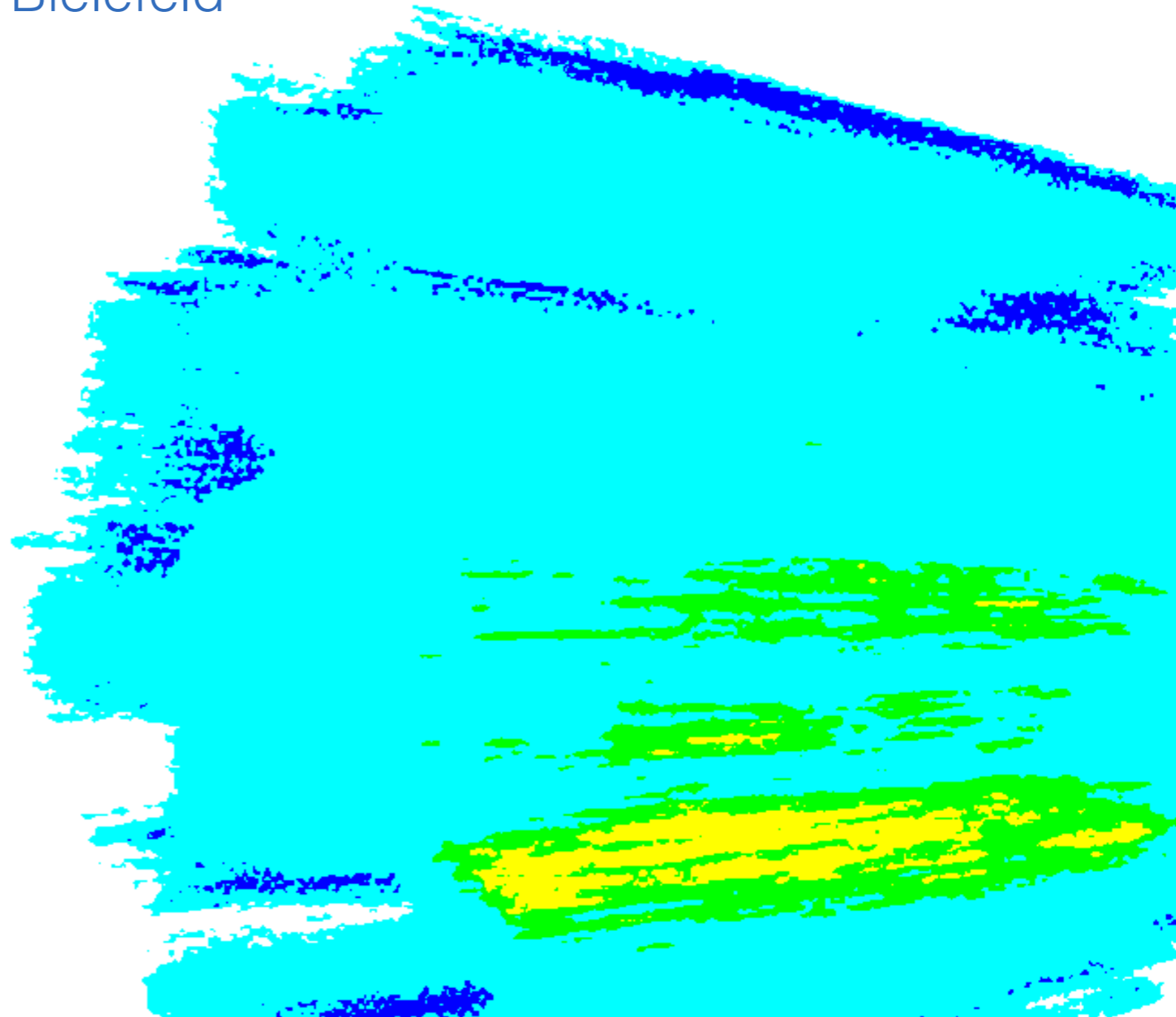


# Thermalization of QCD plasmas



Sören Schlichting | Universität Bielefeld

CERN-TH Colloquium  
June 2022



**UNIVERSITÄT  
BIELEFELD**

# Overview

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- ① Motivation & Introduction
- ② Equilibration of QCD plasmas
- ③ Exploring the early stages of Heavy-Ion Collisions
- ④ Conclusions & Outlook

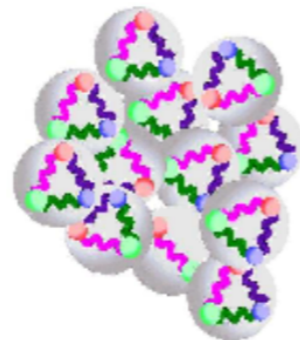
# QCD matter under extreme conditions

Since at low energies Quarks & Gluons are always confined, the only way to probe their dynamics directly is to heat up/compress nuclear matter until fundamental constituents become “directly accessible”

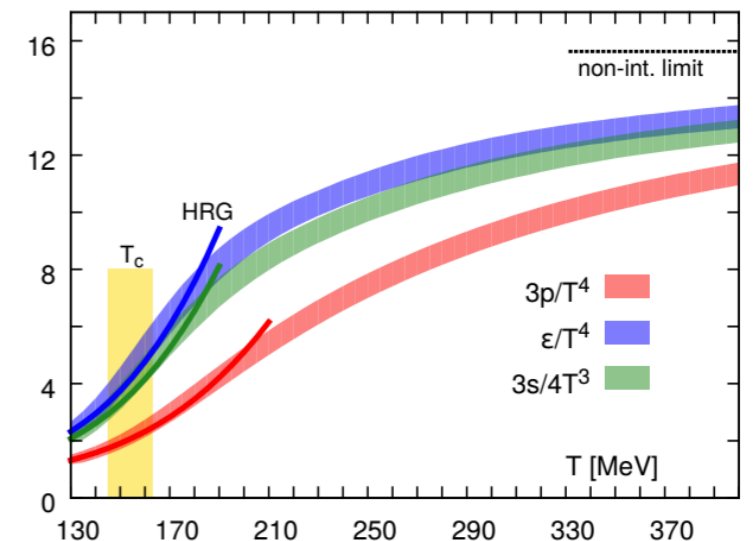
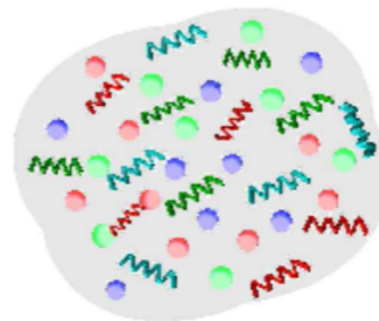
Hadrons



hot/dense nuclear matter



Quark Gluon Plasma



cold

hot

cold

hot

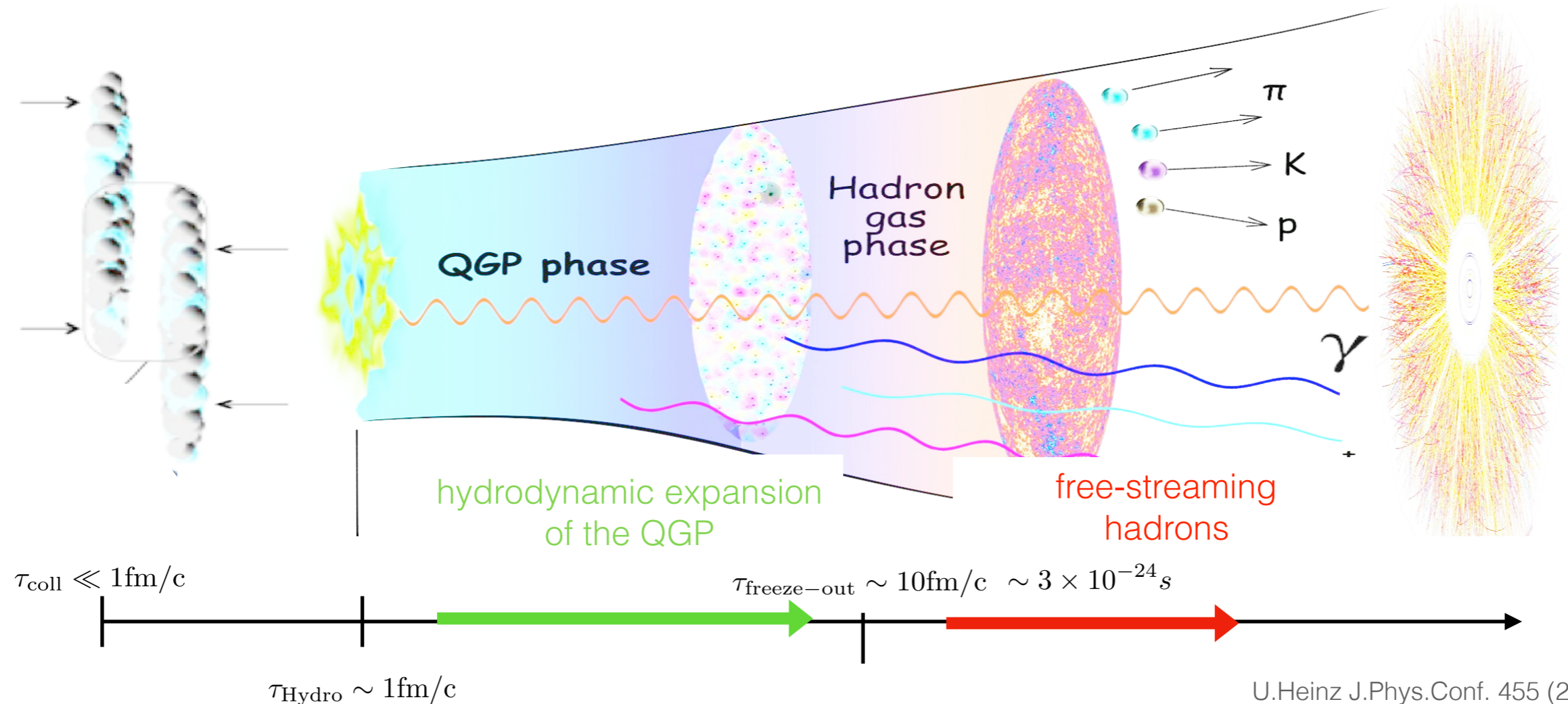
HotQCD Collaboration Phys.Rev. D90 (2014) 094503

Thermodynamic properties suggest cross-over transition to Quark-Gluon Plasma (QGP) at temperatures  $T_c \sim 155 \text{ MeV} \sim 10^{12} \text{ K}$  (more than  $10^6 T_{\text{sun}}$ )

Conditions realized in **high-energy heavy-ion** collisions and in the **early universe**  $\sim 10^{-6}$ s after the Big Bang

# High-energy Heavy-Ion Collisions

Deposit large amount of energy in sufficiently large volume to achieve conditions for QGP formation

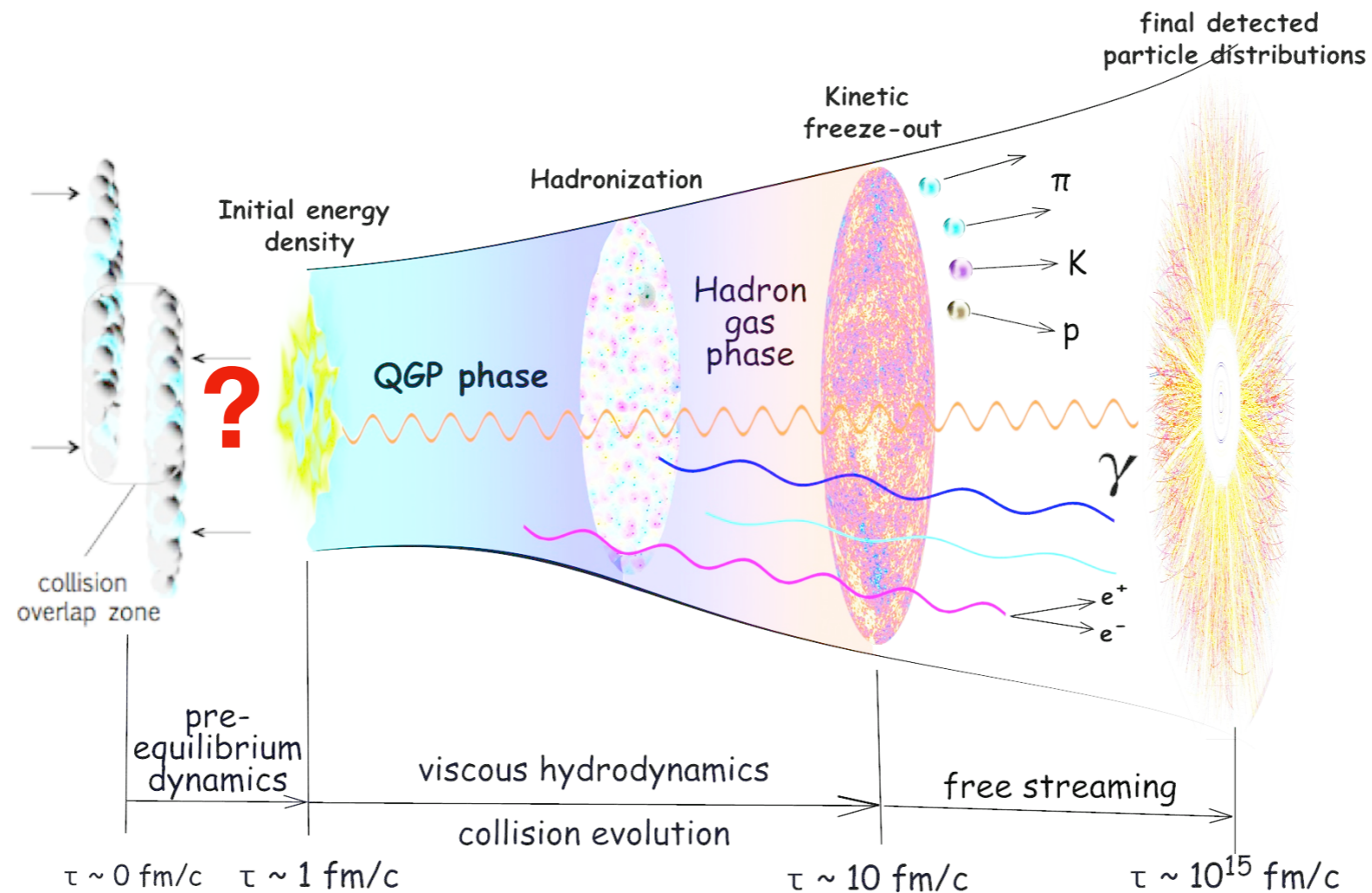


Dynamical description of heavy-ion collisions from underlying theory of QCD remains an outstanding challenge

Standard model of heavy-ion collisions based on macroscopic description of the space-time dynamics of the QCD matter

