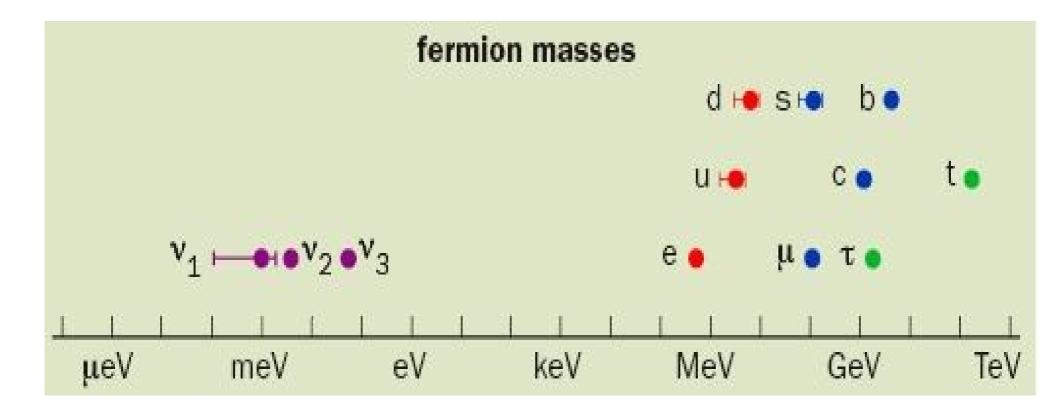




In which the origin of mass is considered and unsuccessfully measured

The mystery of neutrino mass



Why are neutrino masses so small?

v Mass in the Standard Model



Dirac Lagrangian mass term for fermions contains a mass term with a Dirac mass, $m_{\rm p}$

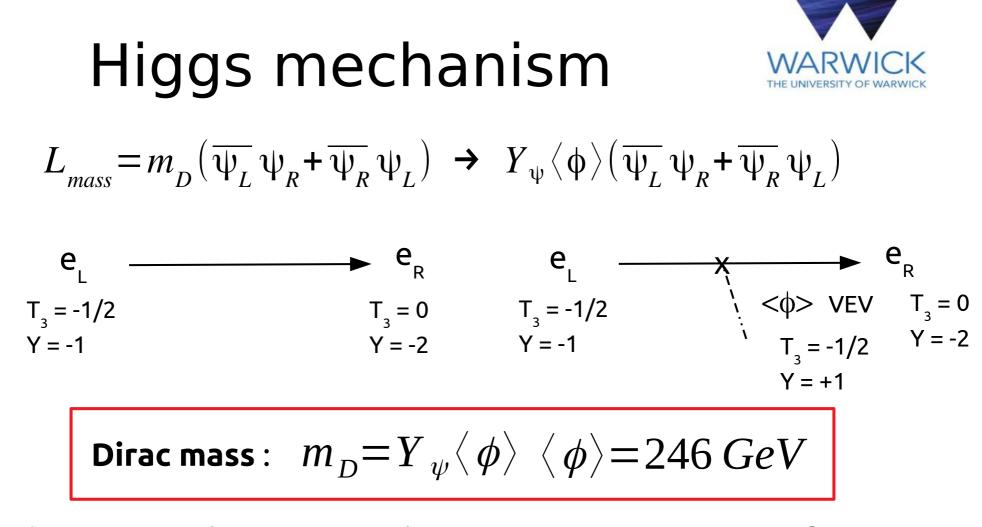
$$L_{v} = \overline{\psi} (i \gamma_{\mu} \partial^{\mu} - m_{D}) \psi \implies L_{mass} = m_{D} \overline{\psi} \psi$$

Can rewrite mass term in terms of chiral states

$$L_{mass} = m_D \overline{\psi} \psi = m_D (\overline{\psi}_L + \overline{\psi}_R) (\psi_L + \psi_R) = m_D (\overline{\psi}_L \psi_R + \overline{\psi}_R \psi_L)$$

Mass term is the only place that the L- and R- chiral sectors of the SM meet.

Unfortunately, as it stands, such a term does *not* preserve gauge invariance. You need the Higgs mechanism to fix this.

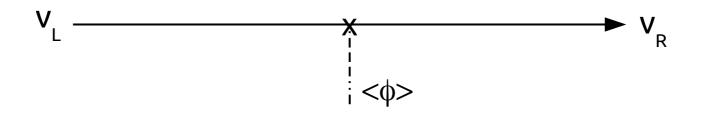


Higgs mechanism provides a means to give mass to fermions
 Preserves gauge invariance of the mass term
 Does not predict the mass, however. Still need to measure the Yukawa coupling.

Neutrino Dirac Mass



$$L_{mass} = Y_{\nu} \langle \phi \rangle \big(\overline{\mathbf{v}}_{L} \mathbf{v}_{R} + \overline{\mathbf{v}}_{R} \mathbf{v}_{L} \big)$$



Addition of a sterile right-handed neutrino state to the SM which is, in principle, undetectable (apart from flavour oscillations)

Tiny m_v implies tiny Yukawa coupling : Y_v < 10⁻¹³
Smallness of neutrino mass is not addressed by this mechanism

Majorana Neutrinos



Mass terms need a R-chiral field. Neutrinos only have L-chiral field.

Can one build a R-chiral field only from the L-chiral field?

Yes : Ettore Majorana showed

$$\mathbf{v}_{L}^{C} = C \overline{\mathbf{v}_{L}}^{T}$$

is right-handed

C = charge conjugation operator

Can form a *Majorana* neutrino : $v = v_{L} + v_{L}^{c}$

This is self-conjugate : $v = v^{c}$: particle is identical to the antiparticle The neutrino is the only fundamental fermion with potential to be Majorana.

We can also now write down a mass term for Majorana neutrinos

$$L_{Maj} = \frac{1}{2} m_L (\overline{v^C} v + \overline{v} v^C) = \frac{1}{2} m_L (\overline{v_L^C} v_L + \overline{v_L} v_L^C)$$

We are now coupling neutrinos and antineutrinos, leading to a process which violates lepton number by 2

Damn



The left-handed Majorana mass term also violates gauge invariance.

$$\overline{v_L^C} v_L \qquad \qquad \begin{array}{c} v_L & & \\ & & \\ T_3 = +1/2 & \\ & Y = -1 \end{array} \xrightarrow{X} \begin{array}{c} X & V_L \\ & & \\ \Delta Y = +2 \end{array} \xrightarrow{V_L^C} T_3 = -1/2 \\ & & \\ & Y = +1 \end{array}$$

To maintain gauge invariance this has to couple to a Higgs-y thing with Y = -2 and $T_3 = 1$ - that is a Higgs weak triplet with hypercharge +2.

No such field exists in the Standard Model (although you do get them if you expand the Higgs sector to include both a scalar doublet and triplet)

We are forced then to consider the existence of an independent right-handed U(1) singlet Majorana neutrino field : $N = N_R^{C} + N_R^{C}$

The existence of neutrino mass implies physics beyond the Standard Model, either from a right-handed state needed for the Dirac mass mechanism, or a Higgs triplet, or a new mass mechanism.

