



Upgrades of the experiments for the High Luminosity LHC

31st Lepton Photon Conference
MELBOURNE CONVENTION
& EXHIBITION CENTRE
17 - 21 JULY

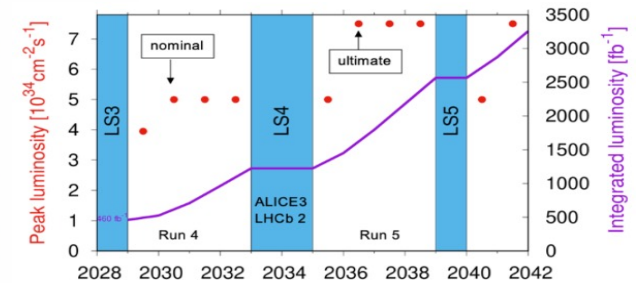
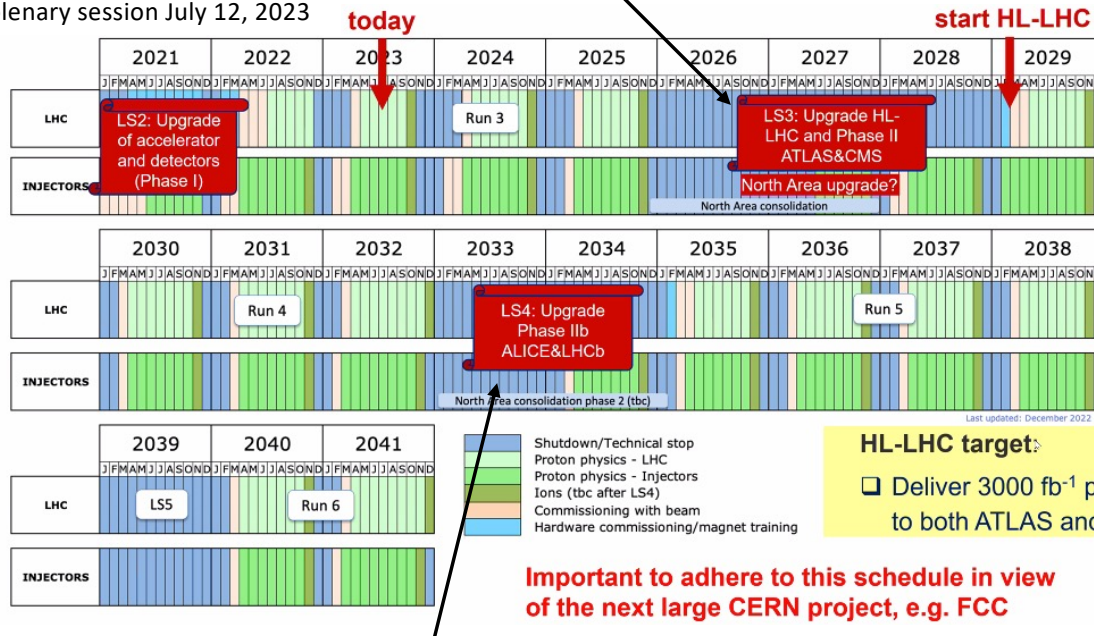
D. Contardo, IP2I CNRS/IN2P3 - on behalf of ALICE, ATLAS, CMS and LHCb



HL-LHC planning for p-p luminosity and experiment upgrades

ATLAS and CMS Phase-2 installed in LS3 (2026-2028) - now entering production

J. Mnich ECFA plenary session July 12, 2023



ALICE-3 and LHCb-II installed in LS4 (2023-2034) - in R&D phase, preparing for approval

Outline

ATLAS - CMS - LHCb-II and ALICE-3

highlights of major upgrades and new experimental paradigms
recent progress and stepping stone R&Ds

thanks to A. Di Mauro, M. Palutan, B. Gorini, F. Hartmann

ATLAS and CMS Phase-2 upgrade overview

exploit HL-LHC at $L_{\text{inst.}} \approx 7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, $L_{\text{integ.}} \approx 3000 \text{ fb}^{-1}$ ($\approx \times 10$ end of Run-3)

challenge to maintain current performance at $\langle 200 \rangle$ collisions/event* - sustain rates and irradiation
new electronics, new tracker - timing layers - tracking in trigger - new endcap calorimeter in CMS

Trigger/HLT/DAQ
<https://cds.cern.ch/record/2285584>

- Tracker readout at 1 MHz after 10 μs latency
- HLT 150 kHz with tracks after $\approx 30 \mu\text{s}$
- HLT output 10 kHz

Liquid Argon and Tile calorimeters
<https://cds.cern.ch/record/2285583>
<https://cds.cern.ch/record/2285582>

- New electronics increased granularity

New Tracker
<https://cds.cern.ch/record/2257755>
<https://cds.cern.ch/record/2285585/>

- Si-Strip & Pixels increased granularity
- Extended coverage to $\eta \approx 4$

Muon systems <https://cds.cern.ch/record/2285580>

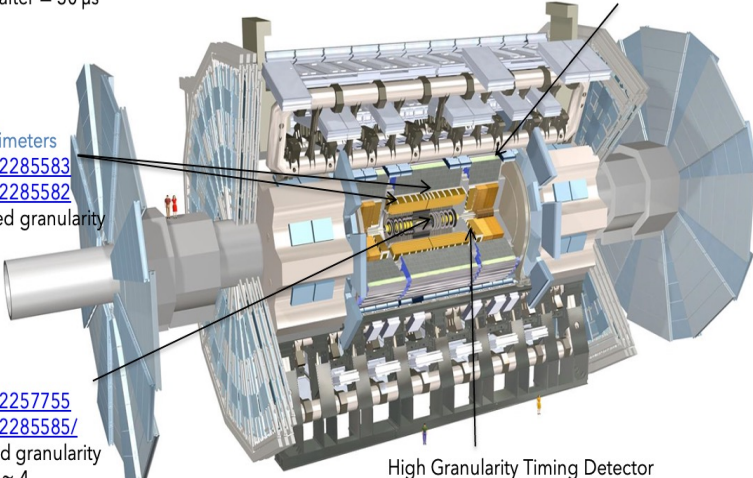
- New electronics
- Inner barrel chambers new RPC and sMDT

Luminosity upgrade

- 1% Precision

High Granularity Timing Detector
<https://cds.cern.ch/record/2719855?ln=fr>

- Low Gain Avalanche Diodes $2.4 \leq \eta \leq 4$



L1-Trigger/HLT/DAQ
<https://cds.cern.ch/record/2714892>
<https://cds.cern.ch/record/2759072>

- Tracks in L1-Trigger at 40 MHz
- 750 kHz L1 output
- 7.5 kHz output

High Granularity Calorimeter Endcap
<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Si, Scint+SiPM in Pb-W/SS

Tracker <https://cds.cern.ch/record/2272264>

- Si-Strip & Pixels increased granularity
- Extended coverage to $\eta \approx 3.8$

MIP Timing Detector
<https://cds.cern.ch/record/2667167>

- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes

Barrel Calorimeters
<https://cds.cern.ch/record/2283187>

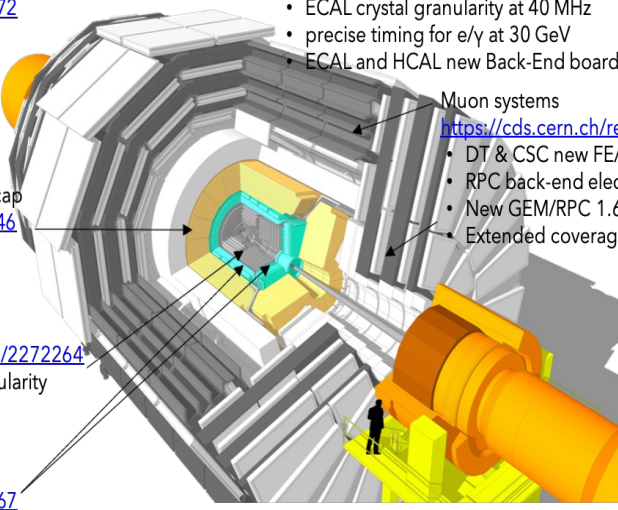
- ECAL crystal granularity at 40 MHz
- precise timing for e/γ at 30 GeV
- ECAL and HCAL new Back-End boards

Muon systems
<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC $1.6 < \eta < 2.4$
- Extended coverage to $\eta \approx 3$

Luminosity upgrade <https://cds.cern.ch/record/2759074>

- 1% offline



* compared to $\langle 60 \rangle$ today, experiment ability to sustain collision pile-up is the limitation to instantaneous luminosity