# High-energy Heavy-Ion Collisions

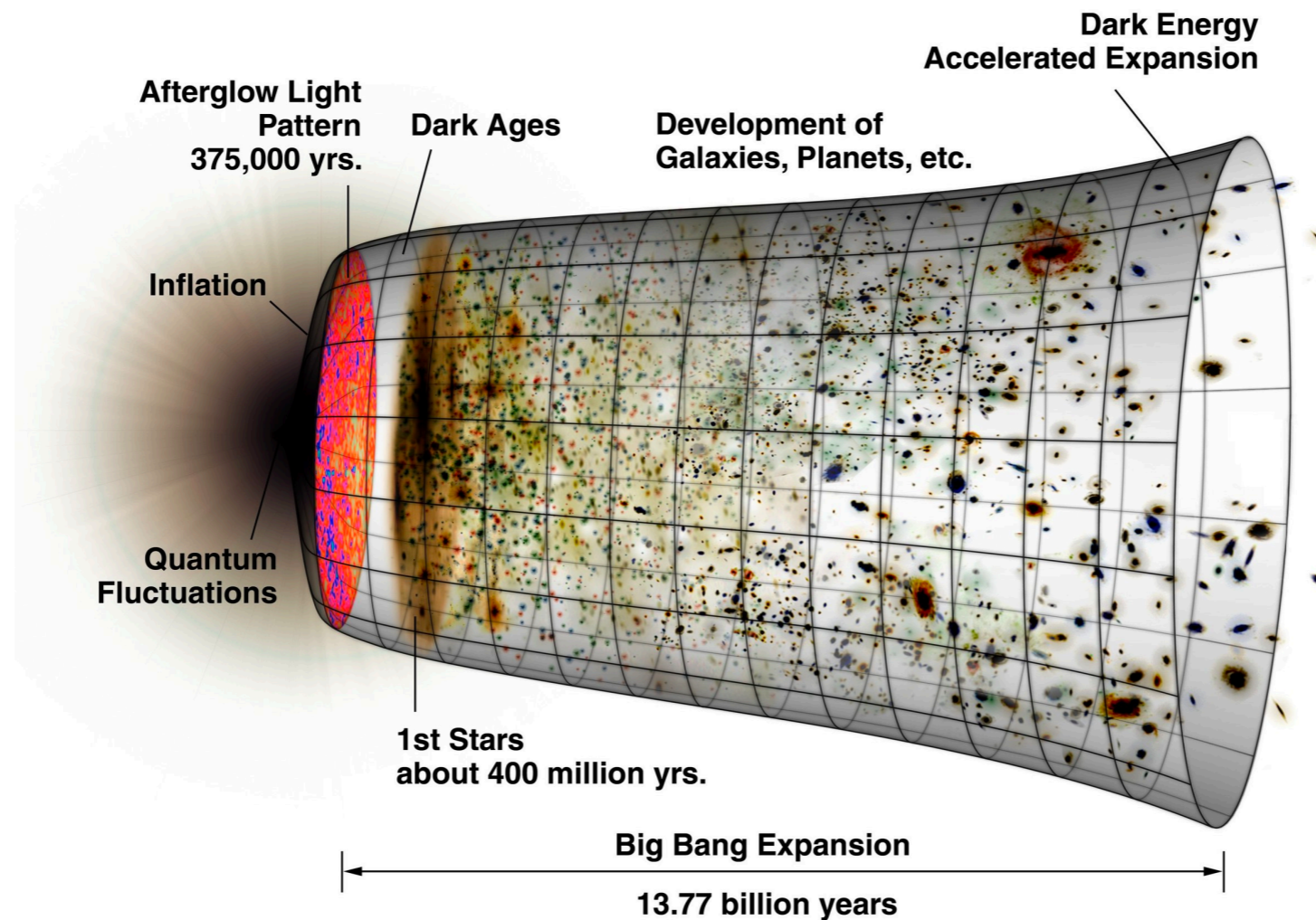
Extremely successful description of particle production and correlations in heavy-ion collisions based on hydrodynamic description of QGP



How is QGP created from dynamics of “primordial” far-from equilibrium plasma created in the collision? What are conditions for QGP formation in hadronic collisions (A+A, p+A, p+p)?

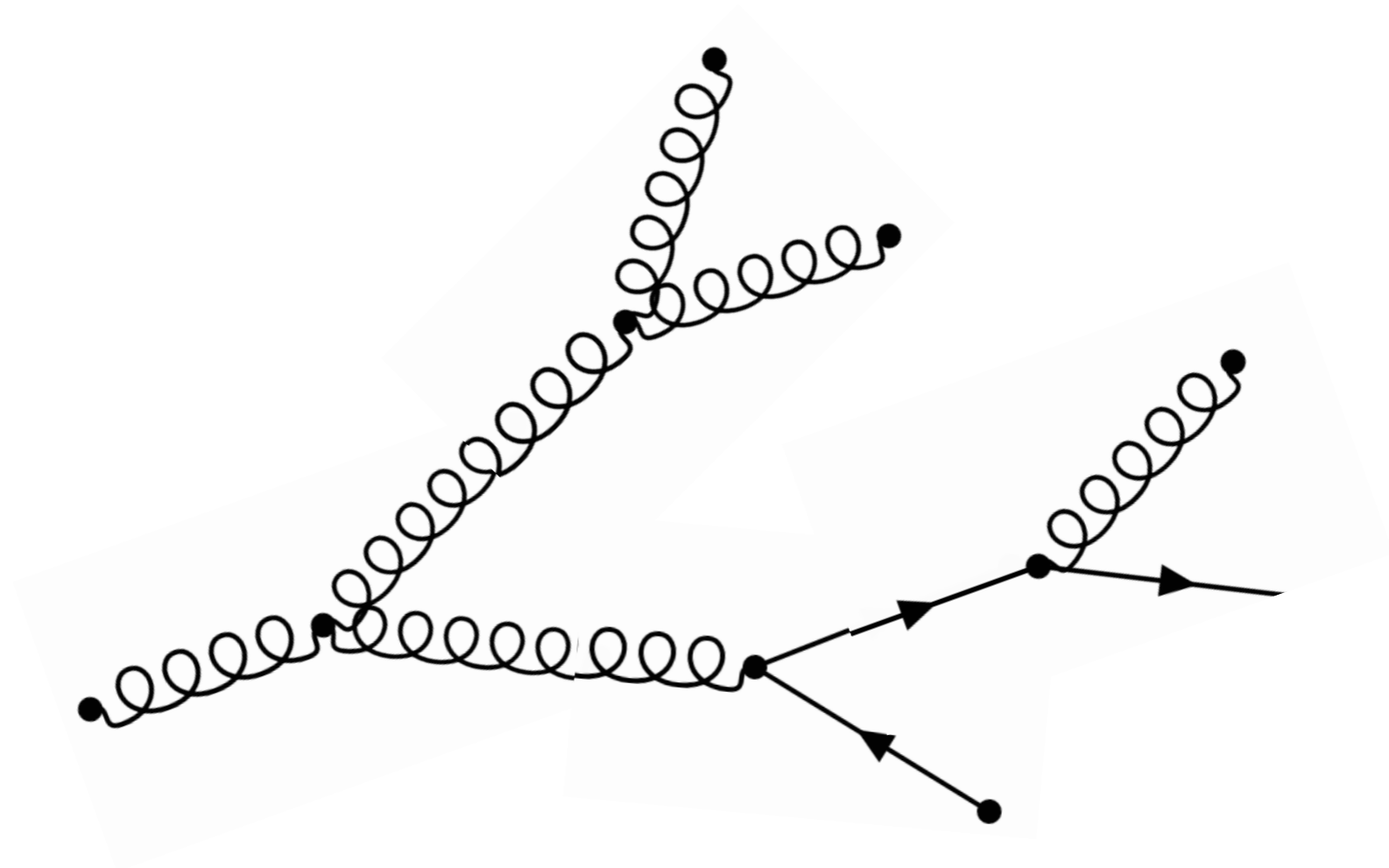
# Early universe:

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How is Standard Model Matter produced and equilibrated between end of Inflation and Big Bang Nucleosynthesis (BBN)?

2



Equilibration of QCD plasmas

# Non-equilibrium QCD

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Generally there is no exact way to study non-equilibrium dynamics in an interacting quantum field theory

In high-energy QCD first principles studies are feasible in two limits

Strong coupling limit ( $g^2 N_c \gg 1$ ) of related theories

Description based on holographic methods for strongly coupled gauge theories (N=4 SYM)

Weak coupling limit ( $g^2 \ll 1$ ) of related theories

Description in terms of fundamental dof's (Quarks & Gluons) based on kinetic theory/classical-statistical simulations

Will use weak-coupling description based on (LO) QCD kinetic theory to describe dynamics of non-equilibrium QCD plasmas

-> Extrapolate findings to realistic coupling strength ( $g \sim 2$ ) to address HICs



# Non-equilibrium QCD

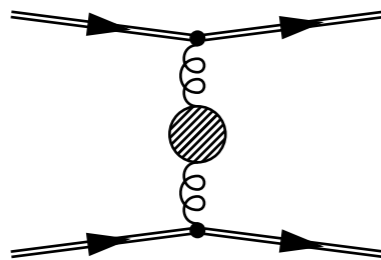
Dynamics of QCD at LO described by relativistic Boltzmann equation

Arnold, Moore, Yaffe JHEP 0301 (2003) 030

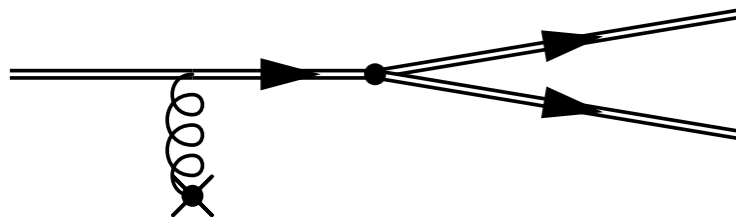
$$p^\mu \partial_\mu f(x, p) = \mathcal{C}_{2 \leftrightarrow 2}[f] + \mathcal{C}_{1 \leftrightarrow 2}[f]$$

which reflects characteristic features of QCD

- ultra-relativistic massless quasi-particles ( $g, u, \bar{u}, d, \bar{d}, s, \bar{s}$ )
- elastic ( $2 \leftrightarrow 2$ ) & in-elastic ( $1 \leftrightarrow 2$ ) processes at the same order



elast.  $2 \leftrightarrow 2$  scattering screened by Debye mass



collinear  $1 \leftrightarrow 2$  Bremsstrahlung  
incl. Landau-Pomeranchuk-Migdal (LPM) effect  
via eff. vertex re-summation

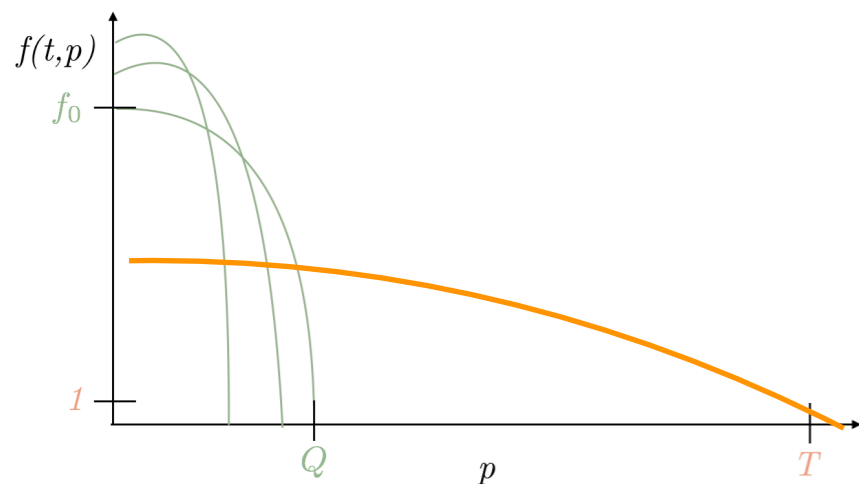
Significant progress in past ~5-10 years based on numerical solutions\* of QCD kinetic theory

\*solved numerically as integro-differential equation, with self-consistently determined in-medium matrix elements for  $2 \leftrightarrow 2$  and  $1 \leftrightarrow 2$  processes

# Basics of QCD thermalization

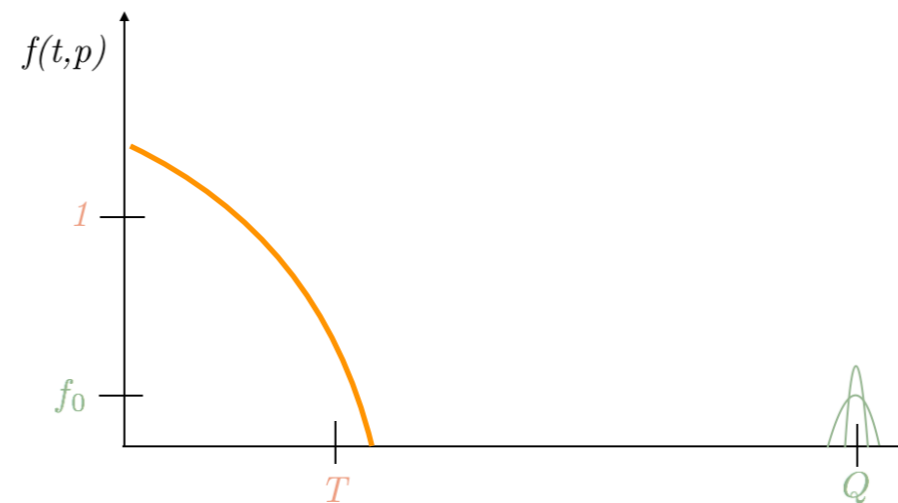
Non-abelian gauge theories such as QCD feature two qualitatively different thermalization patterns for far-from equilibrium systems

Over-occupied system



Energy carried by large number of low energy dof's  $\langle p \rangle \ll T$  (e.g. due to instabilities)

