# Radial overlap correction to nuclear superallowed $0^+ \rightarrow 0^+$ Fermi $\beta$ decays using the shell model with Hartree-Fock radial wave functions

L. Xayavong<sup>1</sup> and N. A. Smirnova<sup>2</sup>

<sup>1</sup>Faculty of Science, National University of Laos (NUOL)

<sup>2</sup>Centre Études Nucléaires de Bordeaux-Gradignan (CENBG)

May 24, 2022

#### Outline

- 1 Introduction
  - Low energy tests of the Standard Model
  - Current status of  $|V_{ud}|$
  - ullet  $|V_{ud}|$  from the  $0^+ o 0^+$  process
- $oxed{2}$  Isospin-symmetry-breaking-correction,  $\delta_C$ 
  - Existing calculations of  $\delta_C$
  - Shell-model description of  $\delta_C$
  - Model spaces and effective interactions
  - Evaluation of the overlap integrals in SM-HF
- Result and discussions
- 4 Summary and perspective

#### Low energy tests of the Standard Model

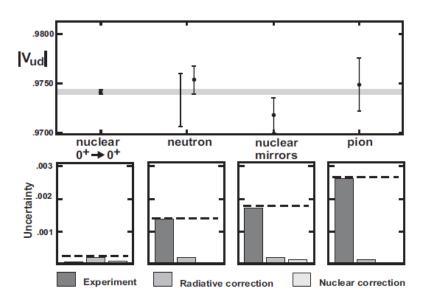
- CVC: by analogy with QED, the weak vector current is assumed to be conserved. As a result
  - the Lorentz invariant scalar current is forbidden,
  - and the vector coupling constant,  $G_V$  must be a universal constant.
- CKM unitarity: the mixing between mass and weak eigenstates is given by the CKM matrix:

$$\left(egin{array}{c} d' \ s' \ b' \end{array}
ight) = \left(egin{array}{ccc} V_{ud} & V_{us} & V_{ub} \ V_{cd} & V_{cs} & V_{cb} \ V_{td} & V_{ts} & V_{tb} \end{array}
ight) \left(egin{array}{c} d \ s \ b \end{array}
ight)$$

- the model itself doesn't give numerical value for the matrix elements, but it requires that  $V^{\dagger}V = 1$ .
- the most dominant element,  $|V_{ud}| = G_V/G_{\mu}$  can be obtained from nuclear physics studies.

## Current status of $|V_{ud}|$

• Four semi-leptonic processes have been considered (Hardy&Towner, PRC 91, 025501, 2015)



- Superallowed  $0^+ \rightarrow 0^+$  (nuclear structure).
- Neutron decay (GT/F ratio).
- Mirror transition (GT/F) ratio and nuclear structure).
- Pion decay (very weak BR  $\sim 10^{-8}$ ).

# $|V_{ud}|$ from the $0^+ o 0^+$ process

- Occurring between isobaric analogue states ( $\Delta J = 0$ ,  $\Delta \pi = NON$ ,  $\Delta T = 0$ ). Therefore  $M_F$  is almost nucleus-independent, except for small ISB effects,  $|M_F|^2 = 2(1 \delta_C)$ .
- Basic weak-decay equation:

$$Ft=ft(1+\delta_R')(1-\delta_C+\delta_{NS})=rac{K}{2G_V^2(1+\Delta_R^V)}$$

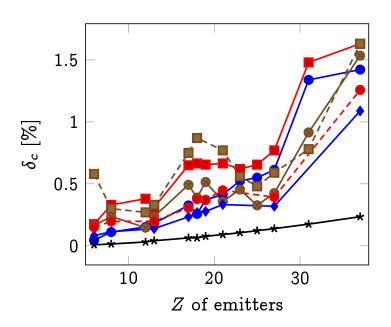
- $f(Z, Q_{EC})$ , statistical rate function,
- $t = t_{1/2}/BR$ , partial half-life,
- $\delta_C \leq 1\%$ , ISB correction,

• Radiative corrections:  $\Delta_R^V = (2.361 \pm 0.038)\%,$   $\delta_R' \sim 1.6\%$  depending on Z and  $Q_{EC},$   $\delta_{NS} \sim 0.3\%$  depending on

nuclear structure.

