Off-shell Higgs into 4 leptons & electron tracking in ATLAS

Arnaud Maury

PhD supervised by David Rousseau



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Laboratoire de Physique des 2 Infinis Laboratoire des 2 Infinis Irène Joliot-Curie Orsay Université

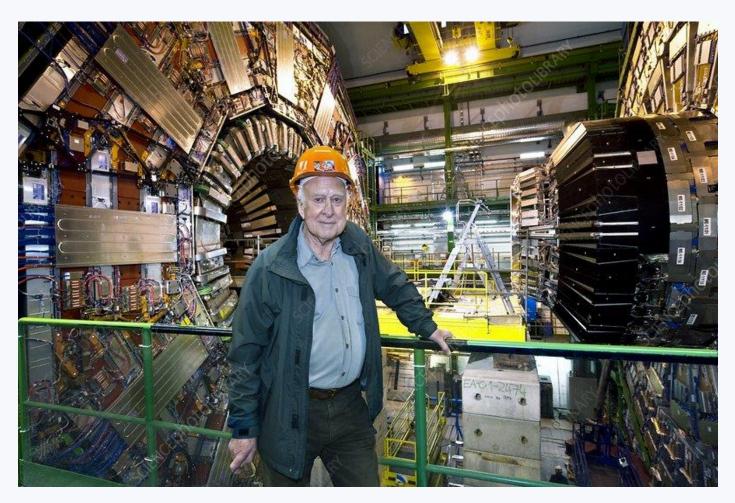
Off-shell Higgs into 4 leptons

- EFT Monte-Carlo generation of off-shell Higgs:
 - Higgs: a bit of history
 - H4I: the golden channel
 - Off-shell Higgs
 - What is SMEFT?
 - Basics of Monte-Carlo generation for HEP
 - Validation plots

Simulation-based inference (with ML)

Higgs: a bit of history

Discovered at CERN in 2012!





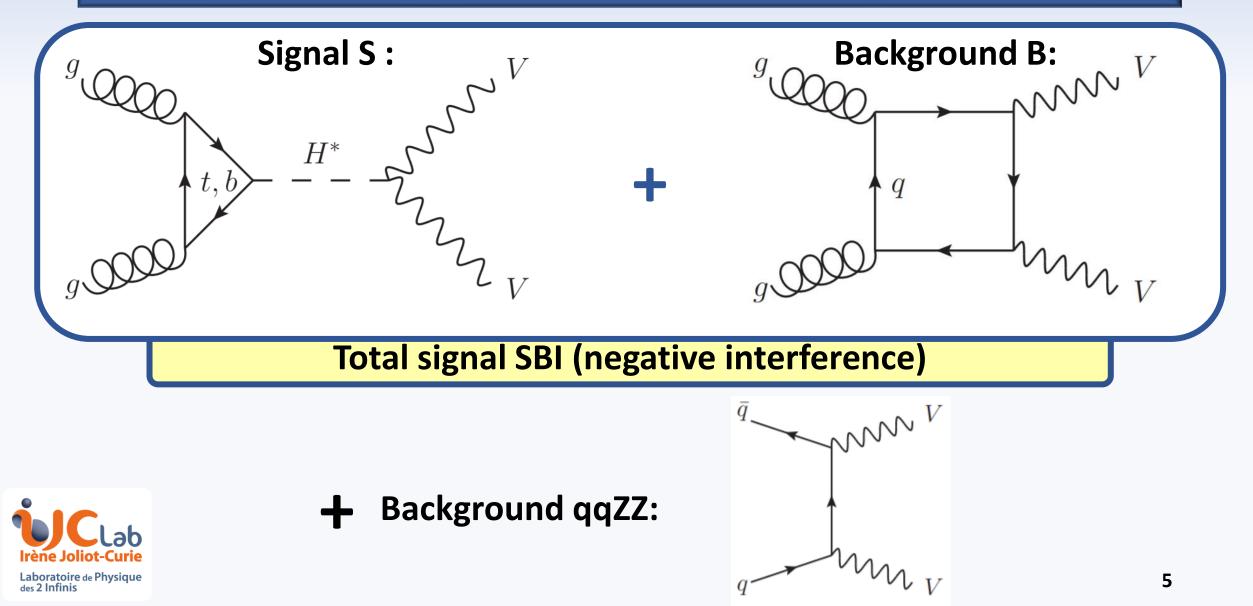
H4l decay mode

- H -> ZZ* -> $\ell^+ \ell^- \ell^+ \ell^-$ (/!\ : $\ell = e \text{ or } \mu$)
- Called the "golden channel"
 - yields a very clean signal
 - is one of the Higgs decay modes with the best resolution
- Downside: low number of events
 - BR(H -> ZZ) = 2.6% (in comparison, BR(H -> bb) = 58%, BR(H -> W⁺W⁻) = 21%)
 - BR(Z -> I⁺I⁻) = 6.7%



