

Top Quark Physics - I

Dr. Michele Pinamonti

(INFN Trieste - University of Udine - ICTP, Trieste - ATLAS experiment, CERN)

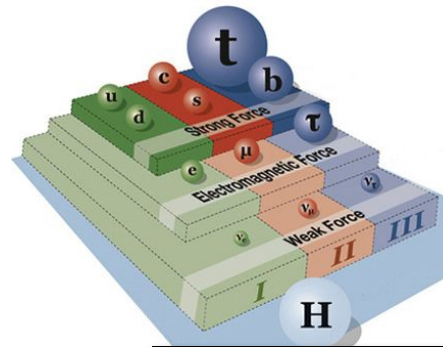


Third HEP Graduate Workshop
University of Batna 1, Batna
Algeria 24-26 May 2022

Outlook

Disclaimers:

- ATLAS experiment perspective
- might repeat know concepts

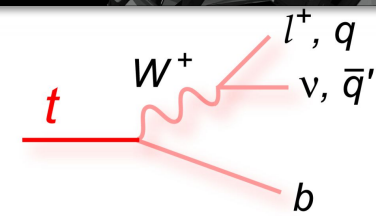
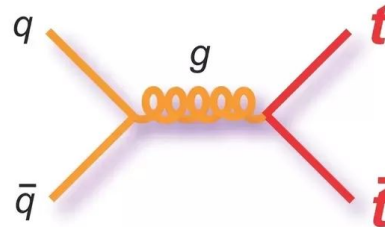
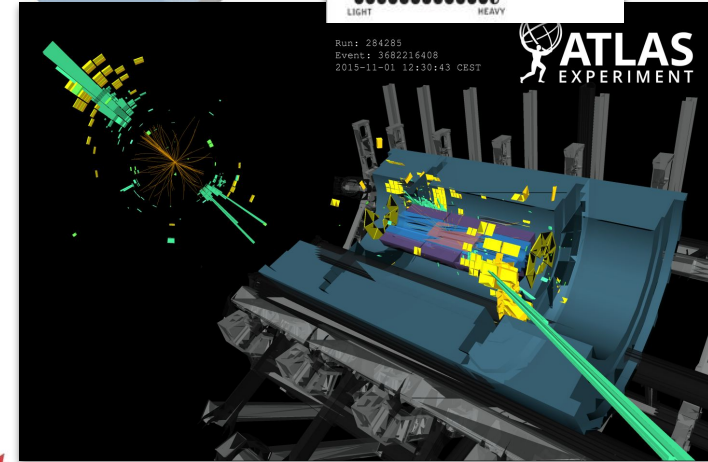


Lecture 1:

- A brief recap
- The top quark
- Tools for top quark physics
- Top-pair production cross-section

Lecture 2:

- Single top
- Top quark mass
- Spin and angular properties
- Top events as a tool for other measurements
- Associated top production
- New physics with top
- Closing remarks



A brief recap

The Standard Model of elementary particles

- Best theory describing elementary physics
 - based on **quantum field theory**
 - 6 **quark** fields + 6 **lepton** fields
 - electro-weak** interaction (+**EW bosons**)
 - QCD** interaction (+**gluons**)
 - Higgs** field

Standard Model Lagrangian

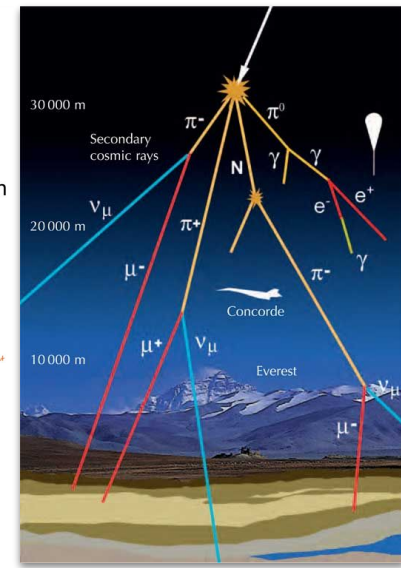
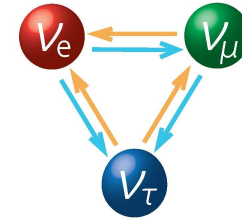
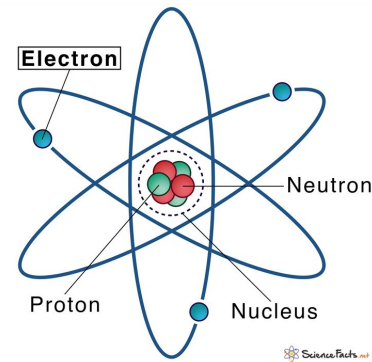
$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + i\bar{\psi}\not{D}\psi + h.c. + \bar{\psi}_i y_{ij} \psi_j \phi + h.c. + \frac{1}{2} D_\mu \phi^2 - V(\phi)$$

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
QUARKS	mass $\approx 2.2 \text{ MeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ u up	mass $\approx 1.28 \text{ GeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ c charm	mass $\approx 173.1 \text{ GeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ t top	mass 0 charge 0 spin 1 g gluon	mass $\approx 124.97 \text{ GeV}/c^2$ charge 0 spin 0 H higgs
	mass $\approx 4.7 \text{ MeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ d down	mass $\approx 96 \text{ MeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ s strange	mass $\approx 4.18 \text{ GeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ b bottom	mass 0 charge 0 spin 1 γ photon	GAUGE BOSONS VECTOR BOSONS SCALAR BOSONS
	mass $\approx 0.511 \text{ MeV}/c^2$ charge -1 spin $\frac{1}{2}$ e electron	mass $\approx 105.66 \text{ MeV}/c^2$ charge -1 spin $\frac{1}{2}$ μ muon	mass $\approx 1.7768 \text{ GeV}/c^2$ charge -1 spin $\frac{1}{2}$ τ tau	mass $\approx 91.19 \text{ GeV}/c^2$ charge 0 spin 1 Z Z boson	
mass $< 1.0 \text{ eV}/c^2$ charge 0 spin $\frac{1}{2}$ ν_e electron neutrino	mass $< 0.17 \text{ MeV}/c^2$ charge 0 spin $\frac{1}{2}$ ν_μ muon neutrino	mass $< 18.2 \text{ MeV}/c^2$ charge 0 spin $\frac{1}{2}$ ν_τ tau neutrino	mass $\approx 80.39 \text{ GeV}/c^2$ charge ± 1 spin 1 W W boson		

Leptons and Quarks

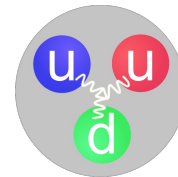
- **Leptons:**

- interact via **QED** and **weak** interaction
- heavier leptons decay to lighter leptons
 - conserving "flavour"
- neutrinos:
 - zero electric charge (only weak interaction)
 - extremely small mass
 - almost invisible



- **Quarks:**

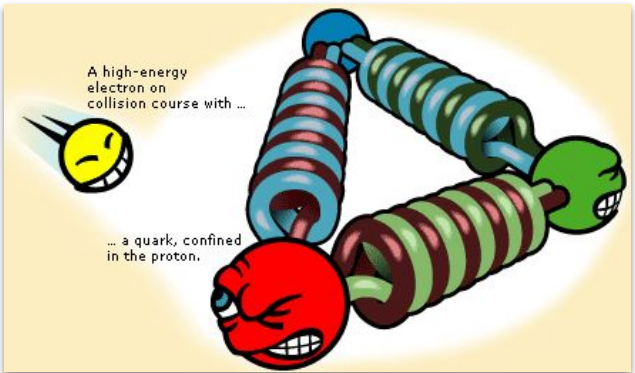
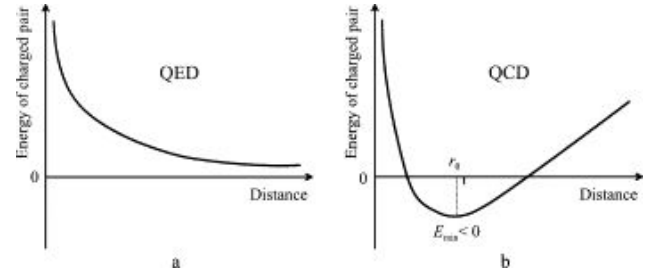
- interact via **EW** and **QCD**
- heavier quarks decay to lighter quarks
 - **mixing** between quarks: **CKM matrix**
- fractional **charge**: $\frac{2}{3}$ or $\frac{1}{3}$
- quark "**confinement**" inside **hadrons**



