

# **Beam Instrumentation & Diagnostics Part 2**

CAS Introduction to Accelerator Physics Kaunas, 23<sup>rd</sup> of September 2022

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# 2<sup>nd</sup> part of this lecture covers:

- > Transverse profile techniques
- > Emittance determination at transfer lines
- > Diagnostics for bunch shape determination





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#### **Measurement of Beam Profile**



The beam width can be changed by focusing via quadruples.

Transverse matching between ascending accelerators is done by focusing.

→ Profiles have to be controlled at many locations.

**Synchrotrons:** Lattice functions  $\beta$  (s) and D(s) are fixed  $\Rightarrow$  width  $\sigma$  and emittance  $\varepsilon$  are:

$$\sigma_x^2(s) = \varepsilon_x \beta_x(s) + \left(D(s) \frac{\Delta p}{p}\right)^2$$
 and  $\sigma_y^2(s) = \varepsilon_y \beta_y(s)$  (no vertical bend)

**Transfer lines:** Lattice functions are 'smoothly' defined due to variable input emittance.

### **Typical beam sizes:**

e-beam: typically Ø 0.01 to 3 mm, protons: typically Ø 1 to 30 mm

### A great variety of devices are used:

- ➤ Optical techniques: Scintillating screens (all beams), synchrotron light monitors (e<sup>-</sup>), optical transition radiation (e<sup>-</sup>, high-energetic p), ionization profile monitors (protons)
- Electronics techniques: Secondary electron emission SEM grids, wire scanners (all)

#### **Measurement of Beam Profile**



### **Outline:**

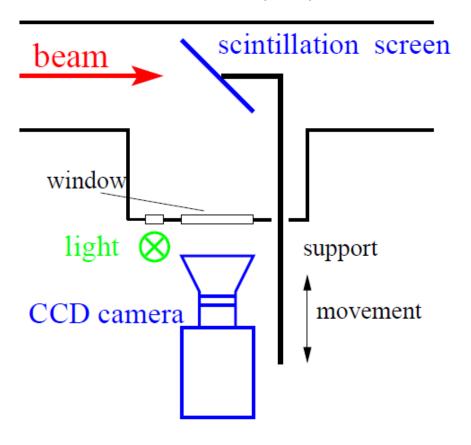
- Scintillation screens:emission of light, universal usage, limited dynamic range
- Optical Transition Radiation
- > SEM-Grid
- ➤ Wire scanner
- > Ionization Profile Monitor
- > Synchrotron Light Monitors
- Summary

### **Scintillation Screen**

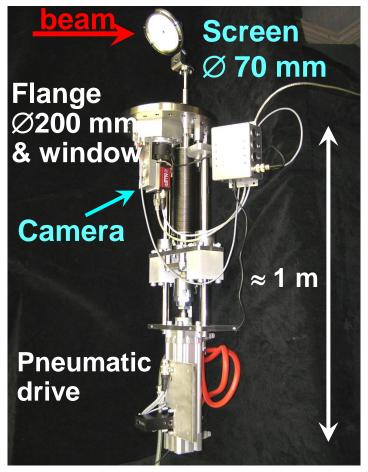


# Scintillation: Particle's energy loss in matter causes emission of light

 $\rightarrow$  the most direct way of profile observation as used from the early days on!



Pneumatic drive with Ø70 mm screen:



### **Example of Screen based Beam Profile Measurement**



#### Advantage of screens:

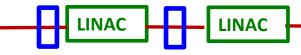
- ➤ Direct 2-dim measurement
- ➤ High spatial resolution
- ➤ Cheap realization
- ⇒ widely used at transfer lines

#### **Disadvantage of screens:**

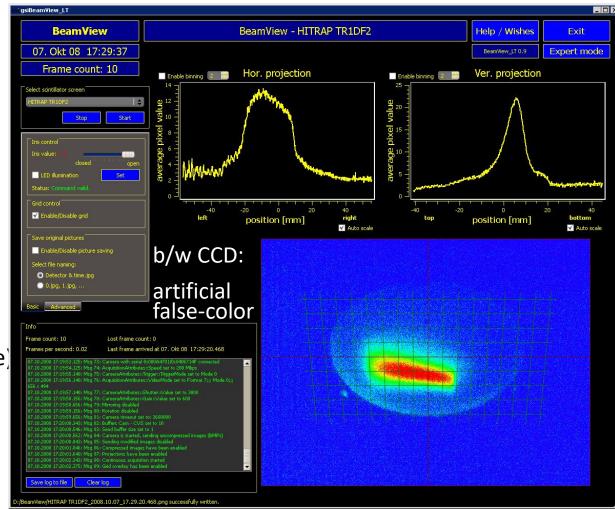
- ➤ Intercepting device
- > Some material might be brittle
- > Possible low dynamic range
- ➤ Might be destroyed by the beam (radiation demage)

Observation with CMOS camera

**Scintillation Screen (beam stopped)** 



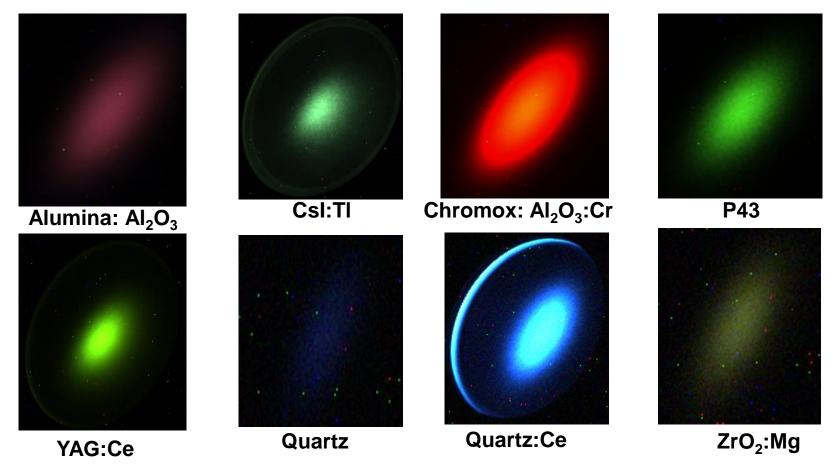
Example: GSI LINAC, 4 MeV/u, low current, YAG:Ce screen



# **Light output from various Scintillating Screens**



**Example:** Color CCD camera: Images at different particle intensities determined for U at 300 MeV/u



- > Very different light yield i.e. photons per ion's energy loss
- > Different wavelength of emitted light

# **Material Properties for Scintillating Screens**



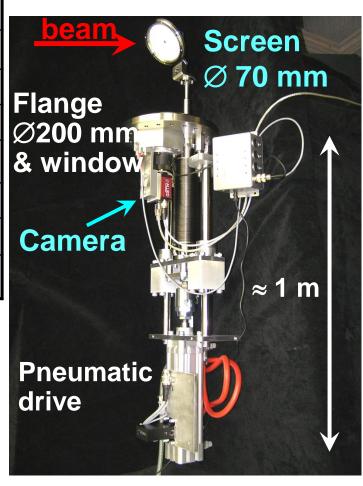
#### Some materials and their basic properties:

Name	Туре	Material	Activ.	Мах. λ	Decay
Chromox	Cera- mics	Al <sub>2</sub> O <sub>3</sub>	Cr	700nm	≈ 10ms
Alumina		Al <sub>2</sub> O <sub>3</sub>	Non	380nm	≈ 10ns
YAG:Ce	Crystal	Y <sub>3</sub> Al <sub>5</sub> O <sub>12</sub>	Ce	550nm	200ns
LYSO		Lu <sub>1.8</sub> Y <sub>.2</sub> SiO <sub>5</sub>	Ce	420nm	40ns
P43	Powder of gains Ø≈10μm on glass	Gd <sub>2</sub> O <sub>3</sub> S	Tb	545nm	1ms
P46		Y <sub>3</sub> Al <sub>5</sub> O <sub>12</sub>	Ce	530nm	300ns
P47		Y <sub>2</sub> SiO <sub>5</sub>	Ce&Tb	400nm	100ns

### **Properties of a good scintillator:**

- Large light output at optical wavelength
  - → standard CCD camera can be used
- $\triangleright$  Large dynamic range  $\rightarrow$  usable for different currents
- $\triangleright$  Short decay time  $\rightarrow$  observation of variations
- ➤ Radiation hardness → long lifetime
- ightharpoonup Good mechanical properties ightharpoonup typ. size up to ho 10 cm (Phosphor Pxx grains of ho  $\approx$  10  $\mu$ m on glass or metal).

#### Standard drive with P43 screen



#### **Measurement of Beam Profile**



### **Outline:**

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  light emission due to crossing material boundary, mainly for relativistic beams
- > SEM-Grid
- **≻Wire scanner**
- > Ionization Profile Monitor
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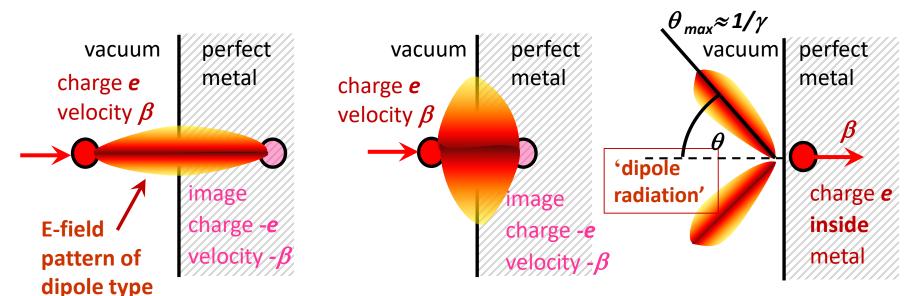
# **Optical Transition Radiation: Depictive Description**



#### **Optical Transition Radiation OTR for a single charge** *e***:**

Assuming a charge *e* approaches an ideal conducting boundary e.g. metal foil

- image charge is created by electric field
- dipole type field pattern
- $\succ$  field distribution depends on velocity  $oldsymbol{eta}$  and Lorentz factor  $\gamma$  due to relativistic trans. field increase
- $\triangleright$  penetration of charge through surface within t < 10 fs: sudden change of source distribution
- emission of radiation with dipole characteristic



sudden change charge distribution rearrangement of sources ⇔ radiation

Other physical interpretation: Impedance mismatch at boundary leads to radiation

# **Optical Transition Radiation: Depictive Description**



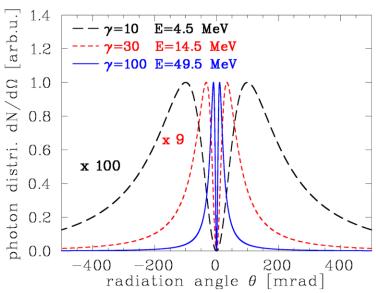
### **Optical Transition Radiation OTR can be described in classical physics:**

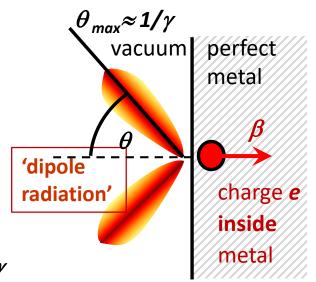
approximated formula for normal incidence & in-plane polarization:

$$\frac{d^2W}{d\theta \,d\omega} \approx \frac{2e^2\beta^2}{\pi \,c} \cdot \frac{\sin^2\theta \cdot \cos^2\theta}{\left(1 - \beta^2 \cos^2\theta\right)^2}$$

W: radiated energy

 $\omega$ : frequency of wave





Angular distribution of radiation in optical spectrum:

- $\triangleright$  lope emission pattern depends on velocity or Lorentz factor  $\gamma$
- $\triangleright$  peak at angle  $\theta \approx 1/\gamma$
- $\triangleright$  emitted energy i.e. amount of photons scales with  $W \propto \beta^2$
- $\blacktriangleright$  broad wave length spectrum (i.e. no dependence on  $\omega$ )
- → suited for high energy electrons

sudden change charge distribution rearrangement of sources ⇔ radiation

# **Technical Realization of Optical Transition Radiation OTR**



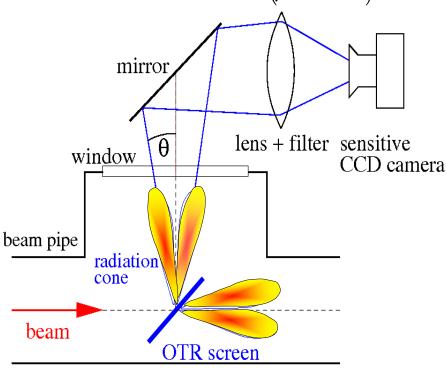
### OTR is emitted by charged particle passage through a material boundary.