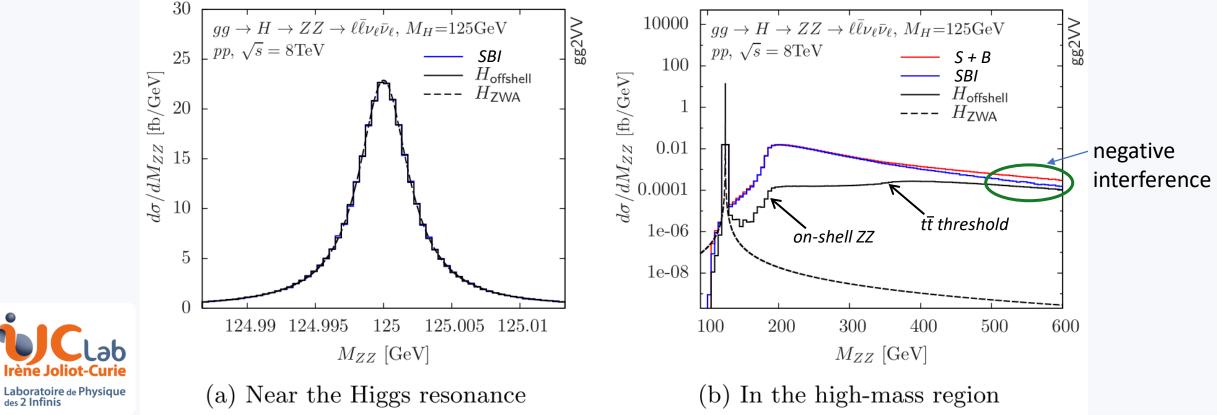
(at m_H = 125 GeV)

Interference and background(s)



Off-shell Higgs

- *High-Mass (HM) region:* m₄₁ > 220 GeV
- Off-shell Higgs production is not negligible (kinematics: on-shell ZZ at 180 GeV, tt threshold at ~350 GeV).
- But still, $m_H = 125 \text{ GeV}$ while $\Gamma_H = 4.1 \text{ MeV}...$
- Zero-Width Approximation works well around 125 GeV, but not in the High-Mass region



Inadequacy of zero-width approximation for a light Higgs boson signal, N. Kauer, G. Passarino, arXiv:1206.4803 [hep-ph]

SMEFT: an Effective Field Theory for the SM

•
$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \sum_{i} c_i^{(5)} O_i^{(5)} + \frac{1}{\Lambda^2} \sum_{i} c_i^{(6)} O_i^{(6)} + \dots$$

C_i : Wilson coefficients

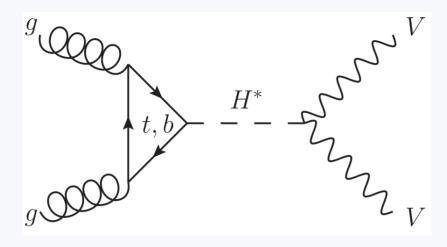
 O_i : Wilson operators

- We treat the SM as an effective theory.
- We only consider dimension-6 operators.
- Considered operators :
 - O_pg, O_pt, O_tp



Monte-Carlo generation 101

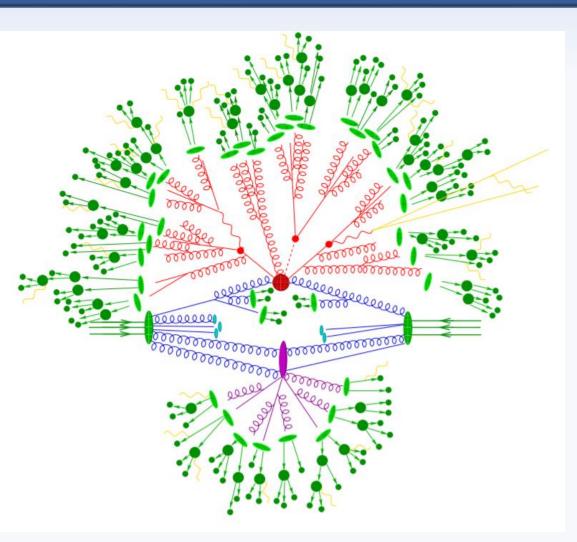
Parton level process (hard scattering) : MadGraph