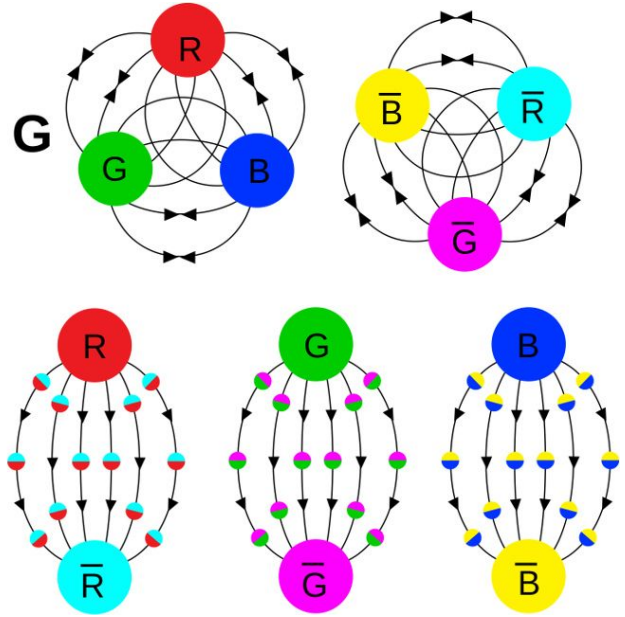
$$\begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix} = \begin{bmatrix} d' \\ s' \\ b' \end{bmatrix}$$

Quarks and QCD

- QCD interaction keeps quarks together inside nucleons:
 - 3 **colour** QCD charges: "R", "G", "B"
 - strong attraction** between different colours, **increasing** with distance
 - as a consequence, quarks cannot live as stable states in nature, and are always in **colorless bound states** called **baryons** and **mesons**

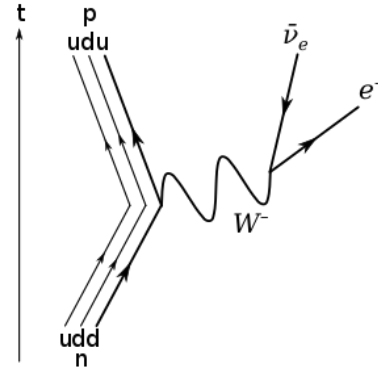
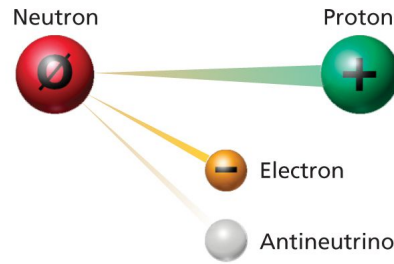


Gluon = spring



Electro-Weak interaction and Weak decay

- Most particle **decays** proceed through electron-weak interaction
 - able to **change flavour** of particles (*only charged current*)
- For **light** particles ($m < m_W$) decay **suppressed** \Rightarrow **long lifetime**
 - *examples: neutron, muon...*

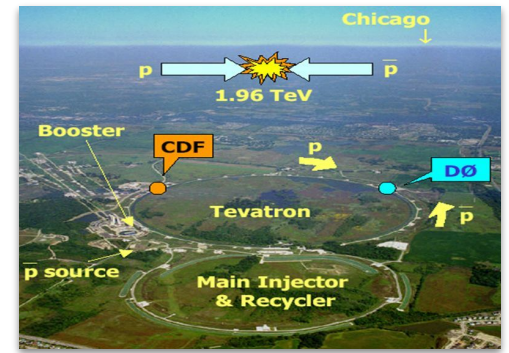


- For **heavy** particles ($m > m_W$) cascade decay to **on-shell W** boson and final state particles \Rightarrow can have **short lifetime!**

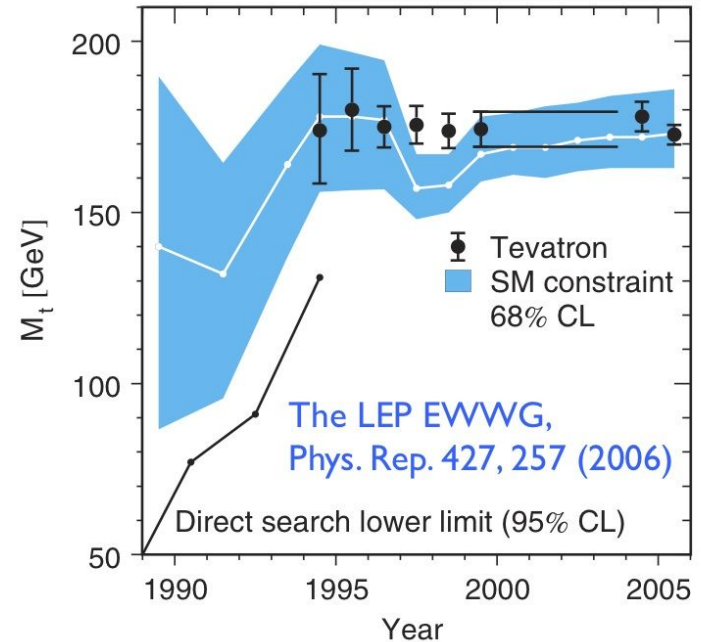
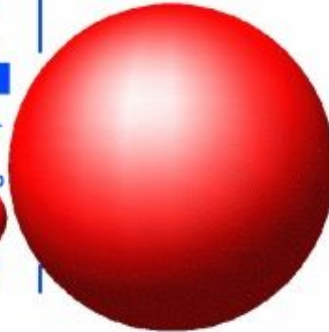
The top quark

Top quark history

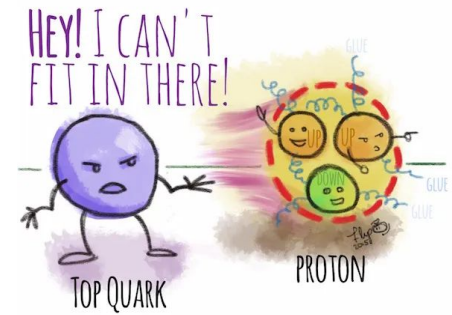
- **Discovered** in 1995 at the Tevatron $p\bar{p}$ collider @FermiLab
 - $m_t \sim 173 \text{ GeV} \gg$ any other quark!
- **Predicted** since 1976, mass constrained by **EW precision data**
- Studied intensively at Tevatron and at LHC
 - **LHC** → “top factory”



LEPTONS		
Electron Neutrino Mass -0	Muon Neutrino -0	Tau Neutrino -0
Electron .511	Muon 105.7	Tau 1 777
QUARKS		
Up Mass: 5	Charm 1 500	Top ~180 000
Down 5	Strange 160	Bottom 4 250



Top quark properties

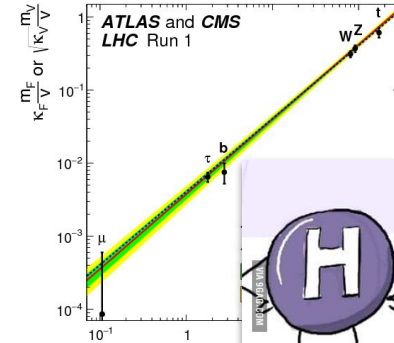


- **Quantum numbers:**

- same as u and c quark:
 - spin $\frac{1}{2}$
 - charge $+\frac{2}{3}$

- **Very large mass** \Rightarrow many nice features:

- **decay time** ($\sim 5 \times 10^{-25} \text{ s}$) $<$ hadronization time ($\sim 2 \times 10^{-24} \text{ s}$)
 \Rightarrow **no top bound states**
 \Rightarrow the **only quark** that can be “seen” **outside hadrons**
- largest **coupling with Higgs** among all SM particles
 - Yukawa coupling $y_t \sim 1$



- **CKM mixing:**

- only relevant CKM matrix element is $V_{tb} \sim 1$
- mixing with other quarks very small

$$\begin{bmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{bmatrix} \approx \begin{bmatrix} 0.974 & 0.225 & 0.003 \\ 0.225 & 0.973 & 0.041 \\ 0.009 & 0.040 & 0.999 \end{bmatrix}$$

Top quark decay

- **“Weak” decay:**

- $m_t > m_W + m_b$
 \Rightarrow not suppressed!
 $\Rightarrow \tau \sim 5 \times 10^{-25} \text{ s}$

- Almost **exclusive** decay:

- $t \rightarrow W^+ b$
 (other CKM elements too small)

