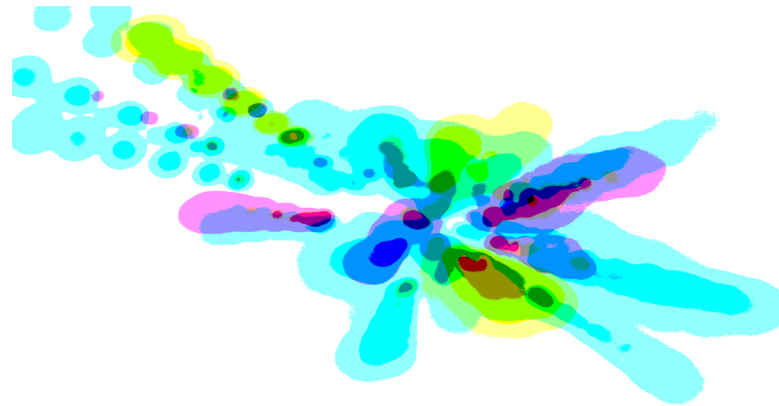


# The role of the chiral anomaly in the proton's spin and sphaleron transitions at small $x$



Raju Venugopalan  
Brookhaven National Laboratory

Humboldt Kolleg workshop, June 26-July1, Kitzbuhel

# Talk outline

The proton's spin puzzle and the chiral anomaly

Fun with worldlines: the anomaly pole dominates at large and small  $x$

WZW term for a prodigal ninth Goldstone: an axionlike effective action

Spin and the  $U_A(1)$  problem: The Goldberger- Treiman relation and topological mass generation of the  $\eta'$

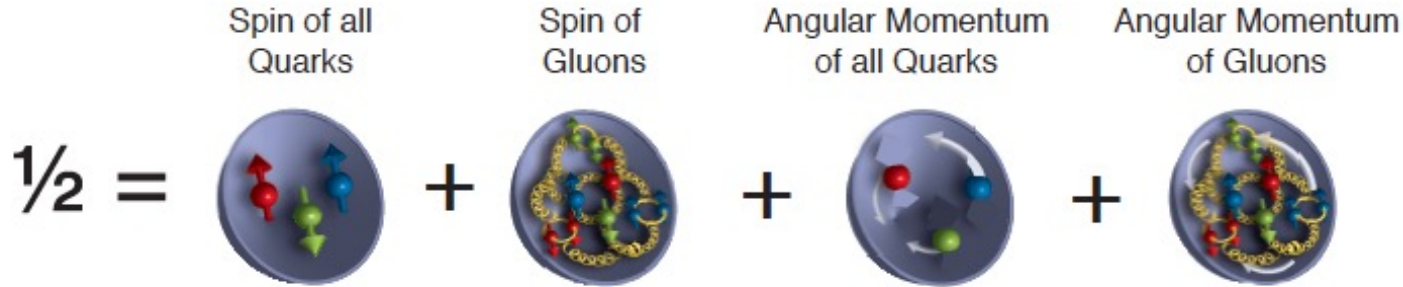
Spin damping at small  $x$ : sphaleron transitions induced by gluon saturation

Takeaway: The proton's spin is deeply influenced by the topology of the QCD vacuum  
-in particular, its features that are responsible for the large mass of the  $\eta'$  meson

Polarized DIS at the Electron-Ion Collider can uncover evidence for sphaleron transitions  
resulting from this interplay

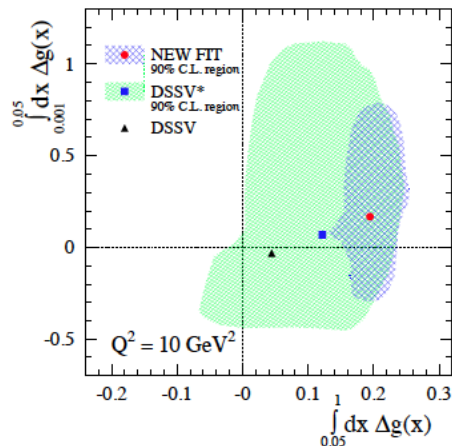
Talk based on work with A. Tarasov, arXiv: 2008.08104 (PRD 2021) and arXiv:2109.10370 (PRD 2022)

# The proton's spin puzzle: a many-body picture



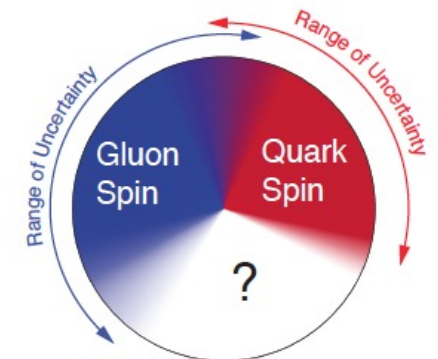
Fixed target DIS experiments (SLAC, CERN, DESY) show that quarks ( $\Delta\Sigma$ ) carry only about 30% of the proton's spin

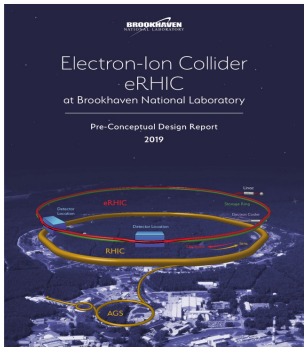
“Spin crisis”: failure of the quark model (“Ellis-Jaffe sum rule”) picture of relativistic “constituent” quarks



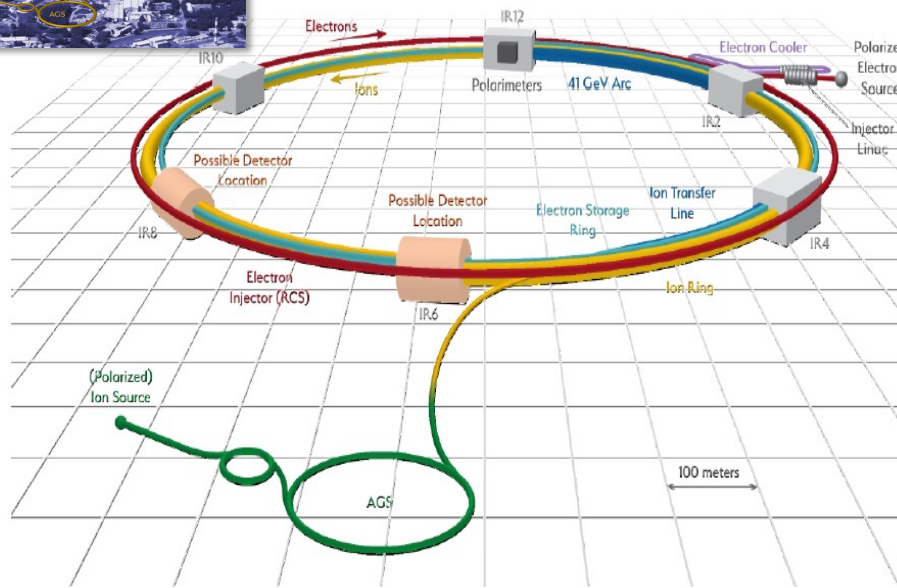
Evidence for gluon spin ( $\Delta G$ ) from RHIC but large uncertainties from small x