• For 14 cases (  $^{10}$ C to  $^{74}$ Rb), ft has been measured with precision  $\leq 0.1\%$ , this study is now limited by  $\delta_C$ .

## Existing calculations of $\delta_C$

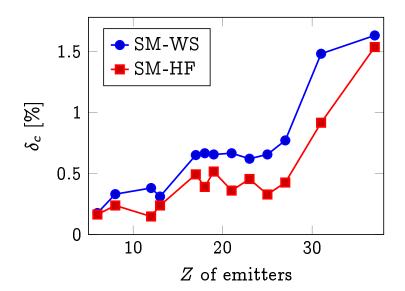


- Damgaard model
- SM-WS (Towner & Hardy)
- ◆ SM-HF (Ormand & Brown)
- ★ IVMR (Auerbach)
- → RHF-RPA (Liang et al.)
- ◆ RH-RPA (Liang et al.)
- JT-proj. DFT (Satula et al.)

- The calculation of  $\delta_C$  is strongly model-dependent
- The shell model (SM-WS & SM-HF) provides the best  $\delta_C$  values in supporting the Standard Model

# Existing shell-model calculations of $\delta_C$

- While OBTDs can be obtained from the shell model, SPMEs must be evaluated with realistic radial wfs.
  - Hardy & Towner: WS potential whose depth and length parameters are adjusted to fix separation energies and charge radii.
  - Ormand & Brown: Skyrme-HF potential whose overall strength is scaled to reproduce separation energies.



- With SM-WS, the local variation of  $\delta_C$  is strongly correlated with  $S_n^f S_p^i$  (PRC 105, 044308, 2022).
- SM-HF yields smaller correction values, and completely different local behavior.
- The SM-WS agrees better with the Standard model.
- What's wrong with SM-HF?

## Existing shell-model calculations of $\delta_C$

- Possible deficiencies of the SM-HF calculation:
  - Insufficiency of the Slater approximation.
  - Lack of nuclear ISB forces (CIB and CSB forces).
  - The spurious isospin mixing.
  - Exclusion of higher order EM effects such as finite size, Coulomb spin-orbite and vacuum polarization.
  - The approximation for the center-of-mass correction.
  - Presence of correlations and deformation within the data.
  - All these deficiencies are investigated in the present work.

## Shell-model description of $\delta_C$

• Within the shell model,  $\delta_C$  can be customarily expressed as,

$$\delta_C = \delta_{C1} + \delta_{C2} + \delta_{C3} + \delta_{C4} + \delta_{C5} + \delta_{C6}$$
 with  $LO, NLO, N^2LO, N^3LO$ .

•  $\delta_{C1}$  accounts for the isospin mixing within the model space,

$$\delta_{C1} = 2 - rac{2}{\mathcal{M}_F^0} \sum_{k_a k_b} \sqrt{2j_a + 1} X_{ab} \langle f || [a_{k_b au_b}^\dagger \otimes \tilde{a}_{k_b au_b}]^{(0)} || i 
angle ,$$

 $\bullet$   $\delta_{C2}$  accounts for the radial mismatch between proton and neutron,

$$\delta_{C2} = -rac{2}{\mathcal{M}_F^0} \sum_{k_a k_b \pi} X_{ab} \Lambda_{k_a k_b}^{ au_a au_b \pi} \left\langle f || a_{k_a au_a}^\dagger || \pi 
ight
angle^T \left\langle i || a_{k_b au_b}^\dagger || \pi 
ight
angle^T,$$

where

$$\Lambda_{k_a k_b}^{ au_a au_b \pi} = 1 - \int_0^\infty R_{k_a}^{ au_a \pi}(r) R_{k_b}^{ au_a \pi}(r) r^2 dr, \;\; X_{ab} = \delta_{J_i J_f} \delta_{l_a l_b} \delta_{j_a j_b} \delta_{ au_a au_b + 1}$$

