

Measurement of Charm Production with Run 3 Early Data

Motivation, Status and Plan

R. Amalric, A. Burke, R. Caspary, M. J. Charles, E. Ejopu, C. Fitzpatrick, G. Frau,
E. M. Gersabeck, M. Gersabeck, A. Günther, S. Hansmann-Menzemer, P. Li, F.
Oliva, R. O'Neil, A. Pearce, M. R. J. Williams

14.03.2022



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386



LHCb Starter Kit Run3 Edition

Brief introduction on the Charm early measurements, already presented by Peilian Li during the [Charm meeting of the 26th of January](#)

HLT2 selections in place, merged in [charm-thor-hlt2-lines](#) branch of Moore
Rate and efficiency studies with MooreAnalysis performed (with and without UT at the reconstruction level)

First mass distribution plots from signal MC signal and minbias samples, using DecayTreeTuple and FunTuple

HLT2 efficiency comparison between MooreAnalysis and Moore+DaVinci chain

First mass fit scripts in place and tested with Run 2 tuples

Why early measurements?

Run 3 data taken with fully new detector and new data acquisition system soon this year

The first data will allow us to understand the detector and data processing pipeline

! Must be understood quickly and comprehensively

Early measurement with physics channel would be efficient way to validate the performance of our detector and reconstruction

Can provide necessary input for other analyses to begin, or more accurately characterize the running conditions

Why charm production measurements?

Charm has interesting phenomenology as beauty: oscillations and CP violation (unique probe into up-quark sector)

Huge production rate at LHCb with clear experimental signature

The cross section will constrain and test the predictions of perturbative QCD, provide inputs to LHC analyses and operations: e.g. background estimation

Measuring absolute production rates

Number of charm hadrons H_c produced within the detector acceptance ($2 < y < 5$): $N = \sigma L$

Useful to measure in kinematic bins: p_T and y

Select H_c with some inefficiencies to be accounted for, ε

Count H_c decaying to specific final states with known branching fractions, B

$$\frac{d^2\sigma_i(pp \rightarrow H_c X)}{dp_T dy} = \frac{1}{\Delta p_T \Delta y} \frac{N_i(H_c \rightarrow f + c.c.)}{\varepsilon_{i,\text{tot}}(H_c \rightarrow f) B(H_c \rightarrow f) L}$$

Measuring absolute production rates

$$\frac{d^2\sigma_i(pp \rightarrow H_c X)}{dp_T dy} = \frac{1}{\Delta p_T \Delta y} \frac{N_i(H_c \rightarrow f + c.c.)}{\varepsilon_{i,\text{tot}}(H_c \rightarrow f) B(H_c \rightarrow f) L}$$

$\Delta p_T \Delta y$: define a binning

- Follow Run 2 binning: 18 bins in $0 < p_T < 15$ GeV/c & 5 bins in $2.0 < y < 4.5$
- Extend to higher p_T if possible

$\varepsilon_{i,\text{tot}}(H_c \rightarrow f)$: evaluate the fraction of produced hadrons passing the whole selection

Signal yields $N_i(H_c \rightarrow f + c.c.)$: extract from the selected candidates via fits

L : rely on the measurement from luminosity group

Systematic uncertainties evaluation for all related: PID, Tracking, luminosity, etc.

Decay modes

- * Continue Run 2 measurements: important for detector validation (+cross-check modes)

$$D^0: D^0 \rightarrow K^- \pi^+ \text{ \& \ } K^+ \pi^- \pi^+ \pi^+$$

$$D^+ : D^+ \rightarrow D^0 \pi^+ \text{ with } D^0 \rightarrow K^- \pi^+ \text{ \& \ } K^+ \pi^- \pi^+ \pi^+$$

$$D^+ : D^+ \rightarrow K^- \pi^+ \pi^+ \text{ \& \ } K^+ K^+ \pi^+$$

$$D_s^+ : D_s^+ \rightarrow (K^+ K^-) \phi \pi^+ \text{ \& \ } (K^+ K^-)_{\text{non-}\phi} \pi^+$$

