

# FPF White Paper: Neutrino Section Event Generators



## Forward Physics Facility Whitepaper: Neutrino Section Draft

### VII. Neutrino Physics

A. Neutrino Fluxes

B. Neutrino Cross Sections

C. MC Tools for Neutrino Interactions

D. BSM with Neutrinos

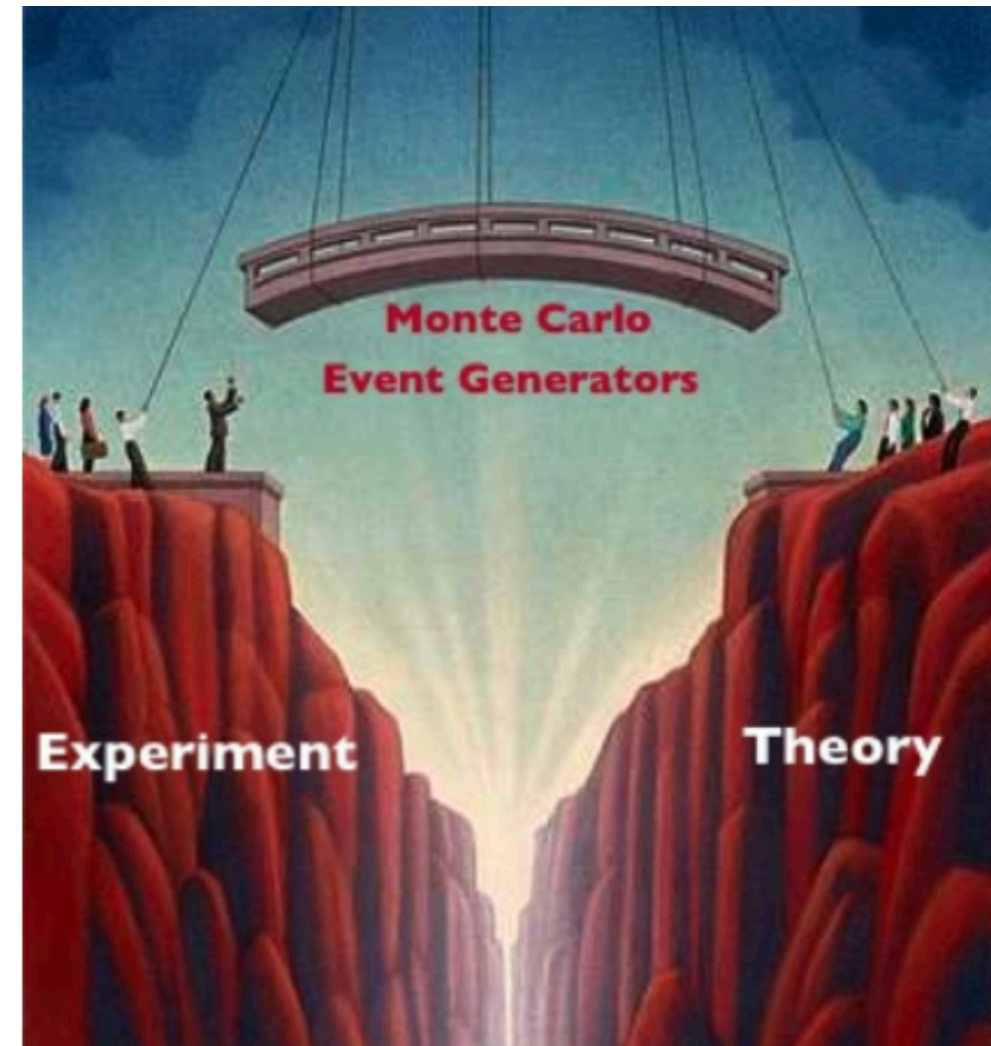
Mary Hall Reno

Vishvas Pandey

Kevin J. Kelly

# Monte Carlo Event Generators

- MC event generators play key role in experiments: bridge between theory and experiments
- FPF experiments need Neutrino Monte-Carlo generators to predict:
  - neutrino interaction cross section, all final-state particles and their energies
  - for all the current and proposed target material in the detector (tungsten, argon,...)
  - for all three SM neutrino flavors
  - for a broad spectrum of FPF neutrino energies
- Uncertainties associated with the neutrino interactions need to be well controlled for precision neutrino and BSM measurements



# Neutrino Monte Carlo Event Generators

## Widely used Neutrino MC Event Generators:

3rd Forward Physics Facility Meeting

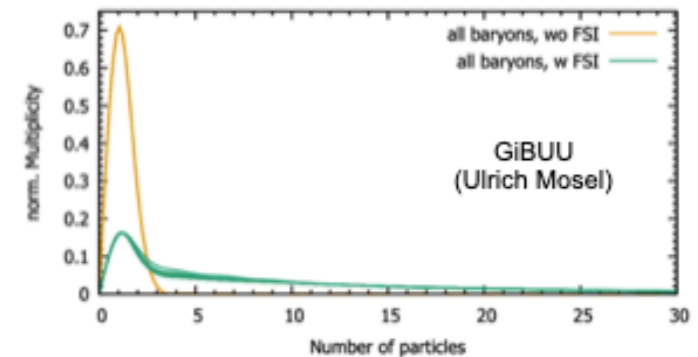
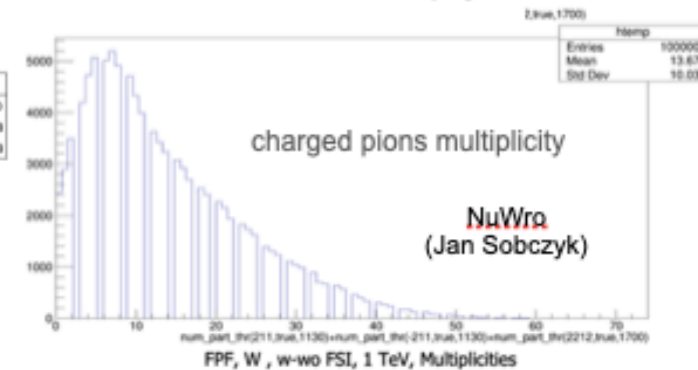
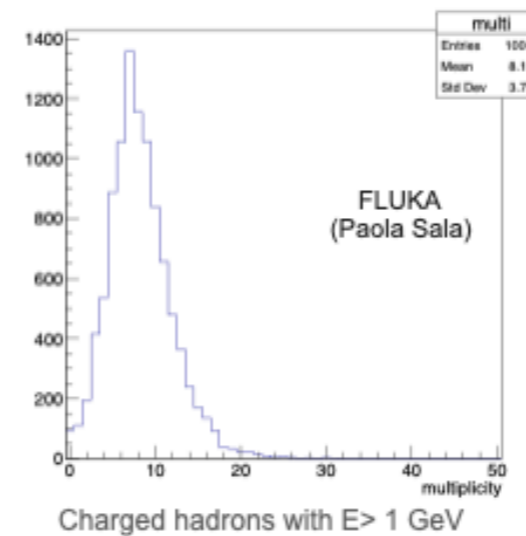
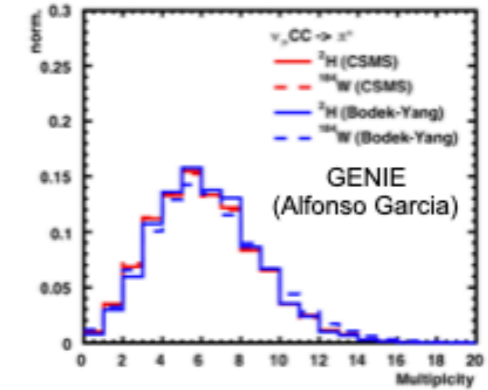
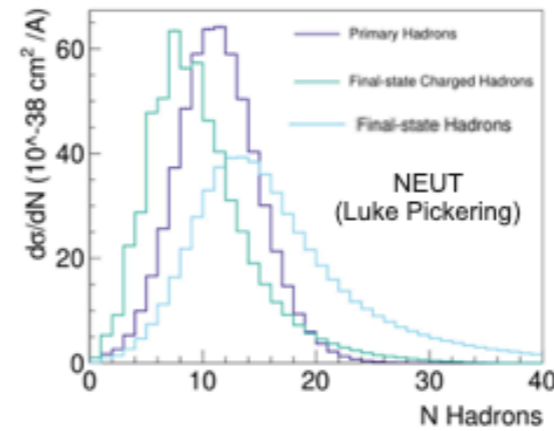
Oct 25 – 26, 2021

Europe/Zurich timezone

### Neutrino MC Event Generator Session:

<https://indico.cern.ch/event/1076733/contributions/4569418/>

- **FLUKA:** Paola Sala
- **GENIE:** Alfonso Garcia Soto
- **GiBUU:** Ulrich Mosel
- **NEUT:** Luke Pickering
- **NuWro:** Jan Sobczyk



### Dedicated Section in the White Paper

### This talk gives a brief summary of these contributions:

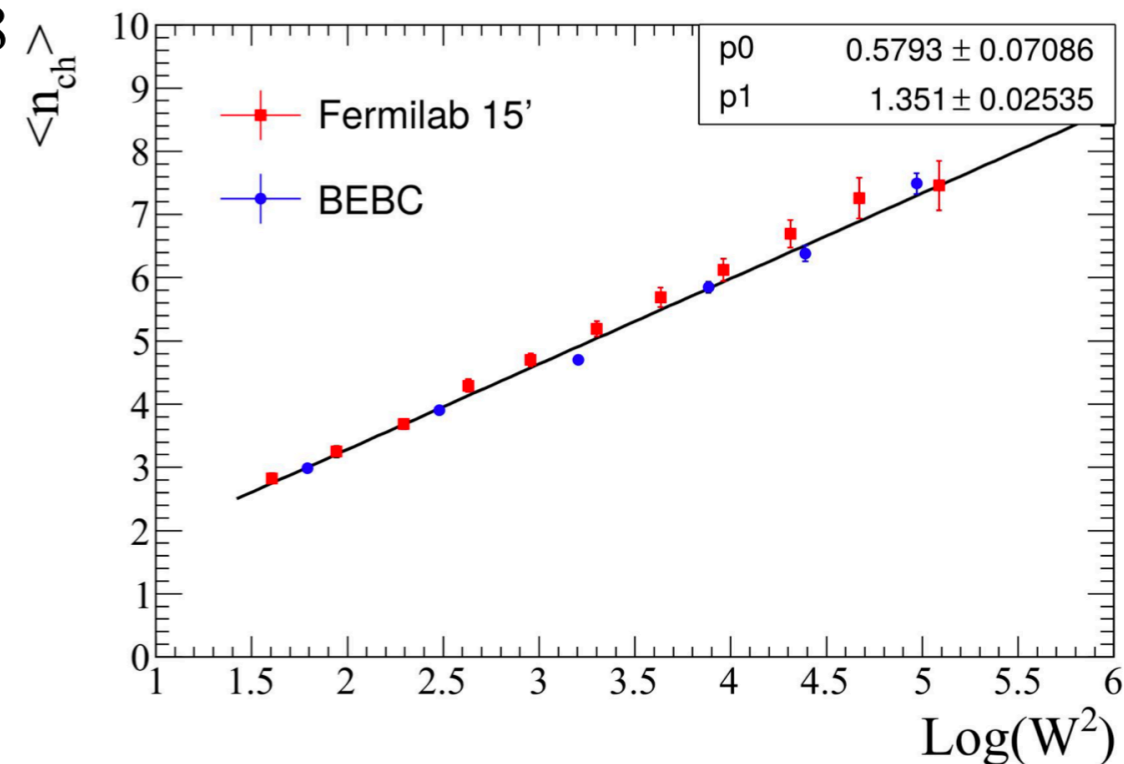
- Underlying key DIS models
- Treatment of FSI effects
- Leading hadron multiplicity and energy distributions

### Many thanks to generator authors for their contributions to the 3rd FPF meeting and to this white paper!

- Originally written in the 1980s to predict atmospheric neutrino backgrounds for Nucleon Decay Experiments at KamiokaNDE.
- Development focussed on “few GeV” neutrinos for use in T2K and SK long baseline neutrino oscillation measurements.

