

The atmospheric neutrino flux and prompt neutrinos

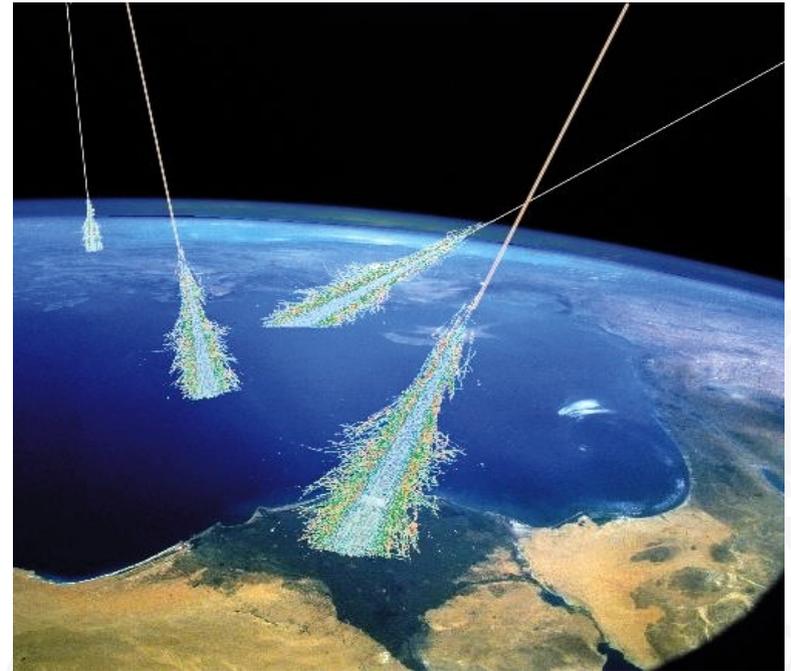
Rikard Enberg
Uppsala University

4th Forward Physics Facility Meeting
Feb 1, 2022



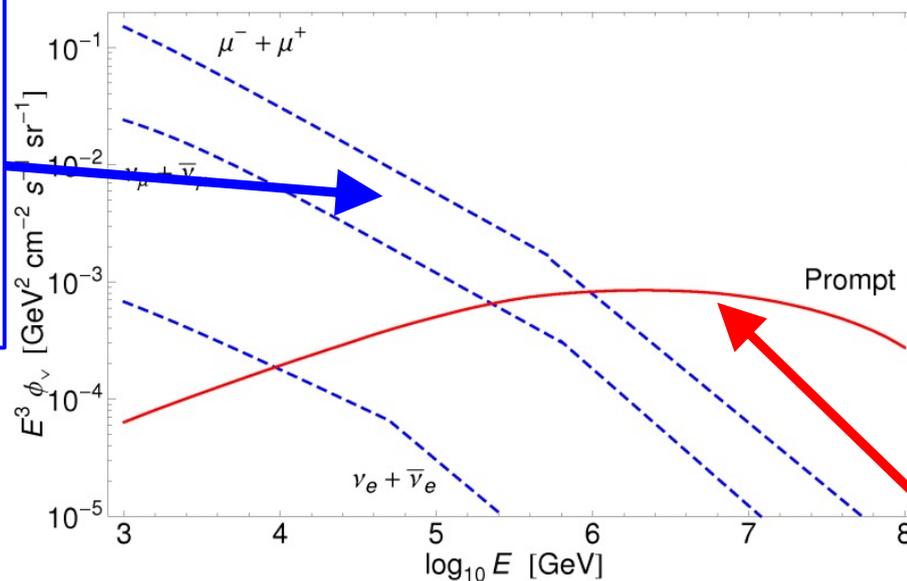
Atmospheric neutrinos

- Cosmic rays hit upper atmosphere, collide with air
- Very large energy \rightarrow hadron production: pions, kaons, charm
- Semileptonic decays \Rightarrow neutrino flux



Astropic of the day, 060814

Pions: long-lived
 \Rightarrow lose energy
 \Rightarrow **conventional flux**



Charm: short-lived
 \Rightarrow don't lose energy
 \Rightarrow **prompt flux**

Conventional neutrino flux

- Pions (and kaons) are produced in more or less every inelastic collision
- π^+ always decay to neutrinos: $BR(\pi^+ \rightarrow \mu^+ \nu_\mu) = 99.98 \%$
- *But π^\pm, K^\pm are long-lived* ($c\tau \sim 8$ meters for π^+)
 - ⇒ lose energy through collisions before decay
 - ⇒ neutrino energies are degraded
- This is called the *conventional neutrino flux*

Prompt neutrino flux

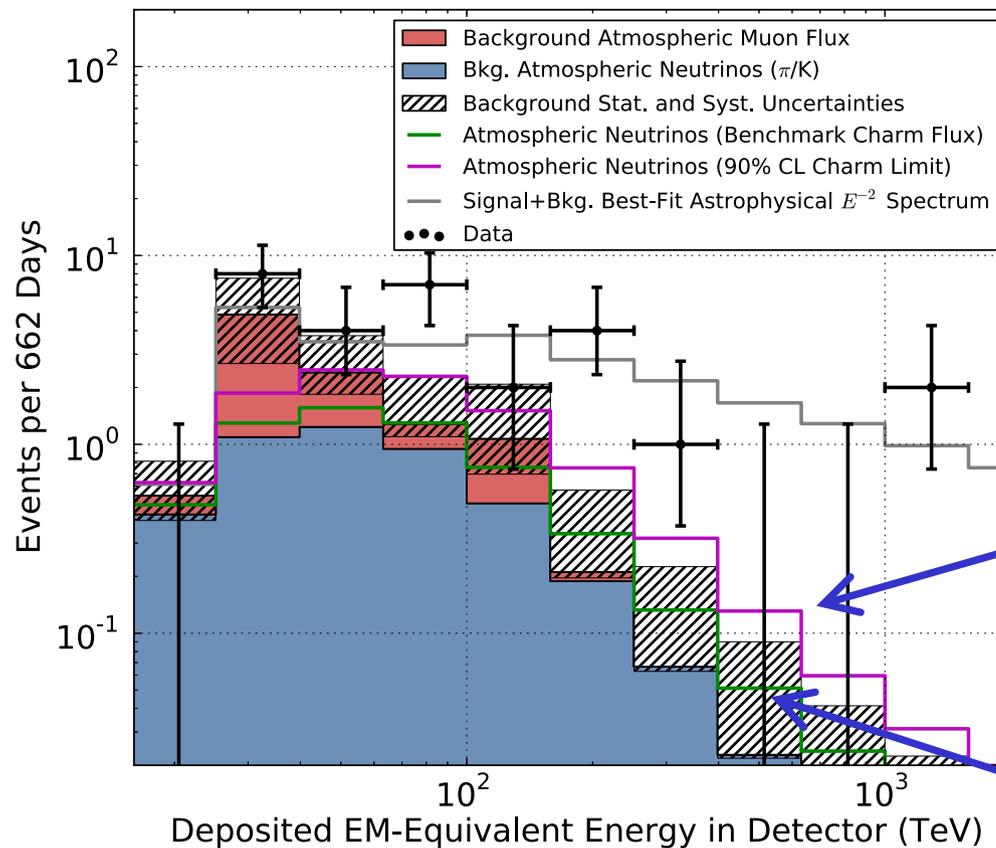
- Hadrons containing **heavy quarks** (*charm or bottom*) are **extremely short-lived**:
 - ⇒ decay before losing energy
 - ⇒ harder neutrino energy spectrum
- However, production cross-section is much smaller
- There is a cross-over energy above which prompt neutrinos dominate over the conventional flux
- This is called the ***prompt neutrino flux***

Why are we interested?

- Atmospheric neutrinos are a large background to cosmic neutrinos at very high energies
- Thus need to understand atmospheric neutrinos in order to study astrophysical sources
- Learn about atmospheric cascades and the underlying production mechanism
- Higher energy pp collisions than in LHC: can maybe even learn something about QCD?

IceCube discovery of cosmic neutrinos from 2013

Significance was sensitive to the prompt flux prediction



Prompt flux (limit)

Prompt flux (calc)

IceCube, arXiv:1311.5238

Important message

QCD is crucial for prompt neutrinos:

- **Small Bjorken-x** (Need very small x)
- **Forward** region (Hard to measure at colliders)
- **Fragmentation** of quarks \rightarrow hadrons (Non-perturbative, hard to measure)
- **Nuclear effects** in pA hard interactions

FPF may help with some of these!

