

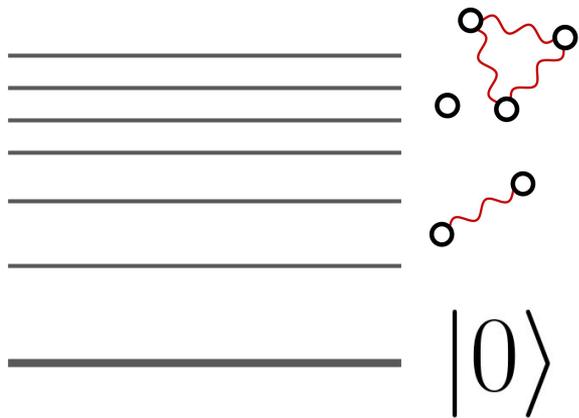
# Thermalization and Chaos in 1+1d QFTs

Luca Delacrétaz | U Chicago

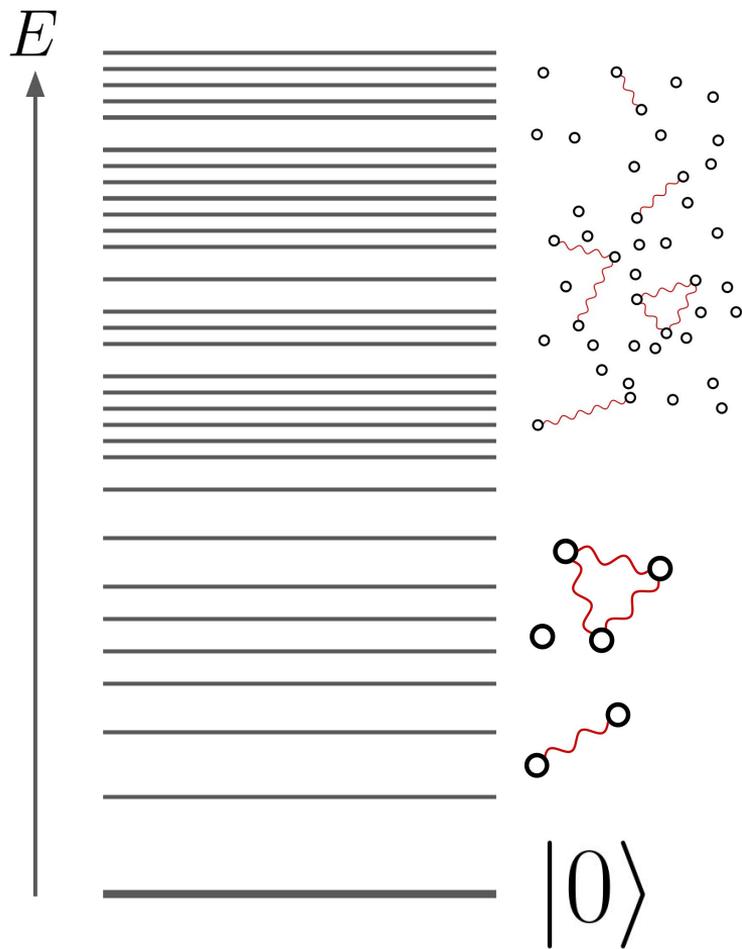
Analytic: 2105.02229  
Numeric: 2206.09xxx  
*and more...*



With:  
**Ami Katz, Liam Fitzpatrick, Matt Walters**

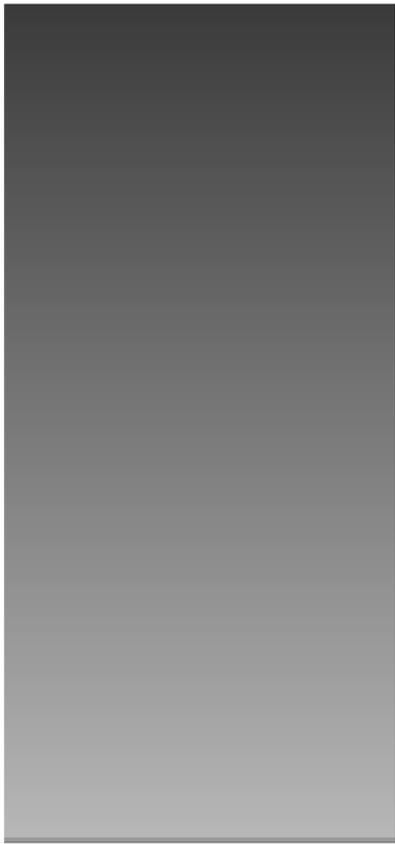
$E$ 

The vacuum and low lying excitations have played a central role in QFT in the 20th century.



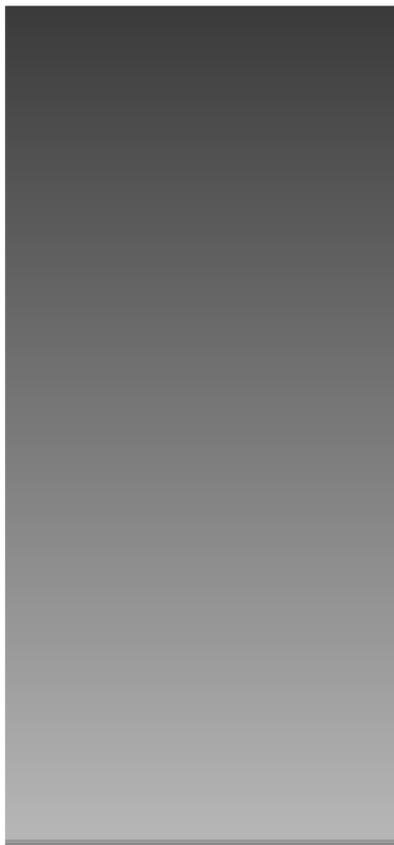
- ★ The vacuum and low lying excitations have played a central role in QFT in the 20th century.
- ★ But QFTs have rich and healthy spectra far beyond their vacuum
- ★ Despite its complexity, the spectrum of excited states has tractable universal features

