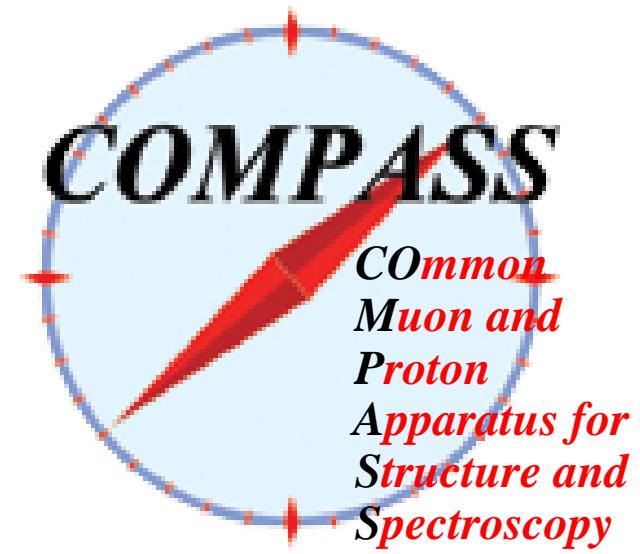


COMPASS legacy: transverse spin phenomena

(as seen from the back stage)

Franco Bradamante
INFN, sezione di Trieste



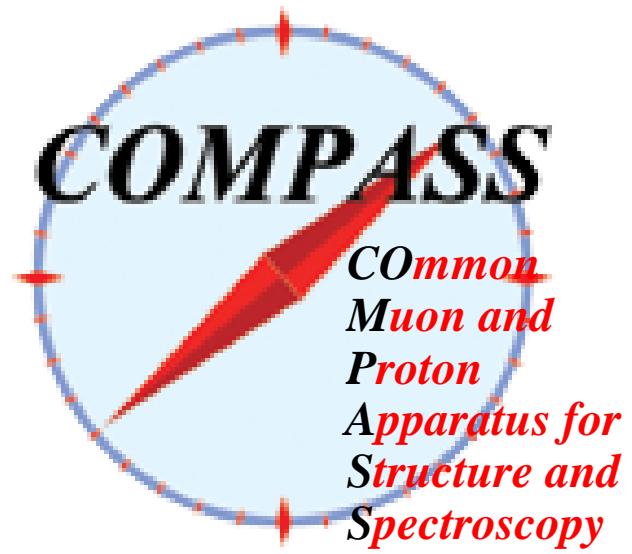


**fixed target experiment
at the CERN SPS**



August 29, 2022

Franco Bradamante



fixed target experiment
at the CERN SPS

PROPOSAL	March '96
RECOMMENDED	September '96
APPROVED	February '97
TAKING DATA	since 2002



August 29, 2022

Franco Bradamante

THE STRUCTURE OF THE NUCLEON

Collinear description leading twist

nucleon polarisation		
	U	L
U	f_1	
L		g_1
T		h_1

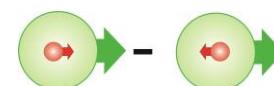
number density $f_1(q)$

very well known



helicity distribution $g_1(\Delta q)$

well known



transversity distribution $h_1(\Delta_T q)$

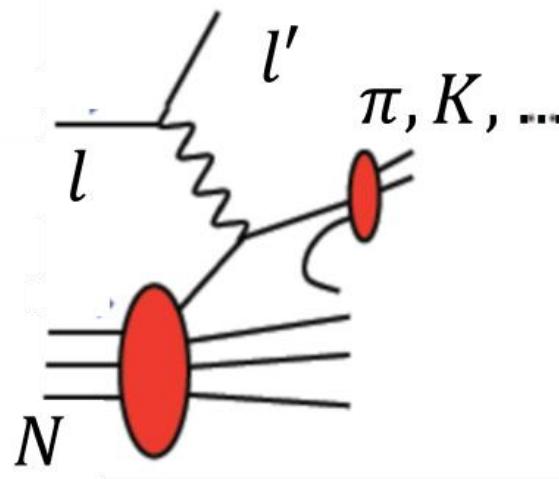


- first experimental evidence in 2005
- correlation between the transverse polarisation of the nucleon and the transverse polarisation of the quark
- related to **tensor charge**
- a chirally-odd distribution, not observable in DIS, accessible in SIDIS



SEMI-INCLUSIVE DEEP INELASTIC SCATTERING

hard interaction of a lepton with a nucleon via virtual photon exchange



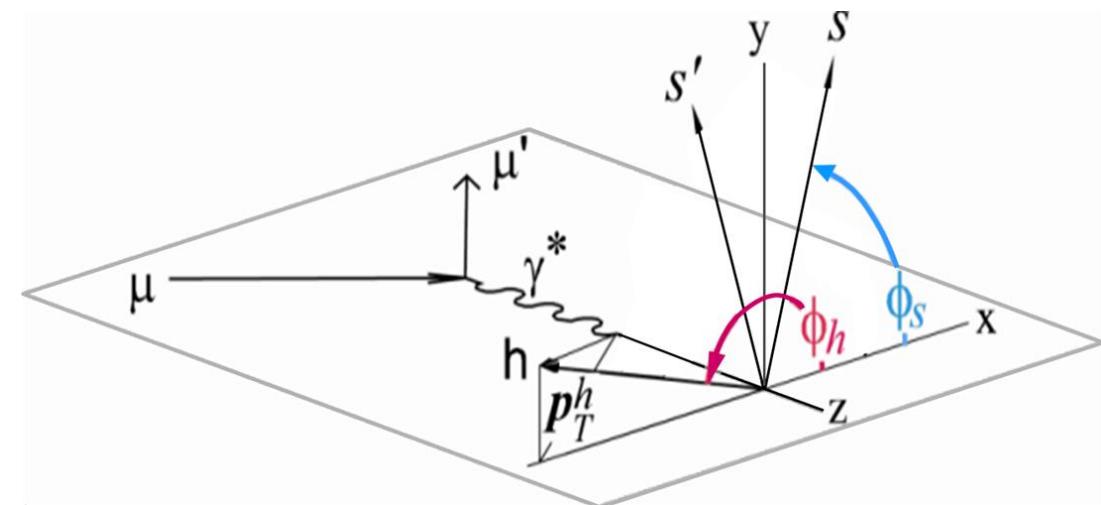
$$x = \frac{Q^2}{2P \cdot q} \quad y = \frac{P \cdot q}{P \cdot \ell} =_{LAB} \frac{E - E'}{E}$$

$$Q^2 = -q^2 \quad W^2 = (P + q)^2$$

$$z = \frac{P \cdot P_h}{P \cdot q} =_{LAB} \frac{E_h}{E - E'}$$

$$\sigma^{lN \rightarrow lhX} \sim \sum_q \sigma^{lq \rightarrow lq} \otimes f(x) \otimes D_q^h(z)$$

$$p_T^h, \phi_h$$



SIDIS – THE COLLINS ASYMMETRY

Collins effect

→ azimuthal distribution of the hadrons produced in $lN^\uparrow \rightarrow l'hX$

$$N_h^\pm(\Phi_C) = N_h^0 \cdot [1 \pm P_T \cdot D_{NN} \cdot A_{\text{Coll}} \cdot \sin \Phi_C]$$

\pm refer to the opposite orientation of the transverse spin of the nucleon

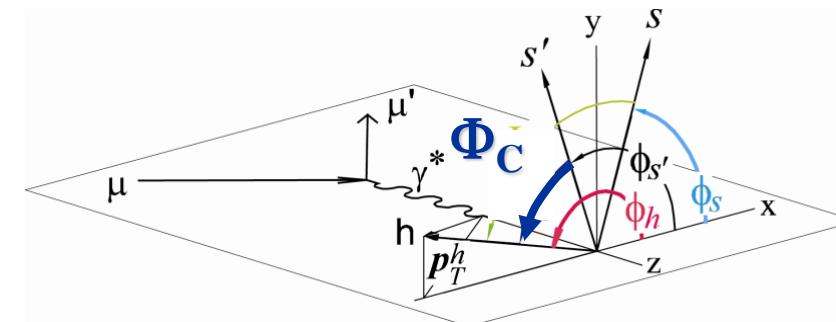
P_T is the target polarisation; D_{NN} is the transverse spin transfer coefficient initial \rightarrow struck quark



“Collins angle”

$$\Phi_C = \phi_h - \phi_{s'} = \phi_h + \phi_s - \pi$$

$\phi_{h,s',s}$ azimuthal angles of hadron momentum, of the spin of the fragmenting quark and of the nucleon in the GNS



from the azimuthal distribution of the hadrons one measures the “Collins Asymmetry”

$$A_{\text{Coll}} \propto \frac{\sum_q e_q^2 \cdot \Delta_T q \cdot \Delta_T^0 D_q^h}{\sum_q e_q^2 \cdot q \cdot D_q^h}$$

$$\begin{aligned} \Delta_T q &\leftrightarrow h_1^q \\ \Delta_T^0 D_q^h &\leftrightarrow H_1^{\perp h} \end{aligned} \quad \text{Collins function}$$



TRANSVERSE SPIN EFFECTS – TRANSVERSITY PDF

HELP proposal (L. Dick, B. Vuaridel, R. Hess, 1993) rejected by CERN:
regarded as black magic

Our Collaboration accepted as a compromise to dedicate
20% of the running time with muon beam to measurements with
transversely polarized nucleon targets



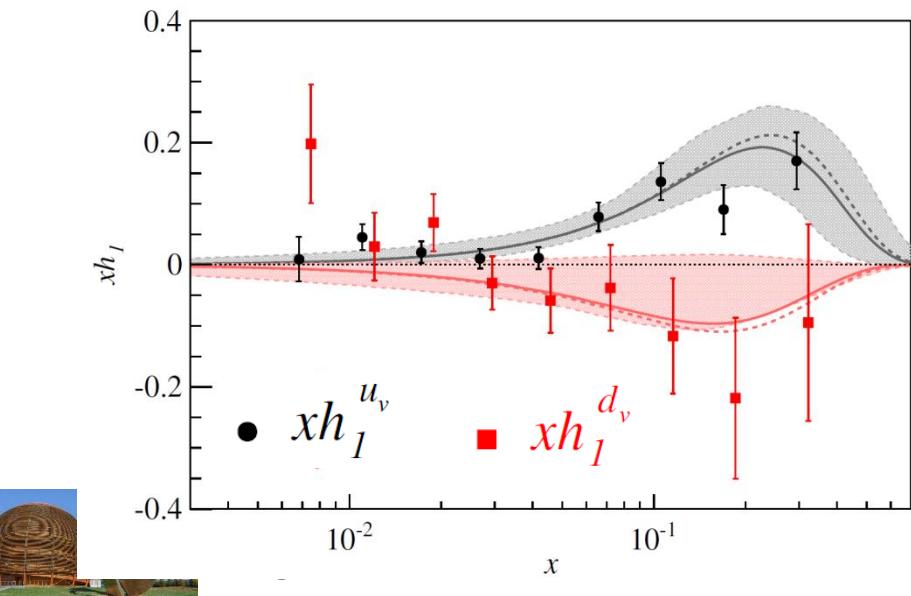
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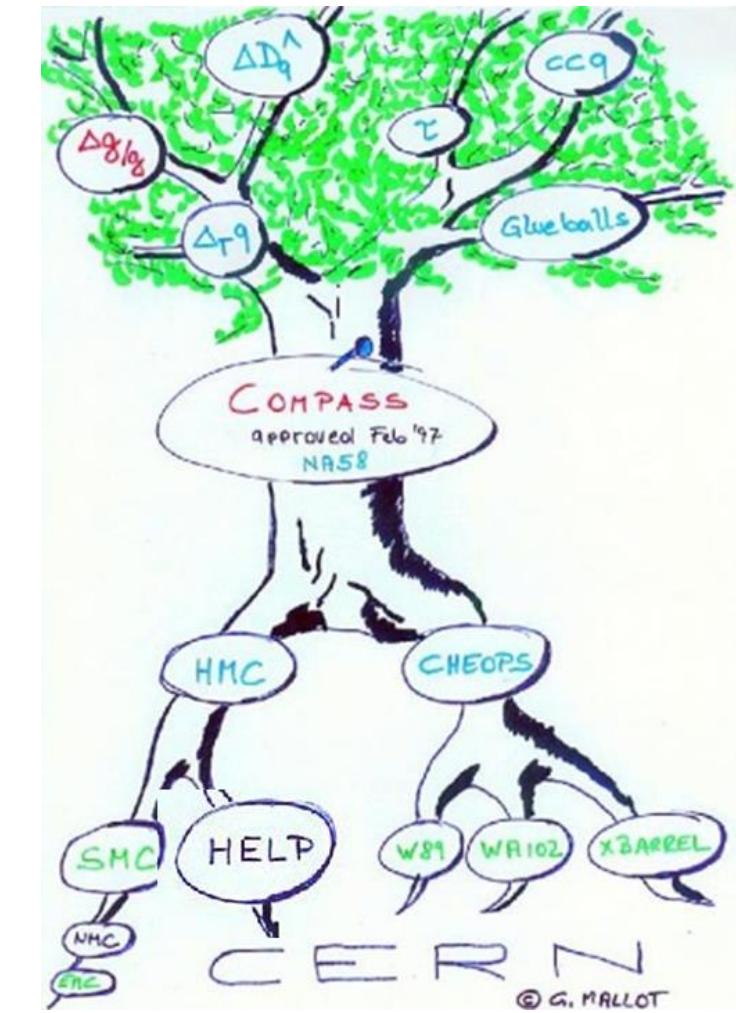
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Transversity is different from zero

and has been extracted from COMPASS and e^+e^- data
and with “global” fits of COMPASS, HERMES, e^+e^- , ... data



A. Martin, F.B., V. Barone, Phys.Rev.D 91, 2015
curves from Anselmino et al., PRD87 2013



Franco Bradamante

TRANSVERSE SPIN EFFECTS – THE SIVERS PDF

in parallel, the Sivers function story

a long debate

- 1992 introduced by D. Sivers
- 1993 J. Collins demonstrate that it must vanish
- 2002 S. Brodsky et al.: it can be $\neq 0$ because of FSI
- 2002 J. Collins: process dependent, change of sign SIDIS \leftrightarrow DY

....



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-

1996: not in our Proposal

IT IS ALSO DIFFERENT FROM ZERO



THE STRUCTURE OF THE NUCLEON

taking into account the quark intrinsic transverse momentum k_T , at leading order

8 TMD PDFs are needed for a full description of the nucleon structure

correlations between parton transverse momentum, parton spin and nucleon spin

nucleon polarisation		
	U	L
U	f_1	
L		g_1
T	h_1^\perp	h_{1L}^\perp

h_1 Transversity

h_{1T}^\perp pretzelosity

f_{1T}^\perp Sivers PDF

g_{1T} worm-gear T
Kotzinian- Mulders



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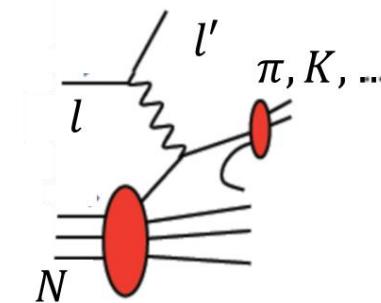
h_1 Transversity

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Kotzinian- Mulders

SIDIS
gives access
to all of them !



