



EFT at Neutrino Experiments

The Mitchell Conference on Collider, Dark Matter and Neutrino Physics

May 24-27, 2022

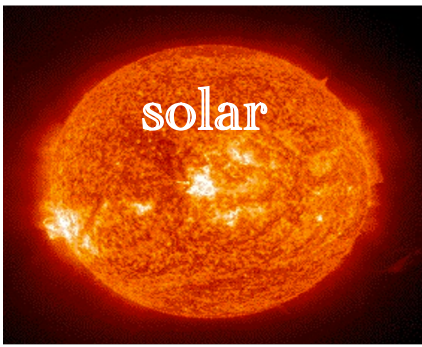
Zahra Tabrizi

Neutrino Theory Network (NTN) fellow

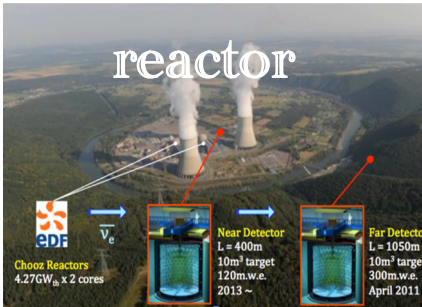


Northwestern
University

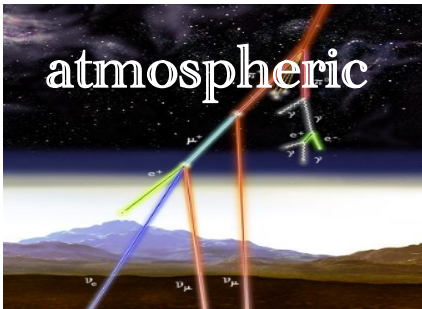
Status of Neutrino Physics in 2022



Super-Kamiokande, Borexino, SNO



MBL: Daya Bay, RENO, Double Chooz
LBL: KamLAND



IceCube, Super-Kamiokande



T2K, MINOS, NOvA

mixing angles:

$\sin^2 \theta_{12}$ @ 4%

$\sin^2 \theta_{13}$ @ 3%

$\sin^2 \theta_{23}$ @ 3%

mass squared differences:

Δm_{21}^2 @ 3%

$|\Delta m_{31}^2|$ @ 1%

Future: DUNE, T2HK, JUNO



- Increase the precision
- CP-phase?
- Mass hierarchy?

Also:

Mass scale? Dirac or Majorana?
Sterile?

Questions:

- How can we “systematically” use different neutrino experiments for BSM searches?
- How can we connect results to other particle physics experiments?
- Can neutrino experiments probe reasonable new physics beyond the reach of high energy colliders?

Neutrino experiments can become an ingredient in the broad program of precision measurements

Physics goals of near detectors:

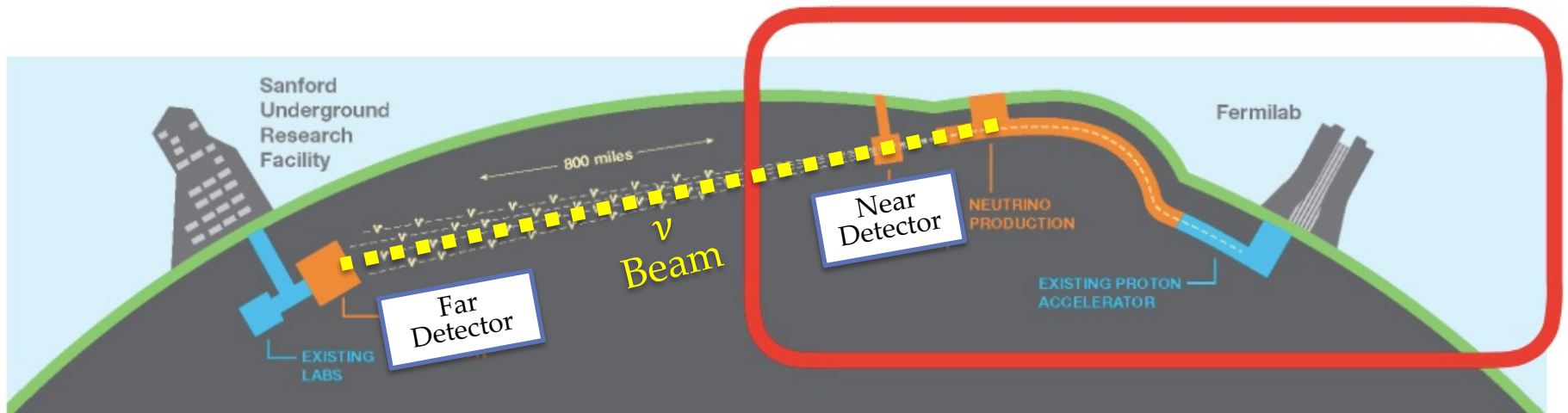
Primary role: Understanding Systematic Uncertainties

High beam luminosity +
Large fiducial mass

Ideal to investigate
rare/new neutrino
interactions

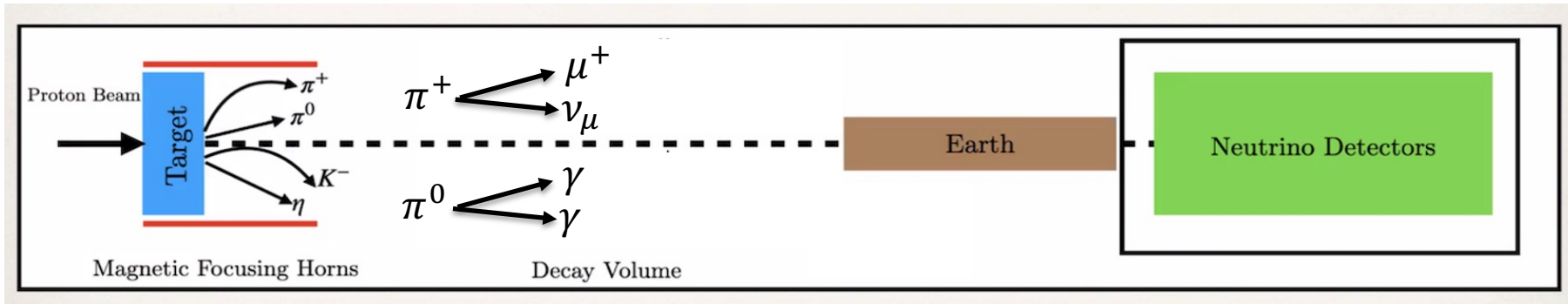
$$\sigma < 10^{-44} \text{ cm}^2$$

- Test SM predictions
- Search for BSM physics



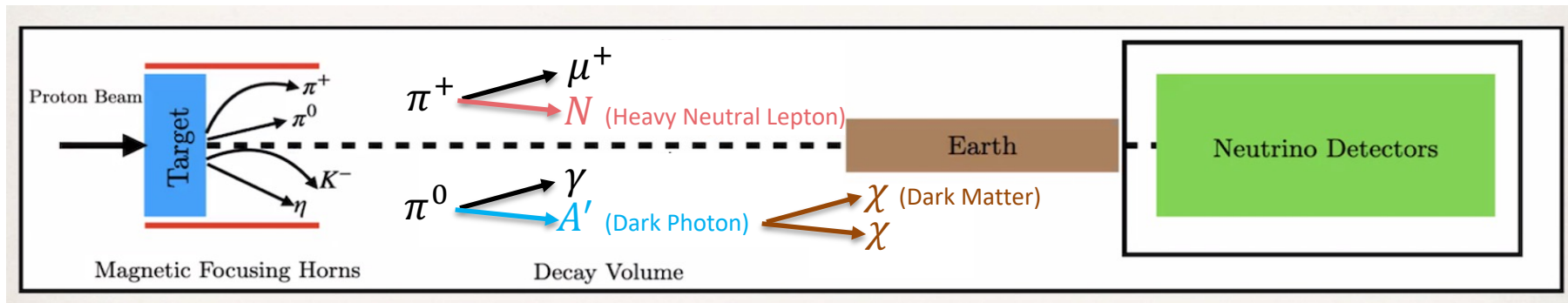
Neutrino Experiments as Dark Sector factories!

1) Direct Production of New Physics



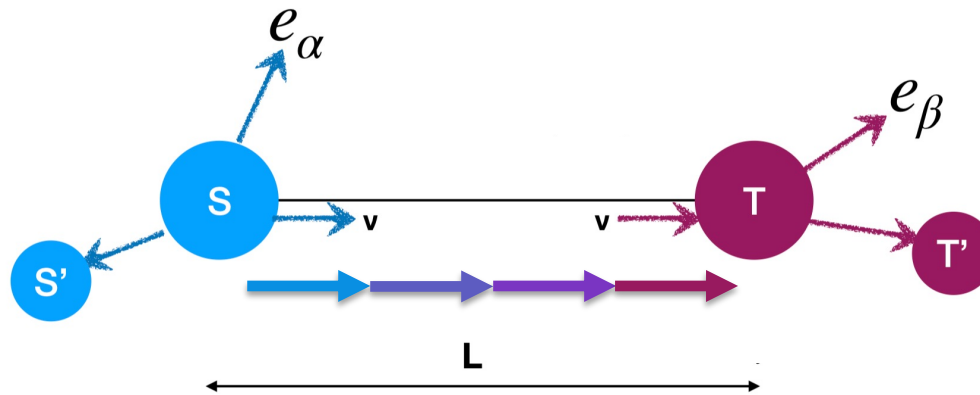
Credit: Kevin Kelly

The huge fluxes of neutrinos and photos can be used for BSM searches



How about “Heavy” New Physics?

2) Affect Neutrino Interactions: Indirect Search



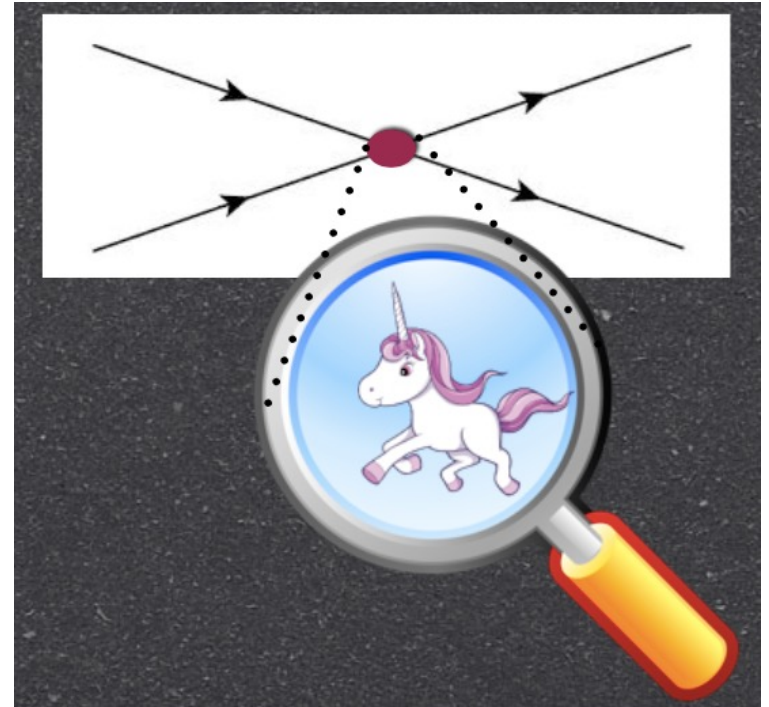
Observable: rate of detected events

$$\sim (\text{flux}) \times (\text{det. cross section}) \times (\text{oscillation})$$

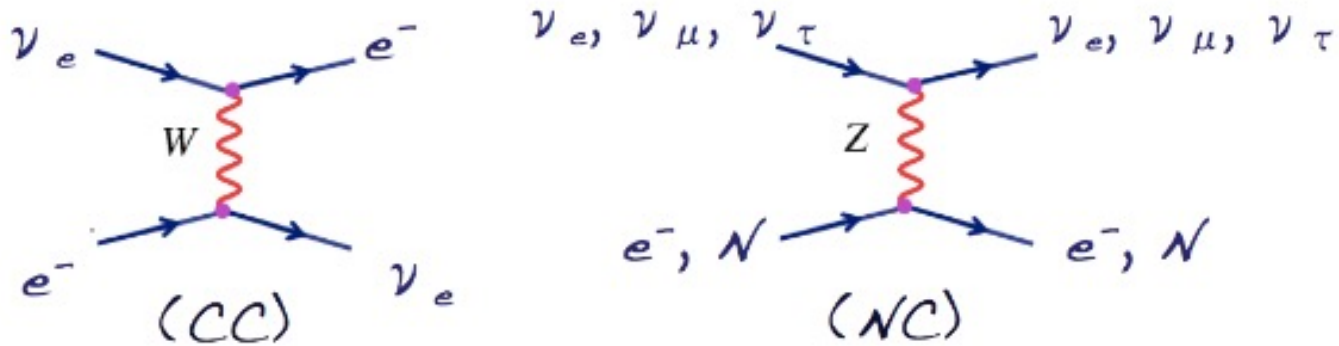


Indirect Search-EFT:

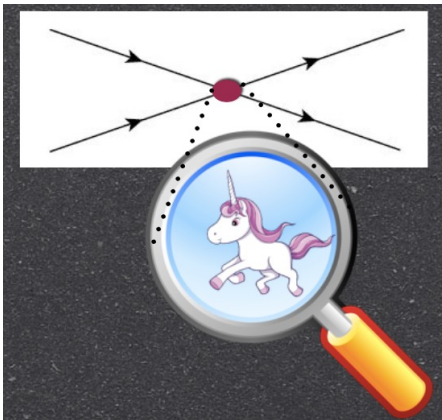
- Why EFT?
- EFT ladder
- EFT at Neutrino Experiments
- Conclusion



- Coherent CC and NC forward scattering of neutrinos



- New 4-fermion interactions



- Observable effects at neutrino production/propagation/detection?
- Using “EFT” formalism to “systematically” explore NP beyond the neutrino masses and mixing

EFT ladder

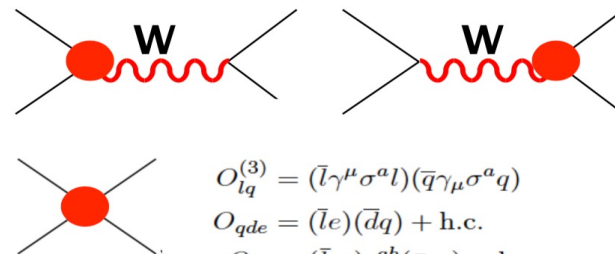
SMEFT: minimal EFT above the weak scale

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{D=5} + \mathcal{L}_{D=6}$$

Known SM
Lagrangian

Gives neutrino
Masses

• Colliders
• CLFV

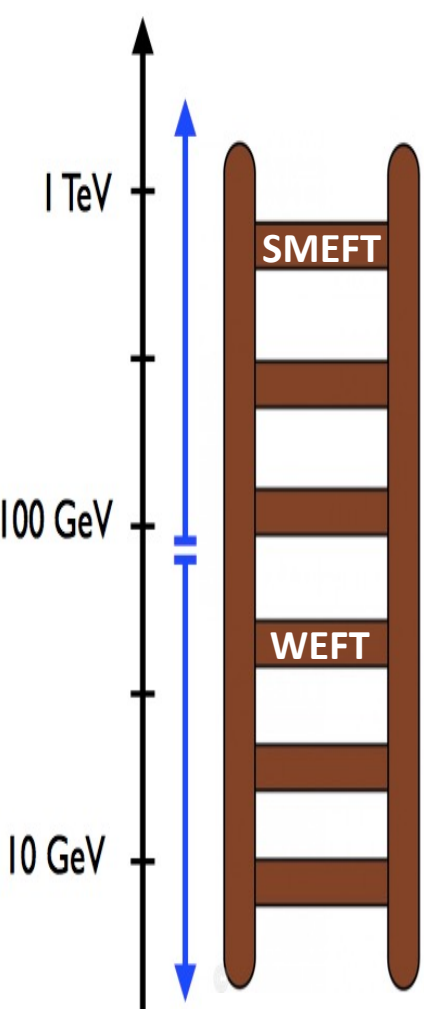


$$O_{lq}^{(3)} = (\bar{l}\gamma^\mu \sigma^a l)(\bar{q}\gamma_\mu \sigma^a q)$$

$$O_{qde} = (\bar{l}e)(\bar{d}q) + \text{h.c.}$$

$$O_{lq} = (\bar{l}_a e)\epsilon^{ab}(\bar{q}_b u) + \text{h.c.}$$

$$O_{lq}^t = (\bar{l}_a \sigma^{\mu\nu} e)\epsilon^{ab}(\bar{q}_b \sigma_{\mu\nu} u) + \text{h.c.}$$