The calculation has many ingredients

- Incident cosmic ray flux
- ***Forward cross section for heavy quarks in pp/pA collisions at extremely high energy (pQCD)***
- ***Fragmentation of heavy quarks into hadrons***
- Rescattering of nucleons, hadrons (hadronic xsecs) (scattering lengths)
- Decay spectra of charmed mesons & baryons (decay lengths)
- Cascade equations and their solution (Semi-analytic: spectrum-weighted Z-moments)

Calculations of the prompt flux

ERS: RE, Reno, Sarcevic, [arXiv:0806.0418](#)

Bhattacharya, RE, Reno, Sarcevic, Stasto, [arXiv:1502.01076](#)

Fedynitch, Engel, Gaisser, Riehn, Stanev, [arXiv:1503.00544](#)

Garzelli, Moch, Sigl, [arXiv:1507.01570](#)

Gauld, Rojo, Rottoli, Sarkar, Talbert, [arXiv:1506.08025](#), [1511.06346](#)

Halzen and Wille, [arXiv:1605.01409](#)

Bhattacharya, RE, Jeong, Kim, Reno, Sarcevic, Stasto, [arXiv:1607.00193](#)

PROSA Collaboration (Garzelli et al), [arXiv:1611.03815](#), [1911.13164](#)

Benzke, Garzelli, *et al.*, [arXiv:1705.10386](#)

Goncalves, Maciula, Szczurek, [arXiv:2103.05503](#)

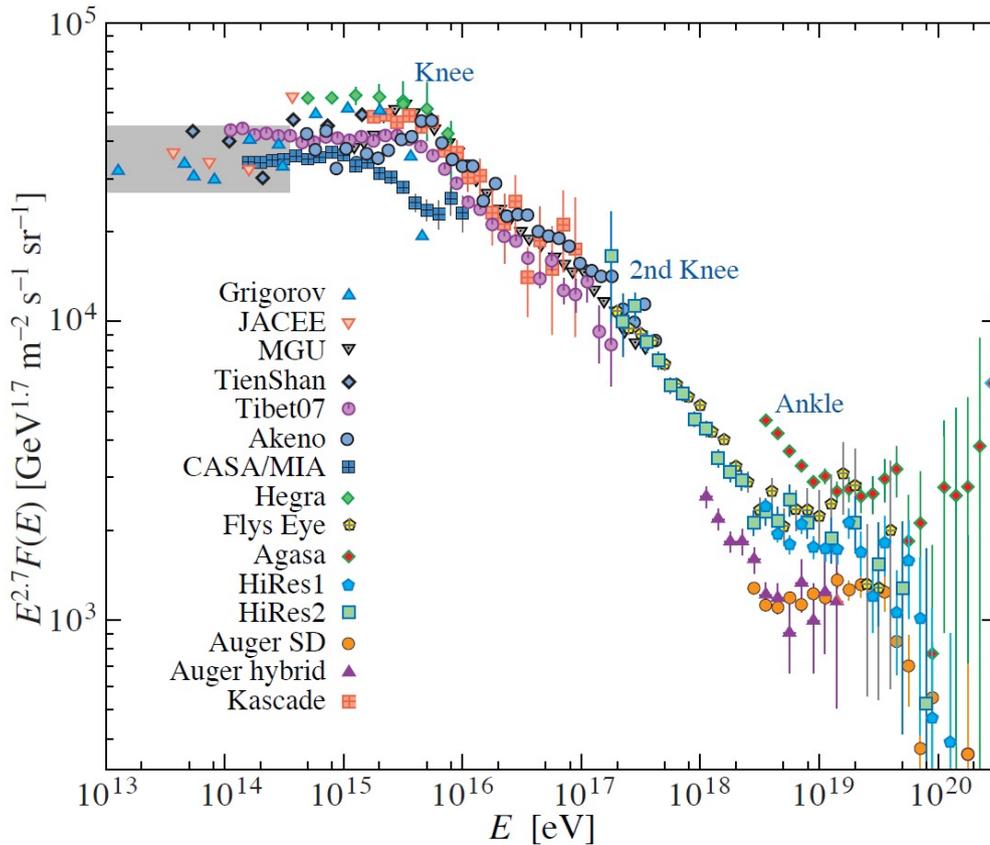
Jeong, Bai, Diwan, Garzelli, Kumar, Reno, [arXiv:2107.01178](#)

Arleo, Jackson, Peigné, [arXiv:2112.10791](#)

⋮

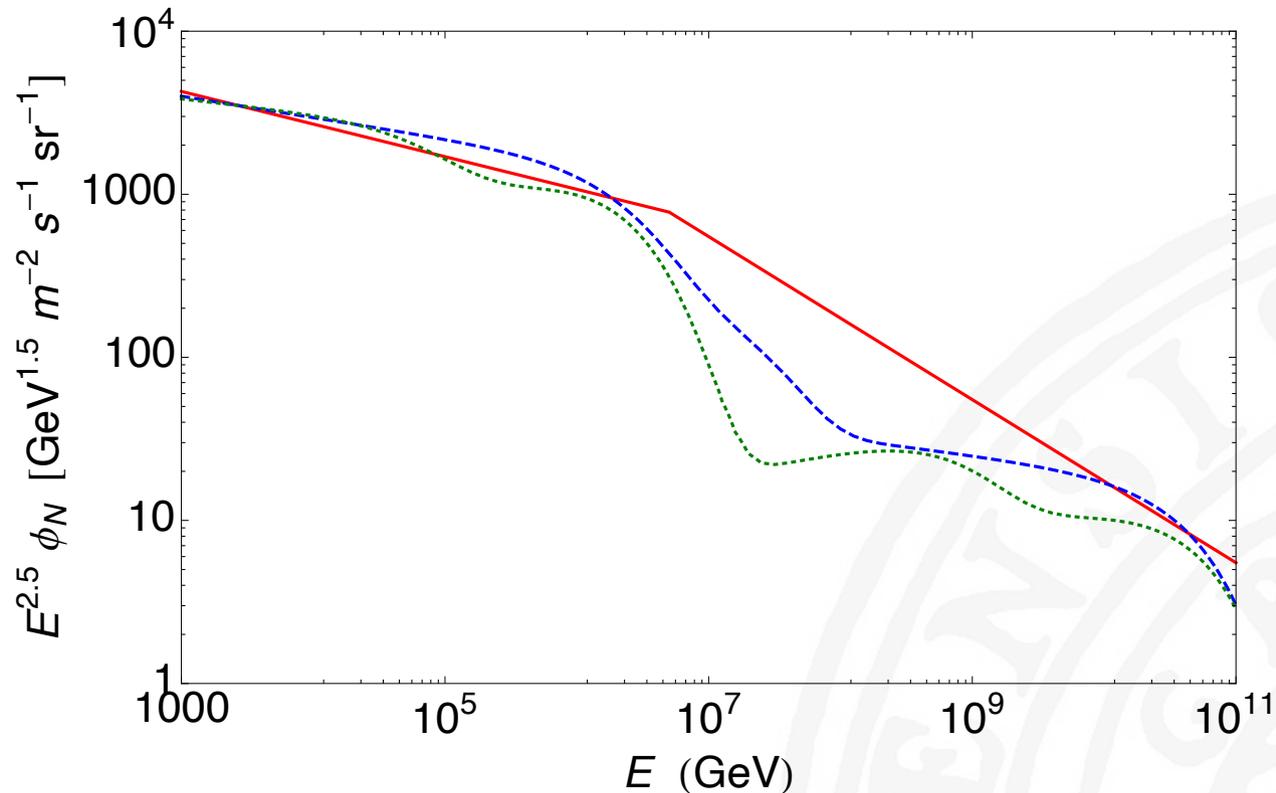
Cosmic rays (CR)

Plot from Particle Data Group



- Knees and ankles → seems natural to associate different sources with different energy ranges of the CR flux
- Highest energies: Extragalactic origin? → GRBs, AGNs, or more exotic
- Lower energies: Galactic origin? → SNRs etc

Incident cosmic ray flux: nucleons



Solid red = Broken power law (old standard)
Dashed blue = Gaisser all proton (H3p)
Dotted green = Gaisser, Stanev, Tilav (GST4)

Calculating the neutrino flux: Particle production

Particle physics inputs: energy distributions

$$\frac{dn(k \rightarrow j; E_k, E_j)}{dE_j} = \frac{1}{\sigma_{kA}(E_k)} \frac{d\sigma(kA \rightarrow jY, E_k, E_j)}{dE_j}$$

$$\frac{dn(k \rightarrow j; E_k, E_j)}{dE_j} = \frac{1}{\Gamma_k} \frac{d\Gamma(k \rightarrow jY; E_j)}{dE_j}$$