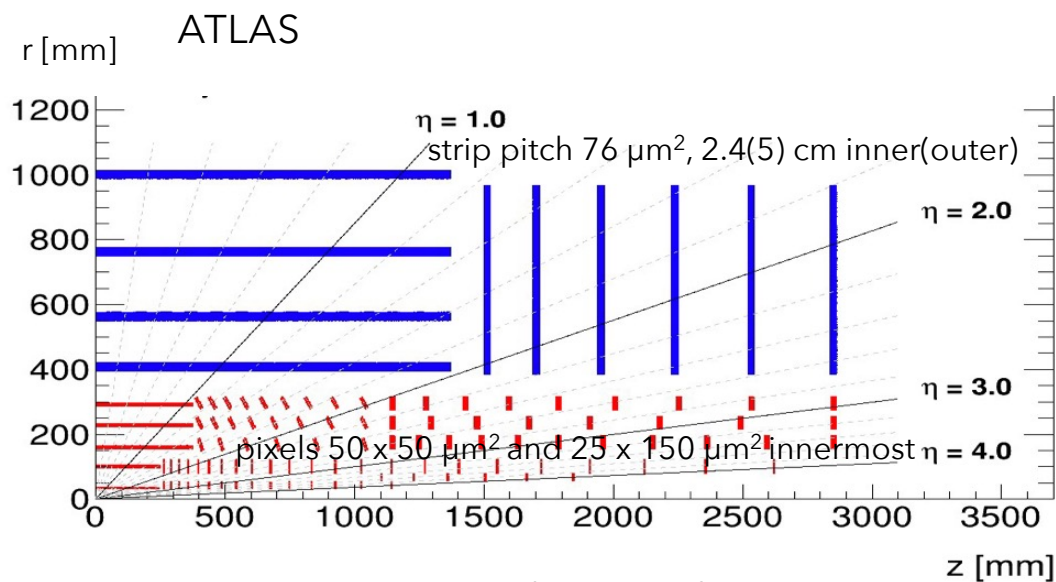
ATLAS and CMS Phase-2 Tracker upgrade

$\approx \times 4(6)$ channels OT(IT), $|\eta|$ up to 4, tilted design, CO₂ cooling, serial powering ($\approx \frac{1}{2}$ weight)

Outer strip-Tracker

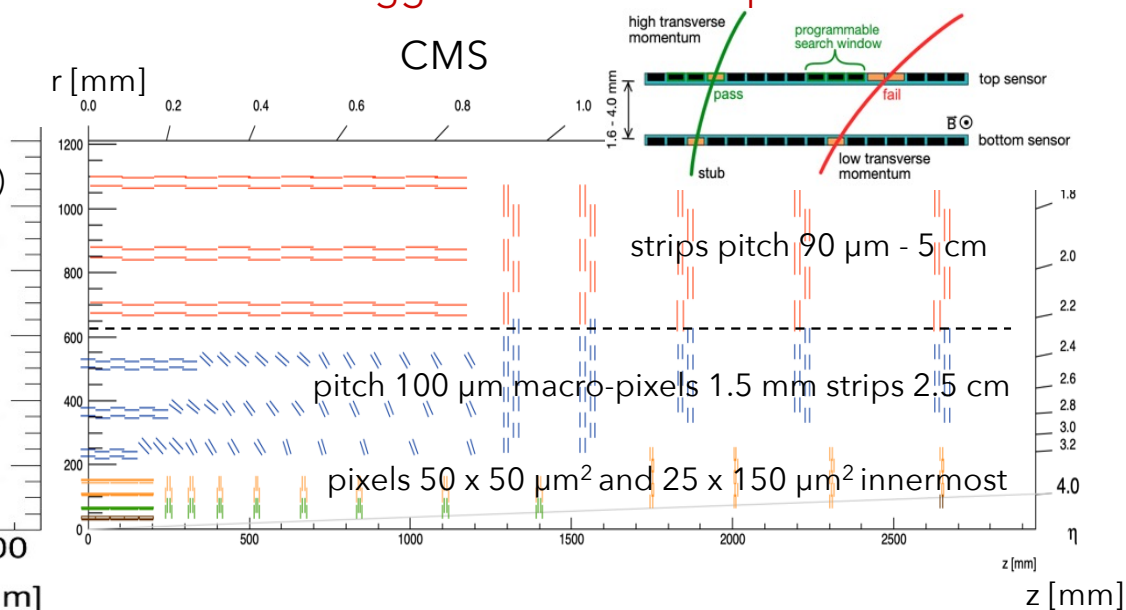
$\approx 170 \text{ m}^2$ - stereo modules - 60×10^6 channels

$\approx 200 \text{ m}^2$ - **trigger module concept** - 10^6 channels



5 pixel layers 13 m^2 - 5×10^9 channels

Inner pixel-Tracker - innermost layers replaceable

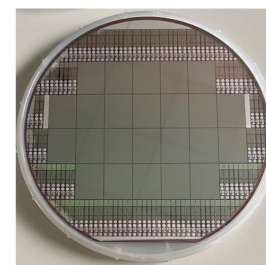


4 pixel layers 5 m^2 - 2×10^9 channels

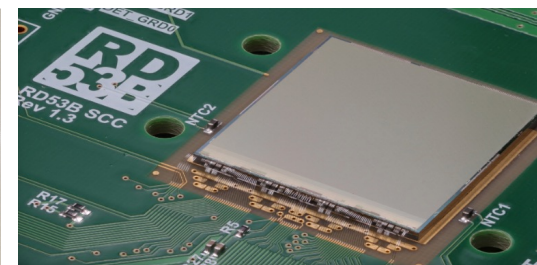
ATLAS and CMS Phase-2 Inner pixel-Tracker progress

new technology 65 nm TSMC ASIC enabling $50(25) \times 50(100) \mu\text{m}^2$ pitch at $\approx 3 \text{ GHz/cm}^2$

- Silicon sensors - planar and 3D - production started
- Front-end common ASIC development (RD53)
 - final ATLAS submitted, CMS imminent
- Hybridization of sensor proceeding at vendors
- Several modules of different types available (1st FE versions)



3D wafer



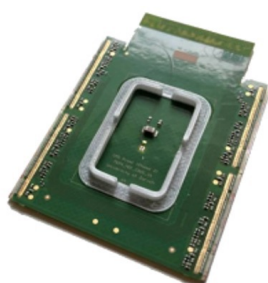
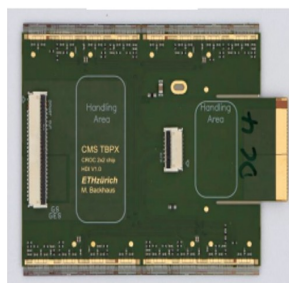
ITkPixV1 ASIC

Modules: 2 CMS types (left) and assembly and test in ATLAS (right)

2 x 2

1 x 2

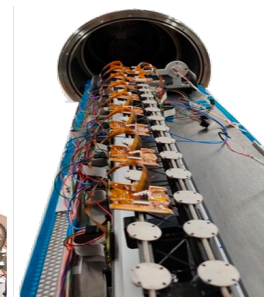
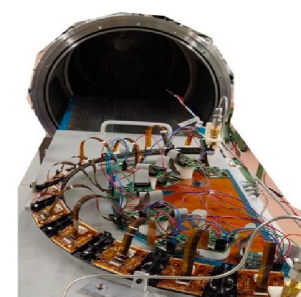
2 x 2 here (and 1 x 3)



≈ 4 kmodules

≈ 7 kmodules

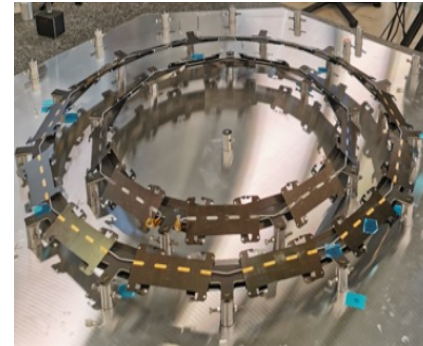
Thermal tests in ATLAS mechanics



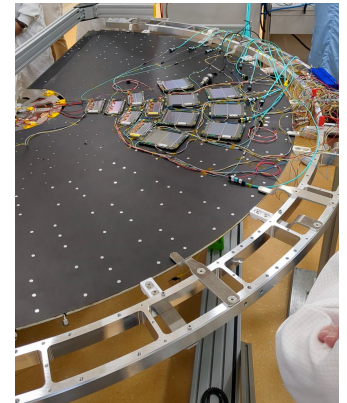
ATLAS and CMS Phase-2 Outer strip-Tracker progress

- Substantial fractions of sensors delivered
- ASICs in production
- Hybrid pre-production started
- First assembly in rods, petals, disks performed
- Main mechanics components being ordered

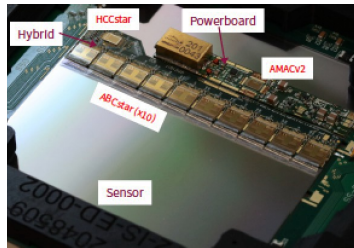
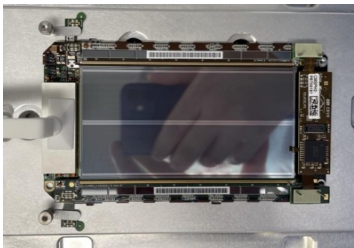
CMS tilted rings



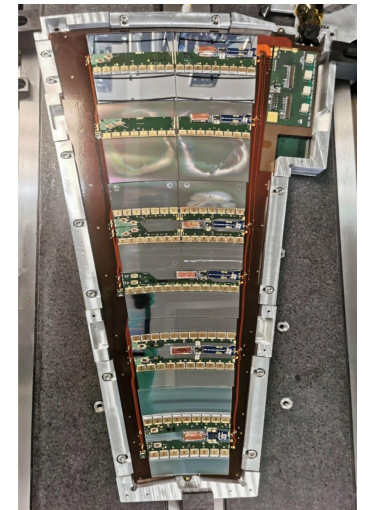
CMS endcap dee



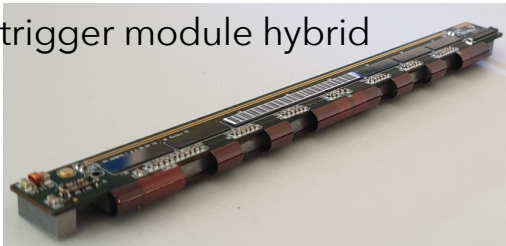
modules CMS (left) ≈ 13000 , ATLAS (right) ≈ 18000 1st barrel rods ATLAS (top) - CMS (bottom)



