



Bayesian Neutrino Oscillation Analysis at T2K

Henry Israel (University of Sheffield) on behalf of the T2K Collaboration

Lepton Photon 2023

Overview

- Recap of **neutrino oscillation** physics
- Overview of the **T2K** experiment
- Introduction to **Bayesian Markov Chain Monte Carlo (MCMC)**
- Analysis of T2K's **latest results** using MCMC!
- A look into the **future** of Bayesian neutrino oscillation analysis

What are Neutrino Oscillations?

$$\begin{array}{c} \text{Flavour States} \end{array} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{array}{c} \text{Atmospheric} \end{array} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{array}{c} \text{Reactor} \end{array} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{cp}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{cp}} & 0 & c_{13} \end{pmatrix} \begin{array}{c} \text{Solar} \end{array} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{array}{c} \text{Mass States} \end{array} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$c_{ij} = \cos(\theta_{ij})$
 $s_{ij} = \sin(\theta_{ij})$

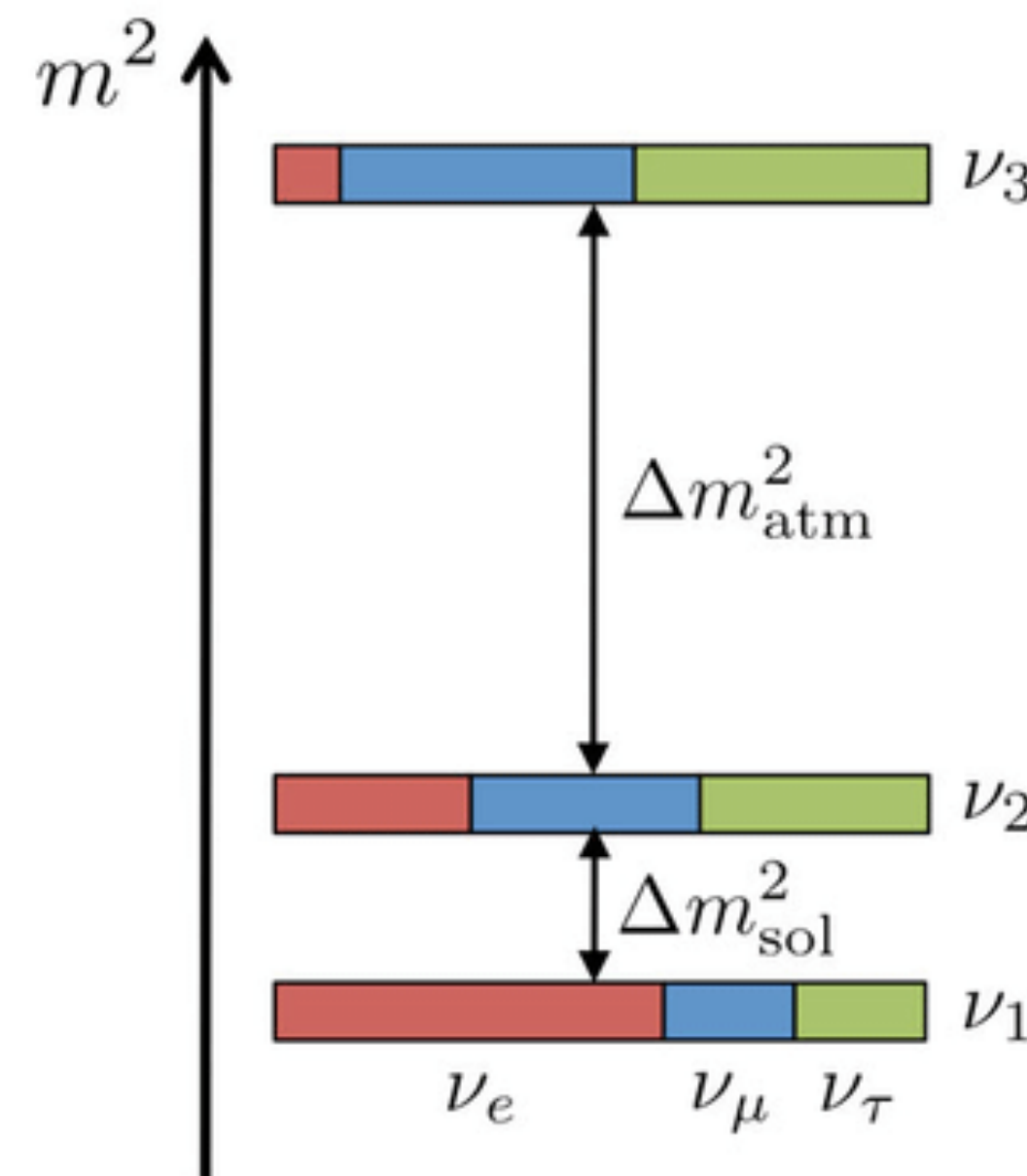
- Neutrino **mass** and **weak flavour eigenstates** are not the same and related via the **PMNS matrix**
- Results in **neutrino oscillations** i.e. the weak flavour state changes as a function of **propagation distance** and **energy**
- **Interesting physics** still needs to be done! :
 - $\delta_{cp} \neq \{0, \pm \pi\}$ ← Is CP violated in the Lepton sector?
 - Do the neutrino mass states have a “**normal ordering**” or “**inverted ordering**” ($\Delta m_{32}^2 = m_3^2 - m_2^2 > 0$ or < 0)?

Neutrino Oscillations

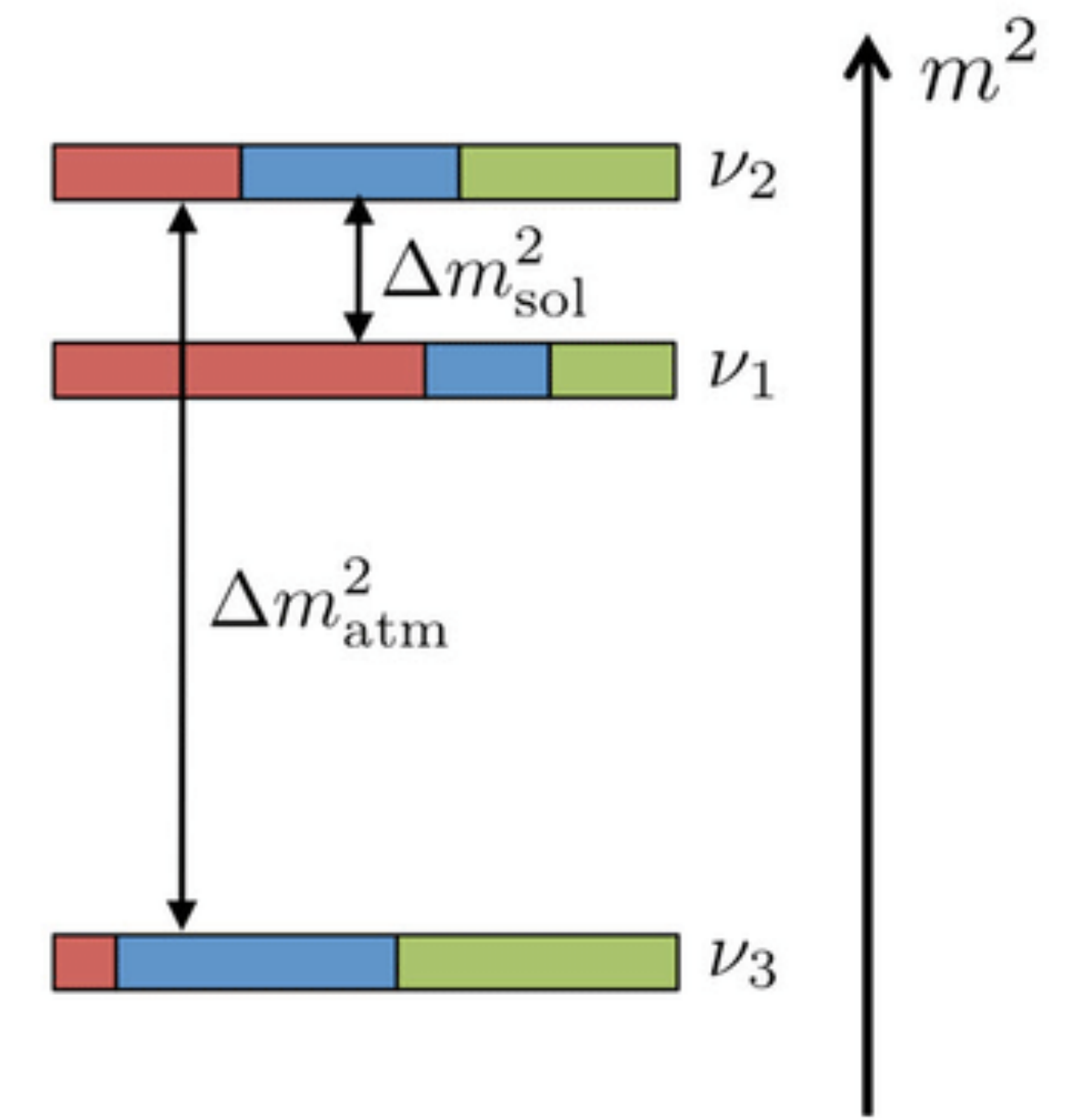
Flavour States *Atmospheric*

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Normal Ordering



Inverted Ordering



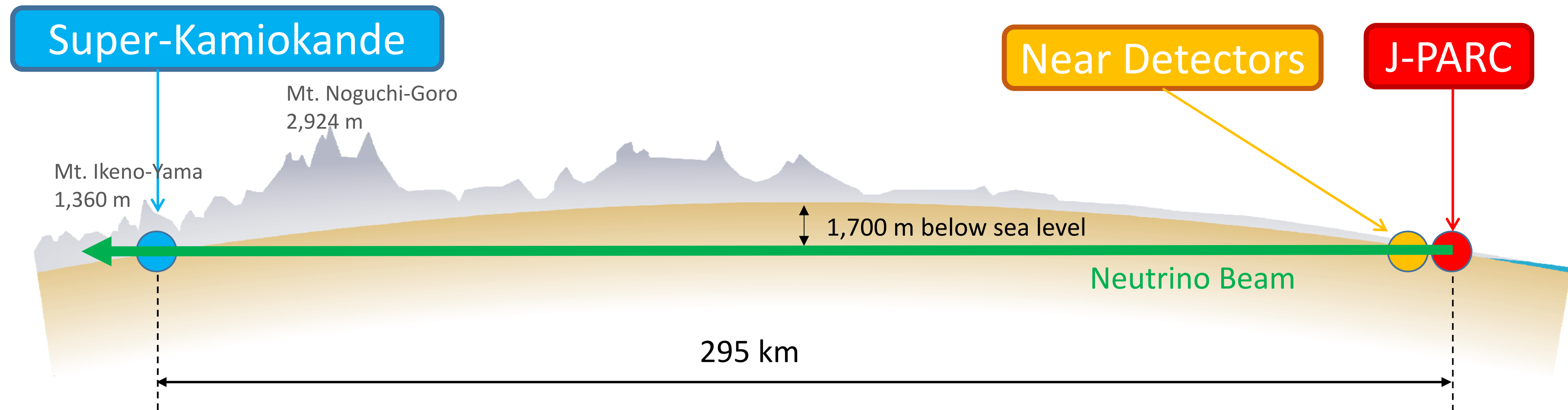
- Neutrino mass and weak flavour eigenstate
- Results in neutrino oscillations i.e. the weak energy
- Interesting physics still needs to be done! :

▸ $\delta_{cp} \neq \{0, \pm \pi\}$ *← Is CP violated in the Lepton sector?*

▸ Do the neutrino mass states have a “normal ordering” or “inverted ordering” ($\Delta m_{32}^2 = m_3^2 - m_2^2 > 0$ or < 0)?

