

# High Quality Automated LATTPC Reconstruction for Neutrino Experiments



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## Liquid Argon TPC

- ~mm scale position resolution with multiple 1D wire readouts
- Particle identification (PID) with energy depositions and topologies











## Separation of e and y in LArTPC



• Event topology to separate EM showers ( $e/\gamma$ ) from tracks (proton, muon)

# Separation of e and y in LArTPC



- Event topology to separate EM showers ( $e/\gamma$ ) from tracks (proton, muon)
- Separation of e and γ : Gap Identification

# Separation of e and y in LArTPC



## Challenge in Automated Event Reconstruction





- How to convert the excellent resolution and calorimetry in these pictures to rigorous physics analyses?
  - Massive amount of information with tiny signal to background ratio → a big challenge for automated event reconstruction



## Search for Low-Energy Excess in $v_eCC$

Comprehensive search for (examination of) the MiniBooNE lowenergy excess in  $v_eCC$  with multiple final-state topologies with different reconstruction paradigms

Selected **Purity** Efficiency Channels Reconstruction References Events 25 CCQE 1e1p Deep Learning 75% 6.6% 2110.14080 1e0p0π Pandora 43% 9% 34 2110.14065 64 1eNp0π Pandora 80% 15% 2110.14065 606 Inclusive 1eX Wire-Cell 82% 46% 2110.13978

Wire-Cell based inclusive v<sub>e</sub>CC analysis (46% efficiency) currently leads sensitivity in searching for the LEE

No excess of low-energy v<sub>e</sub> candidates!





#### **Wire-Cell Event Reconstruction**



**µBooNE** 

Wire-Cel

#### **Wire-Cell Tomographic Event Reconstruction**



Fig.1:Basic principle of tomography: superposition free tomographic cross sections S1 and S2 compared with the projected image P

https://en.wikipedia.org/wiki/Tomography





"Three-dimensional Imaging for Large LArTPCs", JINST 13, P05032 (2018)

### Solving: usage of Charge, Sparsity, Positivity, Proximity



measured charges on Wires	y	= A	4 • 2	X	true charge to be resolved	
$\begin{pmatrix} y1\\ y2\\ u1\\ u2\\ u3 \end{pmatrix} = \begin{pmatrix} 0\\ a\\ 0\\ 0\\ a \end{pmatrix}$	0 a 0 a 0	0 a a 0 0	a 0 0 a	a 0 0 a 0	$ \begin{pmatrix} H1 \\ H2 \\ H3 \\ H4 \\ H5 \\ H6 \end{pmatrix} $	
matrix determined by geometry, a=1						

- The goal is to differentiate the true hits from fake ones by using the charge information
  - $\sim$  large charge  $\rightarrow$  true hits
  - ~ zero charge  $\rightarrow$  fake hits
- Sparsity, positivity, and proximity information are added through compressed sensing (L1 regularization)



L1 reg. 
$$O(N!) \rightarrow O(m \times N)$$
  
 $\chi^2 = (y - A \cdot x)^2 + \lambda \cdot \sum_i |x_i|$   
E. Candes, J. Romberg, T. Tao<sup>i</sup>  
arXiv-math/0503066

## Traditional Reconstruction Approach: 2D matching $\rightarrow$ 3D



#### 2D pattern recognition

#### Matching to 3D objects

Wire-Cell tomographic imaging is topology agnostic







slice #: 35 | slice x: 212.5

#### **Overcome Challenges of 10% non-functional channels**

- Impact of 10% non-functional channels is reduced from ~30%
   → ~3% dead volume by requiring only 2 out 3 wire planes in reconstruction when necessary
  - Utilizing coverage of 3 planes, but generating a lot of fake 3D activities (ghosts)
  - Dedicated algorithm in deghosting, clustering, charge solving etc. have to be developed





#### **Old performance in 2015**



Input of Wire-Cell imaging, quality of reconstructed charge was not sufficient to perform a good image reconstruction

