#### Lecture 3

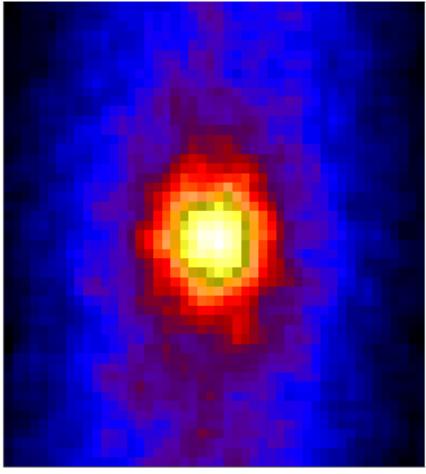


#### The neutrino oscillation industry

## Solar Neutrinos



#### SuperK : Solar neutrino-gram

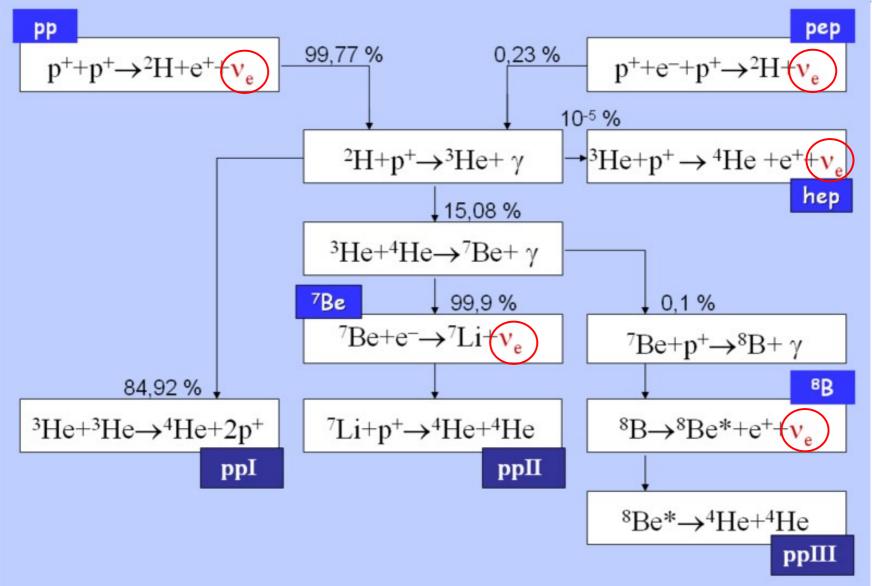


•Light from the solar core takes a million years to reach the surface

- Fusion processes generate
   electron neutrinos which take
   2s to leave
- Solar neutrinos are a direct probe of the solar core
- Roughly 4.0 x  $10^{10}$  solar  $v_e^{-10}$  per cm<sup>2</sup> per second on earth