## Shell-model description of $\delta_C$

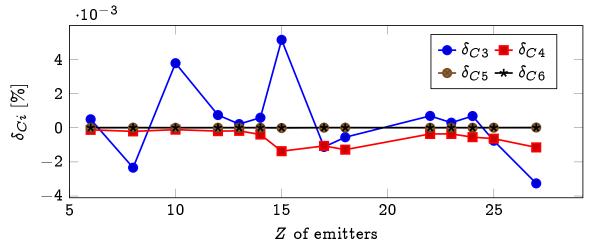
•  $\delta_{C3}$  is given by

$$\delta_{C3} = -\delta_{C2} - rac{2}{\mathcal{M}_F^0} \sum_{k_a k_b \pi} X_{ab} \Lambda_{k_a k_b}^{ au_a au_b \pi} ra{f||a_{k_a au_a}^\dagger||\pi}ra{i||a_{k_b au_b}^\dagger||\pi},$$

The remaining terms are given by

$$\delta_{C4} = -rac{(\delta_{C1} + \delta_{C2})^2}{4}, \quad \delta_{C5} = -\delta_{C3} \sqrt{|\delta_{C4}|}, \quad \delta_{C6} = -rac{(\delta_{C3})^2}{4}.$$

Numerical verification (Xayavong and Smirnova, arXiv:2201.01035 [nucl-th])



All higher order terms ( $\delta_{C3}$ ,  $\delta_{C4}$ ,  $\delta_{C5}$ ,  $\delta_{C6}$ ) are currently negligible

## Model spaces and effective interactions

- Core-orbital contribution to  $\delta_{C2}$  could be greatly amplified due to the dependence of radial wfs. on the excitation energy of the (A-1) system (see PRC 77, 025501, 2008). Therefore the calculation of  $\delta_{C2}$  generally requires a larger configuration space.
- The following model spaces and effective interactions were employed for our shell-model diagonalizations.

A	model space	interaction
9-14	$1p_{3/2}1p_{1/2}$	CKP
17-22	$1p_{1/2}1d_{5/2}2s_{1/2}$	REWIL/ZBMI/ZBMII
25-34	$1d_{5/2}2s_{1/2}1d_{3/2} \\$	USD/USDA/USDB
37-46	$2s_{1/2}1d_{3/2}1f_{7/2}2p_{3/2}$	ZBM2-MOD
49-54	$1f_{7/2}2p_{3/2}2p_{1/2}1f_{5/2}$	GXPF1A/KB3G/FPD6
61-74	$2p_{3/2}2p_{1/2}1f_{5/2}1g_{9/2}$	JUN45/MRG

### Evaluation of the overlap integrals in SM-HF

• The radial Skyrme HF equations in a local form

$$\left\{egin{array}{l} rac{\hbar^2}{2m}\left[-rac{d^2}{dr^2}+rac{l(l+1)}{r^2}
ight]u^L_{lpha_q}(r)+U^L_{lpha_q}(r,\epsilon_{lpha_q})u^L_{lpha_q}(r)=\epsilon_{lpha_q}u^L_{lpha_q}(r), \ u_{lpha_q}(r)=N_q\left[rac{m_q^*(r)}{m}
ight]^{1/2}u^L_{lpha_q}(r), \end{array}
ight.$$

The local energy-dependent potential takes the form

$$egin{aligned} U^L_{lpha_q}(r,\epsilon_{lpha_q}) &= rac{m_q^*(r)}{m} iggl\{ m{x} \cdot U_q(r) + rac{d^2}{dr^2} rac{\hbar^2}{4m_q^*(r)} - rac{m_q^*(r)}{2\hbar^2} iggl[ rac{d}{dr} rac{\hbar^2}{m_q^*(r)} iggr]^2 \ &+ rac{1}{2} W_q(r) raket{m{\sigma} \cdot m{l}} + \delta_{qp} V_{coul}(r) iggr\} + iggl[ 1 - rac{m_q^*(r)}{m} iggr] \epsilon_{lpha_q} \end{aligned}$$

- x must be adjusted to fix the separation energies.
- Unlike SM-WS, the charge radii are not fixed in SM-HF.
- $U_q(r), m_q^*(r), W_q(r), V_{coul}(r)$  and  $\epsilon_{\alpha_q}$  can be obtained from HF calculation.

