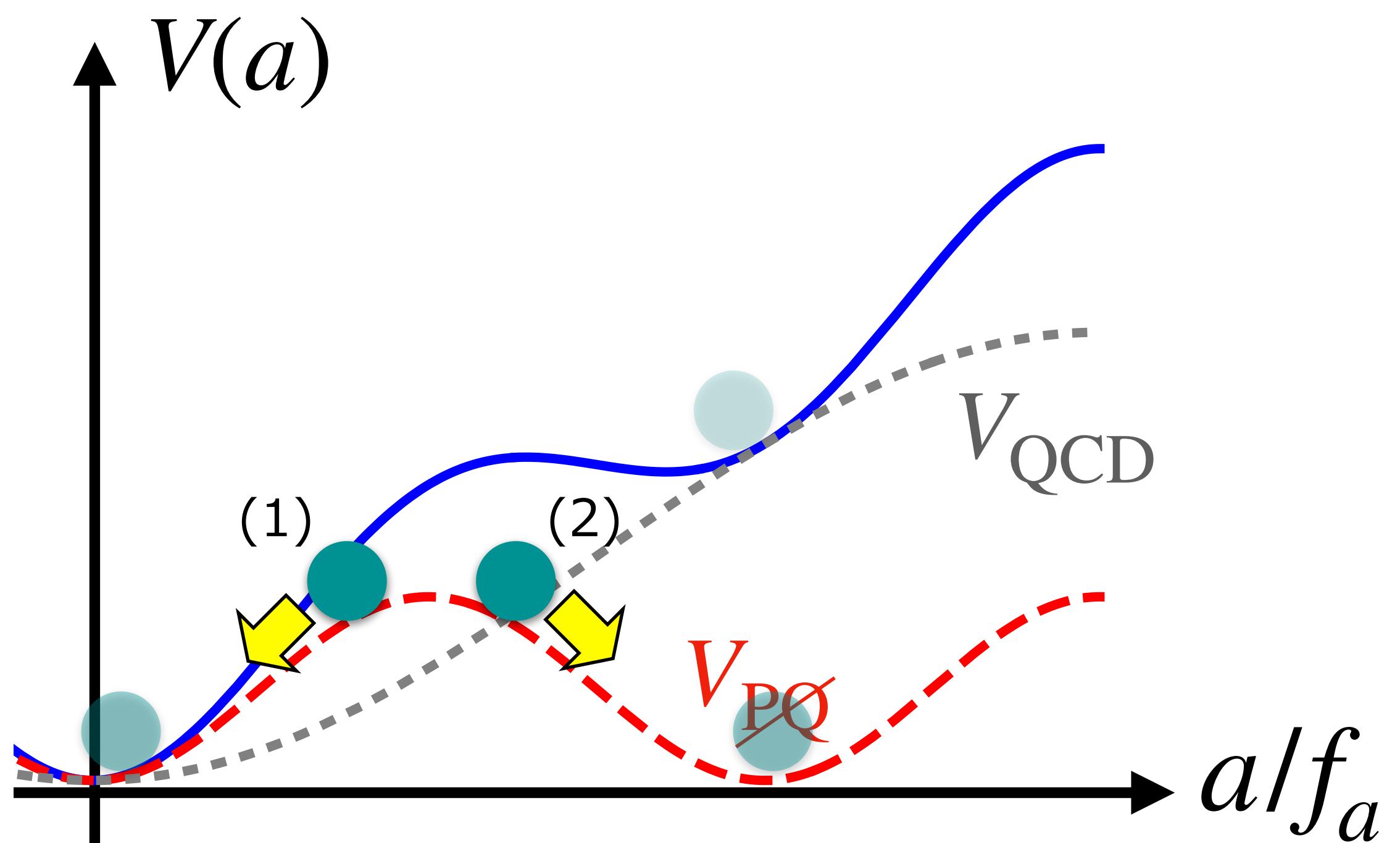
The axion dynamics can be categorized into two types:

(1) Smooth-shift regime

$$|\theta_{\text{ini}} - \theta_H| < \pi/N$$

(2) Trapping regime

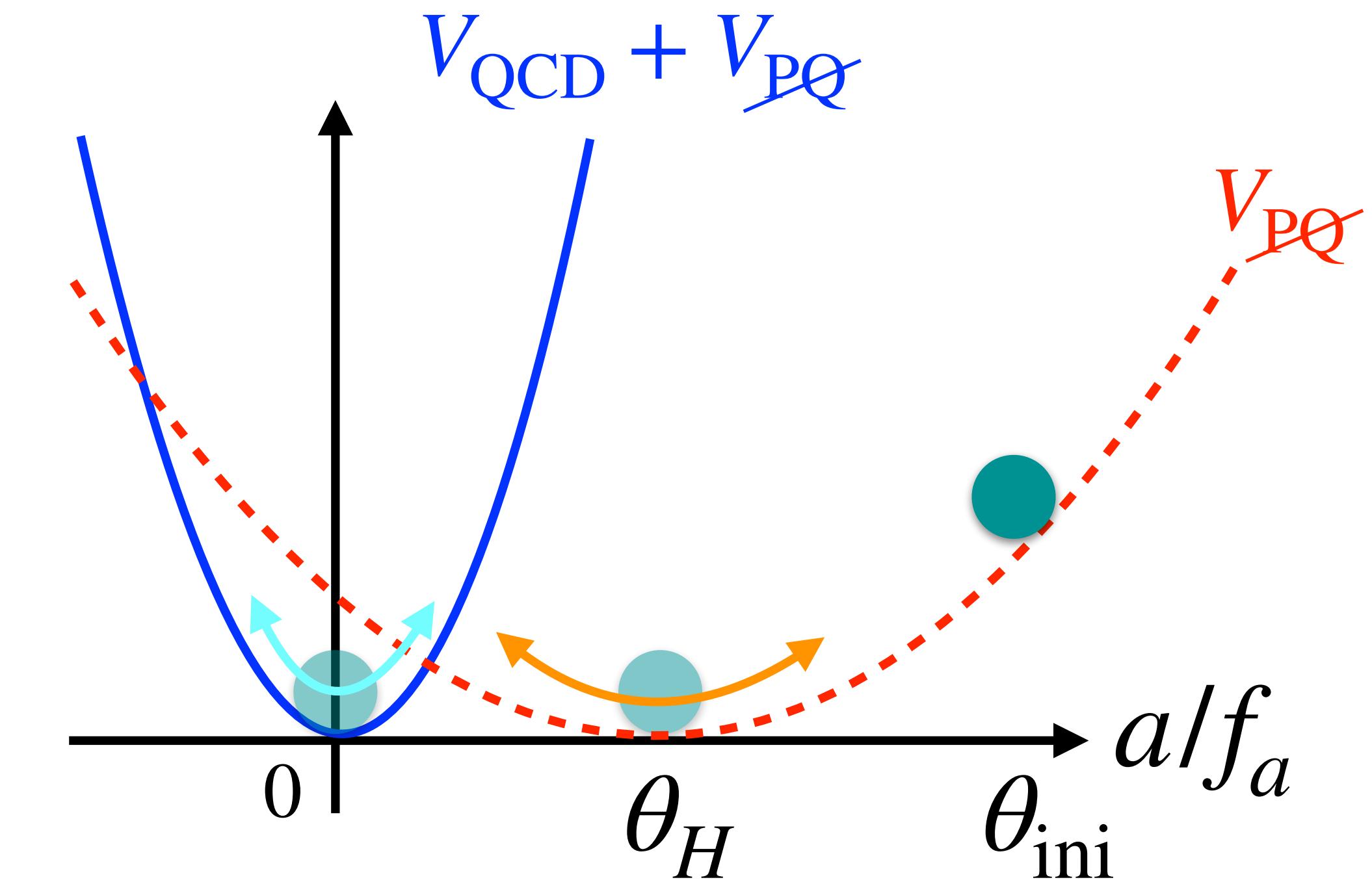
$$|\theta_{\text{ini}} - \theta_H| > \pi/N$$