Under-occupied system

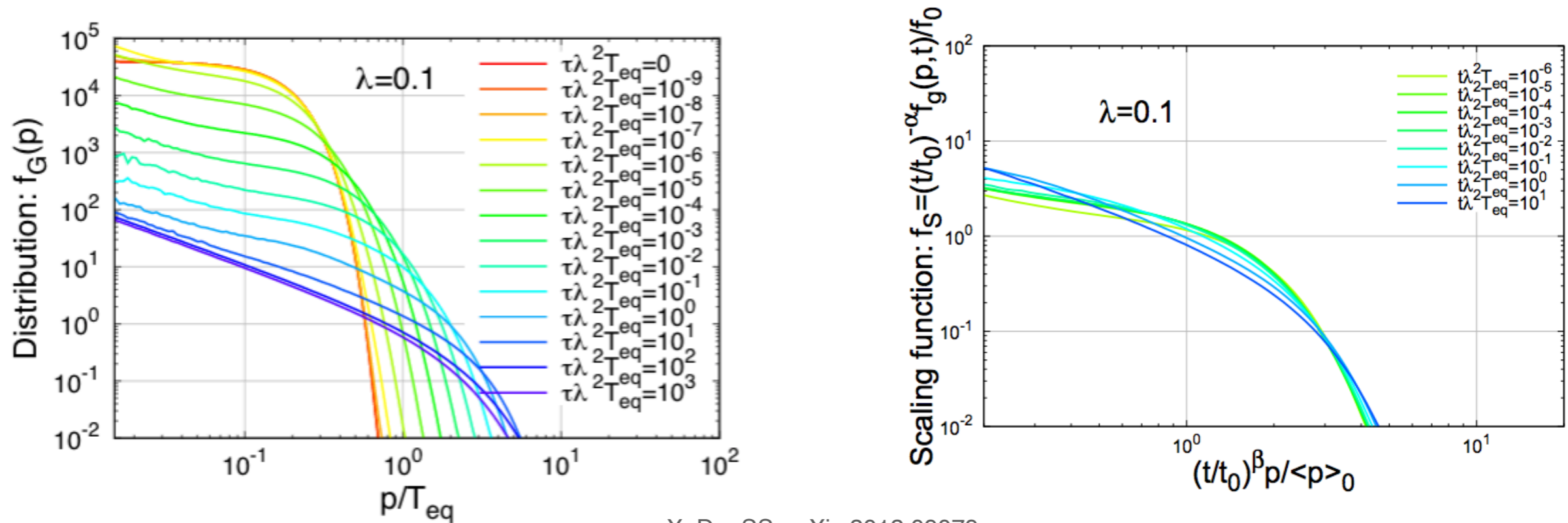


Energy carried by small number of high energy dof's  $\langle p \rangle \gg T$  (e.g. high-energy jets)

for which basic thermalization mechanisms have been worked out

# Over-occupied QCD plasmas

Equilibration requires energy transfer from IR to UV  
process driven by elastic scatterings and inelastic mergings



X. Du, SS, [arXiv:2012.09079](https://arxiv.org/abs/2012.09079)

Early time dynamics: Strongly depends on the initial conditions and can be essentially non-perturbative (not necessarily described by EKT)

Intermediate times: Evolution becomes insensitive to initial conditions and proceeds via a self-similar ultra-violet cascade

$$f_g(t, p) = t^\alpha f_g^S(t^\beta p)$$

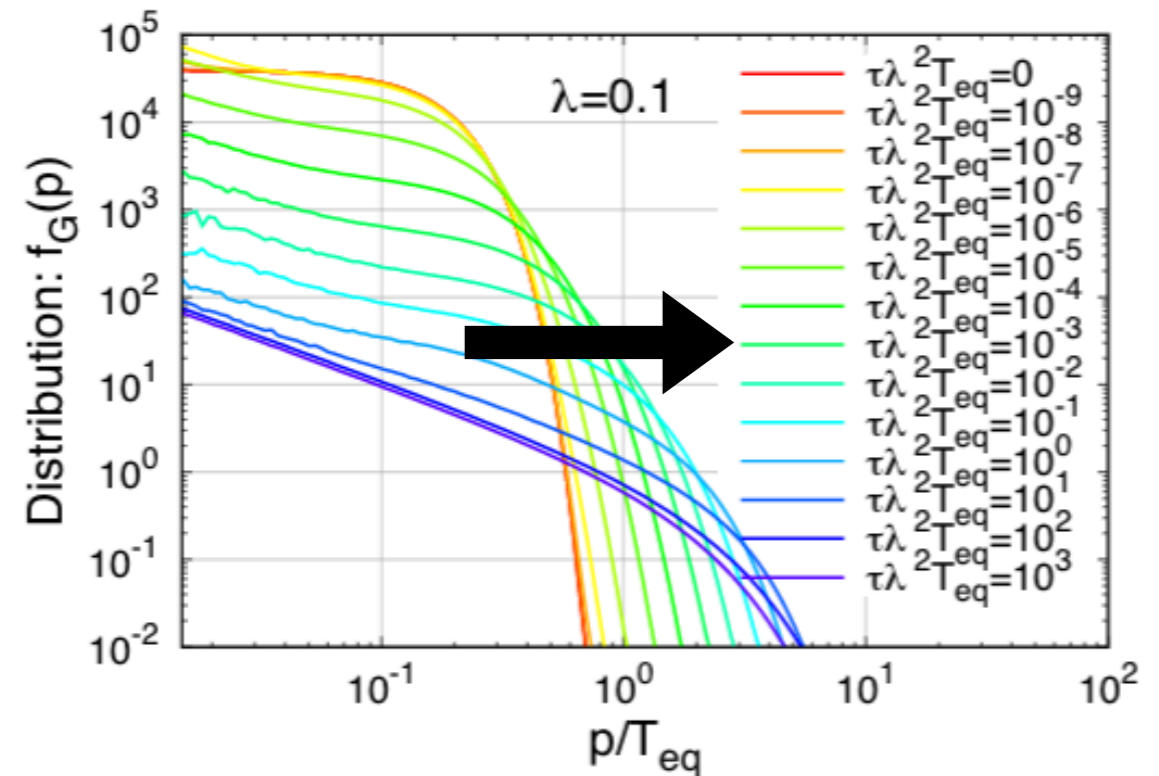
# Over-occupied QCD plasmas

Energy transfer towards UV entirely described by

- stationary scaling functions  $f^S_g(x)$
- scaling exponents  $\alpha=-4/7$   $\beta=-1/7$

which can be determined from scaling analysis of kinetic equations

SS *Phys.Rev.D* 86 (2012); Abrao York, Kurkela, Lu, Moore *Phys.Rev.D* 89 (2014) 7;  
Berges, Boguslavski, SS, Venugopalan *Phys.Rev.D* 89 (2014) 11;  
Berges, Mazeliauskas *Phys.Rev.Lett.* 122 (2019)



Equilibration occurs when energy transport to UV is accomplished

Similarity to phenomenon of decaying turbulence with analogues from Cosmology to Cold Atom Gases

Micha, Tkachev *PRD* 70 (2004) 043538; Berges, Heller, Mazeliauskas, Venugopalan arXiv:2005.12299

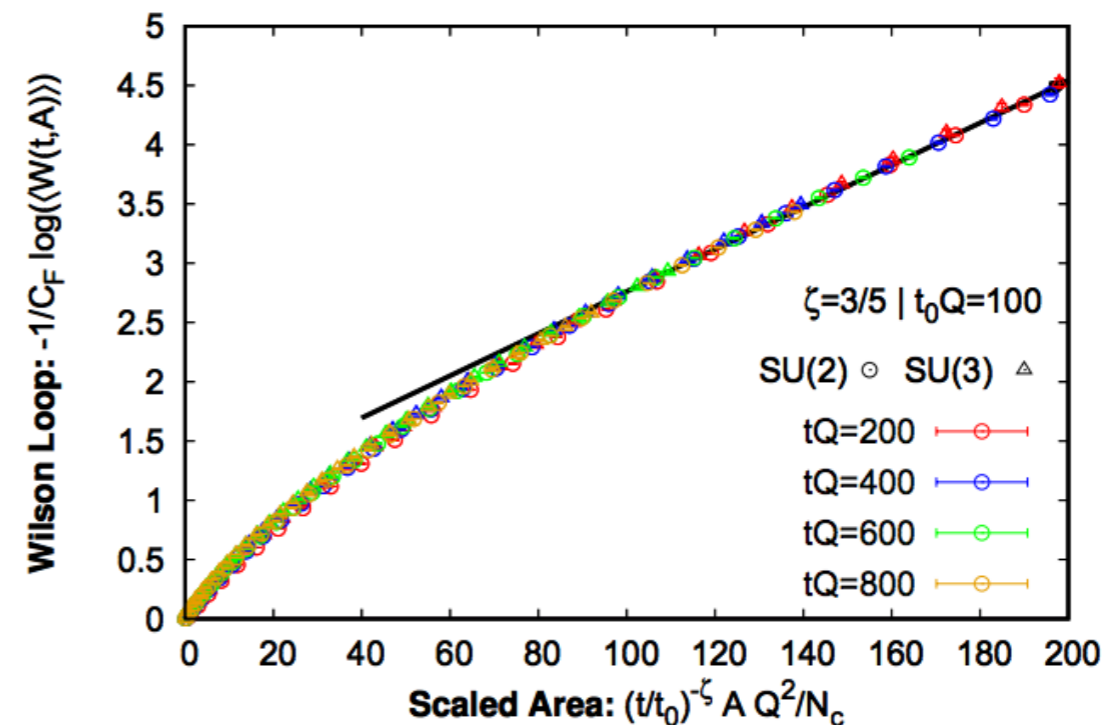
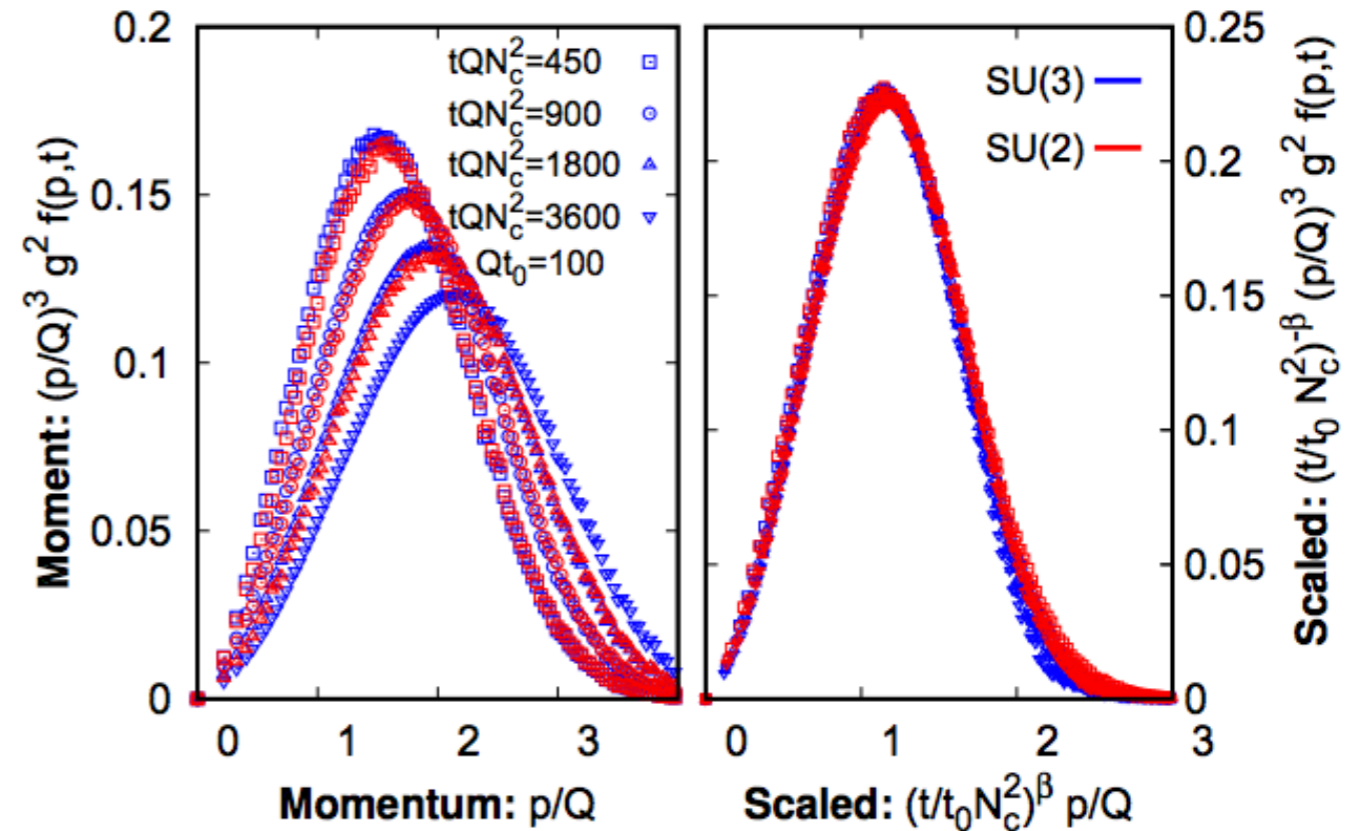
# Over-occupied QCD plasmas

Non-perturbative classical-statistical field simulations of the non-equilibrium dynamics in quantitative agreement with QCD kinetic theory

SS PRD 86 (2012); Berges, Boguslavski, SS, Venugopalan  
PRD 89 (2014) 11; Berges, Mace SS PRL 118 (2017) 19;

Self-similar scaling properties during turbulent thermalization extend to non-perturbative IR sector (sphaleron transitions, Wilson loops, ...)