$E$



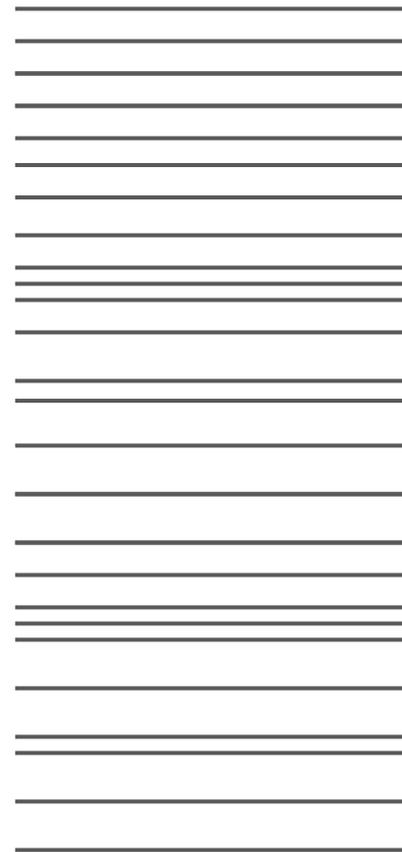
Density of states  $e^{S(E)}$

$E$

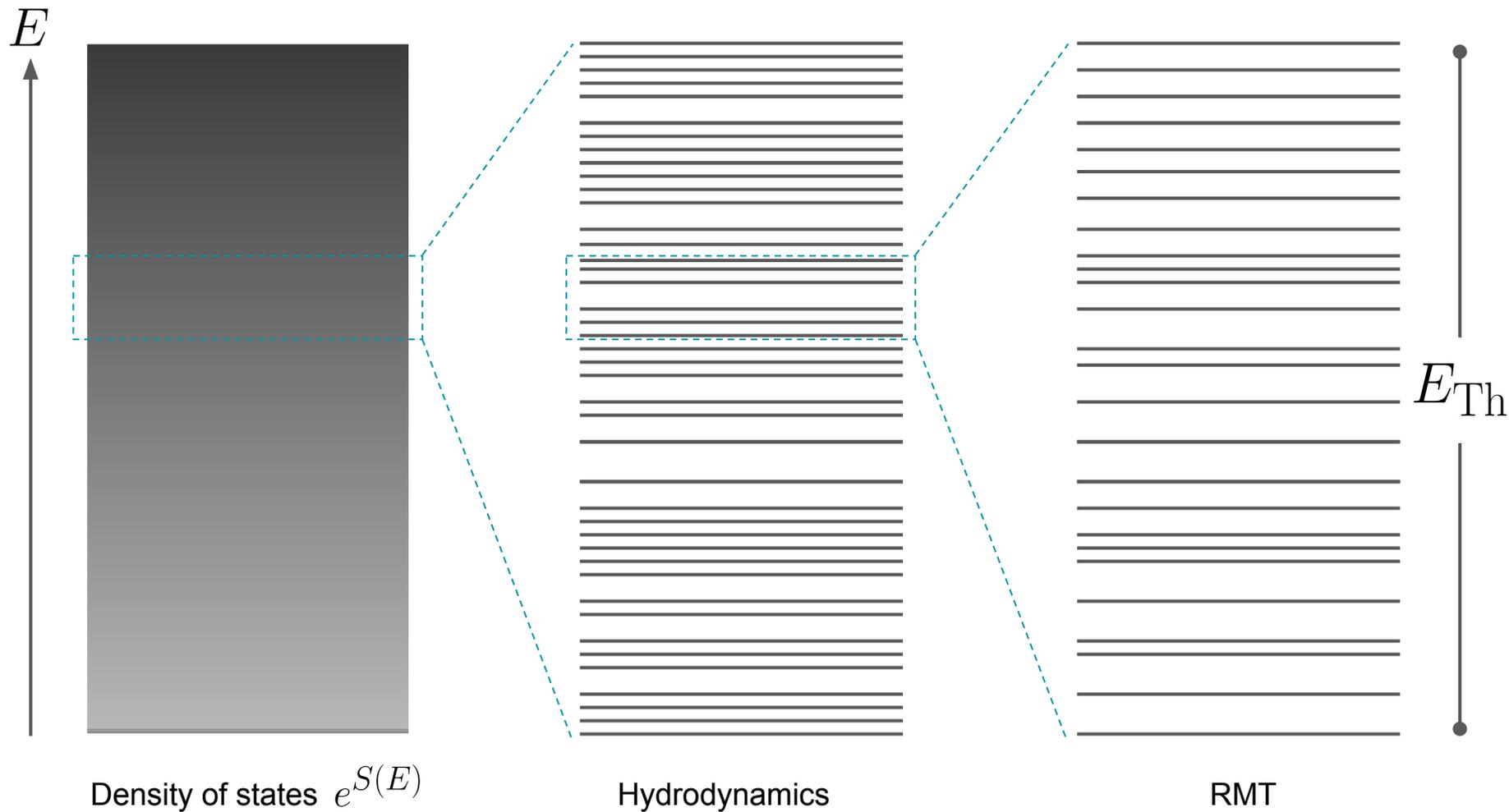


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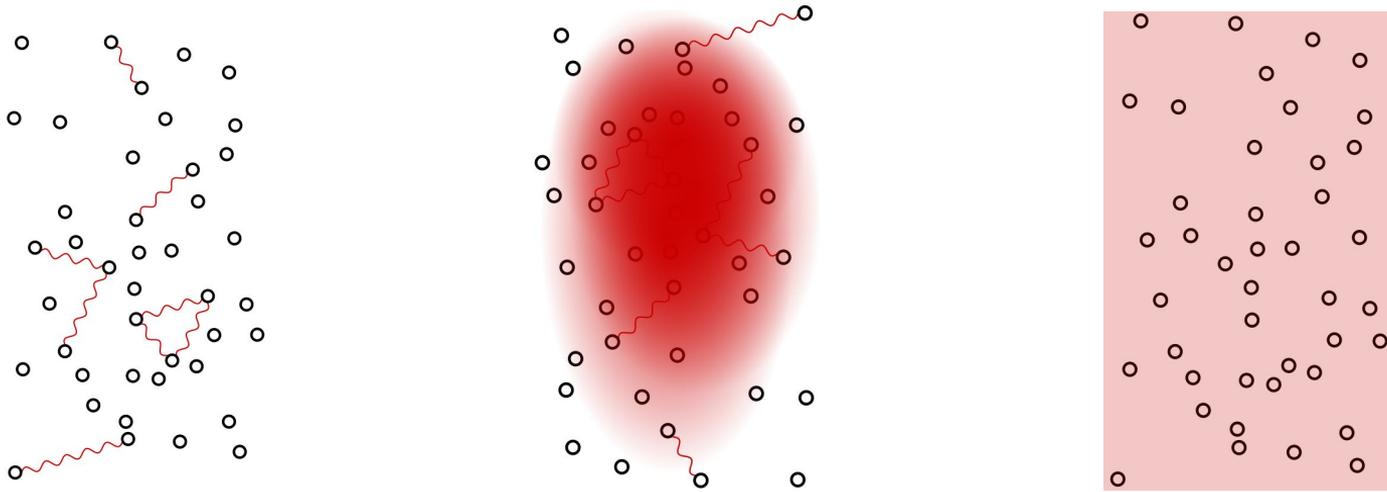
Wigner, Dyson, Mehta  
Bohigas Giannoni Schmit '84  
Berry Tabor '87  
...  
Santos Rigol '10  
...



RMT



# Timeline of an excited state in an interacting system

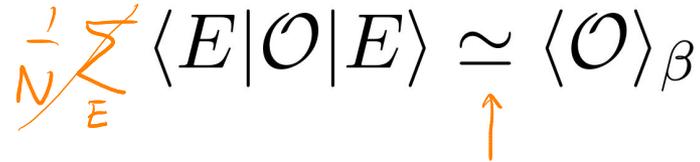


# Eigenstate thermalization hypothesis

Eigenstates should also thermalize, but they are stationary  $\Rightarrow$  eigenstates are thermal

Deutsch '91 Srednicki '94 Rigol Dunjko Olshanii '08

In particular, they should individually reproduce thermal expectation values of local operators

$$\frac{1}{N} \sum_E \langle E | \mathcal{O} | E \rangle \simeq \langle \mathcal{O} \rangle_\beta$$


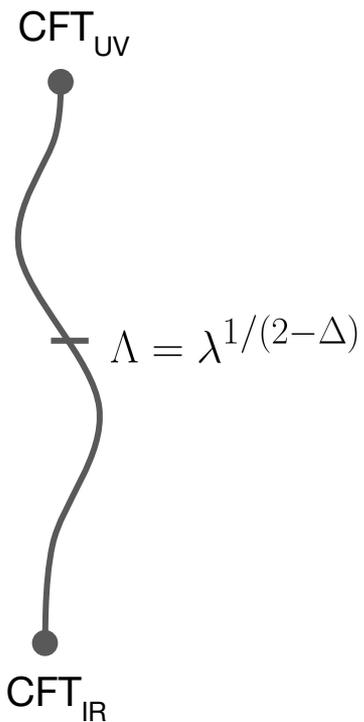
# Thermalization and chaos beyond weak coupling

- ★ At weak coupling, kinetic theory captures local thermalization. Chaos can also be understood in the semiclassical limit by quantizing periodic orbits [Gutzwiller '87] .
- ★ Away from weak coupling, how universal is this? Can we actually observe it? (MBL, scars?)
- ★ Are there universal constraints on these processes and their time scales?

