

# Ionization efficiency at sub-keV energies for crystals and noble liquids

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- 1 Ionization efficiency for ionization detectors (crystals).
- 2 Integral equation governing ionization processes.
- 3 Binding energy model and electronic stopping at low energies.
- 4 Results for Si and Ge.
- 5 Defect creation in Si.
- 6 Integral equation and Thomas-Imel model for noble liquids.
- 7 Results for Ar and Xe.
- 8 Conclusions.

Useful links:

- (A) **Magnificent CE $\nu$ NS(2021)**
- (A) **Magnificent CE $\nu$ NS(2020)**
- (B) **XXXV DPC-SMF meeting (2021)**

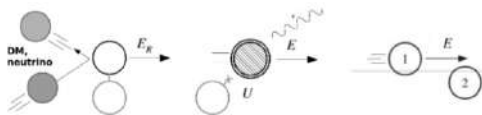


# Introduction (Ionization only detectors)

Deposited energy splits in;

$E_\nu$  : Nuclear collisions. ( $\bar{\nu}^1$ )

$E_I$  : Ionization (visible) energy [keV<sub>ee</sub>] ( $\bar{\eta}$ ).



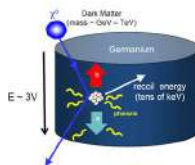
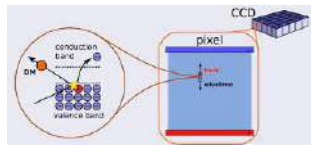
- $quenching = \frac{\text{total ionization energy}}{\text{total deposited energy}} = f_n = \frac{\bar{\eta}}{\epsilon_R}$ .

- $\epsilon_R = \bar{\eta} + \bar{\nu}$ , where  $\epsilon_R$  is the recoil energy.

- Energy  $u$  is lost to some disruption of the atomic bonding:  $\epsilon_R = \epsilon + u$ .

- The ion moves with a kinetic energy  $\epsilon$ .

- This sets a cascade of slowing-down processes that dissipate the energy  $E$  throughout the medium.



<sup>1</sup>Using dimensionless units ( $\epsilon = 16.26E(\text{keV})/Z_1Z_2(Z_1^{0.23} + Z_2^{0.23})$ )

## Signals affected by quenching

- For DM or  $CE\nu NS$  searches with pure crystals the quenching plays an important role for calibration and efficiency.
- Different quenching, change significantly the rate for  $CE\nu NS$  signal.

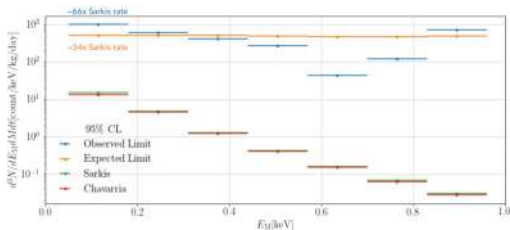
$$\frac{dR}{dE_I} = \frac{dR}{dE_R} \frac{1}{f_n} \underbrace{\left(1 - \frac{E_I}{f_n} \frac{df_n}{dE_I}\right)}_{\frac{dE_R}{dE_I}}$$

$$f_n = \frac{E_I}{E_R},$$

The visible energy spectrum is shifted to lower energies, due to the QF.

$f_n$  depends mainly on electronic stopping  $S_e$ .

If  $S_e = 0$  then  $f_n = 0$ .



$CE\nu NS$  limits for different quenching [JHEP 05 (2022), 017].

# Basic integral equation and approximations

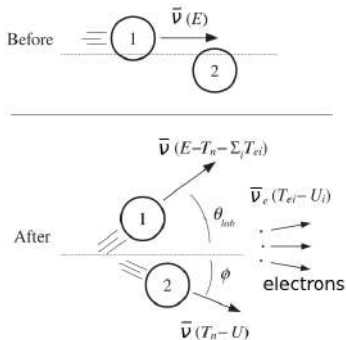
( $T_n$  : Nuclear kinetic energy and  $T_{ei}$  electron kinetic energy.)

