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On behalf of the LHCb collaboration

Lepton Photon conference  
Melbourne  
18th July 2023

# Mixing and CPV in charm decays



Charge-Parity violation as been well established in the **B** and **K** systems

Much **more elusive** in the charm sector




*Standard Model: GIM cancellation  
and CKM suppression*

$$A_{CP} \sim \mathcal{O}(10^{-3})$$

CP violation in charm **observed** for the first time in 2019

$$\Delta A_{CP} \equiv A_{CP}(D^0 \rightarrow K^+ K^-) - A_{CP}(D^0 \rightarrow \pi^+ \pi^-) \quad [\text{PRL 122 08726}]$$

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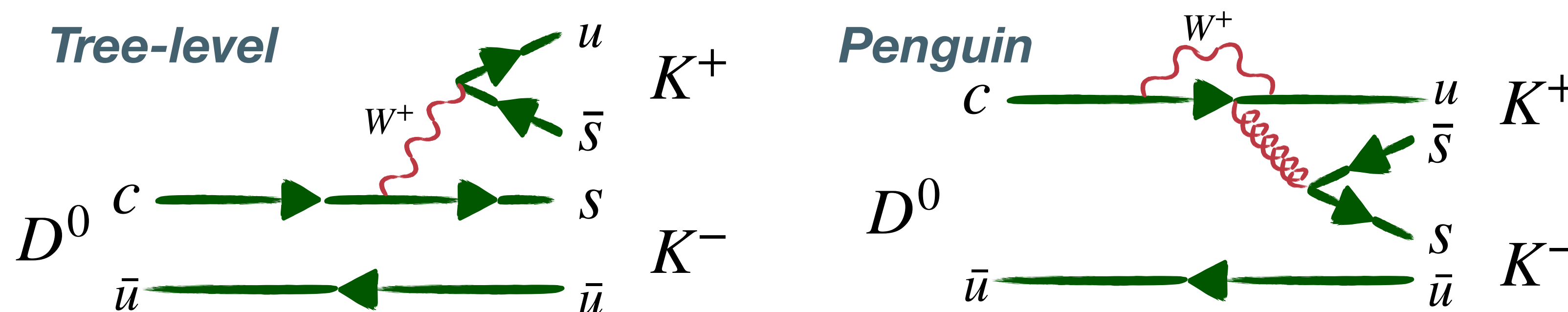
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Standard Model predictions are **hard**; there is still some debate as to whether the measured CPV is consistent with SM or not

More experimental measurements will help add clarity

**Singly-Cabbibo suppressed** decays offer a good avenue to look for CP violation in charm decays





# Types of CP violation

Direct CP violation requires **interference** between **two different** decay processes

Difference in both **weak phase** and **strong phase**  $|A|^2 - |\bar{A}|^2 \propto \sin(\delta_1 - \delta_2) \sin(\phi_1 - \phi_2)$

Neutral  $D$  mesons can also **mix** between particle and antiparticle states

$$|D_{1,2}\rangle \equiv p |D^0\rangle \pm q |\bar{D}^0\rangle \quad \left| \frac{q}{p} \right| \neq 1 \rightarrow \text{CPV in mixing}$$



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This results in **more mechanisms** for CP violation:

## Charged D mesons



Direct CPV between  $D \rightarrow f$  and  $\bar{D} \rightarrow \bar{f}$

CPV in mixing between  $D$  and  $\bar{D}$

Interference between mixing and decay

## Neutral D mesons

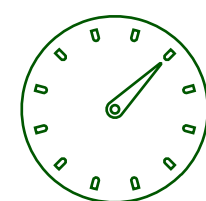




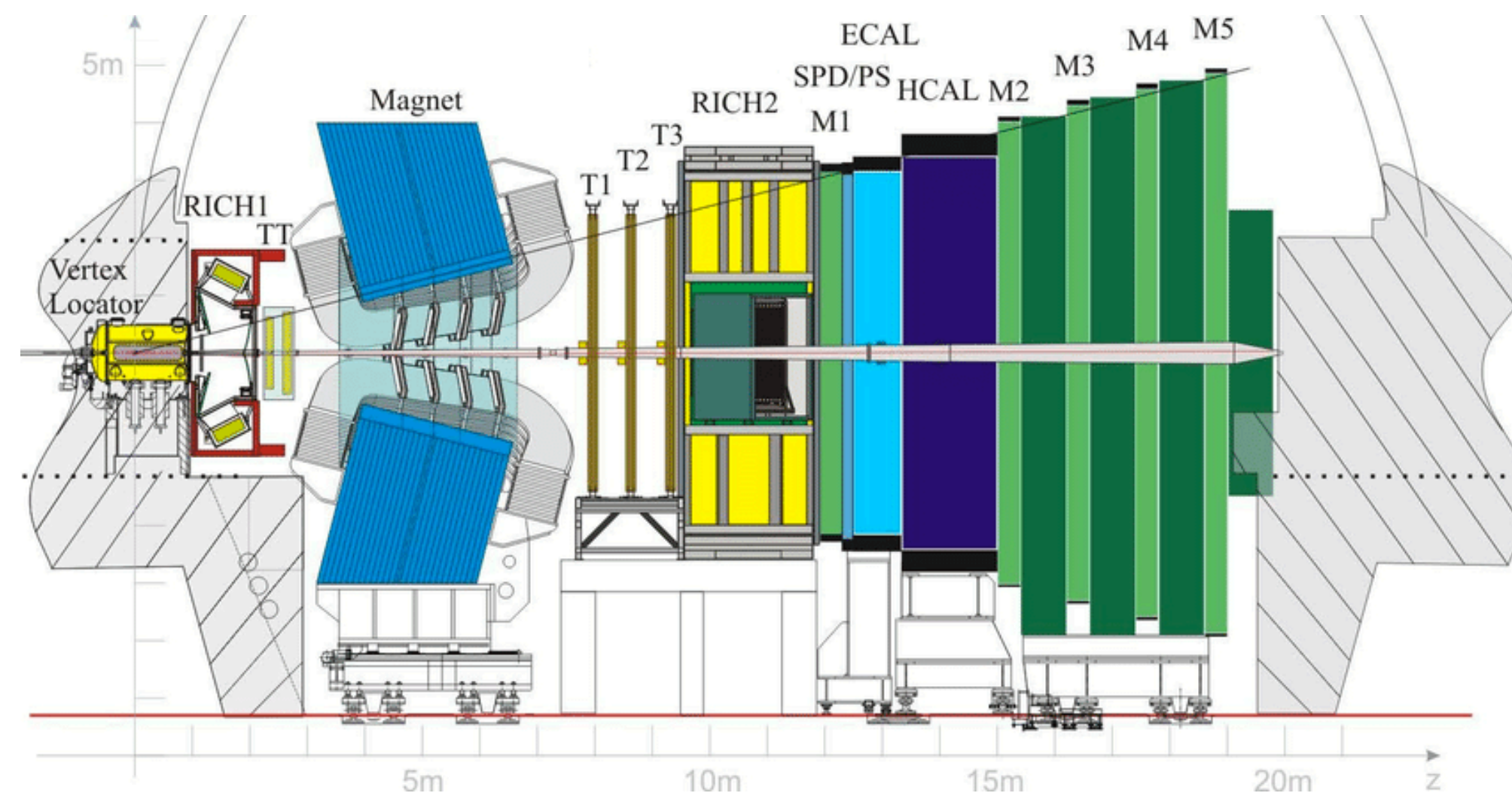
LHCb has collected huge samples of ***charm decays***