Parton shower (Pythia)



Monte-Carlo generation 101





Introduction to parton-shower event generators, Stefan Höche, arXiv:1411.4085 [hep-ph]

Validation plots

- The main goal: compare two versions of the « SMEFTatNLO » model (v0.1 vs v1.0).
- Two versions of MadGraph were finally used: MGv2.7.3 and MGv2.9.3
- Plots for these observables: $|\cos \theta^*|$, $|y_{4|}|$, $\cos(\theta_1)$, $\cos(\theta_2)$, $m_{4|}$, $p_T(4|)$, Φ
- Relevant EFT operators: Opt, Otp, OpG



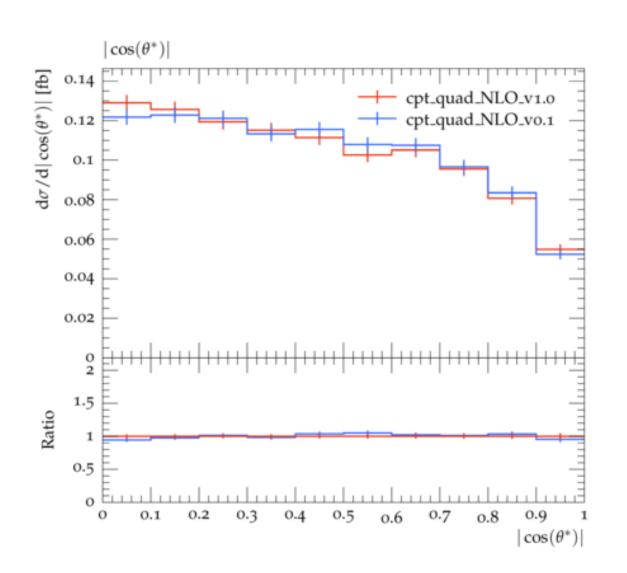
SMEFTatNLO v1.0 vs v0.1

With MadGraph v2.9.3 (release 21.6.71)

Observable: **|cos θ*|**

Operator: **cpt**

Quadratic contribution





SMEFTatNLO v1.0 vs v0.1

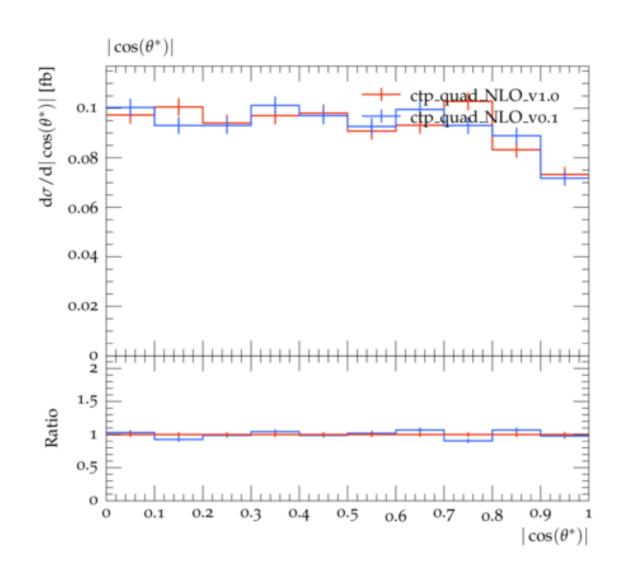
With MadGraph v2.9.3 (release 21.6.71)

Observable: **|cos θ*|**

Operator: **ctp**

Quadratic contribution

Same observable





SMEFTatNLO v1.0 vs v0.1

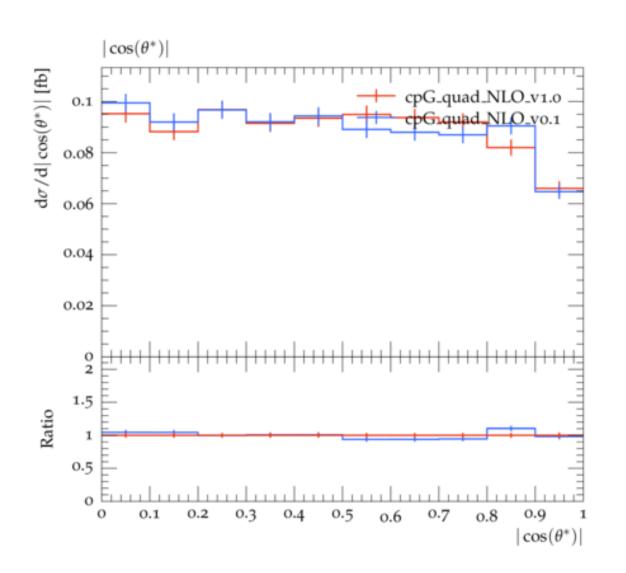
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Observable: **|cos θ*|**

