

# Upgrades of the experiments for the High Luminosity LHC



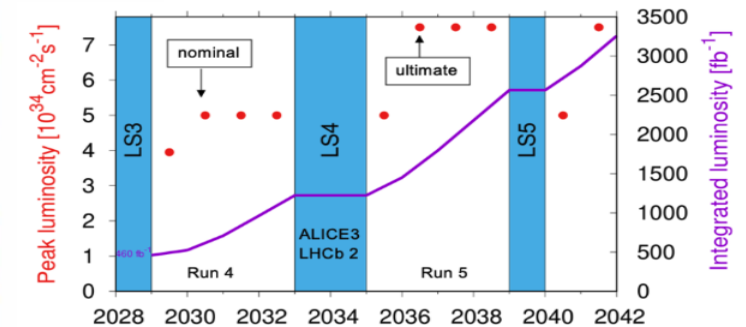
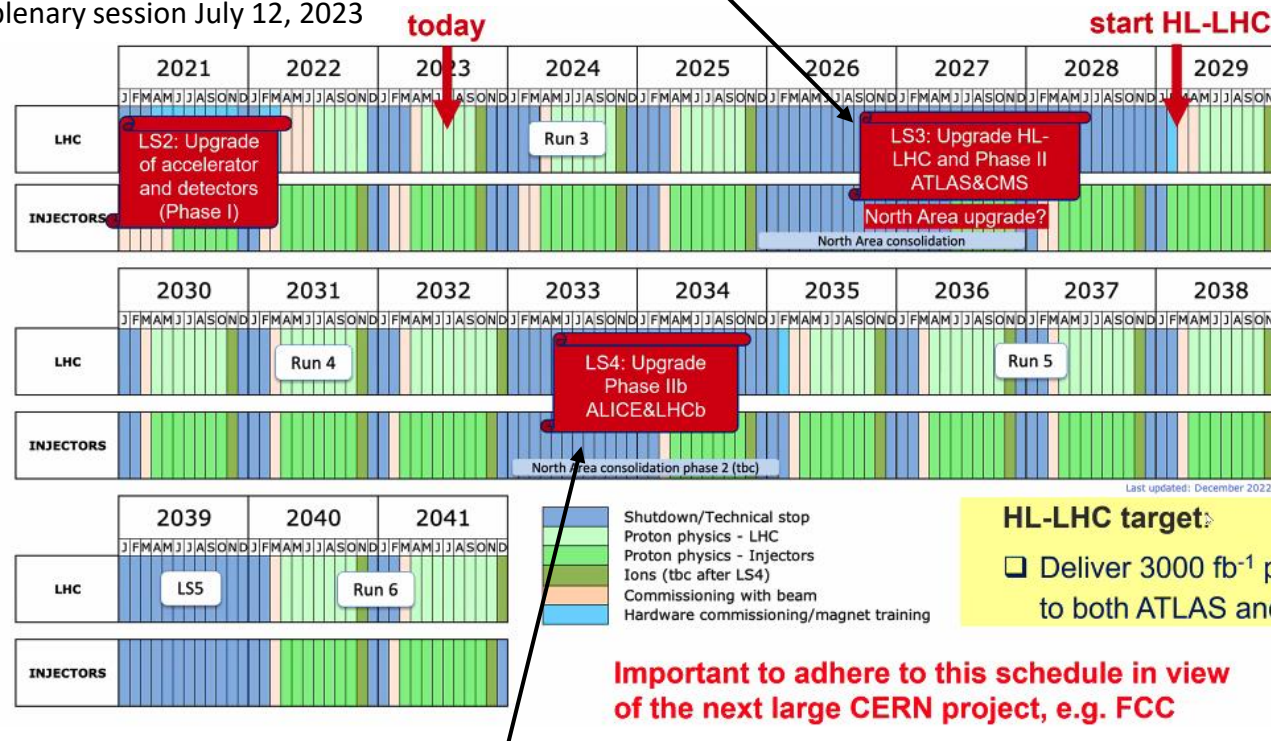
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MELBOURNE CONVENTION  
& EXHIBITION CENTRE  
17 - 21 JULY

D. Contardo, IP2I CNRS/IN2P3 – on behalf of ALICE, ATLAS, CMS and LHCb

# HL-LHC planning for p-p luminosity and experiment upgrades

ATLAS and CMS Phase-2 installed in LS3 (2026-2028) - now entering production

J. Mnich ECFA plenary session July 12, 2023



## HL-LHC target:

- Deliver 3000  $\text{fb}^{-1}$  pp luminosity to both ATLAS and CMS

ALICE-3 and LHCb-II installed in LS4 (2023-2034) - in R&D phase, preparing for approval

# Outline

ATLAS - CMS – LHCb-II and ALICE-3

highlights of major upgrades and new experimental paradigms

recent progress and stepping stone R&Ds

*thanks to A. Di Mauro, M. Palutan, B. Gorini, F. Hartmann*



# ATLAS and CMS Phase-2 upgrade overview

exploit HL-LHC at  $L_{\text{inst.}} \simeq 7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ,  $L_{\text{integ.}} \simeq 3000 \text{ fb}^{-1}$  ( $\simeq \times 10$  end of Run-3)

challenge to maintain current performance at  $\langle 200 \rangle$  collisions/event\* - sustain rates and irradiation

new electronics, new tracker - timing layers - tracking in trigger - new endcap calorimeter in CMS

Trigger/HLT/DAQ  
<https://cds.cern.ch/record/2285584>

- Tracker readout at 1 MHz after 10  $\mu\text{s}$  latency
- HLT 150 kHz with tracks after  $\approx 30 \mu\text{s}$
- HLT output 10 kHz

Liquid Argon and Tile calorimeters  
<https://cds.cern.ch/record/2285583>  
<https://cds.cern.ch/record/2285582>

- New electronics increased granularity

New Tracker  
<https://cds.cern.ch/record/2257755>  
<https://cds.cern.ch/record/2285585/>

- Si-Strip & Pixels increased granularity
- Extended coverage to  $\eta \approx 4$

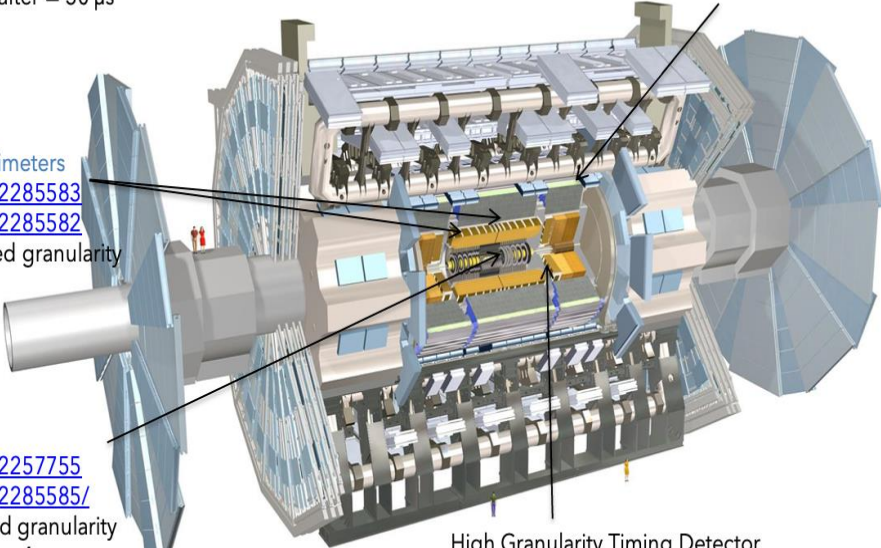
Muon systems <https://cds.cern.ch/record/2285580>

- New electronics
- Inner barrel chambers new RPC and sMDT

Luminosity upgrade  
• 1% Precision

High Granularity Timing Detector  
<https://cds.cern.ch/record/2719855?ln=fr>

- Low Gain Avalanche Diodes  $2.4 \lesssim \eta \lesssim 4$



L1-Trigger/HLT/DAQ  
<https://cds.cern.ch/record/2714892>  
<https://cds.cern.ch/record/2759072>

- Tracks in L1-Trigger at 40 MHz
- 750 kHz L1 output
- 7.5 kHz output

High Granularity Calorimeter Endcap  
<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Si, Scint+SiPM in Pb-W/SS

Tracker <https://cds.cern.ch/record/2272264>

- Si-Strip & Pixels increased granularity
- Extended coverage to  $\eta \approx 3.8$

MIP Timing Detector  
<https://cds.cern.ch/record/2667167>

- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes

Barrel Calorimeters  
<https://cds.cern.ch/record/2283187>

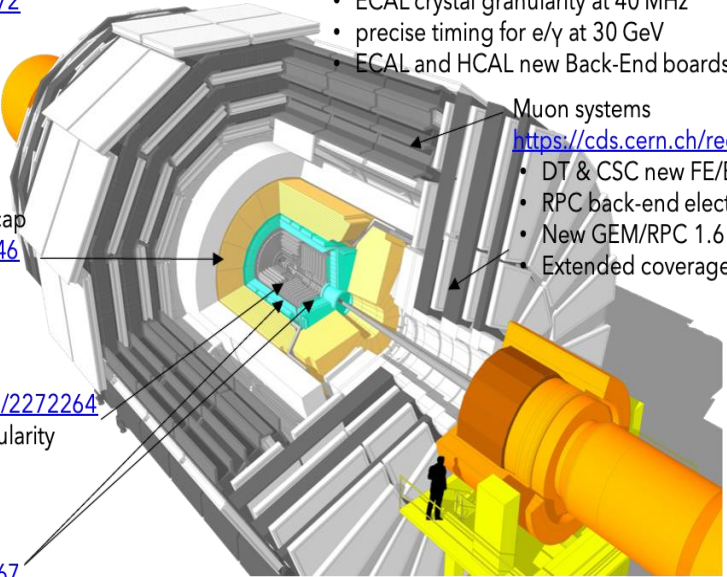
- ECAL crystal granularity at 40 MHz
- precise timing for  $e/\gamma$  at 30 GeV
- ECAL and HCAL new Back-End boards

Muon systems  
<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC  $1.6 < \eta < 2.4$
- Extended coverage to  $\eta \approx 3$

Luminosity upgrade <https://cds.cern.ch/record/2759074>

- 1% offline



\* compared to  $\langle 60 \rangle$  today, experiment ability to sustain collision pile-up is the limitation to instantaneous luminosity

