



Non-Linear Dynamics Phenomenology

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CO Summary



- Phase space dynamics fixed point analysis
- ■Poincaré map
- Motion close to a resonance
- Onset of chaos
- Chaos detection methods
 - Dynamic Aperture
 - Lyapunov exponent
 - □ Frequency map analysis
 - Numerical applications





Phase space dynamics - Fixed point analysis



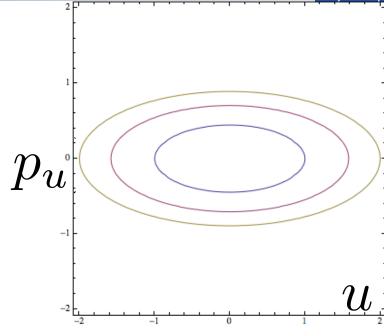
Phase space dynamics



- Valuable description when examining trajectories in **phase space** (u, p_u)
- Existence of integral of motion imposes geometrical constraints on phase flow
- For the simple **harmonic oscillator**

$$H = \frac{1}{2} \left(p_u^2 + \omega_0^2 u^2 \right)$$

phase space curves are **ellipses** around the equilibrium point parameterized by the Hamiltonian (energy)



Phase space dynamics

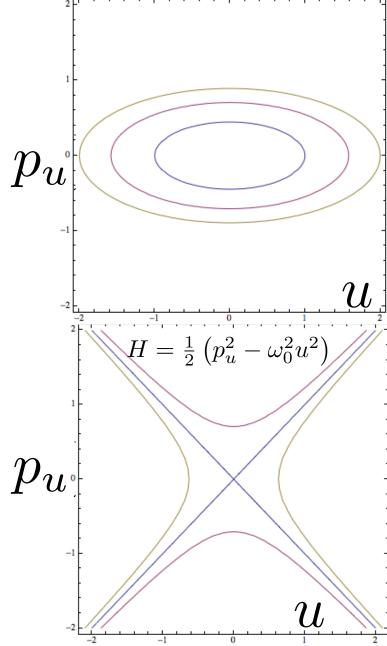


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phase space curves are **ellipses** around the equilibrium point parameterized by the Hamiltonian (energy)

By simply **changing** the **sign** of the potential in the harmonic oscillator, the phase trajectories become **hyperbolas**, symmetric around the equilibrium point where two straight lines cross, moving towards and away from it





Non-linear oscillators



Conservative non-linear oscillators have Hamiltonian

$$H = E = \frac{1}{2}p_u^2 + V(u)$$

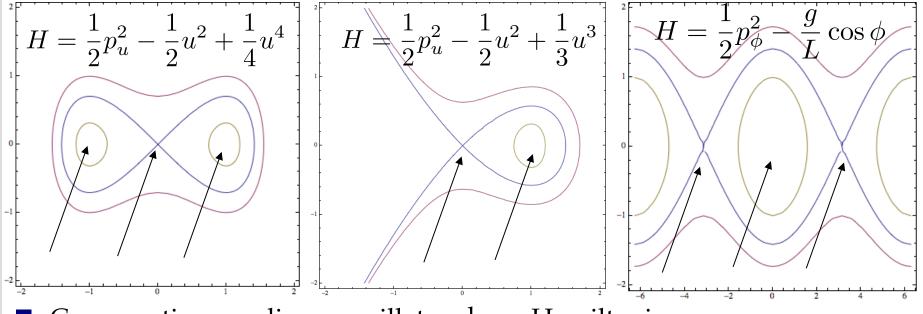
with the potential being a general (polynomial) function of positions

Equilibrium points are associated with extrema of the potential



Non-linear oscillators





Conservative non-linear oscillators have Hamiltonian

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with the potential being a general (polynomial) function of positions

- **Equilibrium points** are associated with extrema of the potential
- Considering three non-linear oscillators
 - Quartic potential (left): two minima and one maximum
 - ☐ **Cubic** potential (center): one minimum and one maximum
 - Pendulum (right): periodic minima and maxima



Fixed point analysis



Consider a general second order system

$$\frac{du}{dt} = f_1(u, p_u)$$

$$\frac{dp_u}{dt} = f_2(u, p_u)$$

Equilibrium or "**fixed**" points $f_1(u_0, p_{u0}) = f_2(u_0, p_{u0}) = 0$ are determinant for topology of trajectories at their vicinity





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- The **linearized equations** of motion at their vicinity are

$$\frac{d}{dt} \begin{bmatrix} \delta u \\ \delta p_u \end{bmatrix} = \mathcal{M}_J \begin{bmatrix} \delta u \\ \delta p_u \end{bmatrix} = \begin{bmatrix} \frac{\partial f_1(u_0, p_{u0})}{\partial u} & \frac{\partial f_1(u_0, p_{u0})}{\partial p_u} \\ \frac{\partial f_2(u_0, p_{u0})}{\partial u} & \frac{\partial f_2(u_0, p_{u0})}{\partial p_u} \end{bmatrix} \begin{bmatrix} \delta u \\ \delta p_u \end{bmatrix}$$

Jacobian matrix

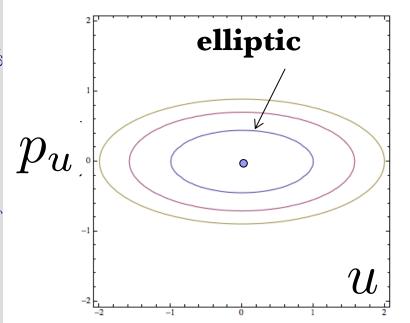
Fixed point nature is revealed by **eigenvalues** of \mathcal{M}_J , i.e. solutions of the characteristic polynomial $\det |\mathcal{M}_J - \lambda \mathbf{I}| = 0$



Fixed point for conservative systems



- For **conservative systems** of 1 degree of freedom, the second order characteristic polynomial for any fixed point has two possible solutions:
 - □ Two **complex eigenvalues** with opposite sign, corresponding to **elliptic** fixed points. Phase space flow is described by **ellipses**, with particles evolving clockwise or anti-clockwise

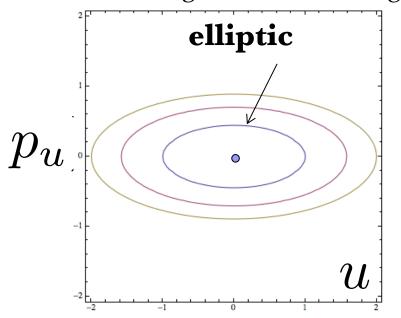


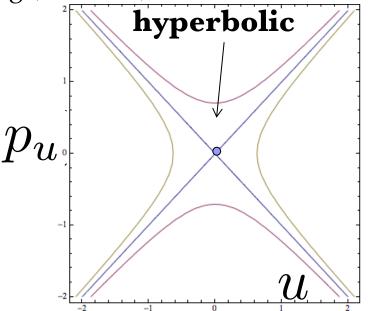


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 - □ Two **complex eigenvalues** with opposite sign, corresponding to **elliptic** fixed points. Phase space flow is described by **ellipses**, with particles evolving clockwise or anti-clockwise
 - □ Two **real eigenvalues** with opposite sign, corresponding to **hyperbolic** (or saddle) fixed points. Flow described by two lines (or manifolds), incoming (stable) and outgoing (unstable)



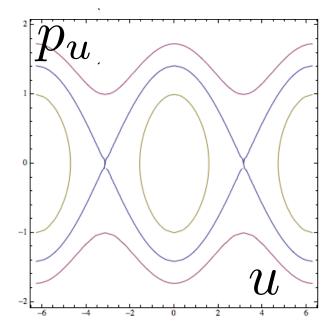


Pendulum fixed point analysis



The "fixed" points for a pendulum can be found at $(\phi_n,p_\phi)=(\pm n\pi,0)\;,\;n=0,1,2\ldots$

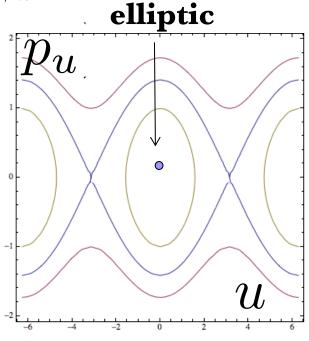
- The Jacobian matrix is $\begin{bmatrix} 0 & 1 \\ -\frac{g}{L}\cos\phi_n & 0 \end{bmatrix}$
- The eigenvalues are $\lambda_{1,2} = \pm i \sqrt{\frac{g}{L}} \cos \phi_n$



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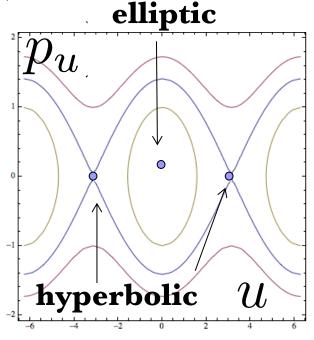


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- The Jacobian matrix is $\begin{bmatrix} 0 & 1 \\ -\frac{g}{L}\cos\phi_n & 0 \end{bmatrix}$
- The eigenvalues are $\lambda_{1,2} = \pm i \sqrt{\frac{g}{L}} \cos \phi_n$
- Two cases can be distinguished:

 - $\phi_n = (2n+1)\pi$, for which $\lambda_{1,2} = \pm \sqrt{\frac{g}{L}}$ corresponding to **hyperbolic** fixed points
 - The **separatrix** are the stable and unstable manifolds through the hyperbolic points, separating bounded **librations** and unbounded **rotations**





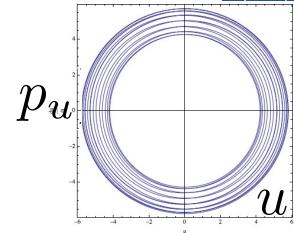
Phase space for time-dependent systems



Consider now a simple harmonic oscillator where the frequency is time-dependent

$$H = \frac{1}{2} \left(p_u^2 + \omega_0^2(t) u^2 \right)$$

- Plotting the evolution in phase space, provides trajectories that intersect each other
- The phase space has time as extra dimension





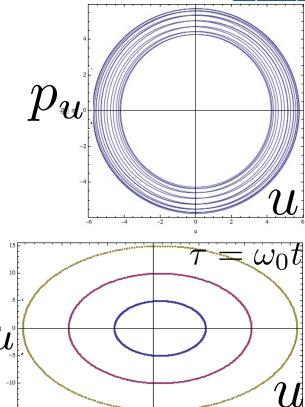
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- By **rescaling** the **time** to become $\tau = \omega_0 t$ and considering every integer interval of the **new** $p_{\tilde{u}}$ "**time"** variable, the **phase space** looks like the one of the **harmonic oscillator**
- This is the simplest version of a Poincaré surface of section, which is useful for studying geometrically phase space of multi-dimensional systems





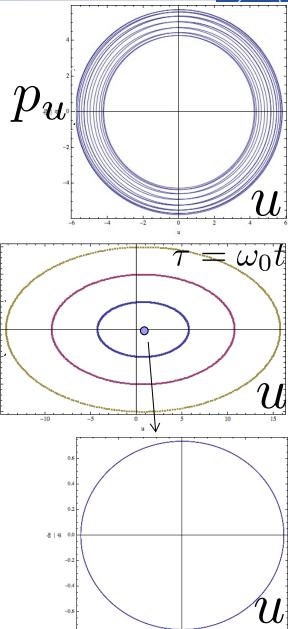
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- This is the simplest version of a **Poincaré** surface of section, which is useful for studying geometrically phase space of multi-dimensional systems
- The **fixed point** in the surface of section is now a periodic orbit







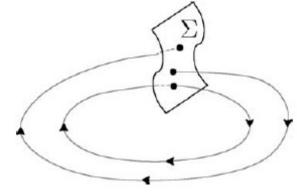
Poincaré map

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Poincaré map



First recurrence or Poincaré map (or surface of section) is defined by the intersection of trajectories of a dynamical system, with a fixed surface in phase space



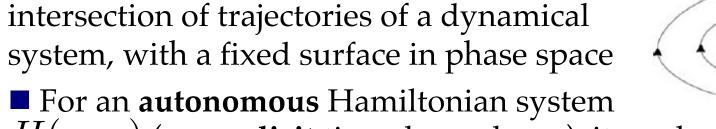


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- $H(\mathbf{q},\mathbf{p})$ (no **explicit** time dependence), it can be chosen to be any fixed surface in phase space, e.g. $q_i=0$
- For a non-autonomous Hamiltonian system $H(\mathbf{q},\mathbf{p},t)$ (explicit time dependence), which is periodic, it can be chosen as the period $t=T_0$



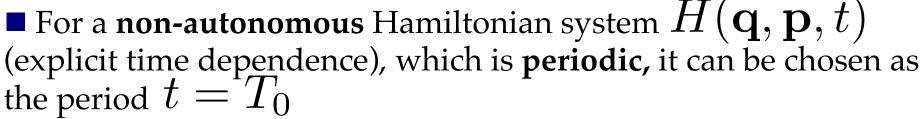
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- In a system with n degrees of freedom (or n+1 including time), the phase space has 2n (or 2n+2) dimensions
- By fixing the value of the Hamiltonian to H_0 , the motion on a Poincaré map is reduced to 2n-2 (or 2n)





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Poincaré map



- Particularly useful for a system with **2 degrees** of **freedom**, or **1 degree** of **freedom** + **time**, as the motion on Poincaré map is described by 2-dimensional curves
- For continuous system, numerical techniques exist to compute the surface exactly (e.g. M.Henon Physica D 5, 1982)

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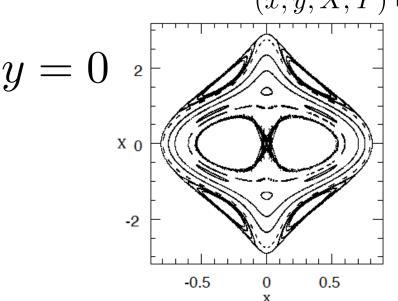
Poincaré map

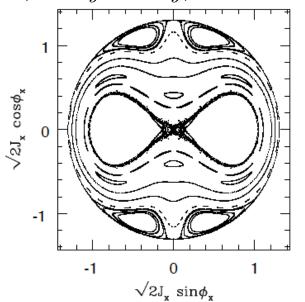


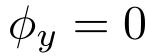
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- Example from Astronomy: the logarithmic galactic potential

$$H_q(x, y, X, Y) = \frac{1}{2}(X^2 + Y^2) + \ln\left(x^2 + \frac{y}{q^2} + R_c^2\right)$$

$$(x, y, X, Y) \mapsto (\phi_x, \phi_y, J_x, J_y)$$











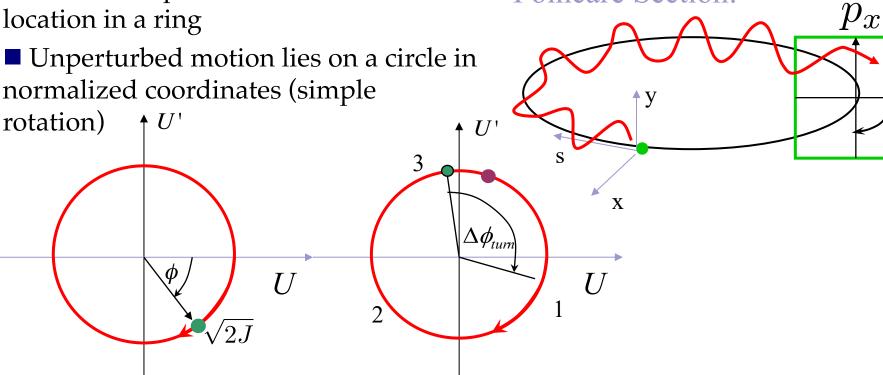
Poincaré Section for a ring

Poincaré Section:



Record the particle coordinates at one location in a ring

Unperturbed motion lies on a circle in normalized coordinates (simple

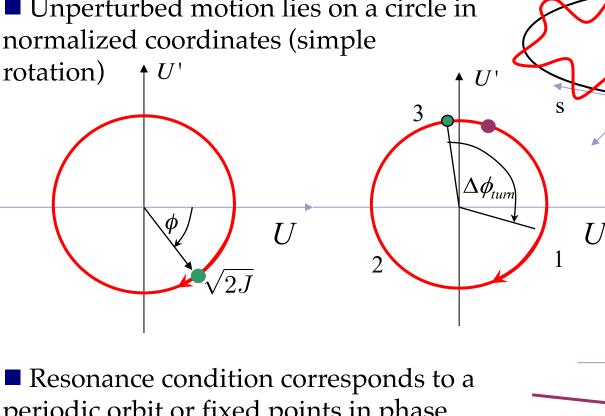


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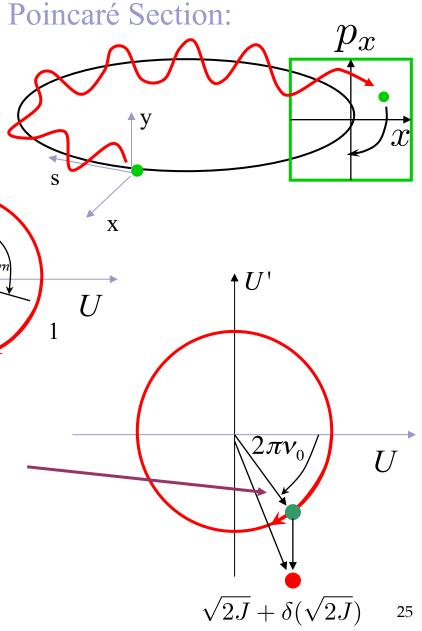
Poincaré Section for a ring



- Record the particle coordinates at one location in a ring
- Unperturbed motion lies on a circle in normalized coordinates (simple



- periodic orbit or fixed points in phase space
- For a non-linear kick, the radius will change by $\delta(\sqrt{2J})$ and the particles stop lying on circles

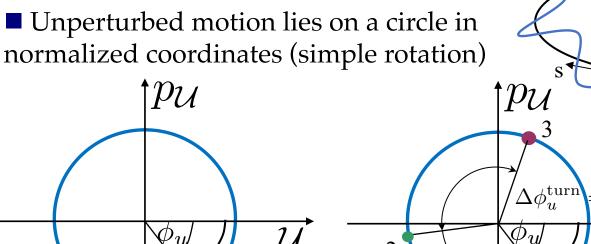


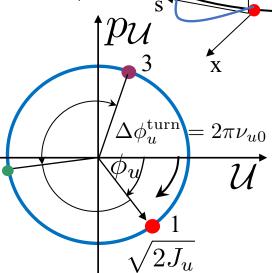
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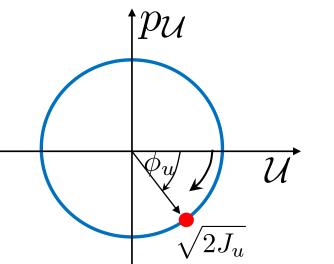


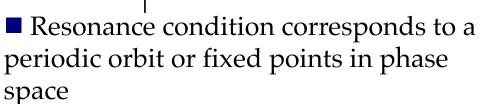


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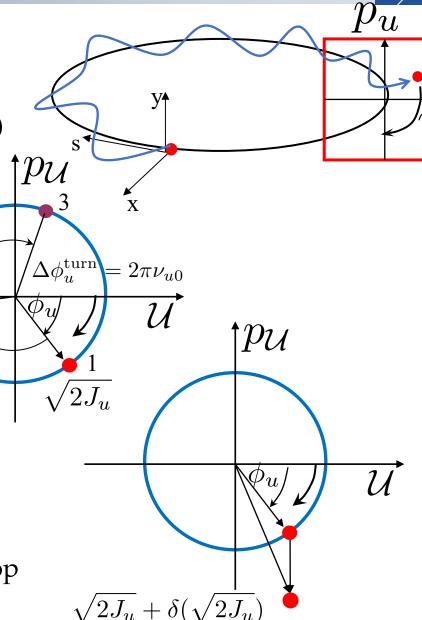
Poincaré Section for a ring

- Record the particle coordinates at one location in a ring
- Unperturbed motion lies on a circle in normalized coordinates (simple rotation)





For a non-linear kick, the radius will change by $\delta(\sqrt{2J})$ and the particles stop lying on circles





Example: Single Octupole



Simple **map** with single octupole <u>ki</u>ck with integrated strength k_3 + rotation with phase advances (μ_x, μ_y)

```
def OctupoleMap(k3,x,px,y,py):
    x1 = x
    px1 = px - k3*(x**3-3*x*y**2)
    y1 = y
    py1 = py - k3*(-3*x**2*y+y**3)
    return x1,px1,y1,py1

def Rotation(mux,muy,x,px,y,py):
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- Restrict motion in (x, p_x) plane i.e. $y_0 = p_{y0} = 0$
- Iterate for a number of "turns" (here 1000)



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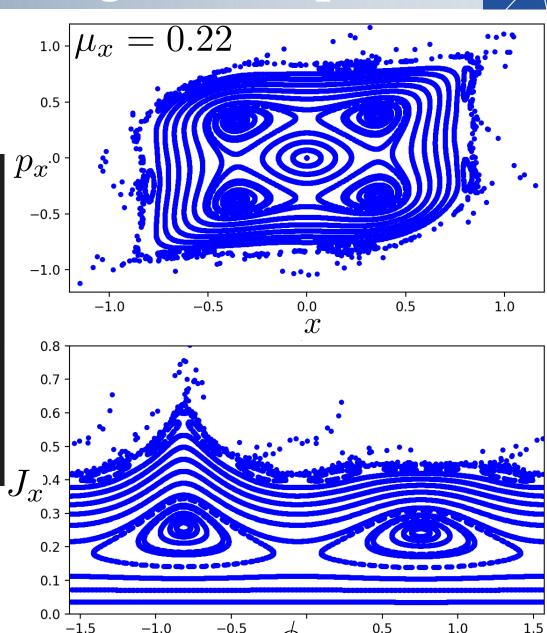
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```

 $py1 = -\sin(muy)*y + \cos(muy)*py$

Restrict motion in (x, p_x) plane i.e. $y_0 = p_{y0} = 0$

return x1,px1,y1,py1

■ Iterate for a number of "turns" (here 1000)





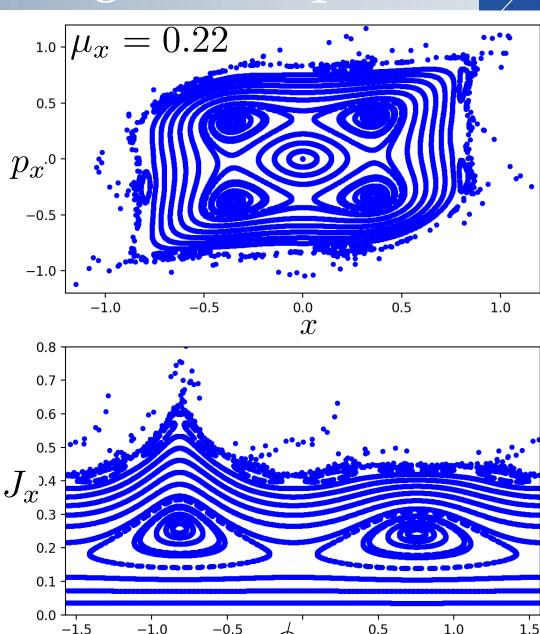
Example: Single Octupole



- Appearance of invariant curves ("distorted" circles), where "action" is an integral of motion
- **Resonant islands** with stable p_x .o and separatrices with unstable fixed points
- Chaotic motion
- Electromagnetic fields coming from multi-pole expansions (polynomials) do not bound phase space and chaotic trajectories may eventually escape to infinity

(Dynamic Aperture)

■ For some fields like beambeam and space-charge this is not true, i.e. chaotic motion leads to halo formation







Motion close to a resonance

Secular perturbation theory



- The vicinity of a resonance $n_1\omega_1 + n_2\omega_2 = 0$, can be studied through **secular perturbation theory** (see appendix) or transforming the 1-turn map (see Etienne's lectures)
- A canonical transformation is applied such that the new variables are in a frame remaining on top of the resonance
- If one frequency is slow, one can average the motion and remain only with a 1 degree of freedom Hamiltonian which looks like the one of the pendulum
- Thereby, one can find the location and nature of the fixed points measure the width of the resonance

ellipses

Fixed points for general multi-pole



For any polynomial perturbation of the form x^k the "resonant" Hamiltonian is written as

$$\hat{H}_2 = \delta J_2 + \alpha(J_2) + J_2^{k/2} A_{kp} \cos(k\psi_2)$$

- With the **distance** to the resonance defined as $\nu = \frac{p}{3} + \delta$, $\delta << 1$
- lacksquare The non-linear shift of the tune is described by the term $lpha(J_2)$
- The conditions for the fixed points are $\sin(k\psi_2) = 0 \; , \; \; \delta + \frac{\partial \alpha(J_2)}{\partial J_2} + \frac{k}{2}J_2^{k/2-1}A_{kp}\cos(k\psi_2) = 0$

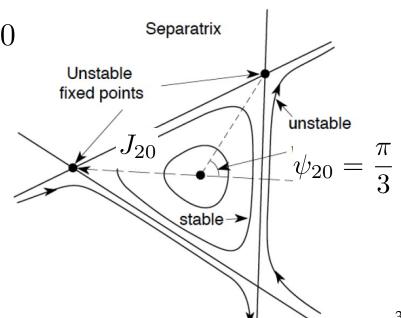
There are **fixed points** for which
$$\cos(k\psi_{20}) = -1$$
 and the fixed points are **stable** (elliptic). They are surrounded by

There are also **fixed points** for which $\cos(k\psi_{20}) = 1$ and the fixed points are **unstable** (hyperbolic). The trajectories are hyperbolas

Fixed points for 3rd order resonance



- The Hamiltonian for a sextupole close to a third order resonance is $\hat{H}_2 = \delta J_2 + J_2^{3/2} A_{3p} \cos(3\psi_2)$
- Note the absence of the non-linear tune-shift term (in this 1st order approximation!)
- By setting the Hamilton's equations equal to zero, three fixed points can be found at $\psi_{20} = \frac{\pi}{3}$, $\frac{3\pi}{3}$, $\frac{5\pi}{3}$, $J_{20} = \left(\frac{2\delta}{3A_{3n}}\right)^2$
- For $\frac{\delta}{A_{3p}} > 0$ all three points are unstable
- Close to the elliptic one at $\psi_{20} = 0$ the motion in phase space is described by circles that they get more and more distorted to end up in the "triangular" **separatrix** uniting the unstable fixed points
- The tune separation from the resonance is $\delta = \frac{3A_{3p}}{2}J_{20}^{1/2}$





Example: Single Sextupole



Simple **map** with single **sextupole kick** with integrated strength k_2 + rotation with phase advances (μ_x, μ_y)

```
def SextupoleMap(k2,x,px,y,py):
    x1 = x
    px1 = px - k2*(x**2-y**2)
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def Rotation(mux,muy,x,px,y,py):
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```

- Restrict motion in (x, p_x) plane i.e. $y_0 = p_{y0} = 0$
- Iterate for a number of "turns" (here 1000)



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Example: Single Sextupole

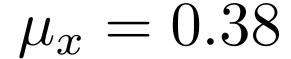


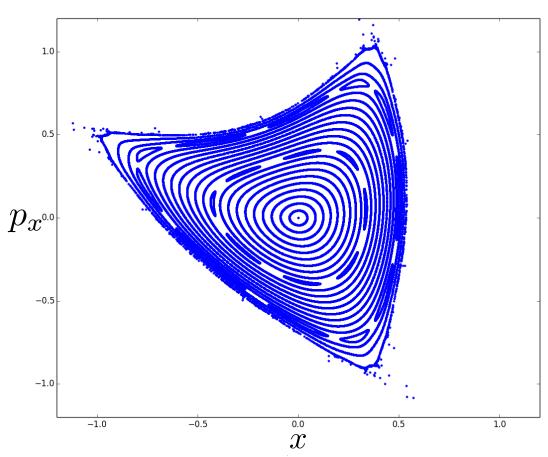
Simple map with single sextupole kick with integrated strength k_2 + rotation with phase advances (μ_x, μ_y)

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def SextupoleMap(k2,x,px,y,py):
    x1 = x
    px1 = px - k2*(x**2-y**2)
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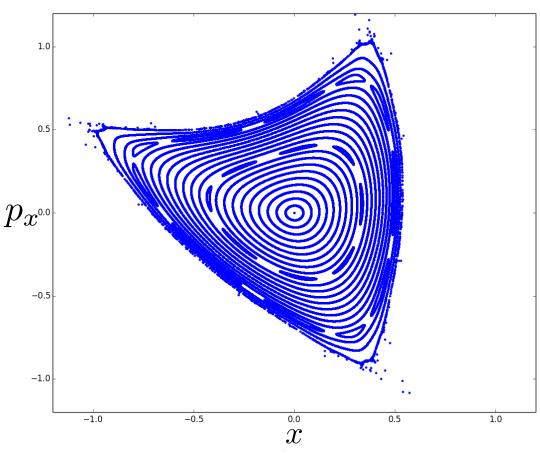




■ Appearance of 3rd order resonance for certain phase advance

■ ... but also 4th order resonance

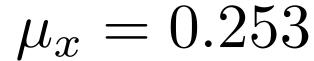
$$\mu_x = 0.38$$

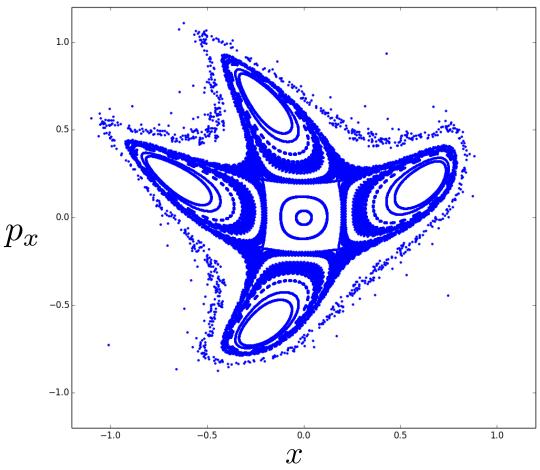






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- Appearance of 3rd order resonance for certain phase advance
- L... but also 4th order resonance
- ■... and 5th order resonance

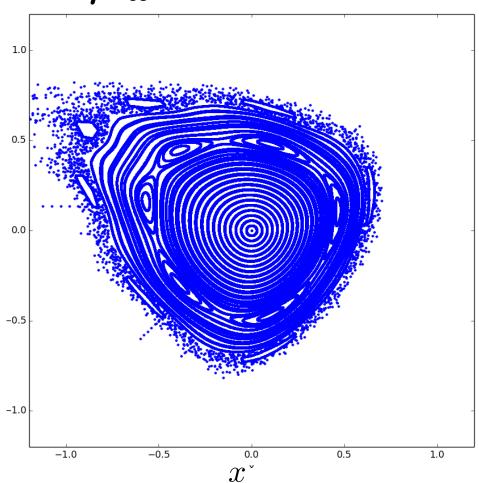
 $\mu_x = 0.21$ 0.5 -0.5-1.0-1.0 0.5 1.0





- Appearance of 3rd order resonance for certain phase advance
- ... but also 4th order resonance
- ■... and 5th order resonance
- In any order and 7^{th} order and 7^{th} order and several higher p_x orders...