Energy conservation for atomic motion  $\bar{v}$  in all successive collisions,

$$\underbrace{\int d\sigma_{n,e}}_{\text{total cross section}} \left[ \underbrace{\bar{v} \left( E - T_n - \sum_i T_{ei} \right)}_{\text{All scatter-ions ions}} + \underbrace{\bar{v} (T_n - U)}_{\text{All Target ions}} + \underbrace{\bar{v}(E)}_{\text{Total initial energy}} + \underbrace{\sum_i \bar{v}_e (T_{ei} - U_{ei})}_{\text{Electrons contribution}} \right] = 0 \quad (1)$$

## Lindhard's (five) approximations

- ❶ Neglect contribution to atomic motion coming from electrons.
- ❷ Neglect the binding energy,  $U = 0$ . (Now taken into account)
- ❸ Energy transferred to electrons is small compared to that transferred to recoil ions.
- ❹ Effects of electronic and atomic collisions can be treated separately.
- ❺  $T_n$  is also small compared to the energy  $E$ .



## • Lindhard simplified equation

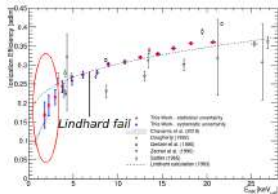
Using the five approximations Lindhard deduced an integral simplify equation,

$$\underbrace{(k\varepsilon^{1/2})}_{S_e} \bar{\nu}'(\varepsilon) = \int_0^{\varepsilon^2} \underbrace{dt \frac{f(t^{1/2})}{2t^{3/2}}}_{d\sigma_n} [\bar{\nu}(\varepsilon - t/\varepsilon) + \bar{\nu}(t/\varepsilon) - \bar{\nu}(\varepsilon)], \quad (2)$$

but since binding energy was neglected is only valid at high energies, since  $\bar{\nu}(\varepsilon \rightarrow 0) \rightarrow \varepsilon$ , by the above equation we get  $\bar{\nu}'(0) = 0!$



- First principles (e) stopping power  $S_e = k\varepsilon^{1/2}$ ,  $k = 0.133Z^{2/3}/A^{1/2}$ .
- Lindhard deduce a parametrization valid at high energies ( $U=0$ ).
- But fails below 4 keV.



PRD Chavarria et al, 94, 082007(2016)

$$\bar{\nu}_L(\varepsilon) = \frac{\varepsilon}{1 + kg(\varepsilon)}, \quad g(\varepsilon) = 3\varepsilon^{0.15} + 0.7\varepsilon^{0.6} + \varepsilon.$$

## • Simplified equation with binding energy

Binding energy effects may be relevant at low energies. We include this by,

- Relaxing approximations II, III and V.
- Considering  $U$  constant, Lindhard  $S_e = k\varepsilon^{1/2}$  (this is the simplest case).
- Nuclear stopping  $d\sigma_n(t)$  with  $t = \varepsilon^2 \sin^2(\theta/2)$ .
- We solve for  $\bar{v}$  then  $\bar{\eta} = \varepsilon_R - \bar{v}$  and,  $f_n = \bar{\eta}/\varepsilon_R$ .

(Y. Sarkis et al, Phys. Rev. D 101, 102001 (2020))

$$-\frac{1}{2}k\varepsilon^{3/2}\bar{v}''(\varepsilon) + \underbrace{k\varepsilon^{1/2}}_{S_e : \text{Lindhard}} \bar{v}'(\varepsilon) = \int_{\varepsilon u}^{\varepsilon^2} dt \underbrace{\frac{f(t^{1/2})}{2t^{3/2}}}_{d\sigma_n} [\bar{v}(\varepsilon - t/\varepsilon) + \bar{v}(t/\varepsilon - u) - \bar{v}(\varepsilon)]$$

- Threshold at  $\varepsilon_R^{\text{threshold}} = 2u$ . (3)
- Inter-atomic potential dependent  $f(t)$ .
- e.g T.F., Moliere, AVG and Ziegler

## First results for Si

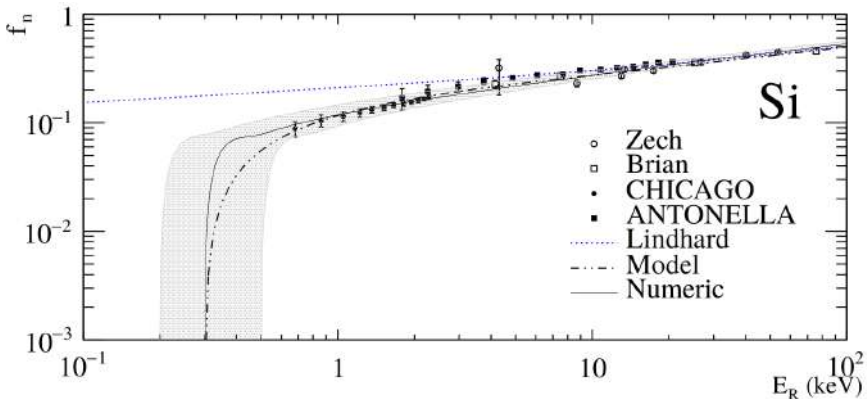


Figure: QF measurements for Si, compared with Lindhard model, the ansatz and the numerical solution;  $U = 0,15$  keV y  $k = 0,161$ .