Photon distribution: within a solid angle  $d\Omega$  and

Photon distribution: within a solid angle 
$$d\Omega$$
 and  $\frac{dN_{photon}}{d\Omega} = N_{be}$  Wavelength interval  $\lambda_{begin}$  to  $\lambda_{end}$ 

 $\frac{dN_{photon}}{d\Omega} = N_{beam} \cdot \frac{2e^{2}\beta^{2}}{\pi c} \cdot \log\left(\frac{\lambda_{begin}}{\lambda_{end}}\right) \cdot \frac{\theta^{2}}{\left(v^{-2} + \theta^{2}\right)^{2}}$ 

- $\triangleright$  Detection: Optical 400 nm <  $\lambda$  < 800 nm using image intensified CCD
- $\triangleright$  Larger signal for relativistic beam  $\gamma \gg 1$
- $\triangleright$  Low divergence for  $\gamma \gg 1 \Rightarrow$  large signal
- ⇒ well suited for e beams
- $\Rightarrow$  p-beam used for  $E_{kin} \gtrsim$  10 GeV  $\Leftrightarrow \gamma \gtrsim$  10



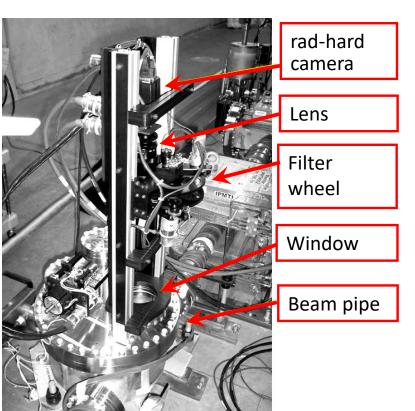
- ➤ Insertion of thin Al-foil under 45°
- Observation of low light by CCD.

### **OTR-Monitor: Technical Realization and Results**

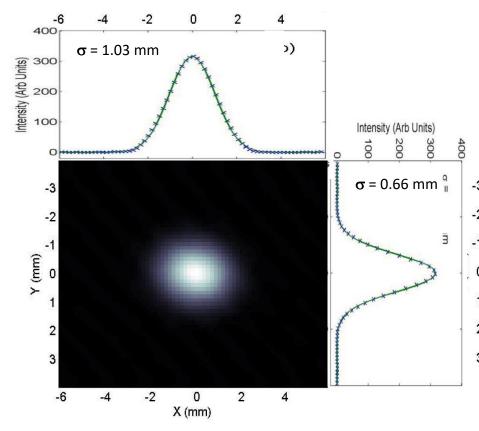


#### **Example** of realization at TERATRON:

Insertion of foil e.g. 5  $\mu$ m Kapton coated with 0.1 $\mu$ m Al Advantage: thin foil  $\Rightarrow$  low heating & straggling 2-dim image visible



Results at FNAL-TEVATRON synchrotron with 150 GeV proton Using fast camera: Turn-by-turn measurement

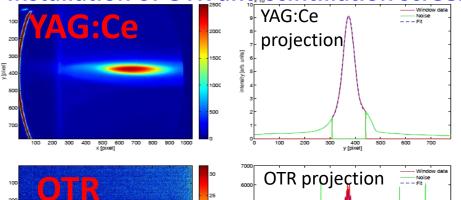


Courtesy V.E. Scarpine (FNAL) et al., BIW'06

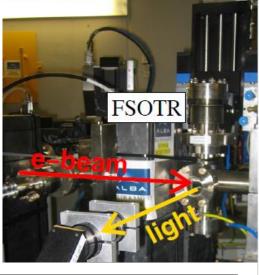
# **Optical Transition Radiation compared to Scintillation Screen**







Example: ALBA LINAC 100 MeV





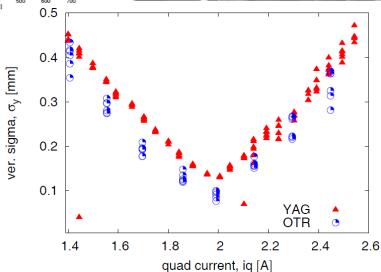
700 100 200 300 400 500 600 700 800 900 1000 x [givel]

**Results:** 

Much more light from YAG:Ce for 100 MeV ( $\gamma$ =200) electrons light output  $I_{YAG} \approx 10^{-5} I_{OTR}$ 

Broader image from YAG:Ce due to finite YAG:Ce thickness

Courtesy of U. Iriso et al., DIPAC'09



### Comparison between Scintillation Screens and OTR



**OTR:** electrodynamic process  $\rightarrow$  beam intensity linear to # photons, high radiation hardness

**Scint. Screen:** complex atomic process  $\rightarrow$  saturation possible, for some low radiation hardness

OTR: thin foil Al or Al on Mylar, down to 0.25 µm thickness

→ minimization of beam scattering (Al is low Z-material e.g. plastics like Mylar)

Scint. Screen: thickness ≈ 1 mm inorganic, fragile material, not always radiation hard

**OTR:** low number of photons  $\rightarrow$  expensive image intensified CCD

**Scint. Screen:** large number of photons  $\rightarrow$  simple CCD sufficient

**OTR:** complex angular photon distribution  $\rightarrow$  resolution limited

**Scint. Screen:** isotropic photon distribution  $\rightarrow$  simple interpretation

**OTR:** large  $\gamma$  needed  $\rightarrow$  e<sup>-</sup>-beam with  $E_{kin} > 100$  MeV, proton-beam with  $E_{kin} > 100$  GeV

Scint. Screen: for all beams

#### **Remark:**

- **1. OTR:** beam angular distribution measurable  $\rightarrow$  beam emittance
- 2. OTR not suited for LINAC-FEL due to coherent light emission (not covered here) but scintillation screens can be used.

#### **Measurement of Beam Profile**



### **Outline:**

- Scintillation screens:emission of light, universal usage, limited dynamic range
- Optical Transition Radiation:
   light emission due to crossing material boundary, mainly for relativistic beams
- SEM-Grid: emission of electrons, workhorse, limited resolution
- ➤ Wire scanner
- > Ionization Profile Monitor
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- Summary

# **Secondary Electron Emission by Ion Impact**



### **Energy loss of ions in metals close to a surface:**

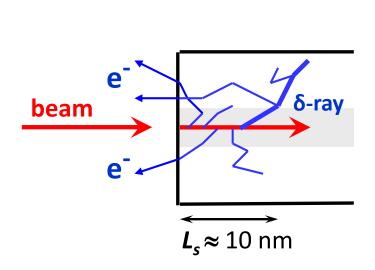
Closed collision with large energy transfer:  $\rightarrow$  fast e with  $E_{kin} >> 100 \text{ eV}$ 

Distant collision with low energy transfer :  $\rightarrow$  slow e<sup>-</sup> with  $E_{kin} \leq 10 \text{ eV}$ 

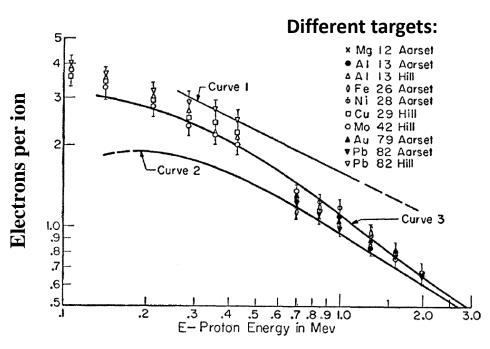
- $\rightarrow$  'diffusion' & scattering with other e: scattering length  $L_s \approx 1$  10 nm
- $\rightarrow$  at surface  $\approx$  90 % probability for escape

Secondary **electron yield** and energy distribution comparable for all metals!

 $\Rightarrow$  **Y = const.** \* **dE/dx** (Sternglass formula)



From E.J. Sternglass, Phys. Rev. 108, 1 (1957)

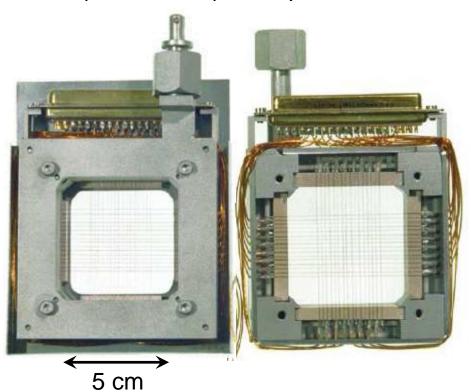


# **Secondary Electron Emission Grids = SEM-Grid**

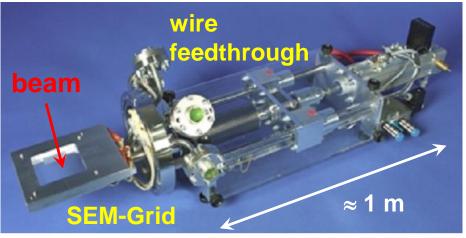


### **Beam surface interaction**: $e^-$ emission $\rightarrow$ measurement of current.

Example: 15 wire spaced by 1.5 mm:



SEM-Grid drive on  $\varnothing$  200 mm flange:



Parameter	Typ. value		
# wires per plane	10100		
Active area	(520 cm) <sup>2</sup>		
Wire ∅	25100 μm		
Spacing	0.32 mm		
Material	e.g. W or Carbon		
Max. beam power	1 W/mm		

# **Secondary Electron Emission Grids = SEM-Grid**



range

**ADC** 

address

digital

electronics

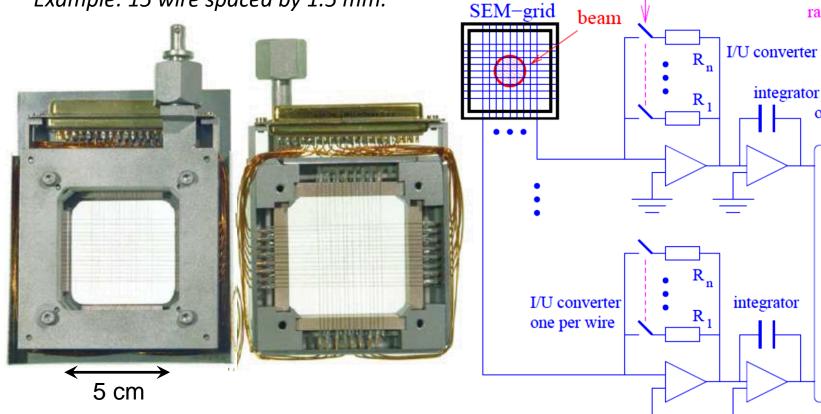
range select

one per wire

analog multiplexer

#### **Beam surface interaction**: $e^-$ emission $\rightarrow$ measurement of current.

Example: 15 wire spaced by 1.5 mm:



Each wire is equipped with one I/U converter different ranges settings by  $R_i$ 

 $\rightarrow$  very large dynamic range up to 10<sup>6</sup>.

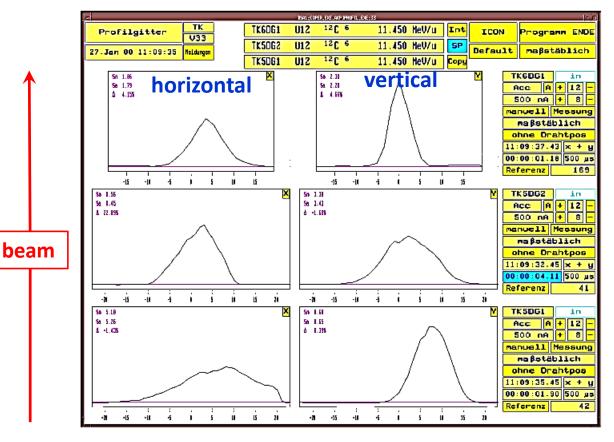
# **Example of Profile Measurement with SEM-Grids**

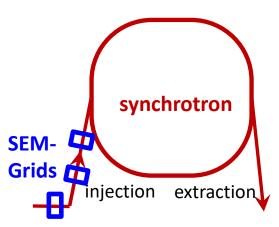


Even for low energies, several SEM-Grid can be used due to the  $\approx$  80 % transmission

⇒ frequently used instrument beam optimization: setting of quadrupoles, energy....