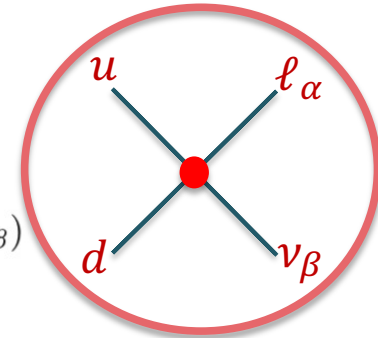


EFT ladder

WEFT: Effective Lagrangian defined at a low scale $\mu \sim 2 \text{ GeV}$

- CC: New left/right handed, (pseudo)scalar and tensor interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ [1 + \epsilon_L]_{\alpha\beta} (\bar{u}\gamma^\mu P_L d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \right. \\ + [\epsilon_R]_{\alpha\beta} (\bar{u}\gamma^\mu P_R d)(\bar{\ell}_\alpha \gamma_\mu P_L \nu_\beta) \\ + \frac{1}{2} [\epsilon_S]_{\alpha\beta} (\bar{u}d)(\bar{\ell}_\alpha P_L \nu_\beta) - \frac{1}{2} [\epsilon_P]_{\alpha\beta} (\bar{u}\gamma_5 d)(\bar{\ell}_\alpha P_L \nu_\beta) \\ \left. + \frac{1}{4} [\hat{\epsilon}_T]_{\alpha\beta} (\bar{u}\sigma^{\mu\nu} P_L d)(\bar{\ell}_\alpha \sigma_{\mu\nu} P_L \nu_\beta) + \text{h.c.} \right\}$$

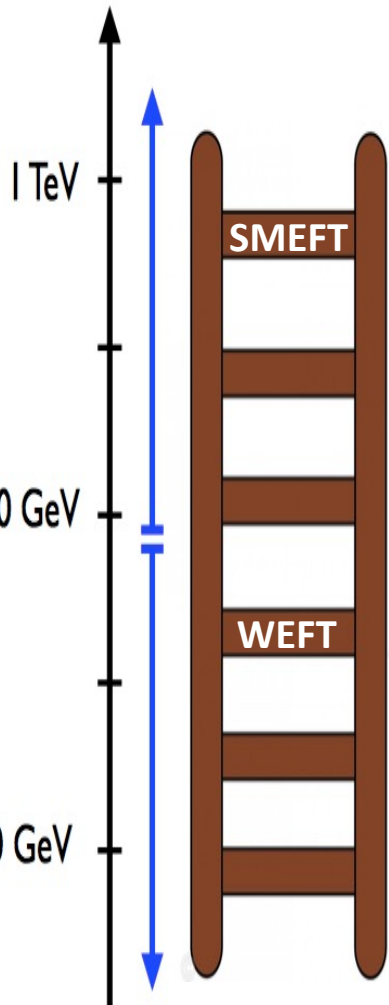
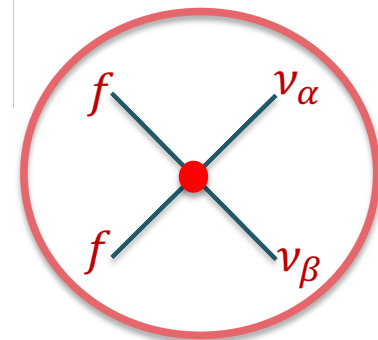


- NC: New left and right handed interactions

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2}{v^2} [\epsilon_{\alpha\beta}^{fX}] (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$



- Neutrino experiments
- Hadron Decays
- β -decays



At the scale m_Z WFT parameters ϵ_X map to dim-6 operators in SMEFT

$$\begin{aligned}
 [\epsilon_L]_{\alpha\beta} &\approx \frac{v^2}{\Lambda^2 V_{ud}} \left(V_{ud} [c_{HI}^{(3)}]_{\alpha\beta} + V_{jd} [c_{Hq}^{(3)}]_{1j} \delta_{\alpha\beta} - V_{jd} [c_{lq}^{(3)}]_{\alpha\beta 1j} \right) \\
 [\epsilon_R]_{\alpha\beta} &\approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11} \delta_{\alpha\beta} \\
 [\epsilon_S]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* + [c_{ledq}]_{\beta\alpha 11}^* \right) \\
 [\epsilon_P]_{\alpha\beta} &\approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left(V_{jd} [c_{lequ}^{(1)}]_{\beta\alpha j1}^* - [c_{ledq}]_{\beta\alpha 11}^* \right) \\
 [\hat{\epsilon}_T]_{\alpha\beta} &\approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}^{(3)}]_{\beta\alpha j1}^*
 \end{aligned}$$

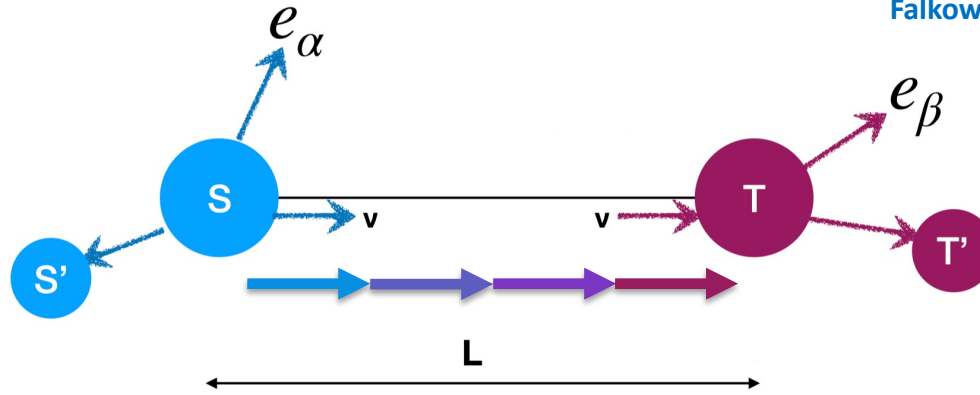
Falkowski, González-Alonso, [ZL](#), JHEP (2019)



- All ϵ_X arise at $O(\Lambda^{-2})$ in the SMEFT, thus they are equally important.
- No off-diagonal right handed interactions in SMEFT.

EFT at neutrino experiments

Falkowski, González-Alonso, ZI, JHEP (2020)



Observable: rate of detected events

~(flux) × (det. cross section) × (oscillation)

$$U_{\text{PMNS}} \parallel \begin{bmatrix} \nu_e & \text{blue square} & \text{red square} & \text{small red square} \\ \nu_\mu & \text{red square} & \text{red square} & \text{purple square} \\ \nu_\tau & \text{red square} & \text{red square} & \text{purple square} \\ \nu_1 & \nu_2 & \nu_3 \end{bmatrix}$$

depend on the kinematic and spin variables

$$\mathcal{M}_{\alpha k}^P = U_{\alpha k}^* A_L^P + \sum_X [\epsilon_X U]_{\alpha k}^* A_X^P$$

$$\mathcal{M}_{\beta k}^D = U_{\beta k} A_L^D + \sum_X [\epsilon_X U]_{\beta k} A_X^D$$

$$\sigma^{\text{Total}} = \sigma^{\text{SM}} + \epsilon_X \sigma^{\text{Int}} + \epsilon_X^2 \sigma^{\text{NP}} \sim \sigma^{\text{SM}} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

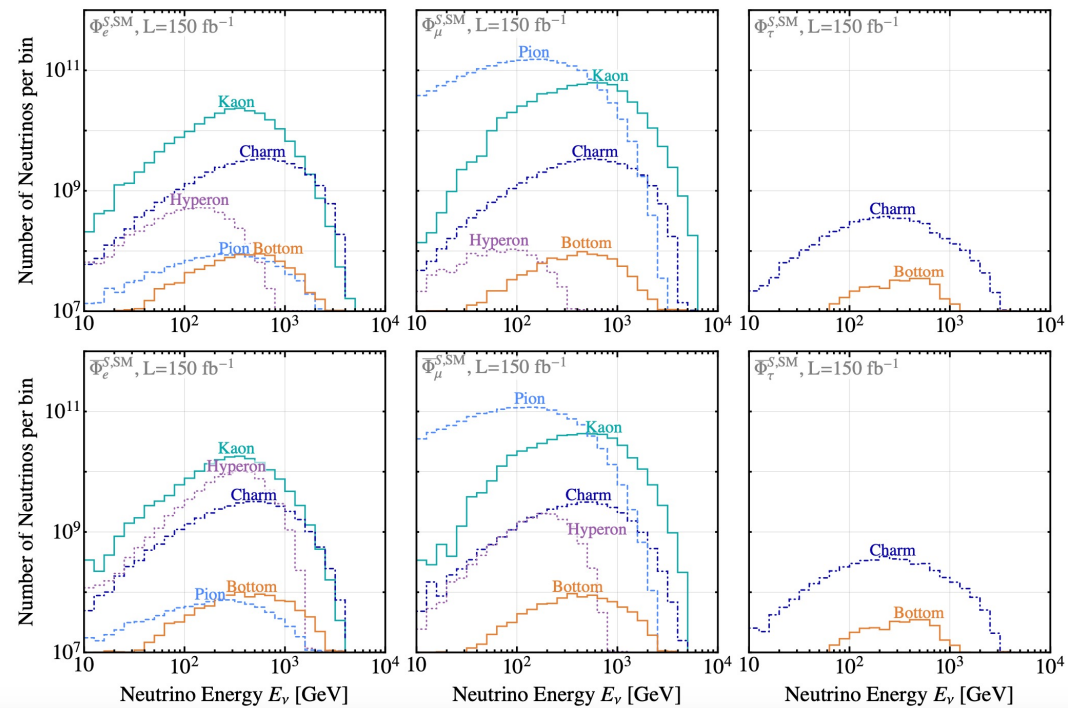
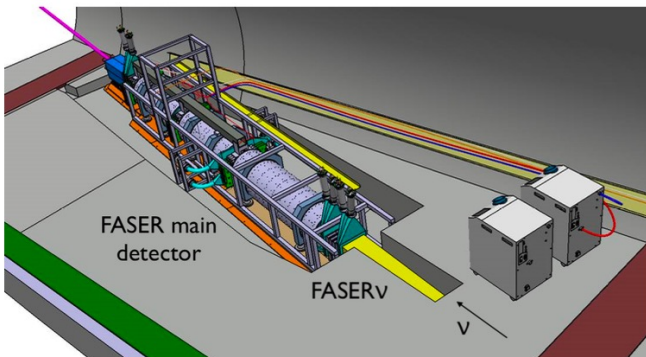
$$\phi^{\text{Total}} = \phi^{\text{SM}} + \epsilon_X \phi^{\text{Int}} + \epsilon_X^2 \phi^{\text{NP}} \sim \phi^{\text{SM}} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

CC EFT NC EFT

$$-\frac{2V_{ud}}{v^2} \left[\begin{aligned} & [1 + \epsilon_L]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_L \gamma^\mu d_L \\ & + [\epsilon_R]_{\alpha\beta} \bar{e}_\alpha \gamma_\mu P_L \nu_\beta \cdot \bar{u}_R \gamma^\mu d_R \\ & + \frac{1}{2} \bar{e}_\alpha P_L \nu_\beta \cdot \bar{u} [\epsilon_S - \epsilon_P \gamma_5]_{\alpha\beta} d \\ & + \frac{1}{4} [\epsilon_T]_{\alpha\beta} \bar{e}_\alpha \sigma_{\mu\nu} P_L \nu_\beta \cdot \bar{u}_R \sigma^{\mu\nu} d_L \end{aligned} \right] + \text{h.c.}$$

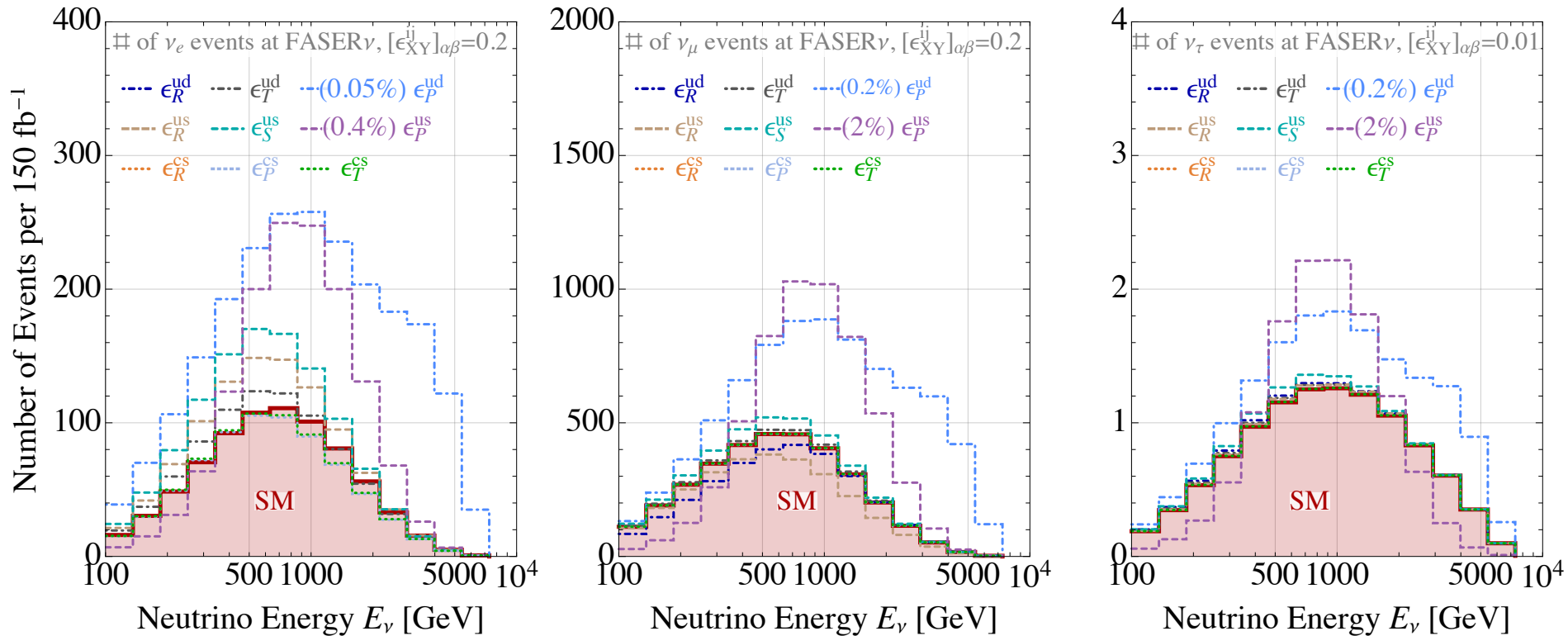
FASER ν Experiment

- Downstream of ATLAS at of 480 m;
- Ideal for detecting high-energy neutrinos at LHC;
- 1.1-t of tungsten material;
- Several production modes;
- Pion and Kaon decays are the dominant ones;
- All (anti)neutrino flavors are available;



EFT at FASER ν

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

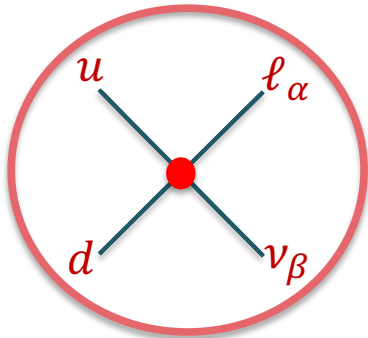


- Analysis is statistics dominated: $\nu_e \sim 1000$, $\nu_\mu \sim 5000$, $\nu_\tau \sim 10$
- Optimistic systematic uncertainties: 5% on ν_e , 10% on ν_μ , 15% on ν_τ
- Conservative systematic uncertainties: 30% on ν_e , 40% on ν_μ , 50% on ν_τ