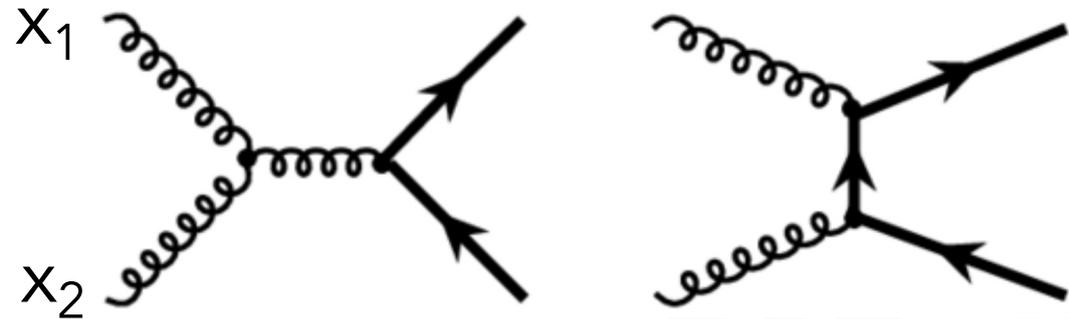
along with interaction lengths, or cooling lengths

$$\lambda_N(E) = \frac{\rho(h)}{\sigma_{NA}(E)n_A(h)}$$

→ Need the charm production cross section $d\sigma/dx_F$

Problem with QCD

Charm production:



where
$$x_{1,2} = \frac{1}{2} \left(\sqrt{x_F^2 + \frac{4M_{c\bar{c}}^2}{s}} \pm x_F \right)$$

x_F = Feynman-x
 \approx momentum fraction of charm quark

CM energy is large: $s = 2E_p m_p$ so $x_1 \sim x_F$ and $x_2 \ll 1$

→ We need extremely small Bjorken-x, in the range 10^{-7} to 10^{-4}

→ Very asymmetric, x_F is very large

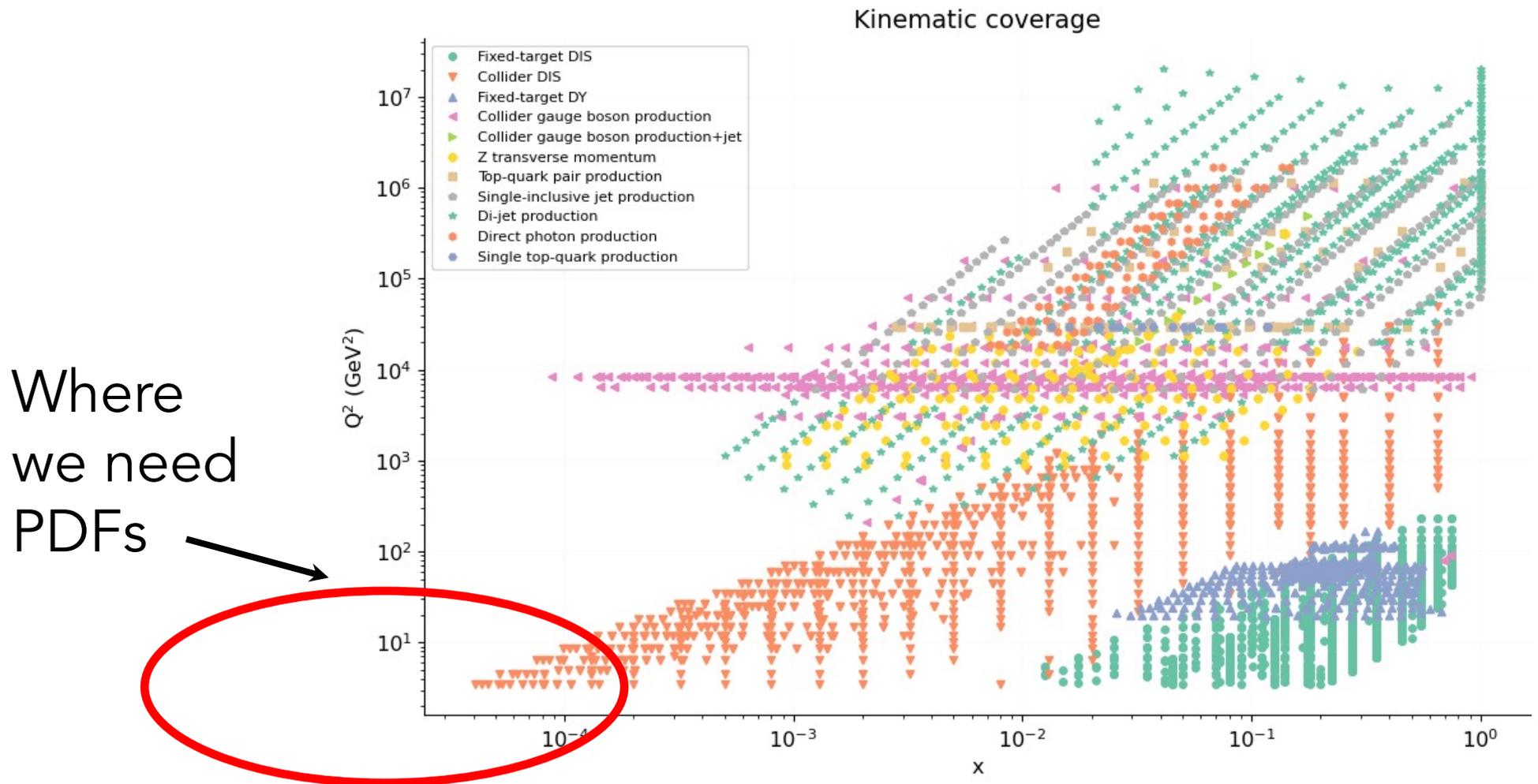
Problem with QCD at small x

- Parton distribution functions poorly known at small x
- At small x , must resum large logs: $\alpha_s \ln(1/x)$
- If logs are resummed (**BFKL**):
power growth $\sim x^{-\lambda}$ of gluon distribution as $x \rightarrow 0$
- Unitarity might even be violated (T-matrix > 1)

How small x do we know?

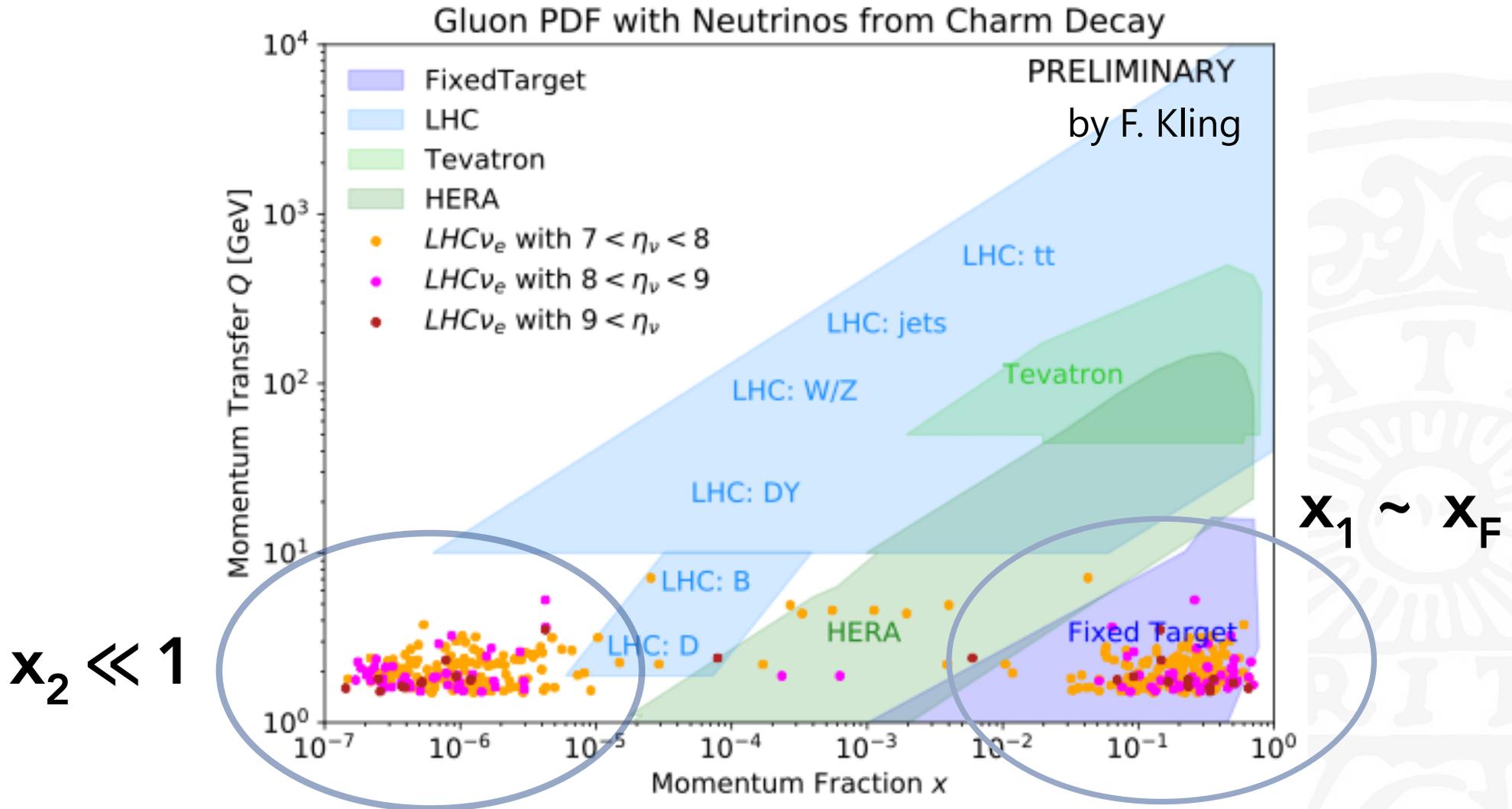
- We haven't measured anything at such small x
- E.g. the MSTW pdf has $x_{\min} = 10^{-6}$
- **But that is an extrapolation!**
- HERA pdf fits: $Q^2 > 3.5 \text{ GeV}^2$ and $x > 10^{-4}$
- See Gao, Harland-Lang, Rojo, [arXiv:1709.04922](https://arxiv.org/abs/1709.04922) for more on pdfs