The general mass term



Suppose : once upon a time there were 2 Majorana neutrinos. An almost massless one, and a very heavy one. The mass term looks like

$$L_{mass} = m \,\overline{\nu_m} \,\nu_m + M \,\overline{N_m} \,N_m = \left(\overline{\nu_m} \,\overline{N_m}\right) \begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix} \begin{pmatrix} \nu_m \\ N_m \end{pmatrix}$$

Written in the mass basis States of definite mass

We have, potentially, 4 separate chiral fields to play with :

$${f v}_L$$
 , ${f v}_L^C$, ${N}_R$, ${N}_R^C$

If we're resigned to having right-handed fields anyway we can write down 4 different mass terms

$$L_{L}^{M} = m_{L} \overline{v_{L}^{C}} v_{L}$$

$$L_{R}^{M} = m_{R} \overline{N_{R}^{C}} N_{R}$$

$$L_{L}^{D} = m_{D} \overline{N_{R}^{C}} v_{L}$$

$$L_{R}^{D} = m_{D} \overline{v_{L}^{C}} N_{R}^{C}$$
Two Dirac mass terms



The general mass term

The most general mass term combines all of these

$$L_{mass} = L_L^D + L_R^D + L_L^M + L_R^M$$

$$L_{mass} = \left(\overline{\nu_L^C} \quad \overline{N_R}\right) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R^C \end{pmatrix} \qquad \text{I've set } m_L = 0 \text{ because of the gauge issue.}$$

$$\overline{N_R^C} N_L \qquad N_L \xrightarrow{X} N_R^C N_L \qquad N_L \xrightarrow{T_3 = 0} Y_{=0} \qquad Y_{=0} \qquad Y_{=0}$$

Since right-handed fields are singlets, there is no problem with gauge invariance for the right-handed Majorana term



The general mass term

The most general mass term combines all of these

$$L_{mass} = L_L^D + L_R^D + L_L^M + L_R^M$$

$$L_{mass} = \left(\overline{\mathbf{v}_L^C} \quad \overline{\mathbf{N}_R}\right) \begin{pmatrix} \mathbf{0} & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \mathbf{v}_L \\ \mathbf{N}_R^C \end{pmatrix} \qquad \text{I've set } \mathbf{m}_L = \mathbf{0} \text{ because of the gauge issue.}$$

Mass eigenstates are mixes of the chiral eigenstates

Physical masses are the eigenvalues of the diagonalised mass matrix (m_1, m_2) .

$$\begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix} = Z^{-1} \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} Z \qquad m, M = \frac{1}{2} \left[m_R \pm \sqrt{m_R^2 + 4 m_D^2} \right]$$



See-Saw mechanism

$$m, M = \frac{1}{2} \left[m_R \pm \sqrt{m_R^2 + 4 m_D^2} \right]$$

M is the mass of a right-handed (singlet) neutral fermion
Suppose that this is around the GUT scale : Λ

$$M \sim m_R \sim \Lambda \qquad m \sim \frac{m_D^2}{m_R} \sim \frac{\langle VEV \rangle^2}{\Lambda}$$

right-handed heavy neutral leptor

Mass of "our" neutrino suppressed by the GUT scale
 Λ ≈ 10¹⁶ GeV → m ≈ (250)²/10¹⁶ ≈ 10 meV
 Currently our only "natural" way to explain why the neutrino mass is so much smaller than other Dirac particles

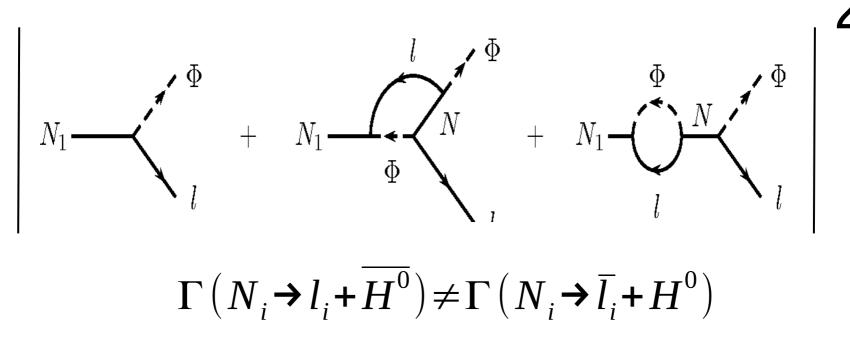
Leptogenesis



Seesaw mechanism requires a GUT scale heavy Majorana neutrino partner.

In GUT theories, B-L (baryon # - lepton #) is a global U(1) symmetry and is absolutely conserved

Suppose there is direct CP violation in the heavy neutrino decay? This generates a violation of L.







If L is violated then, to keep B-L conserved, one needs to violate B as well.

Generation of baryon asymmetry from lepton asymmetry

Could neutrino mass help explain CP violation in the baryons? In other words, could neutrinos help explain why there is more matter than antimatter in the universe?

This idea requires

- the neutrino to be massive
- the neutrino must be Majorana
- a GUT scale heavy neutral lepton must exist





If L is violated then, to keep B-L conserved, one needs to violate B as well.

Generation of baryon asymmetry from lepton asymmetry

Could neutrino mass help explain CP violation in the baryons? In other words, could neutrinos help explain why there is more matter than antimatter in the universe?

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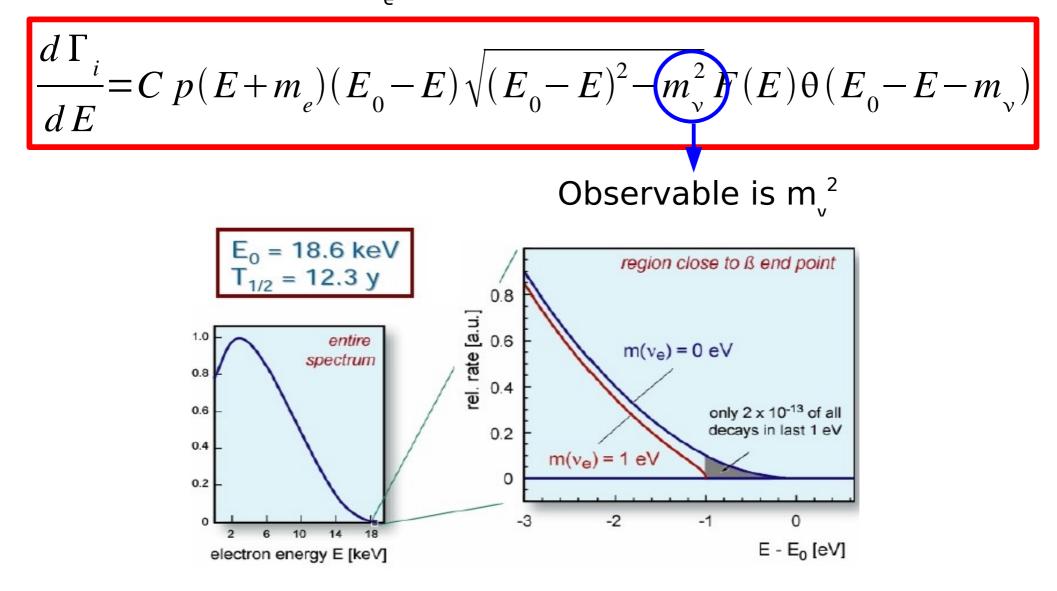


(Attempts at) mass measurements

v_e mass



Measurement of v_{α} mass from kinematics of β decay.







electrons close to the endpoint should be large
 Good (and well-understood) electron energy resolution
 No (or minimal) electron energy loss within the source
 Minimal atomic and nuclear final state effects, of excited transitions

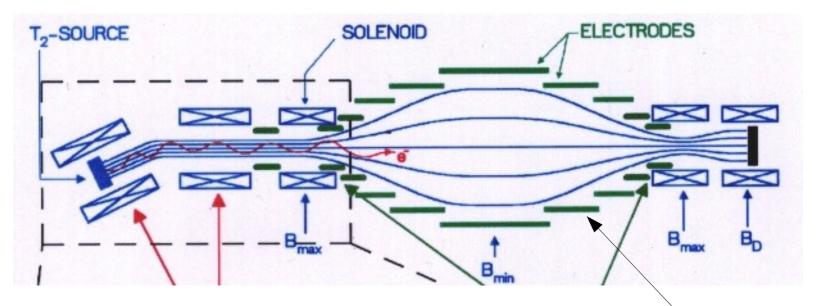
Gaseous Tritium:
$${}^{3}H \rightarrow {}^{3}He^{+} + e^{-} + \overline{v_{e}}$$

Endpoint is at 18574 eV No molecular excitation above 18547 eV Still only 10⁻⁹ electrons in this region Gaseous so you can have a very large source