# (1) Smooth-shift regime: $|\theta_{\text{ini}} - \theta_H| < \pi/N$

The axion first starts to oscillate about a minimum close to the origin.

The minimum gradually moves to the origin, but no particle production takes place if  $V'' \gg H^2$ .



**“Adiabatic suppression mechanism”**

Linde hep-th/9601083, Nakayama, FT, Yanagida 1109.2073

$$\Omega_a^{(\text{smth})} h^2 \simeq 5.0 \times 10^{-3} \left( \frac{g_*(T_{\text{osc}})}{80} \right)^{-\frac{1}{4}} (\theta_{\text{ini}} - \theta_H)^2 F_1(\theta_{\text{ini}}) \left( \frac{Nr^2}{3 \times 10^{-2}} \right)^{-\frac{1}{2}} \left( \frac{f_a}{10^{12} \text{ GeV}} \right)^{\frac{3}{2}}$$

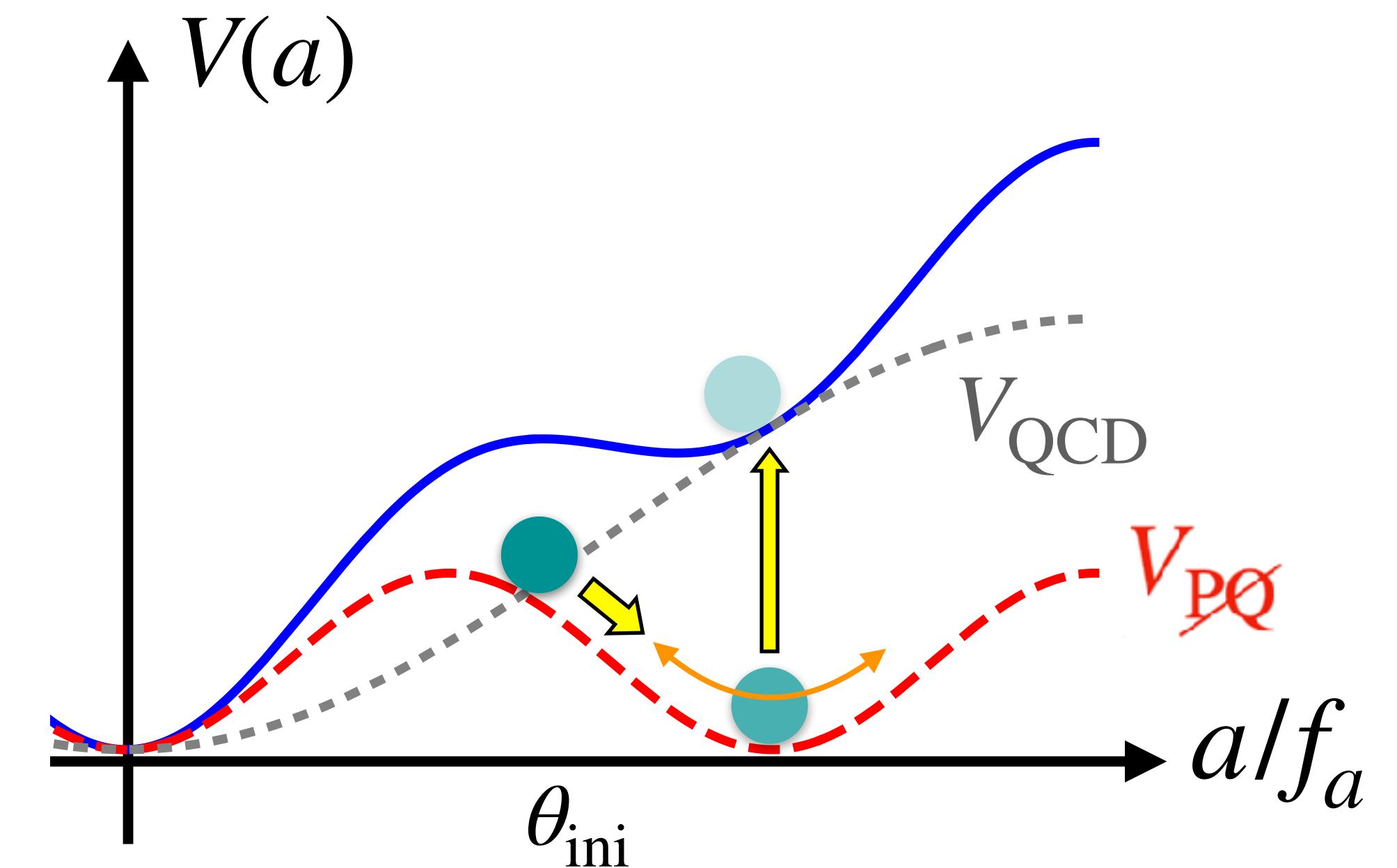
with  $F_1(\theta_{\text{ini}}) = \left[ \ln \left( \frac{e}{1 - (\theta_{\text{ini}} - \theta_H)^2 / (\pi/N)^2} \right) \right]^{3/2}$

The abundance is reduced w.r.t. the conventional scenario.