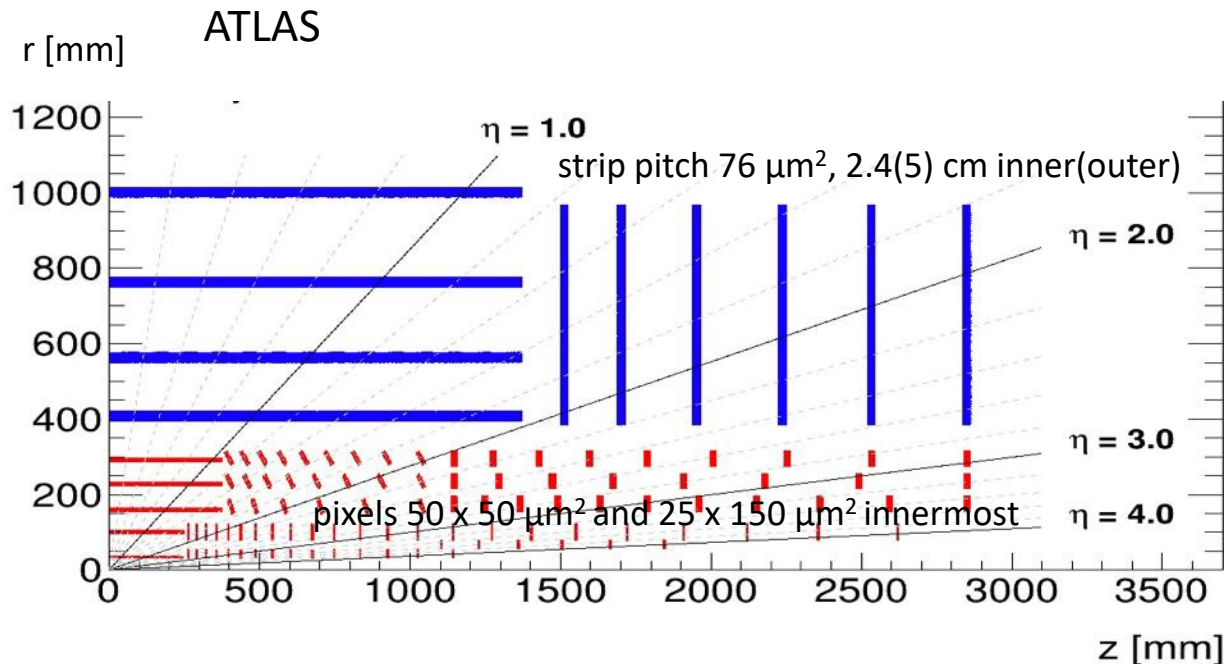
# ATLAS and CMS Phase-2 Tracker upgrade

$\simeq \times 4(6)$  channels OT(IT),  $|\eta|$  up to 4, tilted design, CO<sub>2</sub> cooling, serial powering ( $\simeq \frac{1}{2}$  weight)

## Outer strip-Tracker

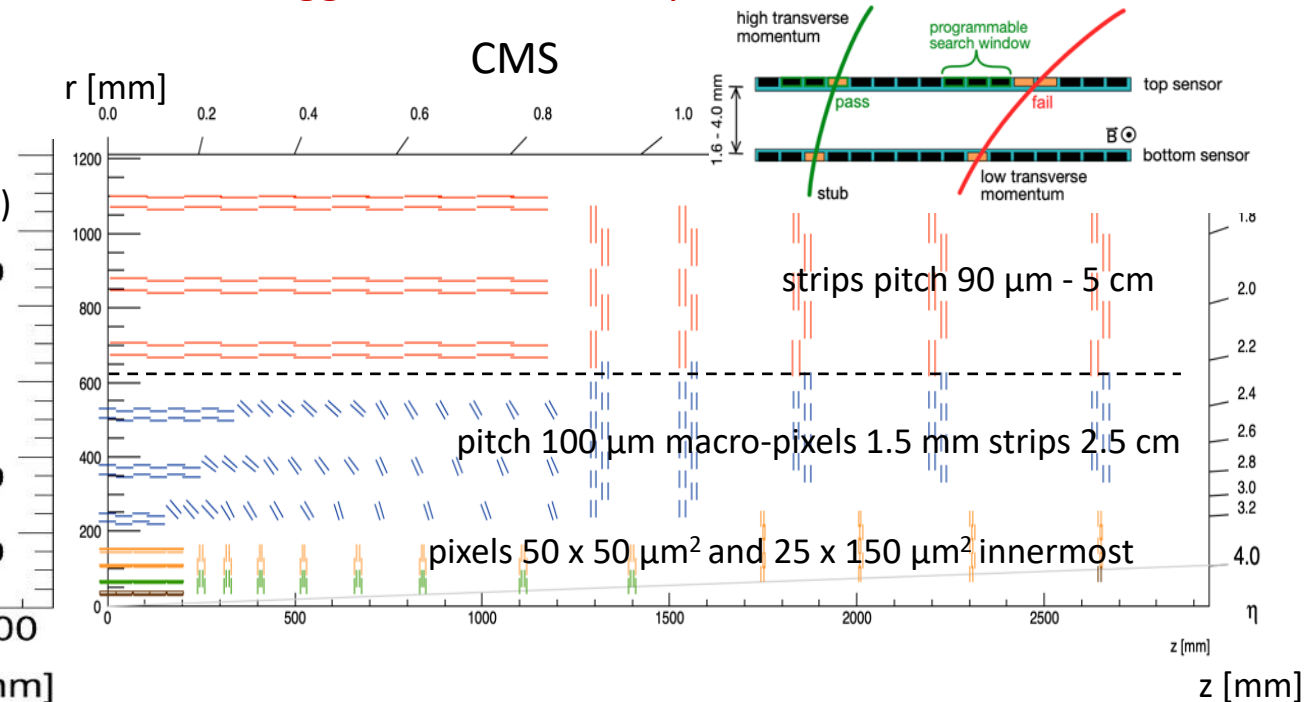
$\simeq 170 \text{ m}^2$  - stereo modules –  $60 \times 10^6$  channels

$\simeq 200 \text{ m}^2$  - **trigger module concept** -  $10^6$  channels



5 pixel layers  $13 \text{ m}^2$  -  $5 \times 10^9$  channels

Inner pixel-Tracker - innermost layers replaceable

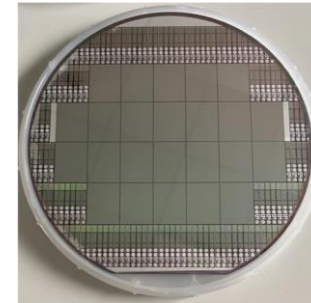


4 pixel layers  $5 \text{ m}^2$  -  $2 \times 10^9$  channels

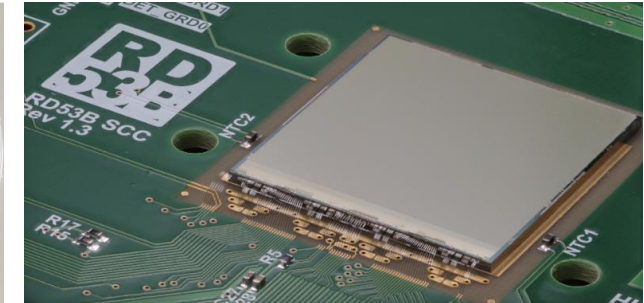
# ATLAS and CMS Phase-2 Inner pixel-Tracker progress

new technology 65 nm TSMC ASIC enabling 50(25) x 50(100)  $\mu\text{m}^2$  pitch at  $\simeq 3 \text{ GHz/cm}^2$

- Silicon sensors - planar and 3D - production started
- Front-end common ASIC development (RD53)
  - final ATLAS submitted, CMS imminent
- Hybridization of sensor proceeding at vendors
- Several modules of different types available (1<sup>st</sup> FE versions)



3D wafer



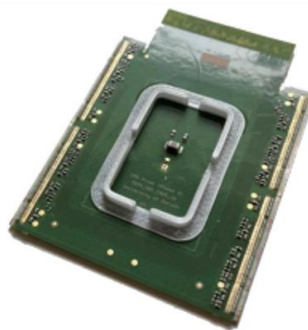
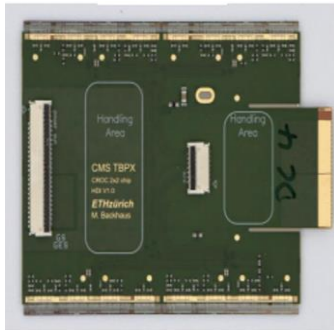
ITkPixV1 ASIC

Modules: 2 CMS types (left) and assembly and test in ATLAS (right)

2 x 2

1 x 2

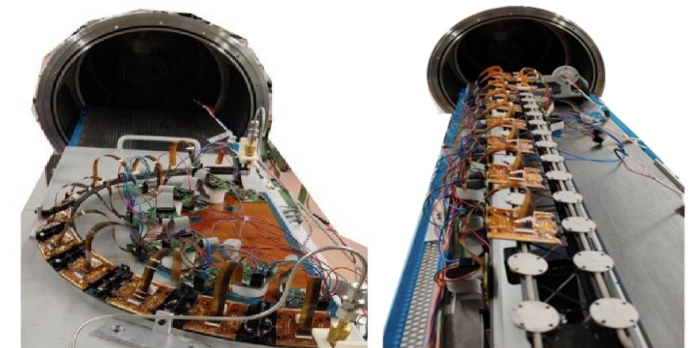
2 x 2 here (and 1 x 3)



$\simeq 4$  kmodules

$\simeq 7$  kmodules

Thermal tests in ATLAS mechanics

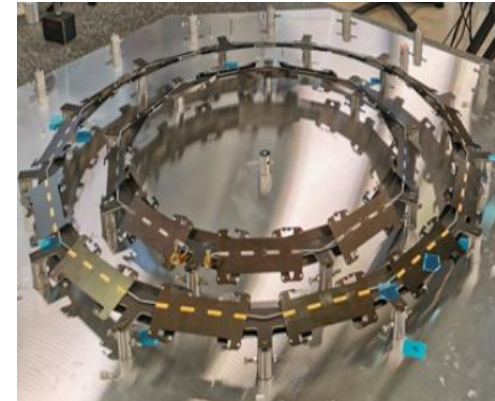




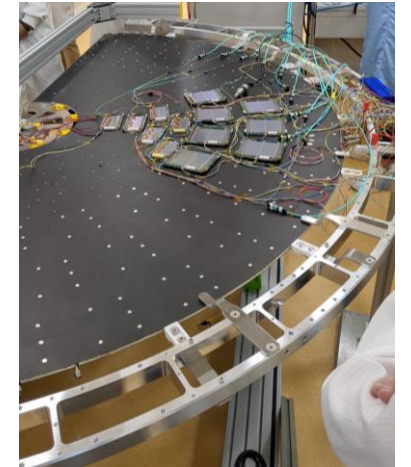
# ATLAS and CMS Phase-2 Outer strip-Tracker progress

- Substantial fractions of sensors delivered
- ASICs in production
- Hybrid pre-production started
- First assembly in rods, petals, disks performed
- Main mechanics components being ordered