Mainz Experiment



The current standard for tritium beta decay experiments



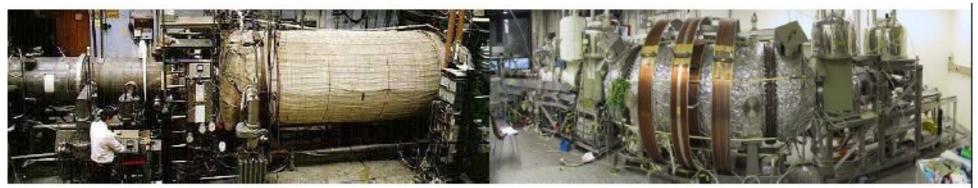
2п acceptanceHigh energy resolution

$$\frac{\Delta E}{E} \sim 0.03\%$$

Electrostatic MAC-E Filter



Present Status



Troitsk

windowless gaseous T₂ source

analysis 1994 to 1999, 2001

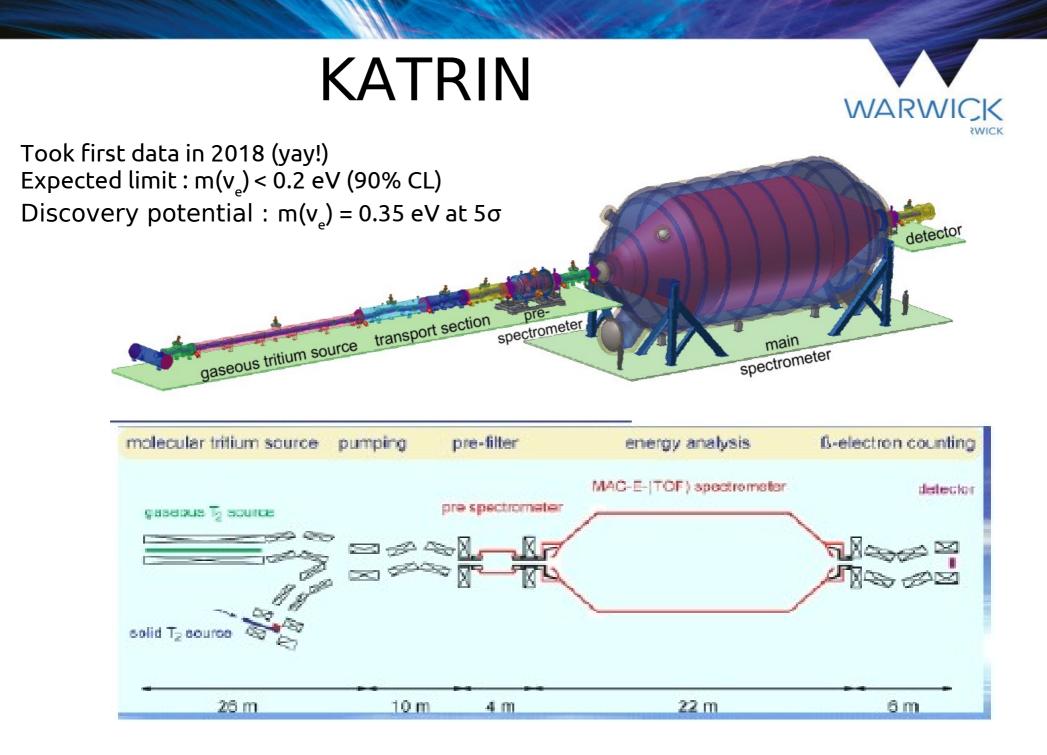
 $m_v^2 = -2.3 \pm 2.5 \pm 2.0 \text{ eV}^2$

 $m_v \le 2.2 \text{ eV} (95\% \text{ CL.})$

quench condensed solid T₂ source analysis 1998/99, 2001/02 $m_v^2 = -1.2 \pm 2.2 \pm 2.1 \text{ eV}^2$ $m_v \leq 2.2 \text{ eV}$ (95% CL.)

Mainz

Both experiments have reached the intrinsic limit of their sensitivity.