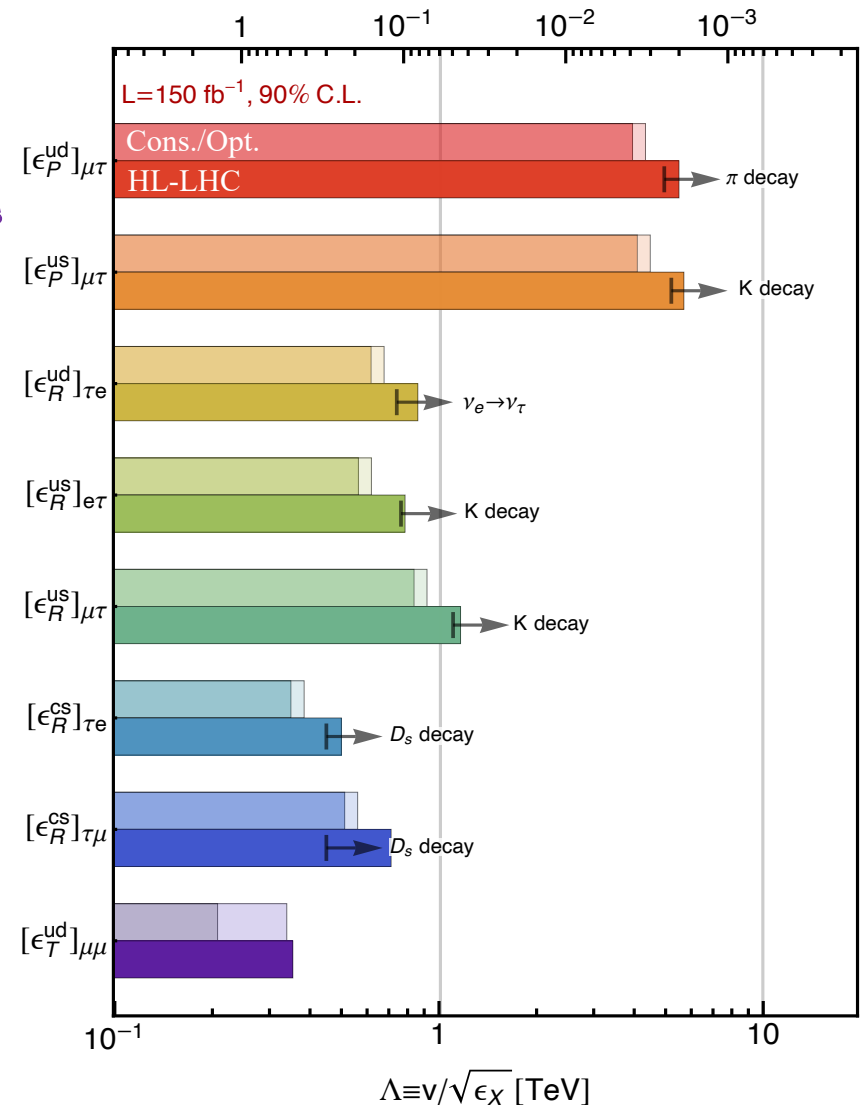
EFT at FASER ν

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

- FASER ν : colored bars
- Top: Conservative/Optimistic flux uncertainties
- Bottom: High luminosity LHC



- Neutrino detectors can identify flavor: 81 operators at FASER ν
- New physics reach at multi-TeV
- Complementary or dominant constraints

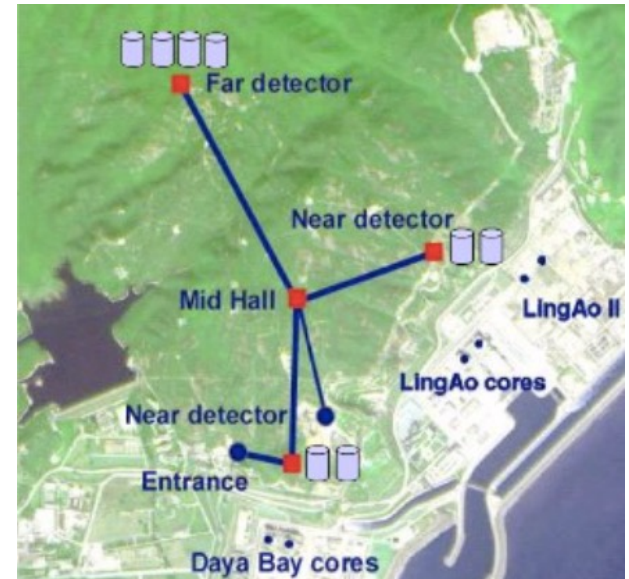


Reactor Experiments

Daya Bay:

- 6 reactor cores;
- 8 anti-neutrino detectors;
- 3 near and far experimental halls located at 400 m, 512 m and 1610 m;
- Has observed ~ 4 million anti-neutrino events in 1958 days of data taking;

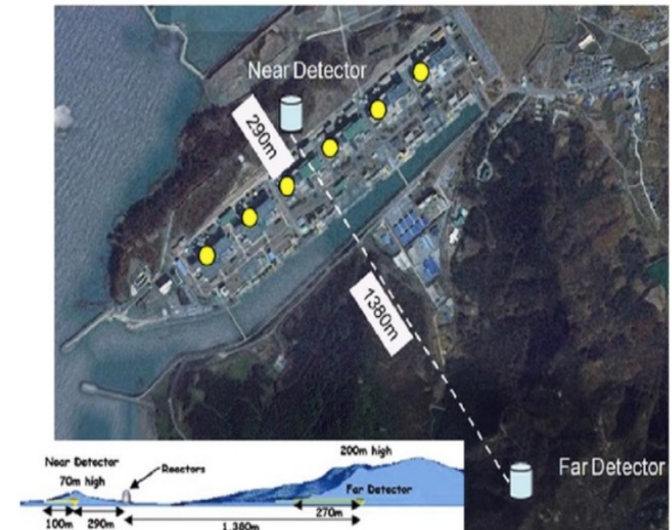
Daya Bay Collaboration, D. Adey et al., (2018)



RENO:

- 6 reactor cores;
- 2 near and far anti-neutrino detectors located at 367 m and 1440 m;
- Has observed ~ 1 million anti-neutrino events in 2200 days of data taking

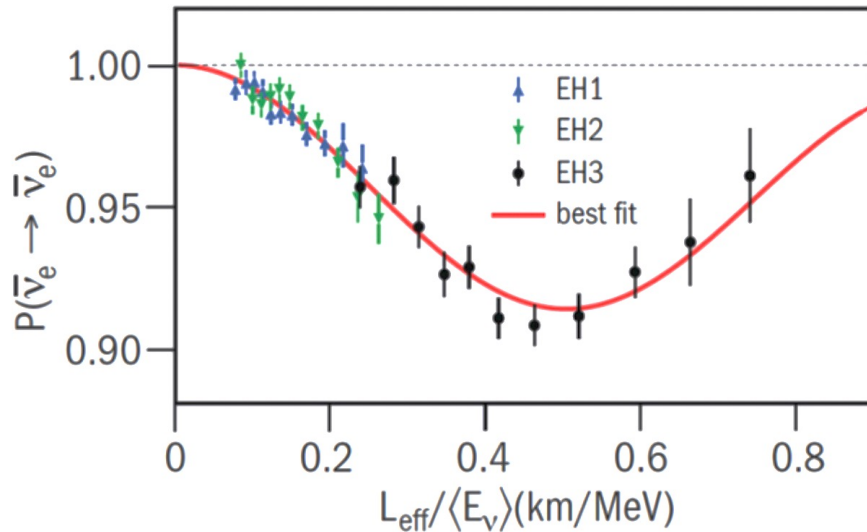
RENO Collaboration, G. Bak et al., (2018)



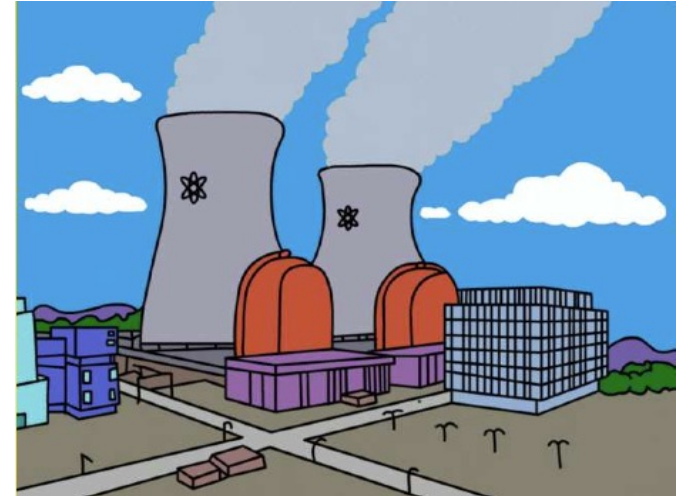
Reactor Experiments

Oscillation probability in the SM:

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}(L, E_\nu) = 1 - \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E_\nu} \right) \sin^2 (2\theta_{13})$$



Falkowski, González-Alonso, ZT, JHEP (2019)



$$\sin^2(2\tilde{\theta}_{13}) = 0.0841 \pm 0.0027$$

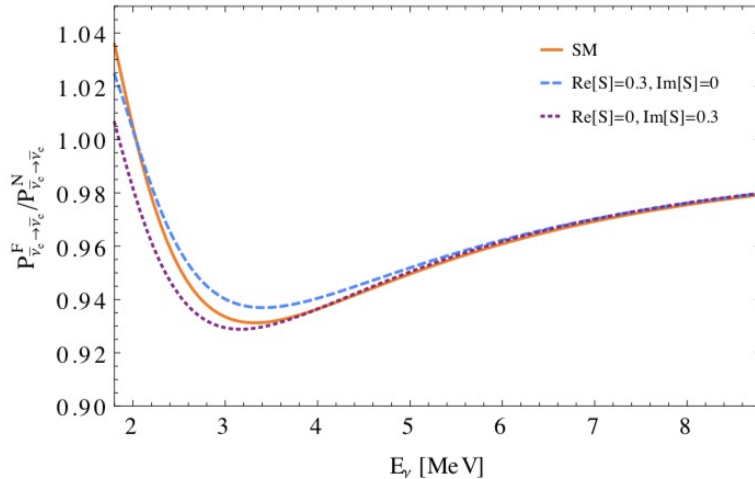
EFT at Reactor Experiments

Oscillation probability in the SM+Scalar+Tensor:

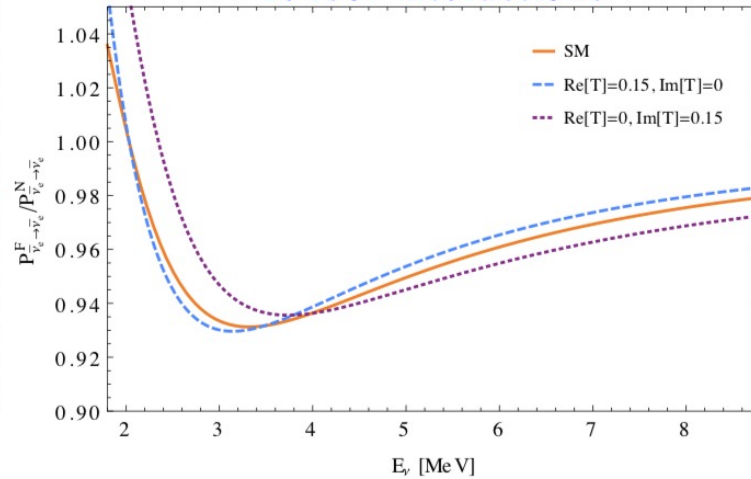
Falkowski, González-Alonso, ZT, JHEP (2019)

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e}(L, E_\nu) = 1 - \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E_\nu} \right) \sin^2 \left(2\tilde{\theta}_{13} - \alpha_D \frac{m_e}{E_\nu - \Delta} - \alpha_P \frac{m_e}{f_T(E_\nu)} \right) + \sin \left(\frac{\Delta m_{31}^2 L}{2E_\nu} \right) \sin(2\tilde{\theta}_{13}) \left(\beta_D \frac{m_e}{E_\nu - \Delta} - \beta_P \frac{m_e}{f_T(E_\nu)} \right) + \mathcal{O}(\epsilon_X^2)$$

Scalar Interactions



Tensor Interactions



$$[S] \equiv e^{i\delta_{\text{CP}}} (s_{23}[\epsilon_S]_{e\mu} + c_{23}[\epsilon_S]_{e\tau})$$

$$[T] \equiv e^{i\delta_{\text{CP}}} (s_{23}[\hat{\epsilon}_T]_{e\mu} + c_{23}[\hat{\epsilon}_T]_{e\tau})$$

$$\alpha_D = \frac{g_S}{3g_A^2+1} \text{Re}[S] - \frac{3g_A g_T}{3g_A^2+1} \text{Re}[T], \quad \alpha_P = \frac{g_T}{g_A} \text{Re}[T]$$

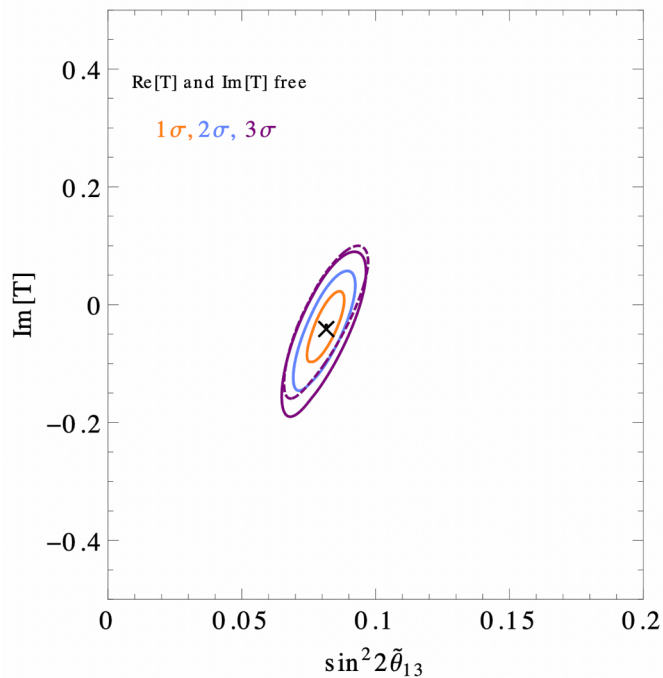
$$\beta_D = \frac{g_S}{3g_A^2+1} \text{Im}[S] - \frac{3g_A g_T}{3g_A^2+1} \text{Im}[T], \quad \beta_P = \frac{g_T}{g_A} \text{Im}[T]$$

The S/T interactions shift the amplitude and also distort the E_ν spectrum

EFT at Reactor Experiments

Falkowski, González-Alonso, ZT, JHEP (2019)