# Thermalization and chaos beyond weak coupling

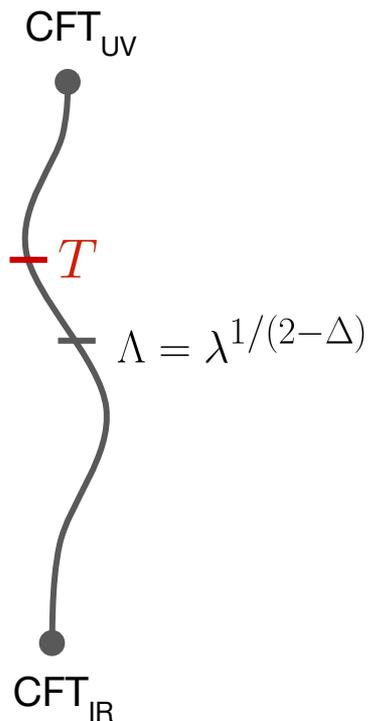
- ★ At weak coupling, kinetic theory captures local thermalization. Chaos can also be understood in the semiclassical limit by quantizing periodic orbits [Gutzwiller '87] .
- ★ Away from weak coupling, how universal is this? Can we actually observe it? (MBL, scars?)
  - Numerically study using Hamiltonian truncation  
upcoming, LVD Fitzpatrick Katz Walters
- ★ Are there universal constraints on these processes and their time scales?
  - Nonperturbative analytic bounds from causality  
2105.02229 LVD Fitzpatrick Katz Walters

# QFTs from CFTs



$$S_{\text{QFT}} = S_{\text{CFT}} + \lambda \int d^2x \mathcal{O}$$

# QFTs from CFTs



$$S_{\text{QFT}} = S_{\text{CFT}} + \lambda \int d^2x \mathcal{O}$$

We will probe the entire RG flow by studying the theory at finite temperature

The equilibrium thermodynamics of a relativistic QFT is fixed by the equation of state  $P(T)$

$$\langle T_{\mu\nu} \rangle_{\beta} = (\varepsilon + P) \delta_{\mu}^0 \delta_{\nu}^0 + P \eta_{\mu\nu} ,$$

$$\varepsilon + P = sT$$

$$dP = s dT$$

It is convenient to work with the dimensionless entropy  $s_o(T) \equiv \frac{s(T)}{T} \sim T^d$

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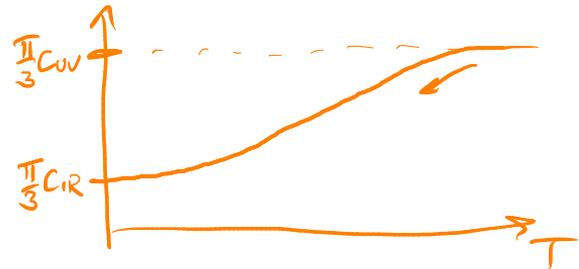
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It is convenient to work with the dimensionless entropy  $s_o(T) \equiv \frac{s(T)}{T}$

Subluminality of sound implies  $s_o(T)$  is a c-function  $s_o = \frac{\pi}{3} c$

$$s_o'(T) \geq 0$$

$$1 \leq \frac{1}{c_s^2} = \frac{d\varepsilon}{dP} = \frac{T ds}{s dT} = 1 + \frac{T ds_o}{s_o dT}$$



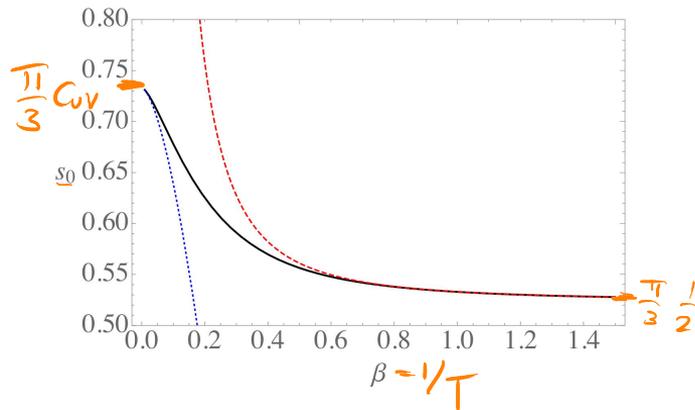
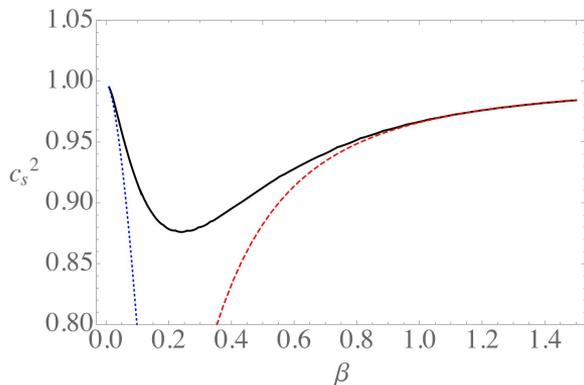
# Integrable flows

Example:

Zamolodchikov '91

Tricritical Ising  $\rightarrow$  Ising  
 $(c_{UV} = \frac{7}{10}) \quad (c_{IR} = \frac{1}{2})$

Triggered by relevant deformation  $\mathcal{O} = \epsilon'$  ( $\Delta = \frac{6}{5}$ )

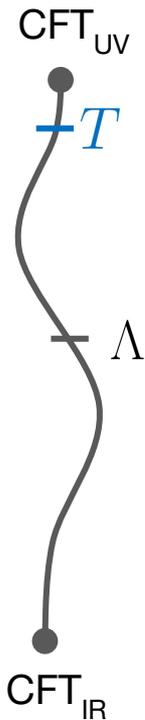


# Non-integrable flows

$$S_{\text{QFT}} = S_{\text{CFT}} + \lambda \int d^2x \mathcal{O}$$

At high temperatures  $T \gg \Lambda$ ,  
conformal perturbation theory captures corrections

$$s_o(T) = \frac{\pi}{3} c_{\text{UV}} \left[ 1 - \alpha_{\Delta} \left( \frac{\lambda}{T^{2-\Delta}} \right)^2 + \dots \right] \quad \alpha_{\Delta} = \frac{\Gamma(2-\Delta)\Gamma(\frac{\Delta}{2})^2}{\Gamma(\Delta)\Gamma(1-\frac{\Delta}{2})^2} \geq 0$$



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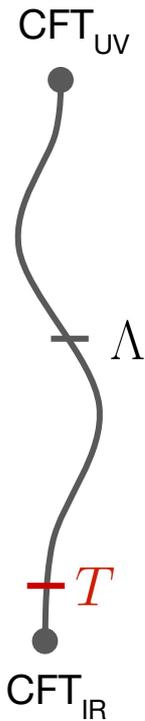
At low temperatures  $T \ll \Lambda$ ,

$$\text{QFT} = \text{CFT}_{\text{IR}} + \sum_i \lambda_i \int \mathcal{O}_i + \lambda_{T\bar{T}} \int T\bar{T} + \dots$$

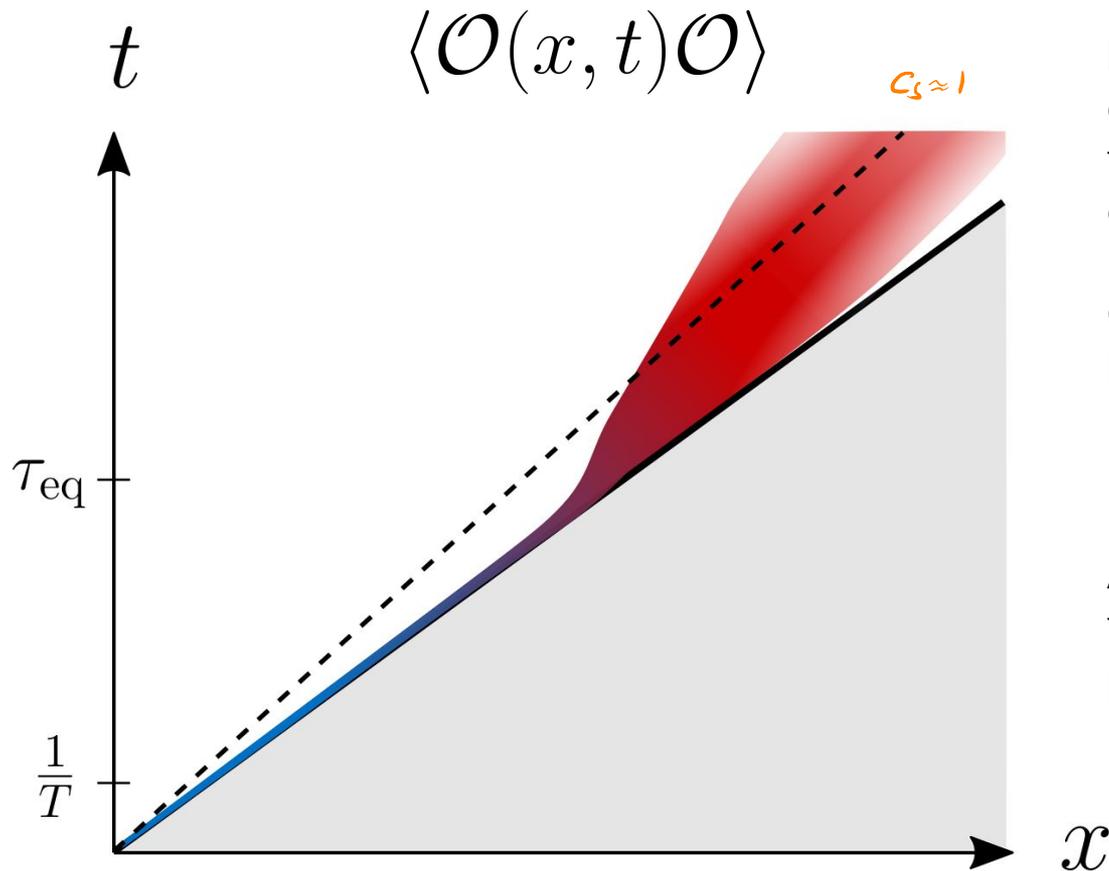
$$s_o(T) = \frac{\pi}{3} c_{\text{IR}} [1 + \lambda_{T\bar{T}} c_{\text{IR}} T^2 + \dots]$$