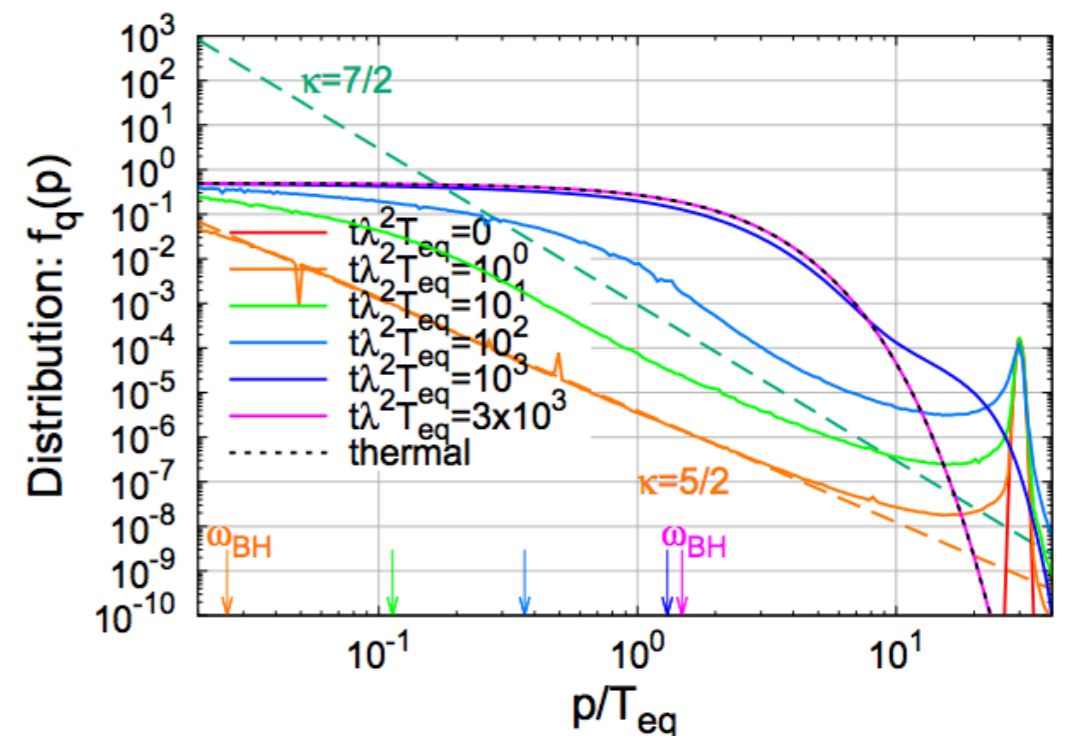
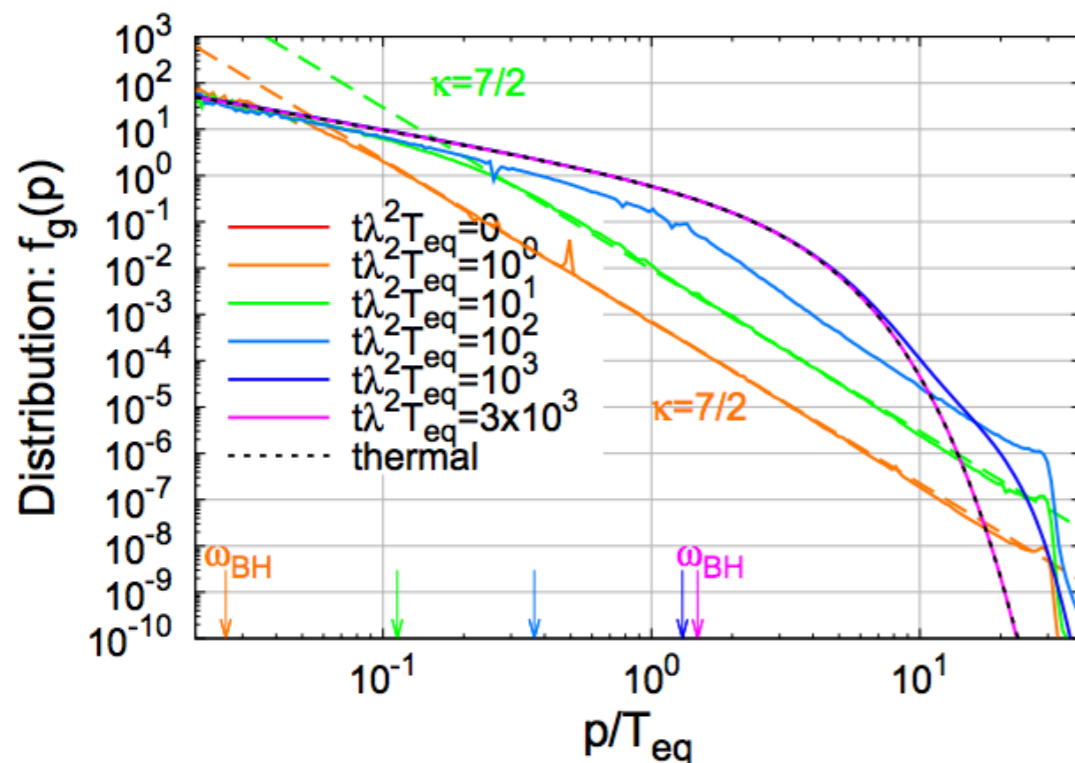
Mace, SS, Venugopalan *Phys.Rev.D* 93 (2016) 7;  
Berges, Mace SS *Phys.Rev.Lett.* 118 (2017) 19



# Under-occupied QCD plasmas

Equilibration requires energy transfer from UV to IR  
process driven by radiative break-up of hard particles

Baier et al. Phys.Lett.B 502 (2001); Kurkela, Lu Phys.Rev.Lett. 113 (2014) 18; X. Du, SS, arXiv:2012.09079



Hard particles emit soft quark/gluon radiation

X. Du, SS, arXiv:2012.09079

Soft quarks/gluons thermalize and form  
a thermal bath with low temperature

Hard particles lose energy to soft thermal bath (c.f. jet  
quenching in HIC); equilibration when all energy is lost



# Kolmogorov spectrum $f_{g/q}(T \ll p \ll Q) \sim p^{-7/2}$

Evolution of energy distribution  $D_{q/g}(t,x) = p^3 f_{g/q}(t,p)|_{x=p/Q}$  governed by successive radiative emissions

Baier et al. *Phys.Lett.B* 502 (2001),; Blaizot, Iancu, Mehtar-Tani *Phys.Rev.Lett.* 111 (2013) 052001; Mehtar-Tani, SS *JHEP* 09 (2018) 144

$$\begin{aligned} \frac{\partial}{\partial \tau} D_g(x, \tau) &= \int_0^1 dz \mathcal{K}_{gg}(z) \left[ \sqrt{\frac{z}{x}} D_g\left(\frac{x}{z}\right) - \frac{z}{\sqrt{x}} D_g(x) \right] - \int_0^1 dz K_{qg}(z) \frac{z}{\sqrt{x}} D_g(x) \\ &+ \int_0^1 dz K_{gq}(z) \sqrt{\frac{z}{x}} D_S\left(\frac{x}{z}\right), \end{aligned}$$

$$\frac{\partial}{\partial \tau} D_S(x, \tau) = \int_0^1 dz \mathcal{K}_{qq}(z) \left[ \sqrt{\frac{z}{x}} D_S\left(\frac{x}{z}\right) - \frac{1}{\sqrt{x}} D_S(x) \right] + \int_0^1 dz \mathcal{K}_{qg}(z) \sqrt{\frac{z}{x}} D_g\left(\frac{x}{z}\right)$$

Kolmogorov Zhakarov spectrum  
within inertial range of momenta  
 $T \ll p \ll Q$

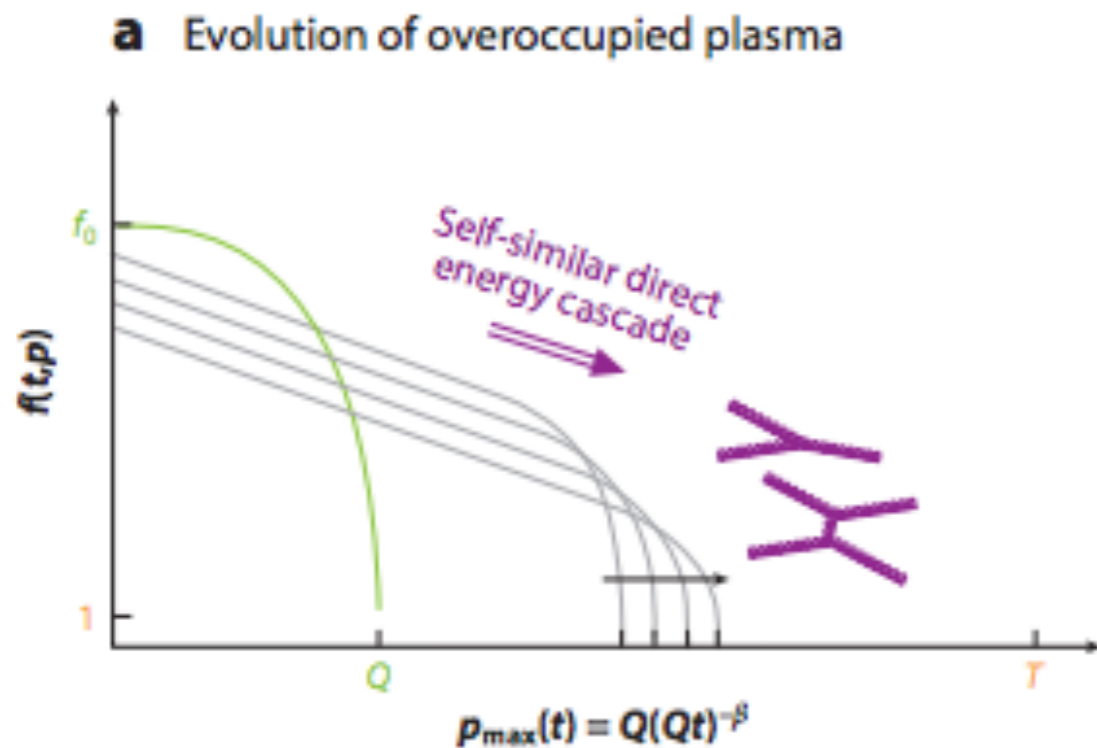
$$D_g(x) = \frac{G}{\sqrt{x}}, \quad D_S = \frac{S}{\sqrt{x}},$$

Semi-analytic calculations can correctly predict energy loss rates and quark/gluon chemistry of QCD cascade,



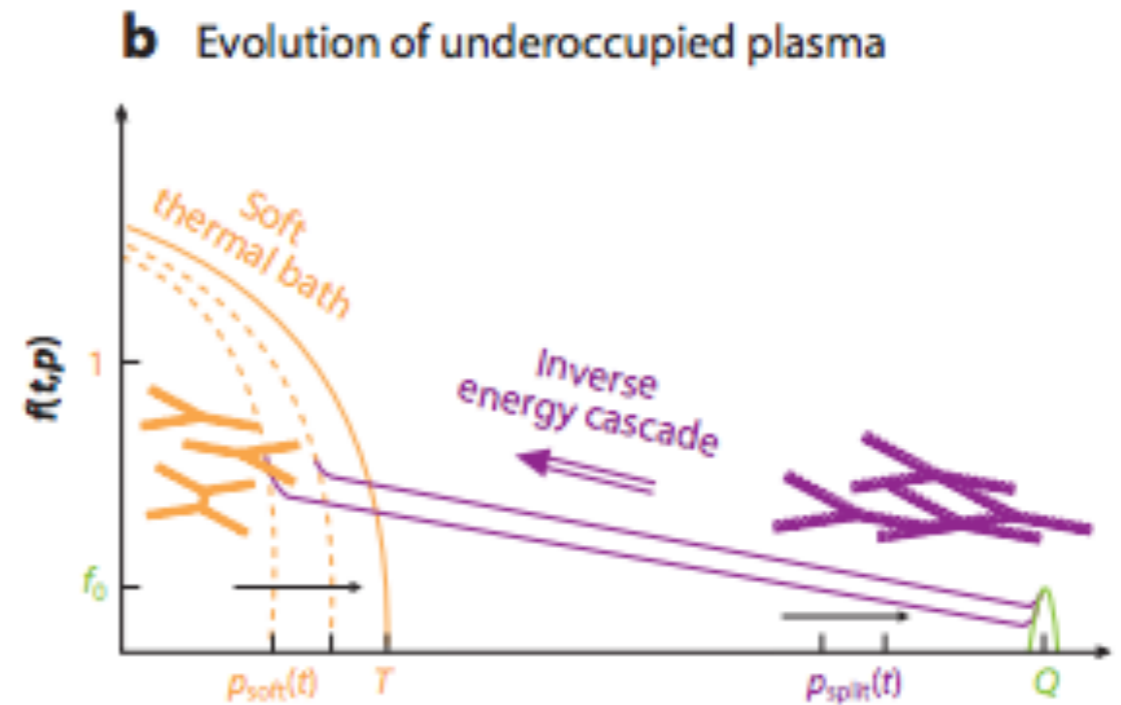
# Basics of QCD thermalization

Non-abelian gauge theories such as QCD feature two qualitatively different thermalization patterns for far-from equilibrium systems



Energy transport to UV via self-similar cascade analogous to turbulence

$$t_{\text{thermal}} \sim \alpha_s^{-2} f_0^{-1/4} Q^{-1} \sim \alpha_s^{-2} T^{-1}$$

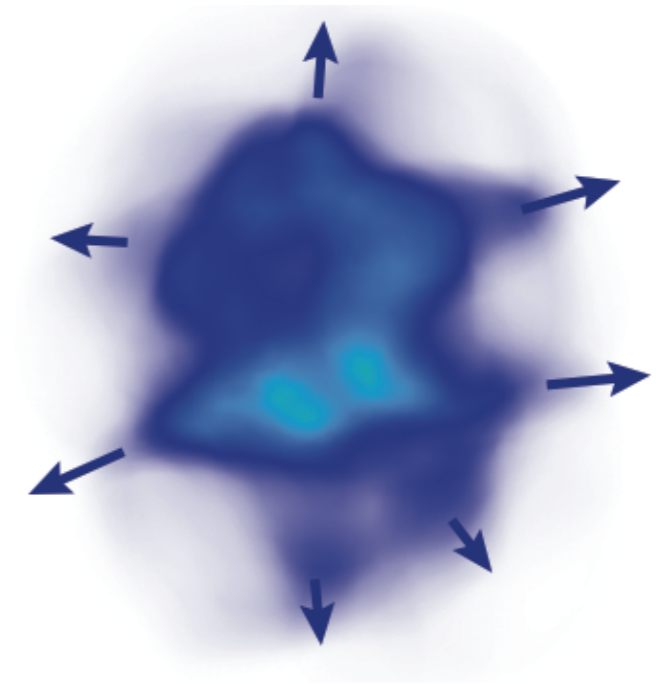
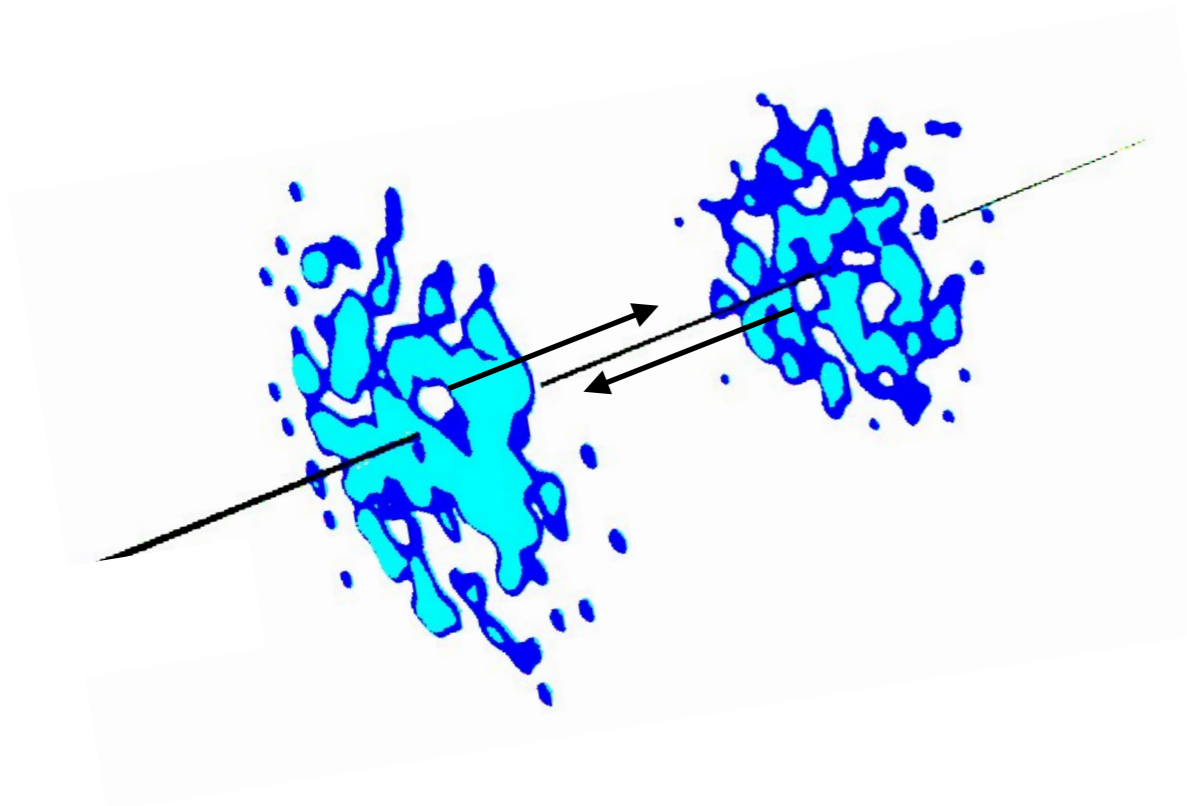