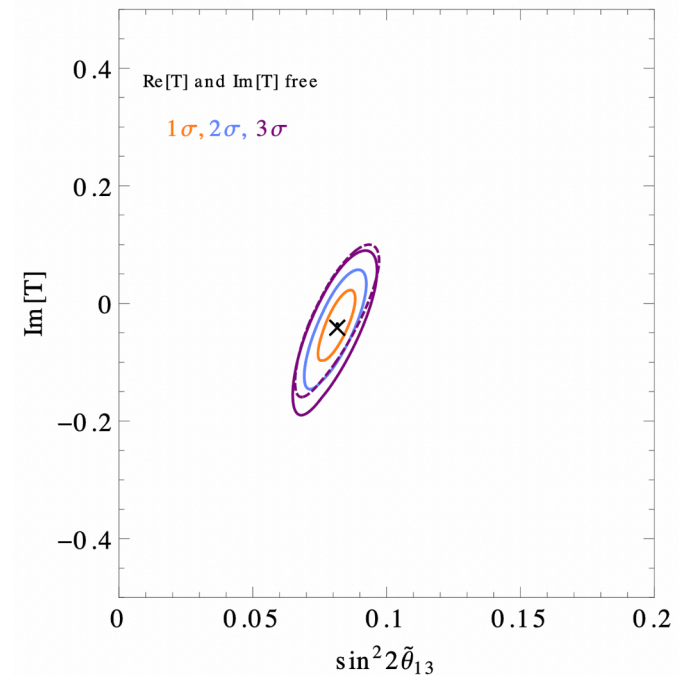
Scalar



$$\text{Re} [S] = 0.95 \pm 0.37$$

$$\text{Im} [S] = 0.08 \pm 0.14$$

Tensor



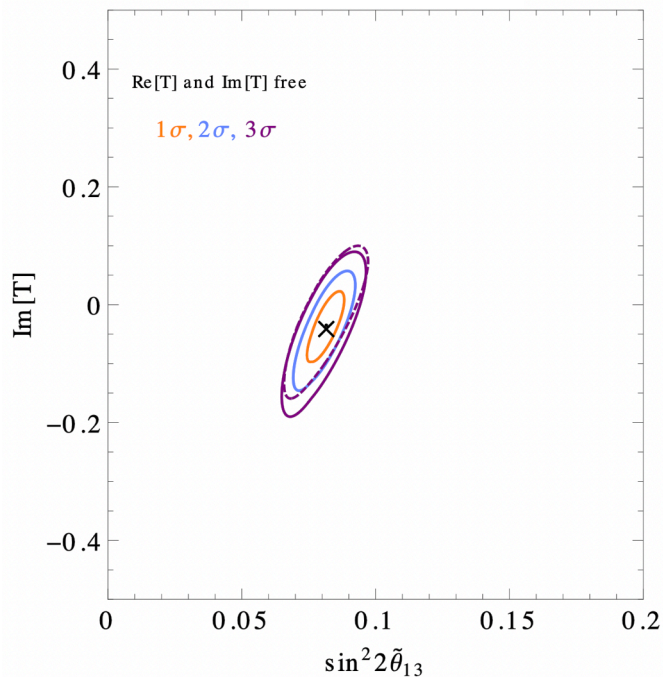
$$\text{Re} [T] = -0.26 \pm 0.14$$

$$\text{Im} [T] = -0.034 \pm 0.042$$

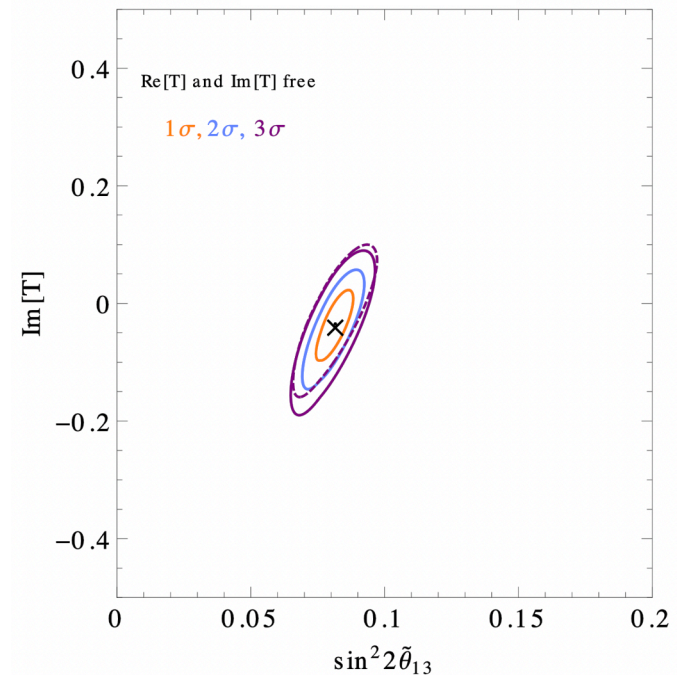
EFT at Reactor Experiments

Falkowski, González-Alonso, ZT, JHEP (2019)

Scalar

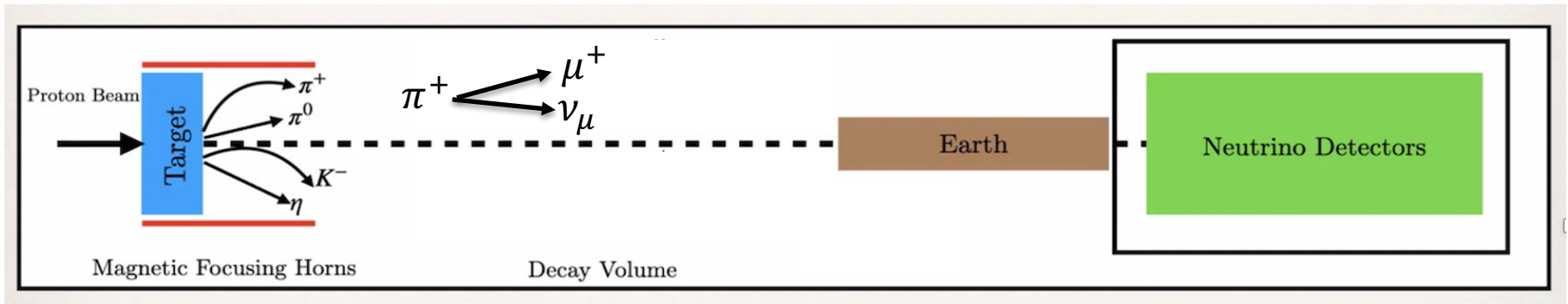


Tensor

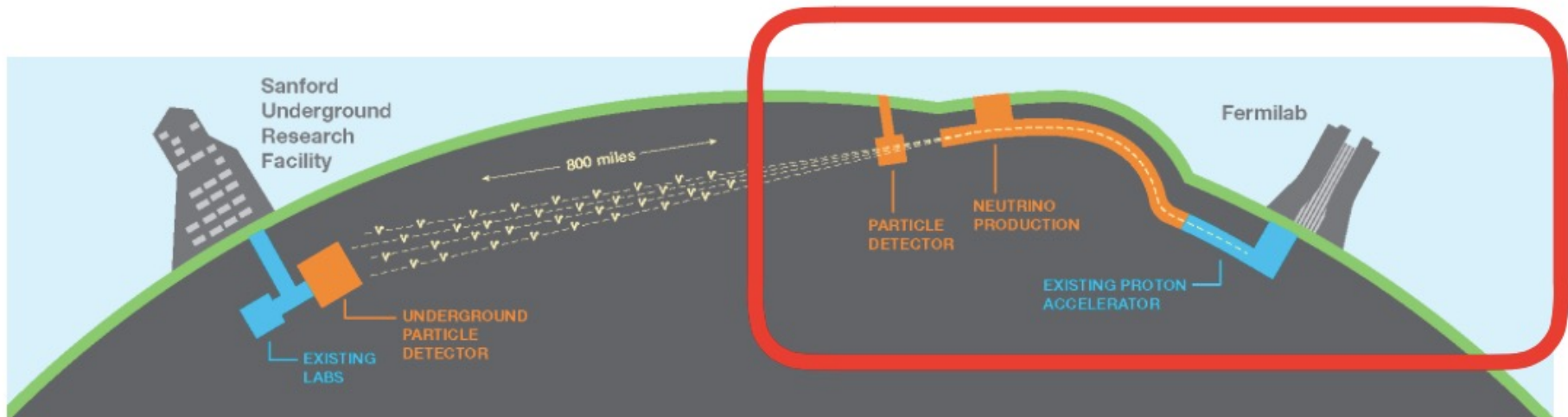


- EFT- θ_{13} degeneracy
- Combining with other experiments will increase the sensitivity to NP

Long Baseline Accelerator Experiments



Credit: Kevin Kelly



High beam
luminosity + Large
fiducial mass

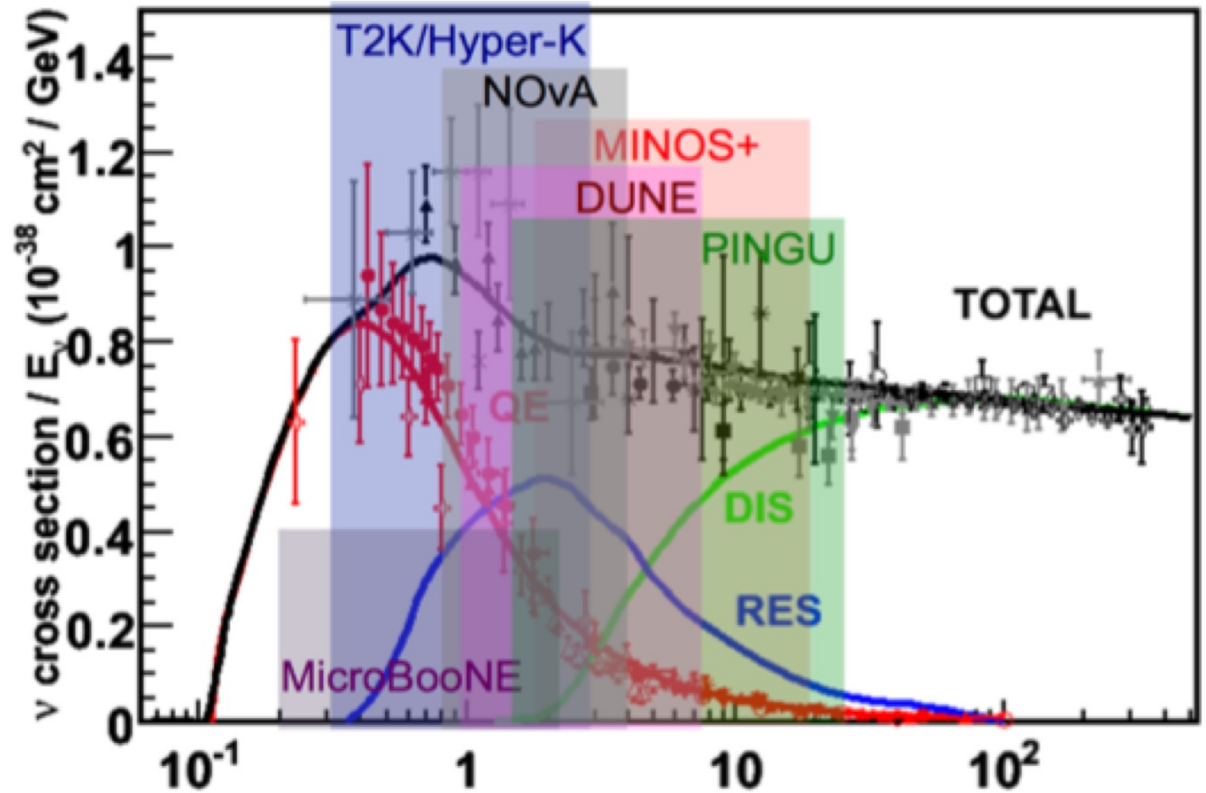


Ideal to investigate
(rare) neutrino
interactions

Long Baseline Accelerator Experiments

- 0.1-10 GeV energy range: cross section is much more involved!

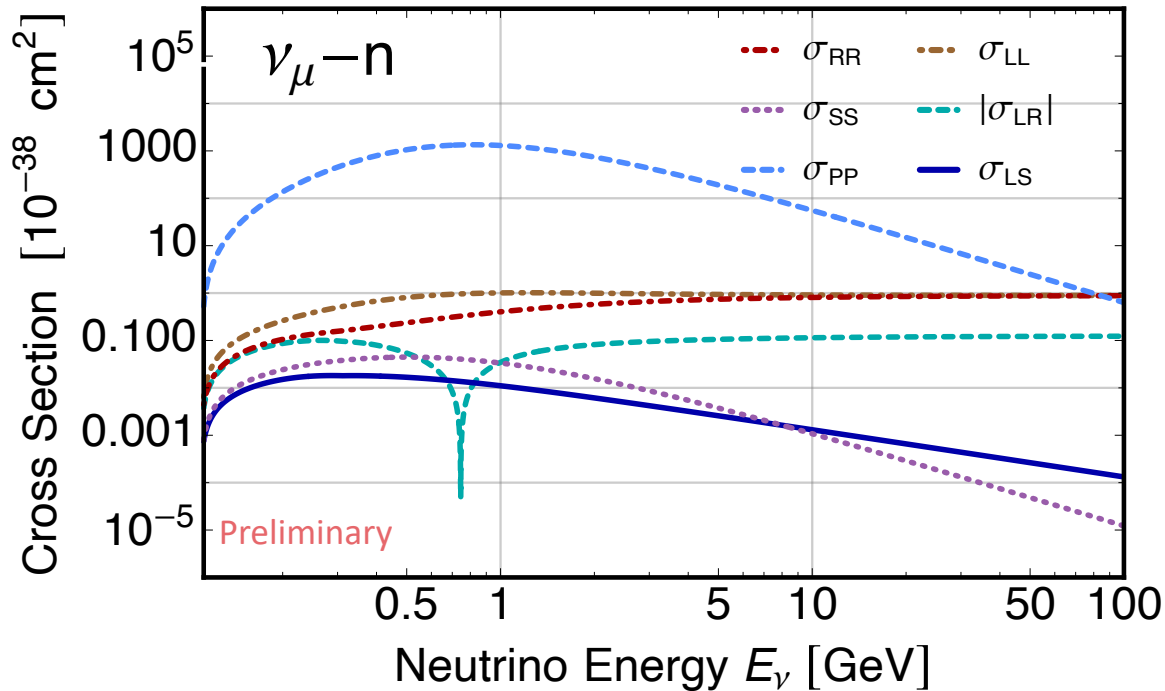
G. Zeller



J.A. Formaggio, G. Zeller, *Reviews of Modern Physics*, 84 (2012)



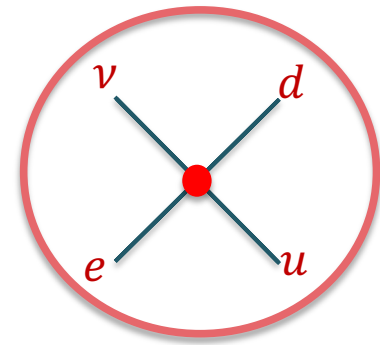
e.g.: Quasi-Elastic scattering at the nucleon level



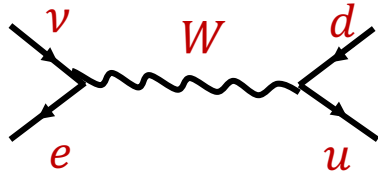
Kopp, Rocco, [ZT](#), in preparation

Can these detectors have
access to new physics at
100 TeV scale?