The T2K Experiment

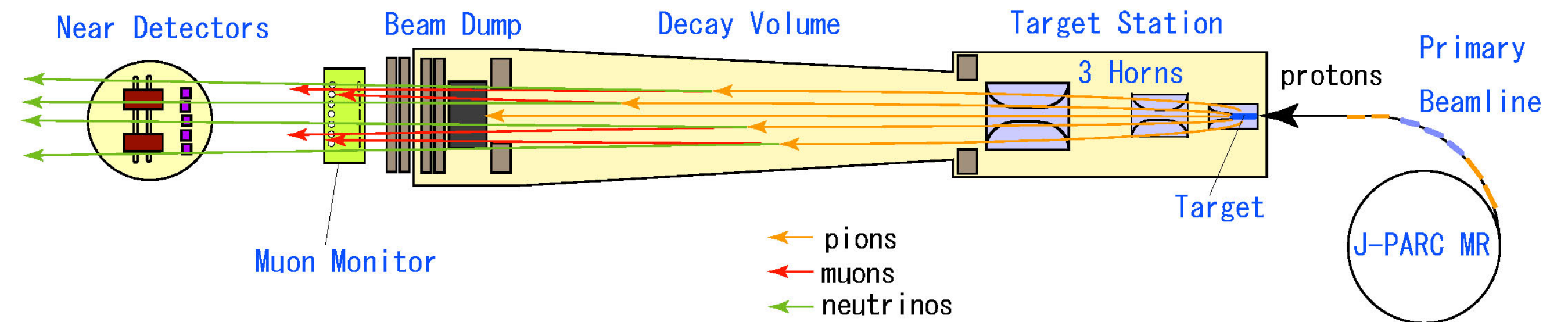
The T2K Experiment



- **Long baseline** and **off-axis** neutrino oscillation experiment
- Two detector complexes:
 - **Far Detector** : Super-Kamiokande (SK) 295km from target
 - **Near Detector** : ND280, INGRID and WAGASCI/BabyMIND 280m from target

J-PARC and T2K Beam

- T2K uses the **J-PARC** proton beam to generate neutrinos
- Protons from the J-PARC beam are fired at a **graphite** target
- The final state contains mostly pions/kaons
- Charged particles can be focused by **magnetic horns** to select for π^+/K^+ and π^-/K^- resulting in a **primarily** $\bar{\nu}_\mu$ or ν_μ beam
- Charged hadrons decay into neutrinos
- The beam hits **bedrock** (sand) absorbing all non-neutrino particles



The Main
Ring!

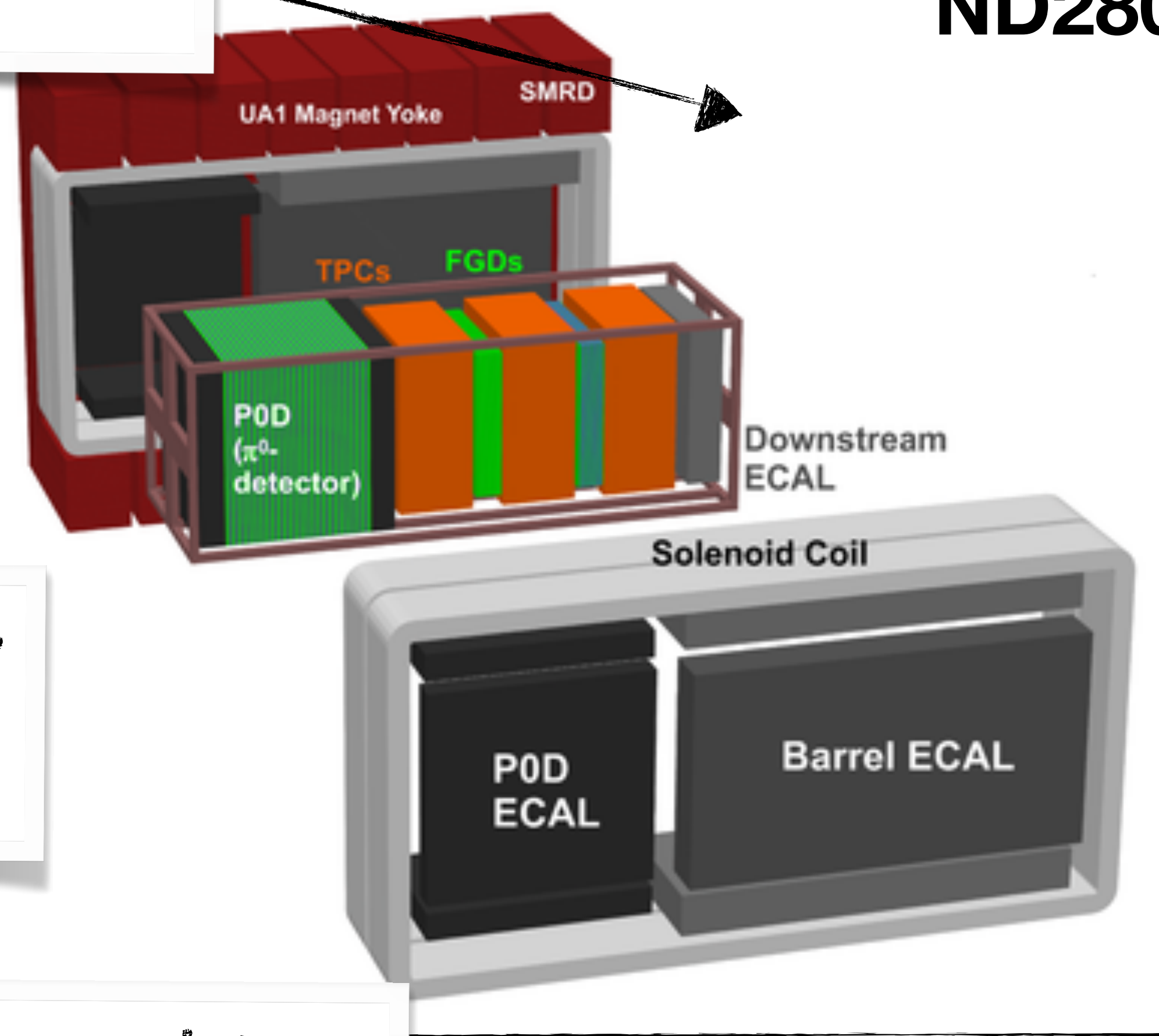
Near Detectors

Located at same off axis
angle as SK (2.5°)

Only ND280 data
used in OA

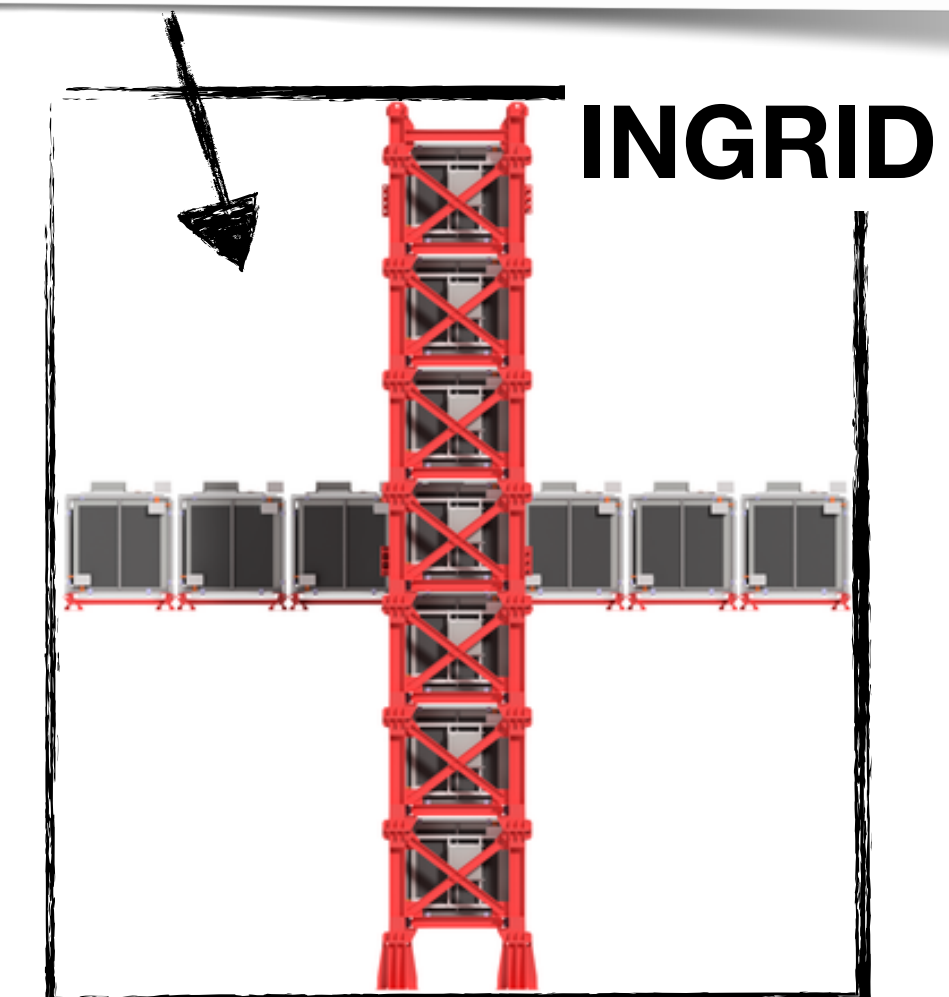
INGRID and ND280 used to
provide constraints on
beam flux parameters

Provides world leading neutrino
cross-section measurements!

