

# Quantum-Origin of the Matter-Antimatter Asymmetry

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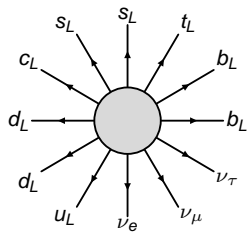
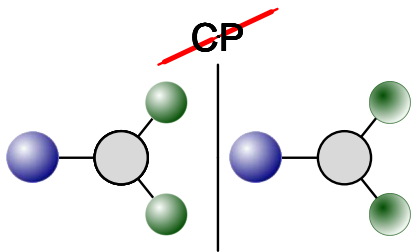
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# Outline

- 1 Baryogenesis via Leptogenesis
- 2 Leptogenesis and Kinetic Theory
- 3 Systematic Kadanoff-Baym Approach
- 4 Application to Phenomenological Theories
- 5 Thermal Masses and Modified Dispersion Relations

$$\eta_B \simeq 6 \cdot 10^{-10}$$



$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{2} \bar{N}_i (i\partial - M_i) N_i - h_{\alpha i} \bar{\ell}_\alpha \tilde{\phi} P_R N_i - h_{i\alpha}^\dagger \bar{N}_i \tilde{\phi}^\dagger P_L \ell_\alpha$$

- kinetic equations to describe non-equilibrium process usually derived from generalized Boltzmann equations (BEs)

$$k^\mu \mathcal{D}_\mu f^\ell(X, k) = \sum_{\text{interactions of } \ell} C_k^{\ell+ \leftrightarrow i+} [f^\ell] (X, k)$$

- 2 ↔ 1 collision term

$$C^{\ell\phi \leftrightarrow N_i}(k) = \frac{1}{2} \int d\Pi_p^\phi d\Pi_q^{N_i} (2\pi)^4 \delta(k+p-q) \left[ \text{diagram 1} - \text{diagram 2} \right]$$

where

$$\begin{aligned} \text{diagram 1} &= \left| \text{diagram 1} \right|^2 (1 \pm f_k^\ell) (1 \pm f_p^\phi) f_q^{N_i} \\ \text{diagram 2} &= \left| \text{diagram 2} \right|^2 f_k^\ell f_p^\phi (1 \pm f_q^{N_i}) \end{aligned}$$

- 2 ↔ 1 collision term antiparticles

$$C^{\ell\bar{\phi} \leftrightarrow \bar{N}_i}(k) = \frac{1}{2} \int d\Pi_p^{\bar{\phi}} d\Pi_q^{\bar{N}_i} (2\pi)^4 \delta(k+p-q) \left[ \text{diagram 1} - \text{diagram 2} \right]$$

- one-loop **vertex** and **self-energy** contribution

The diagram shows a tree-level vertex (a circle with three external lines) equal to the sum of three terms: a tree-level vertex with a lepton line and a scalar line, a one-loop vertex correction with a fermion loop, and a one-loop self-energy correction with a fermion loop on the incoming line.

$$\text{Vertex} = \frac{\ell}{N_i \phi} + \text{One-loop vertex} + \text{One-loop self-energy}$$

- parametrize matrix elements by **CP-violating parameter**

$$\left| \text{Vertex} \right|^2 = \frac{1}{2}(1 + \epsilon_i) |\mathcal{M}|_{N_i}^2, \quad \left| \text{Self-energy} \right|^2 = \frac{1}{2}(1 - \epsilon_i) |\mathcal{M}|_{N_i}^2$$

$$\epsilon_i = \frac{|\mathcal{M}|_{N_i \rightarrow \ell \phi}^2 - |\mathcal{M}|_{N_i \rightarrow \bar{\ell} \bar{\phi}}^2}{|\mathcal{M}|_{N_i \rightarrow \ell \phi}^2 + |\mathcal{M}|_{N_i \rightarrow \bar{\ell} \bar{\phi}}^2}$$

$$\epsilon_i = 2 \frac{\Im\{(h^\dagger h)_{ij}^2\}}{(h^\dagger h)_{ii} M_i} \left[ \Im\left\{ \text{Tree}^* \times \text{One-loop vertex} \right\} + 2 \Im\left\{ \text{Tree}^* \times \text{One-loop self-energy} \right\} \right]$$

- e.g. **self-energy** contribution

$$\epsilon_i^S = \frac{\Im\{(h^\dagger h)_{ij}^2\}}{(h^\dagger h)_{ii} (h^\dagger h)_{jj}} \frac{M_i \Gamma_j}{M_i^2 - M_j^2}$$