# TPC Signal Processing → Recover (or Unfold) Ionization Electrons

- Signal processing is based on deconvolution technique
  - O(N<sup>3</sup>) matrix inversion is achieved through a O(N logN) fast Fourier transformation
    - Top 10 algorithms in 20<sup>th</sup> century
- 1-D deconvolution described in B. Baller "Liquid Argon TPC Signal Formation, Signal Processing, and reconstruction techniques", <u>JINST 12</u>, P07010 (2017)





# **2-D Deconvolution**



#### 2D measurement formation

$$M(t',x') = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} R(t,t',x,x') \cdot S(t,x) dt dx + N(t',x')$$



### **Improved TPC Signal Processing**





The 2D deconvolution algorithm in Wire-Cell allows to accurately recover the ionization electrons from recorded original signals

Same number of electrons are reconstructed from each projection wire plane





#### **New Performance**



#### MicroBooNE detector operates near surface

#### JINST 16 P06043 (2021)

• clustering

National Laboratory

• charge-light matching

#### Phys. Rev. Applied 15, 064071 (2021)

- 3D trajectory & dQ/dx fitting
- cosmic muon tagger





## **Cluster-flash (light) Matching**



PMTs detect the scintillation light, time ~ns

#### Drift velocity 1.1 mm/ $\mu$ s $\rightarrow$ several ms drift time

- In LArTPC, the light (PMT) readout and charge (TPC) readout systems are decoupled
- The identification of neutrino interaction candidate requires matching the charge signal with the light signal in order to obtain the event time



#### **Matching Principle**

#### Core Charge-Light Matching Algorithm



40-50 PMT activities

## **Rejecting Through-Going Muons (TGM)**

• Only event with flash(light) time matching the neutrino beam spill window is a neutrino candidate



- TGM: cosmic-ray muons go all way through the active TPC volume
- Identification: the two endpoints of TPC cluster at/outside the effective detector boundary

Neutrino:Cosmic-ray				
Charge-light matching	1 : 6.4	Improved by		
TGM rejection	1:0.9	factor of 6		

## **Rejecting Stopping Muons**



- STM: cosmic-ray muons enter and stop inside the active volume
- Identified by directionality: from outside to inside
  - Tracks from neutrino activities will go out of detector from inside
  - Tracks from background will enter the detector from outside
- Trajectory and dQ/dx fitting → Bragg peak
   → directionality
- dQ/dx vs. residual range is also important for the particle identification for tracks

#### Principle of the Fit

- Come up with a 3D track hypothesis (3D trajectory points and dQ/dx)
- Predict the deconvolved signals on all projection views
- Minimize the difference between the observation and prediction







## **Simplified Prediction of the Deconvolved Signal**



- Full process of signal formation and signal processing is complex → significant burden in computation
- A simplified model was developed



#### **Trajectory and dQ/dx Fitting**

Overall Test Statistics  $T\left(x_{j}, y_{j}, z_{j}, Q_{j}\right) = T_{U} + T_{V} + T_{W} + T_{reg}$   $T_{U/V/W} = \sum_{j} \sum_{i} \frac{q_{i}^{2}}{\delta q_{i}^{2}} \cdot dis \left(U/V/W\right)_{ij}^{2} \quad \begin{array}{l} \text{Unknowns} \\ \text{Measurements} \\ \text{Measurements} \\ i: \text{ pixel in 2D projection } j: 3D \text{ trajectory point} \\ dis(U)_{ij}^{2} = \Delta U^{2} \cdot \left(U_{i} - U_{j}\left(x_{j}, y_{j}, z_{j}\right)\right)^{2} + \Delta x^{2} \cdot \left(t_{i} - t_{j}\left(x_{j}, y_{j}, z_{j}\right)\right)^{2} \\ \Delta U: \text{ bin size in U view,} \qquad \Delta x: \text{ bin siz in drift time t} \end{array}$ 