causality  $\Leftrightarrow \lambda_{T\bar{T}} > 0$  (\*)

Handwritten notes:  
 $\rightarrow \frac{\partial \phi^2 - m^2 \phi^2 - \lambda \phi^4}{\lambda^{1/3}}$   
 $\int \bar{T}$   
Adds et al ... '03  
 (\*) if no operator with  $2 \leq \Delta < 3$



# Causality constraints on thermalization



In 1+1d QFTs, hydrodynamics cannot emerge too soon, or the sound mode would spread outside the lightcone.

Can prove conjectured “Planckian bound”

$$\tau_{\text{eq}} \gtrsim \frac{\hbar}{T}$$

Sachdev '99  
Zaanen '04

At high and low temperatures, thermalization must be parametrically slower

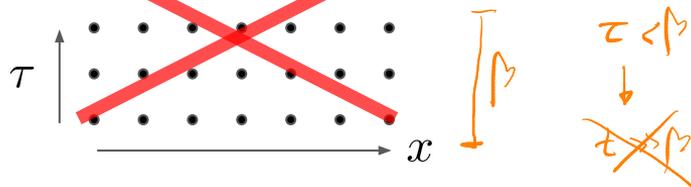
$$\tau_{\text{eq}} \gtrsim \frac{1}{T} \frac{1}{c_{\text{UV}}} \left( \frac{T}{\Lambda} \right)^{2(2-\Delta)}$$

## II. Numerics

# Observing thermalization and chaos of QFTs numerically

- Need to access real time dynamics, at late times  $t \gg \beta$

→ Monte-Carlo on a Euclidean lattice



- High energy “thermal” states are highly entangled

→ Matrix-product states



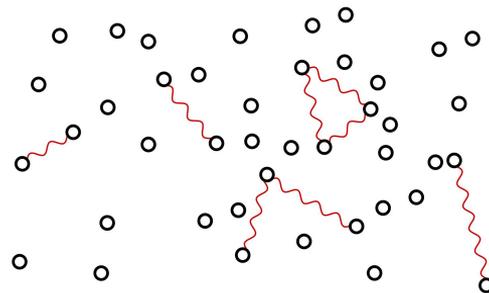
- Basically need to solve theory (exact diagonalization) <sup>(\*)</sup>

# Observing thermalization and chaos of QFTs numerically

The lattice



The continuum



# Observing thermalization and chaos of QFTs numerically

## The lattice



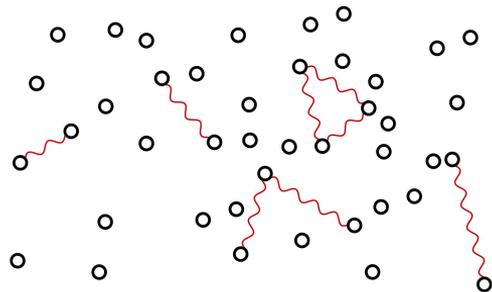
Lack of translation invariance

Even if irrelevant, Umklapp processes dissipate momentum at finite temperature

Need hierarchy  $t_{\text{eq}} \ll t_{\text{Umklapp}}$

(nevertheless, thermalization of lattice interesting in its own right! e.g. Huse Oganessian Mukerjee '06 )

## The continuum



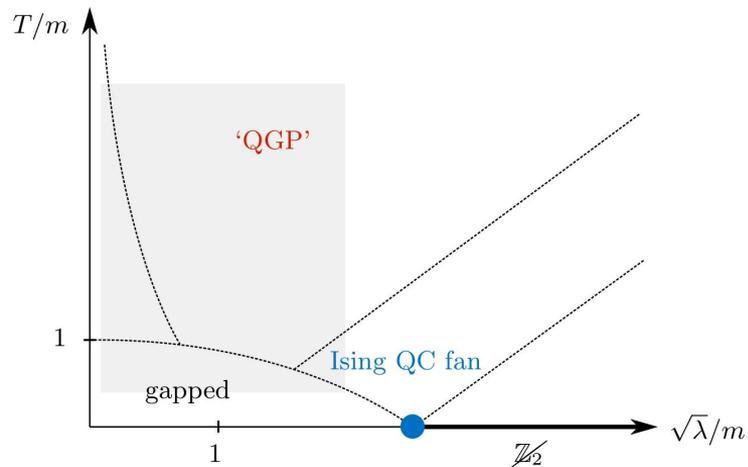
Need to truncate the Hilbert space

## Case study:

$$S = \int d^2x (\partial\phi)^2 - m^2\phi^2 - \lambda\phi^4$$

In the regime

$$T \gtrsim m, \sqrt{\lambda} \gg 1/L$$



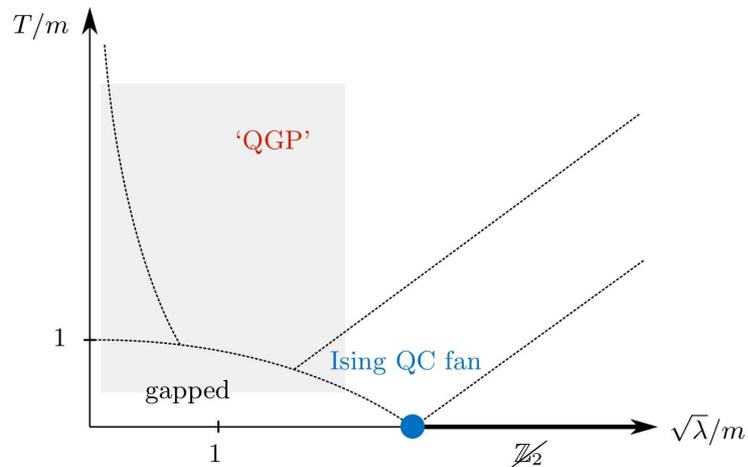
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- Finite coupling has small effect on equilibrium thermodynamics...  $\lambda^{1/3}$
- ...but major effect on out-of-equilibrium physics!