CMS tilted rings

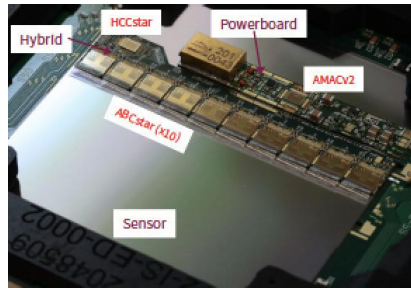


CMS endcap dee

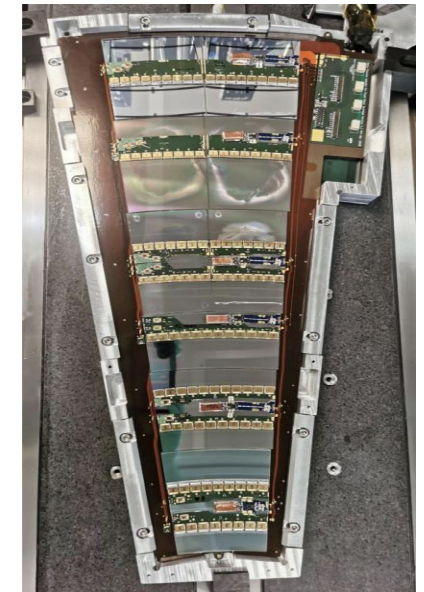


modules CMS (left)  $\approx 13000$ , ATLAS (right)  $\approx 18000$

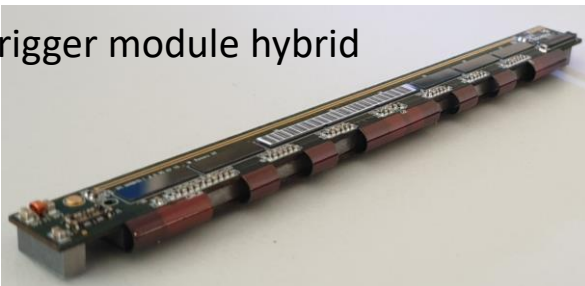
1<sup>st</sup> barrel rods ATLAS (top) – CMS (bottom)



ATLAS endcap petal



CMS trigger module hybrid

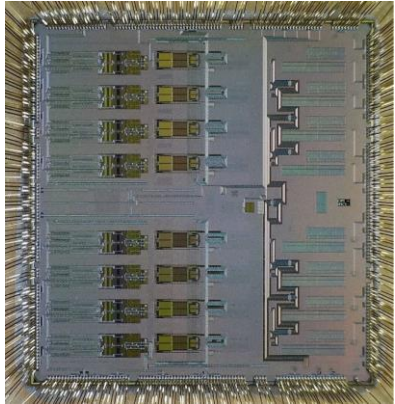


# ATLAS and CMS Phase-2 Calorimeter electronics upgrade

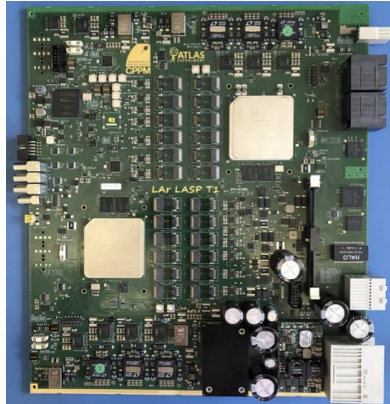
full granularity at 40 MHz - improved precision - increased bandwidth

ATLAS

Liquid Argon Calorimeter  
continuous readout at 40 MHz



65 nm ADC ASIC  
16 bit dynamics  
in production



ATC board 10 Gb/s  
waveform sampling  
final prototype

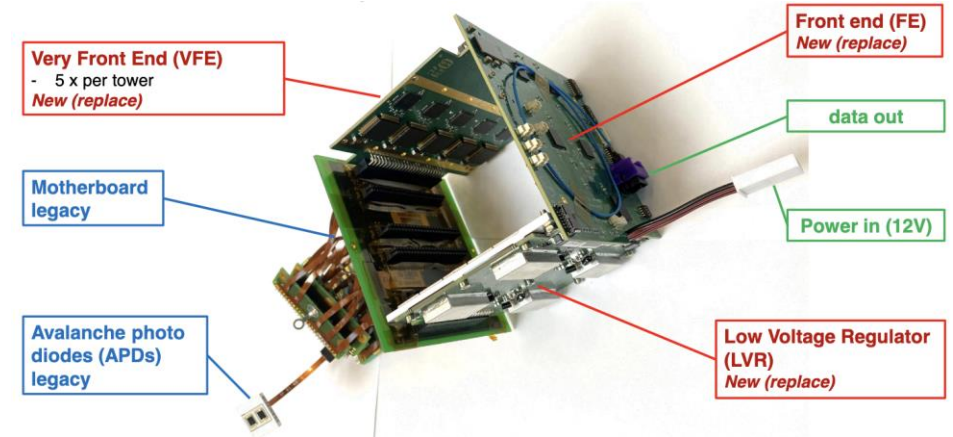
HCAL-tile PMT & readout



FE & main boards  
in production  
mechanics production  
completed

CMS

ECAL PbWO<sub>4</sub> crystals readout



160 MHz sampling - **30 ps resolution (40 GeV/c)**  
ASICs and component procurements on going  
operation at 8° for radiation tolerance



# CMS Phase-2 High Granularity Calorimeter upgrade

first experiment implementation of CALICE concept developed for ILC

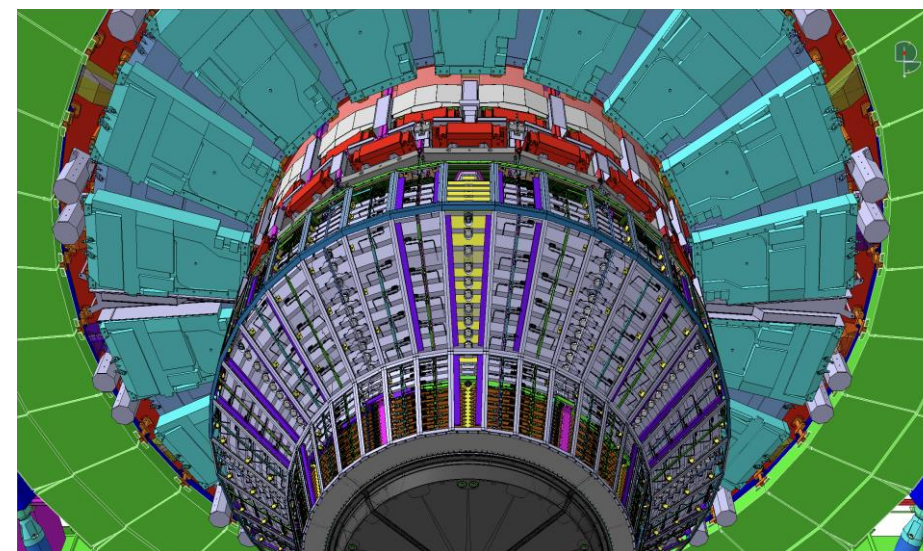
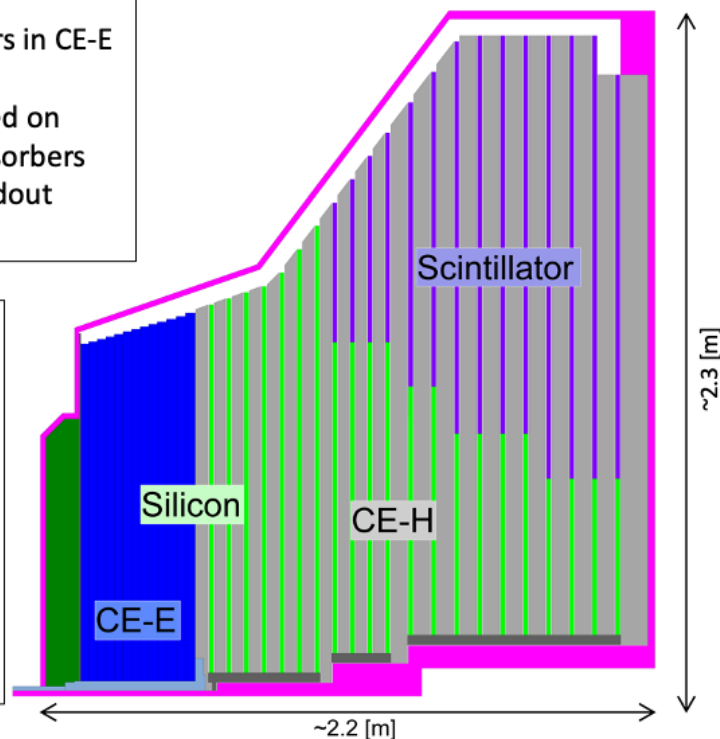
26 Si layers in CE-E, with Pb/Cu/CuW absorbers; 8 Si layers & 13 mixed Si/Scint layers in CE-H, with Cu/SS absorber

## Active Elements:

- Hexagonal modules based on Si sensors in CE-E and high-radiation regions of CE-H
- “Cassettes”: multiple modules mounted on cooling plates with electronics and absorbers
- Scintillating tiles with on-tile SiPM readout in low-radiation regions of CE-H

## Key Parameters:

Coverage:  $1.5 < |\eta| < 3.0$   
~215 tonnes per endcap  
Full system maintained at  $-35^{\circ}\text{C}$   
~620m<sup>2</sup> Si sensors in ~30000 modules  
~6M Si channels, 0.5 or 1cm<sup>2</sup> cell size  
~400m<sup>2</sup> of scintillators in ~4000 boards  
~240k scint. channels, 4-30cm<sup>2</sup> cell size  
Power at end of HL-LHC:  
~125 kW per endcap



October 2018 run 517 - event 30:

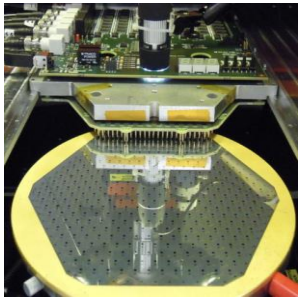
250 GeV  $\pi^-$



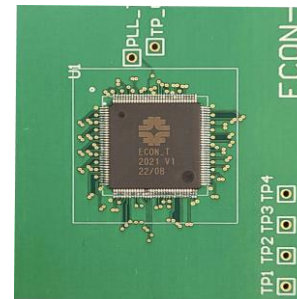
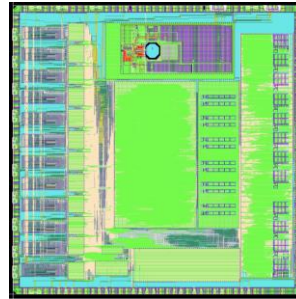
3D topology and  $\sigma_t \simeq 20$  ps for 25 GeV/c electrons

