

# Background studies for the CROSS, CUPID-Mo and CUPID neutrinoless double beta decay experiments

---



LÉONARD IMBERT  
PHENIICS FEST  
20/05/2022

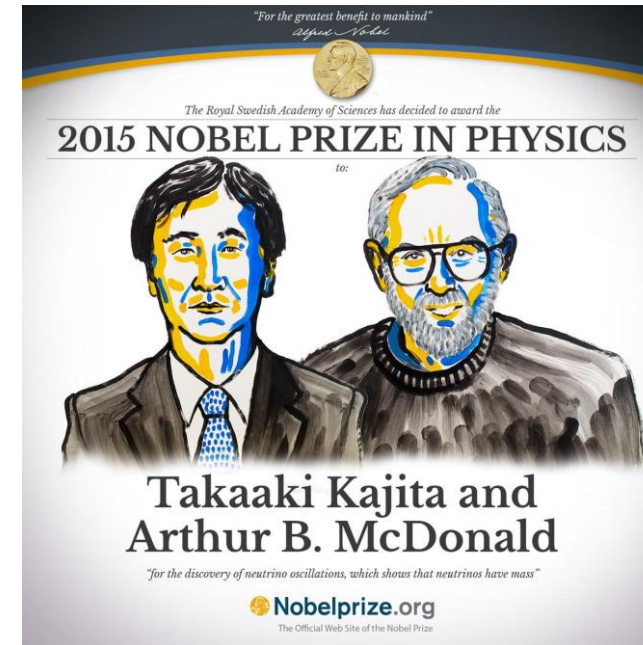


# Neutrino

- Neutral particle
- Massless in the Standard Model

	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 2.2 \text{ MeV}/c^2</math>  <math>\frac{2}{3}</math>  <math>\frac{1}{2}</math>  <b>u</b>  up </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 1.28 \text{ GeV}/c^2</math>  <math>\frac{2}{3}</math>  <math>\frac{1}{2}</math>  <b>c</b>  charm </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 173.1 \text{ GeV}/c^2</math>  <math>\frac{2}{3}</math>  <math>\frac{1}{2}</math>  <b>t</b>  top </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> 0 0 1  <b>g</b>  gluon </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 124.97 \text{ GeV}/c^2</math>  0 0 0  <b>H</b>  higgs </div>
QUARKS	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 4.7 \text{ MeV}/c^2</math>  <math>-\frac{1}{3}</math>  <math>\frac{1}{2}</math>  <b>d</b>  down </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 96 \text{ MeV}/c^2</math>  <math>-\frac{1}{3}</math>  <math>\frac{1}{2}</math>  <b>s</b>  strange </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 4.18 \text{ GeV}/c^2</math>  <math>-\frac{1}{3}</math>  <math>\frac{1}{2}</math>  <b>b</b>  bottom </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> 0 0 1  <b><math>\gamma</math></b>  photon </div>	
	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 0.511 \text{ MeV}/c^2</math>  -1  <math>\frac{1}{2}</math>  <b>e</b>  electron </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 105.66 \text{ MeV}/c^2</math>  -1  <math>\frac{1}{2}</math>  <b><math>\mu</math></b>  muon </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 1.7768 \text{ GeV}/c^2</math>  -1  <math>\frac{1}{2}</math>  <b><math>\tau</math></b>  tau </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 91.19 \text{ GeV}/c^2</math>  0 1 1  <b>Z</b>  Z boson </div>	
LEPTONS	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>&lt; 1.0 \text{ eV}/c^2</math>  0  <math>\frac{1}{2}</math>  <b><math>\nu_e</math></b>  electron neutrino </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>&lt; 0.17 \text{ MeV}/c^2</math>  0  <math>\frac{1}{2}</math>  <b><math>\nu_\mu</math></b>  muon neutrino </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>&lt; 18.2 \text{ MeV}/c^2</math>  0  <math>\frac{1}{2}</math>  <b><math>\nu_\tau</math></b>  tau neutrino </div>	<div> <div>mass</div> <div>charge</div> <div>spin</div> </div> <div> <math>\approx 80.39 \text{ GeV}/c^2</math>  +1 1 1  <b>W</b>  W boson </div>	
				GAUGE BOSONS VECTOR BOSONS	SCALAR BOSONS

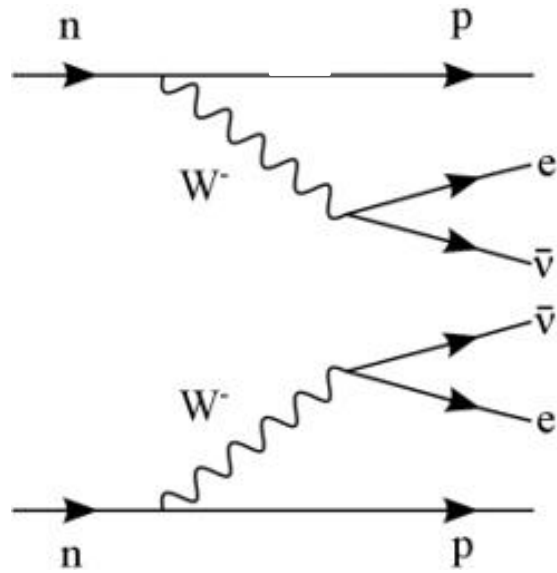
- Neutrino oscillation
  - Require massive neutrinos



- What is the mass of the neutrinos ?
- How do neutrinos get their masses ?
- What is the nature of the neutrino ?

# Double Beta Decay

- $(A, Z) \rightarrow (A, Z + 2) + 2e^- + 2\bar{\nu}_e$   $2\nu\beta\beta$
- Standard Model process
  - Possible when single beta decay is not energetically possible
- Observed for 9 isotopes



SEPTEMBER 15, 1935

PHYSICAL REVIEW

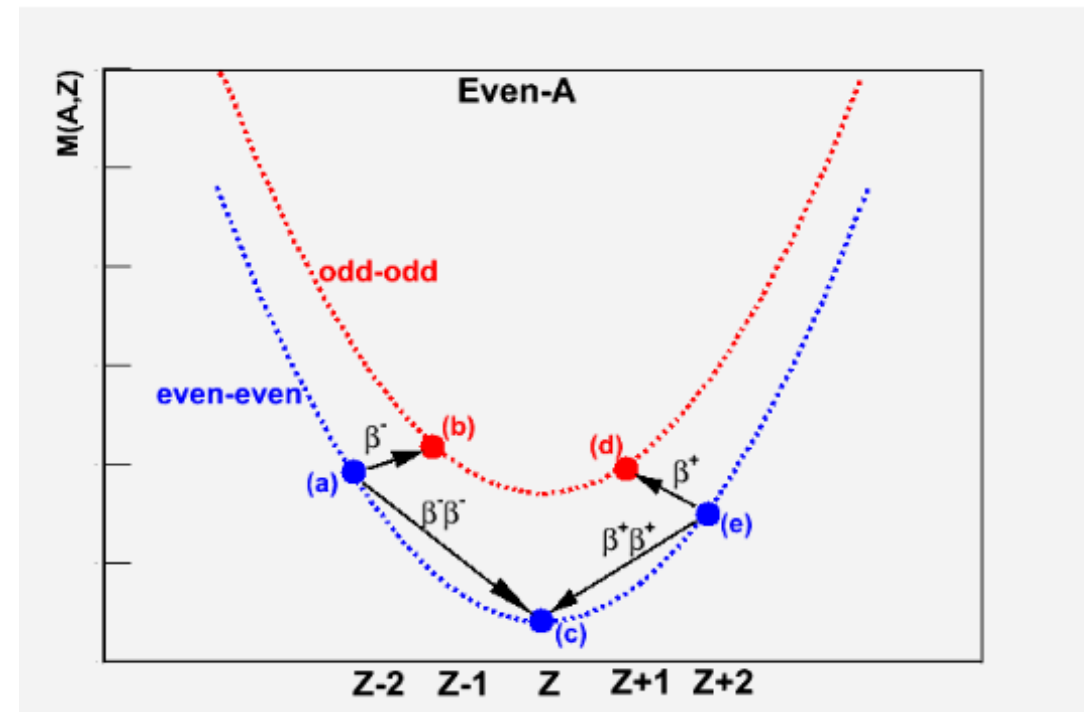
VOLUME 48

## Double Beta-Disintegration

M. GOEPPERT-MAYER, *The Johns Hopkins University*

(Received May 20, 1935)

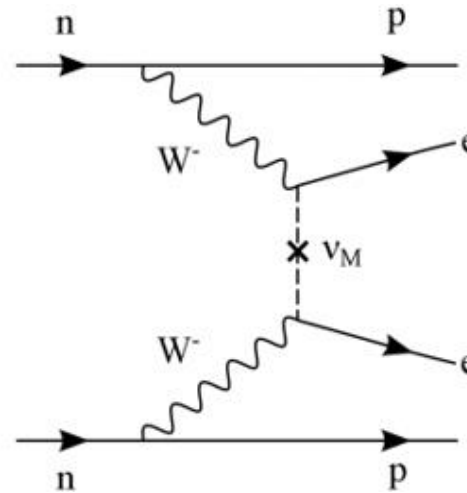
From the Fermi theory of  $\beta$ -disintegration the probability of simultaneous emission of two electrons (and two neutrinos) has been calculated. The result is that this process occurs sufficiently rarely to allow a half-life of over  $10^{17}$  years for a nucleus, even if its isobar of atomic number different by 2 were more stable by 20 times the electron mass.



# Neutrinoless Double Beta Decay

- Hypothetical decay
- $(A, Z) \rightarrow (A, Z + 2) + 2e^-$   $0\nu 2\beta$
- **Lepton number violation  $\Delta L = 2$**
- Majorana neutrino  $\nu = \bar{\nu}$

Majorana neutrino is needed in leptogenesis to explain the matter/antimatter asymmetry



Space phase factor :

Known and calculated to good accuracy

## TEORIA SIMMETRICA DELL'ELETTRONE E DEL POSITRONE



Nota di Ettore MAJORANA

Nuovo Cimento 14(1937)171-184

**Sunto.** - Si dimostra la possibilità di pervenire a una piena simmetrizzazione formale della teoria quantistica dell'elettrone e del positrone facendo uso di un nuovo processo di quantizzazione. Il significato delle equazioni di DIRAC ne risulta alquanto modificato e non vi è più luogo a parlare di stati di energia negativa; nè a presumere per ogni altro tipo di particelle, particolarmente neutre, l'esistenza di « antiparticelle » corrispondenti ai « vuoti » di energia negativa.

Nuclear Matrix Element :

Differences between different nuclear models

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} g_A^4 M^2 \left| \frac{m_{\beta\beta}}{m_e} \right|^2$$

Weak axial-vector coupling strenght :

Question of  $g_A$  quenching under study

Effective Majorana mass :

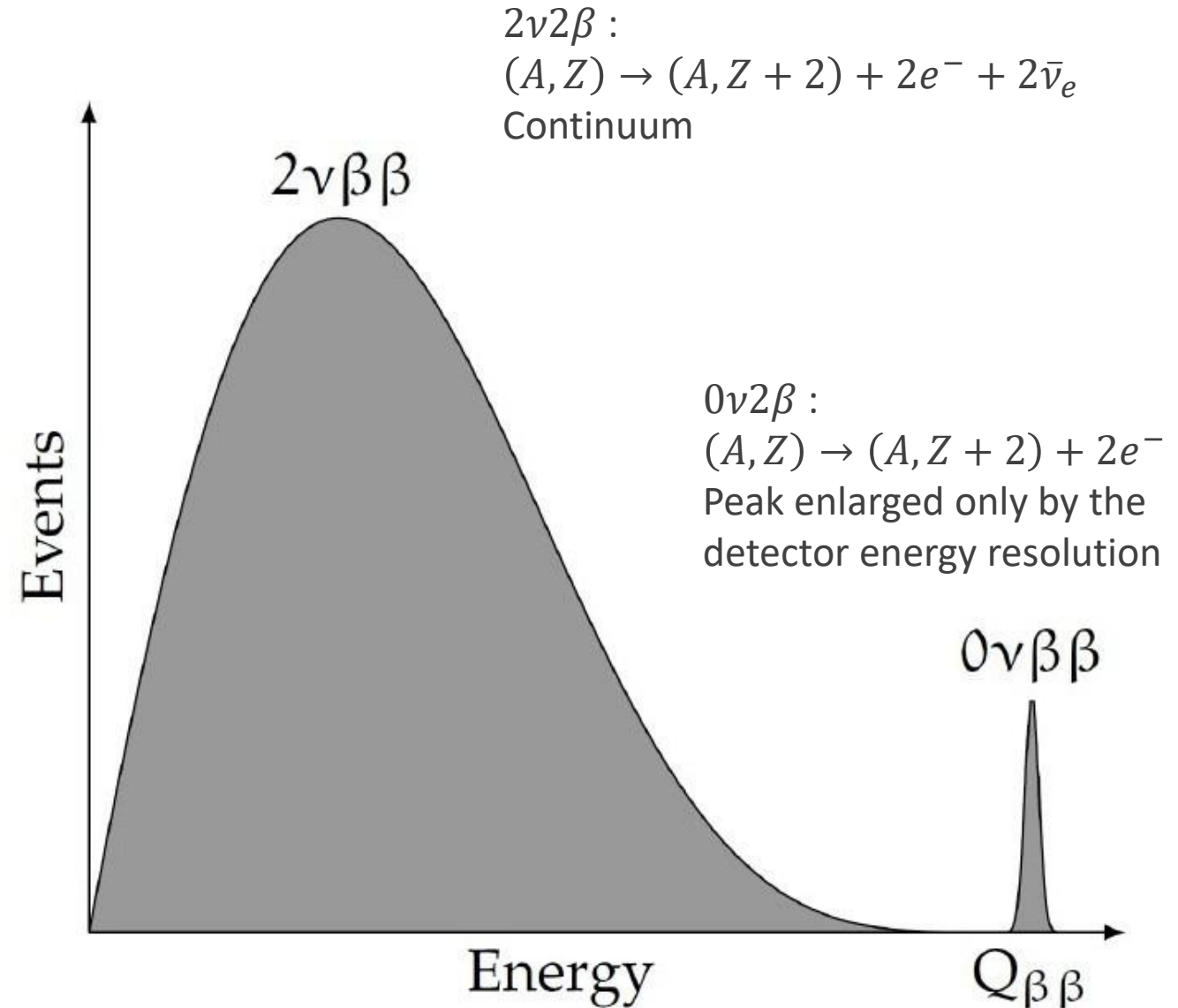
$$m_{\beta\beta} = \left| |U_{e1}|^2 m_1 + e^{i\alpha_1} |U_{e2}|^2 m_2 + e^{i\alpha_2} |U_{e3}|^2 m_3 \right|$$