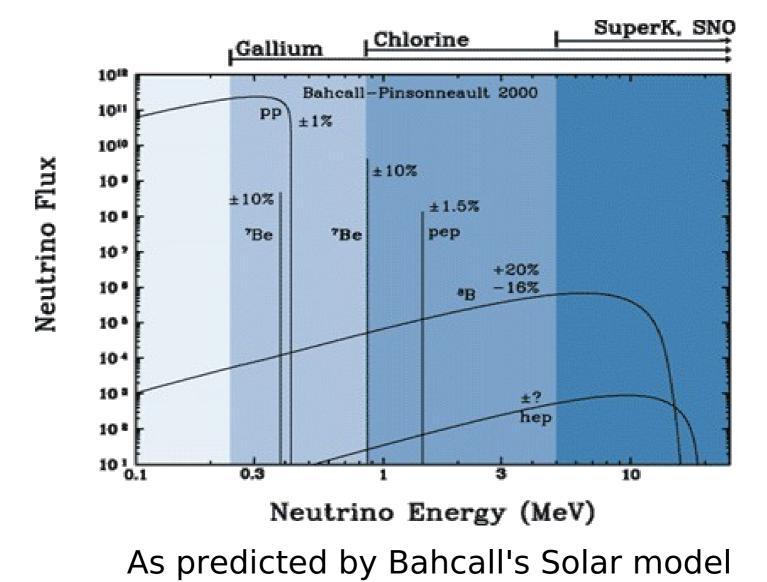
## Solar neutrino – pp Cycle





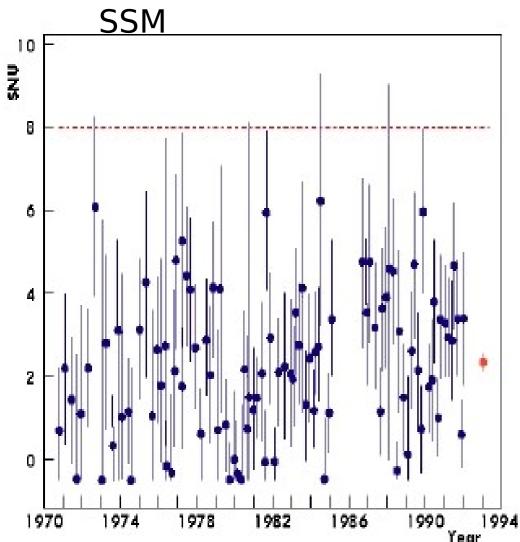
## Solar Neutrino Flux





#### The Solar Neutrino Problem - Homestake





Homestake sensitive to <sup>8</sup>B and <sup>7</sup>Be *electron neutrinos* 

 $E_{v} > 800 \text{ keV}$ 

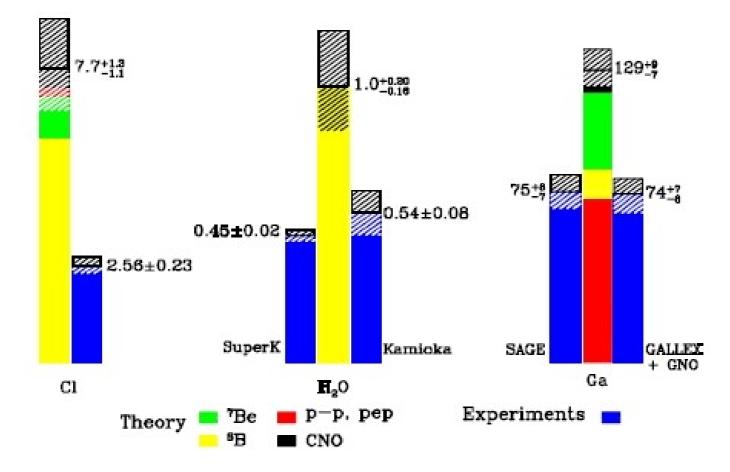
Observe 1/3 of the expected number of solar neutrinos

1 SNU = 1 interaction per $10^{36} \text{ atoms per second}$ 



#### Experimental summary

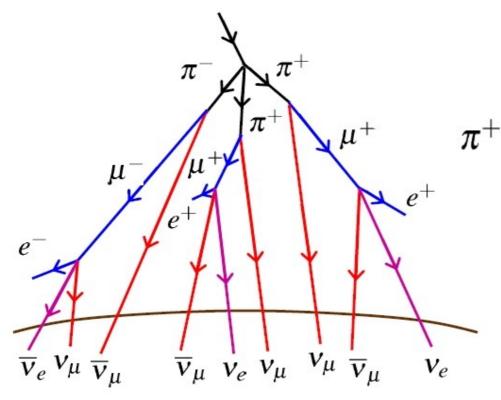
#### Total Rates: Standard Model vs. Experiment Bahcall-Pinsonneault 2000



## Atmospheric neutrinos



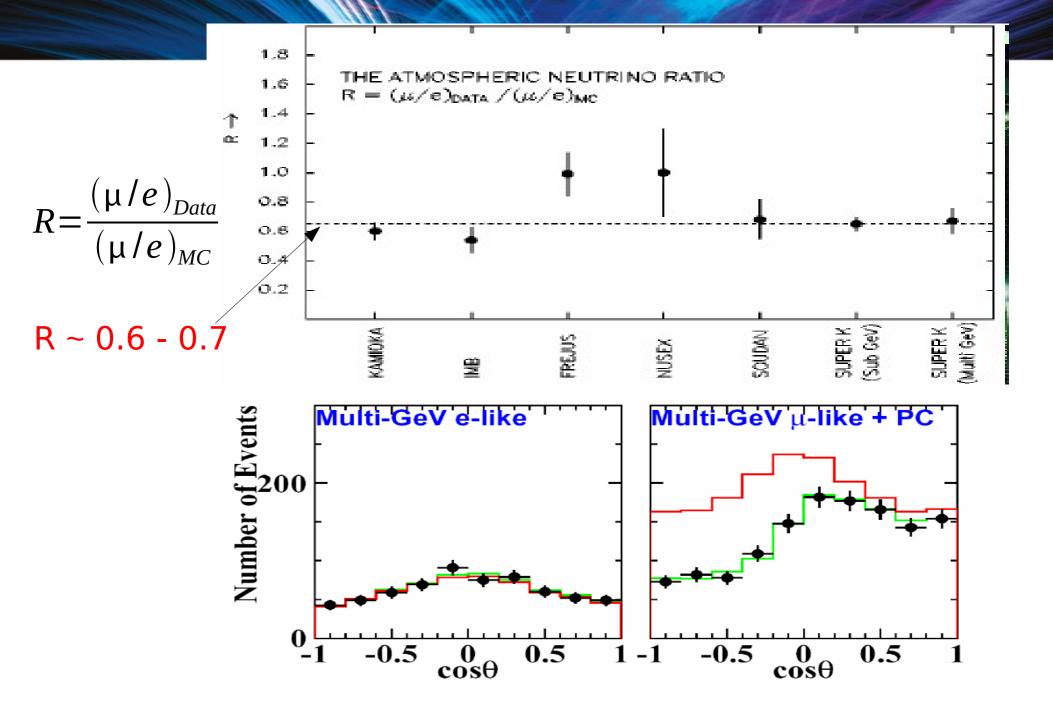
High energy cosmic rays interact in the upper atmosphere producing showers of mesons (mostly pions)