Operator: **cpG**

Quadratic contribution

Same observable





What we are trying to achieve:

Theory parameters:

- Signal strength μ
- Couplings of the Higgs with other particles
- EFTs: Wilson coefficients

Physical observables:

• Fireworks



What we are trying to achieve:

Theory parameters:

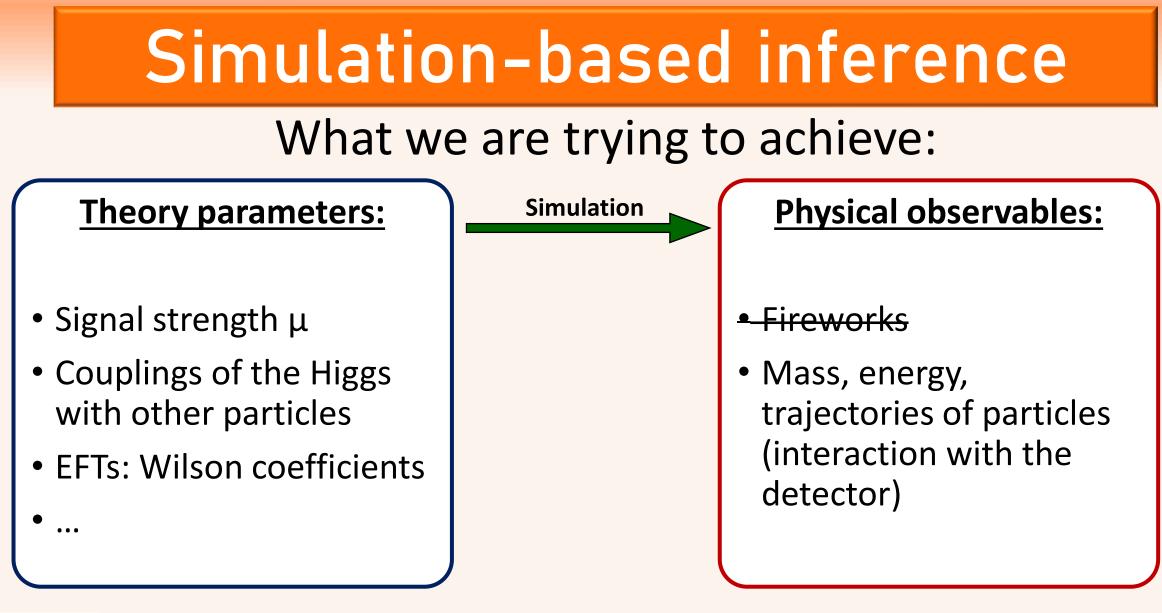
- Signal strength $\boldsymbol{\mu}$
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Physical observables:

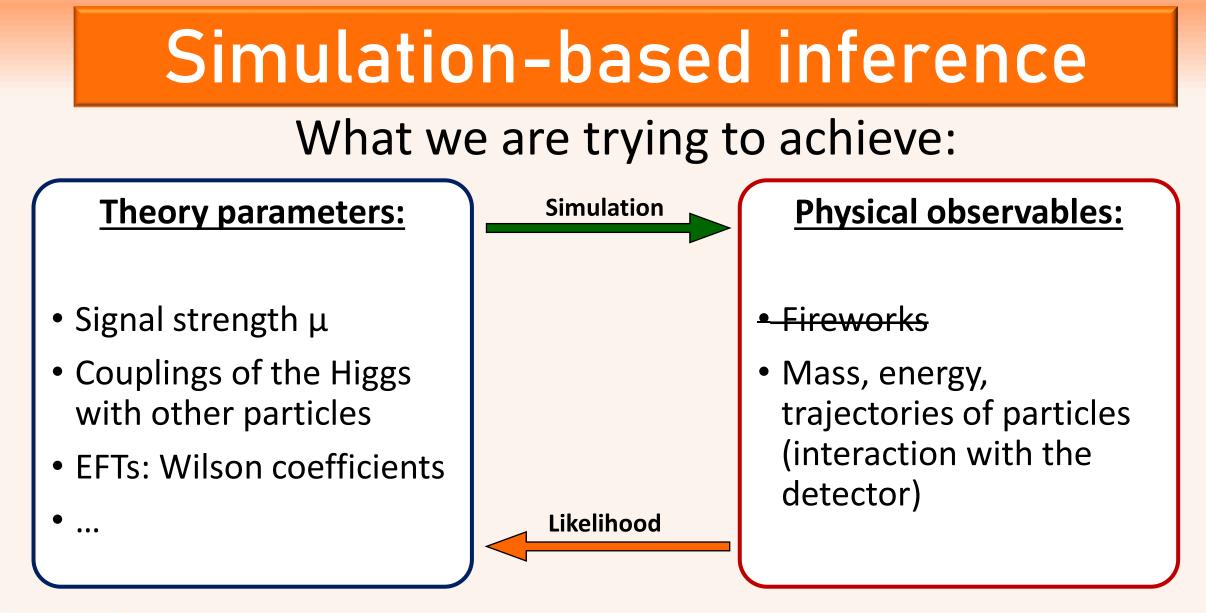
Fireworks

 Mass, energy, trajectories of particles (interaction with the detector)



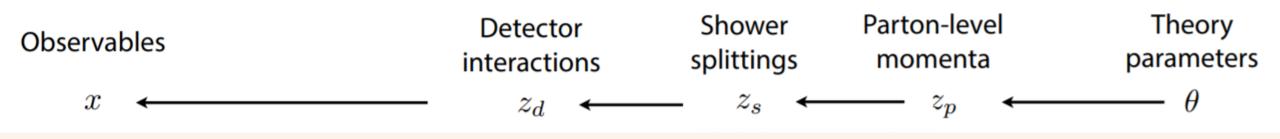








- Intractable problem (like in thermodynamics, epidemiology, meteorology, etc)
- Here, the likelihood function is **not tractable**, because of the high dimension of the problem (dimensionality curse), non-analytical processes (e.g. parton shower), incomplete computation (only upto NLO diagrams), experimental effects...



• Main idea: train DNNs to estimate several probability ratios



Mixture Model (1/2)

• *"Mixture model"* idea: train 3 different DNNs to estimate 3 probability ratios:

➢ SBI vs S: $\frac{p(x|SBI)}{p(x|S)}$ ➢ B vs S: $\frac{p(x|B)}{p(x|S)}$ ➢ qqZZ vs S: $\frac{p(x|qqZZ)}{p(x|S)}$

Reminder: There are 4 processes studied here: S, B, SBI and qqZZ.



Mixture Model (2/2)

• *"Mixture model"* idea:

re-express the likelihood ratio into those 3 probability ratios.

3 ratios:
$$\frac{p(x|SBI)}{p(x|S)}$$
, $\frac{p(x|B)}{p(x|S)}$ and $\frac{p(x|qqZZ)}{p(x|S)}$.

$$\frac{p(\vec{x}|\theta)}{p(\vec{x}|1)} = \frac{\sigma_{obs}(1)}{\sigma_{obs}(\theta)} \frac{(\theta^2 - \theta) \sigma_{S} + \theta \sigma_{SBI} \frac{p(x|SBI)}{p(x|S)} + (1 - \theta) \sigma_{B} \frac{p(x|B)}{p(x|S)} + \sigma_{qqZZ} \frac{p(x|qqZZ)}{p(x|S)}}{\sigma_{SBI} \frac{p(x|SBI)}{p(x|S)} + \sigma_{qqZZ} \frac{p(x|qqZZ)}{p(x|S)}}$$



Simulation-based inference applied to off-shell H4l to find 1 parameter (signal strength θ): work by Jay Sandesara

3 ratios:
$$\frac{p(x|SBI)}{p(x|S)}$$
, $\frac{p(x|B)}{p(x|S)}$ and $\frac{p(x|qqZZ)}{p(x|S)}$.