Approximate Current Contributions to the Proton Spin





# The Electron-Ion Collider



## Polarized protons up to 275 GeV; Nuclei up to $\sim Z/A \cdot 275 \text{ GeV}/n$

- Existing RHIC complex: Storage (Yellow), injectors (source, booster, AGS)
- Need few modifications
- RHIC beam parameters fairly close to those required for EIC@BNL

## Electrons up to 18 GeV

- Storage ring, provides the range  $\sqrt{s} = 20\text{-}140 \text{ GeV}$ . Beam current limited by RF power of 10 MW
- Electron beam with variable spin pattern ( $s$ ) accelerated in on-energy, spin transparent injector (Rapid-Cycling-Synchrotron) with 1-2 Hz cycle frequency
- Polarized e-source and a 400 MeV s-band injector LINAC in the existing tunnel

- ❖ Electron storage ring with frequent injection of fresh polarized electron bunches
- ❖ Hadron storage ring with strong cooling or frequent injection of hadron bunches

Design optimized to reach  $10^{34} \text{ cm}^{-2}\text{sec}^{-1}$

# A long and winding road...

**1<sup>st</sup> eRHIC workshop,  
BNL Dec. 3-4, 1999**

(Garvey, Ludlam, McLerran,  
Strikman, Venugopalan)

**Nuclear Theory Summer Meeting on eRHIC**

June 26 to July 14, 2000



*Richard Miller*

**The 2nd eRHIC Workshop  
Yale, April 6-8, 2000**

**1999/2000**

Workshop Proceedings  
June 21, 2000

**The Electron Ion Collider**

A high luminosity probe of the parton substructure of nucleons and nuclei  
A white paper summarizing the scientific opportunities and the preliminary detector and accelerator design options  
February 2002

BNL-52606  
Formal Report



Proceedings of the Electron Ion Collider Workshops  
February 26 - March 2, 2002  
Brookhaven National Laboratory

International Workshop on  
**Physics Opportunities @ an Electron Ion Collider**  
August 20-22, 2012 Indiana University, Bloomington, IN, USA

**VOLUME 1**  
Accelerator Concepts

Physics Opportunities  
with  
 $e+A$  Collisions  
at an  
Electron Ion Collider

$e+A$  White Paper  
EIC Collaboration  
April 4, 2007

**OPPORTUNITIES IN NUCLEAR SCIENCE**

A Long-Range Plan for the Next Decade

April 2002

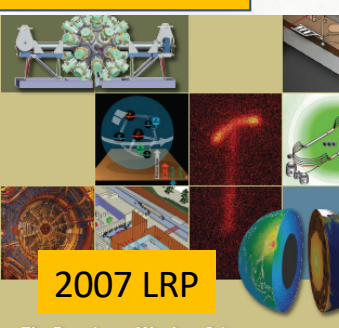
**2002 LRP**

The EIC Science case:  
a report on the joint  
BNL/INT/JLab program

Gluons and the quark sea at high  
distributions, polarization, tomography

Institute for Nuclear Theory • University of Washington  
September 13 to November 19, 2010

**2010 INT report  
Redux: 2018**



**2007 LRP**

The Frontiers of Nuclear Science  
A LONG RANGE PLAN

**The 2015  
LONG RANGE PLAN  
for NUCLEAR SCIENCE**

**2015**

The Electron-Ion Collider  
Assessing the Energy Dependence  
of Key Measurements

**2018 BNL  
Report**

AN ASSESSMENT OF  
U.S.-BASED ELECTRON-ION  
COLLIDER SCIENCE

**NAS panel**

REACHING FOR THE HORIZONS

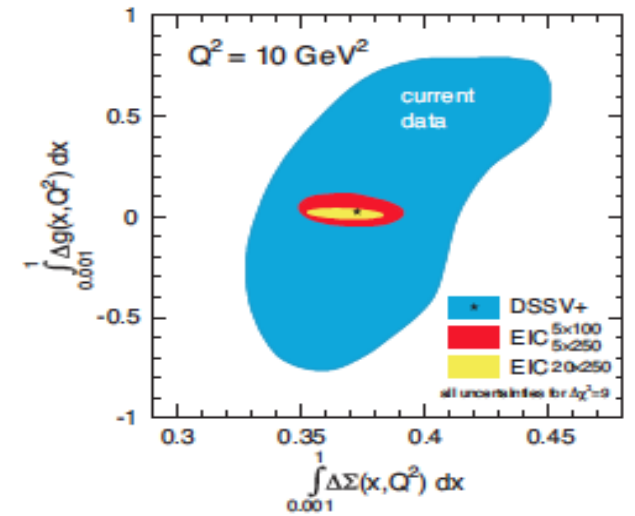
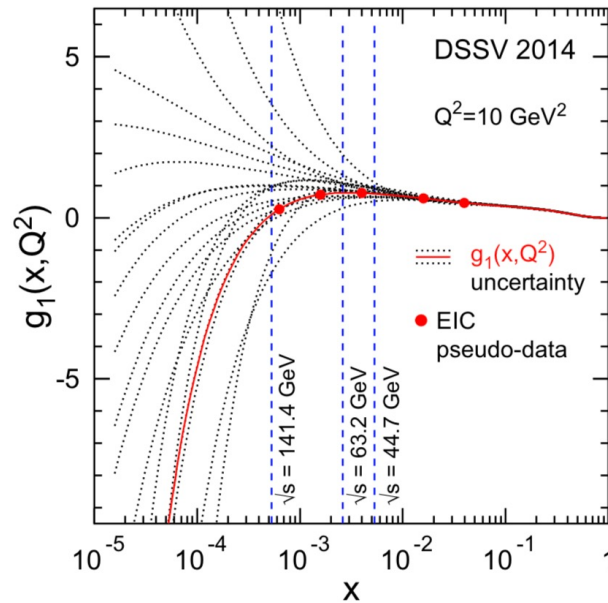
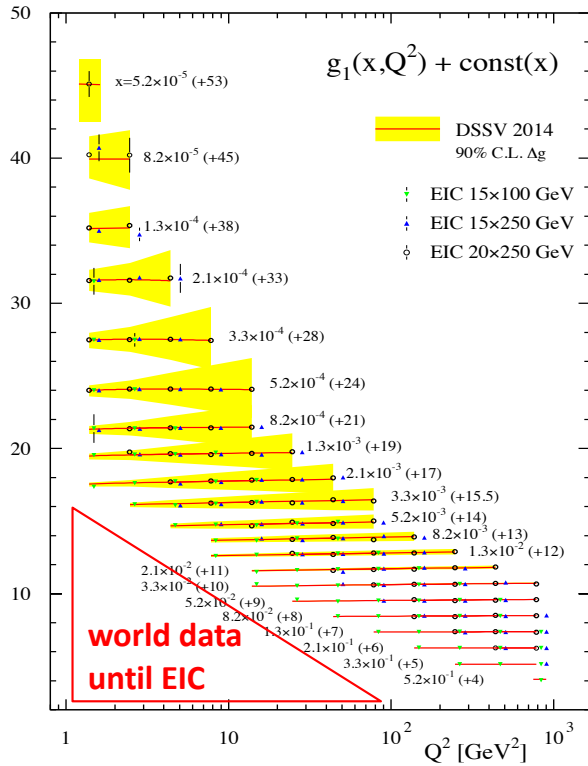
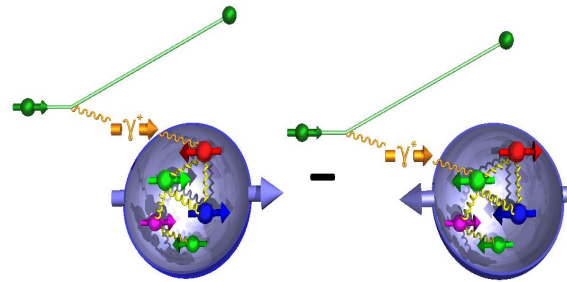