ATLAS endcap petal



CMS trigger module hybrid

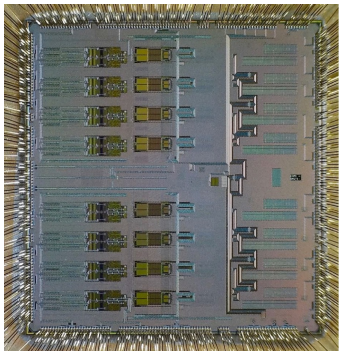


ATLAS and CMS Phase-2 Calorimeter electronics upgrade

full granularity at 40 MHz - improved precision - increased bandwidth

ATLAS

Liquid Argon Calorimeter
continuous readout at 40 MHz



65 nm ADC ASIC
16 bit dynamics
in production



ATC board 10 Gb/s
waveform sampling
final prototype

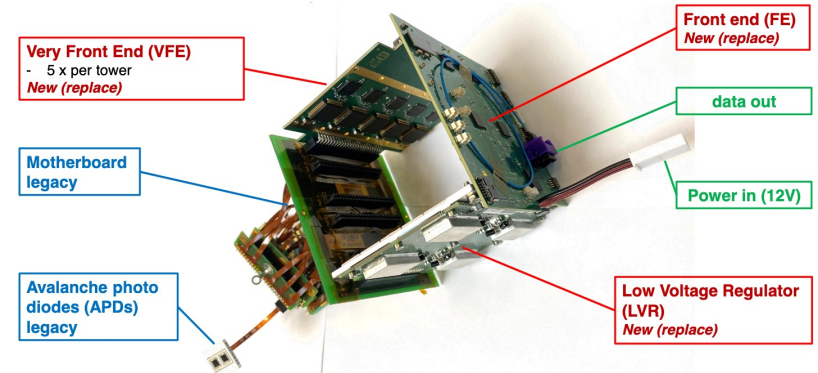
HCAL-tile PMT & readout



FE & main boards
in production
mechanics production
completed

CMS

ECAL PbWO₄ crystals readout



160 MHz sampling - 30 ps resolution (40 GeV/c)
ASICs and component procurements on going
operation at 8° for radiation tolerance

CMS Phase-2 High Granularity Calorimeter upgrade

first experiment implementation of CALICE concept developed for ILC

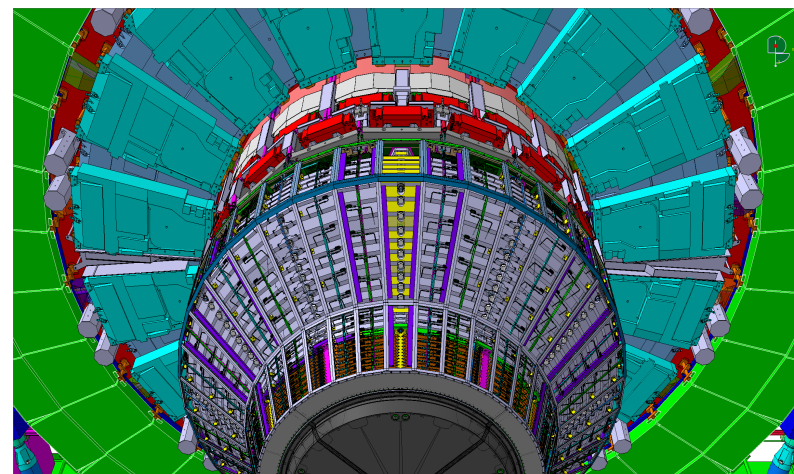
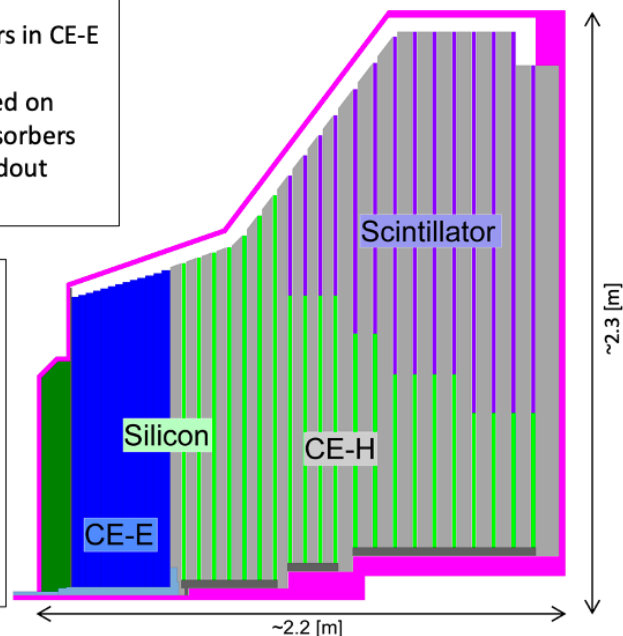
26 Si layers in CE-E, with Pb/Cu/CuW absorbers; 8 Si layers & 13 mixed Si/Scint layers in CE-H, with Cu/SS absorber

Active Elements:

- Hexagonal modules based on Si sensors in CE-E and high-radiation regions of CE-H
- “Cassettes”: multiple modules mounted on cooling plates with electronics and absorbers
- Scintillating tiles with on-tile SiPM readout in low-radiation regions of CE-H

Key Parameters:

Coverage: $1.5 < |\eta| < 3.0$
~215 tonnes per endcap
Full system maintained at -35°C
~620m² Si sensors in ~30000 modules
~6M Si channels, 0.5 or 1cm² cell size
~400m² of scintillators in ~4000 boards
~240k scint. channels, 4-30cm² cell size
Power at end of HL-LHC:
~125 kW per endcap

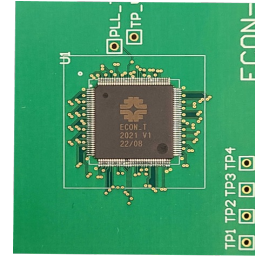
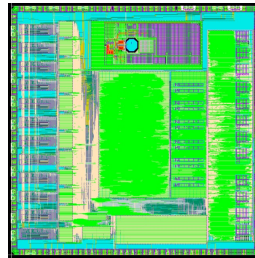
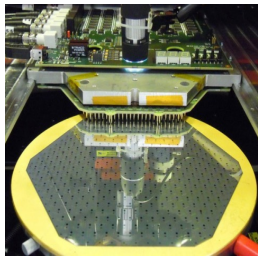


3D topology and $\sigma_t \approx 20$ ps for 25 GeV/c electrons

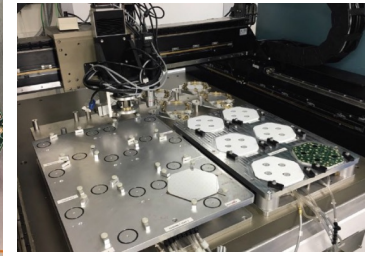
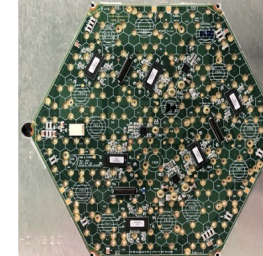
CMS upgrade Phase-2: High Granularity Calorimeter progress

8" hexagonal sensor
production started (≈ 22000)

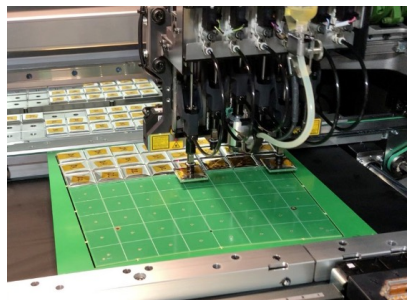
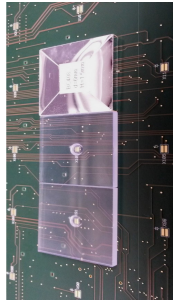
Final FE ASIC submitted (in BGA left)
trigger & data transfer ASICs in test (right)



Hexaboards pre-series on going (left)
module robot assembly (right)