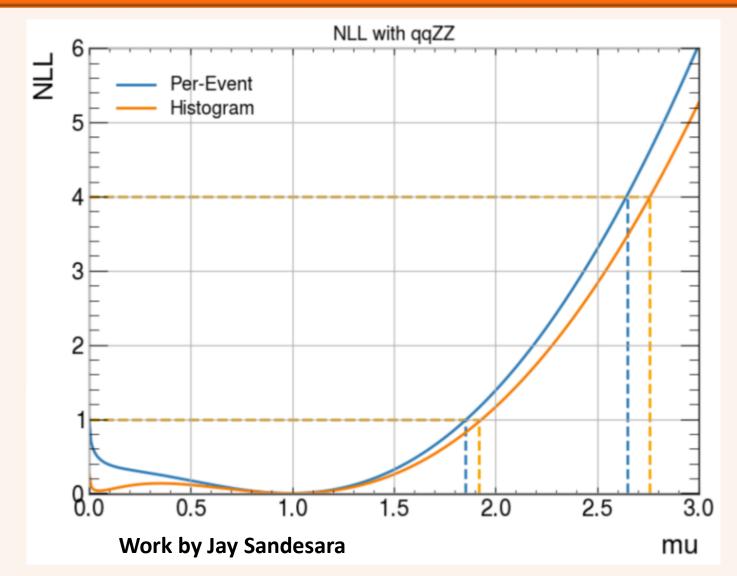
$$\frac{p(x|B)}{p(x|S)} = \frac{\sigma_{obs}(1)}{\sigma_{obs}(\theta)} \frac{(\theta^2 - \theta)}{(\theta^2 - \theta)} \sigma_S + \theta \sigma_{SBI} \frac{p(x|SBI)}{p(x|S)} + (1 - \theta) \sigma_B \frac{p(x|B)}{p(x|S)} + \sigma_{qqZZ} \frac{p(x|qqZZ)}{p(x|S)} \frac{p(x|qqZZ)}{p(x|S)}$$

 $\frac{p(x|\theta)}{p(x|1)}$ is our main goal: the likelihood ratio for the parameter strength.



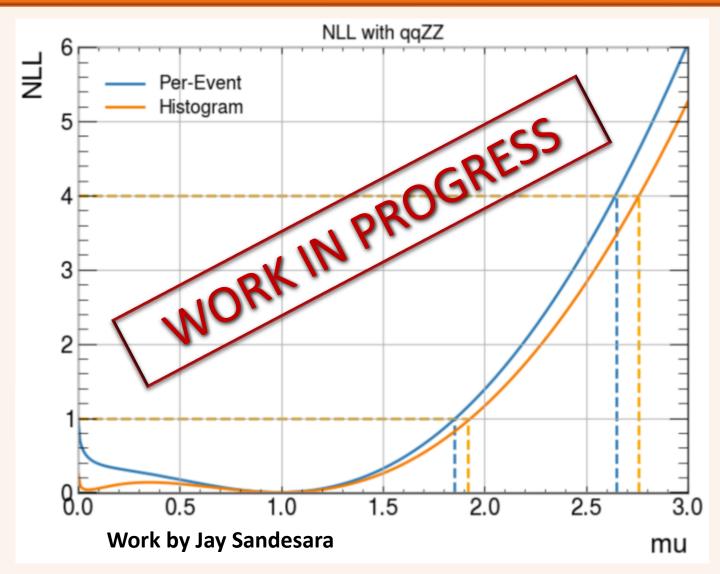
This is what we can and will use to analyse experimental data (one day).

Comparison between "conventional" histogram method and this NN/statistics method:





Comparison between "conventional" histogram method and this NN/statistics method:



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- Need to take into account systematics
- NN training: the problem of negative weights

 This method still is not very stable: either too much bias or too much variance...

23

Electron tracking in ATLAS (ITk)

- ITk, a new all-silicon tracker for ATLAS:
 - Tracking: what is a track?
 - How to find tracks
 - The problem of electrons (spoiler alert, it's because of bremsstrahlung)
- The ACTS software
 - Adaptation to ITK and integration to Athena
 - Integration of a new track fitting algorithm (GSF)
 - Pull plots, a useful diagnostic tool