### Evaluation of the overlap integrals in SM-HF

Kinetic term

$$rac{\hbar^2}{m_q^*} = rac{\hbar^2}{m} + rac{1}{4} \left[ t_1(2+x_1) + t_2(2+x_2) 
ight] 
ho + rac{1}{4} \left[ t_1(1+2x_1) + t_2(1+2x_2) 
ight] 
ho_q$$

Central term

$$\begin{split} &U_{q} = t_{0} \left[ \left( 1 + \frac{x_{0}}{2} \right) \rho - \left( x_{0} + \frac{1}{2} \right) \rho_{q} \right] + \frac{t_{1}}{4} \left\{ \left( 1 + \frac{x_{1}}{2} \right) \left( \tau - \frac{3}{2} \Delta \rho \right) - \left( x_{1} + \frac{1}{2} \right) \left( \tau_{q} - \frac{3}{2} \Delta \rho \right) \right. \\ &\left. + \frac{t_{2}}{4} \left[ \left( 1 + \frac{x_{2}}{2} \right) \left( \tau + \frac{1}{2} \Delta \rho \right) + \left( x_{2} + \frac{1}{2} \right) \left( \tau_{q} + \frac{1}{2} \Delta \rho_{q} \right) \right] \right. \\ &\left. + \frac{t_{3}}{12} \left[ \left( 1 + \frac{x_{3}}{2} \right) \left( 2 + \gamma \right) \rho^{\gamma + 1} - \left( x_{3} - \frac{1}{2} \right) \left( 2 \rho^{\gamma} \rho_{q} + \gamma \rho^{\gamma - 1} \sum_{q'} \rho_{q'}^{2} \right) \right] \right. \\ &\left. - \frac{W_{0}}{2} \left[ \frac{1}{\pi} \left( J + J_{q} \right) + \frac{1}{2} \frac{d}{d\pi} \left( J + J_{q} \right) \right], \end{split}$$

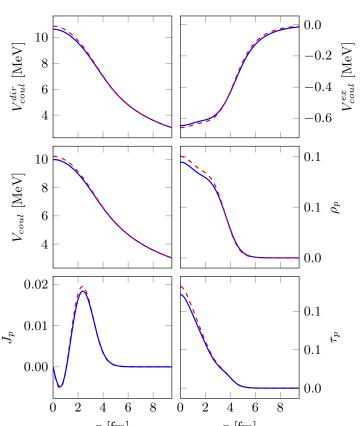
Spin-orbit term

$$W_q = -rac{1}{8}\left(t_1x_1+t_2x_2
ight)J + rac{1}{8}\left(t_1-t_2
ight)J_q + rac{1}{2}W_0rac{d}{dr}\left(
ho + 
ho_q
ight)$$

Evidently, isovector component (both physical & spurious) can be induced through the density dependence.

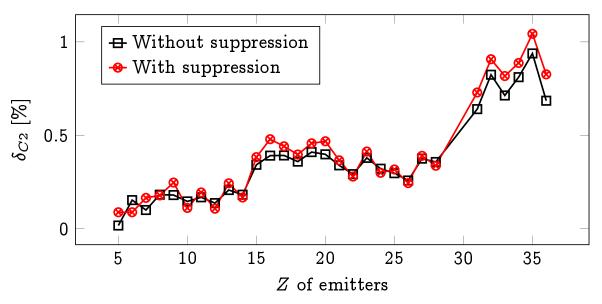
## Spurious isospin mixing suppression

- Our approximation for spurious isospin mixing suppression (PRC 105, 044308, 2022):
- Construct densities and potential from the isospin-invariant HF solution for the N=Z nucleus.
- The suppression leads to a compression of proton densities.
   As a result, Coulomb potential is increased in the nucleus interior
- The nuclear part which is a functional of the proton densities is also affected. Therefore the impact of this suppression on  $\delta_{C2}$  would not be systematic.