**2018**

# Resolving the proton's spin puzzle: the $g_1$ structure function

$g_1$  extracted from longitudinal spin asymmetry in polarized DIS

$$\Delta\Sigma(Q^2) \propto \int_0^1 dx g_1(x, Q^2)$$

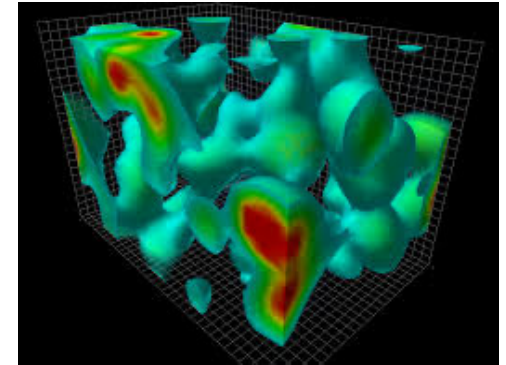


## Iso-singlet axial vector current and the chiral anomaly

$$S^\mu \Delta\Sigma = \langle P, S | \bar{\psi} \gamma^\mu \gamma_5 \psi | P, S \rangle \equiv \langle P, S | j_5^\mu | P, S \rangle$$

$U_A(1)$  violation from  
the chiral anomaly:

$$\partial_\mu J_5^\mu = 2n_f \partial_\mu K^\mu + \sum_{i=1}^{n_f} 2im_i \bar{q}_i \gamma_5 q_i$$



where the Chern-Simons current 
$$K_\mu = \frac{g^2}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} \left[ A_a^\nu \left( \partial^\rho A_a^\sigma - \frac{1}{3} g f_{abc} A_b^\rho A_c^\sigma \right) \right]$$

One suggested explanation for small  $\Delta\Sigma$  is the identification of  $K_\mu$  with  $\Delta G$

However  $K_\mu$  is local but not gauge-invariant (under large gauge transformations)  
while  $\Delta G$  is non-local but gauge-invariant - - strongly hints key role of topology

ca., 1988  
Efremov, Teryaev  
Altarelli, Ross  
Carlitz, Collins, Mueller

Jaffe, 2007 Varenna lectures  
Review: S.D. Bass, RMP, hep-ph/0411005

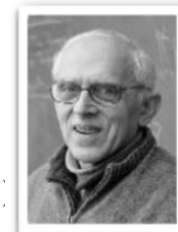
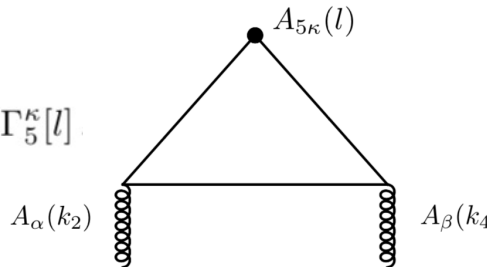
Alternative picture: topological screening of spin

Veneziano (1989); Shore, Veneziano (1990, 1992)  
Forte, Shuryak (1991); Zahed et al. (2016-)

# The Adler-Bell-Jackiw chiral (triangle) anomaly

$$\langle P', S | J_5^\kappa | P, S \rangle = \int d^4y \frac{\partial}{\partial A_{5\kappa}(y)} \Gamma[A, A_5] \Big|_{A_5=0} e^{iy} \equiv \Gamma_5^\kappa[l]$$

$$= \frac{1}{4\pi^2} \frac{l^\kappa}{l^2} \int \frac{d^4k_2}{(2\pi)^4} \int \frac{d^4k_4}{(2\pi)^4} \text{Tr}_c F_{\alpha\beta}(k_2) \tilde{F}^{\alpha\beta}(k_4) (2\pi)^4 \delta^4(l + k_2 + k_4)$$



Steven Adler



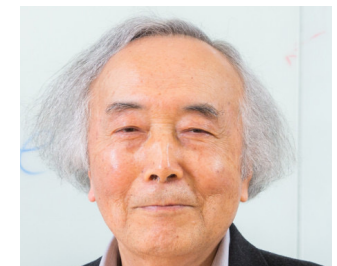
John S. Bell



Roman Jackiw



William A. Bardeen



Kazuo Fujikawa

Famous infrared pole of anomaly. One loop exact: Adler-Bardeen theorem

Key insight from Fujikawa:

Anomaly arises from the non-invariance of the path integral measure under chiral ( $\gamma_5$ ) rotations

$$e^{iW} = \int \mathcal{D}A \mathcal{D}\bar{q} \mathcal{D}q \exp \left[ i \int dx (\mathcal{L}_{\text{QCD}} + V_5^{\mu a} J_{\mu 5}^a + V^{\mu a} J_\mu^a + \theta Q + S_5^a \phi_5^a + S^a \phi^a) \right]$$

$$\rightarrow \int \mathcal{D}A \mathcal{D}\bar{q} \mathcal{D}q \left[ \partial^\mu J_{\mu 5}^a - \sqrt{2n_f} \delta^{a0} Q - d_{abc} m^b \phi_5^c - \delta \left( \int d^4x \mathcal{L}_{\text{QCD}} \right) \right] \exp[\dots] = 0$$