- * Additional meson modes: difficult for EM without UT, but HLT2 lines prepared

$$D^0: D^0 \rightarrow K_s^0 \pi^+ \pi^- \text{ \& \ } K_s^0 K^+ K^-$$

$$D^+ : D^+ \rightarrow D^0 \pi^+ \text{ with } D^0 \rightarrow K_s^0 \pi^+ \pi^- \text{ \& \ } K_s^0 K^+ K^-$$

- * Baryon modes: challenges to evaluate the PID and tracking efficiency of proton

$$\Lambda_c^+ : \Lambda_c^+ \rightarrow p K^- \pi^+$$

$$\Lambda_c^+ (\Lambda_c^0): \Lambda_c^+ \rightarrow p K^- \pi^+ (\Lambda_c^0 \rightarrow p K^- K^+ \pi^+)$$

MC samples

Generated with Sim10aU1 at $\sqrt{s} = 14$ TeV (Nu7.6-25ns)

Used for the preliminary study of HLT2 lines and efficiency estimation

Need another rounds of production depends on the updates of simulation and real data conditions

More statistics needed (few millions to ensure enough statistics in each kinematic bin)

Decay modes	Event type	number of events (10^3)	Type
$D^+ \rightarrow (D^0 \rightarrow K \pi^+) \pi^+$	27163003	200	xdigi
$D^+ \rightarrow (D^0 \rightarrow K \pi \pi^+) \pi^+$	27165073	200	xdigi
$D^+ \rightarrow (D^0 \rightarrow K_S^0 \pi \pi^+) \pi^+$	27265100	200	xdigi
$D^+ \rightarrow (D^0 \rightarrow K_S^0 K K^+) \pi^+$	27265101	200	xdigi
$D^+ \rightarrow K \pi^+ \pi^+$	21263010	200	xdigi
$D^+ \rightarrow K K^+ \pi^+$	21263002	200	xdigi
$D_s^+ \rightarrow \phi \pi^+$	23263020	200	xdigi
$\overset{+}{c} \rightarrow p^+ K \pi^+$	25203000	200	xdigi
$\overset{0}{c} \rightarrow p^+ K K \pi^+$	26104080	200	xdigi
$\overset{+}{c} \rightarrow p^+ K \pi^+$	26103090	200	xdigi

Mostly follow the cuts applied in Run 2 [LHCb-ANA-2016-019]

Lines merged in Moore in the branch **charm-thor-hlt2-lines**

Possible optimization will be checked next

Mode	Particles	Selections
$D^0 \rightarrow K \pi^+$	π^+, K	$p_T > 250 \text{ MeV}, p > 2 \text{ GeV}, \chi_{tr}^2 < 3, \chi^2_{IP} > 16$ $PID_K < 5 \text{ for } \pi^+, PID_K > 5 \text{ for } K$
	D^0	$1784 < m < 1944 \text{ MeV}, DOCA < 0.1 \text{ mm}, \chi^2_{vtx} < 10, \cos\theta_{dira} > 0.99985, VD\chi^2 > 49$
$D^+ \rightarrow D^0(K \pi^+)\pi^+$	soft π^+ D^+	$p_T(\pi^+) > 100 \text{ MeV}, \chi_{tr}^2 < 3$ $130 < m(D^+) - m(D^0) < 160 \text{ MeV}, \chi^2_{vtx} < 25$
$D^+ \rightarrow K \pi^+ \pi^+$ $D^+ \rightarrow K K^+ \pi^+$ $D^+(D_s^+) \rightarrow K K^+ \pi^+$	π^+, K	$p_T(\text{all3, any2, any1}) > 200, 400, 1000 \text{ MeV}, p > 2 \text{ GeV}, \chi_{tr}^2 < 3,$ $\chi^2_{IP}(\text{all3, any2, any1}) > 4, 10, 50, PID_K < 5 \text{ for } \pi^+, PID_K > 5 \text{ for } K$
	D^+, D_s^+	$1789 \text{ MeV} < m(D^+) < 1949 \text{ MeV}, 1889 \text{ MeV} < m(D_s^+) < 2049 \text{ MeV}$ $\chi^2_{vtx} < 25, \cos\theta_{dira} > 0.9994, VD\chi^2 > 16, \tau > 0.150 \text{ ps}$
$D^0 \rightarrow K \pi^+ \pi^- \pi^+$	π^+, K	$p_T > 200 \text{ MeV}, p > 2 \text{ GeV}, \chi_{tr}^2 < 3, \chi^2_{IP} > 4, PID_K < 5 \text{ for } \pi^+, PID_K > 5 \text{ for } K$
	D^0	$1784 \text{ MeV} < m(D^+) < 1944 \text{ MeV},$ $m(hhh) < m(hhhh)_{\max} - M(\pi), m(hh) < m(hhhh)_{\max} - 2 M(\pi)$ $\chi^2_{vtx} < 25, \cos\theta_{dira} > 0.9998, VD\chi^2 > 16, \tau > 0.150 \text{ ps}$