Energy transport to IR via radiative break-up of hard particles

$$t_{\text{thermal}} \sim \alpha_s^{-2} f_0^{-3/8} Q^{-1} \sim \alpha_s^{-2} T^{-1} \sqrt{\frac{Q}{T}}$$

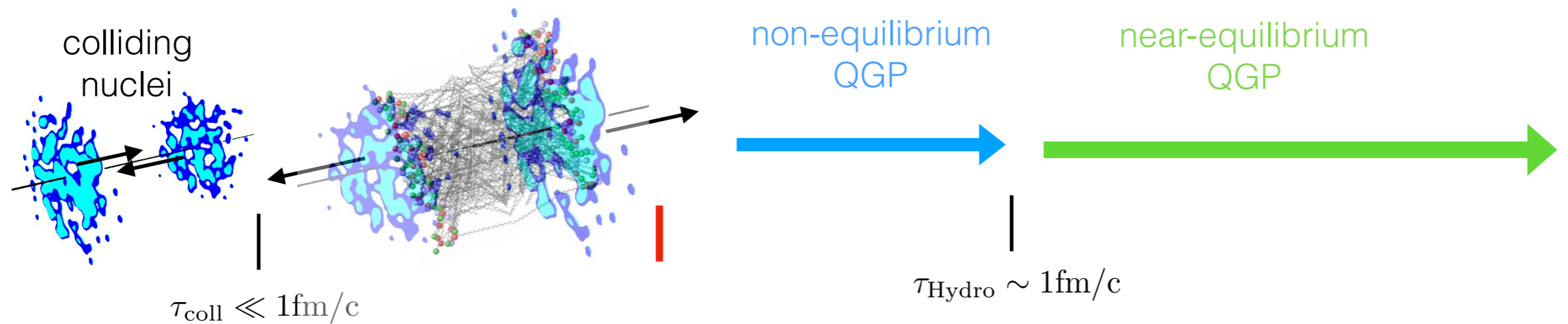
Quantitative description of thermalization process for QCD plasmas in the weak coupling limit

3



Exploring the early stages of HICs

# Initial state & Equilibration of HICs



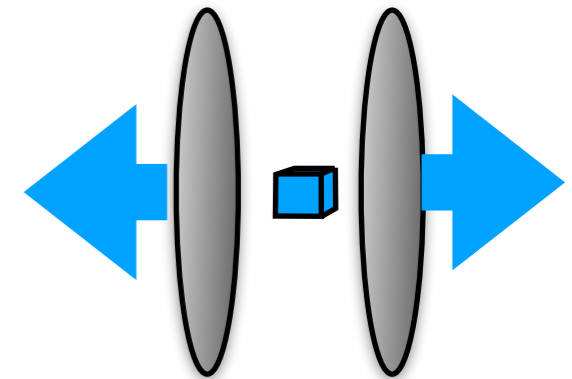
Energy deposition can at least in principle be calculated within an effective theory of high-energy QCD

McLerran, Venugopalan PRD49 (1994) 2233-2241, Kovner, McLerran, Weigert D52 (1995) 6231-6237

-> Gluon dominated initial state far-from equilibrium

Non-equilibrium QCD plasma created immediately after the collision of heavy nuclei is subject to a rapid longitudinal expansion

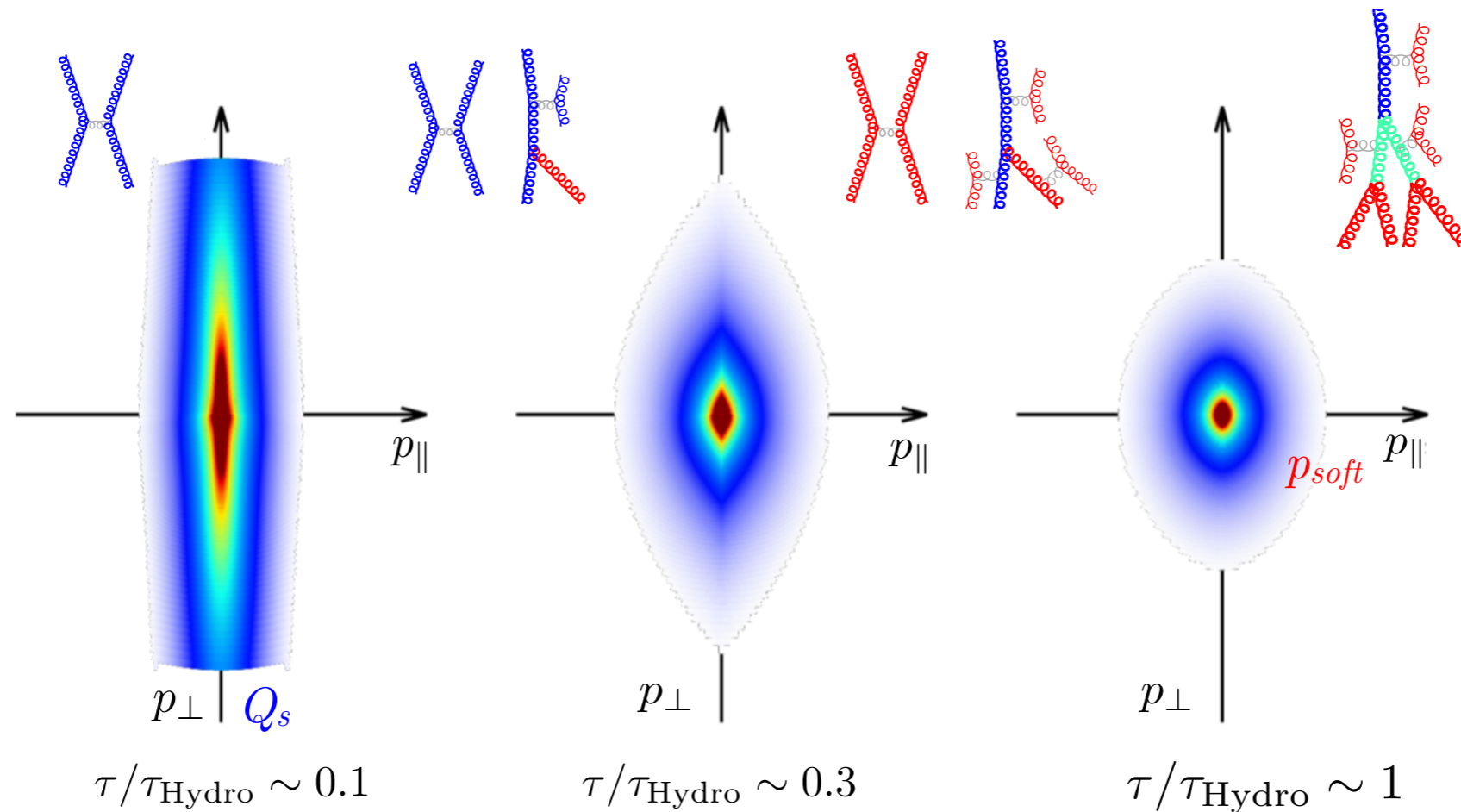
Since particles with large  $p_{\parallel}$  escape quickly central region, the central QGP will be highly anisotropic with  $p_{\perp} \gg p_{\parallel}$  and becomes increasingly dilute



# Equilibration of HICs

## Evolution of longitudinally expanding plasma in QCD kinetic theory

Kurkela, Zhu PRL 115 (2015) 182301; Keegan, Kurkela, Mazeliauskas, Teaney JHEP 1608 (2016) 171;  
Kurkela, Mazeliauskas, Paquet, SS, Teaney PRL 122 (2019) no.12, 122302; PRC 99 (2019) no.3, 034910



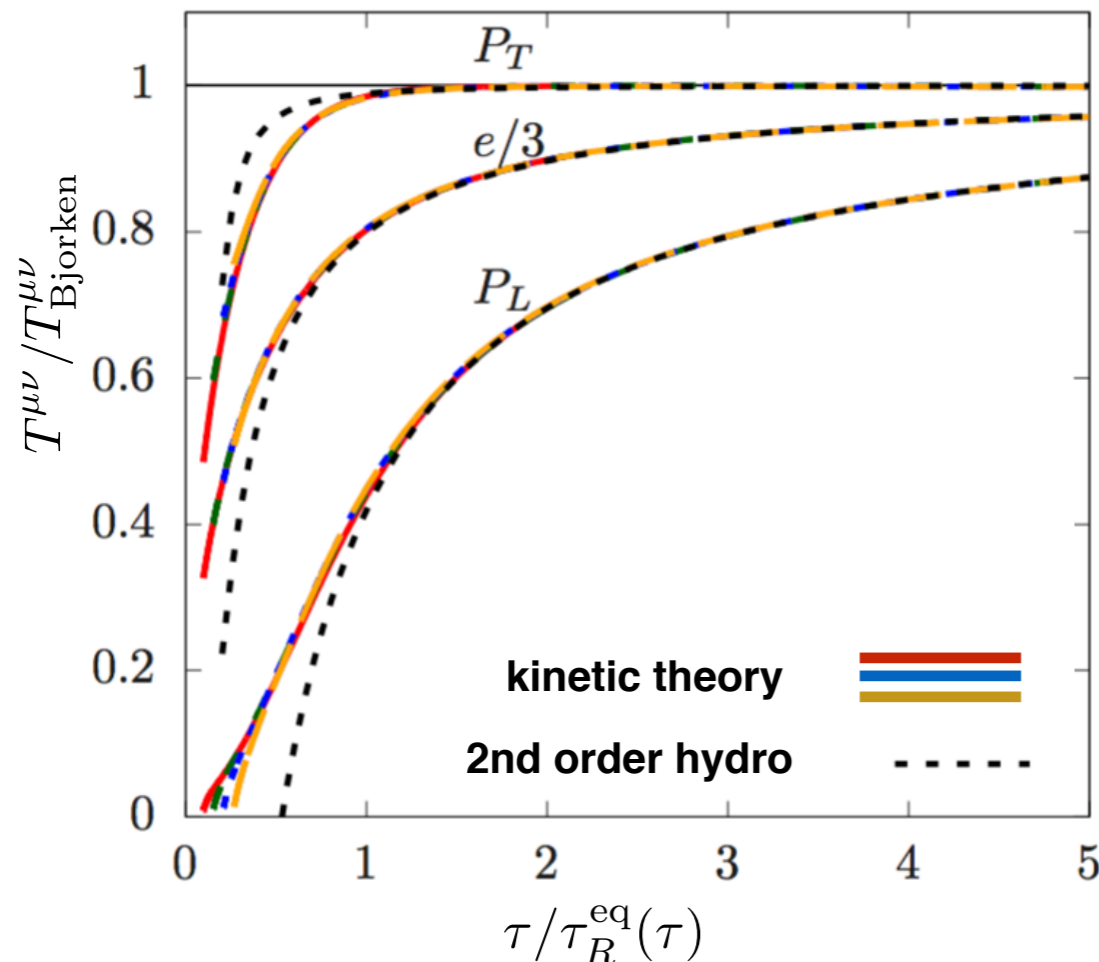
Microscopically the equilibration of QCD plasma in HICs proceeds  
“bottom-up” via radiative break-up of hard gluons

# Hydrodynamic behavior

Hydrodynamics describes the macroscopic evolution of energy-momentum tensor  $T^{\mu\nu}$  based on an expansion around local thermal equilibrium

$$Kn \sim \lambda_{micro}/L_{macro} \quad Re^{-1} \sim \delta T^{\mu\nu}_{non-eq}/T^{\mu\nu}_{eq}$$

Since the system is highly anisotropic at early times ( $P_L \ll P_T$ ), key question is to understand evolution of  $T^{\mu\nu}$  towards local equilibrium ( $P_L = P_T$ )