## Improvements of the model

- For Si, constant  $U$ , gives a cut off too high compared to the expected threshold given by the energy to create a Frenkel-pair ( $\approx 30$  eV).
- A varying binding energy model is proposed;
  - ▶ Low energies just considered the Frenkel energy.
  - ▶ High energy consider electron inner excitations, using T.F theory.
- **Lindhard electronic stopping is not valid at low energies.**
- It doesn't considered Coulomb repulsion effects and electron stripping.
- We can also add electronic straggling  $\Omega^2 = \langle \delta E - \langle \delta E \rangle \rangle^2$  ( $\frac{d\Omega^2}{d\rho} \equiv W$ ) effects to the model.

$$\begin{aligned} -\frac{1}{2}\varepsilon S_e(\varepsilon) \left(1 + \frac{W(\varepsilon)}{S_e(\varepsilon)\varepsilon}\right) \bar{v}''(\varepsilon) + S_e(\varepsilon)\bar{v}'(\varepsilon) = \\ \int_{\varepsilon u}^{\varepsilon^2} dt \frac{f(t^{1/2})}{2t^{3/2}} [\bar{v}(\varepsilon - t/\varepsilon) + \bar{v}(t/\varepsilon - u) - \bar{v}(\varepsilon)], \end{aligned} \quad (4)$$

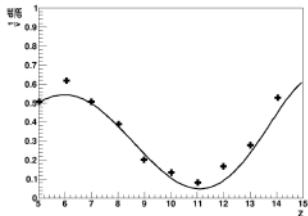
# High energy effects ( $> 10$ keV) for $S_e(\epsilon)$

## § Bohr Stripping

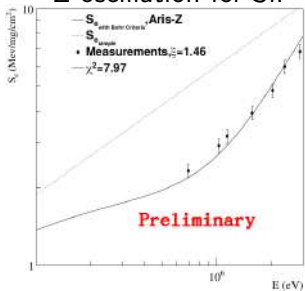
- Electrons can be lost according to momentum transferred.
- The effective number of electrons obeys  $Z^\dagger \approx Ze^{-v/Z^{2/3}v_0}$ .
- $S_e \propto Z^\dagger$ , this leads to damping.

## § Z Oscillations

- When the ion charge changes, the transport cross section  $\sigma_T$  changes.
- Phase shift is appear to maintain neutrality of electron Fermi gas.
- $S_e$  may be affected by this effect at energies  $v \ll v_0 Z^{2/3}$ . Since  $S_e \propto \sigma_T$ .



□ Z oscillation for Si.



□  $S_e$  vs data

## Low energy effects for $S_e$

### § Coulomb repulsion effects

- At low energies  $S_e$  departs from velocity proportionality.
- Colliding nuclei will partially penetrate the electron clouds.

$$S_e = (\Xi) N m v \int_0^\infty v_F \sigma_{tr}(v_F) N_e dV \rightarrow (\Xi) N m v \int_R^\infty v_F \sigma_{tr}(v_F) N_e dV$$

$R$  distance closest approach and  $\Xi$  is a geometrical factor<sup>4</sup>, negligible for  $Z < 20$ .

- Three models will be considered; **Tilinin**<sup>2</sup>, **Kishinevsky**<sup>3</sup> and **Arista**<sup>4</sup>
- Models change details of the inter-atomic potential.
- Hence affect  $f(t^{1/2})$  and  $S_e$  at low energies.

<sup>2</sup>I.S.Tilinin Phys. Rev. A 51, 3058 (1995)

<sup>3</sup>Kishinevsky, L.M., 1962, Izv. Akad. Nauk SSSR, Ser. Fiz. 26, 1410.