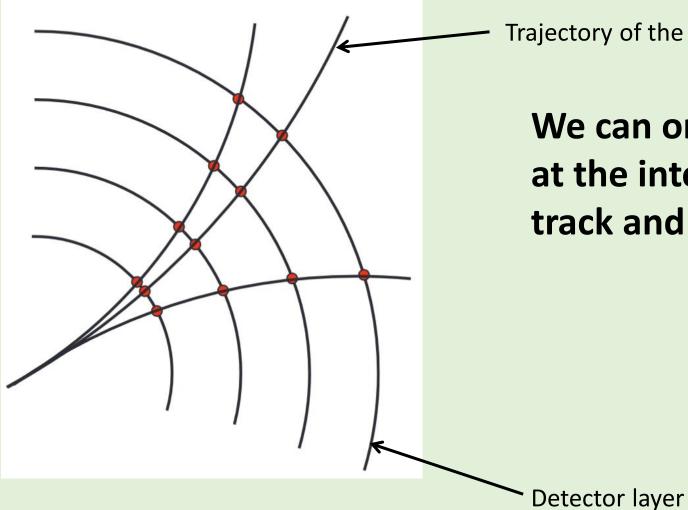


The ACTS software

- A Common Tracking Software
- Originates from the indigenous tracking software developed at ATLAS.
- Was then restarted from scratch as an experiment-independent software
- The project now:
 - integrate to ACTS a new track fitting algorithm (GSF);
 - integrate it back to ATLAS (for ITk);
 - > Validation: Physics must be accurate, execution should be efficient.



What is a track?



Trajectory of the particle: a track

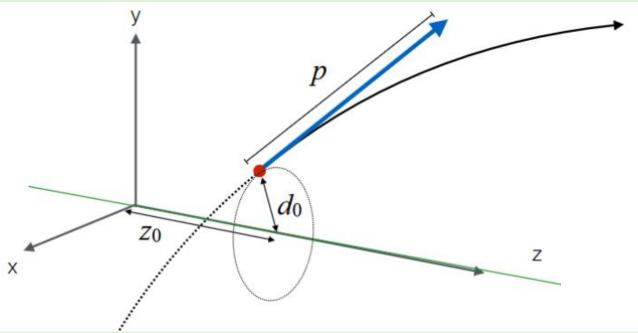
We can only measure points, at the intersection of the track and the detectors !



What is a track?

Each track is (uniquely) defined by 5 parameters:

- d0
- z0
- θ : angle with the beam axis

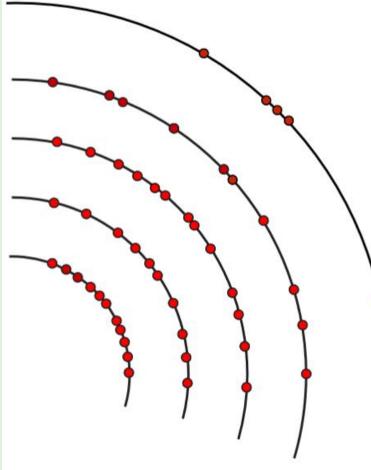


- ϕ : angle in the normal plan of the beam axis
- q/p : electric charge over momentum

Figure from Andreas Salzburger (Track and Vertex Reconstruction - HCPSS Aug 11-22, 2014)

How to find tracks

From those points, we must find a track. But this is not always trivial...



Basic idea:

- Find a seed (a crude first guess)
- Refine this "idea" by propagation (B field map, magnetic force, Runge-Kutta...)
- Do a chi-square minimization
- Current default method: Combinatorial Kalman Filter (**CKF**)



The problem of (low energy) electrons

- At "low" energies (in the order of 5 to 10 GeV), electrons radiate and lose a lot of energy by bremsstrahlung.
- Reminder: the curvature is inversely proportional to the momentum.
- Consequence: the trajectory gets more curved than expected.
- Solution: use a fitting algorithm that can take this phenomenon into account and better fit electron tracks: **Gaussian Sum Filtering (GSF)**