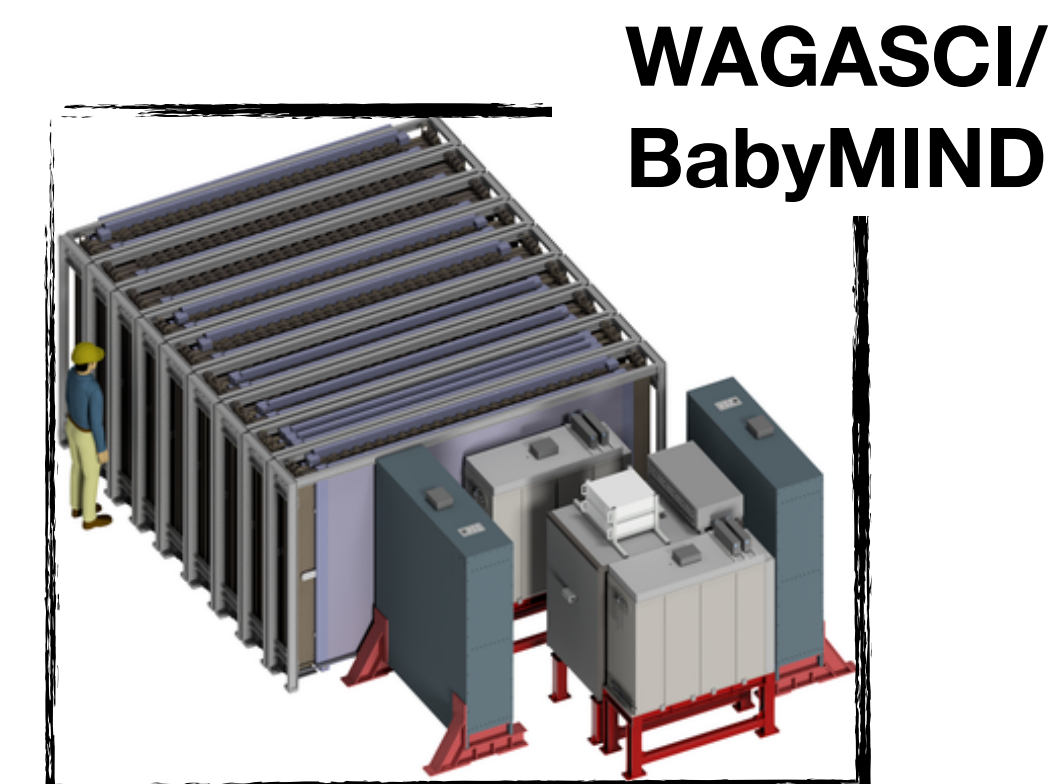


On Axis

ND280



INGRID



WAGASCI/
BabyMIND

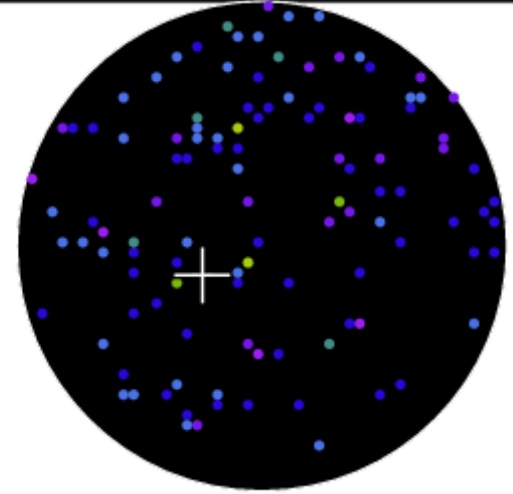
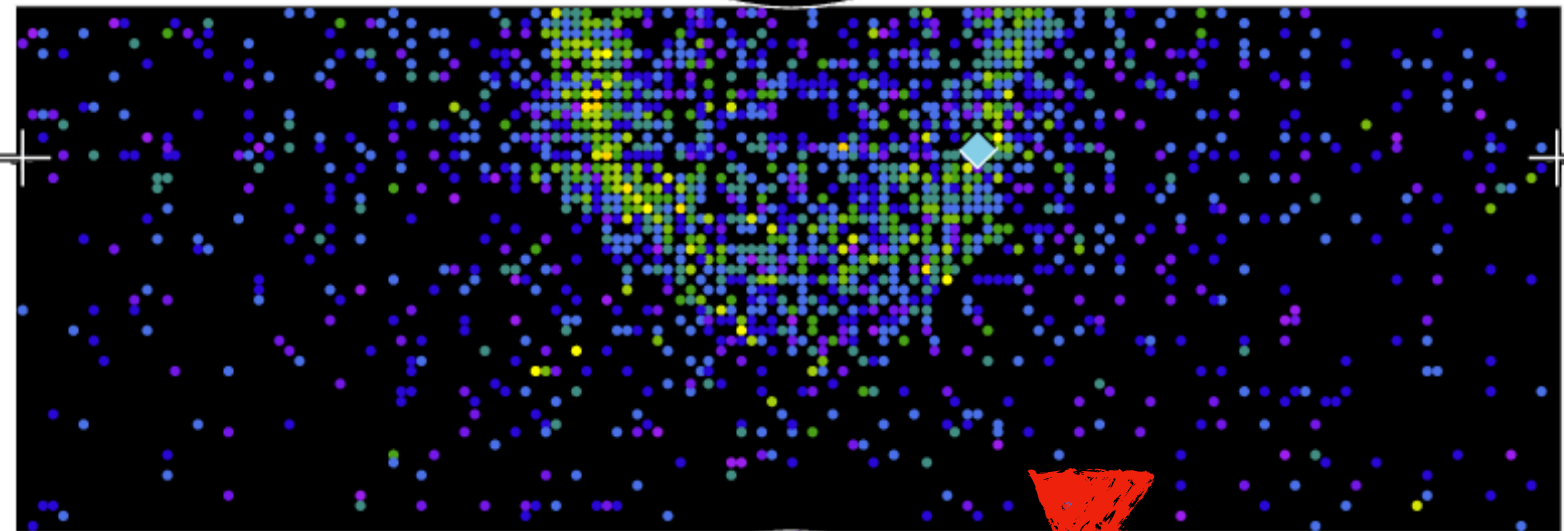
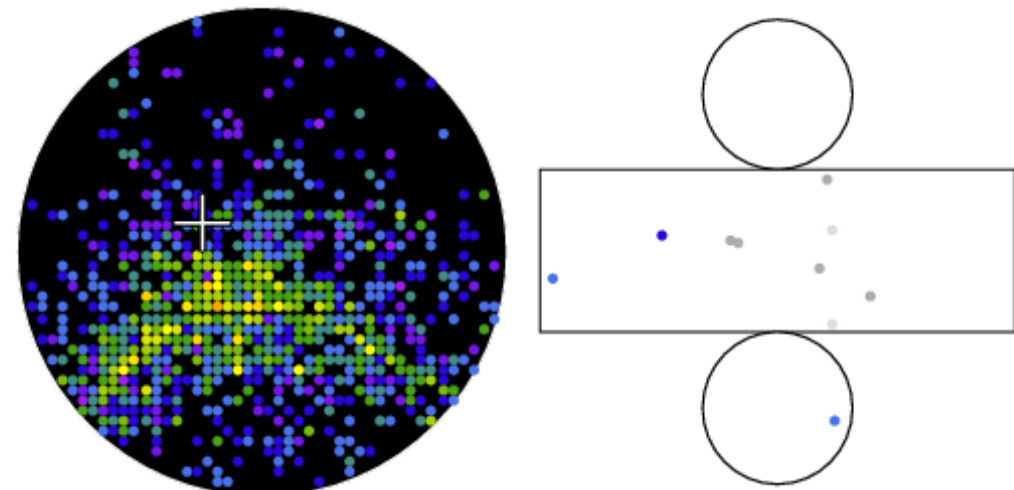
Super-Kamiokande

e -like Event

Run 999999 Sub 0 Event 33
11-11-23:19:16:50
Inner: 2461 hits, 5477 pe
Outer: 3 hits, 3 pe
Trigger: 0x07
D_wall: 1040.4 cm
Evis: 598.5 MeV
e-like, $p = 598.5$ MeV/c

Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2- 8.0
- 4.7- 6.2
- 3.3- 4.7
- 2.2- 3.3
- 1.3- 2.2
- 0.7- 1.3
- 0.2- 0.7
- < 0.2



Particle events
observed via
Cherenkov rings

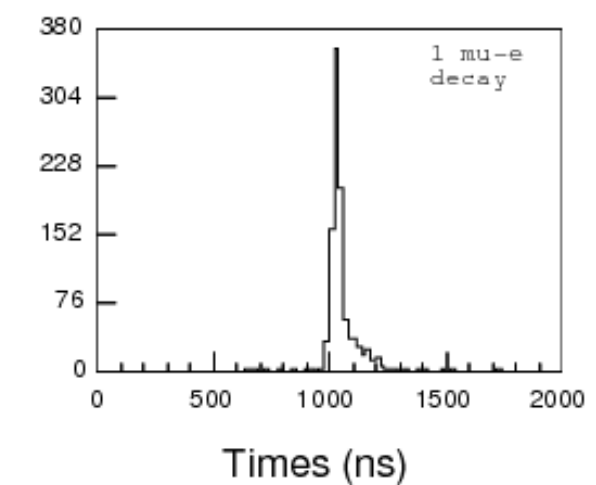
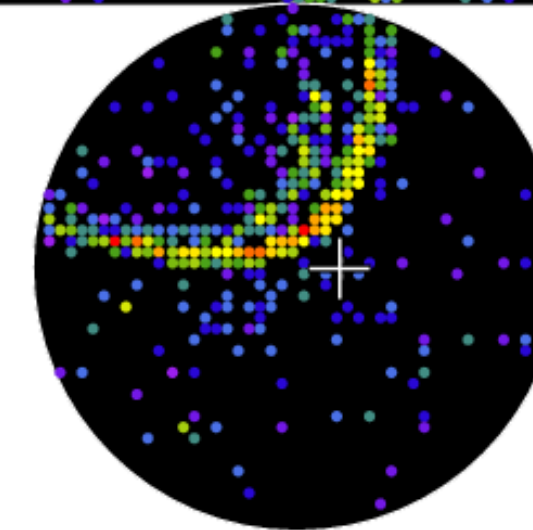
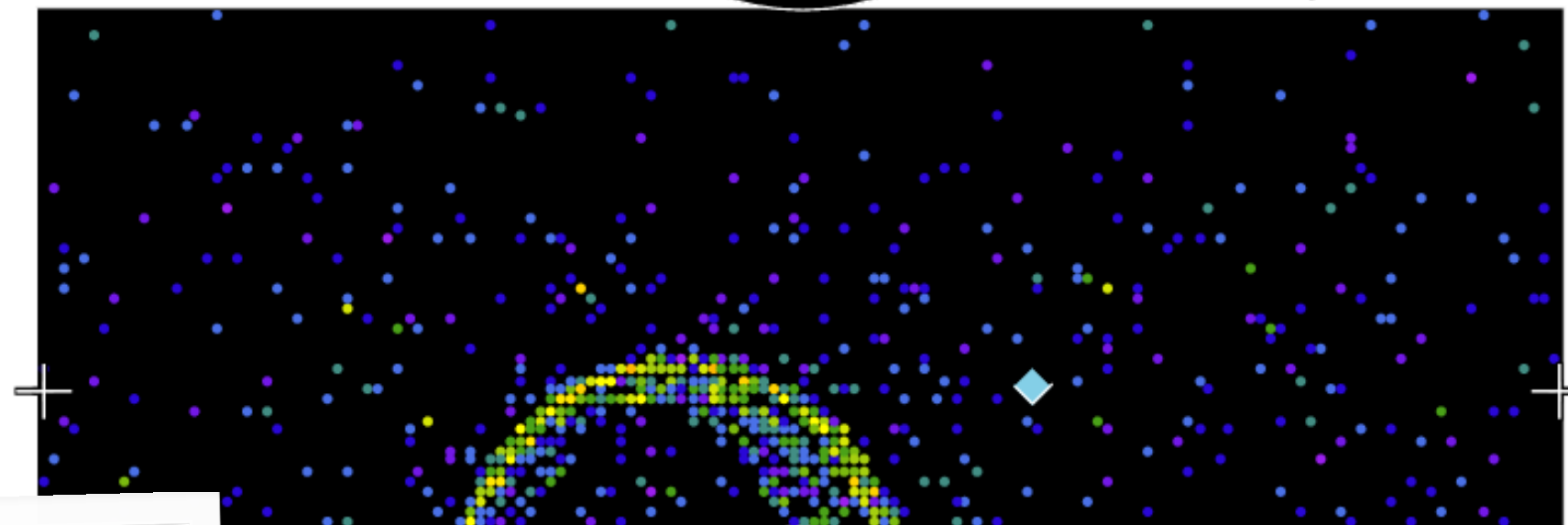
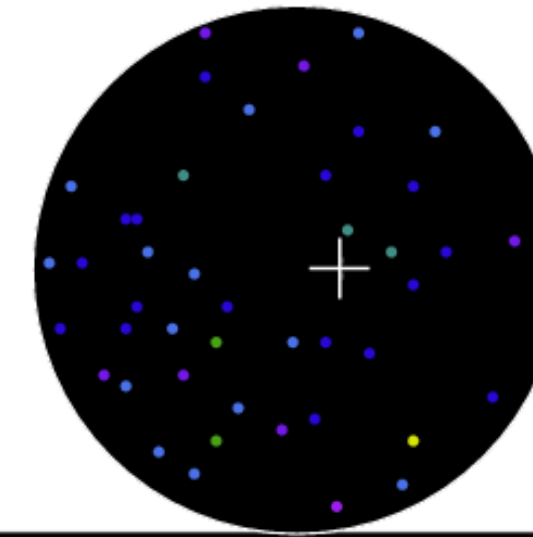
50 kt water Cherenkov detector!

μ -like Event

11-11-21:09:42:21
Inner: 1049 hits, 3121 pe
Outer: 3 hits, 7 pe
Trigger: 0x07
D_wall: 945.7 cm
Evis: 356.5 MeV
mu-like, $p = 520.8$ MeV/c

Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.7-17.3
- 12.2-14.7
- 10.0-12.2
- 8.0-10.0
- 6.2- 8.0
- 4.7- 6.2
- 3.3- 4.7
- 2.2- 3.3
- 1.3- 2.2
- 0.7- 1.3
- 0.2- 0.7



Located at "oscillation maximum"
(probability of $\nu_\mu \rightarrow \nu_e$ is highest)

Bayesian Fits

- T2K's **Bayesian fitter** is known as MaCh3
- Use **Bayesian Markov Chain Monte Carlo (MCMC)** for T2K analysis
- Can think of Metropolis-Hastings as a “**directed random walk**” around the parameter space where direction is determined by the likelihood
- The **number of steps** in a given region is proportional to the posterior likelihood
- **Marginalisation** used to get 1D and 2D posteriors