- ✓ Displaced vertex resolution *Crucial for mixing*
- ✓ Efficient hadron PID

***Turbo*** trigger configuration allows exclusive reconstruction of many final states in real-time



Run 2: 1MHz  $c\bar{c}$

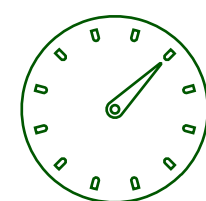




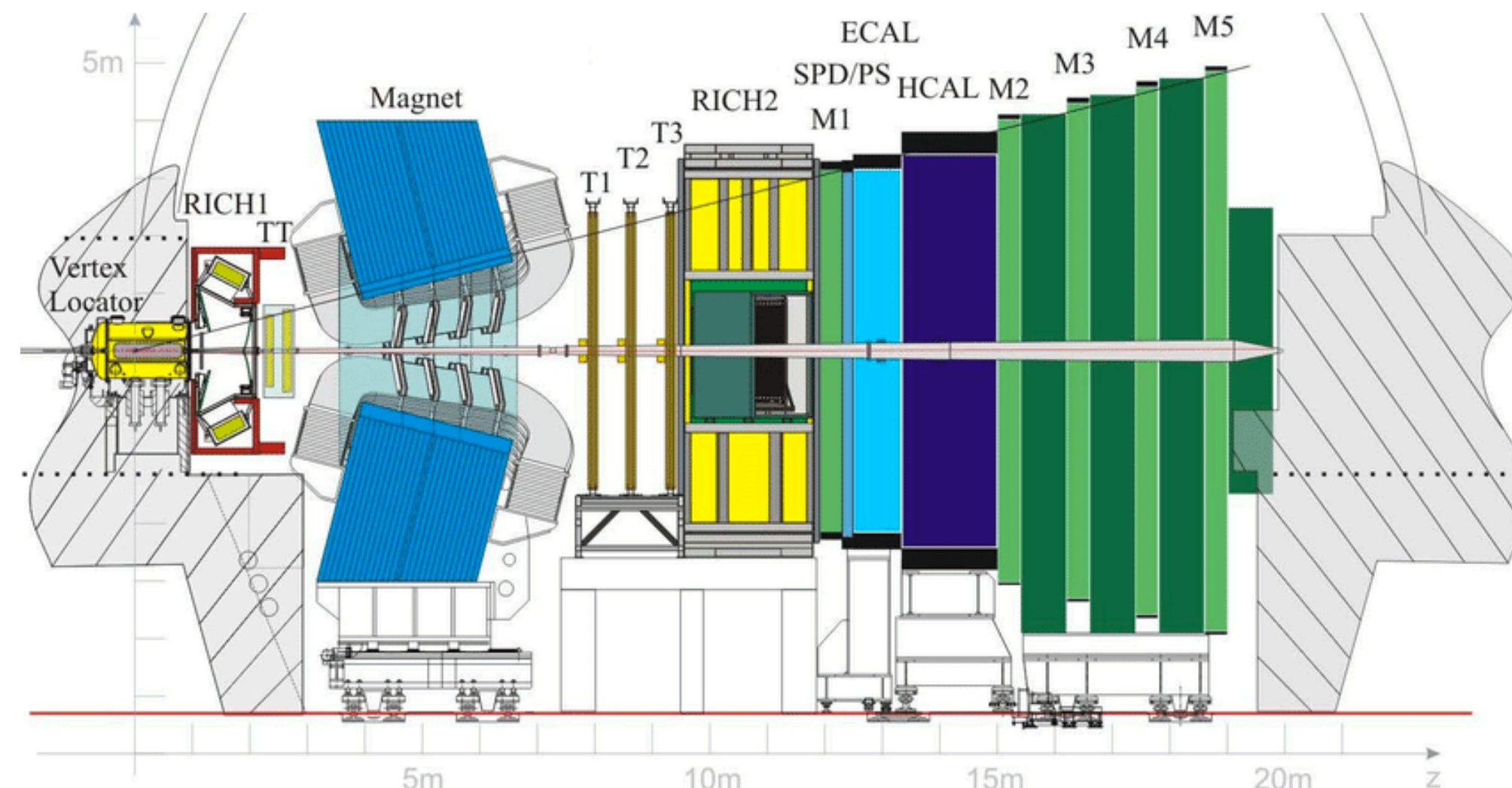
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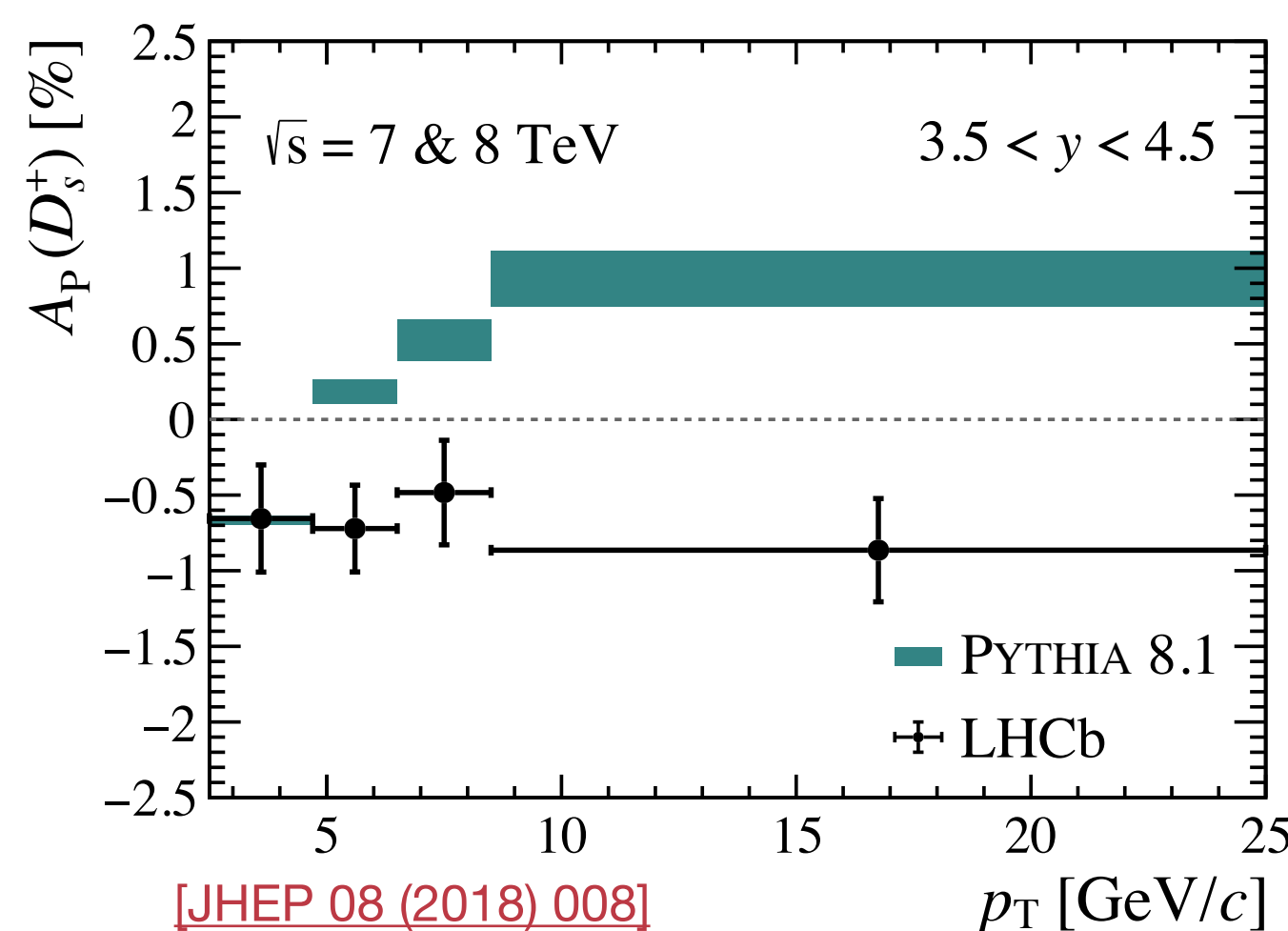
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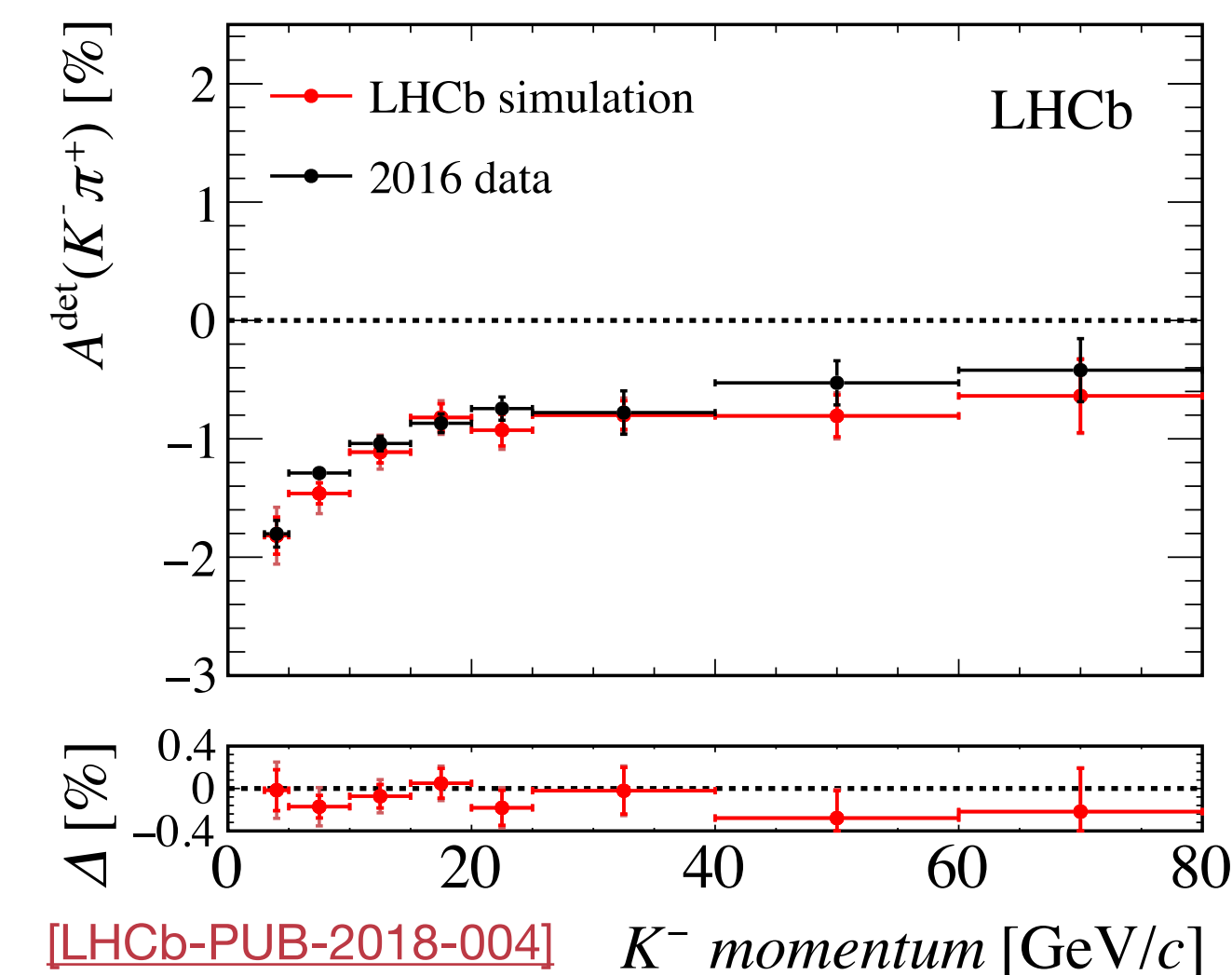
Measuring CP violation in charm decays requires precise control of **nuisance asymmetries**



**Production asymmetries**  
*Do we make as many  $c$  as  $\bar{c}$ ?*

**Detection asymmetries**  
*Do we reconstruct antiparticles as well as particles?*

**Both are  $\mathcal{O}(\%)$  effects**  
 $\times 10$  larger than  $A_{CP}$   
 Not perfect in simulations





# Today's menu

**LHCb-PAPER-2022-024** [arXiv:2209.03179](#)

Measurement of the time-integrated CP asymmetry in  $D^0 \rightarrow K^- K^+$  decays

**LHCb-PAPER-2022-042** [J. High Energ. Phys. 2023, 67 \(2023\)](#)

Search for CP violation in  $D_{(s)}^+ \rightarrow K^+ K^- K^+$  decays

**LHCb-PAPER-2023-005** [arXiv:2306.12746](#)

Search for CP violation in the phase space of  $D^0 \rightarrow \pi^- \pi^+ \pi^0$  decays with the energy test

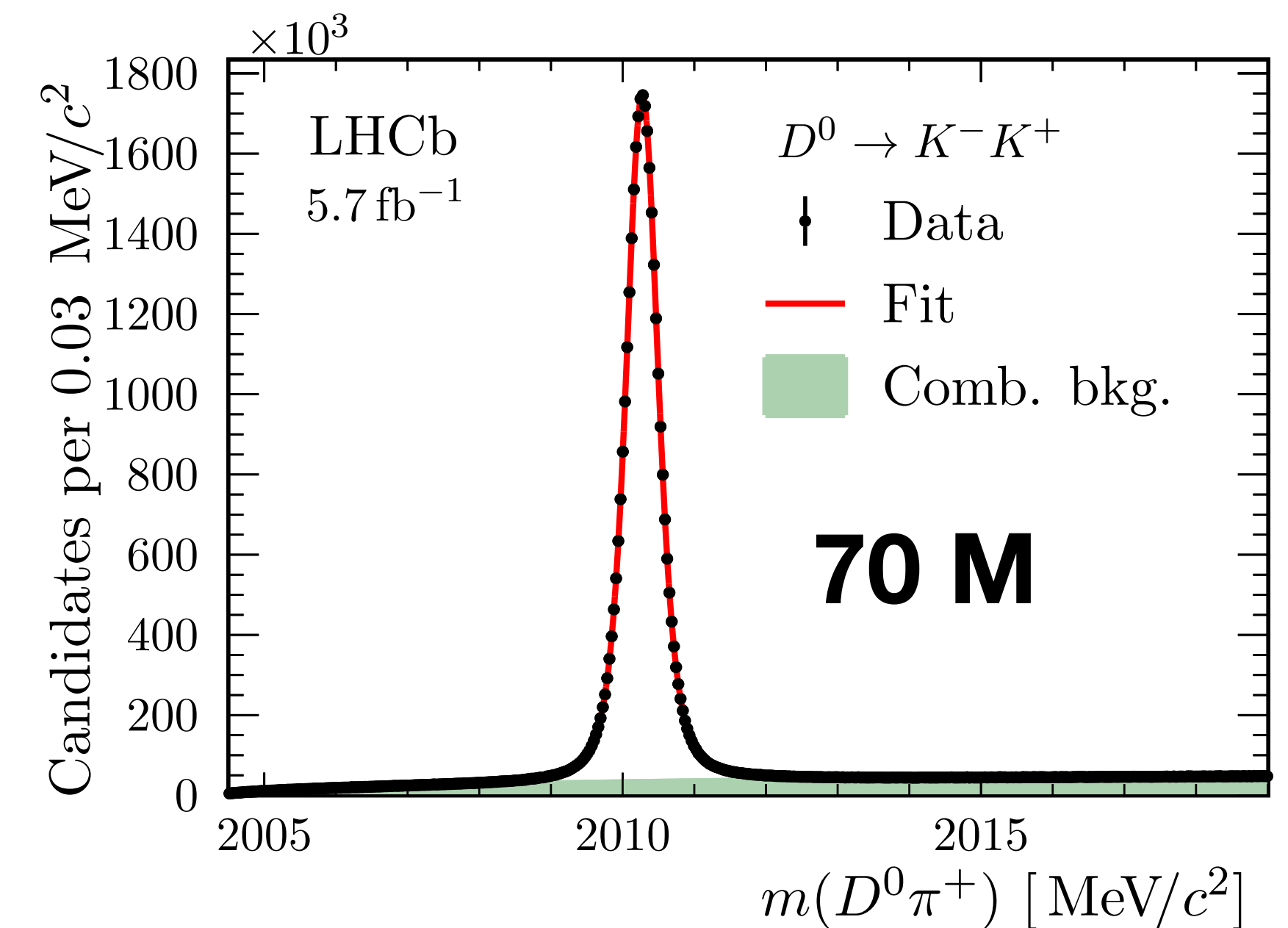
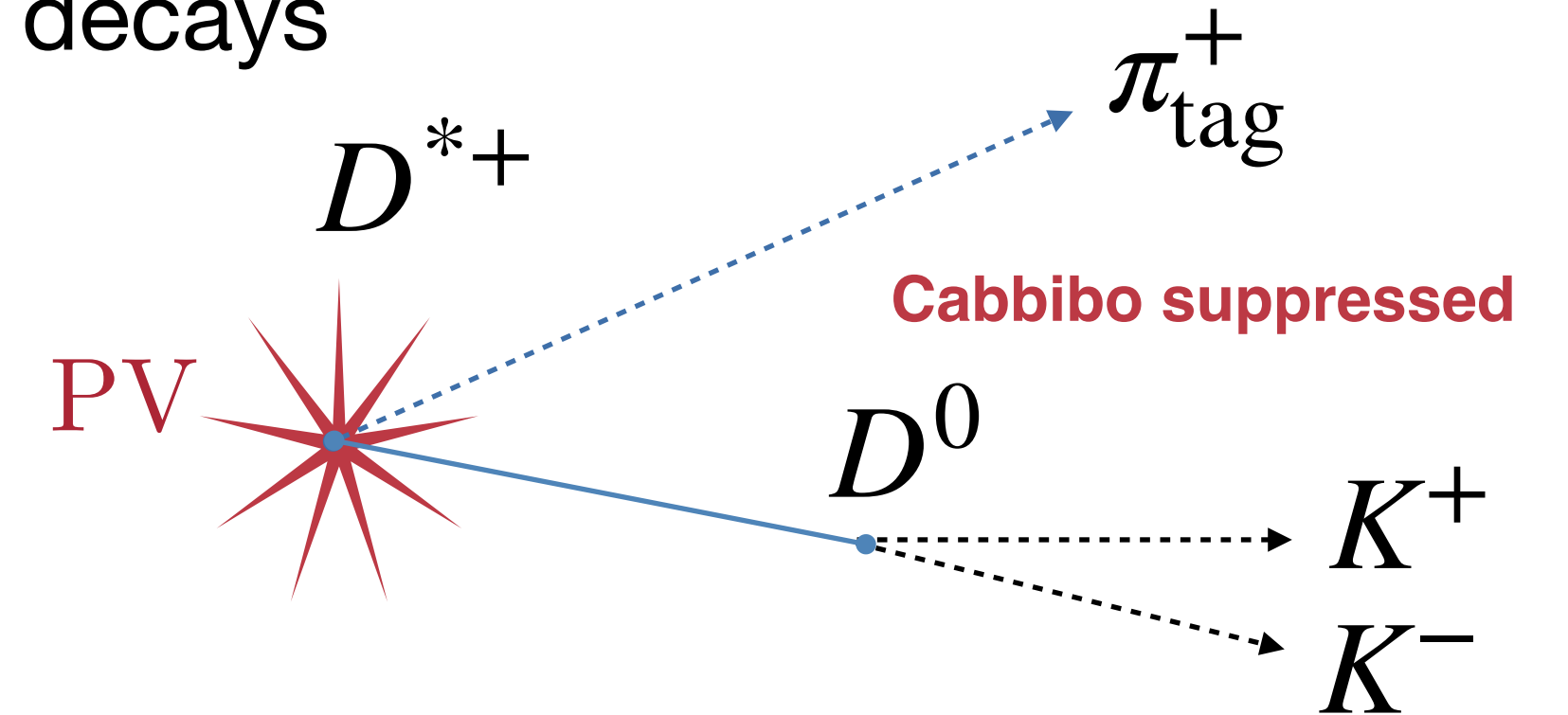
All performed with the **Run 2** data samples