# CMS upgrade Phase-2: High Granularity Calorimeter progress

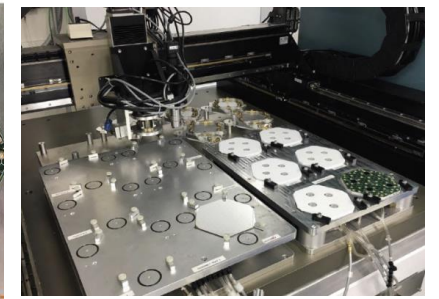
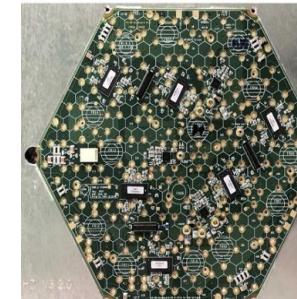
8" hexagonal sensor production  
started ( $\approx 22000$ )



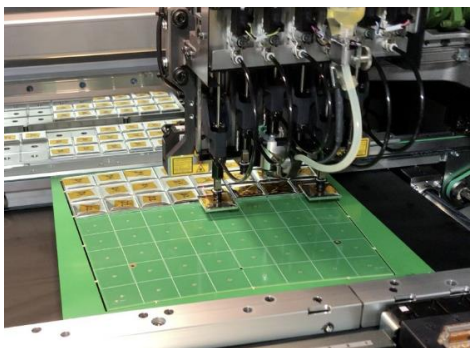
Final FE ASIC submitted (in BGA left)  
trigger & data transfer ASICs in test (right)



Hexaboards pre-series on going (left)  
module robot assembly (right)



Scintillating tile - production started  
SiPM in procurement process



Tileboard robot assembly  
PCB in procurement process

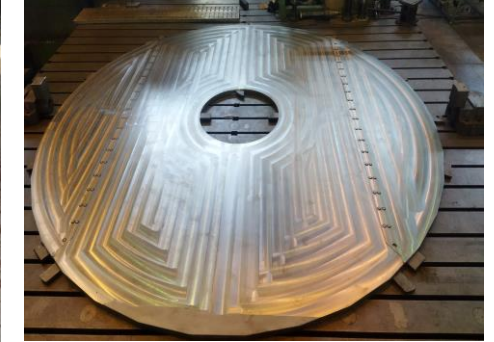
CE-H cassette test



1<sup>st</sup> CE-E Cu cassette



1<sup>st</sup> SS absorber disk



Data transfer board being characterized



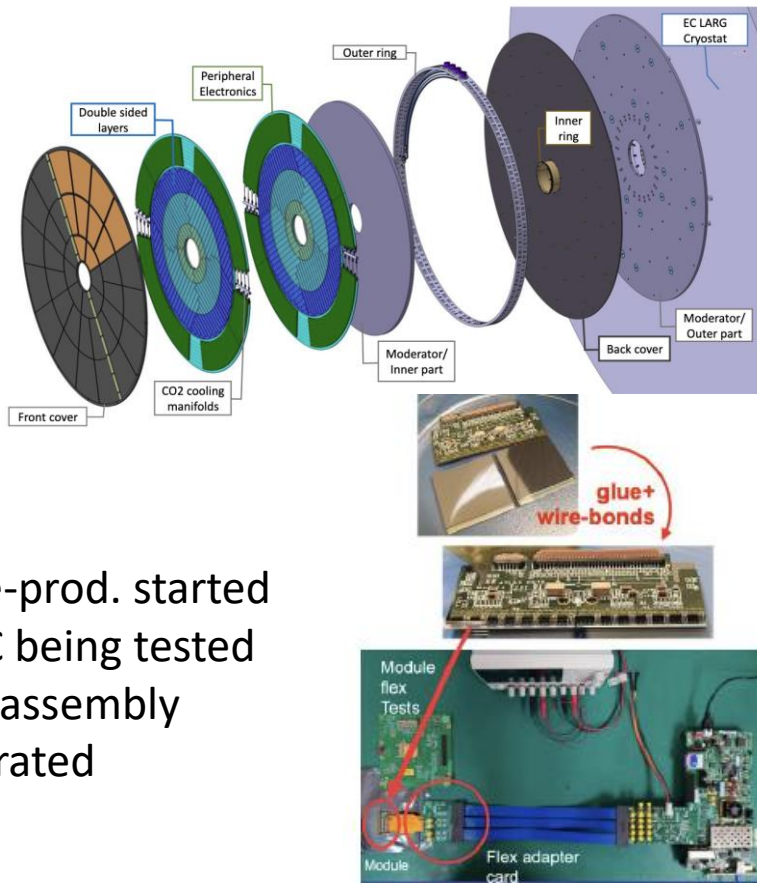
# ATLAS and CMS Phase-2 Timing Layers

reduce number of collision vertices according to their time in the bunch crossing

**endcap timing layers first use of Low Gain Avalanche photoDiodes**

2 double sided thin layers providing  $\sigma_t \simeq 30/50$  ps (/track)\* before/after irradiation with  $1.3 \times 1.3$  mm<sup>2</sup> pads

ATLAS 75 mm x 6.4 m<sup>2</sup> -  $2.4 < |\eta| < 4$  - 3.6 Mch.

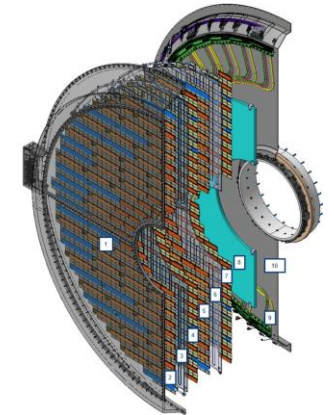


- LGAD pre-prod. started
- final ASIC being tested
- modules assembly demonstrated

sensors  $\simeq 2 \times 4$  cm<sup>2</sup> - 8 kmodules (1 sensors)

CMS 45 mm x 14 m<sup>2</sup> -  $1.6 < |\eta| < 3$  - 8.5 Mch.

- LGAD market survey completed
- last but final ASIC being qualified
- module assembly demonstrated



FE ASIC test - readout board and module assembly



sensors  $\simeq 2 \times 4$  cm<sup>2</sup> - 9 kmodules (1 or 2 sensors)

\*  $\sigma_t$  should remain  $\lesssim 35$  ps with sensors being at  $|\eta| < 3$

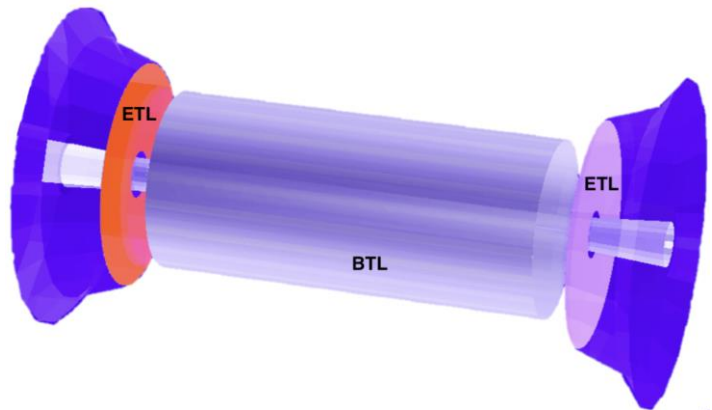
# CMS Phase-2 Barrel Timing Layer

first HEP experiment “PET-like” system

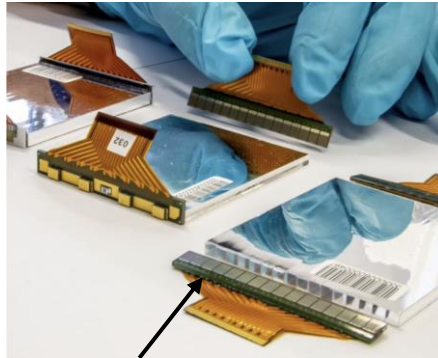
thin layer of LYSO crystals + SiPM in front of ECAL providing  $\sigma_t \simeq 30/60$  ps before/after irradiation

40 mm thick - 38 m<sup>2</sup> - 332 kcrystals

early installation within the tracker tube, starting procurements



16 LYSO bars (56 x 3 x 3 mm<sup>3</sup>) per module ( $\simeq 21000$ )



SiPM on both sides



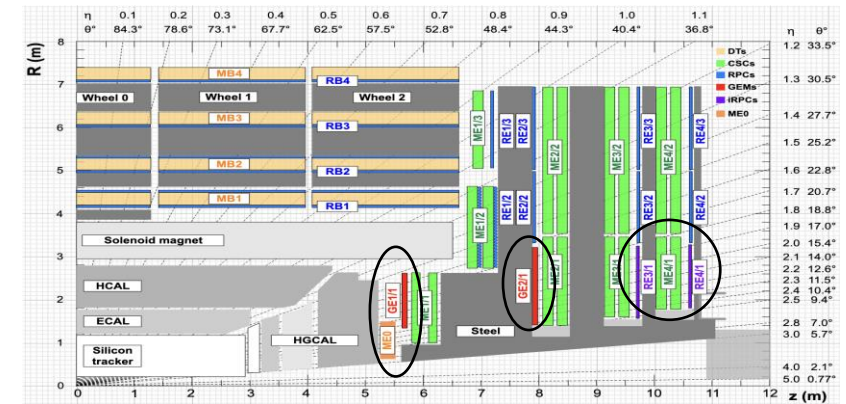
24 modules readout unit grouped in trays (right)





new electronics, improved coverage &  $p_T$  resolution for trigger, sustain high rates

Electronics in Barrel DT and RPCs  
GEM/iRPC in  $1.6 < \eta < 2.4$  - GEM to  $\eta = 3$



## Slice tests DT, GEM & iRPC at P5



CMS GEM (left) & iRPC (right) in production

# ATLAS and CMS Phase-2 Trigger and DAQ boards

ATLAS trigger: 1 MHz L0 in 10  $\mu$ s - tracks with FPGA/GPU in 30  $\mu$ s - 10 kHz output

CMS trigger: (OT) tracks in FPGA at 40 MHz, 750 kHz full readout in 12.5  $\mu$ s, 7.5 kHz output

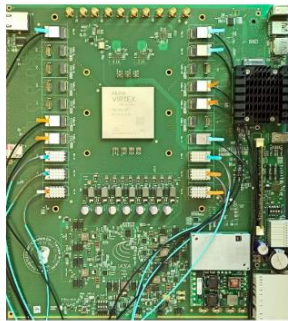
high processing power FPGAs, 25 Gb/s links, AI PFlow algorithms in firmware

## CMS

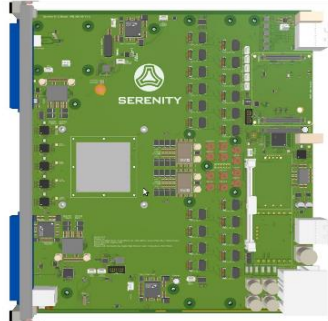
4 trigger boards tuned to detectors needs (cost) + 1 DAQ board  
pre-production completed for slice test and yield