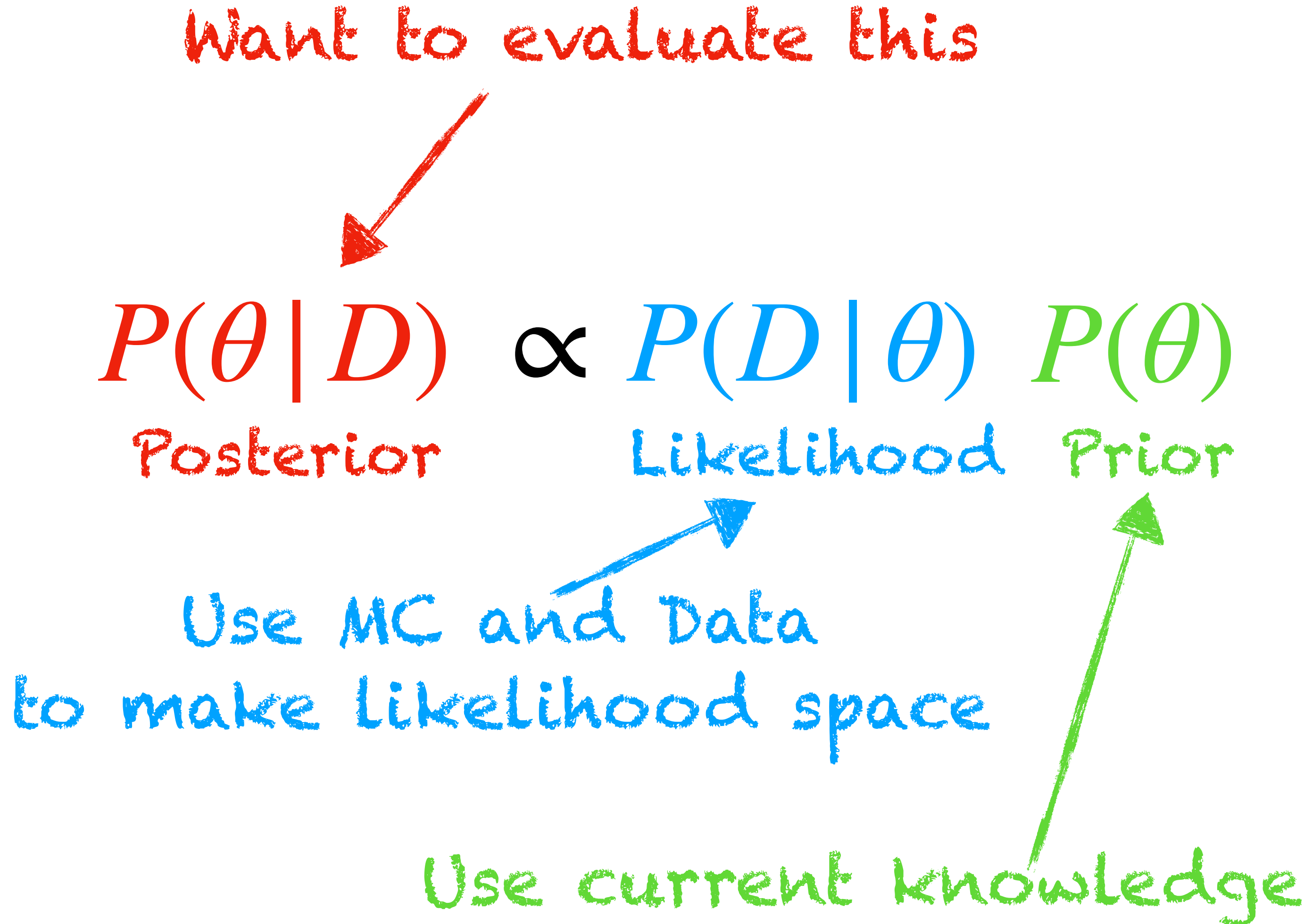
Want to evaluate this

$$P(\theta | D) \propto P(D | \theta) P(\theta)$$

Posterior Likelihood Prior

Use MC and Data to make Likelihood space

Use current knowledge



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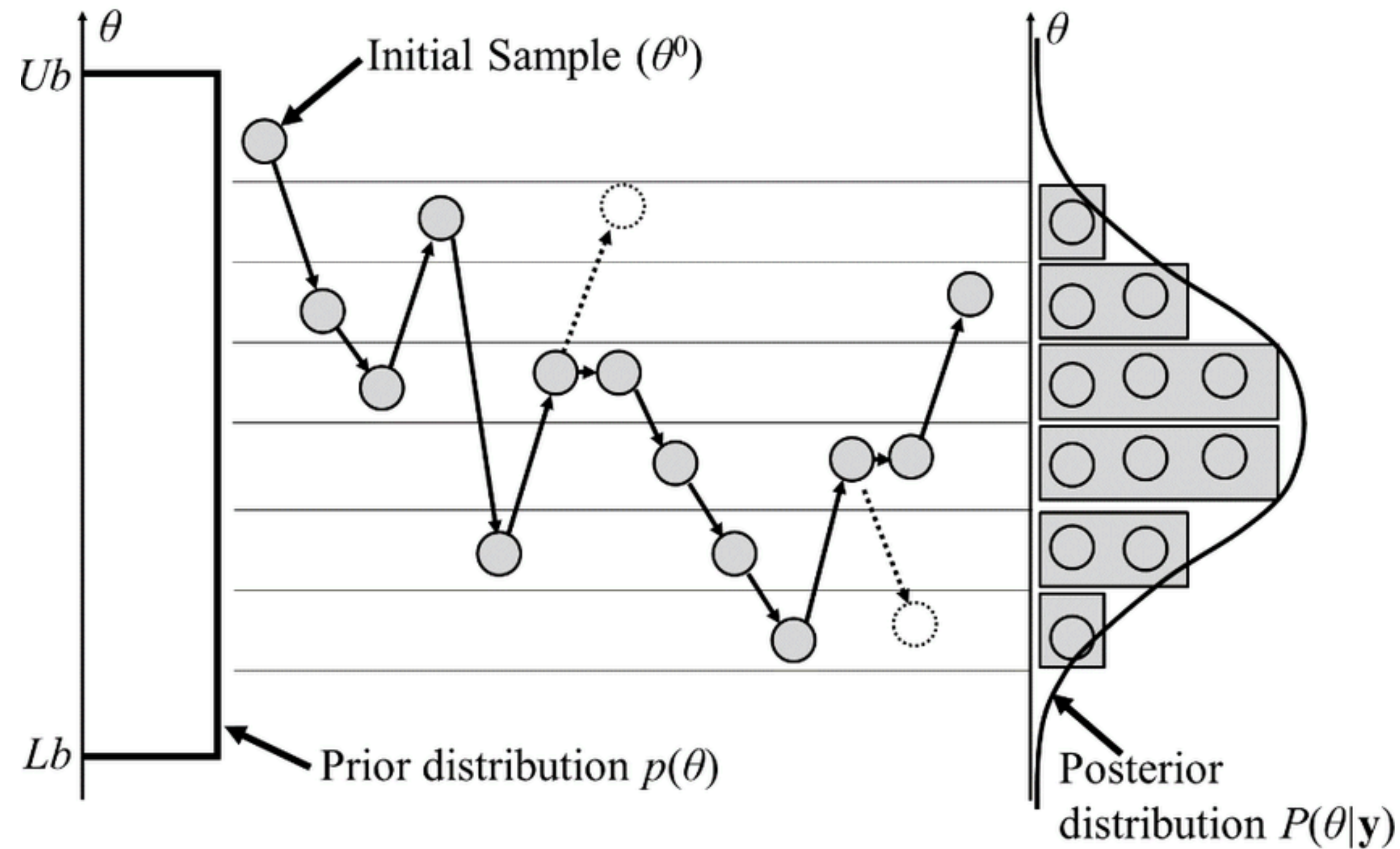
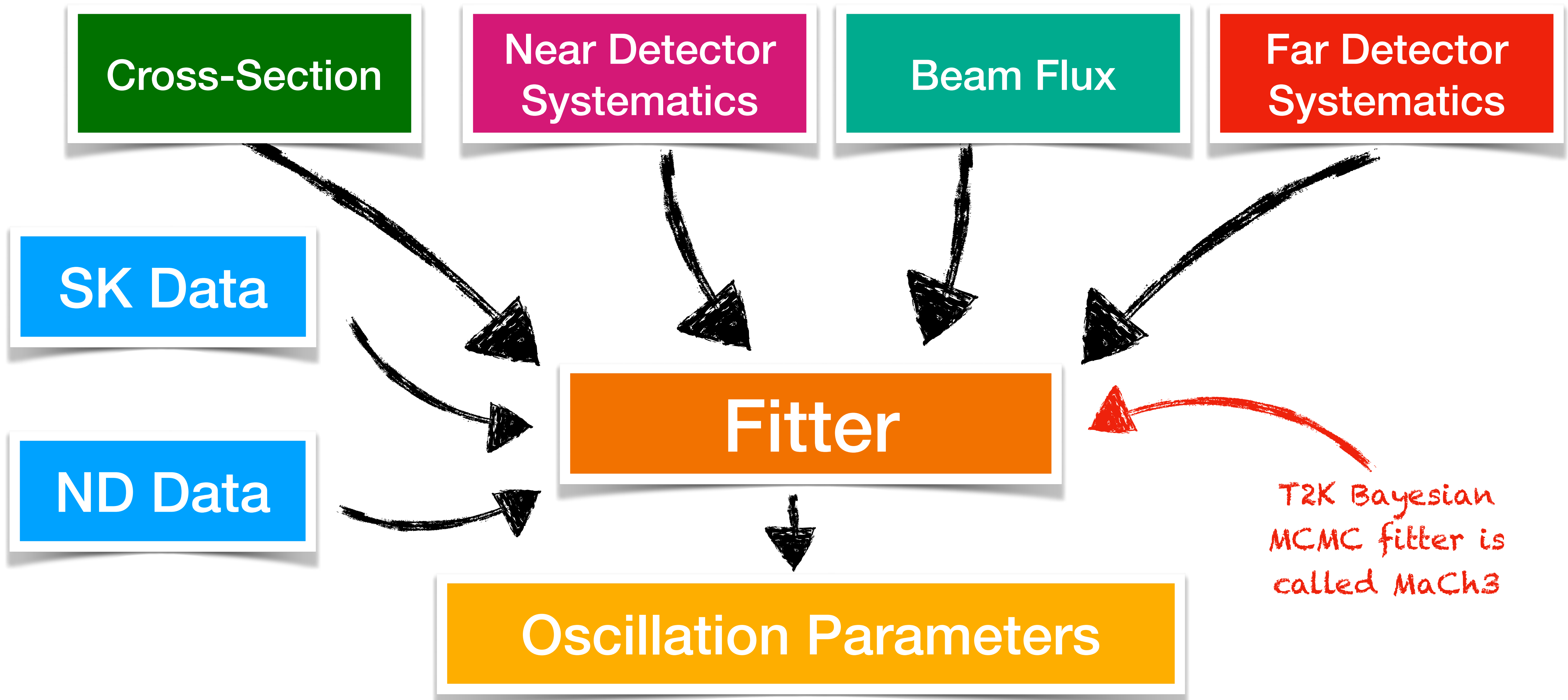


Figure : Lee, Jaewook & Sung, Woosuk & Choi, Joo-Ho. (2015). *Metamodel for Efficient Estimation of Capacity-Fade Uncertainty in Li-Ion Batteries for Electric Vehicles*, Energies