Time-integrated CP asymmetry has been measured in  $D^0 \rightarrow K^+ K^-$  decays

$$A_{CP}(f) \approx \underbrace{a_f^d}_{\text{Direct}} + \underbrace{\frac{\langle t \rangle_f}{\tau_D} \Delta Y_f}_{\text{Mixing}}$$

Contributions from both direct CP and mixing





**Time-integrated** CP asymmetry has been measured in  $D^0 \rightarrow K^+ K^-$  decays

$$A_{CP}(f) \approx \underbrace{a_f^d}_{\text{Direct}} + \underbrace{\frac{\langle t \rangle_f}{\tau_D} \Delta Y_f}_{\text{Mixing}} \quad \leftarrow \text{Contributions from both direct CP and mixing}$$

**Two methods** are used to cancel the nuisance asymmetries using **Cabbibo favoured** decays *Data-driven corrections*

 $C_{D^+}$ 

$$A_{CP}(D^0 \rightarrow K^+ K^-) =$$

$$+A(D^{*+} \rightarrow (D^0 \rightarrow K^+ K^-) \pi_{tag}^+)$$

$$-A(D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi_{tag}^+)$$

$$+A(D^+ \rightarrow K^- \pi^+ \pi^+)$$

$$-A(D^+ \rightarrow K_S^0 \pi^+)$$

$$+A(K_S^0)$$

 $C_{D_s^+}$ 

$$A_{CP}(D^0 \rightarrow K^+ K^-) =$$

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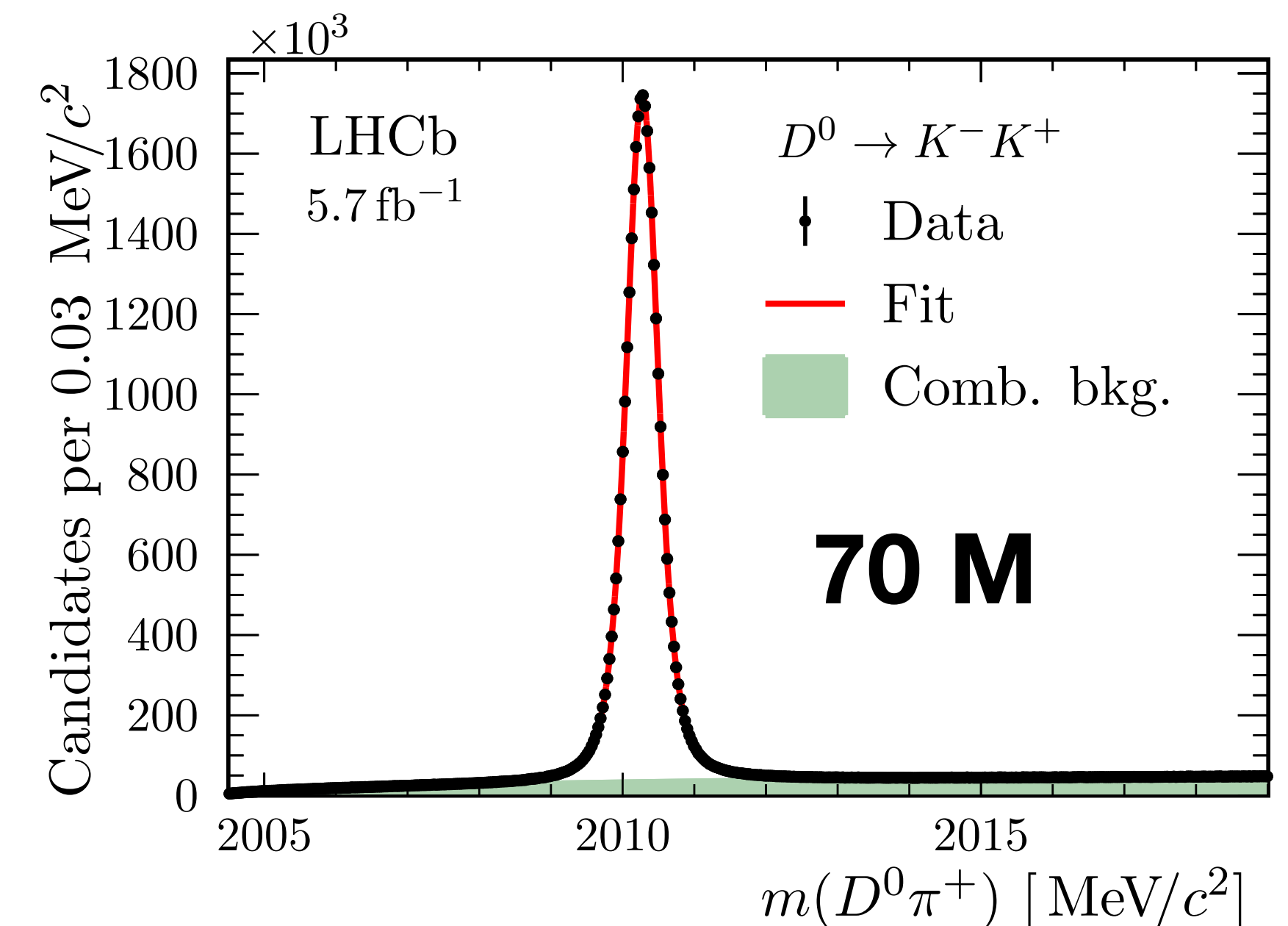
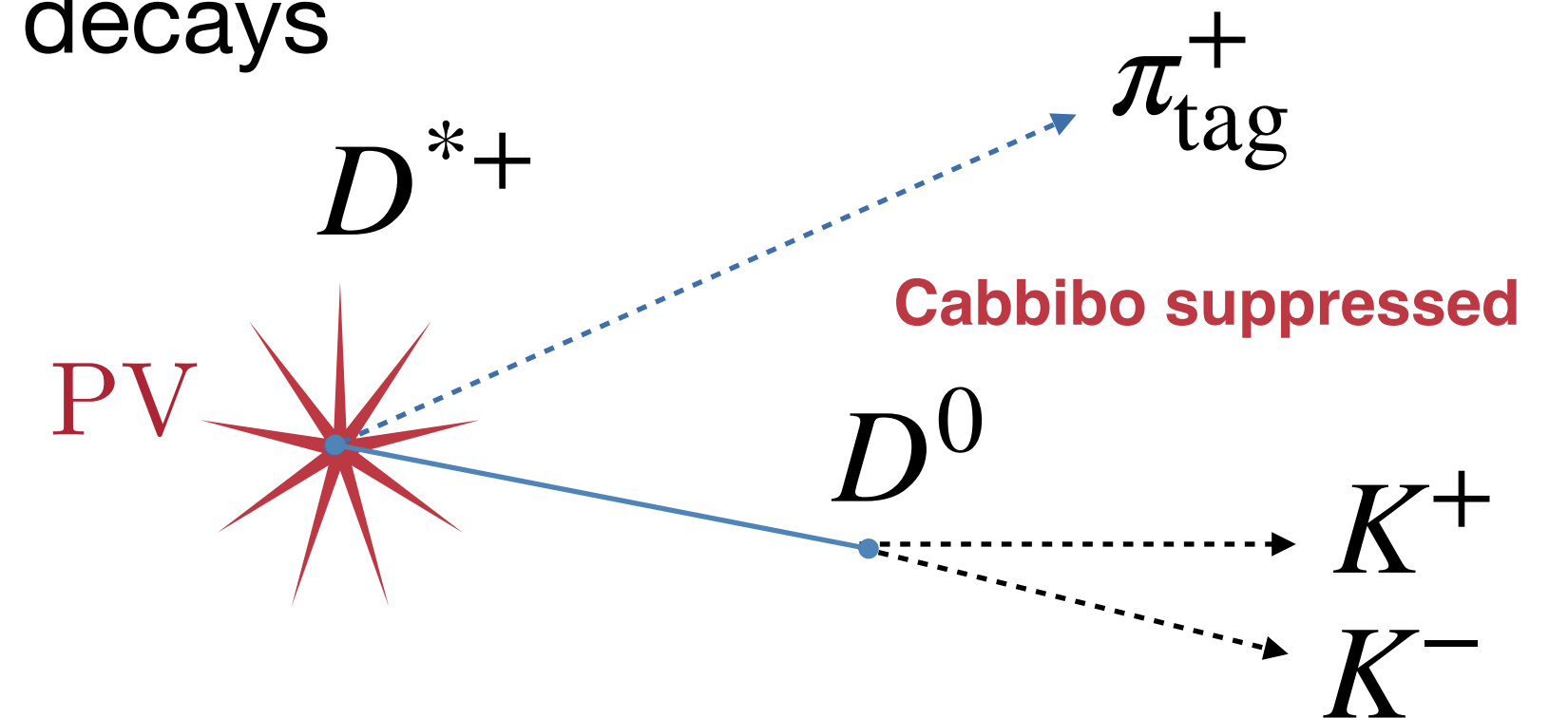
$$-A(D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi_{tag}^+)$$

$$+A(D_s^+ \rightarrow \phi \pi^+)$$

$$-A(D_s^+ \rightarrow \bar{K}_S^0 K^+)$$

$$+A(K_S^0)$$

**Control modes** are reweighted to ensure complete cancellation





Fits performed separately by year, magnet polarity and control method are combined:

$$\mathcal{A}_{CP}(K^- K^+) = [6.8 \pm 5.4 (\text{stat}) \pm 1.6 (\text{syst})] \times 10^{-4}$$

Combination is performed with other LHCb measurements

$$\Delta A_{CP} = A_{CP}(D^0 \rightarrow K^+ K^-) - A_{CP}(D^0 \rightarrow \pi^+ \pi^-) = (-15.4 \pm 2.9) \times 10^{-4} \quad [\text{PRL 122 08726}]$$

$$\Delta Y = (-1.0 \pm 1.1 \pm 0.4) \times 10^{-4} \quad [\text{PRD 104 072010}]$$