$\mu_x = 0.18$



Fixed points for an octupole



The resonant Hamiltonian close to the 4th order resonance is written as

$$\hat{H}_2 = \delta J_2 + cJ_2^2 + J_2^2 A_{4p} \cos(4\psi_2)$$

■ The **fixed points** are found by taking the derivative over the two variables and setting them to zero, i.e.

$$\sin(4\psi_2) = 0 , \quad \delta + 2cJ_2 + 2J_2A_{kp}\cos(4\psi_2) = 0$$

The fixed points are at

$$\psi_{20} = \left(\frac{\pi}{4}\right) \left(\frac{\pi}{2}\right), \left(\frac{3\pi}{4}\right), \left(\pi\right), \left(\frac{5\pi}{4}\right), \left(\frac{3\pi}{2}\right), \left(\frac{7\pi}{4}\right), \left(2\pi\right)$$

For half of them, there is a minimum in the potential as $\cos(4\psi_{20}) = -1$ and they are elliptic and half of them they are hyperbolic as $\cos(4\psi_{20}) = 1$

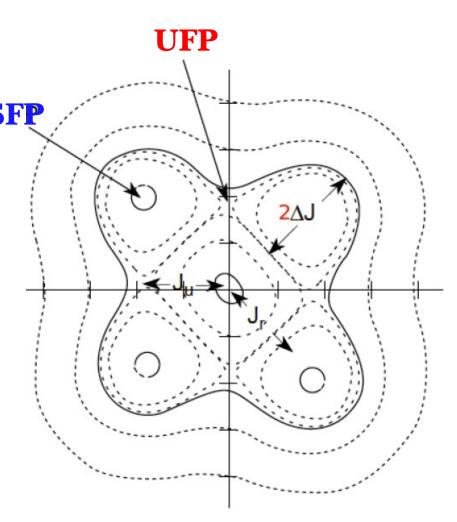
con

Topology of an octupole resonance



Regular motion near the center, with curves getting more deformed towards a rectangular shape

- The **separatrix** passes through 4 unstable fixed points, but motion seems well contained
- Four stable fixed points exist and they are surrounded by stable motion (islands of stability)
- Question: Can the **central fixed point** become **hyperbolic** (answer in the appendix)

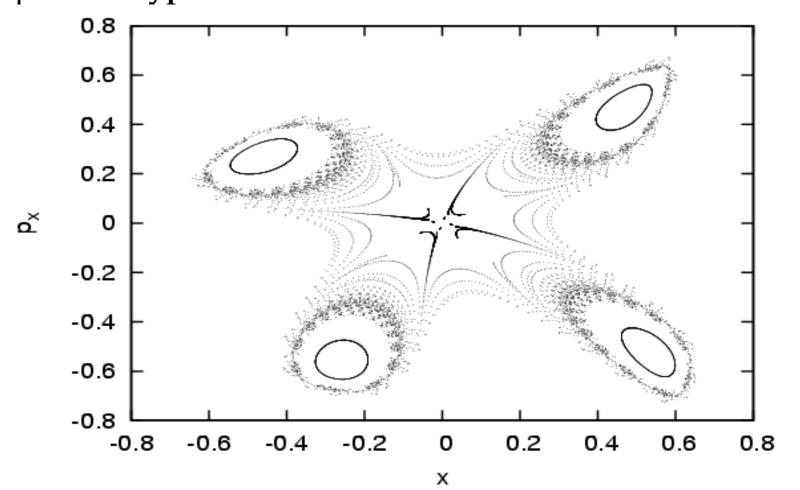


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Octupole with hyperbolic central fixed point



- Now, if c=0 the solution for the action is $J_{20}=0$
- So there is no minima in the potential, i.e. the central fixed point is hyperbolic

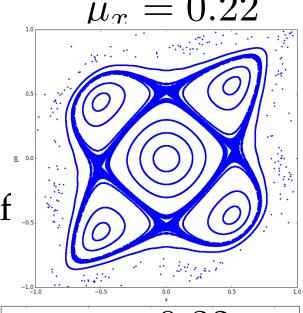


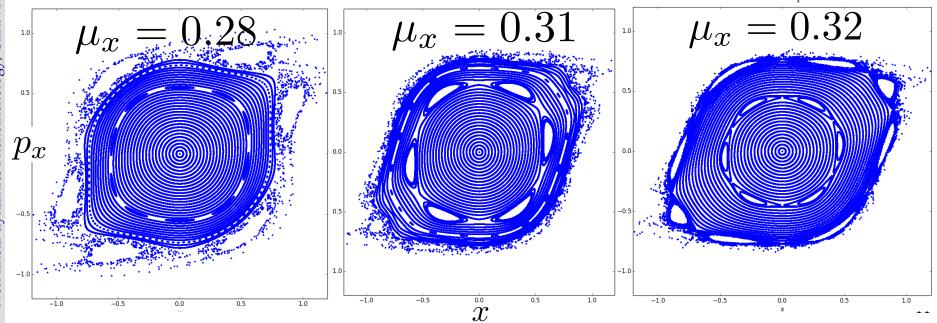


Single Octupole



- As for the sextupole, the octupole can excite any resonance
- Multi-pole magnets can excite any resonance order
- It depends on the tunes, strength of the magnet and particle amplitudes



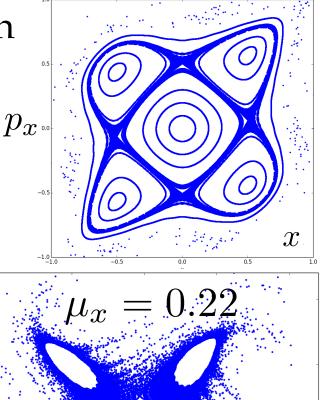


CON Single Octupole + Sextupole

 $p_{oldsymbol{x}_{\scriptscriptstyle{0.0}}}$



Adding a sextupole and an octupole increases the chaotic motion region, when close to the 4th order resonance

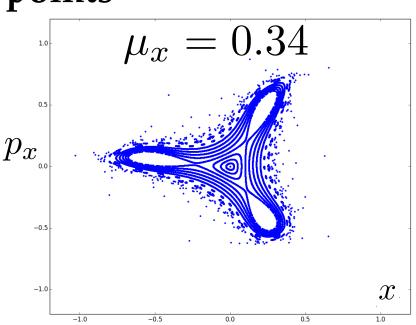


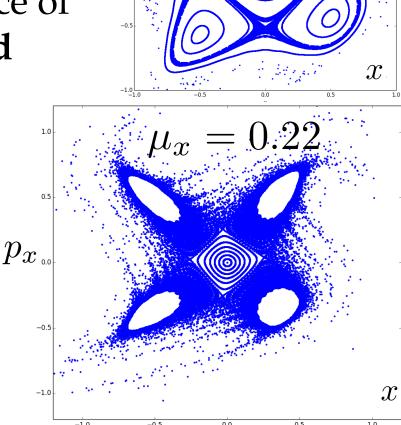


CÓO Single Octupole + Sextupole



- Adding a sextupole and an octupole increases the chaotic motion region, when close to the 4th order resonance p_{x} 0.0
- But also allows the appearance of 3rd order resonance stable fixed points









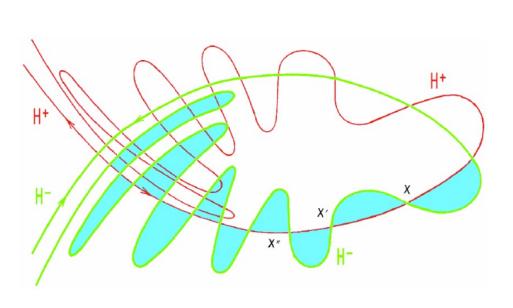
Onset of chaos

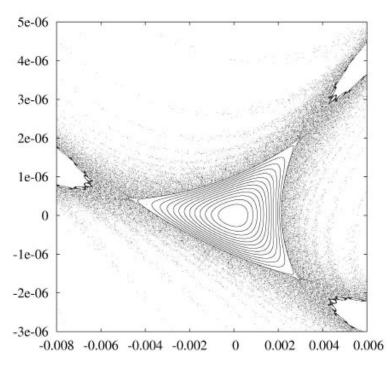
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Path to chaos



- When perturbation becomes higher, motion around the separatrix becomes chaotic (producing tongues or splitting of the separatrix)
- Unstable fixed points are indeed the source of chaos when a perturbation is added

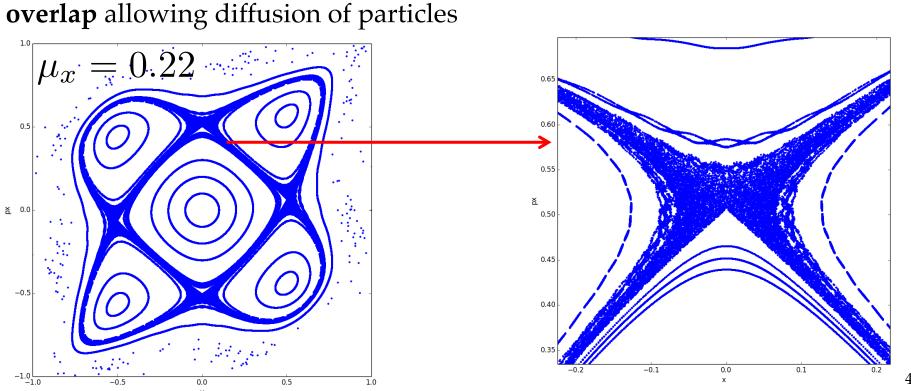


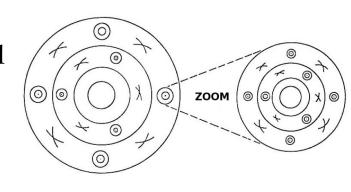


con Chaotic motion



- Poincare-Birkhoff theorem states that under perturbation of a resonance only an even number of fixed points survives (half stable and the other half unstable)
- Themselves get destroyed when perturbation gets higher, etc. (self-similar fixed points)
- Resonance **islands grow** and **resonances** can **overlap** allowing diffusion of particles





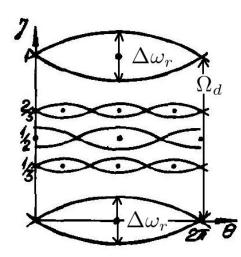


Resonance overlap criterion



- When perturbation grows, the resonance island width grows
- Chirikov (1960, 1979) proposed a criterion for the overlap of two neighboring resonances and the onset of orbit diffusion
- neignboring resonances and the simple overlap criterion is $\delta \hat{J}_{1 n, n'} = \frac{2 \left(\frac{1}{n_1 + n_2} \frac{1}{n'_1 + n'_2} \right)}{\left| \frac{\partial^2 \bar{H}_0(\hat{\mathbf{J}})}{\partial \hat{J}_1^2} \right|_{\hat{J}_1 = \hat{J}_{10}}}$ The simple overlap criterion is

$$\Delta \hat{J}_{n \; max} + \Delta \hat{J}_{n' \; max} \geq \delta \hat{J}_{n,n'}$$





Resonance overlap criterion

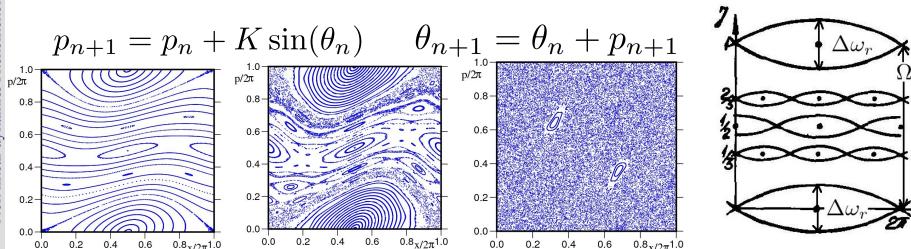


- When perturbation grows, the resonance island width grows
- Chirikov (1960, 1979) proposed a criterion for the overlap of two neighboring resonances and the onset of orbit diffusion
- The **distance** between two resonances is $\delta \hat{J}_{1 n, n'} = \frac{2\left(\frac{1}{n_1+n_2} \frac{1}{n'_1+n'_2}\right)}{\left|\frac{\partial^2 \bar{H}_0(\hat{\mathbf{J}})}{\partial \hat{J}_1^2}\right|_{\hat{J}_1 = \hat{J}_{10}}}$

Considering the **width** of **chaotic layer** and **secondary islands**, the "two thirds" rule apply
$$\Delta \hat{J}_{n \ max} + \Delta \hat{J}_{n' \ max} \geq \frac{2}{3} \delta \hat{J}_{n,n'}$$

Example: Chirikov's standard map

 $\Delta \hat{J}_{n \ max} + \Delta \hat{J}_{n' \ max} \ge \delta \hat{J}_{n,n'}$





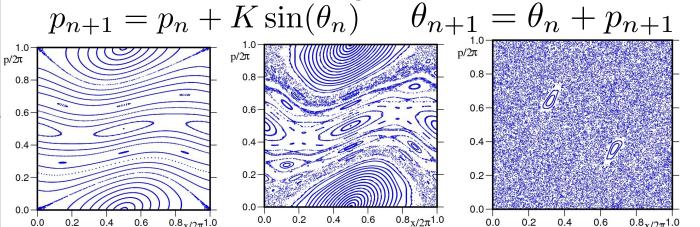
Resonance overlap criterion

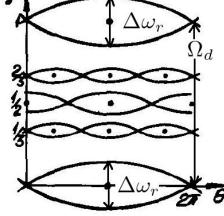


- When perturbation grows, the resonance island width grows
- Chirikov (1960, 1979) proposed a criterion for the overlap of two neighboring resonances and the onset of orbit diffusion
- The **distance** between two resonances is $\delta \hat{J}_{1 n, n'} = \frac{2\left(\frac{1}{n_1+n_2} \frac{1}{n'_1+n'_2}\right)}{\left|\frac{\partial^2 \bar{H}_0(\hat{\mathbf{J}})}{\partial \hat{J}_1^2}\right|_{\hat{J}_1 = \hat{J}_{10}}}$ The **simple overlap criterion** is

$$\Delta \hat{J}_{n \; max} + \Delta \hat{J}_{n' \; max} \ge \delta \hat{J}_{n,n'}$$

- Considering the width of chaotic layer and secondary islands, the "two thirds" rule apply $\Delta \hat{J}_{n \ max} + \Delta \hat{J}_{n' \ max} \geq \frac{2}{3} \delta \hat{J}_{n,n'}$
- The main limitation is the **geometrical nature** of the criterion (**difficulty** to be **extended** for > 2 degrees of freedom)

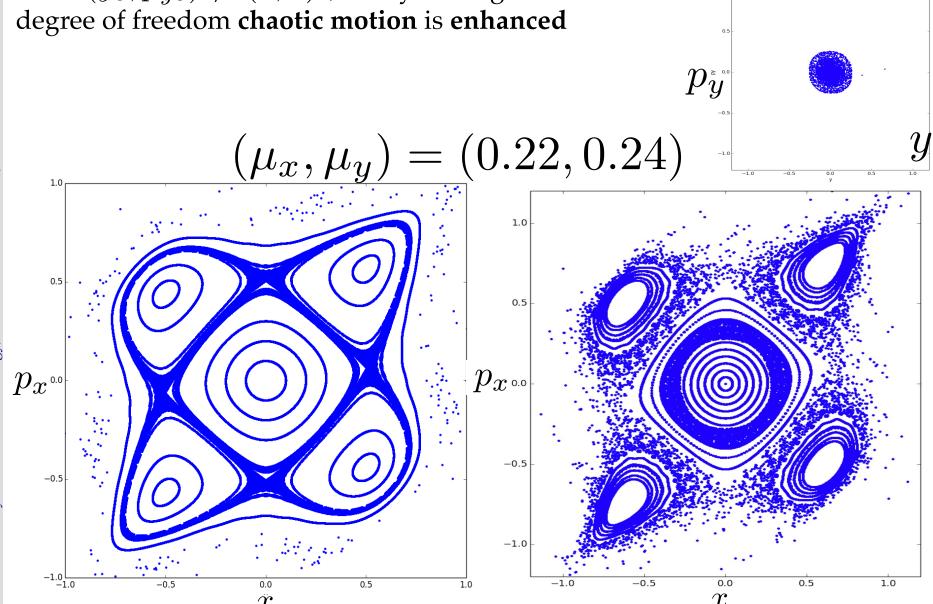




Increasing dimensions



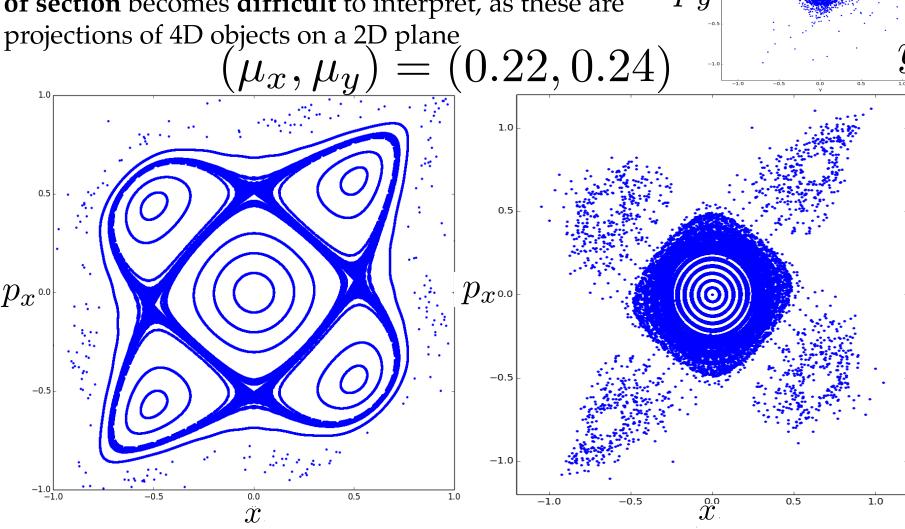
 \blacksquare For $(y_0,p_{y0}) \neq (0,0)$, i.e. by adding another degree of freedom chaotic motion is enhanced