APx  
Barrel ECAL and HCAL



BMT  
Barrel Muons



Serenity  
HGCAL and Tracking



X2O  
Endcap Muons



DTH  
DAQ interface

## ATLAS

### Global Trigger Board



### single DAQ back-end board FELIX





# LHCb upgrade II

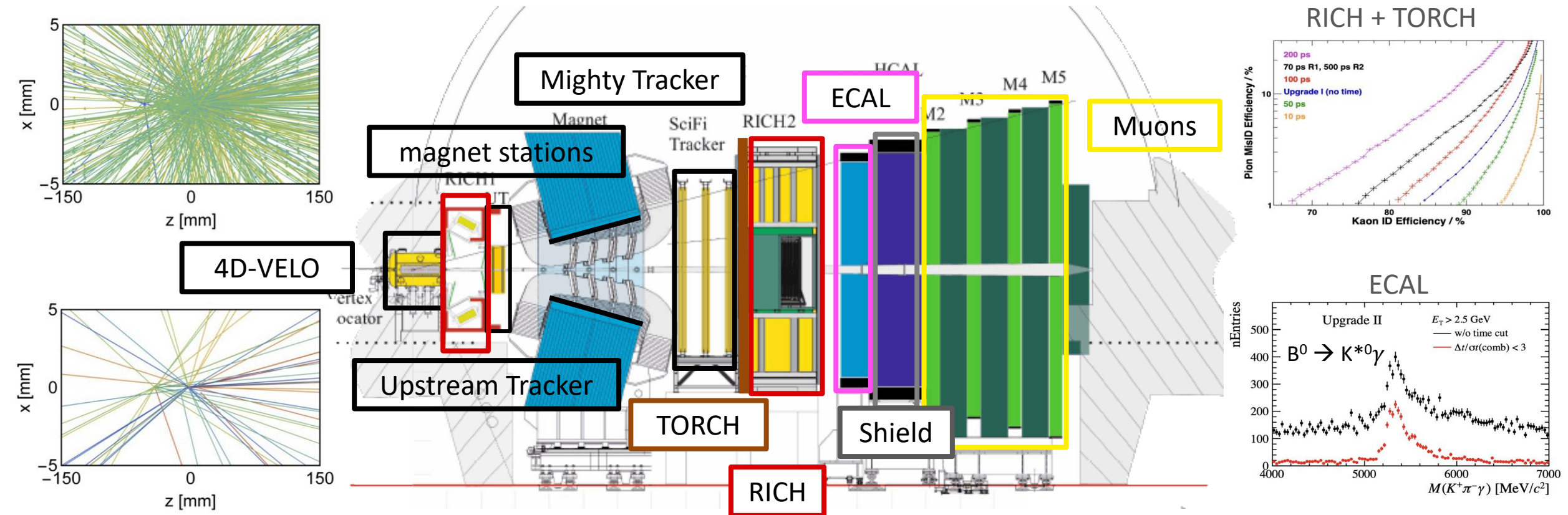
exploit HL-LHC at  $L_{\text{inst.}} = 1.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ,  $L_{\text{integ.}} \geq 300 \text{ fb}^{-1}$

challenge to maintain current performance at  $\langle 40 \rangle$  collisions/event - sustain rates and irradiation

high granularity, timing precision  $\simeq 10$ 's ps, 200 Tb/s data bandwidth

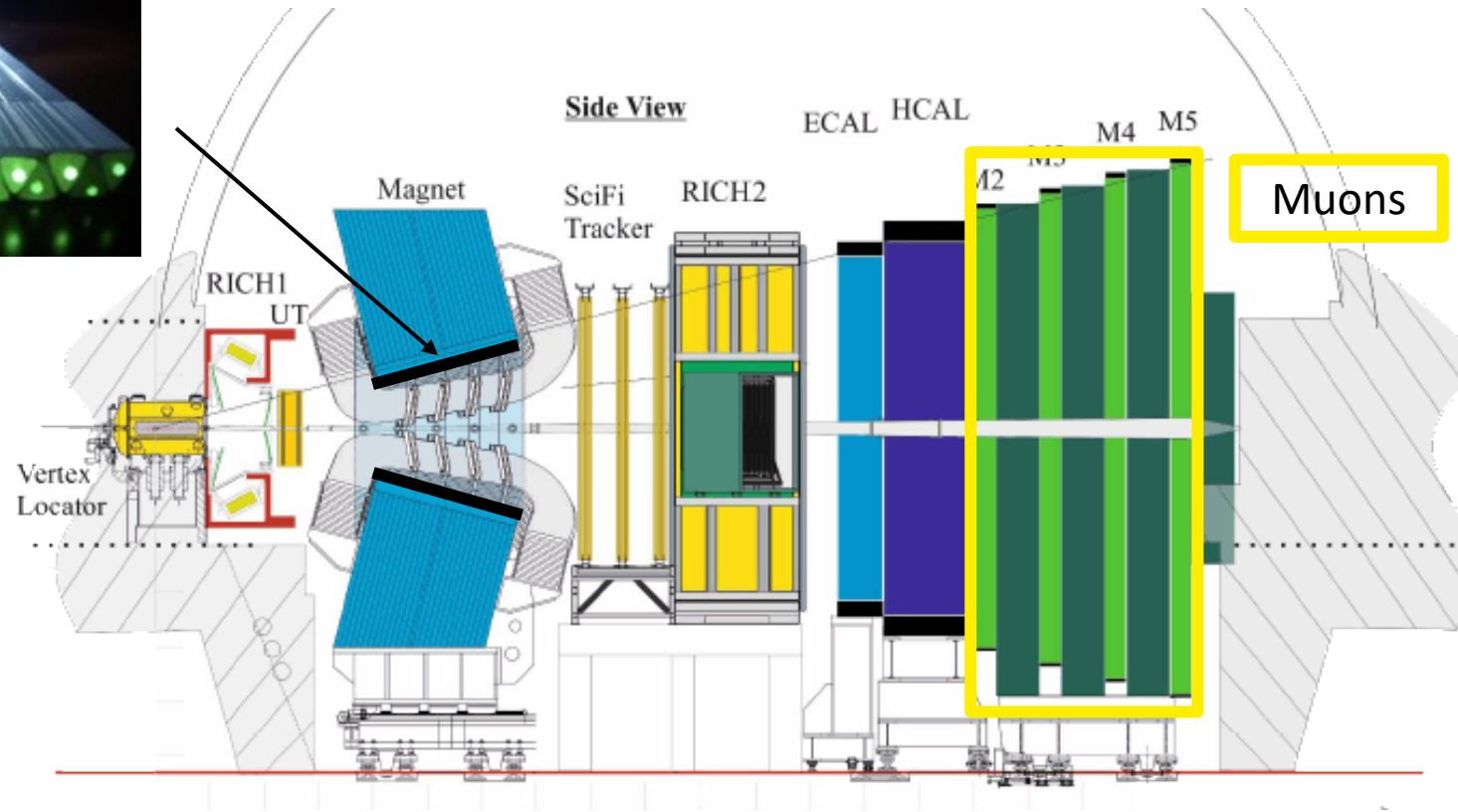
EoI 2017 - Physics Case 2018 - [Framework TDR](#) 2022 - Scoping Document 2024 - TDRs 2025-26

infrastructure LS3 2026-28 – construction 2027-33 - installation LS4 2033-2034



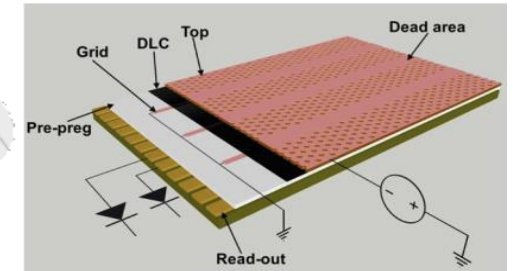
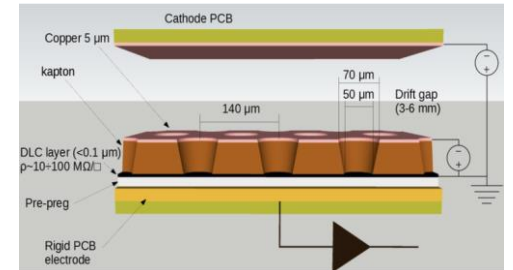
# LHCb upgrade II magnet and muon stations

Scint . bars + WLS



inner region

new generation of MPGD:  $\mu$ -RWELL 1<sup>st</sup> application



DLC coating  
machine at CERN



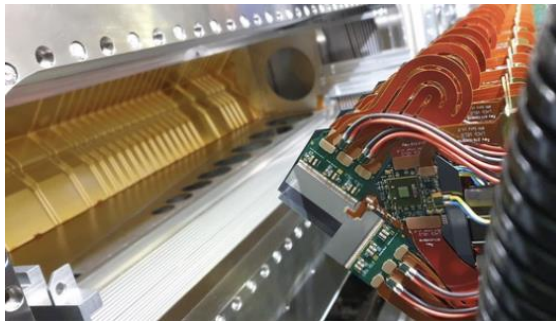
# LHCb VELO upgrade II

First 4D-tracking system; and at high channel density, highest rates and radiation tolerance

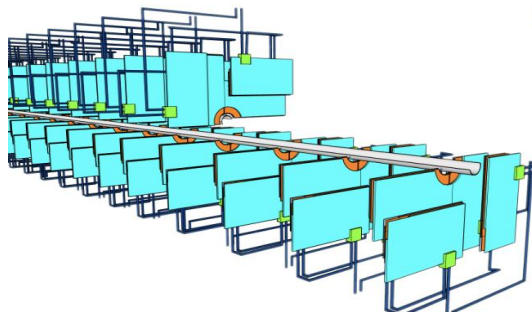
$r_{in} = 7 \text{ mm}$  option driven by TID  $1.2 \text{ GRad}$  - NIEL  $2.5 \times 10^{16} \text{ N}_{eq}/\text{cm}^2$  –  $6 \text{ GHz}/\text{cm}^2$

$\sigma_{hit} = 11 \text{ } \mu\text{m}$  ( $40 \text{ } \mu\text{m}$  pitch) ( $\sigma_{IP} = 26 \text{ } \mu\text{m}$  at  $1 \text{ GeV}/c$ ),  $\sigma_t = 50 \text{ ps}$  ( $20 \text{ ps}/\text{track}$ ),  $1.5 \% \text{ X}/\text{X}_0/\text{layer}$

