

Vector leptoquark U_3 : A possible solution to the recent discrepancy between NOvA and T2K results on CP violation

Rukmani Mohanta

University of Hyderabad, Hyderabad-500046, India

July 18, 2023

(Based on: Eur. Phys. J. C **82**, 919 (2022), with R. Majhi et al.)



Introduction

- Results from various Neutrino oscillation experiments firmly established the standard three-flavour mixing framework:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- All the mixing angles are measured with relatively large values in contrast to small mixing in quark sector
- This opens up the possibility of observing the CP violation in lepton sector as CP violation effect is quantified in terms of Jarlskog invariant

$$J_{CP} = s_{13}c_{13}^2s_{12}c_{12}s_{23}c_{23}\sin\delta_{CP}$$

- δ_{CP} can be searched in long-baseline expts. through oscillation channels involving both Δm_{21}^2 and Δm_{31}^2 , e.g., $(\nu_\mu \rightarrow \nu_e)$
- Objective of the two currently running LBL expts. (NOvA and T2K) to measure δ_{CP}

NOvA and T2K Experiments in a Nutshell

NOvA Experiment

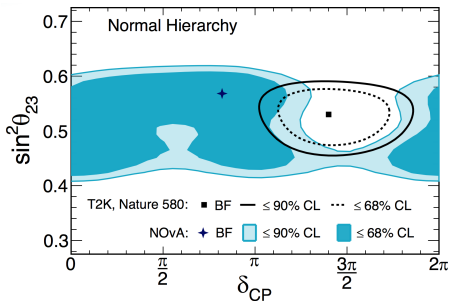
- Uses NuMI beam of Fermilab, with beam power 700 KW
- Aim to observe $\nu_\mu \rightarrow \nu_e$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ osc.
- Has two functionally identical detectors: ND (300t) and FD (14kt)
- Both detectors are 14.6 mrad off-axis, corresponding to peak energy of 2 GeV
- Baseline: 810 km
- Matter density: 2.84 g/cc

- Primary Physics Goals: To measure the atmospheric sector oscillation parameters (Δm_{32}^2 , $\sin^2 \theta_{23}$)
- Address some key open questions in oscillation (Neutrino MO, Octant of θ_{23} , CP violating phase δ_{CP} , NSIs, Sterile neutrinos, ...)

T2K Experiment

- Uses the beam from J-PARC facility
- primary goal to observe $\nu_\mu \rightarrow \nu_e$ and $\nu_\mu \rightarrow \nu_\mu$ channels for both neutrinos and antineutrinos
- Has two detectors ND (plastic scintillator) and FD (22.5 kt) water Cherenkov
- Both detectors are at 2.5° off-axis in nature corresponding oscillation peak of 0.6 GeV.
- Baseline: 295 km
- Matter density: 2.3 g/cc

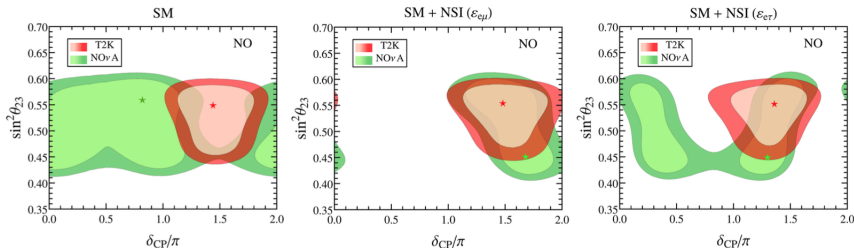
NOvA and T2K results on δ_{CP}



- Both expts. prefer Normal ordering
- No strong preference for CP violation in NOvA: $\delta_{CP} \sim 0.8\pi$
- T2K prefers $\delta_{CP} \simeq 3\pi/2$
- Slight disagreement between the two results at $\sim 2\sigma$ level

NOvA and T2K Tension & NSI (PRL 126, 051802 (2021))

- Difference between NOvA and T2K is the baseline and the matter density
- Neutrinos at NOvA experience stronger matter effect \implies New Physics solutions could be related to this differences
- Introduction of NC-NSIs can resolve this ambiguity, shown by two different groups

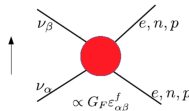


$$\epsilon_{e\mu} = 0.15, \delta_{e\mu} = 1.38\pi, \delta_{CP} = 1.48\pi \text{ (2.1}\sigma\text{)}; \epsilon_{e\tau} = 0.27, \delta_{e\tau} = 1.62\pi, \delta_{CP} = 1.46\pi \text{ (1.9}\sigma\text{)}$$