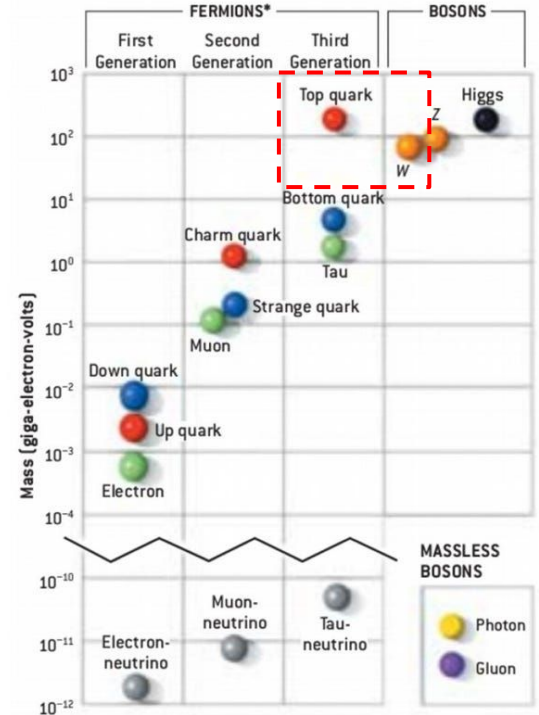
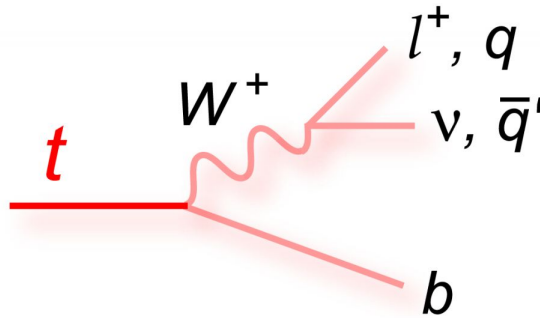
- **W boson** can decay to:

- leptons
- quarks

- **b-quark** hadronizes and forms a high energy **hadronic jet**

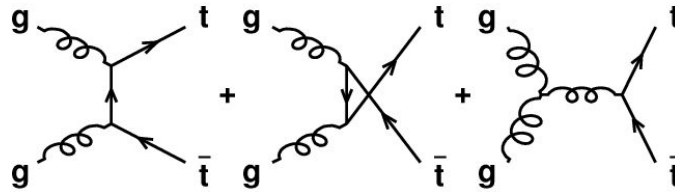
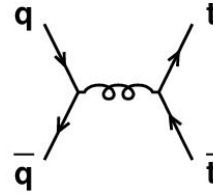
- **Fast decay:**

- no bound states
- **spin information** not disturbed by hadronization process
 \Rightarrow passed to decay products

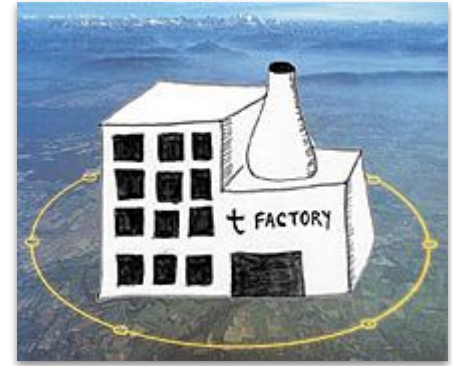
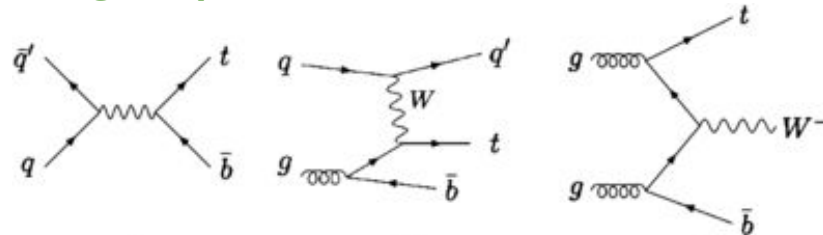


Top quark production

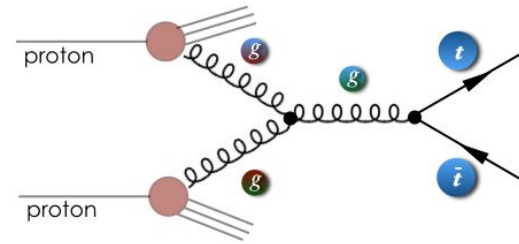
- At hadron colliders (Tevatron $p\bar{p}$, LHC pp):
 - **QCD** production \rightarrow **$t\bar{t}$ pairs**



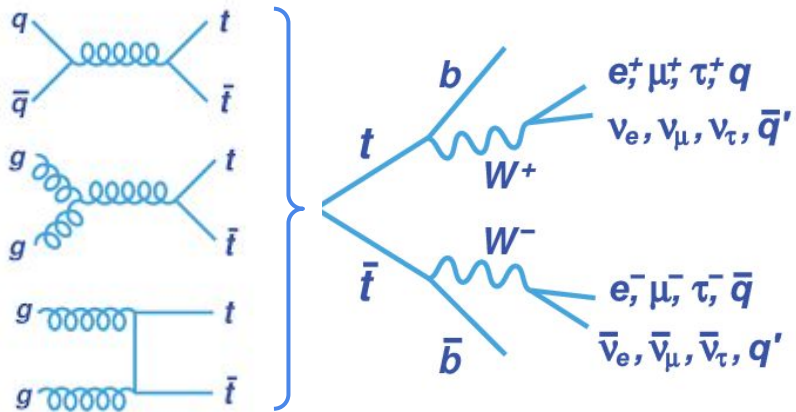
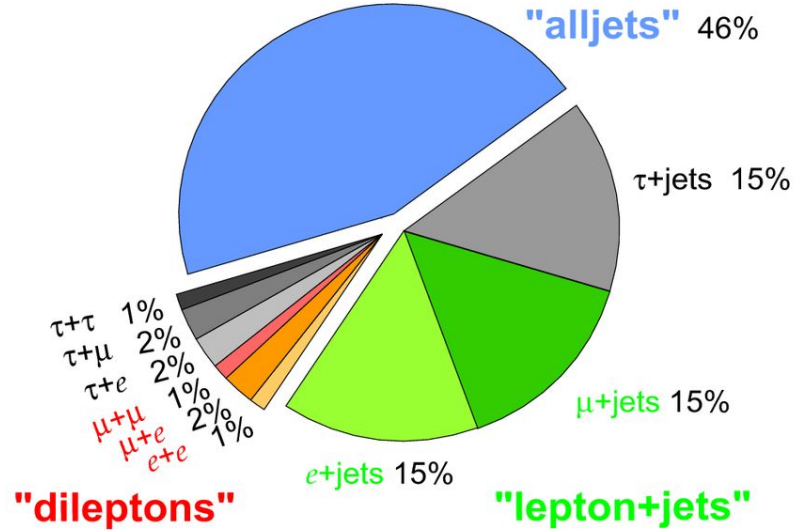
- **EW** production \rightarrow **single top**



Top-quark pair production

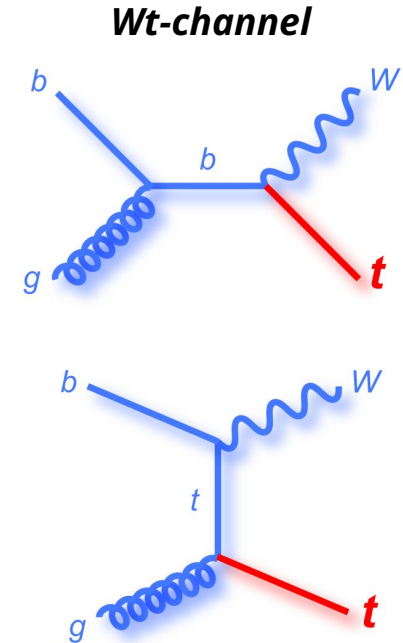
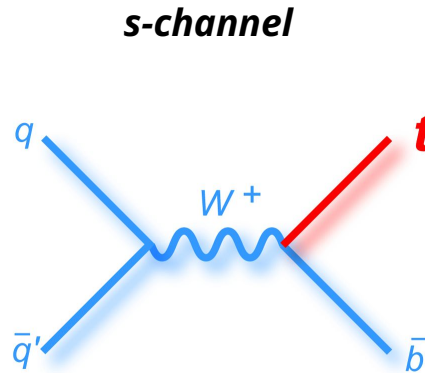
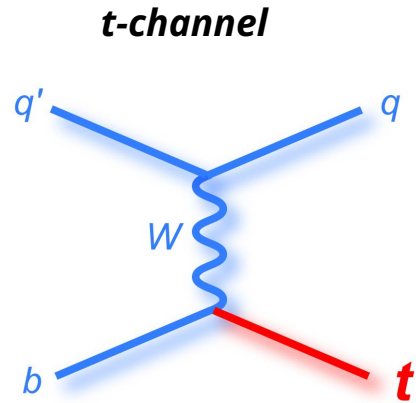


- **Largest cross-section** @hadron colliders
- Final state determined by **W decays**:
 - **all-hadronic**: 6 jets
 - **single-lepton / lepton+jets**: $1\ell^\pm (+1\nu) + 4$ jets
 - **dilepton**: $2\ell^\pm (+2\nu) + 2$ jets



Single-top quark production

- 3 production modes:



- **direct** measurement of V_{tb}
- study of ***tW* vertex**

Why is the top so special?