# Searching for $0\nu2\beta$

The shape of the two-electron sum-energy spectrum enables to distinguish between the  $0\nu$  (new physics) and the  $2\nu$  decay modes

- Requires :

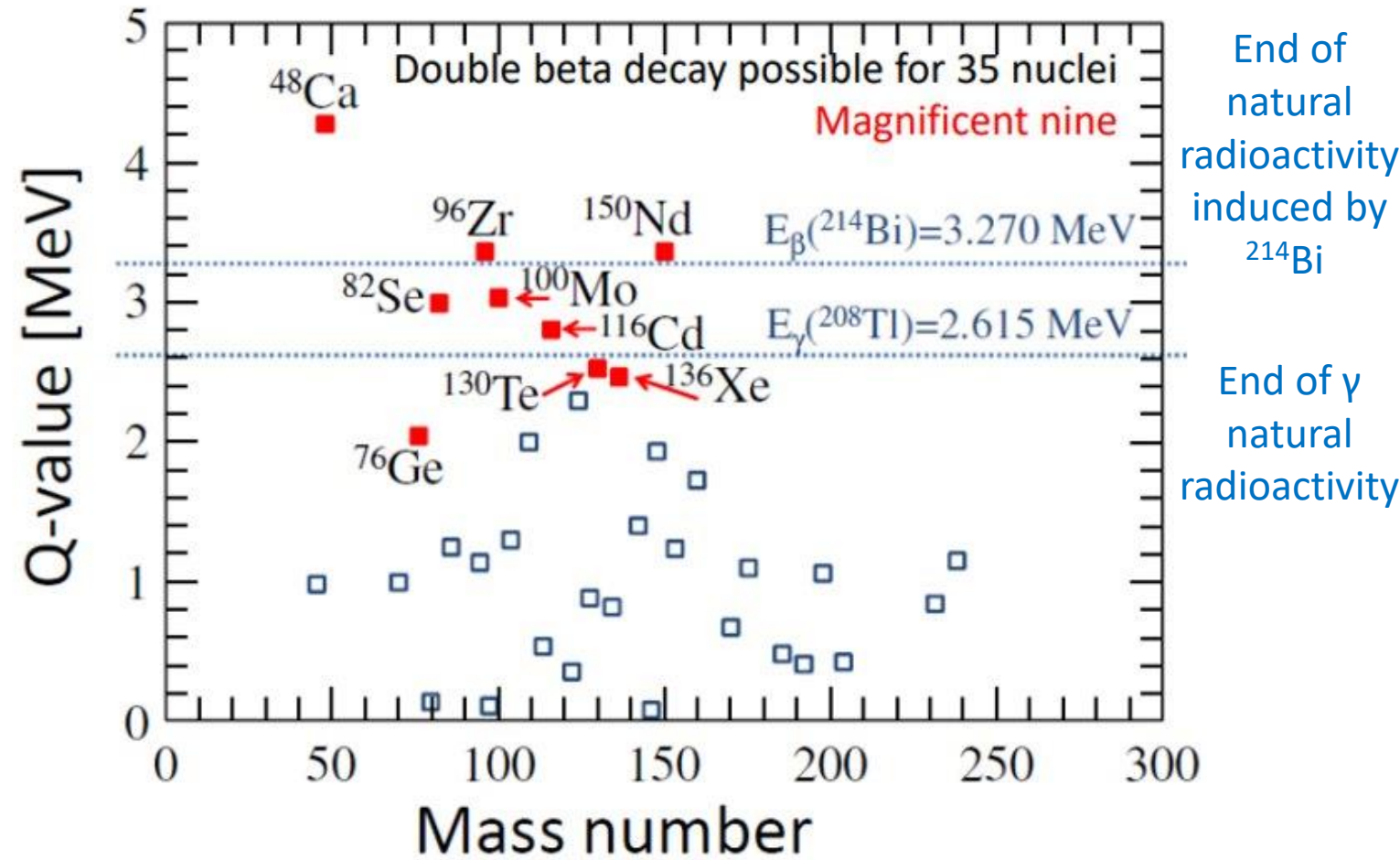
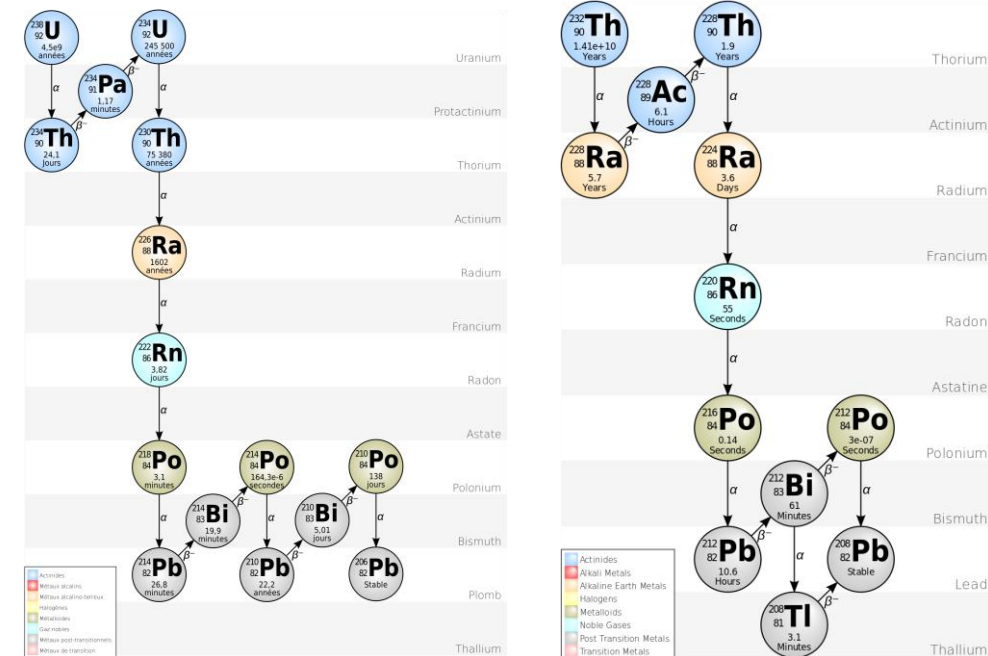
- Low background in the ROI (around the  $Q_{\beta\beta}$ )
- Good energy resolution





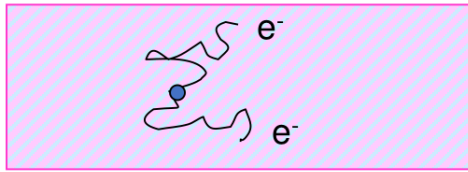
# Experimental challenge

- $Q_{\beta\beta}$  is an important factor for the background
- Main background is coming from **natural radioactivity**
  - $\alpha$ ,  $\beta$ ,  $\gamma$  particles
  - Decay chains of  $^{238}\text{U}$  and  $^{232}\text{Th}$



# Bolometric technique

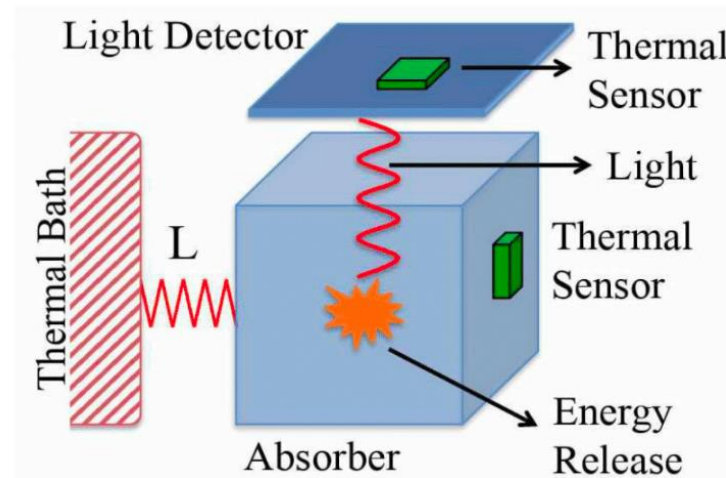
- Crystals cool down to  $\sim 10\text{-}20\text{ mK}$
- Detector = source
- High detection efficiency



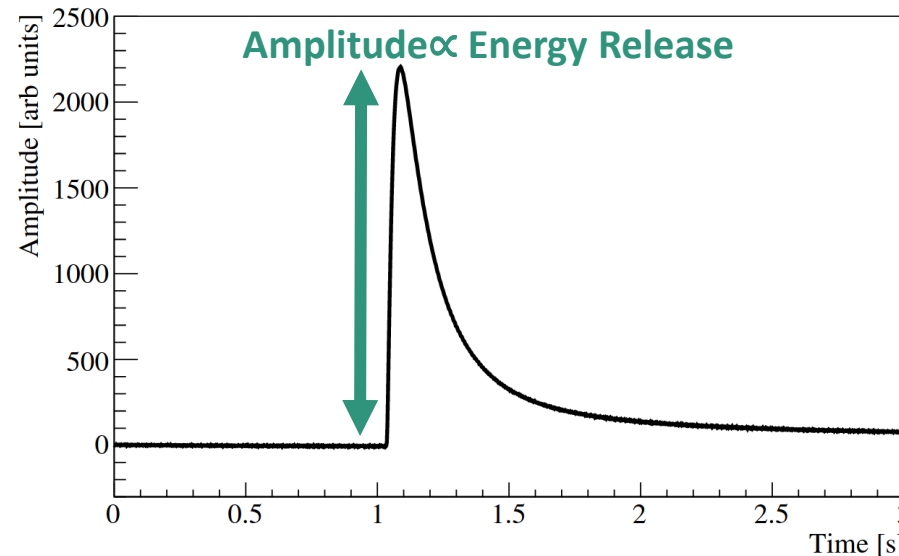
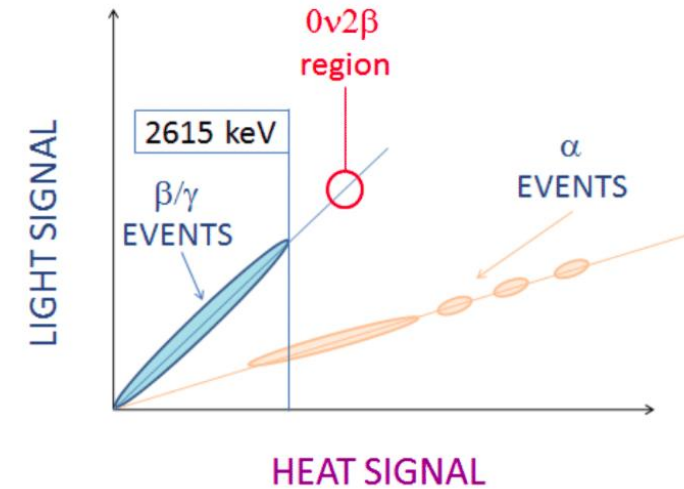
Source  $\equiv$  Detector

- Good energy resolution
- Scintillating bolometers
  - Discriminations between  $\beta/\gamma$  and  $\alpha$  particles
  - Heat and Light signals

## Scintillating Bolometer



## Particle Identification



# CUPID-Mo



Demonstrator for the next generation ton scale experiment CUPID

Installed at Laboratoire Souterrain de Modane (LSM)

$^{100}\text{Mo}$   $Q_{\beta\beta} = 3034$  keV

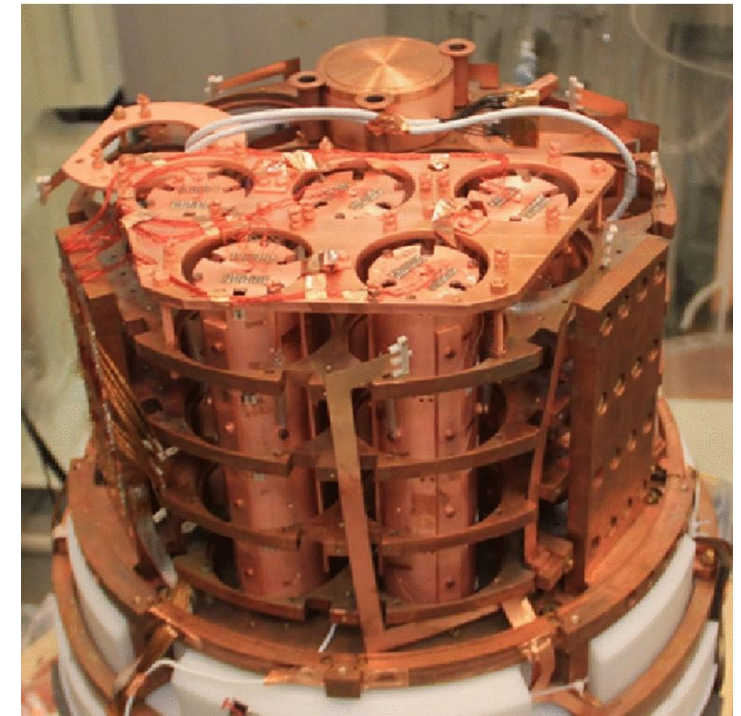
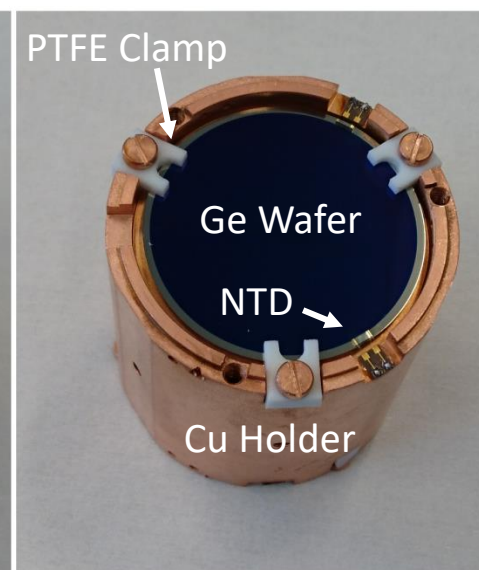
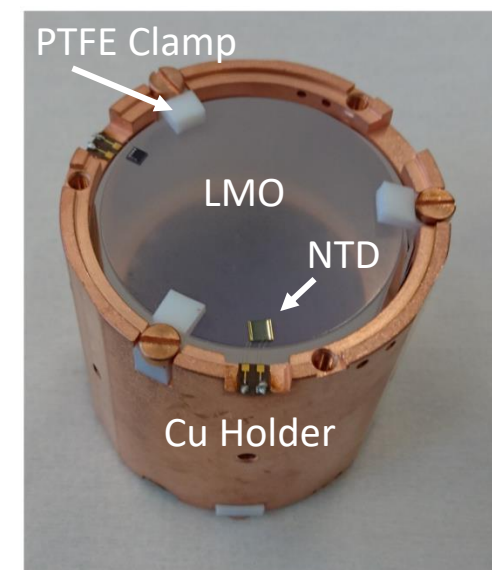
20  $\text{Li}_2^{100}\text{MoO}_4$  scintillating bolometers