VELO - 2022

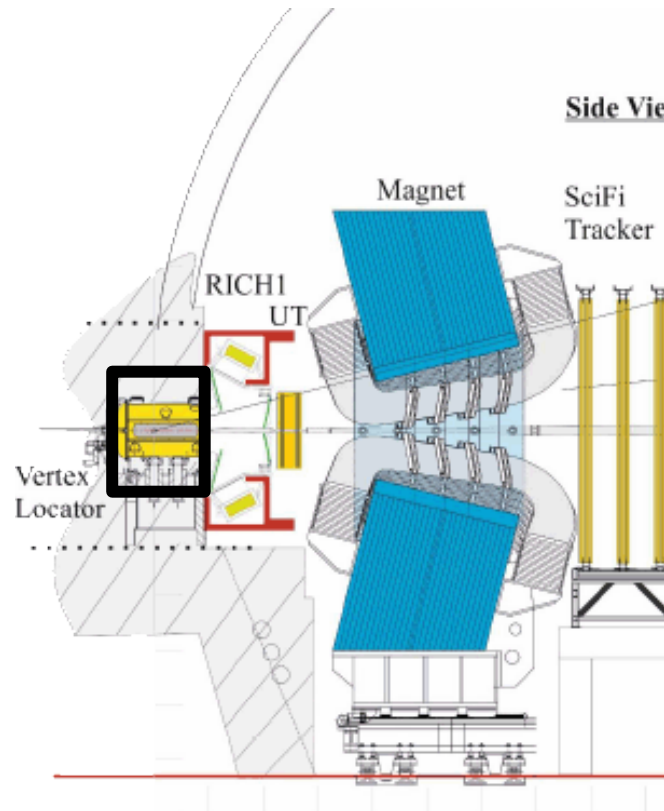


new design

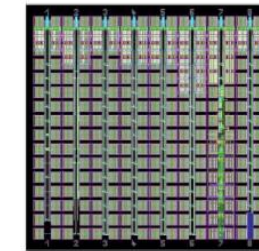
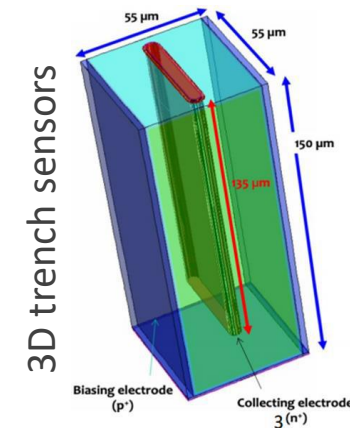


cylindrical RF Al foil  $20\text{-}50 \text{ } \mu\text{m}$

Detector within beam pipe



3D design for timing



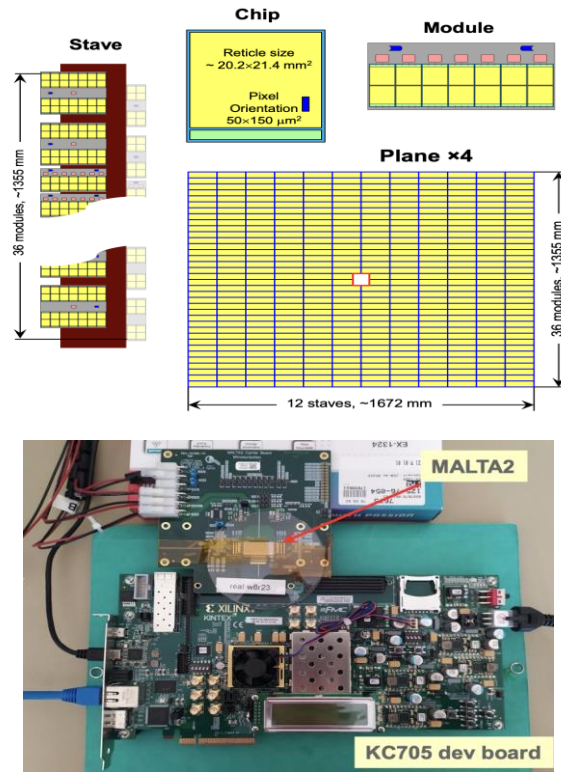
PicoPix ASIC  
TSMC 28 nm

# LHCb Tracker upgrade II

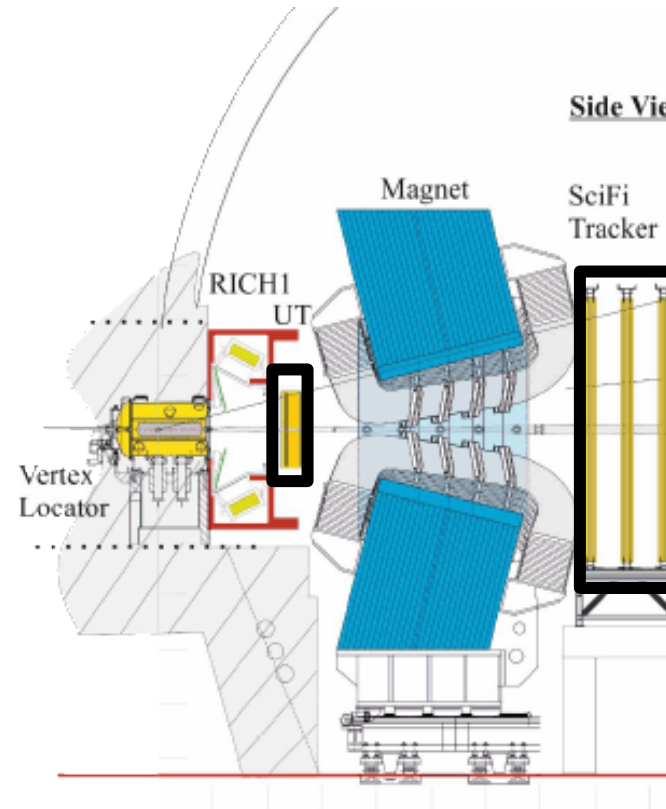
1<sup>st</sup> large tracking area with Monolithic CMOS sensors  $\simeq 30 \text{ m}^2$ , 1%  $X/X_0$  / layer

## Upstream Tracker

4 layers -  $9 \text{ m}^2$



study small electrode (TJ-MALTA)

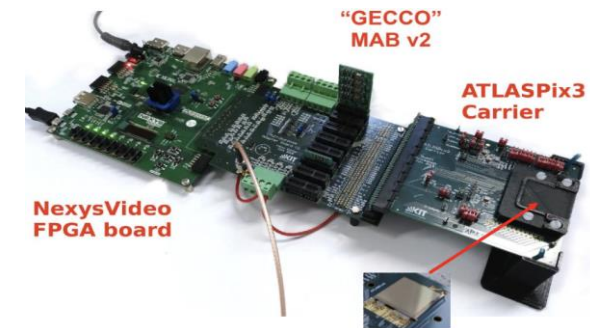
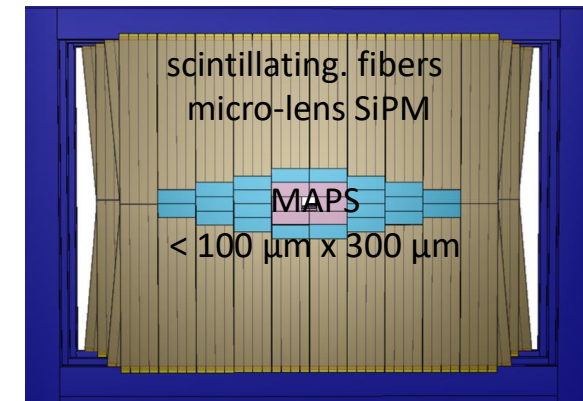


versus

alternative technology/foundries possible

## Mighty Tracker

2 layers x 3 stations =  $18 \text{ m}^2$



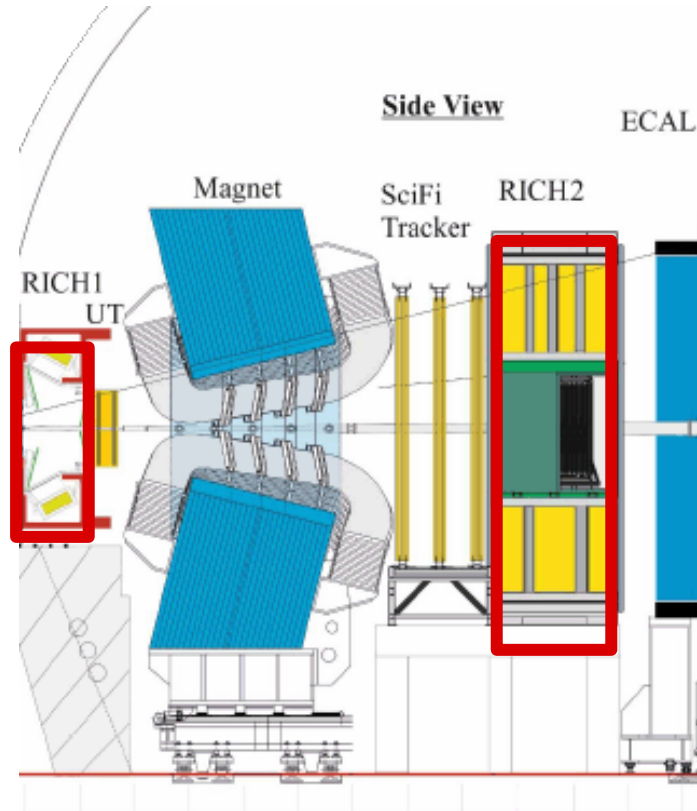
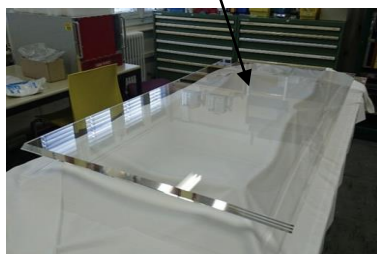
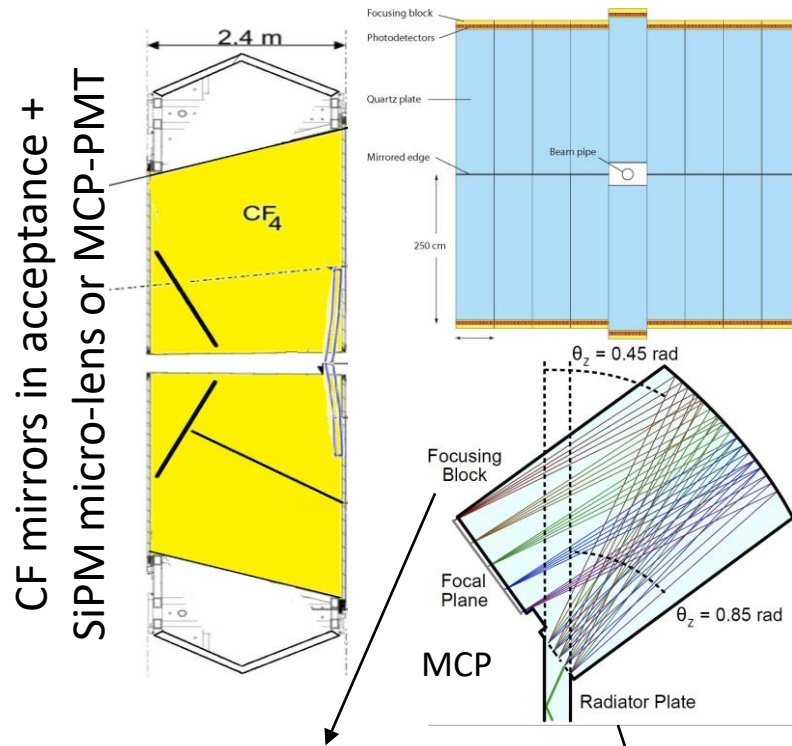
large electrode (TSI ATLASPIX)