- Relatively **recent** particle
- The **only** quark ***not*** hadronizing:
 - ⇒ allows to study **quark properties** more directly
 - ⇒ allows to study **spin** properties
- Strong relationship with **Higgs** (*boson and field*) ↔ **large mass**
 - important **high-order corrections** to most SM processes
 - ⇒ important player of **EW precision tests** of the SM
 - many models of **physics beyond the SM** (BSM) predict top playing a special role
- Top events are a **background** for other physics processes (*Higgs, BSM...*)
- Detection of tops involves most of the **detector** components and data analysis techniques:
 - ***jets, b-tagging, electrons, muons, missing energy*** (from neutrinos)



What we can do with top quark?

Measure production cross-section:

- $t\bar{t}$ and single top
- total and differential
- comparison with theory predictions
- extraction of PDFs, α_s
- extraction of V_{tb}

Study of W decay to hadrons

Top mass measurement

Study modelling of (b)quark fragmentation to jets

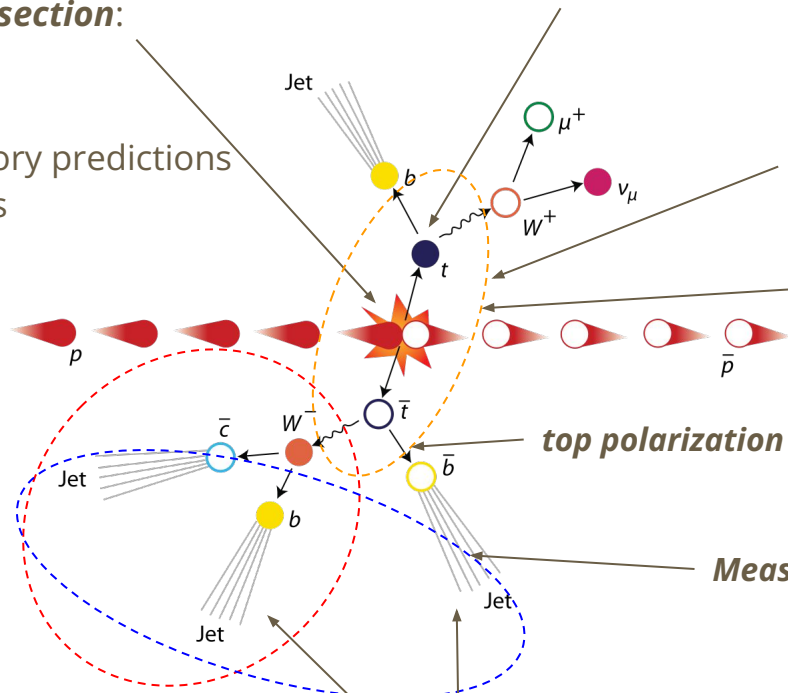
Study of tWb vertex

$t\bar{t}$ forward-backward asymmetry / charge asymmetry

$t\bar{t}$ spin correlation

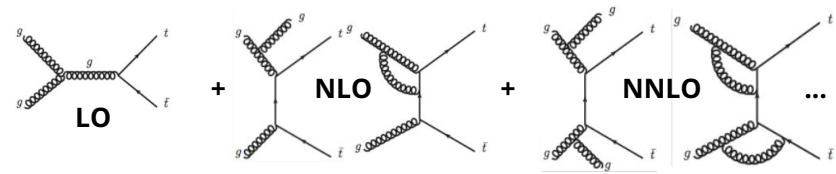
top polarization

Measure b -tagging performance



Tools for top-quark physics

Theory predictions

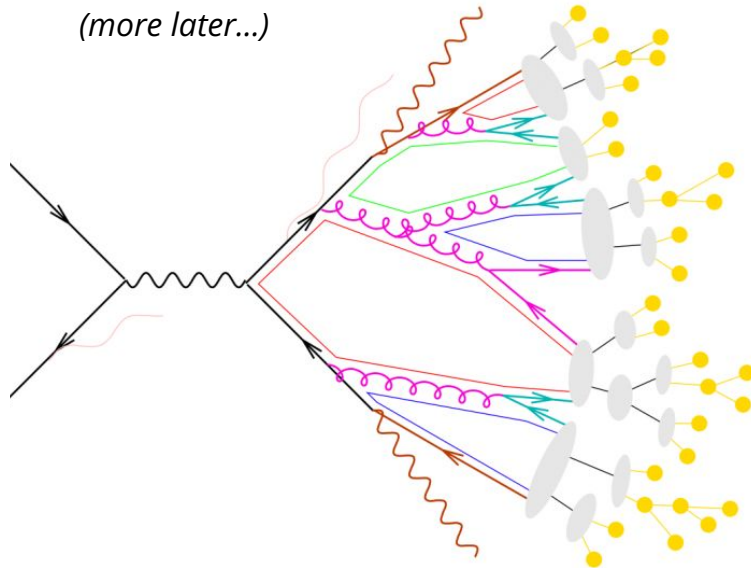


- Theory predictions for HEP quantities based on **perturbation theory**

$$\sigma(pp \rightarrow t\bar{t} + X) = \sum_{i,j} \int dx_i dx_j \times \underbrace{F_i(x_i, \mu_i) F_j(x_j, \mu_j)}_{\text{PDFs}} \underbrace{\hat{\sigma}_{ij}(x_i, x_j, m_{top}^2, \mu_f^2)}_{\text{partonic cross-section}}$$

"cross-section"
(more later...)

- hard scattering
- (QED) initial/final state radiation
- partonic decays, e.g. $t \rightarrow bW$
- parton shower evolution
- nonperturbative gluon splitting
- colour singlets
- colourless clusters
- cluster fission
- cluster \rightarrow hadrons
- hadronic decays



- Predictions involve:
 - parton distribution functions (PDFs)
 - **hard scattering**
 - "fixed order" in QCD and/or EW (*leading order* (LO), *next-to-leading order* (NLO) ...)
 - final-state **evolution** (*particle decays, emission of QCD/EW radiation...*)