- matrix elements computed in vacuum

$$\Im \left\{ \text{diagram}_1^* \times \text{diagram}_2 \right\} = \text{diagram}_3 + \text{diagram}_4$$

- self-energy, completed by **finite width** (Pilaftsis, Buchmüller, Plümacher)

$$\epsilon_i^S \rightarrow \frac{\Im \{ (h^\dagger h)_{ij}^2 \}}{(h^\dagger h)_{ii} (h^\dagger h)_{jj}} \frac{(M_i^2 - M_j^2) M_i \Gamma_j}{(M_i^2 - M_j^2)^2 + (M_i \Gamma_j)^2}, \quad d\Pi_q^{\mathbb{N}_j} = \int \frac{d^3 \mathbf{q} dq_0}{(2\pi)^3} \delta(q^2 - M_j^2)$$

- RIS subtraction for  $(n_{\ell} - n_{\bar{\ell}})$

$$\int \frac{d^3 k}{(2\pi)^3 E_k^\ell} \left[ C^{\ell\phi \leftrightarrow \mathbb{N}_j}(k) - C^{\bar{\ell}\phi \leftrightarrow \mathbb{N}_j}(k) \right] = 4\epsilon \int d\Pi (2\pi)^4 \delta(k+p-q) \text{diagram}_5$$

using  $\left( \text{diagram}_6 = \text{diagram}_7 \right)$

$$\text{diagram}_8 \rightarrow \text{diagram}_9 - \text{diagram}_{10} \quad \text{in } C^{\ell\phi \leftrightarrow \bar{\ell}\phi}(k)$$

- shortcomings suggest **top-down derivation** from **Kadanoff-Baym**, for toy-model (arXiv: 0807.4551, 0909.1559, 0911.4122, 1005.5385)

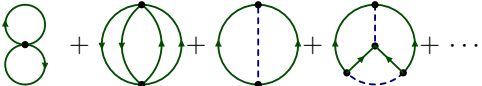
$$\mathcal{L}_{int} = -\frac{\lambda}{2!2!}(\bar{b}b)^2 - \frac{g_i}{2!}\psi_i b b - \frac{g_i^*}{2!}\psi_i \bar{b} \bar{b}, \quad i = 1, 2$$

$$\left( \text{N}_i \rightarrow \text{L}\Phi, \text{N}_i \rightarrow \text{L}\Phi \right) \implies \left( \psi_i \rightarrow \text{b}\text{b}, \psi_i \rightarrow \text{b}\text{b} \right)$$

other approaches: (MG, AH, AK, ML; Anisimov, Buchmüller, Drewes, Mendizabal; Beneke, Garbrecht, Herranen, et.al.; De Simone, Riotto)

- Schwinger–Dyson equation** (with self-energy  $\Sigma$ )

$$D^{-1}(x, y) = \mathcal{D}^{-1}(x, y) - \Sigma(x, y), \quad \Sigma(x, y) \equiv 2i \frac{\delta \Gamma_2[D, \Phi]}{\delta D(y, x)}$$

- 2PI functional  $\Gamma_2 =$ 


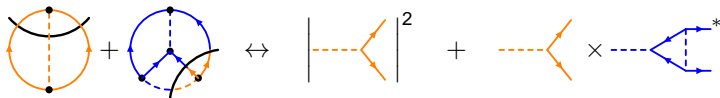
## ■ Kadanoff-Baym equations

$$[\square_x + m^2(x)] D_F(x, y) = \int_0^{y^0} \sqrt{-g} d^4 z \Sigma_F(x, z) D_\rho(z, y) - \int_0^{x^0} \sqrt{-g} d^4 z \Sigma_\rho(x, z) D_F(z, y)$$

$$[\square_x + m^2(x)] D_\rho(x, y) = \int_{x^0}^{y^0} \sqrt{-g} d^4 z \Sigma_\rho(x, z) D_\rho(z, y)$$

## ■ self-energy for decay

$$\begin{aligned} \Sigma_{\gtrless}^{\Delta}(X, k) = & -|g_j|^2 \int d\Pi_q^4 d\Pi_p^4 (2\pi)^4 \delta(k + p - q) \\ & \times [1 + \textcircled{\epsilon_j}(X, q, p)] G_{\gtrless}^{ii}(X, q) D_{\gtrless}(X, p) \end{aligned}$$