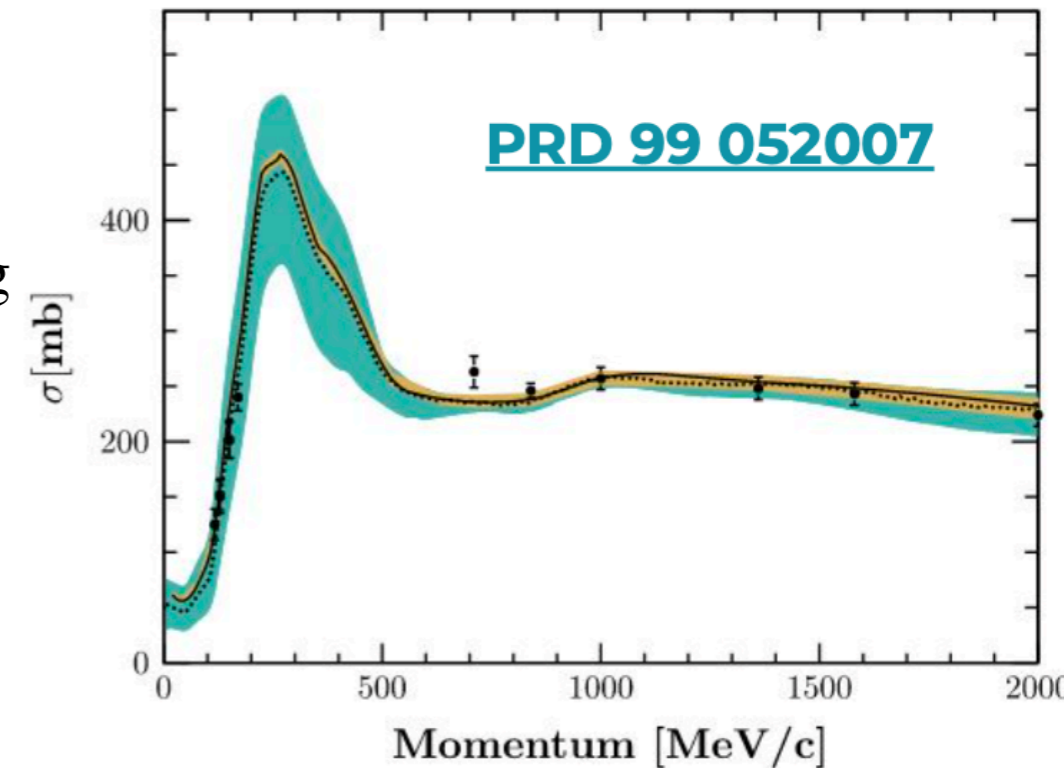
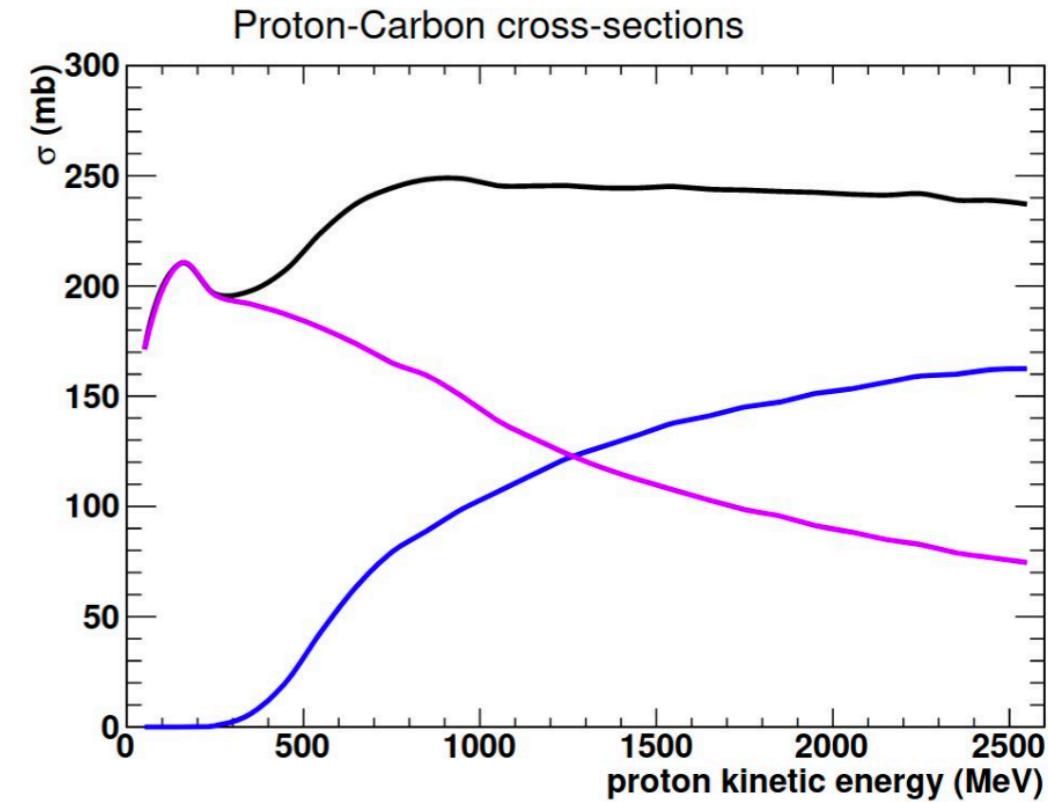
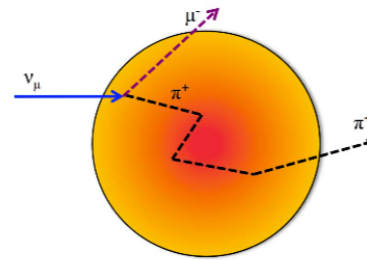
### DIS Model

- Inclusive cross-section from Bodek-Yang-modified GRV98 PDF set
- Fragmentation uses PYTHIA v5.72 included in CERNLIB 2005
- Multiplicity at low energy and  $W$  tuned to bubble-chamber data from FNAL and BEBC  
No explicit tuning for higher  $W$



### FSI Model

- Semi-classical cascade
  - Hadrons are individually and independently stepped through nuclear volume
  - Interaction probability per 0.2 fm step parameterised by Local Fermi Gas model (charge/nucleon density, nucleon momentum distribution)
- Channels Implemented:
  - Nucleons, pions, kaons, etas, omega
  - Pion model tuned to data: 0.5–2 GeV/c
  - Nucleon cross-sections use Bertini model for  $E_N < 3$  GeV
- Includes “formation zone” effects where primary hadrons are stepped away from production point before experiencing cascade

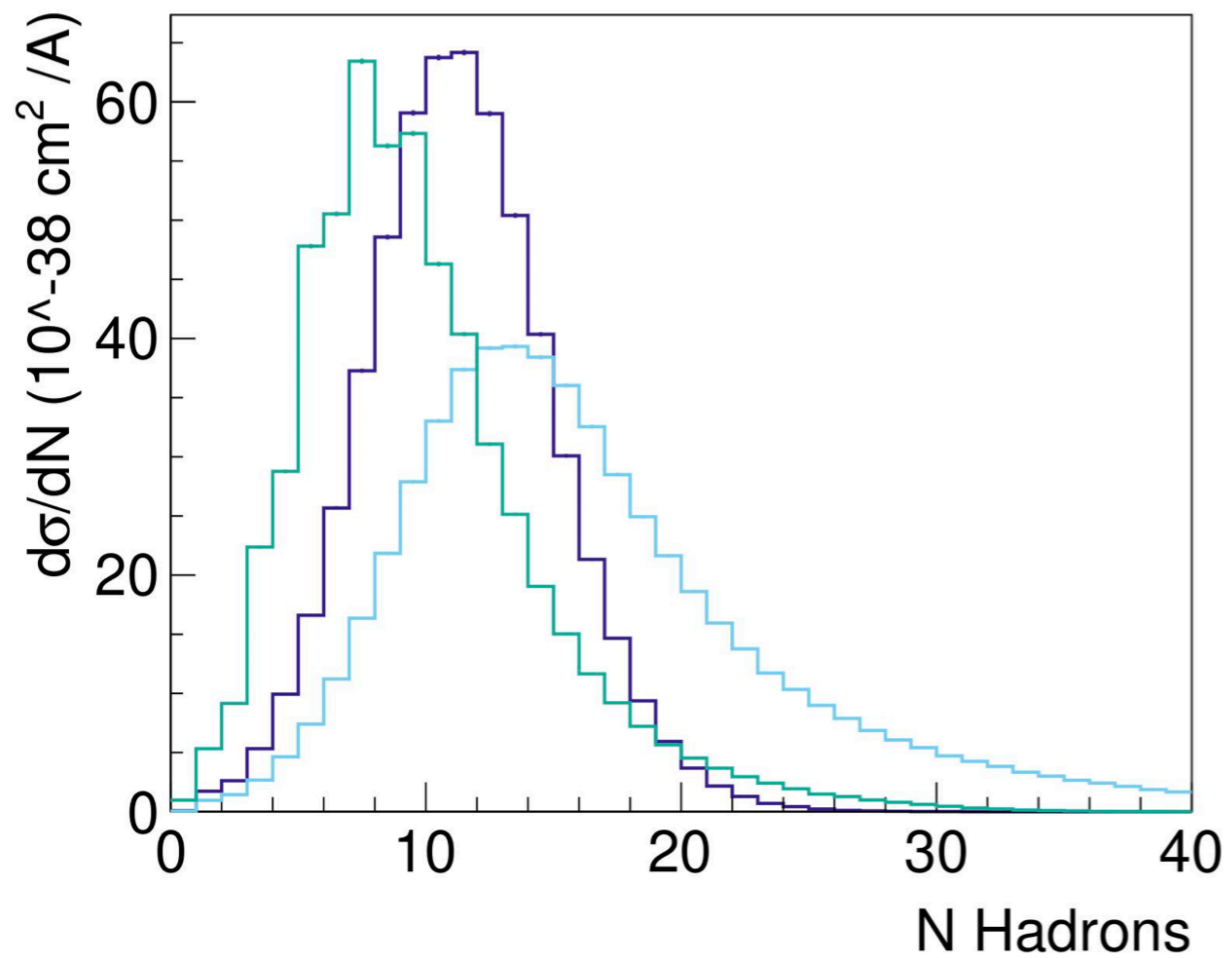


(a) Reactive

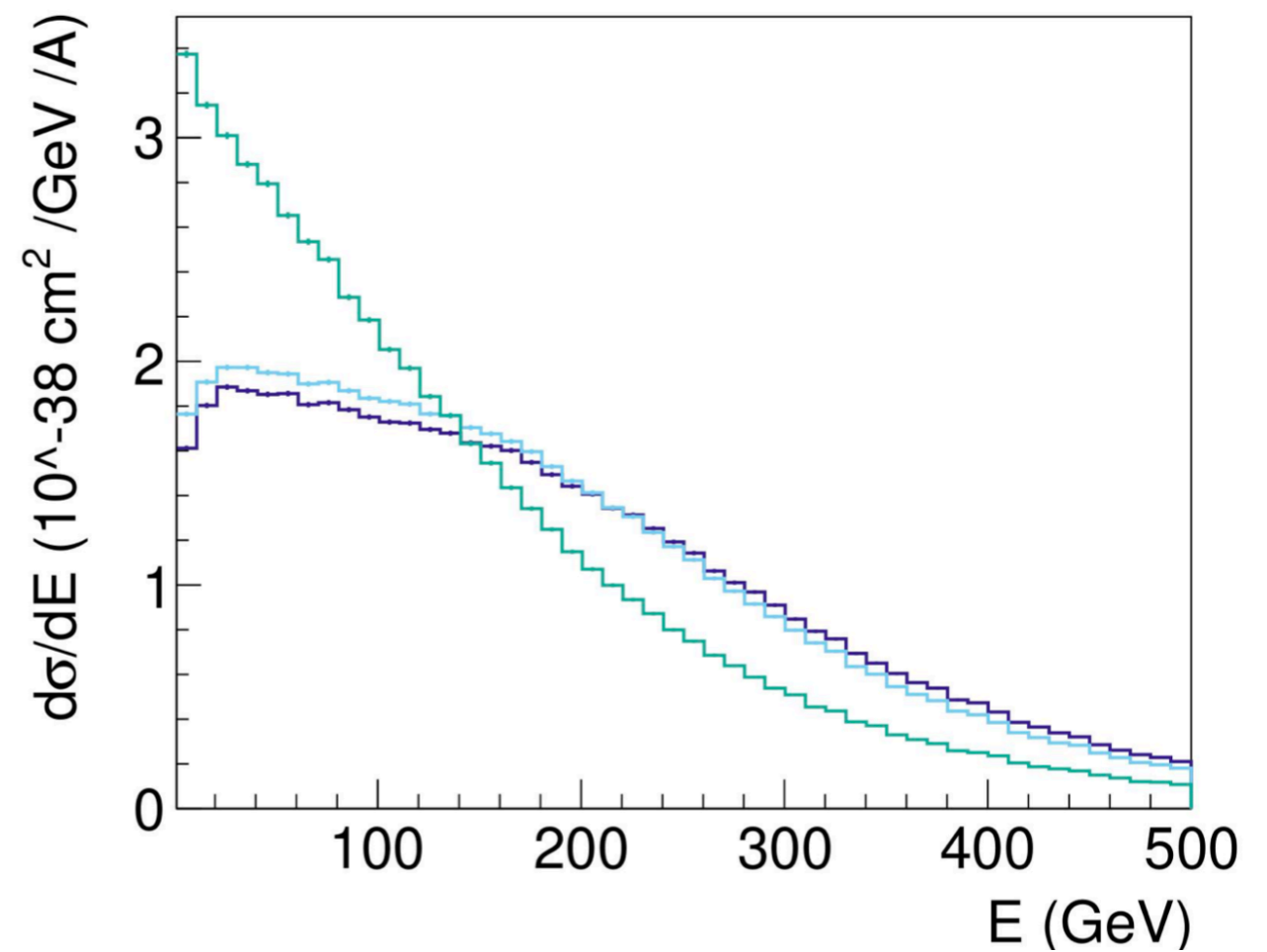
## 1 TeV $\nu_\mu$ CC Interactions on W target