Monte Carlo simulation



MadGraph



H7

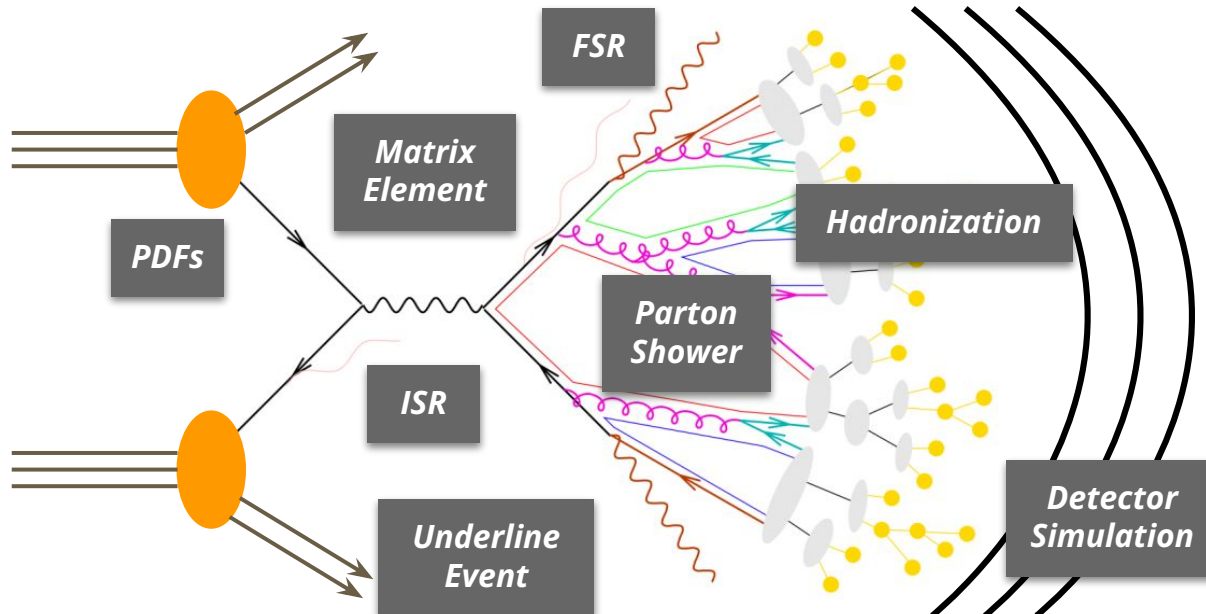


Sherpa



DELPHES
fast simulation

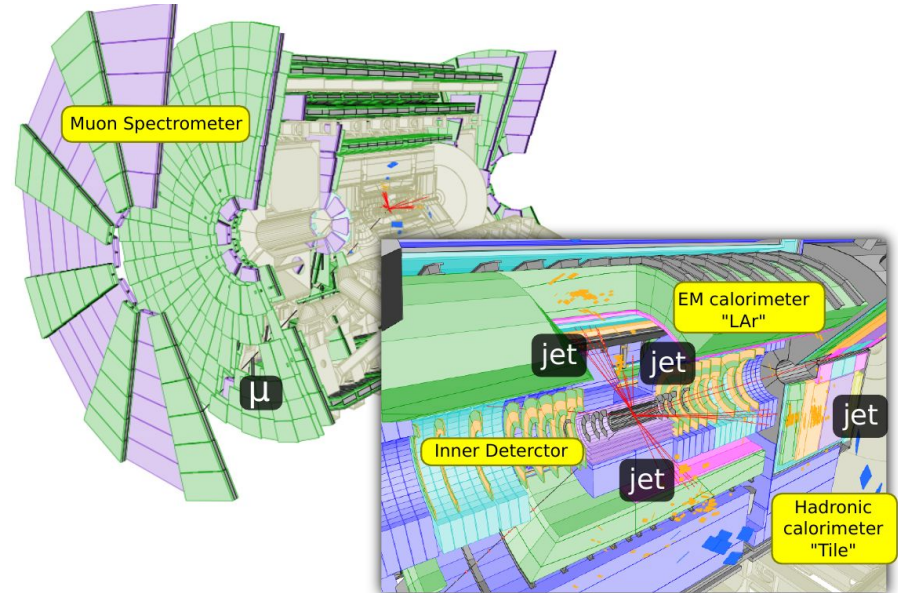
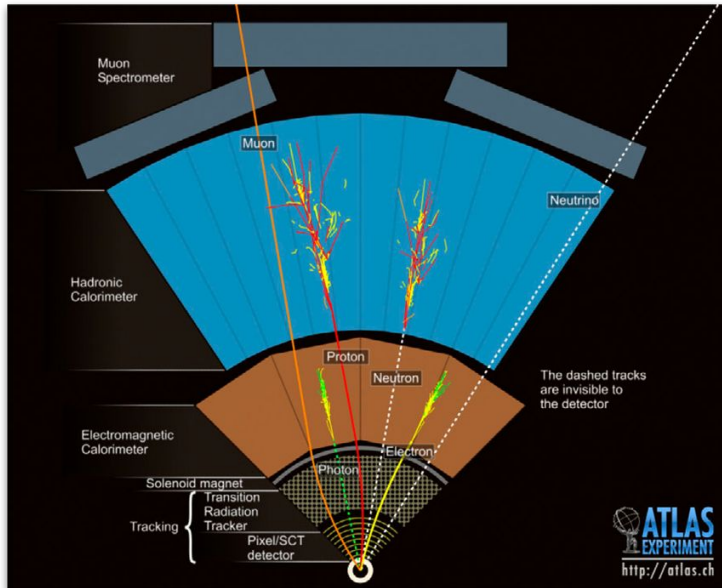
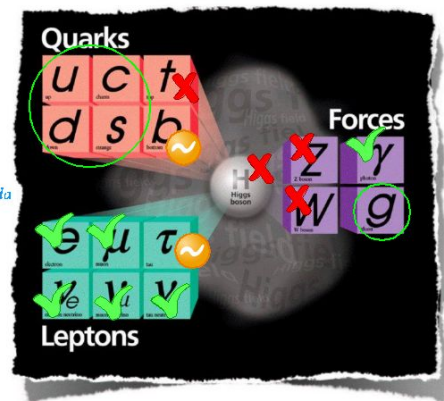
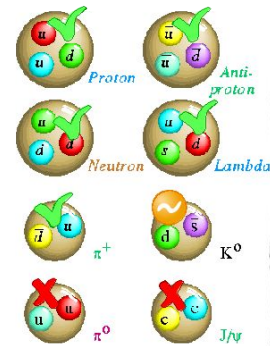
- Useful tool to bring theory predictions as close as possible to experimental data:
 - **simulated events** are produced
 - following the same steps as described before



- final state **evolution** ("**parton shower**") and hadronization handled in **approximate** / empirical ways
- **detector response** simulation also added (*full simulation or fast simulation*)

Signatures in particle detectors

- How do we see top quarks in our detector?
- “Visible” particles are just:
 - **electrons, photons**
 - hadronic **jets** (protons, neutrons, pions, kaons...)
 - **muons**



Top pair event selection

- Single-lepton typical event selection:

- 1 electron or muon

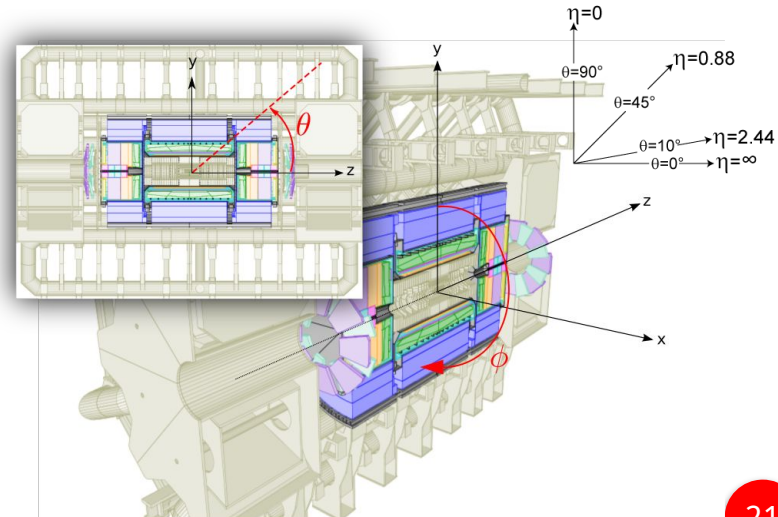
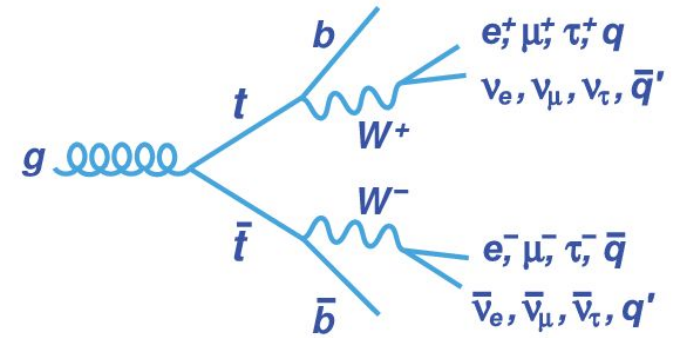
- $p_T > 20 / 25 / 30$ GeV
 - $|\eta| < 2.5$
 - identification cuts and isolation requirements

- ≥ 4 jets

- $p_T > 25$ GeV
 - $|\eta| < 2.5$
 - up to 2 "**b-tagged**"
 - using powerful multi-varied algorithms