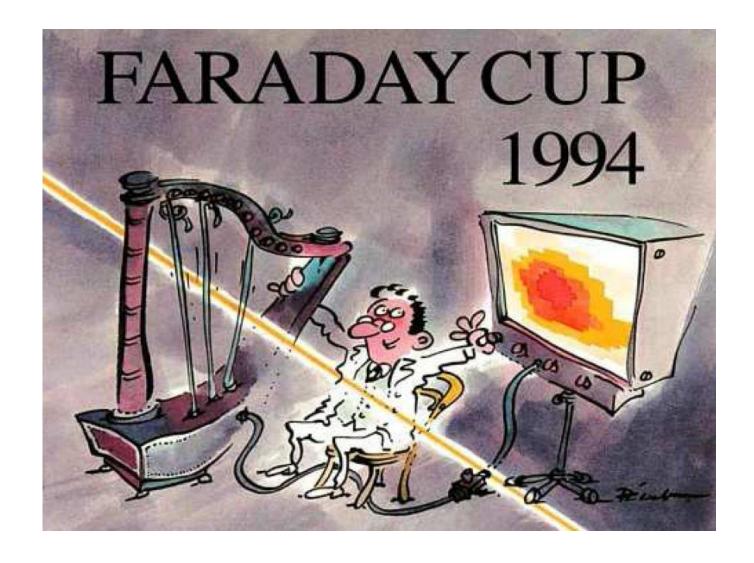
Example:  $C^{6+}$  beam of 11.4 MeV/u at different locations at GSI-LINAC





# The Artist view of a SEM-Grid = Harp





#### **Measurement of Beam Profile**



### **Outline:**

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- Wire scanner:emission of electrons, workhorse, scanning method
- Ionization Profile Monitor
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# **Slow, linear Wire Scanner**



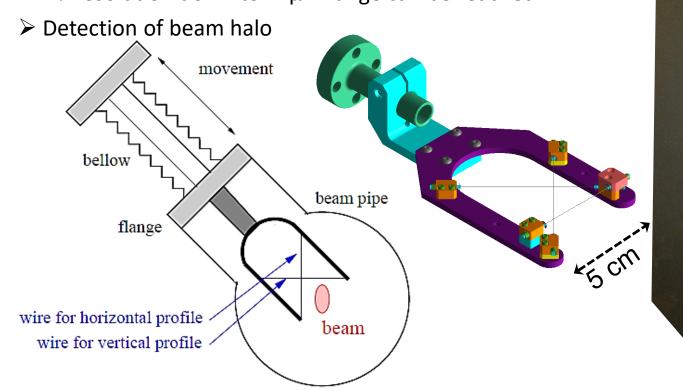
#### Idea: One wire is scanned through the beam!

Wire diameter 100  $\mu$ m <  $d_{wire}$  < 10  $\mu$ m

### Slow, linear scanner are used for:

Low energy protons

➤ High resolution measurements for e<sup>-</sup> beam by de-convolution  $\sigma^2_{beam} = \sigma^2_{meas} - d^2_{wire}$ ⇒ resolution down to 1 µm range can be reached



Example: Wires scanner at CERB LINAC4

Be

23

# The Artist view of a Beam Scraper or Scanner



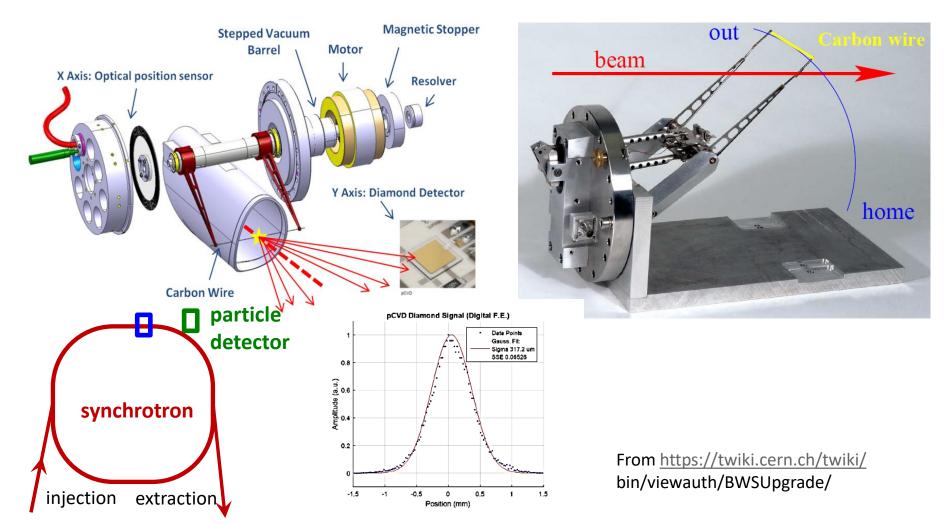


# **Fast, Flying Wire Scanner**



In a synchrotron one wire is scanned though the beam as fast as possible.

Fast pendulum scanner for synchrotrons; sometimes it is called 'flying wire':



# **Usage of Flying Wire Scanners**



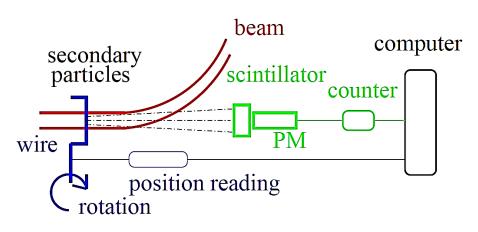
*Material:* carbon or SiC  $\rightarrow$  low Z-material for low energy loss and high temperature.

**Thickness**: down to 10  $\mu$ m  $\rightarrow$  high resolution.

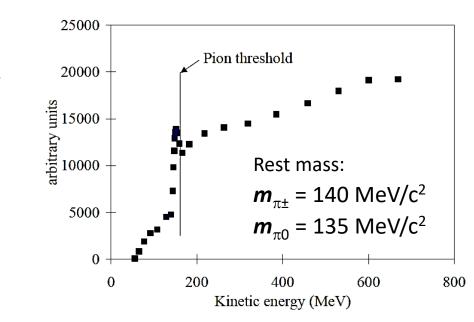
**Detection:** High energy **secondary particles** with a detector like a beam loss monitor

#### Secondary particles:

**Proton beam**  $\rightarrow$  hadrons shower ( $\pi$ , n, p...) **Electron beam**  $\rightarrow$  Bremsstrahlung photons.



Proton impact on scanner at CERN-PS Booster:



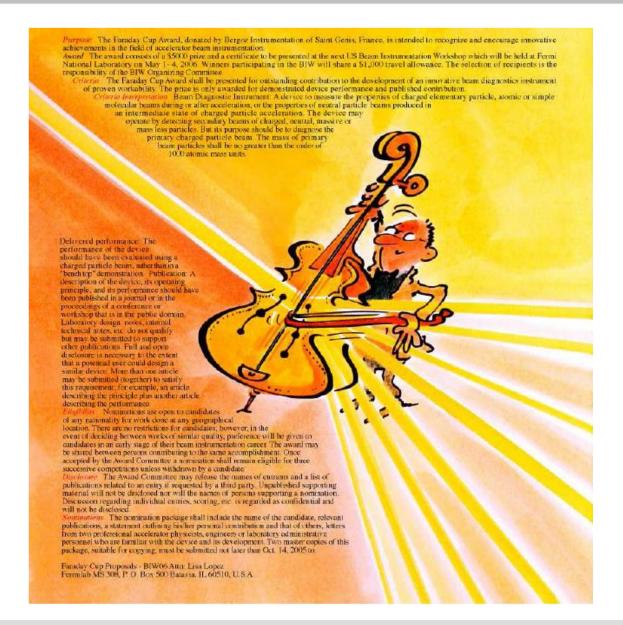
#### **Kinematics of flying wire:**

Velocity during passage typically 10 m/s = 36 km/h and typical beam size  $\varnothing$  10 mm  $\Rightarrow$  time for traversing the beam  $t \approx 1$  ms Challenges: Wire stability for fast movement with high acceleration

U. Raich et al., DIPAC 2005

#### The Artist View of a Wire Scanner





# Comparison between SEM-Grid and slow linear Wire Scanners



**Grid:** Measurement at a single moment in time

Scanner: Fast variations can not be monitored

→ for pulsed LINACs precise synchronization is needed

**Grid:** Resolution of a grid is fixed by the wire distance (typically 1 mm)

Scanner: For slow scanners the resolution is about the wire thickness (down to 10 μm)

 $\rightarrow$  used for e-beams having small sizes (down to 10 µm)

**Grid:** Needs one electronics channel per wire

→ expensive electronics and data acquisition

**Scanner:** Needs a precise movable feed-through  $\rightarrow$  expensive mechanics.

#### **Flying wire:**

**Grid: Not** adequate at synchrotrons for stored beam parameters

Scanner: At high energy synchrotrons: flying wire scanners are nearly non-destructive

#### **Measurement of Beam Profile**



### **Outline:**

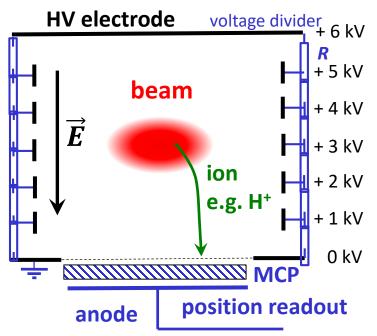
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# **Ionization Profile Monitor at GSI Synchrotron**



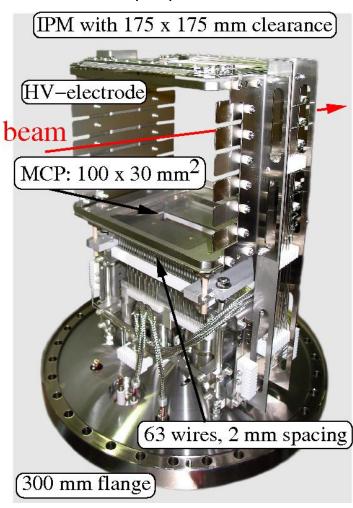
#### **Non-destructive** device for proton synchrotron:

- > Beam ionizes the residual gas by electronic stopping
- ➤ Gas ions or e<sup>-</sup> accelerated by E -field ≈1 kV/cm
- Spatial resolved single particle detection



#### **Typical** vacuum pressure:

Transfer line:  $N_2 \ 10^{-8} ... 10^{-6} \ mbar \cong 3.10^8 ... 3.10^{10} cm^{-3}$ Synchrotron:  $H_2 \ 10^{-11} ... 10^{-9} \ mbar \cong 3.10^5 ... 3.10^7 cm^{-3}$  Realization at GSI synchrotron: One monitor per plane

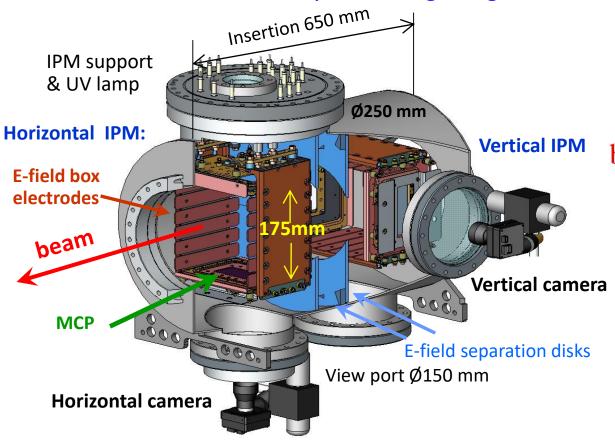


### **Ionization Profile Monitor Realization**



The realization for the heavy ion storage ring ESR at GSI: Realization at GSI synchrotron:

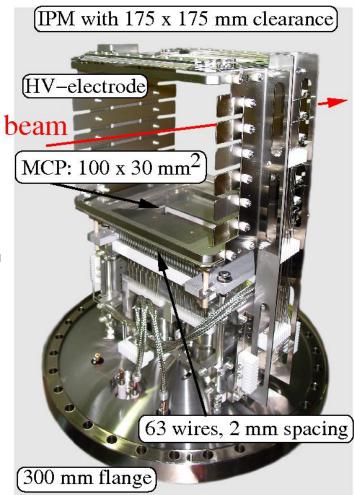
One monitor per plane



### **Typical** vacuum pressure:

Transfer line:  $N_2 10^{-8} ... 10^{-6}$  mbar  $\approx 3.10^8 ... 3.10^{10}$  cm<sup>-3</sup>