Increasing dimensions



- \blacksquare For $(y_0,p_{y0}) \neq (0,0)$, i.e. by adding another degree of freedom chaotic motion is enhanced
- At the same time, **analysis** of phase space on **surface** of section becomes difficult to interpret, as these are



Con Chaos detection methods



■ Computing/measuring **dynamic aperture** (DA) or particle survival

```
A. Chao et al., PRL 61, 24, 2752, 1988;
F. Willeke, PAC95, 24, 109, 1989.
```

Computation of Lyapunov exponents

```
F. Schmidt, F. Willeke and F. Zimmermann, PA, 35, 249, 1991;
M. Giovannozi, W. Scandale and E. Todesco, PA 56, 195, 1997
```

Variance of unperturbed action (a la Chirikov)

```
B. Chirikov, J. Ford and F. Vivaldi, AIP CP-57, 323, 1979
J. Tennyson, SSC-155, 1988;
J. Irwin, SSC-233, 1989
```

Fokker-Planck diffusion coefficient in actions

```
T. Sen and J.A. Elisson, PRL 77, 1051, 1996
YP and F. Zimmerman, PRSTAB, 5 (7), 074001
```

Frequency map analysis





Dynamic aperture

Con Dynamic Aperture



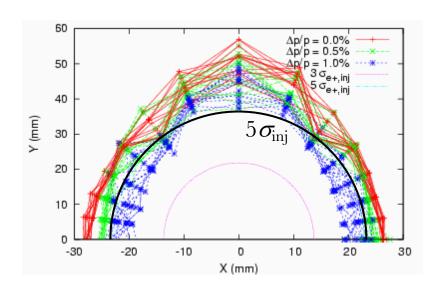
- The most direct way to evaluate the non-linear dynamics performance of a ring is the computation of **Dynamic Aperture**
- Particle motion due to multi-pole errors is generally nonbounded, so chaotic particles can escape to infinity
- This is not true for all non-linearities (e.g. the beam-beam force)
- Need a symplectic tracking code to follow particle trajectories (a lot of initial conditions) for a number of turns (depending on the given problem) until the particles start getting lost. This boundary defines the Dynamic aperture
- As multi-pole errors may not be completely known, one has to track through several machine models built by random distribution of these errors
- One could start with 4D (only transverse) tracking but certainly needs to simulate 5D (constant energy deviation) and finally 6D (synchrotron motion included)

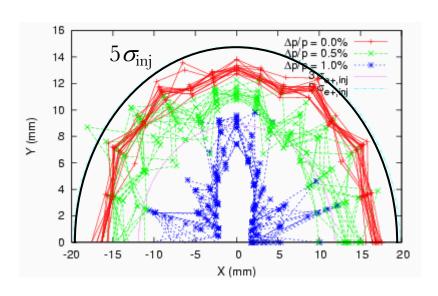
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Dynamic Aperture plots



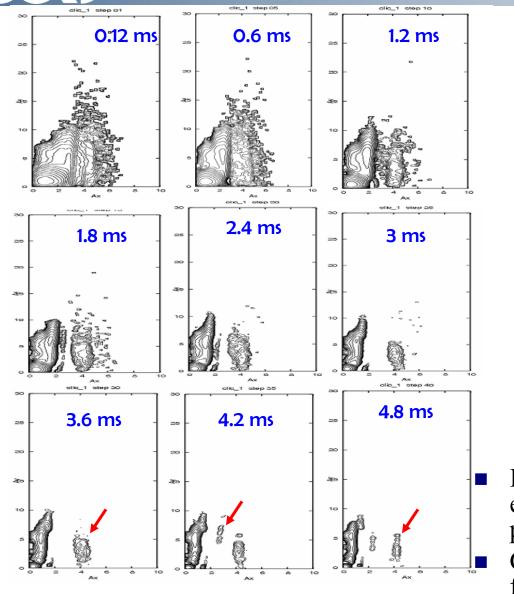
- Dynamic aperture plots show the maximum initial values of stable trajectories in x-y coordinate space at a particular point in the lattice, for a range of energy errors.
 - The beam size can be shown on the same plot.
 - □ Generally, the goal is to allow some significant margin in the design the measured dynamic aperture is often smaller than the predicted dynamic aperture.



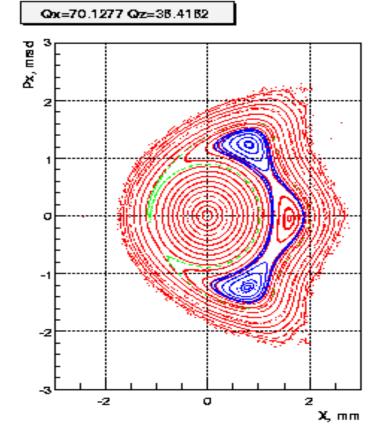


Dynamic aperture including damping





Non-linear dynamics Phenomenology, CERN Accelerator School, Novembre 2022



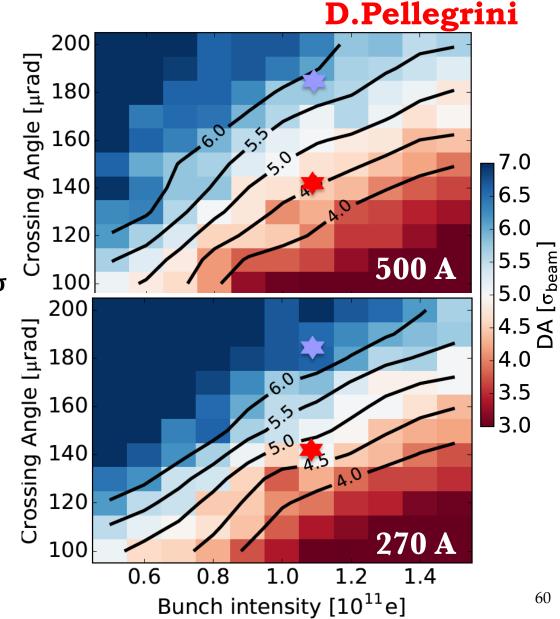
Including radiation damping and excitation shows that 0.7% of the particles are lost during the damping Certain particles seem to damp away from the beam core, on resonance islands

(CO) DA scanning for the LHC



Min. Dynamic Aperture **(DA)** with **intensity** vs crossing angle, for nominal optics (β *= 40 cm) and BCMS beam (2.5 μ m emittance), 15 units of chromaticity

- For $1.1x10^{11}$ p
 - \Box At $\theta_c/2 = 185$ μrad (~12 σ separation), DA around 6σ (good lifetime observed)
 - \Box At $\theta_c/2 = 140$ μrad (~9 σ separation), DA below 5σ (reduced lifetime observed)
 - **Improvement** for low octupoles, low chromaticity and WP optimisation (observed in **operation**)



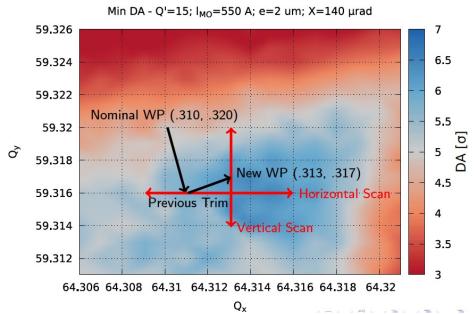
DA guiding machine performance

CERN

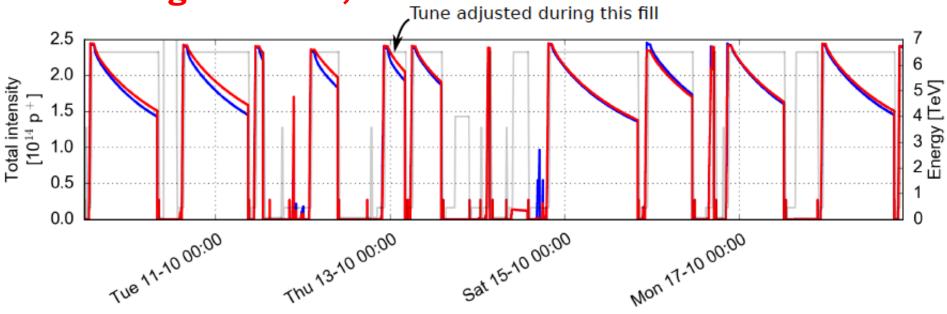
- B1 suffering from lower lifetime in the LHC
- DA simulations predicted the required adjustment

ccelerator School, Novembre 2022

Fine-tune scan performed and applied in operation, solving B1 lifetime problem







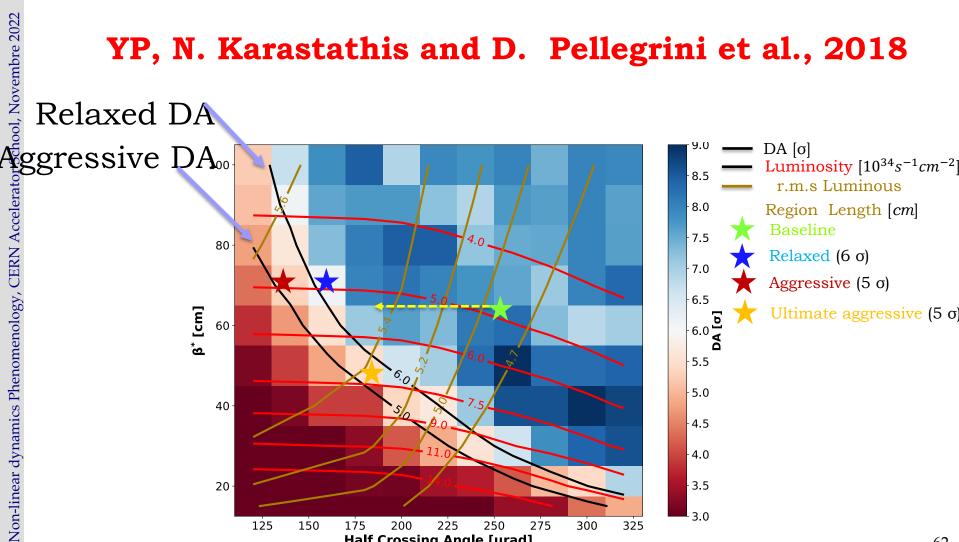


HL-LHC operational scenario



Reduction of **crossing angle** at **constant luminosity**, reduces **pileup** density (by elongating the luminous region) and triplet irradiation

YP, N. Karastathis and D. Pellegrini et al., 2018

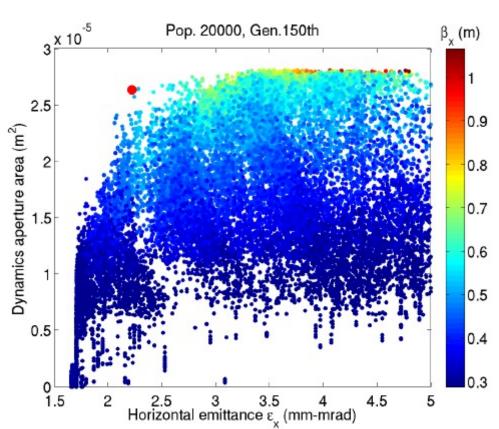


Half Crossing Angle [µrad]

Genetic Algorithms for lattice optimisation



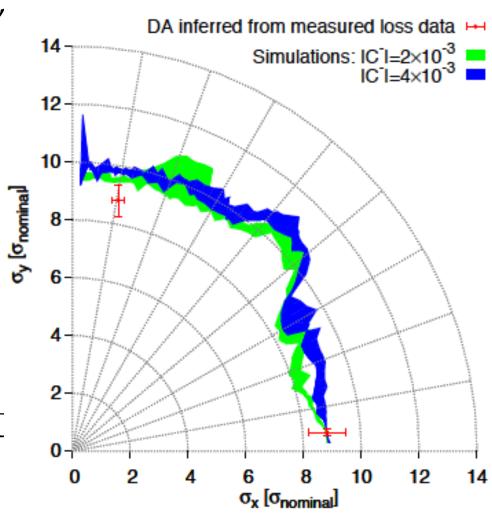
- MOGA Multi Objective Genetic Algorithms are being recently used to optimise linear but also non-linear dynamics of electron low emittance storage rings
- Use knobs quadrupole strengths, chromaticity sextupoles and correctors with some constraints
- Target ultra-low horizontal emittance, increased lifetime and high dynamic aperture



COMeasuring Dynamic Aperture



- During LHC design phase, DA target was 2x higher than collimator position, due to statistical fluctuation, finite mesh, linear imperfections, short tracking time, multi-pole time dependence, ripple and a 20% safety margin
- Better knowledge of the model led to good agreement between measurements and simulations for actual LHC
- Necessity to build an accurate magnetic model (from beam based measurements)



E.Mclean, PhD thesis, 2014





Lyapunov exponent

Con Lyapunov exponent



- Chaotic motion implies sensitivity to initial condition
- Two infinitesimally close **chaotic trajectories** in phase space with initial difference $\delta \mathbf{Z}_0$ will endup diverging with rate

 $|\delta \mathbf{Z}(t)| \approx e^{\lambda t} |\delta \mathbf{Z}_0|$ with λ the maximum **Lyapunov exponent**

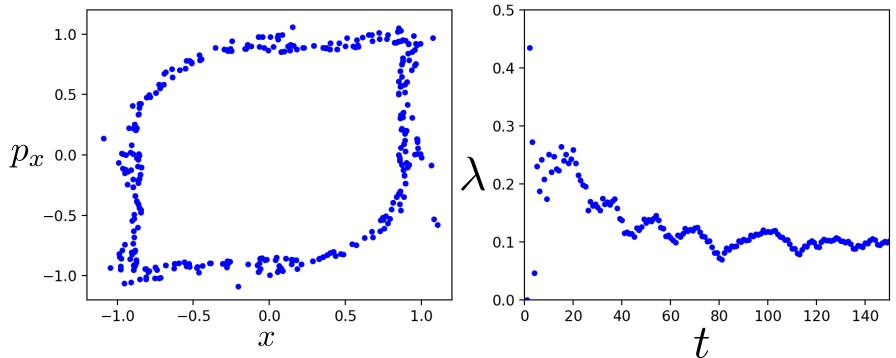
- There is as many exponents as the phase space dimensions (Lyapunov spectrum)
- The largest one is the Maximal Lyapunov exponent (MLE) is defined as

$$\lambda = \lim_{t \to \infty} \lim_{\delta \mathbf{Z}_0 \to 0} \frac{1}{t} \ln \frac{|\delta \mathbf{Z}(t)|}{|\delta \mathbf{Z}_0|}$$



Lyapunov exponent: chaotic orbit





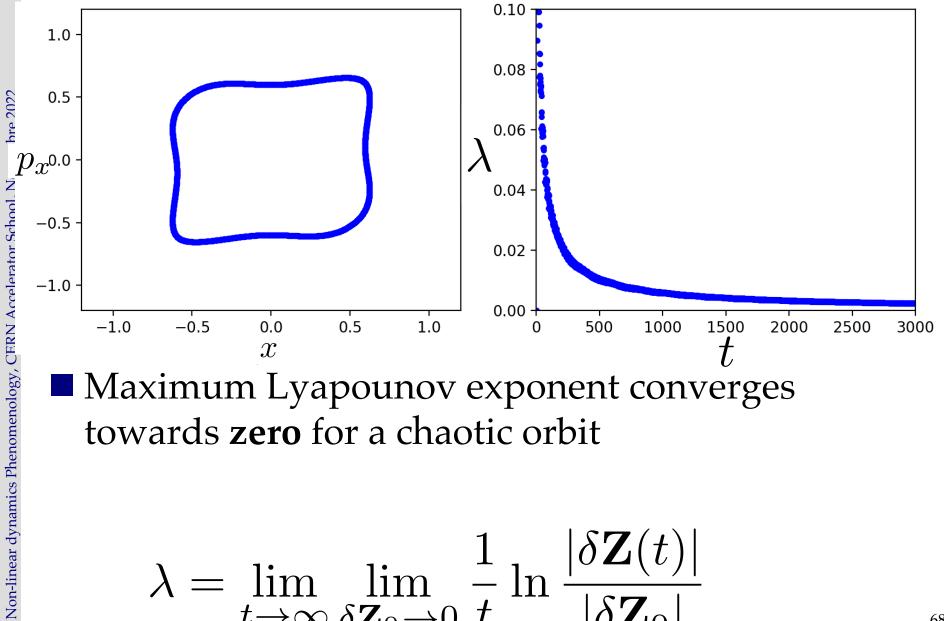
Maximum Lyapounov exponent converges towards a positive value for a chaotic orbit

$$\lambda = \lim_{t \to \infty} \lim_{\delta \mathbf{Z}_0 \to 0} \frac{1}{t} \ln \frac{|\delta \mathbf{Z}(t)|}{|\delta \mathbf{Z}_0|}$$