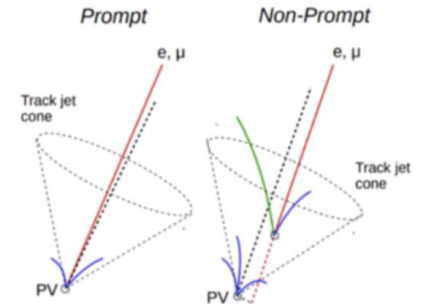
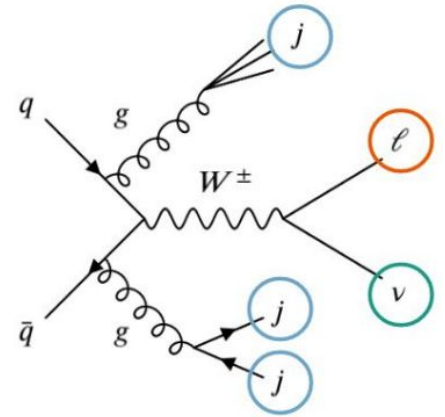
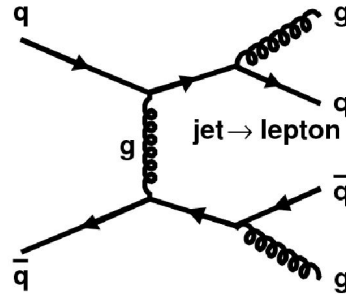
- Presence of **missing transverse energy**, E_T^{miss}

- e.g. $E_T^{\text{miss}} > 30$ GeV
 - or $m_T(W_{\nu,\ell}) > 60$ GeV ...



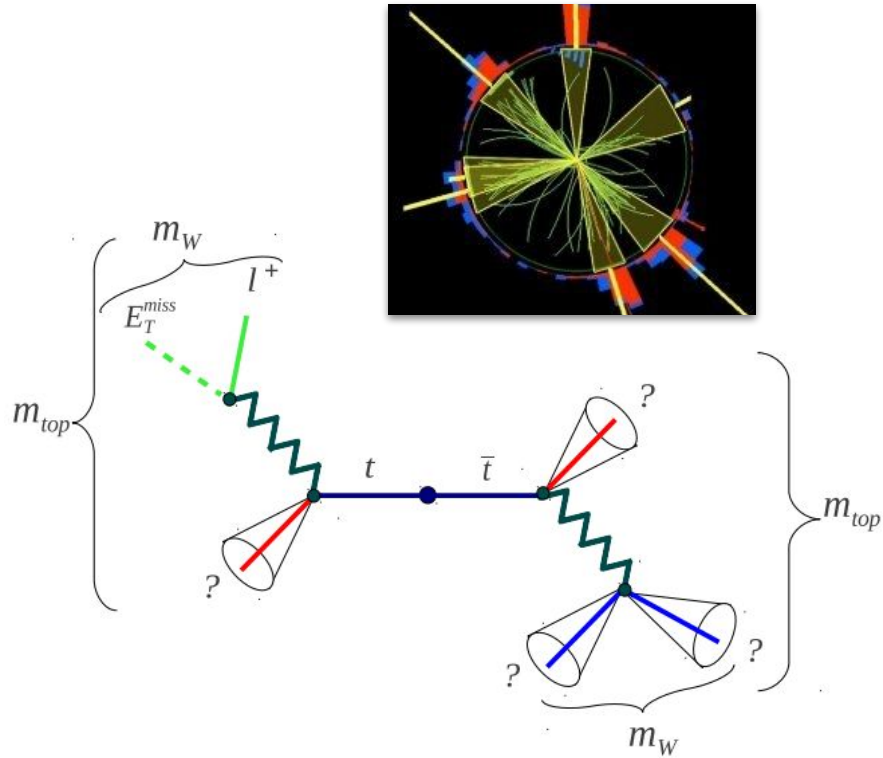
Backgrounds

- **Non-top events** can *mimic* final state topology (and kinematics)
→ “**background**” events (as opposed to “signal events”)
- Main backgrounds (for $t\bar{t} \ell$ +jets and dilepton):
 - **W+jets**
 - **Z+jets**
 - WW, WZ, ZZ (+jets) → “**diboson**”
 - **single top**
 - events with **fake / non-prompt / mis-identified** electrons or muons
- **Backgrounds need to be:**
 - **estimated** (via Monte Carlo simulation or data-driven methods)
 - **rejected** as much as possible with event selection
 - **subtracted** from data to extract signal from data



Top pair event reconstruction

- Reconstruction of **top four momenta** from **final-state particles** not always trivial...



- Example (ℓ +jets): χ^2 minimization:**
 - consider **all possible** jet and lepton **assignments** to tops (and W s) in an event
 - find the assignment that **minimizes χ^2** defined as:

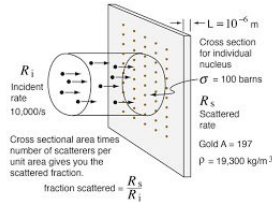
$$\chi^2 = \sum \frac{(m_{ijk} - m_t)^2}{\sigma_t^2} + \frac{(m_{ij} - m_W)^2}{\sigma_W^2} + \frac{(m_{nl\nu} - m_t)^2}{\sigma_t^2}$$

- $p_z(\nu)$ obtained by imposing $m(E_T^{\text{miss}} + \ell) = m_W$

Top-pair production cross section

Cross-section in Collider Physics

- “Cross-section what?”

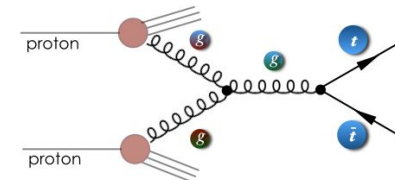
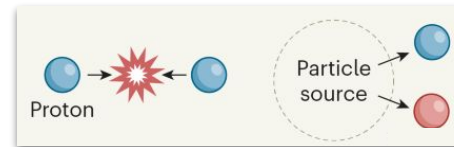
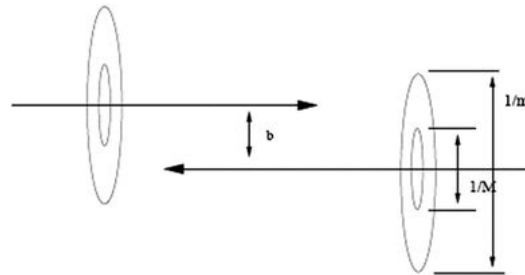


In physics, the cross section is a **measure of the probability** that a specific process will take place when some kind of **radiant excitation** (e.g. a particle beam, sound wave, light, or an X-ray) intersects a **localized phenomenon** (e.g. a particle or density fluctuation). [...] Cross section is typically **denoted σ (sigma)** and is expressed in units of **area**, more specifically in **barns**. In a way, it can be thought of as the size of the object that the excitation must hit in order for the process to occur [...]

- Essentially, in the case of **particle colliders**:

- **probability of interaction** / reaction between two colliding particles
- multiplied by the effective beam intensity (“**luminosity**”) → **rate** of interactions / reactions
- integrated over time (i.e. multiplying by “**integrated luminosity**”) → **number** of “events”

$$N = \sigma \cdot \int L$$



- Besides **inclusive** cross-section we define **partial cross-sections** for specific process:
 - probability of production of **specific final state** from certain colliding particles

Measuring a cross-section

- Cross-section measurement **golden formula**:

$$\sigma = \frac{N}{\int L} = \frac{N(\text{data}) - N(\text{background})}{\epsilon \cdot \int L}$$

number of **produced** events

number of data events passing the **event selection**
OBSERVED / COUNTED

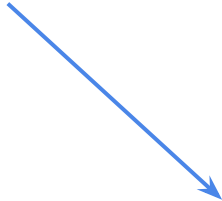
number of **background** events passing the **event selection**
ESTIMATED

integrated luminosity

selection efficiency

Statistical and systematic uncertainties

- **Statistical uncertainty** = uncertainty on event counting
 - Poisson statistics \Rightarrow at high N, error = \sqrt{N}