* $m(hhhh)_{\max} = 1954 \text{ MeV}, M(\pi) = 139.57061 \text{ MeV}$

Mostly follow the cuts applied in Run 2 Turbo lines

Possible optimization will be checked after the first commissioning run

χ_{trk}^2 and $GhostProb_{Trk}$ removed, UT not in place at the beginning of the data taking

PID variables are especially under comparison

- ! Run 2 PID cuts on PIDp-PIDK for proton removed for c^+ , \bar{c}^+
- ! PID cuts for c^0 loosen with respect to Run2
- ! Final choice to have the same PID cuts for c^+ , \bar{c}^+ , c^0

Mode	Particles	Selections
c^+ (\bar{c}^+) ! pK π^+	p, π, K	$p > 1 \text{ GeV}, \chi^2_{IP}(all3, any1, any2) > 6, 16, 9$ $P_T(all3, any1, any2, sum) > 200, 1000, 400, 3000 \text{ MeV}, p_p > 10 \text{ GeV}$ $PID_p > 5 \text{ for } p, PID_K < 5 \text{ for } \pi, PID_K > 5 \text{ for } K$
	c^+ \bar{c}^+	$2211 < m < 2362 \text{ MeV}, \chi^2_{vtx} < 10, \cos\theta_{dira} > 1.57, \tau > 0.15 \text{ ps}$ $2392 < m < 2543 \text{ MeV}, \chi^2_{vtx} < 10, \cos\theta_{dira} > 1.57, \tau > 0.15 \text{ ps}$
c^0 ! pK K π^+	p, π, K	$p > 1 \text{ GeV}, \chi^2_{IP}(all3, any1, any2) > 4, 8, 6$ $P_T(all3, any1, sum) > 500, 1000, 3000 \text{ MeV}, p_p > 10 \text{ GeV}$ $PID_p > 5 \text{ for } p, PID_K < 5 \text{ for } \pi, PID_K > 5 \text{ for } K$
	c^0 \bar{c}^0	$2396 < m < 2770 \text{ MeV}, \chi^2_{vtx} < 10, \cos\theta_{dira} > 0.01$

HLT2 lines & Rate estimation

R. Amalric, A. Gunther, P. Li, R. O'Neil

Ran over 15k old hlt1 itered minibias sample to estimate the rate

Prescale 1 at the moment as we don't expect an high lumi at the beginning of the data taking

Currently working also on the comparison between the expected signal rate (from theoretical computation) and the rate extracted with MooreAnalysis, ongoing study using minibias sample to introduce additional cuts to help to reduce the rate