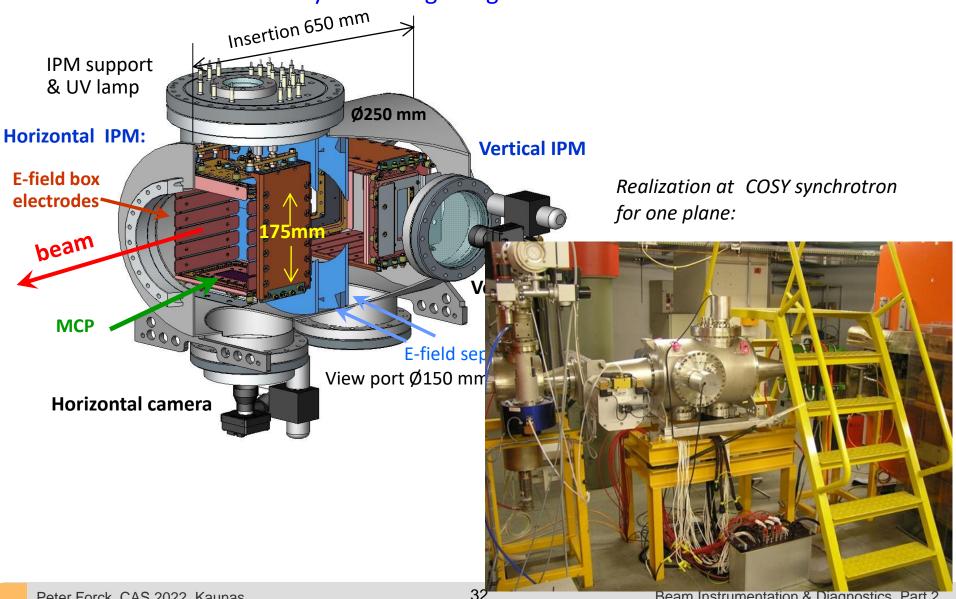
Synchrotron:  $H_2^{-10^{-11}}...10^{-9}$  mbar  $\approx 3.10^{5}...3.10^{7}$  cm<sup>-3</sup>



#### **Ionization Profile Monitor Realization**



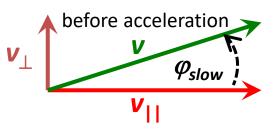
### The realization for the heavy ion storage ring ESR at GSI:

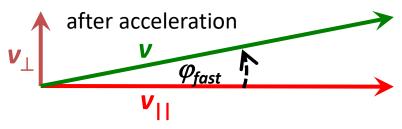


# 'Adiabatic' Damping during Acceleration



The emittance  $\varepsilon = \int dx dx'$  is defined via the position deviation and angle in **lab-frame** 





After acceleration the longitudinal velocity is increased  $\Rightarrow$  angle  $\varphi$  is smaller

The angle is expressed in momenta:  $x' = p_{\perp}/p_{||}$  the emittance is  $\langle xx' \rangle = 0$ :  $\varepsilon = x \cdot x' = x \cdot p_{\perp}/p_{||}$ 

- $\Rightarrow$  under ideal conditions the emittance can be normalized to the momentum  $p_{||} = \gamma \cdot m \cdot \beta c$
- $\Rightarrow$  normalized emittance  $\varepsilon_{norm} = \beta \gamma \cdot \varepsilon$  is preserved with the Lorentz factor  $\gamma$  and velocity  $\beta = v/c$

**Example:** Acceleration in GSI-synchrotron for C<sup>6+</sup> from

6.7 
ightarrow 600 MeV/u ( $oldsymbol{\beta}$  = 12 ightarrow 79 %) observed by IPM

theoretical width:  $\langle x \rangle_f = \sqrt{\frac{\beta_i \cdot \gamma_i}{\beta_f \cdot \gamma_f}} \cdot \langle x \rangle_i$ 

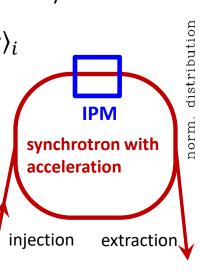
 $= 0.33 \cdot \langle x \rangle_i$ 

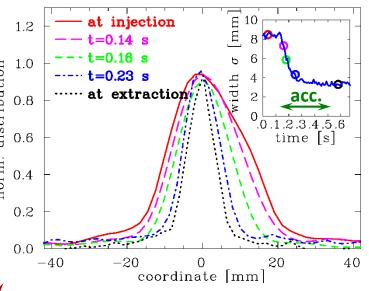
measured width:  $\langle x \rangle_f \approx 0.37 \cdot \langle x \rangle_i$ 

IPM is well suited

for long time observations without beam disturbance

→ mainly used at proton synchrotrons





#### **Measurement of Beam Profile**



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- > Summary

# **Synchrotron Radiation Monitor**



An electron bent (i.e. accelerated) by a dipole magnet emit synchrotron light see lecture 'Electron Beam Dynamics' by Lenny Rivkin

This light is emitted

into a cone of

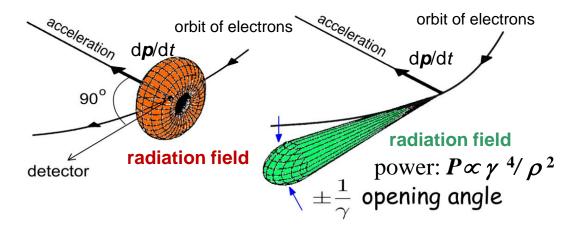
opening  $2/\gamma$  in lab-frame.

⇒Well suited for rel. e<sup>-</sup>

### For protons:

Only for energies  $E_{kin} > 100 \text{ GeV}$ 

Rest frame of electron: Laboratory frame:

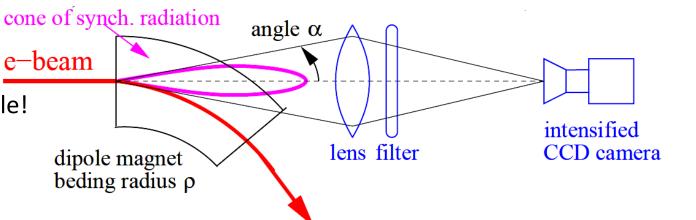


The light is focused to a

intensified CCD.

# **Advantage:**

Signal anyhow available!



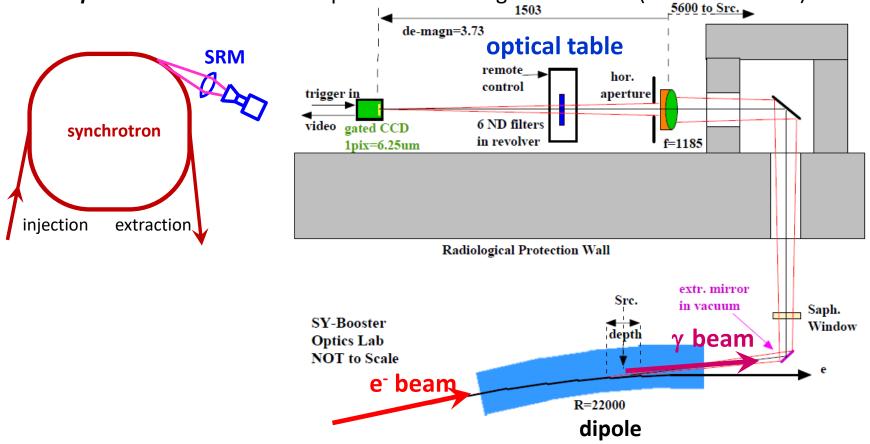
# Realization of a Synchrotron Radiation Monitor



Extracting out of the beam's plane by a (cooled) mirror

- → Focus to a slit + wavelength filter for optical wavelength
- → Image intensified CCD camera

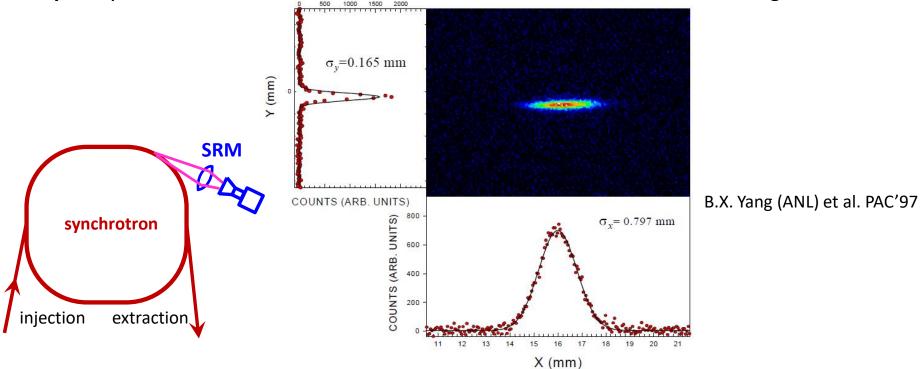
**Example:** ESRF monitor from dipole with bending radius 22 m (blue or near UV)



## **Result from a Synchrotron Light Monitor**



**Example:** Synchrotron radiation facility APS accumulator ring and blue wavelength:



Advantage: Direct measurement of 2-dim distribution, good optics for visible light

Realization: Optics outside of vacuum pipe

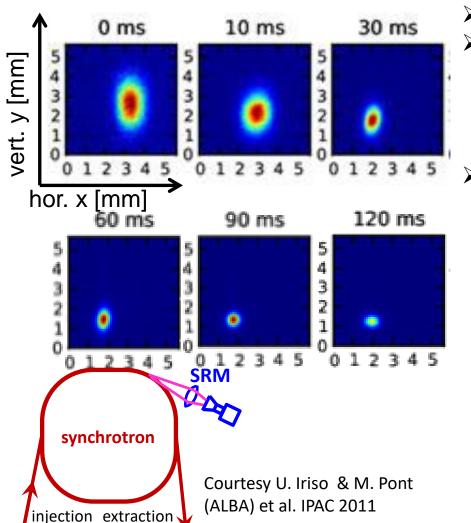
Disadvantage: Resolution limited by the diffraction due to finite apertures in the optics.

## 'Adiabatic Damping' for an Electron Beam



**Example:** Booster at the light source ALBA acceleration from  $0.1 \rightarrow 3$  GeV within 130 ms

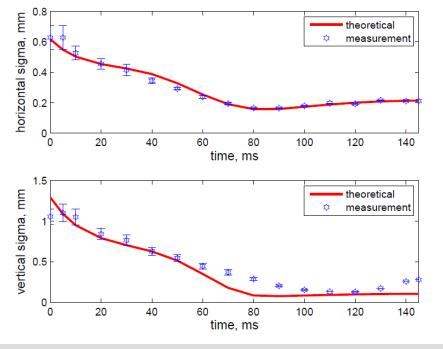
Profile measure by synchrotron radiation monitor: The beam emittance in influenced by:



- Adiabatic damping
  - Longitudinal momentum contribution via dispersion  $\Delta x_D(s) = D(s) \cdot \frac{\Delta p}{s}$

total width 
$$\Delta x_{tot}(s) = \sqrt{\varepsilon \beta(s) + D(s) \cdot \frac{\Delta p}{p}}$$

Quantum fluctuation due to light emission



## The Artist View of a Synchrotron Light Monitor





## **Diffraction Limit of Synchrotron Light Monitor**

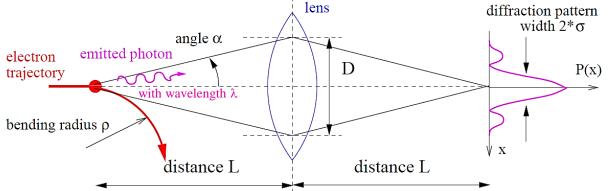


#### **Limitations:**

Diffraction limits the resolution due to Fraunhofer diffraction Pattern width for 1:1 image:

$$\sigma \simeq \frac{\lambda}{2D/L} \simeq 0.6 \cdot \left(\frac{\lambda^2}{\varrho}\right)^{1/3}$$

 $\Rightarrow \sigma \simeq 100 \ \mu m$  for typical cases



#### **Improvements:**

> Shorter wavelength:

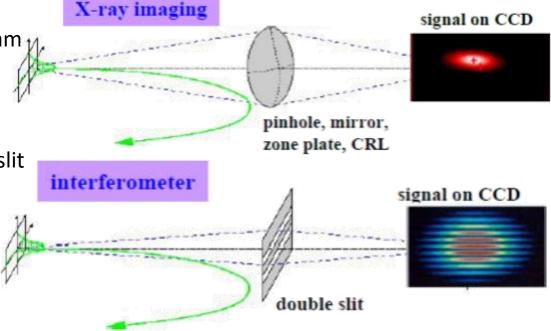
Using X-rays and an aperture of Ø 1mm 1/1

ightarrow 'X-ray pin hole camera', achievable resolution  $\sigma \simeq 10~\mu m$ 

➤ Interference technique:

At optical wavelength using a double slit

 $\rightarrow$  interference fringe blurring compared to point source achievable resolution  $\sigma \simeq 1 \ \mu m$ .