Anomalous functional Ward identities from Wess-Zumino action

Wess, Zumino (1971)



# Worldline formalism: box diagram for polarized DIS ( $g_1(x, Q^2)$ )

Hadron tensor in DIS:  $W^{\mu\nu}(q, P, S) = \frac{1}{2\pi} \int d^4x e^{iqx} \langle P, S | j^\mu(x) j^\nu(0) | P, S \rangle$

DIS with worldlines:  
Tarasov, RV, 1903.11624,  
2008.08104, 2109.10370

Anti-symmetric part:  $\tilde{W}_{\mu\nu}(q, P, S) = \frac{2M_N}{P \cdot q} \epsilon_{\mu\nu\alpha\beta} q^\alpha \left\{ S^\beta g_1(x_B, Q^2) + \left[ S^\beta - \frac{(S \cdot q) P^\beta}{P \cdot q} \right] g_2(x_B, Q^2) \right\}$

$g_1 \propto \Gamma_A^{\mu\nu} [k_1, k_3] = \int \frac{d^4k_2}{(2\pi)^4} \int \frac{d^4k_4}{(2\pi)^4} \Gamma_A^{\mu\nu\alpha\beta} [k_1, k_3, k_2, k_4] \text{Tr}_c(\tilde{A}_\alpha(k_2) \tilde{A}_\beta(k_4))$



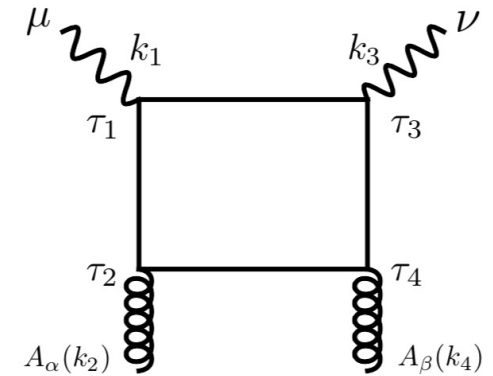
Polarization tensor  
(antisymmetric piece)



Box diagram

$$\Gamma_A^{\mu\nu\alpha\beta} [k_1, k_3, k_2, k_4] = -\frac{g^2 e^2 e_f^2}{2} \int_0^\infty \frac{dT}{T} \int \mathcal{D}x \int \mathcal{D}\psi \exp \left\{ -\int_0^T d\tau \left( \frac{1}{4} \dot{x}^2 + \frac{1}{2} \psi \cdot \dot{\psi} \right) \right\}$$

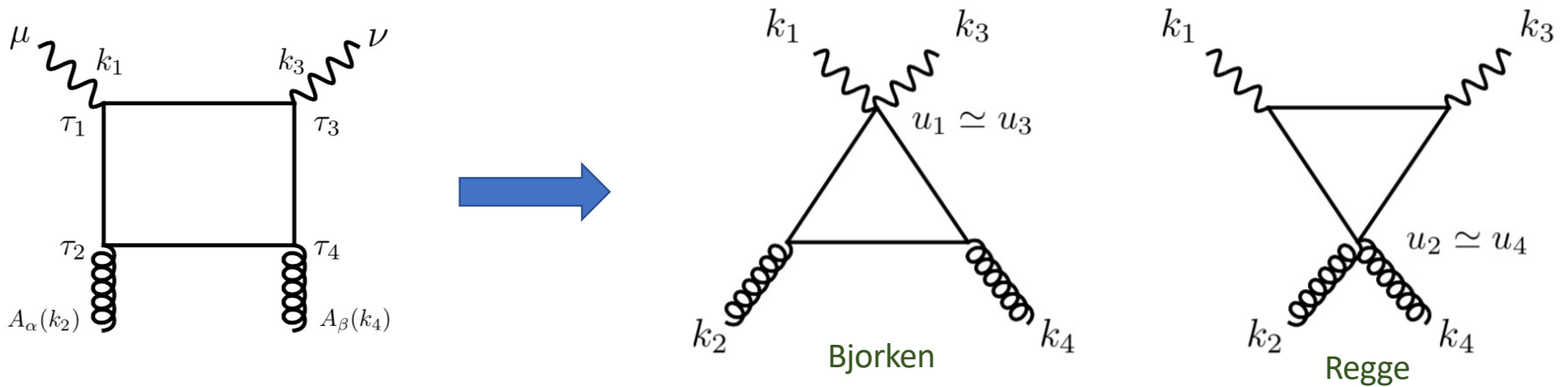
$$\times \prod_{k=1}^4 \int_0^T d\tau_k \left[ \sum_{n=1}^9 c_{n;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta} [k_1, k_3, k_2, k_4] - (\mu \leftrightarrow \nu) \right] e^{i \sum_{i=1}^4 k_i x_i}.$$



From QCD worldline path integral  
for spinning 0+1-D worldlines

Using worldline formulation of one loop effective action in QCD, we can compute the box diagram in exact off-forward kinematics (with no kinematic approximations of internal variables) in both Bjorken ( $Q^2 \rightarrow \infty, s \rightarrow \infty, x = \text{fixed}$ ) and Regge ( $x \rightarrow 0, s \rightarrow \infty, Q^2 = \text{fixed}$ ) QCD asymptotics

## Finding triangles in boxes in Bjorken and Regge asymptotics



Remarkably, box diagram for  $g_1(x_B, Q^2)$  has same structure in both limits - dominated by the triangle anomaly !