Neutrinos produced by

Expect  $\frac{N(v_{\mu} + \overline{v_{\mu}})}{N(v_{e} + \overline{v_{e}})} \approx 2$ 

At higher energies, the muons can reach the ground before decaying so ratio increases



The Atmospheric Neutrino Anomaly



## Neutrino Flavour Oscillations

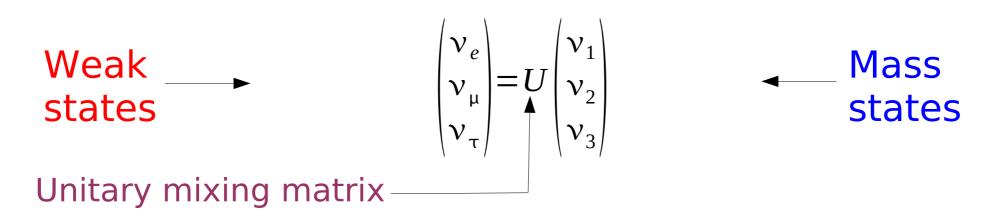
MixingCKM  
Mechanism
$$\begin{pmatrix} u \\ d' \end{pmatrix}_L$$
 $\begin{pmatrix} c \\ s' \end{pmatrix}_L$  $d' = d \cos \theta_c + s \sin \theta_c$   
 $s' = -d \sin \theta_c + s \cos \theta_c$ 

In the quark sector, the flavour eigenstates (those states which couple to the W/Z) are not identical to the mass eigenstates (those states which are eigenstates of the Hamiltonian)

Weak 
$$(d')_{s'} = \begin{pmatrix} 0.97 & 0.23 & 0.003 \\ 0.23 & 0.97 & 0.04 \\ 0.008 & 0.04 & 0.99 \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix} - Mass states$$

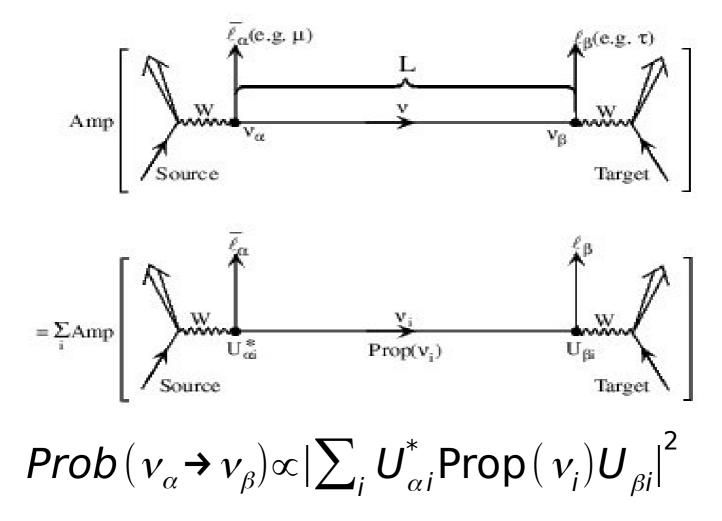
MixingImage: CKM  
Mechanism
$$\begin{pmatrix} u \\ d' \end{pmatrix}_L$$
 $\begin{pmatrix} c \\ s' \end{pmatrix}_L$  $d' = d \cos \theta_c + s \sin \theta_c$   
 $s' = -d \sin \theta_c + s \cos \theta_c$ 