Specific New Physics Models

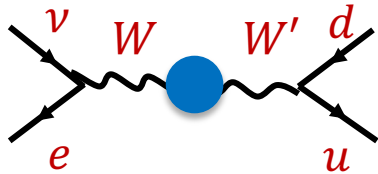


ϵ_L : measures deviations of the W boson to quarks and leptons, compared to the SM prediction



$$-\frac{g_{\nu e}^W g_{ud}^W}{4m_W^2} V_{ud} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 - \gamma_5) d$$

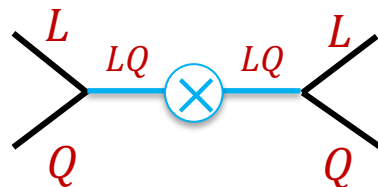
ϵ_R : left-right symmetric $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_X$ models introduce new charged vector bosons W' coupling to right-handed quarks



$$\bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{u} \gamma^\mu (1 + \gamma_5) d$$

$$\epsilon_R \sim \frac{m_W^2}{m_{W'}^2}$$

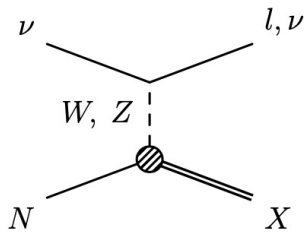
$\epsilon_{S,P,T}$: In leptoquark models, new scalar particles couple to both quarks and leptons



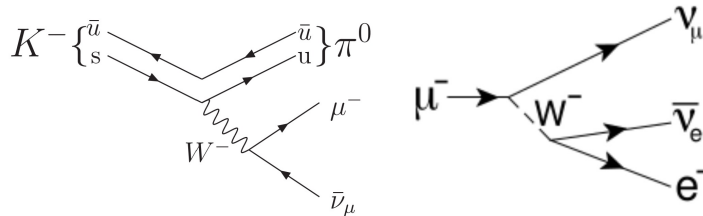
$$(LQ)(LQ)$$

$$\epsilon_{S,P,T} \sim \frac{v^2}{m_{LQ}^2}$$

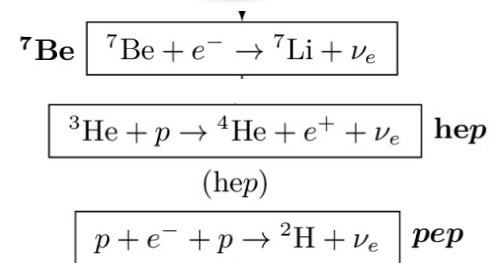
DIS: FASERv



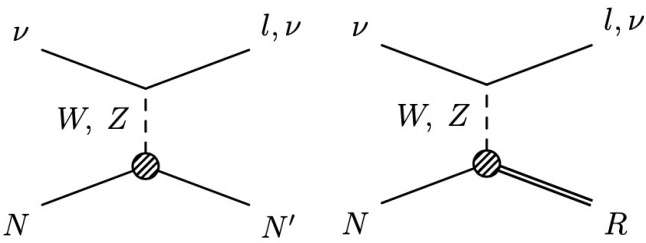
Kaon/Muon decay:
ISODAR, KDAR



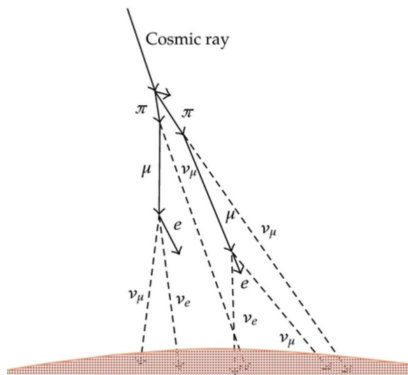
Solar neutrinos:
Borexino



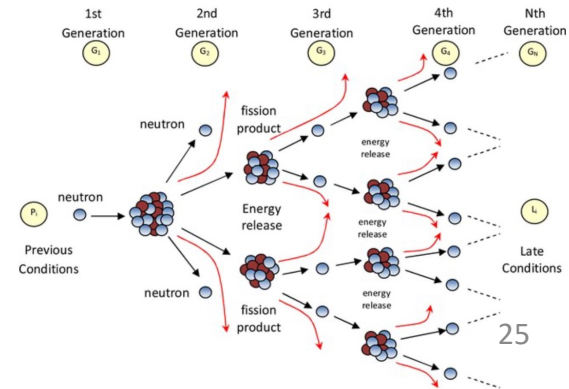
QE,
Resonances:
MINOS, NOvA,
DUNE



Atmospheric
Neutrinos:
IceCube



Beta decay and
IBD: Reactor
Experiments



DIS: FASERν

Solar neutrinos: Borexino

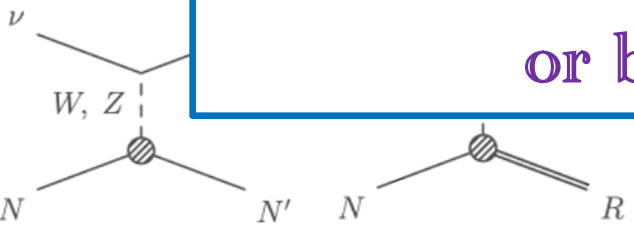
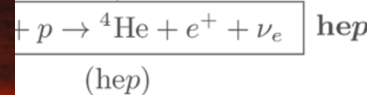
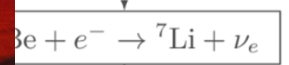
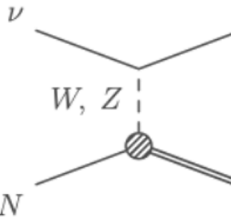


IceCube

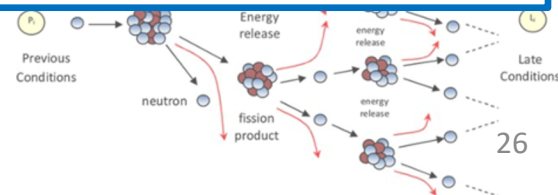
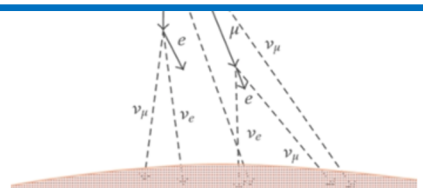
QE, Resonances: MINOS, NOνA, DUNE

beta decay and IBD: Reactor Experiments

Neutrino experiments give us a powerful tool to search for new physics, either by direct production or by precision measurements!



5/27/2022



Conclusion:

- We can probe very heavy particles, often beyond the reach of present colliders, by precisely measuring low-energy observables using the EFT formalism.
- We have proposed a systematic approach to neutrino experiments in the SMEFT framework.
- Unlike other probes (meson decays, ATLAS and CMS analyses, etc.) neutrino experiments have the unique capability to identify the neutrino flavor. This is crucial complementary information in case excesses are found elsewhere in the future.
- Future directions: Systematic model-independent global analyses of new physics in neutrino oscillation experiments with:
 - i) Power counting of EFT effects;
 - ii) Extraction of oscillation parameters in presence of general new physics;
 - iii) Comparison between the sensitivity of oscillation and other experiments.

Any Questions?



i'm now GOING TO OPEN THE FLOOR TO QUESTIONS.

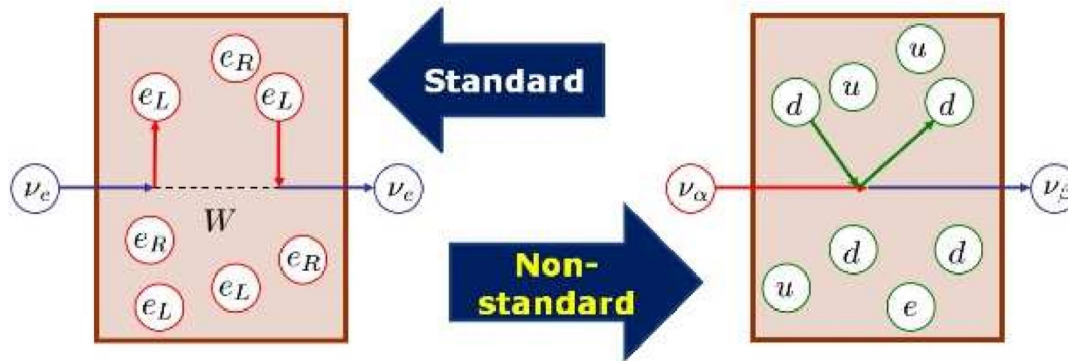
Back up Slides

WEFT Power Counting

- Dim-6: $\frac{\Delta R}{R_{SM}} = c \epsilon_X^2$
- Dim-7: Cannot interfere with the SM amplitudes, suppressed!
Liao et al, *JHEP* 08 (2020) 162
- Dim-8: $\frac{\Delta R}{R_{SM}} = \sqrt{c} \epsilon_8 E^2 / v^2$

QM-NSI Description

Neutrinos are not pure flavor states:



Standard NSI approach

NSI parameters

$$|\nu_\alpha^s\rangle = \frac{1}{N_\alpha^s} \left[|\nu_\alpha\rangle + \sum_{\gamma=e,\mu,\tau} \epsilon_{\alpha\gamma}^s |\nu_\gamma\rangle \right]$$

$$\langle\nu_\beta^d| = \frac{1}{N_\beta^d} \left[\langle\nu_\beta| + \sum_{\gamma=e,\mu,\tau} \langle\nu_\gamma| \epsilon_{\gamma\beta}^d \right]$$

Rotation of flavor states at the source

Rotation of flavor states at the detector

Normalization

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Observable: rate of detected events

\sim (flux) \times (det. cross section) \times (oscillation)

$$R_{\alpha\beta}^{\text{QM}} = \Phi_\alpha^{\text{SM}} \sigma_\beta^{\text{SM}} \sum_{k,l} e^{-i \frac{L \Delta m_{kl}^2}{2E_\nu}} [x_s]_{\alpha k} [x_s]_{\alpha l}^* [x_d]_{\beta k} [x_d]_{\beta l}^*$$

$$x_s \equiv (1 + \epsilon^s)U^* \quad \& \quad x_d \equiv (1 + \epsilon^d)^T U$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

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- Can one “validate” QM-NSI approach from the QFT results?
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$$\epsilon_{\alpha\beta}^s = \sum_X p_{XL}[\epsilon_X]_{\alpha\beta}^*, \quad \epsilon_{\beta\alpha}^d = \sum_X d_{XL}[\epsilon_X]_{\alpha\beta}$$

Falkowski, González-Alonso, [ZT](#), JHEP (2019)

Comparing QM and QFT

Only at the linear order:

Falkowski, González-Alonso, ZT, JHEP (2019)

Neutrino Process	NSI Matching with EFT
ν_e produced in beta decay	$\epsilon_{e\beta}^s = [\epsilon_L]_{e\beta}^* - [\epsilon_R]_{e\beta}^* - \frac{g_T}{g_A} \frac{m_e}{f_T(E_\nu)} [\epsilon_T]_{e\beta}^*$
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Beyond the linear order in new physics parameters, the NSI formula matches the (correct) one derived in the EFT only if the **consistency condition** is satisfied

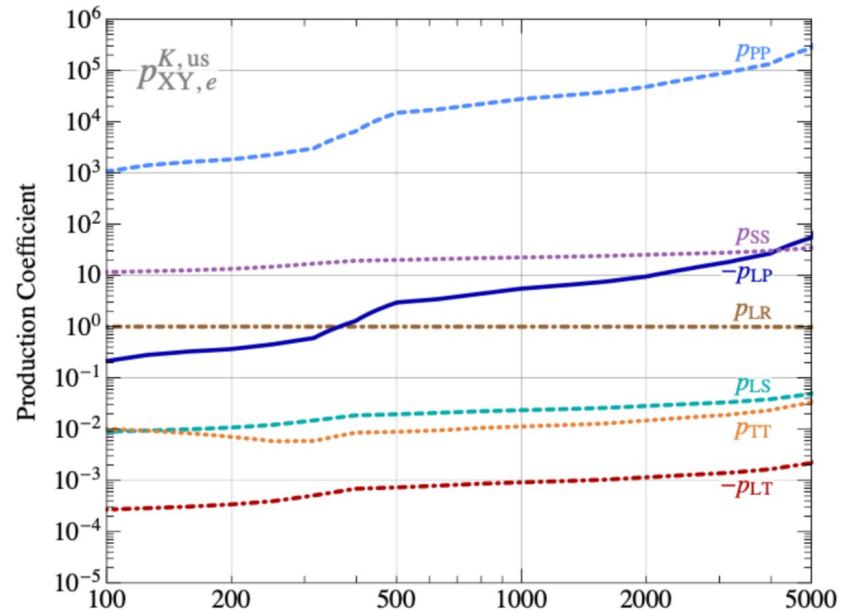
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However for non-V-A new physics the consistency condition is not satisfied in general

Falkowski, González-Alonso, ZI, JHEP (2019)

$$p_{XY} \equiv \frac{\int d\Pi_{P'} A_X^P \bar{A}_Y^P}{\int d\Pi_{P'} |A_L^P|^2}, \quad d_{XY} \equiv \frac{\int d\Pi_D A_X^D \bar{A}_Y^D}{\int d\Pi_D |A_L^D|^2}$$