	Neutrino:Cosmic-ray				
Charge-light matching	1:6.4	Improved by			
TGM rejection	1:0.91	Tactor or >0			
STM rejection	1:0.36	factor of ~3			
Additional Cuts	1:0.20		27		

#### Preselection

- Generic neutrino detection powered by many-tomany charge light matching and additional cosmic taggers to reject in-time coincidence cosmic-ray muons
  - 99.999% cosmic-ray muon background rejected
    - Start with 1:20,000 neutrinos to cosmics
    - End with 5.2:1 neutrinos to cosmics
  - + 90% efficiency for  $v_eCC$  and 80% efficiency for  $v_\mu CC$
  - $v_eCC$  purity ~0.4% at this stage



Phys. Rev. Applied 15, 064071





#### **3D Pattern Recognition**



#### **Deep Learning based Neutrino Interaction Vertex Finding**

#### **Regressional segmentation with a sparse U-Net**

- U-Net: efficiently use geometry info which is critical
  - compared to graph networks
- Regressional loss on distance based "confidence map" to use a region of points instead of only one
  - otherwise, data is highly imbalanced (Z. Cao etc, arXiv:1812.08008)
- Sparse: boosted computing efficiency with our sparse 3D data
  - Submanifold Sparse Convolutional Networks (B. Graham etc, arXiv:1706.01307)



#### **Regressional segmentation**

Initially we used Cross Entropy loss

- effectively only use the vertex information for one space point
- doesn't care about the distance between the prediction and the target.
  - while our main metric is this distance.
- $\rightarrow$  encode the distance information for a region of points
- predicting the full "confidence map" instead of only one point

• current mapping: 
$$\operatorname{Conf}_{\operatorname{truth}} = \exp\left(-\frac{\|\vec{x} - \vec{v}_{\operatorname{truth}}\|^2}{2\sigma^2}\right)$$





OpenPose: https://arxiv.org/pdf/1812.08008.pdf





#### Network structure and data format

Used *SparseConvNet* to realized 3D sparse conv. DNN <a href="https://github.com/facebookresearch/SparseConvNet">https://github.com/facebookresearch/SparseConvNet</a>

This work: <a href="https://github.com/HaiwangYu/uboone-dl-vtx">https://github.com/HaiwangYu/uboone-dl-vtx</a>



coordinates		feat	label		
Х	У	Z	q	•••	conf.
int	int	int	float		float
int	int	int	float		float
int	int	int	float		float





#### **SparseConvNet**



#### **Deep Learning based Neutrino Interaction Vertex Finding**

JINST 17 P01037 (2022)



 $v_e$ CC vertex identification efficiency





### **Neutrino Energy Reconstruction**

- Calorimetry energy reconstruction with particle mass and binding energy included if PID can be done
  - Track: Range, dQ/dx  $\rightarrow$  dE/dx correction
    - Calibrated by stopped muons/protons
  - EM shower: scaling of charge
    - Calibrated by  $\pi^0$  invariance mass
- Fully contained events



JINST 17 P01037 (2022)

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180

160

14

100

80

60

40

[e/c] 12(

dQ/dx

MicroBooNE data

-- Protons

50

Muons

35

30

25

20

15

10

 $10^{2}$ 

## **Boosted Decision Trees (BDT) for neutrino flavor tagging**



xgboost-algorithm-long-she-may-rein-edd9f99be63d



#### **Neutrino Selection through Machine Learning**



#### v<sub>u</sub>CC and v<sub>e</sub>CC Event Selection



Event counts / 100 MeV Data POT: 6.369e+20 Pred. uncertainty 100Cosmic, 1.0 EXT, 4.6 Dirt, 1.0 out FV, 14.4 NC  $\pi^0$  in FV, 27.1  $v_{\mu}$  CC  $\pi^0$  in FV, 26.5 NC in FV, 13.2 v<sub>u</sub> CC in FV, 17.6 ve CC in FV, 486.6 = = LEE(x=1), 39.8  $v_{e}CC$ Data/Pred Pred total uncertainty Pred stat+xsec+flux uncertainty 500 1000 1500 2000 2500 Reconstructed  $E_{\nu}$  (MeV)

arXiv:2110.13978

Efficiency: 68% w.r.t to all  $v_{\mu}CC$  w. vertex in fiducial volume Purity: 92% (>5 improvement in S/B)