Kinematic plane of NNPDF



NNPDF collaboration, <https://nnpdf.mi.infn.it/research/data/>
Also talk today by E. Nocera

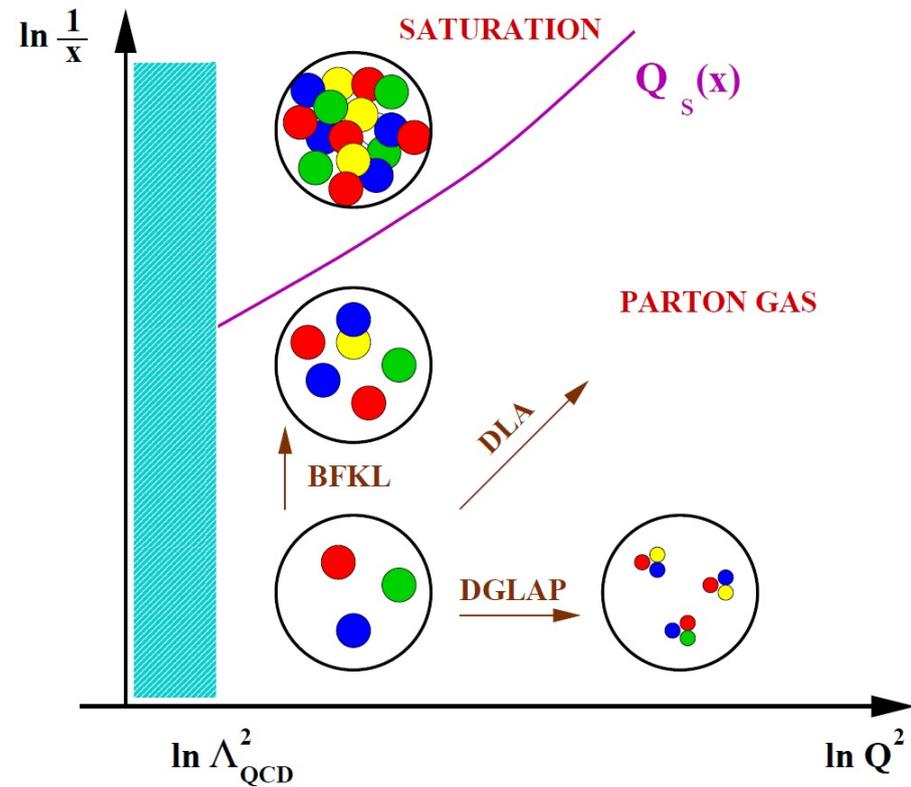
What can be done?



By F. Kling, from talks by T. Ariga and L. Harland-Lang

Parton saturation

- **Saturation** at small x :
 - Number of gluons in the nucleon becomes so large that gluons recombine
 - Reduction in the growth



- This is sometimes called the **color glass condensate**
- Non-linear QCD evolution: **Balitsky-Kovchegov equation**

Bhattacharya et al (2016): Redo QCD calculations in many ways

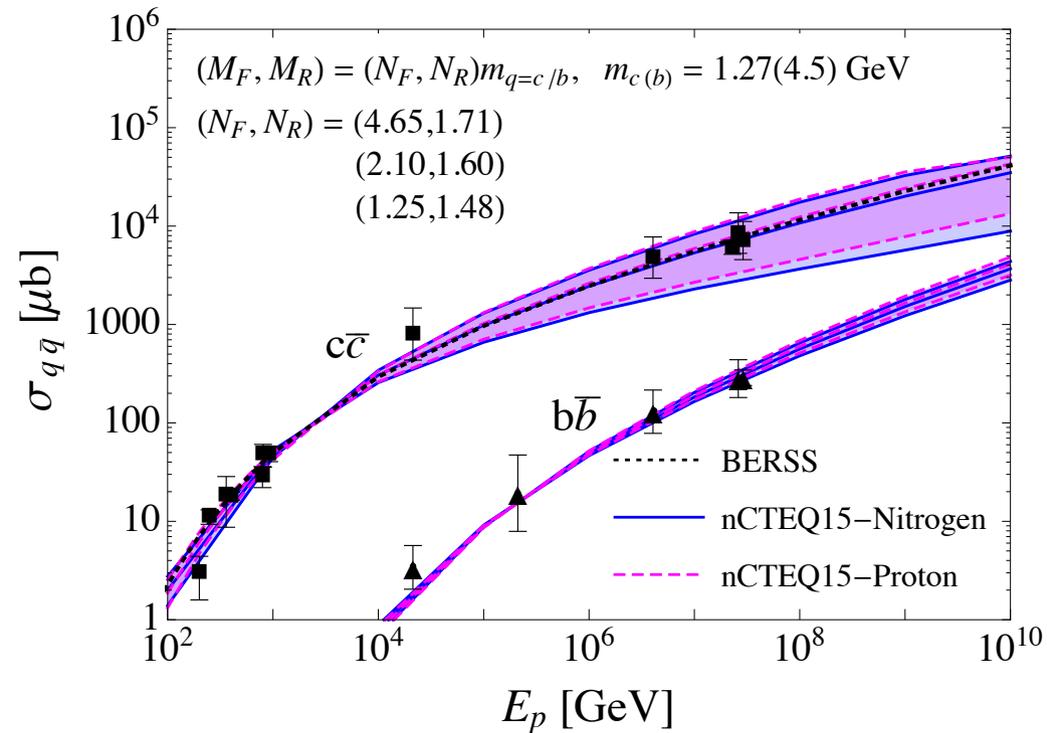
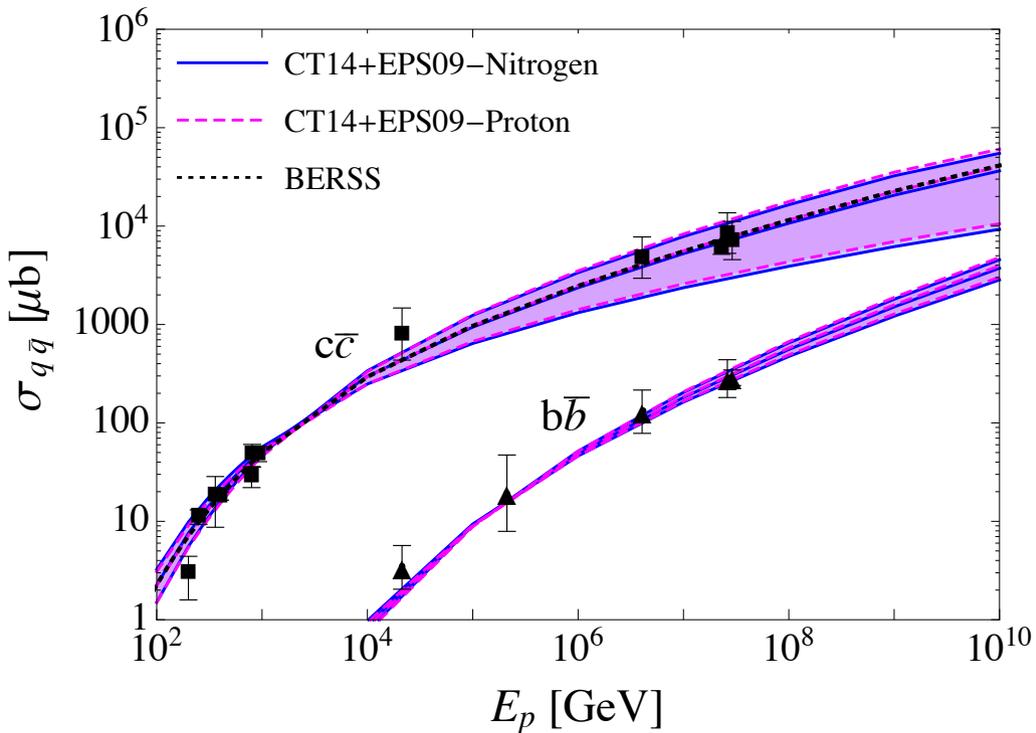
- ***Standard NLO QCD with newer PDFs***
 - Earlier calc updated with RHIC/LHCb input, uses Nason, Dawson, Ellis and Mangano, Nason, Ridolfi
- ***Dipole picture with saturation***
 - Approximate solution of Balitsky-Kovchegov equation
 - Update of ERS calc with new HERA fits + other dipoles
- ***kT factorization with and without saturation***
 - Resums large logs, $\alpha_s \log(1/x)$ with BFKL
 - Off-shell gluons, unintegrated PDFs (+ subleading...)
 - Kutak, Kwiecinski, Martin, Sapeta, Stasto (permutations)