*Mixing contribution is small*



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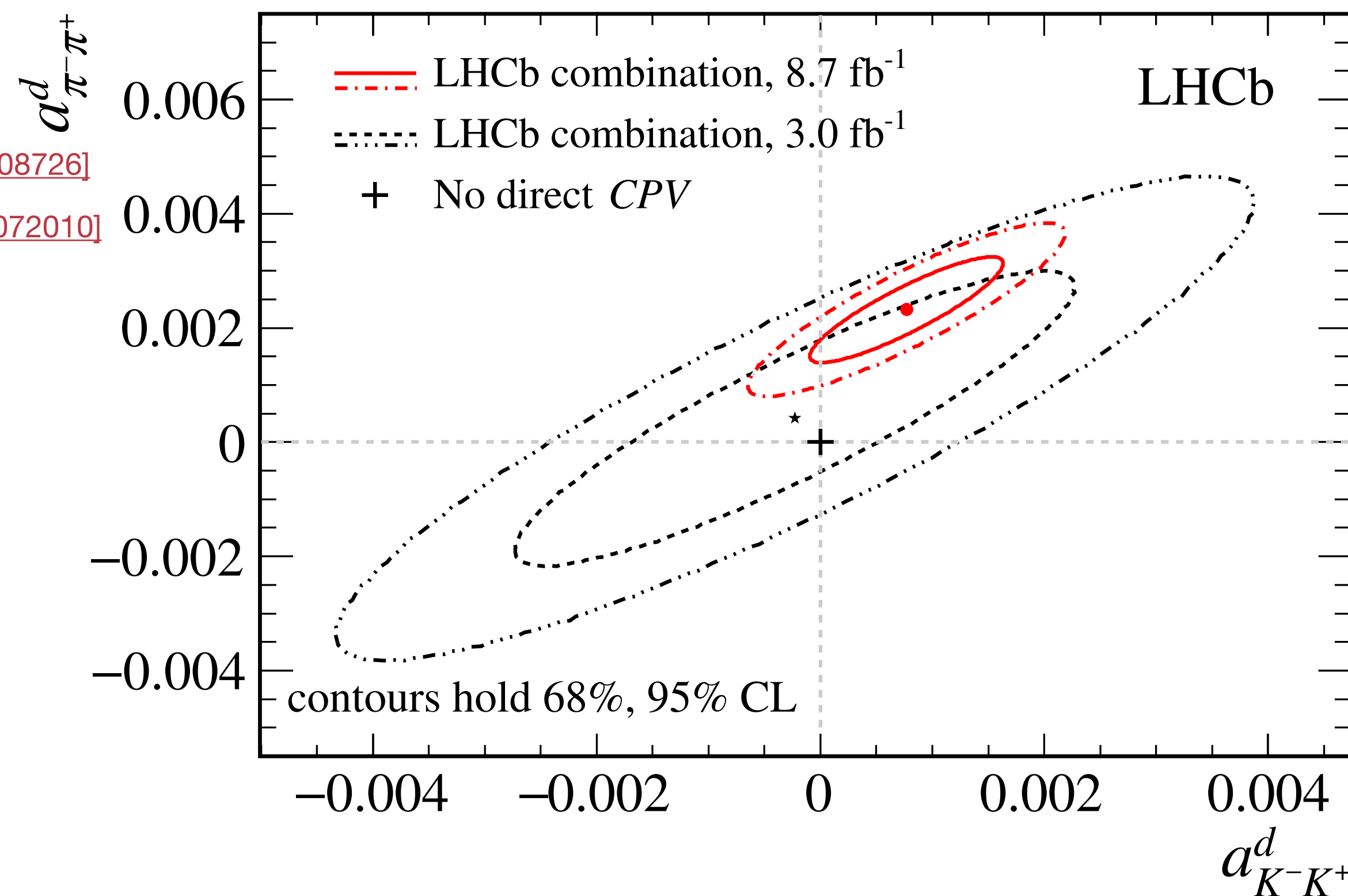
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This allows the **direct asymmetries** to be extracted:

$$\begin{aligned} a_{K^- K^+}^d &= (7.7 \pm 5.7) \times 10^{-4} & 1.4\sigma \\ a_{\pi^- \pi^+}^d &= (23.2 \pm 6.1) \times 10^{-4} & 3.8\sigma \end{aligned}$$

**First evidence** of direct CP violation in a single charm decay mode!





The rich **resonant structure** in multi-body decays can provide a source of **strong-phase variation** due to the many different contributing processes

*CP violation can be **locally enhanced** within multi-body decays*

This analysis uses a method that is constructed to only be sensitive to local CP violation

*Insensitive to **global** nuisance and CP asymmetries*



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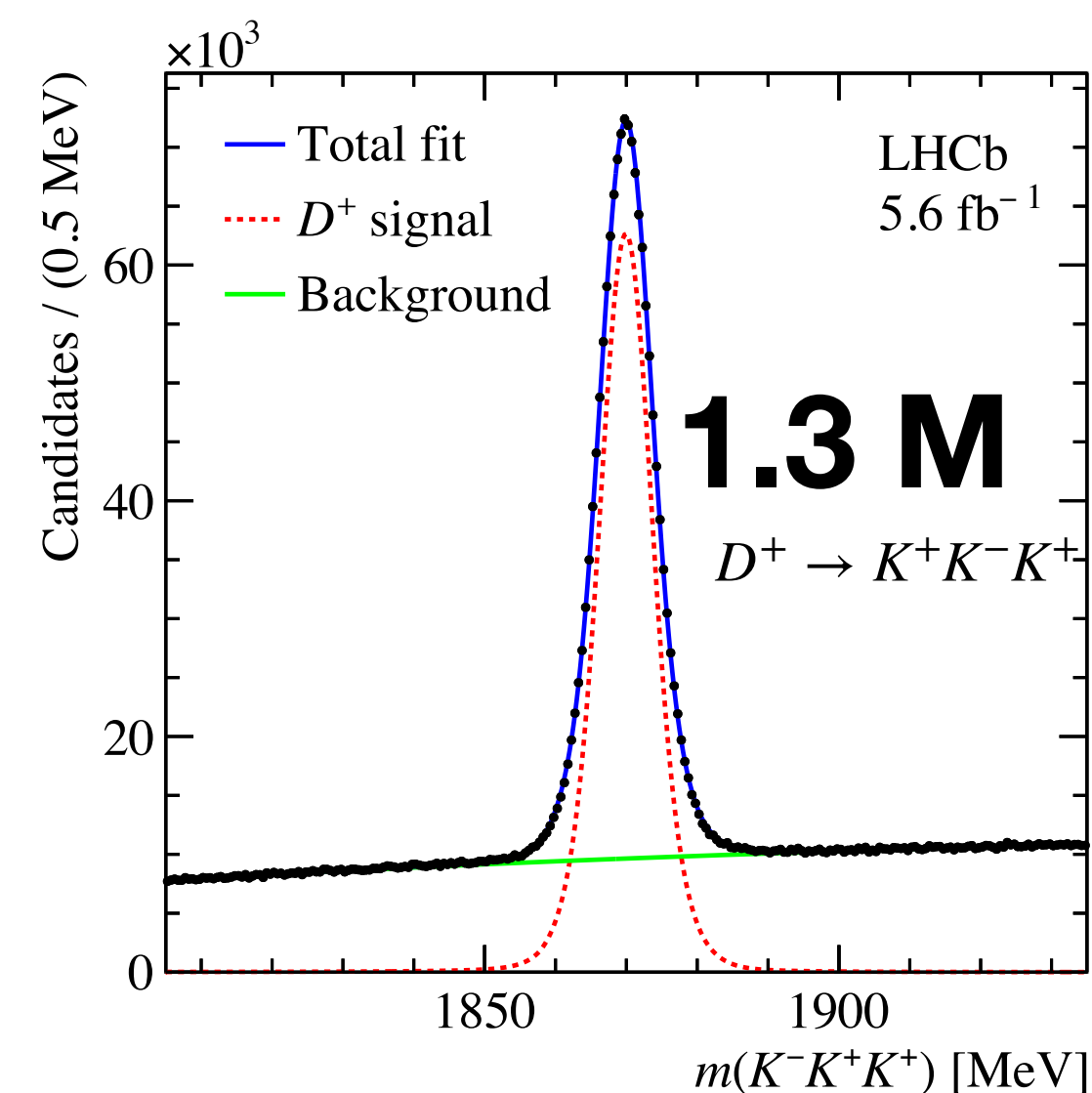
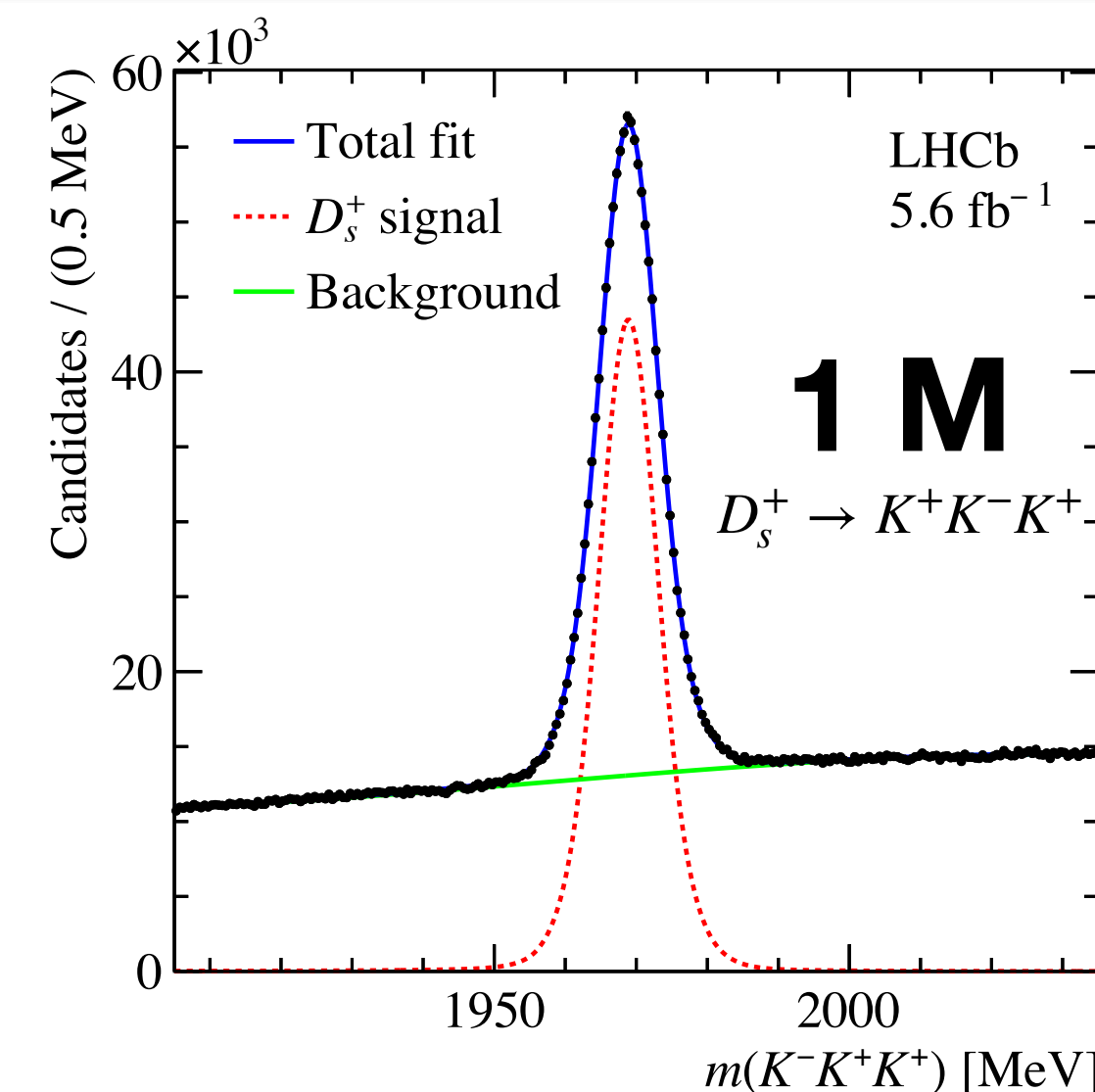
*Insensitive to **global** nuisance and CP asymmetries*

Large samples of **Cabibbo suppressed**  $D_s^+ \rightarrow K^+ K^- K^+$  and **doubly-cabbibo suppressed**  $D^+ \rightarrow K^+ K^- K^+$  decays are collected

*This analysis uses a **binned method** previously used for B meson decays* [\[Phys.Rev.D 80 \(2009\) 096006\]](#)  
e.g. [\[arXiv:2206.07622\]](#)