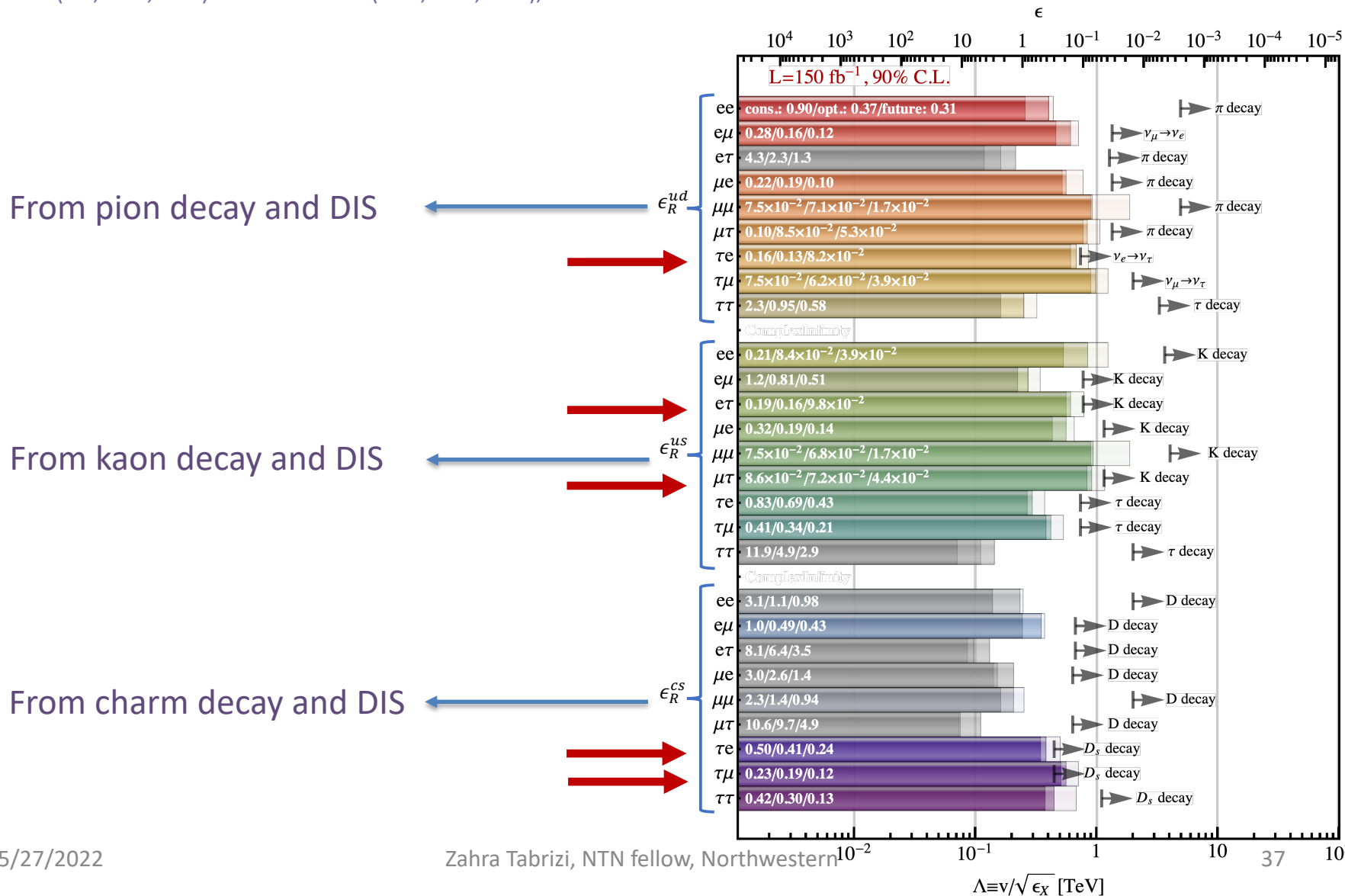


RESULTS

Turning on one interaction at a time: Right handed

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

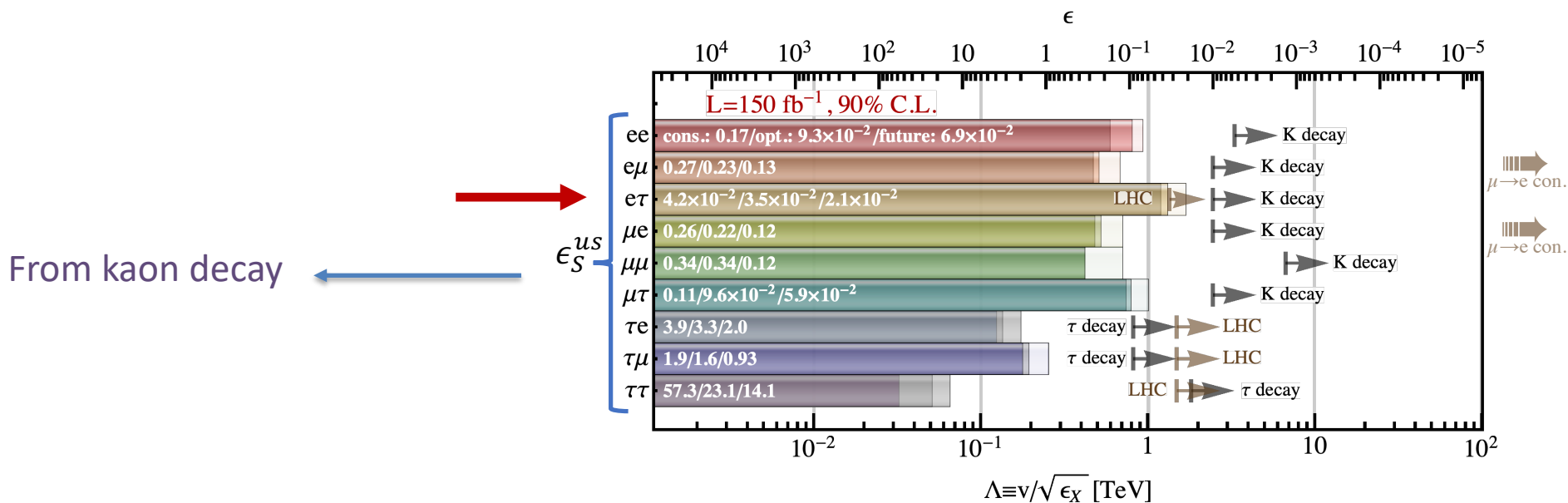


RESULTS

Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZI
JHEP 10 (2021) 086

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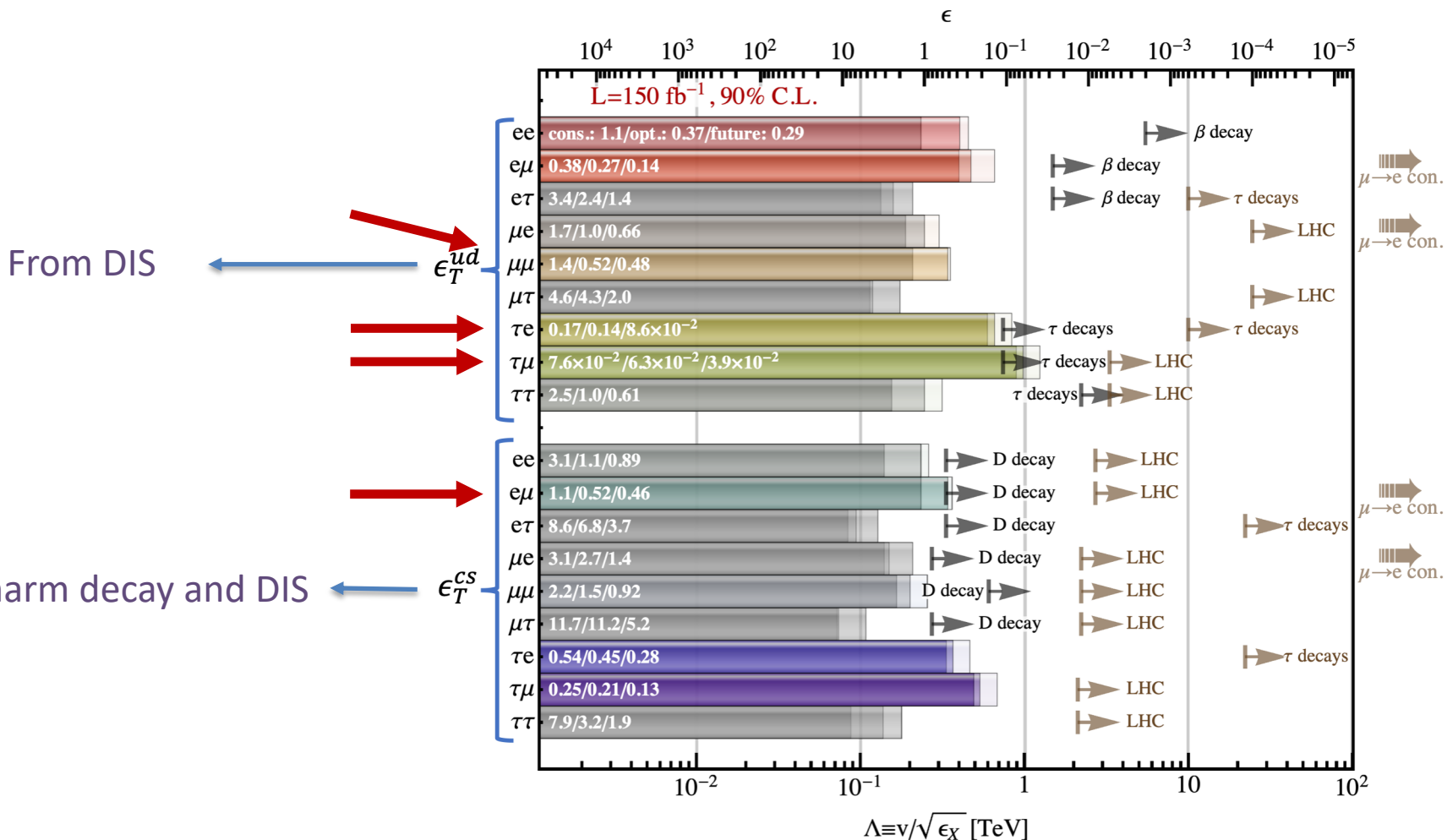


RESULTS

Turning on one interaction at a time: Tensor

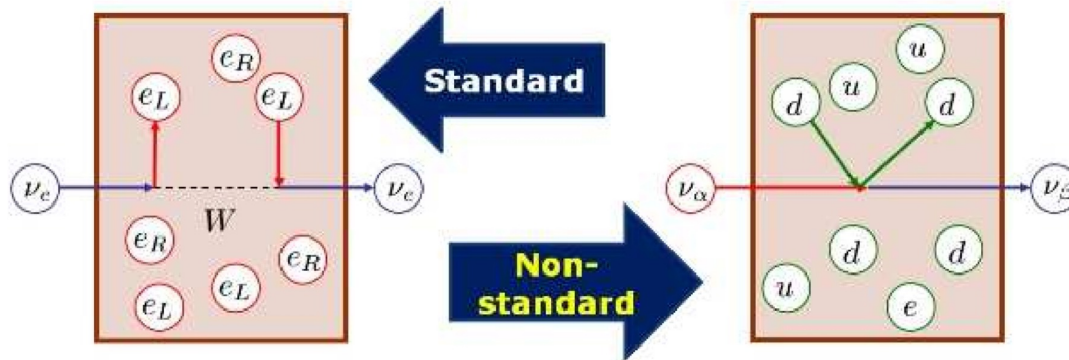
A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
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A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [JHEP](#)
JHEP 11 (2020) 048

Comparing QM and QFT

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 11 \(2020\) 048](#)

At the linear order we have:

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A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
JHEP 11 (2020) 048

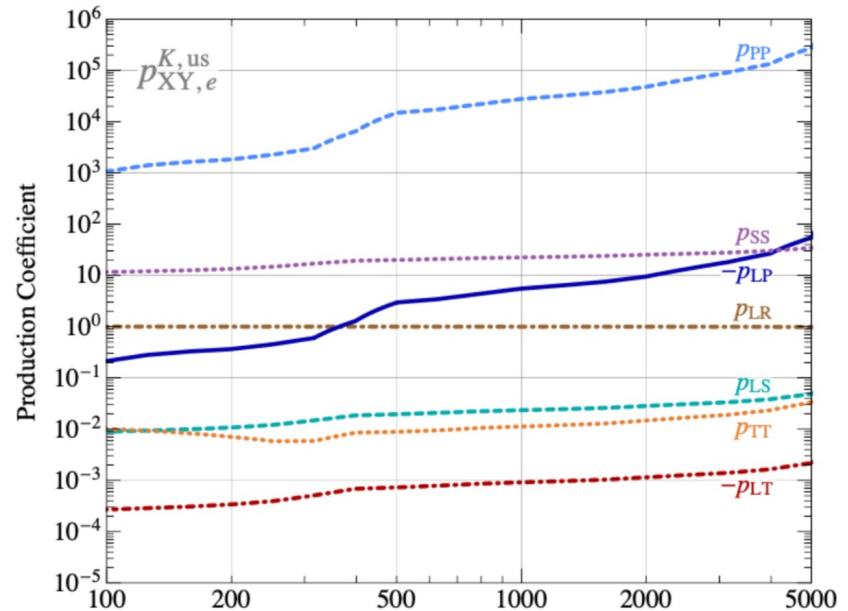
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Pion decay

Production

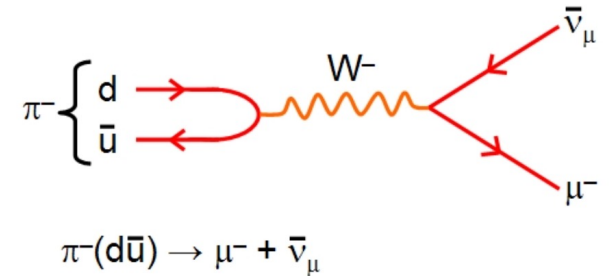
Falkowski, González-Alonso, ZT, JHEP (2020)

Due to the pseudoscalar nature of the pion, it is sensitive only to axial (ϵ_L - ϵ_R) and pseudo-scalar (ϵ_P) interactions.

$$p_{LL} = -p_{RL} = 1, \quad p_{PL} = -p_{PR} = -\frac{m_\pi^2}{m_\mu(m_u + m_d)},$$

$$p_{RR} = 1, \quad p_{PP} = \frac{m_\pi^4}{m_\mu^2(m_u + m_d)^2}.$$

~-27



- Larger $p_{XY} \Rightarrow$ smaller $\epsilon!$

$$\phi^{Total} \sim \phi^{SM} (1 + \epsilon_X p_{XL} + \epsilon_X^2 p_{XX})$$

$$\langle 0 | \bar{d} \gamma^\mu \gamma_5 u | \pi^+(p_\pi) \rangle = i p_\pi^\mu f_\pi$$

$$\langle 0 | \bar{d} \gamma_5 u | \pi^+(p_\pi) \rangle = -i \frac{m_\pi^2}{m_u + m_d} f_\pi$$

Pion decay

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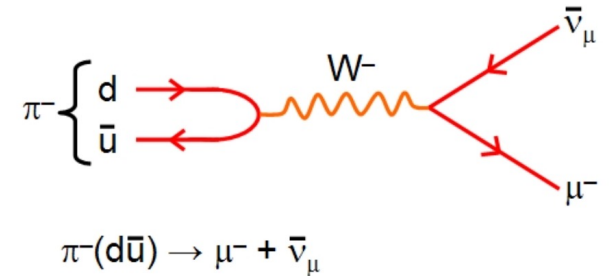
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~700!



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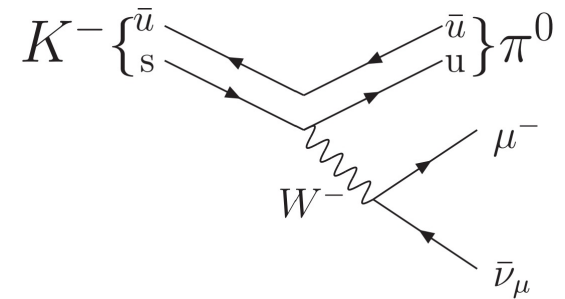
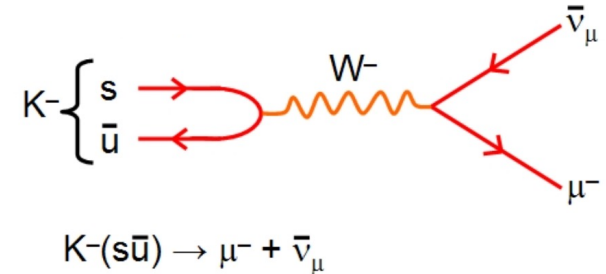
ϵ_X and ϵ_X^2 are equally important!

Both 2-body and 3-body kaon decays contribute:

$$p_{XY,\alpha}^{S,jk} \equiv \frac{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_i \beta_i^S(E_S) \int d\Pi_{P'_i} A_{X,\alpha}^{S_i,jk} A_{Y,\alpha}^{S_i,jk*}}{\int dE_S \frac{\phi_S(E_S)}{E_S} \sum_{i'j'k'} \beta_{i'}^{S'}(E_S) \int d\Pi_{P'_{i'}} |A_{L,\alpha}^{S_{i'},j'k'}|^2}$$

Energy distribution of K^\pm , K_L or K_S

Depends on the experimental details



$$\langle \pi^- | \bar{s} \gamma^\mu u | K^0 \rangle = P^\mu f_+(q^2) + q^\mu f_-(q^2),$$

$$\langle \pi^- | \bar{s} u | K^0 \rangle = -\frac{m_K^2 - m_\pi^2}{m_s - m_u} f_0(q^2),$$

$$\langle \pi^- | \bar{s} \sigma^{\mu\nu} u | K^0 \rangle = i \frac{p_K^\mu p_\pi^\nu - p_\pi^\mu p_K^\nu}{m_K} B_T(q^2),$$

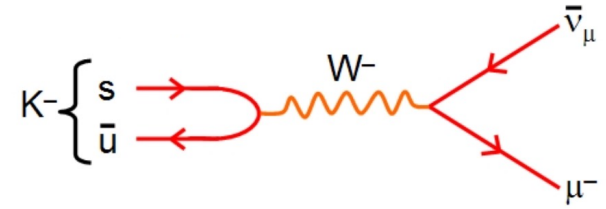
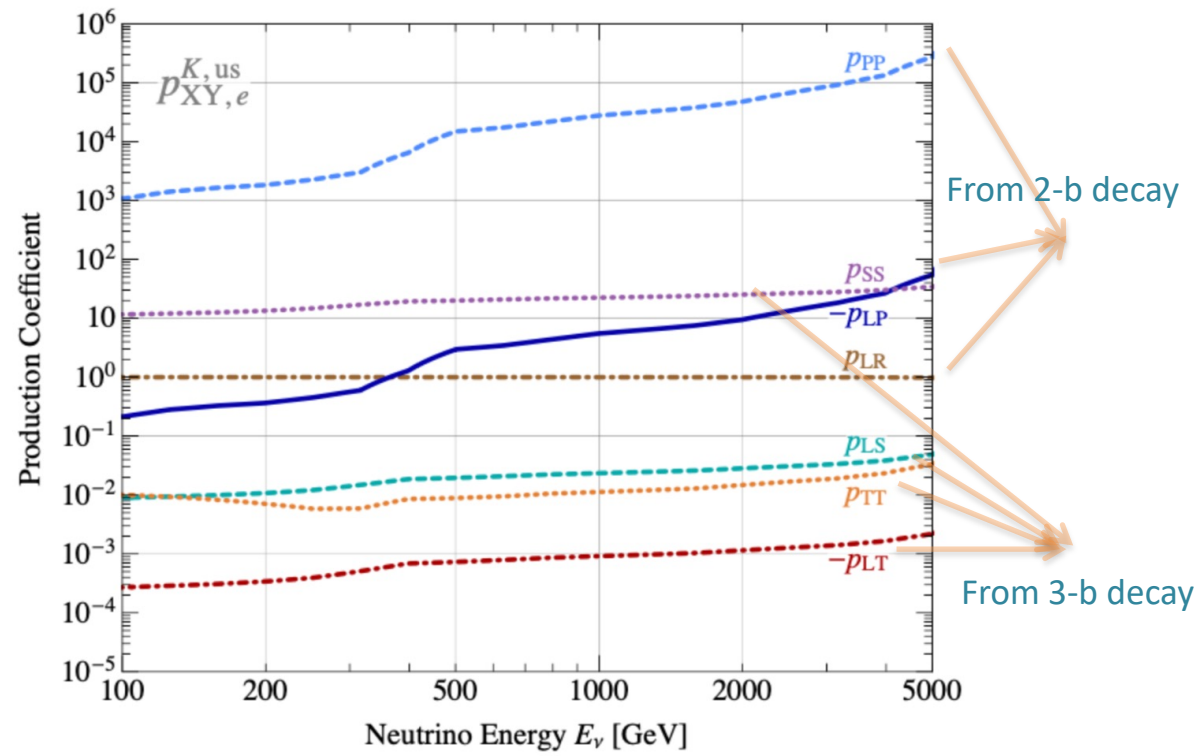
“FlaviaNet Working Group on Kaon Decays Collaboration”, EPJ (2010)

kaon decay

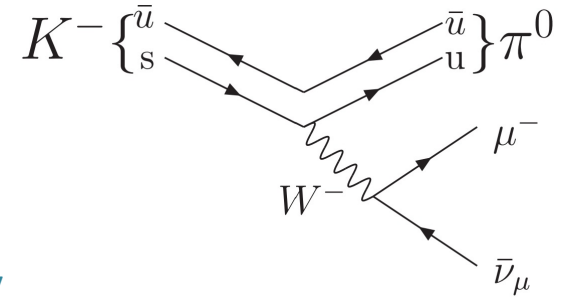
Production

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)