Lyapunov exponent: regular orbit





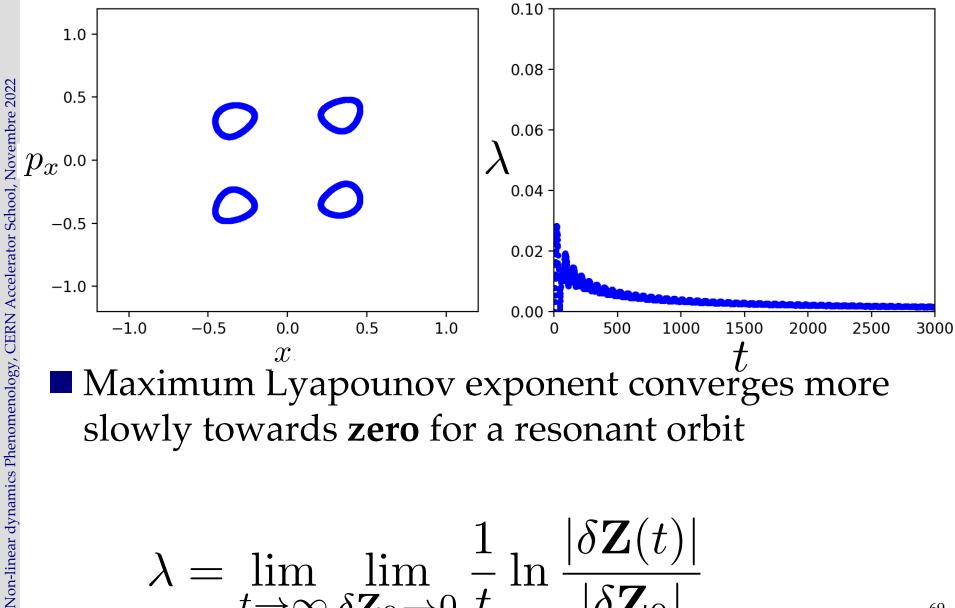
Maximum Lyapounov exponent converges towards zero for a chaotic orbit

$$\lambda = \lim_{t \to \infty} \lim_{\delta \mathbf{Z}_0 \to 0} \frac{1}{t} \ln \frac{|\delta \mathbf{Z}(t)|}{|\delta \mathbf{Z}_0|}$$



Lyapunov exponent: regular orbit





Maximum Lyapounov exponent converges more slowly towards zero for a resonant orbit

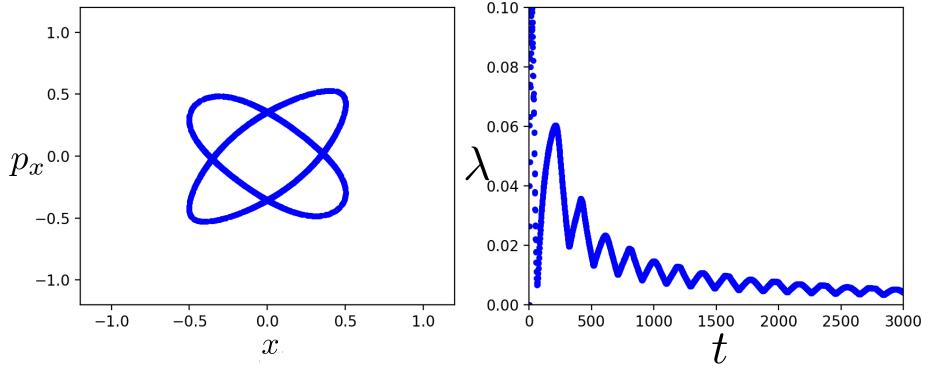
$$\lambda = \lim_{t \to \infty} \lim_{\delta \mathbf{Z}_0 \to 0} \frac{1}{t} \ln \frac{|\delta \mathbf{Z}(t)|}{|\delta \mathbf{Z}_0|}$$



Non-linear dynamics Phenomenology, CERN Accelerator School, Novembre 2022

Lyapunov exponent: regular orbit





Maximum Lyapounov exponent converges more slowly towards zero for a resonant orbit, in particular close to the separatrix

$$\lambda = \lim_{t \to \infty} \lim_{\delta \mathbf{Z}_0 \to 0} \frac{1}{t} \ln \frac{|\delta \mathbf{Z}(t)|}{|\delta \mathbf{Z}_0|}$$





Frequency Map Analysis

Frequency map analysis



- Frequency Map Analysis (FMA) is a numerical method which springs from the studies of J. Laskar (Paris Observatory) putting in evidence the chaotic motion in the Solar Systems
- FMA was successively applied to several dynamical systems
 - Stability of Earth Obliquity and climate stabilization (Laskar, Robutel, 1993)
 - 4D maps (Laskar 1993)
 - □ Galactic Dynamics (Y.P and Laskar, 1996 and 1998)
 - Accelerator beam dynamics: lepton and hadron rings (Dumas, Laskar, 1993, Laskar, Robin, 1996, Y.P, 1999, Nadolski and Laskar 2001)

Motion on torus



Consider an integrable Hamiltonian system of the usual form

$$H(\boldsymbol{J}, \boldsymbol{\varphi}, \boldsymbol{\theta}) = H_0(\mathbf{J})$$

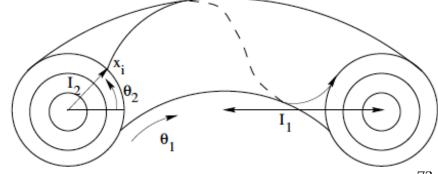
Hamilton's equations give
$$\dot{\phi}_j = \frac{\partial H_0(\mathbf{J})}{\partial J_j} = \omega_j(\mathbf{J}) \Rightarrow \phi_j = \omega_j(\mathbf{J})t + \phi_{j0}$$
$$\dot{J}_j = -\frac{\partial H_0(\mathbf{J})}{\partial \phi_j} = 0 \Rightarrow J_j = \mathrm{const.}$$

- The actions define the surface of an invariant torus
- In complex coordinates the motion is described by

$$\zeta_j(t) = J_j(0)e^{i\omega_j t} = z_{j0}e^{i\omega_j t}$$

For a **non-degenerate** system $\det \left| \frac{\partial \omega(J)}{\partial J} \right| = \det \left| \frac{\partial^2 H_0(J)}{\partial J^2} \right| \neq 0$ there is a one-to-one correspondence between the actions and the frequency, a frequency map can be defined parameterizing the tori in the frequency space

$$F: (\mathbf{I}) \longrightarrow (\omega)$$



Quasi-periodic motion



If a transformation is made to some new variables

$$\zeta_j = I_j e^{i\theta_j t} = z_j + \epsilon G_j(\mathbf{z}) = z_j + \epsilon \sum_{j=1}^{n} c_{\mathbf{m}} z_1^{m_1} z_2^{m_2} \dots z_n^{m_n}$$

- The system is still integrable but the tori are distorted
- The motion is then described by

$$\zeta_j(t) = z_{j0}e^{i\omega_j t} + \sum a_{\mathbf{m}}e^{i(\mathbf{m}\cdot\omega)t}$$

i.e.

a quasi-periodic function of time, with

$$a_{\mathbf{m}} = \epsilon \ c_{\mathbf{m}} z_{10}^{m_1} z_{20}^{m_2} \dots z_{n0}^{m_n} \text{ and } \mathbf{m} \cdot \omega = m_1 \omega_1 + m_2 \omega_2 + \dots + m_n \omega_n$$

- For a non-integrable Hamiltonian, $H(\mathbf{I}, \theta) = H_0(\mathbf{I}) + \epsilon H'(\mathbf{I}, \theta)$ and especially if the perturbation is small, most tori persist (**KAM** theory)
- In that case, the motion is still quasi-periodic and a frequency map can be built
- The regularity (or not) of the map reveals stable (or chaotic) motion

ConBuilding the frequency map



When a quasi-periodic function f(t) = q(t) + ip(t) in the complex domain is given numerically, it is possible to recover a quasi-periodic approximation

$$f'(t) = \sum_{k=1}^{N} a'_k e^{i\omega'_k t}$$

in a very precise way over a finite time span [-T, T] several orders of magnitude more precisely than simple Fourier techniques

- This approximation is provided by the Numerical Analysis of Fundamental Frequencies – NAFF algorithm
- The frequencies ω_k' and complex amplitudes a_k' are computed through an iterative scheme.

The NAFF algorithm



The first frequency ω'_1 is found by the location of the maximum of

$$\phi(\sigma) = \langle f(t), e^{i\sigma t} \rangle = \frac{1}{2T} \int_{-T}^{T} f(t)e^{-i\sigma t} \chi(t)dt$$

where $\chi(t)$ is a weight function

- In most of the cases the Hanning window filter is used $\chi_1(t) = 1 + \cos(\pi t/T)$
- Once the first term $e^{i\omega_1't}$ is found, its complex amplitude a_1' is obtained and the process is restarted on the remaining part of the function

$$f_1(t) = f(t) - a_1' e^{i\omega_1't}$$

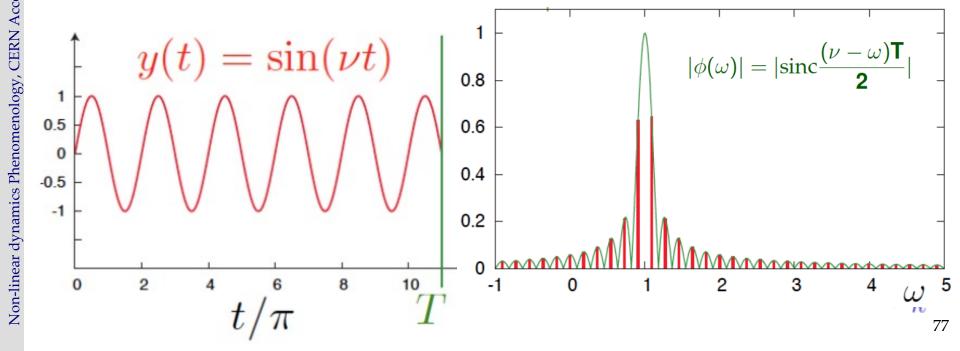
The procedure is continued for the number of desired terms, or until a required precision is reached

Frequency determination



- The accuracy of a simple FFT even for a simple sinusoidal signal is not better than $|\nu \nu_T| = \frac{1}{T}$
- Calculating the Fourier integral explicitly

$$\phi(\omega)=< f(t), \ e^{i\omega t}>= \frac{1}{T}\int_0^T f(t) \ e^{-i\omega t} \ dt$$
 shows that the maximum lies in between the main peaks of the FFT

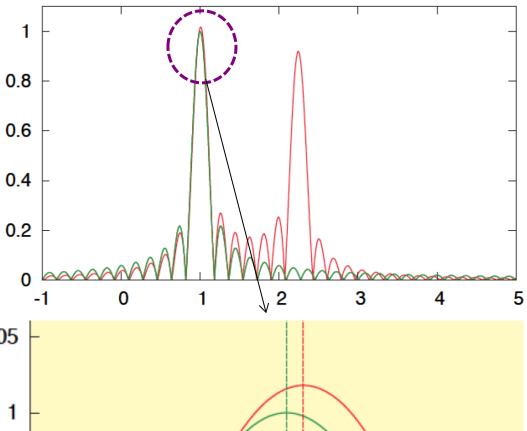


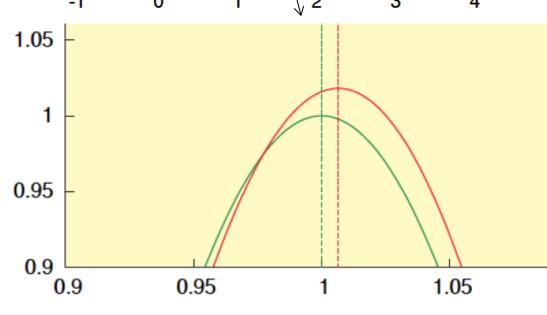
ConFrequency determination



A more complicated signal with two frequencies

$$f(t) = a_1 e^{i\omega_1 t} + a_2 e^{i\omega_2 t}$$
 shifts slightly the maximum with respect to its real location

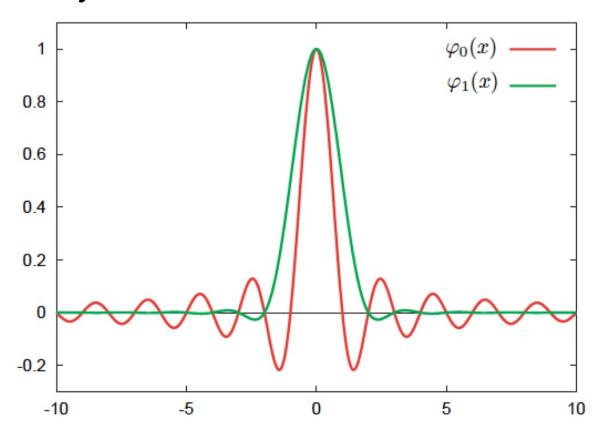




Window function



A window function like the Hanning filter $\chi_1(t) = 1 + \cos(\pi t/T)$ kills side-lobs and allows a very accurate determination of the frequency



ConPrecision of NAFF



 \blacksquare For a general window function of order p

$$\chi_p(t) = \frac{2^p (p!)^2}{(2p)!} (1 + \cos \pi t)^p$$

Laskar (1996) proved a theorem stating that the solution provided by the NAFF algorithm converges asymptotically towards the real KAM quasi-periodic solution with precision

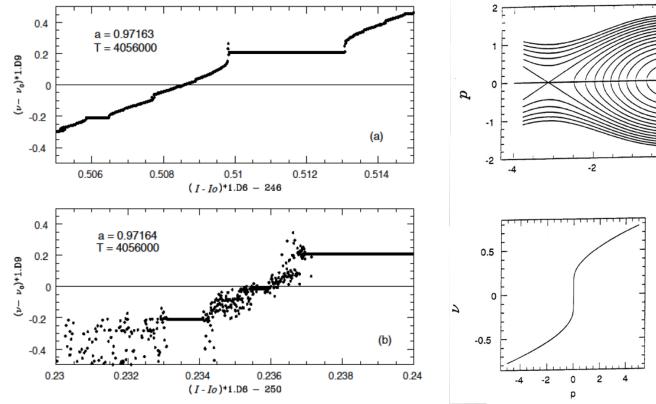
$$\nu_1 - \nu_1^T \propto \frac{1}{T^{2p+2}}$$

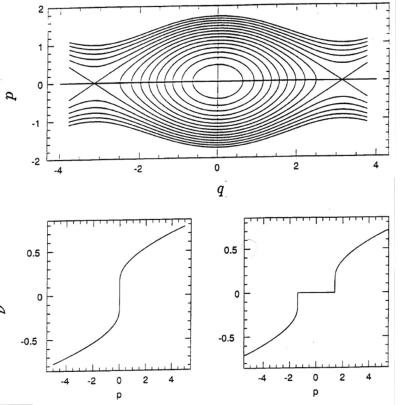
In particular, for no filter (i.e. p=0) the precision is $\frac{1}{T^2}$, whereas for the Hanning filter (p=1), the precision is of the order of $\frac{1}{T^4}$

Aspects of the frequency map



- In the vicinity of a resonance the system behaves like a pendulum
- Passing through the elliptic point for a fixed angle, a fixed frequency (or rotation number) is observed
- Passing through the hyperbolic point, a frequency jump is observed

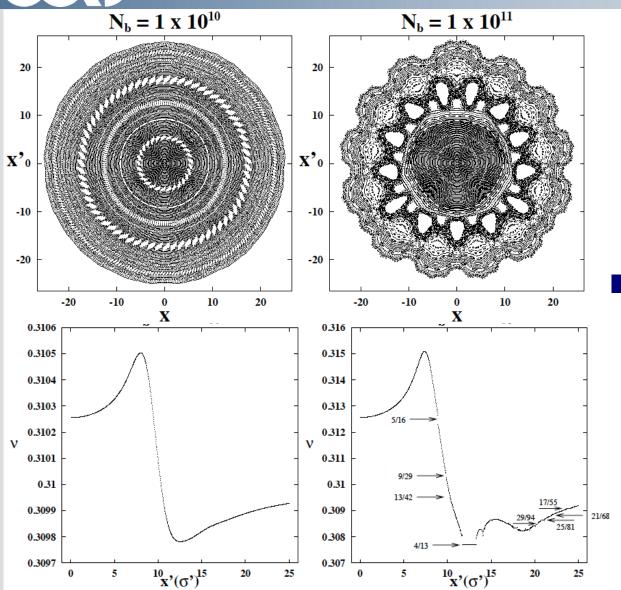




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Example: Frequency map for BBLR