Scintillating tile - production started
SiPM in procurement process

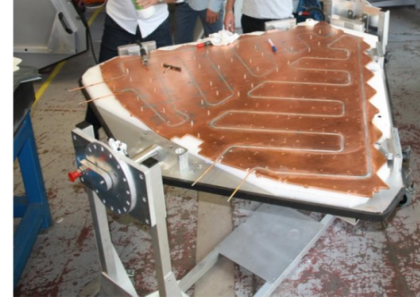


Tileboard robot assembly
PCB in procurement process

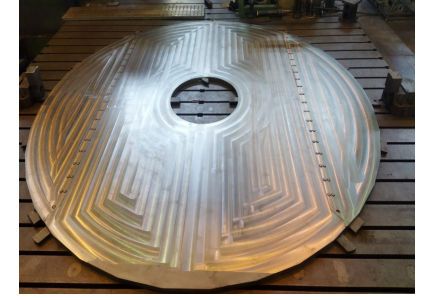
CE-H cassette test



1st CE-E Cu cassette



1st SS absorber disk



Data transfer board being characterized

ATLAS and CMS Phase-2 Timing Layers

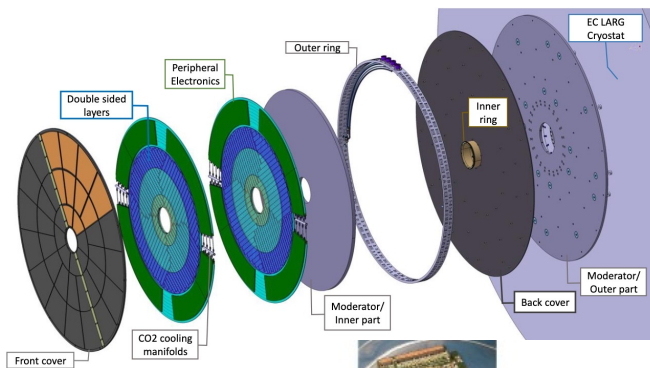
reduce number of collision vertices according to their time in the bunch crossing

endcap timing layers first use of Low Gain Avalanche photoDiodes

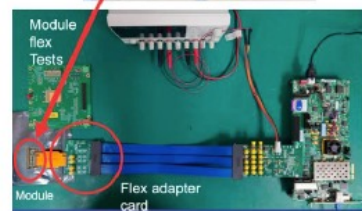
2 double sided thin layers providing $\sigma_t \approx 30/50$ ps (/track)* before/after irradiation with 1.3×1.3 mm² pads

ATLAS 75 mm x 6.4 m² - $2.4 < |\eta| < 4$ - 3.6 Mch.

CMS 45 mm x 14 m² - $1.6 < |\eta| < 3$ - 8.5 Mch.

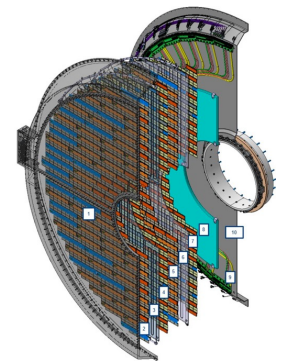


- LGAD pre-prod. started
- final ASIC being tested
- modules assembly demonstrated

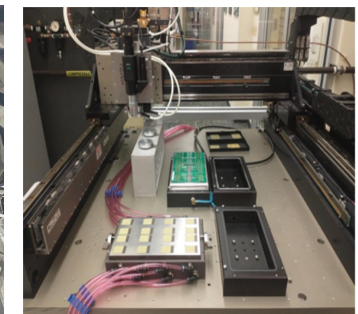
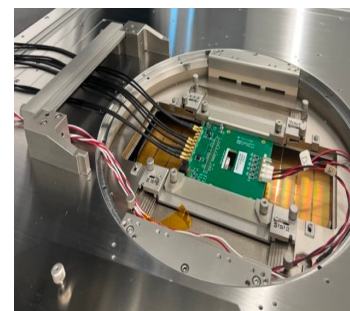


sensors $\approx 2 \times 4$ cm² - 8 kmodules (1 sensors)

- LGAD market survey completed
- last but final ASIC being qualified
- module assembly demonstrated



FE ASIC test - readout board and module assembly



sensors $\approx 2 \times 4$ cm² - 9 kmodules (1 or 2 sensors)

* σ_t should remain $\lesssim 35$ ps with sensors being at $|\eta| < 3$

CMS Phase-2 Barrel Timing Layer

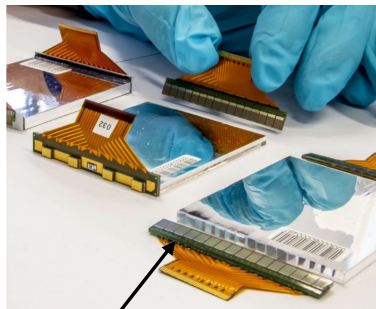
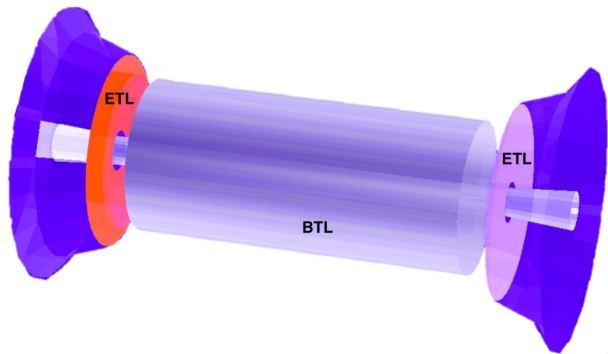
first HEP experiment "PET-like" system

thin layer of LYSO crystals + SiPM in front of ECAL providing $\sigma_t \approx 30/60$ ps before/after irradiation

40 mm thick - 38 m² - 332 kcrystals

early installation within the tracker tube, starting procurements

16 LYSO bars (56 x 3 x 3 mm³) per module (≈ 21000)



SiPM on both sides

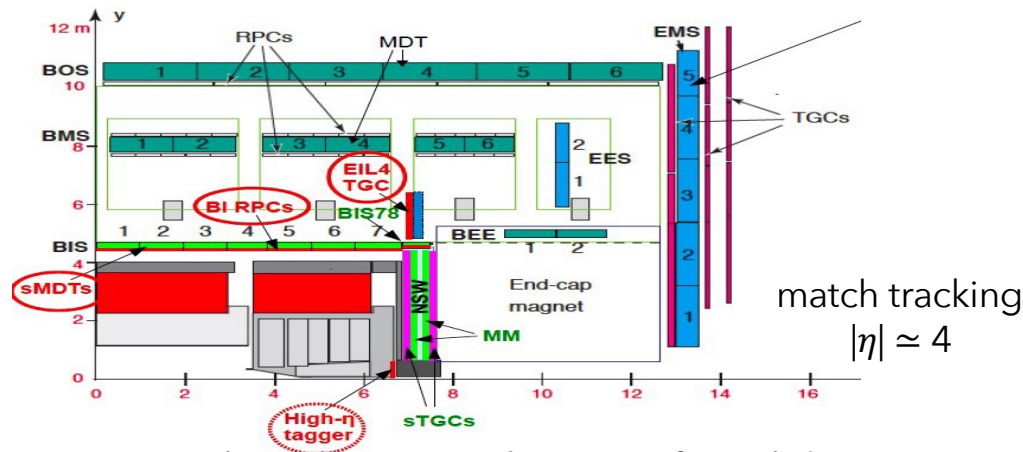


24 modules readout unit grouped in trays (right)

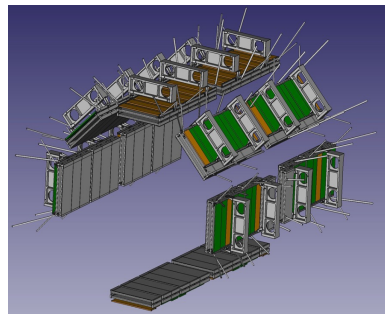
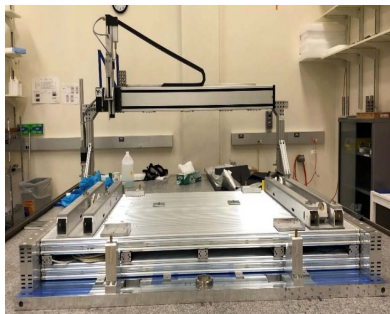
ATLAS and CMS Phase-2 Muon upgrades

new electronics, improved coverage & p_T resolution for trigger, sustain high rates

New layers, sMDT, TGC and RPC

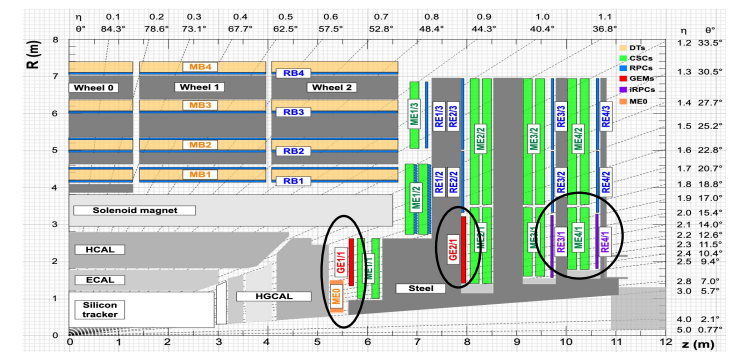


ATLAS TGC: ASIC produced, pre-production of modules



ATLAS sMDT chamber production almost completed
RPC moving to production - ASIC final prototype in test

Electronics in Barrel DT and RPCs
GEM/iRPC in $1.6 < \eta < 2.4$ - GEM to $\eta = 3$



Slice tests DT, GEM & iRPC at P5



CMS GEM (left) & iRPC (right) in production

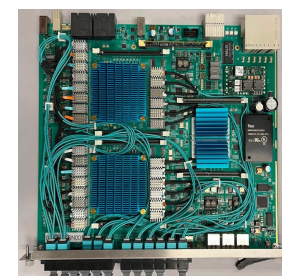
ATLAS and CMS Phase-2 Trigger and DAQ boards