- Multiplicity

— Primary Hadrons      — Final-state Hadrons  
— Final-state Charged Hadrons



- Leading Hadron Energy





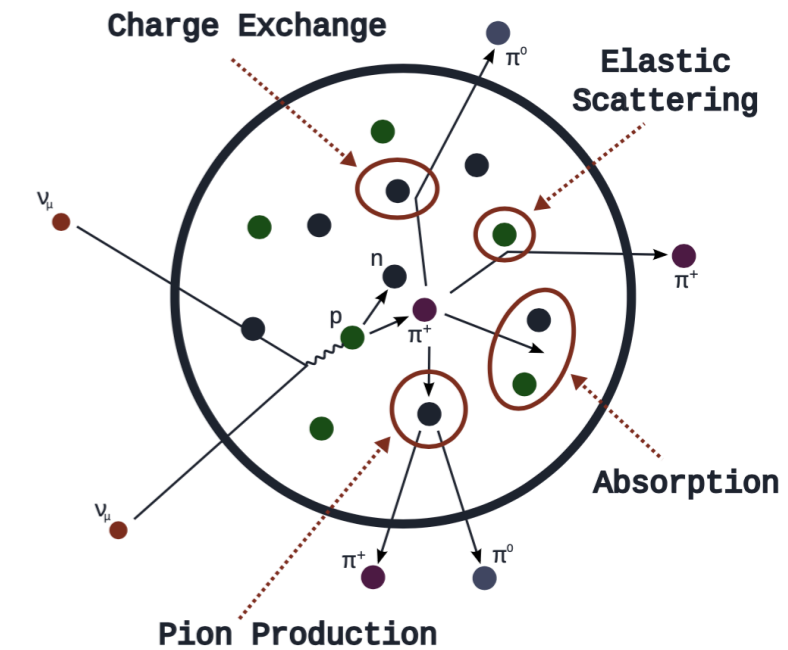
- Beginning ~ 2005 at Wroclaw University, Poland
- Optimized for ~ 1 GeV neutrinos
- Can handle all kind of targets, neutrino fluxes
- Equipped with detector interface
- Used for numerous comparisons and studies by T2K, MINERvA, MicroBooNE experiments

### **DIS Model**

- $W > 1.6$  GeV
- Inclusive cross sections from Bodek-Yang model
- Hadronization with PYTHIA6 fragmentation functions
- No shadowing, anti-shadowing, EMC nuclear effects
- Some PYTHIA6 parameters adjusted to get better agreement with charged hadron multiplicities data.

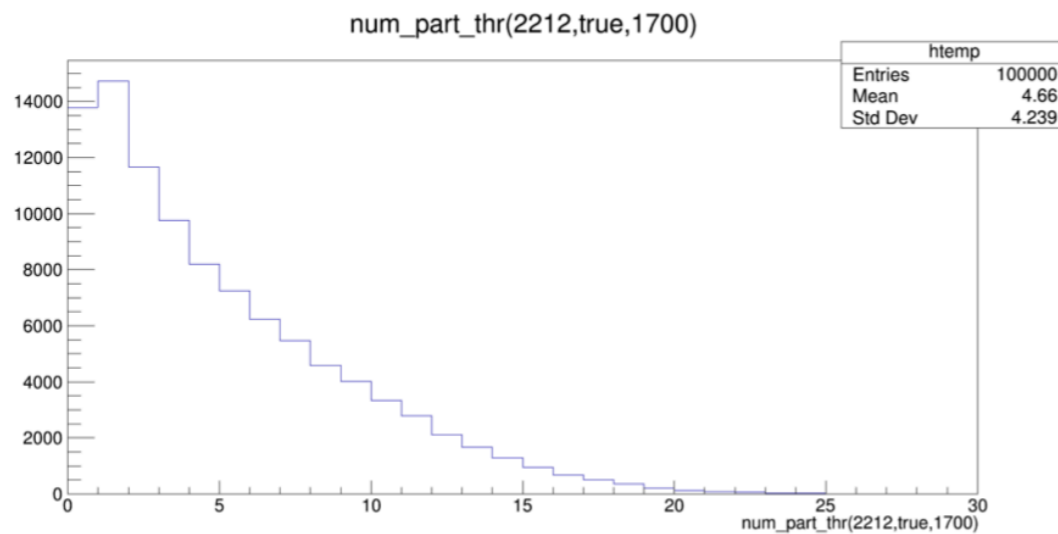
### FSI Model

- Intranuclear cascade:
  - Propagates particles through the nuclear medium
  - Semi-classical: includes Pauli blocking, nucleon-nucleon correlation
  - Implemented for nucleons, pions and hyperons
- Formation zone can be switched on and off - it is a major effect.
  - Formation zone (as implemented in NuWro) makes multiplicities much lower ( $\sim$  order of magnitude)

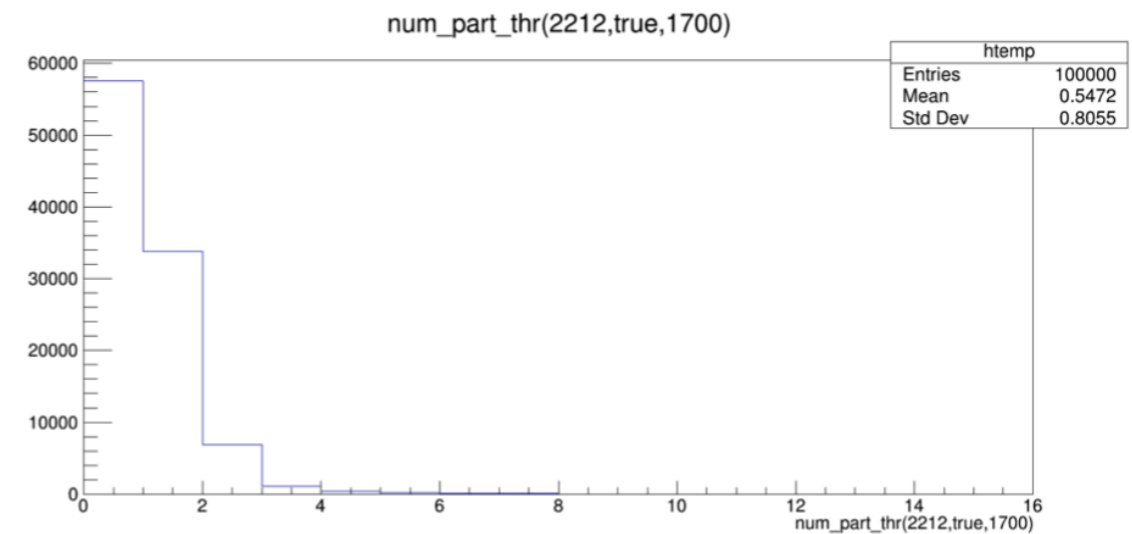


from T. Golan

Multiplicities of protons with kinetic energy above 1 GeV.



Without formation zone.



With formation zone.

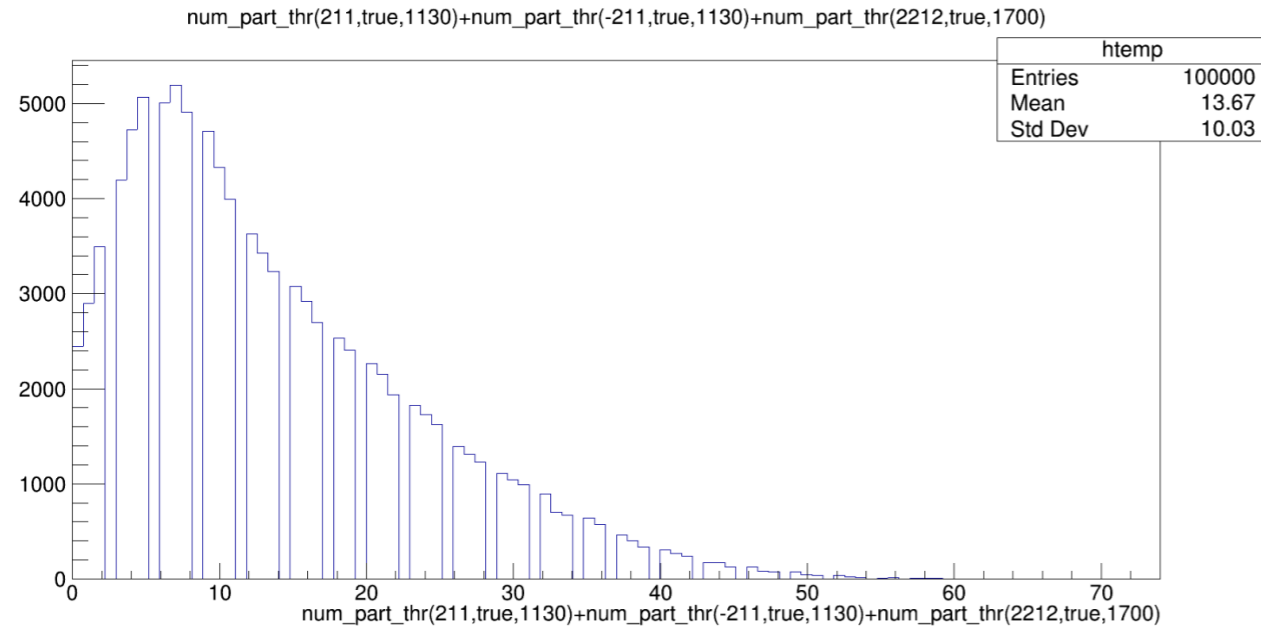
Multiplicity of final state protons is a very good measure of formation zone effects.