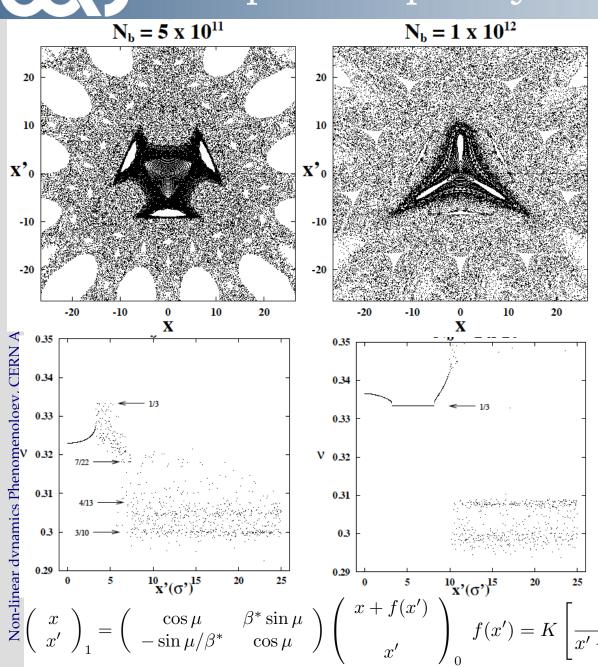


Simple Beam-beam long range (BBLR) kick and a rotation

$$\begin{bmatrix} x \\ x' \end{bmatrix}_1 = \begin{pmatrix} \cos \mu & \beta^* \sin \mu \\ -\sin \mu/\beta^* & \cos \mu \end{pmatrix} \begin{pmatrix} x + f(x') \\ x' \end{pmatrix}_0 f(x') = K \begin{bmatrix} \frac{1}{x' + \theta_c} \left(1 - e^{-\frac{(x' + \theta_c)^2}{2\sigma_x'^2}}\right) - \frac{1}{\theta_c} \left(1 - e^{-\frac{\theta_c^2}{2\sigma_x'^2}}\right) \end{bmatrix}$$

Example: Frequency map for BBLR





Simple Beam-beam long range (BBLR) kick and a rotation

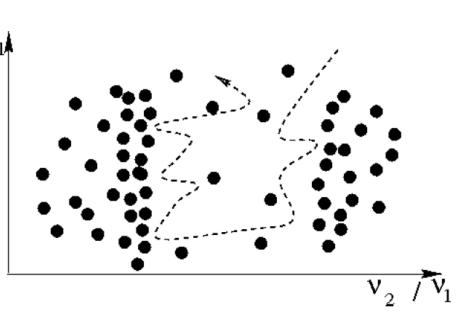
$$\begin{pmatrix} x + f(x') \\ x' \end{pmatrix}_{0} f(x') = K \left[\frac{1}{x' + \theta_{c}} \left(1 - e^{-\frac{(x' + \theta_{c})^{2}}{2\sigma_{x}'^{2}}} \right) - \frac{1}{\theta_{c}} \left(1 - e^{-\frac{\theta_{c}^{2}}{2\sigma_{x}'^{2}}} \right) \right]$$

Diffusion in frequency space



- For a **2 degrees of freedom** Hamiltonian system, the **frequency space** is a **line**, the tori are dots on this lines, and the **chaotic zones** are **confined** by the existing KAM tori
- For a system with 3 or more degrees of freedom, KAM tori are still represented by dots but do not prevent chaotic trajectories to diffuse
- This topological possibility v₃/v₁ of particles diffusing is called **Arnold diffusion**
- This diffusion is supposed to be extremely small in their vicinity, as tori act as effective barriers

 (Nechoroshev theory)

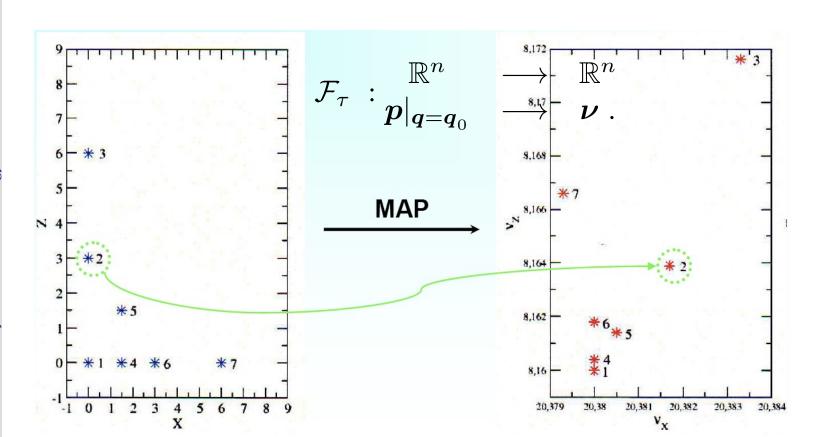




Building the frequency map

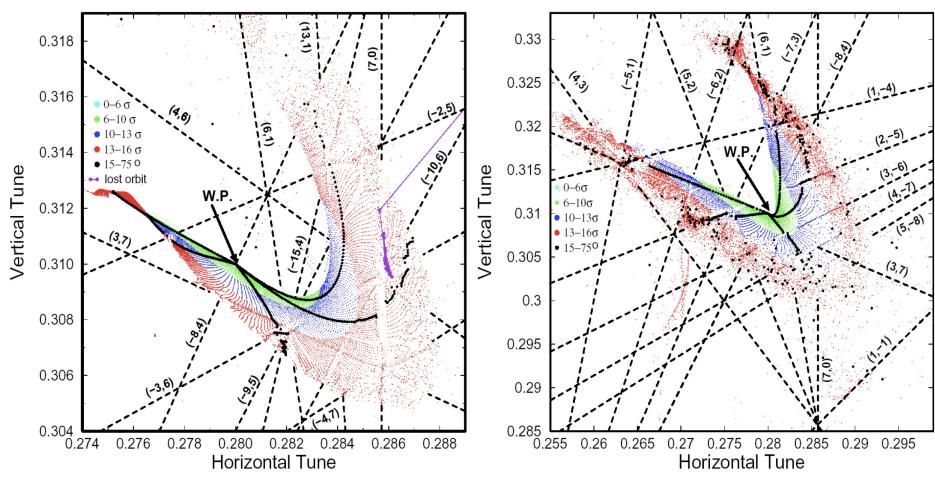


- Choose coordinates (x_i, y_i) with p_x and p_y =0
- Numerically integrate the phase trajectories through the lattice for sufficient number of turns
- Compute through NAFF Q_x and Q_y after sufficient number of turns
- Plot them in the tune diagram



Example: Frequency maps for the LHC





 Frequency maps for the target error table (left) and an increased random skew octupole error in the superconducting dipoles (right)



Diffusion Maps



Calculate frequencies for two equal and successive time spans and compute frequency diffusion vector:

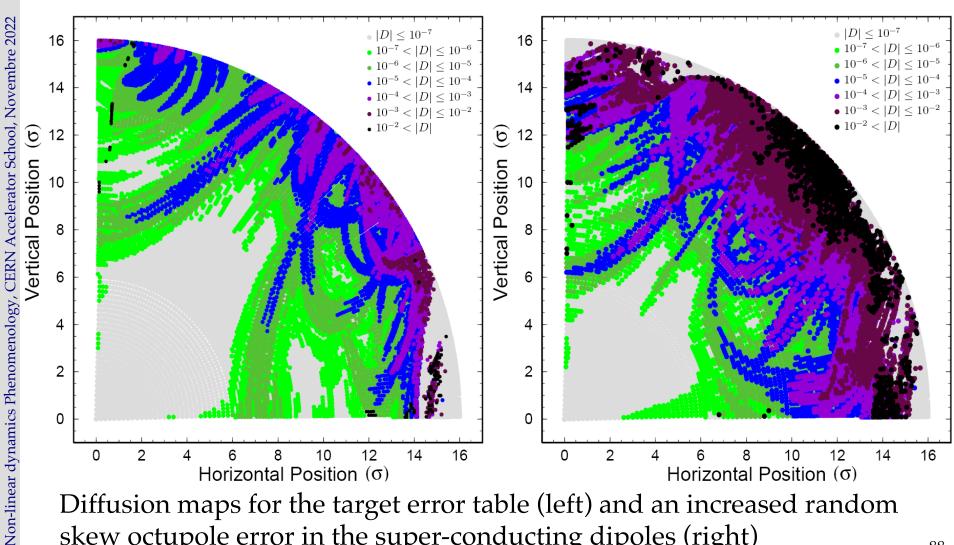
$$D|_{t=\tau} = \nu|_{t \in (0,\tau/2]} - \nu|_{t \in (\tau/2,\tau]}$$

- Plot the initial condition space color-coded with the norm of the diffusion vector
- Compute a diffusion quality factor by averaging all diffusion coefficients normalized with the initial conditions radius

$$D_{QF} = \left\langle \frac{|D|}{(I_{x0}^2 + I_{y0}^2)^{1/2}} \right\rangle_R$$

Example: Diffusion maps for the LHC





Diffusion maps for the target error table (left) and an increased random skew octupole error in the super-conducting dipoles (right)

COExample: Fre

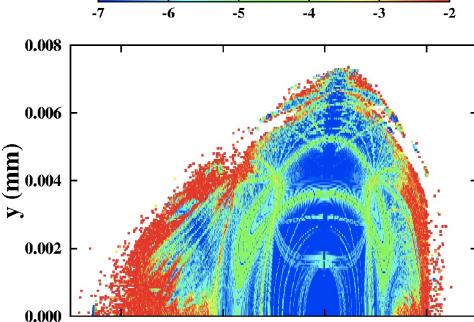
-0.040

Example: Frequency Map for the ESRF





- Regular motion represented by blue colors (close to zero amplitude particles or working point)
- 14.45 14.40 14.35 36.25 36.30 36.35 36.40 36.45 36.50 36.55 36.60



-0.020

x (mm)

0.000

- Resonances appear as distorted lines in frequency space (or curves in initial condition space
- Chaotic motion is represented by red scattered particles and defines dynamic aperture of the machine

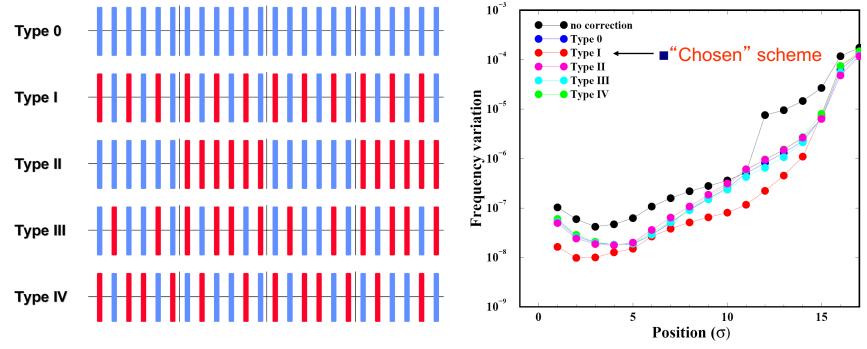




Numerical Applications

Correction schemes efficiency



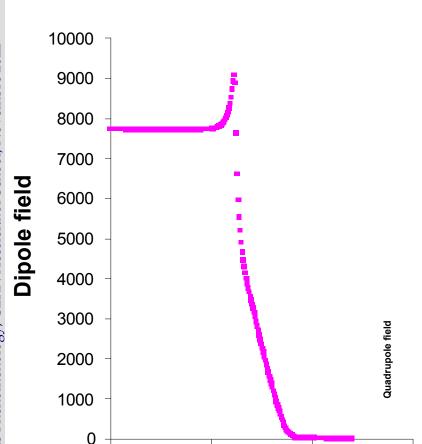


- Comparison of correction schemes for b₄ and b₅ errors in the LHC dipoles
- Frequency maps, resonance analysis, tune diffusion estimates, survival plots and short term tracking, proved that only half of the correctors are needed



Magnet fringe fields



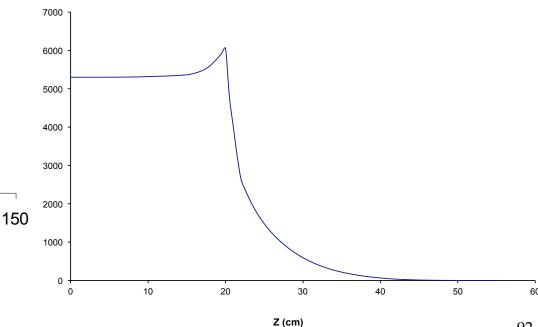


50

z (cm)

100

- Up to now we considered only transverse fields
- Magnet fringe field is the longitudinal dependence of the field at the magnet edges
- Important when magnet aspect ratios and/or emittances are big





Quadrupole fringe field



General field expansion for a quadrupole magnet:

$$B_x = \sum_{m,n=0}^{\infty} \sum_{l=0}^{m} \frac{(-1)^m x^{2n} y^{2m+1}}{(2n)! (2m+1)!} {m \choose l} b_{2n+2m+1-2l}^{[2l]}$$

$$B_y = \sum_{m,n=0}^{\infty} \sum_{l=0}^{m} \frac{(-1)^m x^{2n+1} y^{2m}}{(2n+1)! (2m)!} {m \choose l} b_{2n+2m+1-2l}^{[2l]}$$

$$B_z = \sum_{m,n=0}^{\infty} \sum_{l=0}^{m} \frac{(-1)^m x^{2n+1} y^{2m+1}}{(2n+1)! (2m+1)!} {m \choose l} b_{2n+2m+1-2l}^{[2l+1]}$$

and to leading order

$$B_x = y \left[b_1 - \frac{1}{12} (3x^2 + y^2) b_1^{[2]} \right] + O(5)$$

$$B_y = x \left[b_1 - \frac{1}{12} (3y^2 + x^2) b_1^{[2]} \right] + O(5)$$

$$B_z = xy b_1^{[1]} + O(4)$$

The quadrupole fringe to leading order has an octupole-like effect



Magnet fringe fields



From the hard-edge Hamiltonian

$$\mathbb{E} H_f = \frac{\pm Q}{12B\rho(1+\frac{\delta p}{p})} (y^3 p_y - x^3 p_x + 3x^2 y p_y - 3y^2 x p_x),$$

the first order shift of the frequencies with amplitude can be computed analytically

$$\begin{pmatrix} \delta \nu_x \\ \delta \nu_y \end{pmatrix} = \begin{pmatrix} a_{hh} & a_{hv} \\ a_{hv} & a_{vv} \end{pmatrix} \begin{pmatrix} 2J_x \\ 2J_y \end{pmatrix}, \text{Realistic}$$

$$\text{Hard-edge}$$

with the "anharmonicity" coefficients (torsion) 5.82

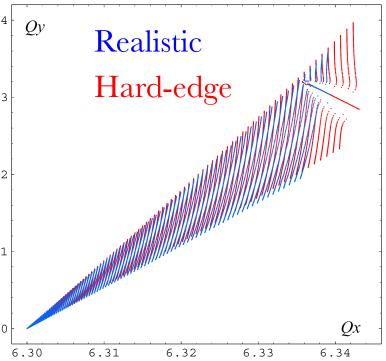
$$a_{hh} = \frac{-1}{16\pi B\rho} \sum_{i} \pm Q_{i} \beta_{xi} \alpha_{xi}$$