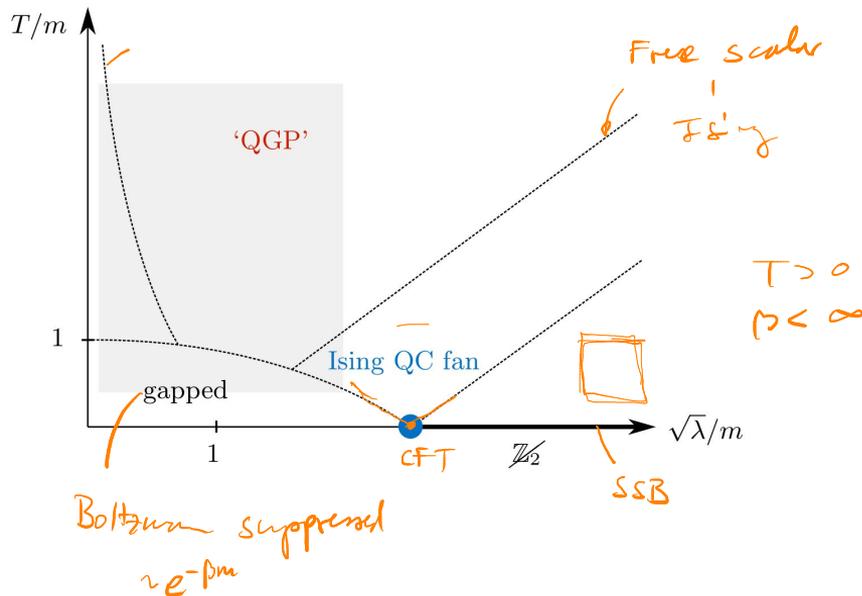
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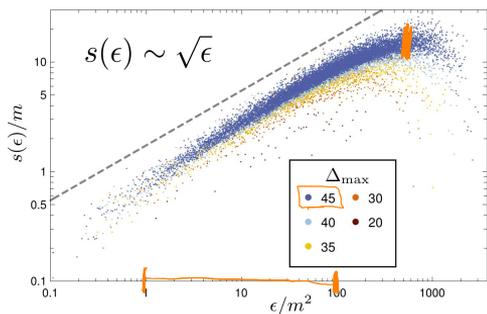
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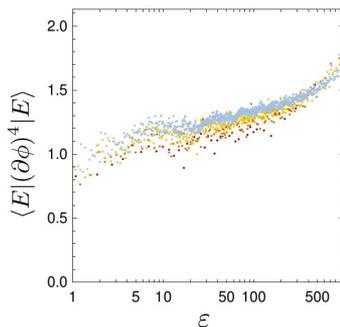


Cardy-like density of states

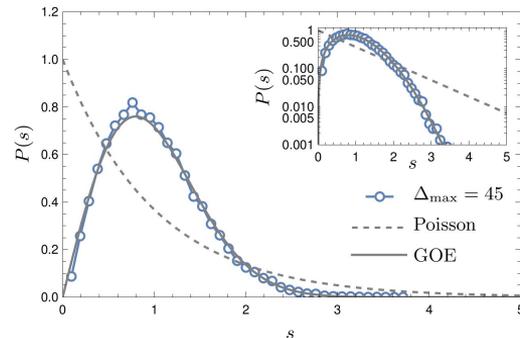
$$E = LT^2$$



Eigenstate thermalization



RMT universality for  $\lambda > 0$



# Hamiltonian truncation methods for QFT

TCSA(-inspired)

Yurov Zamolodchikov ... Hogervorst Rychkov van Rees Vitale Elias-Miro Tsvetik Bajnok Lajer Cohen Tilloy Farnsworth Houtz Luty ...

Including out-of-equilibrium studies: Konik Brandino Mussardo Kukuljan Sotiriadis Takács Rakovszky Mestyán Collura Kormos Srdinšek Prosen Robinson James

Today: Lightcone Conformal Truncation [see Liam Fitzpatrick's bootcamp lectures]

Katz Tavares Xu Anand Fitzpatrick Khandker Walters Xin Henning Chen Karateev

(other methods include: discrete lightcone quantization)

# Volume of states

Lightcone quantization evades the orthogonality catastrophe  $\rightarrow$  infinite volume  
(which also allows for exact boost symmetry)

But there is still an IR cutoff: excited states have a finite volume



'small' operator:  $\cdots \otimes \mathbb{1} \otimes \sigma_x \otimes \sigma_z \otimes \mathbb{1} \otimes \mathbb{1} \otimes \cdots$

'big' operator:  $\cdots \otimes \sigma_x \otimes \sigma_x \otimes \sigma_z \otimes \sigma_x \otimes \sigma_y \otimes \cdots$

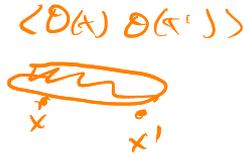
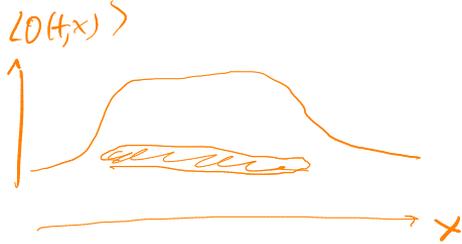
$$\sim |\phi \partial \partial \phi \phi \partial \partial \partial \phi \cdots \partial \phi \rangle$$

Truncation keeps states with  $\leq \Delta_{\max}$  fields and derivatives  $\Rightarrow$  expect  $L \sim \Delta_{\max}/E$

(this lattice analogy is actually used, Krylov basis, e.g. [Parker Cao Scaffidi Altman '18](#))

# Volume of states

To measure the volume of an eigenstate, we cannot use  $\langle E, P | \mathcal{O}(t, x) | E, P \rangle$

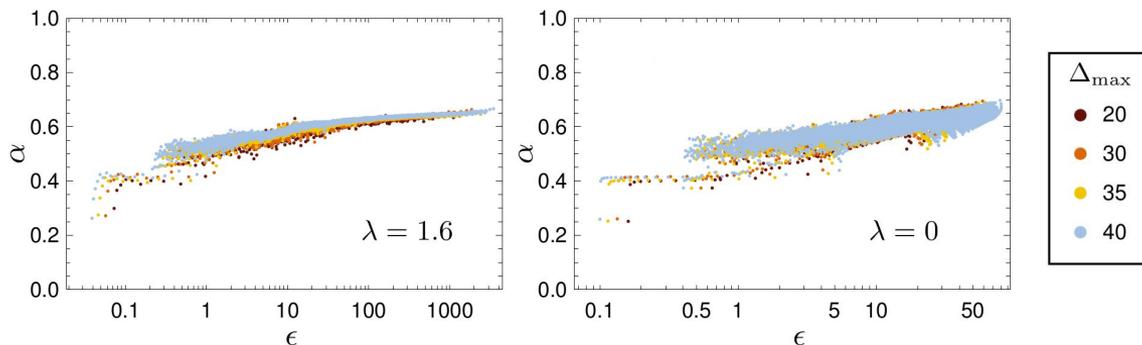


# Volume of states

To measure the volume of an eigenstate, we cannot use  $\langle E, P | \mathcal{O}(t, x) | E, P \rangle$

Instead, measure matrix element between boosted state  $\langle E, P | \mathcal{O}(t, x) | E, P' \rangle$

$$\langle E, q | T_{00} | E, 0 \rangle = E^2 \left( 1 - \underbrace{\left( \alpha \frac{\Delta_{\max}}{E} \right)^2}_{\text{orange bracket}} q^2 + \dots \right)$$



# Volume of states

In the thermodynamic limit, the expectation values of local operators are intrinsic

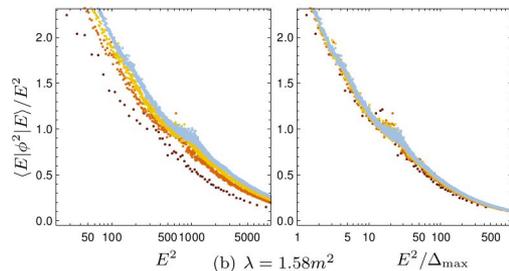
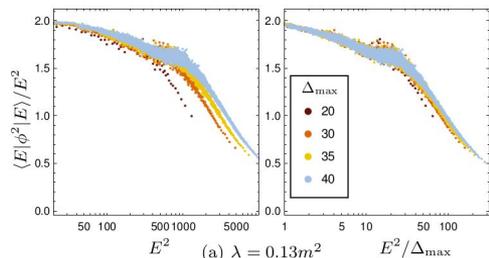
$$\langle \mathcal{O} \rangle_\beta = f_{\mathcal{O}}(\beta) = f_{\mathcal{O}}(\varepsilon) \quad \varepsilon = \frac{E}{L} = \frac{E^2}{\Delta^2}$$