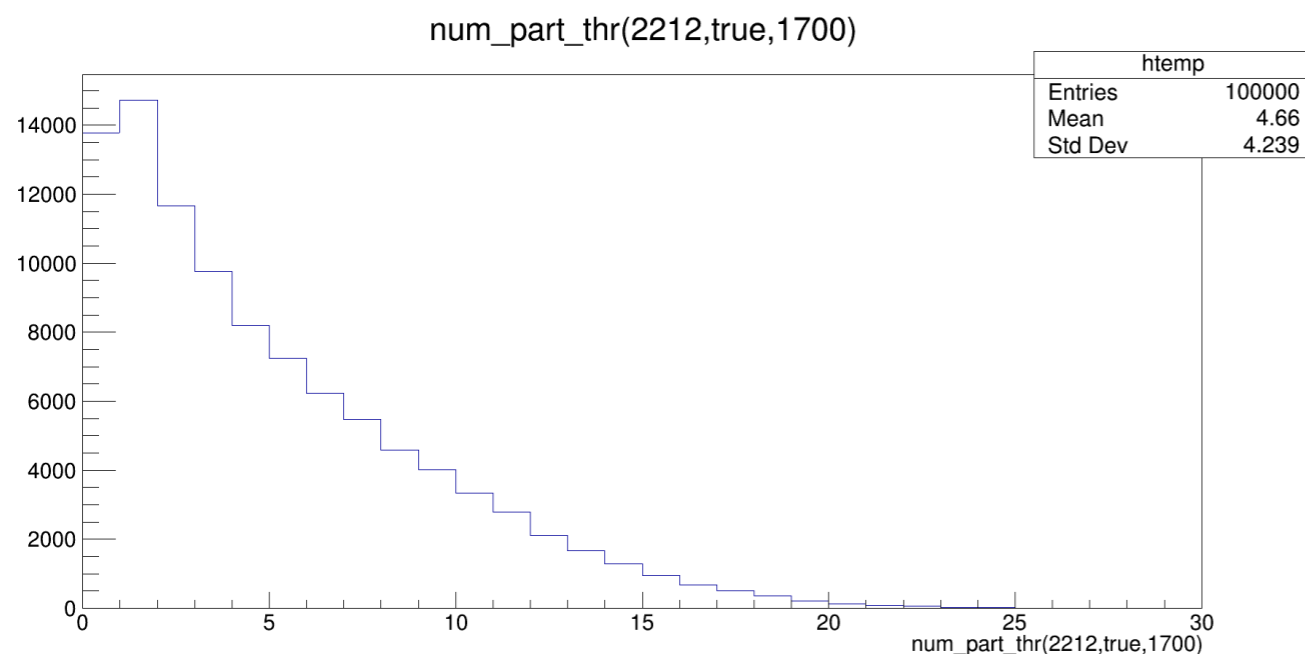
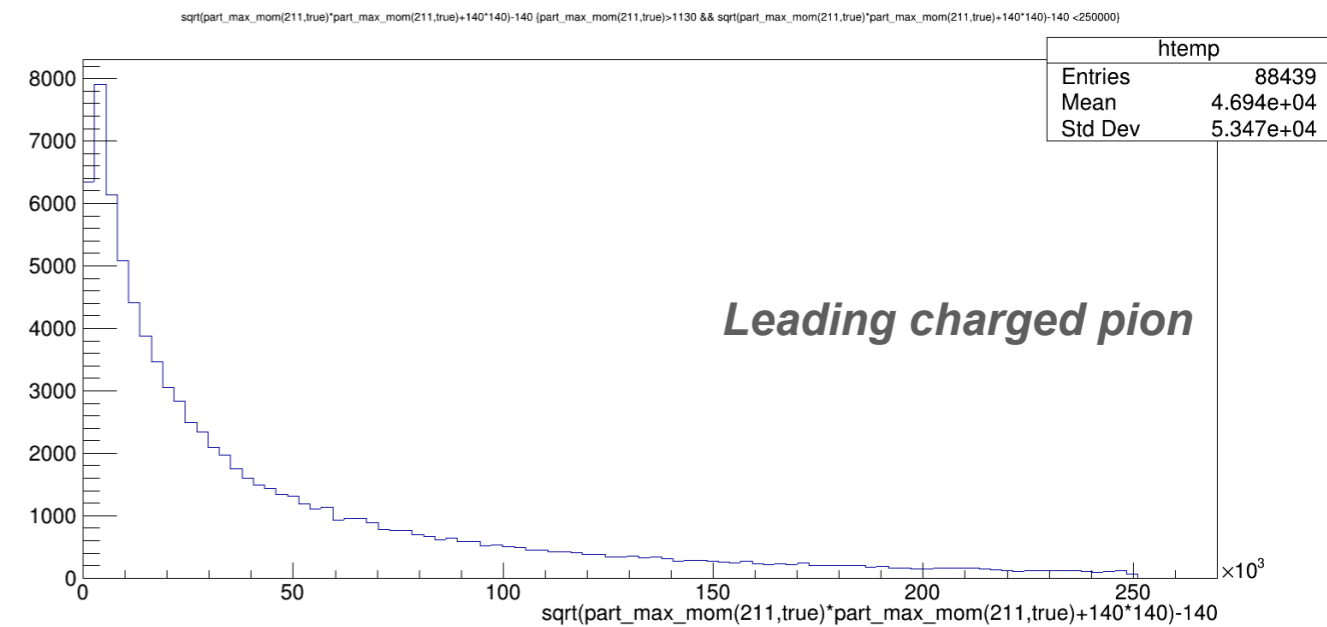
### 1 TeV $\nu_\mu$ CC Interactions on W target