In the quark sector, the flavour eigenstates (those states which couple to the W/Z) are not identical to the mass eigenstates (those states which are eigenstates of the Hamiltonian)





#### **Neutrino Oscillations**



If we don't know which mass state was created then the the amplitude involves a <u>coherent</u> superposition of  $v_i$  states

$$Prob(v_{\alpha} \rightarrow v_{\beta}) = \delta_{\alpha\beta} - 4 \sum_{i>j} \Re (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin^{2}(\Delta m_{ij}^{2} \frac{L}{4E})$$
$$+ 2 \sum_{i>j} \Im (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin(\Delta m_{ij}^{2} \frac{L}{2E})$$

#### $If \Delta m_{ii}^2 = 0$ then neutrinos don't oscillate

- Oscillation depends on |∆m<sup>2</sup>| absolute masses cannot be determined
- If there is no mixing (If U<sub>ai</sub> = 0) neutrinos don't oscillate
- > One can detect flavour change in 2 ways : start with  $v_a$  and look for  $v_\beta$  (appearance) or start with  $v_a$  and see if any disappears (disappearance)
- Flavour change oscillates with L/E. L and E are chosen by the experimenter to maximise sensitivity to a given  $\Delta m^2$
- Flavour change doesn't alter total neutrino flux it just redistributes it amongst different flavours (unitarity)

### Two flavour oscillations



$$\begin{pmatrix} \nu_{\alpha} \\ \nu_{\beta} \end{pmatrix} = U \begin{pmatrix} \nu_{1} \\ \nu_{2} \end{pmatrix} \Rightarrow U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

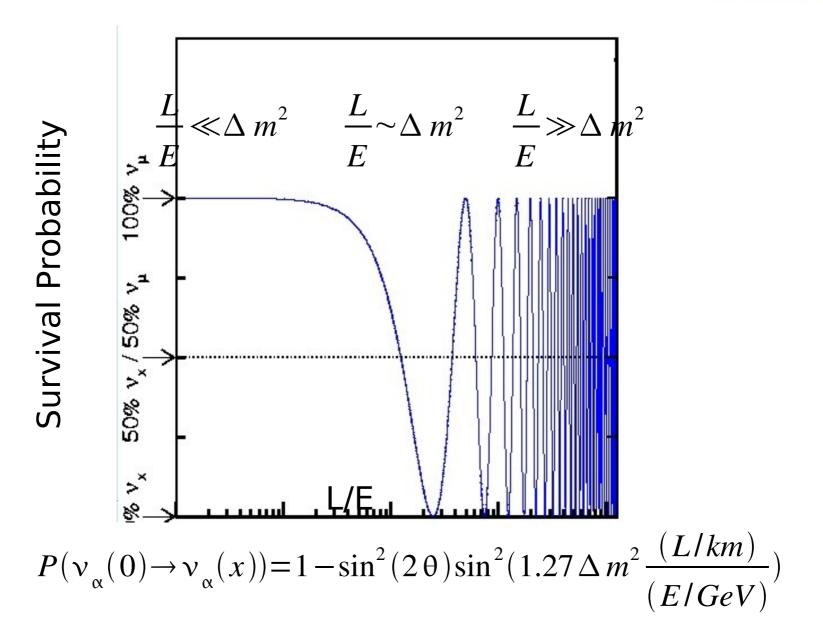
$$P(\nu_{\alpha} \rightarrow \nu_{\beta}) = \delta_{\alpha\beta} - 4 \sum_{i>j} U_{\alpha i} U_{\beta i} U_{\alpha j} U_{\beta j} \sin^{2} (\Delta m_{ij}^{2} \frac{L}{4E})$$

 $P(v_{a} \rightarrow v_{\beta})$ : Appearance Probability  $P(v_{a} \rightarrow v_{\beta})$ : Survival Probability

$$P(v_{\alpha} \rightarrow v_{\beta}) = -4(U_{\alpha 1}U_{\beta 1}U_{\alpha 2}U_{\beta 2})\sin^{2}(\Delta m_{ij}^{2}\frac{L}{4E})$$
  
$$.=\sin^{2}(2\theta)\sin^{2}(1.27\Delta m^{2}(eV^{2})\frac{L(km)}{E(GeV)})$$

(changing to useful units)







#### Question : What would you observe if you were able to know what mass state propagated from source to detector?



### Three Flavour Oscillation

The three flavour case is more complicated, but no different

$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = U \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix} \Leftrightarrow U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