Both 2-body and 3-body kaon decays contribute:



$K^-(s\bar{u}) \rightarrow \mu^- + \bar{\nu}_\mu$



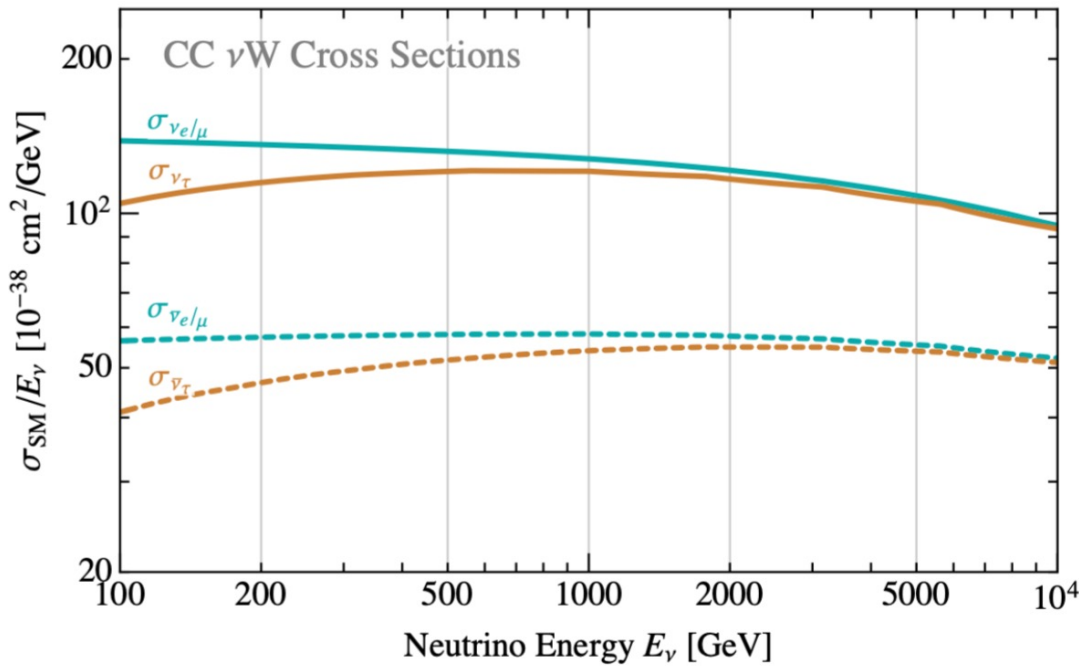
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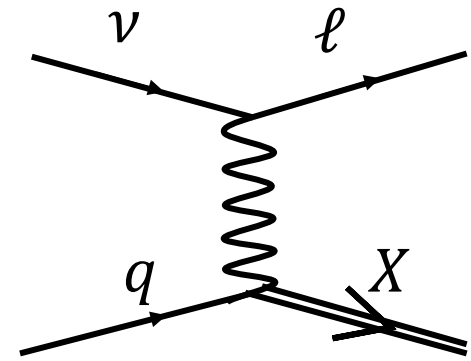
DIS

Detection

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



Deep Inelastic Scattering

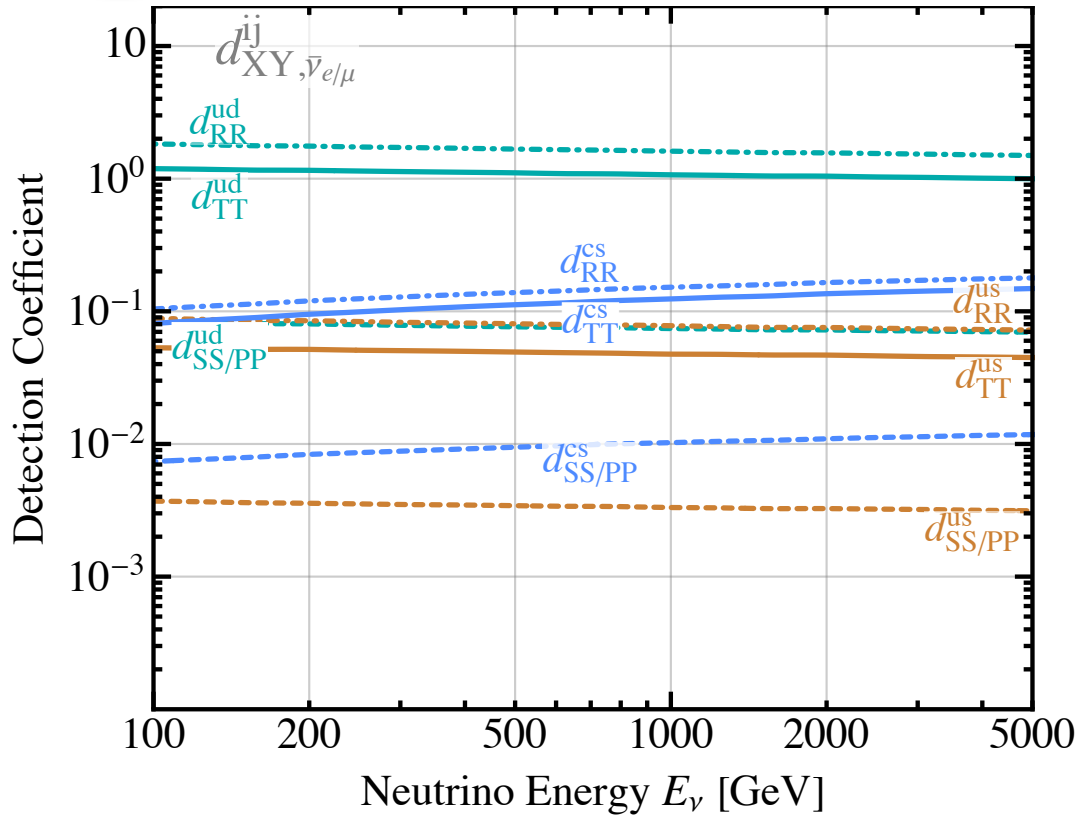


DIS detection, easy to include NP
(compared to QE and Resonances)

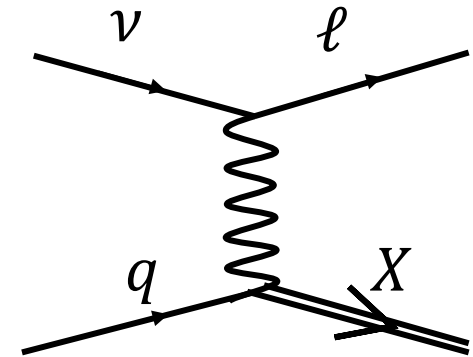
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DIS

Falkowski, González-Alonso, Kopp, Soreq, ZT, JHEP (2021)



Deep Inelastic Scattering



$$\sigma^{Total} \sim \sigma^{SM} (1 + \epsilon_X d_{XL} + \epsilon_X^2 d_{XX})$$

ϵ_X^2 is more important than ϵ_X !

EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT](#)
[JHEP 10 \(2021\) 086](#)

FASERv

Flavor Experiments

Colliders

Neutrino experiments:

- Many more operators can be probed (81 at FASERv)

Low energy:

- Independent of the underlying high-energy theory

High-Energy:

- SMEFT is the underlying theory
- Bounds are less robust

Bounds shown in bold face have been calculated in this work

Coupling	Low energy (WEFT)		High energy / CLFV (SMEFT)	
	90 % CL bound	process	90 % CL bound	process
$[\epsilon_P^{ud}]_{ee}$	4.6×10^{-7}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{e\mu}$	7.3×10^{-6}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	2.0×10^{-8}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{e\tau}$	7.3×10^{-6}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	2.5×10^{-3}	LHC [64]
$[\epsilon_P^{ud}]_{\mu e}$	2.6×10^{-3}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$	2.0×10^{-8}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{\mu\mu}$	9.4×10^{-5}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\mu\tau}$	2.6×10^{-3}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\tau e}$	9.0×10^{-2}	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)} / 4.4 \times 10^{-4}$	LHC [65] / τ decay [64]
$[\epsilon_P^{ud}]_{\tau\mu}$	9.0×10^{-2}	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{ud}]_{\tau\tau}$	8.4×10^{-3}	τ -decay [65]	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{us}]_{ee}$	1.1×10^{-6}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{e\mu}$	2.1×10^{-5}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	6.2×10^{-7}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{e\tau}$	2.1×10^{-5}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	7.1×10^{-2}	LHC [64]
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$[\epsilon_P^{us}]_{\tau e}$	6.4×10^{-2}	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)} / 8.1 \times 10^{-2}$	LHC (data [66]) / τ -decay [64]
$[\epsilon_P^{us}]_{\tau\mu}$	6.4×10^{-2}	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)}$	LHC (data [66])
$[\epsilon_P^{us}]_{\tau\tau}$	1.3×10^{-2}	τ -decay [67]	$3.1 \times 10^{-2(*)}$	LHC (data [66])
$[\epsilon_P^{cs}]_{ee}$	4.8×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	1.3×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{e\mu}$	4.6×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{e\tau}$	4.6×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / τ -decays [64, 68]
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$[\epsilon_P^{cs}]_{\tau e}$	2.0×10^{-1}	$\Gamma_{D_s \rightarrow \tau\nu}$	$1.6 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / τ -decays [64]
$[\epsilon_P^{cs}]_{\tau\mu}$	2.0×10^{-1}	$\Gamma_{D_s \rightarrow \tau\nu}$	2.5×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\tau\tau}$	3.2×10^{-2}	$\Gamma_{D_s \rightarrow \tau\nu}$	2.5×10^{-2}	LHC [68]

WEFT-SMEFT Matching:

SMEFT:

$$\mathcal{L} \supset \frac{g_{L,0}g_{Y,0}}{\sqrt{g_{L,0}^2 + g_{Y,0}^2}} A_\mu \sum_f Q_f (\bar{e}_I \bar{\sigma}_\mu e_I + e_I^c \sigma_\mu \bar{e}_I^c)$$

$$+ \left[\frac{[g_L^{We}]_{IJ}}{\sqrt{2}} W_\mu^+ \bar{\nu}_I \bar{\sigma}_\mu e_J + W_\mu^+ \frac{[g_L^{Wq}]_{IJ}}{\sqrt{2}} \bar{u}_I \bar{\sigma}_\mu d_J + \frac{[g_R^{Wq}]_{IJ}}{\sqrt{2}} W_\mu^+ u_I^c \bar{\sigma}_\mu \bar{d}_J^c + \text{h.c.} \right]$$

$$+ Z_\mu \sum_{f=u,d,e,\nu} [g_L^{Zf}]_{IJ} \bar{f}_I \bar{\sigma}_\mu f_J + Z_\mu \sum_{f=u,d,e} [g_R^{Zf}]_{IJ} f_I^c \bar{\sigma}_\mu \bar{f}_J^c.$$

+

Chirality conserving ($I, J = 1, 2, 3$)	Chirality violating ($I, J = 1, 2, 3$)	One flavor ($I = 1, 2, 3$)	Two flavors ($I < J = 1, 2, 3$)
$[O_{\ell q}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (\bar{q}_J \bar{\sigma}^\mu q_J)$ $[O_{\ell q}^{(3)}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \sigma^i \ell_I) (\bar{q}_J \bar{\sigma}^\mu \sigma^i q_J)$ $[O_{\ell u}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (u_J^c \sigma^\mu \bar{u}_J^c)$ $[O_{\ell d}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (d_J^c \sigma^\mu \bar{d}_J^c)$ $[O_{e q}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c) (\bar{q}_J \bar{\sigma}^\mu q_J)$ $[O_{e u}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c) (u_J^c \sigma^\mu \bar{u}_J^c)$ $[O_{e d}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c) (d_J^c \sigma^\mu \bar{d}_J^c)$	$[O_{\ell e q}]_{IIJJ} = (\bar{\ell}_I^j \bar{e}_I^c) \epsilon_{jk} (\bar{q}_J^k \bar{u}_J^c)$ $[O_{\ell e q}^{(3)}]_{IIJJ} = (\bar{\ell}_I^j \bar{\sigma}_{\mu\nu} \bar{e}_I^c) \epsilon_{jk} (\bar{q}_J^k \bar{\sigma}_{\mu\nu} \bar{u}_J^c)$ $[O_{\ell e d q}]_{IIJJ} = (\bar{\ell}_I^j \bar{e}_I^c) (d_J^c q_J^j)$	$[O_{\ell\ell}]_{IIII} = \frac{1}{2} (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (\bar{\ell}_I \bar{\sigma}^\mu \ell_I)$ $[O_{\ell e}]_{IIII} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (e_I^c \sigma^\mu \bar{e}_I^c)$ $[O_{ee}]_{IIII} = \frac{1}{2} (e_I^c \sigma_\mu \bar{e}_I^c) (e_I^c \sigma^\mu \bar{e}_I^c)$	$[O_{\ell\ell}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (\bar{\ell}_J \bar{\sigma}^\mu \ell_J)$ $[O_{\ell\ell}]_{IJJI} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_J) (\bar{\ell}_J \bar{\sigma}^\mu \ell_I)$ $[O_{\ell e}]_{IIJJ} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_I) (e_J^c \sigma^\mu \bar{e}_J^c)$ $[O_{\ell e}]_{JJII} = (\bar{\ell}_J \bar{\sigma}_\mu \ell_J) (e_I^c \sigma^\mu \bar{e}_I^c)$ $[O_{\ell e}]_{IJJI} = (\bar{\ell}_I \bar{\sigma}_\mu \ell_J) (e_J^c \sigma^\mu \bar{e}_I^c)$ $[O_{ee}]_{IIJJ} = (e_I^c \sigma_\mu \bar{e}_I^c) (e_J^c \sigma^\mu \bar{e}_J^c)$

WEFT:

$$\mathcal{L}_{\text{eff}} \supset -\frac{2\tilde{V}_{ud}}{v^2} \left[\left(1 + \bar{\epsilon}_L^{deJ}\right) (\bar{e}_J \bar{\sigma}_\mu \nu_J) (\bar{u} \bar{\sigma}^\mu d) + \epsilon_R^{de} (\bar{e}_J \bar{\sigma}_\mu \nu_J) (u^c \sigma^\mu \bar{d}^c) \right.$$

$$\left. + \frac{\epsilon_S^{deJ} + \epsilon_P^{deJ}}{2} (e_J^c \nu_J) (u^c d) + \frac{\epsilon_S^{deJ} - \epsilon_P^{deJ}}{2} (e_J^c \nu_J) (\bar{u} \bar{d}^c) + \epsilon_T^{deJ} (e_J^c \sigma_{\mu\nu} \nu_J) (u^c \sigma_{\mu\nu} d) + \text{h.c.} \right]$$