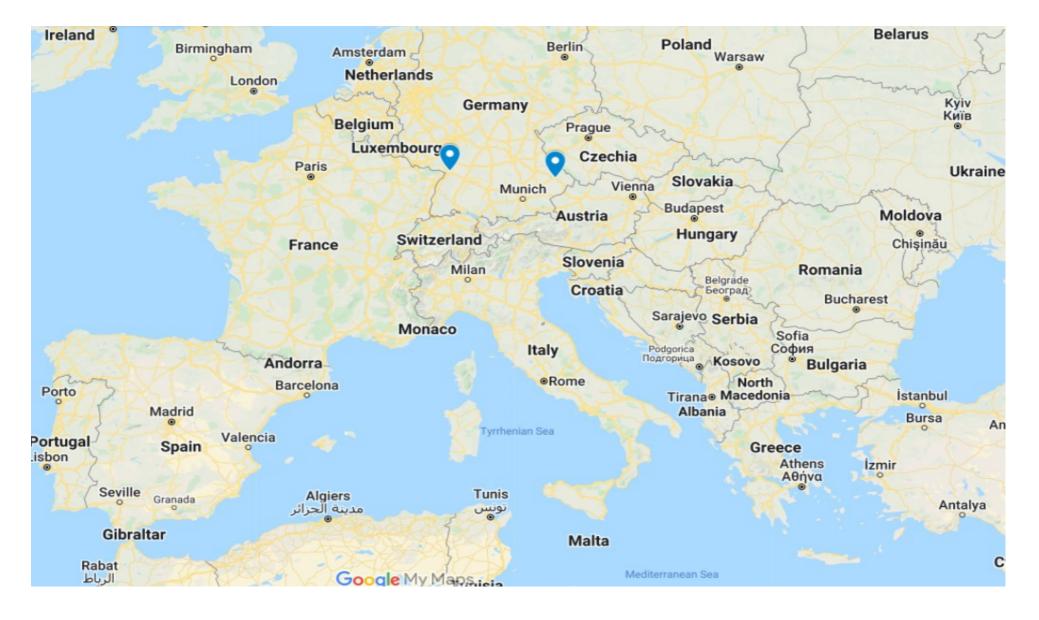
KATRIN on the move







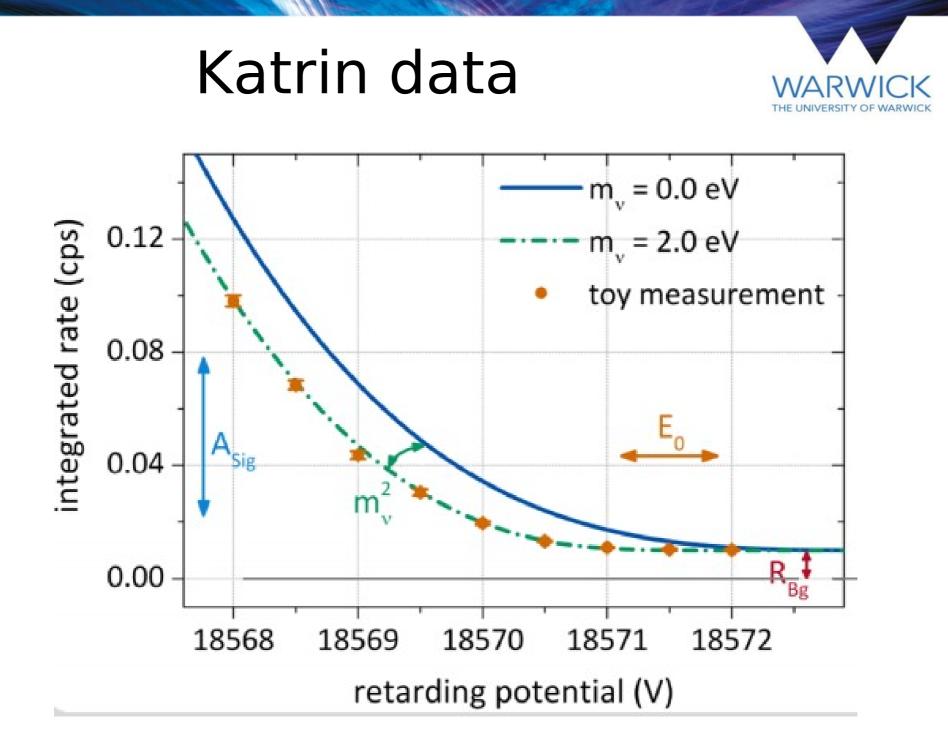
Katrin on the move





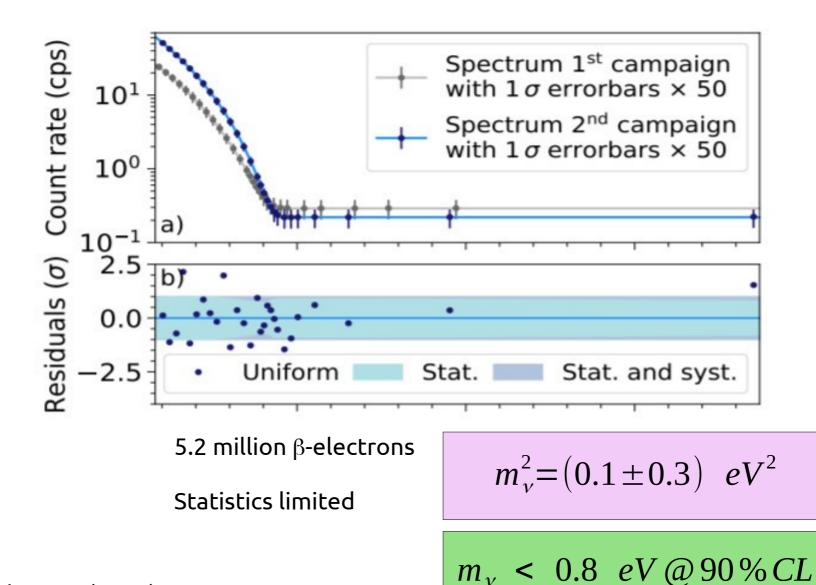
Katrin on the move







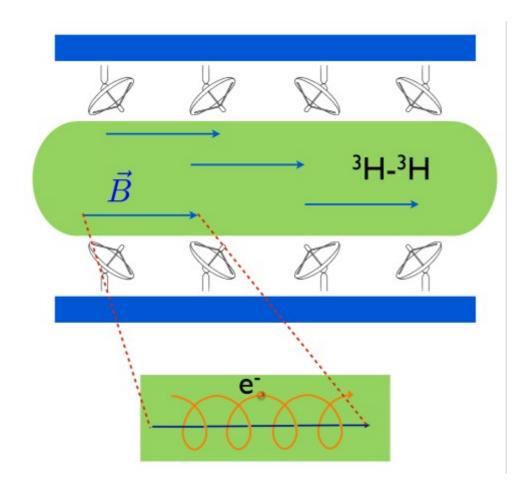
Latest KATRIN result



Nature Phys. 18 (2022) 2, 160-166



Project 8



Tritium beta decay in a magnetic field.

Electron from beta decay spirals around the field lines

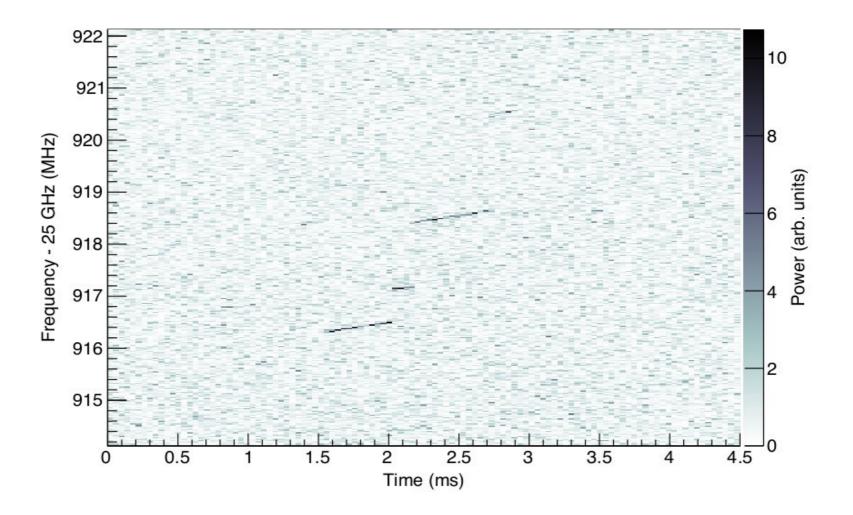
Emits cyclotron radiation at a particular frequency

$$\omega = \frac{\omega_c}{E + m_e}$$

Measures electron energy from the frequency of the cyclotron radiation!

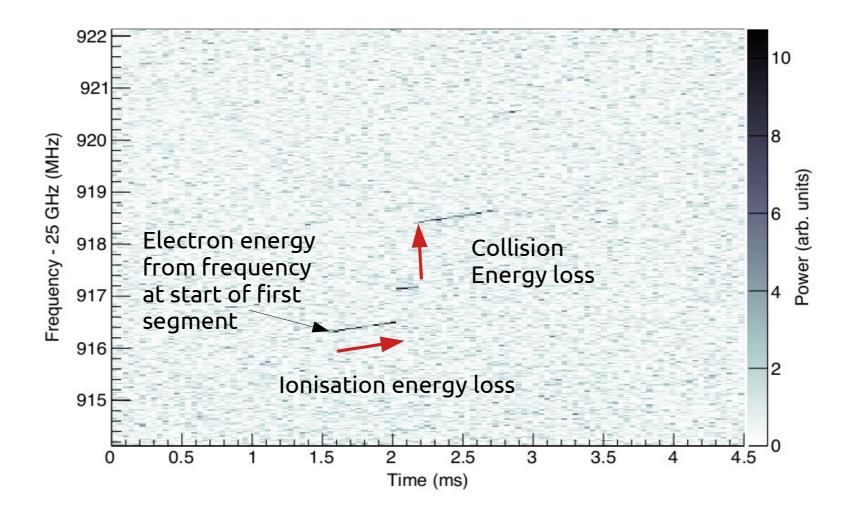


<u>Project 8 Demonstrator – Decay in tritium</u>

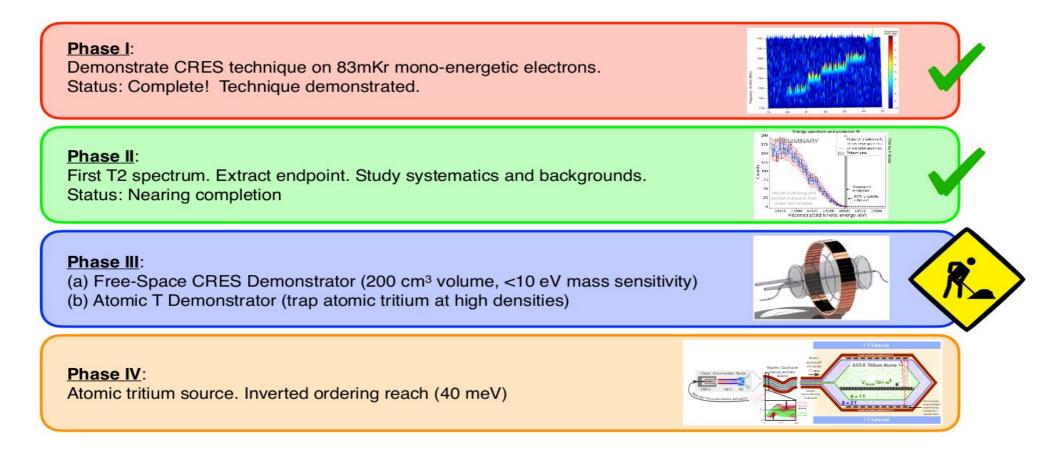




<u>Project 8 Demonstrator – Decay in tritium</u>





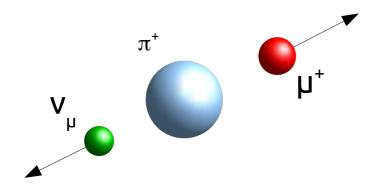


Target sensitivity by 2024 : $m \sim 40 \, meV$





Easiest way is to use pion decay at rest

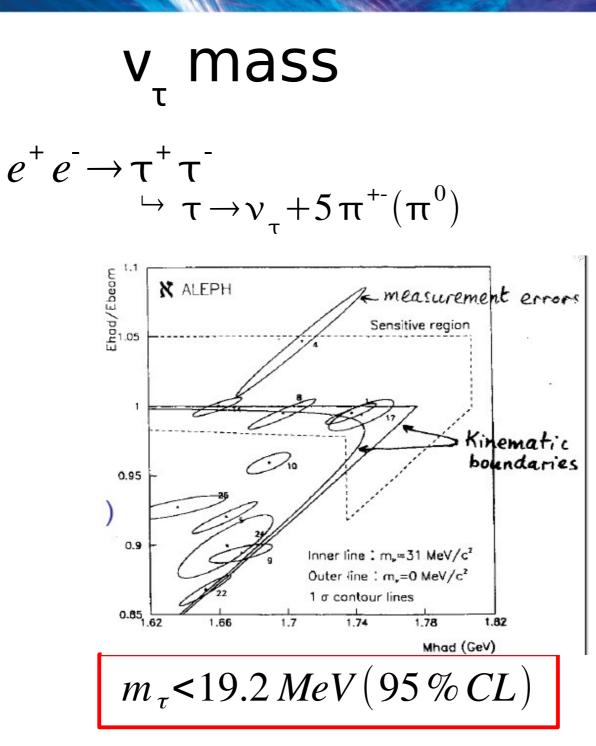


$$m_{\nu_{\mu}}^2 = m_{\pi}^2 + m_{\mu}^2 - 2 m_{\pi} \sqrt{p_{\mu}^2 + m_{\mu}^2}$$