## ■ quantum-corrected BEs

$$C^{\mathbf{b}\mathbf{b}\leftrightarrow\psi_j}(k) = \frac{1}{2} \int d\Pi_p^{\mathbf{b}} d\Pi_q^{\psi_j} (2\pi)^4 \delta(k+p-q) (1 + \epsilon_j) \left[ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right]$$

$$C^{\mathbf{b}\mathbf{b}\leftrightarrow\psi_j}(k) = \frac{1}{2} \int d\Pi_p^{\mathbf{b}} d\Pi_q^{\psi_j} (2\pi)^4 \delta(k+p-q) (1 - \epsilon_j) \left[ \begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right]$$

## ■ CP-violating parameter

$$\epsilon_j = \epsilon_j^V + \epsilon_j^S, \quad \epsilon_j^V = -\frac{1}{8\pi} \frac{|g_j|^2}{M_j^2} \Im \left( \frac{g_j g_j^*}{g_j^* g_j} \right) \int \frac{d\Omega_l}{4\pi} \frac{1 + f_{E_1}^{\mathbf{b}} + f_{E_2}^{\mathbf{b}}}{M_j^2/M_l^2 + \frac{1}{2}(1 + \cos \theta_l)}$$

with  $E_{1,2} = \frac{1}{2} [E_q^{\psi_1} \mp |\mathbf{q}| \cos \theta_{q'l}]$

## ■ thermal CP-violating parameter

$$\epsilon_j^{V,th} = -\frac{1}{8\pi} \frac{|g_j|^2}{M_j^2} \Im \left( \frac{g_j g_j^*}{g_j^* g_j} \right) \int \frac{d\Omega_l}{4\pi} \frac{1 + f_{E_1}^{\mathbf{b}} + f_{E_2}^{\mathbf{b}} + 2f_{E_1}^{\mathbf{b}} f_{E_2}^{\mathbf{b}}}{M_j^2/M_l^2 + \frac{1}{2}(1 + \cos \theta_l)}$$

# Application to Phenomenological Theories

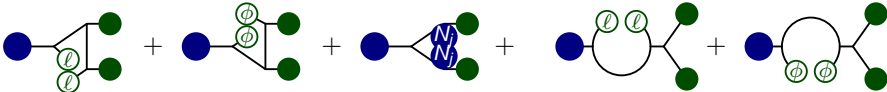
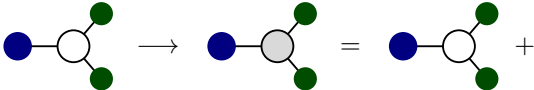
- toy-model results applied to phenomenological scenarios (arXiv: 1002.0331) using **causal products** in real-time formalism (Kobes 1991)

$$\mathfrak{S} \left\{ \text{---} \begin{array}{c} \nearrow^* \\ \searrow \end{array} \times \text{---} \begin{array}{c} \nearrow \\ \searrow \\ \nearrow \\ \searrow \end{array} \right\} \propto \mathfrak{R} \left\{ \left[ \text{---} \begin{array}{c} \bullet \\ \nearrow \\ \searrow \end{array} \right] + \text{---} \begin{array}{c} \bullet \\ \nearrow \\ \searrow \\ \bullet \end{array} + \text{---} \begin{array}{c} \bullet \\ \nearrow \\ \searrow \\ \bullet \\ \bullet \end{array} \right] - \left[ \begin{array}{c} > \\ \updownarrow \\ < \end{array} \right] \right\}$$

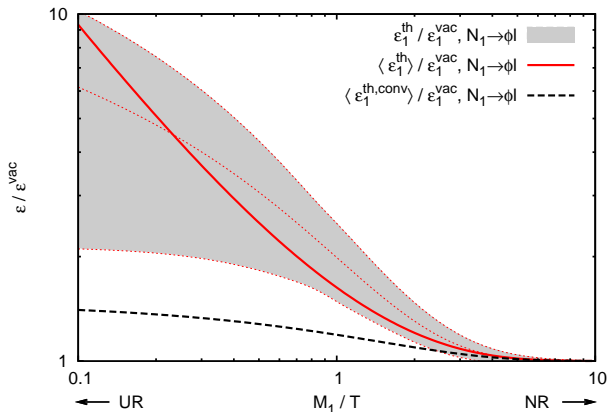
- self-energy contribution

$$\mathfrak{S} \left\{ \text{---} \begin{array}{c} \nearrow^* \\ \searrow \end{array} \times \text{---} \begin{array}{c} \circlearrowleft \\ \nearrow \\ \searrow \end{array} \right\} \propto \mathfrak{R} \left\{ \left[ \text{---} \begin{array}{c} \bullet \\ \circlearrowleft \\ \nearrow \\ \searrow \end{array} \right] + \text{---} \begin{array}{c} \bullet \\ \circlearrowleft \\ \bullet \\ \nearrow \\ \searrow \end{array} \right] - \left[ \begin{array}{c} > \\ \updownarrow \\ < \end{array} \right] \right\}$$