## Spurious isospin mixing suppression

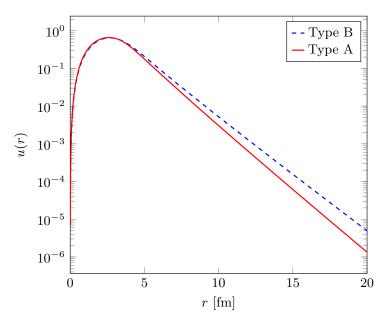
• The impact of the spurious isospin suppression on  $\delta_{C2}$ 



- It leads to a considerable increase for 30 < A < 38 and A > 33.
- The emitters with  $42 \le A \le 54$  are mostly unaffected.
- Complicated effect in the light-mass region where the nuclear isovector is dominated over the Coulomb.

#### Center-of-mass correction

- Approximations for the CoM correction
- Exact treatment leads to a nonlocality in coordinate space.
- Type A:  $m \to m \times A/(A-1)$  as usually adopted in mean-field calculations using Skyrme interaction
- Type B:  $m \to m \times (A-1)/A$  as used with WS potential.

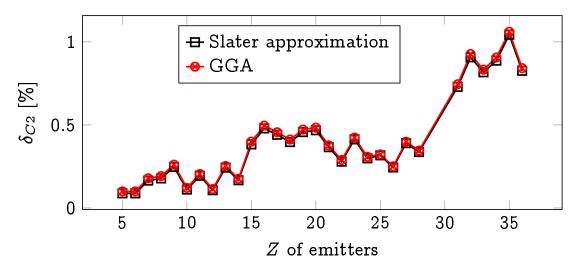


- wfs obtained with type B are more extensive because the actual mass is smaller, then more sensitive to Coulomb.
- However, the impact of this induced mass uncertainty on  $\delta_{C2}$  is negligible because they don't break the isospin symmetry (see PRC 105, 044308, 2022)

## Approximations for the Coulomb exchange term

- Exact treatment leads to a nonlocality in coordinate space.
- Slater approximation  $V_{sl}^{ex}(r) = -e^2 \left(\frac{3}{\pi}\rho_p\right)^{1/3}$
- Generalized gradient approximation (PRC 105, 044308, 2022)

$$V_{coul}^{ex}(r) = V_{sl}^{ex}(r) \left\{ F(s) - \left[ s + \frac{3}{4k_F r} \right] F'(s) + \left[ s^2 - \frac{3\rho_{ch}''(r)}{8\rho_{ch}(r)k_F^2} \right] F''(s) 
ight\},$$



- $\delta_{C2}$  values obtained with GGA are 2-14 % larger
- The Slater approximation already works fairy well!

#### Vacuum polarization

Vacuum polarization potential can be written as

$$V_{VP}(r) = rac{2lpha e^2 \lambda_e}{3r} \int_0^\infty dx x 
ho_{ch}(r) \left[ K_0 \left( rac{2}{\lambda_e} |r-x| 
ight) - K_0 \left( rac{2}{\lambda_e} |r+x| 
ight) 
ight],$$

where

$$K_0(x) = \int_1^{+\infty} dt \left[ e^{-xt} \left( \frac{1}{t^2} + \frac{1}{2t^5} \right) \sqrt{t^2 - 1} \right],$$

$$1 \longrightarrow \text{Without VP}$$

$$\text{With addition of VP}$$

$$5 \longrightarrow 10 \longrightarrow 15 \longrightarrow 20 \longrightarrow 25 \longrightarrow 30 \longrightarrow 35$$

$$Z \text{ of emitters}$$

The VP effect is completely negligible.