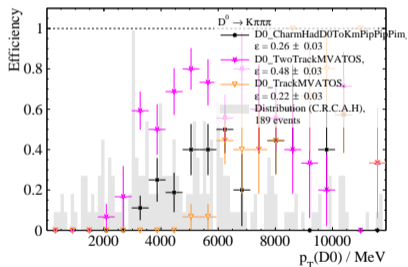
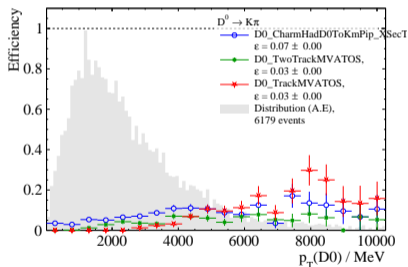
Mode	Line name	Incl. rate (kHz)	Excl. rate (kHz)
$D^0 ! K \pi^+ \pi \pi^+$	Hlt2CharmD0ToKmPipPipPim_XSecLine	8.81 0.76	5.61 0.60
$D^0 ! K \pi^+$	Hlt2CharmD0ToKmPip_XSecLine	11.55 0.87	8.94 0.76
$D^+ ! K K^+ \pi^+$	Hlt2CharmDToKmKpPip_XSecLine	4.27 0.53	1.46 0.31
$D^+ ! K \pi^+ \pi^+$	Hlt2CharmDToKmPipPip_XSecLine	11.95 0.08	10.28 0.82
$D^+ ! D^0(K \pi^+ \pi^+ \pi) \pi^+$	Hlt2CharmDstpToD0Pip_D0ToKmPipPipPim_XSecLine	2.07 0.37	0.0 0.0
$D^+ ! D^0(K \pi^+) \pi^+$	Hlt2CharmDstpToD0Pip_D0ToKmPip_XSecLine	2.20 0.38	0.0 0.0
$D_s^+ ! K^+ K \pi^+$	Hlt2CharmHadDsToKmKpPip_XSecLine	5.27 0.59	1.46 0.31
$D^0 ! K_s^0(K^+ K)_{DD}$	Hlt2CharmHadD0ToKKKsDD_XSecLine	0.13 0.09	0.0 0.0
$D^0 ! K_s^0(K^+ K)_{LL}$	Hlt2CharmHadD0ToKKKsLL_XSecLine	0.07 0.06	0.07 0.0
$D^0 ! K_s^0(\pi^+ \pi)_{DD}$	Hlt2CharmHadD0ToPiPiKsDD_XSecLine	1.00 0.25	0.80 0.23
$D^0 ! K_s^0(\pi^+ \pi)_{LL}$	Hlt2CharmHadD0ToPiPiKsLL_XSecLine	1.20 0.28	0.90 0.23
$D^+ ! D^0(K_s^0 K^+ K)_{DD} \pi^+$	Hlt2CharmHadDstToD0Pi_D0ToKKKsDD_XSecLine	0.07 0.06	0.0 0.0
$D^+ ! D^0(K_s^0 K^+ K)_{LL} \pi^+$	Hlt2CharmHadDstToD0Pi_D0ToKKKsLL_XSecLine	0.0 0.0	0.0 0.0
$D^+ ! D^0(K_s^0 \pi^+ \pi)_{DD} \pi^+$	Hlt2CharmHadDstToD0Pi_D0ToPiPiKsDD_XSecLine	0.13 0.09	0.0 0.0
$D^+ ! D^0(K_s^0 \pi^+ \pi)_{LL} \pi^+$	Hlt2CharmHadDstToD0Pi_D0ToPiPiKsLL_XSecLine	0.20 0.11	0.0 0.0
$\frac{c}{c} ! pK \pi^+$	Hlt2CharmHadLcpToPpKmPip_XSecLine	3.87 0.50	1.74 0.34
$\frac{c}{c} ! pK K \pi^+$	Hlt2CharmHadXic0ToPpKmKpPip_XSecLine	0.47 0.17	0.33 0.14
$\frac{c}{c} ! pK \pi^+$	Hlt2CharmHadXicpToPpKmPip_XSecLine	3.14 0.45	1.67 0.33
DD	Hlt2DoubleCharmMesonOS_XSecLine	0.27 0.13	0.0 0.0
DD	Hlt2DoubleCharmMesonSS_XSecLine	0.13 0.09	0.0 0.0
HLT2 Total:	Rate: (43.0 1.7) kHz		