 $m_{\pi} = 139.57037 \pm 0.00021 \, MeV$ $m_{\mu} = 105.658389 \pm 0.000034 \, MeV$ $p_{\mu} = 29.792 \pm 0.00011 \, MeV$

$$m_{\nu}^2 = (-0.016 \pm 0.023) \, MeV^2$$

$$m_{v} < 190 \, keV(90 \,\% \, CL)$$





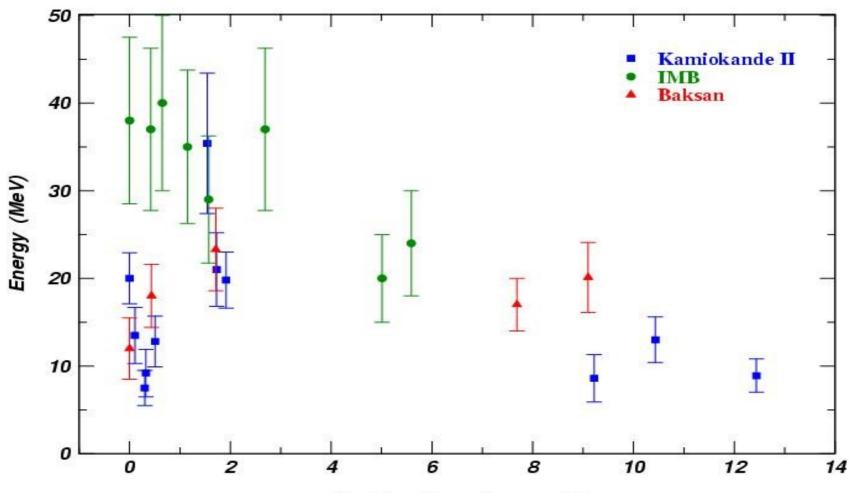
SN1987A

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Neutrinos detected

Four neutrino detectors operating at the time Kamiokande II, IMB, BST, Mont Blanc



Relative Time (seconds)

Mass from Velocity



The neutrinos had travelled 150,000 light years – enough for small mass differences to show up as a difference in arrival times

$$t_{F} = t - t_{0} = \frac{L}{v} = \frac{L}{c} \frac{E_{v}}{p_{v}} c \sim \frac{L}{c} \left(1 + m_{v}^{2} \frac{c^{4}}{2} E^{2} \right)$$

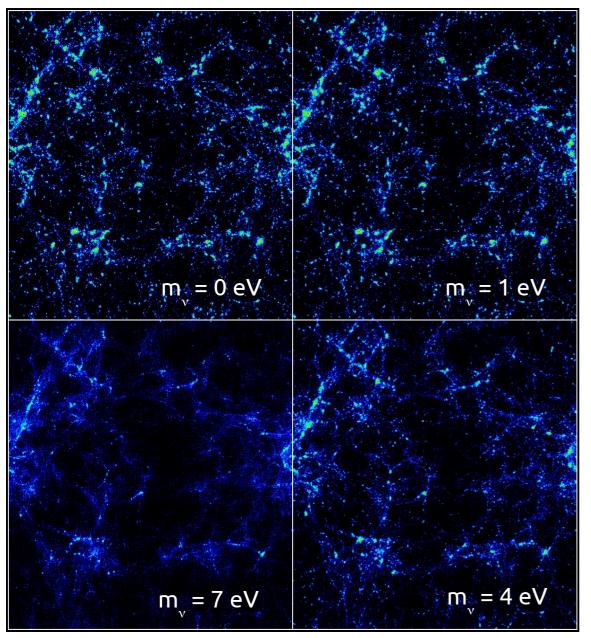
$$\delta t = t_{j} - t_{i} = \delta t_{0} + \frac{L m_{v}^{2}}{2 c} \left(\frac{1}{E_{j}^{2}} - \frac{1}{E_{i}^{2}} \right)$$

Estimate dependent on models of supernova process (emission intervals, size of the neutrino shell etc)

$$m_{\overline{v_e}} < 5.7 \ eV(95\% CL)$$

Cosmology

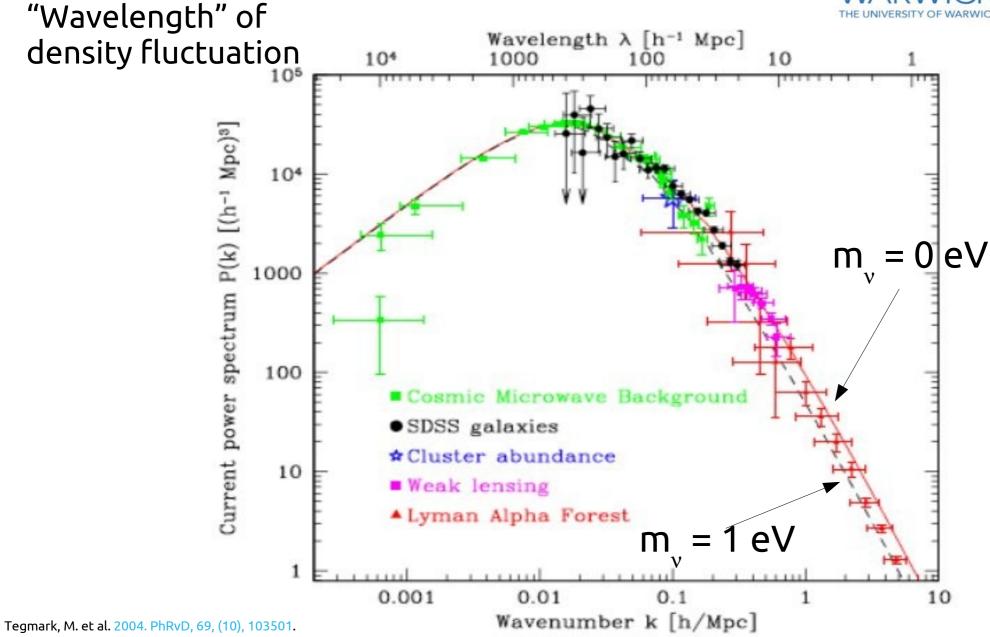




Density fluctuations are affected by neutrino mass in the early universe
Highly model dependent
WMAP,2dF,ACBAR, CBI,PLANCK, BOSS, BAO, SDSS

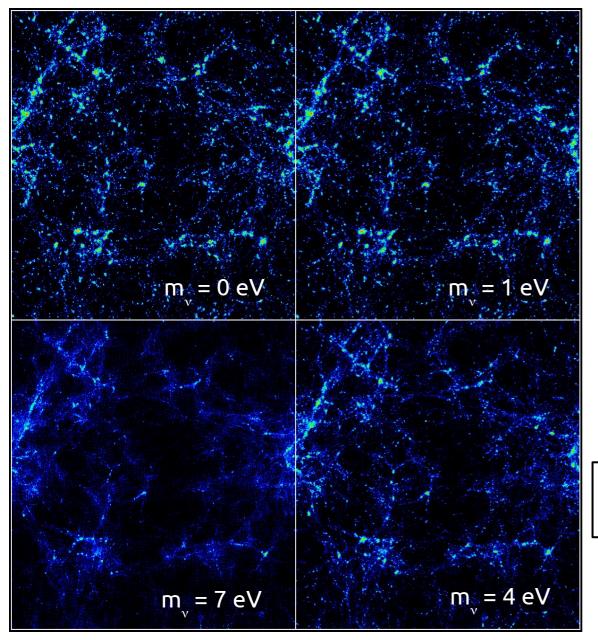
Power spectra





Cosmology





Density fluctuations are affected by neutrino mass in the early universe
Highly model dependent
WMAP,2dF,ACBAR, CBI,PLANCK, BOSS, BAO, SDSS