## (2) Trapping regime: $|\theta_{\text{ini}} - \theta_H| > \pi/N$

The axion gets trapped in a false vacuum until  $V_{\text{QCD}}$  becomes large, and then it starts to oscillate again about the origin.

$$T_{\text{osc2}} \sim 0.4 \left( \frac{Nr^4}{3 \times 10^{-4}} \right)^{-0.13} \text{ GeV}$$



$$\Omega_a^{(\text{trap})} h^2 \simeq 0.25 \theta_{\text{osc2}}^2 \left( \frac{g_*(T_{\text{osc2}})}{60} \right)^{-1} \left( \frac{Nr^4}{10^{-6}} \right)^{0.88} .$$

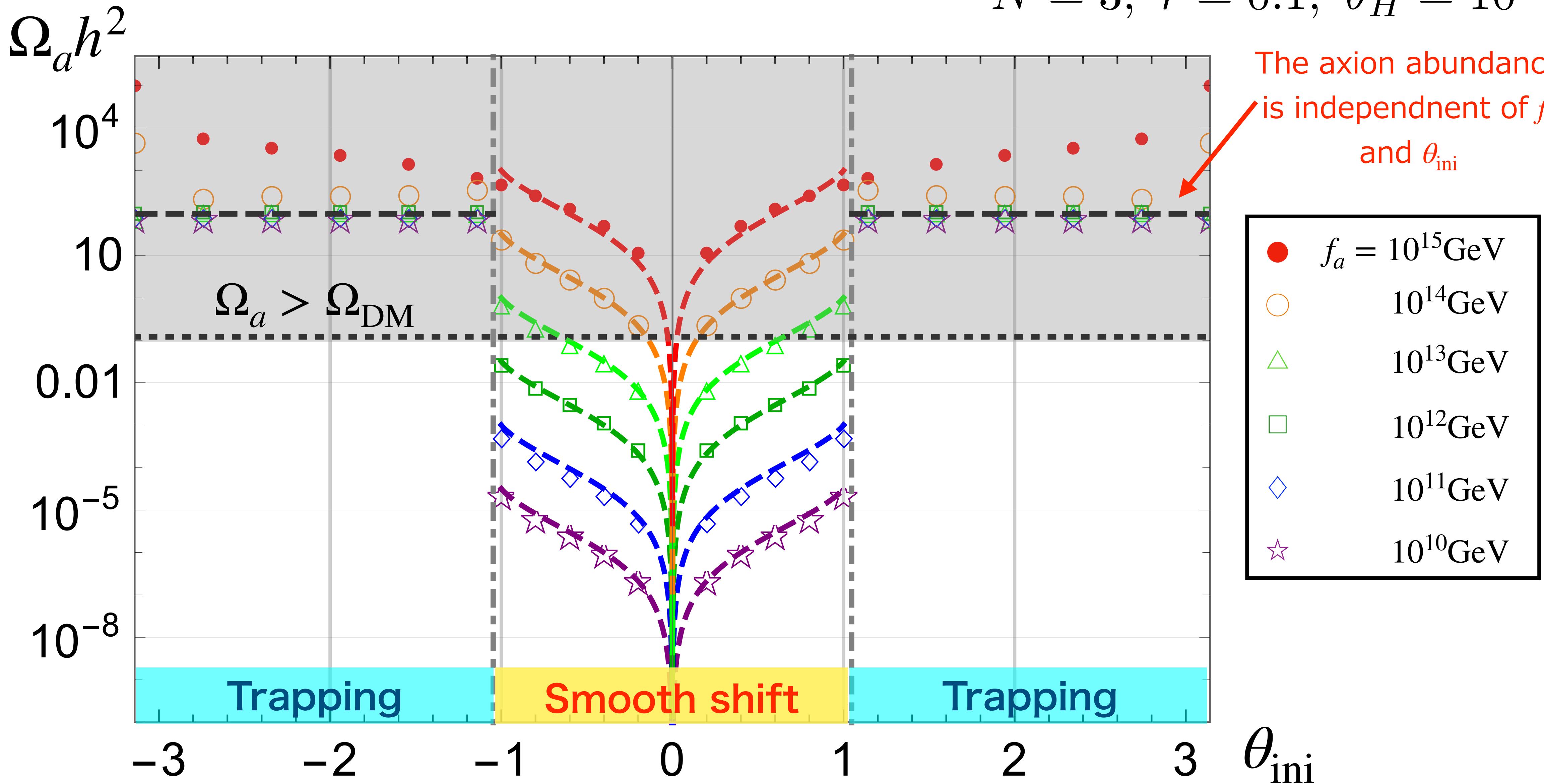
$$\theta_{\text{osc2}} \sim (2k - 1)\pi/N$$

The axion abundance is independent of  $f_a$  and  $\theta_{\text{ini}}$ , and thus, the axion can explain DM for any  $f_a$ !

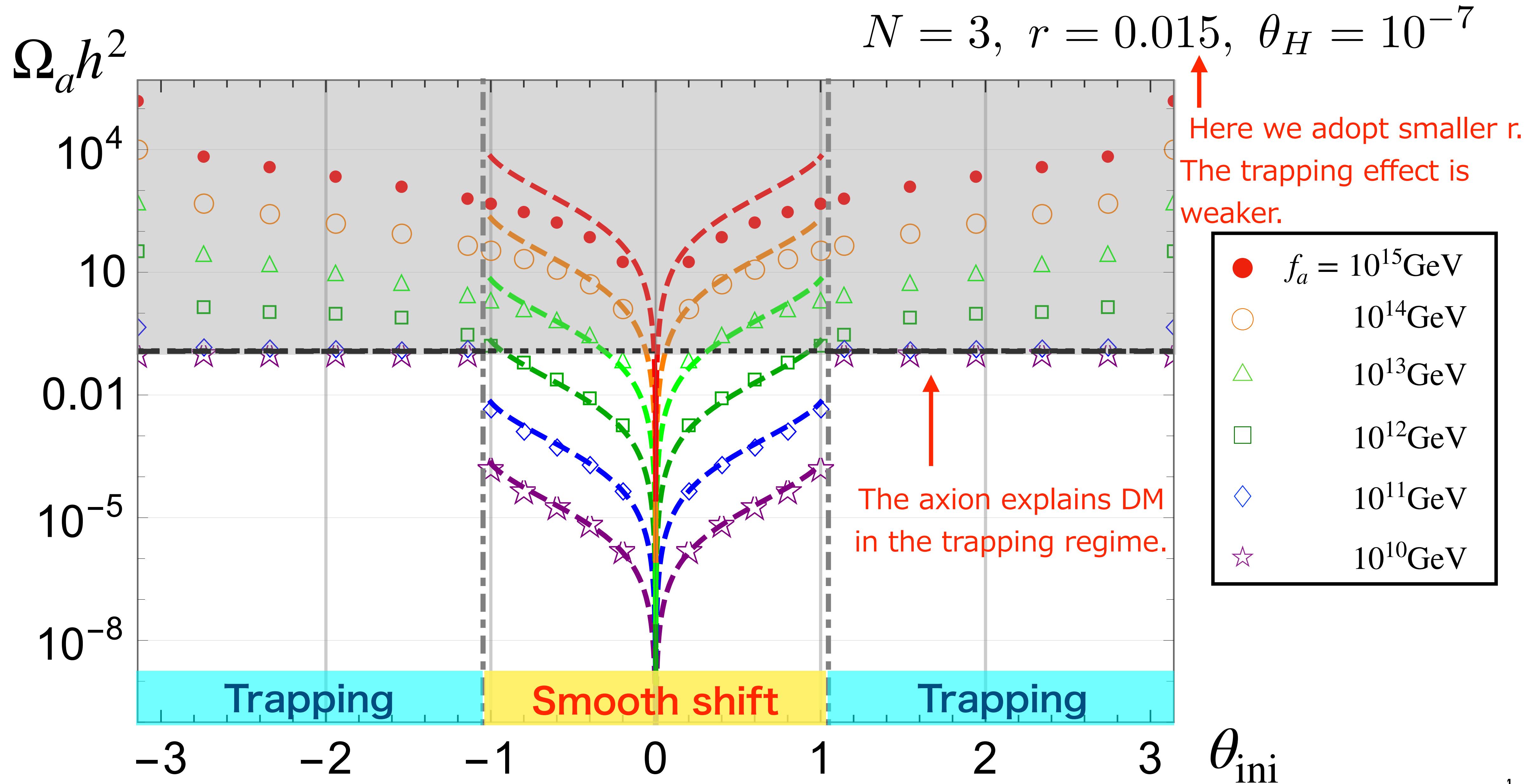
Higaki, Jeong, Kitajima, and FT, 1603.02090