Ran with Oct. FEST minibias with (Hlt1TrackMVA // Hlt1TwoTrackMVA): (41 4.9) kHz

Signal MC sample $D^0 \rightarrow K \pi^+$ with MooreAnalysis

$$\epsilon_{\text{triggered/acc,X}} = \frac{N_{\text{triggered}}}{N_{\text{acc,X}}}$$

- X= daughters in acceptance (left plot) + reconstructible + HLT1 triggered (right plot)
- HLT1 triggered by HLT1TrackMVA or HLT1TwoTrackMVA
- (pseudo) TOS: MC-matched

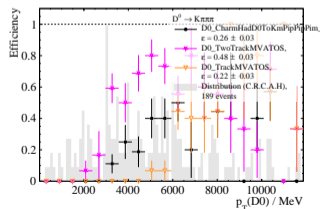
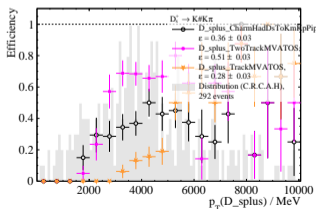
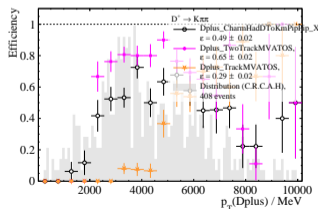
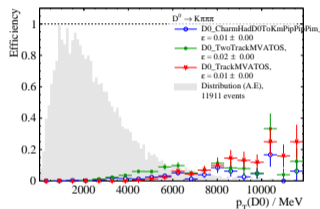
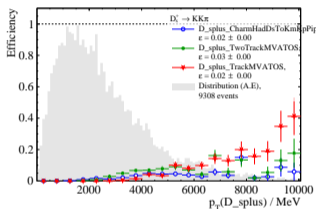
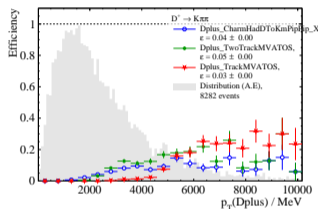


Study on HLT1 line with low p_T carried on by A. Gunther.

HLT1 TwoTrack line for low PT Hadrons added in A. Gunther branch ([Merge Request !751](#))

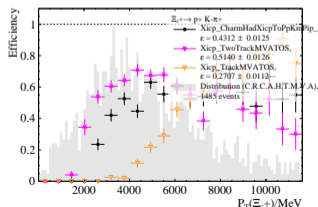
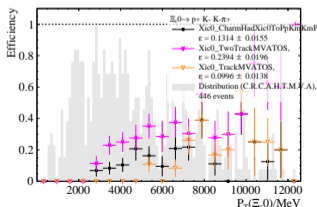
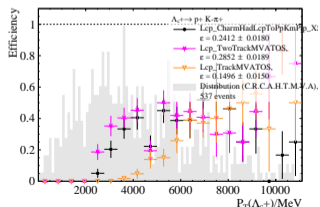
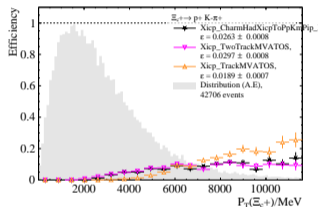
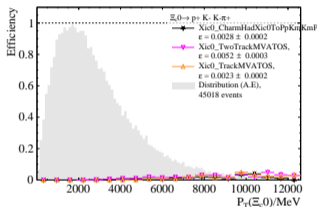
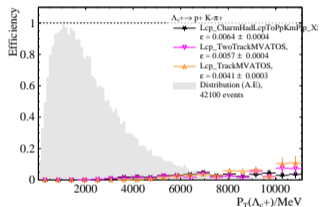
Signal MC samples $D^+ \rightarrow K \pi^+ \pi^+$, $D_s^+ \rightarrow K K^+ \pi^+$ and $D^0 \rightarrow K \pi^+ \pi^+ \pi^+$