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$$\mathcal{O} = \phi^2$$

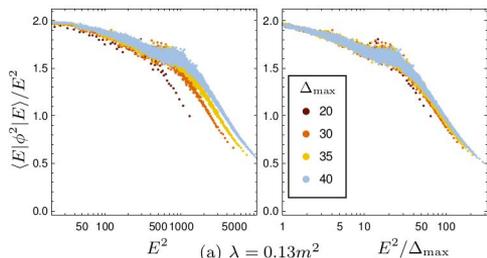


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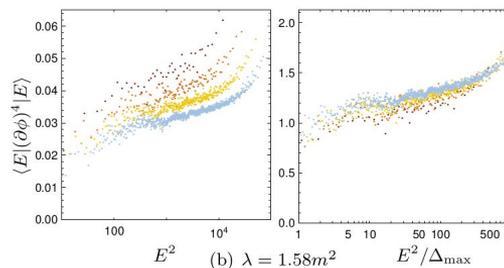
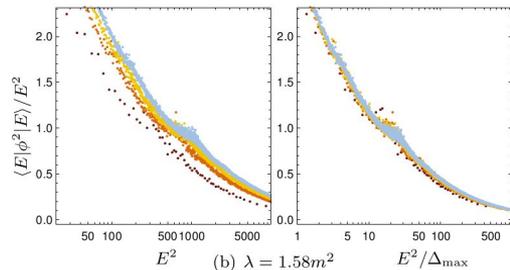
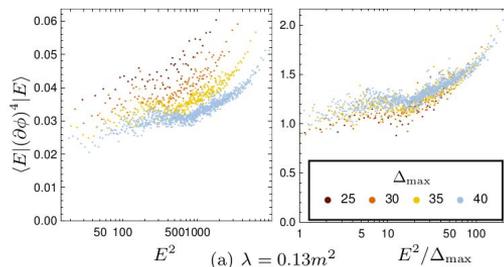
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$$\mathcal{O} = (\partial\phi)^4$$



# Eigenstate thermalization

$$S = \int d^2x (\partial\phi)^2 - m^2\phi^2 - \lambda\phi^4$$

At high temperatures  $T \gg m, \sqrt{\lambda} \gg 1/L$ , thermal expectation values are approximately that of the UV free scalar, e.g.:

$$\langle (\partial\phi)^4 \rangle_\beta = 3\varepsilon^2 \quad T^4$$

$$\langle (\partial^2\phi)^2 \rangle_\beta = \frac{24\pi}{5}\varepsilon^2$$

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Notice that  $(\partial\phi)^4 - \frac{5}{8\pi}(\partial^2\phi)^2$  has a vanishing thermal expectation value.

It had to – it is a Virasoro primary

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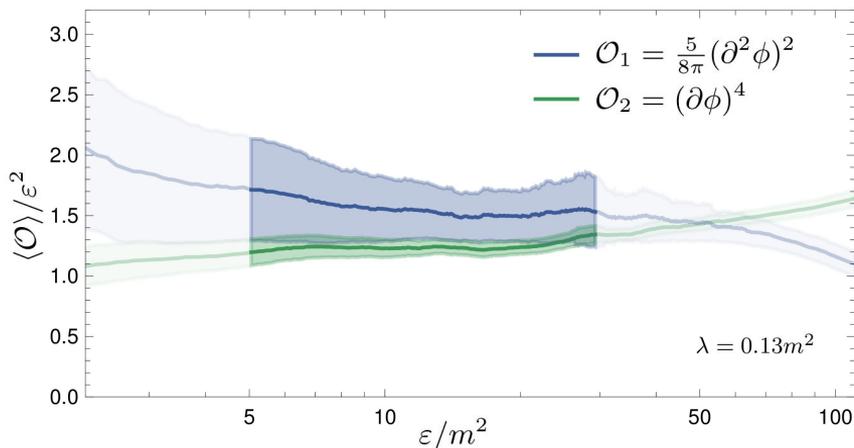
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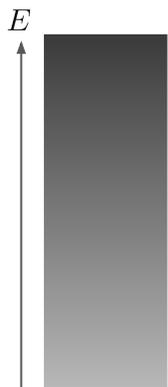
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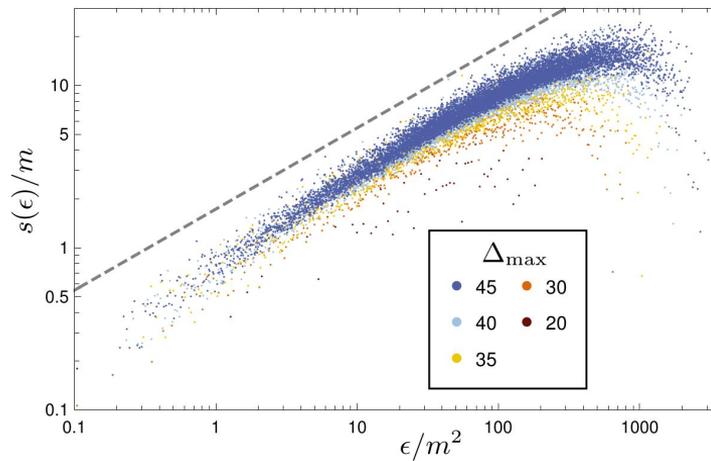


# Thermodynamics from the spectrum

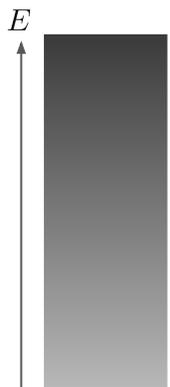


Density of states  $e^{S(E)}$  from  
average eigenvalue spacing

Cardy-like growth  $s(\epsilon) \sim \sqrt{\epsilon}$

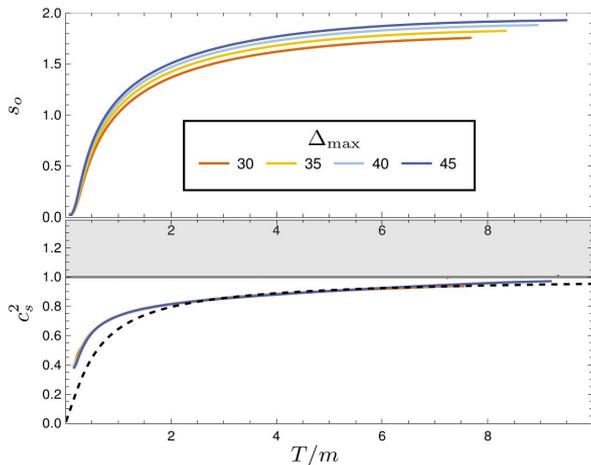
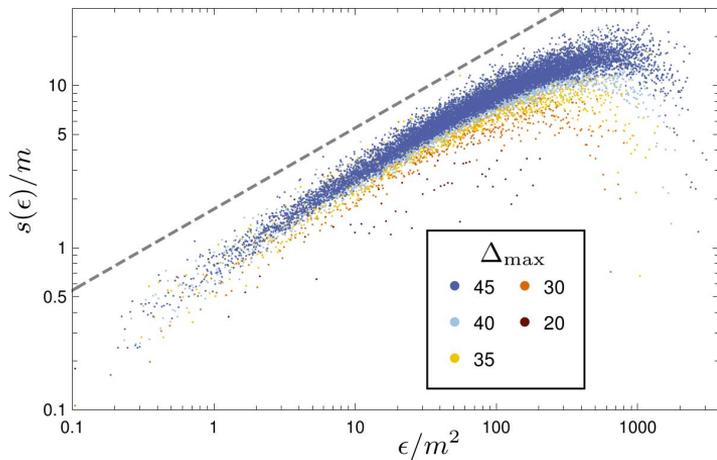