# The dependence of $\Omega_a$ on $\theta_{\text{ini}}$ and $f_a$

$N = 3, r = 0.1, \theta_H = 10^{-7}$

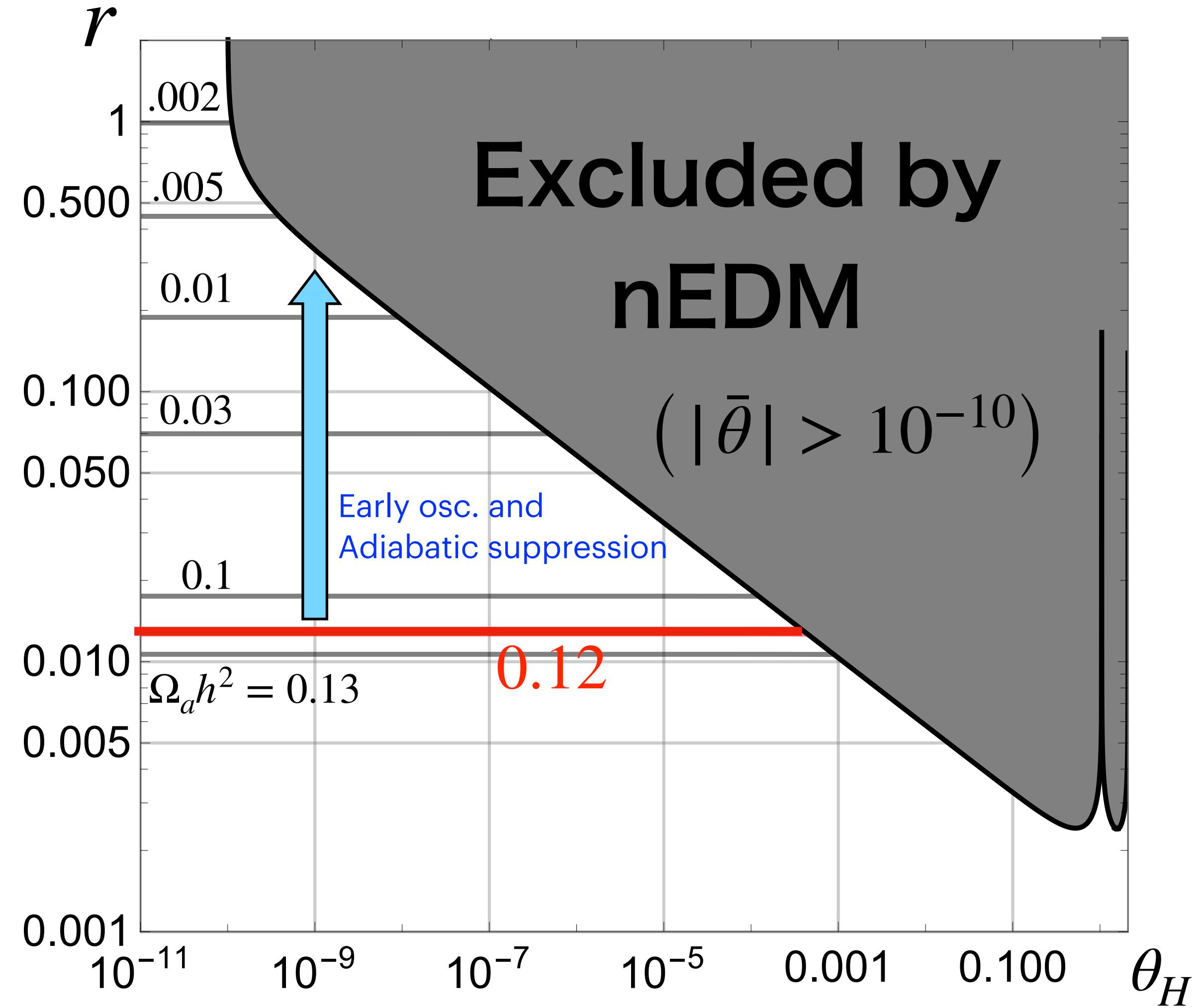


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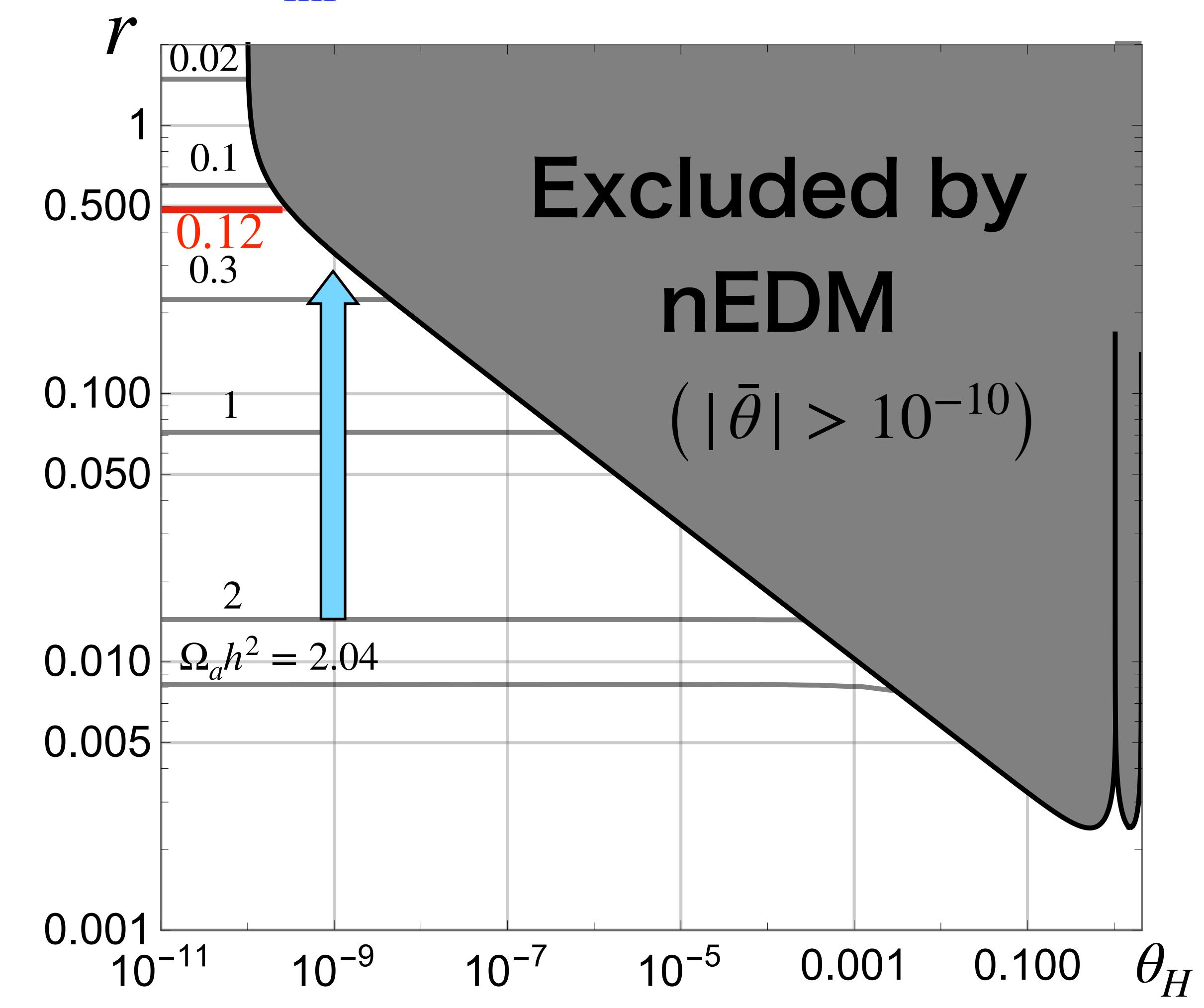


# The dependence of $\Omega_a$ on $\theta_H$ and $r$

[smooth-shift regime:  $\theta_{\text{ini}} = 1$ ]