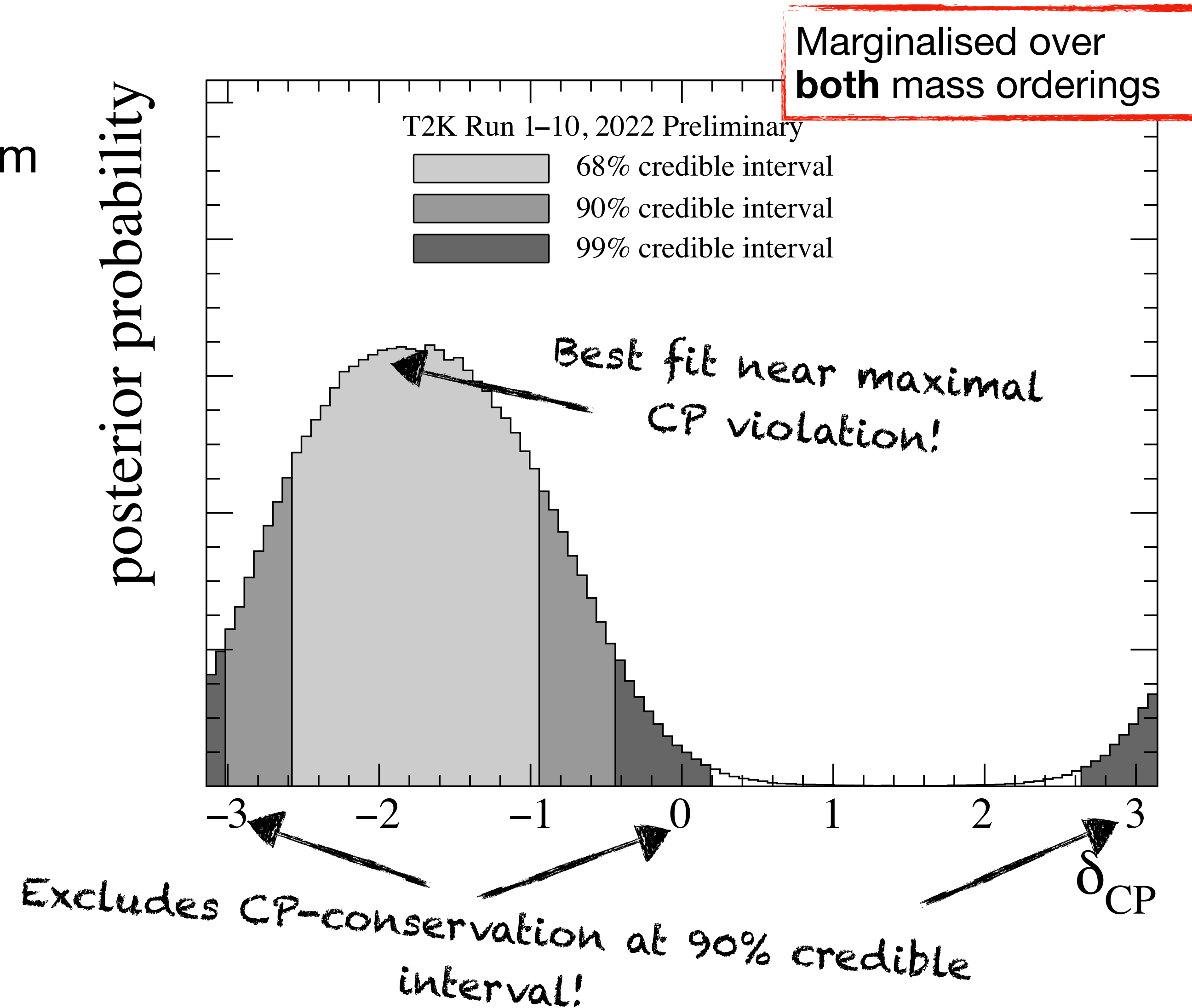
Recent T2K Results

T2K 2022 Systematics Model



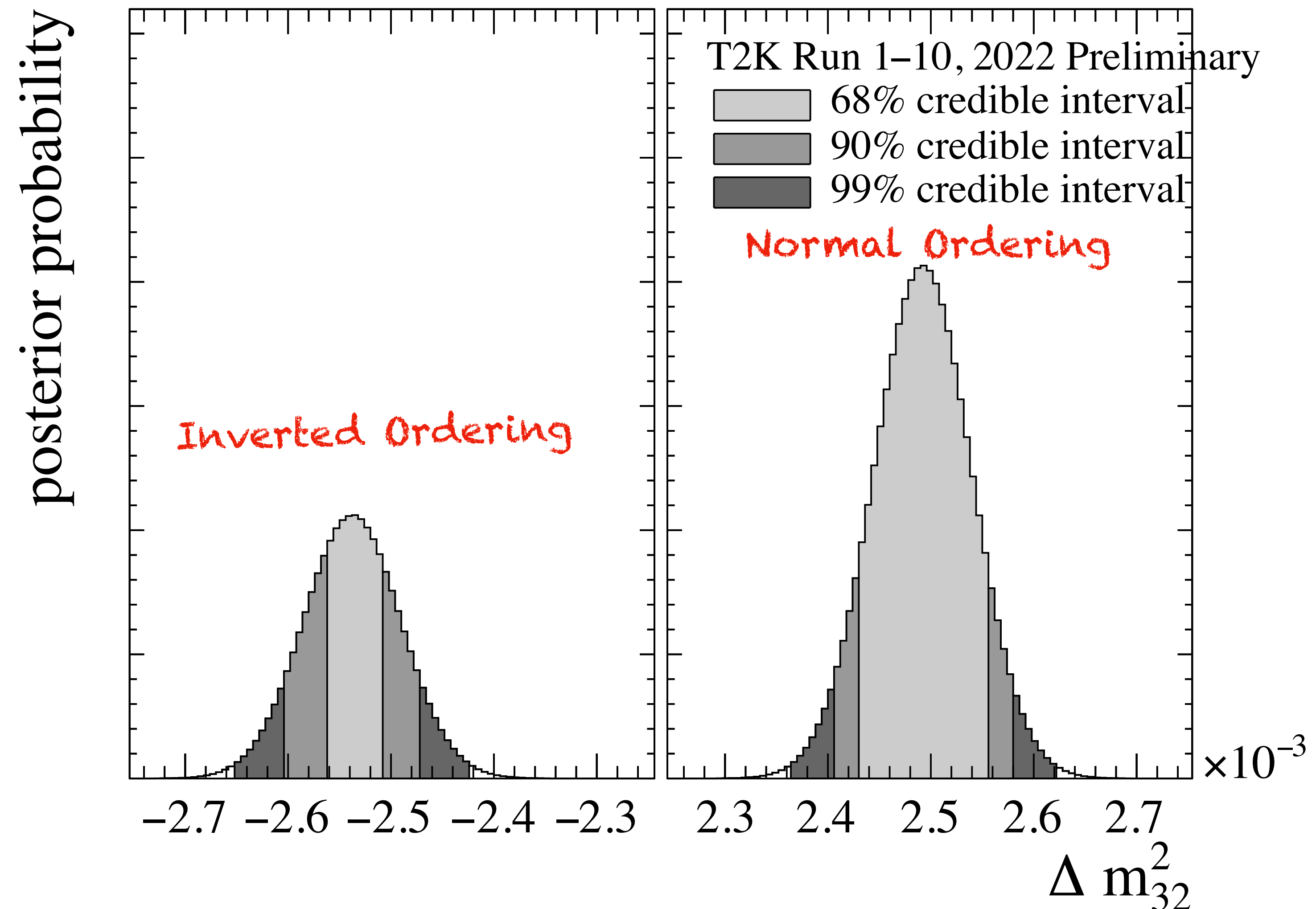
T2K Bayesian
MCMC fitter is
called MaCh3

- Fit here uses constraint $\sin^2(\theta_{13})$ on taken from **reactor** experiments
- **CP conserving** values lie outside the 90% credible interval
- Large region now outside the **99% credible interval**
- Best fit region near **maximal CP violation** ($-\pi/2$)



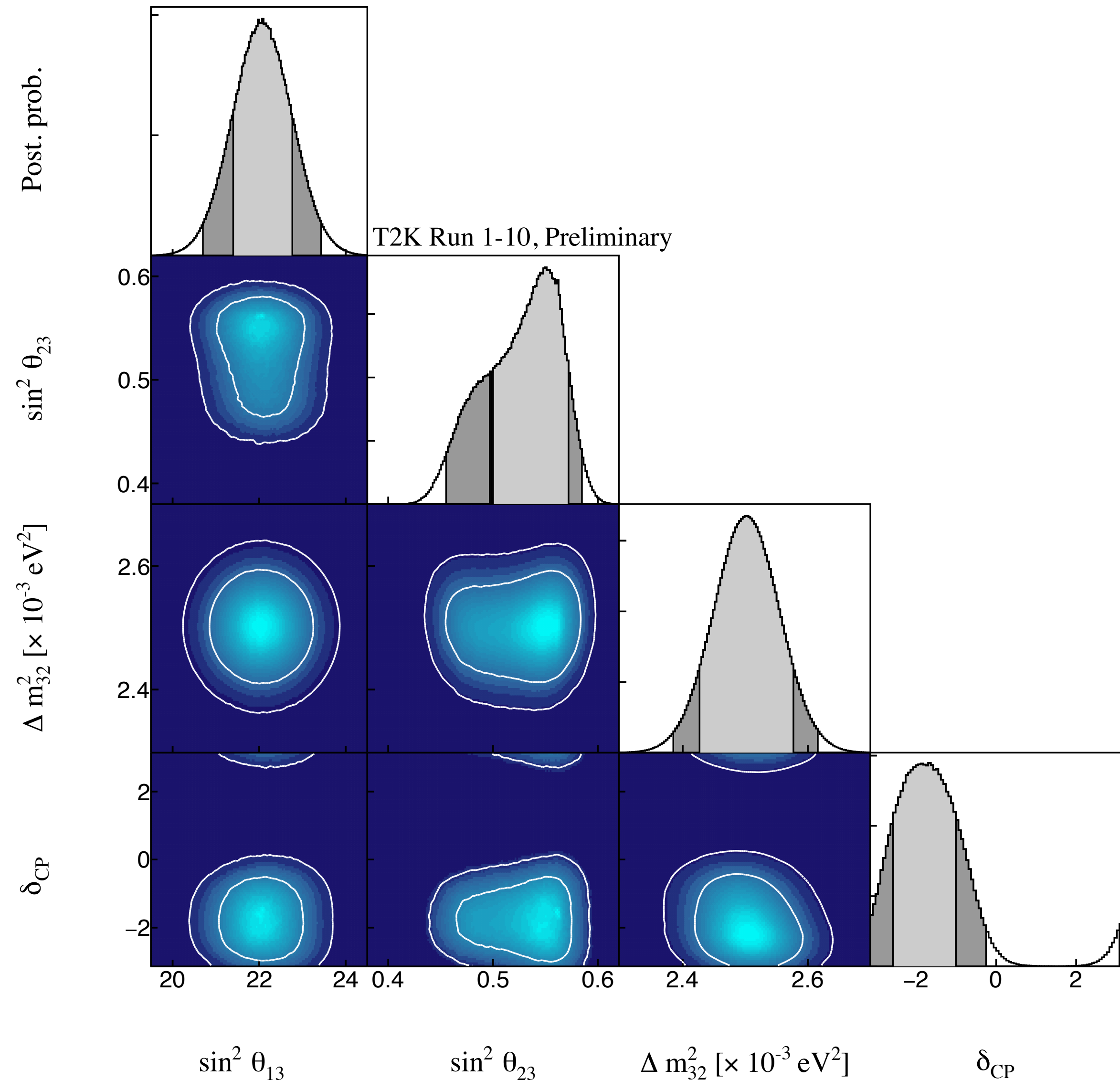
Mass Ordering

- We also have sensitivity to **neutrino mass ordering**
- We prefer NO over IO by a factor of $1.7\times$
- MCMC fitter can fit to **both** orderings!



Triangle Plot

- Really nice way to visualise all **correlations**
- Shows every combination of **pairs of oscillation parameters**



- The **MaCh3 fitter** is now active on **multiple experiments** both **current** and **future**!
- Combined analysis using **Super-Kamiokande Atmospherics** + **T2K data** to provide even better constraints on oscillation parameters
- **Joint analysis** of **T2K/NO ν A** data is ongoing
- Thinking about the future, there's a huge amount of work towards getting the fitter ready for **HK** and **DUNE**!
- The **core MaCh3 code** is being **overhauled** and is now **publicly** available in a **pre-alpha** state <https://github.com/mach3-software/MaCh3>

Coming soon!

Fitter should be ready from day 1 for these experiments!

Multiple experiments will be able to use the same core code and share expertise!

- T2K is producing **world leading** neutrino oscillation analysis measurements
- World leading δ_{cp} constraint on **CP-conservation** of 2σ
- **Bayesian MCMC** is an incredibly powerful tool for these analyses!
- Helping to pave the way for **future** oscillation analyses!



The T2K Collaboration!

Backups

What's New for T2K?

- Results are from **2022 oscillation** analysis
- Improved **flux prediction** based on a replica target at NA61/SHINE. This brings down flux uncertainties by **6%**
- This analysis uses a new **cross-section systematics model**
- Primary changes are new **CCQE**, **MEC**, **resonant π production** and **final state interaction (FSI)** affecting systematics
- New treatment of **binding energy** (E_b) based on electron scattering data
- Additional **Super-Kamiokande** selection accounting for muon-ring events with **multiple Cherenkov rings** in the final state
- New **ND280 selections** with improved **proton** and **photon** tagging!

CCQE Improvements :

- More theory driven uncertainties!
- Improvements to Spectral Function parametrisation
- Improved normalisation of nuclear shell model, short range correlations and Pauli blocking

MEC Improvements:

- Model now includes 2p2h for proton/neutron vs proton/

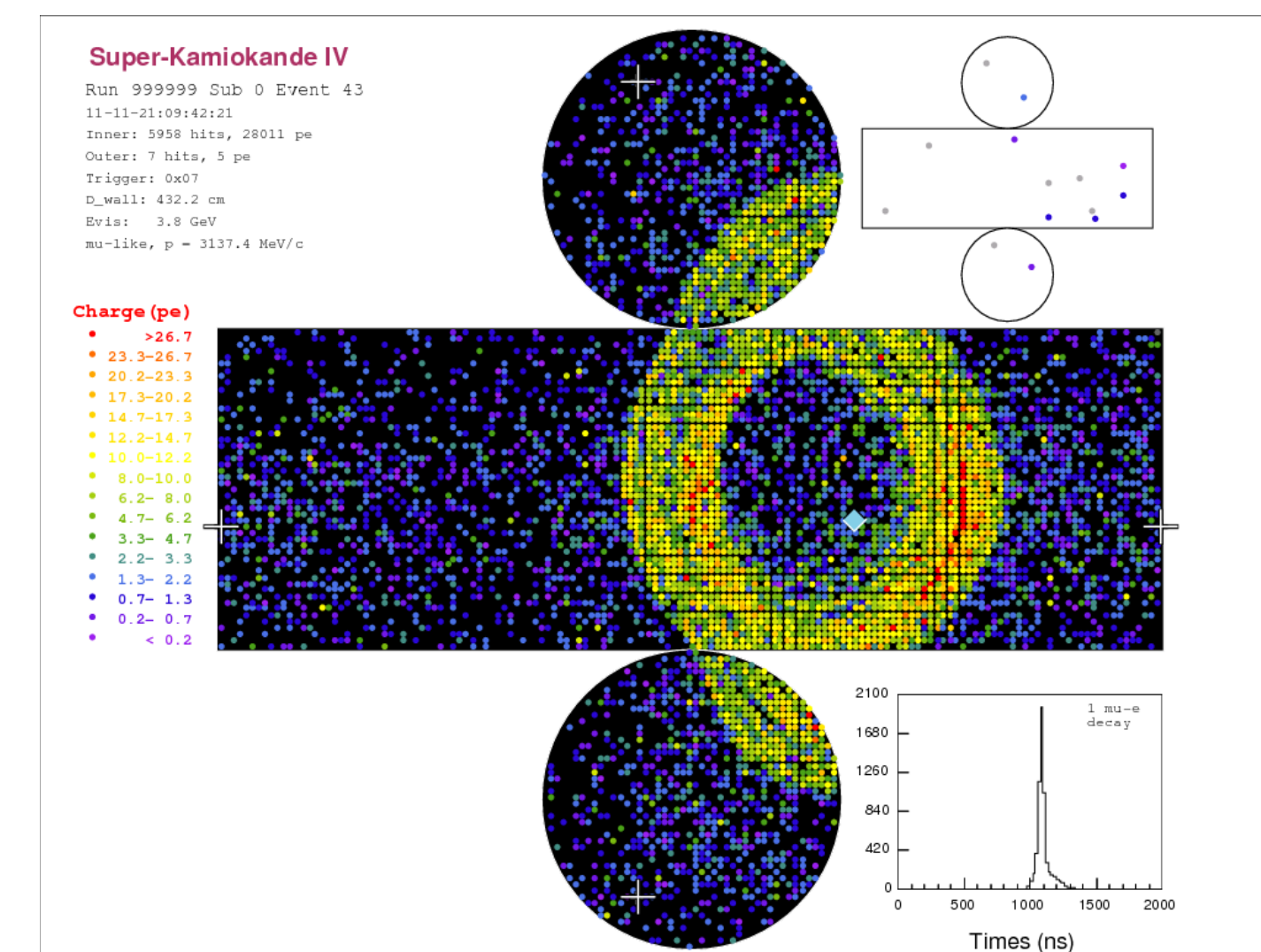
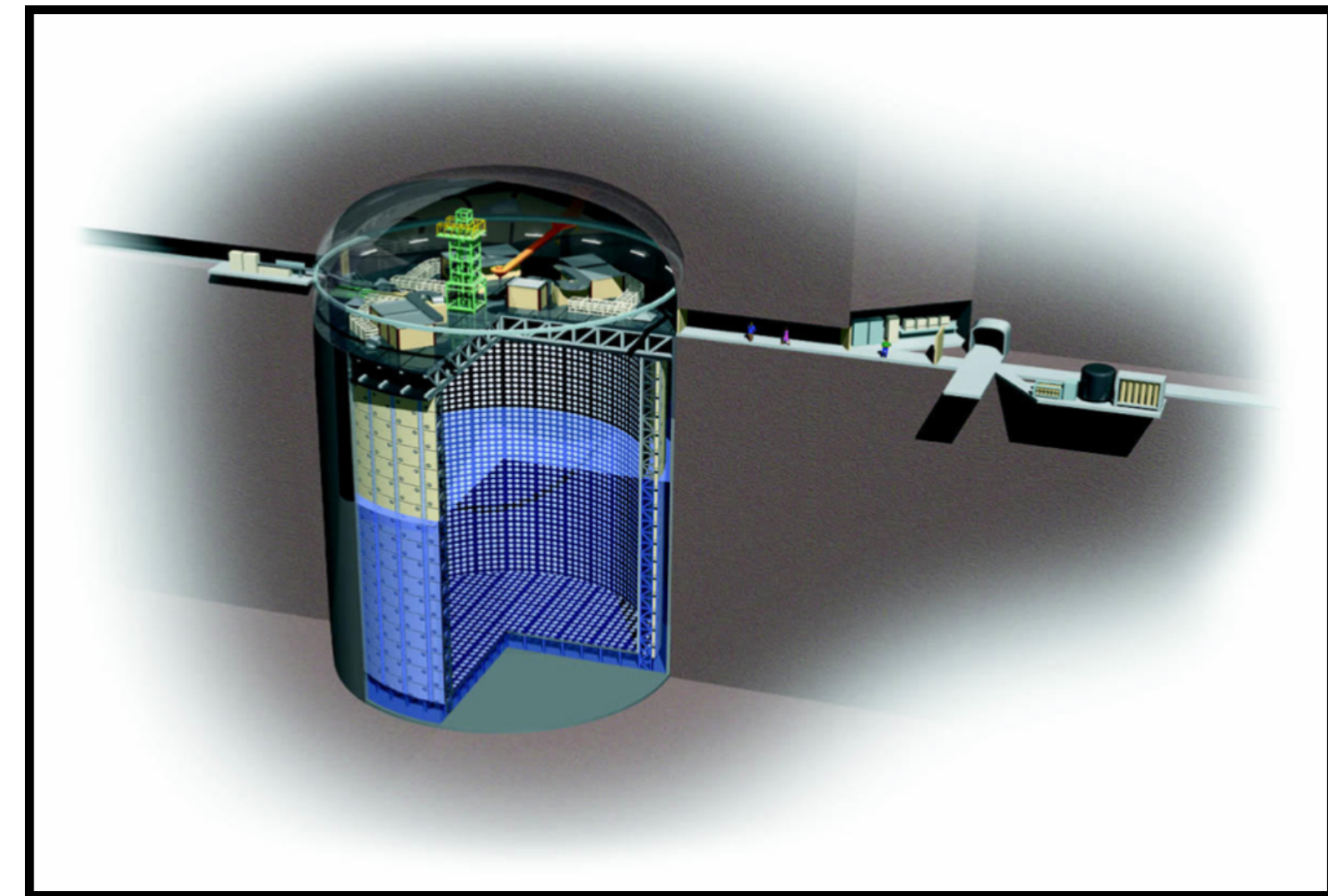
Resonant π Improvements:

- Improved uncertainty of π^\pm vs π^0 production
- New parameters for resonant pion decay

FSI Improvements:

- New nucleon FSI parameter

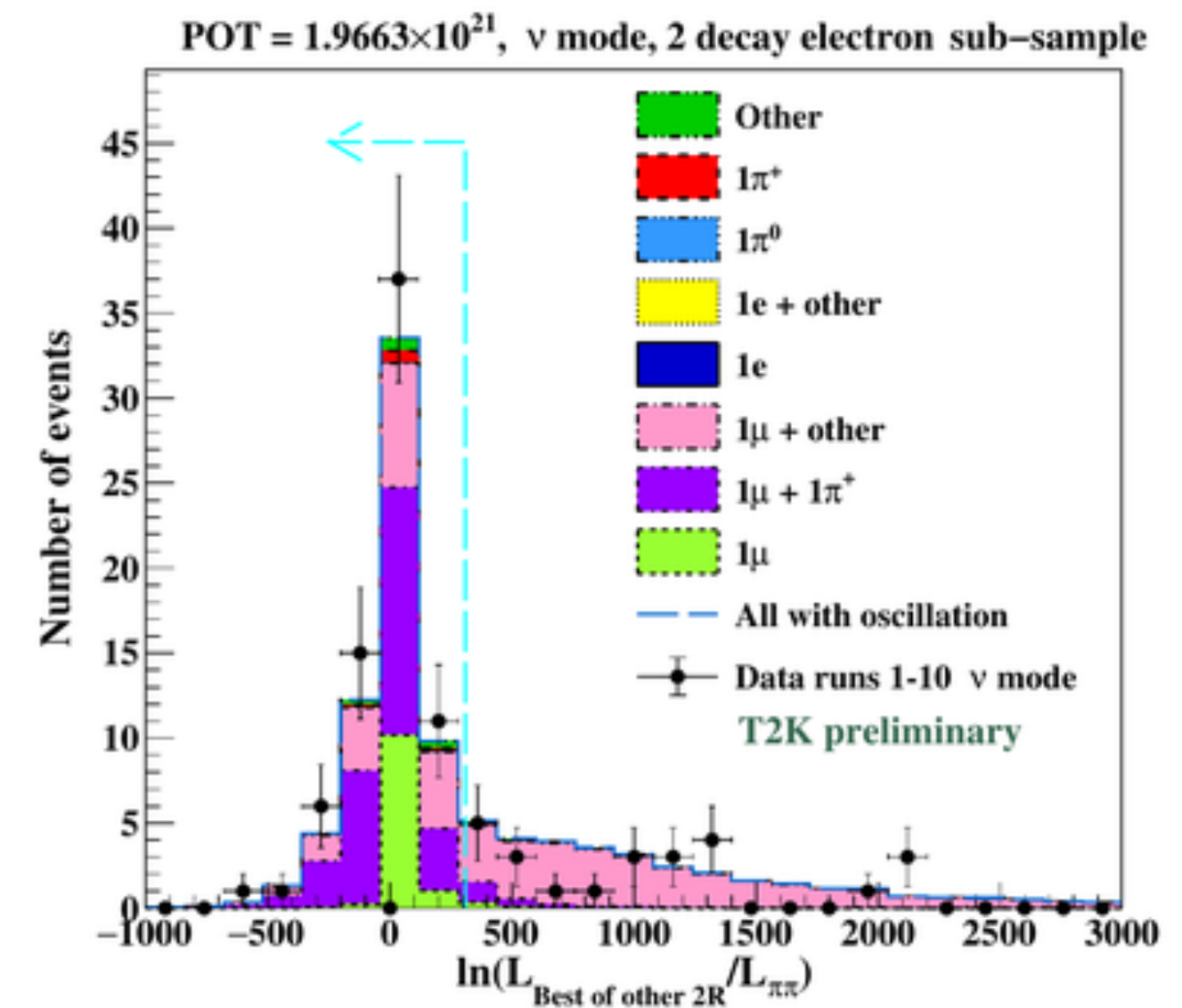
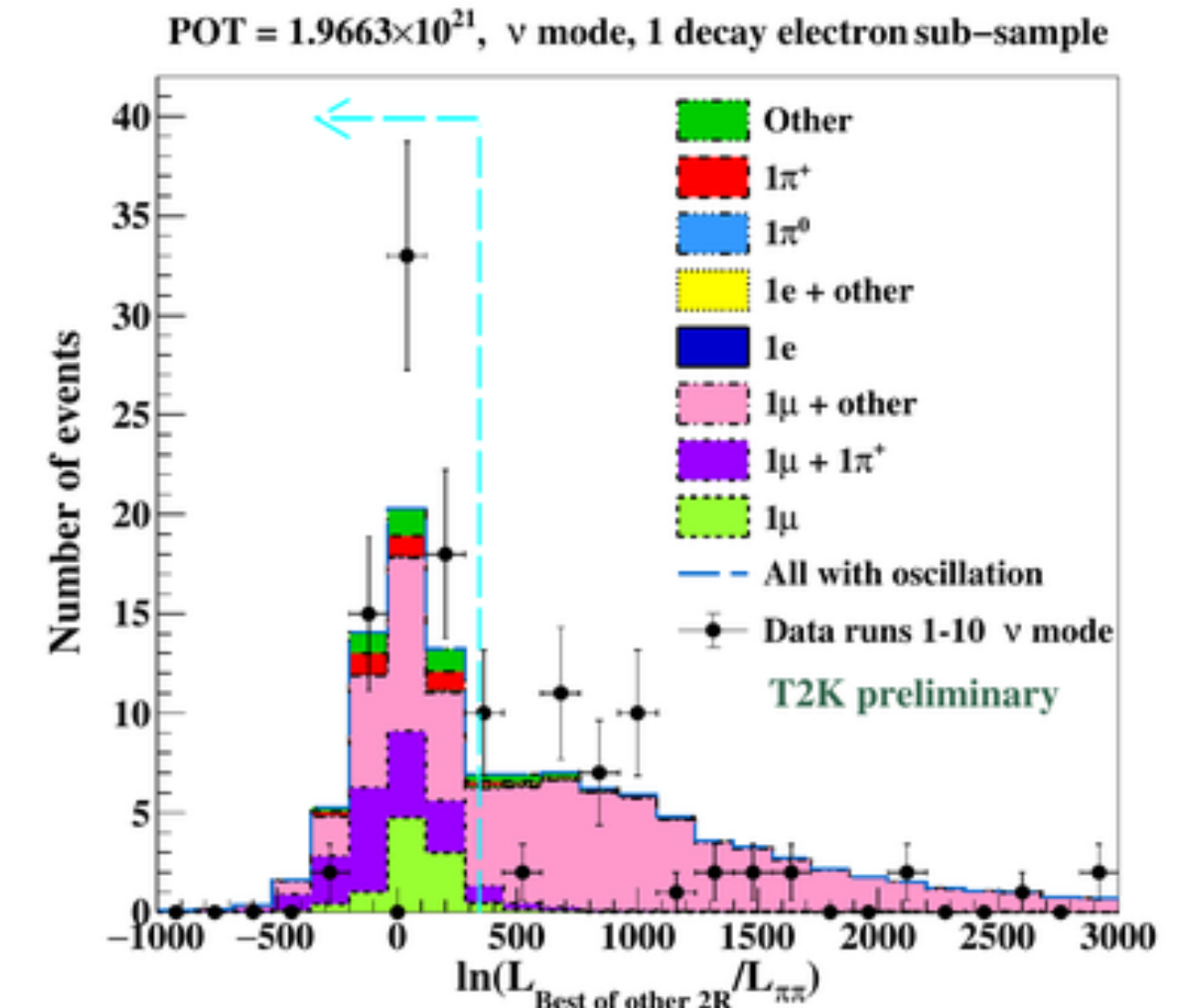
- Located **295 km** from the proton target
- SK uses **Cherenkov radiation** to detect particles created during neutrino interactions
- SK selections are created using characteristic **rings** left behind by the **Cherenkov cone**
- Cherenkov radiation can be detected by **Photomultiplier Tubes** (PMTs) located around the edge of the detector
- Selections are split broadly in “ **μ -like**” and “**e-like**” and by **beam production mode** (ν and $\bar{\nu}$ mode)
- Recently **gadolinium** has been added for increased $\nu/\bar{\nu}$ discrimination!