Both 2-body and 3-body kaon decays contribute:

$$f_-(q^2) = \frac{m_K^2 - m_\pi^2}{q^2} \left(f_0(q^2) - f_+(q^2) \right), \quad (\text{A.9})$$

from which it also follows that $f_0(0) = f_+(0)$. For the independent form factors $f_+(q^2)$, $f_0(q^2)$ we adopt the FlaviaNet dispersive parameterization [92]:

$$\begin{aligned} f_+(q^2) &= f_+(0) + \Lambda_+ \frac{q^2}{m_\pi^2} + \mathcal{O}(q^4), \\ f_0(q^2) &= f_+(0) + (\log C - G(0)) \frac{m_\pi^2}{m_K^2 - m_\pi^2} \frac{q^2}{m_\pi^2} + \mathcal{O}(q^4), \end{aligned} \quad (\text{A.10})$$

where $G(0) = 0.0398(44)$ is calculated theoretically, and $\Lambda_+ = 0.02422(116)$ as well as $\log C = 0.1998(138)$ are obtained on the lattice [93]. The $N_f = 2 + 1 + 1$ value of $f_+(0)$ according to FLAG'19 is $f_+(0) = 0.9706(27)$ [53]. For the tensor form factor we use the parameterization

$$B_T(q^2) \approx B_T(0) (1 - s_T^{K\pi} q^2), \quad (\text{A.11})$$

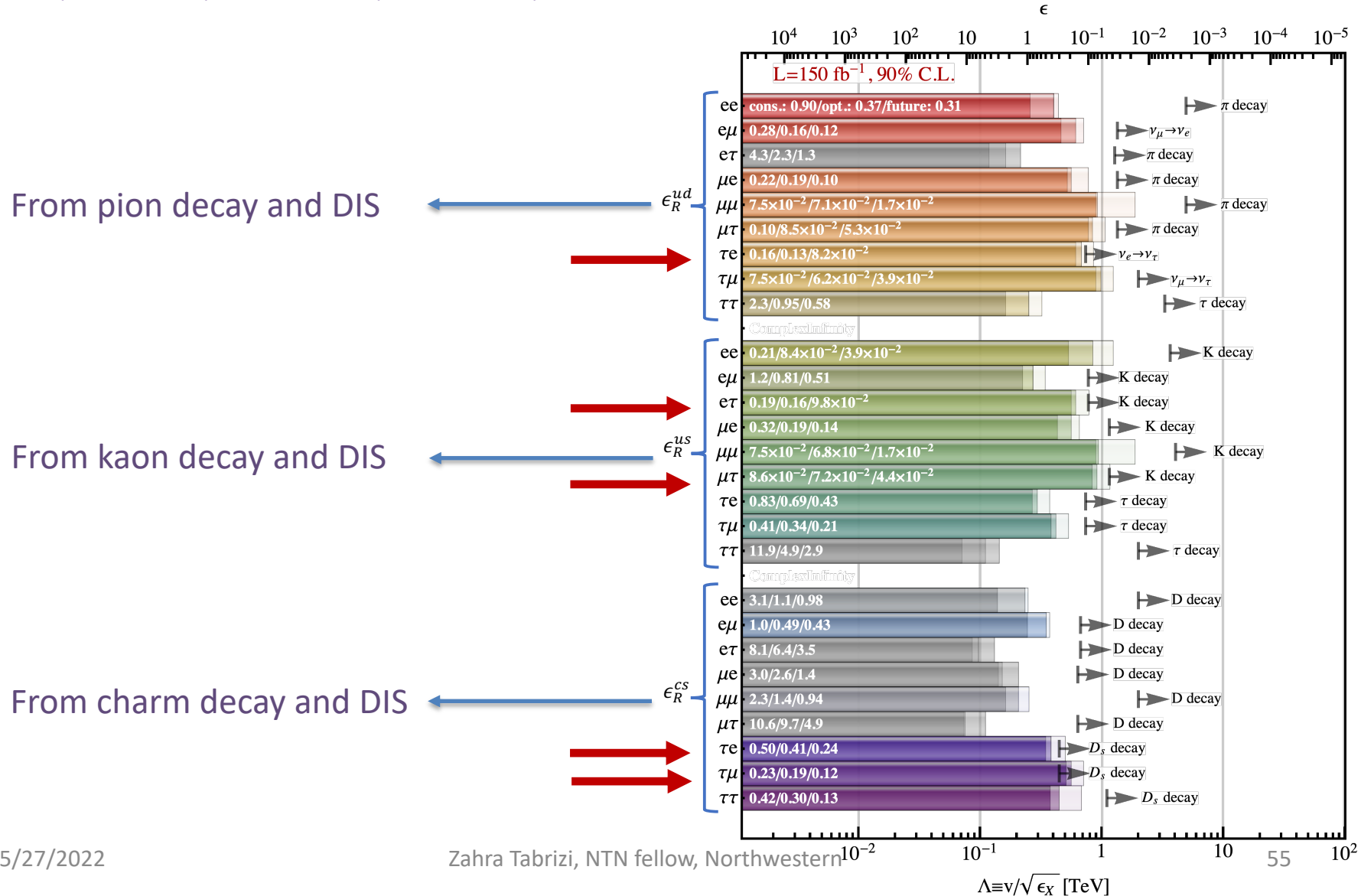
with $B_T(0)/f_+(0) = 0.68(3)$ and $s_T^{K\pi} = 1.10(14) \text{ GeV}^{-2}$ [94].

RESULTS

Turning on one interaction at a time: Right handed

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZT JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

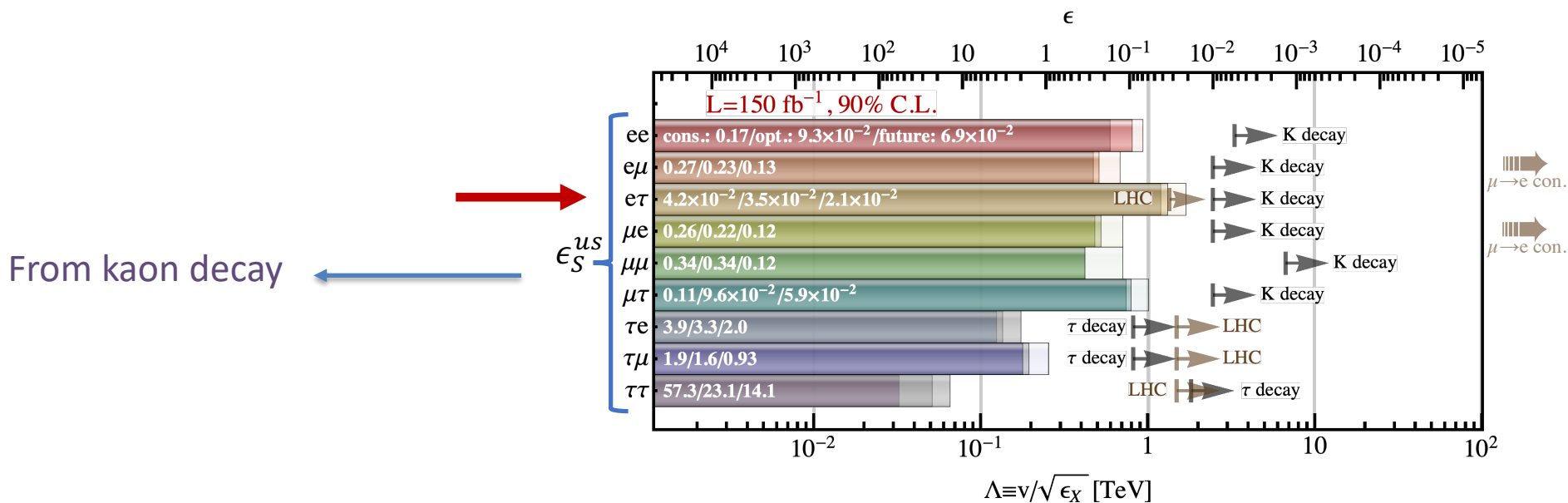


RESULTS

Turning on one interaction at a time: Scalar

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, ZT
JHEP 10 (2021) 086

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos

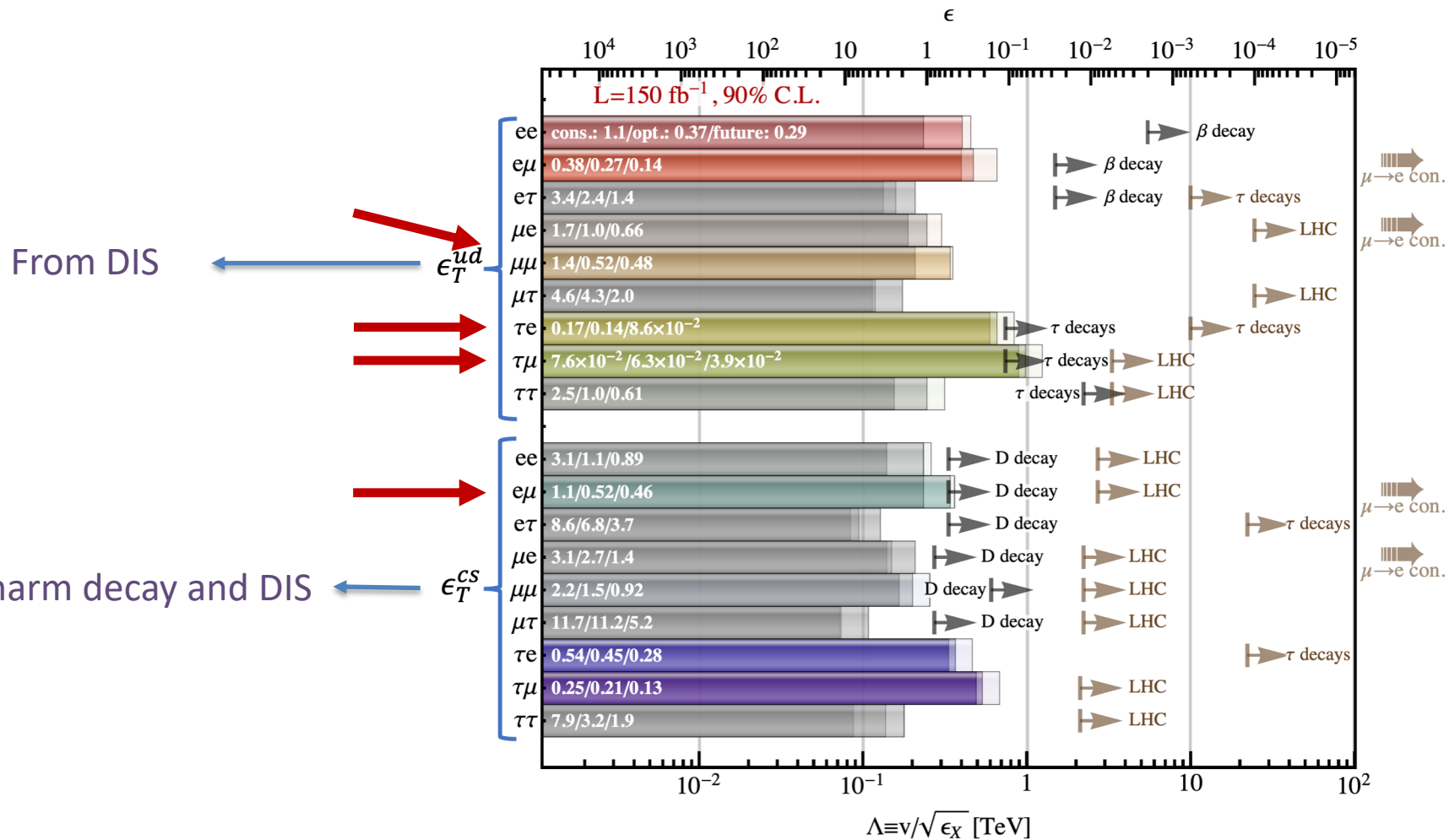


RESULTS

Turning on one interaction at a time: Tensor

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [JHEP 10 \(2021\) 086](#)

Optimistic (5%, 10%, 15%) and Pessimistic (30%, 40%, 50%), uncertainties on electron muon and tau neutrinos



EFT at FASERv

A. Falkowski, M. González-Alonso, J. Kopp, Y. Soreq, [ZJ](#)
[JHEP 10 \(2021\) 086](#)

FASERv

Flavor Experiments

Colliders

Neutrino experiments:

- Many more operators can be probed (81 at FASERv)

Low energy:

- Independent of the underlying high-energy theory

High-Energy:

- SMEFT is the underlying theory
- Bounds are less robust

Bounds shown in bold face have been calculated in this work

Coupling	Low energy (WEFT)		High energy / CLFV (SMEFT)	
	90 % CL bound	process	90 % CL bound	process
$[\epsilon_P^{ud}]_{ee}$	4.6×10^{-7}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{e\mu}$	7.3×10^{-6}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	2.0×10^{-8}	$\mu \rightarrow e$ conversion LHC [64]
$[\epsilon_P^{ud}]_{e\tau}$	7.3×10^{-6}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$ [7]	2.5×10^{-3}	
$[\epsilon_P^{ud}]_{\mu e}$	2.6×10^{-3}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$	2.0×10^{-8}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{ud}]_{\mu\mu}$	9.4×10^{-5}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\mu\tau}$	2.6×10^{-3}	$\Gamma_{\pi \rightarrow e\nu} / \Gamma_{\pi \rightarrow \mu\nu}$		
$[\epsilon_P^{ud}]_{\tau e}$	9.0×10^{-2}	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)} / 4.4 \times 10^{-4}$	LHC [65] / τ decay [64]
$[\epsilon_P^{ud}]_{\tau\mu}$	9.0×10^{-2}	$\Gamma_{\tau \rightarrow \pi\nu}$	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{ud}]_{\tau\tau}$	8.4×10^{-3}	τ -decay [65]	$5.8 \times 10^{-3(*)}$	LHC [65]
$[\epsilon_P^{us}]_{ee}$	1.1×10^{-6}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{e\mu}$	2.1×10^{-5}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	6.2×10^{-7}	$\mu \rightarrow e$ conversion LHC [64]
$[\epsilon_P^{us}]_{e\tau}$	2.1×10^{-5}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	7.1×10^{-2}	
$[\epsilon_P^{us}]_{\mu e}$	2.3×10^{-3}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$	6.2×10^{-7}	$\mu \rightarrow e$ conversion
$[\epsilon_P^{us}]_{\mu\mu}$	2.2×10^{-4}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\mu\tau}$	2.3×10^{-3}	$\Gamma_{K \rightarrow e\nu} / \Gamma_{K \rightarrow \mu\nu}$		
$[\epsilon_P^{us}]_{\tau e}$	6.4×10^{-2}	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)} / 8.1 \times 10^{-2}$	LHC (data [66]) / τ -decay [64]
$[\epsilon_P^{us}]_{\tau\mu}$	6.4×10^{-2}	$\Gamma_{\tau \rightarrow K\nu} / \Gamma_{K \rightarrow \mu\nu}$	$3.1 \times 10^{-2(*)}$	LHC (data [66])
$[\epsilon_P^{us}]_{\tau\tau}$	1.3×10^{-2}	τ -decay [67]	$3.1 \times 10^{-2(*)}$	LHC (data [66])
$[\epsilon_P^{cs}]_{ee}$	4.8×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	1.3×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{e\mu}$	4.6×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{e\tau}$	4.6×10^{-3}	$\Gamma_{D_s \rightarrow e\nu}$	$1.3 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / τ -decays [64, 68]
$[\epsilon_P^{cs}]_{\mu e}$	8.9×10^{-3}	$\Gamma_{D_s \rightarrow \mu\nu}$	$2.0 \times 10^{-2} / 2.7 \times 10^{-6}$	LHC [68] / $\mu \rightarrow e$ conversion
$[\epsilon_P^{cs}]_{\mu\mu}$	1.0×10^{-3}	$\Gamma_{D_s \rightarrow \mu\nu}$	2.0×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\mu\tau}$	8.9×10^{-3}	$\Gamma_{D_s \rightarrow \mu\nu}$	2.0×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\tau e}$	2.0×10^{-1}	$\Gamma_{D_s \rightarrow \tau\nu}$	$1.6 \times 10^{-2} / 1.9 \times 10^{-2}$	LHC / τ -decays [64]
$[\epsilon_P^{cs}]_{\tau\mu}$	2.0×10^{-1}	$\Gamma_{D_s \rightarrow \tau\nu}$	2.5×10^{-2}	LHC [68]
$[\epsilon_P^{cs}]_{\tau\tau}$	3.2×10^{-2}	$\Gamma_{D_s \rightarrow \tau\nu}$	2.5×10^{-2}	LHC [68]