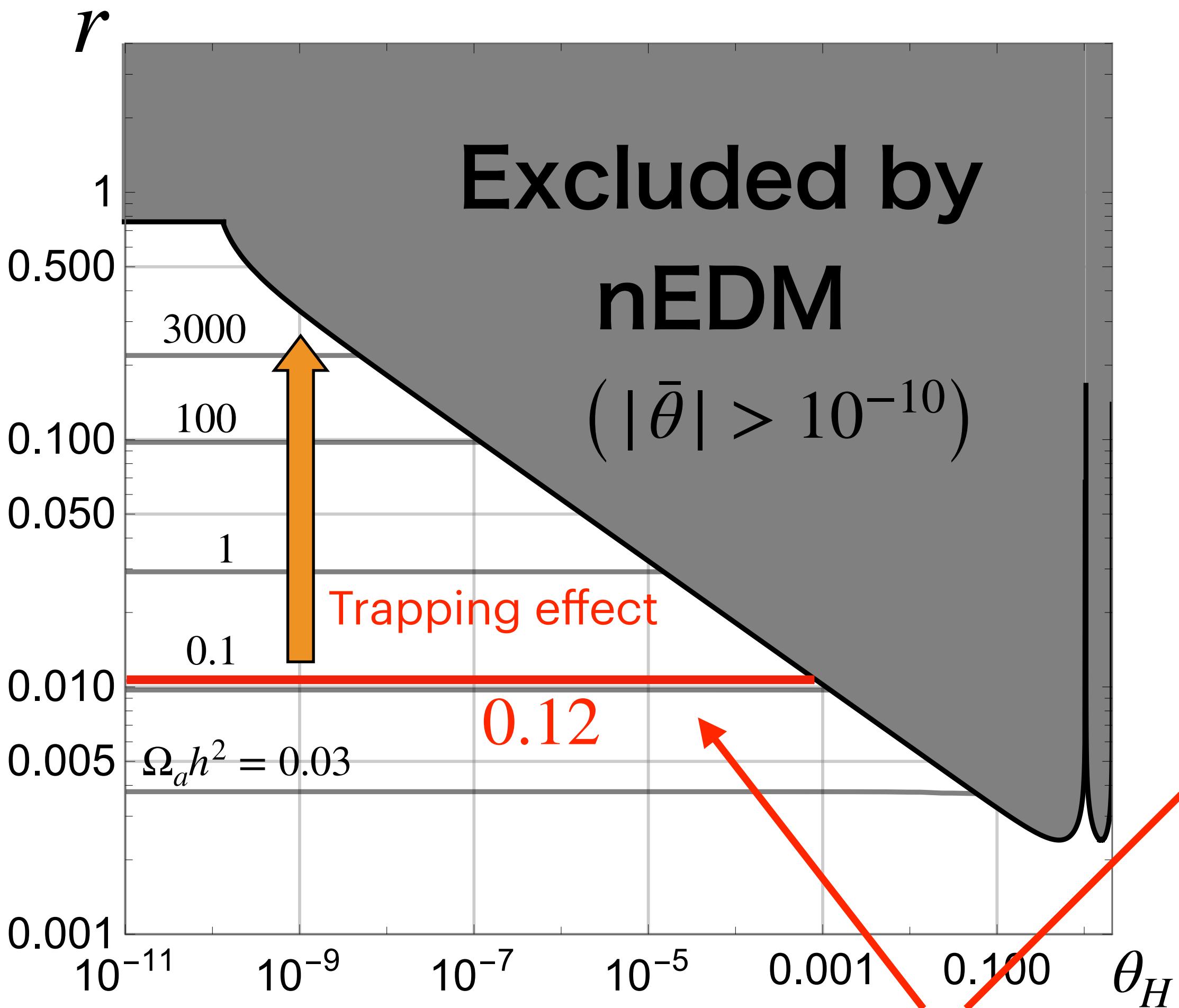
$$f_a = 10^{12} \text{GeV}$$



$$f_a = 10^{13} \text{GeV}$$

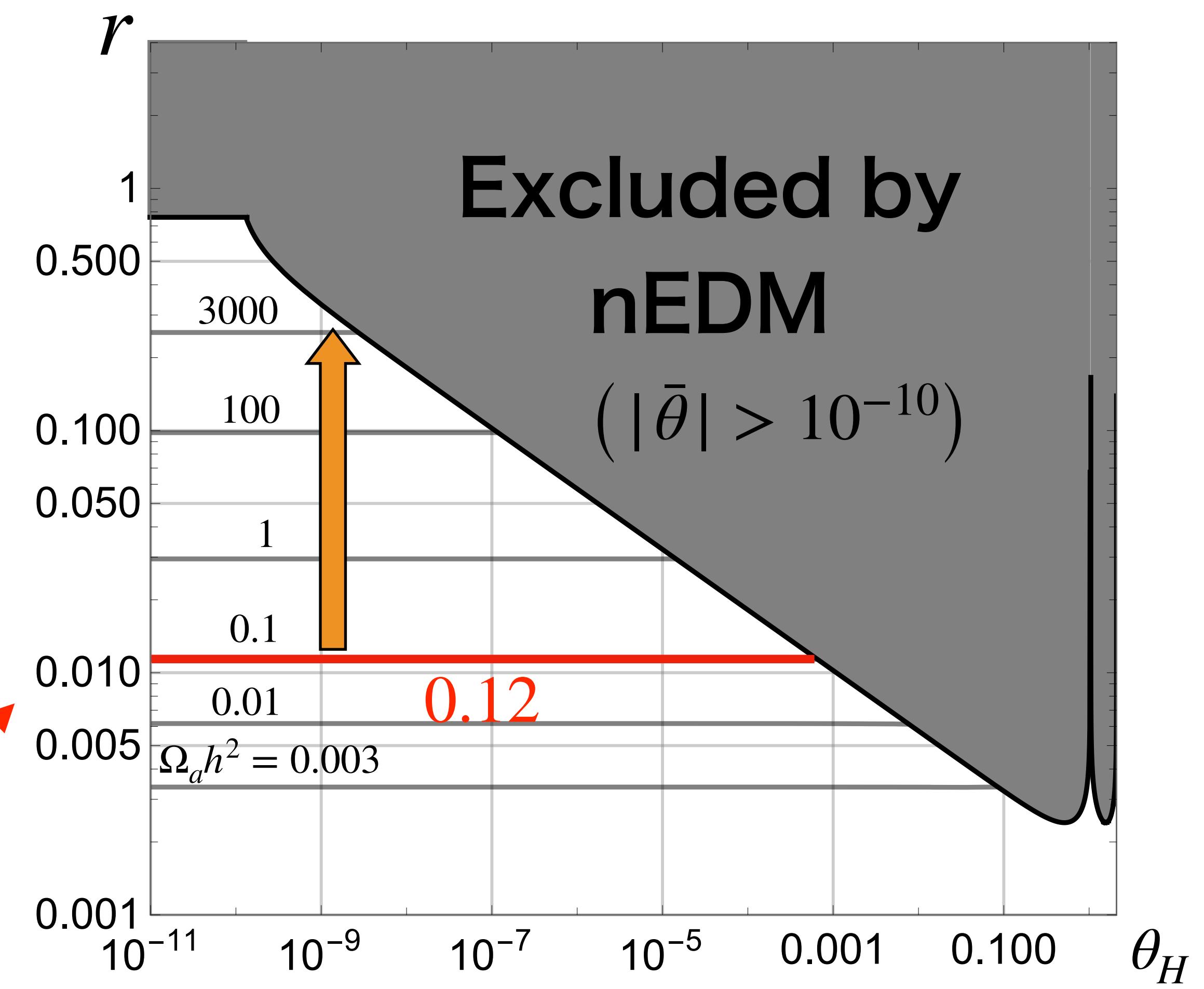
# The dependence of $\Omega_a$ on $\theta_H$ and $r$

[Trapping regime:  $\theta_{\text{ini}} = 1.5$ ]