New Physics Effects: Non-Standard Interactions

- Non-Standard interactions (NSIs): Sub-leading effects in neutrino oscillation, usually generated by the exchange of new massive particles and are $\varepsilon \sim \mathcal{O}(M_W^2/M_{NP}^2)$
- NC-NSIs affect the neutrino propagation from source to detector and can be expressed as

$$\mathcal{L}_{\text{NC-NSI}} = \frac{G_F}{\sqrt{2}} \sum_f \varepsilon_{\alpha\beta}^f [\bar{\nu}_\beta \gamma^\mu (1 - \gamma_5) \nu_\alpha] [\bar{f} \gamma_\mu (1 \pm \gamma_5) f]$$



- CC NSIs are important for SBL/Reactor experiments, while NC NSIs are crucial for LBL/Accelerator expts.

Basic Formalism of NSI

- The Hamiltonian for neutrino propagation in matter in the standard paradigm is

$$\mathcal{H}_{SM} = \frac{1}{2E} \left[U \cdot \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2) \cdot U^\dagger + \text{diag}(A, 0, 0) \right], \quad A = 2\sqrt{2}G_F N_e E$$

- The NSI Hamiltonian

$$\mathcal{H}_{NSI} = \frac{A}{2E} \begin{pmatrix} \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix}, \quad \text{where} \quad \varepsilon_{\alpha\beta} = |\varepsilon_{\alpha\beta}| e^{i\delta_{\alpha\beta}}$$

- For neutrino propagation in the earth, the relevant combinations are

$$\varepsilon_{\alpha\beta} \equiv \sum_{f=e,u,d} \varepsilon_{\alpha\beta}^f \frac{N_f}{N_e} = \sum_{f=e,u,d} \left(\varepsilon_{\alpha\beta}^{fL} + \varepsilon_{\alpha\beta}^{fR} \right) \frac{N_f}{N_e}$$

- For $N_u \simeq N_d \simeq 3N_e \Rightarrow$

$$\varepsilon_{\alpha\beta} \simeq \varepsilon_{\alpha\beta}^e + 3\varepsilon_{\alpha\beta}^u + 3\varepsilon_{\alpha\beta}^d$$

- Using matter perturbation theory, the appearance probability $P_{\mu e}$, to first order in A expressed in terms of $\varepsilon_{e\mu}$ for NO:

$$P_{\mu e} = P_{\mu e}(\varepsilon = 0)_{SI} + P_{\mu e}(\varepsilon_{e\mu})_{NSI},$$

$$\begin{aligned} P_{\mu e}(\varepsilon = 0)_{SI} = & \sin^2 2\theta_{13} s_{23}^2 \sin^2 \Delta_{31} + c_{23}^2 \sin^2 2\theta_{12} \left(\frac{\Delta m_{21}^2}{\Delta m_{31}^2} \right)^2 \Delta_{31}^2 \\ & + 4c_{12}s_{12}c_{13}^2s_{13}c_{23}s_{23} \left(\frac{\Delta m_{21}^2}{\Delta m_{31}^2} \right) \Delta_{31} [\cos \delta \sin 2\Delta_{31} - 2 \sin \delta \sin^2 \Delta_{31}] \\ & + 2 \sin^2 2\theta_{13} s_{23}^2 \left(\frac{AL}{4E} \right) \left[\frac{1}{\Delta_{31}} \sin^2 \Delta_{31} - \frac{1}{2} \sin 2\Delta_{31} \right], \end{aligned}$$

$$\begin{aligned} P_{\mu e}(\varepsilon_{e\mu} \neq 0)_{NSI} = & -8 \left(\frac{AL}{4E} \right) \\ & \times \left[s_{23}s_{13} \left\{ |\varepsilon_{e\mu}| \cos(\delta + \phi_{e\mu}) \left(s_{23}^2 \frac{\sin^2 \Delta_{31}}{\Delta_{31}} - \frac{c_{23}^2}{2} \sin 2\Delta_{31} \right) + c_{23}^2 |\varepsilon_{e\mu}| \sin(\delta + \phi_{e\mu}) \sin^2 \Delta_{31} \right\} \right. \\ & \left. - c_{12}s_{12}c_{23} \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \left\{ |\varepsilon_{e\mu}| \cos \phi_{e\mu} \left(c_{23}^2 \Delta_{31} + \frac{s_{23}^2}{2} \sin 2\Delta_{31} \right) + s_{23}^2 |\varepsilon_{e\mu}| \sin \phi_{e\mu} \sin^2 \Delta_{31} \right\} \right], \end{aligned}$$

where $\Delta_{31} \equiv \frac{\Delta m_{31}^2 L}{4E}$.