 $\sum m_{v_i} \leq (0.14 - 0.60) eV$

(rather model dependent)

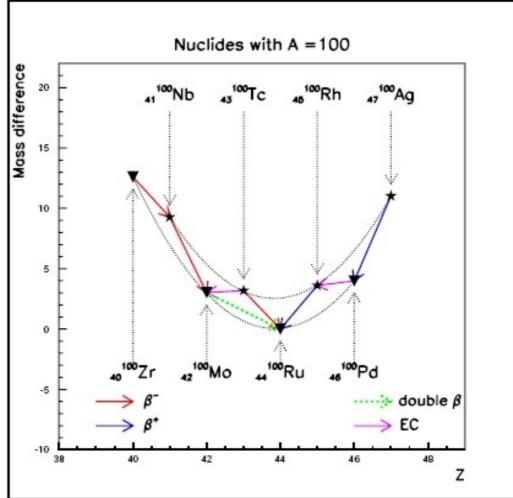
2vββ Decay

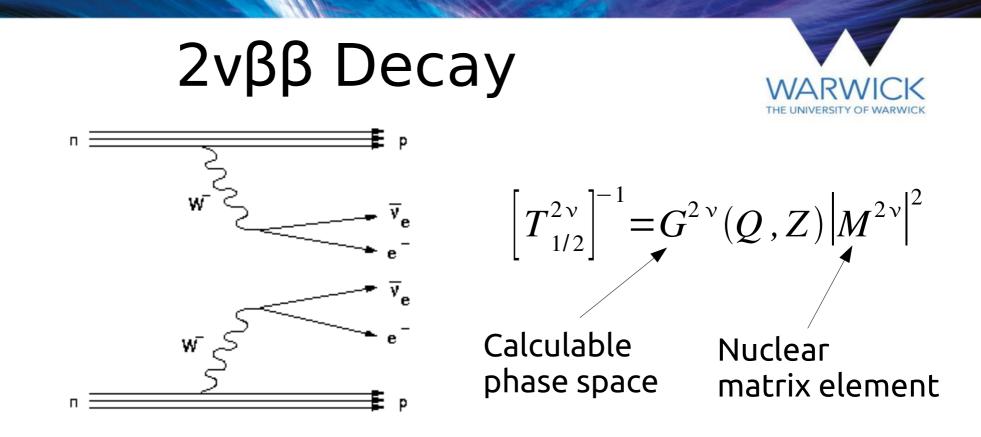


Neutrinoless double beta decay is considered a golden channel for the measurement of neutrino mass.

In some nuclei β decay is forbidden but double beta decay is not

$$(Z, A) \rightarrow (Z+2, A) + 2e^{-} + 2\overline{v_{e}}$$





•Second order process in perturbation theory

•Severe test for nuclear matrix element calculation

•Nuclear structure effects cause variations in the nuclear matrix elements of factors of 10

2vββ Decay

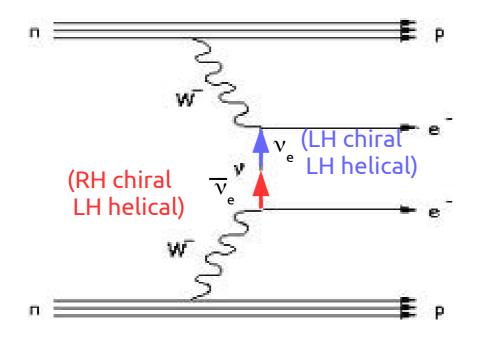


$2\nu\beta\beta$ mode	Half life ($\times 10^{24}$ years)
$\begin{bmatrix} 48\\20 Ca \rightarrow 48\\22 Ti \end{bmatrix}$	4.1
$^{76}_{32}Ge \rightarrow ^{76}_{34}Se$	40.9
${}^{82}_{34}Se \rightarrow {}^{82}_{36}Kr$	9.3
$\frac{96}{40}Zr \rightarrow \frac{96}{42}Mo$	4.4
$^{100}_{42}Mo \rightarrow ^{100}_{44}Ru$	5.7
$^{110}_{46}Pd \rightarrow ^{110}_{48}Cd$	18.6
$\overset{\tilde{1}16}{48}Cd \rightarrow \overset{\tilde{1}16}{50}Sn$	5.3
$^{124}_{50}Sn \rightarrow ^{124}_{52}Te$	9.5
$^{130}_{52}Te \to ^{130}_{54}Xe$	5.9
$^{136}_{54}Xe \rightarrow ^{136}_{56}Ba$	5.5
${}^{150}_{60}Nd \rightarrow {}^{150}_{62}Sm$	1.2

 Only occur in 36 known sources
 Rarest natural radioactive decay
 extremely long half-lives

Neutrinoless $\beta\beta$ Decay





<u>Requirements</u>

Neutrino must have mass

Neutrino is Majorana

 Violation of lepton number conservation

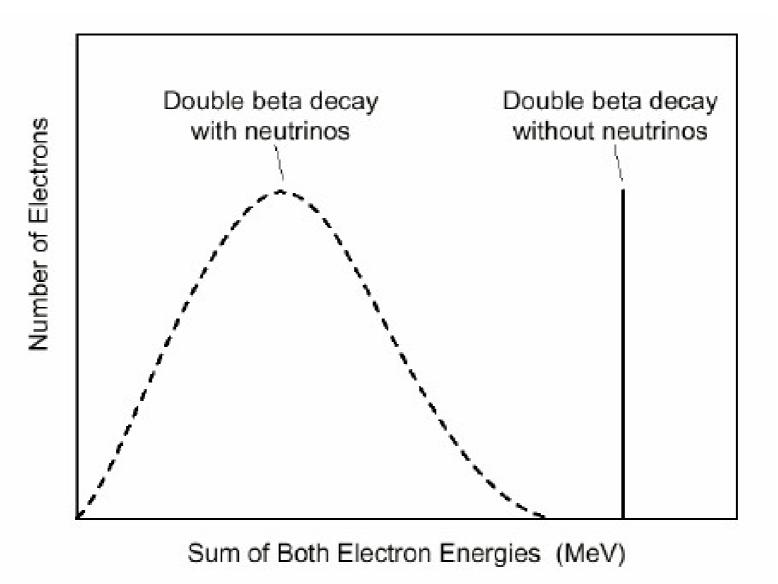
$$|v_L>=|v_{h=-1}>+\frac{m}{E}|v_{h=+1}>$$

 \uparrow helicity states

$$\Gamma_{0\nu} = G_{0\nu} |M_{0\nu}|^2 |\sum_i |U_{ei}|^2 m_i|^2 \Rightarrow T_{1/2} \sim 10^{27} years$$

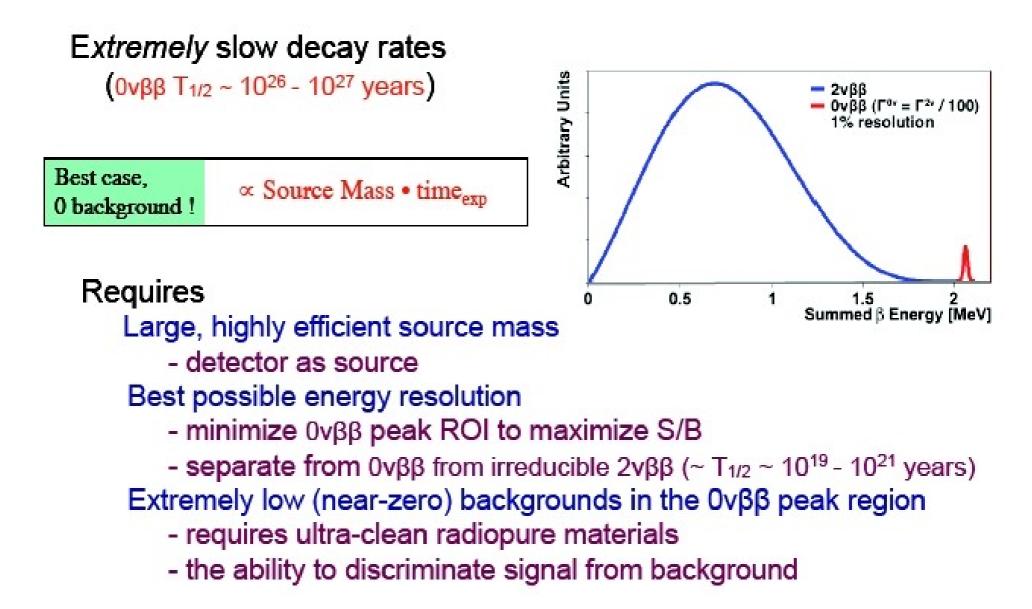


0vββ signal



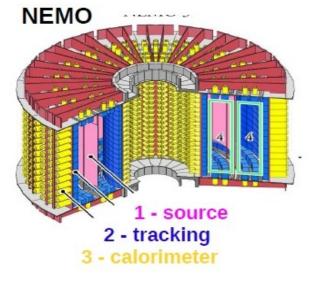


Experimental Requirements



Types of experiments





1. the source is inserted as thin foil inside a tracking detector

- 2e⁻ are detected separately
 - \rightarrow different channels of 0vDBD can be distinguished
- particle identification
 - → background suppression
- poor energy resolution
 - → important 2vDBD background (limitation on isotope choice)