Equilibration of macroscopic quantities controlled by a single equilibrium relaxation rate

$$\tau_R^{eq}(\tau) = \frac{4\pi\eta/s}{T_{eff}(\tau)}$$

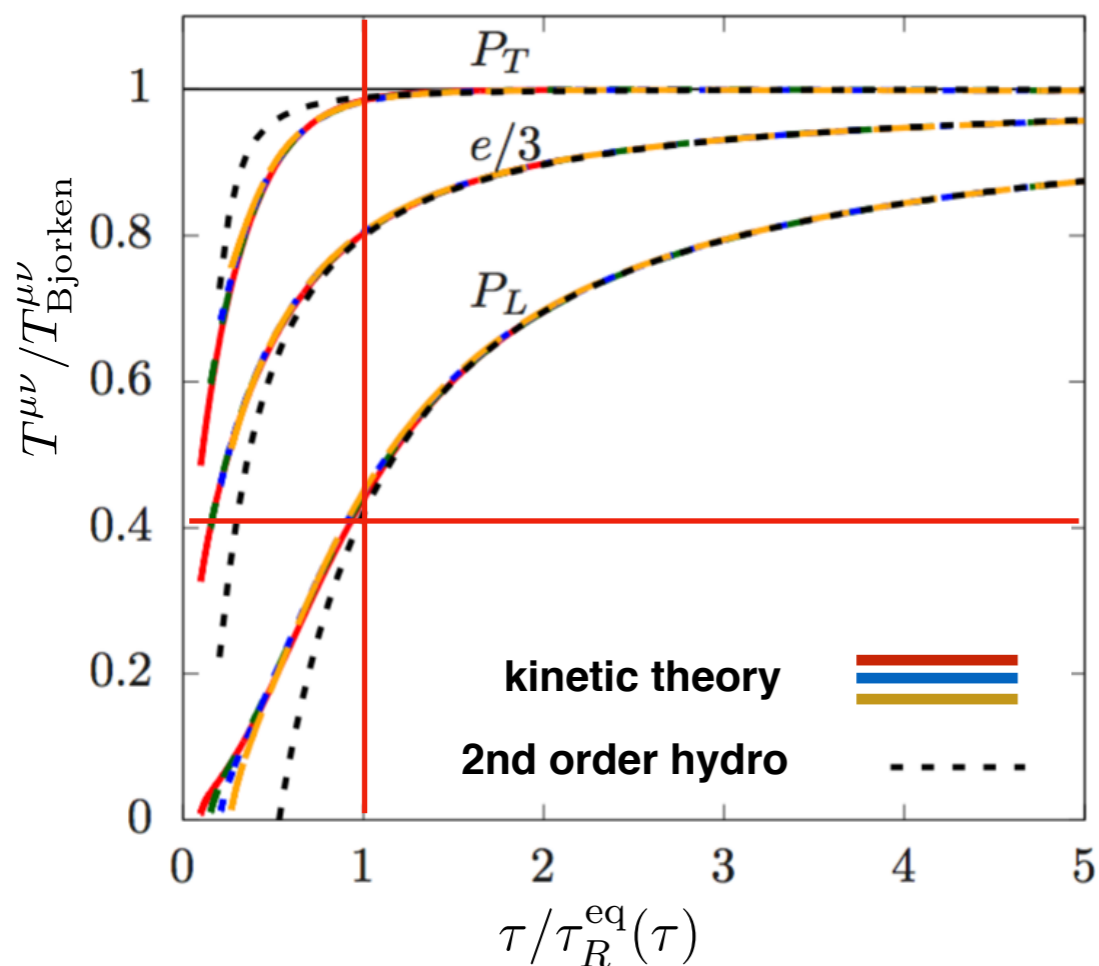
Simulations at different coupling strength indicate small sensitivity to  $\alpha_s$  when compared in units of  $\tau_R^{eq}$

# Hydrodynamic behavior

Effective description in viscous hydrodynamics becomes applicable on time scales Kurkela, Mazeliauskas, Paquet, SS, Teaney 1805.01604; 1805.00961

$$\tau_{\text{hydro}} \approx \tau_R^{\text{eq}}(\tau) \quad \tau_R^{\text{eq}}(\tau) = \frac{4\pi\eta/s}{T_{\text{eff}}(\tau)} \quad \tau_{\text{hydro}} \approx 1.1 \text{ fm} \left( \frac{4\pi(\eta/s)}{2} \right)^{\frac{3}{2}} \left( \frac{\langle \tau s \rangle}{4.1 \text{ GeV}^2} \right)^{-1/2}$$

in line with earlier phenomenological estimates



Viscous hydrodynamics becomes applicable when the QGP is significantly out-of-equilibrium

$$Kn \sim \tau_R^{\text{eq}}(\tau) / \tau \sim 1$$

$$Re^{-1} \sim 1 - T^{\mu\nu} / T_{\text{Bjorken}}^{\mu\nu} \sim 1$$

Surprising effectiveness of viscous fluid dynamics also found at strong coupling

Heller, Janik, Witaszczyk PRL 108 (2012) 201602  
Romatschke PRL 120 (2018) no.1, 012301

# Dynamics of HICs

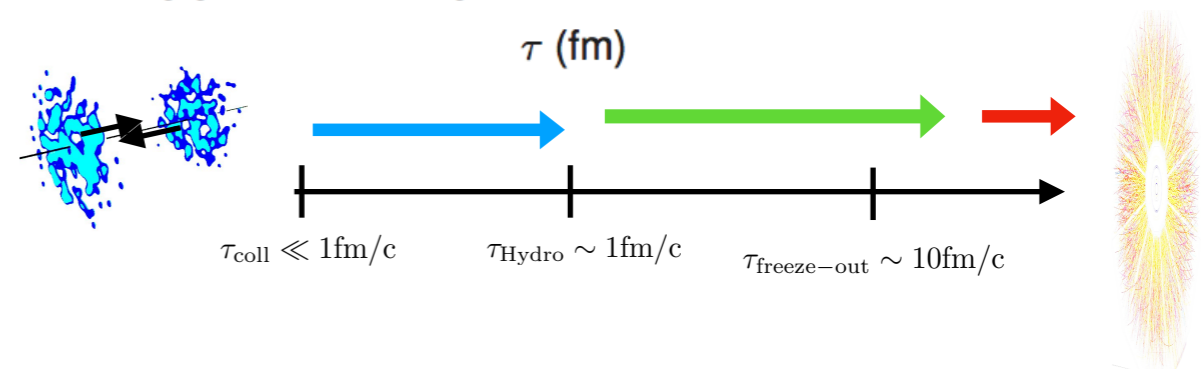
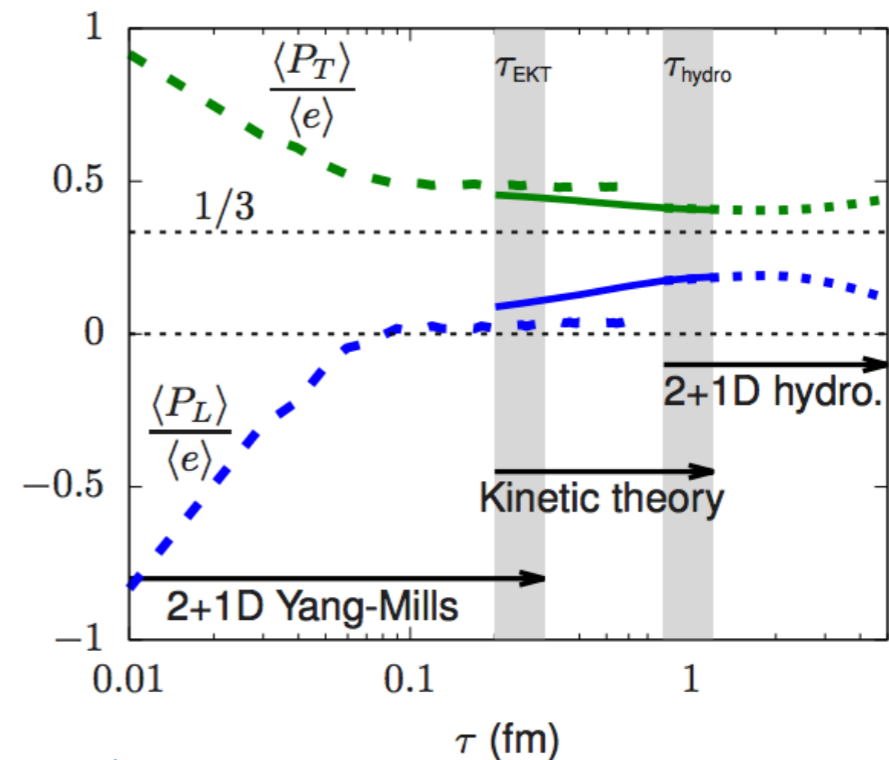
Based on progress in understanding early time dynamics & equilibration can now describe HIC from beginning to end by matching different effective descriptions of QCD

Kurkela, Mazeliauskas, Paquet, SS, Teaney PRL 122 (2019) no.12, 122302; PRC 99 (2019) no.3, 034910

Effects of including pre-equilibrium phase on expansion dynamics/ experimental observables small

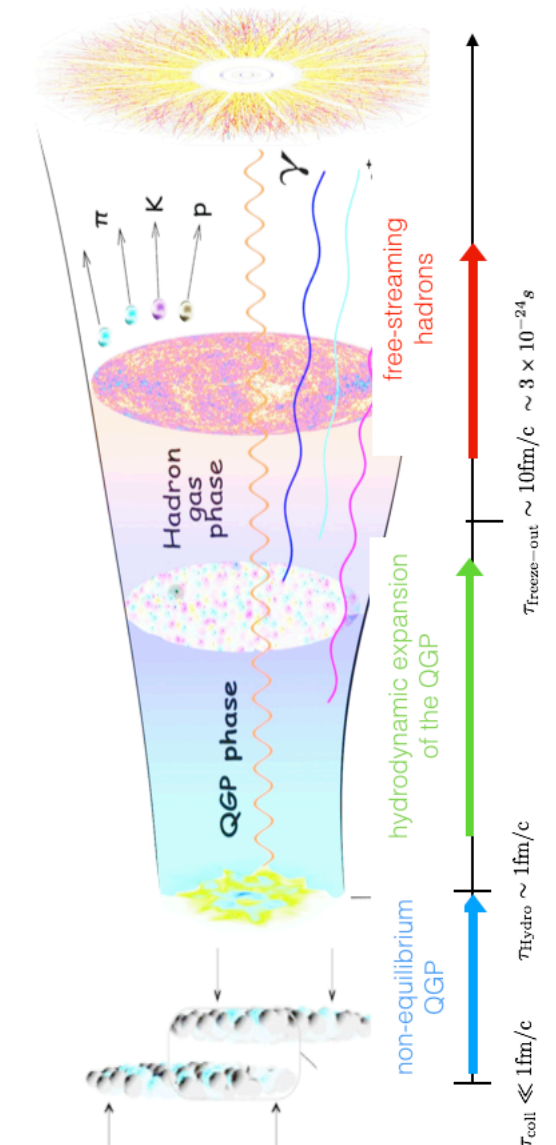
Controlled extraction of QGP transport properties without large uncertainties from early times

Difficult to gain experimental access to early time non-equilibrium dynamics in heavy-ion collisions



# Exploring the early stages of HICs

- 1 Investigate bulk properties of heavy-ion collisions that are **only sensitive to early-time dynamics**
- 2 Explore smaller lifetime of **smaller systems** (high-mult. p+p, p/d/He+Au, p+Pb, future O+O) to enhance impact of pre-equilibrium stage
- 3 Exploit multi-messenger nature of Heavy-Ion collisions to study rare probes such **high-energy Jets** or **electromagnetic radiation**

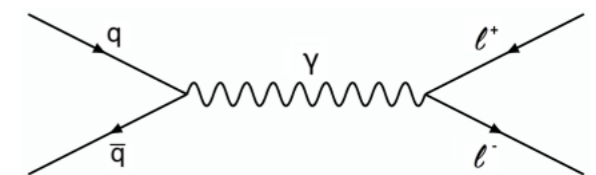




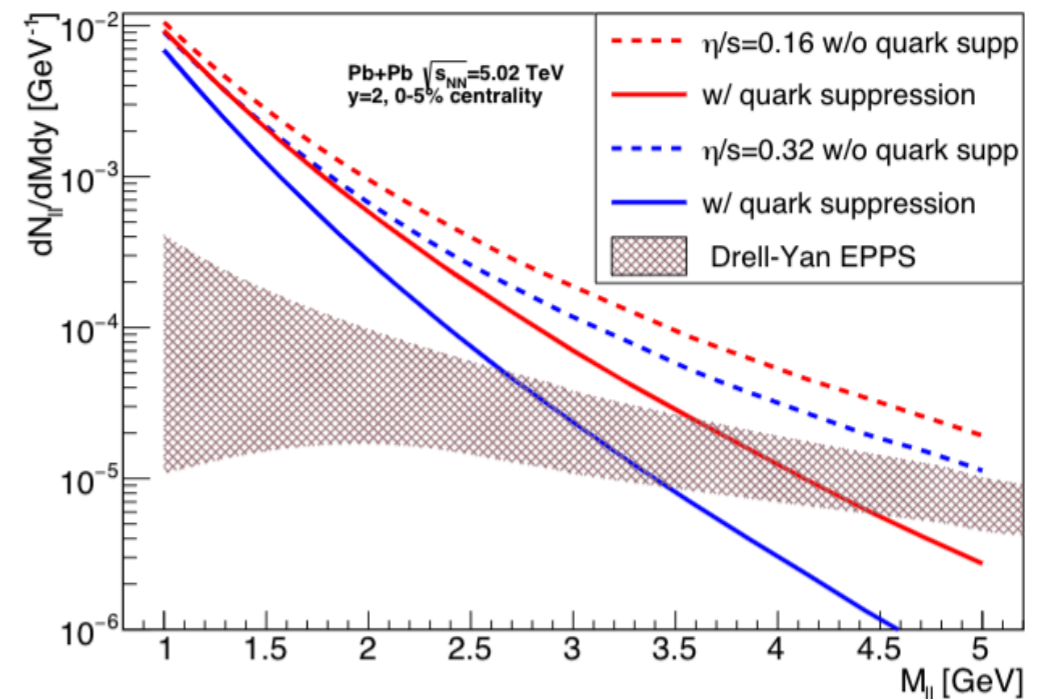
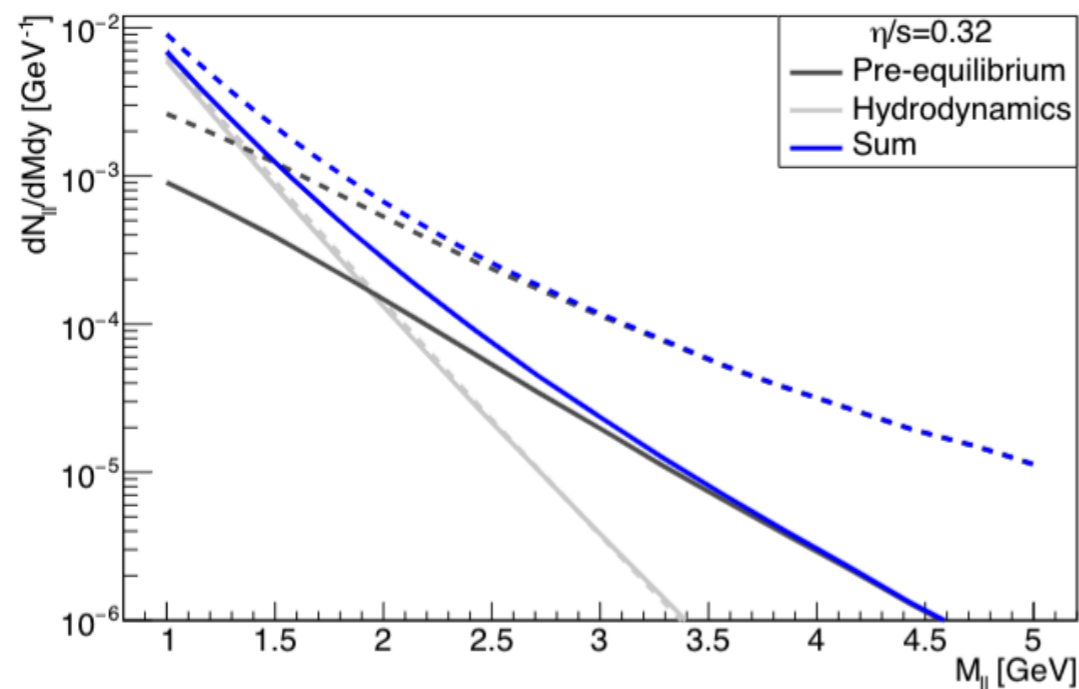
# Di-lepton production in HICs