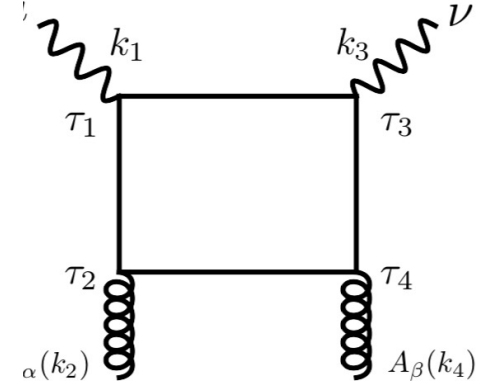
$$S^\mu g_1(x_B, Q^2) \Big|_{Q^2 \rightarrow \infty} = \sum_f e_f^2 \frac{\alpha_s}{i\pi M_N} \int_{x_B}^1 \frac{dx}{x} \left(1 - \frac{x_B}{x}\right) \int \frac{d\xi}{2\pi} e^{-i\xi x} \lim_{l_\mu \rightarrow 0} \frac{l^\mu}{l^2} \langle P', S | \text{Tr}_c F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0) | P, S \rangle + \text{non-pole} \frac{\Lambda_{QCD}^2}{Q^2} \ll 1$$

$$S^\mu g_1(x_B, Q^2) \Big|_{x_B \rightarrow 0} = \sum_f e_f^2 \frac{\alpha_s}{i\pi M_N} \int_{x_B}^1 \frac{dx}{x} \int \frac{d\xi}{2\pi} e^{-i\xi x} \lim_{\mu \rightarrow 0} \frac{l^\mu}{l^2} \langle P', S | \text{Tr}_c F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0) | P, S \rangle + \text{non-pole} \frac{x_B}{x} \ll 1$$

Hence  $g_1$  is topological in both asymptotic limits of QCD...

## The box diagram for polarized DIS ( $g_1(x, Q^2)$ )

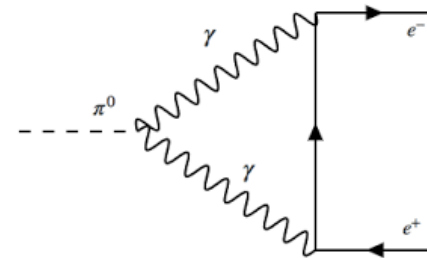
$$\begin{aligned}
 C_{1;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -4\dot{x}_3^\nu \psi_1^\mu \psi_1 \cdot k_1 \dot{x}_4^\beta \psi_2^\alpha \psi_2 \cdot k_2; \\
 C_{2;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -4\dot{x}_3^\nu \psi_1^\mu \psi_1 \cdot k_1 \dot{x}_2^\alpha \psi_4^\beta \psi_4 \cdot k_4; \\
 C_{3;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -4\dot{x}_1^\mu \psi_3^\nu \psi_3 \cdot k_3 \dot{x}_2^\alpha \psi_4^\beta \psi_4 \cdot k_4; \\
 C_{4;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -4\dot{x}_1^\mu \psi_3^\nu \psi_3 \cdot k_3 \dot{x}_4^\beta \psi_2^\alpha \psi_2 \cdot k_2 \\
 C_{5;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -8i\dot{x}_3^\nu \psi_1^\mu \psi_1 \cdot k_1 \psi_2^\alpha \psi_2 \cdot k_2 \psi_4^\beta \psi_4 \cdot k_4; \\
 C_{6;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -8i\dot{x}_1^\mu \psi_3^\nu \psi_3 \cdot k_3 \psi_2^\alpha \psi_2 \cdot k_2 \psi_4^\beta \psi_4 \cdot k_4 \\
 C_{7;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -8i\dot{x}_4^\beta \psi_2^\alpha \psi_2 \cdot k_2 \psi_1^\mu \psi_1 \cdot k_1 \psi_3^\nu \psi_3 \cdot k_3; \\
 C_{8;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= -8i\dot{x}_2^\alpha \psi_4^\beta \psi_4 \cdot k_4 \psi_1^\mu \psi_1 \cdot k_1 \psi_3^\nu \psi_3 \cdot k_3 \\
 C_{9;(\tau_1, \tau_2, \tau_3, \tau_4)}^{\mu\nu\alpha\beta}[k_1, k_3, k_2, k_4] &= 16\psi_1^\mu \psi_1 \cdot k_1 \psi_3^\nu \psi_3 \cdot k_3 \psi_2^\alpha \psi_2 \cdot k_2 \psi_4^\beta \psi_4 \cdot k_4
 \end{aligned}$$



Can compute these explicitly using worldline integration techniques

## A big role for a phase: the WZW isosinglet term

A famous WZW term one can derive from imaginary part of the worldline action is that responsible for  $\pi^0 \rightarrow 2\gamma$  d'Hoker, Gagne (1995, 1996)



Likewise, from  $-\mathcal{W}[A, B, \Phi, \Pi] = \text{Ln Det } [\mathcal{D}]$

$$\text{with } \mathcal{D} = \not{p} - i\Phi(x) - \gamma_5 \Pi - \not{A} - \gamma_5 \not{B}$$

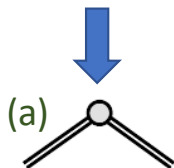
extract from phase of determinant, WZW term in the isosinglet channel

$$S_{\text{WZW}}^{\bar{\eta}} = -i \frac{\sqrt{2n_f}}{F_{\bar{\eta}}} \int d^4x \bar{\eta} \Omega$$

$\Omega$  is the topological charge density and  $F_{\bar{\eta}}$  is the  $\bar{\eta}$  decay constant

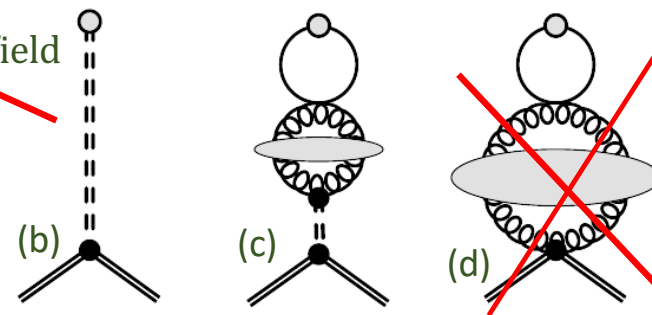
This term from the imaginary part of  $W_1$  agrees exactly with expression in nonet Ch.PT. Kaiser, Leutwiler (2000)

$$\langle P', S | J_5^\mu | P, S \rangle = \bar{u}(P', S) \left[ \gamma^\mu \gamma_5 G_A(l^2) + l^\mu \gamma_5 G_P(l^2) \right] u(P, S)$$



(a) Direct axial vector coupling of  $J_5^\mu$  to polarized proton

Massless  $\bar{\eta}$  field



(b) Pseudoscalar coupling of polarized proton to  $J_5^\mu$

We will now establish a transitive property  $a \leftrightarrow b \leftrightarrow c$

# Goldberger-Treiman relation and anomaly cancellation

I) Consider first the direct axial vector coupling: 

Since  $G_p(0)$  cannot have a pole:

$$\lim_{l \rightarrow 0} \left[ \langle P', S | J_5^\mu | P, S \rangle_{\text{Fig. 2b}} + \langle P', S | J_5^\mu | P, S \rangle_{\text{Figs. 2c+2d}} \right] = 0$$