*Significance of normalised yield difference is calculated*

$$S_{CP}^i = \frac{N_+^i - \alpha N_-^i}{\alpha^2 \sqrt{[\sigma_+^i]^2 + [\sigma_-^i]^2}} \quad \alpha = \frac{\sum_i N_+^i}{\sum_i N_-^i}$$





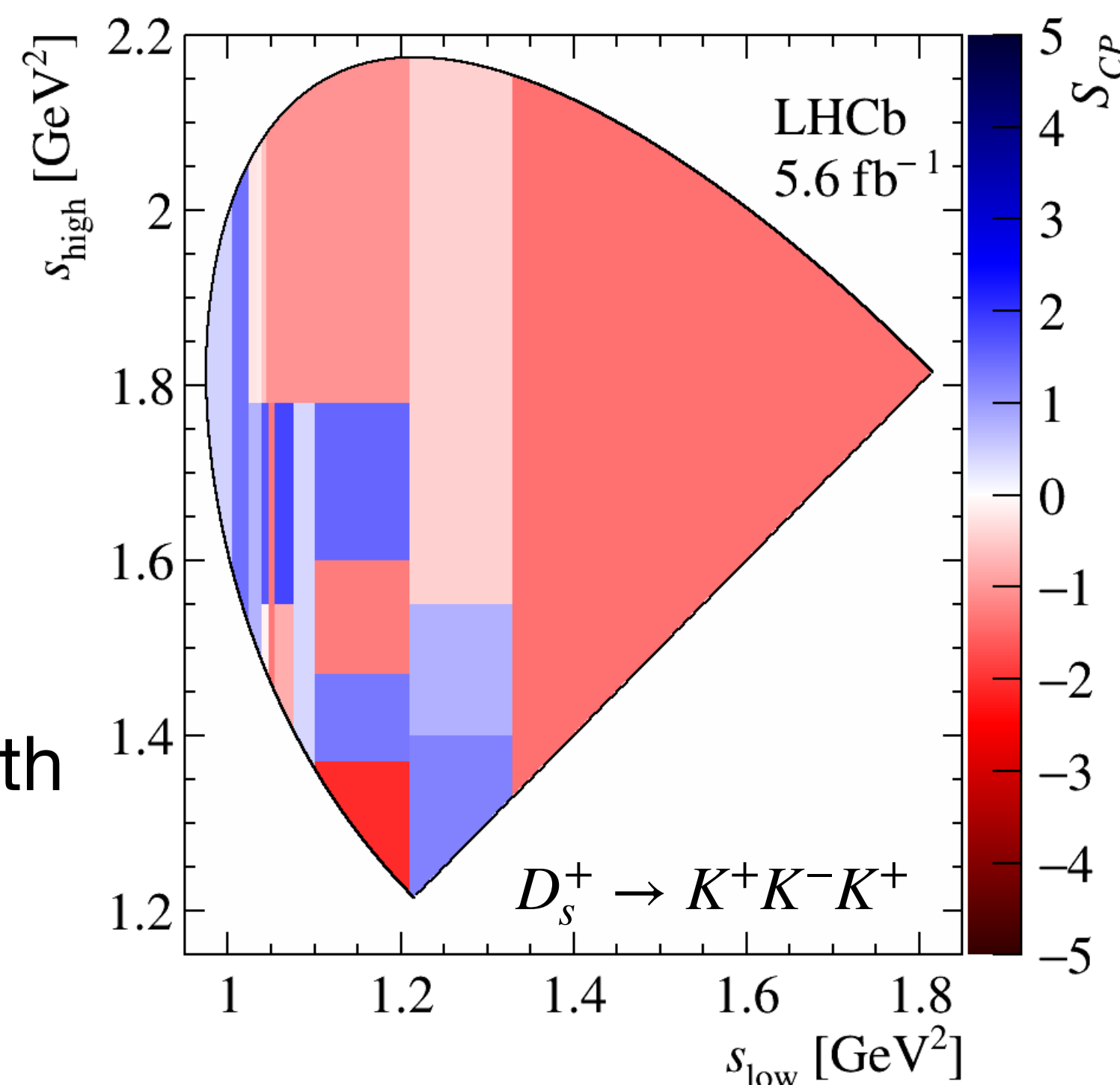
The significance of  $D_{(s)}^+$  vs.  $D_{(s)}^-$  yield differences is studied in bins of the **Dalitz** plot

Binning scheme **optimised** to use many bins where strong phases vary **quickly**

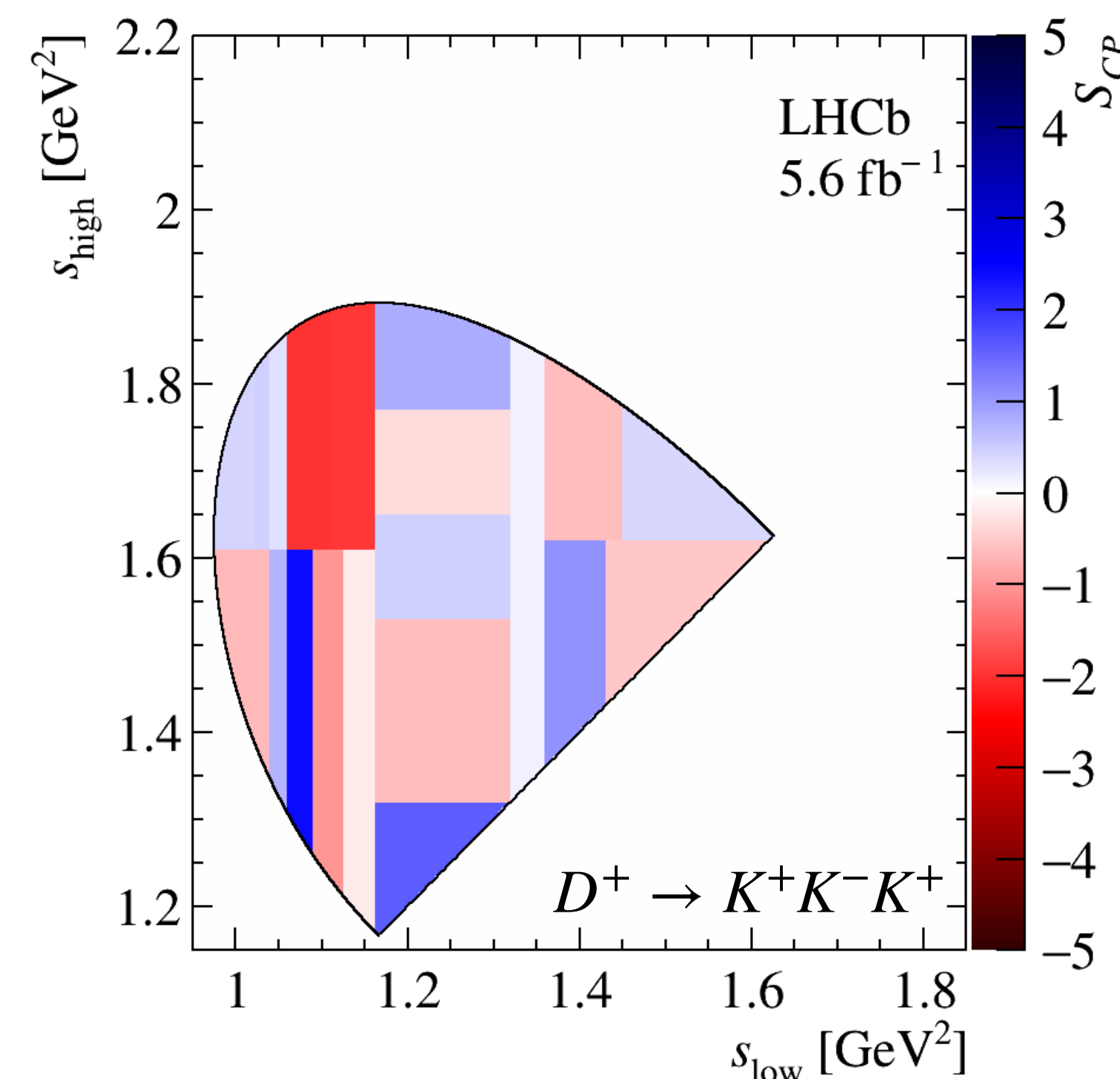
e.g. around  $D_s^+ \rightarrow \phi K^+$

$\chi^2$  test is performed to determine **significance of local asymmetries** with respect to CP conserving hypothesis

*Cabbibo favoured decay  $D_s^+ \rightarrow K^+ K^- \pi^+$  used to validate method*



$p\text{-value}(D_s^+) = 13.3 \%$



$p\text{-value}(D^+) = 31.6 \%$

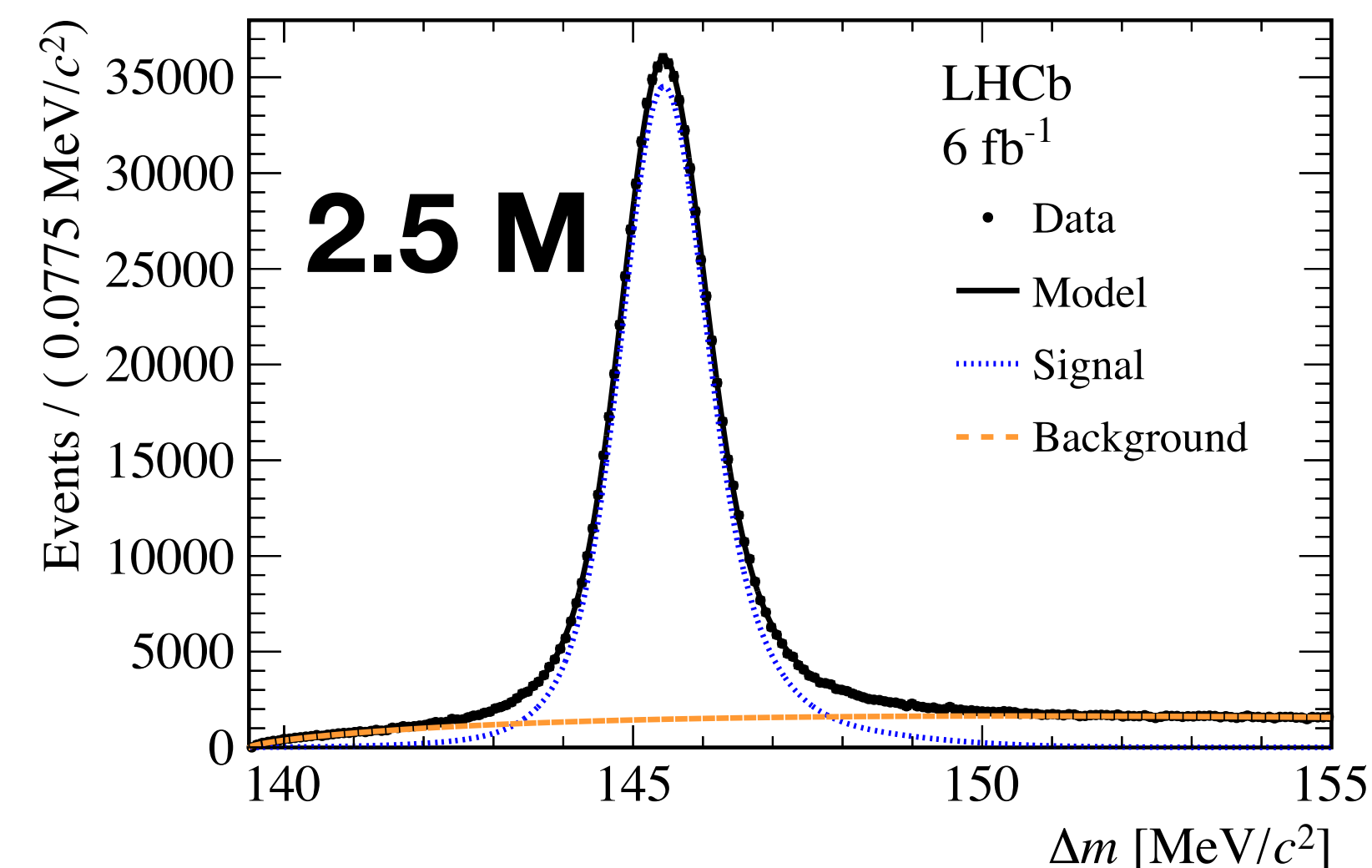
**No evidence** for CP violation in these decays



$D^0 \rightarrow \pi^- \pi^+ \pi^0$  decays via similar quark-level processes to  
 $D^0 \rightarrow \pi^- \pi^+$