- Multiplicity

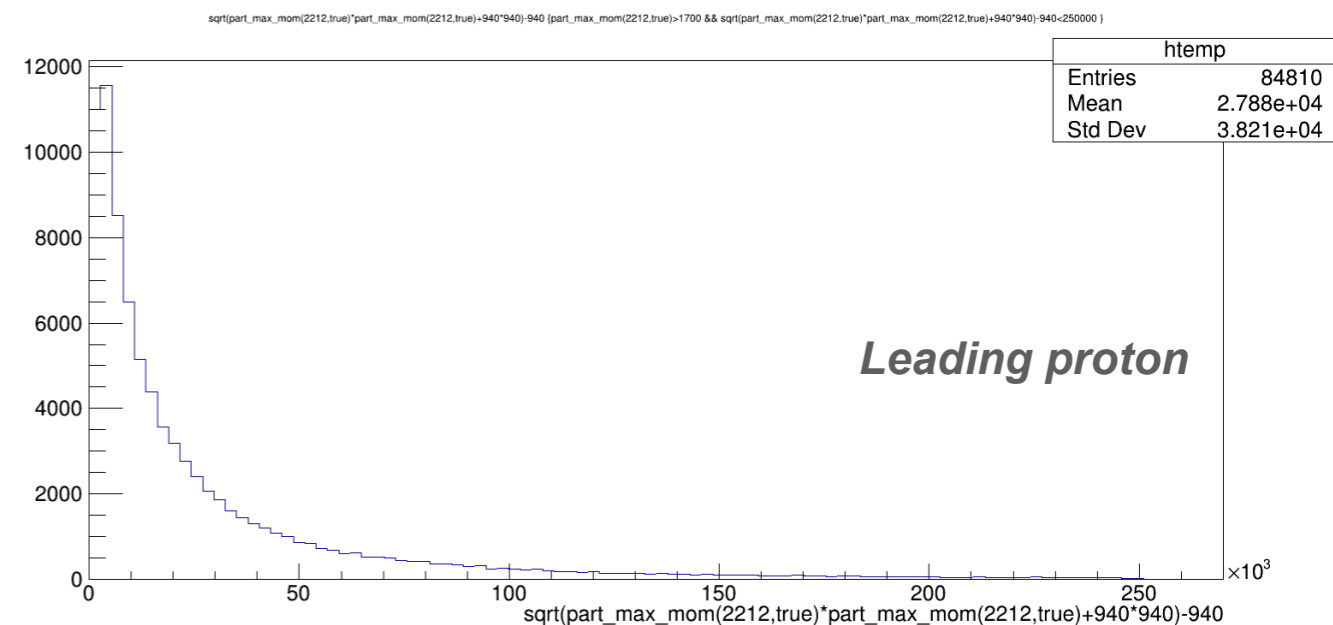
- Leading Hadron Energy



Charged pions multiplicity

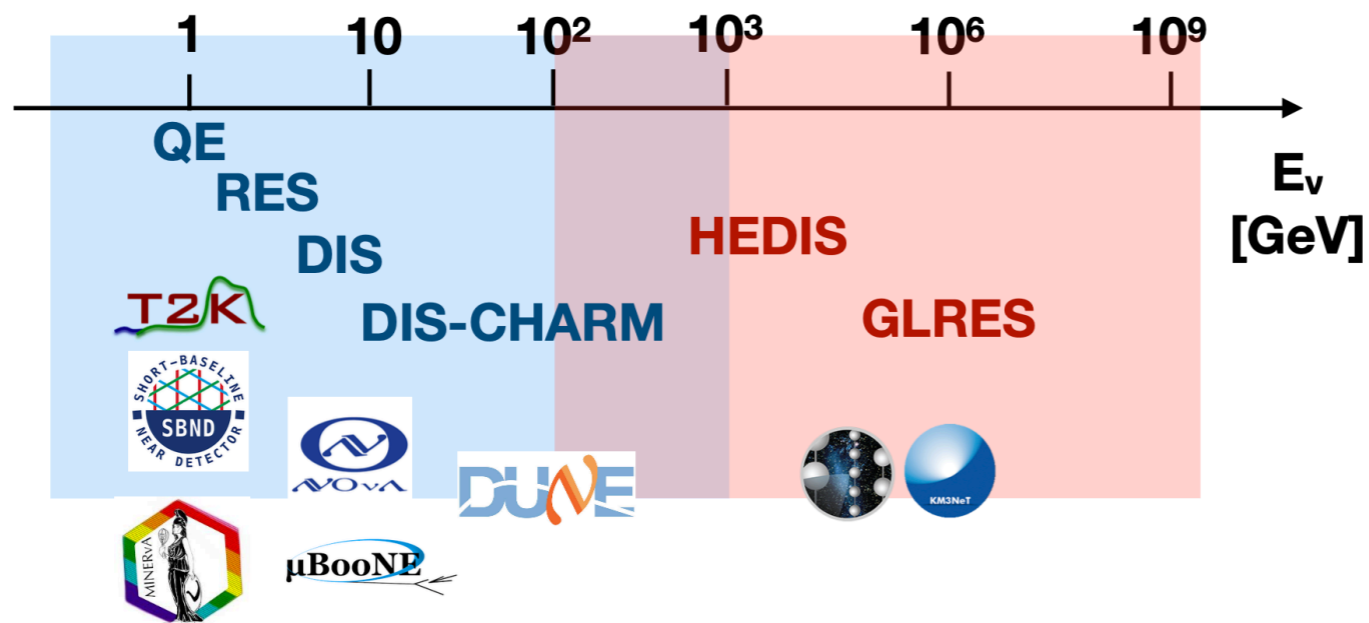


Proton (>1 GeV) multiplicity

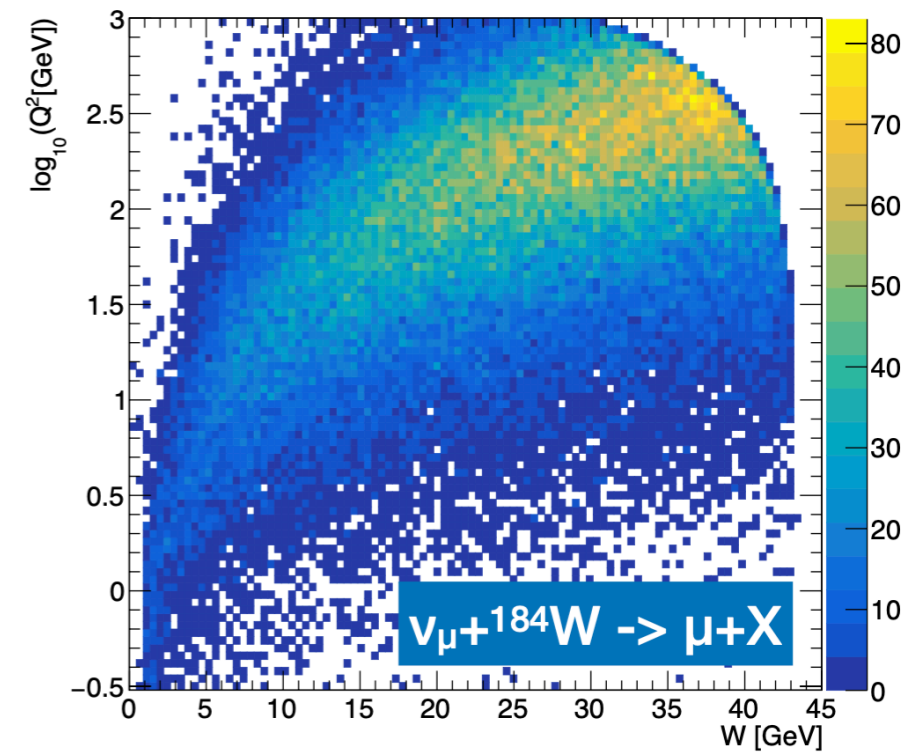
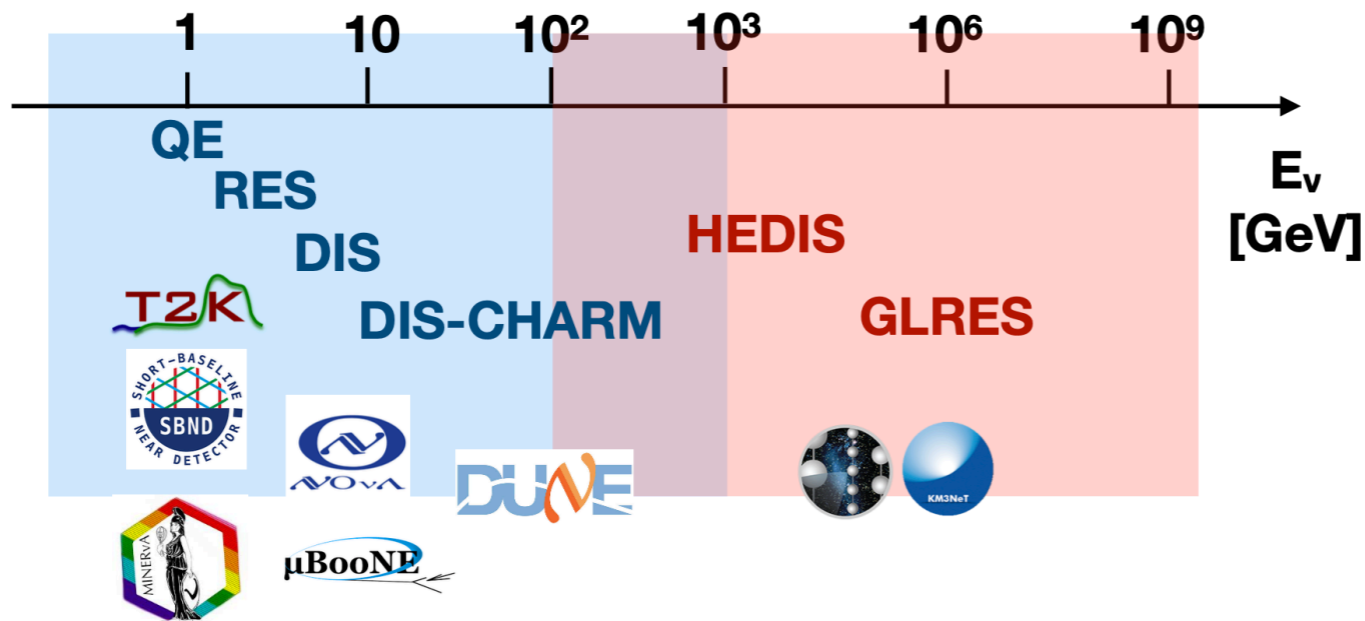




- Widely used by long baseline experiments:
  - Tunes -> different models can be implemented.
  - Reweight -> propagate model uncertainties.
  - Relevant in the few GeV regime.
- New community -> neutrino telescopes
  - Mainly focused in the TeV-PeV range.
  - Different requirements wrt LBE.

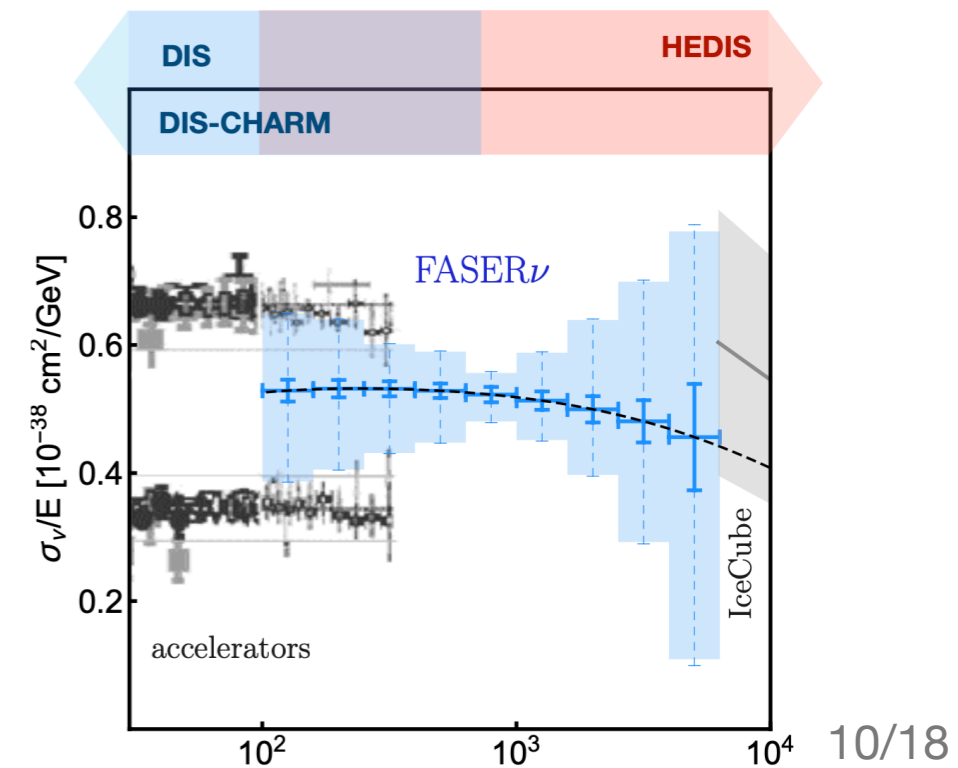


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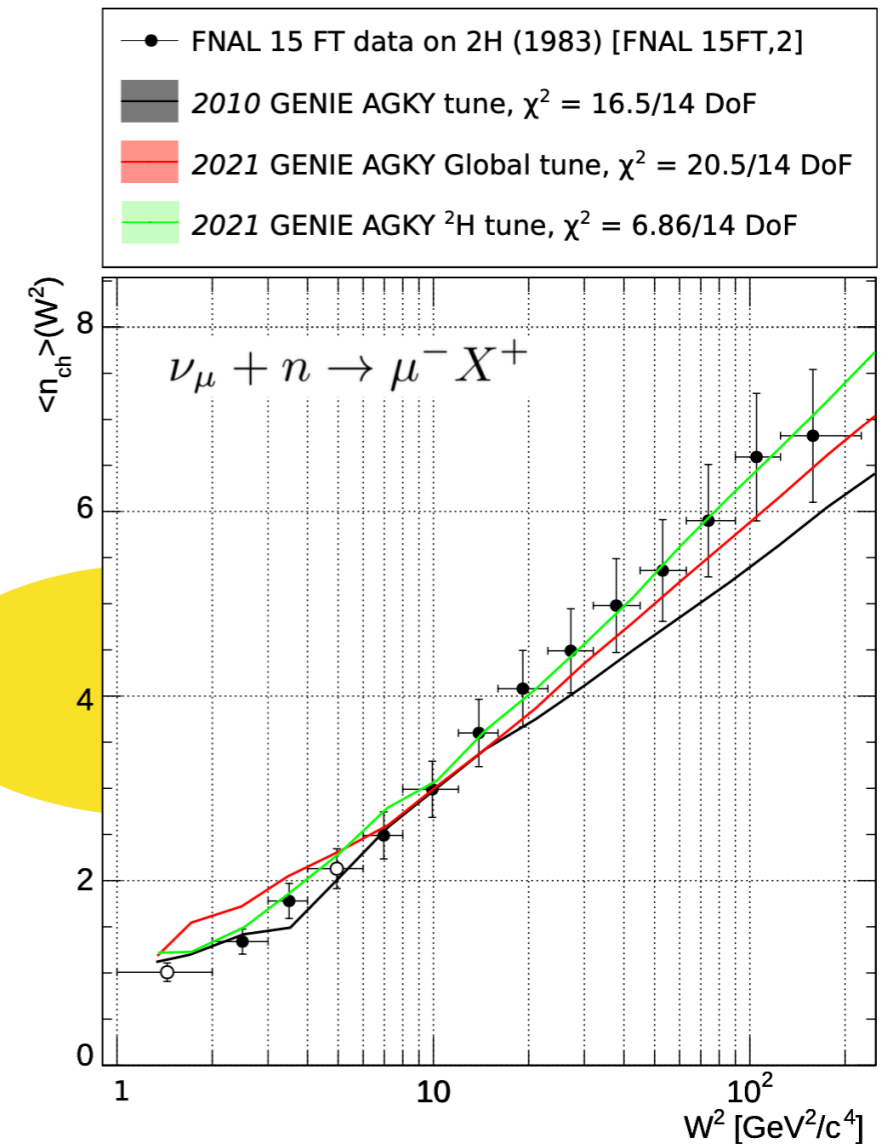
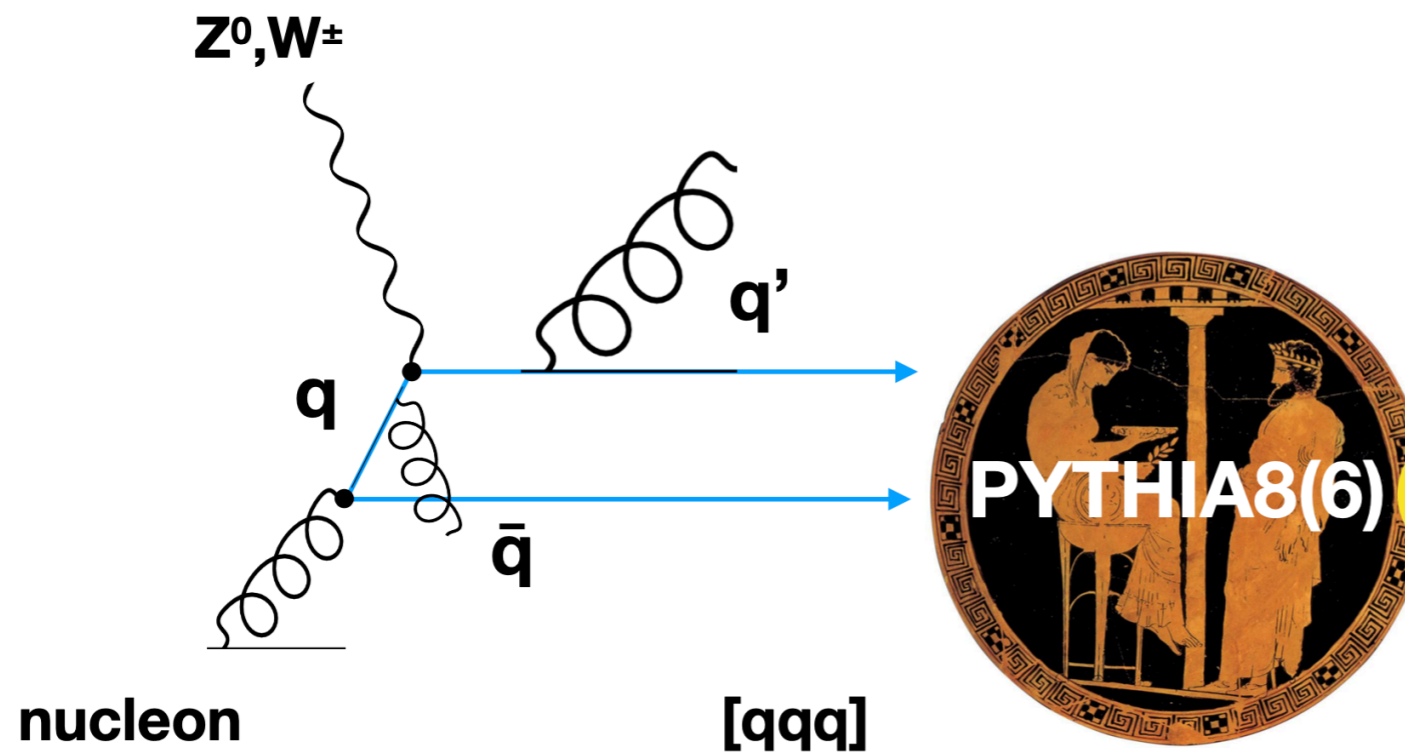
### Cross Section Models

	Model	Structure Function ( $C_{ij} \otimes \text{PDF}$ )
<b>DIS</b>	<a href="#">A. Bodek et al. (2005)</a>	LO $\otimes$ LO (GRV98 $Q_0^2=0.8$ )
<b>DIS-CHARM</b>	<a href="#">M. Aivazis et al. (1994)</a>	LO $\otimes$ LO (GRV98 $Q_0^2=0.8$ )
<b>HEDIS</b>	<a href="#">A. Cooper et al. (2011)</a> <a href="#">V. Bertone et al. (2018)</a> <a href="#">A. Garcia et al. (2020)</a>	NLO $\otimes$ NLO (HERAPDFNLO $Q_0^2=1$ ) NLO $\otimes$ NLO (NNPDF31LHCb $Q_0^2=2.69$ ) NLO $\otimes$ NLO (NNPDF31LHCb $Q_0^2=2.69$ )



### Hadronization

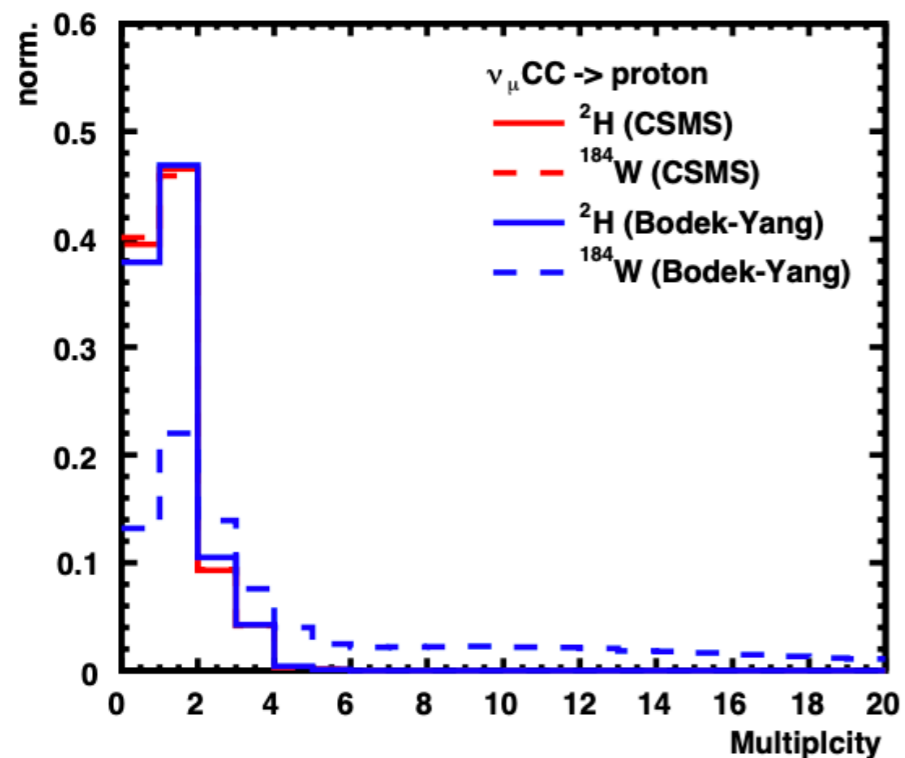
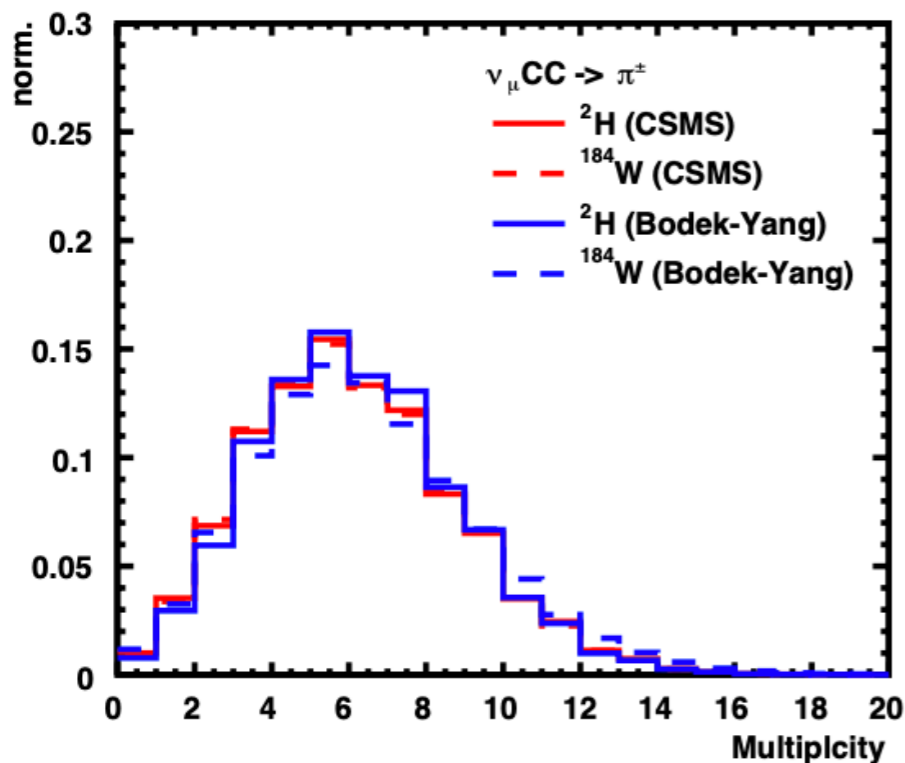
- Hit and remnant quarks (partonic level) input to PYTHIA for  $W > 3\text{GeV}$ .
  - Thorough camping to understand hadronization at low  $W$ .



- Several aspects are being currently study:
  - Effect of nucleon and nuclear PDFs.
  - Heavy quark contribution in the Structure Functions.
  - Parton showers using  $>\text{LO}$  formalism.

### 1 TeV $\nu_\mu$ CC Interactions on W target

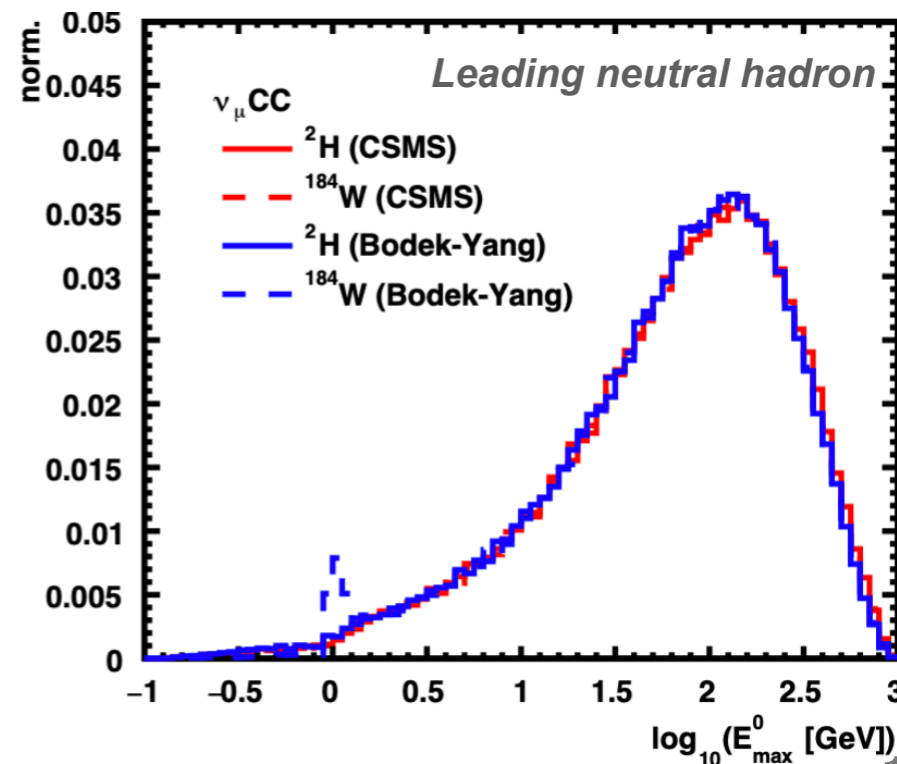
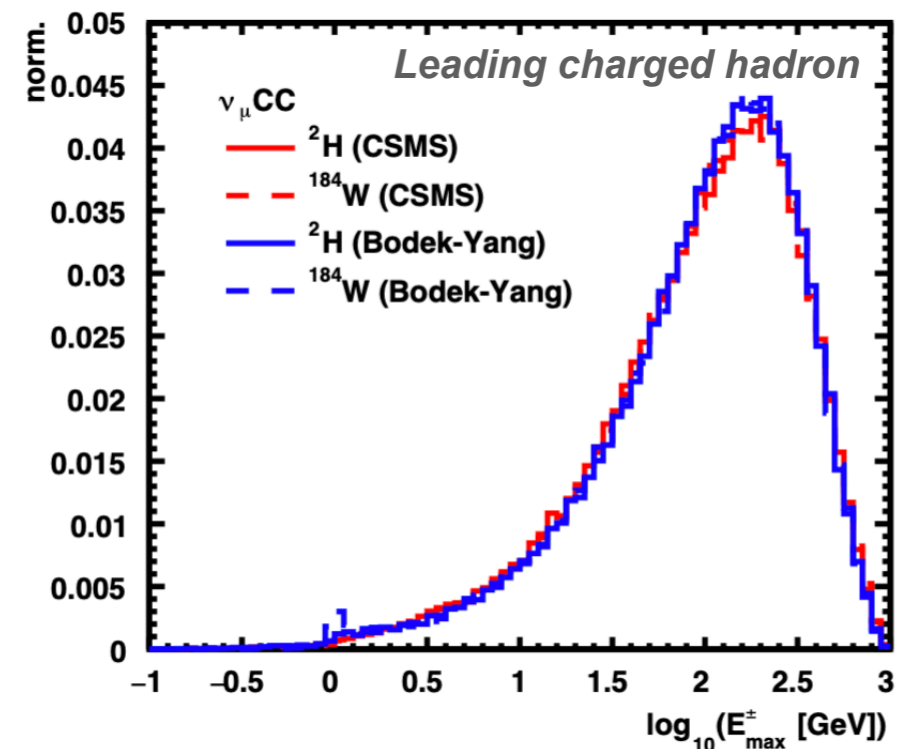
- Multiplicity**



- Bodek-Yang: Default model used by long baseline experiments  
Includes FSI

- CSMS: Default model used by neutrino telescopes

- Leading Hadron Energy**

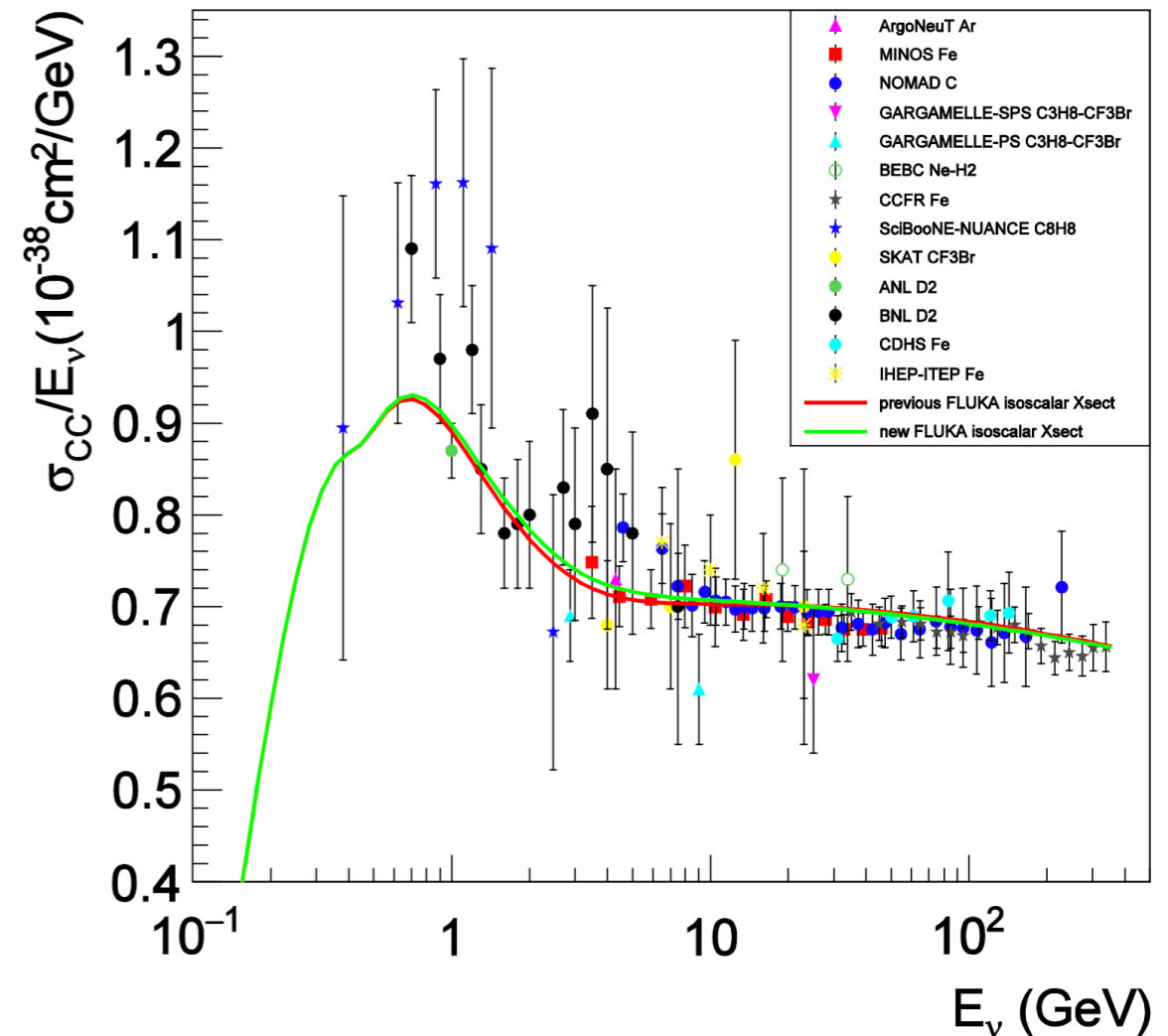


- Generators of neutrino-nucleon interactions: QE, RES, DIS
- Embedded in FLUKA nuclear models for Initial State and Final State effects
- Products of the neutrino interactions can be directly transported in the detector (or other) materials
- Used for all ICARUS simulations/publications

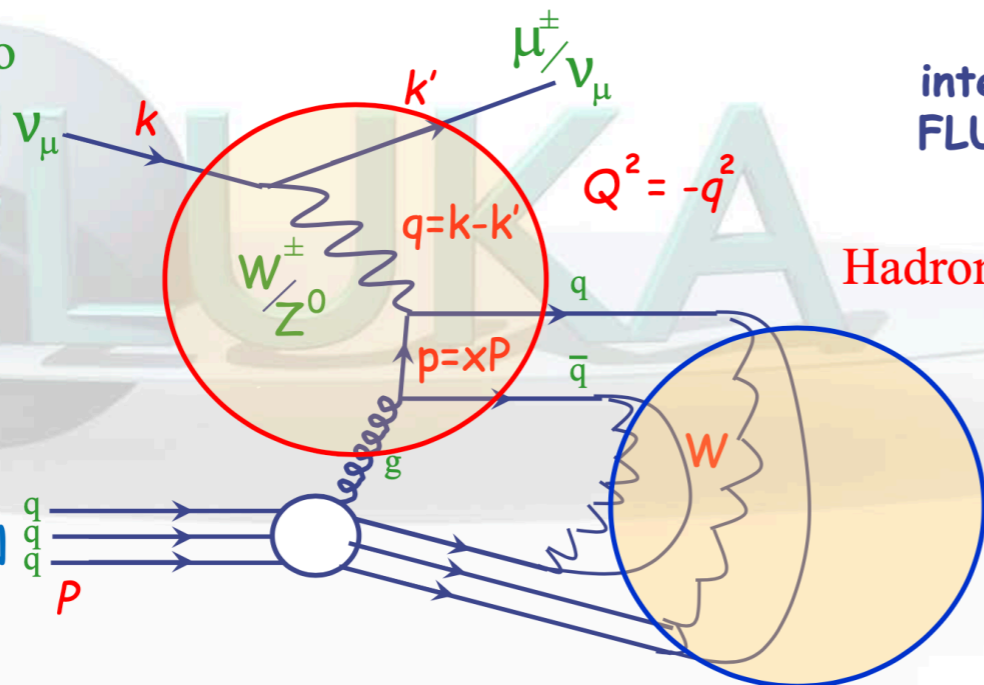
### DIS Model

### (NUNDIS)

FLUKA hadronization and nuclear interactions work well independently of primary interaction vertex



Incident neutrino



As for hadronic interactions in FLUKA  
FLUKA-specific model

Hadronization

**Hadronization**

- Assumes chain universality
- Fragmentation functions from hard processes and  $e+e^-$  scattering
- Transverse momentum from uncertainty considerations
- Mass effects at low energies ( change fragmentation function to account for the need to create real hadrons)
- Chains generated at very low energy  $\rightarrow$  create single/few resonances
- Chains generated at low energy  $\rightarrow$  “phase space explosion” constrained in  $p_T$  , including baryons, mesons, resonances.

**a special FSI : Formation zone**

Naively: “materialization” time (originally proposed by Stodolski).  
Qualitative estimate:

In the frame where  $p_{||} = 0$

$$\bar{t} = \Delta t \approx \frac{\hbar}{E_T} = \frac{\hbar}{\sqrt{p_T^2 + M^2}}$$

Particle proper time

$$\tau = \frac{M}{E_T} \bar{t} = \frac{\hbar M}{p_T^2 + M^2}$$

Going to the nucleus system

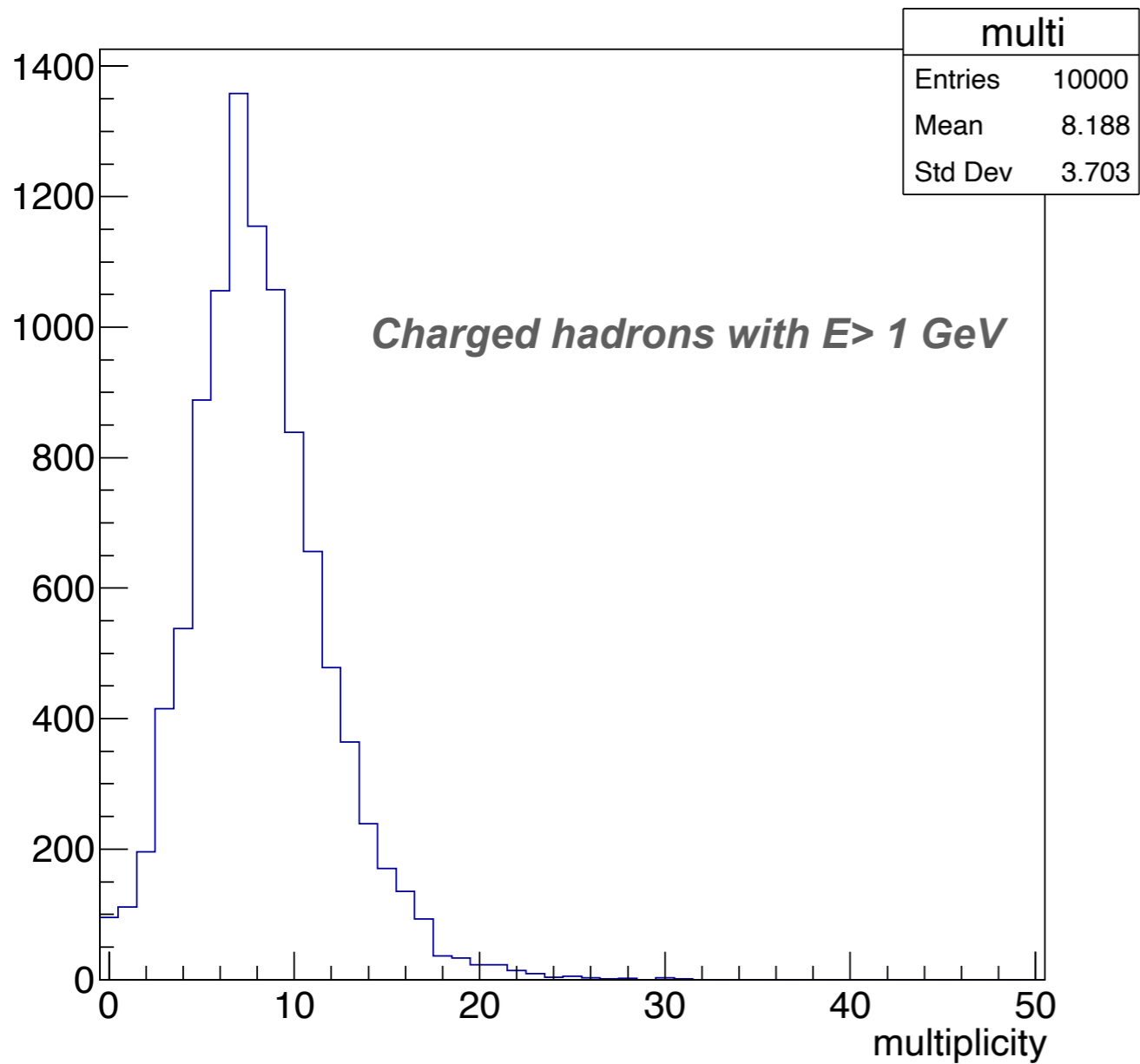
$$\Delta x_{for} \equiv \beta c \cdot t_{lab} \approx \frac{p_{lab}}{E_T} \bar{t} \approx \frac{p_{lab}}{M} \tau = k_{for} \frac{\hbar p_{lab}}{p_T^2 + M^2}$$

Condition for possible reinteraction inside a nucleus:

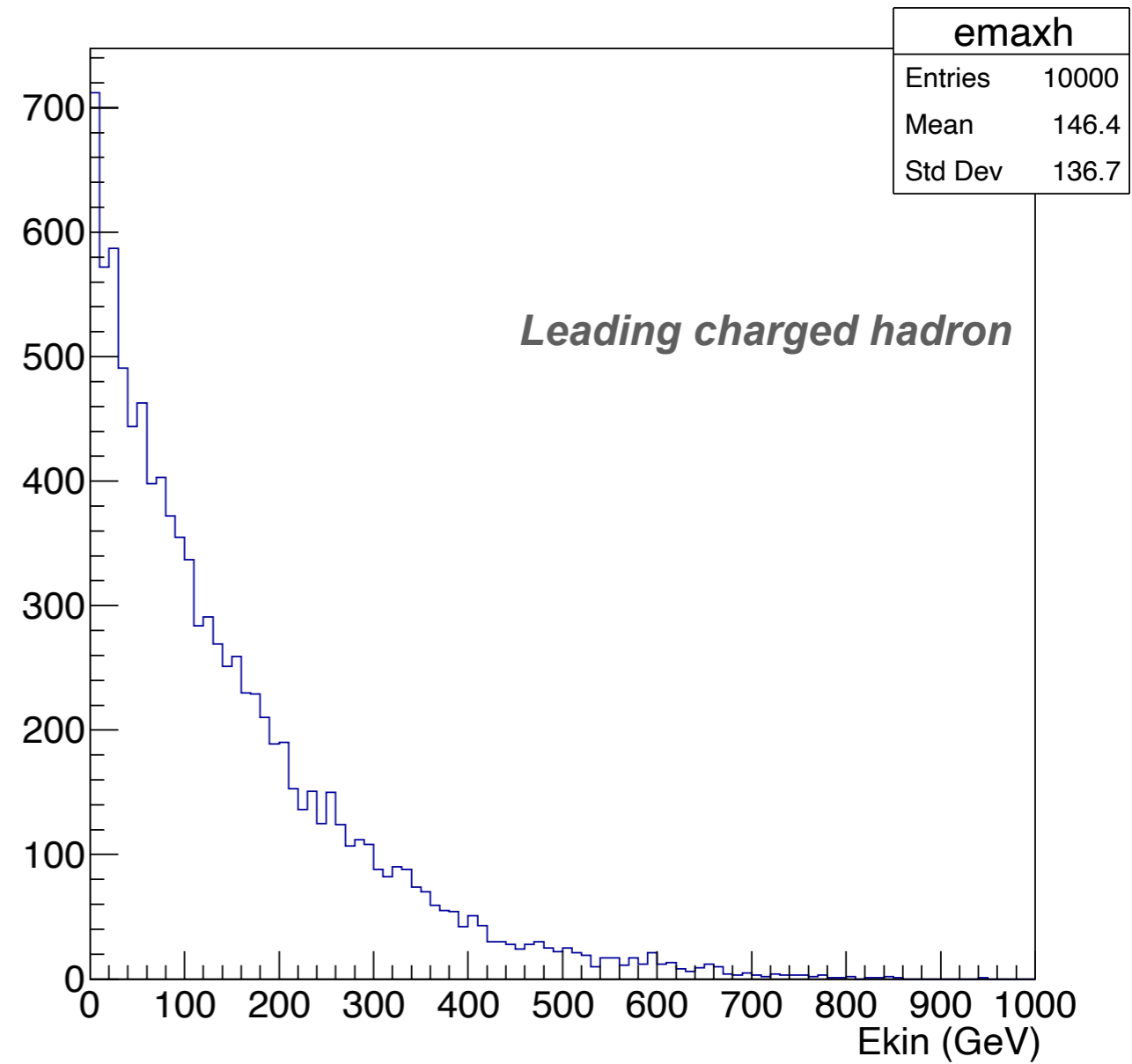
$$\Delta x_{for} \leq R_A \approx r_0 A^{\frac{1}{3}}$$

**1 TeV  $\nu_\mu$  CC Interactions on W target**

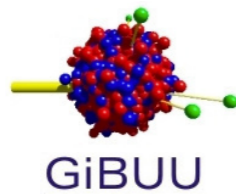
• **Multiplicity**



• **Leading Hadron Energy**



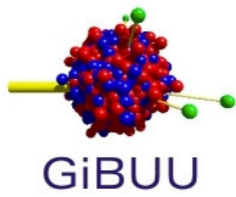




- Quantum-Kinetic Theory and Event Generator based on a BM solution of Kadanoff-Baym equations, allows for off - shell propagation
- GiBUU propagates phase-space distributions, not particles

## Ingredients

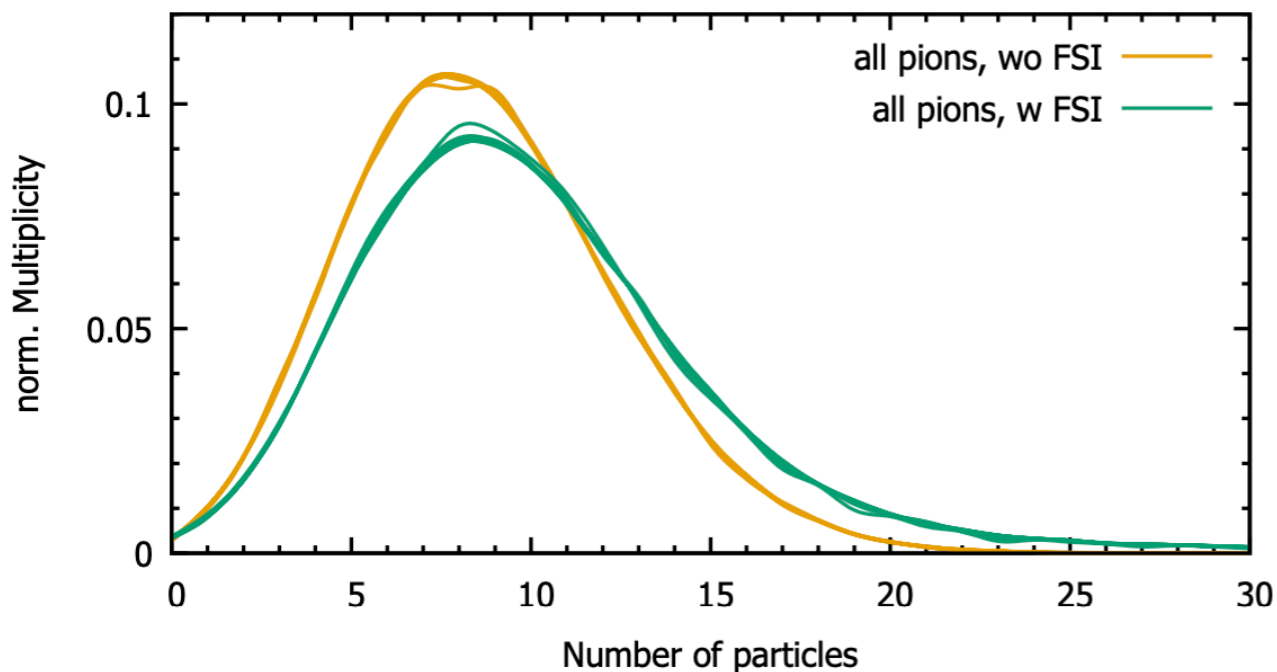
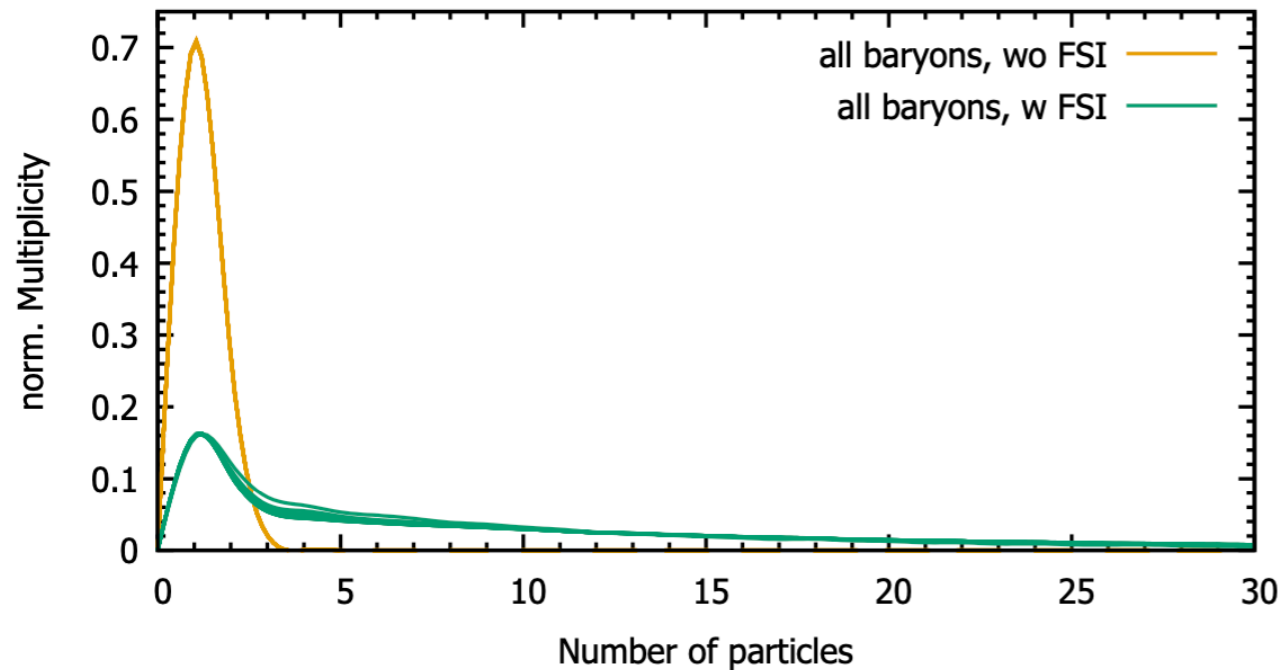
- **Initial State Interactions**
  - Treats all ISI processes: QE, RES, 2p2h, DIS (switch to DIS = PYTHIA at  $W \sim 3$  GeV)
  - Contains large number of  $N^*$  resonances and mesons, up to charm
  - Contains modelling of color transparency ( $Q^2 > 14$  GeV<sup>2</sup> for N-N collisions)
- **Final State Interactions**
  - Fully relativistic, relativistically correct collision criteria for FSI
- **Widely tested on**
  - heavy-ion reactions ( $< 20$  AGeV),  $p + A$ ,  $\pi + A$
  - (e, A) reactions (JLAB:  $E < 6$  GeV, HERMES: 28 GeV, EMC: 230 GeV)
  - ( $\nu$ , A) reactions (MiniBooNE, T2K, MINERvA)



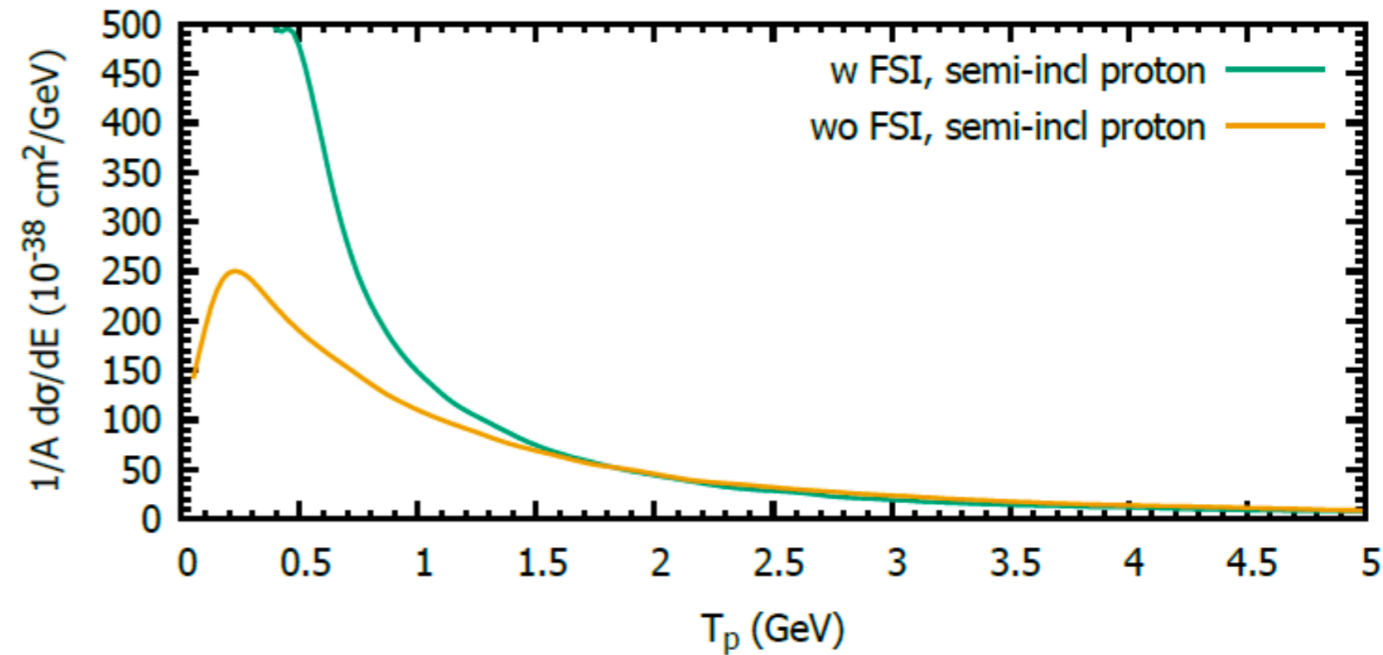
### 1 TeV $\nu_\mu$ CC Interactions on W target

- FSI Effects on Multiplicity**

FPF, W, w-wo FSI, 1 TeV, Multiplicities



- FSI Effects in Energy Spectra**



- FSI sets in below 1.5 GeV kinetic energy

- Consequence of “Avalanche Effect” where initial nucleons collide with others on the way out of the target.

# Summary and Outlook

- ◆ MC event generators play key role in experiments
- ◆ Participation of widely used neutrino Monte-Carlo generators is vital to the success of the FPF
- ◆ More details coming in the White Paper, includes comparison between different generator predictions
- ◆ Many thanks to generator authors for their contributions!

- **FLUKA:** Paola Sala
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**NEUT**