- 0.2 kg  $\text{Li}_2^{100}\text{MoO}_4$  cylindrical crystals
- $^{100}\text{Mo}$  enrichment  $\sim 97$  %
- Ge wafers as Light Detectors (LD)
- NTD Ge thermistors
- Copper holders, PTFE supports, Reflecting foils

World leading limit on  $^{100}\text{Mo}$   $0\nu\beta\beta$  :

- $T_{1/2} > 1.8 \times 10^{24}$  years
- $m_{\beta\beta} < (280 - 490)$  meV

*arXiv:2202.08716*  
*Submitted to EPJC*





# CUPID

Next bolometric ton scale experiment for  $0\nu\beta\beta$

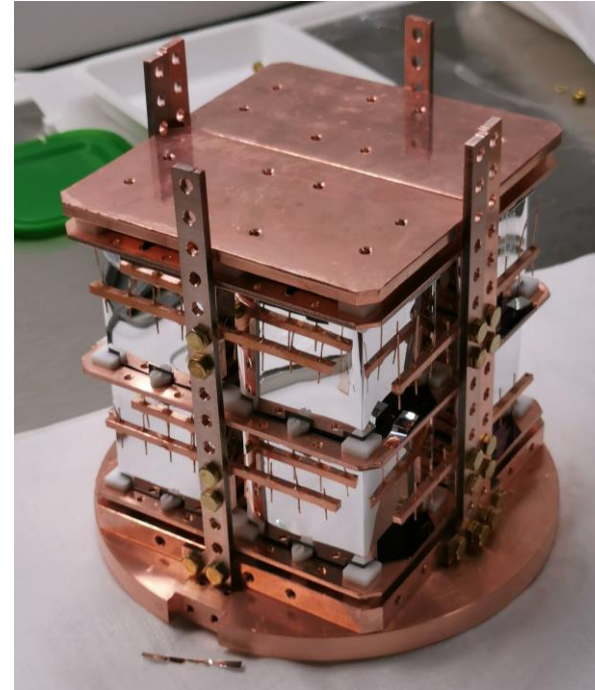
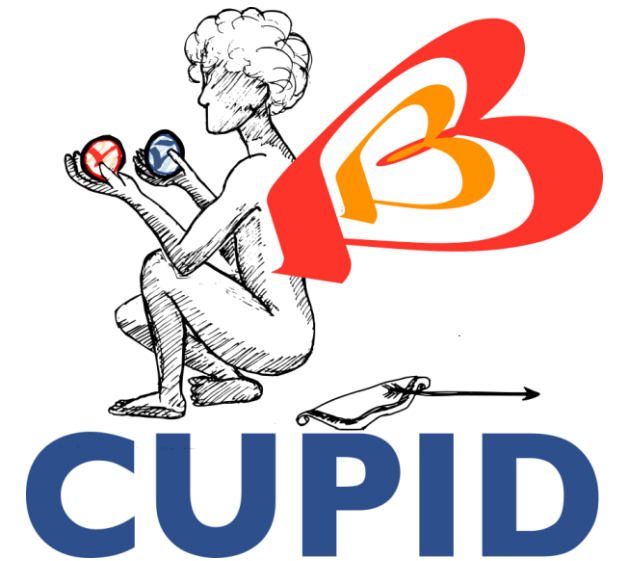
$\sim 1500 \text{ Li}_2^{100}\text{MoO}_4$  scintillating crystals

$\alpha$  rejection using light signal

Background Index goal :  $10^{-4}$  counts/keV/kg/yr

$0\nu\beta\beta$  sensitivity goal

- $T_{1/2} \sim 10^{27}$  years
- $m_{\beta\beta} \sim 12 - 20 \text{ meV}$



# CUPID-Mo Data production

Exposure : 2.71 kg.year acquired between March 2019 and June 2020

- Important Cuts

- Multiplicity : Number of events above our energy threshold within a +/- 10 ms time window

- $M_{1,\beta/\gamma}$

- Events in one detector identified as  $\beta/\gamma$
      - $0\nu\beta\beta$  signal like

- $M_2$

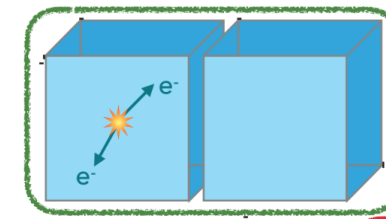
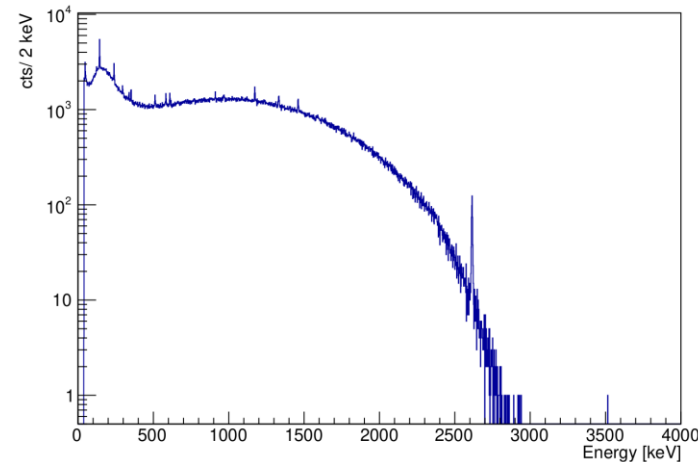
- Coincidences between two crystals
      - Constrains levels of external contaminations

- $M_{1,\alpha}$

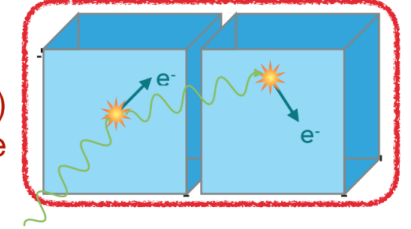
- $M_1$  events & Energy > 3 MeV
      - Constrain levels of contaminations for crystals and reflectors
      - Permits differentiation between bulk and surface events for crystals

- Light Detector

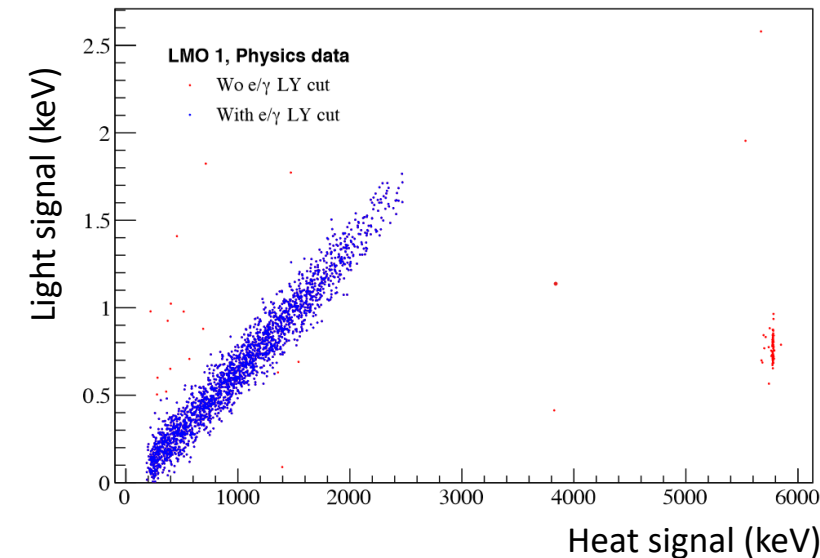
- Reject alpha particles



Multiplicity 1 (M1)  
Signal-like



Multiplicity 2 (M2)  
Not signal-like



# Background model

Goal : Describe the experimental data by a linear combination of the MC spectra

- MC simulations used as input for a global fit of the data
- Simultaneous fit of  $M_{1,\beta/\gamma}$ ,  $M_{2\text{sum}}$ ,  $M_{1,\alpha}$  spectra

# CUPID-Mo simulations

- Geant 4 based program
- Decays are generated in :

- Crystal bulk and surface
- Reflector bulk and surface

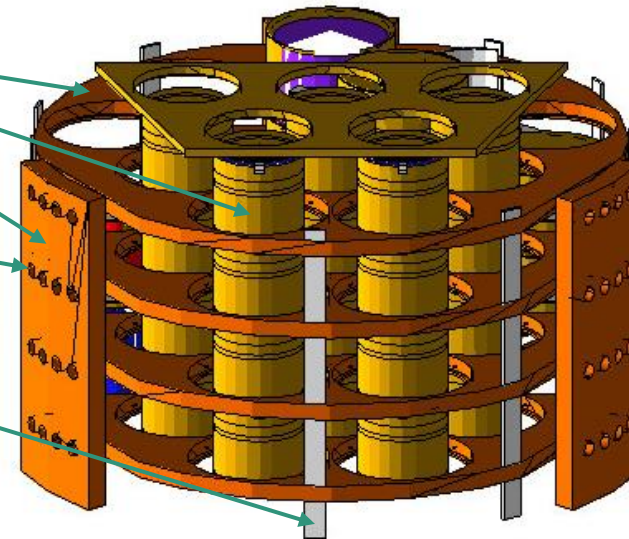
Surface component :  
Exponential density profile  $e^{-x/\lambda}$

**10mK  
sources**

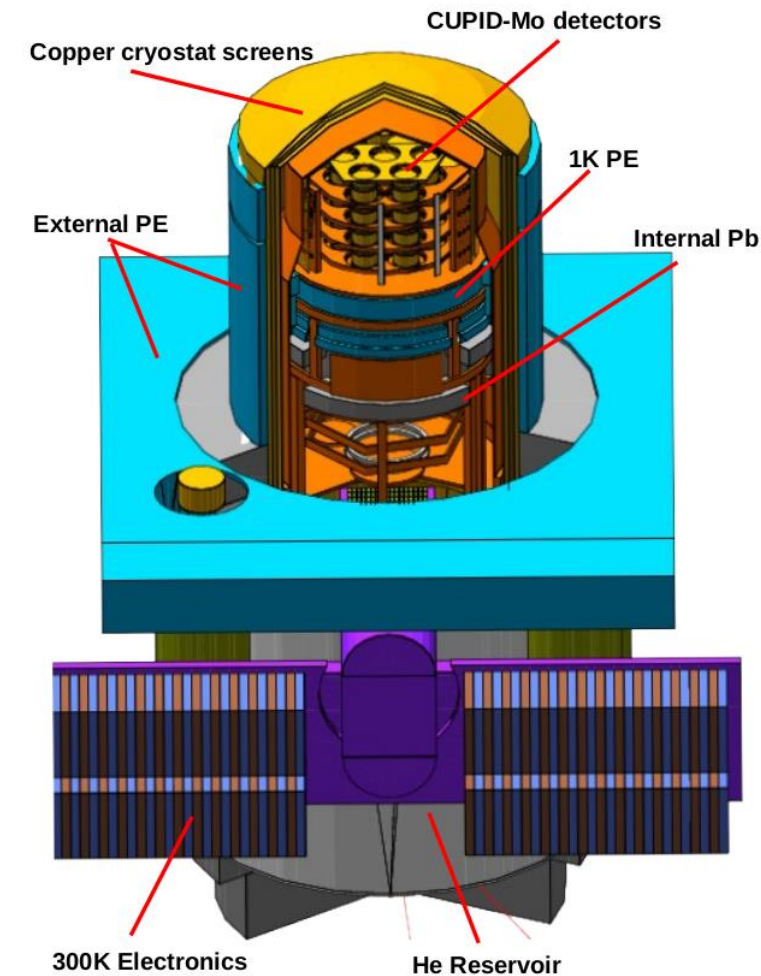
- Copper internal
- Springs
- Screws
- Kapton connectors
- Kapton cables

**Cryostat  
and shield**

- Copper screens
- Screen 300K
- Polyethylene internal

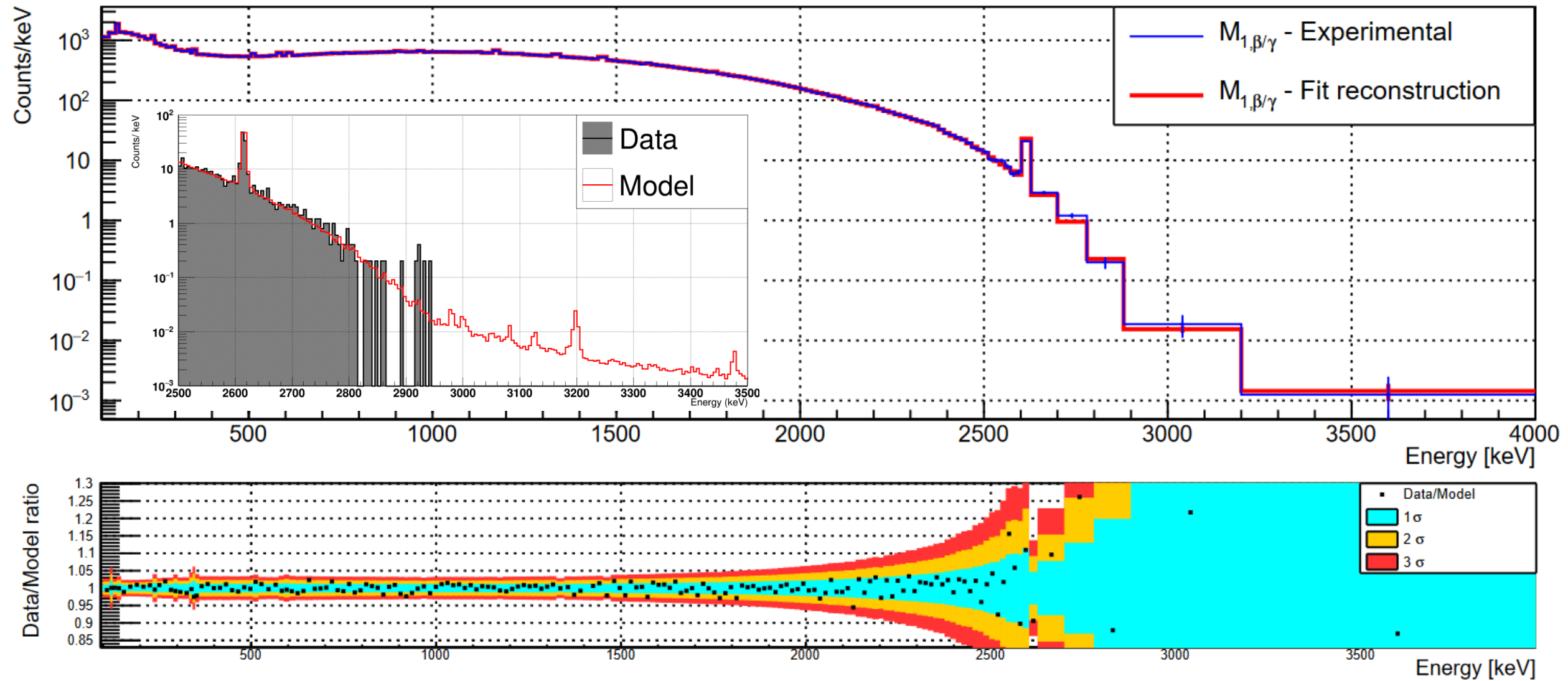


*Geant4 Rendering of the CUPID-Mo detectors*



*Geant4 Rendering of the Edelweiss set up  
with the CUPID-Mo detectors as  
implemented in the simulations*