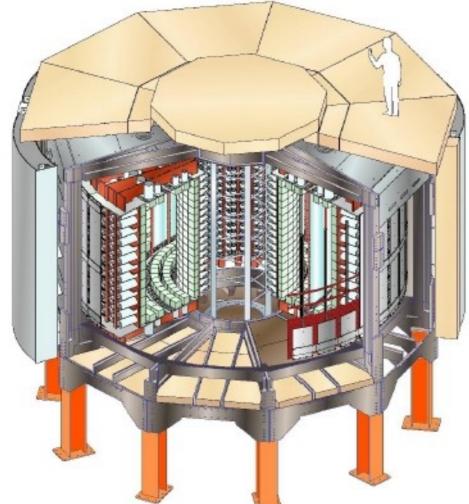


2. the detector is itself the source

- solid state detectors
 - → several candidates, high resolution no info on kinematic techniques for background suppression
- gaseous detectors for Xe



Passive Source - NEMO3



<u>Source</u>: 10 kg of ββ isotopes cylindrical, S = 20 m², 60 mg/cm²

Tracking detector:

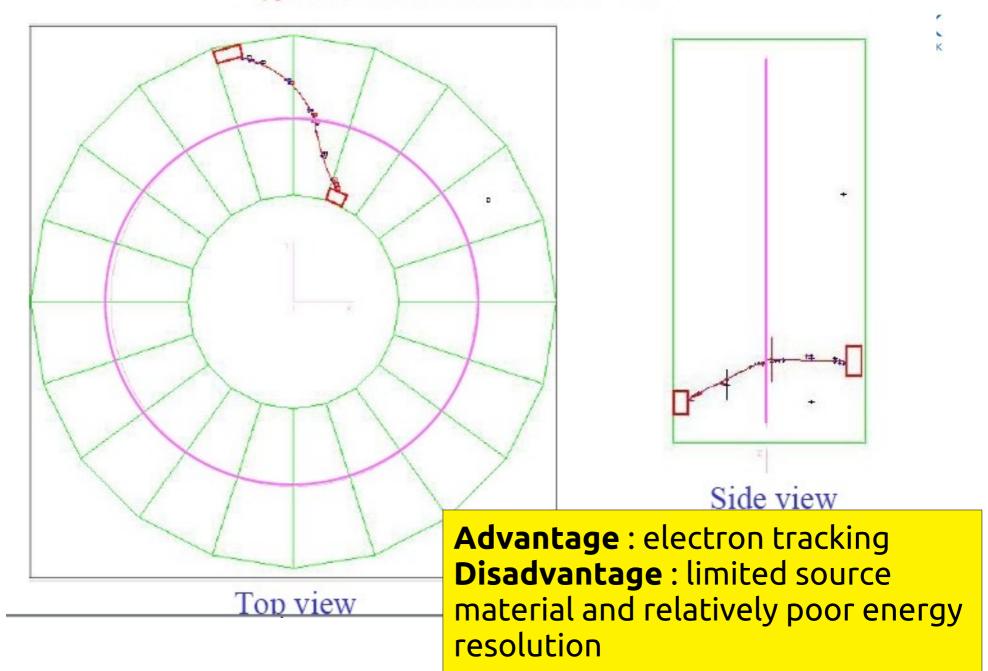
drift wire chamber operating in Geiger mode (6180 cells) Gas: He + 4% ethyl alcohol + 1% Ar + 0.1% H₂O

<u>Calorimeter</u>: 1940 plastic scintillators coupled to low radioactivity PMTs

Magnetic field: 25 Gauss Gamma shield: Pure Iron (18 cm) Neutron shield: borated water + Wood

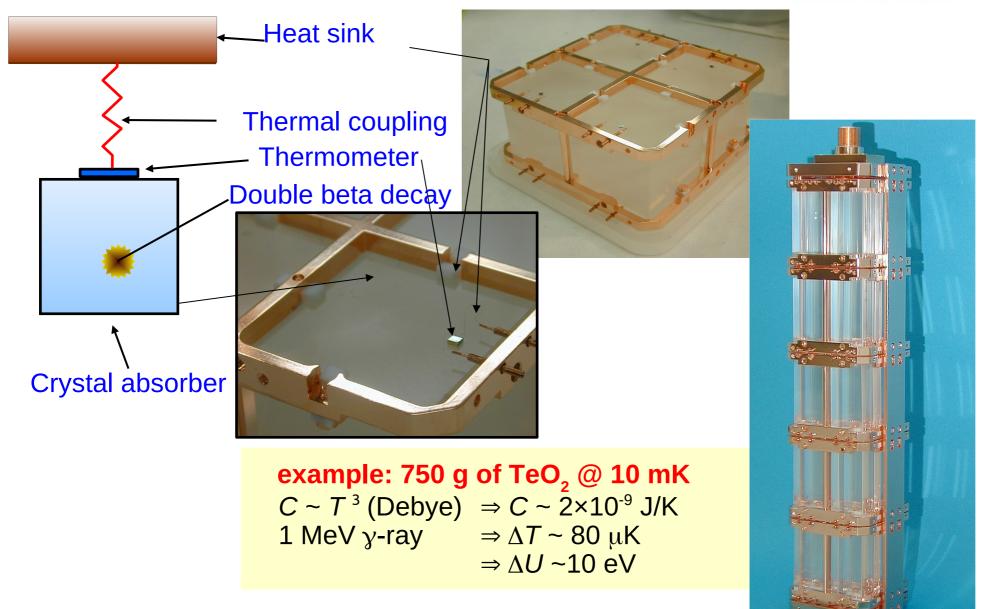
Background: n **Able to identify e⁻, e⁺, \gamma and \alpha** 2.6 MeV)

Typical ββ2ν event observed from ¹⁰⁰Mo



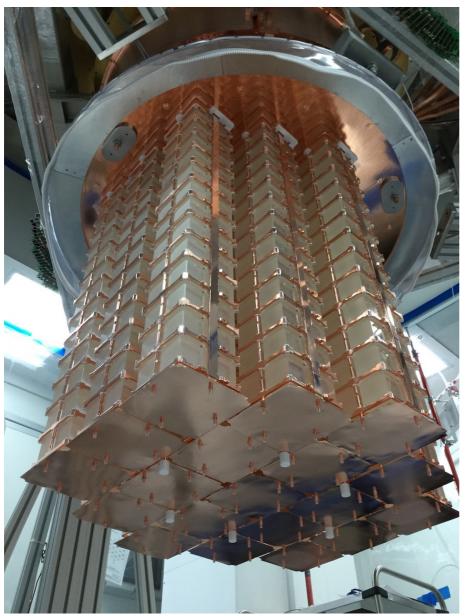
Bolometry : Cuore





Cuore



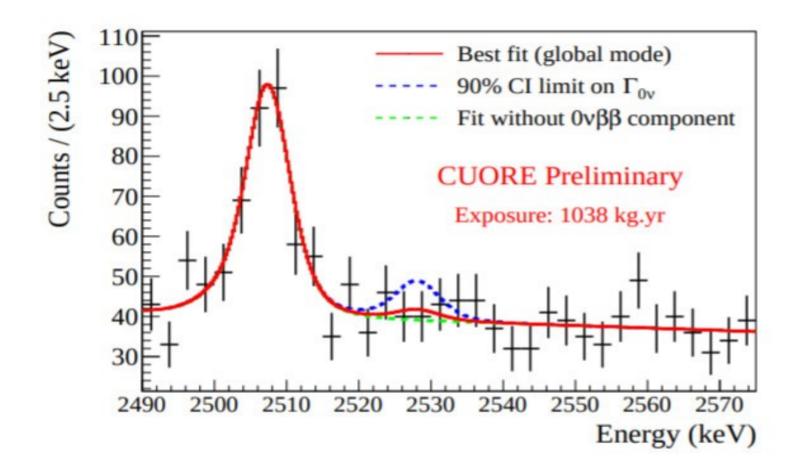


19 towers of 52 5x5x5 cm³ TeO₂ crystals
 Total mass of 742 kg of TeO₂
 0.5 kg of 0vββ isotope
 ¹²⁰Te