$$f_a = 10^{11} \text{ GeV}$$

The axion explains DM for  $r \simeq 0.01$

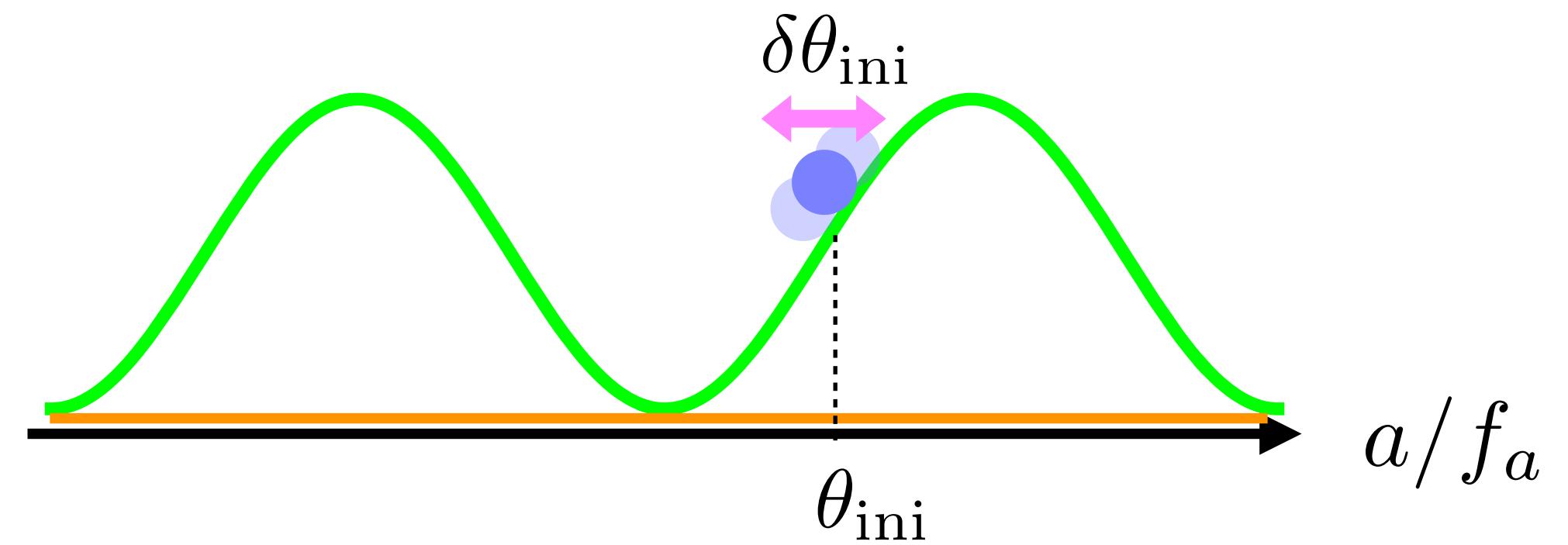


$$f_a = 10^{10} \text{ GeV}$$

# 4. Axion isocurvature perturbations

In the pre-inflationary scenario, the axion acquires quantum fluctuations during inflation,