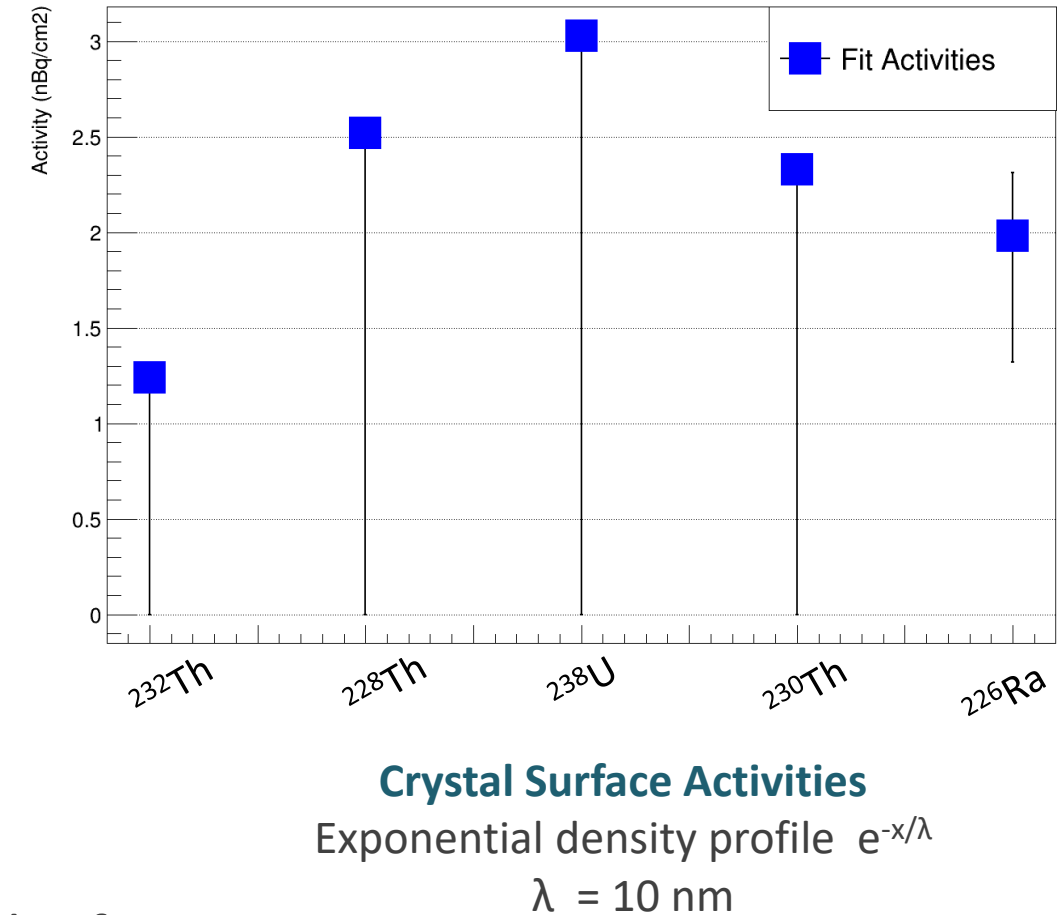
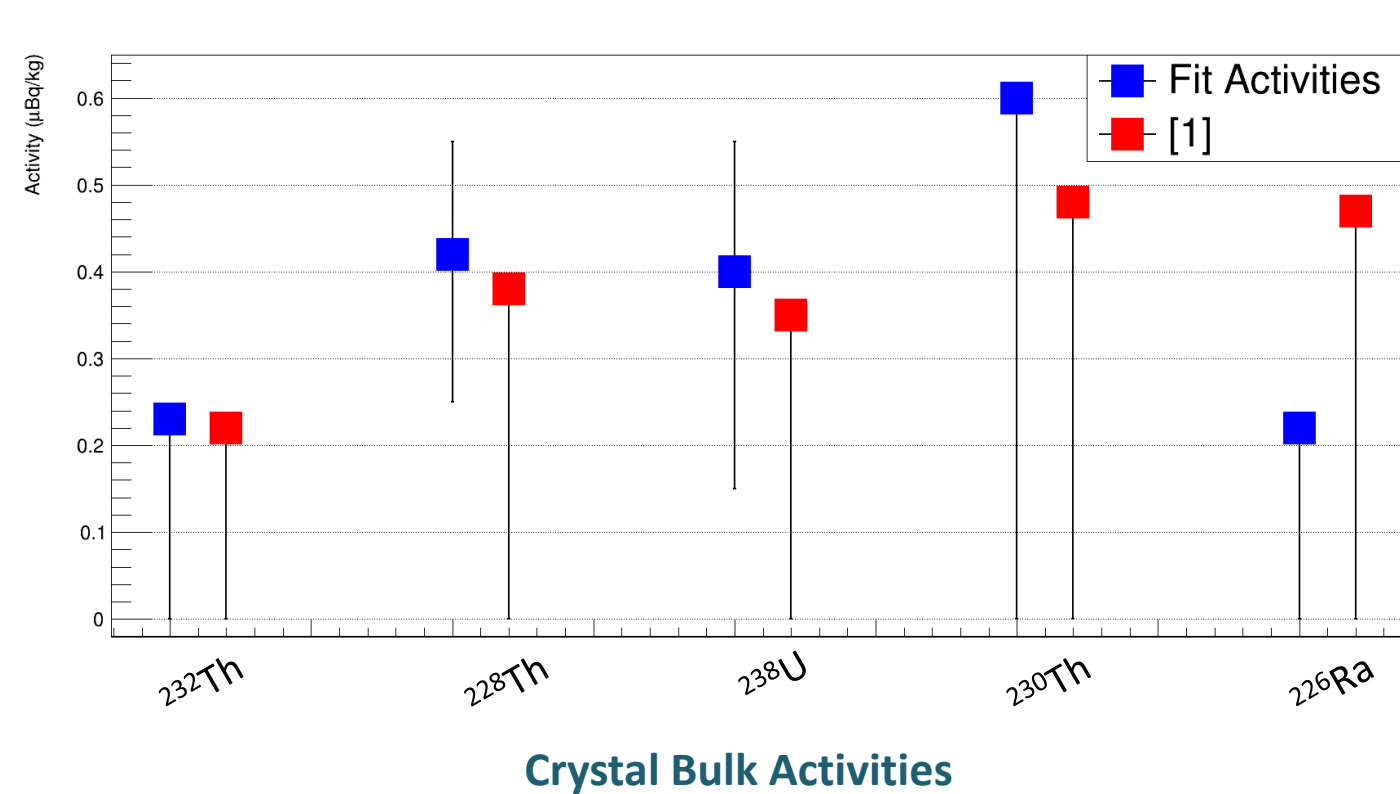
# Results





# Results

[1] : D. Poda [CUPID-Mo collaboration]. (Neutrino 2020), June 22–July 02 (2020)



We derive the crystal bulk and surface activities from the fit

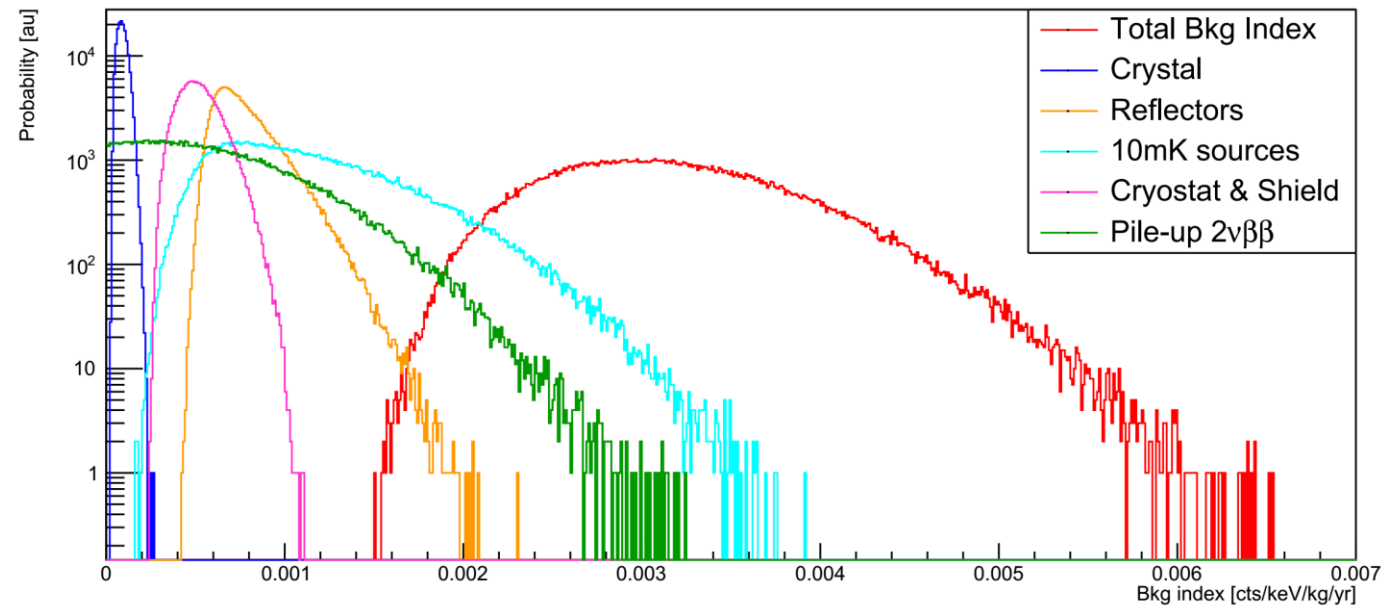
The crystal bulk contaminations from the  $^{238}\text{U}$  and  $^{232}\text{Th}$  chains are all below  $1 \mu\text{Bq/kg}$

**These levels of contaminations are compatible with the CUPID background index goal**

# Results

From the fit we derive the number of counts in the region of interest that is defined as 3034 +/- 15 keV

$$3.0_{-0.6}^{+0.7} \times 10^{-3} \text{ counts / keV / kg / year}$$



Probability Density Function of the Background Index

We can extract the background index coming from the crystals :

$$8.4 \times 10^{-5} \text{ cts/keV/kg/yr}$$

Extrapolation for CUPID :

$$\sim 2 \times 10^{-5} \text{ cts/keV/kg/yr} \ll \text{CUPID Background Index Goal (} 10^{-4} \text{ cts/keV/kg/yr)}$$

**Contaminations of crystals are compatible with the CUPID background index goal**

# Summary

- We have developed a full background model of the CUPID-Mo experiment
- A robust background model allows several further studies
  - In particular the extraction of the  $^{100}\text{Mo}$   $2\nu\beta\beta$  lifetime
- Background index of the CUPID-Mo experiment :  $3.0^{+0.7}_{-0.6} \times 10^{-3}$  cts/keV/kg/yr
- Low crystal bulk and surface activities
- Compatible with the CUPID background index goal







# BACK-UP

# Neutrinoless Double Beta Decay

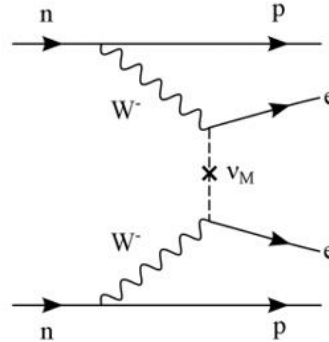
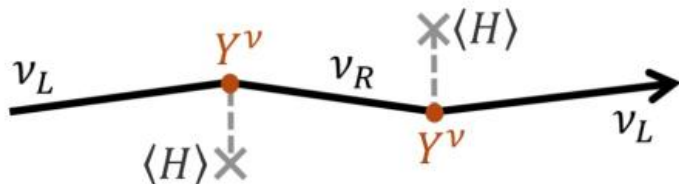
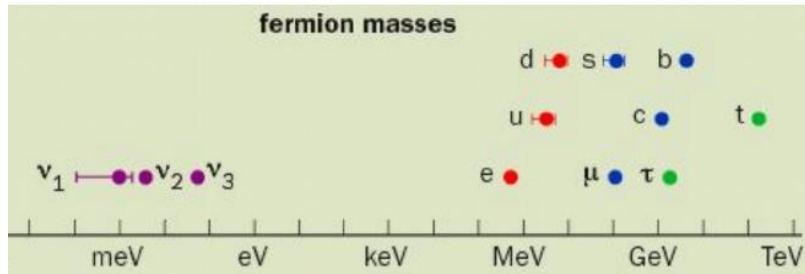
- Hypothetical decay
- $(A, Z) \rightarrow (A, Z + 2) + 2e^-$   $0\nu 2\beta$
- **Lepton number violation  $\Delta L = 2$**
- Majorana neutrino  $\nu = \bar{\nu}$

## Dirac mass

$$\mathcal{L} \supset Y_\nu^{ij} L_i N_j \phi = M_D^{ij} \nu_i N_j$$

Small Yukawa coupling :

$$m_\nu / \Lambda_{EW} \leq 10^{-12}$$



Majorana neutrino is needed in leptogenesis to explain the matter/antimatter asymmetry

## TEORIA SIMMETRICA DELL'ELETTRONE E DEL POSITRONE



Nota di Ettore MAJORANA

[Nuovo Cimento 14\(1937\)171-184](#)

**Sunto.** - Si dimostra la possibilità di pervenire a una piena simmetrizzazione formale della teoria quantistica dell'elettrone e del positrone facendo uso di un nuovo processo di quantizzazione. Il significato delle equazioni di DIRAC ne risulta alquanto modificato e non vi è più luogo a parlare di stati di energia negativa; nè a presumere per ogni altro tipo di particelle, particolarmente neutre, l'esistenza di « antiparticelle » corrispondenti ai « vuoti » di energia negativa.