## **Summary for Beam Profile Measurement**



#### Different techniques are suited for different beam parameters:

e-beam: typically Ø 0.01 to 3 mm, protons: typically Ø 1 to 30 mm

Intercepting ↔ non-intercepting methods

## Direct observation of electrodynamics processes:

- ➤ Optical synchrotron radiation monitor: non-destructive, for e<sup>-</sup>-beams, complex, limited res.
- > X-ray synchrotron radiation monitor: non-destructive, for e<sup>-</sup>-beams, very complex
- > OTR screen: nearly non-destructive, large relativistic γ needed, e<sup>-</sup>-beams mainly

## Detection of secondary photons, electrons or ions:

- > Scintillation screen: destructive, large signal, simple setup, all beams
- Ionization profile monitor: non-destructive, expensive, limited resolution, for protons

#### Wire based electronic methods:

- > SEM-grid: partly destructive, large signal and dynamic range, limited resolution
- Wire scanner: partly destructive, large signal and dynamics, high resolution, slow scan.

#### **Measurement of transverse Emittance**



The emittance characterizes the whole beam quality, assuming linear behavior as described by second order differential equation.

It is defined within the phase space as:  $\varepsilon_x = \frac{1}{\pi} \int_A dx dx'$ 

#### The measurement is based on determination of:

**Either** profile width  $\sigma_x$  and angular width  $\sigma_{x'}$  at one location **Or** profile width  $\sigma_x$  at different locations and linear transformations.

#### Different devices are used at transfer lines:

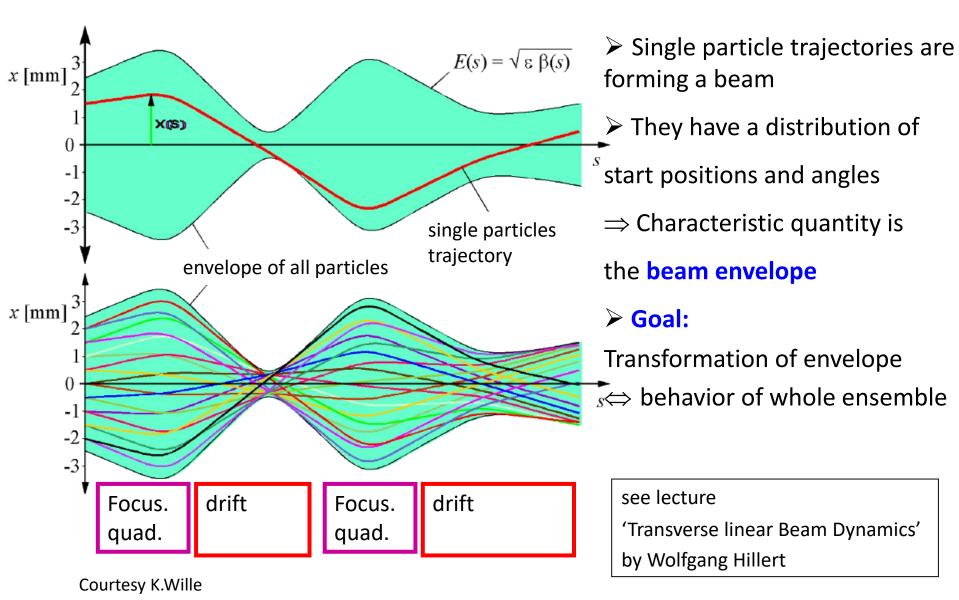
- $\succ$  Lower energies  $E_{kin}$  < 100 MeV/u: slit-grid device, pepper-pot (suited in case of non-linear forces).
- ➤ All beams: Quadrupole variation method using linear transformations (**not** well suited in the presence of non-linear forces)

**Synchrotron:** lattice functions results in stability criterion

$$\Rightarrow \text{ beam width delivers emittance: } \varepsilon_x = \frac{1}{\beta_x(s)} \left[ \sigma_x^2 - \left( D(s) \frac{\Delta p}{p} \right) \right] \text{ and } \varepsilon_y = \frac{\sigma_y^2}{\beta_y(s)}$$

## **Trajectory and Characterization of many Particles**





## **Definition of Coordinates and basic Equations**



The basic vector is 6 dimensional: 
$$\vec{x} = \begin{pmatrix} x \\ x' \\ y \\ y' \\ l \end{pmatrix} = \begin{pmatrix} \text{hori. spatial deviation} \\ \text{horizontal divergence} \\ \text{vert. spatial deviation} \\ \text{vertical divergence} \\ \text{long. deviation} \\ \text{momentum deviation} \end{pmatrix} = \begin{pmatrix} [mm] \\ [mrad] \\ [mm] \\ [mm] \\ [10^{-3}] \end{pmatrix}$$

The transformation of a single particle from a location 
$$s_0$$
 to  $s_1$  is given by the

Transfer Matrix R: 
$$\vec{x}(s_1) = R(s) \cdot \vec{x}(s_0)$$

The transformation of a the envelope from a location  $s_0$  to  $s_1$  is given by the

Beam Matrix 
$$\sigma$$
:  $\sigma(s_1) = R(s) \cdot \sigma(s_0) \cdot R^T(s)$ 

#### 6-dim Beam Matrix with <u>decoupled</u> hor., vert. and long. plane:

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 & 0 & 0 & 0 \\ \sigma_{12} & \sigma_{22} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & \sigma_{55} & \sigma_{56} \\ 0 & 0 & 0 & 0 & \sigma_{56} & \sigma_{66} \end{pmatrix} \text{ horizontal the three coordinates: beam matrix: } the three coordinates: beam matrix: 
$$x_{rms} = \sqrt{\sigma_{11}} \quad \sigma_{11} = \langle x^2 \rangle$$
 the three coordinates: beam matrix: 
$$x_{rms} = \sqrt{\sigma_{11}} \quad \sigma_{11} = \langle x^2 \rangle$$
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 the three coordinates: beam matrix: 
$$x_{rms} = \sqrt{\sigma_{11}} \quad \sigma_{11} = \langle x^2 \rangle$$
 the three coordinates: beam matrix: 
$$x_{rms} = \sqrt{\sigma_{11}} \quad \sigma_{12} = \langle x \rangle$$$$

Beam width for

#### The Emittance for Gaussian and non-Gaussian Beams



#### The beam distribution can be non-Gaussian, e.g. at:

- Beams behind ion source
- > Space charged dominated beams at LINAC & synchrotron
- Cooled beams in storage rings

General description of emittance using terms of 2-dim distribution:

It describes the value for 1 standard derivation

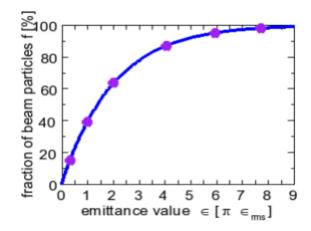
$$\varepsilon_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$
Variances Covariance
i.e. correlation

For <u>Gaussian</u> beams only:  $\varepsilon_{rms} \leftrightarrow$  interpreted as area containing a fraction f of ions:

$$\varepsilon(f) = -2\pi\varepsilon_{rms} \cdot \ln(1-f)$$

#### Care:

No common definition of emittance concerning the fraction f



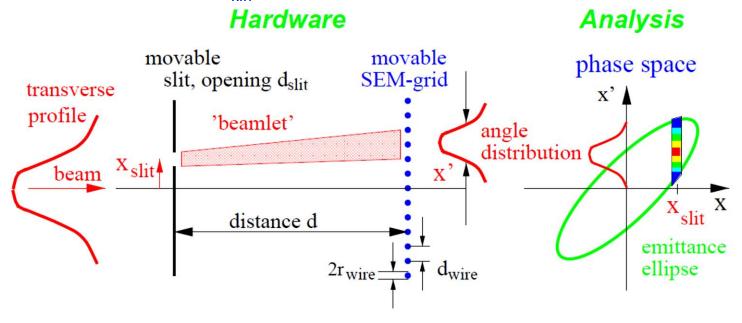
Emittance $\varepsilon$ (f)	Fraction f
$1 \cdot \epsilon_{rms}$	15 %
$\pi \cdot \epsilon_{\sf rms}$	39 %
$2\pi\cdot\epsilon_{rms}$	63 %
$4\pi \cdot \epsilon_{rms}$	86 %
$8\pi \cdot \epsilon_{rms}$	98 %

#### The Slit-Grid Measurement Device



Slit-Grid: Direct determination of position and angle distribution.

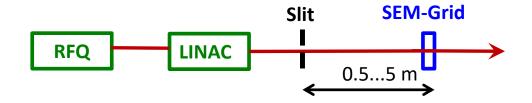
Used for protons with  $E_{kin}$  < 100 MeV/u  $\Rightarrow$  range R < 1 cm.



**Slit**: position **P(x)** with typical width: 0.1 to 0.5 mm

Distance: typ. 0.5 to 5 m (depending on beam energy 0. 1 ... 100 MeV)

**SEM-Grid:** angle distribution P(x')



## **Display of Measurement Results**



The distribution is depicted as a function of position [mm] & angle [mrad]

#### The distribution can be visualized by

- ➤ Mountain plot
- > Contour plot

Calc. of  $2^{nd}$  moments  $\langle x^2 \rangle$ ,  $\langle x'^2 \rangle \& \langle xx' \rangle$ 

## Emittance value $\boldsymbol{\mathcal{E}_{rms}}$ from

$$\varepsilon_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

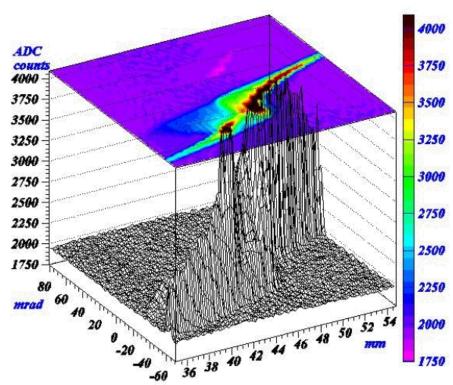


- > Finite **binning** results in limited resolution
- ightharpoonup Background ightharpoonup large influence on  $\langle x^2 \rangle$ ,  $\langle x'^2 \rangle$  and  $\langle xx' \rangle$

## Or fit of distribution with an ellipse

⇒ Effective emittance only

**Remark**: Behind a ion source the beam might very non-Gaussian due to plasma density and aberration at quadrupoles



**Beam**: Ar<sup>4+</sup>, 60 keV, 15 μA

at Spiral 2Phoenix ECR source.

P. Ausset, DIPAC 2009

#### **Measurement of transverse Emittance**



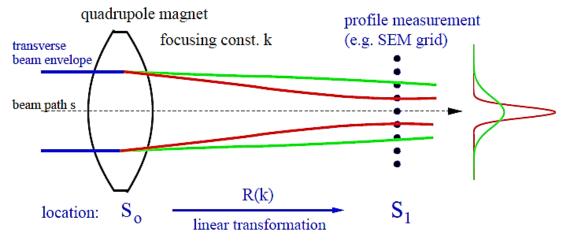
#### **Outline:**

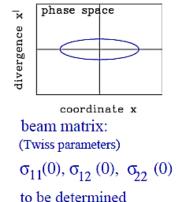
- > Definition and some properties of transverse emittance
- Slit-Grid device: scanning method
   scanning slit → beam position & grid → angular distribution
- Quadrupole strength variation and position measurement emittance from several profile measurement and beam optical calculation

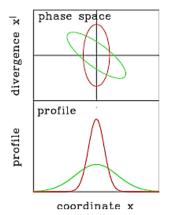
## **Emittance Measurement by Quadrupole Variation**



From a profile determination, the emittance can be calculated via linear transformation, if a well known and constant distribution (e.g. Gaussian) is assumed.







Measurement of beam width  $x_{max}^2 = \sigma_{11}(s_1, k)$ 

matrix R(k) describes the focusing.