# Thermodynamics from the spectrum



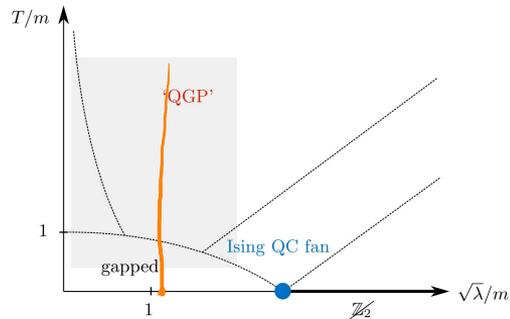
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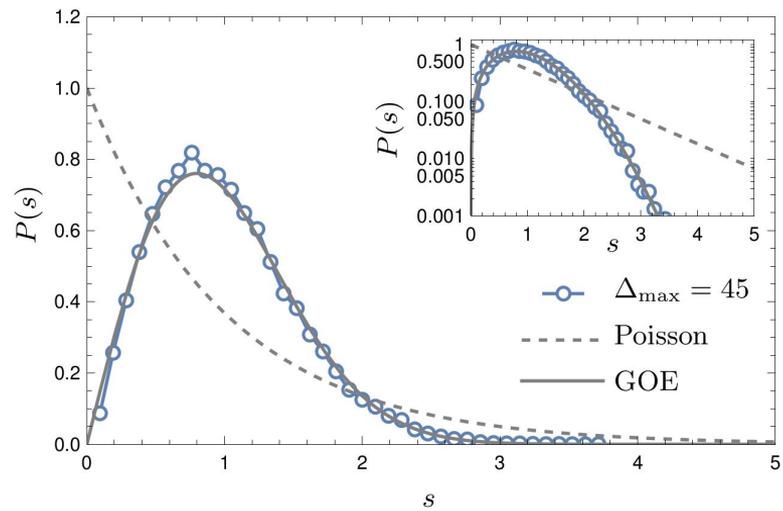
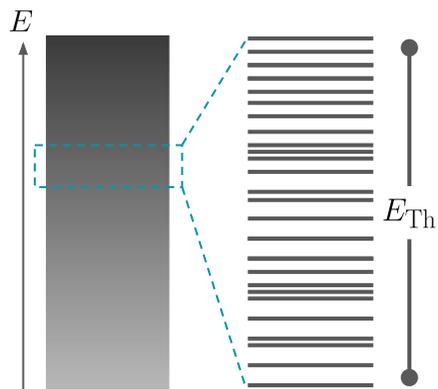


A more refined thermodynamic test is the deviation from Cardy  
Consider:

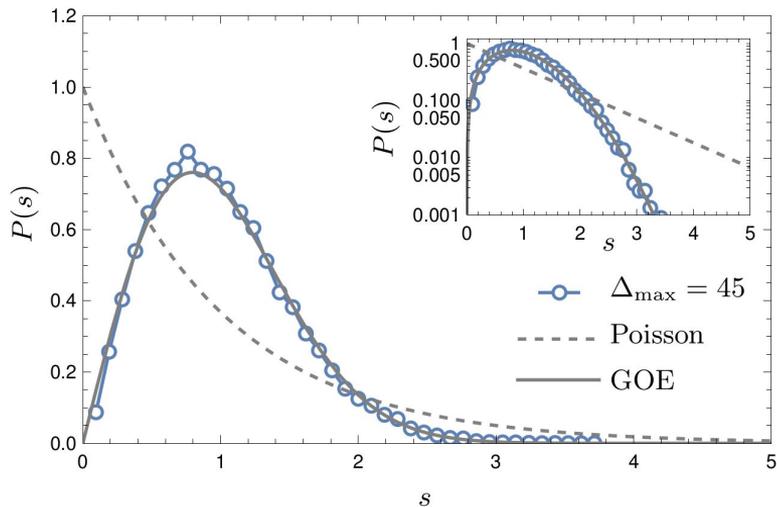
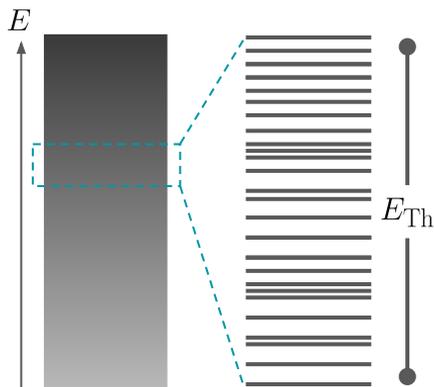
$$s_o(T) \equiv \frac{s(T)}{T} \quad \text{or} \quad c_s^2 = \frac{dP}{dE}$$



# Eigenvalue statistics



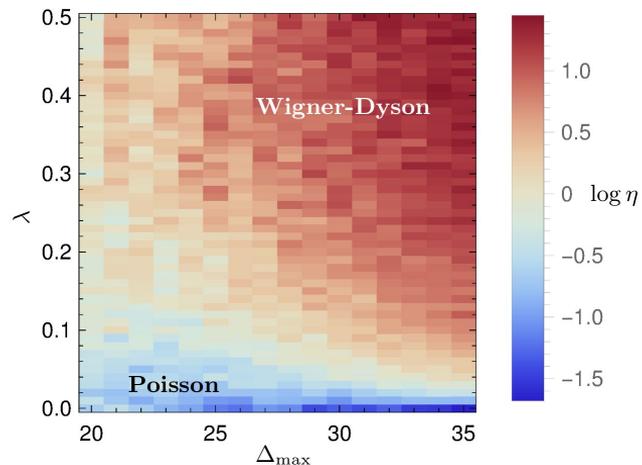
# Eigenvalue statistics



Find RMT universality away from the edges of the spectrum, at any  $\lambda > 0$

$$\eta = \frac{\|P - P_{\text{Poisson}}\|}{\|P - P_{\text{GOE}}\|}$$

(e.g.: Konik Brandino Mussardo '10)



# How random are eigenvectors?

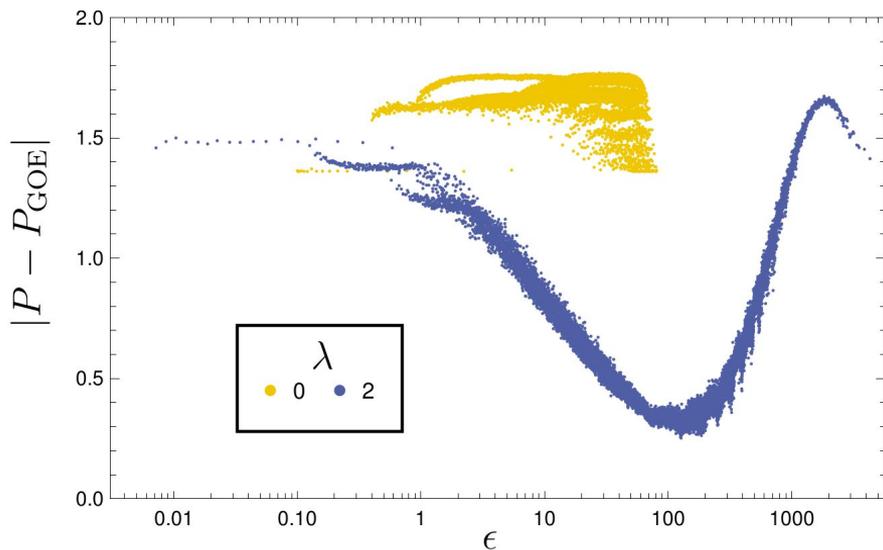
Exact diagonalization gives access to entire eigenvectors  $|E\rangle = \sum_i |i\rangle \langle i|E\rangle$

For any individual eigenstate, can study distribution of coefficients:

$$P(|\langle i|E\rangle|^2)$$

No ‘quantum scars’, all states are thermal (resolution to [Srdinšek Prosen Sotiriadis ‘19](#))

nonthermal states were seen in a different regime in [Robinson James Konik ‘18](#)