$$\delta a_{\text{ini}} = \frac{H_{\text{inf}}}{2\pi} \quad \text{i.e.,} \quad \delta\theta_{\text{ini}} = \frac{H_{\text{inf}}}{2\pi f_a}$$



leading to the CDM isocurvature perturbation,

$$\Delta_S^2 \simeq \left( \frac{\Omega_a}{\Omega_{\text{DM}}} \frac{\partial \ln \Omega_a}{\partial \theta_{\text{ini}}} \frac{H_{\text{inf}}}{2\pi f_a} \right)^2 = \left( \frac{\partial \ln \Omega_a}{\partial \theta_{\text{ini}}} \frac{H_{\text{inf}}}{2\pi f_a} \right)^2 < 8.3 \times 10^{-11}$$

if  $\Omega_{\text{DM}} = \Omega_a$

Planck '18

## (1) Smooth-shift regime

$$\Delta_S^2 \simeq \left( \frac{\Omega_a}{\Omega_{\text{DM}}} \frac{\partial \ln \Omega_a}{\partial \theta_{\text{ini}}} \frac{H_{\text{inf}}}{2\pi f_a} \right)^2 \simeq \left( \frac{2}{\theta_{\text{ini}}} \frac{H_{\text{inf}}}{2\pi f_a} \right)^2$$

$\uparrow$   
 $\Omega_{\text{DM}} = \Omega_a \propto \theta_{\text{ini}}^2$

The decay constant  $f_a$  becomes larger w.r.t. the conventional case, since the axion abundance is suppressed.

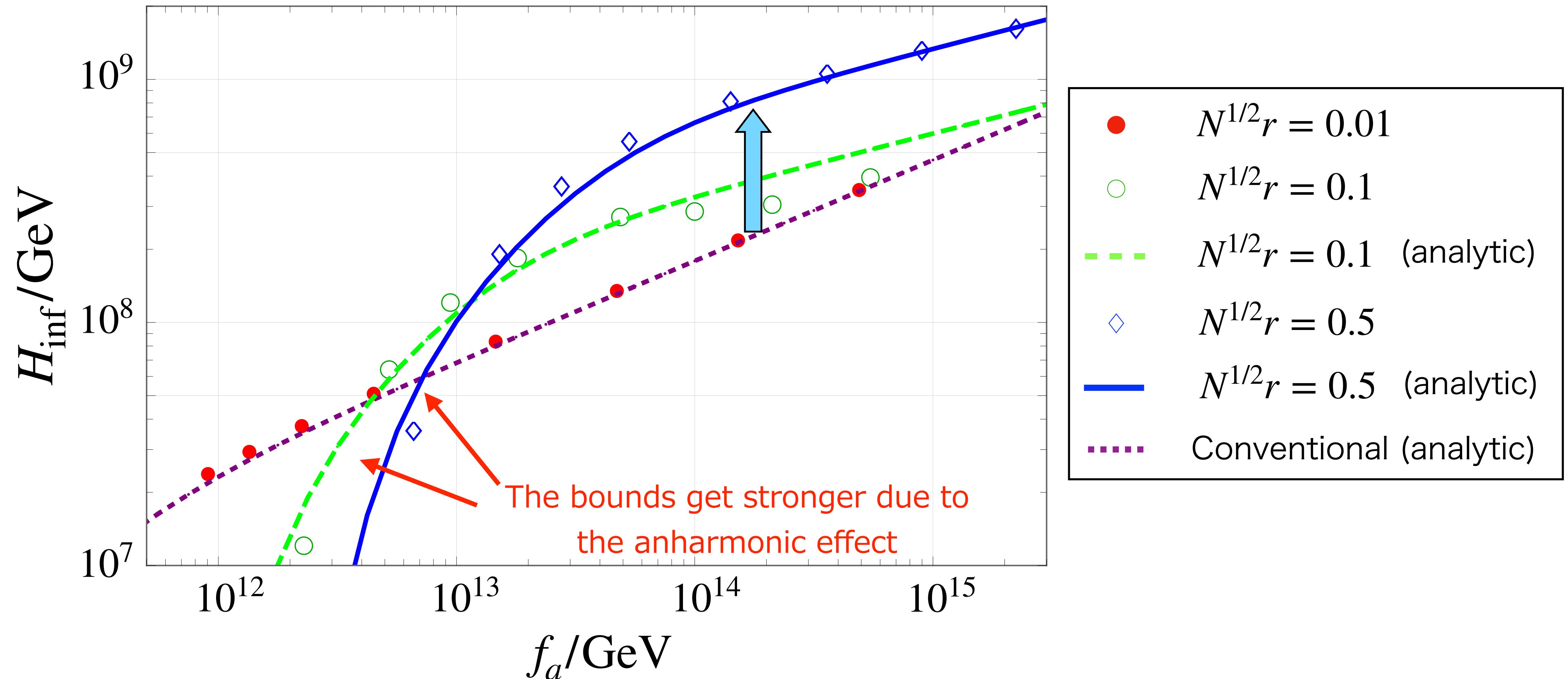
## (2) Trapping regime

The axion abundance is insensitive to  $\theta_{\text{ini}}$ , and so, the isocurvature perturbation is suppressed.

In both cases, the axion isocurvature bound is relaxed.