Far Detector Selections

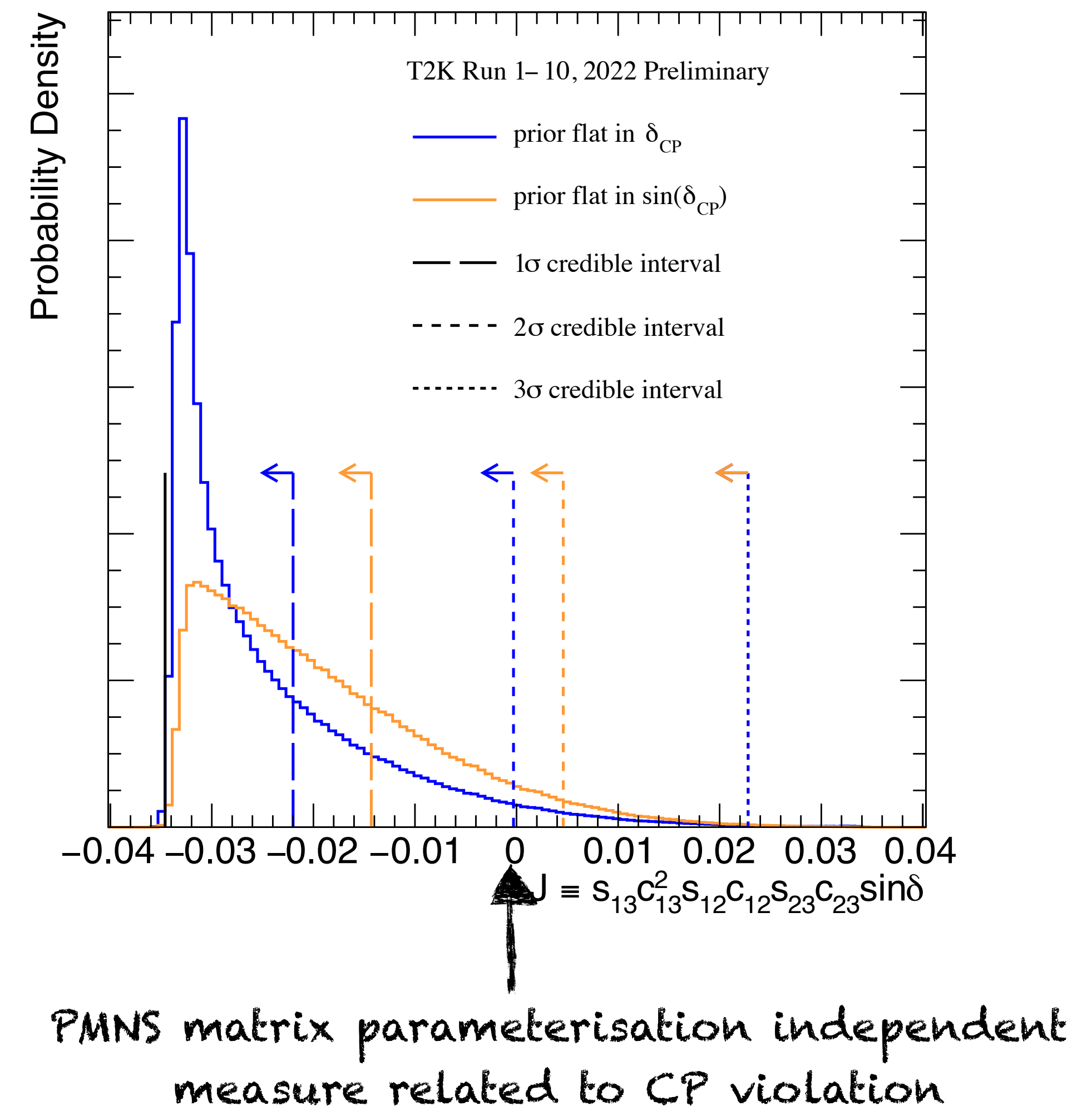
- 6 selections are now used:
 - ▶ ν and $\bar{\nu}$ mode events with **1 e-like ring** in the final state
 - ▶ ν and $\bar{\nu}$ mode events with **1 μ -like ring** in the final state
 - ▶ ν mode events with **1 e-like ring** and **1 Michel electron** in final state
 - ▶ ν mode events with **1 μ -like ring** and either **2 Michel electrons** or **1 Michel electron** and $1\pi^+$ in the final state

NEW FOR THIS ANALYSIS



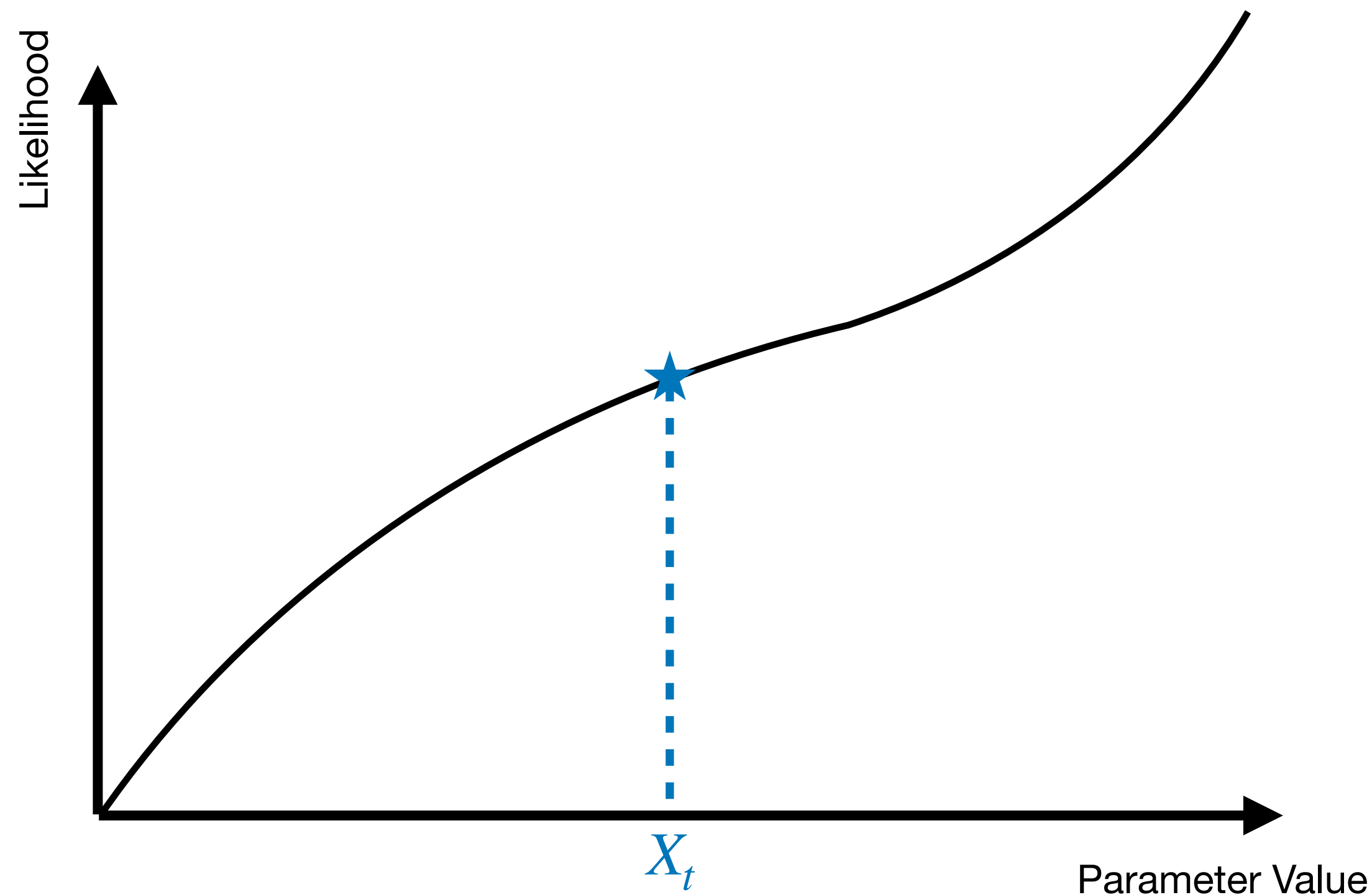
- Another useful metric for measuring CP violation is the **Jarlskog invariant**
- This is the actual term in the $\nu_\mu \rightarrow \nu_e$ oscillation probability that differs between ν and $\bar{\nu}$ for non- $0/k\pi$ values of δ_{cp}
- As it's proportional to $\sin(\delta_{cp}) J_{cp} = 0 \Rightarrow$ CP violation

Jarlskog Invariant, Both Hierarchies



Demonstration of MCMC

Start position for each step



At step t location in parameter space is given by X_t

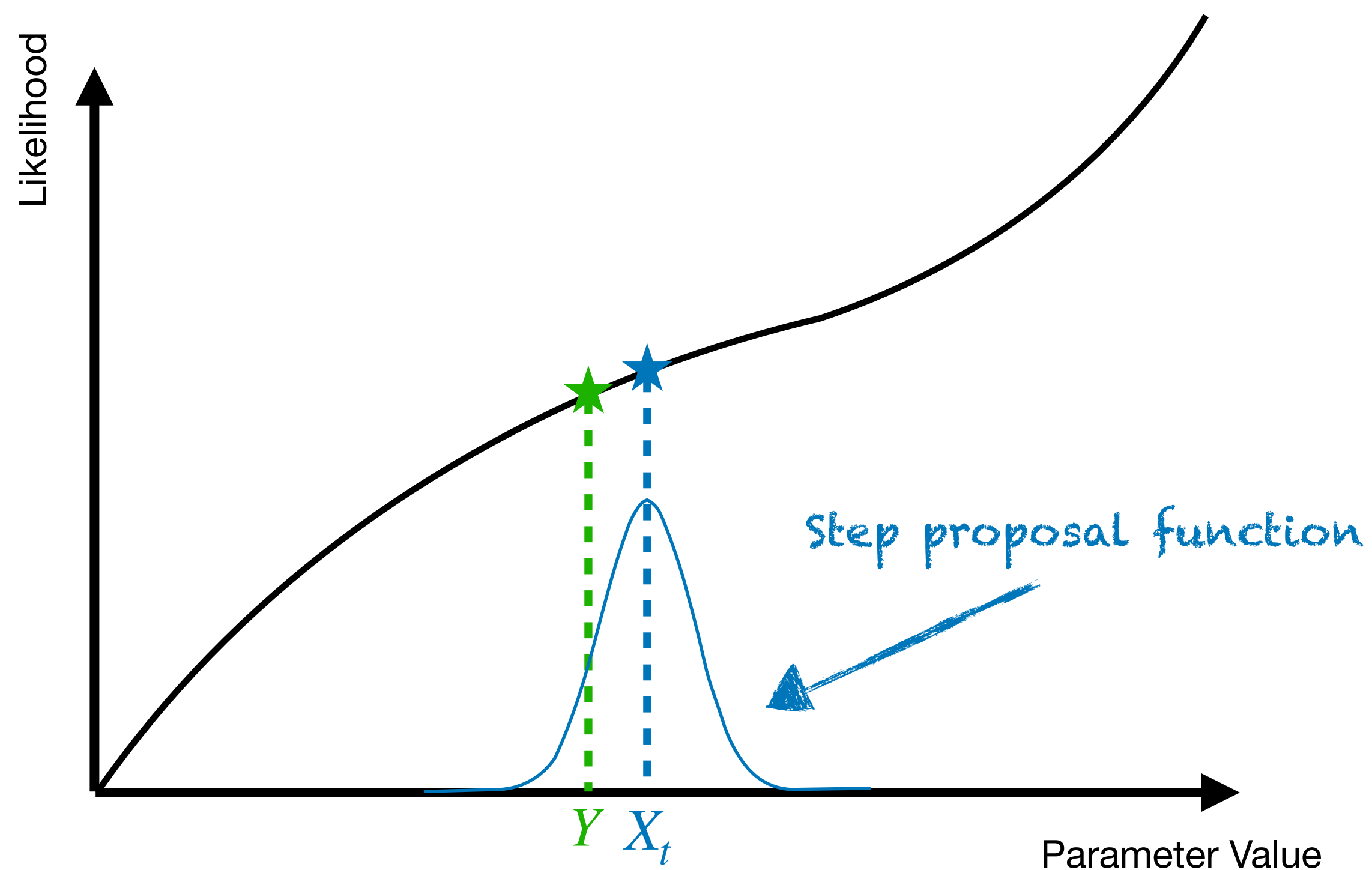
Pick a new point, Y , from a Gaussian centred on X_t

Evaluate the ratio of likelihoods
 $\alpha = \min [1, \mathcal{L}(Y)/\mathcal{L}(X)]$