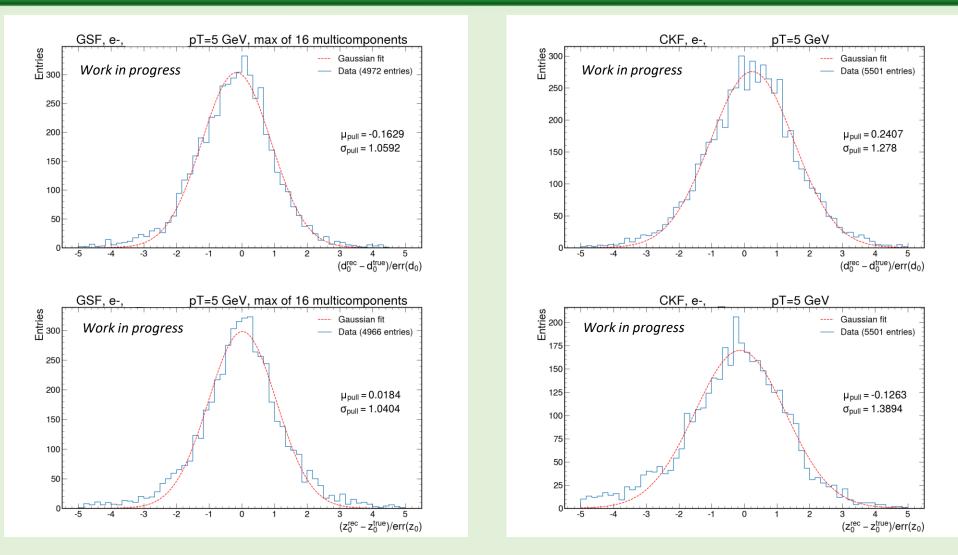


Pull plots

- Pull defined as: (true_value fitted_value) / error_value
- For each track, for each parameter (one of 6), we have a pull value.
- Plot the histogram. Must be ideally:
 - a gaussian
 - centred
 - a standard deviation of 1.



Electrons: GSF vs CKF, p_T=5 GeV





Better performance from the GSF (left)

Conclusion

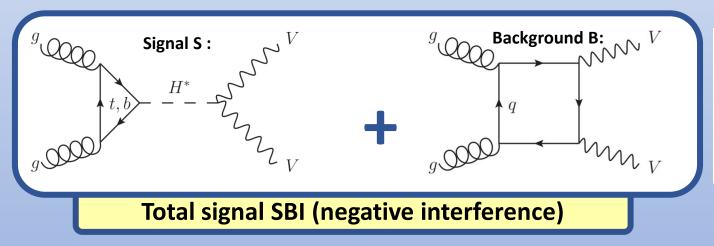
- There are interesting new studies to be done in the **off-shell Higgs**' study!
- Monte-Carlo generation is challenging especially for EFT samples.
- But MC is our main source of data when developing new stat/ML techniques or tracking algorithms.
- With HL-LHC coming up, aggressive R&D is a must. Computational progress will not be able to keep up.

- Run 3 and the ITk upgrade are coming up soon.
- Work to integrate a new track fitting algorithm (GSF) to ACTS.
- Work to make ACTS work for ITk.
- In progress: validate ACTS GSF vs the existing ATLAS GSF in Athena, the software versioning framework of ATLAS.

Thank you for your attention

Backup

Interference and background(s)



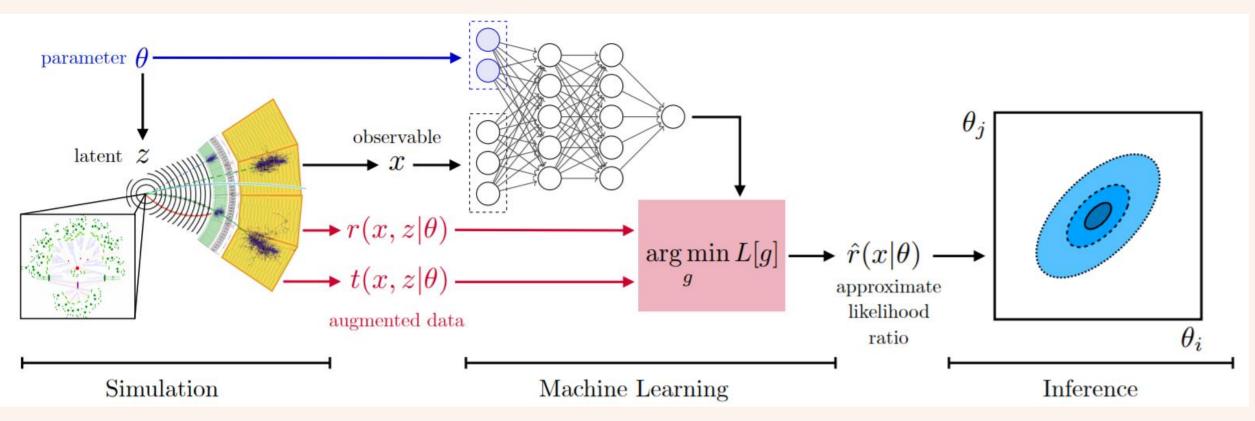
$$|\mathcal{M}_{\rm SBI}|^2 = |\mathcal{M}_S + \mathcal{M}_B|^2$$
$$= |\mathcal{M}_S|^2 + 2\cos(\theta) \cdot |\mathcal{M}_S \mathcal{M}_B| + |\mathcal{M}_B|^2$$

SBI = S + I + B

Note that *I* can be **negative** (here, it is the case).

+ Background B2:

NNN V Mr V





Constraining Effective Field Theories with Machine Learning, J. Brehmer, K. Cranmer, G. Louppe and J. Pavez arXiv:1805.00013 [hep-ph]