**Include scale variations, PDF errors, charm mass, etc
→ Plausible upper and lower limits on x_{sec}**

Also include nuclear shadowing

- Partons are not in a free nucleon, but in a nucleus!
- Estimate shadowing with nuclear PDFs (nCTEQ15 and EPS09)
- Reduces flux by 10–30% at the highest energies
- Larger effect on the flux than on the total $\sigma(cc)$ due to asymmetric $x_{1,2}$

$\sigma(cc)$ and $\sigma(bb)$

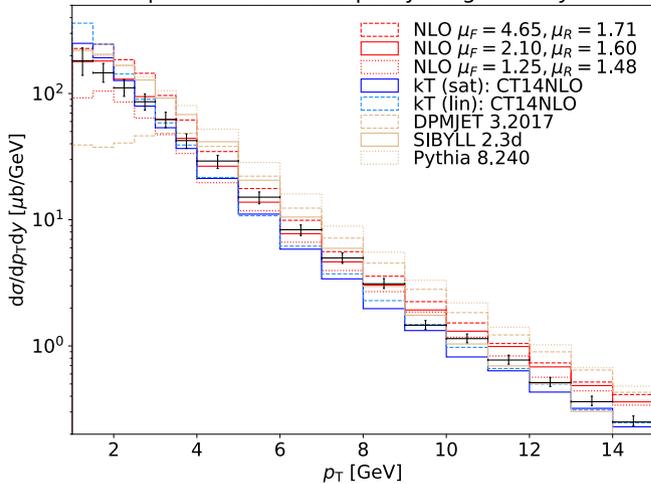


Data from RHIC, LHC and lower energies
 Total cross sections well described by all calculations
 (at high energies), nuclear shadowing small

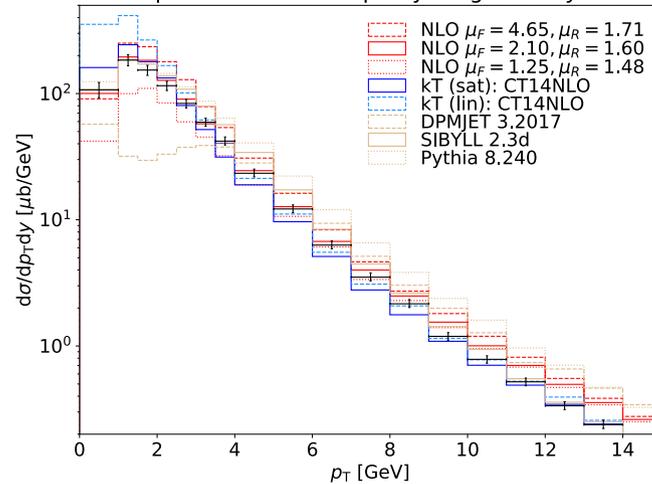
(Error bands=scale variations and PDF uncertainties)

New work: D^\pm meson production

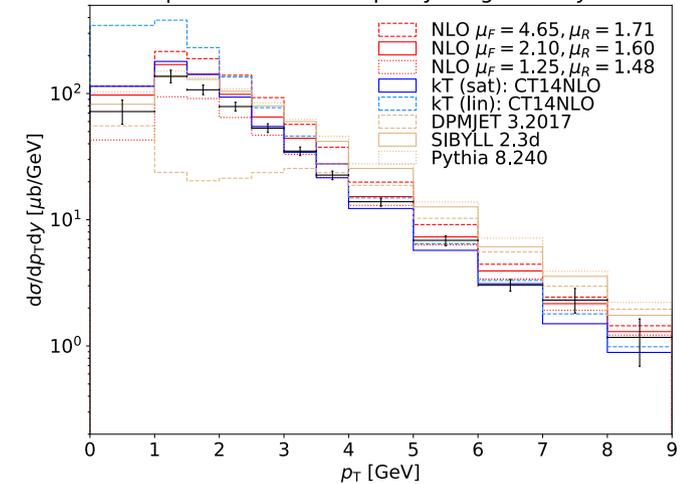
Prompt D^+ + c.c. for rapidity range $2.0 < y < 2.5$



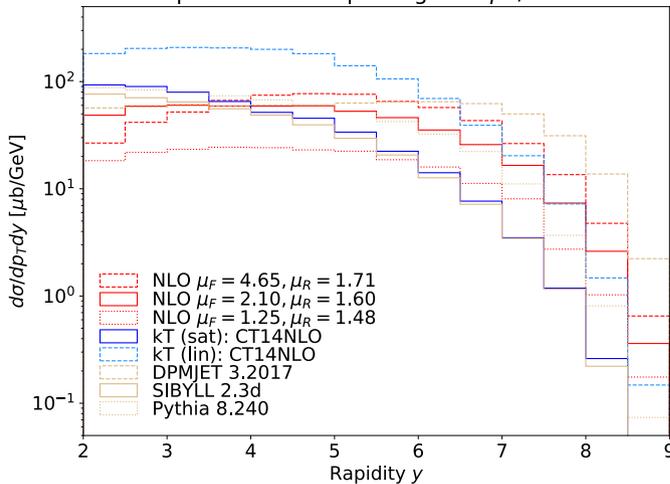
Prompt D^+ + c.c. for rapidity range $3.0 < y < 3.5$



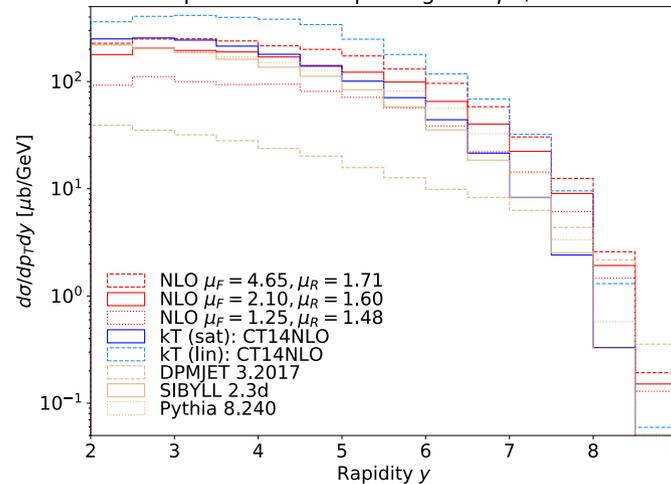
Prompt D^+ + c.c. for rapidity range $4.0 < y < 4.5$