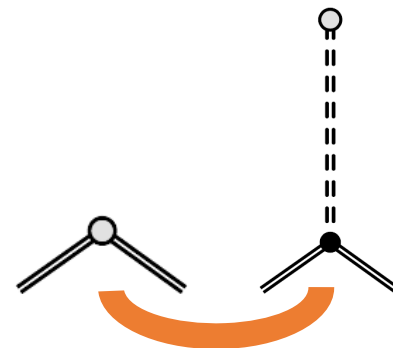
Trivially,  $\langle P, S | J_5^\mu | P, S \rangle = \langle P, S | J_5^\mu | P, S \rangle_{\text{Fig. 2a}} = 2M_N G_A(0) S^\mu$

$$\Sigma(Q^2) = 2G_A(0)$$

The helicity of the proton is twice its axial vector charge

II) The anomaly equation + the Dirac equation link the axial vector and pseudoscalar channels: GT relation

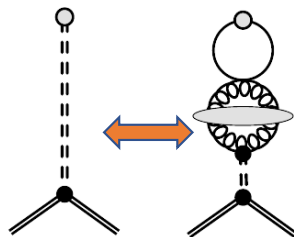
$$G_A(0) = \frac{\sqrt{2\tilde{n}_f}}{2M_N} F_{\tilde{\eta}} g_{\eta_0 NN}$$



$g_{\eta_0 NN}$  is coupling of isosinglet field to proton

Veneziano (1989)

III) Absence of a pseudoscalar pole also implies...



$$\sqrt{2\tilde{n}_f} F_{\tilde{\eta}} = 2n_f \lim_{l \rightarrow 0} i \langle 0 | T \Omega \eta_0 | 0 \rangle$$

## Goldberger-Treiman relation and anomaly cancellation



QCD topological  
susceptibility

Yang-Mills  
top. susceptibility

$$\chi(l^2) = i \int d^4x e^{ilx} \langle 0 | T \Omega(x) \Omega(0) | 0 \rangle$$

1/N corrections to YM topological susceptibility induced by WZW coupling...generates QCD top. susceptibility

Resummation gives

$$\chi(l^2) = l^2 \frac{1}{l^2 - m_{\eta'}^2} \chi_{\text{YM}}(l^2) \quad \text{with} \quad m_{\eta'}^2 \equiv -\frac{2n_f}{F_{\eta'}^2} \chi_{\text{YM}}(0)$$

Witten-Veneziano formula

Now  $\langle 0 | T \Omega \eta_0 | 0 \rangle = -i \frac{1}{l^2} \frac{\sqrt{2\tilde{n}_f}}{F_{\eta'}} \chi(l^2)$  Since  $\chi(l^2) \rightarrow 0$  when  $l^2 \rightarrow 0$ , we obtain  $F_{\eta'}^2 = 2n_f \chi'(0)$

From the GT relation, it then follows that

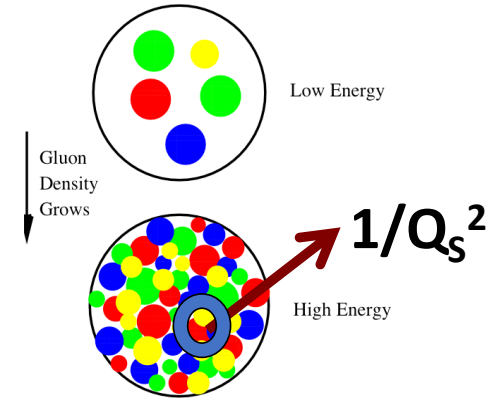
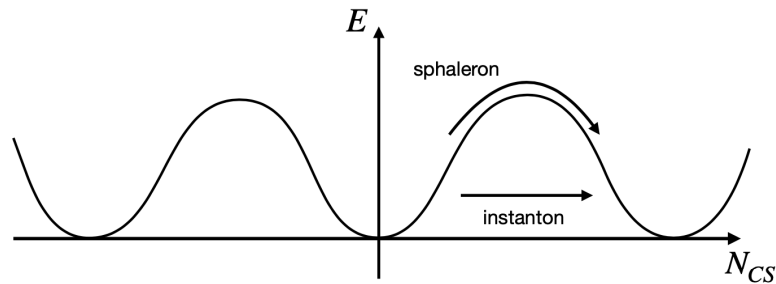
$$\Sigma(Q^2) = \sqrt{\frac{2}{3}} \frac{2n_f}{M_N} g_{\eta_0 NN} \sqrt{\chi'(0)}$$

Independent derivation of a result obtained by Shore & Veneziano (1992)

The proton's helicity is determined by the QCD topological susceptibility

- Comments: i) To the best of my knowledge, no derivation on the lattice yet – though should be feasible  
 ii) Sum rule evaluation by Shore, Narison and Veneziano compatible with COMPASS and HERMES data for  $\Sigma$

What about  $g_1$  at small  $x_{Bj}$  ?



Gluon saturation-state in protons and nuclei at small  $x$ -corresponding to maximal occupancy and characterized by a semi-hard saturation scale – many-body dynamics described by Color Glass Condensate EFT

Saturation induces over the barrier sphaleron-like transitions which can be estimated by an axion-like effective action (describing the propagation of the  $\bar{\eta}$  and its coupling to the CGC

Described by small  $x$  RG evolution eqns.

$$g_1^{\text{Regge}}(x_B, Q^2) = \left( \sum_f e_f^2 \right) \frac{n_f \alpha_s}{\pi M_N} i \int d^4 y \int_{x_B}^1 \frac{dx}{x} \left( 1 - \frac{x_B}{x} \right) \int \frac{d\xi}{2\pi} e^{-i\xi x} \int \mathcal{D}\rho \overbrace{W_Y[\rho]} \int D\bar{\eta} \tilde{W}_{P,S}[\bar{\eta}] \int [DA]$$

$$\times \text{Tr}_c F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0) \eta_0(y) \exp \left( i S_{\text{CGC}} + i \int d^4 x \left[ \frac{1}{2} (\partial_\mu \bar{\eta}) (\partial^\mu \bar{\eta}) - \frac{\sqrt{2n_f}}{F_{\bar{\eta}}} \bar{\eta} \Omega \right] \right)$$