SEMI-INCLUSIVE DEEP INELASTIC SCATTERING cross-section

$$\begin{aligned}
& \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = \\
& \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} \right. \\
& + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h} \\
& + S_{\parallel} \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_h F_{UL}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_h F_{LL}^{\cos \phi_h} \right] \\
& + |\boldsymbol{S}_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
& + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \\
& \left. + \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_S F_{UT}^{\sin \phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right] \\
& + |\boldsymbol{S}_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_S F_{LT}^{\cos \phi_S} \right. \\
& \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \right\},
\end{aligned}$$

A. Bacchetta et al JHEP 02 (2007) 093



August 29, 2022

Franco Bradamante

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& \quad + S_\parallel \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_h F_{UL}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_\parallel \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_h F_{LL}^{\cos \phi_h} \right] \\
& \quad + |S_\perp| \left[f_{IT}^\perp D_I \right. \\
& \quad \left. \sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
& \quad \left. h_{IT}^\perp H_I^\perp \right. \\
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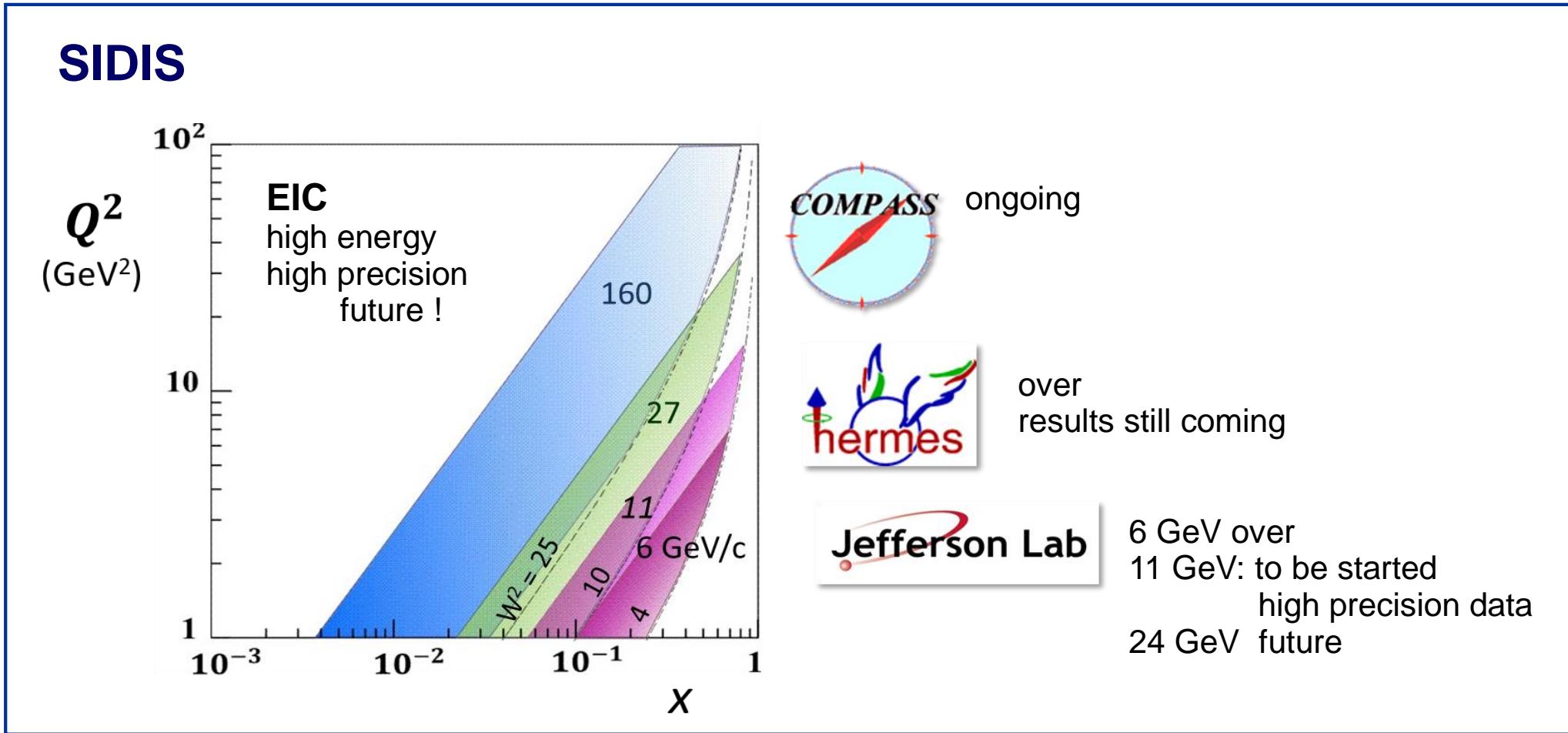
A. Bacchetta et al JHEP 02 (2007) 093

8 independent azimuthal modulations

leading twist amplitudes
 → convolutions of transversity and
 TMD PDFs and FFs



TRANSVERSE SPIN EFFECTS – A BIG EXPERIMENTAL EFFORT



August 29, 2022

$e^+e^- \rightarrow \text{hadrons}$

Fragmentation Functions Collins, DiHadron,

BELLE BABAR BESIII

polarized DY

$\text{pp} \rightarrow \text{jets}$

Franco Bradamante

THE COMPASS SPECTROMETER – SIDIS with polarized targets



August 29, 2022

Franco Bradamante

THE COMPASS SPECTROMETER – SIDIS with polarized targets



designed to

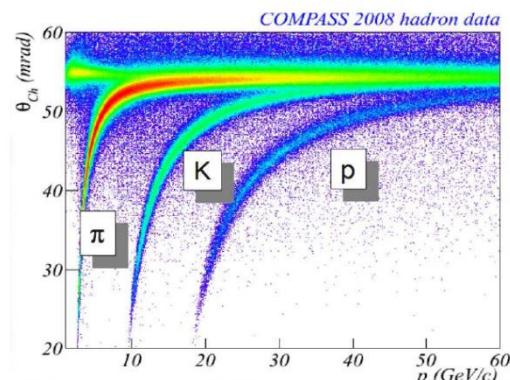
- use high energy beams
- have large angular acceptance
- cover a broad kinematical range

variety of tracking detectors

to cope with different particle flux
from $\theta = 0$ to $\theta \approx 200$ mrad with a
good azimuthal acceptance

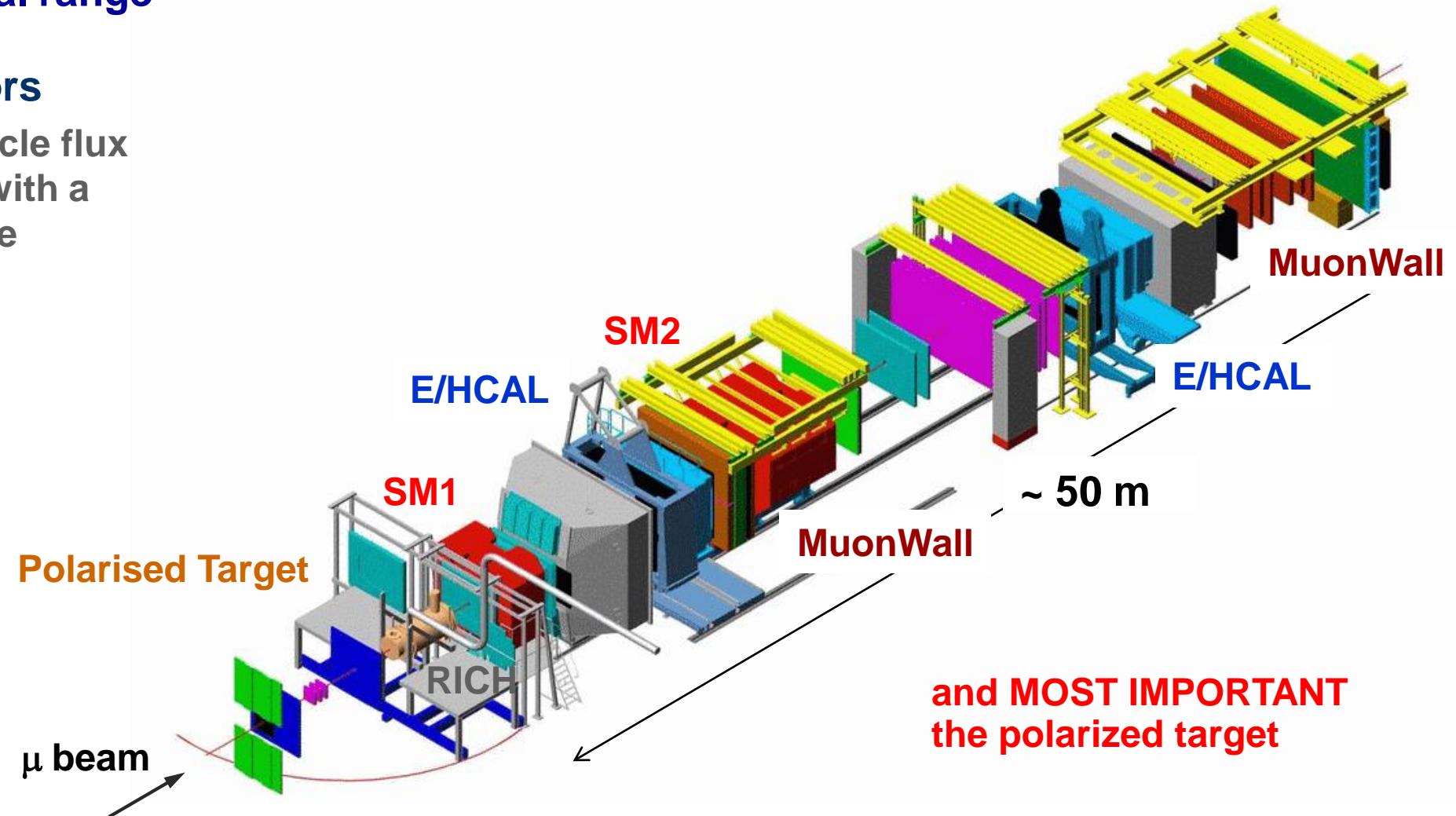
calorimetry, μ ID

RICH detector



two stages spectrometer

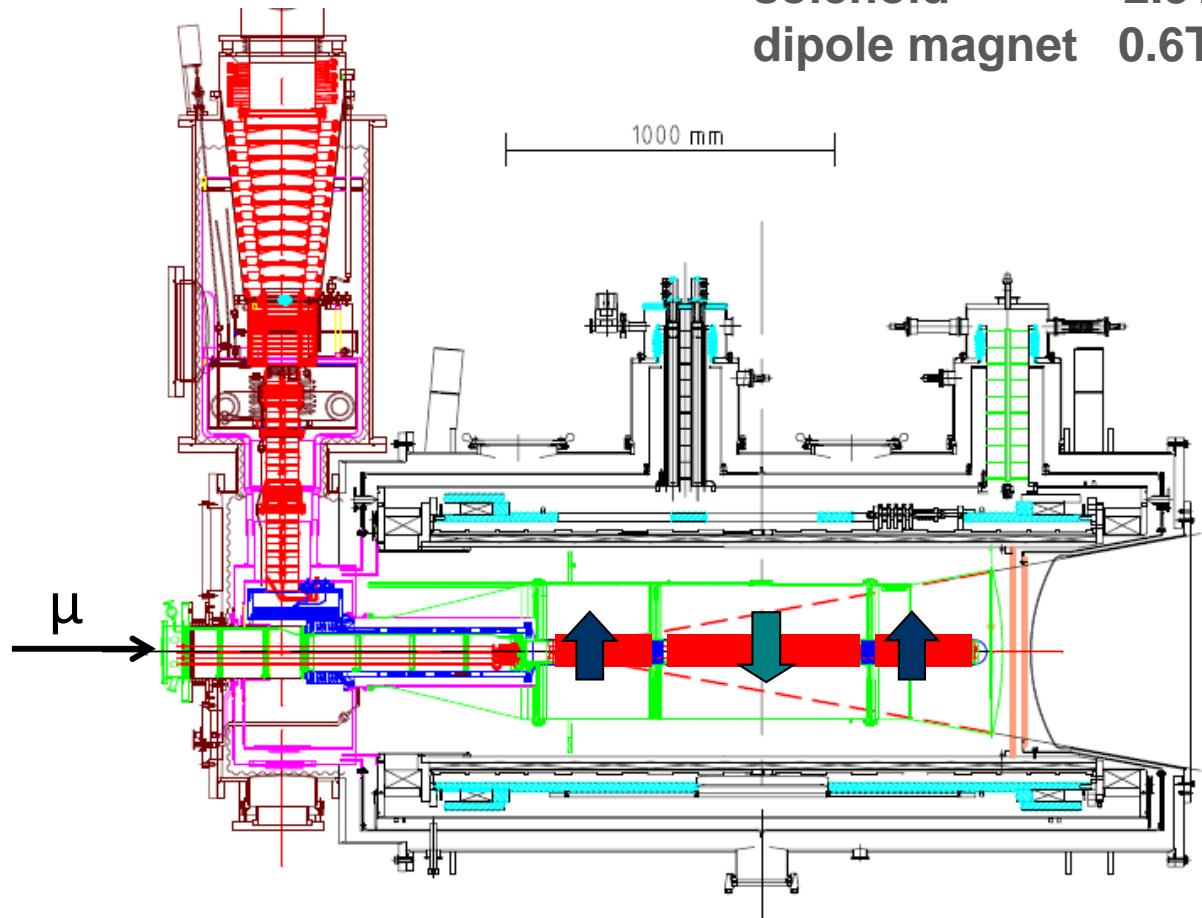
- Large Angle Spectrometer (SM1)
- Small Angle Spectrometer (SM2)



The COMPASS polarized target system – SIDIS >2005



${}^3\text{He} - {}^4\text{He}$ dilution refrigerator ($T \sim 50\text{mK}$)



solenoid 2.5T
dipole magnet 0.6T

acceptance $> \pm 180$ mrad

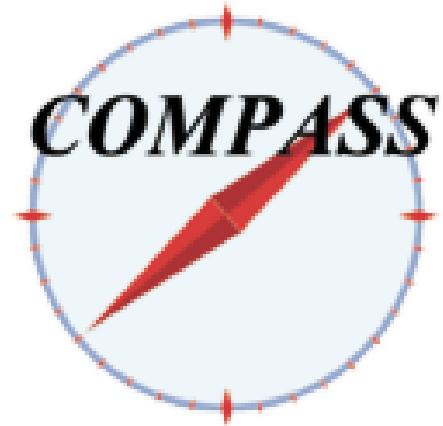
3 target cells
30, 60, and 30 cm long

opposite polarisation

polarization	$d ({}^6\text{LiD})$	$p (\text{NH}_3)$
dilution factor	40-50%	90%
	40%	16%

MANY THANKS
TO ALAIN

*no evidence for relevant
nuclear effects (160 GeV)*



results on Transverse Spin Asymmetries

25 years after the proposal

- **a review of well known results**
- **less known and new results**
- **expected results**



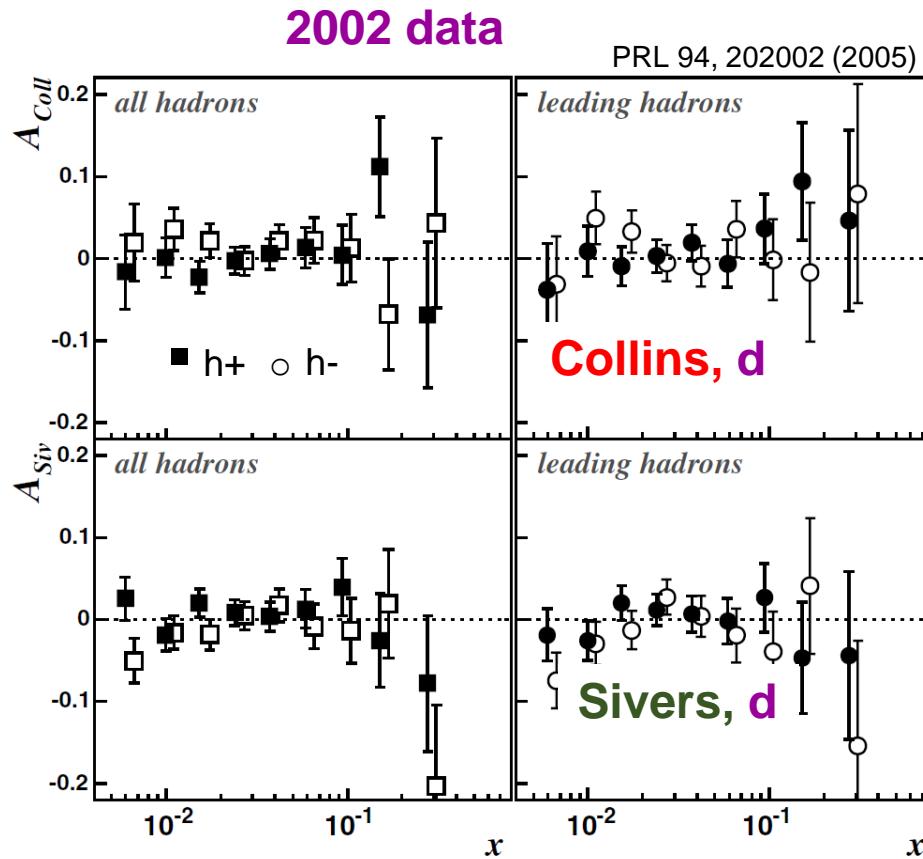
THE DEUTERON DATA - 2002-2004

the first SIDIS data with a transversely polarized target in COMPASS were collected in **2002**: 0.5 effective weeks of data taking
in **2004** first results for the **Collins asymmetry** and for the **Sivers asymmetry**

$$A_{Coll} \sim \frac{\sum_q e_q^2 h_1^q \otimes H_{1q}^\perp}{\sum_q e_q^2 f_1^q \cdot D_{1q}}$$

$$A_{Siv} \sim \frac{\sum_q e_q^2 f_{1T}^{\perp q} \otimes D_{1q}}{\sum_q e_q^2 f_1^q \cdot D_{1q}}$$

first publication in **2005**



large statistical uncertainties,
compatible with zero

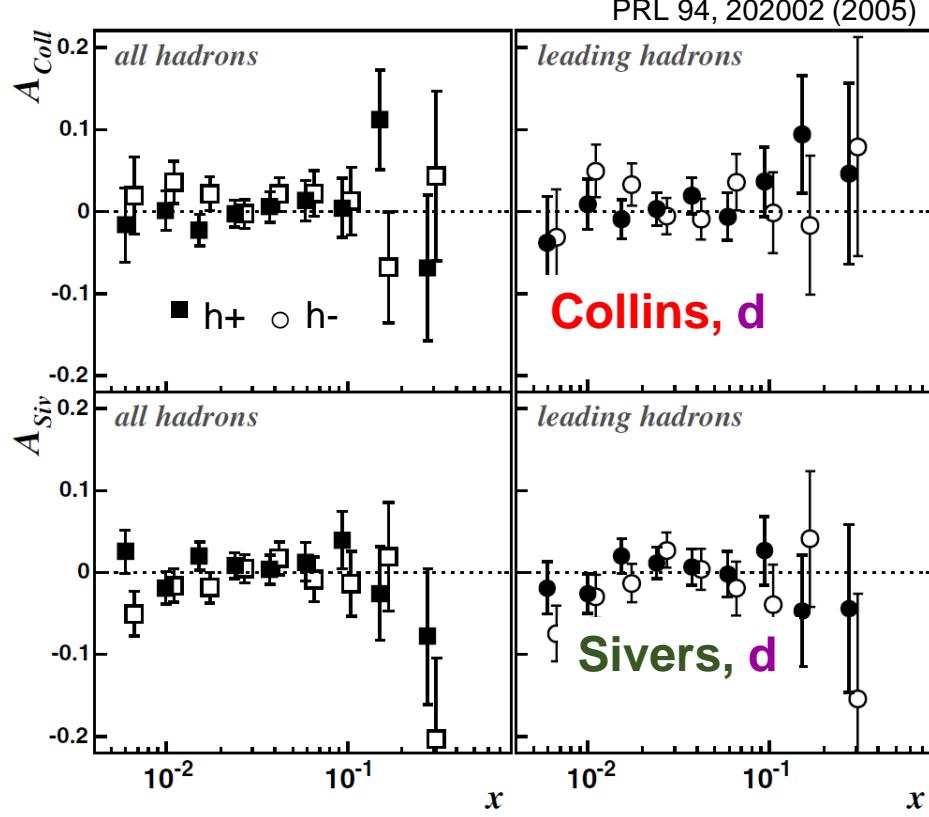
?

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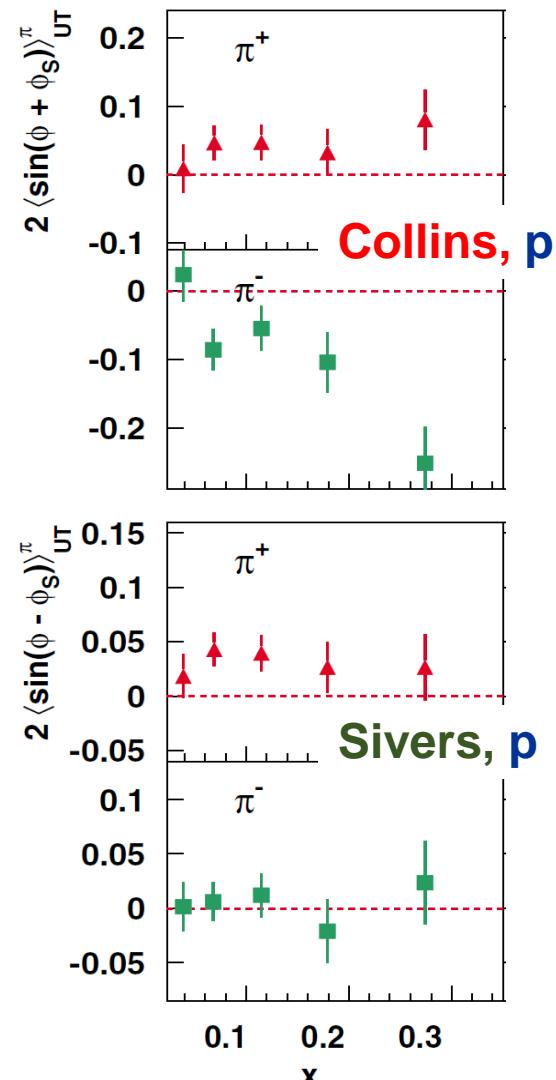
2002 data



in the mean time,
HERMES
measurements
with a proton target

for the first time
clear signals:
real effects !

hep-ex/0408013

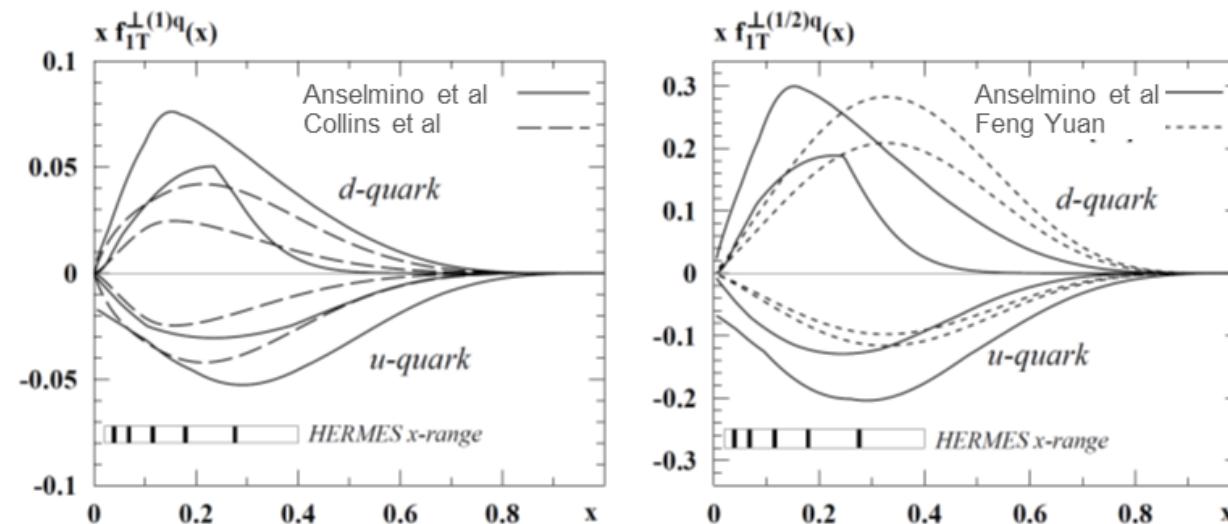


ite

FIRST EXTRACTIONS OF THE NEW PDFs

the first extractions of the **Sivers PDFs** from these p and d Sivers asymmetries came very soon

the HERMES and COMPASS data could be well described



*proceedings of
Transversity 2005*

*confirmation that the COMPASS results could be due to *u d* quark cancellation*



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*proceedings of
Transversity 2005*

the extraction of the **transversity PDFs** took some more time

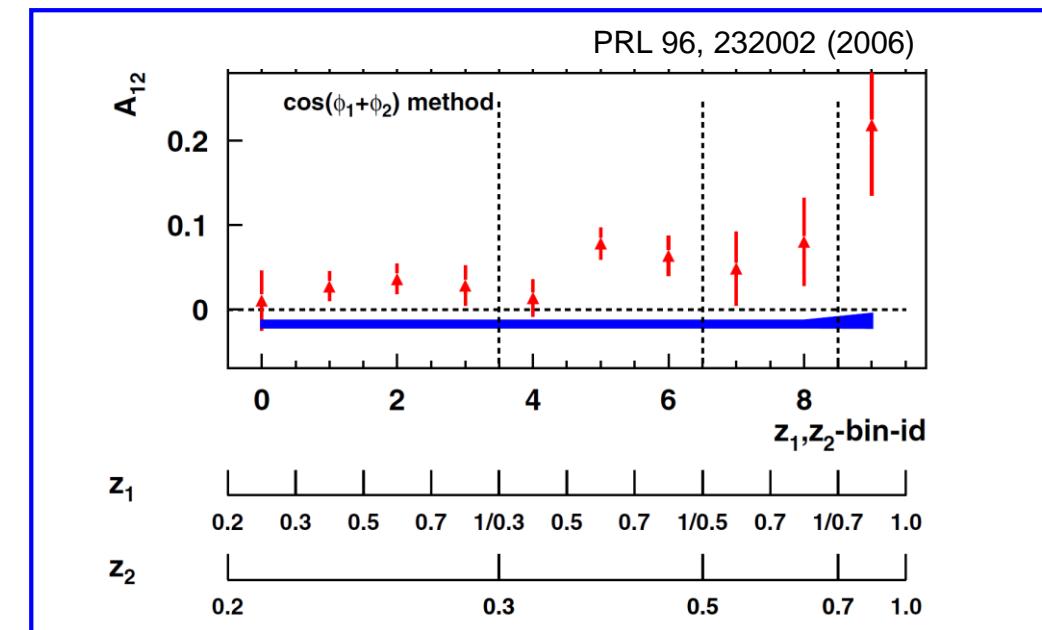
the **Collins FF** was the missing piece
it was qualitatively described by the Artru 3P_0 model

$$A_{Coll} \sim \frac{\sum_q e_q^2 \mathbf{h}_1^q \otimes \mathbf{H}_{1q}^\perp}{\sum_q e_q^2 f_1^q \cdot D_{1q}}$$

first measurements the Collins- like asymmetry
in $e^+e^- \rightarrow \text{hadrons}$ at BELLE

clear independent indication of
non-zero Collins FFs

again indication that the COMPASS results
could be due to u d cancellation



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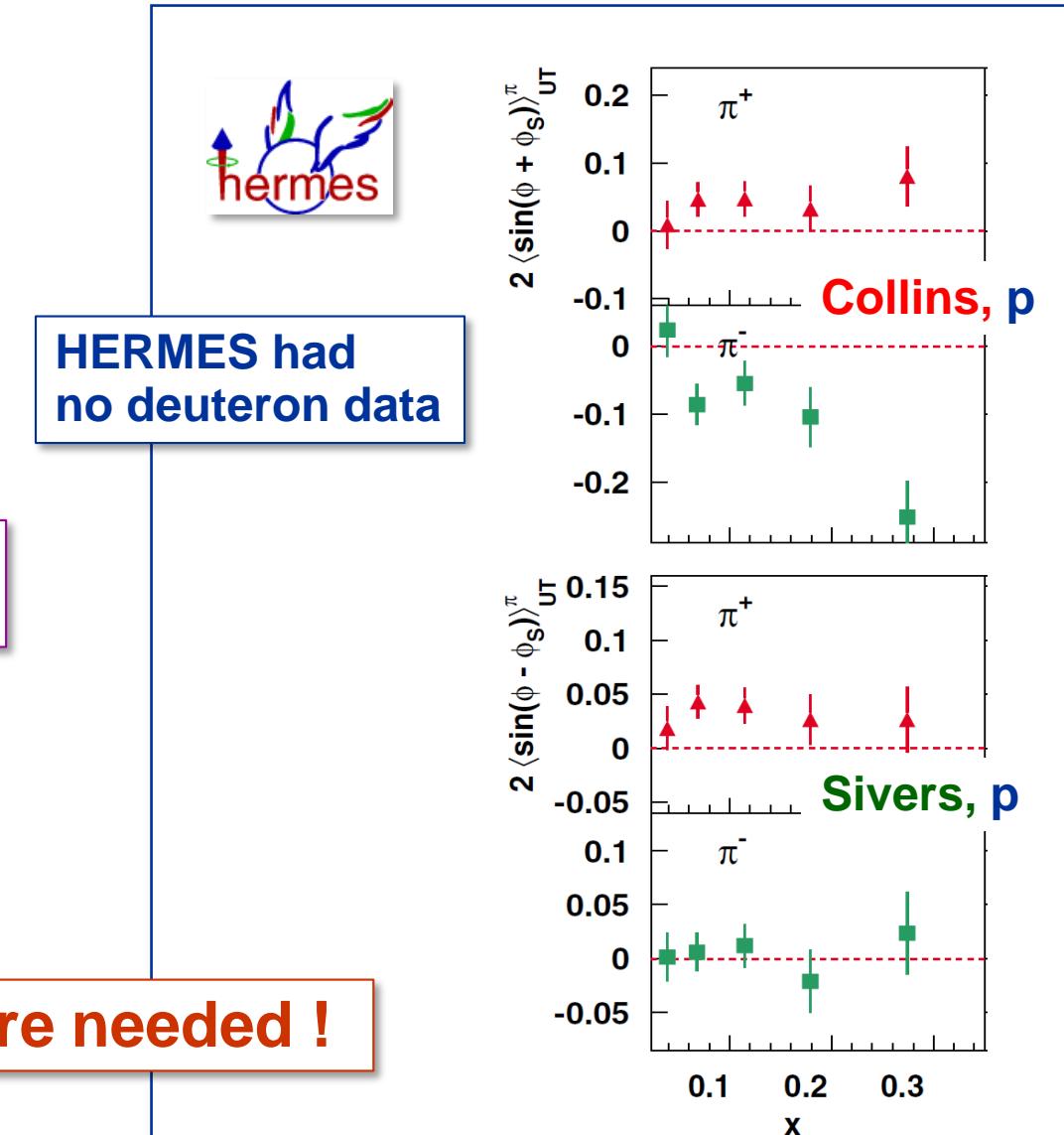
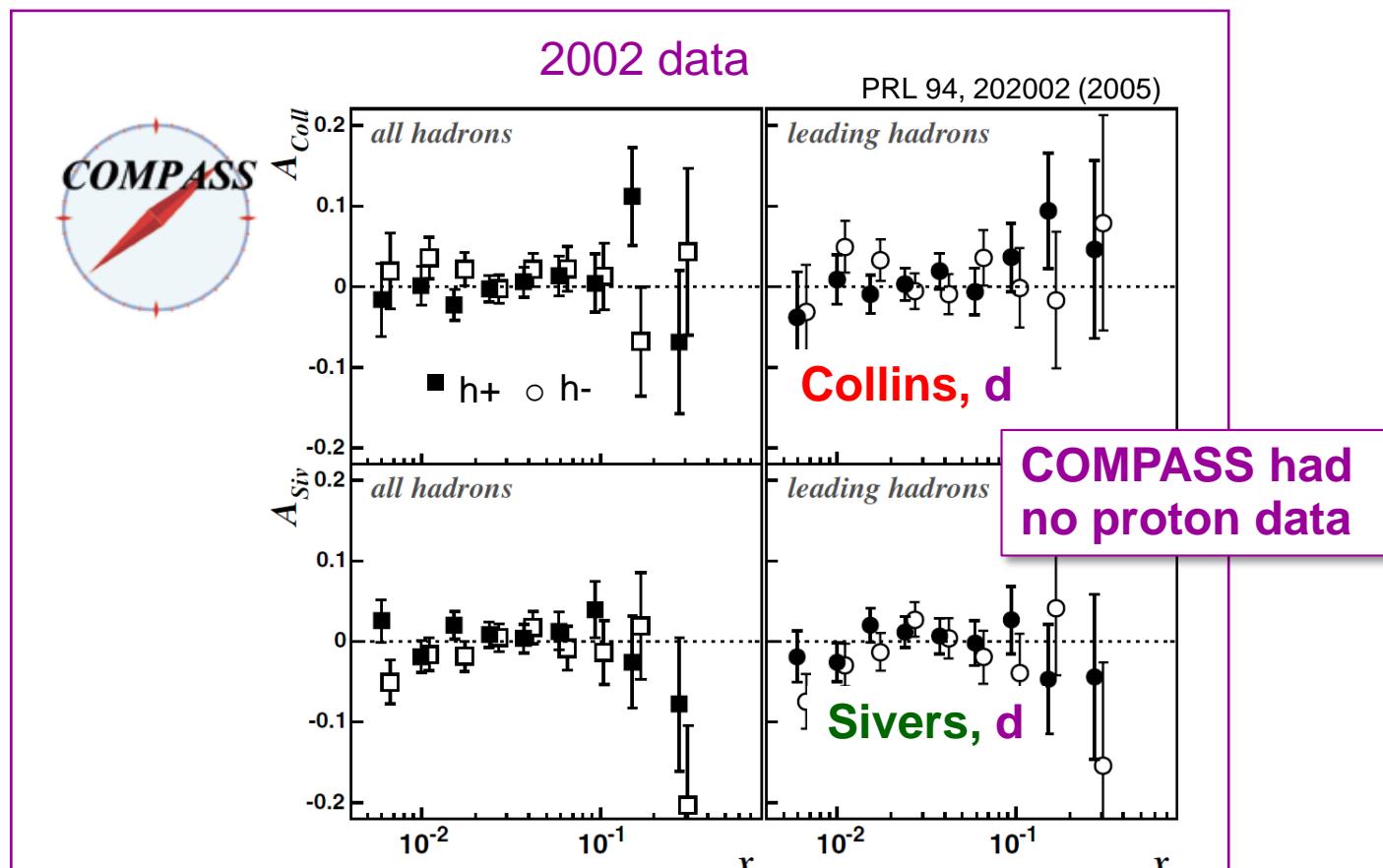
to summarize:

- clear signals of the new transverse spin effects seen at HERMES and Belle
- a consistent picture of transverse spin effects was coming out, which could explain both the HERMES **proton** and the COMPASS **deuteron** data



EXPERIMENTAL SITUATION IN 2005

in 2004 first results for the **Collins asymmetry** and for the **Sivers asymmetries**
first publications in 2005



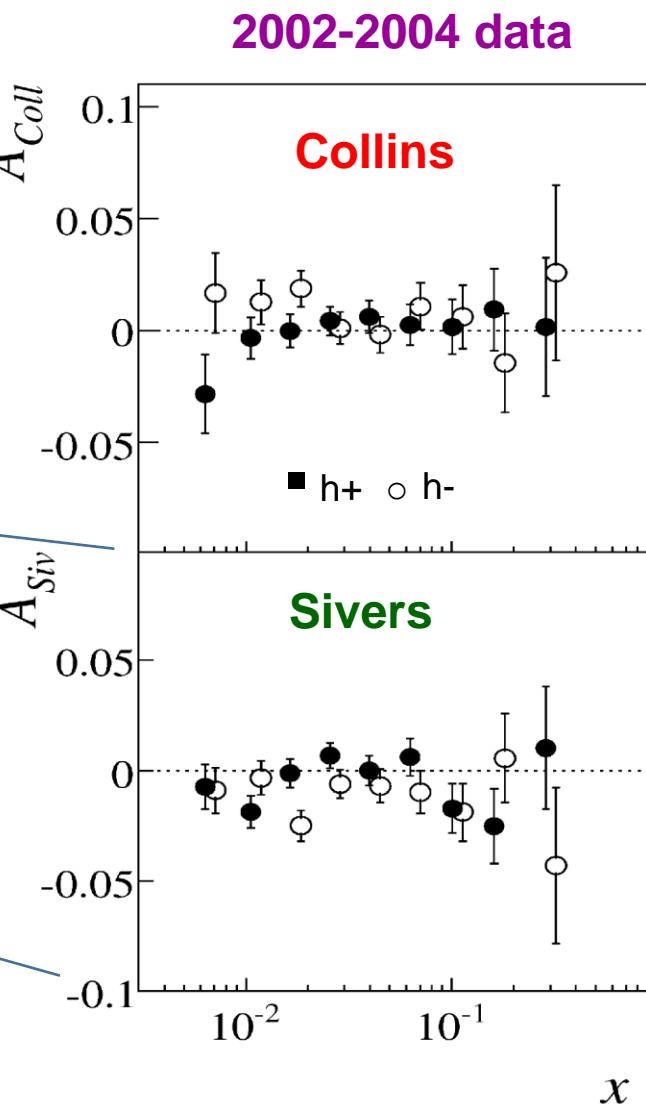
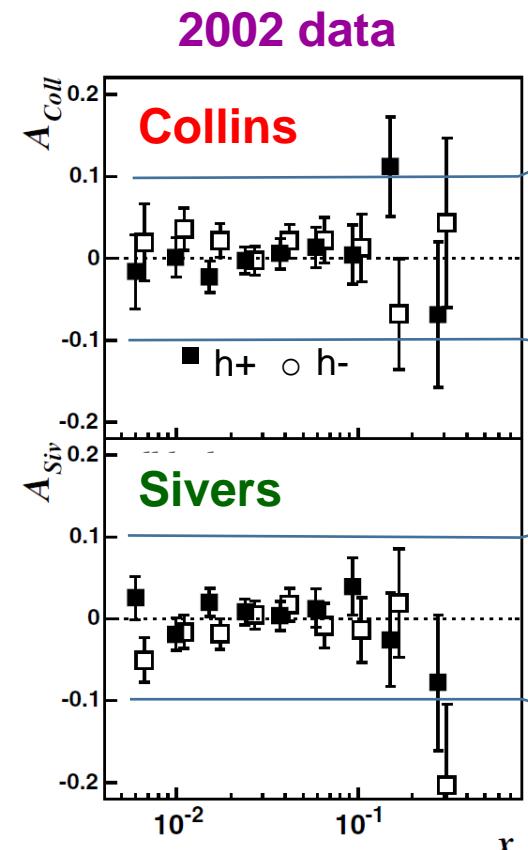
THE DEUTERON DATA



2002: ~0.5 effective weeks of data taking, published in 2005

2003: 2 weeks of data taking

2004: 2 weeks of data taking



final results for deuteron
published in 2007 NPB 765 (2007) 31

a more precise
measurement of zero;
still, large statistical
uncertainties



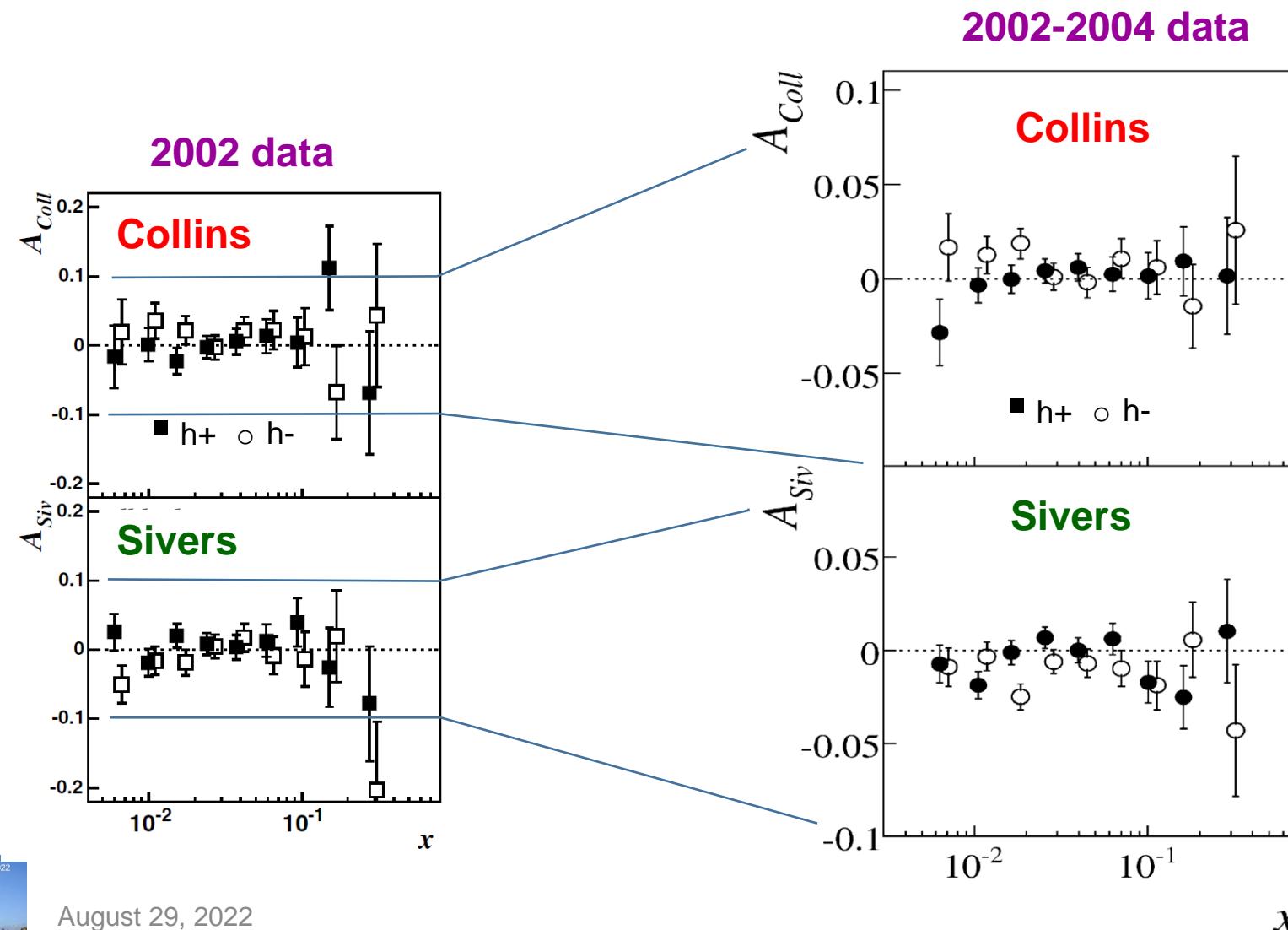
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final results for deuteron
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a more precise
measurement of zero;
still, large statistical
uncertainties

*the only existing
deuteron data*

→ run 2022 ongoing !

JLab6: He3,
statistically limited

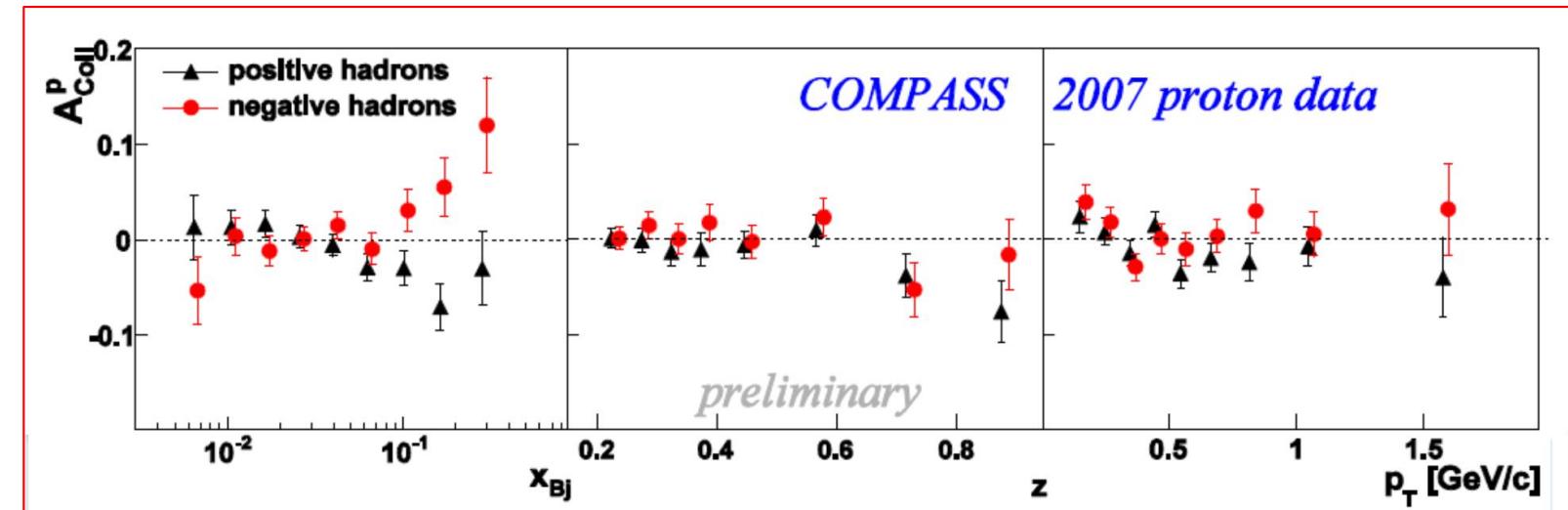


THE 2007 PROTON DATA

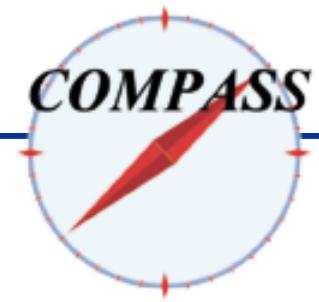


in 2007 first (short) COMPASS run with transversely polarized protons (NH3)
preliminary results (half of the data): Transversity 2008

COLLINS ASYMMETRY
different from zero and
COMPATIBLE WITH HERMES !

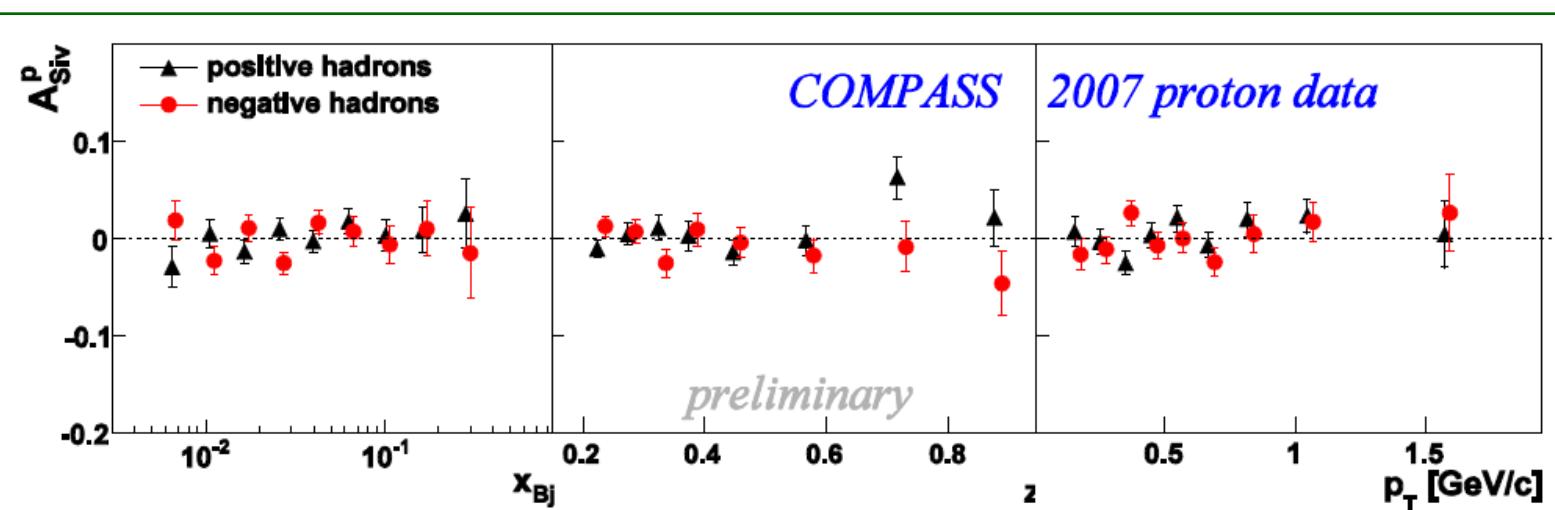
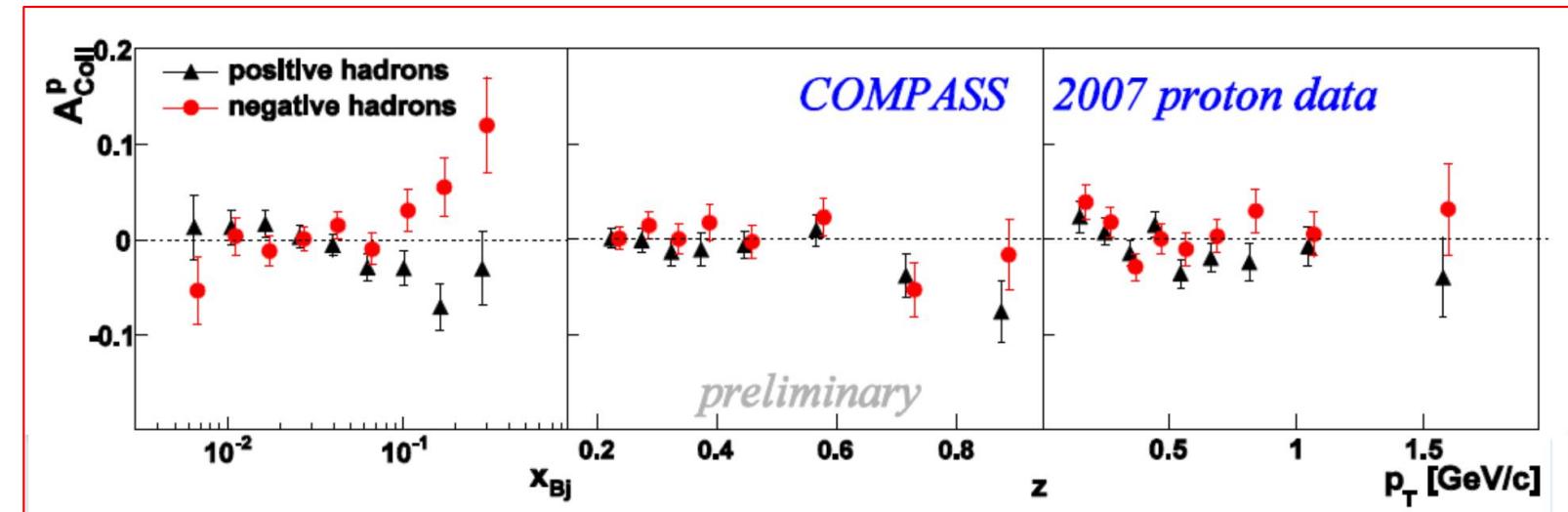


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COLLINS ASYMMETRY
different from zero and
COMPATIBLE WITH HERMES !



SIVERS ASYMMETRY
compatible with zero, also for positive hadrons
AT VARIANCE WITH HERMES

UNEXPECTED RESULT

no panic but NIGHTMARES
 A_2 splitting
 S meson
superluminal neutrinos



THE 2007 PROTON DATA



Close scrutiny of the collected data revealed some systematics
" fairly long and sometimes difficult analysis "

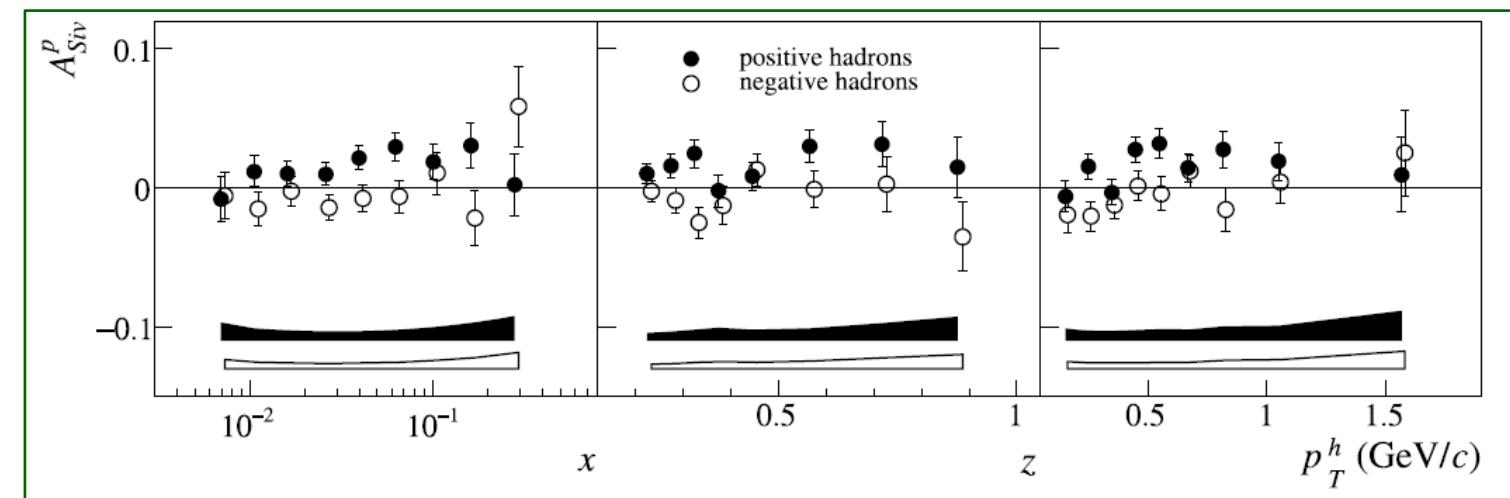
Final results, all 2007 data: Phys. Lett. B 692 (2010) 240

Collins asymmetry: preliminary result confirmed $\sigma_{syst} \simeq 0.5 \sigma_{stat}$

Sivers asymmetry:

positive hadrons exhibit an average asymmetry of 0.03 somewhat smaller than HERMES but **definitely non zero**

$$\sigma_{syst}^+ \simeq 0.8 \sigma_{stat}^+ \pm 0.01$$



→ necessity of a longer and better data taking



THE 2010 PROTON DATA



Necessity of a longer and better data taking:
request for 1 year of data taking with transversely polarized p

“Transverse spin structure and Drell-Yan measurements at COMPASS”
FB talk at New opportunities in the physics landscape at CERN,
May 2009

Addendum 2 to the COMPASS Proposal
CERN-SPSC-2009-025 SPSC-M-769
SPSLC-P-297 Add. 2, 21 June 2009



August 29, 2022

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THE 2010 PROTON DATA



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SPSLC-P-297 Add. 2, 21 June 2009

Many thanks to Elke

Year 2010 entirely dedicated to proton running
and the 2010 run was quite successful

Preliminary results shown at Transversity 2011 in Losini
First results published in 2012

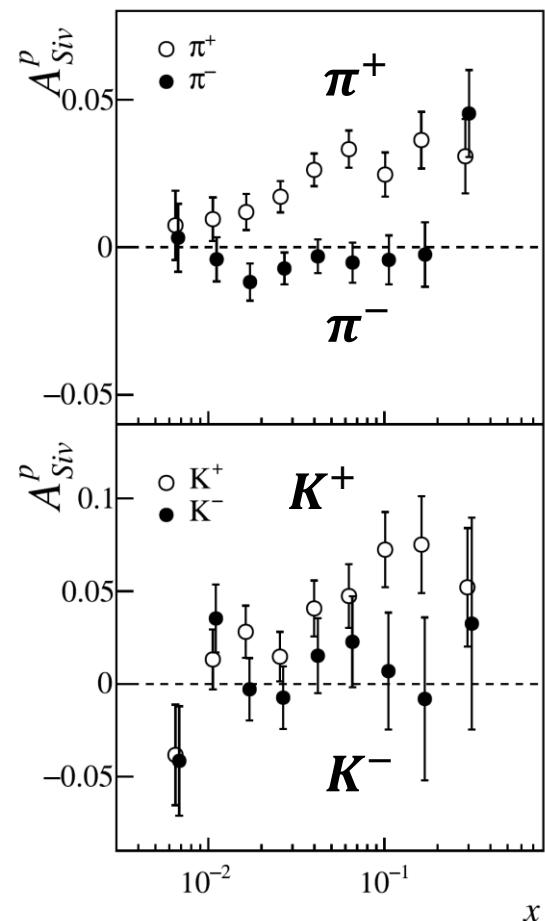


THE PROTON DATA – Sivers asymmetry



2007 half year, 2010 one year of data taking - the signals are there!

Sivers
asymmetry
all COMPASS
proton data



PLB 744 (2015) 250
PLB 717 (2012) 383



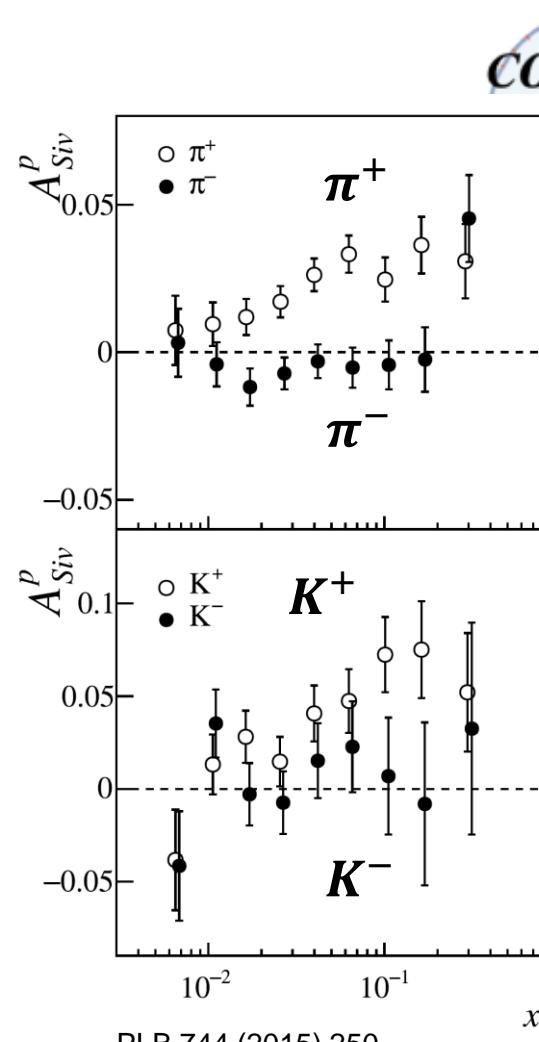
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THE PROTON DATA – Sivers asymmetry

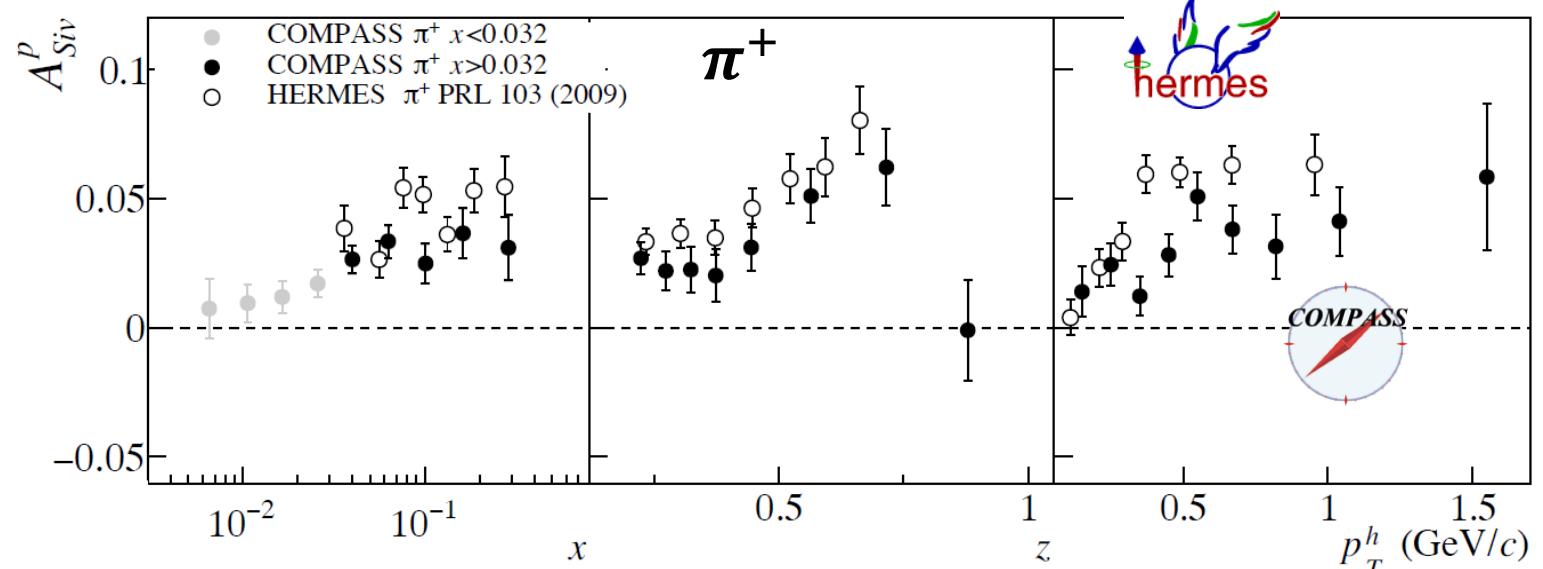
2007 half year, 2010 one year of data taking - the signals are there!

Sivers
asymmetry
all COMPASS
proton data



PLB 744 (2015) 250
PLB 717 (2012) 383

comparison with HERMES



smaller values at COMPASS:
TMD evolution ...



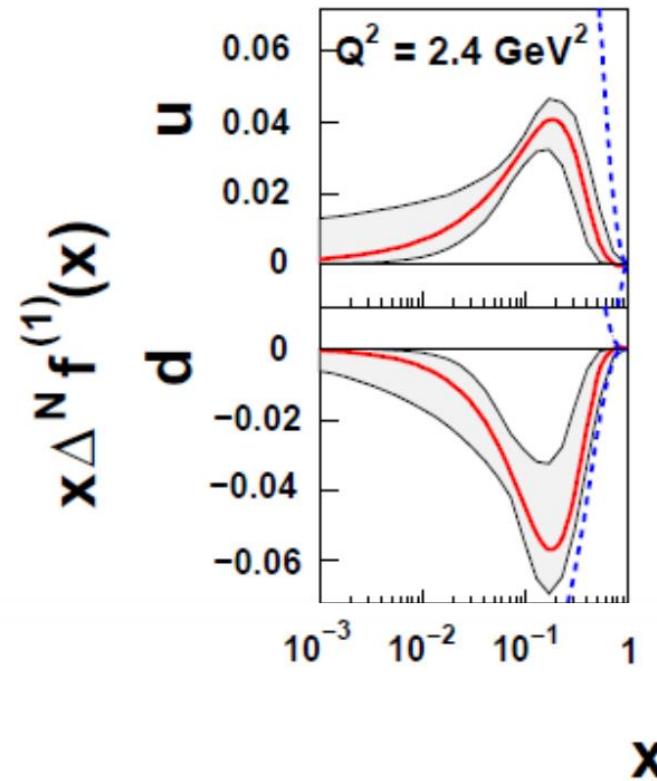
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THE SIVERS FUNCTION

Sivers function extractions from SIDIS data (COMPASS, HERMES, Jlab)

→ u and d: clearly different from zero



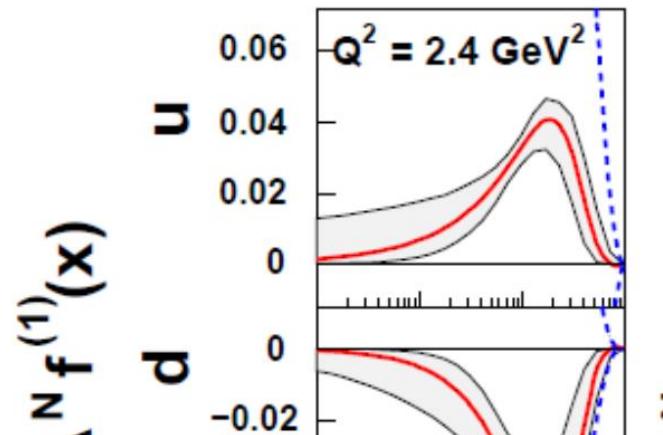
M. Anselmino et al
Eur. Phys. J. A **39**, 89–100 (2009)



THE SIVERS FUNCTION

Sivers function extractions from SIDIS data (COMPASS, HERMES, Jlab)

→ u and d: clearly different from zero



... pseudouniversality J. C. Collins, Phys. Lett. B536 (2002) 43.

proposal to measure it in pion-induced Drell-Yan muon pair production
at COMPASS

CERN-SPSC-2010-014

SPSC-P-340

May 17, 2010 COMPASS II proposal: DY and DVCS (2015-2018 runs)

9-100 (2009)

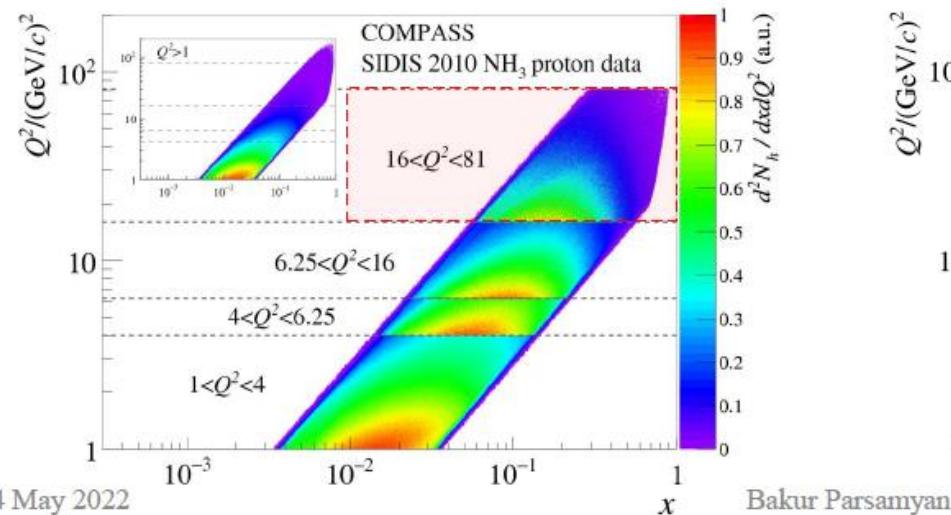


THE PROTON DATA – Sivers asymmetry

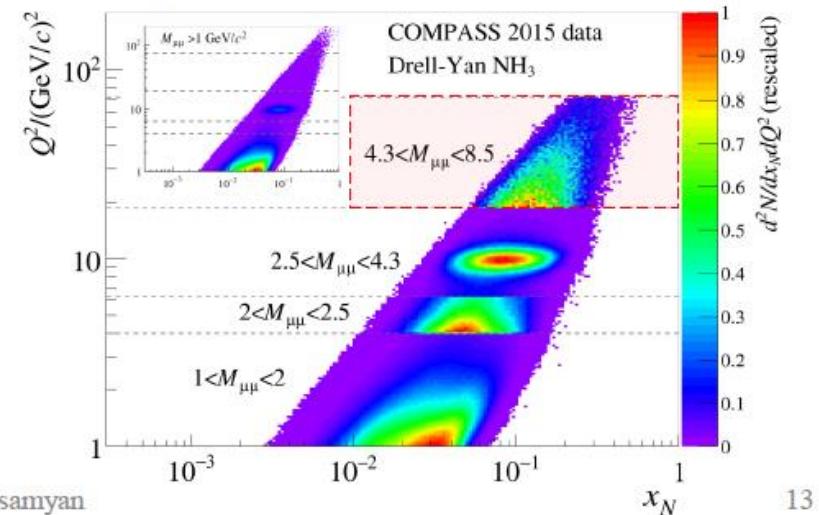


to make easier the comparison with DY, avoiding Q^2 evolution problems,
we have measured the Sivers asymmetry in Q^2 bins

SIDIS



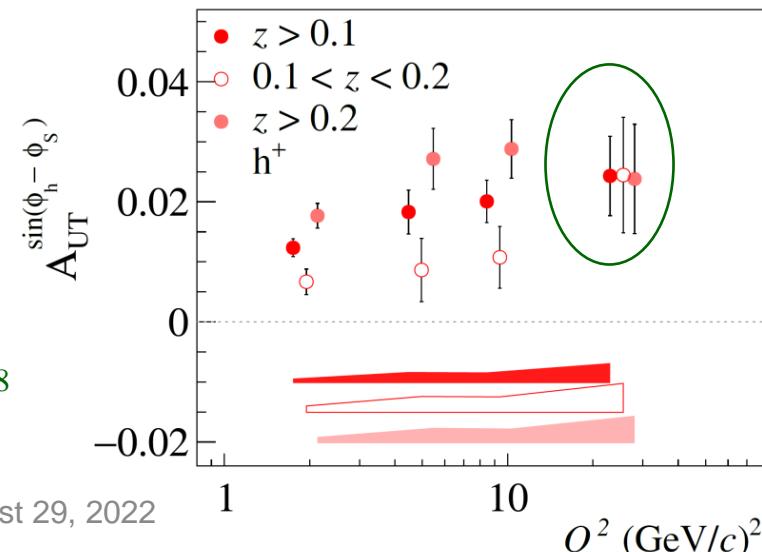
DY



PLB 770 (2017) 138



August 29, 2022



COMPASS DY run 2015 + 2018
new results at DIS2022
→ Jan Matousek

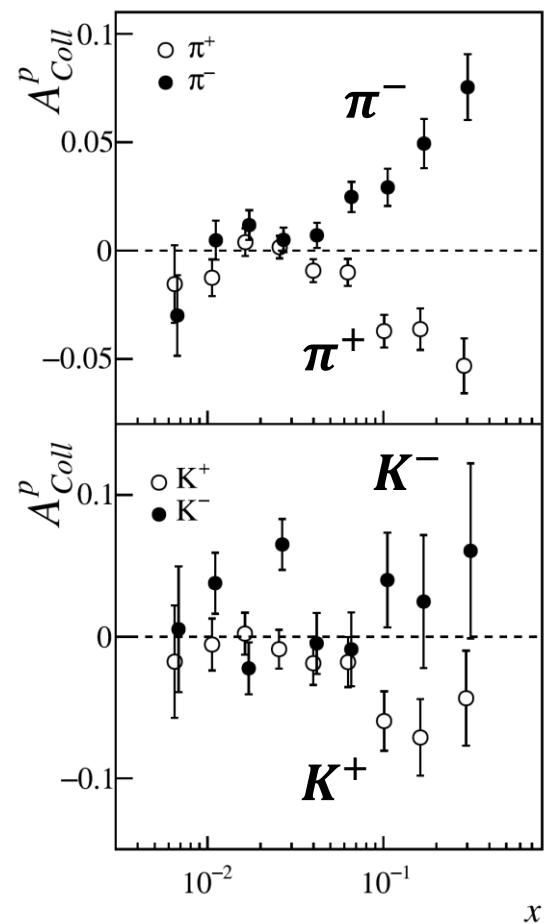
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THE PROTON DATA – Collins asymmetry



2007 half year, 2010 one year of data taking - the signals are there!

Collins
asymmetry
all COMPASS
proton data



PLB 744 (2015) 250
PLB 717 (2012) 376



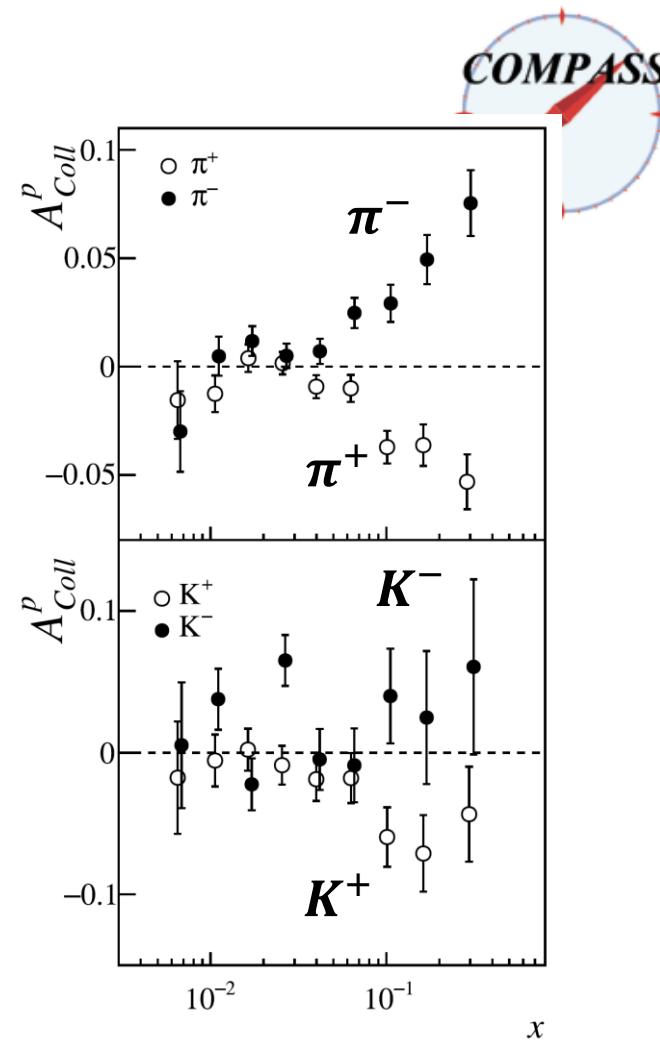
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asymmetry
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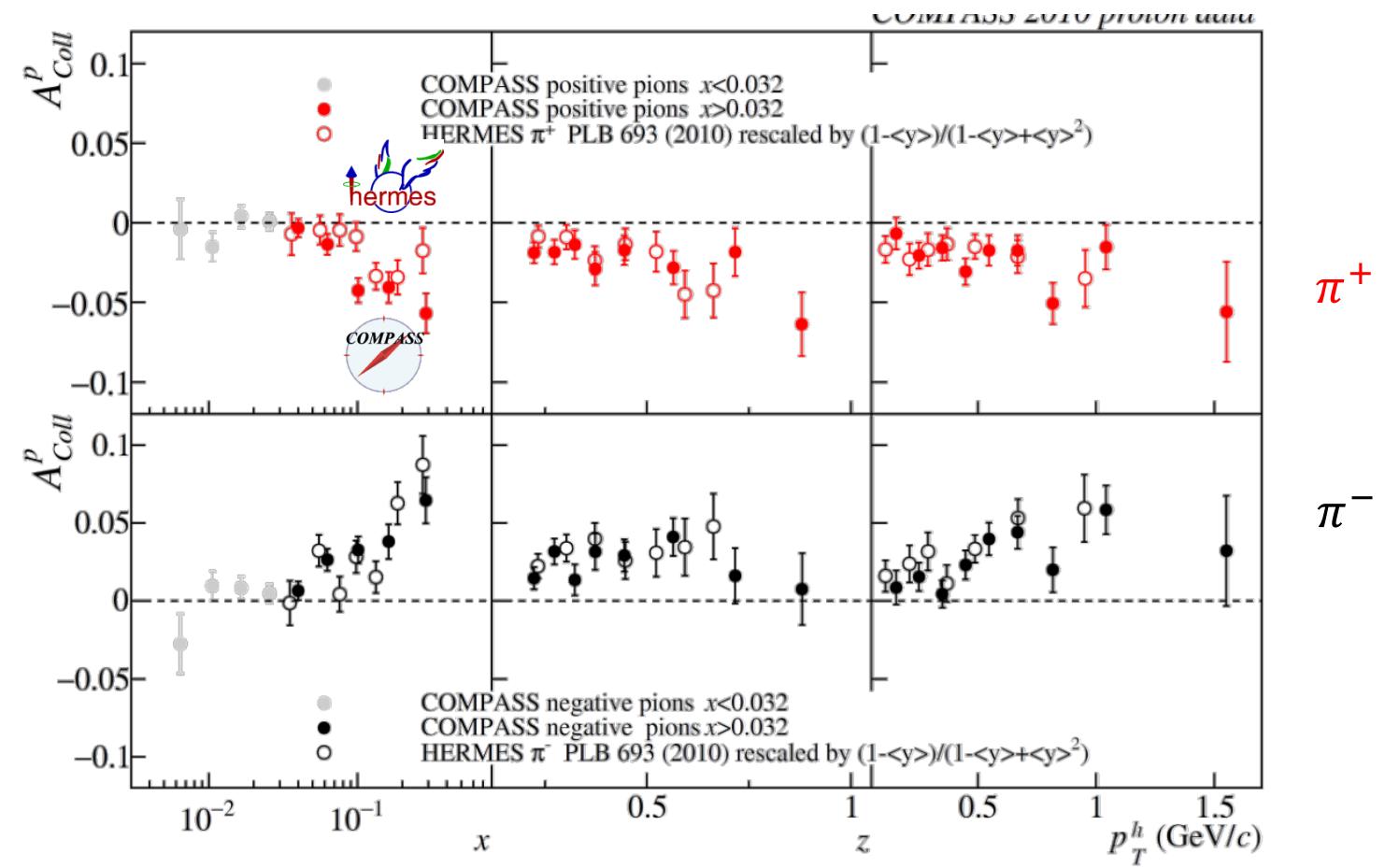


PLB 744 (2015) 250
PLB 717 (2012) 376



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comparison with HERMES



very good agreement !

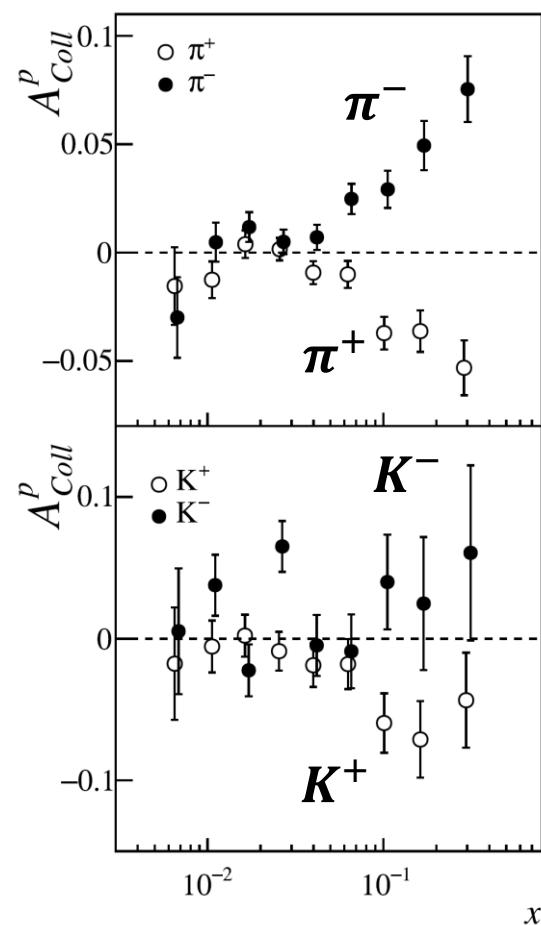
Franco Bradamante

THE PROTON DATA – Collins asymmetry



2007 half year, 2010 one year of data taking - the signals are there!

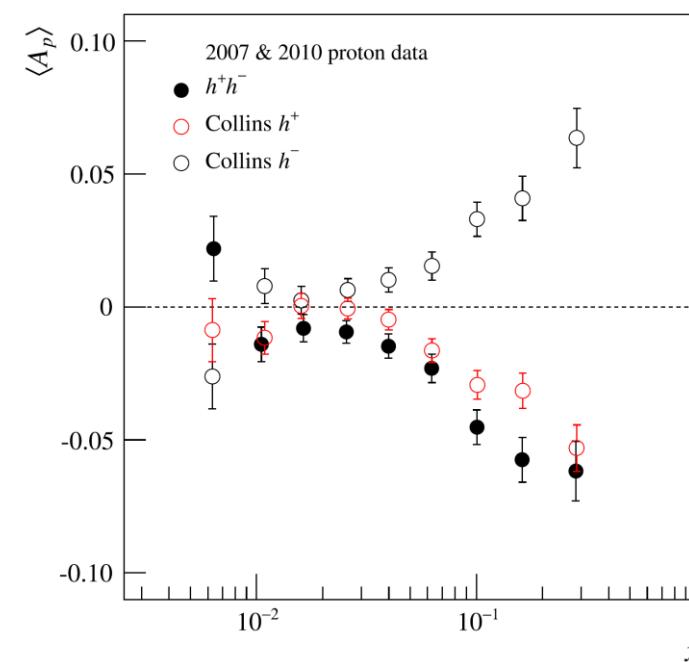
Collins
asymmetry
all COMPASS
proton data



PLB 744 (2015) 250
PLB 717 (2012) 376



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• di-hadron asymmetry

PLB 736 (2014) 124

study of the interplay between Collins and di-hadron
asymmetries – not independent COMPASS, PLB 753 (2016) 406

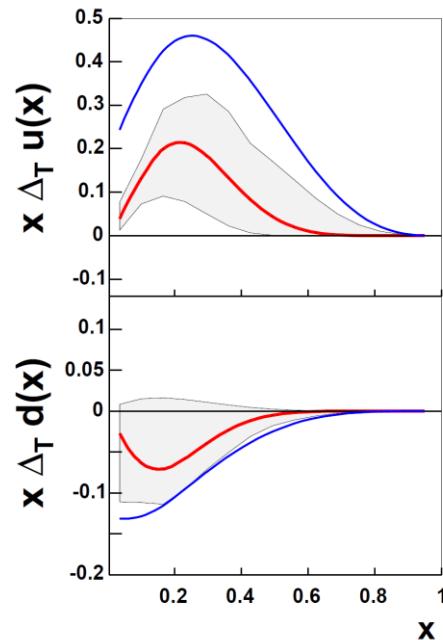
well reproduced by the 3P_0 model

A. Kerbizi et al. PRD97 (2018) no.7, 074010

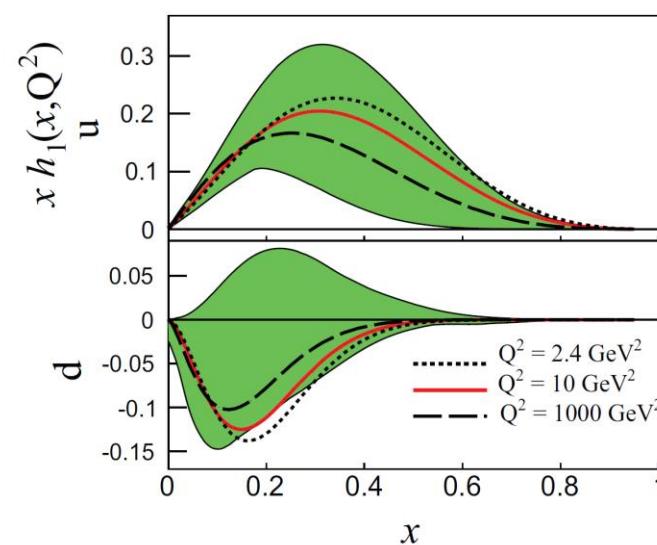
TRANSVERSITY

fits of **Collins asymmetries** in SIDIS off p and d, and e^+e^- data

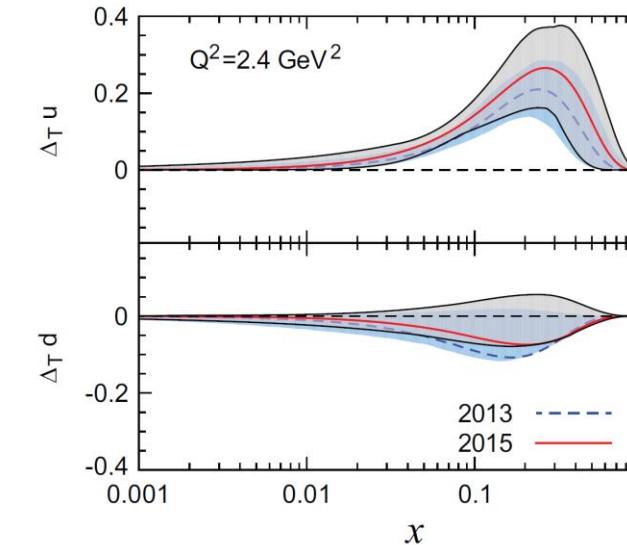
Anselmino et al PRD 2007



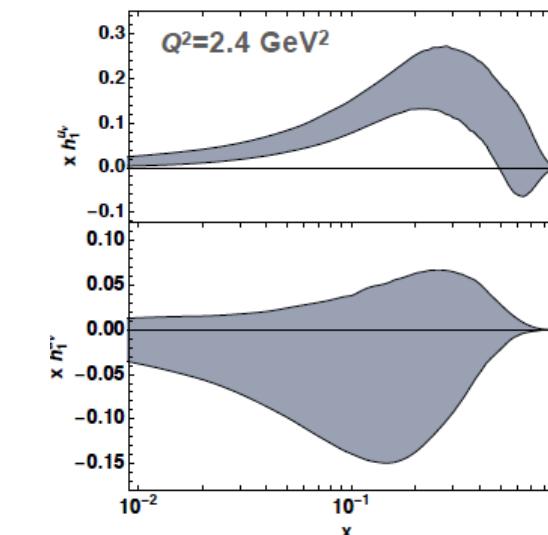
Z.-B. Kang et al. PRD 2016



M. Anselmino et al. PRD 2015



Radici Bacchetta PRL 2018



fits of **di-hadron asymmetries**
SIDIS off p and d , e^+e^- , and pp data

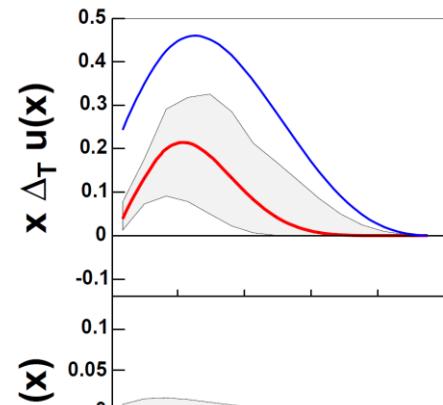


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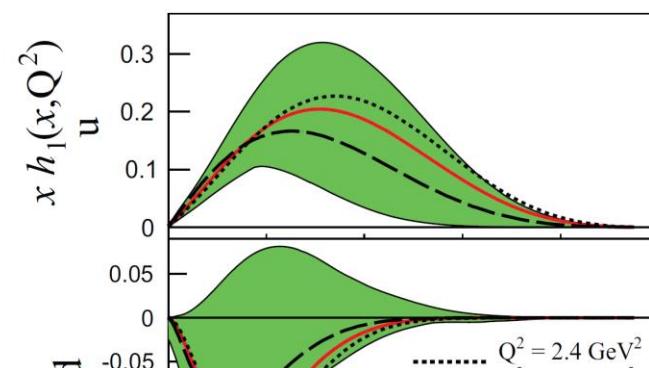
TRANSVERSITY

fits of **Collins asymmetries** in SIDIS off p and d, and e^+e^- data

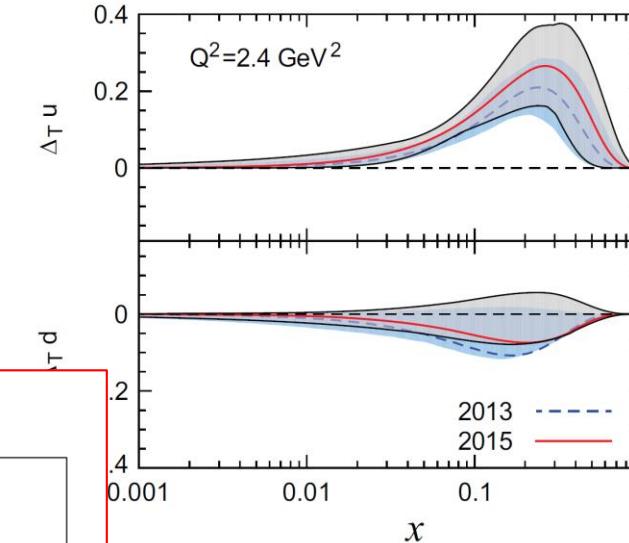
Anselmino et al PRD 2007



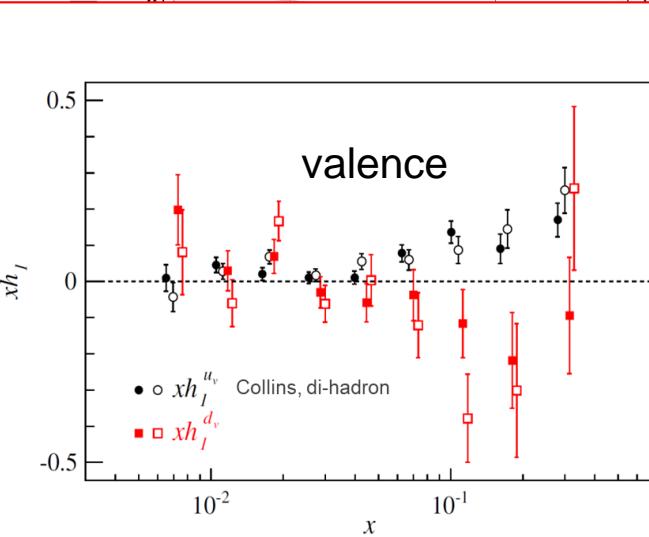
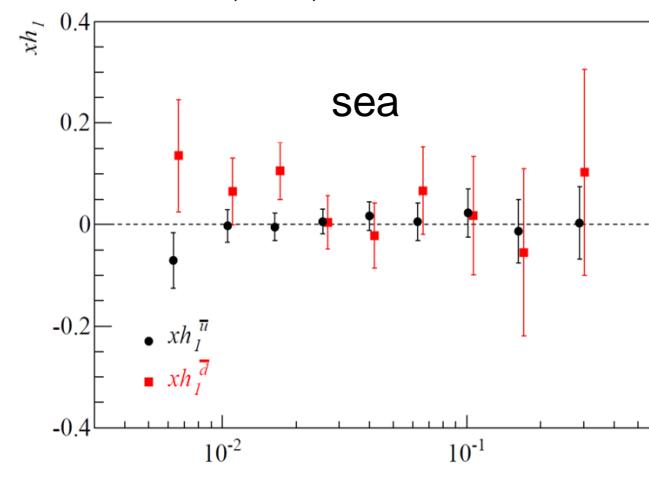
Z.-B. Kang et al. PRD 2016



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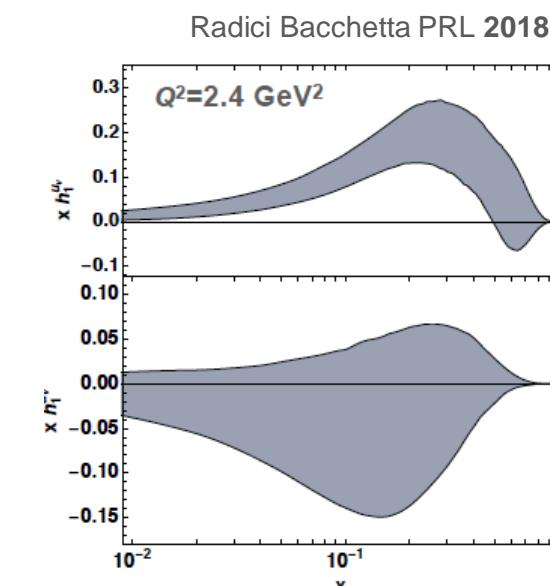
A. Martin, F. B., V. Barone PRD 2015



point by point extraction

using COMPASS p and d asymmetries, and e^+e^- data
no Soffer bound; no Monte Carlo nor parametrisations needed

asymmetries
 e^+e^- , and pp data

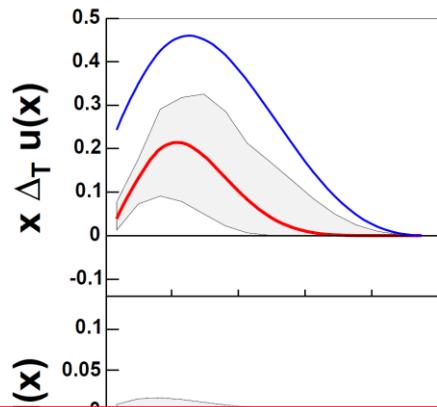


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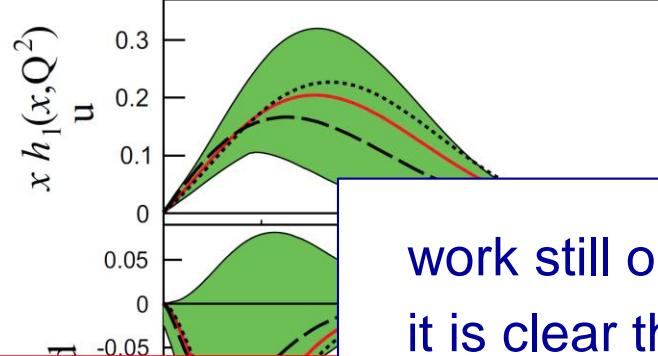
TRANSVERSITY

fits of **Collins asymmetries** in SIDIS off p and d, and e^+e^- data

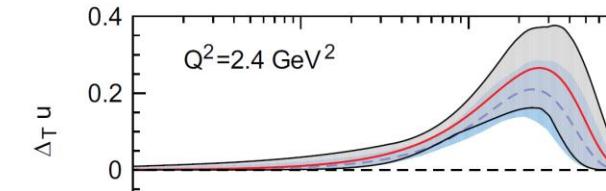
Anselmino et al PRD 2007



Z.-B. Kang et al. PRD 2016



M. Anselmino et al. PRD 2015

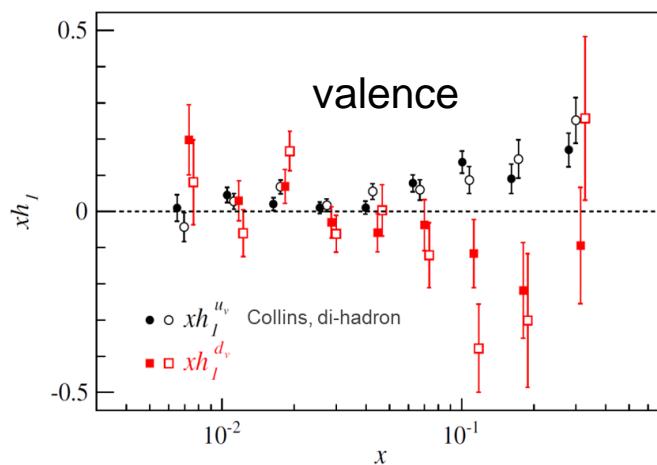


work still ongoing ...

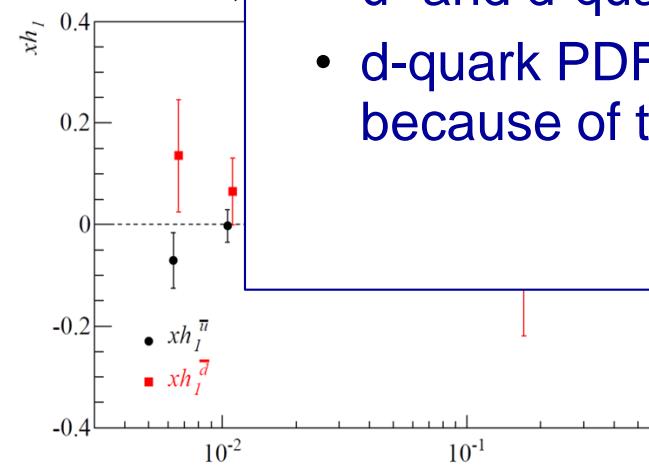
it is clear the

- u- and d-quark transversity PDFs have opposite sign
- d-quark PDF much worse determined than u-quark PDF because of the scarcity of **deuteron** (neutron) data

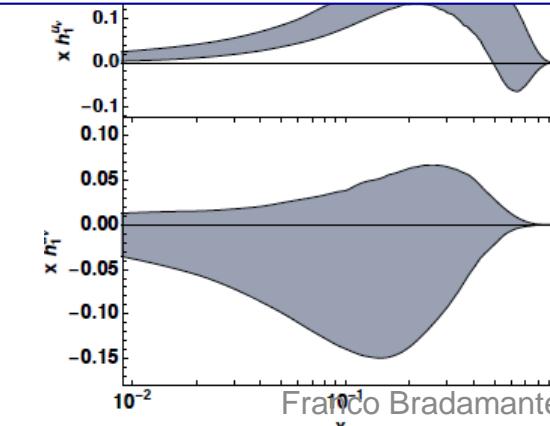
→ 2022 COMPASS run



A. Martin,



asymmetries
 e^+e^- , and pp data



point by point extraction

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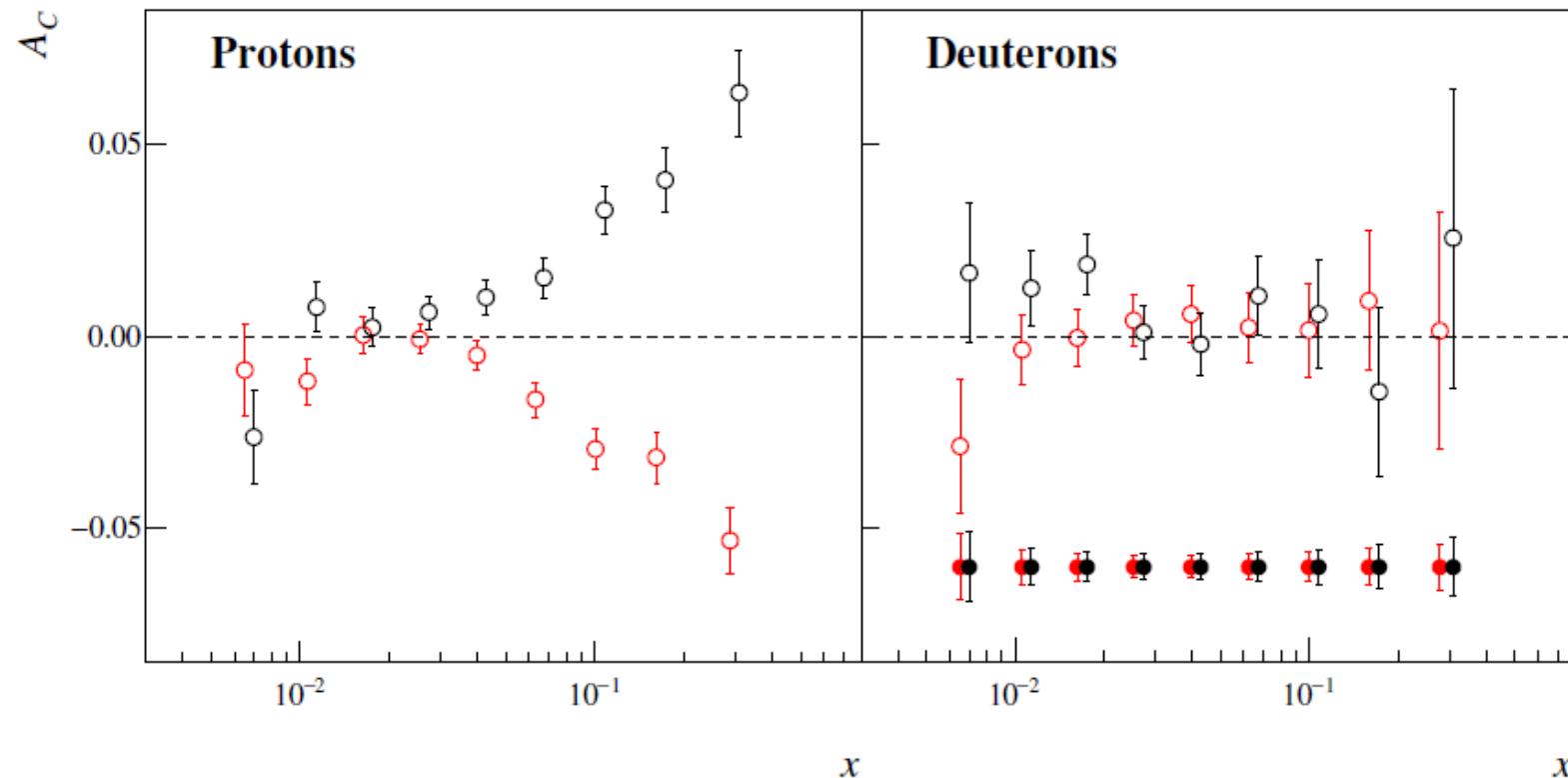
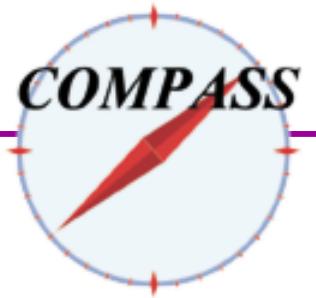
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THE DEUTERON DATA

run 2022 - expectation

CERN{SPSC{2017{034
SPSC-P-340-ADD-1
April 5, 2018



many thanks to Gunar and Daniel



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THE PROTON DATA



several other measurements have been performed

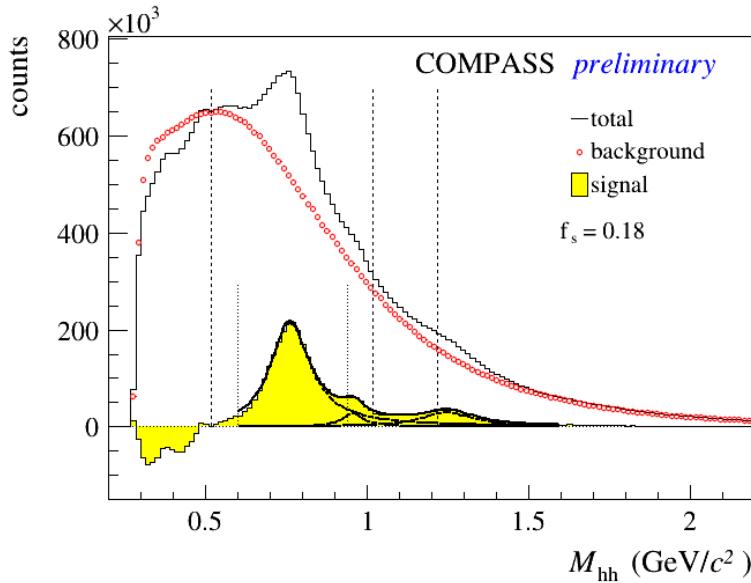
- other TSA
- multidimensional measurements of TSAs (x, Q^2, z, P_T) bins
- Sivers asymmetry in Q^2 bins
 - in particular for the COMPASS Drell-Yan measurement PLB 770 (2017) 138
- P_T - weighted Sivers asymmetries
 - no convolution, important tests, extraction of the Sivers function NPB 940 (2019) 34
- transversity induced $\Lambda/\bar{\Lambda}$ polarization PLB 824 (2022) 136834
- TSAs for high P_T pairs from PGF events PLB 772 (2017) 854
- J/Ψ Sivers asymmetry
- ρ^0 TSAs - new



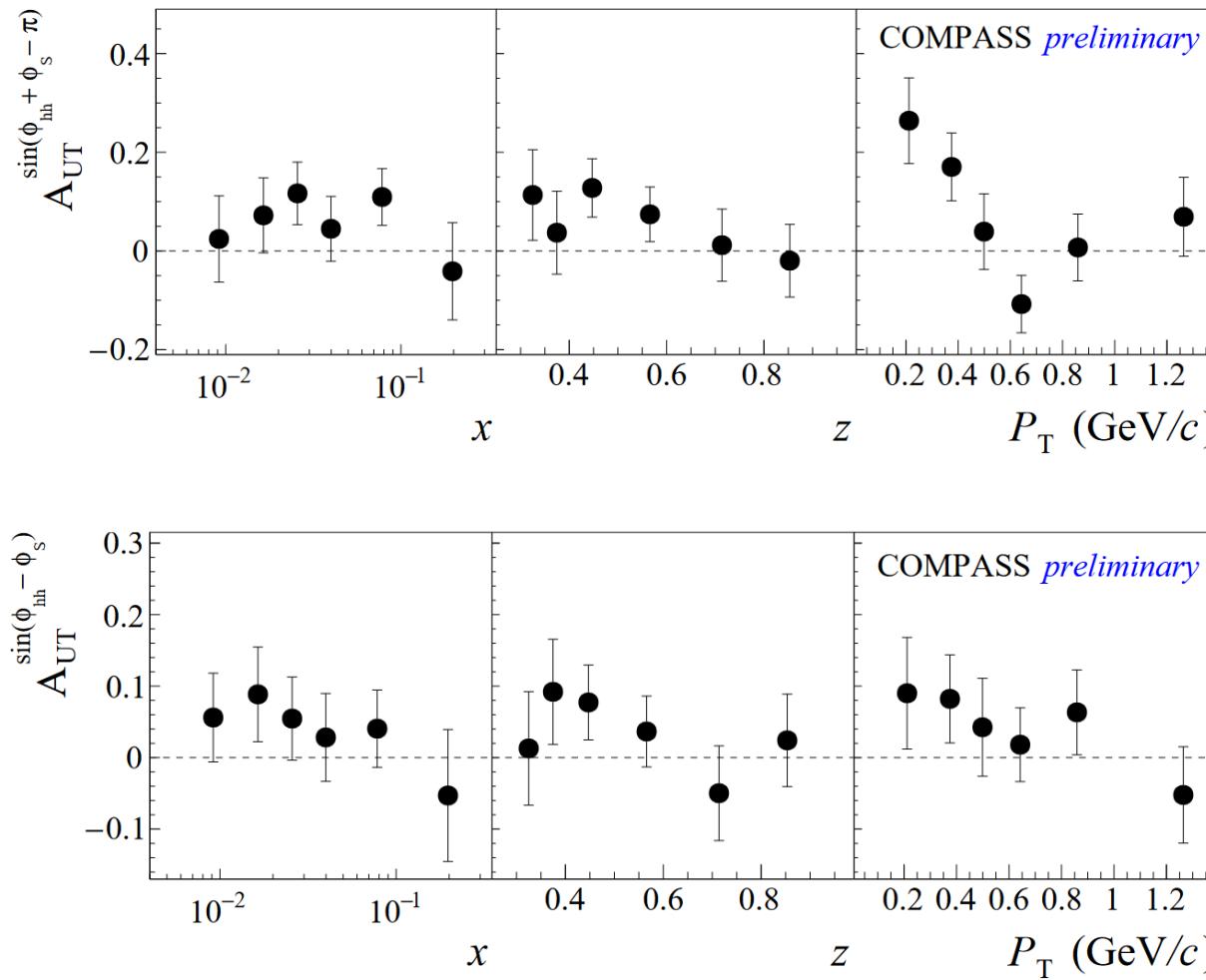
THE PROTON DATA



ρ^0 TSAs



paper in preparation



COLLINS ASYMMETRY

- indication for positive asymmetry opposite to π^+ as expected
- large at small P_T

SIVERS ASYMMETRY

- indication for positive asymmetry similarly to π^0 as expected

only statistical uncertainties
 $\sigma_{\text{syst}} = 0.3\sigma_{\text{stat}}$



THE PROTON DATA



several other measurements have been performed

- *other TSA*
- multidimensional measurements of TSAs (x, Q^2, z, P_T) bins
- Sivers asymmetry in Q^2 bins
 - in particular for the COMPASS Drell-Yan measurement PLB 770 (2017) 138
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- *TSAs for high P_T pairs from PGF events* PLB 772 (2017) 854
- *J/ ψ Sivers asymmetry*
- ρ^0 TSAs

and other new measurements are ongoing

- the g_2 structure function
-

all these measurements will be repeated with the new deuteron data,
which we are collecting this year



SUMMARY



**COMPASS has given a relevant contribution to the study of the transverse structure
of the nucleons with the Transverse Spin Asymmetries in SIDIS**

**It has not been easy to make these measurements, but for sure
it has been a lot of fun**



SUMMARY



COMPASS has given a relevant contribution to the study of the transverse structure of the nucleons with the Transverse Spin Asymmetries in SIDIS

It has not been easy to make these measurements, but for sure it has been a lot of fun

The results have come and are coming, they have been very interesting, sometimes unexpected and anyway NEW

Our 2022 deuteron run will conclude the exploratory phase of these transverse spin phenomena carried out by HERMES and COMPASS

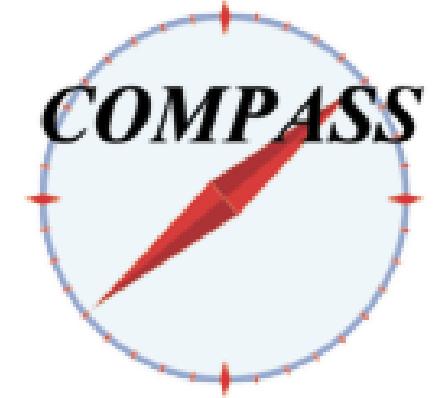
Much more will surely come from the next generation facilities

SOLID

EIC

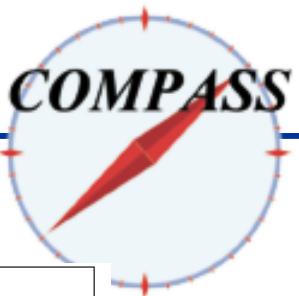
....





thank you !

THE PROTON DATA



- other TSAs

$$A_{UT}^{\sin \phi_s}$$

subleading twist
similar to HERMES results

$$A_{UT}^{\sin(3\phi_h - \phi_s)}$$

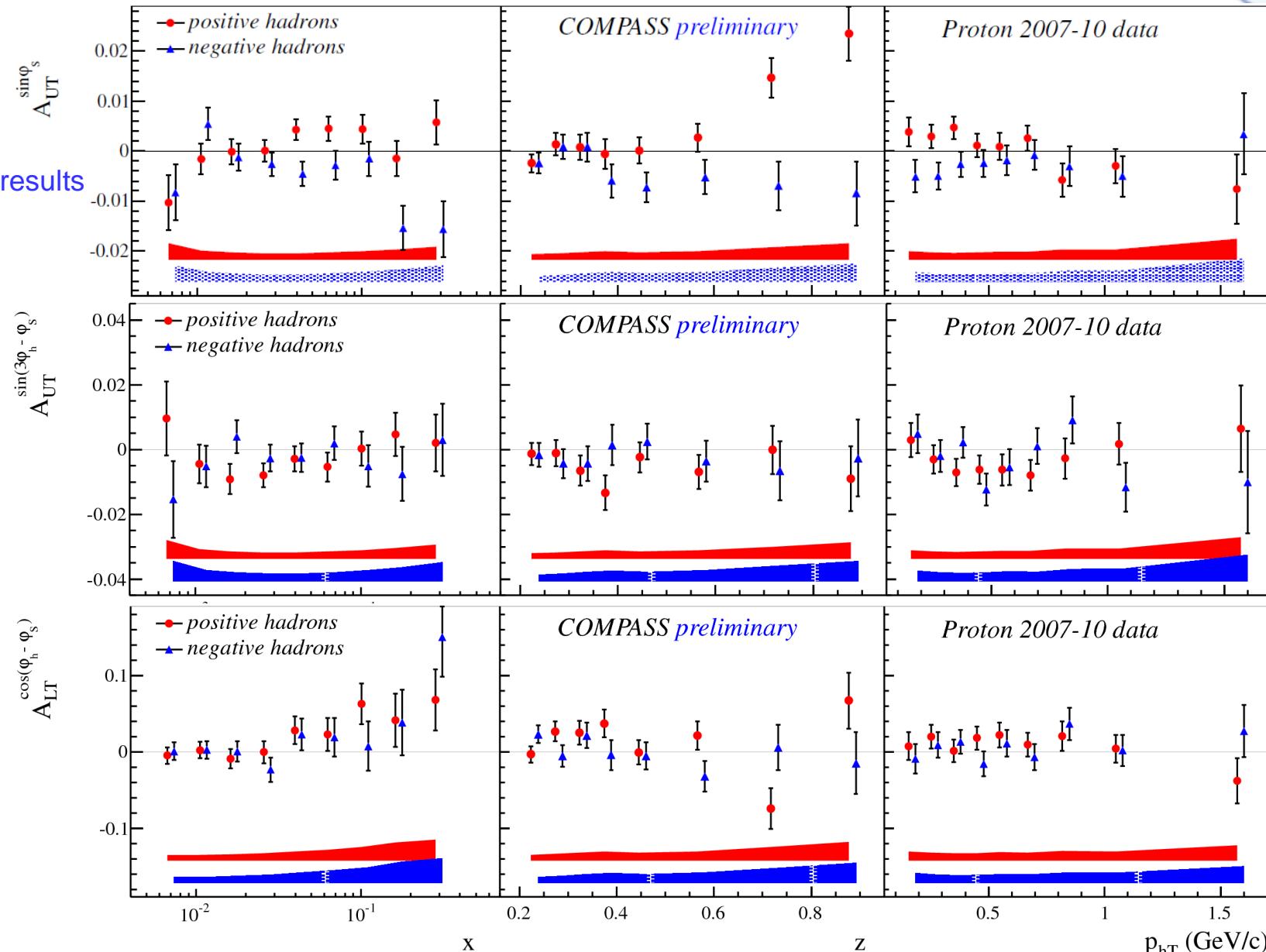
$$h_{1T}^\perp \otimes H_1^\perp$$

pretzelosity

$$A_{UT}^{\cos(\phi_h - \phi_s)}$$

$$g_{1T} \otimes D_1$$

worm-gear T
Kotzinian- Mulders



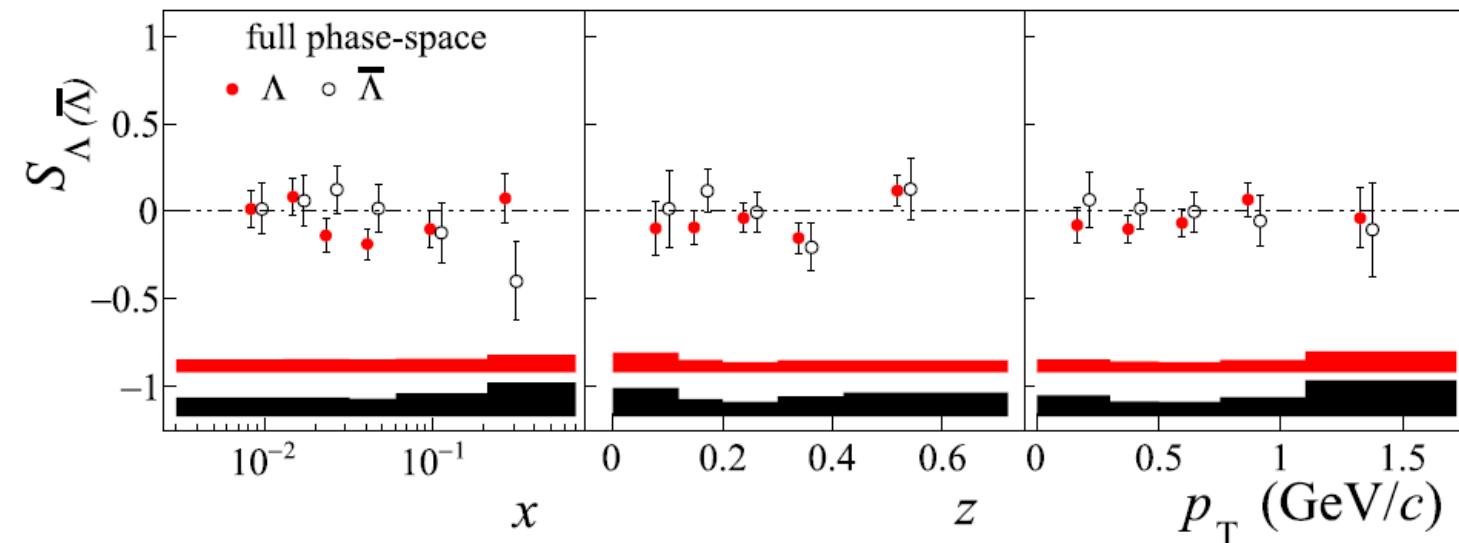
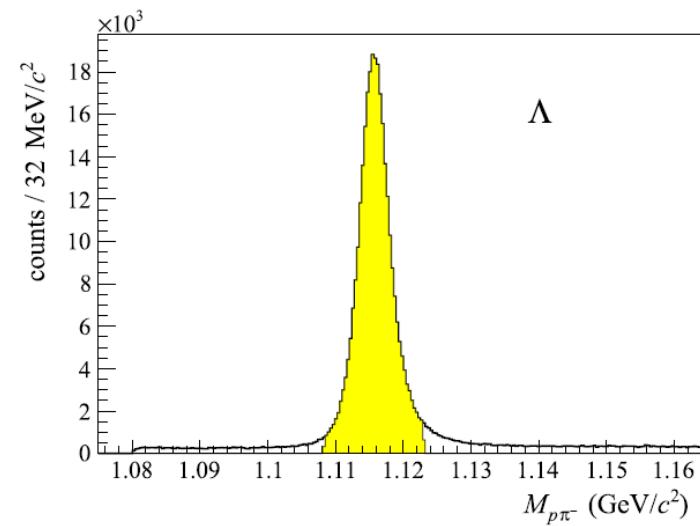
THE PROTON DATA



- transversity induced $\Lambda/\bar{\Lambda}$ polarization

PLB 824 (2022) 136834

$$S_{\Lambda(\bar{\Lambda})} = \frac{\sum_q e_q^2 h_1^q H_{1,q}^{\Lambda(\bar{\Lambda})}}{\sum_q e_q^2 f_1^q D_{1,q}^{\Lambda(\bar{\Lambda})}}$$

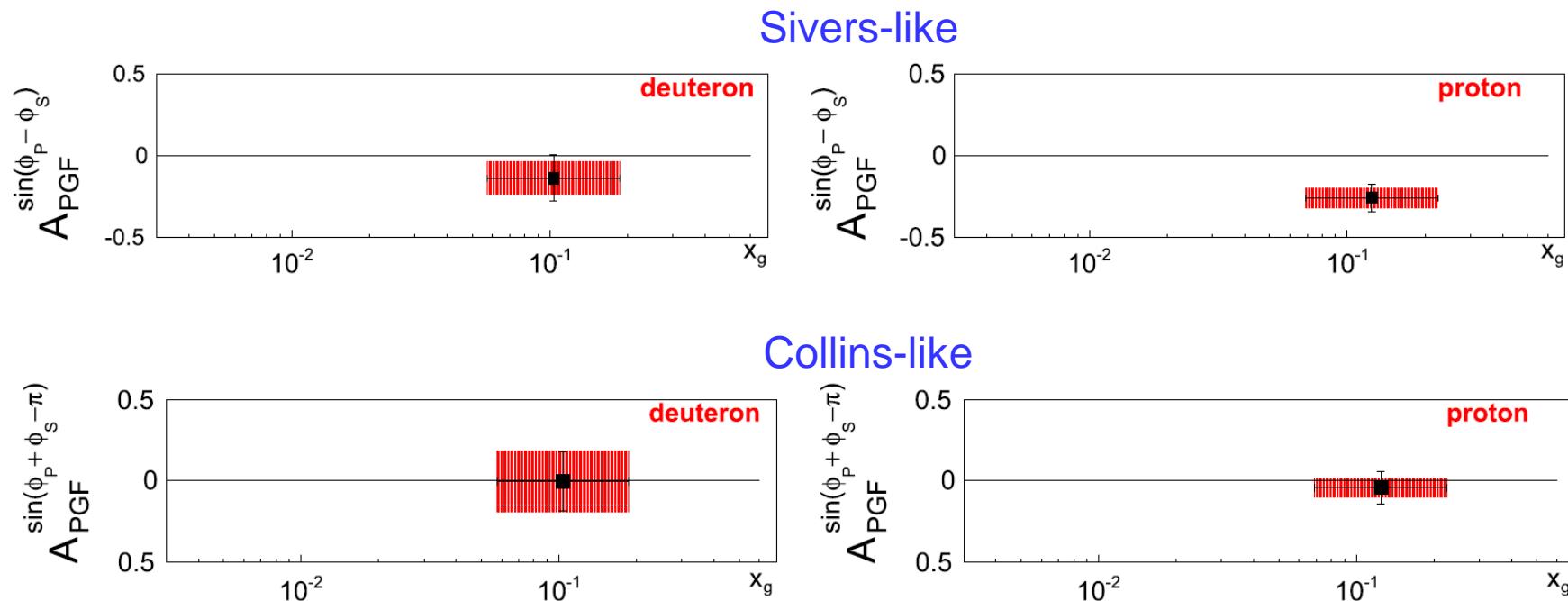


THE PROTON DATA



- TSAs for high P_T pairs from PGF events

PLB 772 (2017) 854



THE PROTON DATA



- J/Ψ Sivers asymmetry