- With the drift matrix the transfer is  $\mathbf{R}(k_i) = \mathbf{R}_{\text{drift}} \cdot \mathbf{R}_{\text{focus}}(k_i)$
- Transformation of the beam matrix

$$\sigma(s_1, k_i) = \mathbf{R}(k_i) \cdot \sigma(s_0) \cdot \mathbf{R}^\mathsf{T} (k_i)$$

Task: Calculation of  $\sigma(0)$ 

at entrance  $s_0$  i.e. all three elements

measurement:

$$\mathbf{x}^{2}(\mathbf{k}) = \sigma_{11}(1, \mathbf{k})$$

#### **Measurement of transverse Emittance**

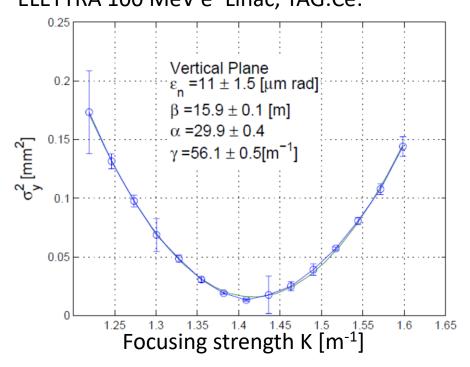


Using the 'thin lens approximation' i.e. the quadrupole has a focal length of **f**:

$$R_{focus}(K) = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ K & 1 \end{pmatrix} \Rightarrow R(L, K) = R_{drift}(L) \cdot R_{focus}(K) = \begin{pmatrix} 1 + LK & L \\ K & 1 \end{pmatrix}$$

Measurement of matrix-element  $\sigma_{11}(s_1, K)$  from matrices  $\sigma(s_1, K_i) = \mathbf{R}(K_i) \cdot \sigma(s_0) \cdot \mathbf{R}^\mathsf{T}(K_i)$ 

**Example:** Square of the beam width at ELETTRA 100 MeV e<sup>-</sup> Linac, YAG:Ce:



G. Penco (ELETTRA) et al., EPAC'08

For completeness: The relevant formulas

$$\sigma_{11}(1,K) = L^2 \sigma_{11}(0) \cdot K^2$$

$$+ 2 \cdot (L\sigma_{11}(0) + L^2 \sigma_{12}(0)) \cdot K$$

$$+ L^2 \sigma_{22}(0) + \sigma_{11}(0)$$

$$\equiv a \cdot K^2 - 2ab \cdot K + ab^2 + c$$

The three matrix elements at the quadrupole:

## **Summary for transverse Emittance Measurement**



Emittance is the important quantity for comparison to theory.

It includes absolute value (value of  $\varepsilon$ ) & orientation in phase space ( $\sigma_{ij}$  or  $\alpha$ ,  $\beta$  and  $\gamma$ )

three independent values  $\varepsilon_{rms} = \sqrt{\sigma_{11} \cdot \sigma_{22} - \sigma_{12}} \equiv \sqrt{\langle x^2 \rangle \langle x'^2 \rangle} - \langle xx' \rangle^2$  assuming no coupling between horizontal, vertical and longitudinal planes

#### Transfer line, low energy beams $\rightarrow$ direct measurement of x- and x'-distribution:

 $\triangleright$  *Slit-grid:* movable slit  $\rightarrow$  *x*-profile, grid  $\rightarrow$  *x'*-profile

## Transfer line, all beams → profile measurement + linear transformation:

- > Quadrupole variation: one location, different setting of a quadrupole
  - **Assumptions:** ➤ well aligned beam, no steering
    - > no emittance blow-up due to space charge

Remark: Non-linear transformation possible via tomographic reconstruction

Important remark: For a synchrotron with a stable beam storage,

width measurement is sufficient using  $x_{rms} = \sqrt{\varepsilon_{rms} \cdot \beta}$ 

## **Measurement of Iongitudinal Parameters**



## Measurement of longitudinal parameter:

#### Bunch length measurement at

- Synchrotron light sources
- Linear light sources
- > Summary

#### **Longitudinal** ↔ transverse correspondences:

➤ position relative to rf
↔ transverse center-of-mass

➤ bunch structure in time
 ↔ transverse profile

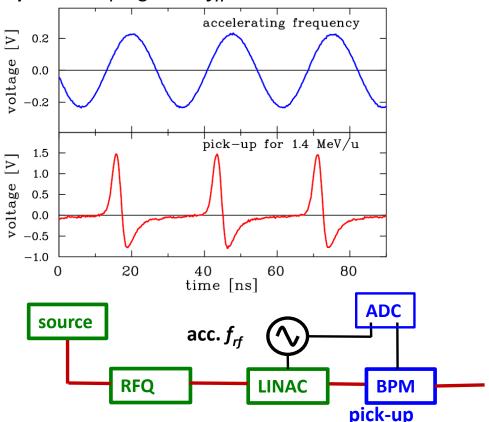
## The Bunch Position measured by a Pick-Up

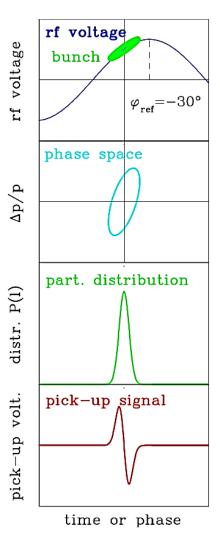


#### The **bunch position** is given relative to the accelerating rf.

e.g.  $\varphi_{ref}$ =-30° inside a rf cavity must be well aligned for optimal acceleration Transverse correspondence: Beam position

**Example:** Pick-up signal for  $f_{rf}$  = 36 MHz rf at GSI-LINAC:





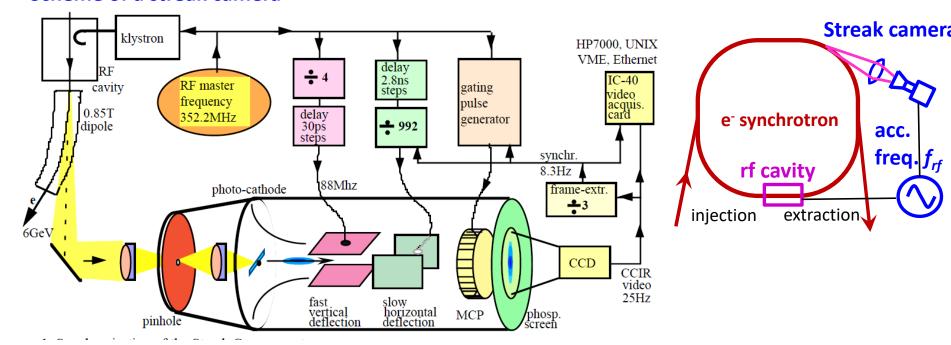
## **Bunch Length Measurement for relativistic Electrons**



Electron bunches are too short ( $\sigma_t$  < 100 ps) to be covered by the bandwidth of pick-ups (f < 3 GHz  $\Leftrightarrow t_{rise}$  > 100 ps) for structure determination.

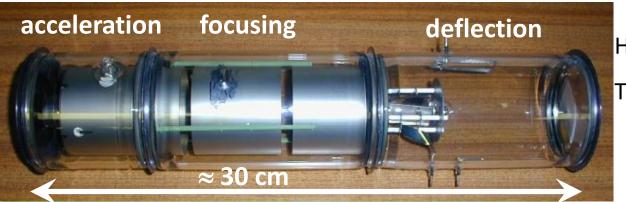
 $\rightarrow$  Time resolved observation of synchr. light with a streak camera: Resolution  $\approx 1$  ps.

#### Scheme of a streak camera

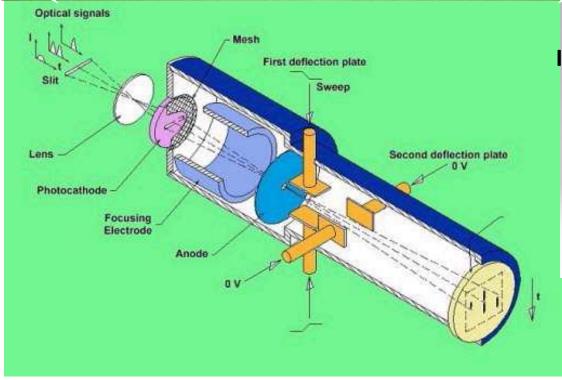


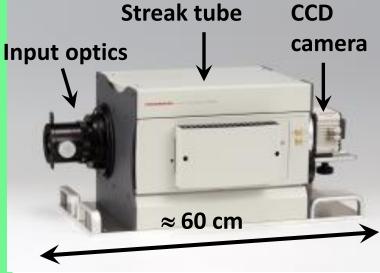
#### **Technical Realization of a Streak Camera**





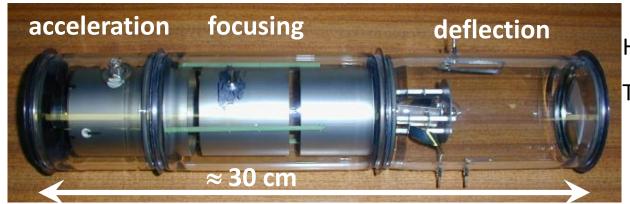
Hardware of a streak camera
Time resolution down to 0.5 ps:



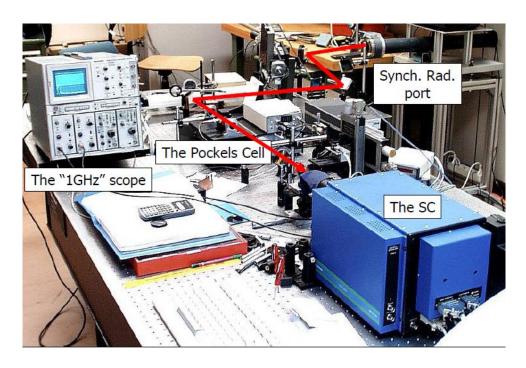


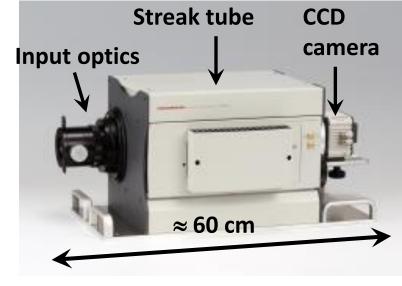
#### **Technical Realization of a Streak Camera**





Hardware of a streak camera
Time resolution down to 0.5 ps:





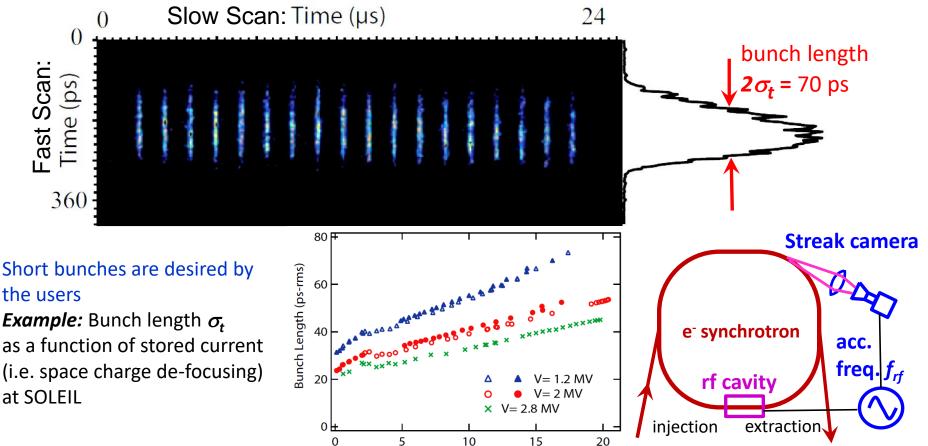
## Results of Bunch Length Measurement by a Streak Camera



The streak camera delivers a fast scan in vertical direction (here 360 ps full scale) and a slower scan in horizontal direction (24  $\mu$ s).

**Example:** Bunch length at the synchrotron light source SOLEIL for  $U_{rf}$  = 2 MV

for slow direction 24  $\mu$ s and scaling for fast scan 360 ps: measure  $\sigma_t$  = 35 ps.



Courtesy of M. Labat et al., DIPAC'07

I (mA)

#### The Artist View of a Streak Camera





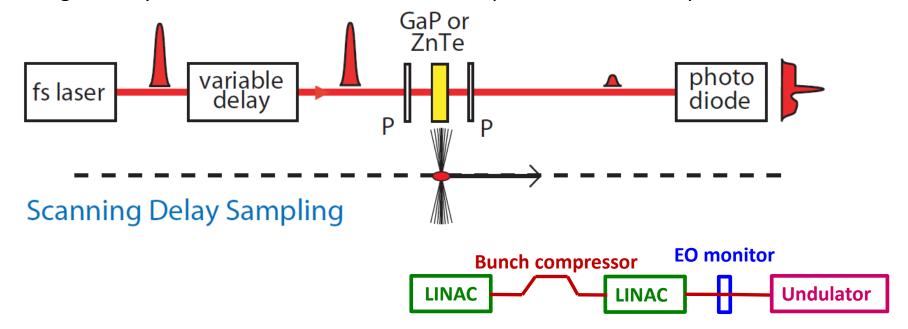
## **Bunch Length Measurement by electro-optical Method**



#### For Free Electron Lasers → bunch length below 1 ps is achieved

- Below the resolution of streak camera
- $\triangleright$  Short laser pulses with  $t \approx 10$  fs and electro-optical modulator

**Electro optical modulator:** Birefringent, rotation angle depends on external electric field Relativistic electron bunch: transverse ele. field  $E_{\perp,lab} = \gamma E_{\perp,rest}$  carries the time information Scanning of delay between bunch and laser  $\rightarrow$  time profile after several pulses.