Generate a random number, $u \sim U(0,1)$

If $\alpha > u : X_{t+1} = Y$
else : $X_{t+1} = X_t$

Step Proposal



At step t location in parameter space is given by X_t

Pick a new point, Y , from a Gaussian centred on X_t

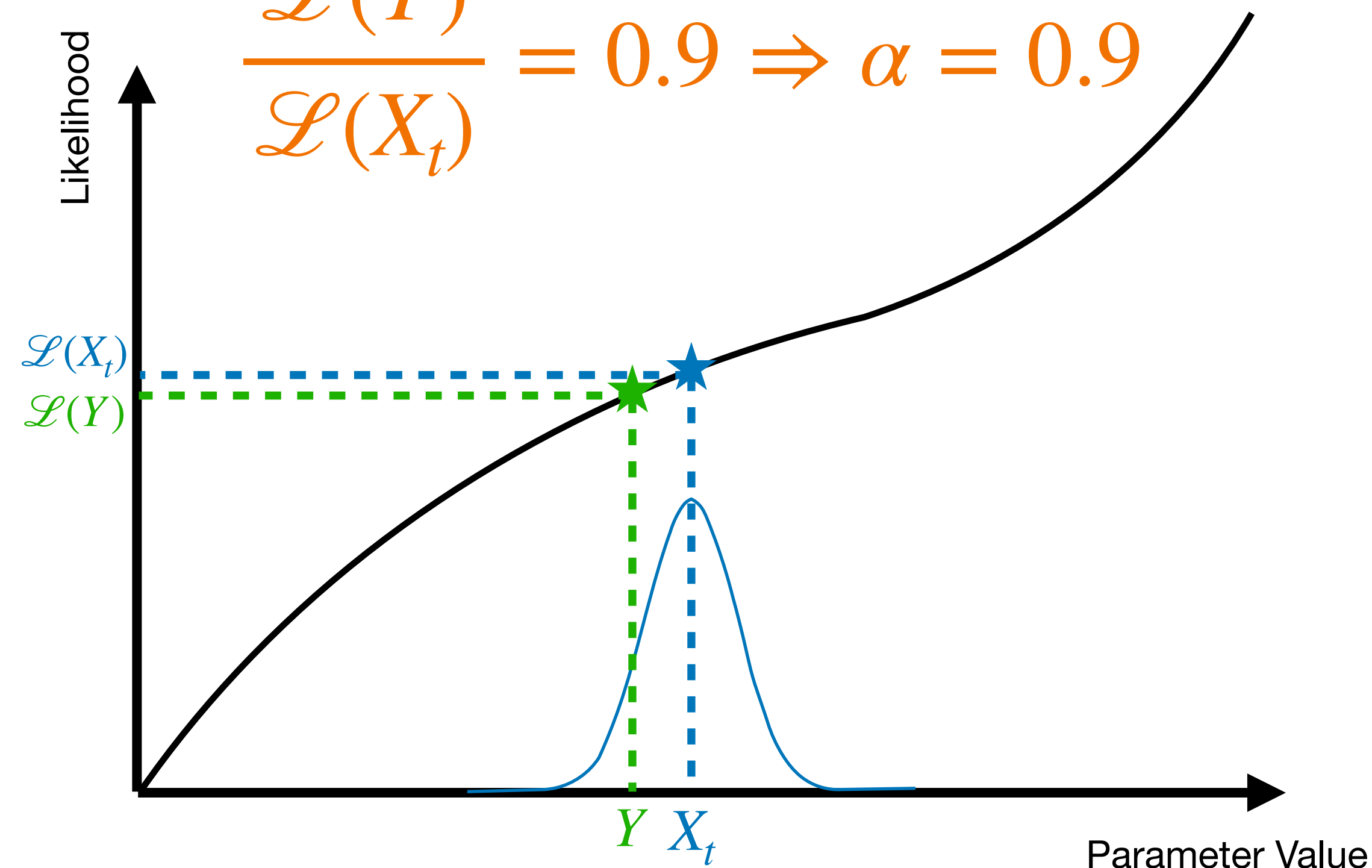
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Generate a random number, $u \sim U(0,1)$

If $\alpha > u : X_{t+1} = Y$
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Evaluate Likelihoods

$$\frac{\mathcal{L}(Y)}{\mathcal{L}(X_t)} = 0.9 \Rightarrow \alpha = 0.9$$



At step t location in parameter space is given by X_t

Pick a new point, Y , from a Gaussian centred on X_t

Evaluate the ratio of likelihoods

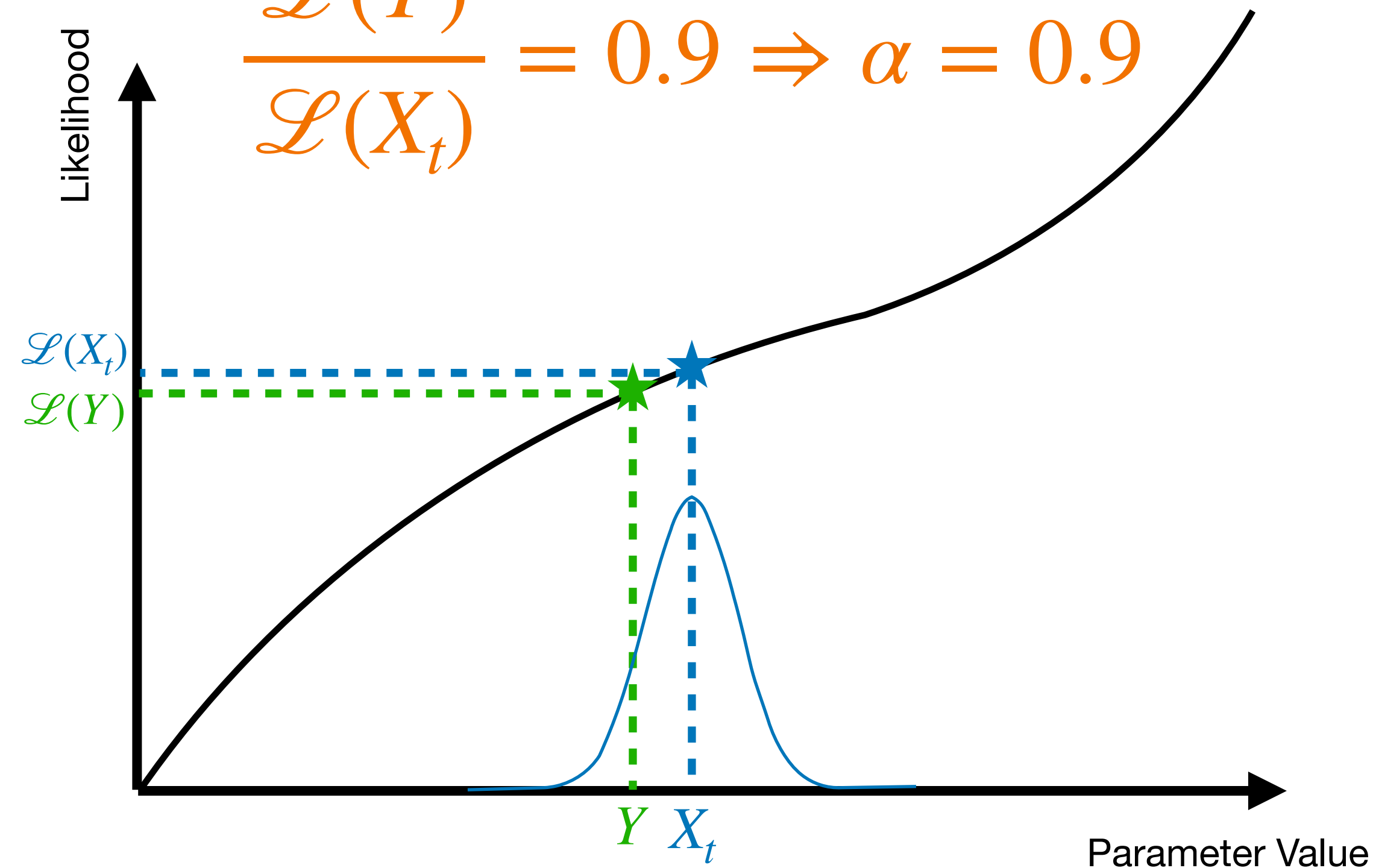
$$\alpha = \min [1, \mathcal{L}(Y)/\mathcal{L}(X)]$$

Generate a random number, $u \sim U(0,1)$

If $\alpha > u : X_{t+1} = Y$
else : $X_{t+1} = X_t$

Generate Acceptance Probability

$$\frac{\mathcal{L}(Y)}{\mathcal{L}(X_t)} = 0.9 \Rightarrow \alpha = 0.9$$



$$u = 0.4 \Rightarrow u < \alpha$$

At step t location in parameter space is given by X_t

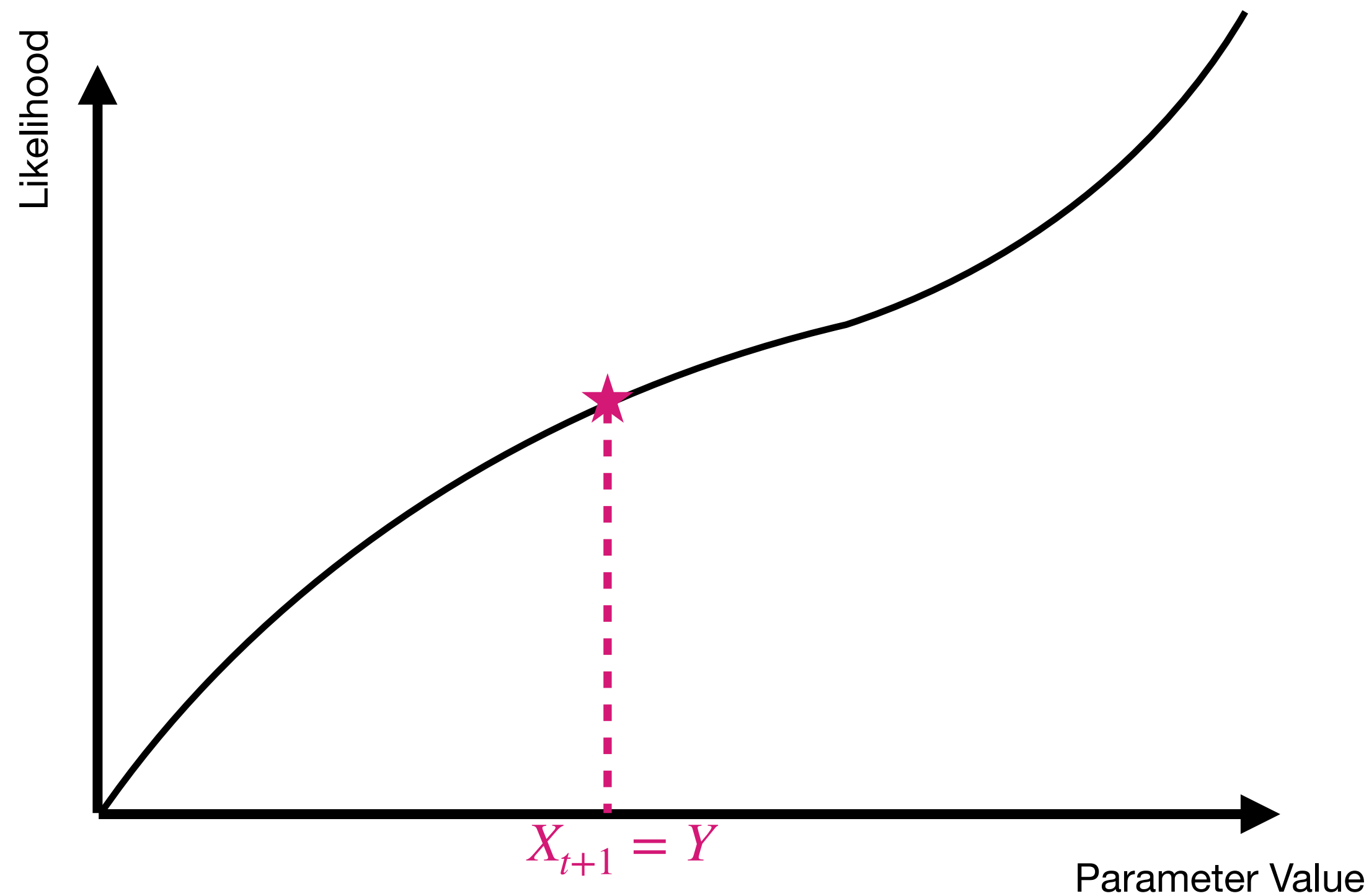
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Accept/Reject Step and Repeat!



At step t location in parameter space is given by X_t

Pick a new point, Y , from a Gaussian centred on X_t

Evaluate the ratio of likelihoods
 $\alpha = \min [1, \mathcal{L}(Y)/\mathcal{L}(X)]$

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