- vertex and self-energy contribution depend on  $1 + f^\phi - f^\ell$  (MG, AH, AK, ML; Beneke, Garbrecht, Herranen, et.al.)



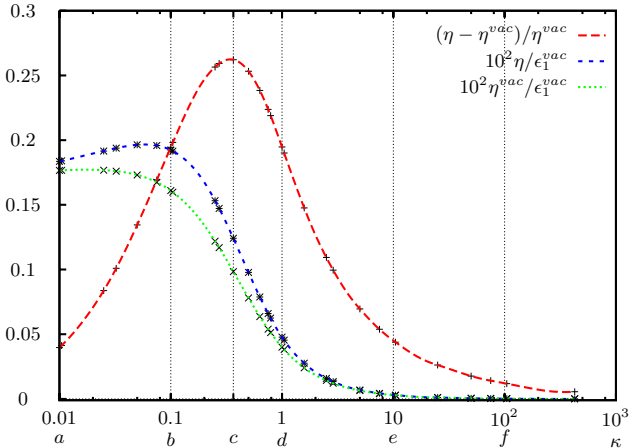
■ enhancement of  $\epsilon_i$  in  $\text{SM}+3\nu_R$



$$\epsilon_i^{\text{S,th}} = -\frac{1}{8\pi} \frac{\Im \{ (h^\dagger h)_{ij}^2 \}}{(h^\dagger h)_{ii}} \frac{M_i M_j}{M_i^2 - M_j^2} \int \frac{d\Omega_l}{4\pi} (1 - \cos \theta_l) \{ 1 - f_{E_1}^{\ell} + f_{E_2}^{\phi} \}$$

$$\epsilon_i^{\text{V,th}} = \frac{1}{16\pi} \frac{\Im \{ (h^\dagger h)_{ij}^2 \}}{(h^\dagger h)_{ii}} \frac{M_j}{M_i} \int \frac{d\Omega_l}{4\pi} \frac{1 - \cos \theta_l}{M_j^2 / M_i^2 + \frac{1}{2}(1 + \cos \theta_l)} \{ 1 - f_{E_1}^{\ell} + f_{E_2}^{\phi} \}$$

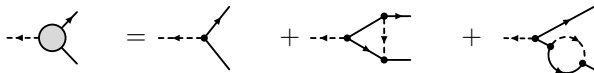
- relative increase of final baryon asymmetry from solution of full BEs (toy-model)



- sizable effect for intermediate washout-factor

# Thermal Masses and Modified Dispersion Relations

- thermal masses of leptons and Higgs take large values  $m_{\ell} \sim 0.2T$ ,  $m_{\phi} \sim 0.4T$ ,  $\phi \rightarrow \ell + N_i$  for  $m_{\phi} > m_{\ell} + M_i$



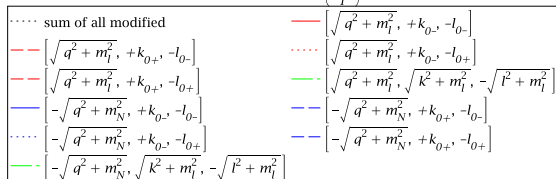
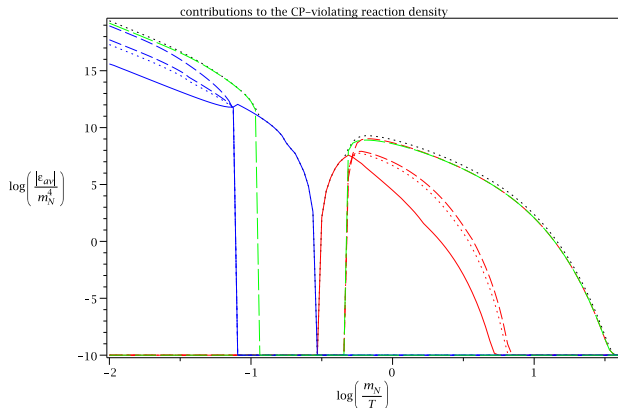
$\epsilon_i$  for Higgs decay depends on  $f^{\phi} + f^{\ell}$