ATLAS trigger: 1 MHz L0 in $10\ \mu\text{s}$ - tracks with FPGA/GPU in $30\ \mu\text{s}$ - 10 kHz output

CMS trigger: (OT) tracks in FPGA at 40 MHz, 750 kHz full readout in $12.5\ \mu\text{s}$, 7.5 kHz output

high processing power FPGAs, 25 Gb/s links, AI PFlow algorithms in firmware

ATLAS
Global Trigger Board



single DAQ back-end board FELIX

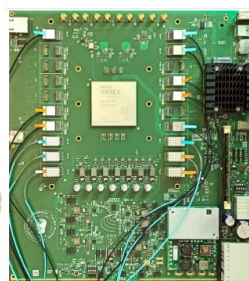


CMS

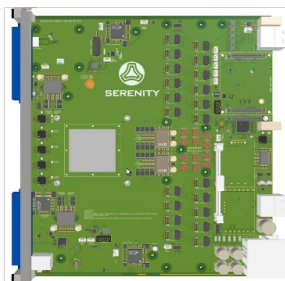
4 trigger boards tuned to detectors needs (cost) + 1 DAQ board
pre-production completed for slice test and yield



APx
Barrel ECAL and HCAL



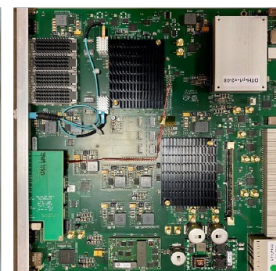
BMT
Barrel Muons



Serenity
HGCAL and Tracking



X2O
Endcap Muons



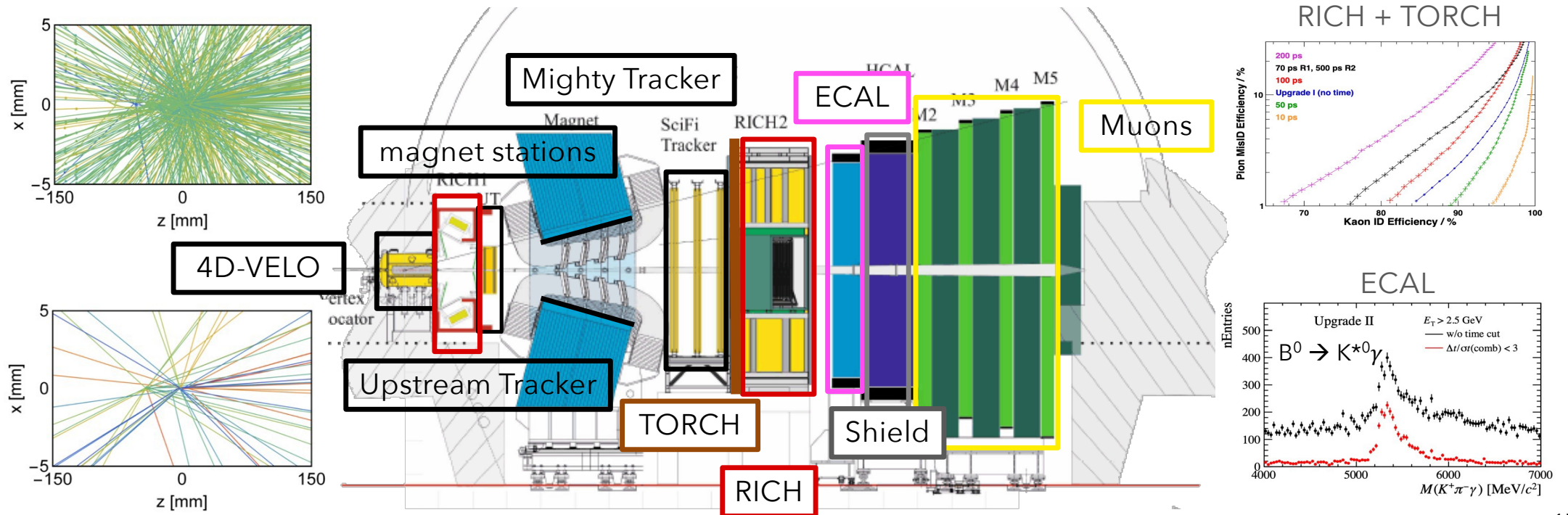
DTH
DAQ interface

LHCb upgrade II

exploit HL-LHC at $L_{\text{inst.}} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, $L_{\text{integ.}} \geq 300 \text{ fb}^{-1}$

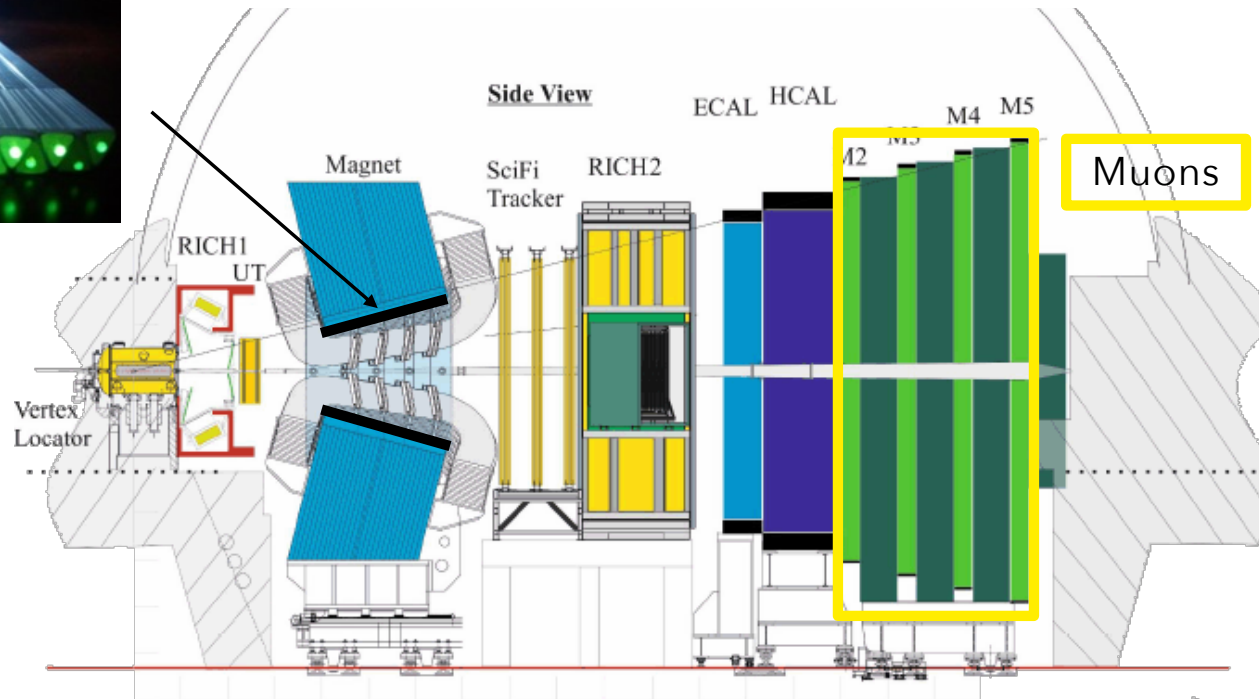
challenge to maintain current performance at $\langle 40 \rangle$ collisions/event - sustain rates and irradiation
high granularity, timing precision ≈ 10 's ps, 200 Tb/s data bandwidth

EoI 2017 - Physics Case 2018 - [Framework TDR](#) 2022 - Scoping Document 2024 - TDRs 2025-26
infrastructure LS3 2026-28 - construction 2027-33 - installation LS4 2033-2034



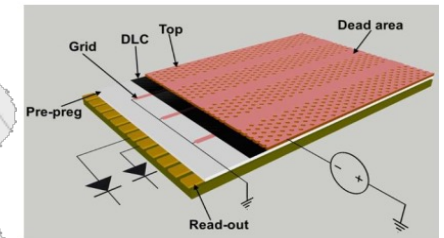
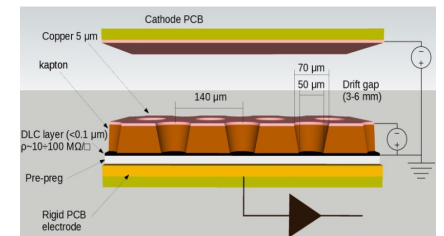
LHCb upgrade II magnet and muon stations

Scint . bars + WLS



inner region

new generation of MPGD: μ -RWELL 1st application



DLC coating
machine at CERN

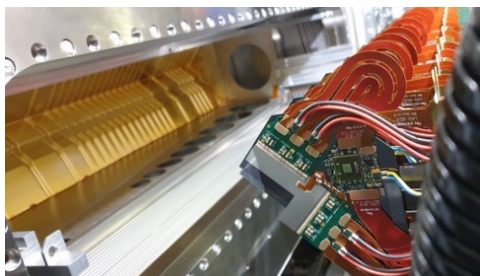
LHCb VELO upgrade II

First 4D-tracking system; and at high channel density, highest rates and radiation tolerance