### Coulomb spin-orbit

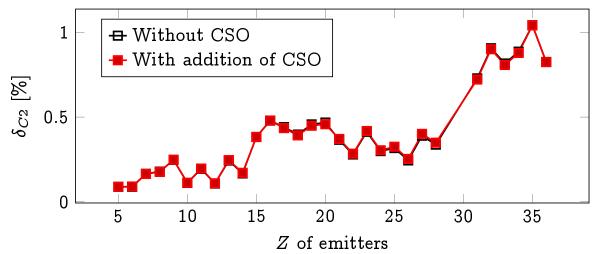
Coulomb spin-orbit term takes the following (Thomas) form

$$V_{cso}^q(r) = rac{1}{r} \widetilde{W}_q(r) \left< m{\sigma} \cdot m{l} 
ight> \; \; ext{with} \; \; \; \widetilde{W}_q(r) = rac{1}{4} \left( rac{\hbar}{mc} 
ight)^2 g_q^\prime rac{d}{dr} V_{coul}(r),$$

where

$$g_q^{'} = \left\{ egin{array}{ll} g_p - 1 & ext{ for proton} \ g_n & ext{ for neutron} \end{array} 
ight.$$

and  $g_n$  and  $g_p$  are the neutron and proton g-factors, respectively.



• The effect of Coulomb spin-orbit is completely negligible.

#### Finite size effect

- In principle all EM terms should be calculated using  $\rho_{ch}(r)$  instead of  $\rho_p(r)$ .
  - Nuclear charge density can be decomposed as

$$ho_{ch}(r) = 
ho_{ch}^p(r) + 
ho_{ch}^n(r) + 
ho_{ch}^{ls}(r),$$

where

$$ho_{ch}^q(m{r}) = \int dm{r'} 
ho_q(m{r'}) G_q(m{r}-m{r'}),$$

and

$$ho_{ch}^{ls}(r) = -\left(rac{\hbar}{mc}
ight)^2 \sum_{lpha,q} 
u_lpha^q \left\langle oldsymbol{\sigma} \cdot oldsymbol{l} 
ight
angle g_q' rac{1}{r^2} rac{d}{dr} \Big[ r 
ho_lpha^q(r) \Big],$$

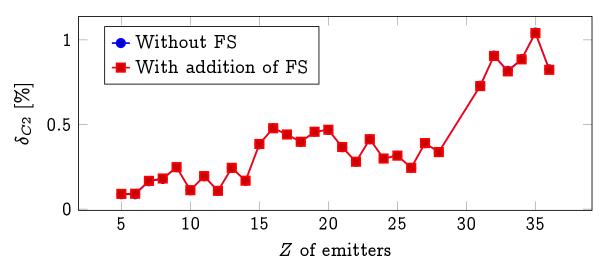
the nucleon charge form factors are given by:

$$G_q(oldsymbol{r}) = \sum_{i=1}^{n_q} rac{a_q^i}{(r_q^i \sqrt{\pi})^3} \exp\left[-rac{oldsymbol{r}^2}{(r_q^i)^2}
ight]$$

with  $n_q = 3$  for proton and  $n_q = 2$  for neutron.

#### Finite size effect

• Impact of the FS on  $\delta_{C2}$ 



- The direct impact of the FS on  $\delta_{C2}$  is completely negligible.
- However the FS has a significant impact on the charge radii which are not fixed in SM-HF. This is one of the reasons behind the discrepancy between SM-WS and SM-HF.

#### CSB and CIB forces

 We employed the CIB and CSB forces taken from Sagawa, Giai, and Suzuki, PRL 112, 102502 (1995)

$$egin{aligned} v_{CIB} &= rac{t_{CIB}}{2}\delta\left[P_0^{uz} + rac{P_1^{uz}}{2}\left(oldsymbol{k}^2 + oldsymbol{k}'^2
ight) + P_2^{uz}oldsymbol{k}'\cdotoldsymbol{k}
ight], \ v_{CSB} &= rac{t_{CSB}}{2}\delta\left[P_0^{sy} + rac{P_1^{sy}}{2}\left(oldsymbol{k}^2 + oldsymbol{k}'^2
ight) + P_2^{sy}oldsymbol{k}'\cdotoldsymbol{k}
ight], \end{aligned}$$

where  $t_{CIB}=4t_{iz}t_{jz},\,t_{CSB}=2(t_{iz}+t_{jz}),\,P_i^{sy}=s_i(1+y_iP_\sigma)$  and  $P_i^{uz}=u_i(1+z_iP_\sigma).$ 