*Promising area to search for CP violation*

This analysis uses an **unbinned** method to search for localised CP asymmetries called **the energy test** [\[Phys. Rev. D 84, 054015 \(2011\)\]](#)





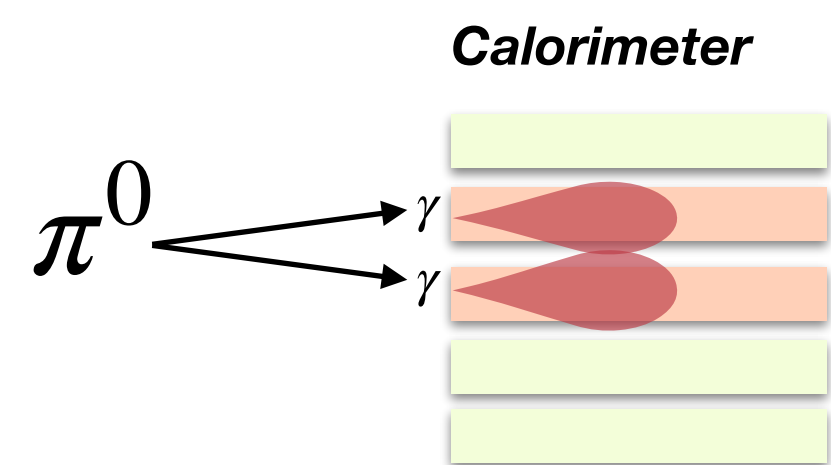
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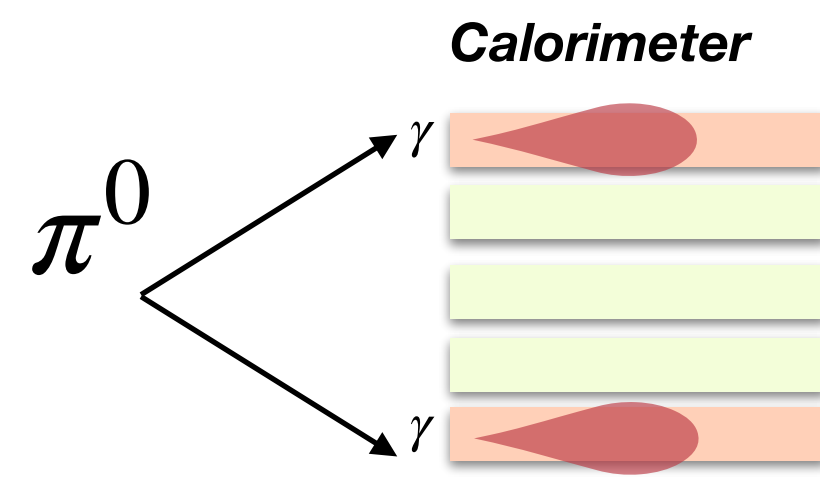
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This analysis makes use of  $\pi^0 \rightarrow \gamma\gamma$  decays

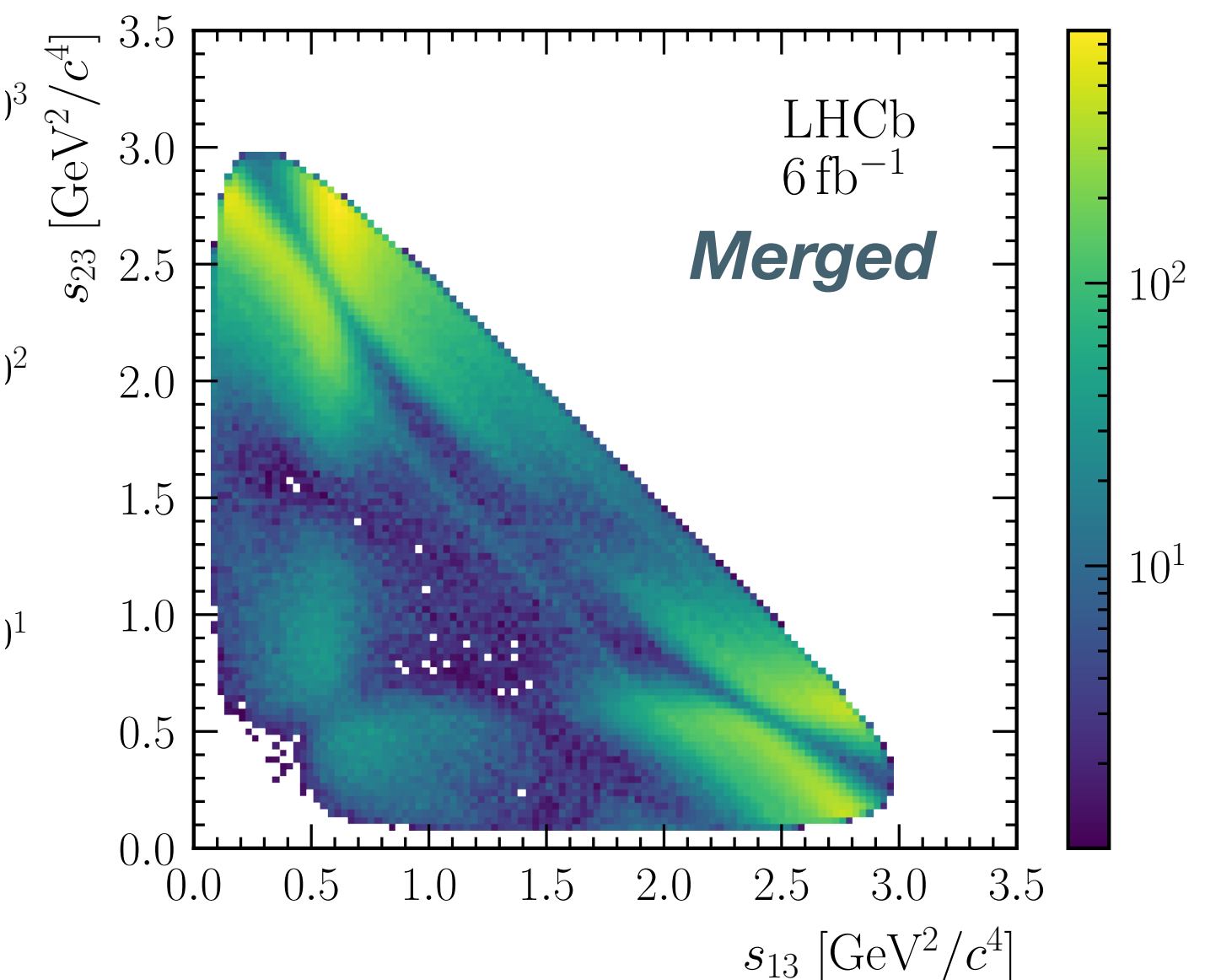
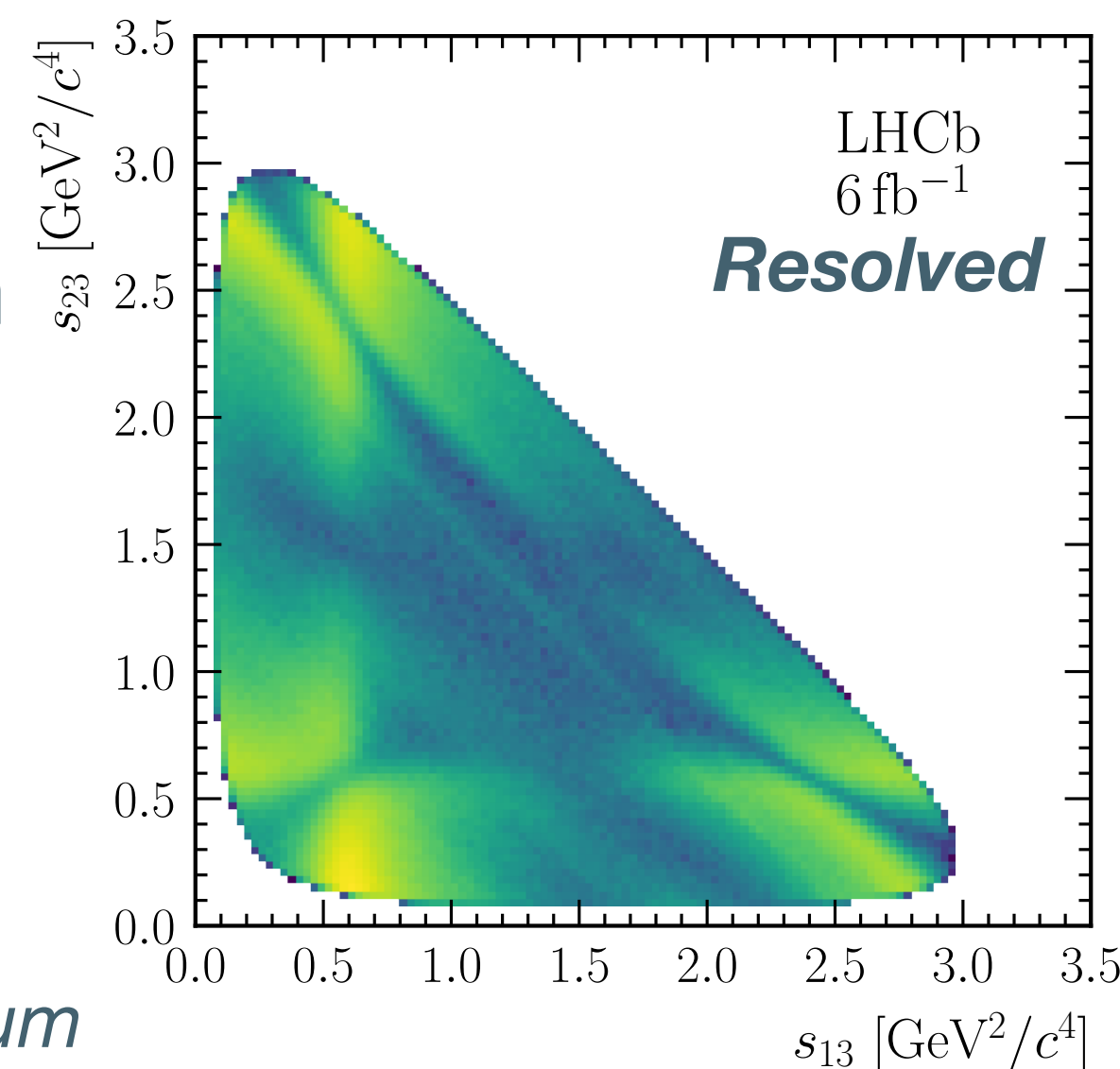
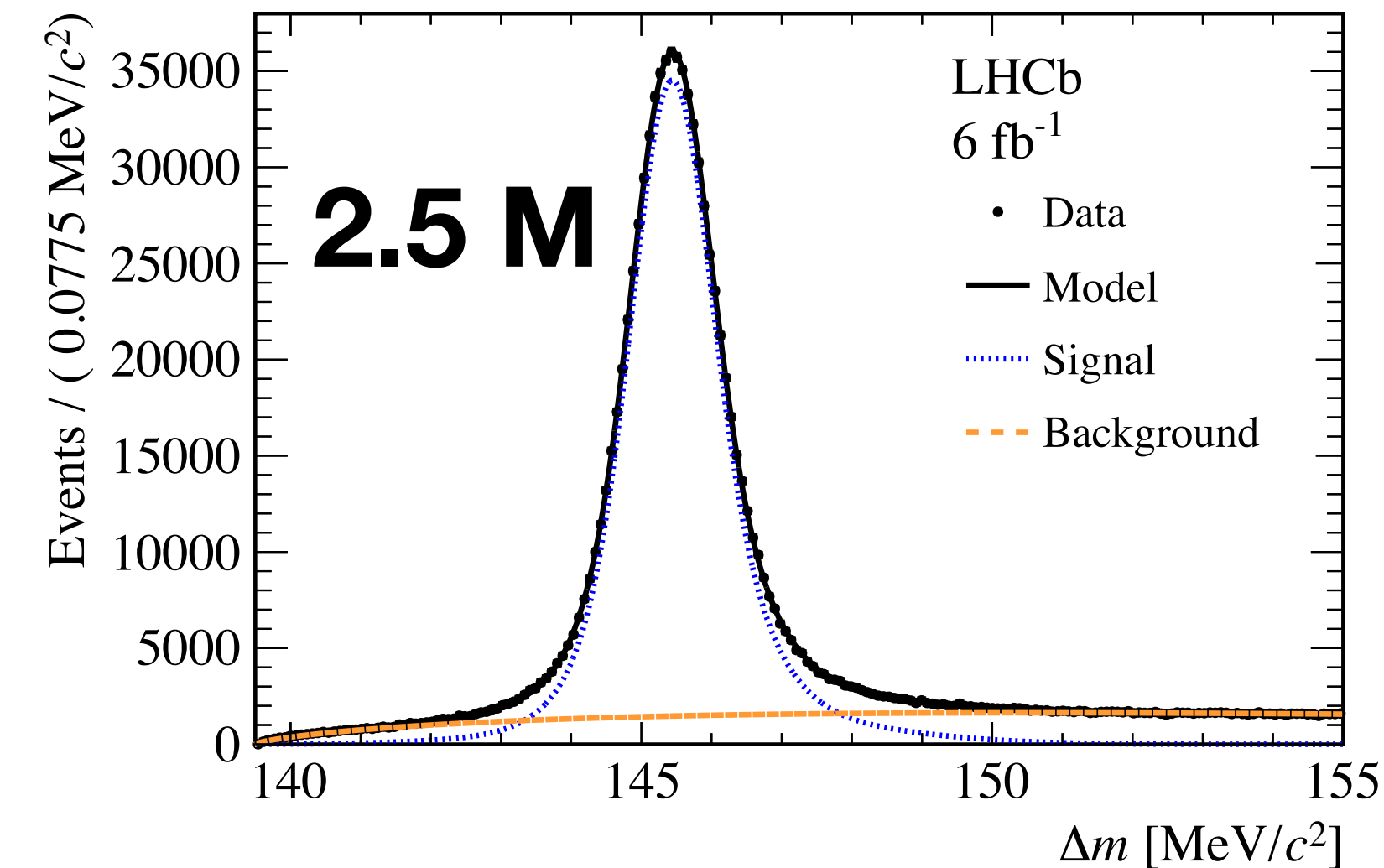
Depending on the energy, these are reconstructed as **one** or **two deposits** in the electromagnetic calorimeter