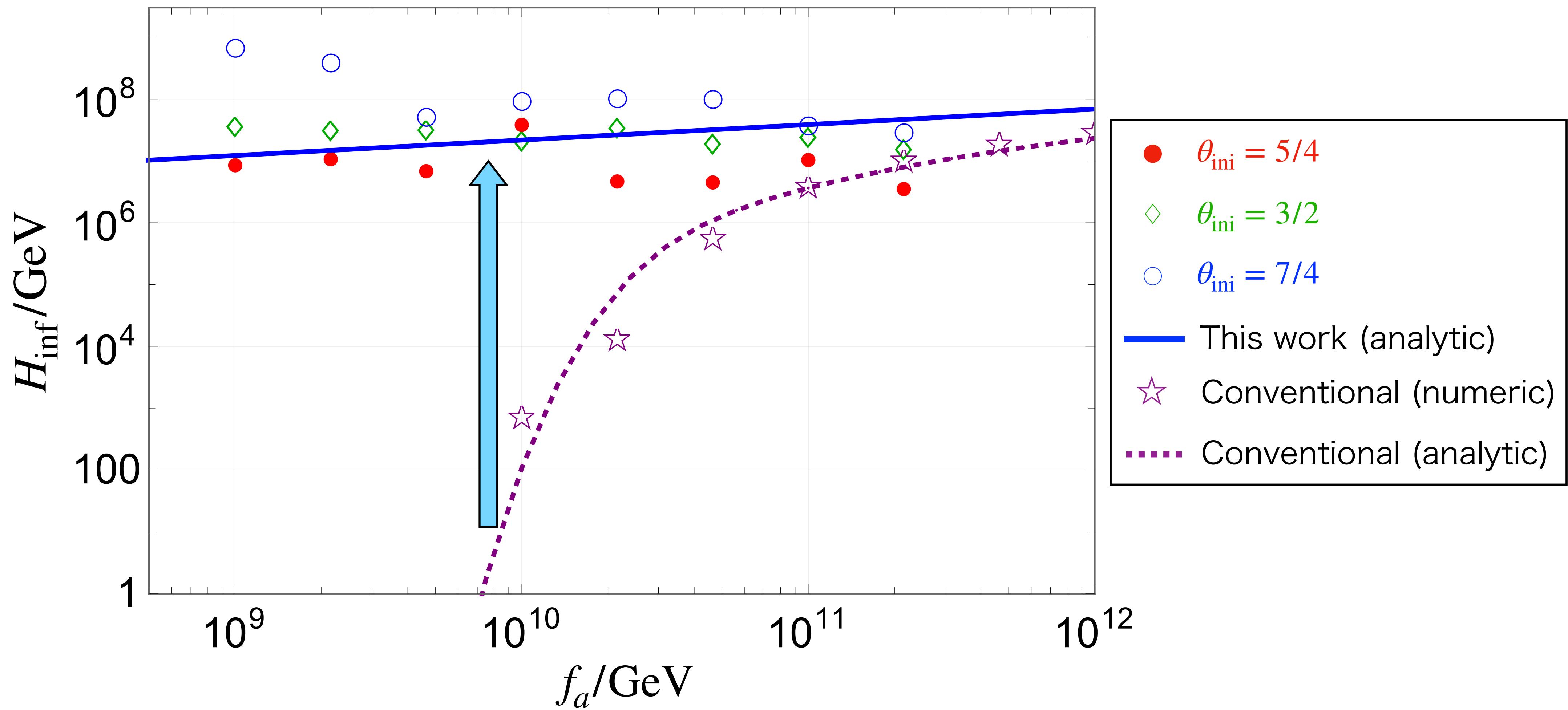
# Isocurvature limits on $H_{\text{inf}}$

[smooth-shift regime:  $N = 3$ ,  $\theta_H = 0$ ,  $\Omega_a = \Omega_{\text{DM}}$ ]



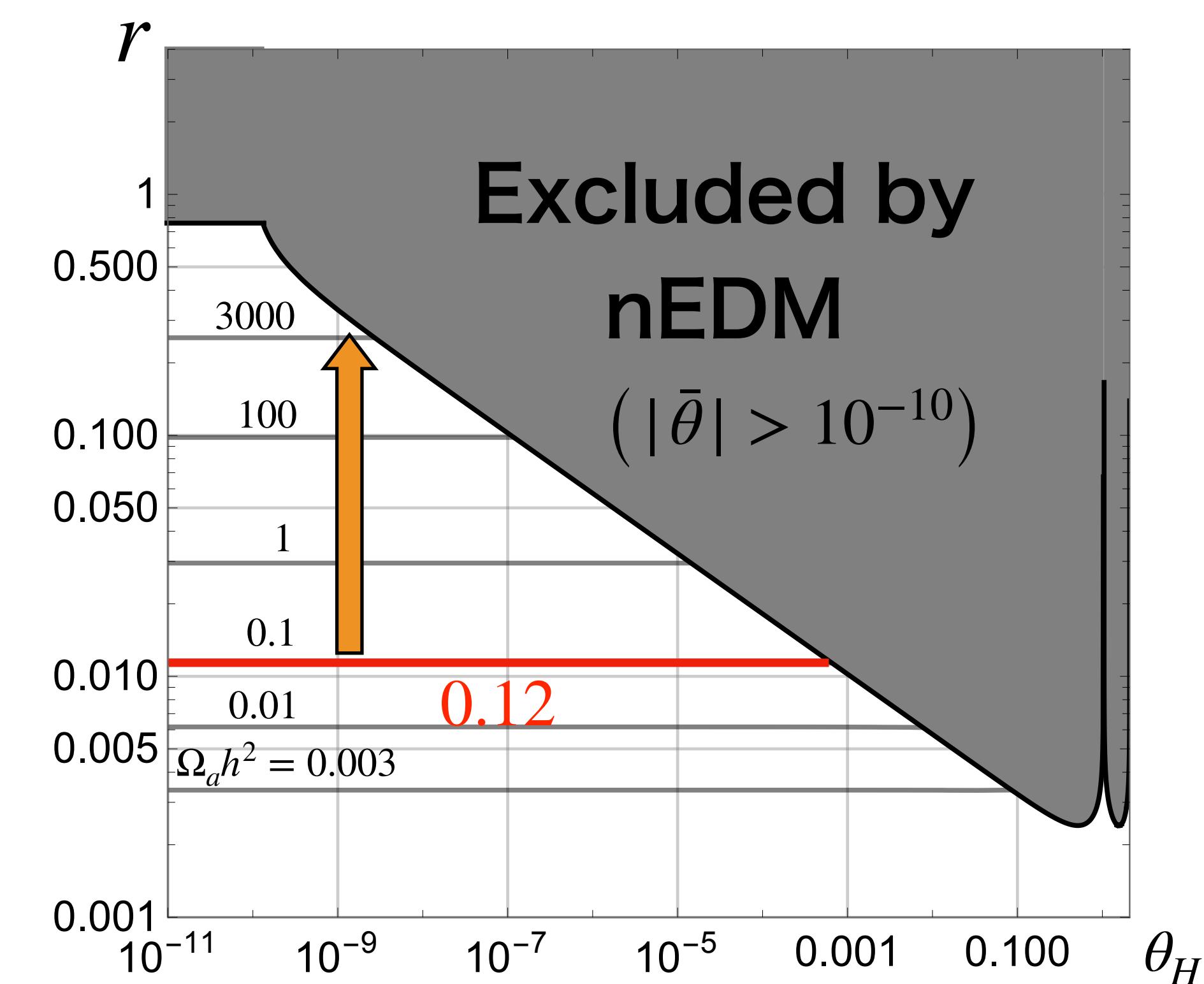
# Isocurvature limits on $H_{\text{inf}}$

[trapping regime:  $N = 3$ ,  $\theta_H = 0$ ,  $\Omega_a = \Omega_{\text{DM}}$ ]



# 5. Summary

- We have found that, in the presence of the extra PQ breaking, the axion abundance can be either reduced or enhanced, depending on the initial position.
- In particular, the axion can explain DM for arbitrarily small  $f_a$  if it gets trapped in a false vacuum [cf. 1603.02090].
- The axion isocurvature bound is relaxed.



$$f_a = 10^{10} \text{GeV}$$