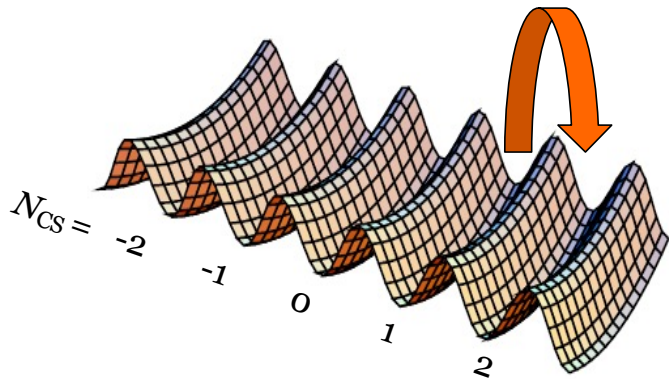
$$S_{\text{CGC}}[A, \rho] = -\frac{1}{4} \int d^4 x F_a^{\mu\nu} F_{\mu\nu}^a + \frac{i}{N_c} \int d^2 x_\perp \text{tr}_c [\rho(x_\perp) \ln (U_{[\infty, -\infty]}(x_\perp))]$$

# Spin diffusion via sphaleron transitions in topologically disordered media

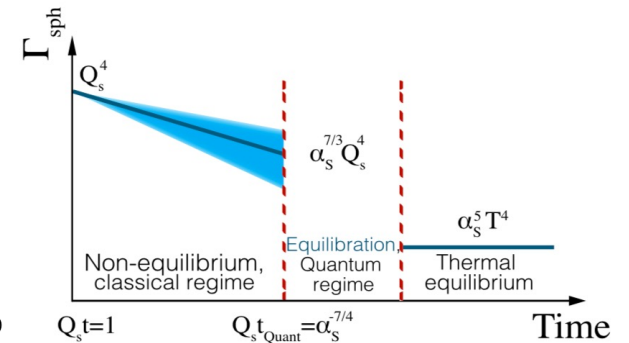
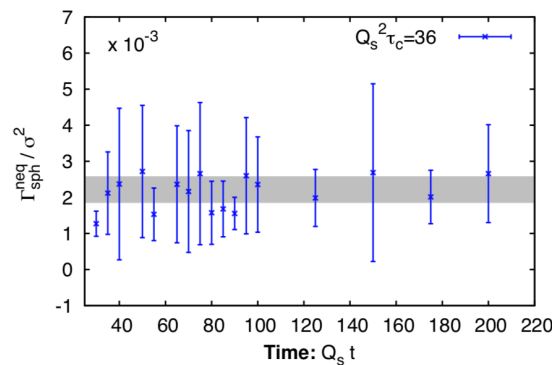
Two scales – the height of the barrier given by  $m_{\eta'}^2 = 2n_f \frac{\chi_{\text{YM}}}{F^2}$

- the gluon saturation scale  $Q_s$

When  $Q_s^2 \gg m_{\eta'}^2$  over the barrier gauge configurations dominate over instanton configurations



Sphaleron transition rate off-equilibrium



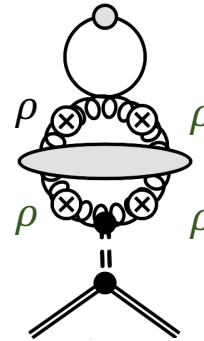
Mace, Schlichting, Venugopalan (2016)

Over the barrier (sphaleron) transitions between different topological sectors of QCD vacuum...characterized by integer valued Chern-Simons #



## $g_1$ at small $x_{Bj}$ from sphaleron transitions

For  $Q_S^2 < m_{\eta'}^2$   
over the barrier transitions



From our small  $x_B$  effective action,  $\frac{\partial^2 \eta'}{\partial t^2} = -\gamma \frac{\partial \eta'}{\partial t} - m_{\eta'}^2 \eta'$   $\gamma = \frac{2n_f \Gamma_{sphaleron}}{F^2_{\eta'} Q_S}$

Spin diffusion due to “drag force” on “axion” propagation in the shock wave background  
-drag force is proportional to sphaleron transition rate

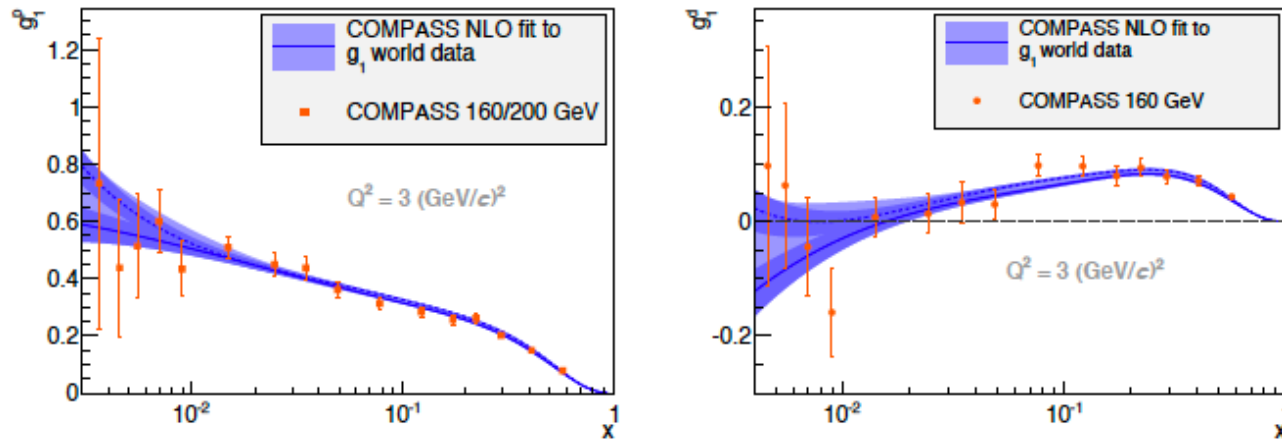
McLerran, Mottola, Shaposhnikov (1990)

$$g_1^{\text{Regge}}(x_B, Q^2) \propto F(x_B) \times \frac{Q_S^2 m_{\eta'}^2}{F_{\eta'}^3 M_N} \exp\left(-4n_f C \frac{Q_S^2}{F_{\eta'}^2}\right)$$

Very rapid quenching of spin diffusion at small  $x_{Bj}$  !

## $g_1$ at small $x_B$ from sphaleron transitions

COMPASS: arXiv:1503.08935  
arXiv: 1612.00620



The key feature of the topological screening picture is its target independence  
 However, as we have argued, the result is sensitive to the density of color sources, which is larger for the deuteron – one anticipates the same behavior for  $g_1^p$  as  $g_1^d$  at even smaller  $x_B$

Other observables: semi-inclusive DIS,  $g_1^\gamma$  DeFlorian, Shore, Veneziano, hep-ph/9711353

Of particular interest is the  $g_2$  structure function – in the naïve parton model, it is zero in the chiral limit. Turning on quark masses introduce non-trivial mixing between the UA(1) and SU(3) flavor sectors – which can be computed  
 Bhattacharya, Hatta, Tarasov, RV, in progress

Thank you for your attention !