Electromagnetic probes produced throughout space-time evolution of HICs; escape collision unscathed as they do not interact strongly with the QGP

Di-lepton ( $e^+e^-/\mu^+\mu^-$ ) pairs with invariant mass  $M \sim \text{GeV}$ s produced during the initial state; late stage production is suppressed by  $\exp(-M/T)$



Coquet, Du, Ollitrault, SS, Winn; arXiv:2104.07622

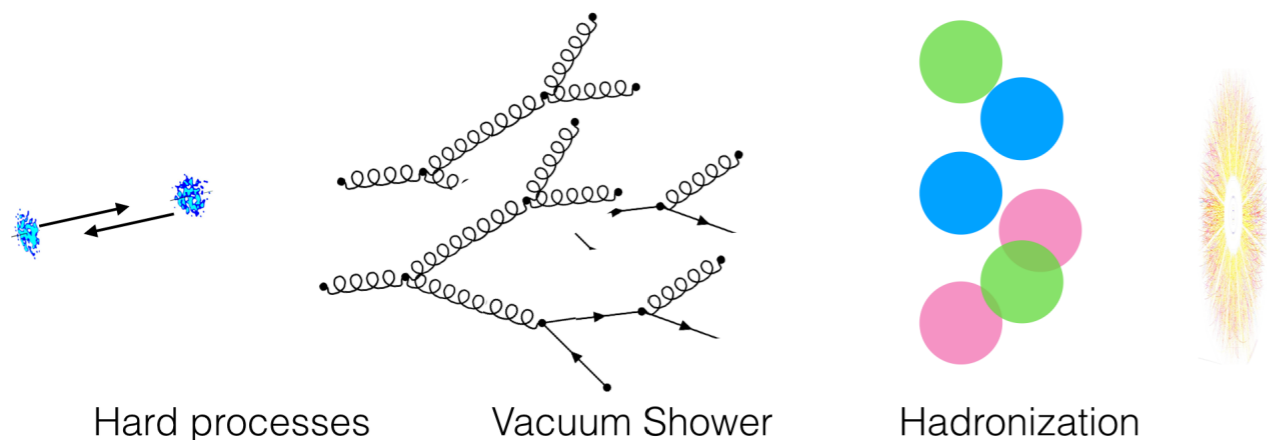


New window into pre-equilibrium dynamics for  $1\text{GeV} < M < 3\text{GeV}$  accessible with next generation of heavy-ion detectors (ALICE3, LHCb)

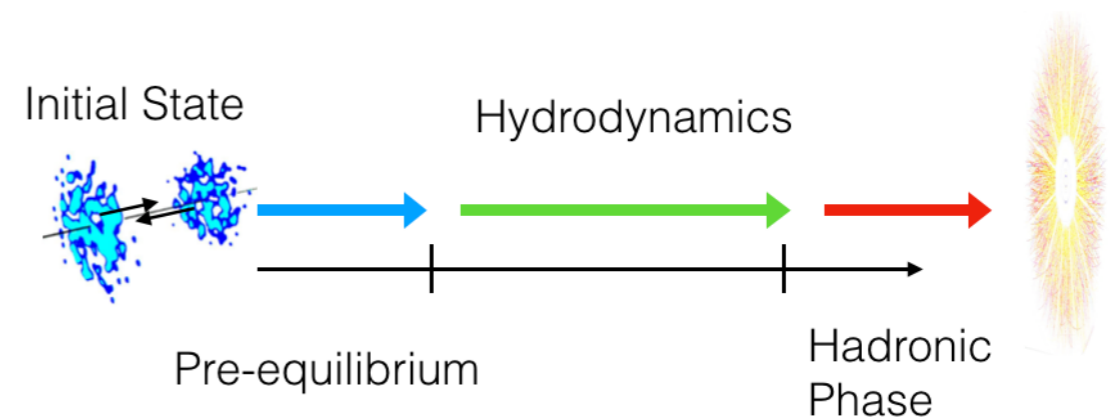
# Small systems (p+p/p+A)

Due to smaller size, systems have significantly shorter lifetime; different aspects currently described by competing physics pictures in HEP and Heavy-Ion Physics

HEP paradigm:



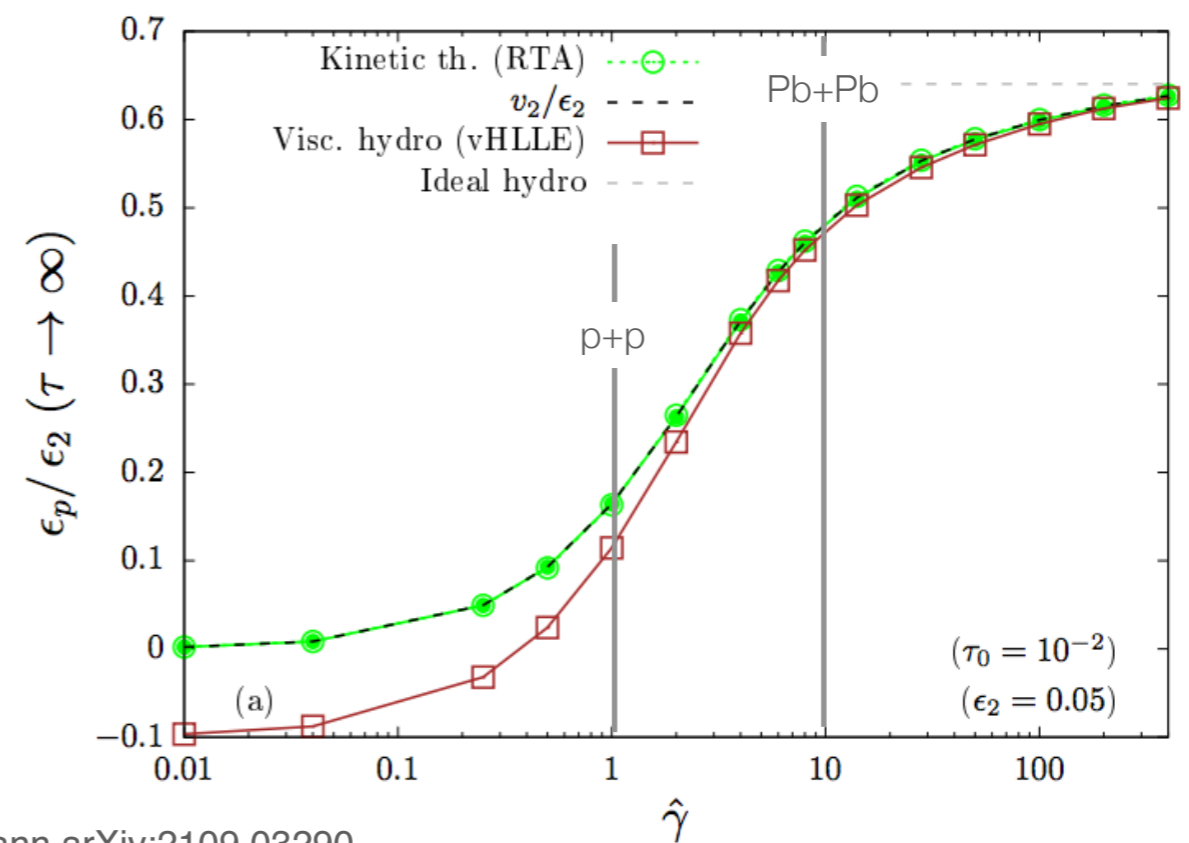
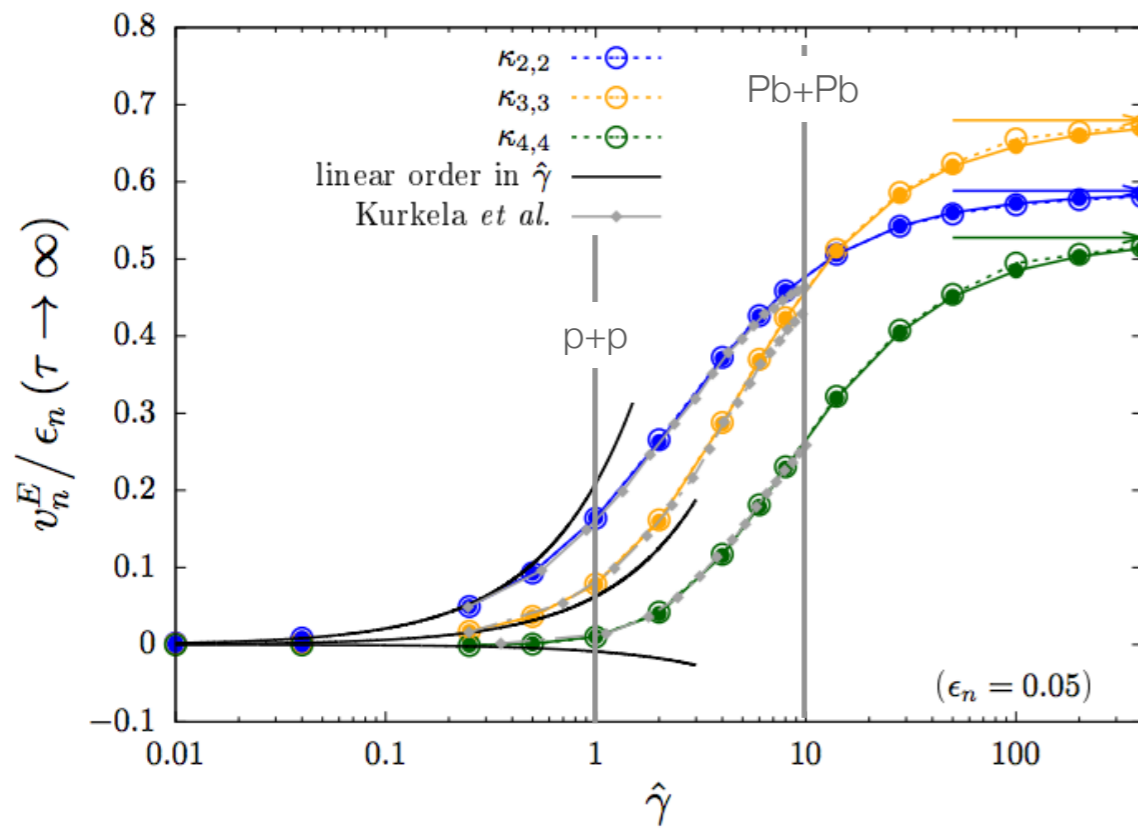
Heavy-Ion paradigm:



Non-equilibrium (kinetic) description bridges the two pictures

# Small systems (p+p/p+A)

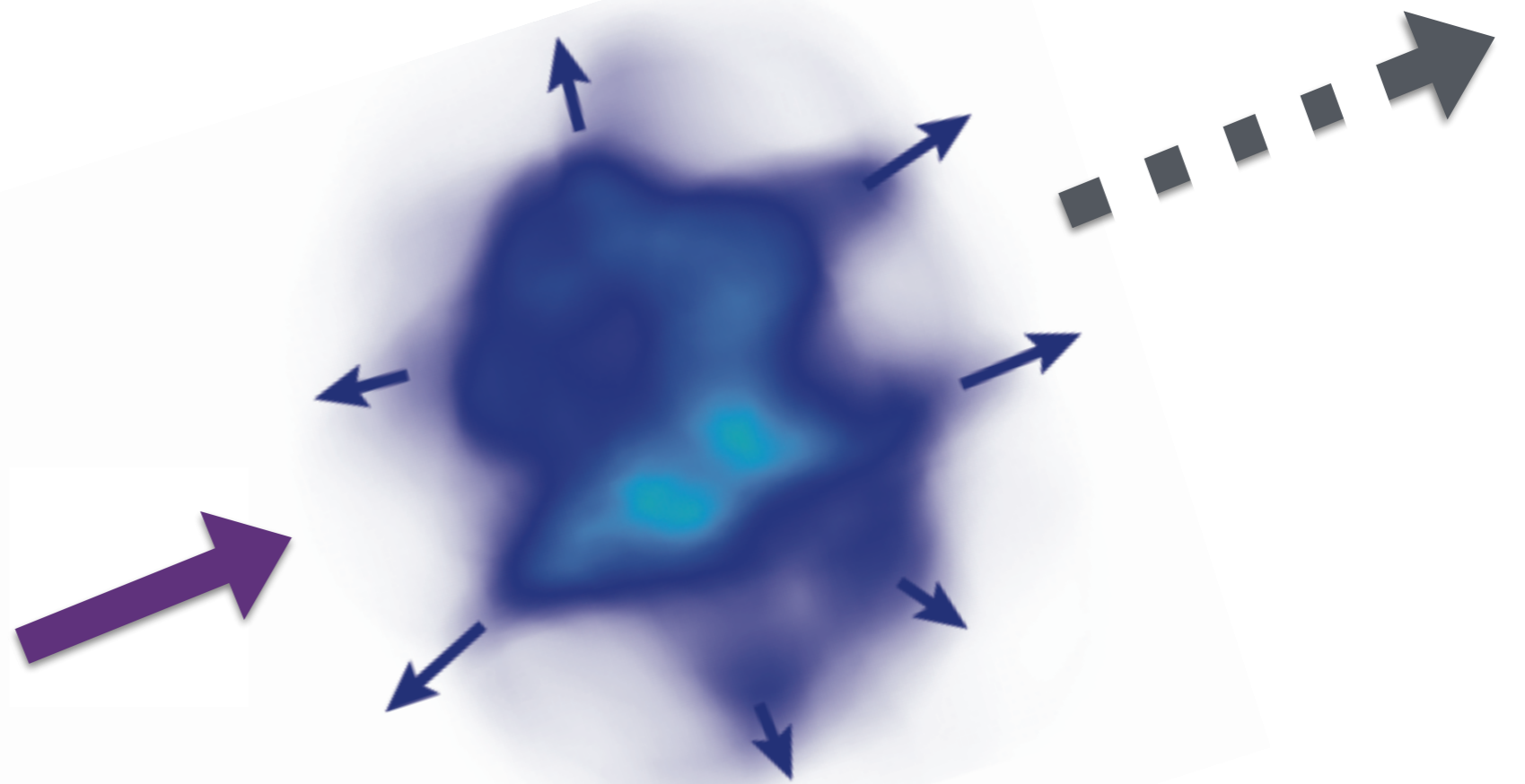
So far not studied within QCD kinetic theory, but proof of principle calculations of development of anisotropic flow with simpler kinetics



V. Ambrus, SS, C.Werthmann arXiv:2109.03290

Smooth transition from non-interacting final state to hydrodynamic expansion with significant change in flow response between opacities in p+p and Pb+Pb