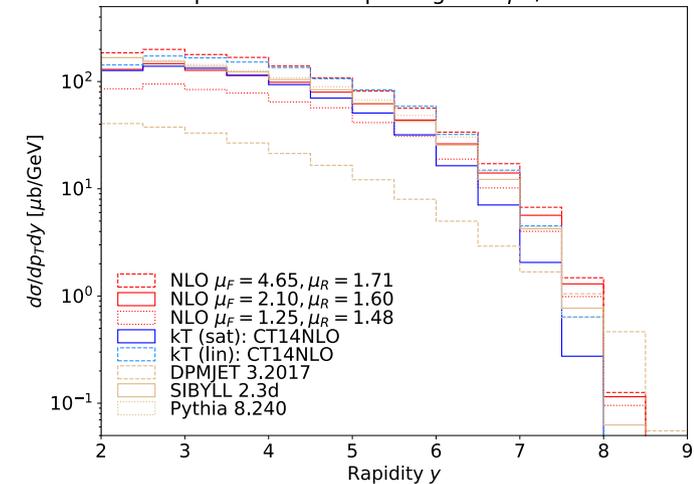
Prompt D^+ + c.c. for pt range $0 < pT/GeV < 0.5$



Prompt D^+ + c.c. for pt range $1 < pT/GeV < 1.5$

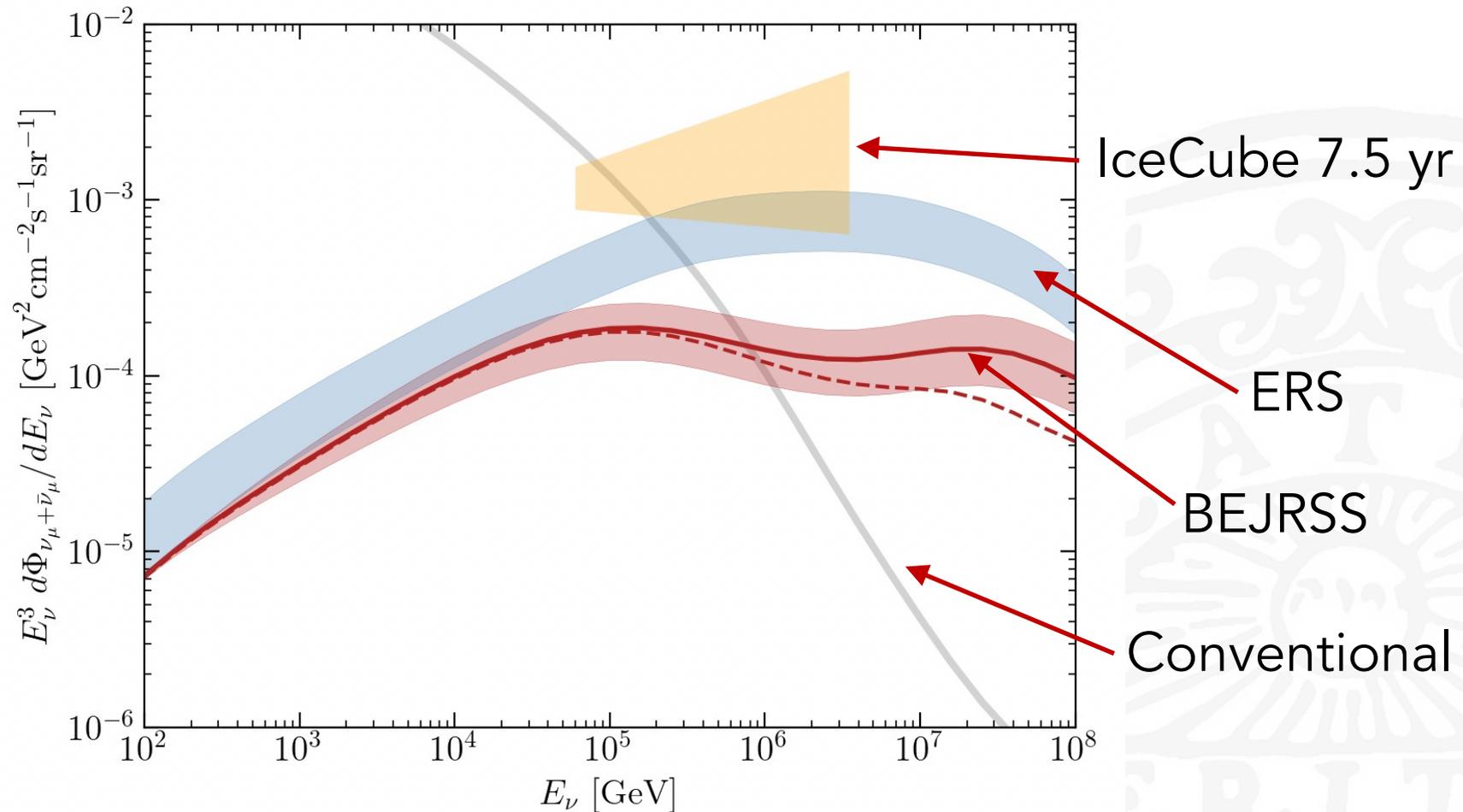


Prompt D^+ + c.c. for pt range $2 < pT/GeV < 2.5$



Some of our calculations compared with MC generators and LHCb data (plots by F. Kling)