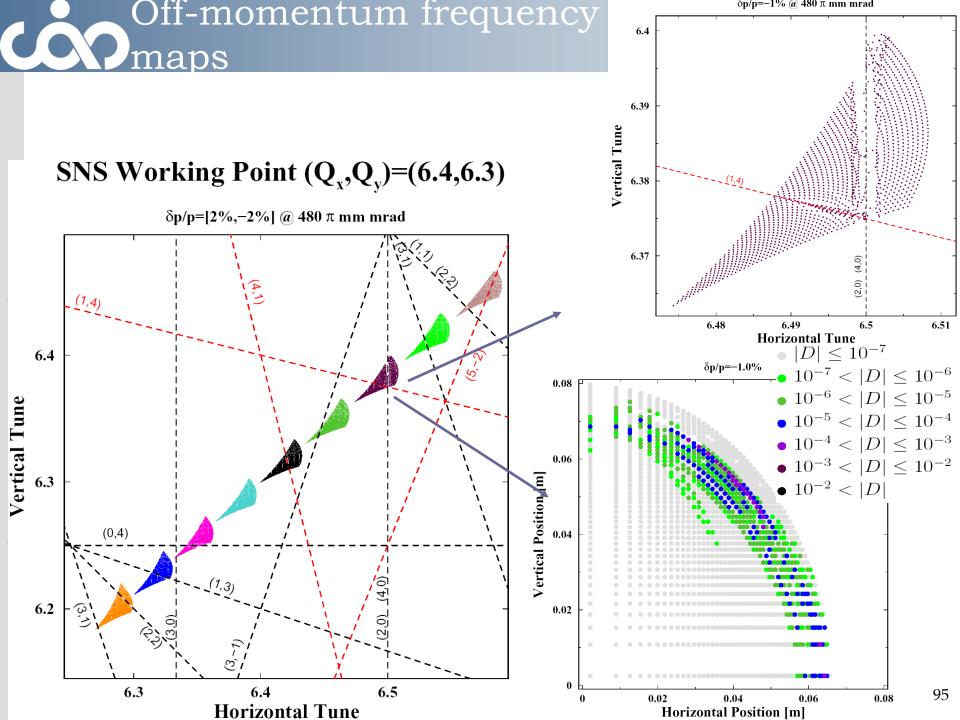
$$a_{hv} = \frac{1}{16\pi B\rho} \sum_{i} \pm Q_{i} (\beta_{xi} \alpha_{yi} - \beta_{yi} \alpha_{xi})^{5.81}$$

$$a_{vv} = \frac{1}{16\pi B\rho} \sum_{i} \pm Q_{i} \beta_{yi} \alpha_{yi}$$

$$5.80$$

Tune footprint for the SNS based on hardedge (red) and realistic (blue) quadrupole fringe-field



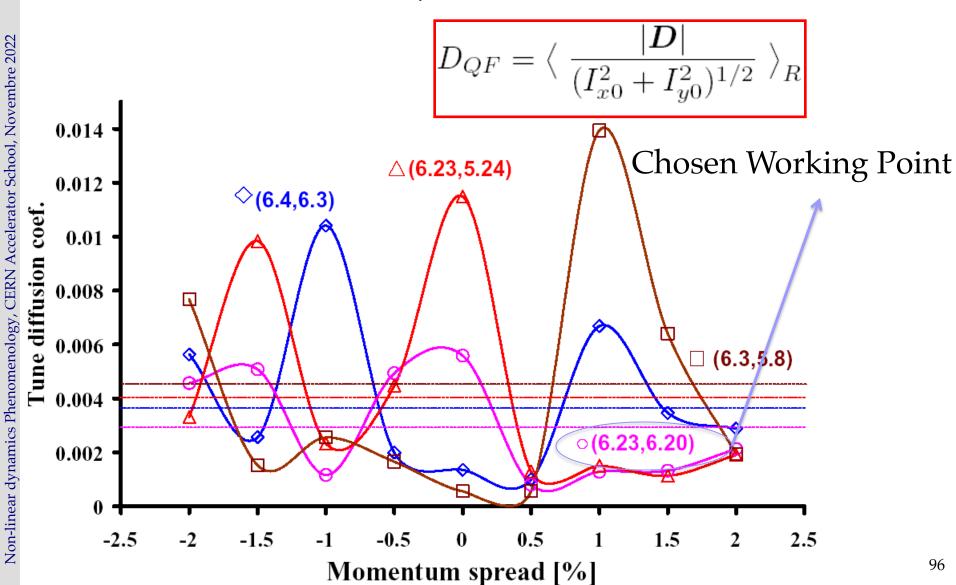




Choice of the SNS ring working poin



Tune Diffusion quality factor

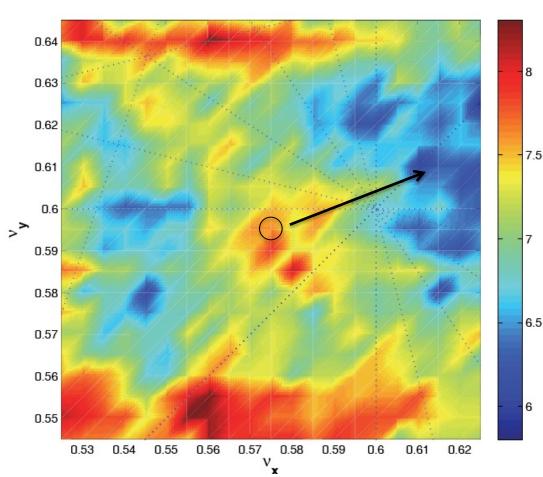


Global Working point choice



- Figure of merit for choosing best working point is sum of diffusion rates with a constant added for every lost particle
- Each point is produced after tracking 100 particles
- Nominal working point had to be moved towards "blue" area

$$e^D = \sqrt{\frac{(\nu_{x,1} - \nu_{x,2})^2 + (\nu_{y,1} - \nu_{y,2})^2}{N/2}}$$



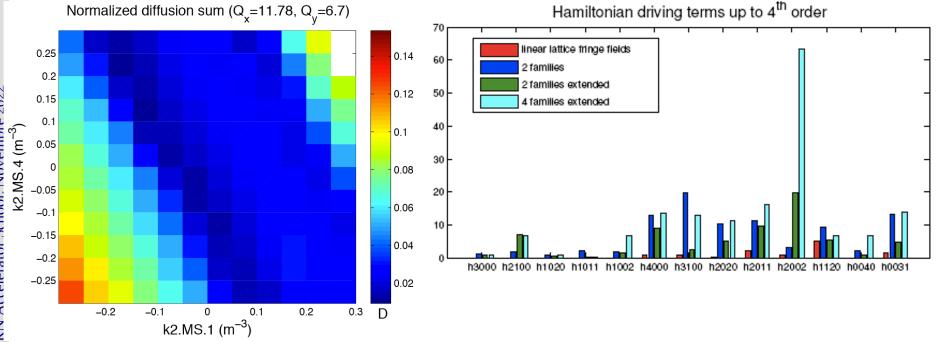
$$WPS = 0.1N_{lost} + \sum e^{D}$$



Non-linear dynamics Phenomenology,

Sextupole scheme optimization





- Comparing different chromaticity sextupole correction schemes and working point optimization using normal form analysis, frequency maps and finally particle tracking
- Finding the adequate sextupole strengths through the tune diffusion coefficient

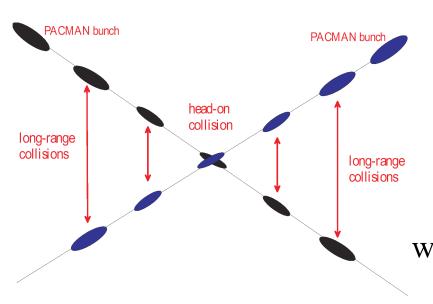


On Beam-Beam interaction



Variable	Symbol	Value
Beam energy	E	7 TeV
Particle species		protons
Full crossing angle	$ heta_c$	$300~\mu \text{rad}$
rms beam divergence	σ_x'	31.7 μ rad
rms beam size	$\sigma_{\scriptscriptstyle X}$	$15.9~\mu\mathrm{m}$
Normalized transv.		·
rms emittance	γε	$3.75~\mu\mathrm{m}$
IP beta function	$oldsymbol{eta}^*$	0.5 m
Bunch charge	N_b	$(1 \times 10^{11} - 2 \times 10^{12})$
Betatron tune	Q_0	0.31

■ Long range beam-beam interaction represented by a 4D kick-map



$$\Delta x = -n_{par} \frac{2r_p N_b}{\gamma} \left[\frac{x' + \theta_c}{\theta_t^2} \left(1 - e^{-\frac{\theta_t^2}{2\theta_{x,y}^2}} \right) - \frac{1}{\theta_c} \left(1 - e^{-\frac{\theta_c^2}{2\theta_{x,y}^2}} \right) \right]$$

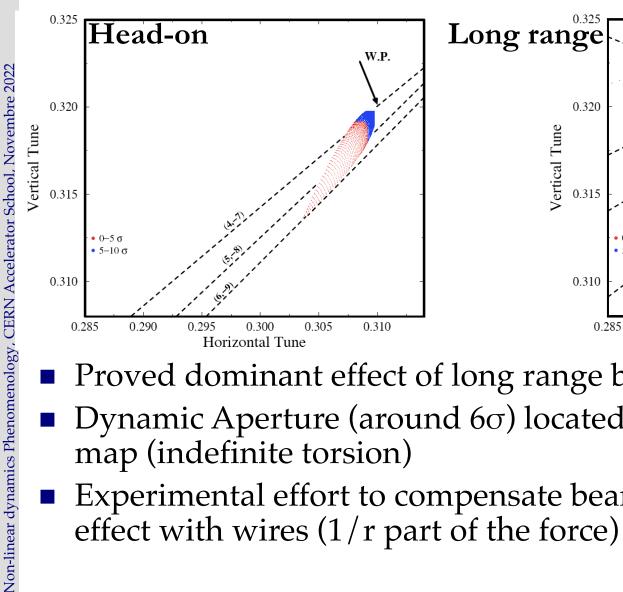
$$\Delta y = -n_{par} \frac{2r_p N_b}{\gamma} \frac{y'}{\theta_t^2} \left(1 - e^{-\frac{\theta_t^2}{2\theta_{x,y}^2}} \right)$$

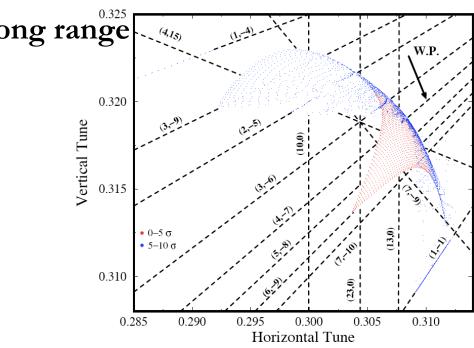
with
$$\theta_t \equiv \left((x' + \theta_c)^2 + {y'}^2 \right)^{1/2}$$



Head-on vs Long range interaction



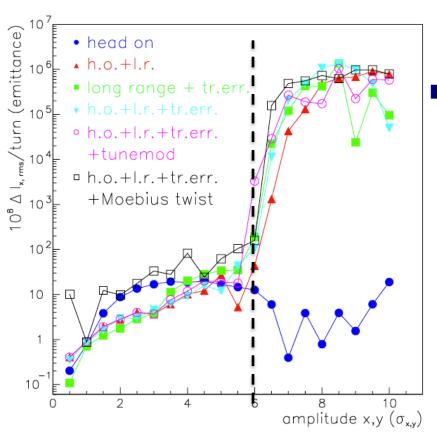




- Proved dominant effect of long range beam-beam effect
- Dynamic Aperture (around 6σ) located at the folding of the map (indefinite torsion)
- Experimental effort to compensate beam-beam long range effect with wires (1/r part of the force) or octupoles

(A) Action variance





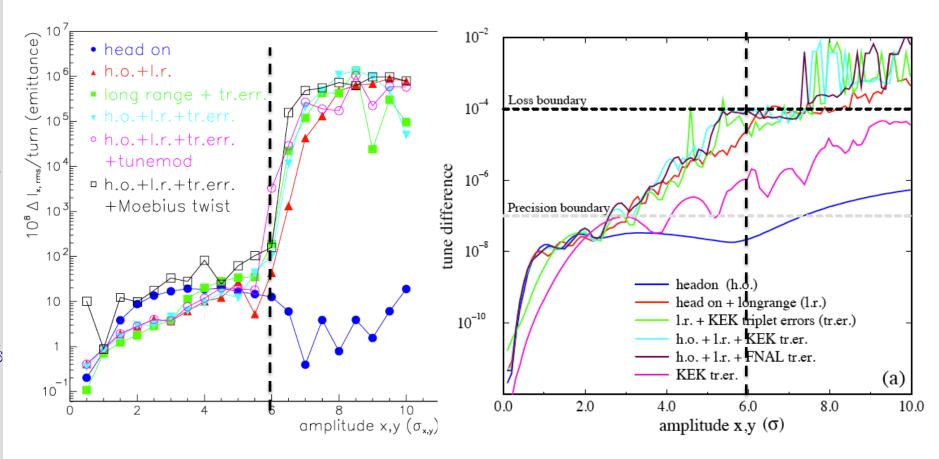
In the chaotic region of phase space, the action diffusion coefficient per turn can be estimated by averaging over the quasi-randomly varying betatron phase variable as

$$D(J) = \frac{1}{2\pi} \int_0^{2\pi} d\phi \ [\Delta J(\phi)]^2$$



Action variance vs. frequency diffusion





 Very good agreement of diffusive aperture boundary (action variance) with frequency variation (loss boundary corresponding to around 1 integer unit change in 10⁷ turns)

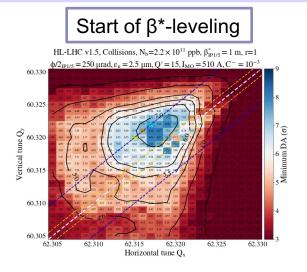
Beam-beam effects in the HL-LHC era

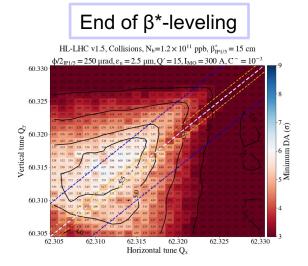


Optimizing operational scenario

Tune scans colorcoded with minimum DA for the selection of the best working point for operation.

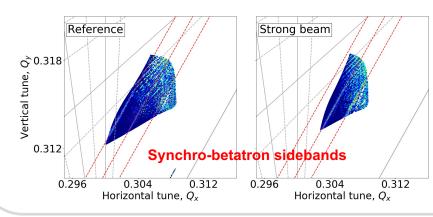
S. Kostoglou et al.