## Majorana mass

$$\begin{aligned} \mathcal{L} &\supset Y_\nu^{ij} L_i N_j \phi + M^{ij} N_i N_j \\ &= M_D^{ij} \nu_i N_j + M_M^{ij} N_i N_j \end{aligned}$$

$$M_\nu = \begin{pmatrix} \delta m_\nu^{1loop} & M_D \\ M_D^T & M_M \end{pmatrix}$$

Diagonalisation with  $M_M \gg M_D$ :

**See-saw type 1**

$$\nu \simeq \nu_L + \theta v_R^c$$

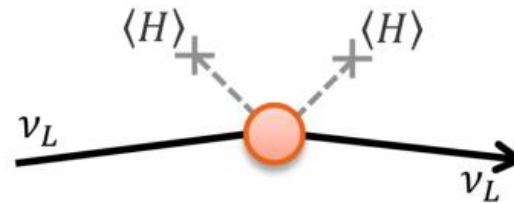
$$m_\nu \simeq \frac{M_D^2}{M_M}$$

mainly **active**  $SU(2)_L$  doublet states with **light** masses

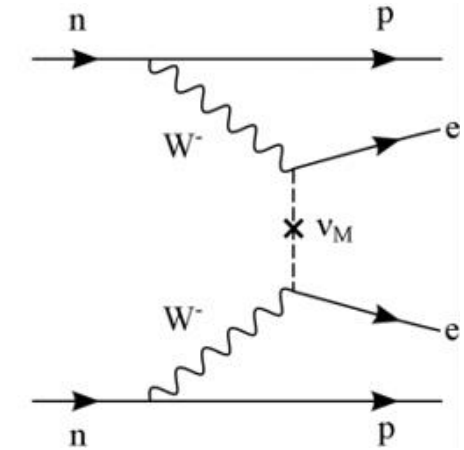
$$N \simeq \nu_R + \theta^T v_L^c$$

$$m_N \simeq M_M$$

mainly **sterile** singlet states with **heavy** masses



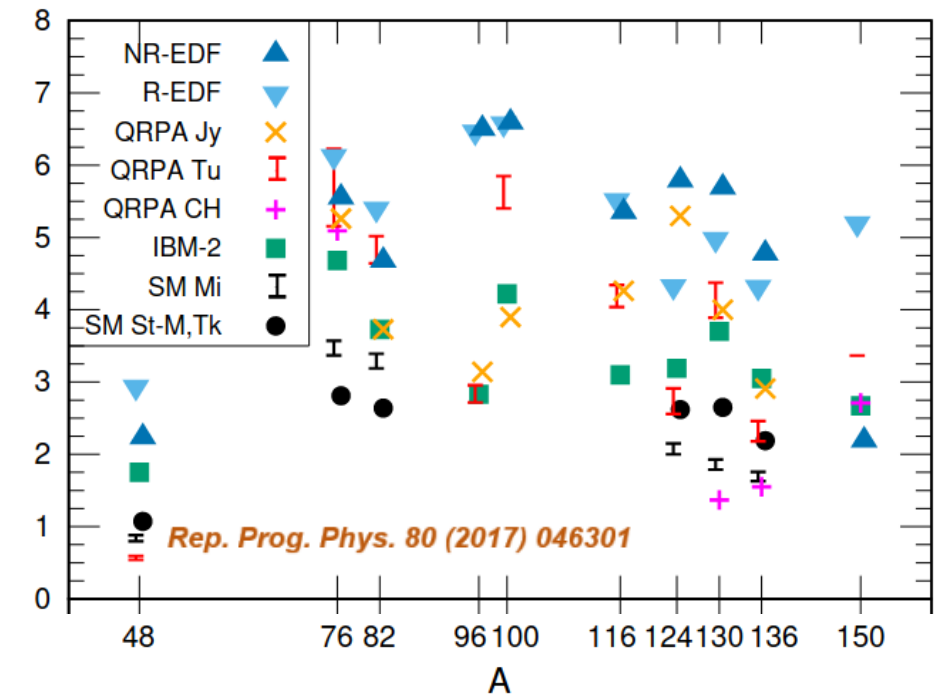
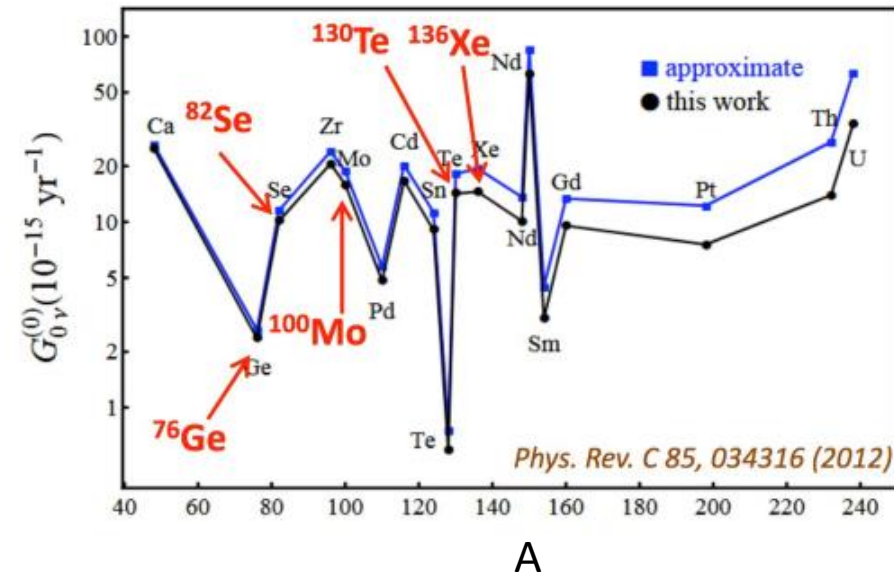
# Light Majorana neutrino exchange



$$(T_{1/2}^0 \nu)^{-1} = G_0 \nu g_A^4 M^2 \left| \frac{m_{\beta\beta}}{m_e} \right|^2 M_{0\nu}$$

Space phase factor :

Known and calculated to good accuracy



Nuclear Matrix Element :

- Differences between different nuclear models

Weak axial-vector coupling strenght :

- Question of  $g_A$  quenching under study

Effective Majorana mass :

$$m_{\beta\beta} = \left| |U_{e1}|^2 m_1 + e^{i\alpha_1} |U_{e2}|^2 m_2 + e^{i\alpha_2} |U_{e3}|^2 m_3 \right|$$

# Where we are

## Best current limits :

KamLAND-Zen on  $^{136}\text{Xe}$  :

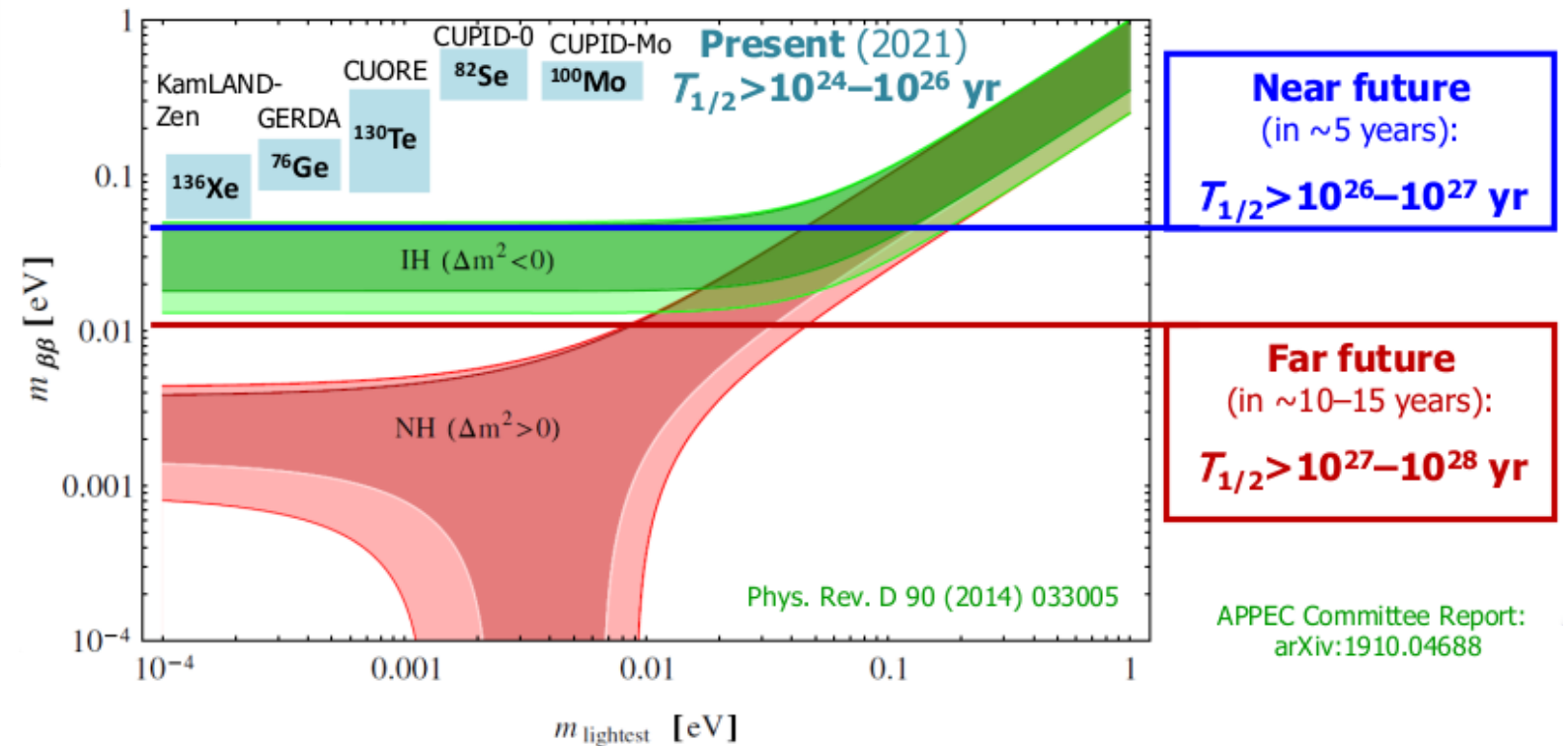
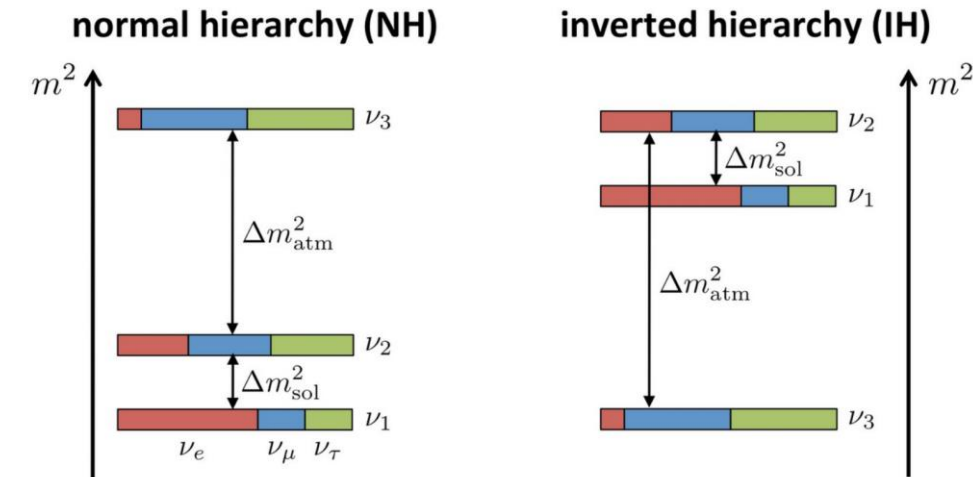
- $T_{1/2} > 1.07 \times 10^{26} \text{ yr}$
- $m_{\beta\beta} < 61 - 165 \text{ meV}$

[arXiv:1605.02889](https://arxiv.org/abs/1605.02889)  
[PRL 117, 082503 \(2016\)](https://arxiv.org/abs/1605.02889)

GERDA on  $^{76}\text{Ge}$  :

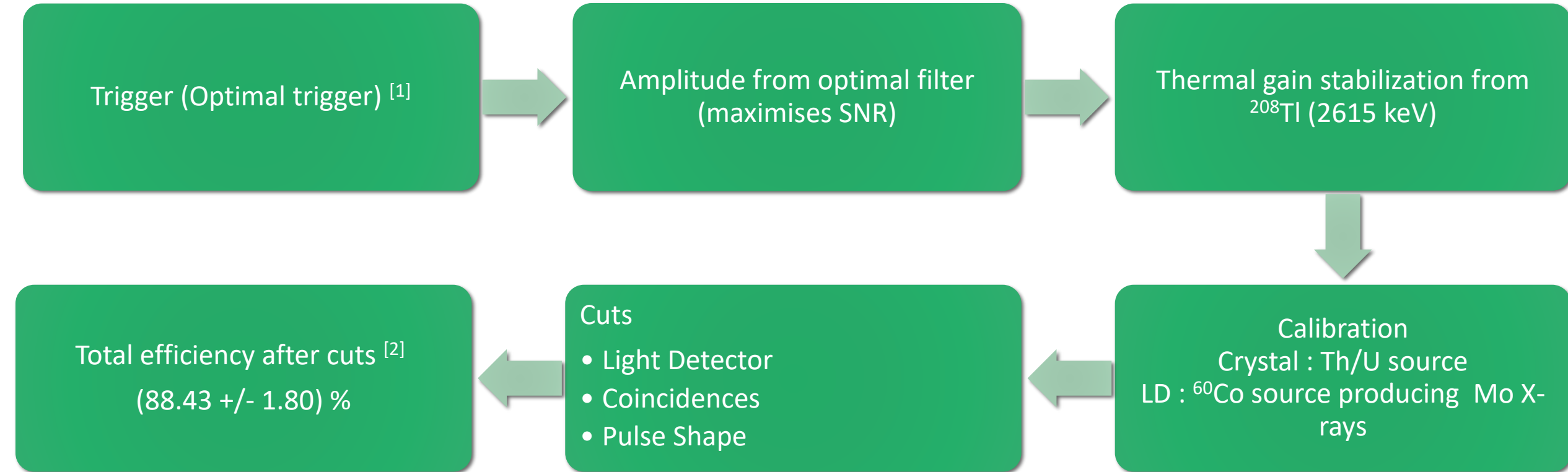
- $T_{1/2} > 1.8 \times 10^{26} \text{ yr}$
- $m_{\beta\beta} < 79 - 180 \text{ meV}$