Crystals held at 10 mK



Cuore Results

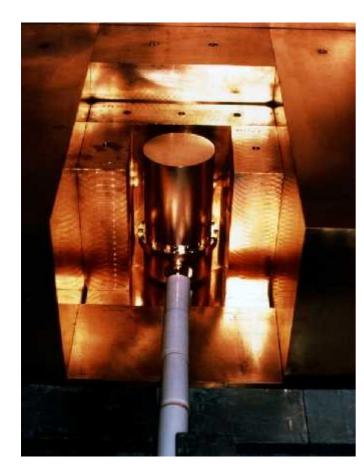


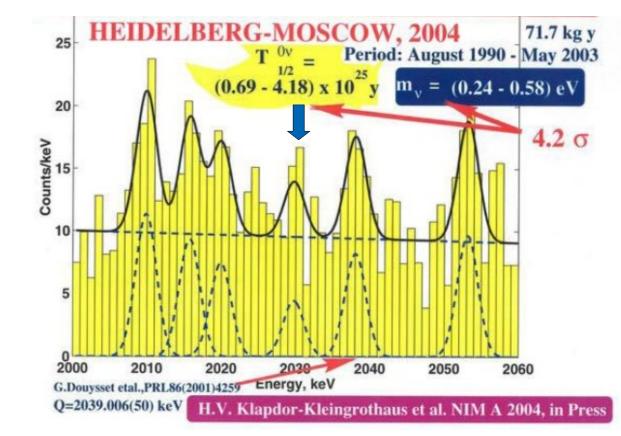
 $T_{1/2}^{0\nu}$ > 2.2 × 10²⁵ years $\Rightarrow \langle m_{\nu} \rangle < 0.76 - 3.5 eV$

Heidelberg-Moscow (HdM)



11 kg of Ge enriched to 86% of ⁷⁶Ge in the form of 5 Ge diodes surrounded by Cu,Pb,Bn shielding 0vββ electrons detected by Ge detectors themselves Sum of electron energy is measured







cryostat with internal

Cu shield

water tank (part of muon detector)

GERDA

muon & cryogenic

infrastructure

clean room with lock

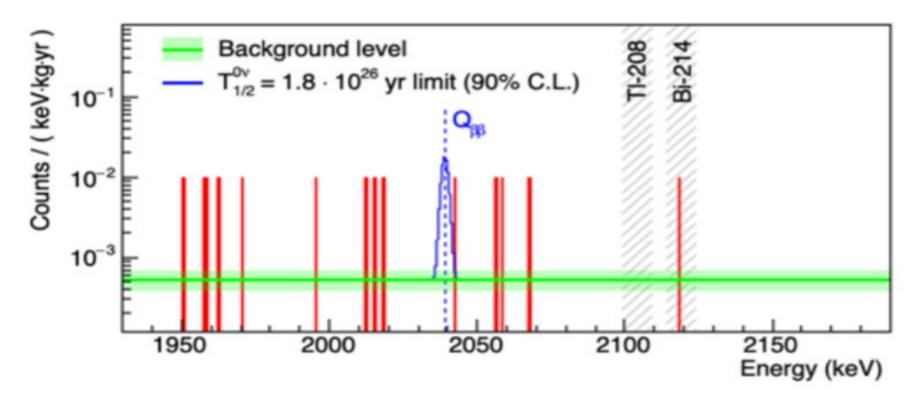
Designed to test Heidelberg-Moscow

Used the same Ge-76 isotope and technique

Ran from 2011 - 2020

GERDA





 $T_{_{1/2}} > 1.4 \times 10^{26} \text{ yr} @ 90\% \text{ CL}$ m($v_{_e}$) < 79-180 meV @ 90% CL

Inconsistent with HdM, but not definitive (yet)

 $m(v_e)(HM) = 240 - 580 \, meV$

Future Program



Collaboration	Isotope	Technique	mass (0vββ isotope)	Status
CANDLES-III	⁴⁸ Ca	305 kg CaF2 crystals in liquid scintillator	0.3 kg	Operating
CANDLES-IV	⁴⁸ Ca	CaF ₂ scintillating bolometers	TBD	R&D
GERDA	⁷⁶ Ge	Point contact Ge in active LAr	44 kg	Complete
MAJORANA DEMONSTRATOR	⁷⁶ Ge	Point contact Ge in Lead	30 kg	Operating
LEGEND 200	⁷⁶ Ge	Point contact Ge in active LAr	200 kg	Construction
LEGEND 1000	⁷⁶ Ge	Point contact Ge in active LAr	1 tonne	R&D
SuperNEMO Demonstrator	⁸² Se	Foils with tracking	7 kg	Construction
SELENA	⁸² Se	Se CCDs	<1 kg	R&D
NvDEx	⁸² Se	SeF ₆ high pressure gas TPC	50 kg	R&D
ZICOS	⁹⁶ Zr	10% natZr in liquid scintillator	45 kg	R&D
AMoRE-I	¹⁰⁰ Mo	⁴⁰ CaMoO ₄ scintillating bolometers	6 kg	Construction
AMoRE-II	¹⁰⁰ Mo	Li2MoO4 scintillating bolometers	100 kg	Construction
CUPID	¹⁰⁰ Mo	Li2MoO4 scintillating bolometers	250 kg	R&D
COBRA	116Cd/130Te	CdZnTe detectors	10 kg	Operating
CUORE	¹³⁰ Te	TeO ₂ Bolometer	206 kg	Operating
SNO+	¹³⁰ Te	0.5% natTe in liquid scintillator	1300 kg	Construction
SNO+ Phase II	¹³⁰ Te	2.5% natTe in liquid scintillator	8 tonnes	R&D
Theia-Te	¹³⁰ Te	5% natTe in liquid scintillator	31 tonnes	R&D
KamLAND-Zen 400	¹³⁶ Xe	2.7% in liquid scintillator	370 kg	Complete
KamLAND-Zen 800	¹³⁶ Xe	2.7% in liquid scintillator	750 kg	Operating
KamLAND2-Zen	136Xe	2.7% in liquid scintillator	~tonne	R&D
EXO-200	¹³⁶ Xe	Xe liquid TPC	160 kg	Complete
nEXO	136Xe	Xe liquid TPC	5 tonnes	R&D
NEXT-WHITE	¹³⁶ Xe	High pressure GXe TPC	~5 kg	Operating
NEXT-100	¹³⁶ Xe	High pressure GXe TPC	100 kg	Construction
PandaX	¹³⁶ Xe	High pressure GXe TPC	~tonne	R&D
AXEL	136Xe	High pressure GXe TPC	~tonne	R&D
DARWIN	¹³⁶ Xe	natXe liquid TPC	3.5 tonnes	R&D
LZ	136Xe	natXe liquid TPC		R&D
Theia-Xe	¹³⁶ Xe	3% in liquid scintillator	50 tonnes	R&D
R&D	Cons	truction Operating	Complete	

Direct mass measurement

•Tritium β decay	$\left(\sum_{i}\left U_{ei}^{2}\right m_{i}^{2}\right)^{\frac{1}{2}}$	< 0.8 eV
•0v2β decay	$\left \sum_{i} U_{ei}^2 m_i\right <$	<0.2 eV m _{ββ} >=440 meV from HM
•Cosmology	$\sum_{i} m_i < 0.15 eV$	Model dependent
•Pion decay	$m_{\nu\mu}$ <190 keV	Fairly pointless
•Tau decay	$m_{v\tau} < 18.2 MeV$	Entirely pointless

Question



Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

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