# LHCb PID upgrade II

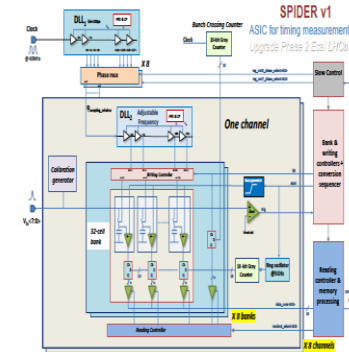
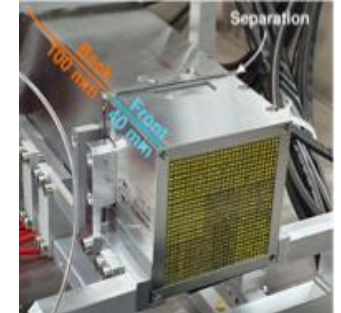
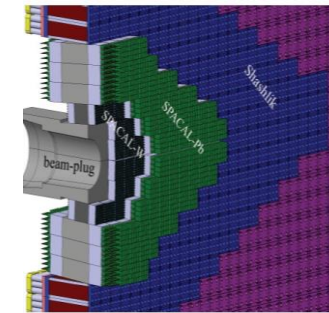
Cerenkov: RICH and TORCH concept, with resp.  $\sigma_t \simeq 25$  & 15 ps (70 ps SPTR)

ECAL: SpaCal(inner)/Shashlik (outer), 2-sides readout,  $\sigma_t \simeq 20$  ps (at 5 GeV),  $\sigma_E/E = 10\%/ \sqrt{E} \oplus 1\%$

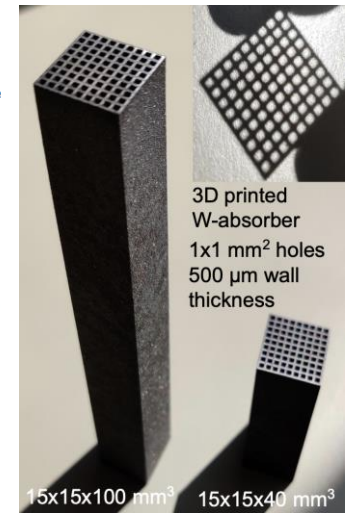


Silica fused radiator

W/GAGG crystals - square fibers 1 x 1 mm<sup>2</sup>  
Pb/polystyrene - round fibers 1 x 1 mm<sup>2</sup>



Spider ASIC TSMC 65 nm  
waveform digitizer



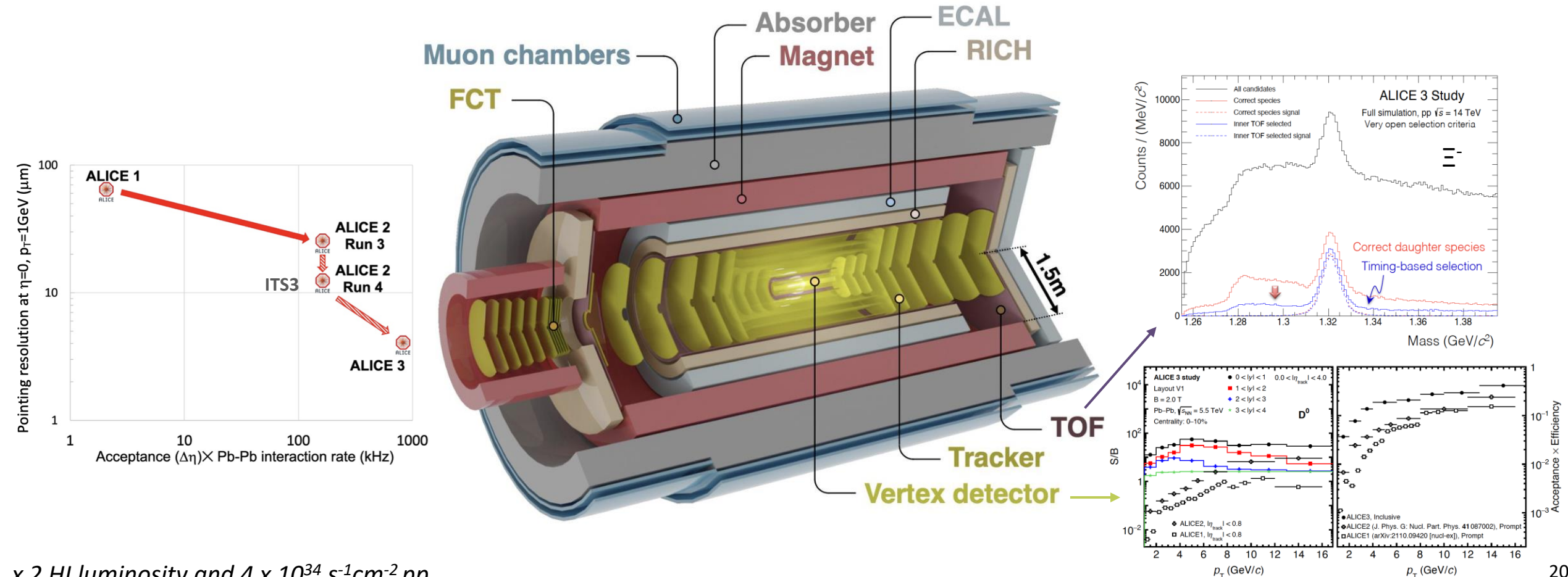
W absorber 3D printing

# ALICE-3 is a new detector

exploit HL-LHC with 10 x RUN 3 statistics,  $L_{\text{integ.}} = 35 \text{ nb}^{-1} \text{ HI} \& 18 \text{ fb}^{-1} \text{ pp}$   
 challenge of pointing precision and PID at low  $p$  up to  $|\eta| = 4$ , rate capability x 5(25) in HI(pp)

[FoCal](#) and [ITS3](#) installation in LS3 2026-2028

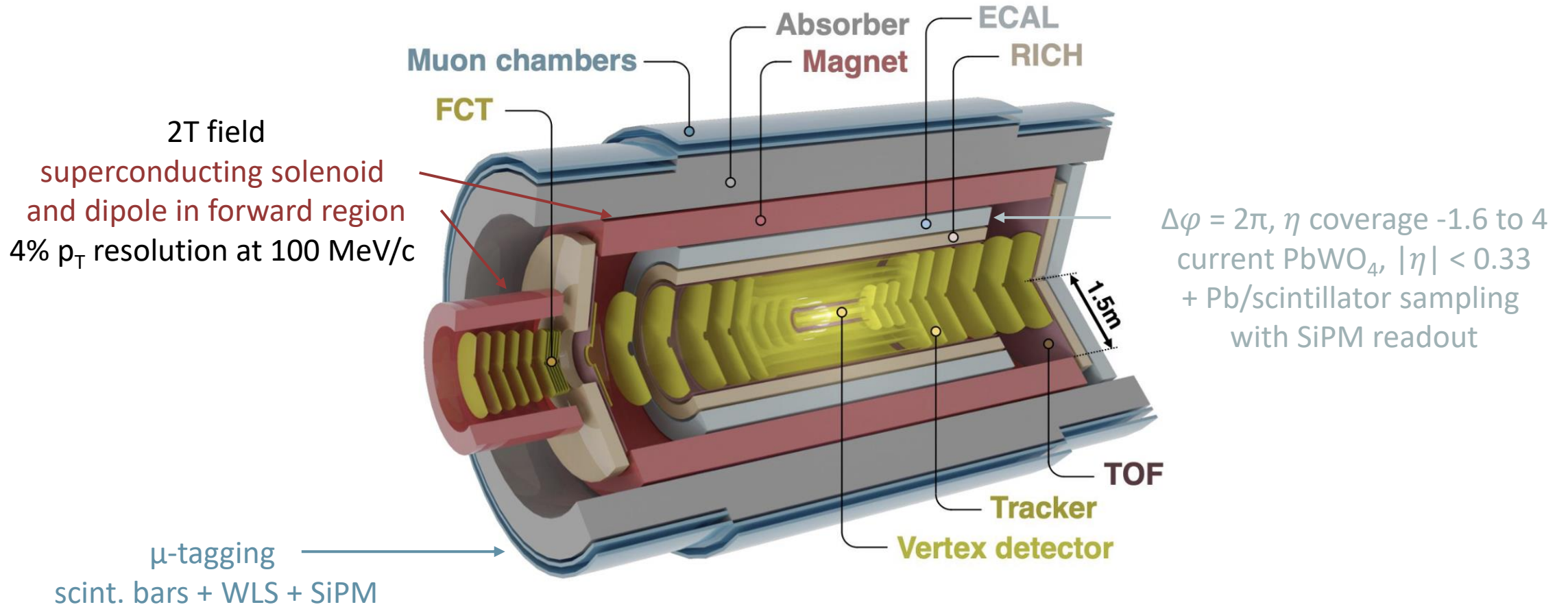
[ALICE-3 LoI](#) 2022 - TDRs 2026-2027 construction 2028-32 - installation LS4 2033-2034





# ALICE-3 upgrade

## Solenoid, muon and ECAL



# ALICE Inner Tracker upgrades

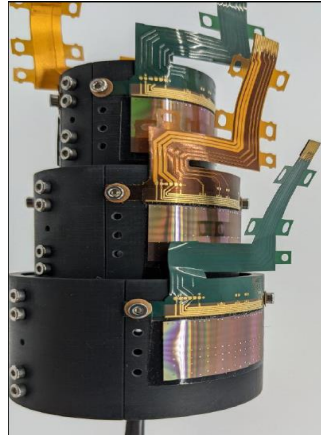
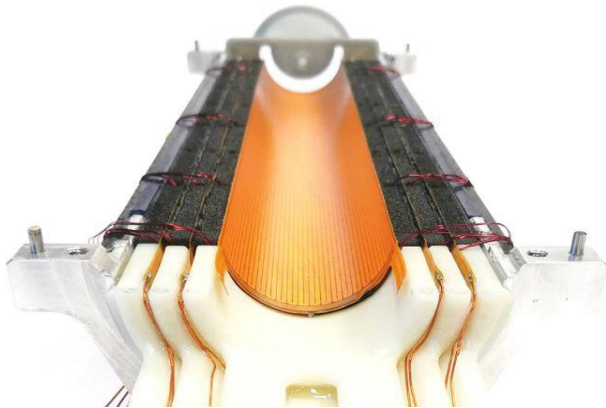
highest precision and lightest tracking system; and closest to the beam