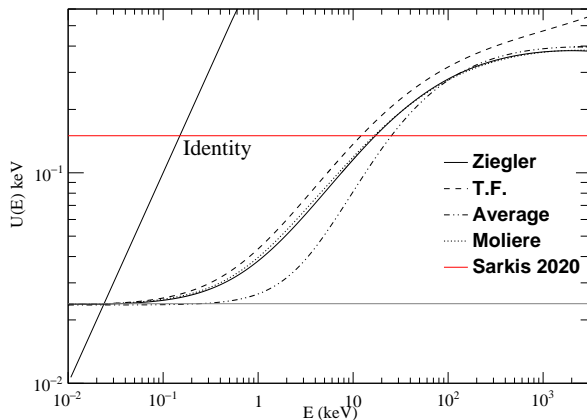
<sup>4</sup>J.M. Fernández-Varea, N.R. Arista, Rad. Phys. and C., V 96, 88-91, (2014),

## Binding energy model

The model consider:

- Frenkel pair creation energy,  $U_{FP}$ .
- Atomic binding with DFT theory,  $U_{TF}(E)$ .
- $U(E) = U_{FP} + U_{TF}(E)$

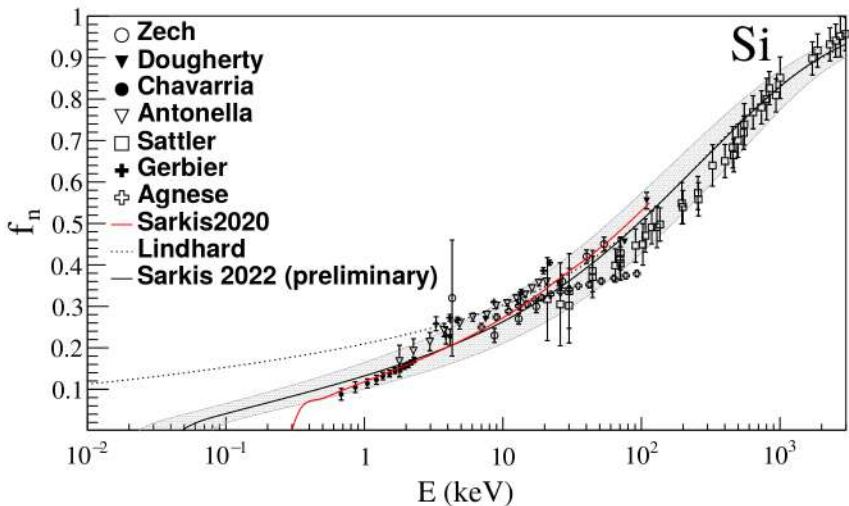
$$U_{FP} = 23.54^{+9.63}_{-12.04} \text{ eV}$$



The DFT depends on the screening function used in the inter-atomic potential.

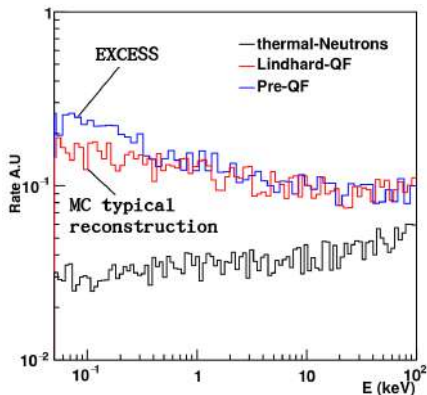
## ☛ QF Results (Si) up to 3 MeV.

We fit the inter-atomic scale parameter, that scales  $S_e$ ,  $S_n$  and has important effects at low energies.



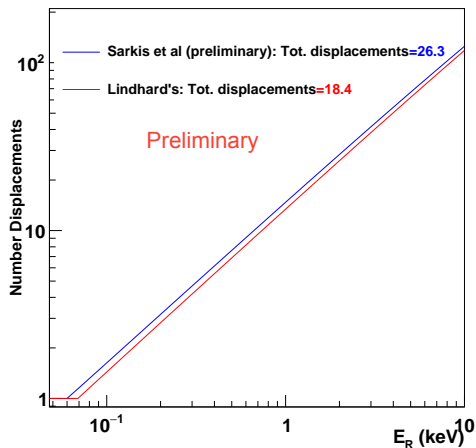
## EXCESS for flat low energy signals

- We can expect an EXCESS from a flat spectrum signal, e.g. thermal Neutrons.
- By comparing spectrum reconstruction from Lindhard QF and our new QF model.
- Lindhard QF is usually used by MC simulations, etc.