Courtesy S.P.Jamison et al., EPAC 2006

## **Bunch Length Measurement by electro-optical Method**

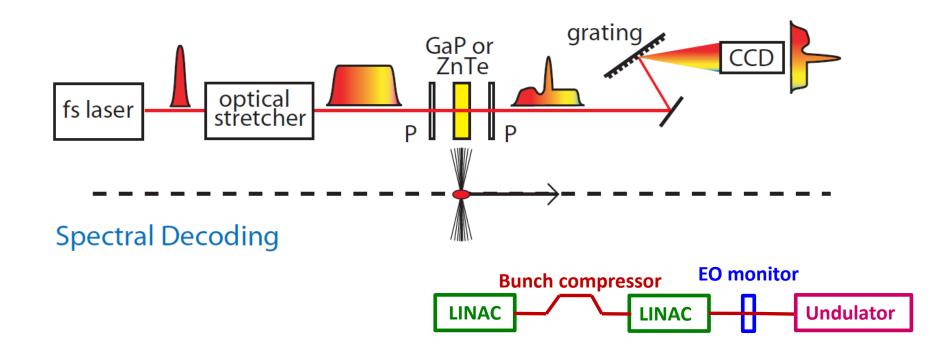


#### For Free Electron Lasers → bunch length below 1 ps is achieved

Short laser pulse ⇔ broad frequency spectrum (property of Fourier Transformation)

**Optical stretcher**: Separation of colors by different path length

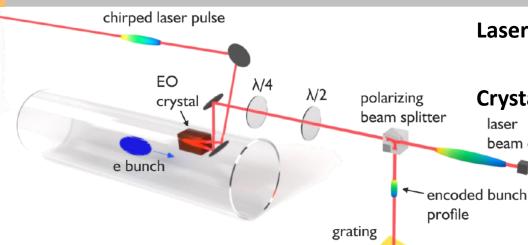
 $\Rightarrow$  different colors at different time  $\Rightarrow$  single-shot observation



Courtesy S.P.Jamison et al., EPAC 2006

## Hardware of a spectral-decoded EOSD Scanning Setup





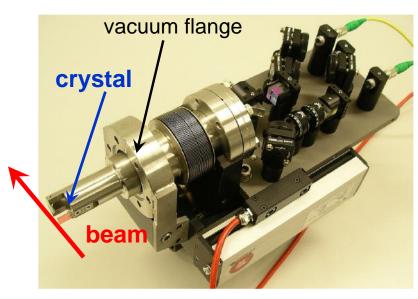
Laser: Commercial Ti:Sa or Yb-fibre, 10 fs duration, near IR,

Crystal: GaP or ZnTe, 100 µm thickness

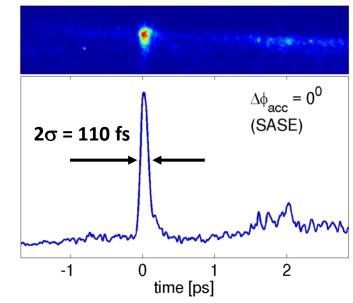
laser beam dump

> **Example:** Bunch length at FLASH 100 fs bunch duration = 30  $\mu$ m length!

S. Funkner et al., arXiv1912.01323 (2019)



ultra-fast line array camera



B. Steffen et al, DIPAC 2009

B. Steffen et al., Phys. Rev. AB 12, 032802 (2009)

## **Summary of longitudinal Measurements**



#### Devices for bunch length at light sources:

#### Streak cameras:

- > Time resolved monitoring of synchrotron radiation
  - $\rightarrow$  for relativistic e<sup>-</sup>-beams, 10 ps <  $t_{bunch}$  < 1 ns

Time resolution limit of streak camera  $\approx 1$  ps

#### Laser-based electro-optical modulation:

- > Electro-optical modulation of short laser pulse
  - → very high time resolution down to some fs time resolution Technical complex installation

## **Conclusion for Beam Diagnostics Course**



## Diagnostics is the 'sensory organ' for the beam.

It required for operation and development of accelerators

## Several categories of demands leads to different installations:

- Quick, non-destructive measurements leading to a single number or simple plots
- > Complex instrumentation used for hard malfunction and accelerator development
- > Automated measurement and control of beam parameters i.e. feedback

The goal and a clear interpretation of the results is a important design criterion.

#### **General comments:**

- > Quite different technologies are used, based on various physics processes
- > Accelerator development goes parallel to diagnostics development

## Thank you for your attention!

## **General Reading on Beam Instrumentation**



- H. Schmickler (Ed.) Beam Instrumentation, Proc. CERN Accelerator School, Tuusula 2018 in prep.
- D. Brandt (Ed.), Beam Diagnostics for Accelerators, Proc. CERN Accelerator School, Dourdan, CERN-2009-005, 2009;
- > Proceedings of several CERN Acc. Schools (introduction & advanced level, special topics).
- ➤ V. Smaluk, Particle Beam Diagnostics for Accelerators: Instruments and Methods, VDM Verlag Dr. Müller, Saarbrücken 2009.
- > P. Strehl, Beam Instrumentation and Diagnostics, Springer-Verlag, Berlin 2006.
- ➤ M.G. Minty and F. Zimmermann, *Measurement and Control of Charged Particle Beams*, Springer-Verlag, Berlin 2003.
- > S-I. Kurokawa, S.Y. Lee, E. Perevedentev, S. Turner (Eds.), *Proceeding of the School on Beam Measurement*, Proceedings Montreux, World Scientific Singapore (1999).
- P. Forck, Lecture Notes on Beam Instrumentation and Diagnostics, JUAS School, JUAS Indico web-site.
- Contributions to conferences, in particular to International Beam Instrumentation Conference IBIC.



## Backup slides

## Broadening due to the Beam's Space Charge: Ion Detection



#### Influence of the residual gas ion trajectory by:

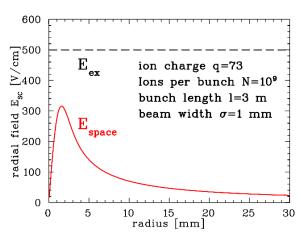
- External electric field E<sub>ex</sub>
- Electric field of the beam's space charge E<sub>space</sub>

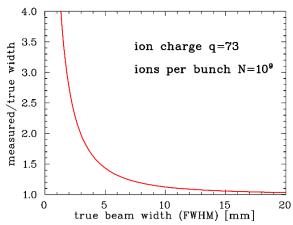
e.g. Gaussian density distribution for round beam:  $E_{space}(r) = \frac{1}{2\pi\varepsilon_0} \cdot \frac{qeN}{l} \cdot \frac{1}{r} \cdot \left| 1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right|$ 

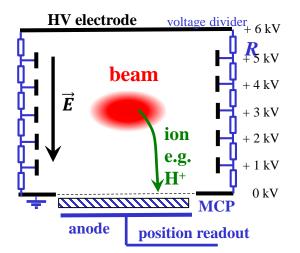
Estimation of correction: 
$$\sigma_{corr}^2 \approx \frac{e^2 \ln 2}{4\pi\varepsilon_0 \sqrt{m_p c^2}} \cdot \frac{qN}{l} \cdot d_{gap} \cdot \sqrt{\frac{1}{eU_{ex}}} \propto N \cdot d_{gap} \cdot \sqrt{\frac{1}{U_{ex}}}$$

With the measured beam width is given by convolution:  $\sigma_{meas}^2 = \sigma_{true}^2 + \sigma_{corr}^2$ 

Example:  $U^{73+}$ ,  $10^9$  particles per 3 m bunch length, cooled beam with  $\sigma_{true}$  = 1 mm FWHM.



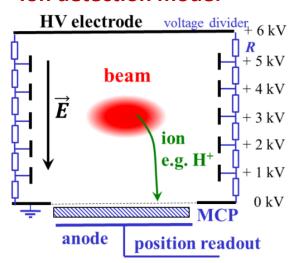




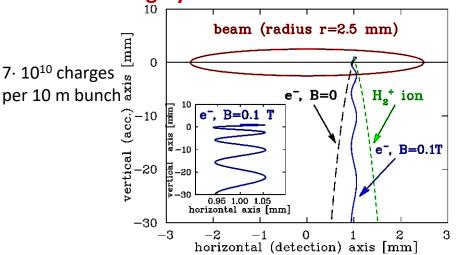
## **Electron Detection and Guidance by Magnetic Field**



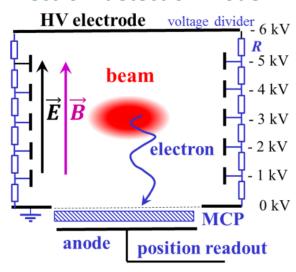
#### Ion detection mode:



## ⇒ broadening by beam's electric field



#### **Electron detection mode:**



e $^-$  detection in an external magnetic field ightarrow cyclotron radius  $r_C=\frac{mv_\perp}{eB}$  for  $E_{kin,\perp}=10$  eV & B=0.1 T  $\Rightarrow$   $r_c\approx 100$   $\mu$ m  $E_{kin}$  from atomic physics,  $\approx \! 100$   $\mu$ m resolution of MCP

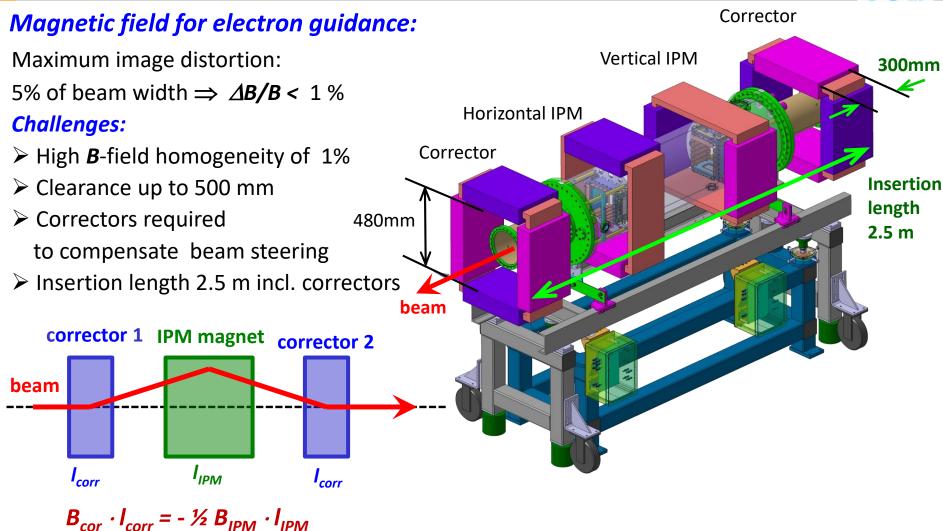
**Time-of-flight:**  $\approx$ 1 - 2 ns  $\Rightarrow$  2 - 3 cycles.

**B-field**: Dipole with large aperture

→ IPM is expensive & large device!