Monolithic CMOS new technology node TPSCo 65 nm with reticle stitching

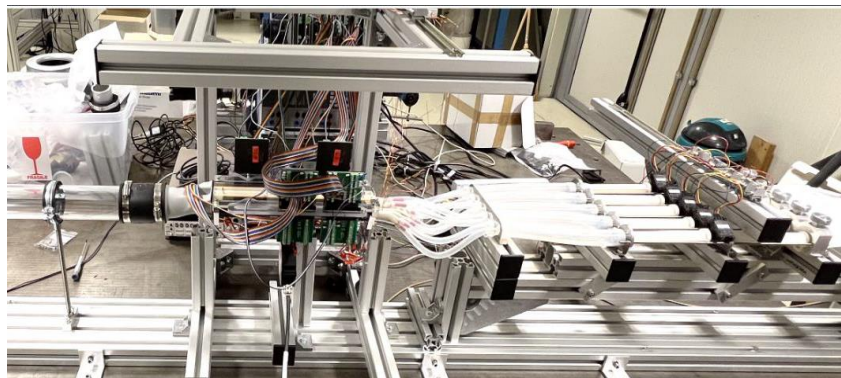
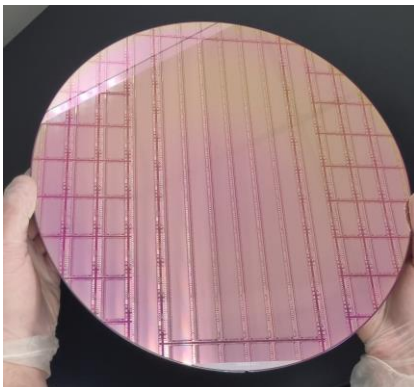
28 cm bent sensors in ITS3, IRIS design in beam pipe in ALICE-3, pitch to  $\lesssim 10 \mu\text{m}$  (25  $\mu\text{m}$  DCA at 100 MeV/c)

ITS3 planned for LS3

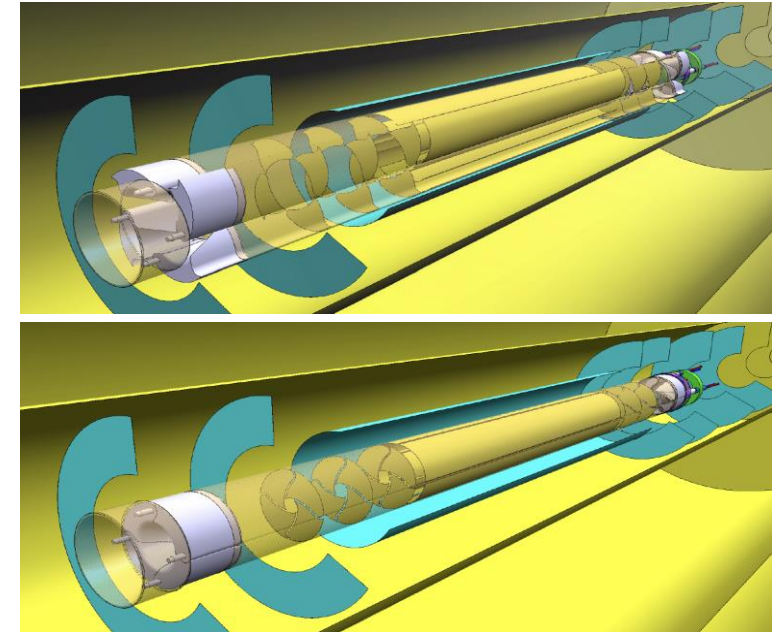
3 layers at 18-24-30 mm – functional bent sensors



1<sup>st</sup> 28 cm sensors thinned to 50  $\mu\text{m}$ , airflow cooling test set-up



ALICE-3 3 layers at 5 -12 - 25 mm



2.5  $\mu\text{m}$  resolution, 0.1%  $X/X_0$  /layer

NIEL  $10^{16}$  neq/ $\text{cm}^2$  - TID 300 MRad

hit rate 100 MHz/ $\text{cm}^2$

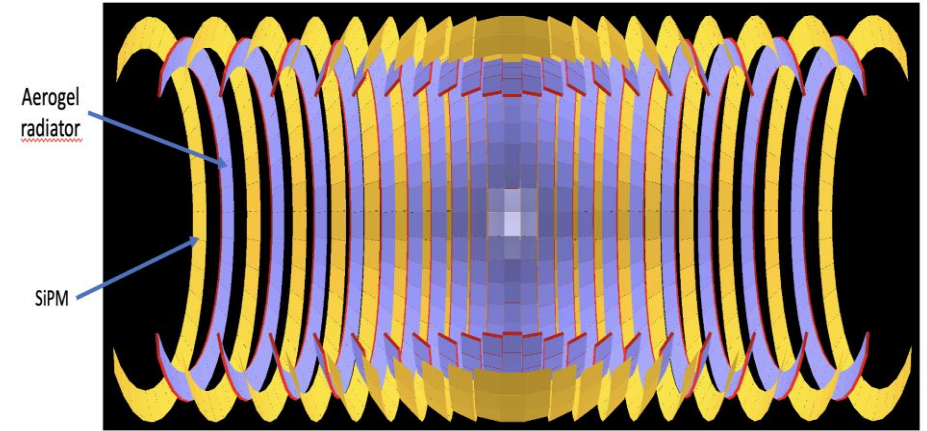
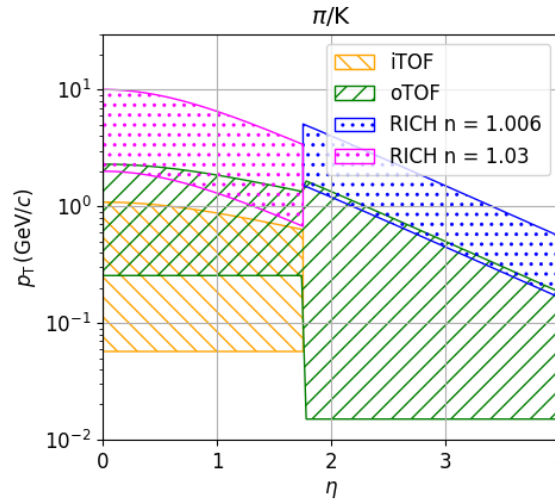
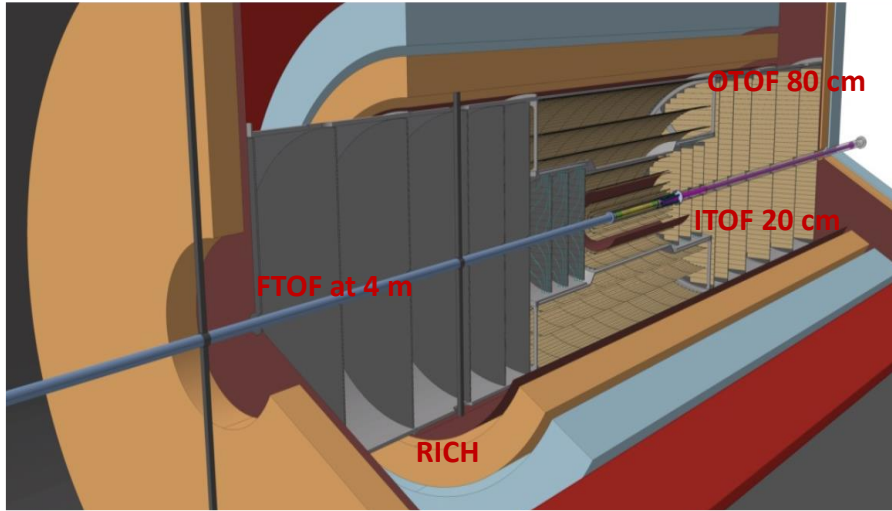
CO<sub>2</sub> colling -35° micro-channel plate  
attached to Beryllium case (250  $\mu\text{m}$ )



# ALICE-3 Outer Tracker and PID

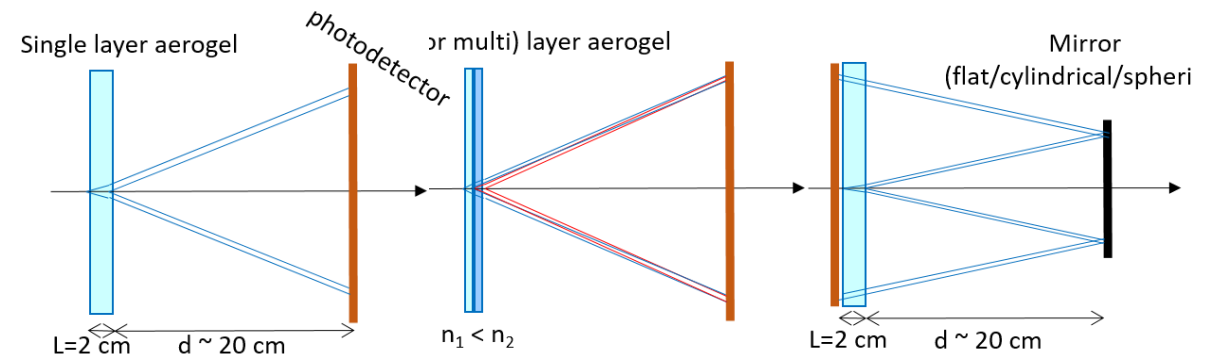
1<sup>st</sup> large area tracker 60 m<sup>2</sup> –  $\sigma(p_T)/p_T = 2\%$  at 1 GeV/c  
Monolithic CMOS sensors (TPSCo 65 nm)

45 m<sup>2</sup> ToF **with Monolithic CMOS with gain** (or LGADs) pitch 1 to 5 mm<sup>2</sup> - 20 ps resolution - 50 mW/cm<sup>2</sup>



- 8(9) barrel(endcap) layer(disks)
  - 10  $\mu\text{m}$  resolution
  - $\lesssim 10\% X/X_0$  (total)
  - low power 20 mW/cm<sup>2</sup>
- Modules 10 x 10 cm<sup>2</sup>
  - industry standard for assembly and testing
  - water cooling at room temperature

Aerogel RICH + SiPM 34 m<sup>2</sup> with projective geometry



# Outlook

## ATLAS and CMS

enormous effort and impressive progress toward production  
final qualification of systems/assembly (modules, rods/stave...) on going with pre-series  
production and QA/QC at assembly centers is well advanced  
schedule remains challenging

## ALICE-3 and LHCb-II

proceeding with R&D and toward approvals  
several innovation studies paving the path toward detectors for future colliders  
(see process to form new international DRD collaborations as ECFA detector R&D roadmap implementation)  
schedule is as well challenging