Model dependent approach: Leptoquark Model

- Leptoquarks are color-triplet bosons which can couple to quarks and leptons simultaneously
- They can be scalar/vector type and are found in many extensions of the SM, e.g., $SU(5)$ GUT, Pati-Salam $SU(4)$ model, Composite model etc.
- Let's consider an additional VLQ U_3 which transforms as $(\bar{3}, 3, 2/3)$ under the SM gauge group $SU(3) \times SU(2) \times U(1)$
- Since U_3 transforms as a triplet under $SU(2)_L$, it can couple only to LH quark and lepton doublets and the corresponding interaction Lagrangian is

$$\mathcal{L} \supset \lambda_{ij}^{LL} \bar{Q}_L^{i,a} \gamma^\mu (\tau^k \cdot U_{3,\mu}^k)^{ab} L_L^{j,b} + \text{H.c.},$$

- The three charged states are:

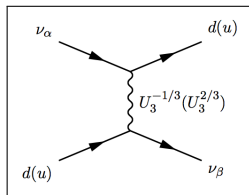
$$U_3^{5/3} = (U_3^1 - iU_3^2)/\sqrt{2}, \quad U_3^{-1/3} = (U_3^1 + iU_3^2)/\sqrt{2}, \quad U_3^{2/3} = U_3^3$$

NSIs due to LQ interactions

- The effective four-fermion interaction between neutrinos and u/d quarks ($q^i + \nu_\alpha \rightarrow q^j + \nu_\beta$)

$$\mathcal{L}_{\text{eff}}^{\text{down}} = -\frac{2}{m_{\text{LQ}}^2} \lambda_{j\beta}^{LL} \lambda_{i\alpha}^{LL*} (\bar{d}^i \gamma_\mu P_L d^j) (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) ,$$

$$\mathcal{L}_{\text{eff}}^{\text{up}} = -\frac{1}{m_{\text{LQ}}^2} \lambda_{j\beta}^{LL} \lambda_{i\alpha}^{LL*} (\bar{u}^i \gamma_\mu P_L u^j) (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) ,$$



- Thus, one can obtain the NSI parameters as

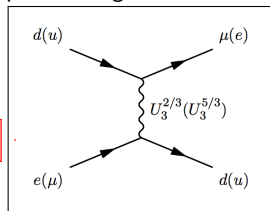
$$\varepsilon_{\alpha\beta}^{uL} = \frac{1}{2\sqrt{2}G_F} \frac{1}{m_{\text{LQ}}^2} \lambda_{1\beta}^{LL} \lambda_{1\alpha}^{LL} , \quad \text{and} \quad \varepsilon_{\alpha\beta}^{dL} = \frac{1}{\sqrt{2}G_F} \frac{1}{m_{\text{LQ}}^2} \lambda_{1\beta}^{LL} \lambda_{1\alpha}^{LL} .$$

- LQ parameters are constrained from the LFV decay, $\pi^0 \rightarrow \mu e$

Constraints on LQ couplings from LFV decays

- We are mainly interested to study the effect of the NSI parameter $\varepsilon_{e\mu}$, which may be responsible for NOvA and T2K discrepancy on δ_{CP} .
- For constraining the LQ parameters, we consider the LFV decay $\pi^0 \rightarrow \mu e$, mediated through the exchange of $U_3^{2/3}(U_3^{5/3})$
- The effective Lagrangian for $\pi^0 \rightarrow (\mu^+ e^- + e^+ \mu^-)$ process is given as

$$\mathcal{L}_{\text{eff}} = - \left[\frac{1}{m_{LQ}^2} \lambda_{12}^{LL} \lambda_{11}^{LL*} (\bar{d}_L \gamma^\mu d_L) (\bar{\mu}_L \gamma_\mu e_L) + \frac{2}{m_{LQ}^2} (V \lambda^{LL})_{12} (V \lambda^{LL})_{11}^* (\bar{u}_L \gamma^\mu u_L) (\bar{\mu}_L \gamma_\mu e_L) \right].$$



- As the CKM matrix elements are strongly hierarchical, i.e., $V_{11} > V_{12} > V_{13}$, we keep only the diagonal element V_{11}