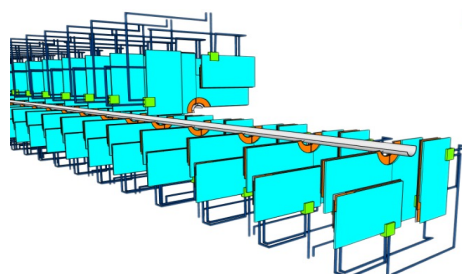
$r_{in} = 7 \text{ mm}$ option driven by TID 1.2 GRad - NIEL $2.5 \times 10^{16} \text{ N}_{eq}/\text{cm}^2$ - $6 \text{ GHZ}/\text{cm}^2$

$\sigma_{hit} = 11 \text{ } \mu\text{m}$ ($40 \text{ } \mu\text{m}$ pitch) ($\sigma_{IP} = 26 \text{ } \mu\text{m}$ at $1 \text{ GeV}/c$), $\sigma_t = 50 \text{ ps}$ ($20 \text{ ps}/\text{track}$), $1.5 \% \text{ X}/\text{X}_0/\text{layer}$

VELO - 2022

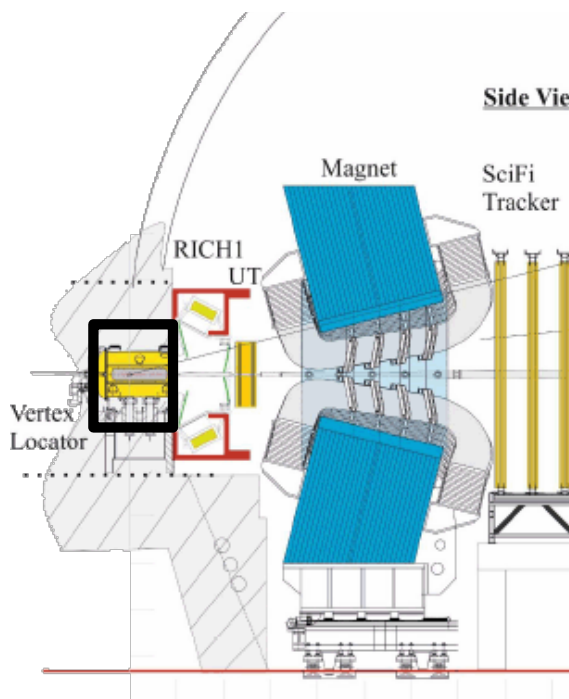


new design ↓

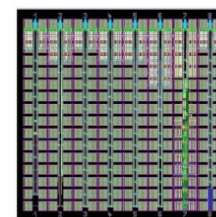
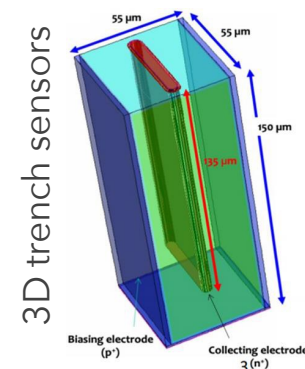


cylindrical RF Al foil $20\text{-}50 \text{ } \mu\text{m}$

Detector within beam pipe



3D design for timing



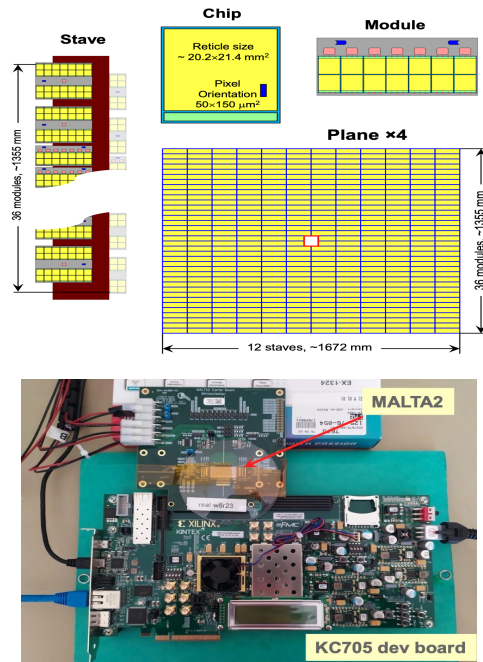
PicoPix ASIC
TSMC 28 nm

Potentially of interest for ATLAS - CMS inner pixel layer(s) replacement

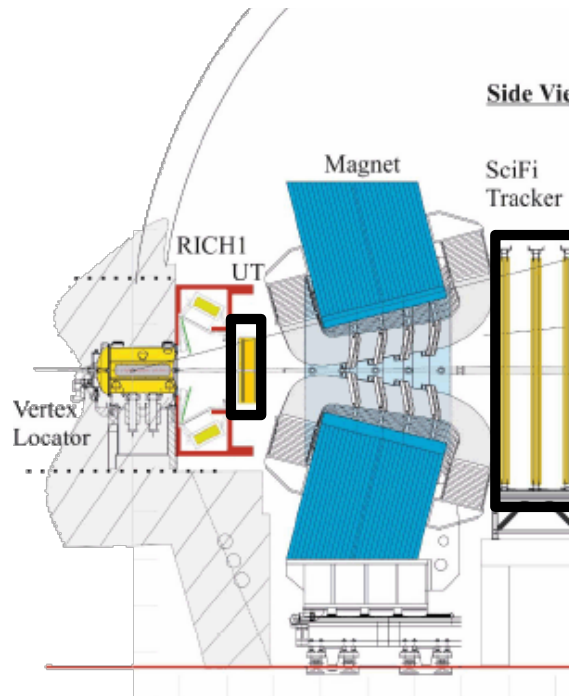
LHCb Tracker upgrade II

1st large tracking area with Monolithic CMOS sensors $\approx 30 \text{ m}^2$, 1% X/X_0 / layer

Upstream Tracker
4 layers - 9 m²

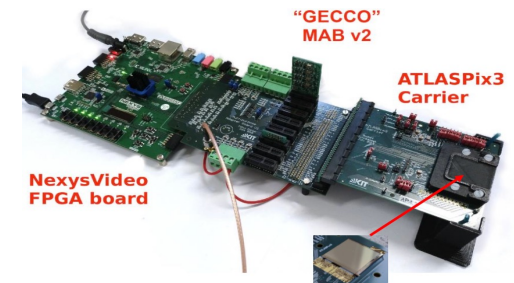
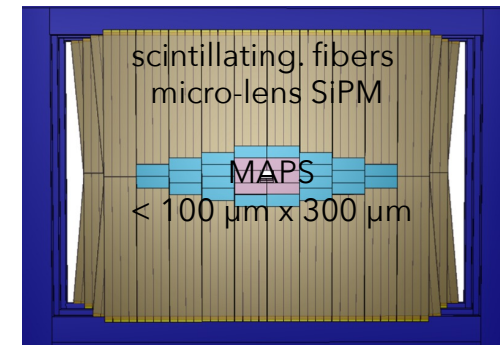


study small electrode (TJ-MALTA)



versus

Mighty Tracker
2 layers x 3 stations = 18 m²



large electrode (TSI ATLASPIX)

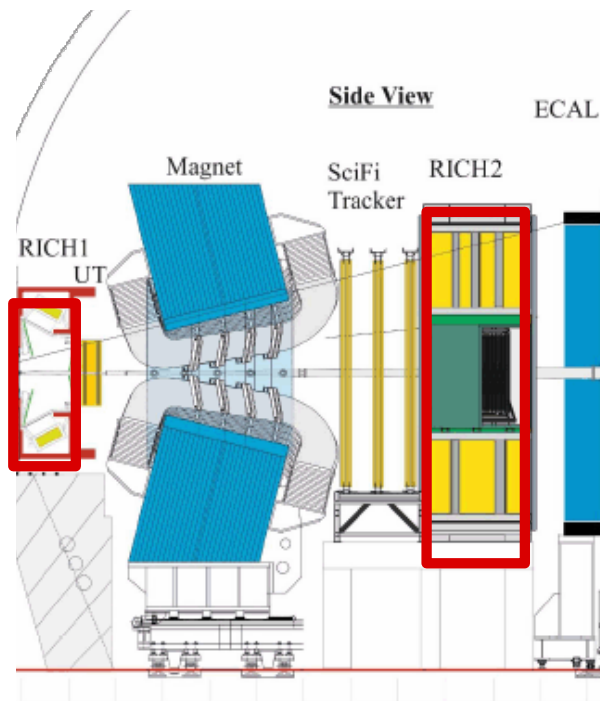
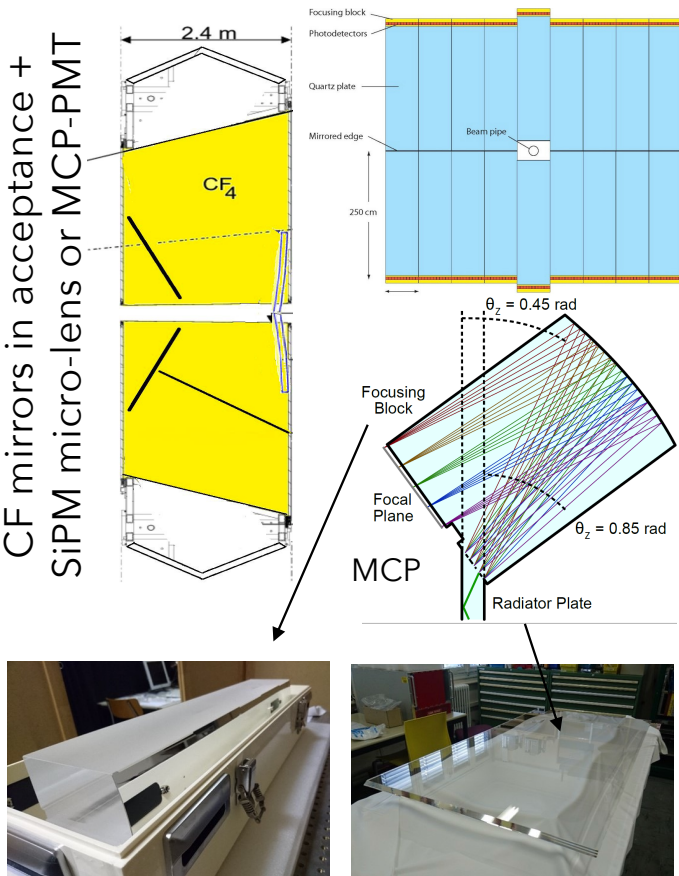
alternative technology/foundries possible