4

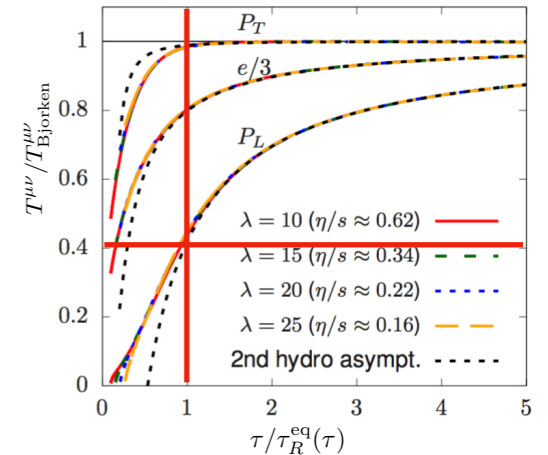


Conclusions & Outlook

# Conclusions & Outlook

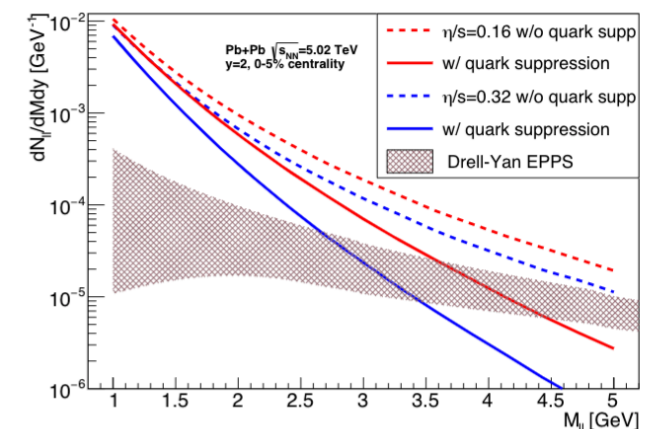
Significant progress in understanding the early time dynamics & thermalization of QCD plasmas in HICs and beyond

- established thermalization mechanism of QCD(-like) plasmas
- Viscous hydrodynamics != local thermal equilibrium



Extension to thermalization of Standard Model for applications in Cosmology within reach

Exciting prospects to further explore initial state & non-equilibrium QGP with present and future experiments at RHIC, LHC & EIC



Backup

# Scaling analysis

Scaling exponents  $\alpha, \beta$  determined by standard scaling analysis

Search for self-similar scaling solution

$$\frac{\partial f(t, \mathbf{p})}{\partial t} = C[f](t, \mathbf{p})$$

$$f(p, t) = t^\alpha f_S(t^\beta p)$$

Scaling behavior of the collision integral

$$\xrightarrow[\text{(} f \gg 1 \text{)}]{\text{scale invariance}} C[f](p, t) = t^\mu C[f_S](t^\beta p)$$

-> Boltzmann equation can be decomposed into

$$[\alpha + \beta \mathbf{p} \cdot \nabla_{\mathbf{p}}] f_S(\mathbf{p}) = C[f_S](1, \mathbf{p}),$$

$$\alpha - 1 = \mu(\alpha, \beta)$$

**time independent fixed-point condition**

**scaling relation**

# Scaling analysis

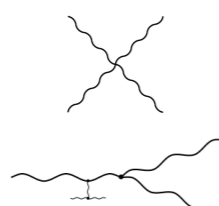
Dynamical scaling exponents  $\alpha, \beta$  are uniquely determined by

**Scaling of the collision integral** + **Conservation laws**

$$\alpha - 1 = \mu(\alpha, \beta)$$

$$\alpha = \beta(d + z)$$

allows for a universal classification scheme

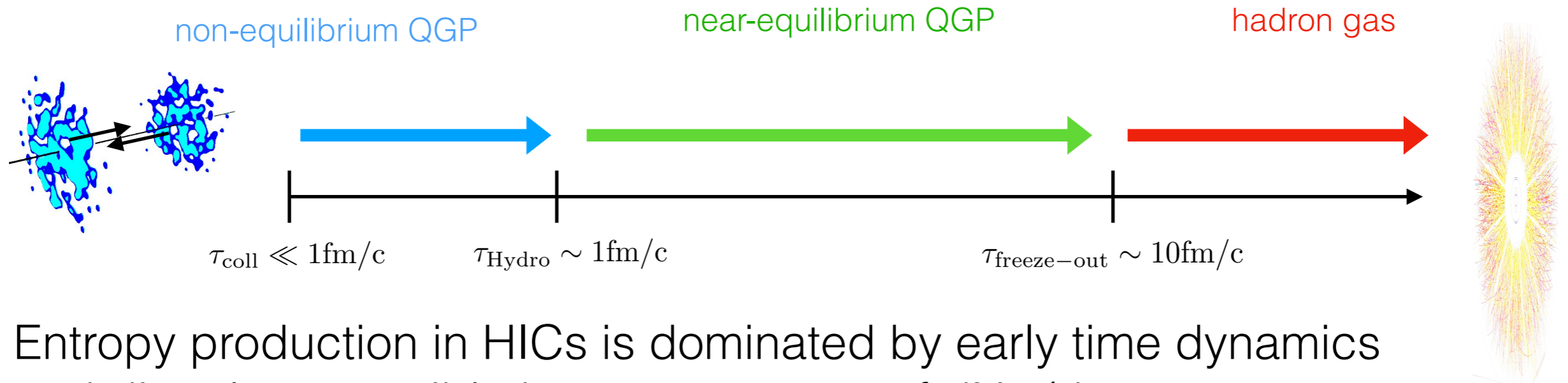
	<i>Interaction</i>	<i>Scaling exponents</i>	
<b>SU(N) Yang-Mills theory in 3+1D</b>	 <b>2<math>\leftrightarrow</math>2 &amp; eff. 2<math>\leftrightarrow</math>1</b>	$\beta$	$\alpha$
		<b>-1/7</b>	<b>-4/7</b>

independent of microscopic parameters (e.g. coupling constant, number of field components,...)



# Sensitivity to Initial state

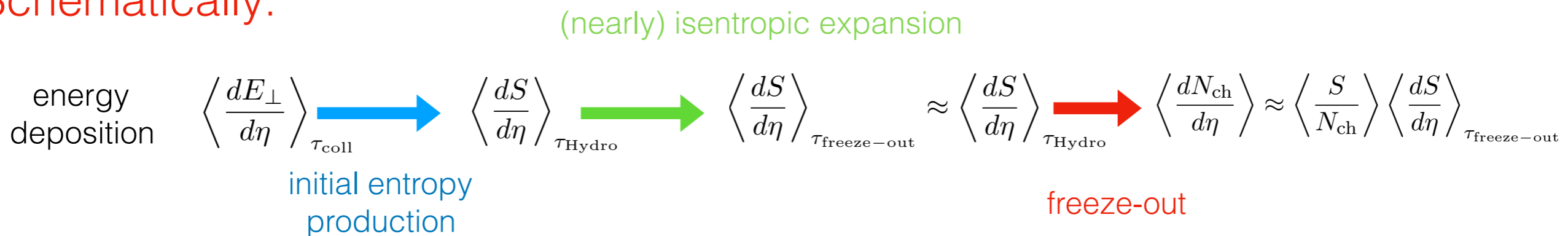
Entropy production occurs only when system is significantly out-of-equilibrium



Entropy production in HICs is dominated by early time dynamics and directly accessible by measurement of  $dN_{\text{ch}}/d\eta$

Giacalone, Mazeliauskas, SS PRL 123 (2019) 26, 262301

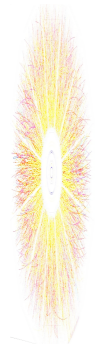
Schematically:



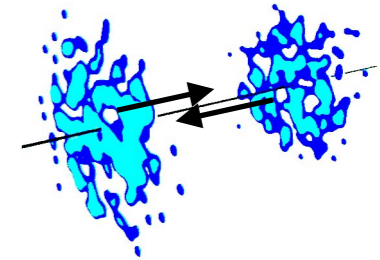
# Entropy production in HICs

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Based on insights from non-equilibrium studies, can now make relation between  $dE/d\eta$  and  $dN_{\text{ch}}/d\eta$  explicit



$$\frac{dN_{\text{ch}}}{d\eta} \approx \frac{4}{3} \left( \frac{N_{\text{ch}}}{S} \right) A_{\perp} C_{\infty}^{3/4} \left( 4\pi \frac{\eta}{s} \right)^{1/3} \left( \frac{\pi^2}{30} \nu_{\text{eff}} \right)^{1/3} (\epsilon\tau)_0^{2/3}$$



Sensitivities/Uncertainties:

**Equilibrium properties:**  $N_{\text{ch}}/S \sim 7.5$ ,  $\nu_{\text{eff}} \sim 40$  approximately known

**Non-equilibrium/transport properties:**

$C_{\infty} \sim 0.95 \pm 0.15$  surprisingly well constraint

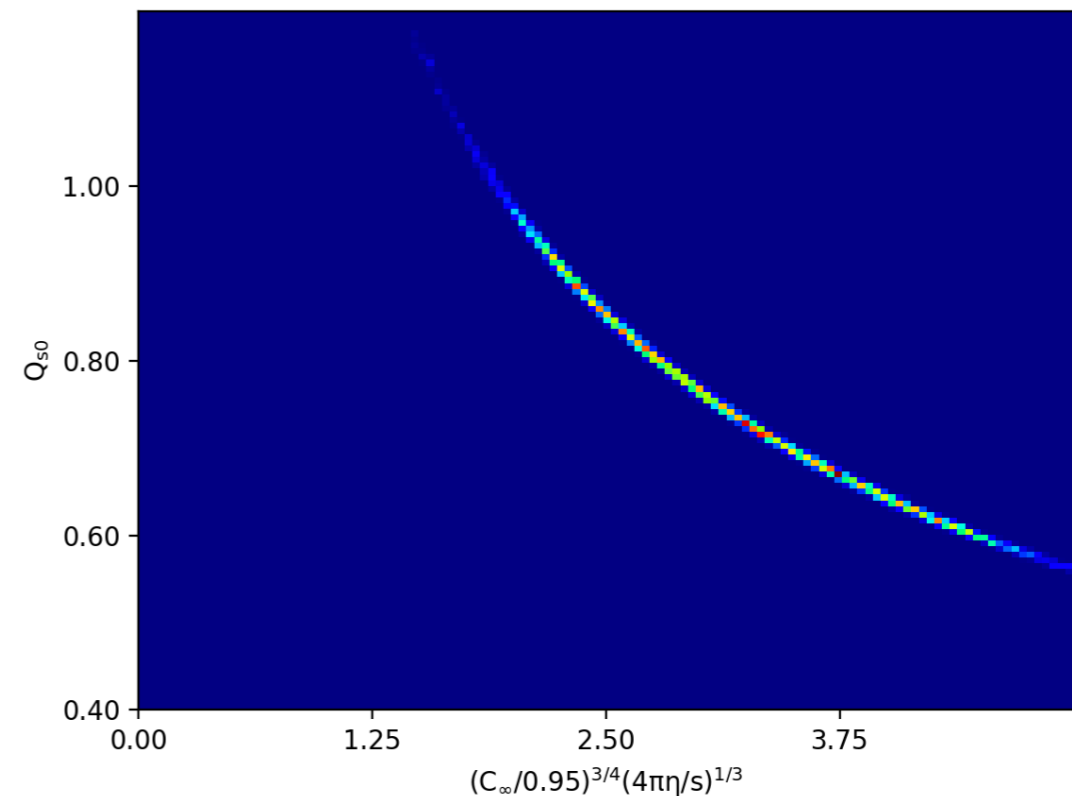
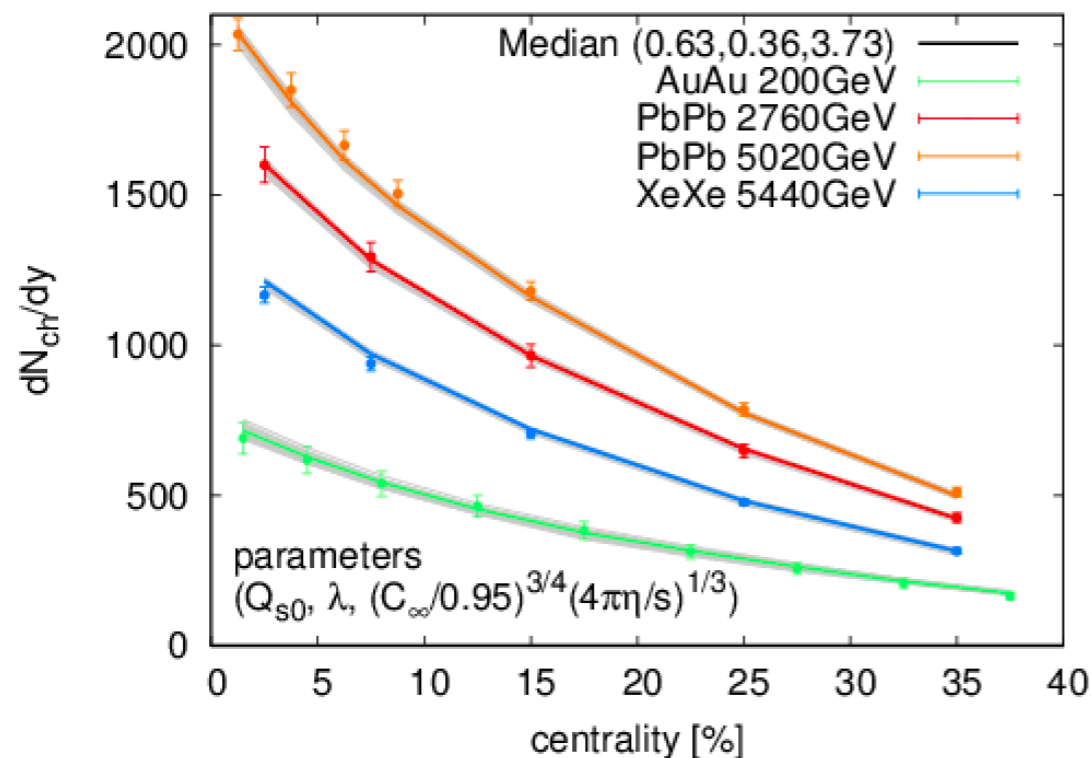
$4\pi \eta/s \sim (1-3)$  not well constraint in relevant temperature range ( $T \sim 4T_c$ )

**Initial state energy density:**

$(\epsilon\tau)_0$  calculable in high-energy QCD with significant uncertainties from small- $x$  TMDs

# Initial state in HICs

Since  $dN_{ch}/d\eta$  is measured with high precision in HICs, can be exploited to simultaneously constrain initial state TMDs & transport properties ( $\eta/s$ )



Description of space-time dynamics from beginning to end enables important link between EIC physics and Heavy-Ion physics