## Energy loss by defect creation in Si

- Frenkel pairs (Fr-P) can create peak signals near threshold.
- We can compute the number of Fr-P by using Kinchin and Pease model combined with our solution for  $\bar{\nu}$ ;  $N_{Fr-P} = 0.8\bar{\nu}/2u_{Fr}$ .



## Results (Ge) with Collar recent data

For Ge study we have to consider a geometrical factor, mentioned by Tilinin and only significant for high  $Z$  ( $Z > 20$ ).

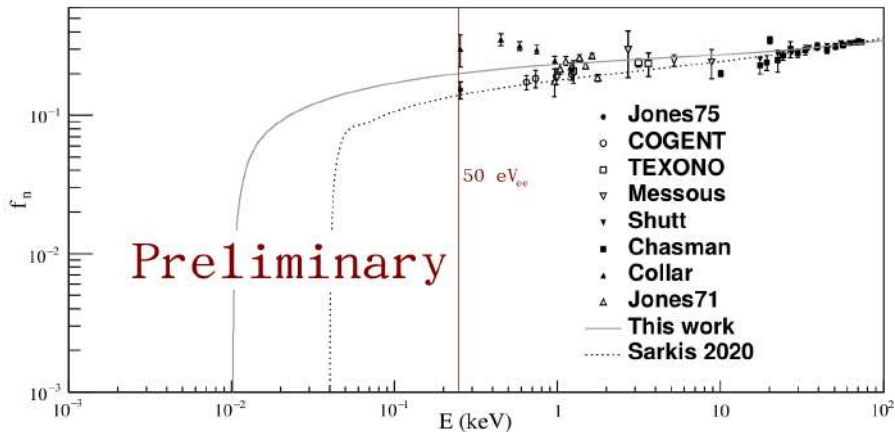


Figure: Germanium QF model with straggling, **geometrical factor**, low and high energy effects.



## • Noble gases ionization response

- Dual-phase noble liquid time projection chambers (TPCs) have yielded, a competitive sensitivity for the search for WIMPs.
- Reconstruction is done by exploiting the full anticorrelation between the S1 (scintillation photons  $n_\gamma$ ) and S2 (ionized electrons  $n_e$ ).

$$E_{\text{er}} = W \left( \frac{S1}{g_1} + \frac{S2}{g_2} \right), \quad \rightarrow E_R = W (n_\gamma + n_e) / f_n,$$

- With  $W_{\text{Ar}} = 19.5$  eV and  $W_{\text{Xe}} = 13.7$  eV, is the average energy required to produce an excitation or ionization for Ar and Xe.
- It is usually to assume that each excited atom leads to one scintillation photon.
- And that each ionized atom leads to a single electron unless it recombines

## Thomas Imel Box Model

- We have  $N_i + N_{\text{ex}} = n_\gamma + n_e$  independent of recombination.
- The fraction of ionizations is predicted by the Thomas-Imel box model

$$Q_y^{\text{ER}} = \frac{N_{\text{i.e.}}}{E_{\text{er}}} = \frac{(1-r)N_i}{E_{\text{er}}}, \quad 1-r = \frac{1}{\gamma N_i} \ln(1 + \gamma N_i)$$

- Where  $N_i = f_n\left(\frac{E_R}{W(1+\alpha)}\right)$ , where  $\alpha$  and  $\gamma$  are free parameters.
- $f_n$  can be computed with our model.
- This model has been shown to work well for spatially small tracks.
- In the following we show the Charge and Light Yields for Ar and Xe, using the constant binding energy model and  $S_e = k\varepsilon^{1/2}$ .