LHCb PID upgrade II

Cerenkov: RICH and TORCH concept, with resp. $\sigma_t \simeq 25$ & 15 ps (70 ps SPTR)

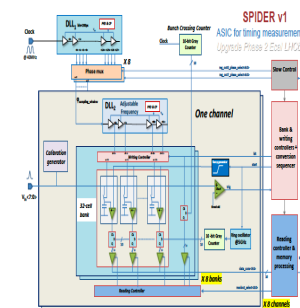
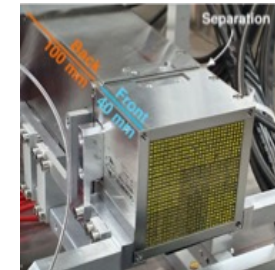
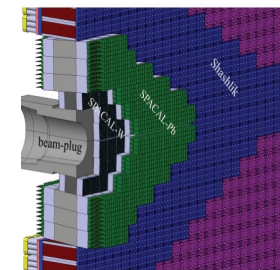
ECAL: SpaCal(inner)/Shashlik (outer), 2-sides readout, $\sigma_t \simeq 20$ ps (at 5 GeV), $\sigma_E/E = 10\%/\sqrt{E} \oplus 1\%$

CF mirrors in acceptance +
SiPM micro-lens or MCP-PMT

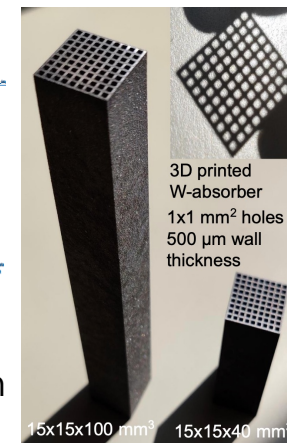


Silica fused radiator

W/GAGG crystals - square fibers 1 x 1 mm²
Pb/polystyrene - round fibers 1 x 1 mm²



Spider ASIC TSMC 65 nm
waveform digitizer



W absorber 3D printing

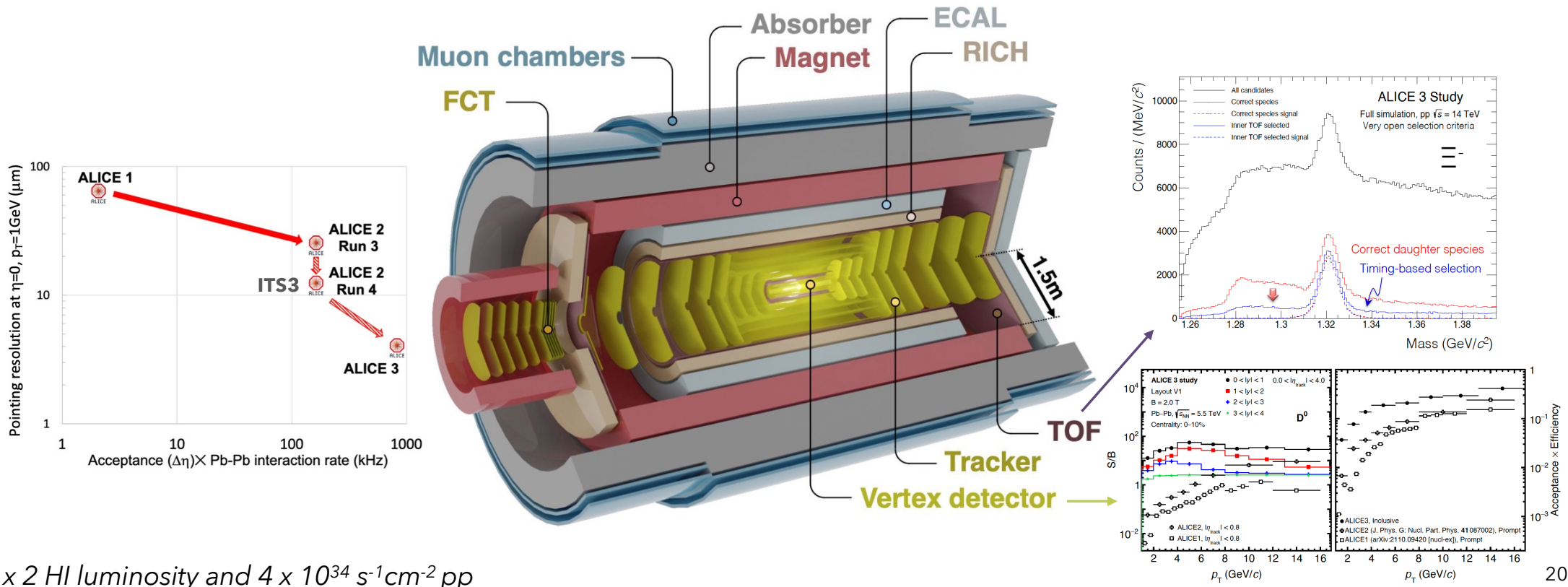
ALICE-3 is a new detector

exploit HL-LHC with 10 x RUN 3 statistics, $L_{\text{integ.}} = 35 \text{ nb}^{-1} \text{ HI} \& 18 \text{ fb}^{-1} \text{ pp}$

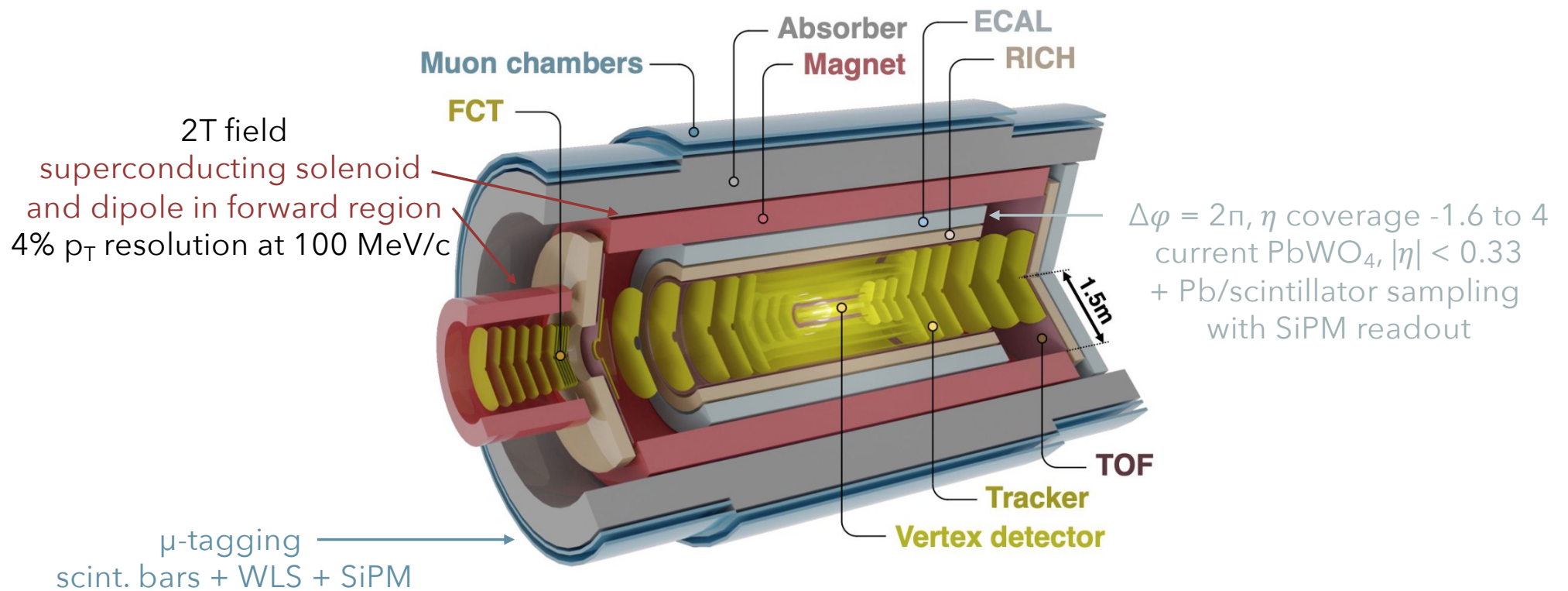
challenge of pointing precision and PID at low p up to $|\eta| = 4$, rate capability x 5(25) in HI(pp)