*Merged: high momentum*



*Resolved: low momentum*



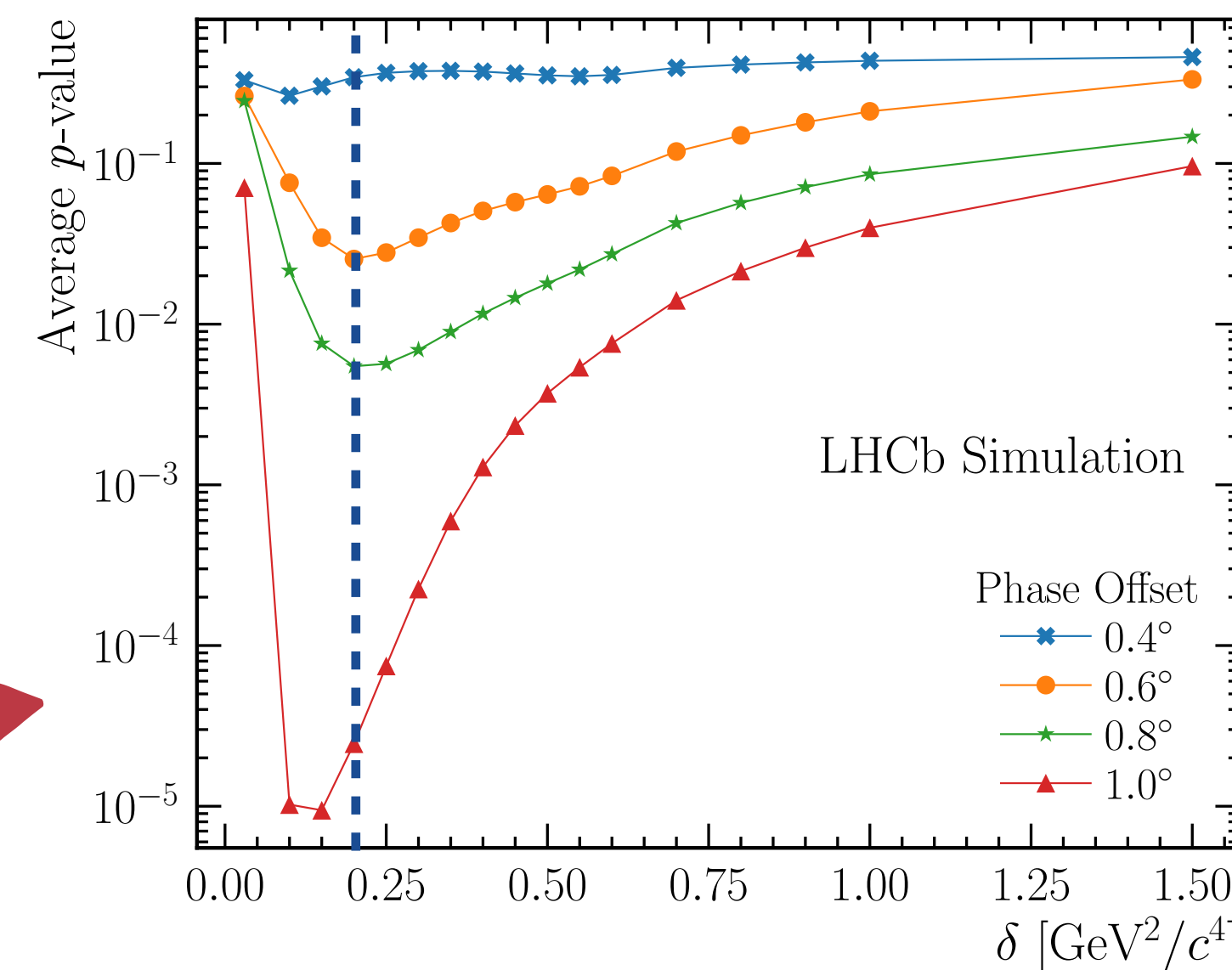


*Distance between points in the phase space used to build the test statistic*

$$T \equiv \underbrace{\frac{1}{2n(n-1)} \sum_{i,j} \psi_{ij}}_{D^0-D^0 \text{ distances}} + \underbrace{\frac{1}{2\bar{n}(\bar{n}-1)} \sum_{i,j} \psi_{ij}}_{\bar{D}^0-\bar{D}^0 \text{ distances}} - \underbrace{\frac{1}{n\bar{n}} \sum_{i,j} \psi_{ij}}_{D^0-\bar{D}^0 \text{ distances}}$$

*Function  $\psi_{ij}$  is a Gaussian with width  $\delta$*

*$\delta$  controls the local radius that is probed and is optimised to maximise sensitivity*



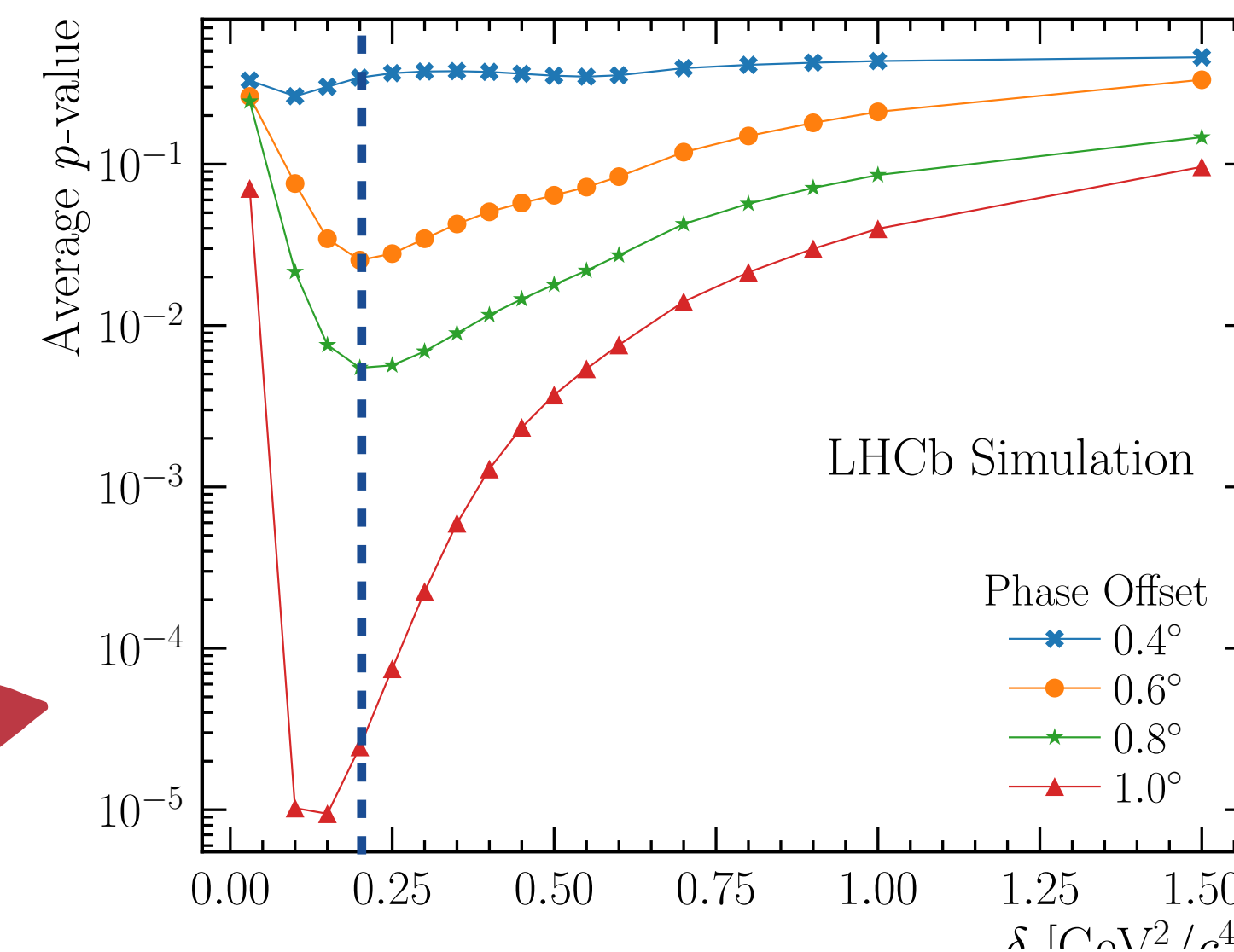


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The **p-value** is computed by comparing T to a distribution of samples created by **randomly-permuting** the flavour assignment

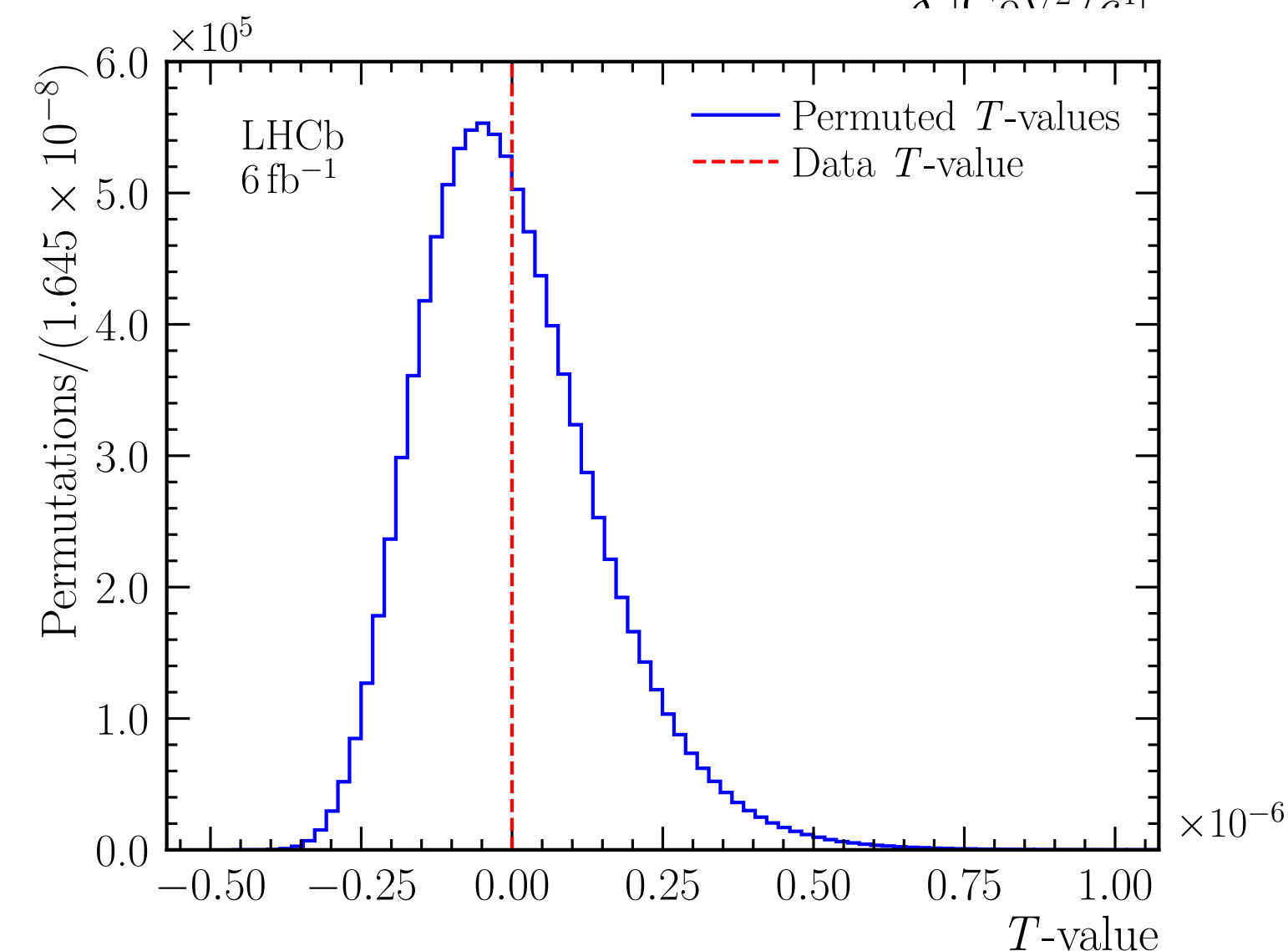
*Low p-value  $\rightarrow$  sign of CP violation*