Efficiency: 46% w.r.t to all v<sub>e</sub>CC w. vertex in fiducial volume Purity: 82% (**>800** improvement in S/B)



We are ready to do physics!

#### **Application of Wire-Cell in Physics Analyses**

Energy-dependent Cross Section arXiv:2110.14023, accepted by PRL



 Good separation power of model predictions from different generators

6

 GiBUU's central prediction gives best agreement at low energy transfer for Ar ⇒ more contribution of 2p2h





- 68% stat-only (full) uncer. MiniBooNE CI is disfavored at over 3σ (2.6σ)
- v<sub>e</sub> cannot be the sole explanation of MiniBooNE LEE!

# **Future Developments**

- DNN ROI finding
- RNN Energy Estimator
- Computing Parallelization/Acceleration



#### **DNN ROI finding to improve LArTPC Signal Processing**



#### **DNN ROI** finding with multi-plane information

JINST 16 P01036 (2021)



Multi-plane information in Signal Processing



#### **DNN ROI finding with multi-plane information**

ProtoDUNE simulation ROI finding on V plane (2<sup>nd</sup> induction) Ref. 1.4 -- DNN w/o MP DNN w/ MP 1.2 Pixel Efficiency 8.0 Bixel Efficiency **DNN** With 3-plane information 0.4 0.2 0.0 75, 75 87, 75 87,85 87,87 80, 80 82,82 85, 85  $\theta_{xz}(V), \theta_{xz}(U)$  Ref. 1.4 🔶 DNN w/o MP 1.2 DNN w/ MP 1.0 Pixel Purity 9.0 0.4 0.2 0.0 80, 80 75, 75 82, 82 85, 85 87, 75 87, 85 87,87  $\theta_{xz}(V), \theta_{xz}(U)$ Brooknaven National Laboratory JINST 16 P01036 (2021)

tested on ProtoDUNE data



43

# RNN Energy Estimator: variable length list of particles → energy





**RNN EE** 

- Extracts information from each particle
- Aggregates it with a help of an LSTM neural network
- Then combines aggregated information with event level variables and predicts energy of neutrino and energy of the primary lepton.

#### **Initial results on MicroBooNE**

New RNN EE improved the neutrino energy reconstruction with first try:

- resolution:  $24\% \rightarrow 14\%$
- bias:  $-12\% \rightarrow 0.6\%$



#### Neutrino energy reco: traditional vs. RNN-EE





#### LArTPC simulation acceleration with portable solutions

- LArTPC simulation is one of the most time-consuming components.
- A portable acceleration solution seems more attractive than dedicated ones, e.g., CUDA
- Some serious refactoring performed to achieve efficient acceleration
- Significant single process acceleration and node level throughput increasing observed
  - ~ 7 × per watt throughput using Kokkos-CUDA
- On-going work FFT with CPU backend



#### Relative throughput on Perlmutter, GPU vs 64 CPU Processes



#### Wire-Cell LArTPC Sim. Kokkos Porting





Number of Processes per GPU using CUDA-MPS

## Summary



## Summary (II)

- The development of Wire-Cell has paid off in the MicroBooNE experiment
- Knowledge cumulation from the developing
- Two main approaches: first principle & human learning
- The LArTPC technology advancements made by MicroBooNE is building a solid foundation for next discoveries in neutrino physics (SBN & DUNE)

machine learning