CIB+CSB contribution to the kinetic field

$$\frac{1}{16} \left[ 2(u_1 + \tau_{qz}s_1) \rho_q(r) + (u_1 + u_2) \rho_{q'}(r) \right],$$

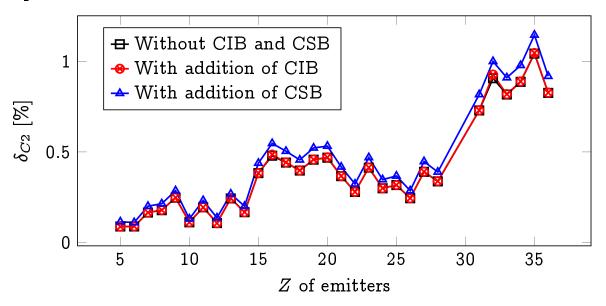
• CIB+CSB contribution to the central field

$$\frac{u_0}{4} \left[ 2\rho_q(r) - \rho_{q'}(r) \right] + \frac{\tau_{qz}s_0}{2} \rho_q(r) - \frac{3u_1}{16} \Delta \rho_q(r) + \frac{1}{32} \left[ 3u_1 - u_2 \right] \Delta \rho_q(r) 
+ \frac{u_1}{8} \tau_q(r) - \frac{1}{16} \left[ u_1 + u_2 \right] \tau_{q'}(r) - \frac{\tau_{qz}}{4} \left[ \frac{3s_1}{4} \Delta \rho_q(r) + s_1 \tau_q(r) \right],$$

• CIB+CSB contribution to the spin-orbit field

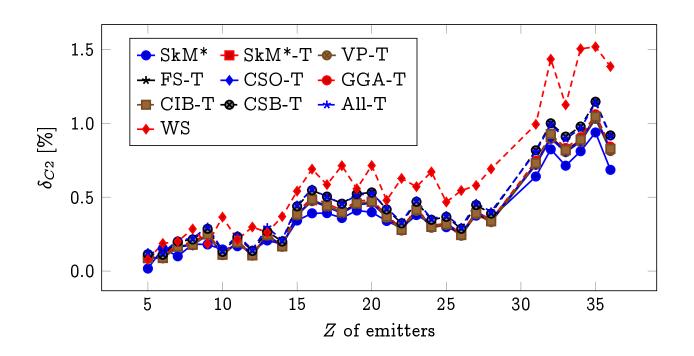
#### CCSB and CIB forces

• The impact of the CIB and CSB forces on  $\delta_{C2}$ 



- The CIB contribution is completely negligible
- The CSB contributes from ranges from 10 to 30 % (in relative %)

#### Final results



- We have done a remarkable improvement. We observe that SM-HF tends to support SM-WS.
- However, there are still big gaps between the values obtained with SM-WS and SM-HF. Their local variations are also different.

#### CVC filter

- Both the local variation & the global trends are important in satisfying the CVC hypothesis.
- The CVC requires that  $\mathcal{F}t$  mast be nucleus independent.
- Clearly, there is still a problem with the SM-HF.

Calculation	averaged $\mathcal{F}t$ [sec.]	$\chi^2/ u$	scale
SkM*	$3077.590 \pm 0.921$	2.870	1.629
SkM*-T	$3076.576 \pm 0.958$	3.096	1.694
VP-T	$3076.730 \pm 0.962$	3.121	1.701
FS-T	$3076.564 \pm 0.961$	3.113	1.699
CSO-T	$3076.557 \pm 0.935$	2.969	1.654
GGA-T	$3076.223 \pm 0.968$	3.160	1.713
CIB-T	$3076.550 \pm 0.959$	3.106	1.697
CSB-T	$3075.099 \pm 0.919$	2.869	1.625
All-T	$3074.807 \pm 0.932$	2.959	1.649
WS	$3073.193 \pm 0.707$	1.652	1.252

## Test of the local variation of $\delta_{C2}$

Consider the following decay chain

$$a \rightarrow b \rightarrow c$$

where a denotes the even-even emitter and b the odd-odd emitter.