## **IPM: Magnet Design**





Remark: For MCP wire-array readoutlower clearance required

## **IPM: Magnet Design**



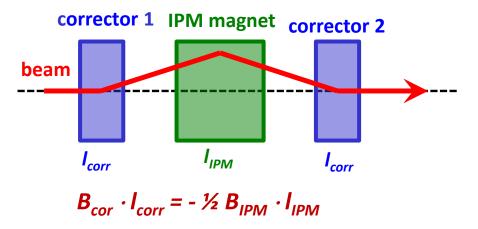
#### Magnetic field for electron guidance:

Maximum image distortion:

5% of beam width  $\Rightarrow \Delta B/B < 1\%$ 

#### **Challenges:**

- ➤ High **B**-field homogeneity of 1%
- ➤ Clearance up to 500 mm
- Correctors required to compensate beam steering
- ➤ Insertion length 2.5 m incl. correctors





**Magnet: B** = 250 mT, Gap 220 mm **IPM:** Profile 32 strips, 2.5 mm width

#### **Remark for electron beams:**

Resolution of 50  $\mu m$  is insufficient, but sometimes used for photon beams

Remark: For MCP wire-array readout lower clearance required

## **Emittance Enlargement by Injection Mis-steering**

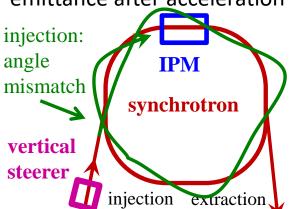


# **Emittance conservation requires precise injection matching**

Wrong angle

## of injected beam:

- ightharpoonup injection into outer phase space ightharpoonup large ho-amplitude i.e. large beam
- might result in 'hollow' beam
- filling of acceptancei.e. loss of particles
- ⇒ Hadron beams: larger emittance after acceleration



*Example:* Variation of vertical injection angle by magnetic steerer Beam:  $C^{6+}$  at 6.7 MeV/u acc. to 600 MeV/u, up to  $6\cdot10^9$  ions per fill with multi-turn injection, IPM integration 0.5 ms i.e.  $\approx$  100 turns

#### Vertical profile at injection: Horizontal profile at injection: -30 -20 -10 0 10 20 hor. coordinate x [mm] -30 -20 -10 0 10 20 30 vert. coordinate y [mm] Vertical profile **after** acc.: Horizontal profile after acc.: distribution 1.2 steering value [arb.u.] 0.6 0.2 20 -10 0 10 20 hor. coordinate x [mm] -100 vert. coordinate y [mm] larger emittance misplace injection filamentation x'Schematic simulation: Courtesy M. Syphers

## **Coherent Optical Transition Radiation**

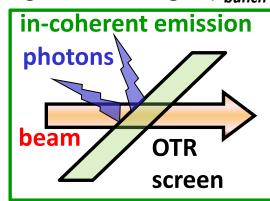


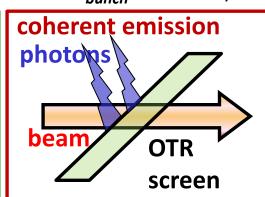
## Observation of coherent OTR for compressed bunches at LINAC based light sources

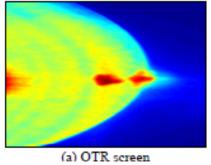
Reason: Coherent emission if bunch length  $\approx$  wavelength ( $t_{bunch}$ =2 fs  $\Leftrightarrow I_{bunch}$ =600 nm)

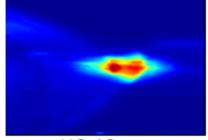
or bunch fluctuations ≈ wavelength Parameter reach for most LINAC-based FELs!

Beam parameter: FLASH, 700 MeV, 0.5 nC, with bunch compression OTR screen scint. screen





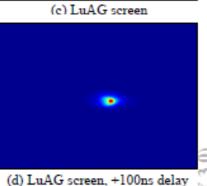




prompt emission for OTR and scint. screen

→ coherent and in-coherent OTR

# (a) OTR screen (b) OTR screen, +100ns delay



- 100 ns delayed emission
- → no OTR as expected (classical process)
- → emission by scint. screen due to lifetime
  ⇔ correct profile image!

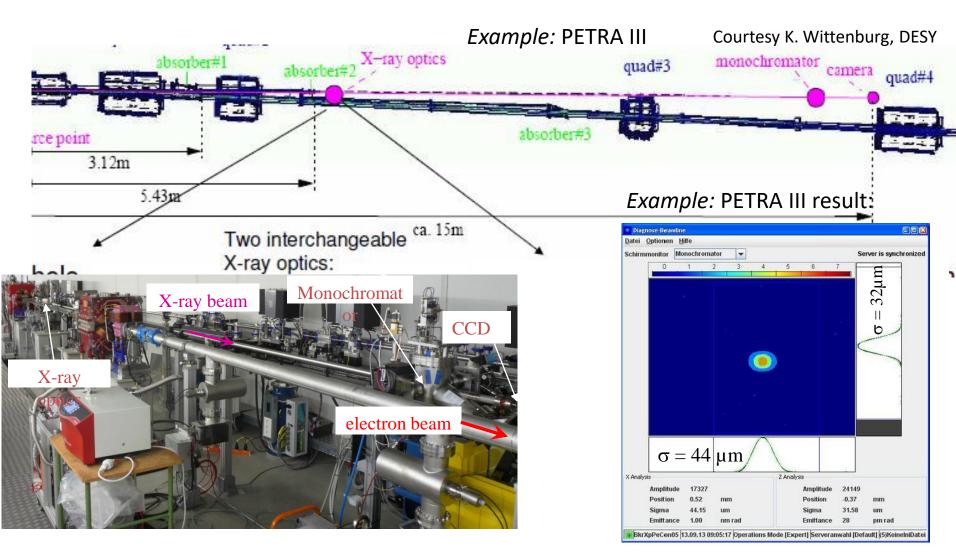
Contrary of M. Yan et al., DIPAC'11 & S. Wesch, DIPAC'11

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## X-ray Pin-Hole Camera



The diffraction limit is  $\Rightarrow \sigma \cong 0.6 \cdot \left(\lambda^2 / \rho\right)^{1/3} \Rightarrow$  shorter wavelength by X-rays.



## **Double Slit Interference for Radiation Monitors**



# The **blurring of interference pattern** due to finite size of the sources

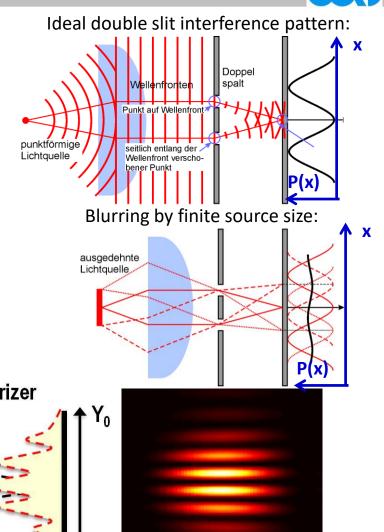
 $\Rightarrow$ spatial coherence parameter  $\gamma$  delivers  $\emph{rms}$  beam size

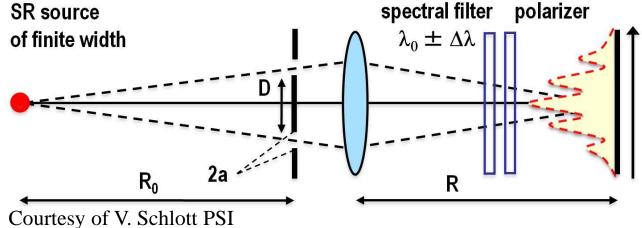
i.e. 'de-convolution' of blurred image!

→ highest resolution, but complex method

#### **Typical resolution for three methods:**

- $\triangleright$  Direct optical observation:  $\sigma \approx 100 \ \mu m$
- $\triangleright$  Direct x-ray observation :  $\sigma \approx 10 \, \mu m$
- $\succ$  Interference optical obser:  $\sigma pprox ~$  **1**  $\mu$ m





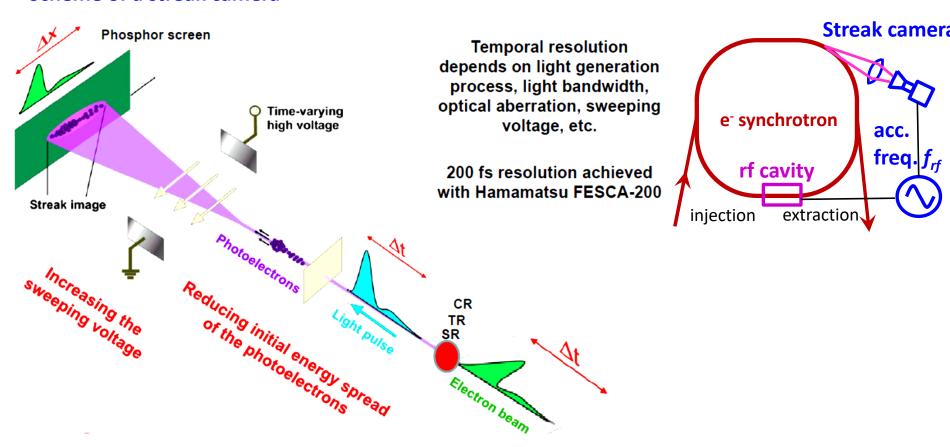
## **Bunch Length Measurement for relativistic Electrons**



Electron bunches are too short ( $\sigma_t$  < 100 ps) to be covered by the bandwidth of pick-ups (f < 3 GHz  $\Leftrightarrow t_{rise}$  > 100 ps) for structure determination.

 $\rightarrow$  Time resolved observation of synchr. light with a streak camera: Resolution  $\approx$  1 ps.

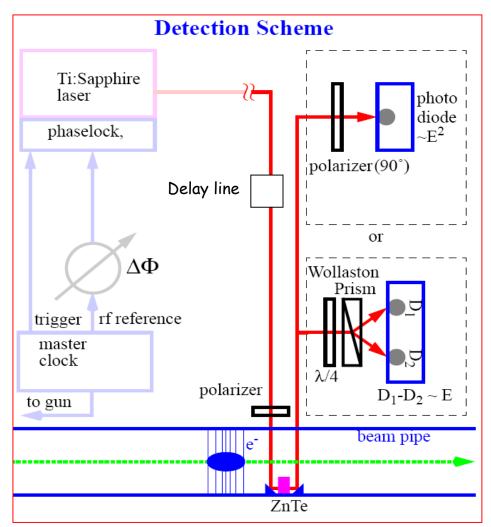
#### Scheme of a streak camera

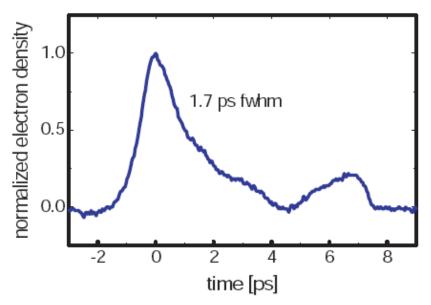


## **Realization of EOS Scanning**



## Setup of a scanning EOS method.





Using 12fs pulses from Ti:A<sub>12</sub>O<sub>3</sub> laser at 800nm and ZnTe crystal 0.5mm thick with a e<sup>-</sup> - beam 46MeV of 200pC

X. Yan et al, Phys. Rev. Lett. 85, 3404 (2000)

## Bunch Structure at low $E_{kin}$ : Not possible with Pick-Ups



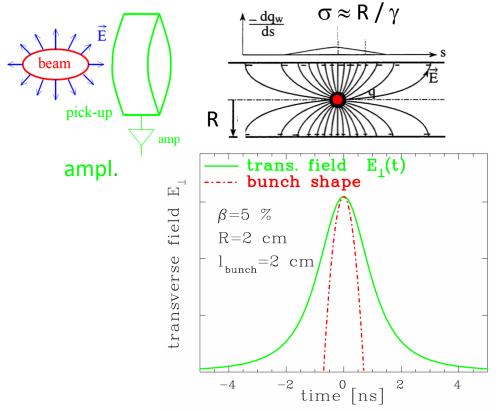
#### Pick-ups are used for:

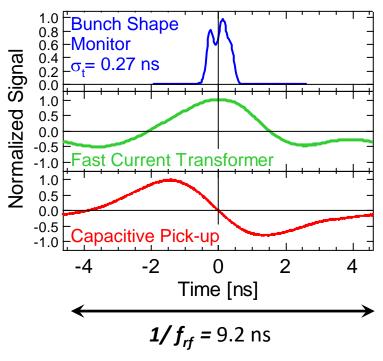
- precise for bunch-center relative to rf
- course image of bunch shape

**Example**: Comparison pick-up – particle counter: Ar beam of 1.4 MeV/u ( $\boldsymbol{6}$  = 5.5%),  $\boldsymbol{f}_{rf}$  = 108 MHz

#### **But:**

For  $\beta << 1 \rightarrow$  long. **E**-field significantly modified:





⇒ the pick-up signal is insensitive to bunch 'fine-structure'

## **Bunch Structure using secondary Electrons for low E**<sub>kin</sub> **Protons**



Secondary e<sup>-</sup> liberated from a wire carrying the time information.

→ Bunch Shape Monitor (BSM)

#### **Working principle:**

- $\triangleright$  insertion of a 0.1 mm wire at  $\approx$  10 kV
- > emission of secondary e within less than 10 ps
- ➤ secondary e<sup>-</sup> are accelerated
- > toward an rf-deflector
- rf-deflector as 'time-to-space' converter
- > detector with a thin slit
- > slow shift of the phase
- $\triangleright$  resolution  $\approx$  10 ps  $\approx$  1° @ 280 MHz
- ➤ Measurements are comparable to that obtained with particle detectors.

detector: SEM or FC bunch shape rf-deflector (+ phase shifter) aperture: about 1 mm secondary electron from wire (0.1 mm, 10 kV beam

SEM: secondary electron multiplier

wire on HV

## **Realization of Bunch Shape Monitor at CERN LINAC2**



## Example: The bunch shape behind RFQ with 120 keV/u:

