

A tour of QCD at hadron colliders.

Part 1 of 2

Enrico Bothmann
Institut für Theoretische Physik,
Universität Göttingen

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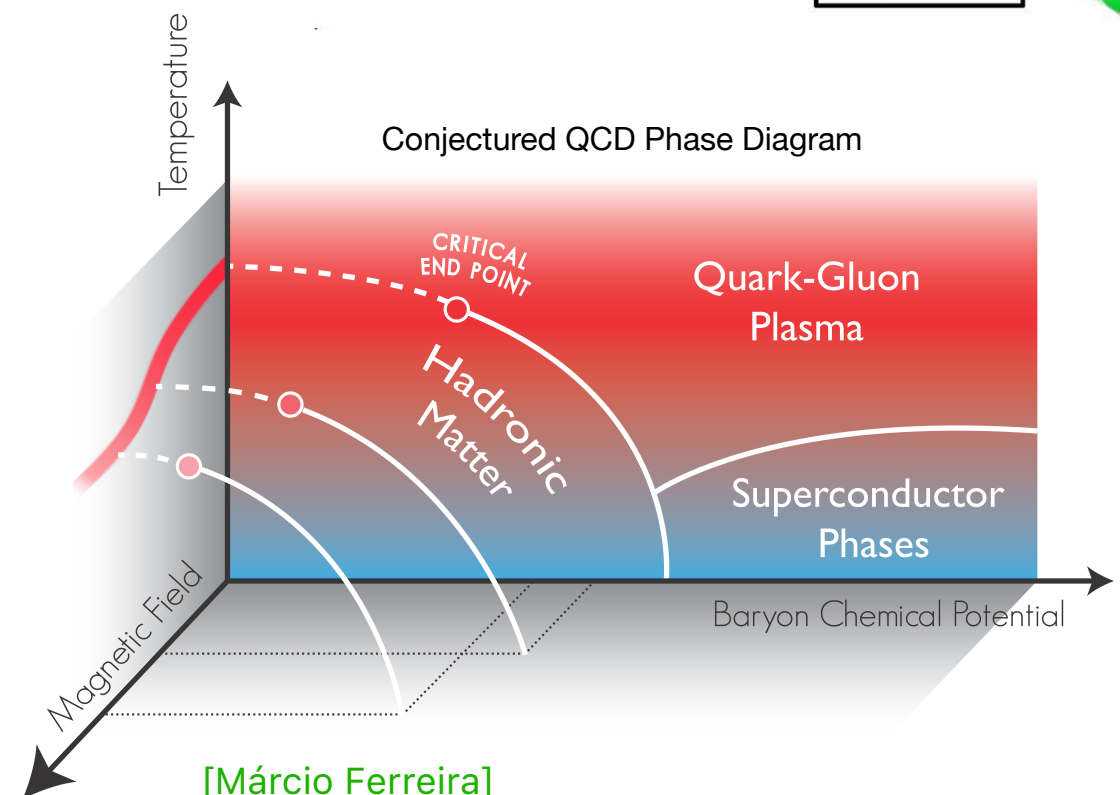
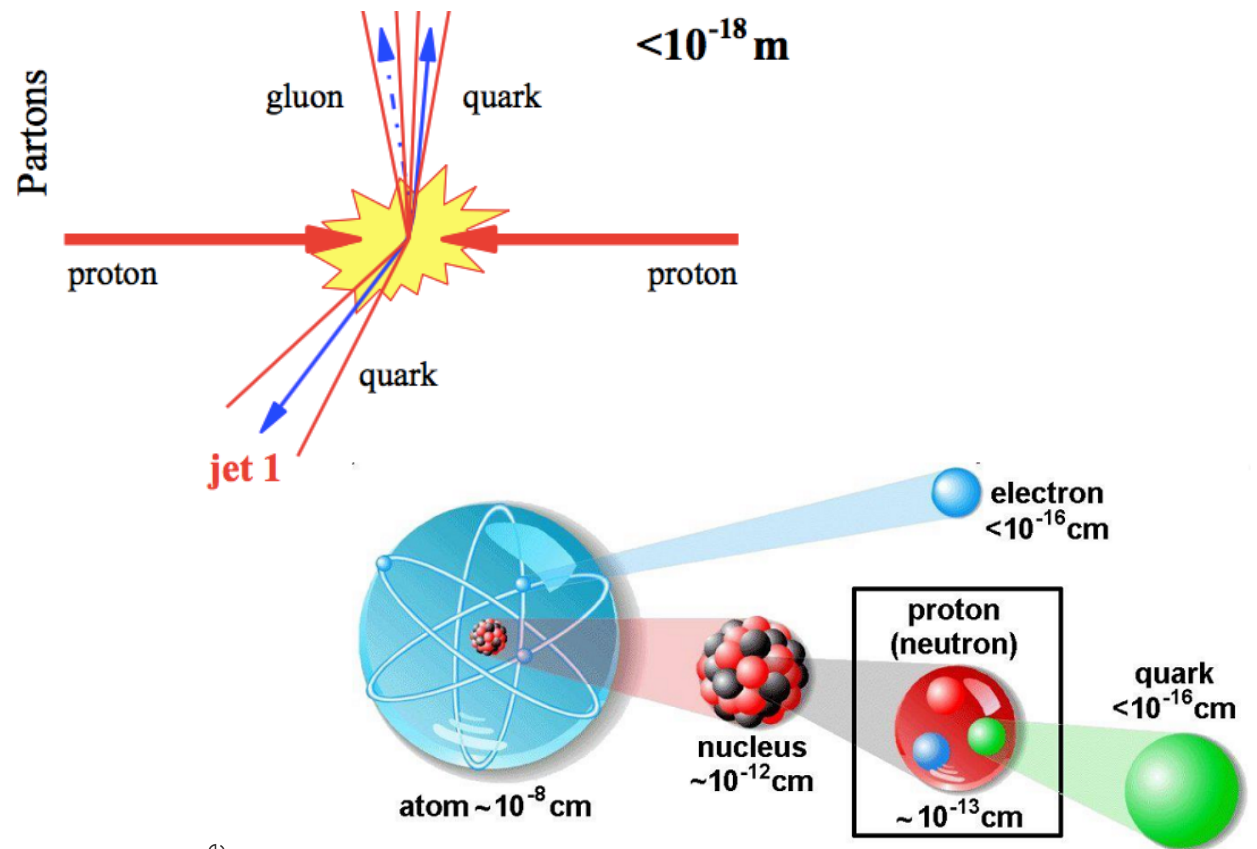


[Goran Duplancic]

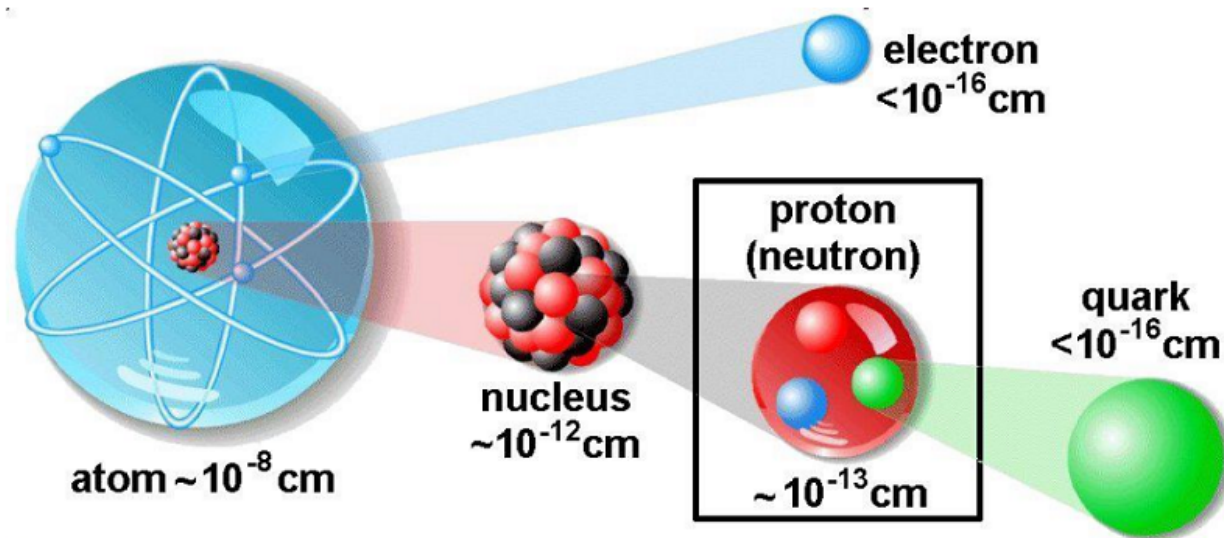
Quantum chromodynamics.

- accounts for strong interaction processes observed at colliders
 - hadronic jets & heavy-flavour production
 - short-distance parton structure of hadrons
- ➔ QCD plays a role in the prediction & interpretation of any LHC result
- ingredients
 - 3 families of quarks

$$\begin{pmatrix} u \\ d \end{pmatrix}, \begin{pmatrix} c \\ s \end{pmatrix}, \begin{pmatrix} t \\ b \end{pmatrix}$$
 each one has an anti-partner and comes in 3 „colour“ states
 - 1 gluon, comes in 8 „colour“ states
 - a relatively large coupling $\sim 1/10$ with a fast „inverse“ running



Quantum chromodynamics.



➔ QCD plays a role in the prediction & interpretation of any LHC result

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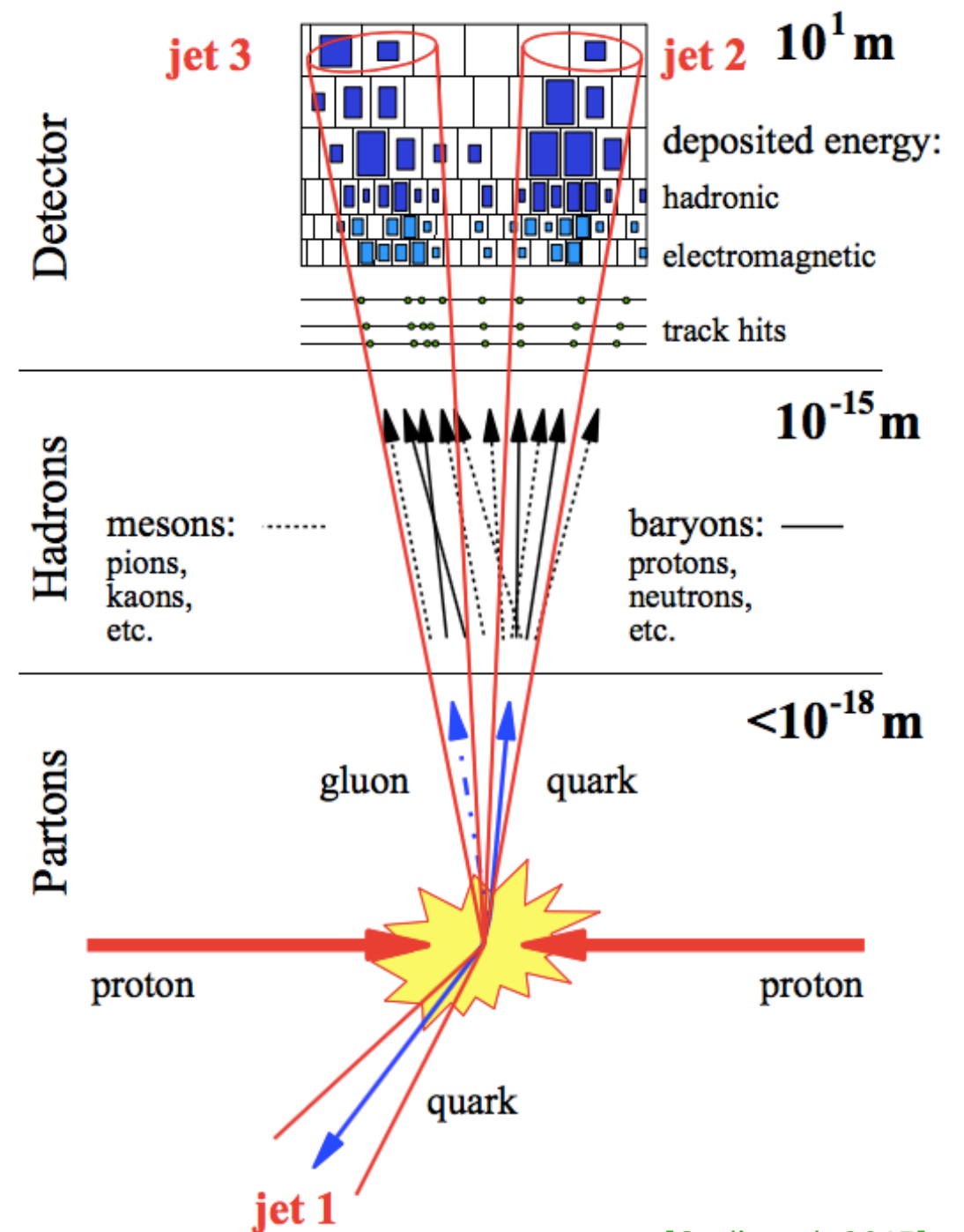
| field | mass [GeV] | spin | el. charge [e] | #colour |
|-------|--------------|-------|----------------|---------|
| d | ~ 0.005 | $1/2$ | $-1/3$ | 3 |
| u | ~ 0.002 | $1/2$ | $+2/3$ | 3 |
| s | ~ 0.1 | $1/2$ | $-1/3$ | 3 |
| c | ~ 1.3 | $1/2$ | $+2/3$ | 3 |
| b | ~ 4.2 | $1/2$ | $-1/3$ | 3 |
| t | ~ 172.8 | $1/2$ | $+2/3$ | 3 |
| g | 0 | 1 | 0 | 8 |

| interaction | long-distance | rel. strength* |
|-----------------|------------------------------|-----------------------|
| strong | $\sim r$ | 1 |
| electromagnetic | $\frac{1}{r^2}$ | 1.4×10^{-2} |
| weak | $\frac{1}{r} e^{-m_{W,Z} r}$ | 2.2×10^{-6} |
| gravity | $\frac{1}{r^2}$ | 1.2×10^{-38} |

*at 1 GeV = 0.2 fm = 2×10^{-14} cm

Aim of this tour.

- give you an overview about:
 - general features of QCD
 - QCD in hadron collisions
 - ... and their simulation
- not included in this tour:
 - details & derivations
 - lattice simulations
 - quark gluon plasma
 - neutron stars

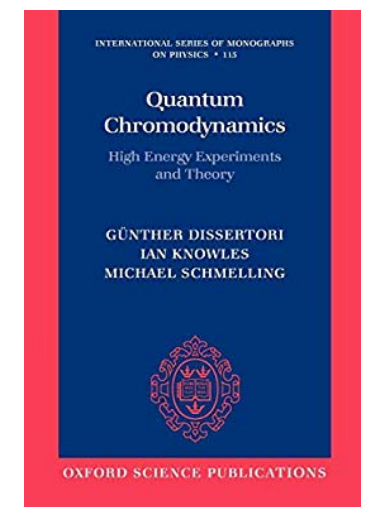
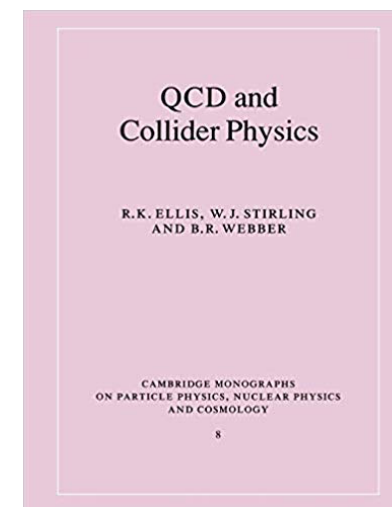


[Carli et al. 2015]

Contents.

- QCD basics
 - Colour & Lagrangian
 - Perturbation theory & Running coupling
- Soft & collinear singularities
- concepts of jets & parton showers
- QCD for processes with incoming protons
- Monte-Carlo event generators

- much of the material based on Steffen Schumann's 2012 HASCO lectures
- further reeding: Ellis, Stirling and Webber: „QCD and Collider Physics“ (aka „the pink book of QCD“); Dissertori, Knowles and Schmelling: „QCD High Energy Experiments and Theory“



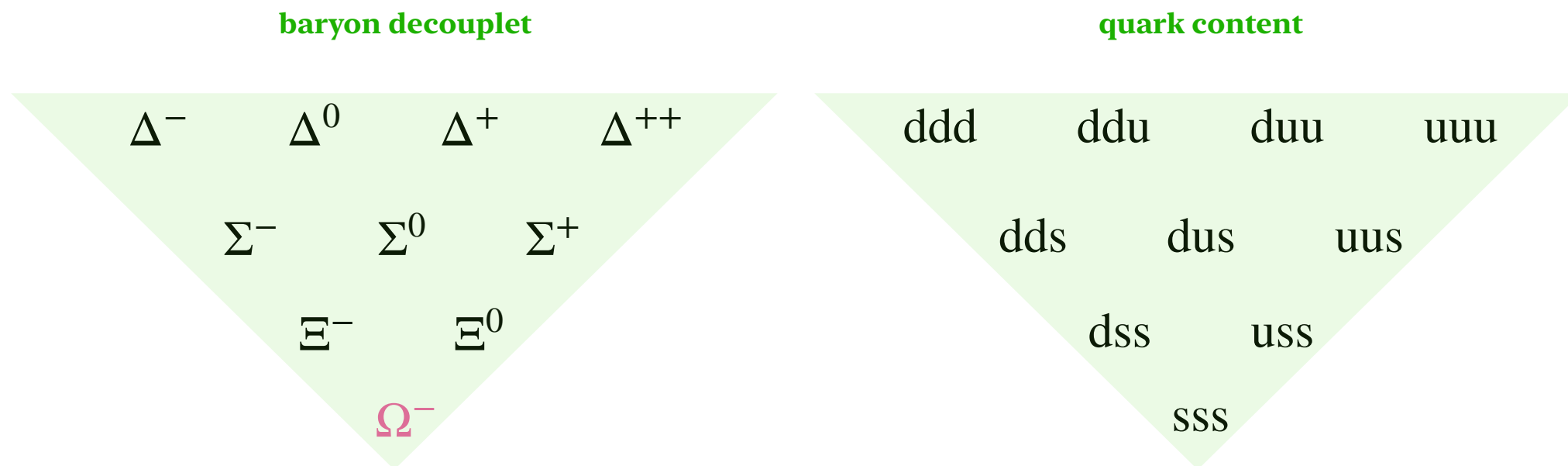
Exercise 1.1.1.1a: Given locality, causality, Lorentz invariance, and known physical data since 1860, show that the Lagrangian describing all observed physical processes (sans gravity) can be written:

QCD basics.

$$\begin{aligned}
& -\frac{1}{2}\partial_\nu g_\mu^a \partial_\nu g_\mu^a - g_s f^{abc} \partial_\mu g_\nu^a g_\mu^b g_\nu^c - \frac{1}{4}g_s^2 f^{abc} f^{ade} g_\mu^b g_\nu^c g_\mu^d g_\nu^e + \\
& \frac{1}{2}ig_s^2(\bar{q}_i^\sigma \gamma^\mu q_j^\sigma)g_\mu^a + \bar{G}^a \partial^2 G^a + g_s f^{abc} \partial_\mu \bar{G}^a G^b g_\mu^c - \partial_\nu W_\mu^+ \partial_\nu W_\mu^- - \\
& M^2 W_\mu^+ W_\mu^- - \frac{1}{2}\partial_\nu Z_\mu^0 \partial_\nu Z_\mu^0 - \frac{1}{2c_w^2}M^2 Z_\mu^0 Z_\mu^0 - \frac{1}{2}\partial_\mu A_\nu \partial_\mu A_\nu - \frac{1}{2}\partial_\mu H \partial_\mu H - \\
& \frac{1}{2}m_h^2 H^2 - \partial_\mu \phi^+ \partial_\mu \phi^- - M^2 \phi^+ \phi^- - \frac{1}{2}\partial_\mu \phi^0 \partial_\mu \phi^0 - \frac{1}{2c_w^2}M \phi^0 \phi^0 - \beta_h[\frac{2M^2}{g^2} + \\
& \frac{2M}{g}H + \frac{1}{2}(H^2 + \phi^0 \phi^0 + 2\phi^+ \phi^-)] + \frac{2M^4}{g^2}\alpha_h - igc_w[\partial_\nu Z_\mu^0(W_\mu^+ W_\nu^- - \\
& W_\nu^+ W_\mu^-) - Z_\nu^0(W_\mu^+ \partial_\nu W_\mu^- - W_\mu^- \partial_\nu W_\mu^+) + Z_\mu^0(W_\nu^+ \partial_\nu W_\mu^- - \\
& W_\nu^- \partial_\nu W_\mu^+)] - ig s_w[\partial_\nu A_\mu(W_\mu^+ W_\nu^- - W_\nu^+ W_\mu^-) - A_\nu(W_\mu^+ \partial_\nu W_\mu^- - \\
& W_\mu^- \partial_\nu W_\mu^+) + A_\mu(W_\nu^+ \partial_\nu W_\mu^- - W_\nu^- \partial_\nu W_\mu^+)] - \frac{1}{2}g^2 W_\mu^+ W_\mu^- W_\nu^+ W_\nu^- + \\
& \frac{1}{2}g^2 W_\mu^+ W_\nu^- W_\mu^+ W_\nu^- + g^2 c_w^2(Z_\mu^0 W_\mu^+ Z_\nu^0 W_\nu^- - Z_\mu^0 Z_\nu^0 W_\mu^+ W_\nu^-) + \\
& g^2 s_w^2(A_\mu W_\mu^+ A_\nu W_\nu^- - A_\mu A_\nu W_\mu^+ W_\nu^-) + g^2 s_w c_w[A_\mu Z_\nu^0(W_\mu^+ W_\nu^- - \\
& W_\nu^+ W_\mu^-) - 2A_\mu Z_\mu^0 W_\nu^+ W_\nu^-] - g\alpha[H^3 + H\phi^0 \phi^0 + 2H\phi^+ \phi^-] - \\
& \frac{1}{8}g^2 \alpha_h[H^4 + (\phi^0)^4 + 4(\phi^+ \phi^-)^2 + 4(\phi^0)^2 \phi^+ \phi^- + 4H^2 \phi^+ \phi^- + 2(\phi^0)^2 H^2] - \\
& gMW_\mu^+ W_\mu^- H - \frac{1}{2}g\frac{M}{c_w^2}Z_\mu^0 Z_\mu^0 H - \frac{1}{2}ig[W_\mu^+(\phi^0 \partial_\mu \phi^- - \phi^- \partial_\mu \phi^0) - \\
& W_\mu^-(\phi^0 \partial_\mu \phi^+ - \phi^+ \partial_\mu \phi^0)] + \frac{1}{2}g[W_\mu^+(H \partial_\mu \phi^- - \phi^- \partial_\mu H) - W_\mu^-(H \partial_\mu \phi^+ - \\
& \phi^+ \partial_\mu H)] + \frac{1}{2}g\frac{1}{c_w}(Z_\mu^0(H \partial_\mu \phi^0 - \phi^0 \partial_\mu H) - ig\frac{s_w^2}{c_w}MZ_\mu^0(W_\mu^+ \phi^- - W_\mu^- \phi^+) + \\
& ig s_w MA_\mu(W_\mu^+ \phi^- - W_\mu^- \phi^+) - ig\frac{1-2c_w^2}{2c_w}Z_\mu^0(\phi^+ \partial_\mu \phi^- - \phi^- \partial_\mu \phi^+) + \\
& ig s_w A_\mu(\phi^+ \partial_\mu \phi^- - \phi^- \partial_\mu \phi^+) - \frac{1}{4}g^2 W_\mu^+ W_\mu^- [H^2 + (\phi^0)^2 + 2\phi^+ \phi^-] - \\
& \frac{1}{4}g^2 \frac{1}{c_w^2}Z_\mu^0 Z_\mu^0 [H^2 + (\phi^0)^2 + 2(2s_w^2 - 1)\phi^+ \phi^-] - \frac{1}{2}g^2 \frac{s_w^2}{c_w}Z_\mu^0 \phi^0(W_\mu^+ \phi^- + \\
& W_\mu^- \phi^+) - \frac{1}{2}ig\frac{s_w^2}{c_w}Z_\mu^0 H(W_\mu^+ \phi^- - W_\mu^- \phi^+) + \frac{1}{2}g^2 s_w A_\mu \phi^0(W_\mu^+ \phi^- + \\
& W_\mu^- \phi^+) + \frac{1}{2}ig^2 s_w A_\mu H(W_\mu^+ \phi^- - W_\mu^- \phi^+) - g^2 \frac{s_w}{c_w}(2c_w^2 - 1)Z_\mu^0 A_\mu \phi^+ \phi^- - \\
& g^1 s_w^2 A_\mu A_\mu \phi^+ \phi^- - \bar{e}^\lambda(\gamma^\partial + m_e^\lambda)e^\lambda - \bar{\nu}^\lambda \gamma^\partial \nu^\lambda - \bar{u}_j^\lambda(\gamma^\partial + m_u^\lambda)u_j^\lambda - \\
& \bar{d}_j^\lambda(\gamma^\partial + m_d^\lambda)d_j^\lambda + ig s_w A_\mu[-(\bar{e}^\lambda \gamma^\mu e^\lambda) + \frac{2}{3}(\bar{u}_j^\lambda \gamma^\mu u_j^\lambda) - \frac{1}{3}(\bar{d}_j^\lambda \gamma^\mu d_j^\lambda)] + \\
& \frac{ig}{4c_w}Z_\mu^0[(\bar{\nu}^\lambda \gamma^\mu(1 + \gamma^5)\nu^\lambda) + (\bar{e}^\lambda \gamma^\mu(4s_w^2 - 1 - \gamma^5)e^\lambda) + (\bar{u}_j^\lambda \gamma^\mu(\frac{4}{3}s_w^2 - \\
& 1 - \gamma^5)u_j^\lambda) + (\bar{d}_j^\lambda \gamma^\mu(1 - \frac{8}{3}s_w^2 - \gamma^5)d_j^\lambda)] + \frac{ig}{2\sqrt{2}}W_\mu^+[(\bar{\nu}^\lambda \gamma^\mu(1 + \gamma^5)e^\lambda) + \\
& (\bar{u}_j^\lambda \gamma^\mu(1 + \gamma^5)C_{\lambda\kappa}d_j^\kappa)] + \frac{ig}{2\sqrt{2}}W_\mu^-[(\bar{e}^\lambda \gamma^\mu(1 + \gamma^5)\nu^\lambda) + (\bar{d}_j^\kappa C_{\lambda\kappa}^\dagger \gamma^\mu(1 + \\
& \gamma^5)u_j^\lambda)] + \frac{ig}{2\sqrt{2}}\frac{m_\lambda^\lambda}{M}[-\phi^+(\bar{\nu}^\lambda(1 - \gamma^5)e^\lambda) + \phi^-(\bar{e}^\lambda(1 + \gamma^5)\nu^\lambda)] - \\
& \frac{g}{2}\frac{m_\lambda^\lambda}{M}[H(\bar{e}^\lambda e^\lambda) + i\phi^0(\bar{e}^\lambda \gamma^5 e^\lambda)] + \frac{ig}{2M\sqrt{2}}\phi^+[-m_d^\kappa(\bar{u}_j^\lambda C_{\lambda\kappa}(1 - \gamma^5)d_j^\kappa) + \\
& m_u^\lambda(\bar{u}_j^\lambda C_{\lambda\kappa}(1 + \gamma^5)d_j^\kappa) + \frac{ig}{2M\sqrt{2}}\phi^-[m_d^\lambda(\bar{d}_j^\lambda C_{\lambda\kappa}^\dagger(1 + \gamma^5)u_j^\kappa) - m_u^\kappa(\bar{d}_j^\lambda C_{\lambda\kappa}^\dagger(1 - \\
& \gamma^5)u_j^\kappa) - \frac{g}{2}\frac{m_\lambda^\lambda}{M}H(\bar{u}_j^\lambda u_j^\lambda) - \frac{g}{2}\frac{m_\lambda^\lambda}{M}H(\bar{d}_j^\lambda d_j^\lambda) + \frac{ig}{2}\frac{m_\lambda^\lambda}{M}\phi^0(\bar{u}_j^\lambda \gamma^5 u_j^\lambda) - \\
& \frac{ig}{2}\frac{m_\lambda^\lambda}{M}\phi^0(\bar{d}_j^\lambda \gamma^5 d_j^\lambda) + \bar{X}^+(\partial^2 - M^2)X^+ + \bar{X}^-(\partial^2 - M^2)X^- + \bar{X}^0(\partial^2 - \\
& \frac{M^2}{c_w^2})X^0 + \bar{Y}\partial^2 Y + igc_w W_\mu^+(\partial_\mu \bar{X}^0 X^- - \partial_\mu \bar{X}^+ X^0) + ig s_w W_\mu^+(\partial_\mu \bar{Y} X^- - \\
& \partial_\mu \bar{X}^+ Y) + igc_w W_\mu^-(\partial_\mu \bar{X}^- X^0 - \partial_\mu \bar{X}^0 X^+) + ig s_w W_\mu^-(\partial_\mu \bar{X}^- Y - \\
& \partial_\mu \bar{Y} X^+) + igc_w Z_\mu^0(\partial_\mu \bar{X}^+ X^- - \partial_\mu \bar{X}^- X^+) + ig s_w A_\mu(\partial_\mu \bar{X}^+ X^- - \\
& \partial_\mu \bar{X}^- X^+) - \frac{1}{2}gM[\bar{X}^+ X^+ H + \bar{X}^- X^- H + \frac{1}{c_w^2}\bar{X}^0 X^0 H] + \\
& \frac{1-2c_w^2}{2c_w}igM[\bar{X}^+ X^0 \phi^+ - \bar{X}^- X^0 \phi^-] + \frac{1}{2c_w}igM[\bar{X}^0 X^- \phi^+ - \bar{X}^0 X^+ \phi^-] + \\
& igM s_w[\bar{X}^0 X^- \phi^+ - \bar{X}^0 X^+ \phi^-] + \frac{1}{2}igM[\bar{X}^+ X^+ \phi^0 - \bar{X}^- X^- \phi^0]
\end{aligned}$$

The quark model.

- „flavour“ $SU(3)_V$ structure observed in spectrum of light mesons & baryons
 - ➡ quark model: mesons (baryons) bound states of 2 (3) quarks, led e.g. to prediction & discovery of Ω^- @ Brookhaven 1964



- fractional quark electric charges to account for baryon charges → credibility issue
- quarks have spin-1/2 to account for observed baryon spins
- evidence for point-like constituents („partons“) inside hadron targets at SLAC 1970
further studies: partons conform to the quark model \sim quark-parton-model (QPM)
- Note: $SU(3)_V \neq SU(3)_c$... now let's talk about colour!

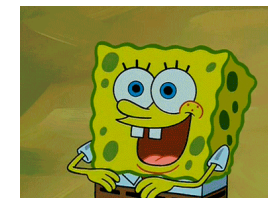
Colour.

- problem (also see intro lecture pt. 2): quarks e.g. in spin-3/2 baryon $\Delta^{++} = |u_{\uparrow}u_{\uparrow}u_{\uparrow}\rangle$ are in a fully symmetric state of space, spin and flavour

→ violation of Fermi–Dirac statistics!

- ➡ idea of extra d.o.f. „colour“, baryon wave function is then made antisymmetric in new colour index:

$$\Delta^{++} = \epsilon^{abc} |u_{a\uparrow}u_{b\uparrow}u_{c\uparrow}\rangle$$

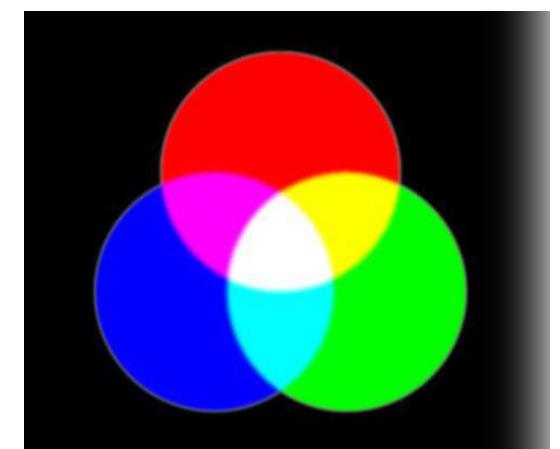
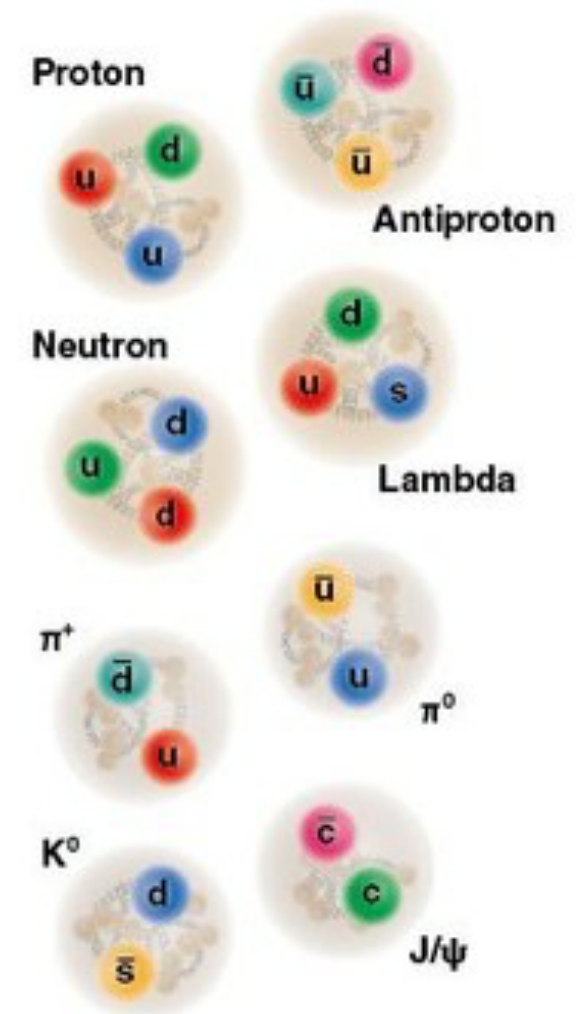


- next problem → many new states with different colours, but no such degeneracy was observed

- ➡ ad-hoc requirement of „confinement“: only colour-singlet states shall exist

- if colour group is $SU(3)_c$ & quarks in fundamental representation, basic colour singlets are

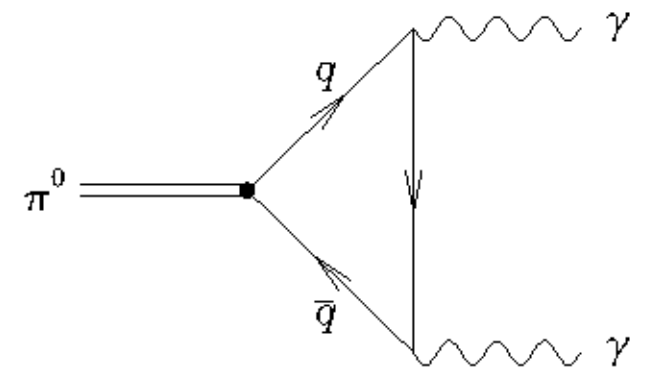
- $|q_a \bar{q}^a\rangle$ (mesons) *color+anticolour* = "white"
- $\epsilon^{abc} |q_a q_b q_c\rangle$ (baryons) *red+blue+green* = "white"



Colour: pion decays.

- so long descriptive .. what about predictions of $SU(3)_c$?
- decay $\pi^0 \rightarrow \gamma\gamma$, leading-order calculation gives

$$\Gamma^{\text{theo}}(\pi^0 \rightarrow \gamma\gamma) = \xi^2 \left(\frac{\alpha}{\pi} \right)^2 \frac{1}{64\pi} \frac{m_\pi^3}{f_\pi^2} = 7.6 \xi^2 \text{ eV}$$



$$|\pi^0\rangle = \frac{1}{\sqrt{2}} (|u\bar{u}\rangle - |d\bar{d}\rangle)$$

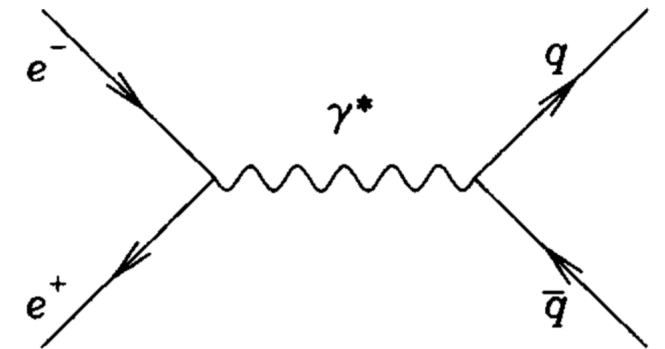
- pion decay constant $f_\pi \approx 93 \text{ MeV}$ measured independently from $\pi^- \rightarrow \mu^- \bar{\nu}_\mu$
- electric-charge-and-colour factor

$$\xi = N_C \cdot \left[\left(\frac{2}{3} \right)^2 - \left(-\frac{1}{3} \right)^2 \right] = N_C \cdot \frac{1}{3}$$

- experimental value $\Gamma^{\text{exp}}(\pi^0 \rightarrow \gamma\gamma) = 7.74(55) \text{ eV}$; $\sim \xi \approx 1 \sim N_C = 3$

[PDG]

Colour: R-factor.



- total cross-section ratio

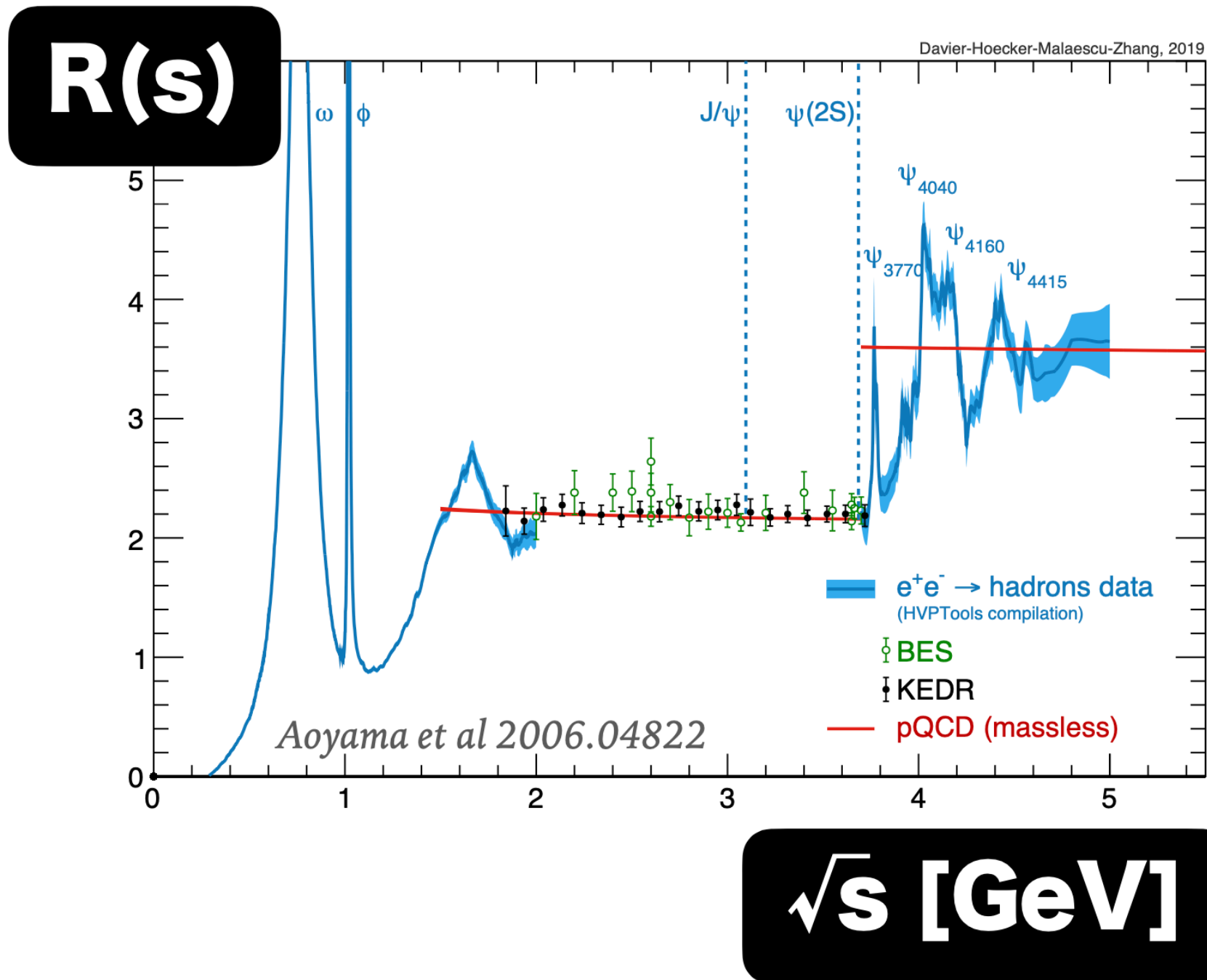
$$R = \sum_q \sigma_{\text{tot}}(e^+e^- \rightarrow q\bar{q}) / \sigma_{\text{tot}}(e^+e^- \rightarrow \mu^+\mu^-) = N_C \sum_q Q_q^2$$

- need to be over threshold to have quarks contribute to the sum:

$$\text{low energies} \rightsquigarrow d, u, s \quad \Rightarrow R = N_C \left[1 \left(\frac{2}{3} \right)^2 + 2 \left(-\frac{1}{3} \right)^2 \right] = N_C \frac{2}{3}$$

$$\text{intermediate energies} \rightsquigarrow d, u, s, c \Rightarrow R = N_C \left[2 \left(\frac{2}{3} \right)^2 + 2 \left(-\frac{1}{3} \right)^2 \right] = N_C \frac{10}{9}$$

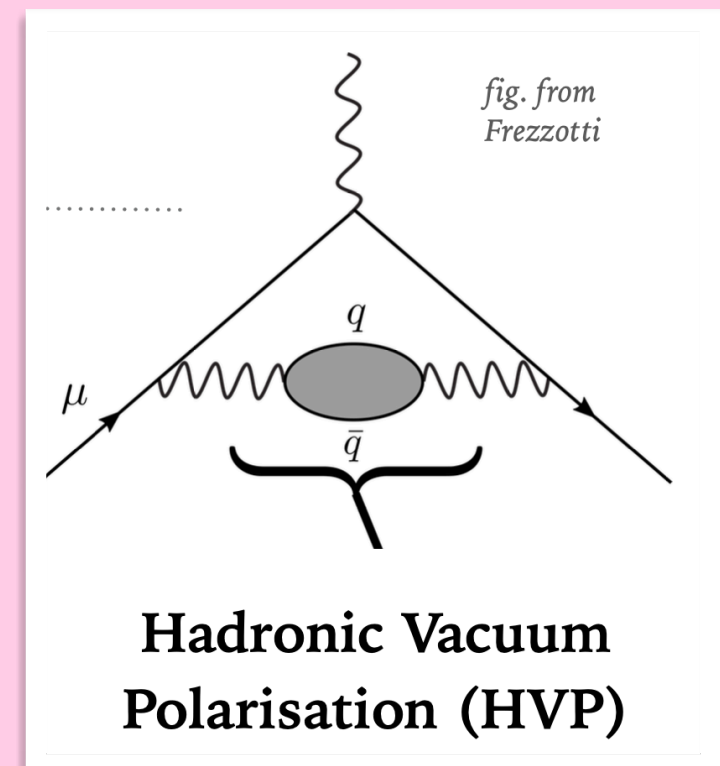
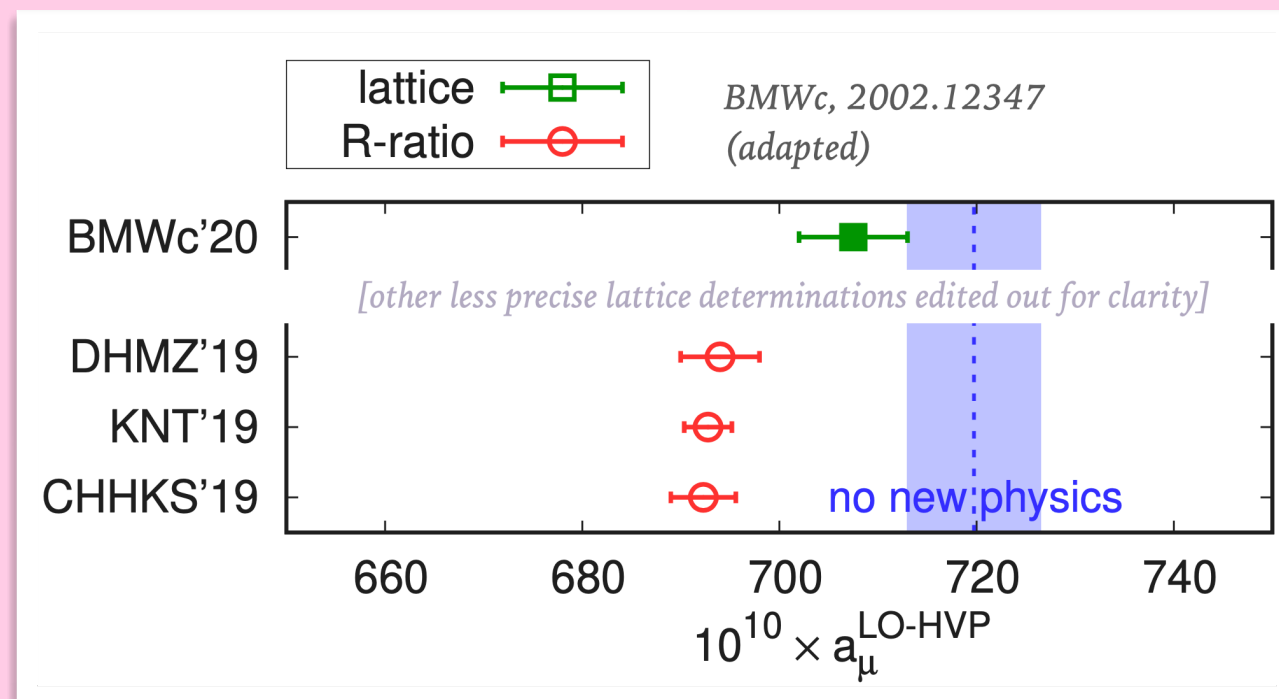
Colour: R-factor.



→ data taken at different collider energies support $N_C = 3$

Aside: R-factor and $g - 2$.

- anomalous magnetic moment of the muon shows a $\sim 4\sigma$ discrepancy (theory vs. data)
- largest theory uncertainty: HVP, determined by ...
 - option 1: re-interpret s-channel $e^+e^- \rightarrow$ hadrons R ratio data
 - option 2: simulate HVP on a space-time lattice



$SU(3)_C$ •

- $SU(3)$ generators are the Hermitian & traceless Gell-Mann matrices

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \lambda^8 = \begin{pmatrix} \frac{1}{\sqrt{3}} & 0 & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 \\ 0 & 0 & \frac{-2}{\sqrt{3}} \end{pmatrix}$$

- no evidence so far for a $\lambda^9 = \text{diag}(1,1,1)$ (essentially a second photon), which would give $U(3)_c$
- quarks are column vectors, anti-quarks are row vectors in colour space
- by convention for $SU(3)_C$ we take $t_{ab}^A \equiv \frac{1}{2}\lambda_{ab}^A \rightsquigarrow U = \exp(i\alpha_A t^A)$
- group structure constants f_{ABC} defined by $[t^A, t^B] = if_{ABC}t^C$
- $f_{ABC} \neq 0 \rightsquigarrow$ **non-abelian!**
- analogues for $SU(2)$ are the Pauli matrices σ_i and the structure constant ϵ_{ijk}

QCD Lagrangian building blocks.

- **quarks**

spin-1/2 quark fields ψ_q^a , with colour $a \in \{1,2,3\}$ (fundamental representation)

$$\mathcal{L}_{\text{free Dirac}} = \sum_q \bar{\psi}_q^a i\delta_{ab} \gamma^\mu \partial_\mu \psi_q^b - m_q \bar{\psi}_q^a \psi_q^a \quad \text{with } q \in \{u, d, s, c, b, t\}$$

- **gluons**

spin-1 gluon fields A_μ^A , with index $A \in \{1, \dots, 8\}$ (adjoint representation)

$$\mathcal{L}_{\text{pure Gluon}} = -\frac{1}{4} F_{\mu\nu}^A F^{A\mu\nu} \quad \text{with } F_{\mu\nu}^A = \partial_\mu A_\nu^A - \partial_\nu A_\mu^A - g_s f_{ABC} A_\mu^B A_\nu^C$$

- **quark-gluon interactions**

minimal coupling, restoring local gauge symmetry

$$\mathcal{L}_{\text{interaction}} = \sum_q g_s \bar{\psi}_q^a \gamma^\mu t_{ab}^A A_\mu^A \psi_q^b \quad \text{with } g_s^2 = 4\pi\alpha_s$$

QCD classical Lagrangian.

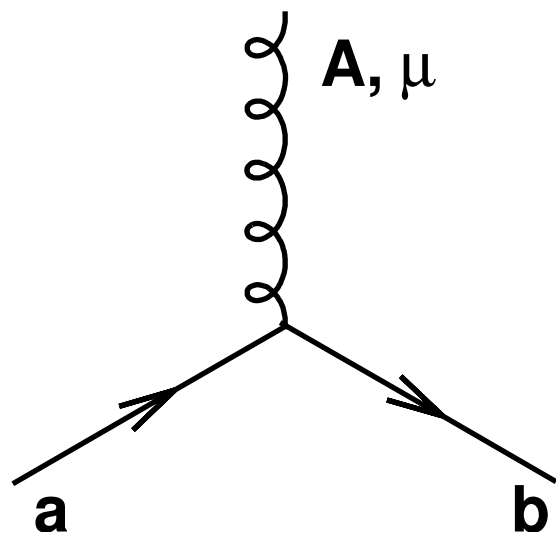
$$\begin{aligned}\mathcal{L}_{\text{QCD}} &= \mathcal{L}_{\text{free Dirac}} + \mathcal{L}_{\text{interaction}} + \mathcal{L}_{\text{pure Gluon}} \\ &= \sum_q \bar{\psi}_q^a \left(i\delta_{ab}\gamma^\mu \partial_\mu + g_s \gamma^\mu t_{ab}^A A_\mu^A \right) \psi_q^b - m_q \bar{\psi}_q^a \psi_q^a - \frac{1}{4} F_{\mu\nu}^A F^{A\mu\nu} \\ &= \sum_q \bar{\psi}_q^a \left(i\gamma^\mu (D_\mu)_{ab} - \delta_{ab} m_q \right) \psi_q^b - \frac{1}{4} F_{\mu\nu}^A F^{A\mu\nu}\end{aligned}$$

with $(D_\mu)_{ab} = \delta_{ab}\partial_\mu - ig_s t_{ab}^A A_\mu^A$ the $\text{SU}(3)_C$ covariant derivative

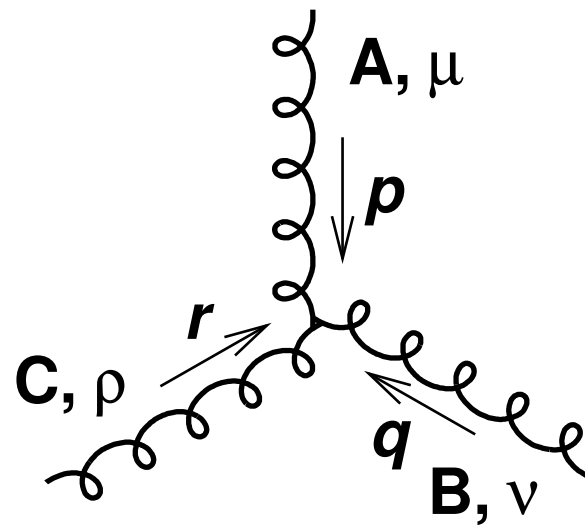
- share same form as QED (Yang-Mills), „only“ gauge symmetry differs
 - squared terms give quark and gluon propagators
 - no gluon mass term (would violate gauge symmetry)
 - quark–anti-quark–gluon interaction, **gluon³ and gluon⁴ self-interactions**
- construction of gluon propagator requires gauge-fixing terms (same as in QED) and—depending on the gauge—ghost fields that cancel unphysical d.o.f. (always decouple in QED since it is abelian)

QCD Feynman rules.

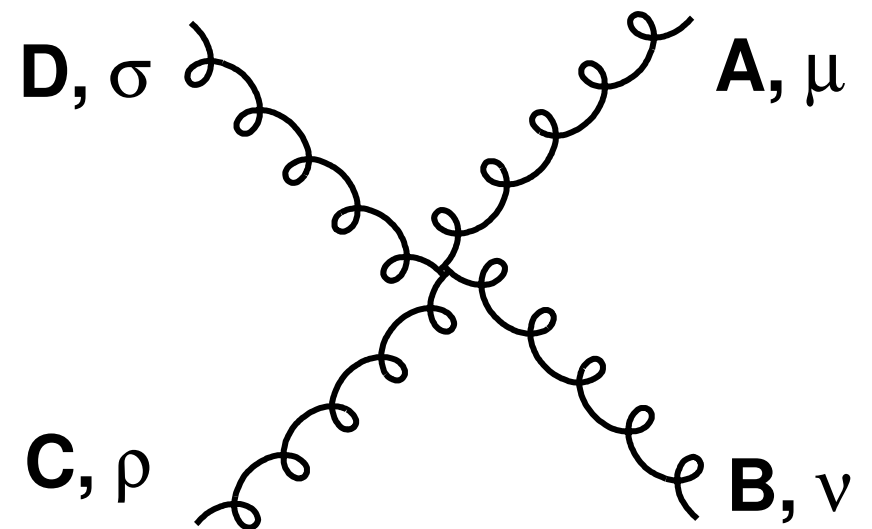
$$\mathcal{L}_{\text{QCD}} \supset \bar{\psi}_q^a \left(-ig_s \gamma^\mu t_{ab}^A A_\mu^A \right) \psi_q^b - g_s f^{ABC} (\partial_\mu A_\nu^A) A^{B\mu} A^{C\nu} - \frac{1}{4} g_s^2 f^{XAB} f^{XCD} A^{A\mu} A^{B\nu} A_\mu^C A_\nu^D$$



$$-ig_s t_{ba}^A \gamma^\mu$$

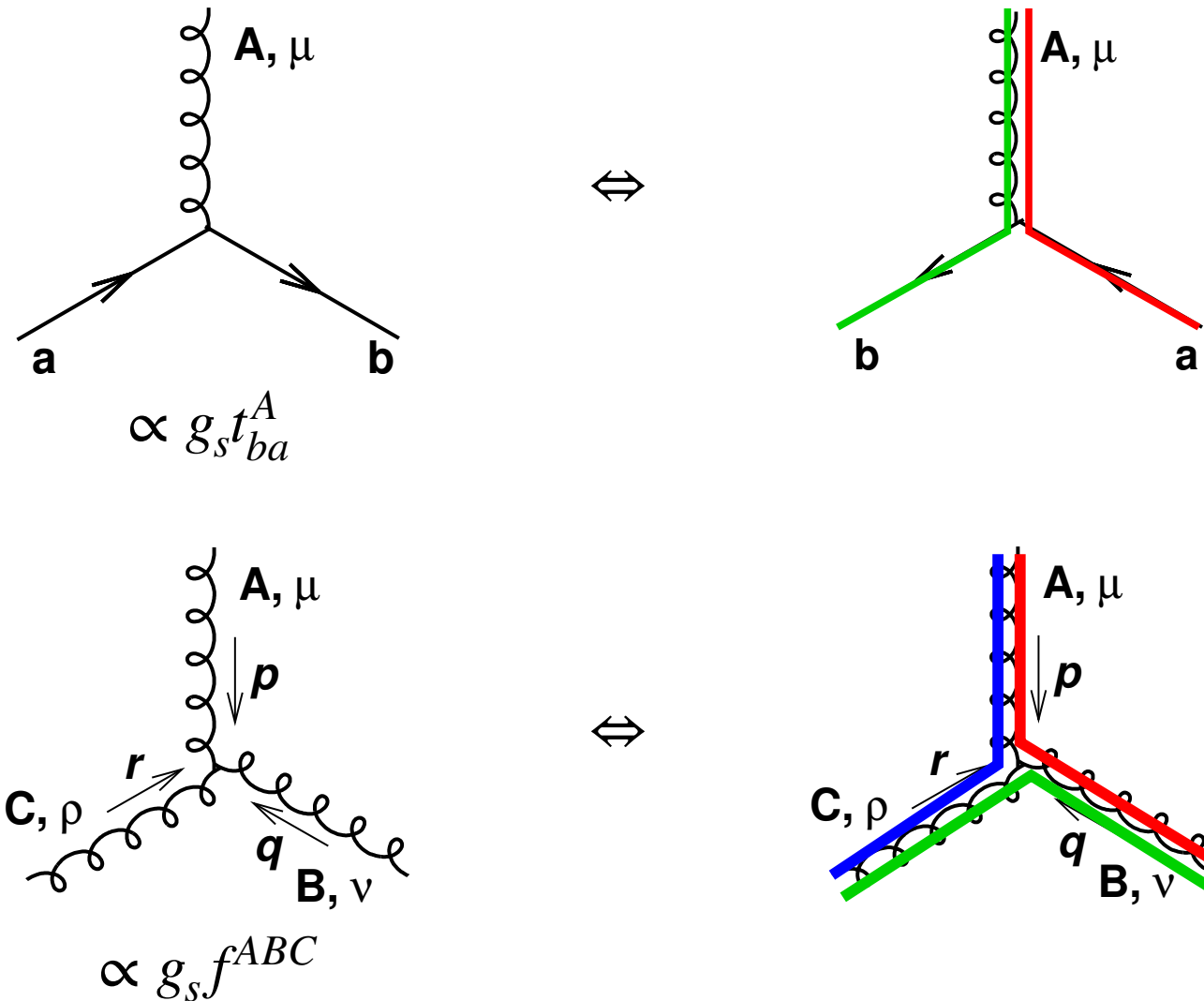


$$-g_s f^{ABC} [(p - q)^\rho g^{\mu\nu} + (q - r)^\mu g^{\nu\rho} + (r - p)^\nu g^{\rho\mu}]$$



$$-ig_s^2 f^{XAC} f^{XBD} [g^{\mu\nu} g^{\rho\sigma} - g^{\mu\sigma} g^{\nu\rho}] + (C, \gamma) \leftrightarrow (D, \rho) + (B, \nu) \leftrightarrow (C, \gamma)$$

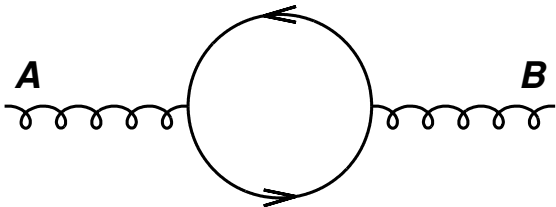
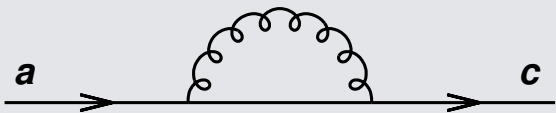
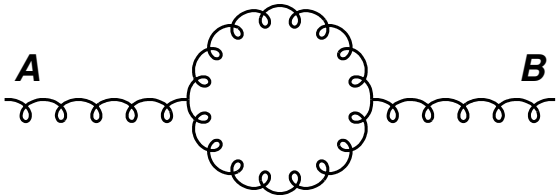
QCD colour flow.



- gluon is charged: carries colour and anti-colour
- ➡ gluon emission re-paints the mother parton

QCD colour algebra.

- useful $SU(N_C)$ colour algebra relations
 \leadsto appear when summing over colours of squared amplitudes

| trace relation | corresponding diagram |
|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| $\text{Tr}\{t^A t^B\} = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$ |  |
| $\sum_A t_{ab}^A t_{bc}^A = C_F \delta_{ac}, \quad C_F = \frac{N_c^2 - 1}{2N_c}$ |  |
| $\sum_{C,D} f^{ACD} f^{BCD} = C_A \delta^{AB}, \quad C_A = N_c$ |  |

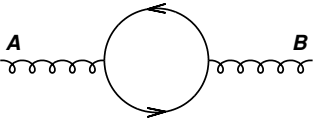

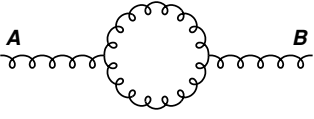
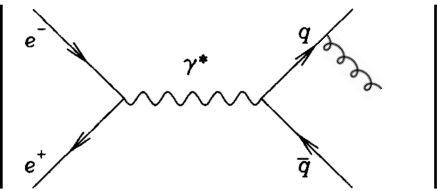
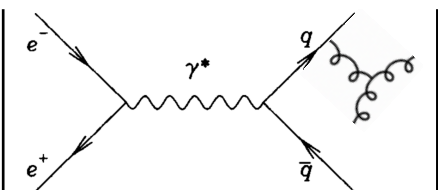
\leadsto gluon emissions are enhanced w/r/t gluon splittings into quark pairs

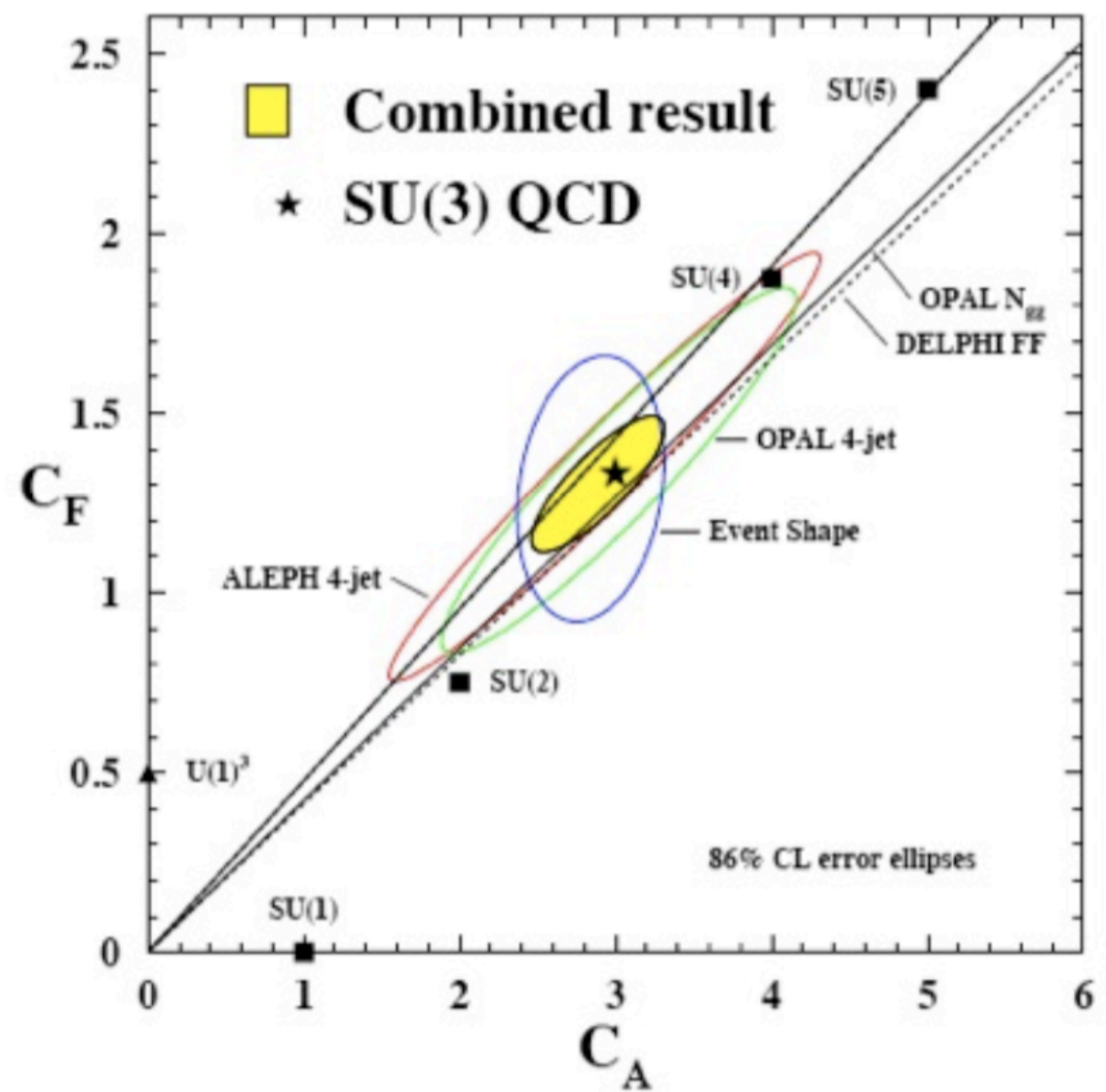
\leadsto in particular gluon emissions off other gluons come with a relatively large prefactor
 $C_A = 3$

\leadsto gluon corrections play an important role in QCD

QCD colour algebra.

→ Let's measure the $SU(N_C)$ group constants!

| trace relation | corresponding diagram |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| $\text{Tr}\{t^A t^B\} = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$ |  |
| $\sum_A t_{ab}^A t_{bc}^A = C_F \delta_{ac}, \quad C_F = \frac{N_c^2 - 1}{2N_c}$ |  |
| $\sum_{C,D} f^{ACD} f^{BCD} = C_A \delta^{AB}, \quad C_A = N_c$ |  |
| $\left \begin{array}{c} e^- \\ e^+ \end{array} \right\rangle \gamma^* \left \begin{array}{c} q \\ \bar{q} \end{array} \right\rangle \right ^2 \sim C_F$ |  |
| $\left \begin{array}{c} e^- \\ e^+ \end{array} \right\rangle \gamma^* \left \begin{array}{c} q \\ \bar{q} \end{array} \right\rangle \right ^2 \sim C_A$ |  |

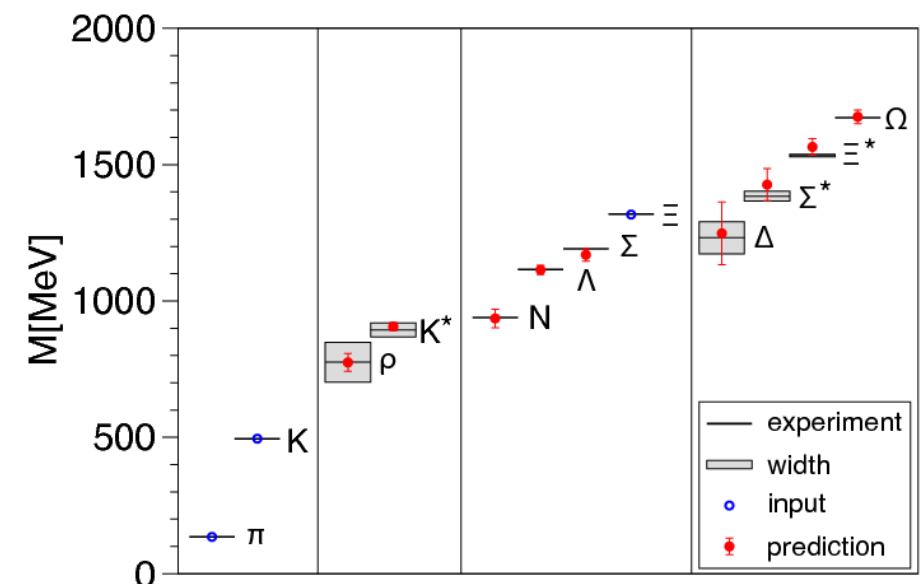


QCD perturbation theory I.

- given \mathcal{L} , we can start calculating physical observables ...

- **Lattice QCD approach:** numerical simulation in discretised space time

- suitable for static properties of hadrons, e.g. masses
- dynamical LHC collision events not tractable



- **Perturbative method:** order-by-order expansion relying on $\alpha_s \ll 1$:

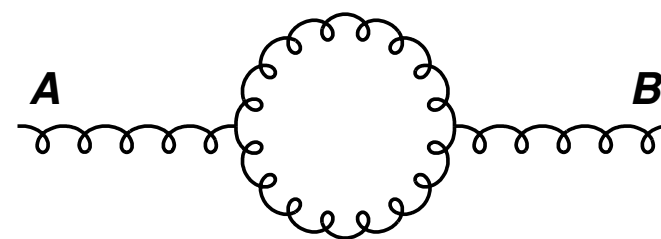
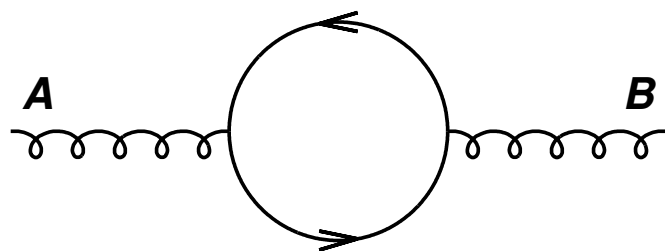
$$\mathcal{O} \approx C_0 + C_1 \alpha_s + C_2 \underbrace{\alpha_s^2}_{\text{small}} + C_3 \underbrace{\alpha_s^3}_{\text{smaller}} + \underbrace{\dots}_{\text{negligible?}}$$

- calculational complexity grows extremely fast with power of α_s
 \leadsto better be small, so we get away with first few orders!

The running coupling.

- perturbation series requires **renormalisation**, which removes UV divergences
 \leadsto introduces unphysical scale μ_R where divergence subtractions are performed
- problem when μ_R very different from process scale Q^2 , because Feynman diagrams with n loops contain terms $\sim (\alpha_s \ln \mu_R^2/Q^2)^n$
- can absorb such known corrections into **running coupling**: $\alpha_s \rightarrow \alpha_s(Q^2)$, then log terms at all orders are resummed!
- **β function** gives the dependence and can be calculated perturbatively:

$$\mu_R^2 \frac{\partial \alpha_s}{\partial \mu_R^2} = \beta(\alpha_s), \quad \beta(\alpha_s) = -\alpha_s^2 (b_0 + b_1 \alpha_s + b_2 \alpha_s^2 + \dots)$$

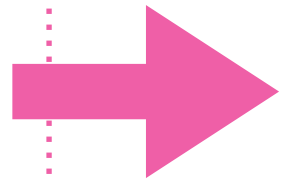


The running coupling.

$$\mu_R^2 \frac{\partial \alpha_S}{\partial \mu_R^2} = \beta(\alpha_S), \quad \beta(\alpha_S) = -\alpha_S^2(b_0 + b_1\alpha_S + b_2\alpha_S^2 + \dots)$$

$$b_0 = \frac{11C_A - 2n_f}{12\pi}, \quad b_1 = \frac{17C_A^2 - 5C_An_f - 3C_Fn_f}{24\pi^2}$$

- n_f = number of „active“ flavour, not large enough to make overall prefactor for β positive \leadsto coupling decreases for large energy transfers
- „anti-screening“ due to gluon self-interaction, i.e. consequence of non-abelian gauge group



asymptotic freedom

[Nobel price 2004 for Gross, Polizer, Wilczek]

- high $\mu_R^2 \leadsto$ small coupling \leadsto quarks/gluons interact weakly, perturbation theory works
- low $\mu_R^2 \leadsto$ large coupling \leadsto quarks/gluons interact strongly, perturbation theory fails (\sim confinement @ large distances)

The running coupling.

- at leading order (only retaining b_0 term), integrates to

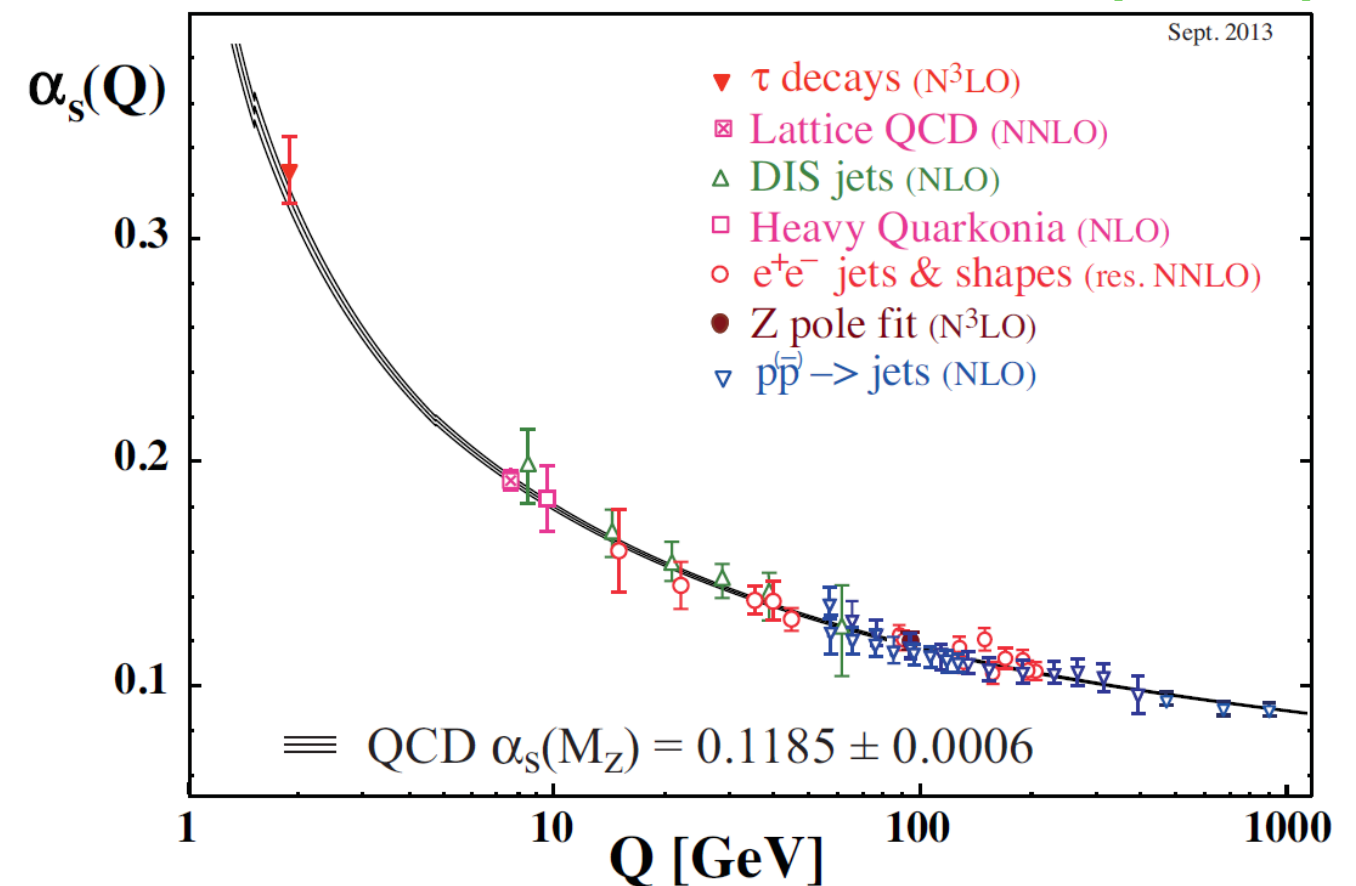
$$\frac{d\alpha_s}{d\ln\mu_R^2} = -b_0\alpha_s^2 \quad \rightsquigarrow \quad \alpha_s(\mu_R^2) = \frac{\alpha_s(\mu_0^2)}{1 + b_0\alpha_s(\mu_0^2)\ln\frac{\mu_R^2}{\mu_0^2}} = \frac{1}{b_0\ln\frac{\mu_R^2}{\Lambda_{\text{QCD}}^2}}$$

QED running:

$$\alpha(\mu_R^2) = \frac{\alpha_0}{1 - b_0\alpha_0\ln\frac{\mu_R^2}{m_e^2}}$$

- result expressed in terms of

- a reference scale μ_0 , e.g.
 $\mu_0 = m_Z^2 \leadsto$ measure $\alpha_s(\mu_0^2)$
- data $\rightarrow \alpha_s(m_Z^2) \simeq 0.118$
- or alternatively, the scale where the coupling formally diverges: $\Lambda_{\text{QCD}} \simeq 0.2 \text{ GeV}$
- perturbation theory valid for $\mu_R^2 \gg \Lambda_{\text{QCD}}^2$



determinations of α_s [Dissertori 1506.05407]

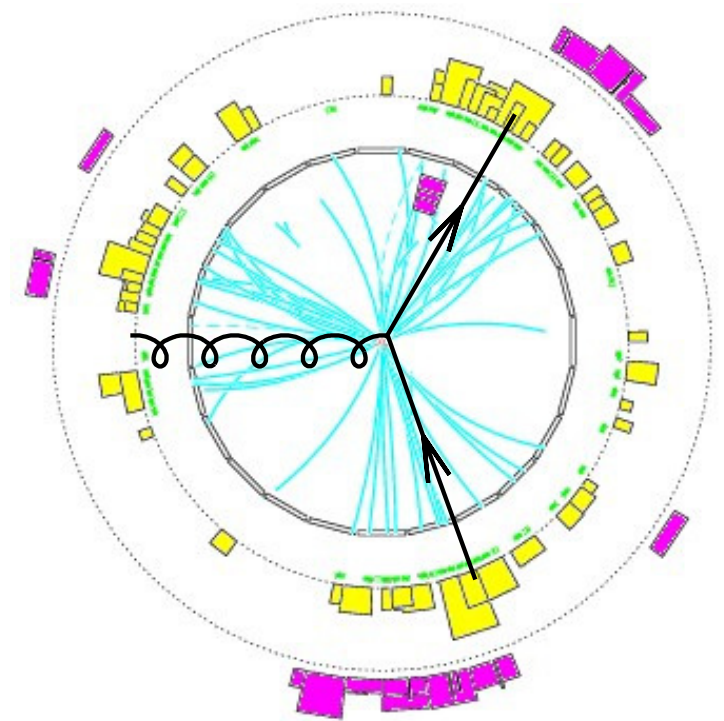
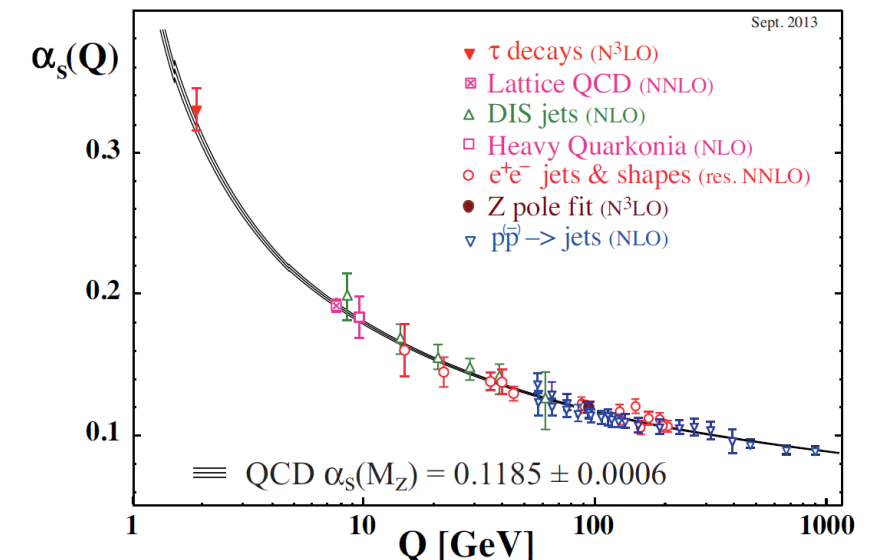
QCD perturbation theory II.

QCD perturbation theory at the LHC?

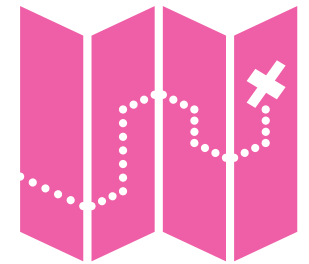
- typical scales at the LHC (e.g. for New Physics searches) $\mu \sim p_T \sim 30 \text{ GeV}$ to 5 TeV
 \leadsto small coupling ✓
- on the other hand:
 - collide protons with $m_p \simeq 1 \text{ GeV} \leadsto$ large coupling
 - detectors see hadrons, not free partons
 \leadsto perturbation theory does not apply
most striking: we see hundreds of hadrons in a typical event, so certainly there is no one-to-one parton-hadron correspondence for pQCD calculations to first or second order!

➡ PT can not give a full solution for QCD at colliders

the **factorisation theorem** will allow us to untangle perturbative QCD and non-perturbative modelling



How to proceed from here.

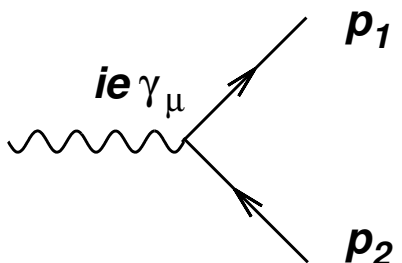


- QCD basics ✓
- what PT tells us about the structure of QCD events
 - soft-/collinear singularities and the concept of jets
 - parton distribution functions (PDF)
- methods to carry out QCD predictions
 - fixed-order perturbative calculations
 - Monte-Carlo event generators

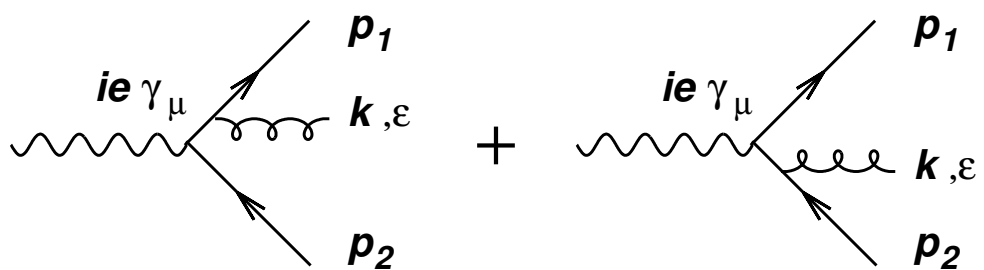
Soft & collinear
singularities and the
concept of jets.

Soft gluon emission amplitude.

$$(e^+e^- \rightarrow)\gamma^* \rightarrow q\bar{q}$$

$$\mathcal{M}_{q\bar{q}} = \text{diagram} = \bar{u}_a(p_1)ie_q\gamma_\mu\delta_{ab}v_b(p_2)$$


now emit a gluon with momentum k and polarisation vector ϵ

$$\mathcal{M}_{q\bar{q}g} = \text{diagram 1} + \text{diagram 2}$$


$$= -\bar{u}_a(p_1)ig_s\gamma_\nu\epsilon^\nu t_{ab}^A \frac{i\gamma_\sigma(p_1^\sigma + k^\sigma)}{(p_1 + k)^2} ie_q\gamma_\mu v_b(p_2) + \bar{u}_a(p_1)ie_q\gamma_\mu \frac{i\gamma_\sigma(p_2^\sigma + k^\sigma)}{(p_2 + k)^2} ig_s\gamma_\nu\epsilon^\nu t_{ab}^A v_b(p_2)$$

make gluon soft, i.e. $k \ll p_{1,2}$ and only keep leading terms

$$\mathcal{M}_{q\bar{q}g} \simeq \bar{u}_a(p_1)ie_q\gamma_\mu t_{ab}^A v_b(p_2) g_s \left(\frac{p_1 \cdot \epsilon}{p_1 \cdot k} - \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \right)$$

Soft gluon emission amplitude: squared.

$$|\mathcal{M}_{q\bar{q}g}|^2 \simeq \sum_{A,a,b,\text{pol}} \left| \bar{u}_a(p_1) i e_q \gamma_\mu t^A_{ab} v_b(p_2) g_s \left(\frac{p_1 \cdot \epsilon}{p_1 \cdot k} - \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \right) \right|^2$$

$$= -|M_{q\bar{q}}^2| C_F g_s^2 \left(\frac{p_1}{p_1 \cdot k} - \frac{p_2}{p_2 \cdot k} \right)^2 = |M_{q\bar{q}}^2| C_F g_s^2 \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)} = |M_{q\bar{q}}^2| C_F g_s^2 \frac{2}{E^2(1 - \cos \theta)}$$

now include phase-space factor: $d\Phi_{q\bar{q}g} \simeq d\Phi_{q\bar{q}} \frac{d^3 \vec{k}}{2E(2\pi)^3} = d\Phi_{q\bar{q}} \frac{E^2 dE \sin \theta d\theta d\phi}{2E(2\pi)^3}$

$$|\mathcal{M}_{q\bar{q}g}|^2 d\Phi_{q\bar{q}g} \simeq |\mathcal{M}_{q\bar{q}}|^2 d\Phi_{q\bar{q}} d\mathcal{S}$$

↪ factorisation into hard $q\bar{q}$ piece & soft-gluon emission probability $d\mathcal{S}$

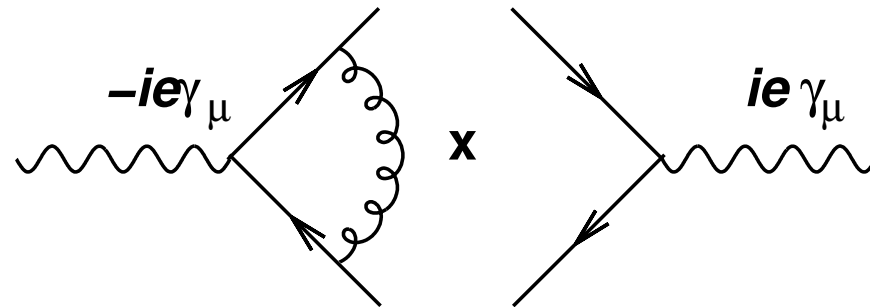
$$d\mathcal{S} = \frac{2\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}, \text{ with } \theta = \theta_{p_1 k} \text{ \& } \phi \text{ azimuth}$$

gluon emission singularity structure (process-independent):

- diverges for $E \rightarrow 0$, infrared/soft singularity
- diverges for $\theta \rightarrow 0$ and $\theta \rightarrow \pi$, collinear singularity

Real-virtual cancellation.

- $\mathcal{O}(\alpha_s)$ correction to total cross section also includes virtual contributions:



- total cross section must be finite, i.e. virtual must cancel the real divergence:

$$\sigma_{tot} = \sigma_{q\bar{q}} \left(1 + \frac{2\alpha_s C_F}{\pi} \int \frac{dE}{E} \int \frac{d\theta}{\sin \theta} R\left(\frac{E}{Q}, \theta\right) - \frac{2\alpha_s C_F}{\pi} \int \frac{dE}{E} \int \frac{d\theta}{\sin \theta} V\left(\frac{E}{Q}, \theta\right) \right)$$

- $R(E/Q, \theta)$ parametrises the full real-emission matrix element, last slide: $R \rightarrow 1$ for $E \rightarrow 0$
- $V(E/Q, \theta)$ parametrises virtual corrections
- for every divergence, R and V cancel: $\lim_{E \rightarrow 0} (R - V) = 0$ and $\lim_{\theta \rightarrow 0, \pi} (R - V) = 0$

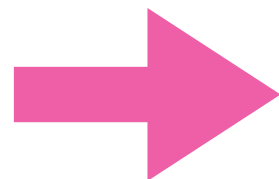
The total cross section.

The emerging picture

- corrections to σ_{tot} dominated by hard, large-angle gluons
- soft and/or collinear gluons play no role for σ_{tot}
 - collisions characterised by time scale $t_{\text{hard}} \sim 1/Q$
 - soft gluons emitted on long time scales $t_{\text{soft}} \sim 1/(E\theta^2)$
 \leadsto can not influence cross section
 - similarly: transition to hadrons occurs on long time scales $t_{\text{had}} \sim 1/\Lambda_{\text{QCD}}$
 \leadsto can thus be ignored
- with proper choice for scale of $\alpha_S, \mu_R = Q$, perturbation theory works well

$$\sigma_{\text{tot}} = \sigma_{q\bar{q}} \left(\underbrace{1}_{\text{LO}} + \underbrace{1.045 \frac{\alpha_s(Q^2)}{\pi}}_{\text{NLO}} + \underbrace{0.94 \left(\frac{\alpha_s(Q^2)}{\pi} \right)^2}_{\text{NNLO}} - \underbrace{15 \left(\frac{\alpha_s(Q^2)}{\pi} \right)^3}_{\text{NNNLO}} + \dots \right)$$

coefficients given for $Q = m_Z$



total cross sections are quantities that are **inclusive** w/r/t the number of additional QCD partons