- upper row: $\varepsilon_{\text{HLT2}/\text{acc}}$ (overall agree with Run 2)
- bottom row: $\varepsilon_{\text{HLT2}/X}$, $X = \text{acc} + \text{reconstructible} + \text{HLT1 triggered by HLT1TrackMVA or HLT1TwoTrackMVA}$



Signal MC samples $\Lambda_c^+ \rightarrow p K \pi^+$, $\Xi_c^0 \rightarrow p K K \pi^+$ and $\Xi_c^+ \rightarrow p K \pi^+$

- upper row: $\epsilon_{\text{HLT2}/\text{acc}}$ (overall agree with Run 2)
- bottom row: $\epsilon_{\text{HLT2}/X}$, X= acc.+reconstructible+HLT1 triggered by HLT1TrackMVA or HLT1TwoTrackMVA

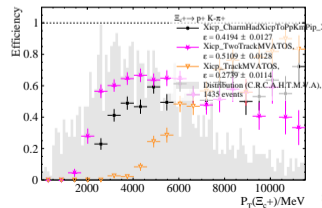
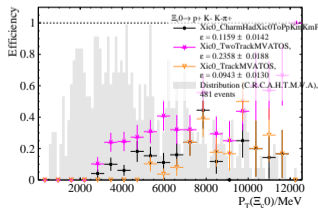
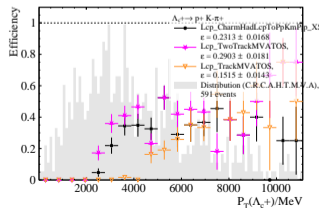
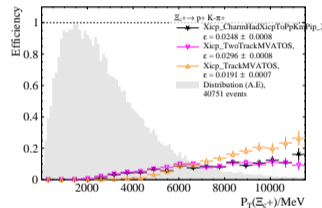
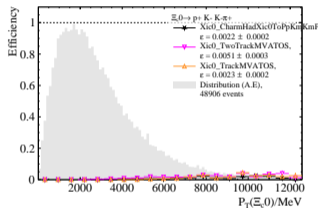
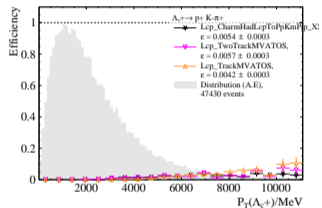


HLT1 & HLT2 efficiency studies without UT

Study performed to understand the expected efficiency during the first part of the data taking without UT (skipped in the reconstruction)

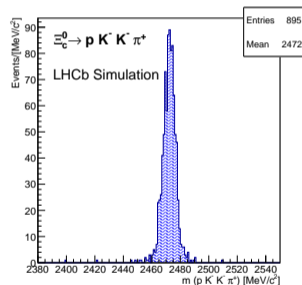
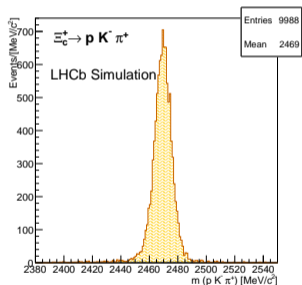
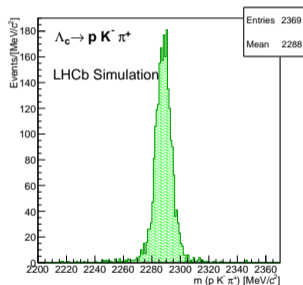
Efficiency reduced of the order 15% without UT info

Signal MC samples below: $\Lambda_c^+ \rightarrow p K \pi^+$, $\Xi_c^0 \rightarrow p K K \pi^+$ and $\Xi_c^+ \rightarrow p K \pi^+$



First mass distribution running available MC signal samples skipping UT at the reconstruction level

First plots produced with DaVinci v54r1 using still DecayTreeTuple



FunTuple also used, plots will be shown later for minbias

HLT2 efficiency comparison

MooreAnalysis (MA) & Moore+DV (M+DV) chain

First test with Baryon lines, HLT2 efficiency considered for MooreAnalysis over the entire number of generated events.