[arXiv:2009.06079](https://arxiv.org/abs/2009.06079)  
[PRL 125, 252502 \(2020\)](https://arxiv.org/abs/2009.06079)



# CUPID-Mo Data production

Exposure : 2.71 kg.year acquired between March 2019 and June 2020



[1] : CUORE Phys. Rev. Lett. 124.122501



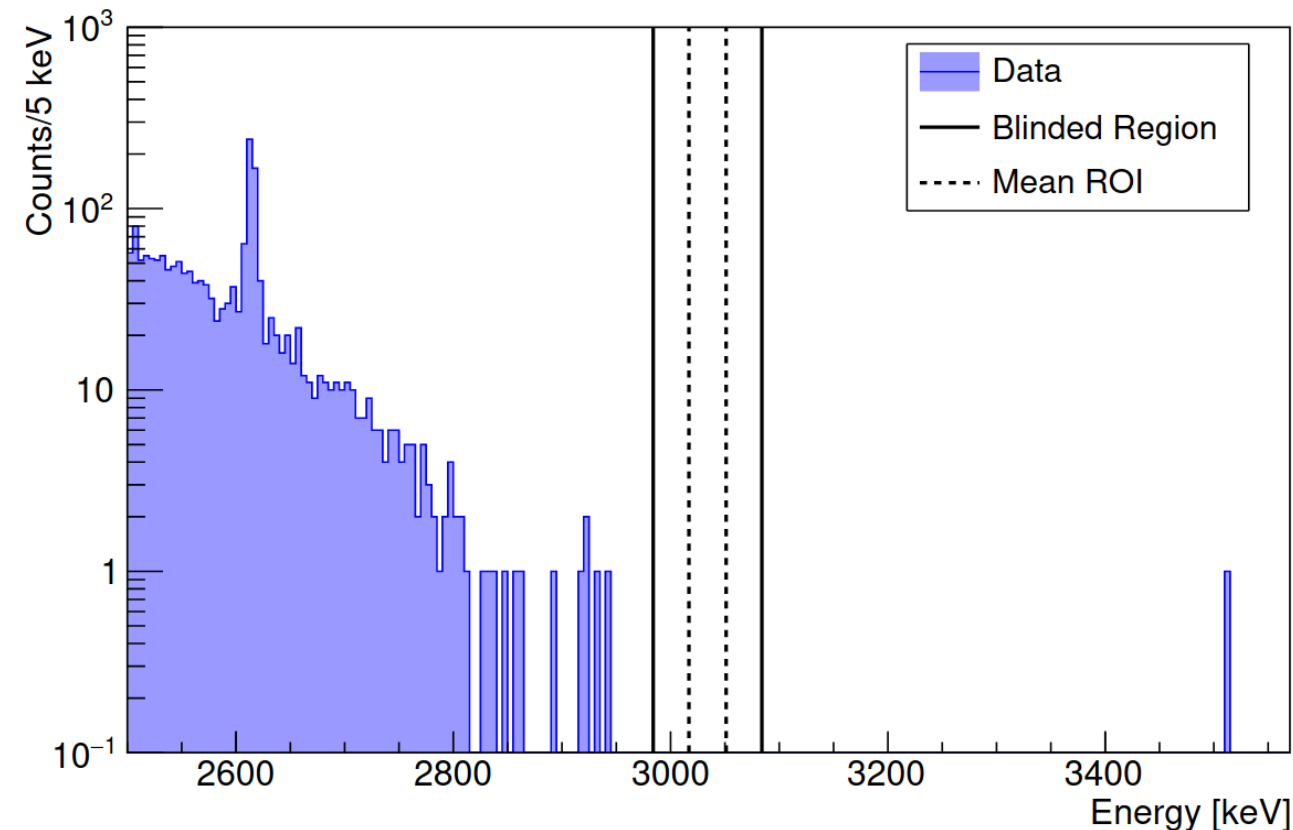
# Limit on $T_{1/2}^{0\nu\beta\beta}$ of $^{100}\text{Mo}$

- Blinding performed by masking events in an energy range of  $\pm 50$  keV around  $Q_{\beta\beta}$
- Exposure : 2.71 kg $\times$ year of data (1.47 kg $\times$ year for  $^{100}\text{Mo}$ )
- After application of all cuts : 0 events in the ROI
- Bayesian counting analysis in ROI and sidebands leads to :

$$T_{1/2} > 1.8 \times 10^{24} \text{ y (90\% CI)}$$

$$m_{\beta\beta} < (280 - 490) \text{ meV}$$

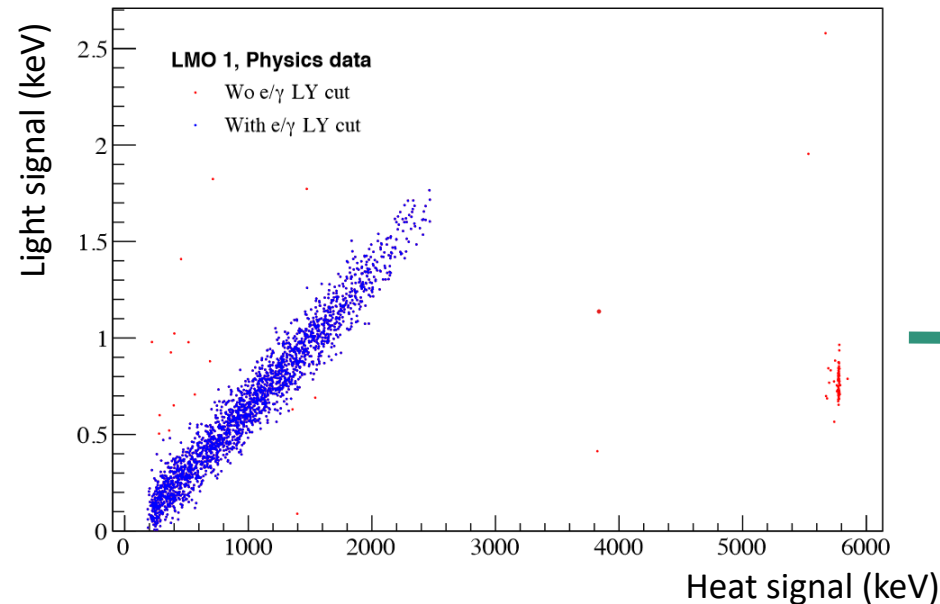
[arXiv:2202.08716](https://arxiv.org/abs/2202.08716)  
Submitted to EPJC



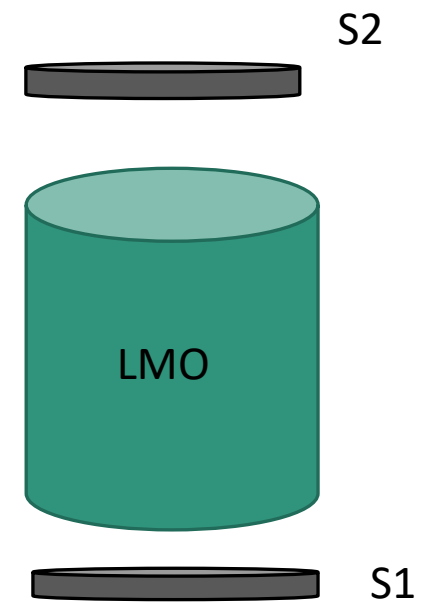
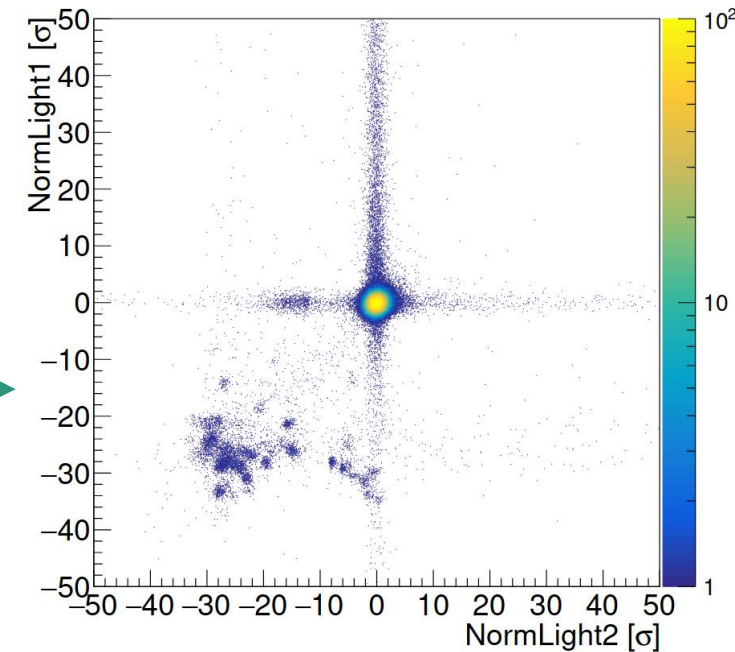
# Light Detector Cut

Goal : Apply a unique cut on all the data to remove  $\alpha$  particles

1. Extraction of the 2 Light Detectors signals
2. Normalize each signal in the Light Detector by :
  - a) The Energy (light is proportional to heat)
  - b) The Light Detector (each one has its own performances)
  - c) The Dataset (acquisition conditions can affect performances)
3. Apply the cut



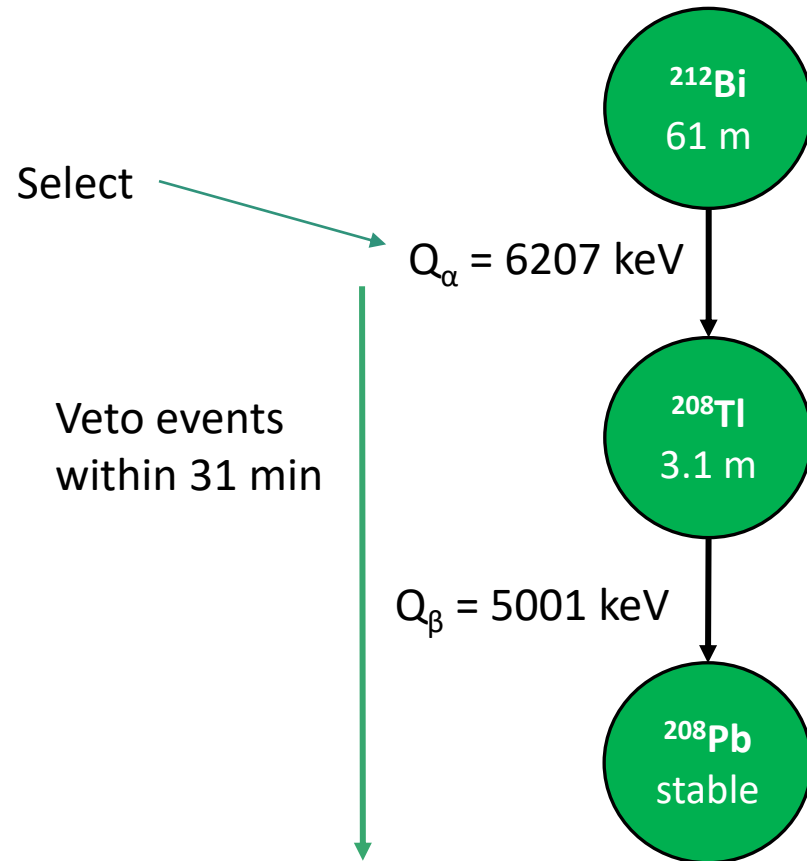
Normalization



# Delayed coincidences

## Thorium chain :

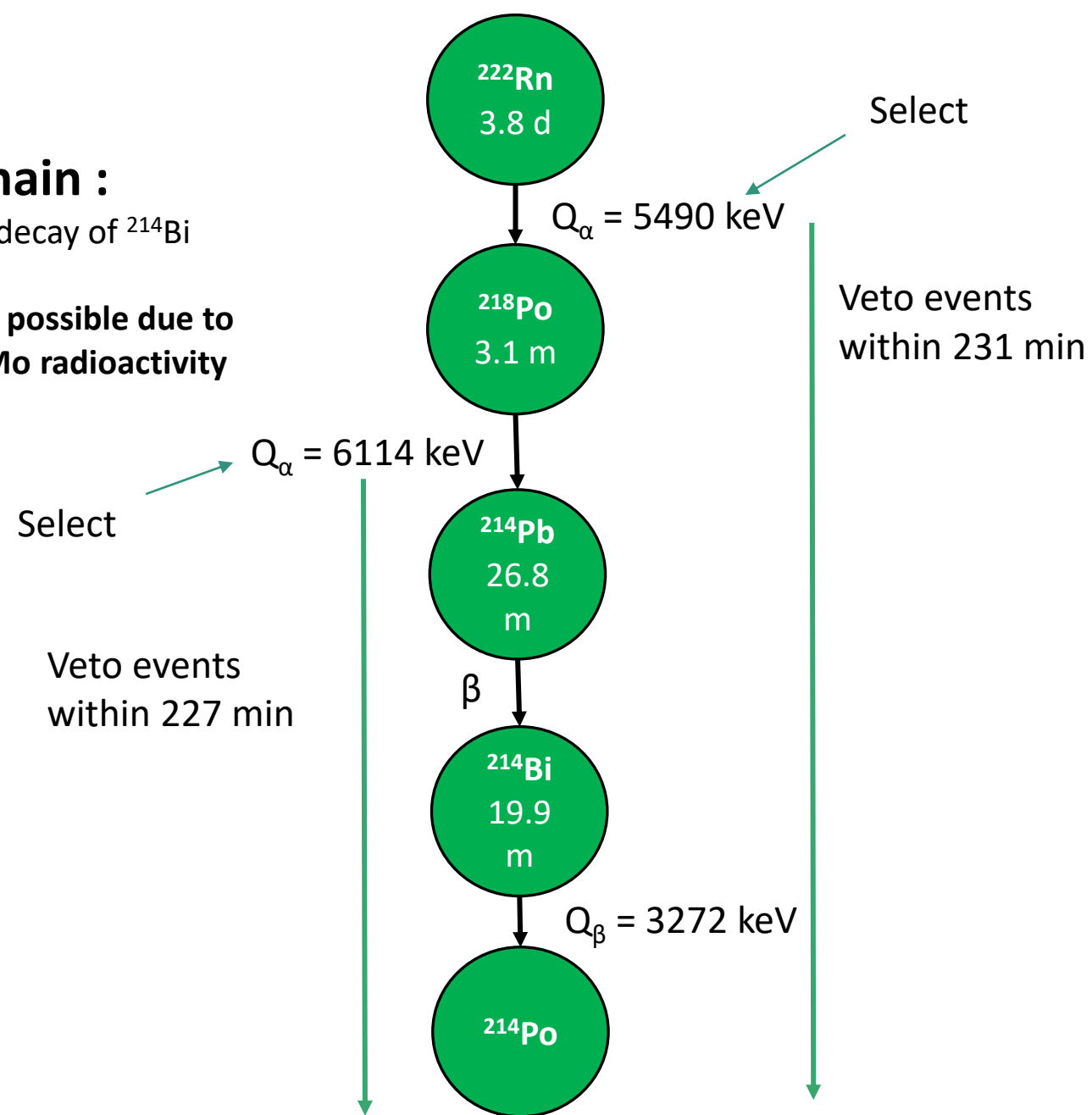
Goal : remove  $\beta$  decays of  $^{208}\text{Tl}$  from the crystals