- leptons have **modified dispersion relations** (due to partially full Fermi-sea)  
(Weldon; Giudice et.al.; Plümacher, Kießig)

$$d\Pi_q^{\ell} = \int \frac{d^3\mathbf{k} dk_0}{(2\pi)^3} \delta(k^2 - m_{\ell}^2) \longrightarrow \int \frac{d^3\mathbf{k} dk_0}{(2\pi)^3} \delta(\delta_{\ell}(k))$$

$\delta_{\ell}(k) = 0$  has particle and hole solutions, may be derived using HTL-resummation

# Thermal Masses and Modified Dispersion Relations



# Conclusions

- quantum-corrected BEs derived in hierarchical case
  - consistent structure (no double-counting problem)
  - include medium effects (thermal QFT in RTF reconciled)
  - quantitative corrections can be significant (but further thermal effects must be included)
  - improved equations for quasi-degenerate case
  - gradient corrections contribute even in LTE (can be neglected in standard scenarios)
- ongoing and future work
  - numeric analysis of quasi-degenerate case in SM
  - analysis of 2-2 scattering contributions
  - off-shell equations needed for resonant scenarios
  - leptogenesis through neutrino oscillations ( $\nu$ MSM)

# Derivation from Kadanoff-Baym

## ■ Kadanoff-Baym equations

$$[\square_x + m^2(x)] D_F(x, y) = \int_0^{y^0} \sqrt{-g} d^4 z \Sigma_F(x, z) D_\rho(z, y) - \int_0^{x^0} \sqrt{-g} d^4 z \Sigma_\rho(x, z) D_F(z, y)$$

$$[\square_x + m^2(x)] D_\rho(x, y) = \int_{x^0}^{y^0} \sqrt{-g} d^4 z \Sigma_\rho(x, z) D_\rho(z, y)$$

## ■ “quantum-corrected BEs” result from systematic computation

- introduce center and relative coordinates (covariantly),  $t_{init} \rightarrow -\infty$
- Wigner transformation wrt. relative coordinate
- gradient expansion wrt. center coordinate
- neglect Poisson brackets (memory effects)
- Kadanoff-Baym ansatz and quasi-particle approximation

$$[k^\mu \mathcal{D}_\mu f^{\mathbf{b}}(X, k)] D_\rho(X, k) = -\frac{1}{2} [D_{>}(X, k) \Sigma_{<}(X, k) - \Sigma_{>}(X, k) D_{<}(X, k)]$$

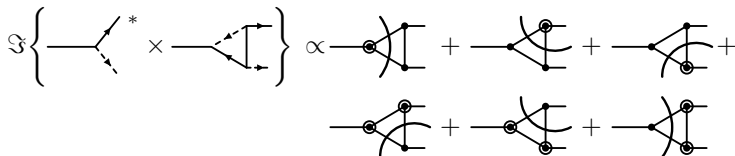
where

$$D_{\gtrless}(X, p) \equiv D_F(X, p) \pm \frac{1}{2} D_\rho(X, p) = \begin{cases} (1 + f^{\mathbf{b}}(X, k)) D_\rho(X, p) \\ f^{\mathbf{b}}(X, k) D_\rho(X, p) \end{cases}$$



# Time Ordered Cutting

- loops computed with **thermal cutting rules** (Kobes&Semenoff 1986)



$$D_a(p) = \frac{i}{p^2 - m_a^2 + i\epsilon} + 2\pi f^{a,eq}(p) \delta(p^2 - m_a^2),$$

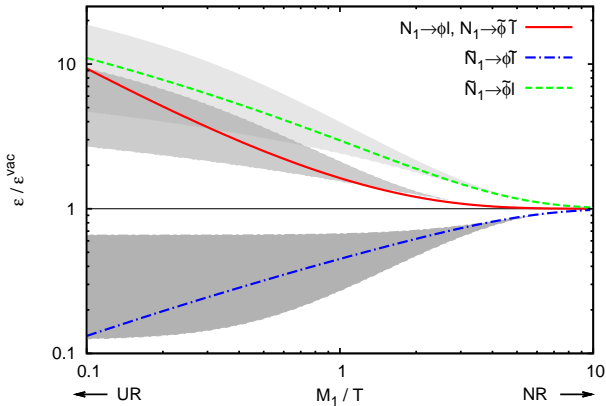
$$D_a^\pm(p) = 2\pi [\Theta(\pm p_0) + f^{a,eq}(p)] \delta(p^2 - m_a^2)$$