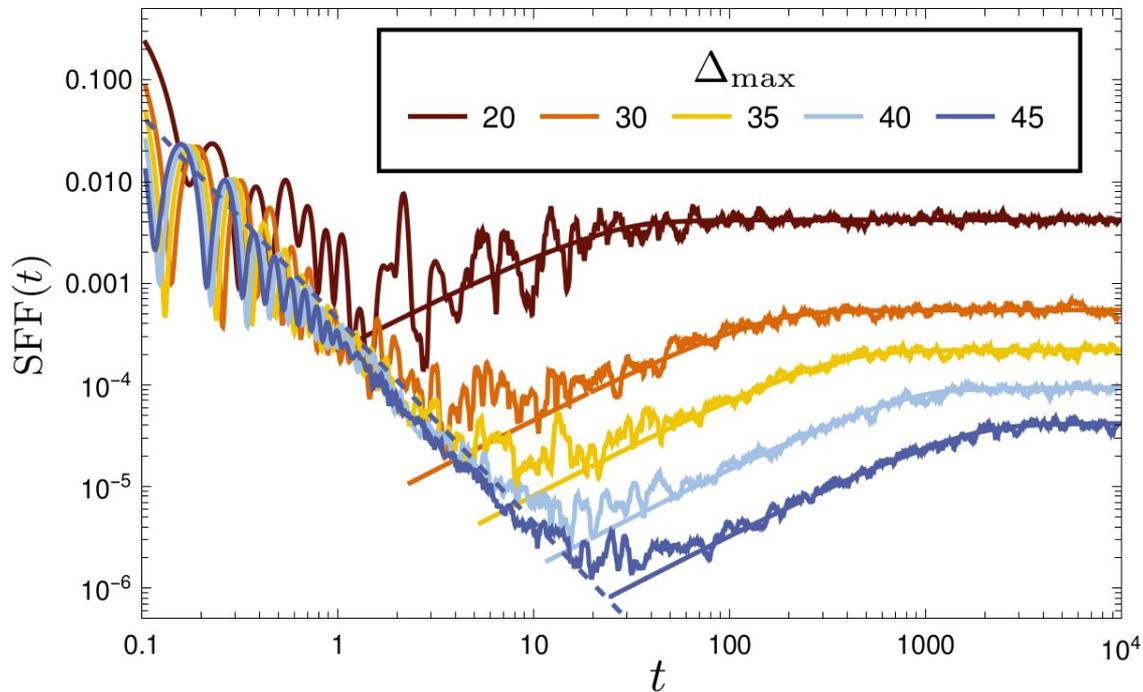


# Real time dynamics

$$\langle \mathcal{O}(t) \mathcal{O} \rangle_\beta = \sum_{EE'} e^{i(E-E')t} e^{-\beta E} |\langle E | \mathcal{O} | E' \rangle|$$

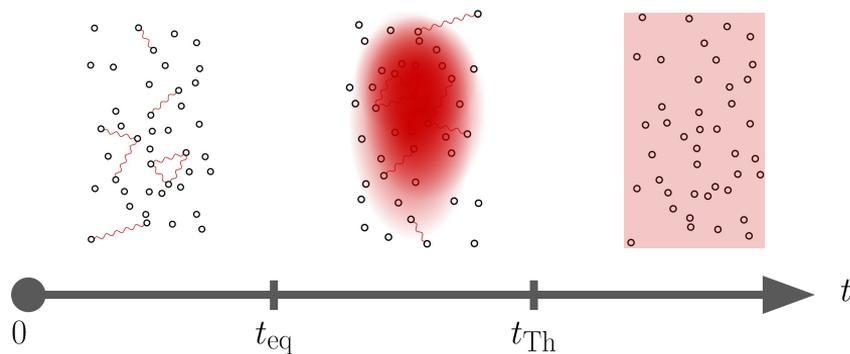
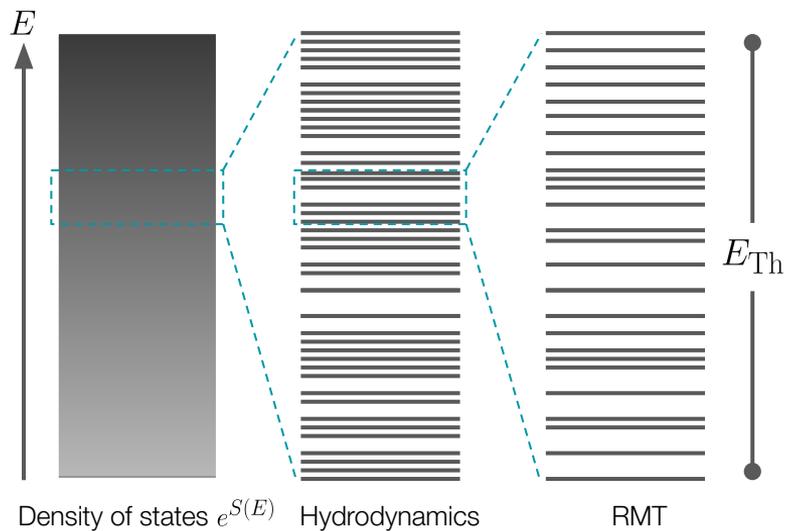
# Real time dynamics

$$\text{SFF}(t) = \sum_{EE'} e^{i(E-E')t} e^{-\beta E} |\langle E | \mathcal{O} | E' \rangle|$$



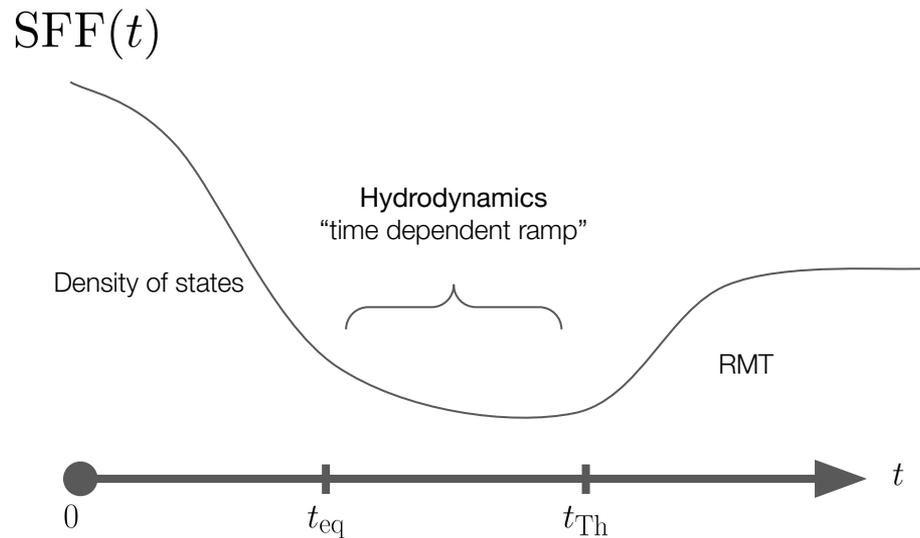
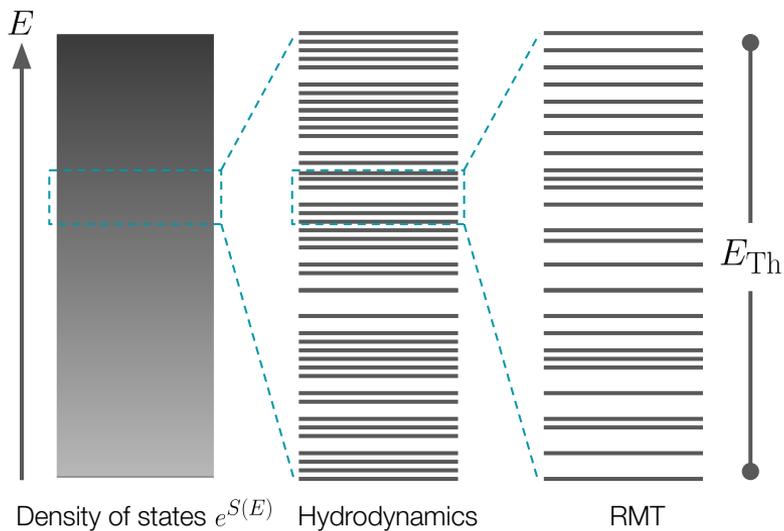
# Hydrodynamics?

QFTs have much more structure than just RMT



# Hydrodynamics?

QFTs have much more structure than just RMT



Friedman Chan De Luca Chalker '19

Swingle Winer '20

Thank you!