Constraints on LQ couplings from LFV decays

- The branching fraction of $\pi^0 \rightarrow \mu e$ process is given as

$$\mathcal{B}(\pi^0 \rightarrow \mu^+ e^- + \mu^- e^+) = \frac{1}{64\pi m_\pi^3} \frac{|\lambda_{12}^{LL} \lambda_{11}^{LL*}|^2}{m_{LQ}^4} \tau_\pi f_\pi^2 (1 - 2V_{11}^2)^2 \\ \times \sqrt{(m_\pi^2 - m_\mu^2 - m_e^2)^2 - 4m_\mu^2 m_e^2} \left[m_\pi^2 (m_\mu^2 + m_e^2) - (m_\mu^2 - m_e^2)^2 \right]$$

- The measured branching ratio of this process at 90% C.L.

$$\mathcal{B}(\pi^0 \rightarrow \mu^+ e^- + \mu^- e^+) < 3.6 \times 10^{-10}$$

- Thus, we obtain the bound on the leptoquark parameters as

$$0 \leq \frac{|\lambda_{12}^{LL} \lambda_{11}^{LL*}|}{m_{LQ}^2} \leq 3.4 \times 10^{-6} \text{ GeV}^{-2}.$$

- These bounds can be translated into NSI couplings as

$$\varepsilon_{e\mu}^{uL} \leq 0.1, \quad \varepsilon_{e\mu}^{dL} \leq 0.2,$$

which gives $\varepsilon_{e\mu} \leq 0.9$.

Addressing NOvA and T2K discrepancy on δ_{CP}

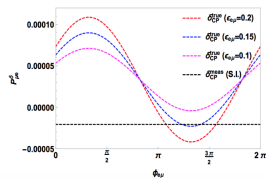
- General Approach: Accurately measure the $P_{\mu e}$ and compare with prediction
- Any mismatch between data and prediction \implies Interplay of NP
- To resolve the ambiguity, we consider the effect of $\varepsilon_{e\mu}$ and use its value obtained from U_3 , i.e., we consider all other NSI parameters to be zero.
- Due to the presence of nonzero $\varepsilon_{e\mu}$, one can obtain degenerate solutions in $P_{\mu e}$, i.e.,

$$P_{\mu e}(\theta_{12}, \theta_{13}, \theta_{23}, \delta_{CP}^{\text{true}}, \Delta m_{21}^2, \Delta m_{31}^2, \varepsilon_{e\mu}, \phi_{e\mu})_{\text{NSI}} = P_{\mu e}(\theta_{12}, \theta_{13}, \theta_{23}, \delta_{CP}^{\text{meas}}, \Delta m_{21}^2, \Delta m_{31}^2)_{\text{SI}}.$$

- After a little algebraic manipulation, one can obtain a relationship between the measured and true values of δ_{CP} for the NOvA experiment

$$\begin{aligned} & -s_{12}c_{12}c_{23}\frac{\pi}{2}\sin\delta_{CP}^{\text{true}} + A|\varepsilon_{e\mu}|\left(s_{23}^2\cos(\delta_{CP}^{\text{true}} + \phi_{e\mu}) - c_{23}^2\frac{\pi}{2}\sin(\delta_{CP}^{\text{true}} + \phi_{e\mu})\right) \\ & \approx -s_{12}c_{12}c_{23}\frac{\pi}{2}\sin\delta_{CP}^{\text{meas}} \equiv P_{\mu e}^{\delta}. \end{aligned}$$

- $\varepsilon_{e\mu} \geq 0.15$, there will be degeneracy between SI and NSI $P_{\mu e}$

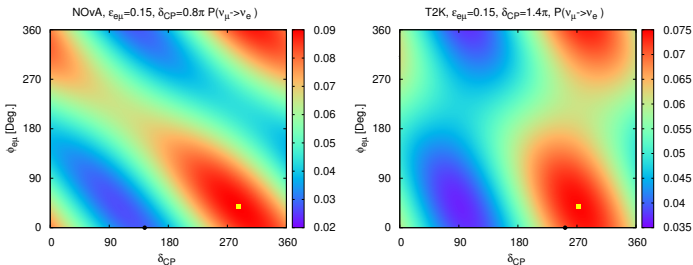


Discussion at Probability Level

- For simulation, we used GLoBES and obtained the χ^2

$$\chi^2_{\text{stat}} = 2 \sum_i \left[N_i^{\text{test}} - N_i^{\text{true}} + N_i^{\text{true}} \ln \frac{N_i^{\text{true}}}{N_i^{\text{test}}} \right]$$