Previous Run 1 analysis measured a p-value of 2.6%

[Phys. Lett. B740 (2015) 158]

**p-value = 62 %**

**No evidence for CP violation in this decay**





# Conclusions and outlook

LHCb has performed new measurements and searches for CP violation in charm decays

**First evidence** of direct CP violation in a single charm decay mode

**No evidence** of localised CP asymmetries in  $D_{(s)}^+ \rightarrow K^+ K^- K^+$  and  $D^0 \rightarrow \pi^+ \pi^- \pi^0$  decays

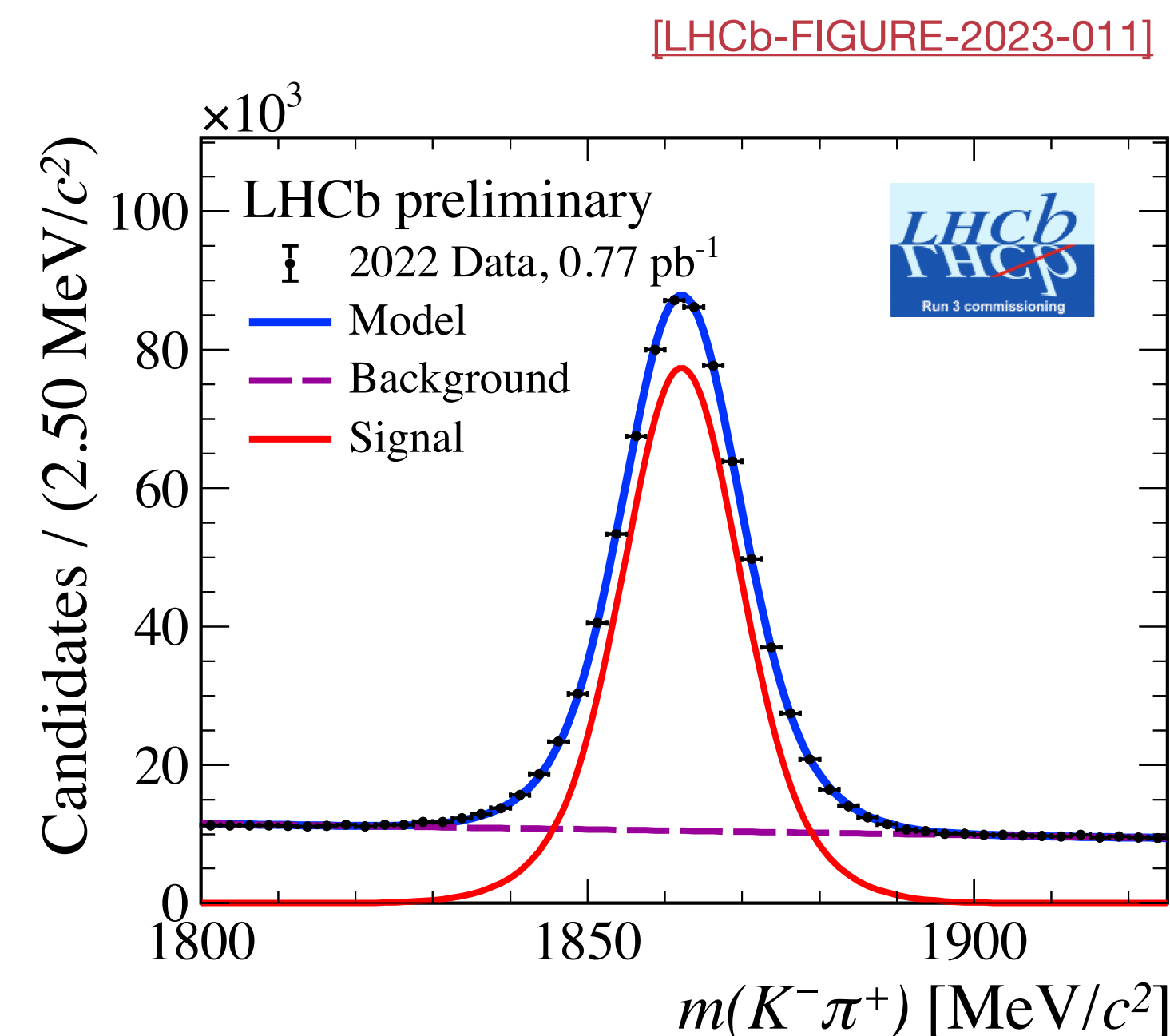
**More analyses** planned with the Run 2 data set

**Run 3 has begun:** expect larger samples with an upgraded detector!

*Larger samples will help understand CP violation in charm*

Sample ( $\mathcal{L}$ )	Forecast $D^0 \rightarrow K^+ K^-$ Yield	Actual Yield	Predicted sensitivity (%)	Actual sensitivity (%)
Run 1-2 ( $9 \text{ fb}^{-1}$ )	52 M	<b>70 M</b>	0.07	<b>0.056</b>
Run 1-3 ( $23 \text{ fb}^{-1}$ )	280 M		0.03	
Run 1-4 ( $50 \text{ fb}^{-1}$ )	1 B		0.015	
Run 1-5 ( $300 \text{ fb}^{-1}$ )	4.9 B		0.007	

[LHCb-PUB-2018-009]





# Back up



# LHCb detector

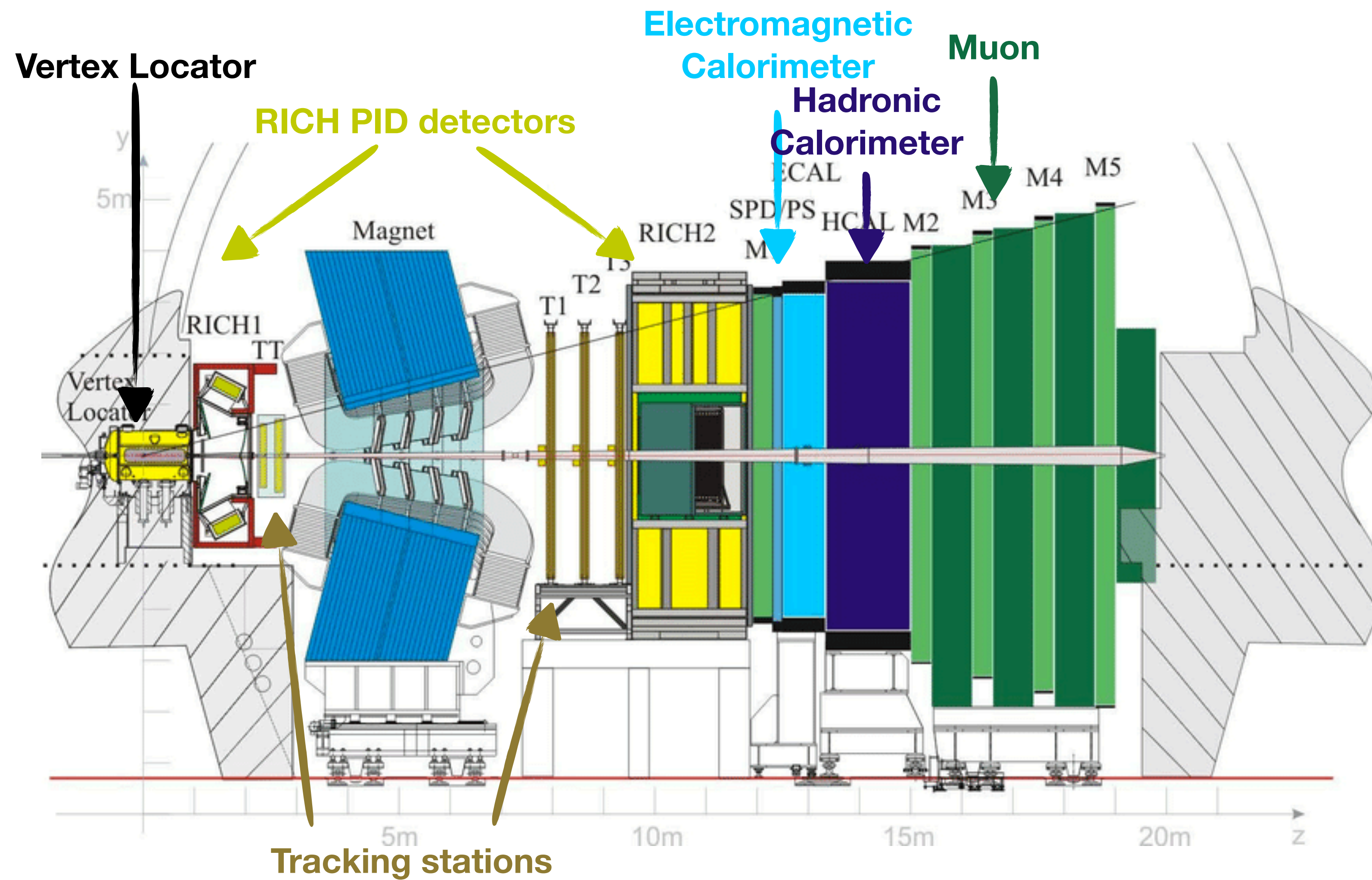




Table 6.6: Extrapolated signal yields, in units of  $10^6$ , of the Cabibbo-suppressed decays  $D^+ \rightarrow K^- K^+ \pi^+$ ,  $D^+ \rightarrow \pi^- \pi^+ \pi^+$ , and of the doubly Cabibbo-suppressed decays  $D^+ \rightarrow K^- K^+ K^+$ ,  $D^+ \rightarrow \pi^- K^+ \pi^+$ .

Sample ( $\mathcal{L}$ )	$D^+ \rightarrow K^- K^+ \pi^+$	$D^+ \rightarrow \pi^- \pi^+ \pi^+$	$D^+ \rightarrow K^- K^+ K^+$	$D^+ \rightarrow \pi^- K^+ \pi^+$
Run 1–2 ( $9 \text{ fb}^{-1}$ )	200	100	14	8
Run 1–4 ( $23 \text{ fb}^{-1}$ )	1,000	500	70	40
Run 1–4 ( $50 \text{ fb}^{-1}$ )	2,600	1,300	182	104
Run 1–6 ( $300 \text{ fb}^{-1}$ )	17,420	8,710	1,219	697

Table 6.7: Sensitivities to  $CP$ -violation scenarios for  $D^+ \rightarrow \pi^- \pi^+ \pi^+$  decays. Simulated  $D^+$  and  $D^-$  Dalitz plots are generated with relative changes in the phase of the  $R\pi^\pm$  amplitude,  $R = \rho^0(770)$ ,  $f_0(500)$  or  $f_2(1270)$ . The values of the phase differences are given in degrees and correspond to a  $5\sigma$   $CP$ -violation effect. Simulations are performed with  $3 \text{ fb}^{-1}$  and extrapolated to the expected integrated luminosities.

resonant channel	$9 \text{ fb}^{-1}$	$23 \text{ fb}^{-1}$	$50 \text{ fb}^{-1}$	$300 \text{ fb}^{-1}$
$f_0(500)\pi$	0.30	0.13	0.083	0.032
$\rho^0(770)\pi$	0.50	0.22	0.14	0.054
$f_2(1270)\pi$	1.0	0.45	0.28	0.11