- thermal CP-violating parameter

$$\epsilon_i^{V,th} = -\frac{1}{8\pi} \frac{|g_j|^2}{M_i^2} \Im \left( \frac{g_i g_j^*}{g_i^* g_j} \right) \int \frac{d\Omega_l}{4\pi} \frac{1 + f_{E_1}^b + f_{E_2}^b + 2f_{E_1}^b f_{E_2}^b}{M_j^2/M_i^2 + \frac{1}{2}(1 + \cos \theta_l)}$$

# CP-violating Parameter in MSSM

- correction to  $\epsilon_j$  in MSSM



- dependence on statistics loop particles (strong enhancement for bosons)
- require solution of BEs and inclusion of further thermal effects (in particular thermal Higgs mass)

# Gradient Corrections

- “quantum-corrected BEs” result from systematic computation
  - ...
  - gradient expansion wrt. center coordinate
  - ...
- formally gradient expansion

$$\int \sqrt{-g} d^4 z A(x, z) B(z, x) = \int d\Pi_p e^{-i\Diamond} \{A(X, p)\} \{B(X, p)\}$$

where  $\Diamond\{.\}\{.\} = \frac{1}{2}(\partial_X^{(1)}\partial_p^{(2)} - \partial_p^{(1)}\partial_X^{(2)})\{.\}\{.\}$

- rate equations at zeroth order in the gradients

$$\begin{aligned}\frac{dY_{\psi_1}}{dz} &= -\kappa z \gamma_D (Y_{\psi_1} - Y_{\psi_1}^{eq}) \\ \frac{dY_{B-L}}{dz} &= \mathcal{S}(z) - \mathcal{W}_0(z) Y_{B-L}\end{aligned}$$

where source  $\mathcal{S}(z) = \mathcal{S}_{\Delta f}(z) = \epsilon^{vac} \kappa z \gamma_D (Y_{\psi_1} - Y_{\psi_1}^{eq})$

- at higher order in gradients further source terms show up ((arXiv: 1005.5385))

$$\mathcal{S}(z) \equiv \mathcal{S}_{\Delta f}(z) + \mathcal{S}_{\dot{T}}(z) + \mathcal{S}_{\Delta f \times \dot{T}}(z)$$

$$\mathcal{S}_{\Delta f}(z) = \epsilon^{\text{vac}} \kappa z \gamma_D (Y_{\psi_1} - Y_{\psi_1}^{\text{eq}})$$

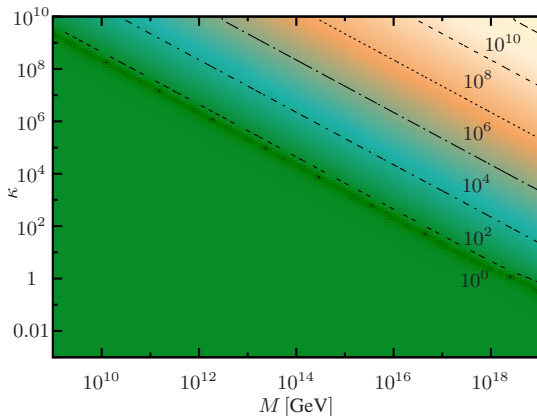
$$\mathcal{S}_{\dot{T}}(z) \simeq -\kappa \gamma_{B-L} \frac{H|_{T=M_1}}{M_1} \frac{\epsilon^{\text{vac}}}{2\pi^3}$$

- $\mathcal{S}_{\dot{T}}(z)$  contributes even in LTE
- $\mathcal{S}_{\Delta f \times \dot{T}}(z)$  may be interpreted as contribution to the CP-violating parameter

$$\epsilon_{\Delta f \times \dot{T}} = -\epsilon_i^{\text{vac}} \cdot \frac{2[k^\alpha \mathcal{D}_\alpha L_h]}{M_j^2 - M_i^2}$$

- solve rate equation with and without gradient contribution  $\mathcal{S}_{\tilde{\tau}}(z)$

$$|\eta_{grad}|/|\eta_0|$$



- washout terms not included
- safe to neglect gradient contribution for standard leptogenesis scenarios