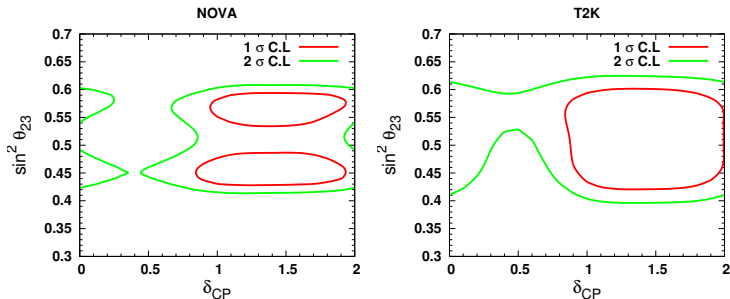
- We show the oscillograms for $P_{\mu e}$ assuming $\varepsilon_{e\mu} = 0.15$ with respect to the variation in δ_{CP} and the NSI phase $\phi_{e\mu}$.



- When one assumes non-zero $\varepsilon_{e\mu}$, both NOvA and T2K are suggesting same value of $\delta_{CP} = 3\pi/2$.

Prediction for Neutrino Oscillation Parameters

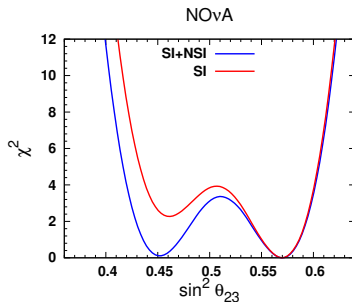
- The true values used in the simulation are taken from NuFit 5.1. In addition, we used $\delta_{CP} = 1.4\pi$, $\varepsilon_{e\mu} = 0.15$, $\phi_{e\mu} = 1.53\pi$
- Marginalization is done over Δm_{31}^2 and $\phi_{e\mu}$



- Allowed parameter space in $\sin^2 \theta_{23} - \delta_{CP}$ plane in the presence of $\varepsilon_{e\mu}$ for Normal Ordering

Prediction for Neutrino Oscillation Parameters

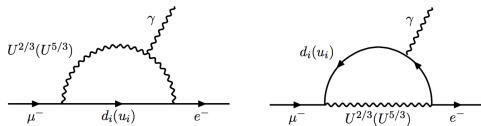
- To get the best-fit value of θ_{23} in the presence of $\varepsilon_{e\mu}$, we show χ^2 vs $\sin^2 \theta_{23}$



- With NSI, the absolute minima still falls in higher octant, though for values of $\theta_{23} < 45^\circ$ there seems to be a degeneracy with lower octant.

Implications of U_3 LQ on LFV μ decays

- The LQ couplings relevant for constraining the NSI parameter $\varepsilon_{e\mu}$ are intimately connected to $\mu \rightarrow e\gamma$.
- The current upper limit: $\mathcal{B}(\mu \rightarrow e\gamma) < 4.2 \times 10^{-13}$ at 90% CL
- In presence of U_3 , $\mu \rightarrow e\gamma$ process can be mediated through one-loop



- Including leading order loop functions of order $\mathcal{O}(m_{q_i}^2/m_{LQ}^2)$ as

$$\mathcal{B}(\mu \rightarrow e\gamma) = \frac{3\alpha N_C^2}{64\pi G_F^2} \left[\sum_{i=1}^3 \frac{|\lambda_{i2}^{LL} \lambda_{i1}^{LL}|}{m_{LQ}^2} \left(\frac{1}{2} \frac{m_{d_i}^2}{m_{LQ}^2} + \frac{m_{u_i}^2}{m_{LQ}^2} \right) \right]^2$$

- For a TeV scale leptoquark, the branching fraction is

$$\mathcal{B}(\mu \rightarrow e\gamma) \approx 7.35 \times 10^{-20}$$

Conclusion

- There is slight tension between the recent measurements of δ_{CP} by NOvA and T2K at 2σ level
- The simplest and obvious reason for accounting this discrepancy is the presence of NSIs of neutrinos with the earth matter during their propagation.
- We have considered the vector LQ (U_3) model as an example and have shown that it can successfully resolve the observed discrepancy in the measurement of δ_{CP} by T2K and NOvA.
- In addition, we also noticed that in the 3-flavour paradigm, NOvA prefers upper octant for θ_{23} , while in the presence of NSI there is a degeneracy between the upper and lower octants.
- We also showed the implications U_3 in LFV decay $\mu \rightarrow e\gamma$

Thank you for your attention!