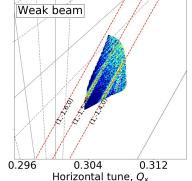


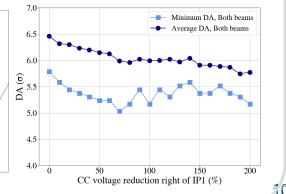


CC orbit bump non-closure

Impact of the non-closure of the CC orbit bump due to cavity voltage error: $V_{\rm err} = V_{\rm nom}(1-\varepsilon)$





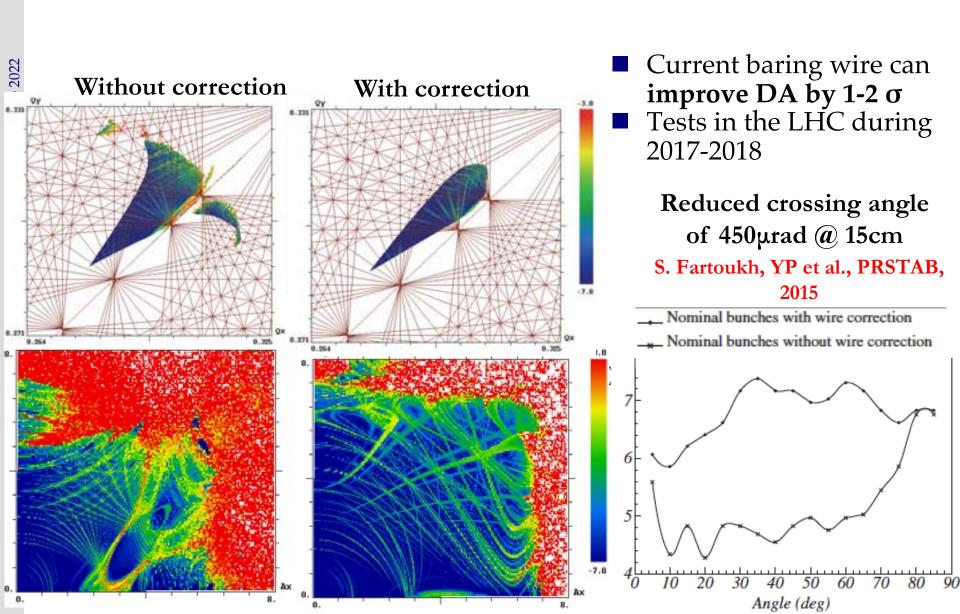


TRN Accelerator School, Novembre 2022

103

wire compensation

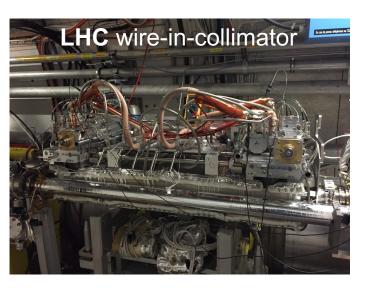


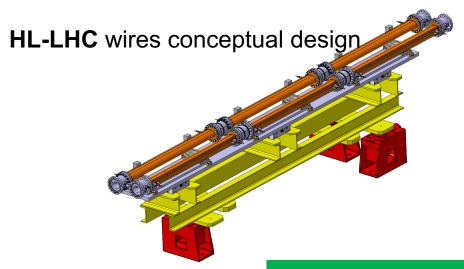


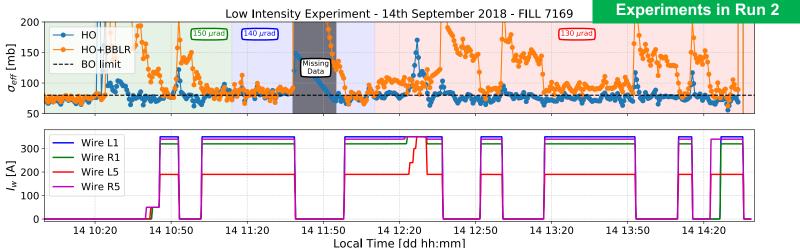
(CO)Beam-beam wire compensation



- G. Sterbini, A. Poyet, A. Rossi, A. Bertarelli, et al.
- CHALLENGE: propose solutions to tackle the Beam Dynamics limitations



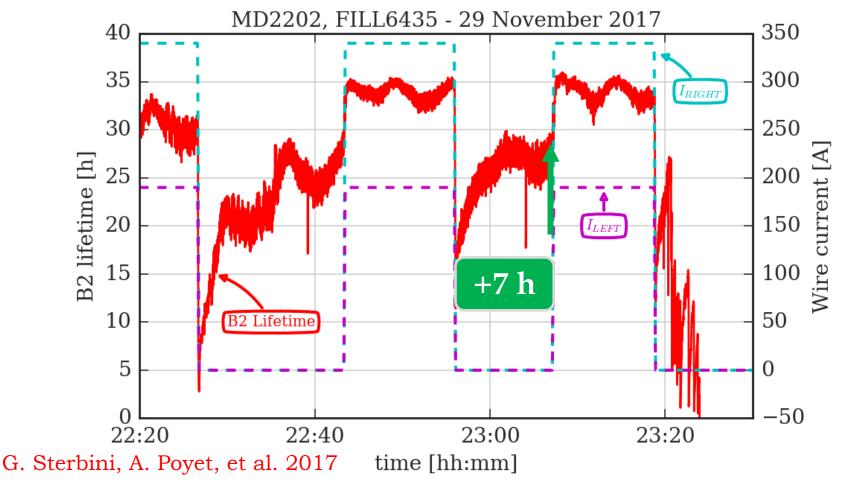






Experimental BBLR compensation

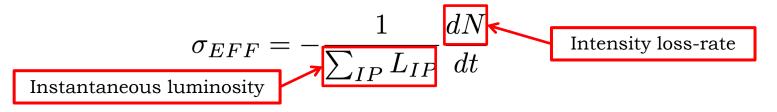




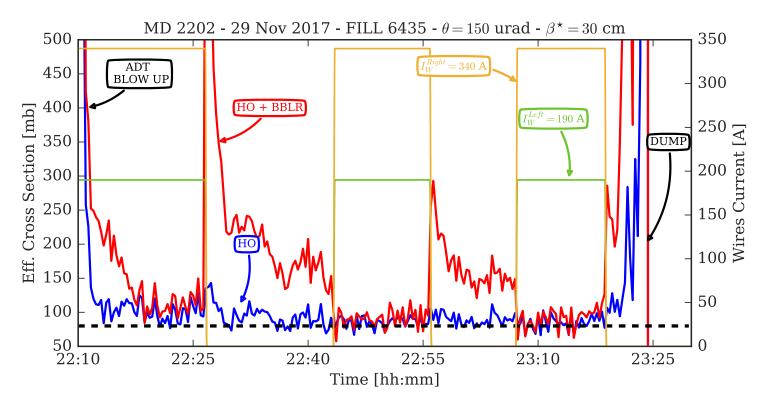
- Wire current @ 340/190 A and collimator jaw at 5.5 σ_{coll}
- Compensating effect of the wires visible on beam lifetime

(C) BBLR compensation



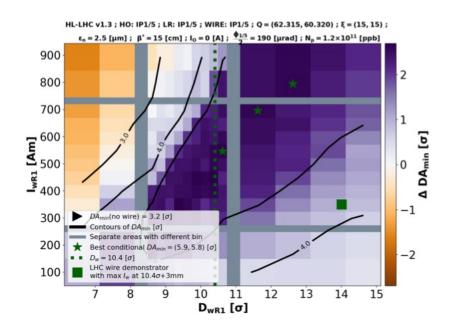


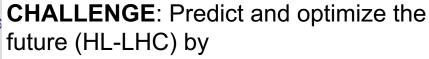
Compensating effect of the wires visible on effective x-section



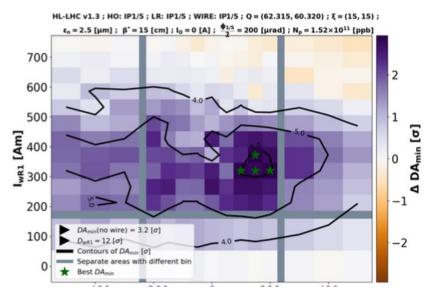
Wire compensation for HL-LHC

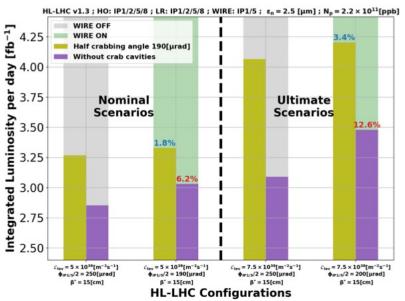






- taking into account Machine Protection constraints and
- minimizing complexity, saving commissioning time and maximize machine availability.







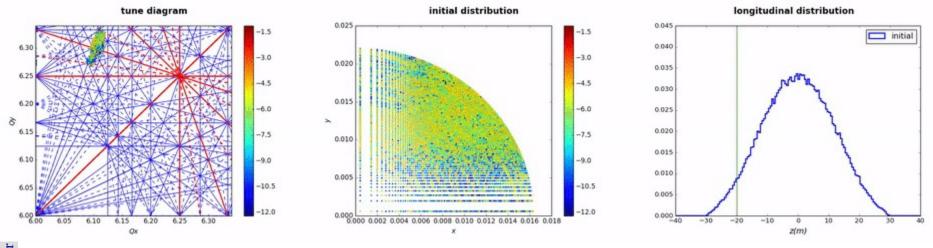


Frequency Map Analysis with modulation

<u>còn</u>

Frequency maps with space-charge





F.Asvesta, et al., 2017

- Evolution of frequency map over different longitudinal position
- Tunes acquired over each longitudinal period
- Particles with similar longitudinal offset but different amplitudes experience the resonance in different manne
- Particles with different longitudinal offset may experience different resonances



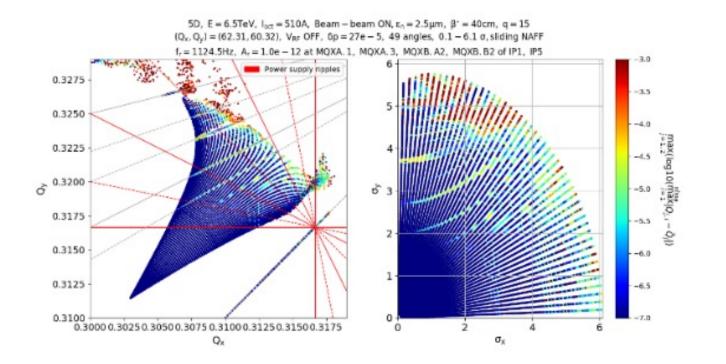
CO LHC: Power supply ripples

- Quadrupoles of the inner triplet right and left of IP1 and IP5, large beta-functions increase the sensitivity to non-linear effects
- Resonance conditions:

S. Kostoglou, et al., 2018

$$aQ_x + bQ_y + c \frac{f_{\text{modulation}}}{f_{\text{revolution}}} = k \text{ for a, b, c, k integers}$$

-By increasing the modulation depth, sidebands start to appear in the FMAs



CON LHO

LHC: Power supply ripples

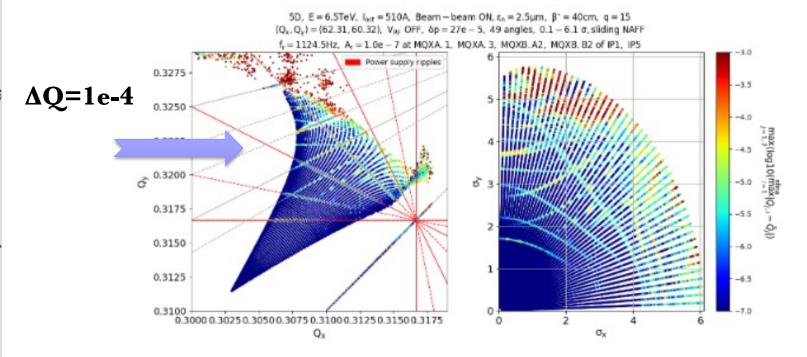


- Quadrupoles of the **inner triplet** right and left **of IP1 and IP5**, **large beta-functions** increase the sensitivity to non-linear effects
- Resonance conditions:

S. Kostoglou, et al., 2018

$$aQ_x + bQ_y + c \frac{f_{\text{modulation}}}{f_{\text{revolution}}} = k \text{ for a, b, c, k integers}$$

-By increasing the modulation depth, sidebands start to appear in the FMAs

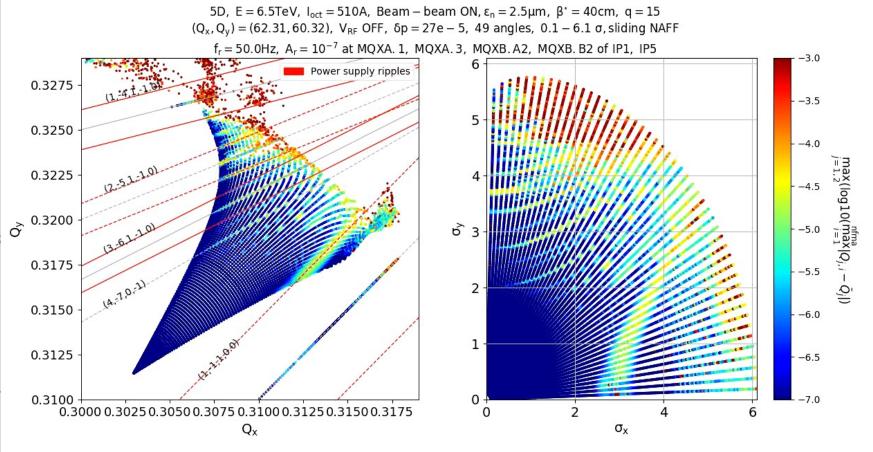




LHC: Power supply ripples



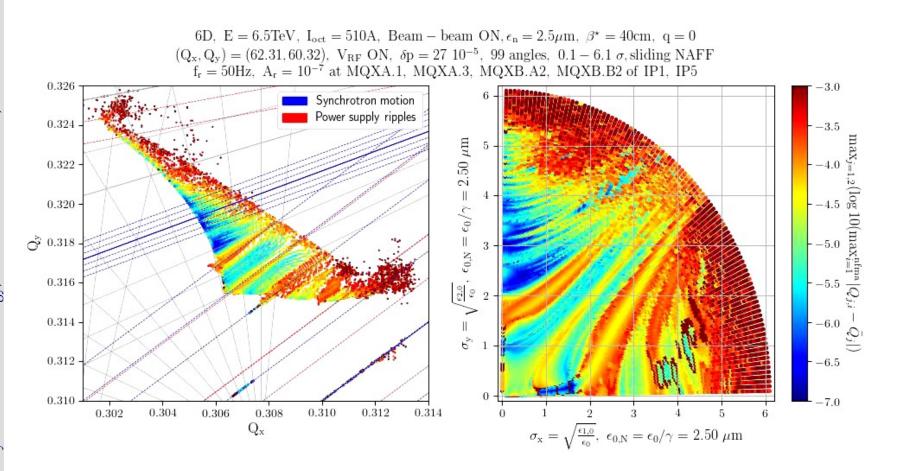
□ Scan of different ripple frequencies (50-900 Hz)





6D FMAs with power supply ripples





CON LHC & HL-LHC noise studies



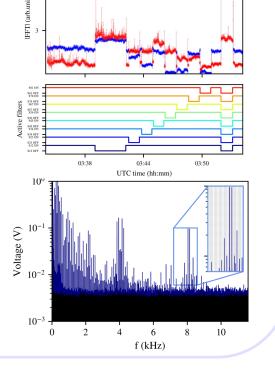
CHALLENGE: chasing the source of the 10^{-3} σ beam oscillations and its impact on beam lifetime.

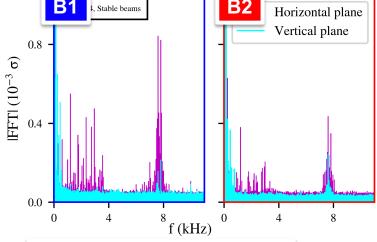
- 50 Hz harmonics on transverse beam spectrum.
- Harmonics of Beam 1 x2 larger amplitudes than Beam 2.

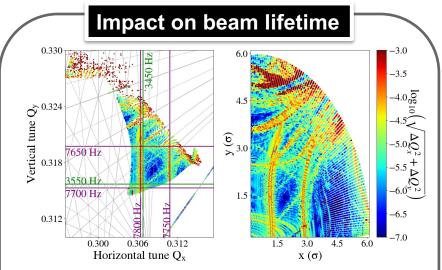


Power converters of main dipoles: tests with active filters

UPS voltage measurements, additional studies in Run 3







Single-particle tracking simulations:

22h beam lifetime for Beam 1

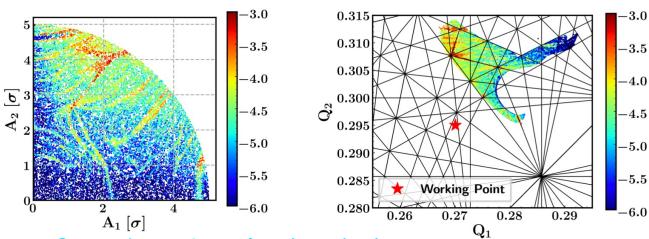
and 27h for Beam 2

S. Kostoglou, G. Sterbini, et al.

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Incoherent e-cloud effects at (HL-)LHC

- Analysis of experimental data from the LHC shows a slow beam degradation due to e-cloud both at injection and in collisions
- A development effort was launched to acquire the ability of simulating the effect of
 e-cloud forces within a symplectic tracking code over the required long timescales
 (10M turns). The development included:
 - Theoretical framework
 - Tricubic interpolator in sixtracklib tracking code to apply forces from a recorded pinch in a symplectic way
 - Software infrastructure to simulate and condition the electron pinches and setup the simulation from the MAD-X description of the machine
- Presently capable of simulating 10 M turns (15 minutes of beam time) by exploiting the computational power of GPUs



K. Paraschou, G. Iadarola, et al.

Summary



- Appearance of fixed points (periodic orbits) determine topology of the phase space
- Perturbation of unstable (hyperbolic points) opens the path to chaotic motion
- Resonance can overlap enabling the rapid diffusion of orbits
- **Dynamic aperture** by brute force tracking (with symplectic numerical integrators) is the usual quality criterion for evaluating non-linear dynamics performance of a machine
- **Frequency Map Analysis** is a numerical tool that enables to study in a global way the dynamics, by identifying the excited **resonances** and the extent of **chaotic** regions
- It can be directly applied to **tracking** and **experimental** data
- A combination of these modern methods enable a thorough analysis of non-linear dynamics and lead to a robust design

Acknowledgments



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