[FoCal](#) and [ITS3](#) installation in LS3 2026-2028

[ALICE-3 LoI](#) 2022 - TDRs 2026-2027 construction 2028-32 - installation LS4 2033-2034



ALICE-3 upgrade Solenoid, muon and ECAL



ALICE Inner Tracker upgrades

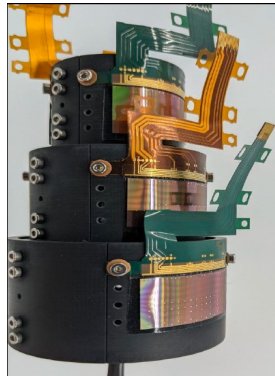
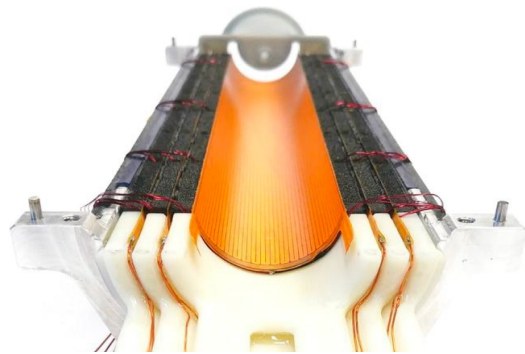
highest precision and lightest tracking system; and closest to the beam

Monolithic CMOS new technology node TPSCo 65 nm with reticle stitching

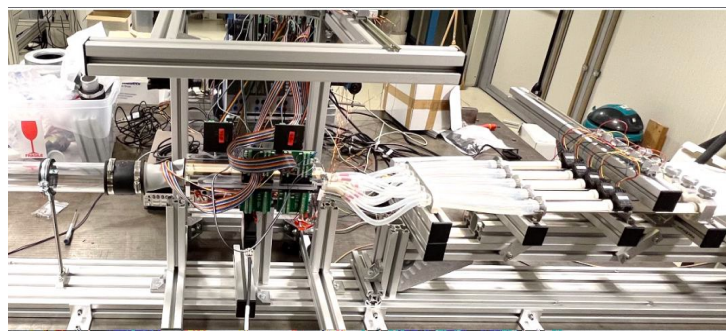
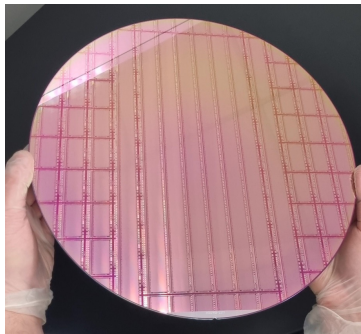
28 cm bent sensors in ITS3, IRIS design in beam pipe in ALICE-3, pitch to $\lesssim 10 \mu\text{m}$ (25 μm DCA at 100 MeV/c)

ITS3 planned for LS3

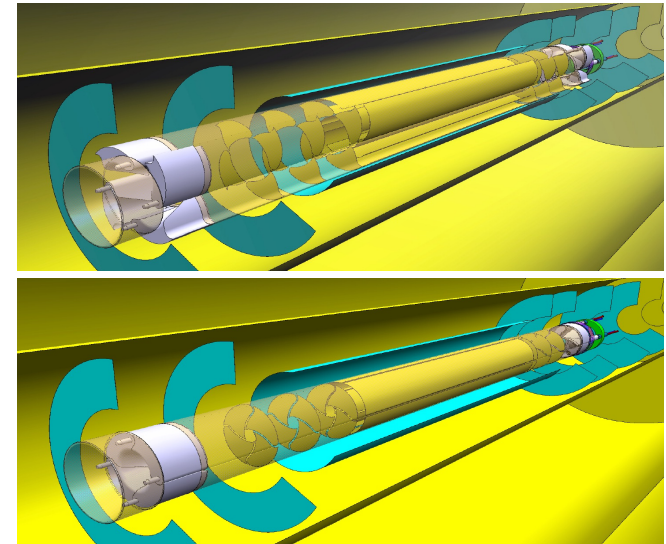
3 layers at 18-24-30 mm – functional bent sensors



1st 28 cm sensors thinned to 50 μm , airflow cooling test set-up



ALICE-3 3 layers at 5 -12 - 25 mm

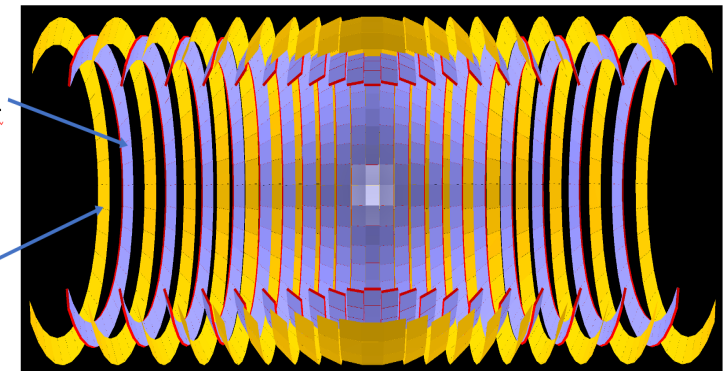
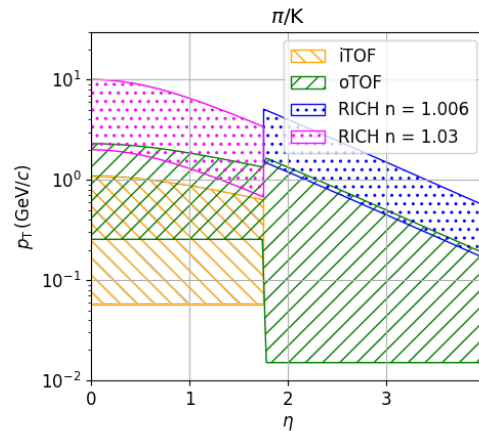
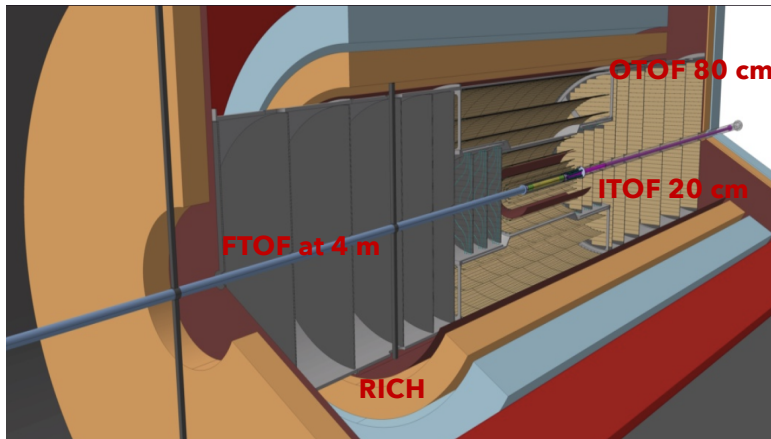


2.5 μm resolution, 0.1% X/X₀ /layer
NIEL 10^{16} neq/cm² - TID 300 MRad
hit rate 100 MHz/cm²
CO₂ colling -35° micro-channel plate
attached to Beryllium case (250 μm)

ALICE-3 Outer Tracker and PID

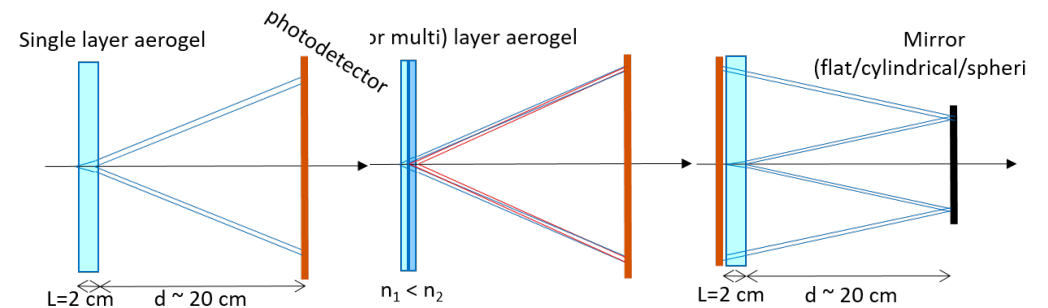
1st large area tracker 60 m² - $\sigma(p_T)/p_T = 2\%$ at 1 GeV/c
Monolithic CMOS sensors (TPSCo 65 nm)

45 m² ToF with Monolithic CMOS with gain (or LGADs)
pitch 1 to 5 mm² - 20 ps resolution - 50 mW/cm²



- 8(9) barrel(endcap) layer(disks)
 - 10 μm resolution
 - $\lesssim 10\%$ X/X_0 (total)
 - low power 20 mW/cm²
- Modules 10 x 10 cm²
 - industry standard for assembly and testing
 - water cooling at room temperature

Aerogel RICH + SiPM 34 m² with projective geometry



Outlook

ATLAS and CMS

enormous effort and impressive progress toward production
final qualification of systems/assembly (modules, rods/stave...) on going with pre-series
production and QA/QC at assembly centers is well advanced
schedule remains challenging

ALICE-3 and LHCb-II

proceeding with R&D and toward approvals
several innovation studies paving the path toward detectors for future colliders
(see process to form new international DRD collaborations as ECFA detector R&D roadmap implementation)
schedule is as well challenging