## Uranium chain :

Goal : remove  $\beta$  decay of  $^{214}\text{Bi}$  from the crystals

Novel analysis is possible due to the low CUPID-Mo radioactivity



# Detector response model

- Detector effects convolved into Monte-Carlo spectra

- Energy resolution

- Efficiency

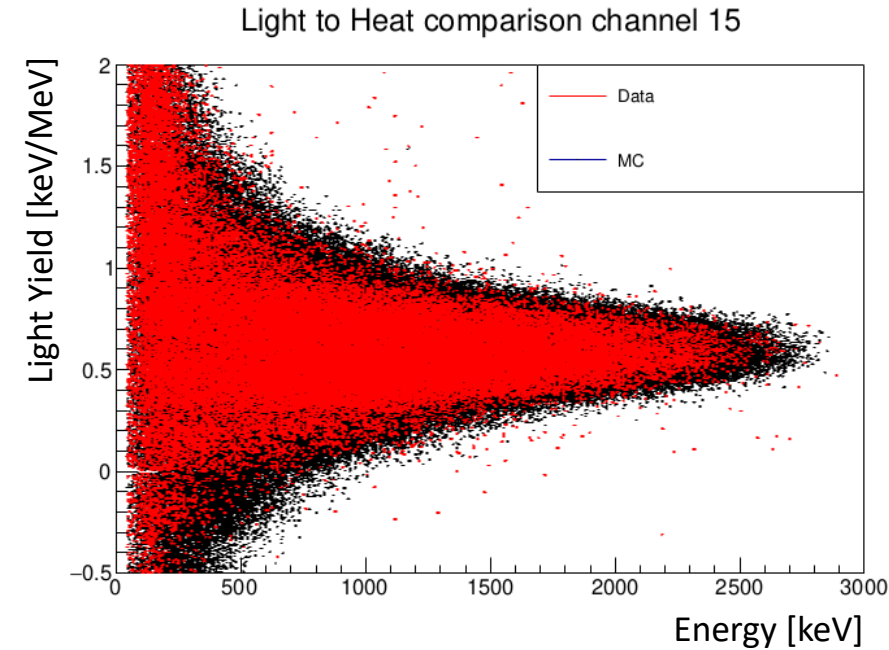
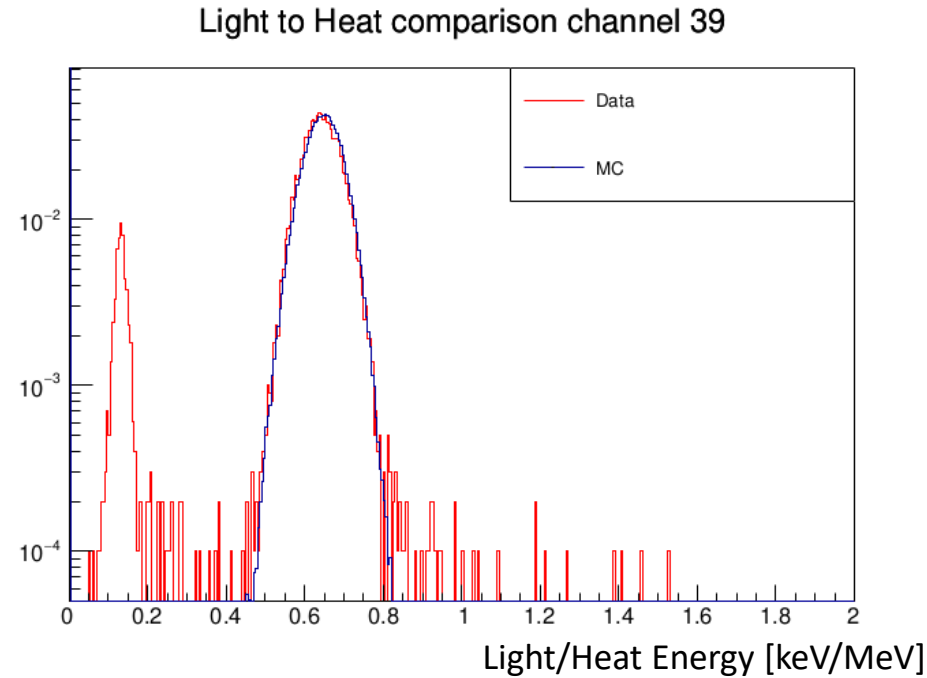
- We compute :

- Multiplicity

- Delayed coincidences

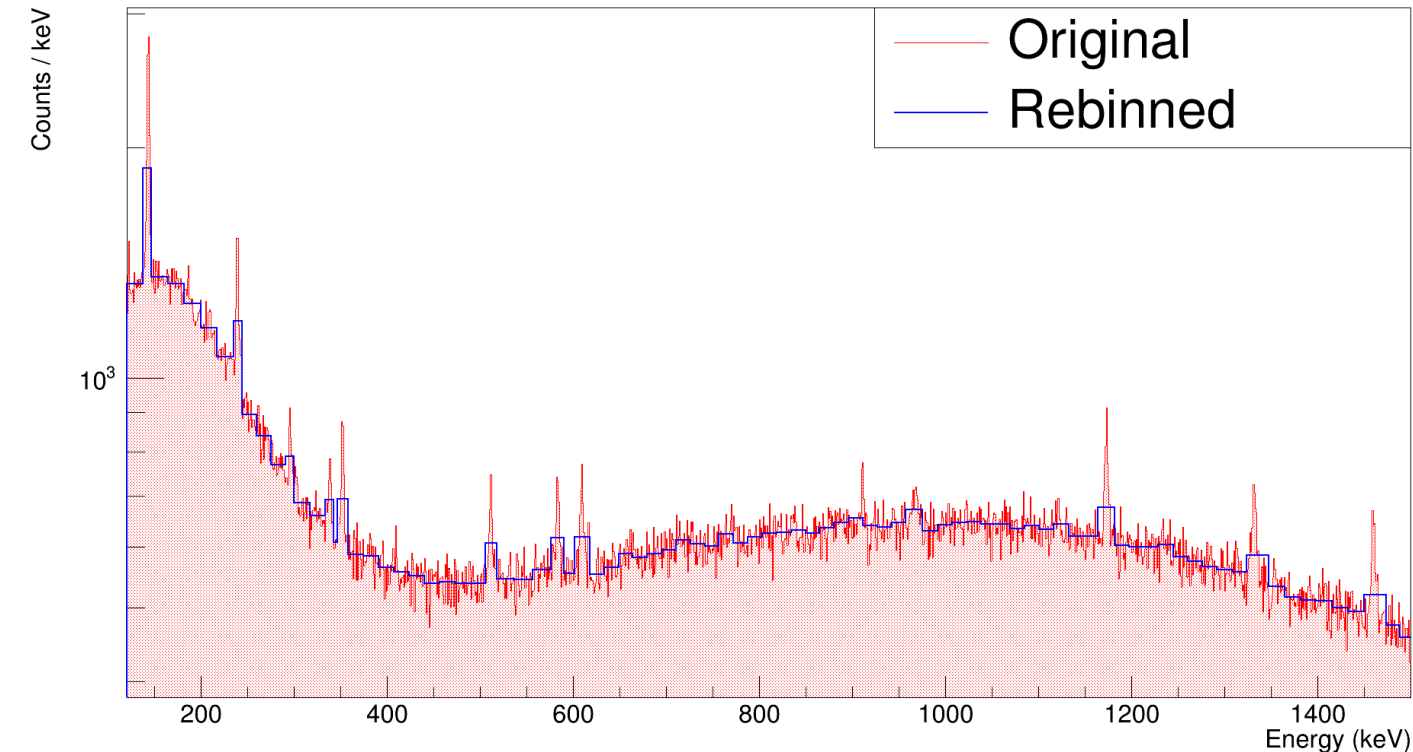
- Light Detector signal

- For each deposited energy in the crystal we generate randomly a scintillation light
- This scintillation light is generated according to the light distribution in the data



# Final reference fit

- Fit performed with a Bayesian analysis using Just Another Gibbs Sampler (JAGS)
- Parameters of the model tell us the radioactive contamination of the various components
- A robust background model allows for several further physics studies



## Parameters :

- Variable binning
- Peaks are fully contained in one bin
- $M_{1,\beta/\gamma}$ 
  - Interval : [100 ; 4000] keV
- $M_{2\text{sum}}$ 
  - Interval : [400 ; 4000] keV
- $M_{1,\alpha}$ 
  - Interval : [3000 ; 10 000] keV



# Priors : Final Reference Fit

## Excited state $2\beta 2\nu 0_1^+$ :

- $T_{1/2} = (6.7 \pm 0.5) 10^{20}$  years

*Barabash, A. S. AIP Conference Proceedings.  
Vol. 2165. No. 1. AIP Publishing LLC, 2019.*

## Pile-up :

- Spectrum is generated by random selection of 2 events in the  $2\beta 2\nu$  spectrum

$$\Gamma_{pileup} \propto \Gamma_{single}^2 \times \Delta t_{eff} \quad \leftarrow \text{time resolution of the detector}$$

- In calibration data :  $\Delta t_{eff} < 7ms$  (90% C.I.)

## Accidentals :

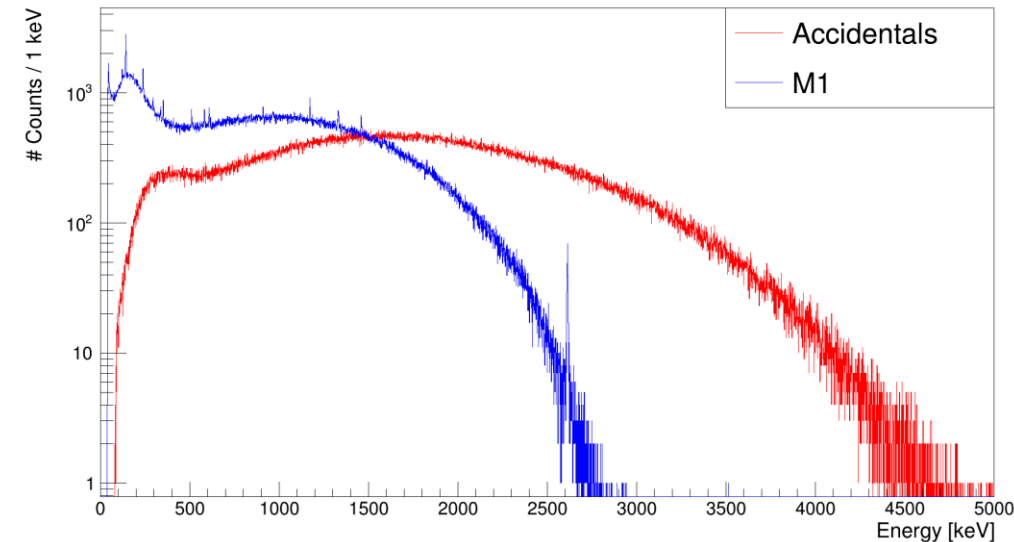
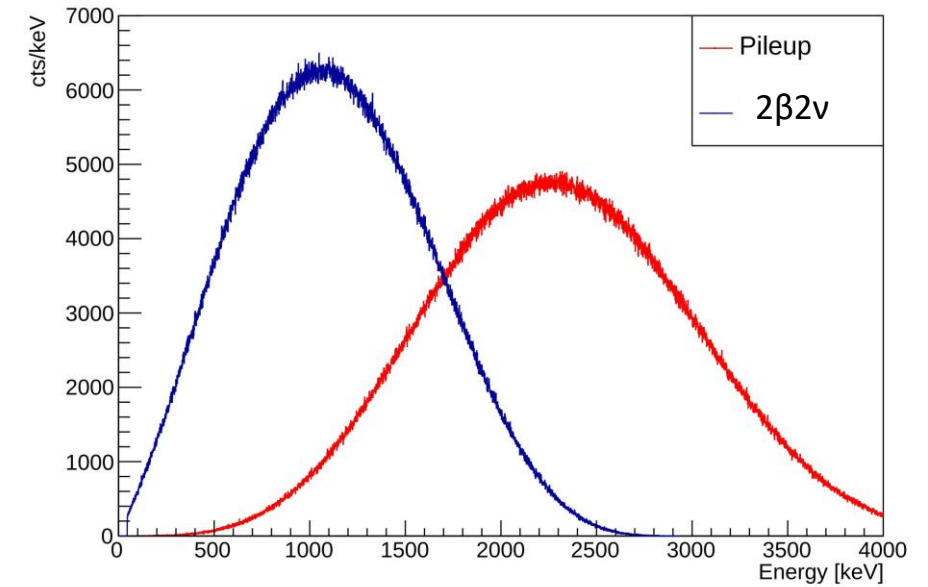
- Spectrum is generated by random selection of 2 events in the  $M_{1,\beta/\gamma}$  spectrum

$$N_{random} \propto N_{M1}^2 \times \Delta t \quad \leftarrow \text{time window of the multiplicity}$$