# Low energy dynamics of $\eta'$ in QCD

For  $N_f=3$ , dynamical variables of effective theory are massless modes in limit  $N_C \rightarrow \infty$  and  $m \rightarrow 0$

Symmetry group is  $G= U_R(3) \times U_L(3)$

Spontaneous symmetry breaking:  $U_R(3) \times U_L(3) \rightarrow U_V(3)$

The nine parameters of its coset space correspond to the nine pseudoscalar Goldstone bosons – including the prodigal  $\eta' \rightarrow \frac{1}{g_2} \eta_0$

Relative to the “standard” SU(3) framework, where  $\det U(x) = e^{i\eta_0(x)}$  and  $\eta_0$  transforms as

$$\eta'_0 = \eta_0 - i \text{Ln det } V_R + i \text{Ln det } V_L$$

For non-zero quark masses, expansion in # of derivatives, powers of  $m$  and  $1/N_C$

Wess-Zumino-Witten terms for the SU(3) and U(1) sectors correspond to the “un-natural parity” part of the effective Lagrangian

Leutwyler, hep-ph/9601234  
Herrera-Siklody et al, hep-ph/9610549  
Kaiser, Leutwyler, hep-ph/0007101

## Iso-singlet axial vector current and the chiral anomaly

$$S^\mu \Delta\Sigma = \langle P, S | \bar{\psi} \gamma^\mu \gamma_5 \psi | P, S \rangle \equiv \langle P, S | j_5^\mu | P, S \rangle$$

$U_A(1)$  violation from the anomaly:

$$\partial_\mu J_5^\mu = 2n_f \partial_\mu K^\mu + \sum_{i=1}^{n_f} 2im_i \bar{q}_i \gamma_5 q_i$$

where the Chern-Simons current 
$$K_\mu = \frac{g^2}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} \left[ A_a^\nu \left( \partial^\rho A_a^\sigma - \frac{1}{3} g f_{abc} A_b^\rho A_c^\sigma \right) \right]$$

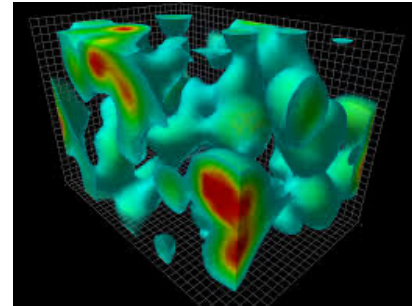
But, identification of CS charge with  $\Delta G$  is intrinsically ambiguous

... *the latter is gauge invariant, the former is not*

Jaffe, Manohar (1990)

$$K_\mu \rightarrow K_\mu + i \frac{g}{8\pi^2} \epsilon_{\mu\nu\alpha\beta} \partial^\nu \left( U^\dagger \partial^\alpha U A^\beta \right) + \frac{1}{24\pi^2} \epsilon_{\mu\nu\alpha\beta} \left[ \underbrace{(U^\dagger \partial^\nu U)(U^\dagger \partial^\alpha U)(U^\dagger \partial^\beta U)} \right]$$

"Large gauge transformation"  
- deep consequence of topology

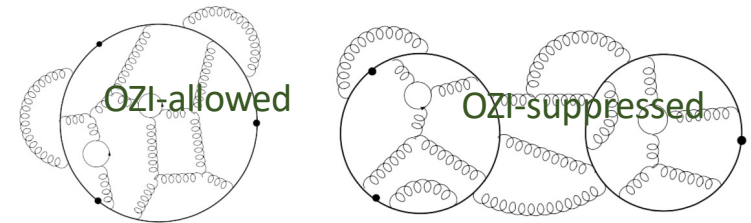


R. Jaffe: identification of  $K^\mu$  with  $\Delta G$   
a source of much confusion  
in the literature (Varenna lectures, 2007)

# Anomaly cancellation and topological screening

$$\Sigma(Q^2) = \sqrt{\frac{2}{3}} \frac{2n_f}{M_N} g_{\eta_0 NN} \sqrt{\chi'(0)}.$$

Magnitude of of OZI violation  $\frac{a^0(Q^2)}{a^8} \simeq \frac{\sqrt{6}}{f_\pi} \sqrt{\chi'(0)}$



Computations on the lattice...

Bali et al., arXiv:2106.05398

$$G_A|_{\text{model}} = 0.33 \pm 0.05$$

Sum rule analysis in good agreement  
with HERMES and COMPASS data

(Narison, Shore, Veneziano (1998))

HERMES ( $Q^2=5 \text{ GeV}^2$ )	$0.330 \pm 0.011(th) \pm 0.025(exp) \pm 0.028(evol)$
COMPASS ( $Q^2=3 \text{ GeV}^2$ )	$0.35 \pm 0.03(stat) \pm 0.05(syst)$

## Axion-like effective action

*As suggested by Shore and Veneziano, and following from our discussion as well,*

$$S_{\bar{\eta}} = \int d^4x \left[ \frac{1}{2} (\partial_\mu \bar{\eta}) (\partial^\mu \bar{\eta}) + \left( \theta - \frac{\sqrt{2n_f}}{F_{\bar{\eta}}} \bar{\eta} \right) \Omega + \frac{\chi_{YM}}{2} \theta^2 \right]$$

*Since  $\theta$  is not dynamical, can get rid of it from the equations of motion,*

$$S_{\bar{\eta}} = \int d^4x \left[ \frac{1}{2} (\partial_\mu \bar{\eta}) (\partial^\mu \bar{\eta}) - \frac{\sqrt{2n_f}}{F_{\bar{\eta}}} \bar{\eta} \Omega - \frac{\Omega^2}{2\chi_{YM}} \right] \quad \text{Axion-like effective action for } \bar{\eta}$$

*Defining  $\eta' = \frac{F_{\eta'}}{F_{\bar{\eta}}} \bar{\eta}$ , and  $G = \Omega + \frac{\sqrt{2n_f}}{F_{\eta'}} \chi_{YM} \eta'$ ,*

$$S_{\eta'} = \int d^4x \left[ -\frac{1}{2} \eta' (\partial^2 + m_{\eta'}^2) \eta' - \frac{G^2}{2\chi_{YM}} \right] \quad \text{Re-express in terms of the } \eta' \text{ and a non-propagating glueball that decouples from the physical spectrum}$$

Shore,Veneziano (1990); Hatsuda (1990)  
Dvali,Jackiw,Pi (1995)

*In the instanton framework,  $\chi_{YM}$  is saturated by such classical configurations*

t'Hooft (1976); Schafer-Shuryak (1996)

*Several Spin discussions by multiple groups in this framework:*

Forte, Shuryak (1990); Qian, Zahed (2016); ...