# Xenon Charge Yield

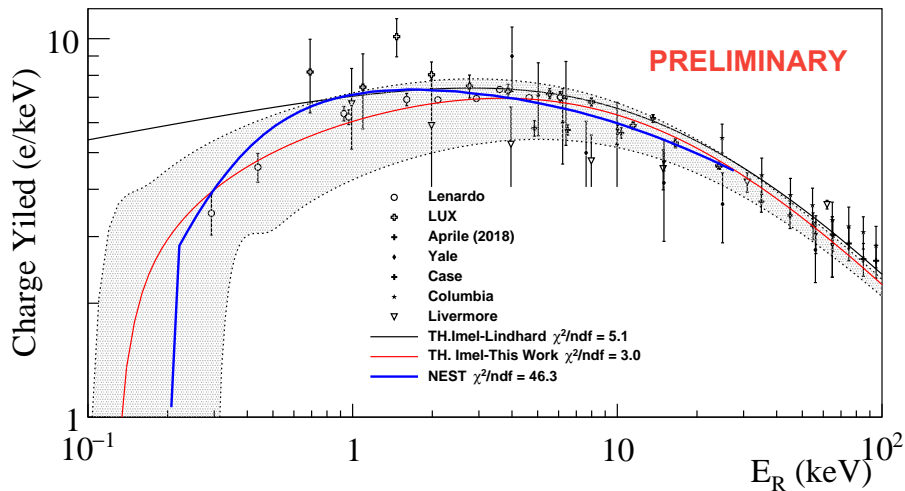


Figure: Charge Yield for Xe;  $N_{\text{ext}}/N_i = 0.42$  and  $\gamma = 0.015$

# Xenon Light Yield

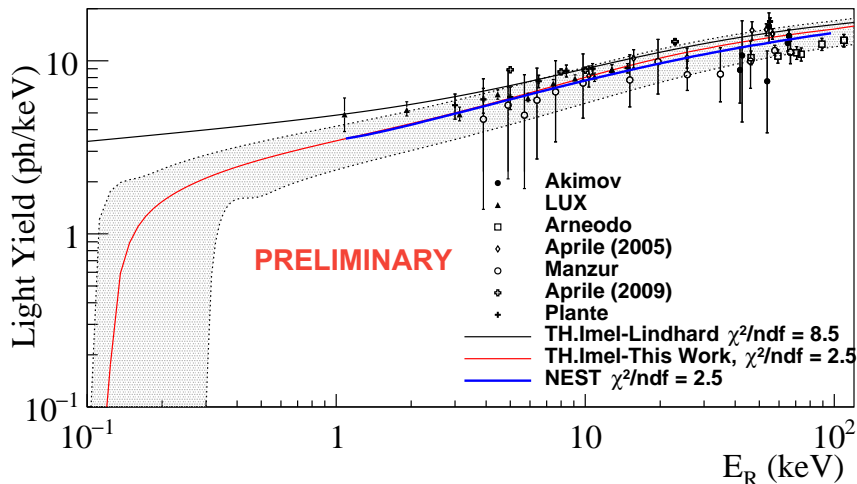


Figure: Light Yield for Xe;  $N_{\text{ext}}/N_i = 0.42$  and  $\gamma = 0.015$

# Argon Charge Yield

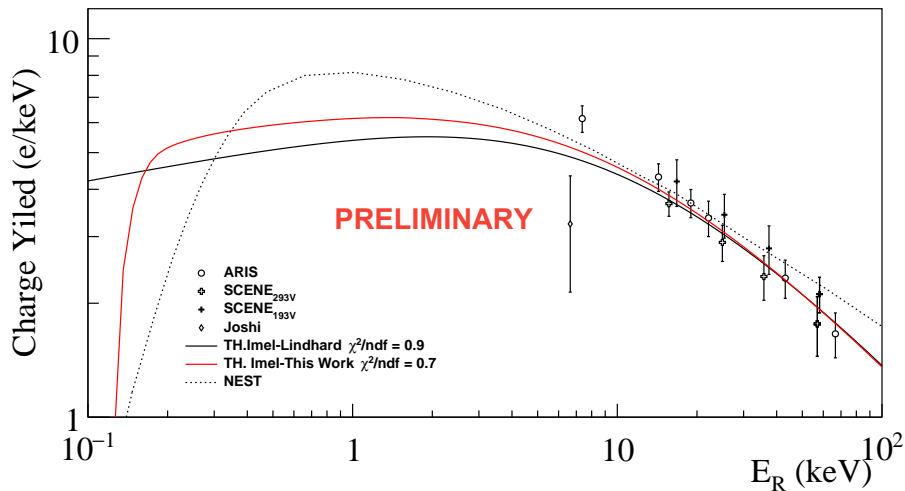


Figure: Charge Yield for Xe;  $N_{\text{ext}}/N_i = 1.04$  and  $\gamma = 0.030$

## Argon Light Yield

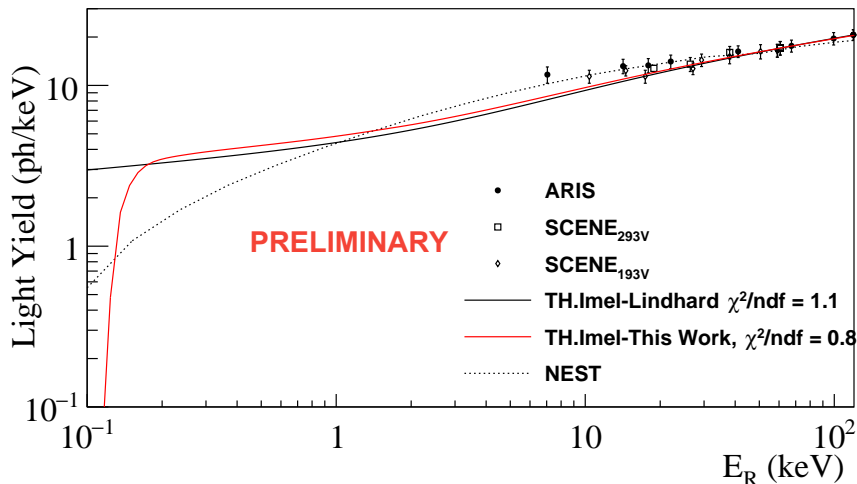


Figure: Light Yield for Xe;  $N_{\text{ext}}/N_i = 1.04$  and  $\gamma = 0.030$

- ① *We present a general model based on integral equations for ionization in pure crystals and noble liquids.*
- ② *We incorporate corrections due to electronic straggling and atomic scaling in the Int. Diff. Eq.*
- ③ *For silicon Coulomb effects allow us to fit the data up to 3 MeV and have a threshold near Frenkel-pair creation energy.*

- 1 *For germanium our model shows potential to explain recent measurements <sup>5</sup>.*
- 2 *We show charge and light yields for Xe and Ar consistent with actual data.*
- 3 *Much work can be done from here, e.g directional quenching factor, straggling for  $\bar{\nu}$ , etc.*

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<sup>5</sup>J.I.Collar, et al, PRD 103,122003 (2021)





# Thanks



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$\approx$  Backup

## \* Tilinin geometrical factor

Tilinin argue that for non small angles or a general trajectory of the ion there should exist a geometrical factor:

$d\chi\rho d\rho dz' = \left[1 + (f'_z(\theta))^2\right]^{1/2} d\chi\rho d\rho dz$  . Tilinin made a raw approximation to evaluate the angle