$\frac{+}{c} ! pK \pi^+$

MC events from Dirac Portal: MD 201046, MU 204027, Tot 405073

tot events Truth Matching only $\frac{+}{c} = 2369$

$\epsilon_{M+DV} = 0.0058, \epsilon_{MA} = 0.0054$

$\frac{0}{c} ! pK K \pi^+$

MC events from Dirac Portal: MD 201707, MU 202955, Tot 404662

tot events Truth Matching only $\frac{0}{c} = 895$

$\epsilon_{M+DV} = 0.0022, \epsilon_{MA} = 0.0022$

$\frac{+}{c} ! pK \pi^+$

MC events from Dirac Portal: MD 200351, MU 200948, Tot 401299

tot events Truth Matching only $\frac{+}{c} = 9988$

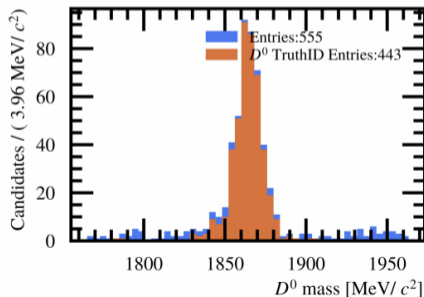
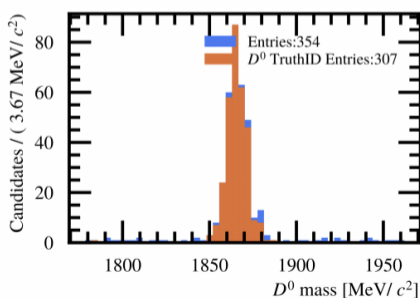
$\epsilon_{M+DV} = 0.025, \epsilon_{MA} = 0.0248$

First reconstruction efficiency studies from MC signal samples

Reconstruction efficiency ratio without UT extracted by R. Almalric for D^+ : $D^+ \rightarrow D^0(! K_S^0 \pi \pi) \pi^+$ extracted for $K_S(\text{LL})$ (left) and $K_S(\text{DD})$ (right) ([slides](#))

Ratio DD/LL different from the Run 2

Lower reconstruction efficiency of downstream tracks to be further investigate in the future

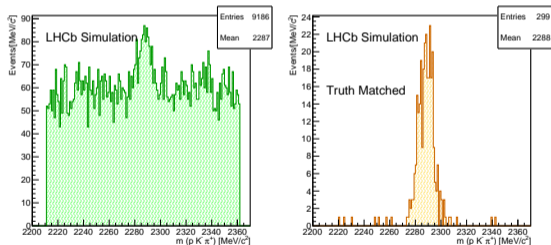


First mass distribution running minbias with high stats skipping UT at the reconstruction level

24 M events available for MagDown only (minbias with the highest statistics available)

BK path: /MC/Upgrade/30000000/Beam7000GeV-Upgrade-MagDown-Nu7.6-25ns-Pythia8/Sim10aU1/DIGI

First plots produced for baryons (Λ_c^+ , pK , π^+ below) and mesons (next slide).

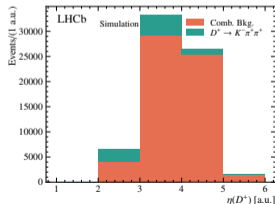
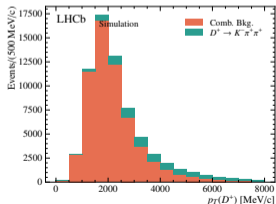
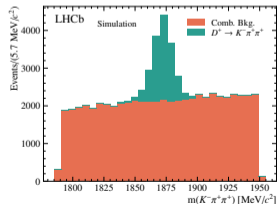
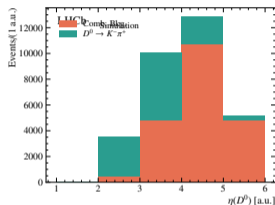
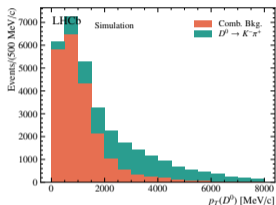
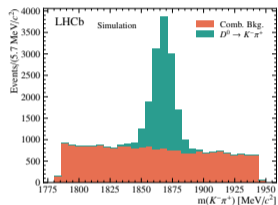