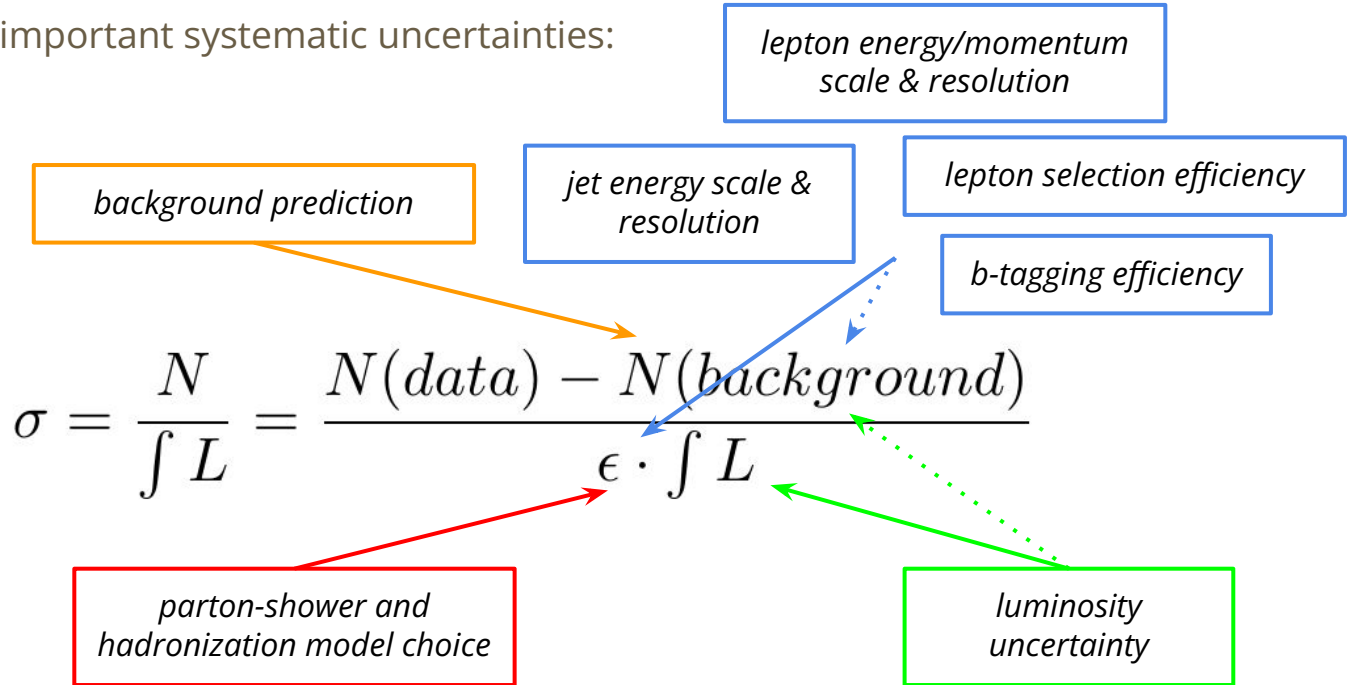

$$\sigma = \frac{N}{\int L} = \frac{N(\text{data}) - N(\text{background})}{\epsilon \cdot \int L}$$

(usually) from MC simulation

- **Systematic uncertainties** = other uncertainties, related to experimental instrumentation, tools, techniques, assumptions, theoretical predictions...

Systematic uncertainties

- Typically most important systematic uncertainties:

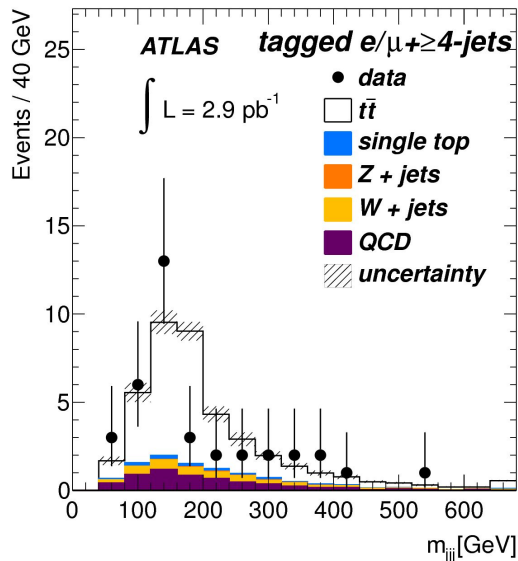


Total $t\bar{t}$ cross section measurements in ATLAS

2010, $\sqrt{s} = 7$ TeV, $\int L = 3$ pb $^{-1}$
[Eur. Phys. J. C 71 \(2011\) 1577](#)

- “Cut & Count”

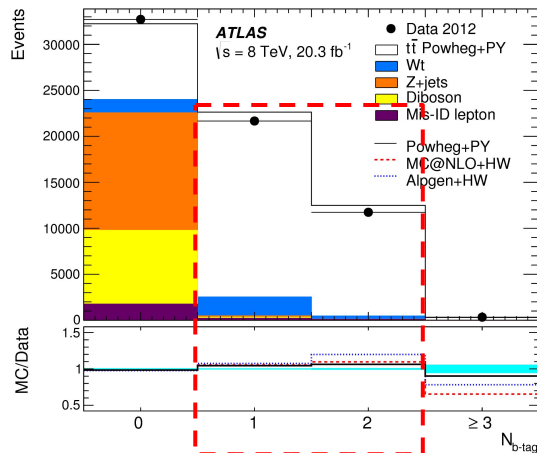
$$\sigma_{t\bar{t}} = 145 \pm 31^{+42}_{-27} \text{ pb}$$



2014, $\sqrt{s} = 8$ TeV, $\int L = 20$ fb $^{-1}$
[Eur. Phys. J. C 74 \(2014\) 3109](#)

- dilepton $e\mu$ only
- extract σ and b-tagging efficiency at the same time

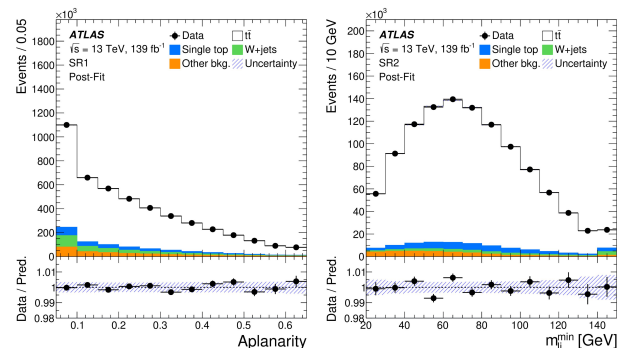
$$\sigma_{t\bar{t}} = 242.4 \pm 1.7 \pm 10.2 \text{ pb}$$



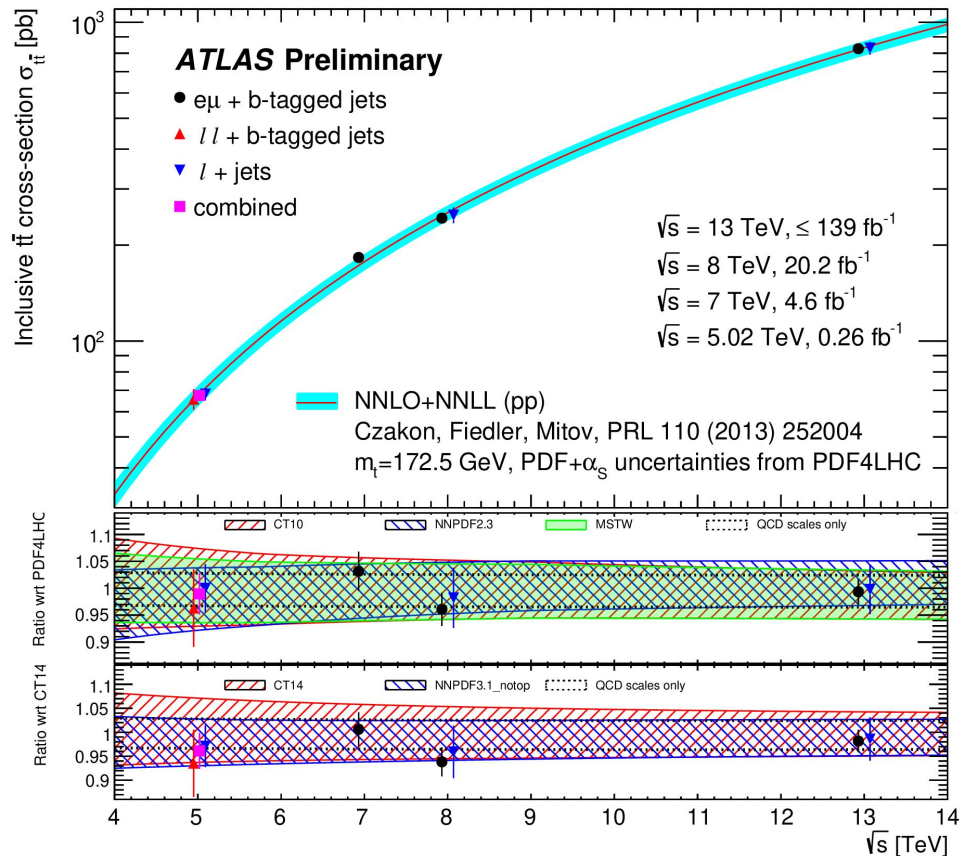
2020, $\sqrt{s} = 13$ TeV, $\int L = 139$ fb $^{-1}$
[Phys. Lett. B 810 \(2020\) 135797](#)