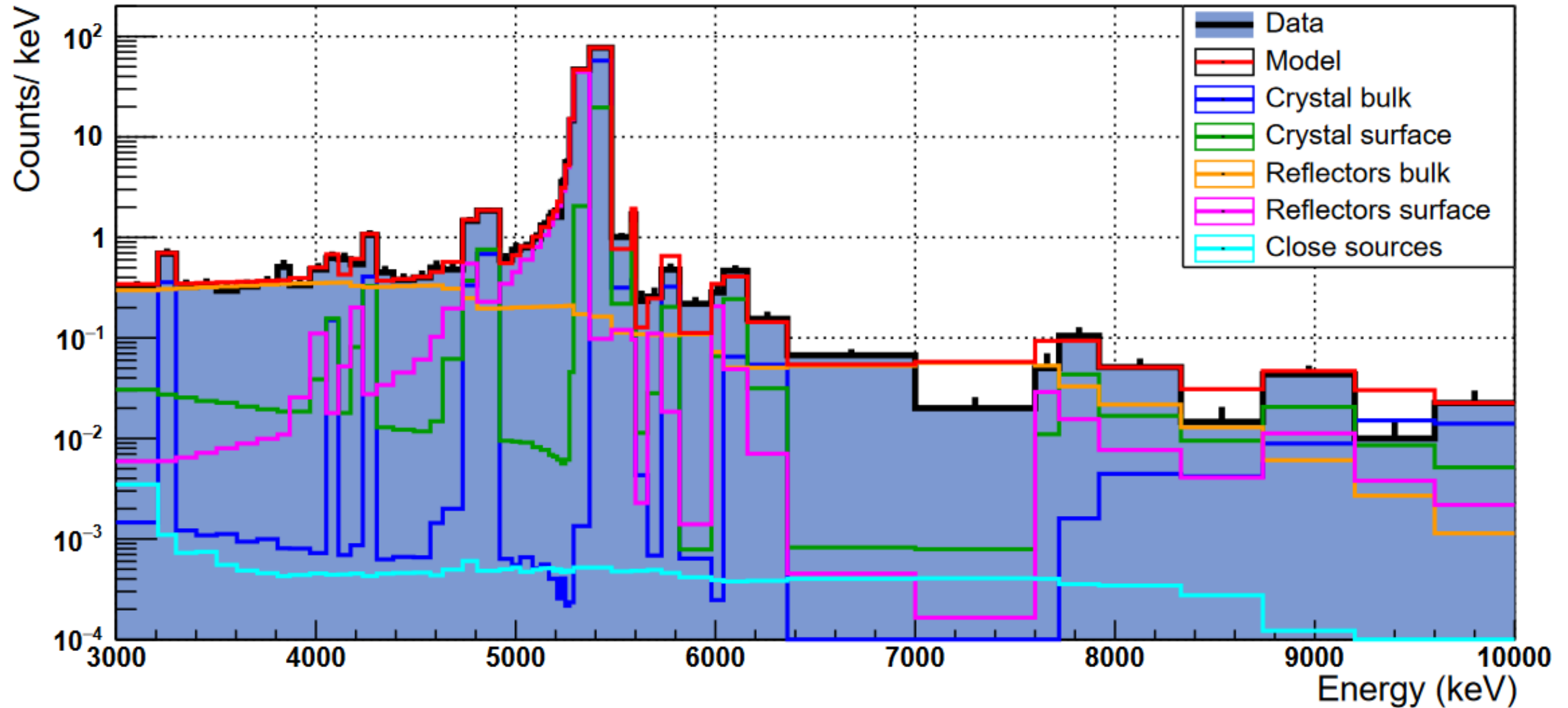
- $N_{accidentals} = 620 \pm 25$  events

## Activities of Springs :

- $^{40}\text{K}$  :  $3600 \pm 400$  mBq/kg
- $^{226}\text{Ra}$  :  $11 \pm 3$  mBq/kg
- $^{228}\text{Th}$  :  $21 \pm 5$  mBq/kg



# Background components : $M_{1,\alpha}$



# Backgrounds of CUPID-Mo / CUPID

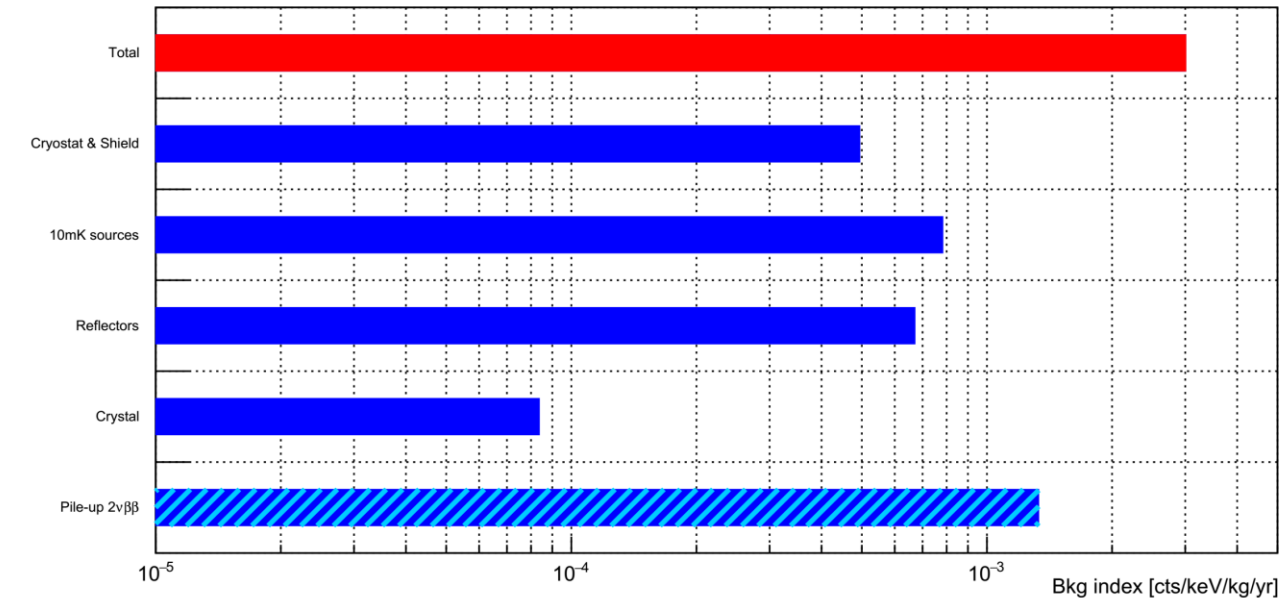
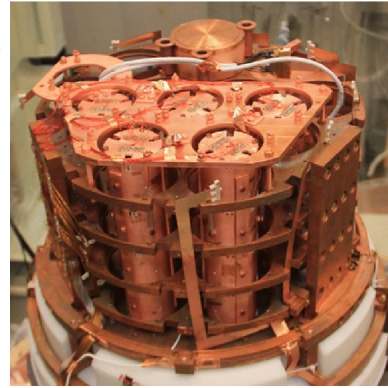
## CUPID-Mo

Laboratoire souterrain de Modane (LSM)

Edelweiss cryostat

20  $\text{Li}_2^{100}\text{MoO}_4$  crystals

Closed structure



## CUPID - Next bolometric ton scale experiment for $0\nu\beta\beta$

Laboratori Nazionali del Gran Sasso (LNGS)

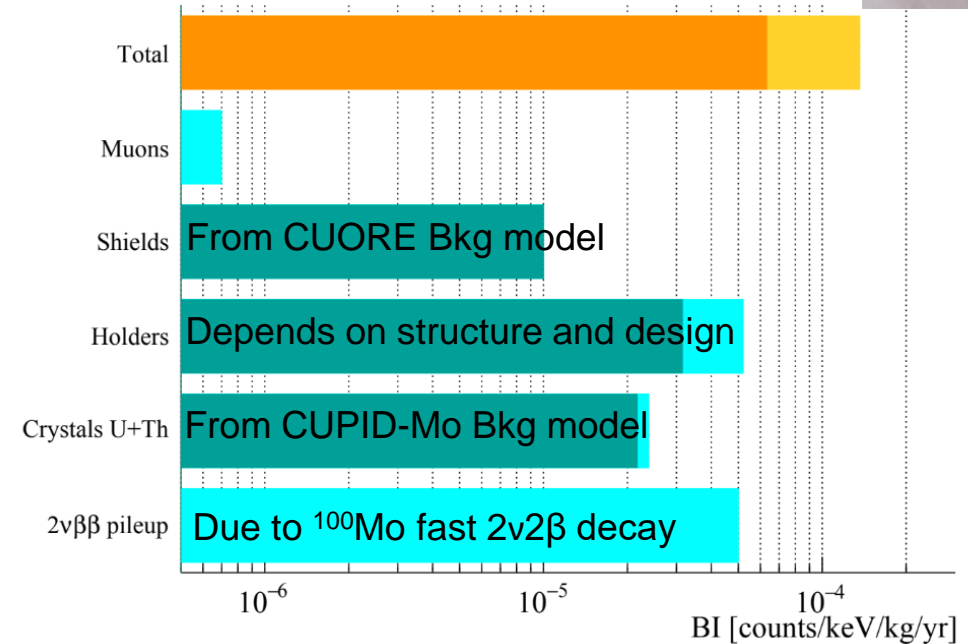
CUORE cryostat

~ 1500  $\text{Li}_2^{100}\text{MoO}_4$  crystals

Open structure



Background Index Goal =  $10^{-4}$  ckky



# Determination of the $^{100}\text{Mo}$ $2\nu\beta\beta$ half life

Thanks to the robust background model one can extract the  $T_{1/2}^{2\nu\beta\beta}$

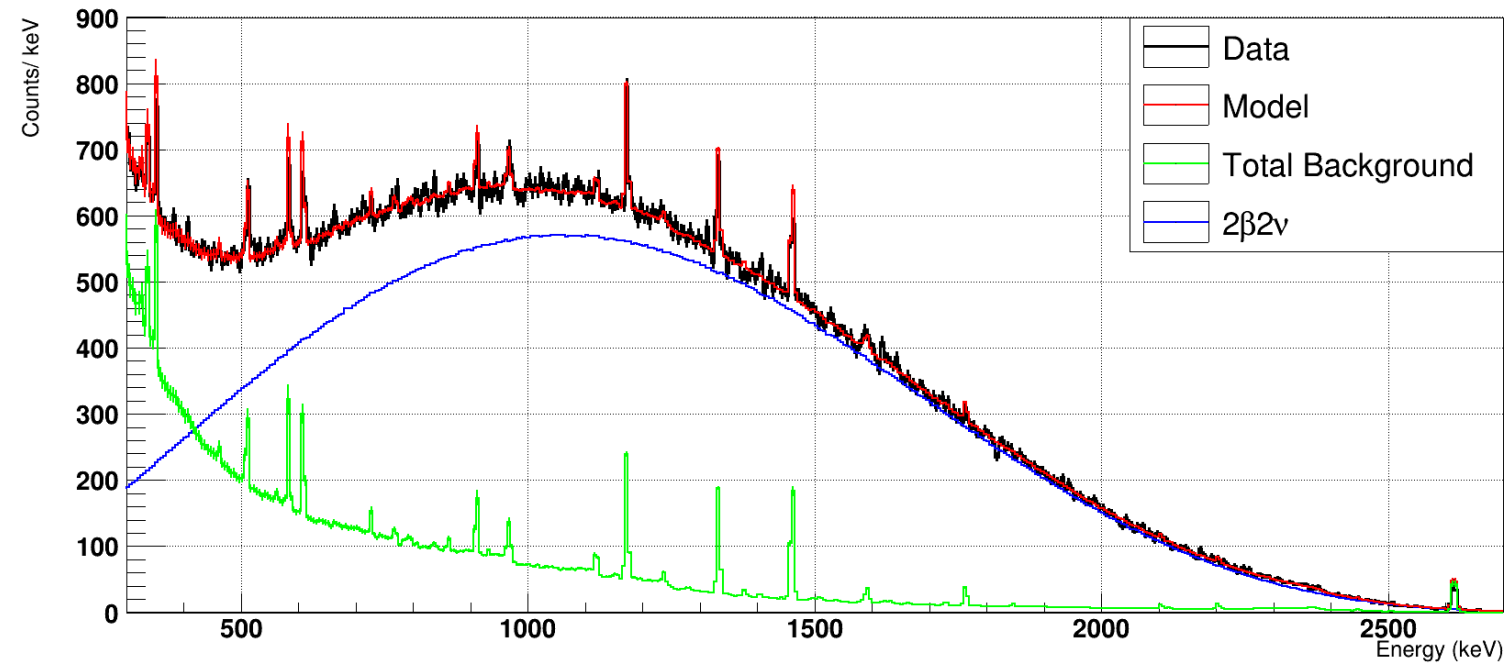
Previous measurements : Uncertainty =  $+2.9\%$   $-2.4\%$  [arXiv:1912.07272](https://arxiv.org/abs/1912.07272)

Blinded analysis : From our reference fit we extract the normalization coefficient (that we don't translate in terms of half life)

Statistical uncertainty =  $\pm 0.3\%$

We estimate the systematic uncertainties induced by :

1. The  $M_{1,\beta/\gamma}$  range : Syst = 0.3 %
  - [200 ; 4000]
  - [500 ; 4000]
2. The choice of binning : Syst = 0.4 %
  - 1 keV fixed binning
  - 2 keV fixed binning
  - 20 keV fixed binning
3. Statistical fluctuations in the MC : Syst = 0.1 %
4. Choice of sources : Syst = 0.2 %



We have to estimate the uncertainty coming from efficiency that is expected to be  $\sim 1\%$

With such errors we could achieve the most precise measurements of  $^{100}\text{Mo}$   $2\nu\beta\beta$  half life up to date

# Decay process of $^{100}\text{Mo}$ $2\nu\beta\beta$

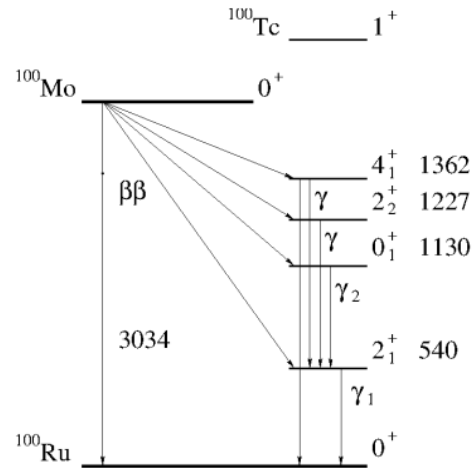
The process of  $^{100}\text{Mo}$   $2\nu\beta\beta$  is not established

SSD Intermediate state :

- Ground state of  $^{100}\text{Tc}$

HSD Intermediate state :

- Include higher states of  $^{100}\text{Tc}$



Models can be distinguished by the shape of  $^{100}\text{Mo}$   $2\nu\beta\beta$

The two models were parameterized according to Jenni Kotila

The **HSD model is clearly disfavoured** from the fit

Experimentally **we favoured the SSD model**

This is in agreement with observations of other experiments