Higher background with respect Run2 expected, due to the higher luminosity

Ongoing studies to choose online cuts to reduce background, looking at the purity of the signal

First mass distribution running minbias with high stats skipping UT at the reconstruction level

Working FunTuple code used, developed and tested by A. Günther

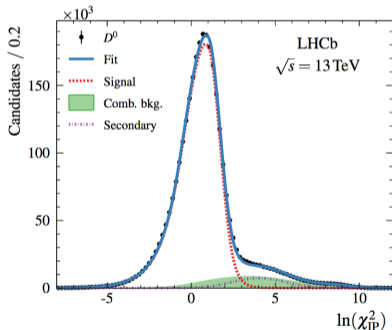
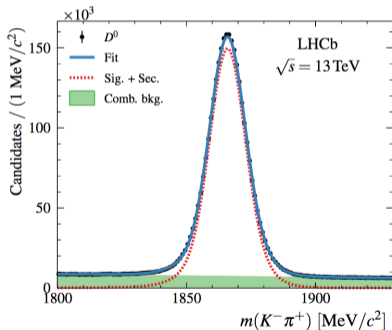


Separate **signal** and **combination background** with a mass fit

Separate **prompt** and **secondary** signal with a $\ln \chi_{IP}^2$ fit

First version of mass fit scripts are ready, tested with Run 2 tuples

Background for some of the modes might be more tricky



*plots taken from [Run 2 measurements](#) as illustration

Study of additional offline cuts to reduce the background

Add HLT2 or offline monitor: *e.g.* mass distributions

Evaluation of the selection efficiencies

- HLT1 & HLT2 efficiency with and without UT in progress
- Tracking (R. Caspary): well-prepared TrackCalib, study of the hadronic interactions
- PID (E. Ejopu): just starts, check the PID calibration status for Run 3

Signal yields extraction

- Determine fit models for each decay mode
- Careful study of background for some of decay modes required

Systematic uncertainties: PID, Tracking, luminosity

Prepare analysis snakemake pipeline and documentation

Summary

Preparation for data taking of Run 3 is in progress, regular meetings with proponents

[Repository](#) created with snakemake skeleton implemented for analysis

HLT2 lines merged in [charm-thor-hlt2-lines](#) branch of Moore

Study of HLT1 and HLT2 efficiency performed **with and without UT** at the reconstruction level

HLT2 efficiency with MooreAnalysis and Moore+DaVinci chain in agreement

Working Funtuple code and first ntuples produced both for MC signal and minbias (with DecayTreeTuple and Funtuple), stored in the EM Charm WG area

First version of mass fit scripts is ready and tested with Run 2 tuples

Ongoing tests on additional cuts to help to reduce the overall rate

Many pieces of work just start: PID, tracking efficiency, documentation...

Luminosity would largely rely on the measurement of luminosity working group

Theoretical prediction for charm meson cross sections can be provided by theorists

Thanks for your attention!

*Referred several previous talks([29-07-2019](#), [18-05-2020](#), [14-12-2020](#)) given by Alex Pearce.

Back up: Branching fractions

Table: Branching fractions from PDG

Channels	Branching fractions
$D^0 \rightarrow K \pi^+$	(3.950 ± 0.031)%
$D^0 \rightarrow K \pi^+ \pi^+ \pi^-$	(8.23 ± 0.14)%
$D^+ \rightarrow K \pi^+ \pi^+$	(9.38 ± 0.16)%
$D^+ \rightarrow K^+ K \pi^+$	(9.68 ± 0.18) × 10 ⁻³
$D^+ \rightarrow \phi(K^+ K) \pi^+$	(5.70 ± 0.14) × 10 ⁻³
$D_s^+ \rightarrow K^+ K \pi^+$	(5.39 ± 0.15)%
$\Lambda_c^+ \rightarrow p K \pi^+$	(6.28 ± 0.32)%