$$\theta \sim Z_1 Z_2 \left( Z_1^{2/3} + Z_2^{2/3} \right)^{-1/2} (2e^2/a_0) / E,$$

with  $\theta < 1$ . Is full fill when the energy is  $E < 67$  eV for Si and for Ge  $E < 190$  eV. As expected for Si the limit is very reasonable and in the order of magnitude of the binding and Tilinin model can be justified to be use.

# Many experiments that rely on quenching factors

Ge



MIVER



Liquid scintillators (Xe and Ar)



Coherent Captain-Mills (CCM) Experiment



CAPMIL - "Dynamic Apparatus for Precision Tests of Argon Interactions with Neutrinos"

Si



co.vNIE  
COHERENT NEUTRINO ILLUMINATION  
FOR DARK MATTER EXPERIMENT



# Low energy effects for electron gas (Scaling)

- Density Functional Theory (DFT) is used to estimate  $S_e$  and  $U$ .
- Usually the average Fermi energy  $\frac{3}{5}E_F$  is associated for electron kinetic energy.
- This assumption is valid only at high energies.
- For low energies <sup>6</sup>, due to Pauli exclusion principle, just electrons near  $E_F$  could be excited.
- Changing  $\frac{3}{5}E_F \rightarrow E_F$  in DFT, implies scaling  $a = 0.885a_0/Z^{1/3}$  by  $\frac{5}{3}$ .
- With this  $S_e \rightarrow \xi_e S_e$ ,  $\xi_e = (5/3)^{3/2}$ . **Hence for a wide range of energies  $\xi_e$  can vary among 1.0 and  $(5/3)^{3/2} \approx 2.15$ .**
- Lindhard added  $\xi_e \approx Z^{1/6}$  to explain experimental data<sup>7</sup>.
- Now we can understand the origin for  $\xi_e$  from DFT as a consequence of considering Pauli principle. Scaling also affects  $U$  and  $W$ .

<sup>6</sup>I. S. Tilinin Phys. Rev. A 51, 3058, 1 April 1995

<sup>7</sup>Included in the Lindhard formula for  $k = 0.133Z^{2/3}A^{-1/2} = 0.133\xi_e(Z/A)^{-1/2}$ . 29/30

# QF with CDMS data