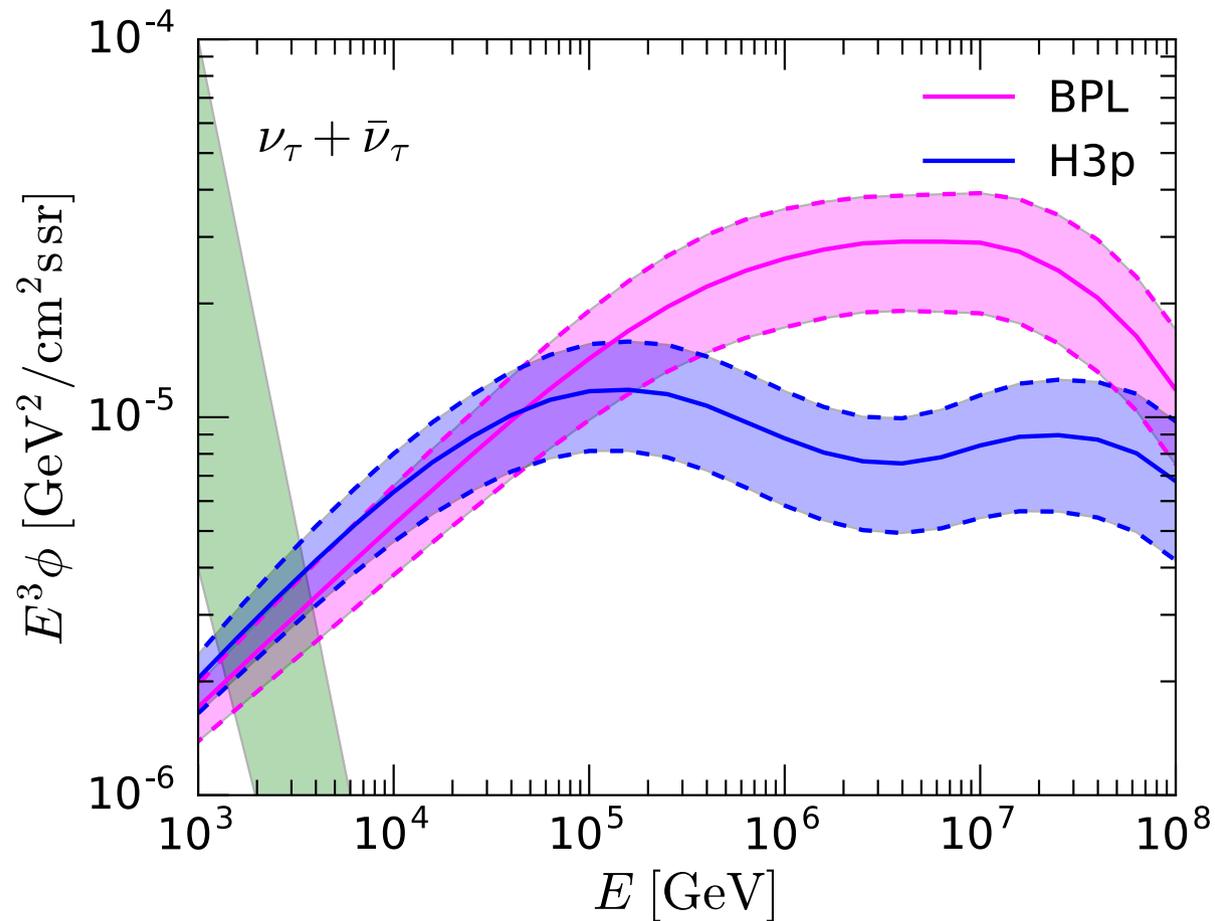
Our recent result on ν_μ



Plot from Atri Bhattacharya's talk yesterday

Note that prompt $\nu_\mu \approx \nu_e$ but conventional $\nu_\mu \gg \nu_e$

Prompt flux important for ν_τ



The conventional flux is much smaller for ν_τ

Comparison of calculations

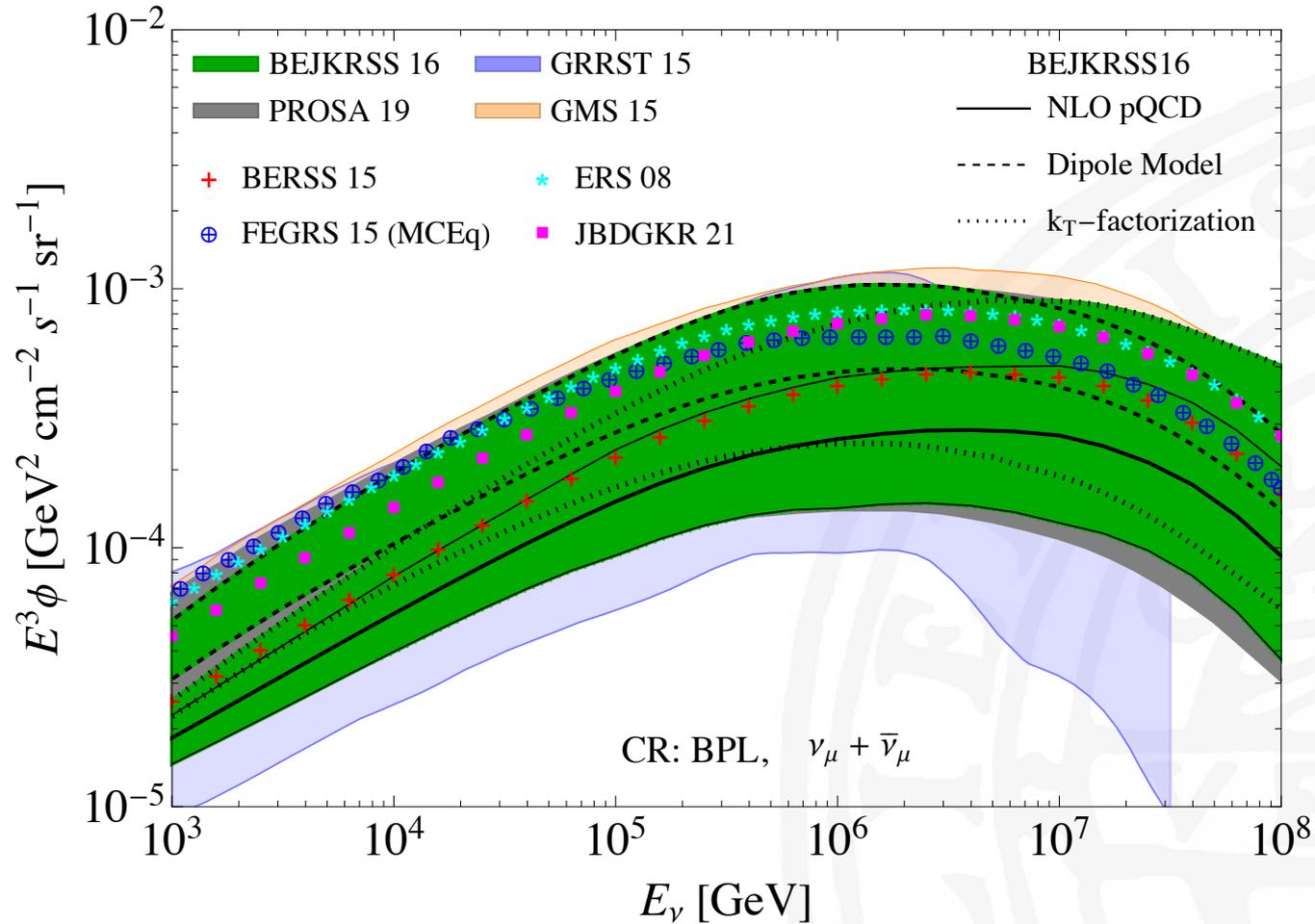
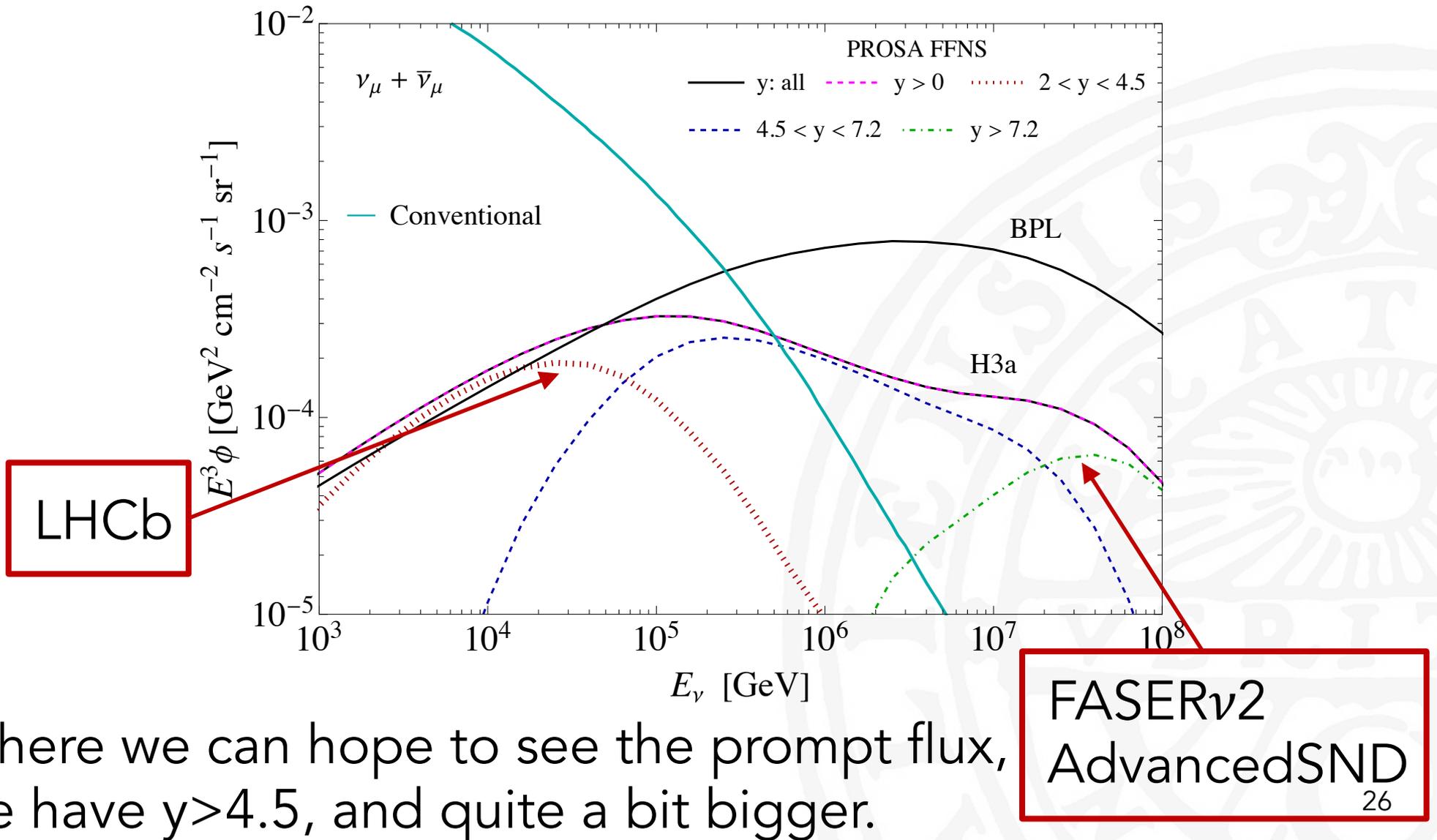


Figure from the FPF Snowmass White Paper draft

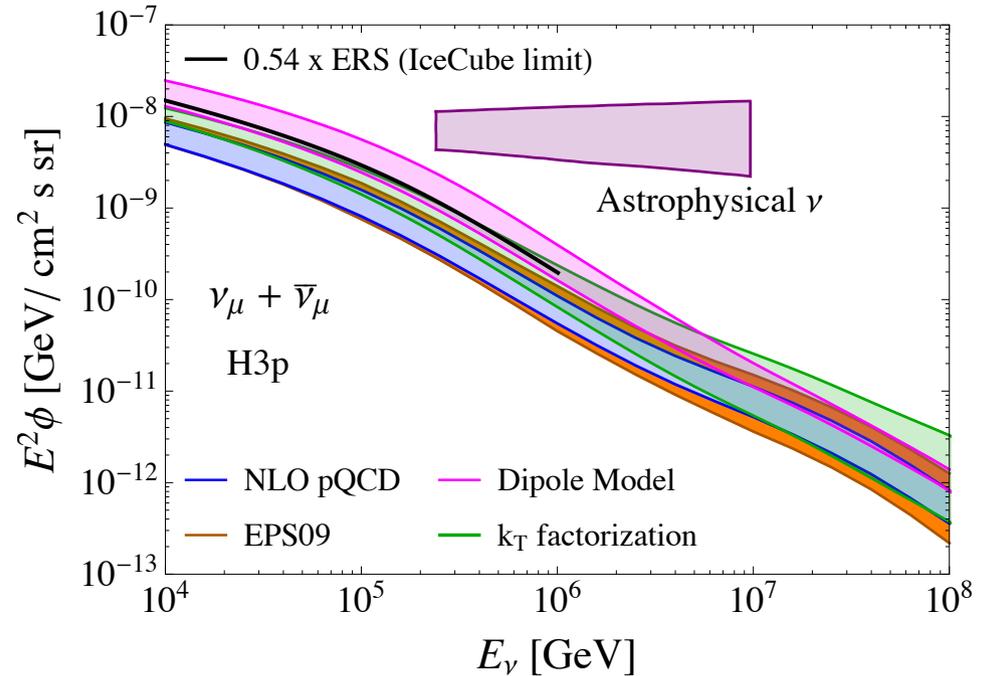
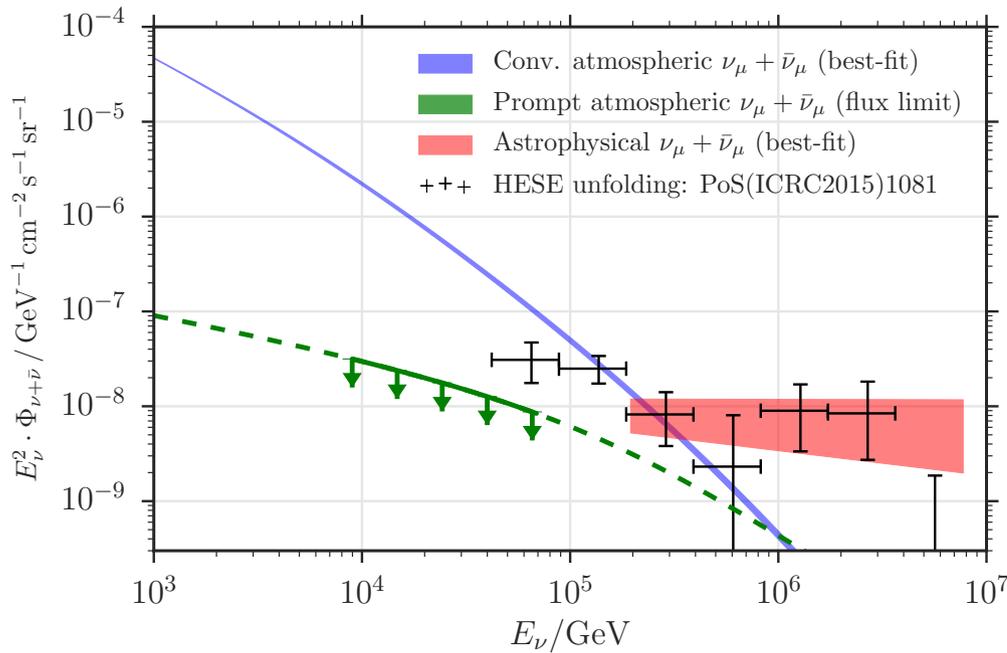
What rapidity ranges?



Where we can hope to see the prompt flux, we have $y > 4.5$, and quite a bit bigger.

FASER ν 2
AdvancedSND
26

IceCube vs prompt flux



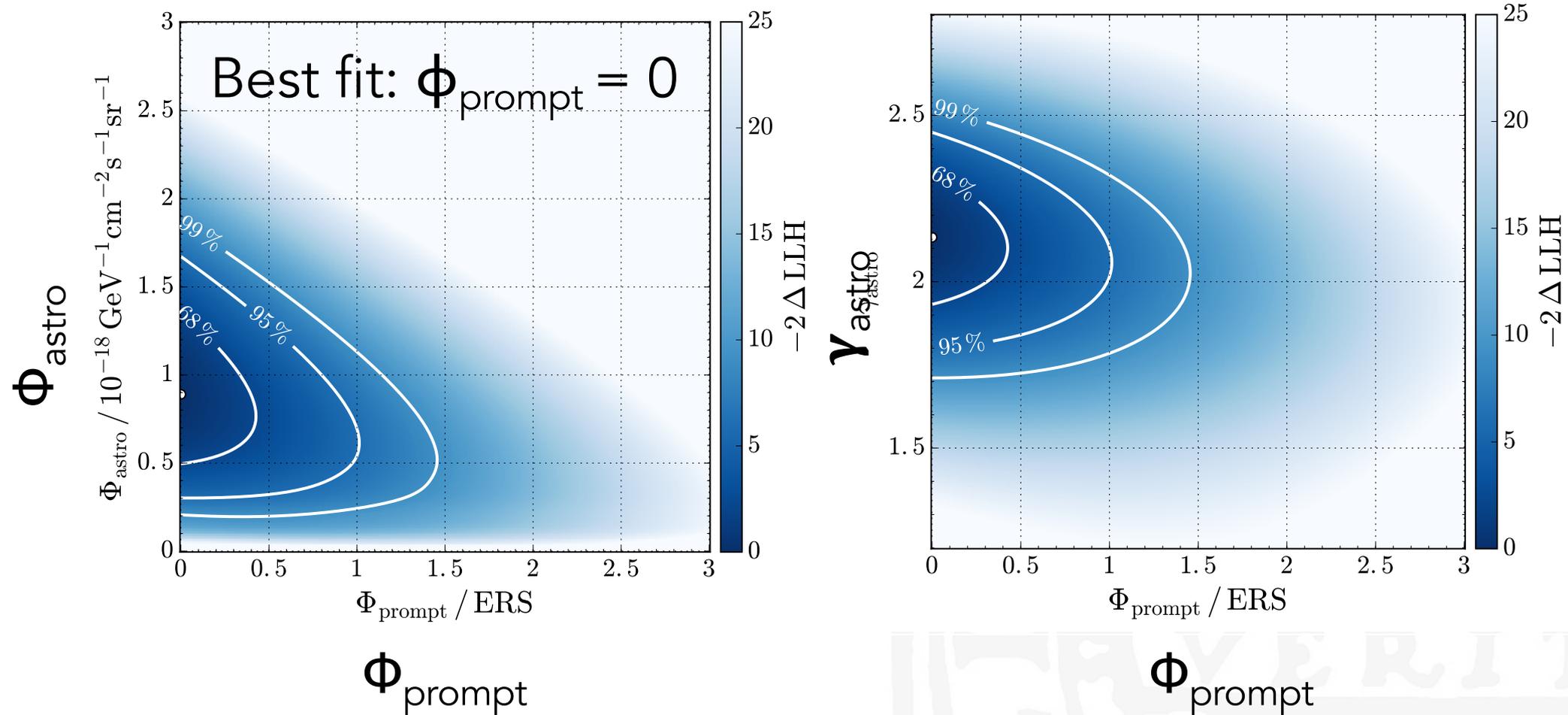
3-year IceCube limit on the prompt flux (at 90% CL):

0.54 x (*ERS modified with H3p CR's*)

Best fit is $\phi_{\text{prompt}} = 0$

L. Rädcl & S. Schoenen (IceCube), PoS ICRC2015, 1079

IceCube fits to Φ_{astro} and Φ_{prompt}



What can FPF do?

Generally: constrain PDFs at very small **and** very large x

Neutrinos: (see also talk by Hallsie Reno)

- ✓ FASER ν 2: neutrinos at $\eta > 8.6$ or 8.8
- ✓ Advanced SND:
 - Neutrinos in far detector at $7.2 < \eta < 8.4$
 - Near detector $4 < \eta < 5$
 - Can measure neutrinos from charm here,
learn about charm \rightarrow neutrino fragmentation
- ✓ FLArE: ν_τ flux

Basically, predict neutrinos at FPF and in atmosphere with same underlying QCD calculations