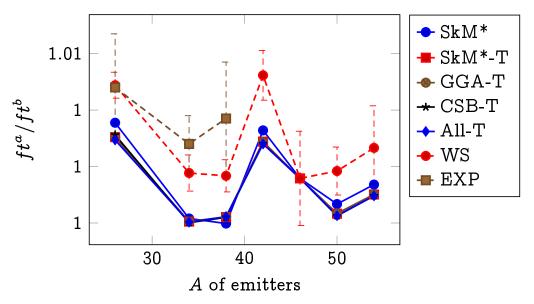
• With CVC validated, the so-called mirror ft ratio is given by

$$rac{ft^a}{ft^b}=1+(\delta^b_R-\delta^a_R)+(\delta^b_{NS}-\delta^b_{NS})-(\delta^b_C-\delta^a_C)$$

• The theoretical uncertainty on  $(\delta_R^b - \delta_R^a)$ ,  $(\delta_{NS}^b - \delta_{NS}^b)$  and  $(\delta_C^b - \delta_C^a)$  is much smaller than that on the individual corrections. Therefore the data of mirror ft ratio can serve as an accurate test of our theoretical model, In particular  $ft^a/ft^b$  is very sensitive to the local property of  $\delta_{C2}$ .

### Test of the local variation of $\delta_{C2}$

• The mirror ft ratio



- Unluckyly, only the data for A = 26, 34, 38 are precise enough for this test to be meaningful.
- For most cases, the SM-HF fails to reproduce the experimental/WS data.

#### Post-HF effects

- In principle, HF theory can only describe spherical close shell nuclei, any post-HF effects should be subtracted from data before fitting.
- The energy of an open shell nuclei can be decomposed as

$$E = E_{HF} + E_{PHF},$$

where  $E_{PHF}$  is the post-HF contribution.

• Suppose that  $E_{PHF}$  is dominated by the Wigner energy, namely

$$E_{PHF} = W|N - Z| + d\pi_{pn}\delta_{NZ},$$

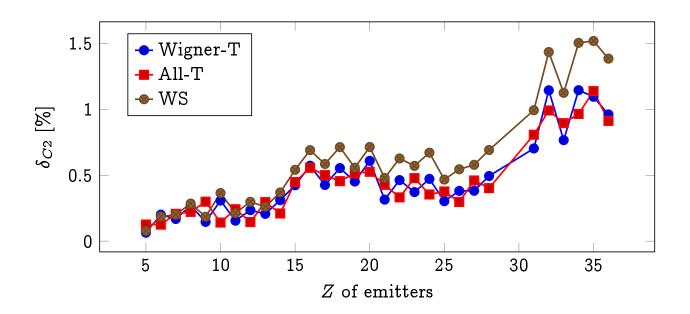
where  $W \approx 3d/2$  and  $\pi_{pn} = 1(0)$  for odd-odd(other) nuclei. Subsequently the Coulomb displacement energy is given by

$$CDE = CDE_{HF} \pm 2d = S_n^f - S_p^i,$$

where +(-) corresponds to the odd-odd (even-even) emitters.

• As a sensitivity study, we adjust d to reproduce the experimental or WS values of  $ft^a/ft^b$ .

#### Post-HF effects



- By subtracting the Wigner energy contribution, the local property of  $\delta_{C2}$  is remarkably improved.
- This result indicates that  $\delta_{C2}$  is very sensitive to post-HF effects.

### Summary

- Our calculation using SM-HF leads to a considerable improvement over the existing calculations. However, there is still a problem with this model.
- The calculations using SM-HF doesn't pass the CVC filter. It fails to reproduce the right local variation, unless the post HF contribution is subtracted from data. Moreover, the  $\delta_{C2}$  values obtained with SM-HF are globally smaller.
- We found that  $\delta_{C2}$  is sensitive to the Wigner energy.
- Perhaps better agreement between SM-WS and SM-HF will be obtained if post-HF effects are properly treated.
- A better result may be obtained if one is able to fix the charge radii.
- More reliable spurious isospin suppression is needed.