- $\ell +$ jets
- “profile likelihood fit”
 \Rightarrow *in situ* constraint of all systematic uncertainties

$$\sigma_{t\bar{t}} = 830 \pm 0.4 \pm 39 \text{ pb}$$



Total $t\bar{t}$ cross section measurements in ATLAS



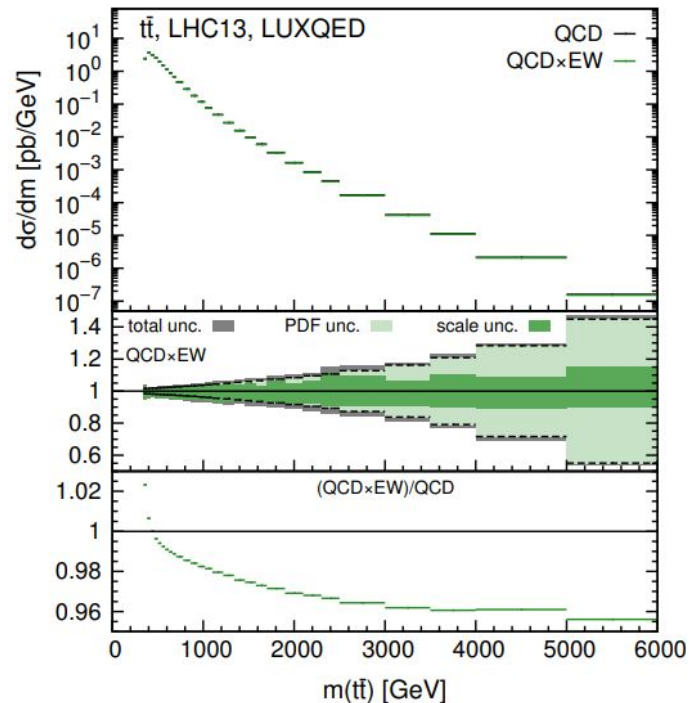
Differential cross section

- Cross-section can be measured "*differentially*",
i.e. as a function of **kinematic properties** of final state

$$\sigma(pp \rightarrow t\bar{t}) \longrightarrow \frac{d\sigma(pp \rightarrow t\bar{t})}{dm_{t\bar{t}}}$$
$$\sigma(pp \rightarrow t\bar{t}) \longrightarrow \frac{d\sigma(pp \rightarrow t\bar{t})}{dp_T^t}$$
$$\sigma(pp \rightarrow t\bar{t}) \longrightarrow \frac{d\sigma(pp \rightarrow t\bar{t})}{dY_{t\bar{t}}}$$

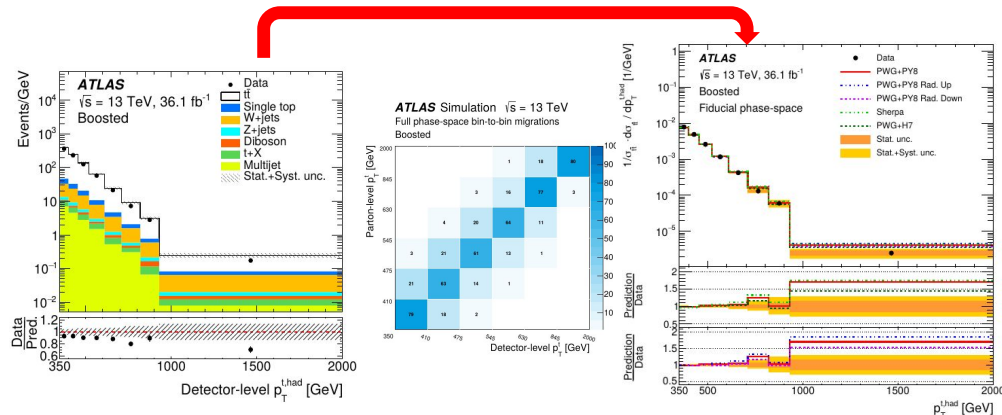
...

[arXiv:1705.04105](https://arxiv.org/abs/1705.04105) - Mitov et al.



The unfolding technique

- **Unfolding** is:
 - *removal of detector resolution effects from observed distribution, to extract (our best-guess of) underlying true distribution*
 - i.e. extraction of a **differential cross-section**
- Can be done to extract:
 - **total-phase-space** or **fiducial-phase-space** cross-sections
 - cross-sections vs. variable defined at **particle-level** or at **parton-level**
- The unfolding problem can be essentially reduced to a **response-matrix-inversion** problem



Comparison of results with predictions

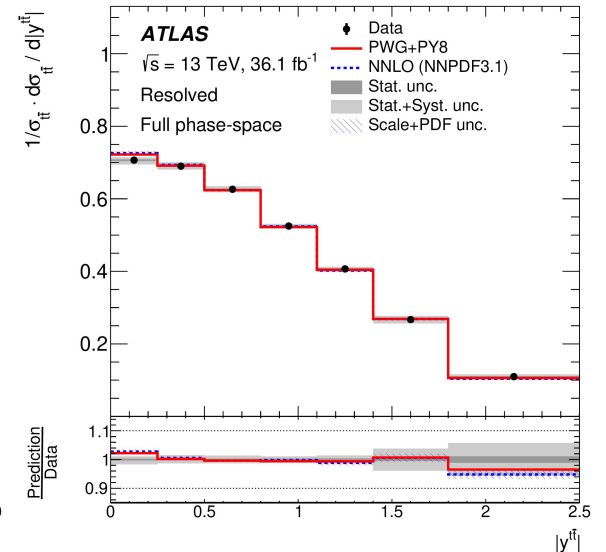
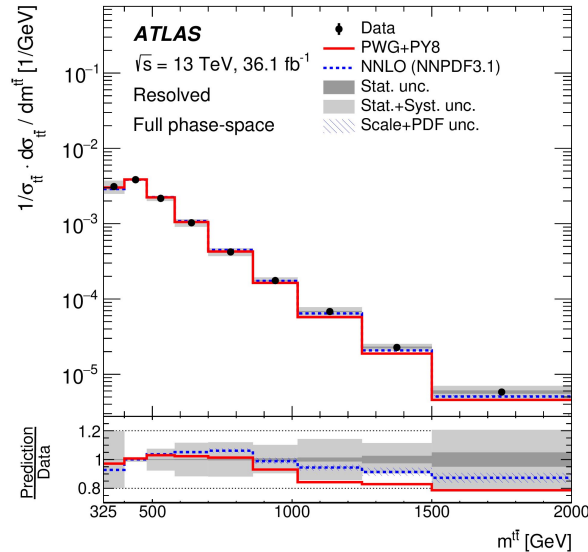
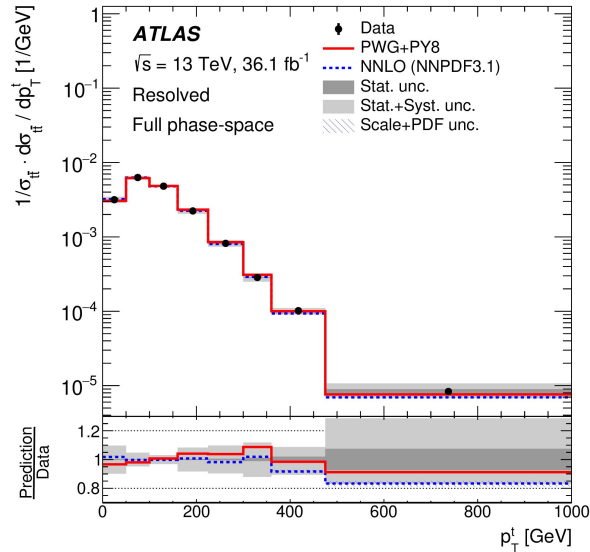
2019, $\sqrt{s} = 13$ TeV, $\int L = 36$ fb $^{-1}$

[Eur. Phys. J. C 79 \(2019\) 1028](#)

- ℓ + jets differential

Just one example out of many analyses...

... and just 3 observables out of a many many ones!



- Useful to test & tune theoretical predictions, Monte Carlo simulation, PDFs..
 - or to look for new physics effects!

Questions?