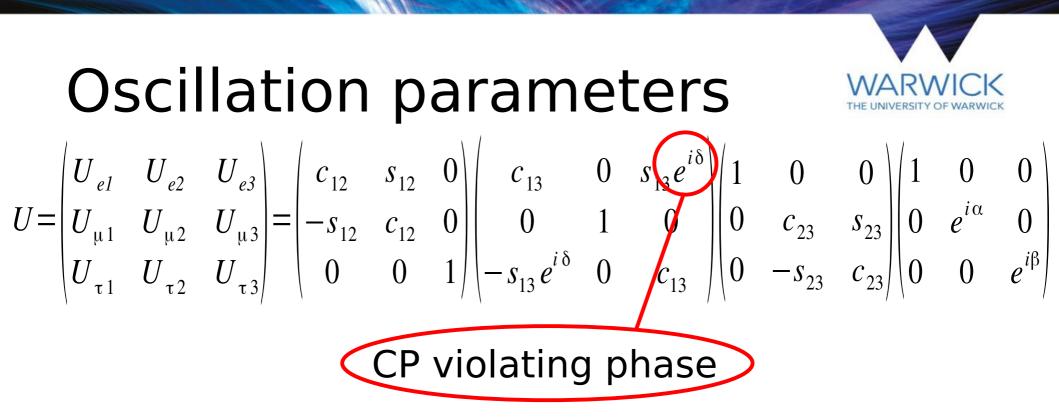
U is the Pontecorvo-Maskawa-Nakayama-Sakata (PMNS) matrix

$$Prob(v_{\alpha} \rightarrow v_{\beta}) = \delta_{\alpha\beta} - 4\sum_{i>j} \Re (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin^{2}(\Delta m_{ij}^{2} \frac{L}{4E})$$
$$+ 2\sum_{i>j} \Im (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin(\Delta m_{ij}^{2} \frac{L}{2E})$$

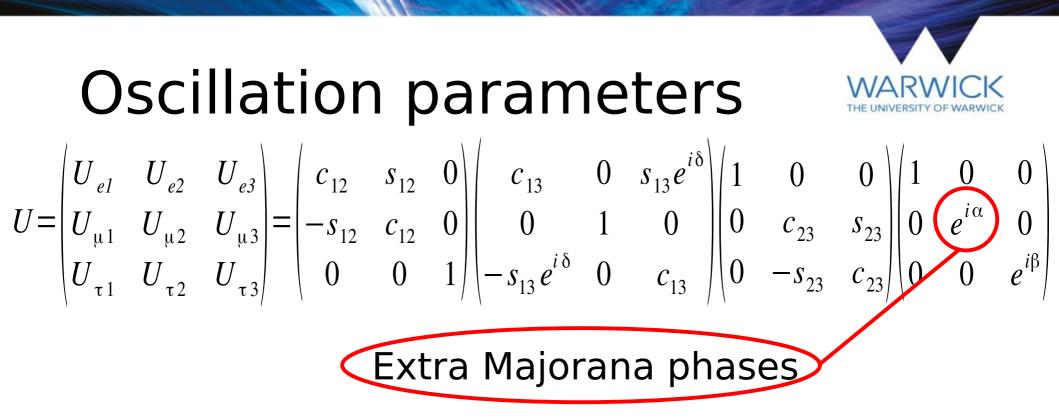
$$\begin{array}{l}
\textbf{Oscillation parameters}\\
U = \begin{pmatrix} U_{el} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix} \\
\begin{array}{c}
\textbf{Prob}(v_{\alpha} \rightarrow v_{\beta}) = \delta_{\alpha\beta} - 4\sum_{i>j} \Re(U_{\alpha i}^{*}U_{\beta i}U_{\alpha j}U_{\beta j}^{*})\sin^{2}(\Delta m_{ij}^{2}\frac{L}{4E}) \\ + 2\sum_{i>j} \Im(U_{\alpha i}^{*}U_{\beta i}U_{\alpha j}U_{\beta j}^{*})\sin(\Delta m_{ij}^{2}\frac{L}{2E})
\end{array}$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}$$
  
Three angles

$$Prob(v_{\alpha} \rightarrow v_{\beta}) = \delta_{\alpha\beta} - 4\sum_{i>j} \Re (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin^{2}(\Delta m_{ij}^{2} \frac{L}{4E})$$
$$+ 2\sum_{i>j} \Im (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin(\Delta m_{ij}^{2} \frac{L}{2E})$$



$$Prob(v_{\alpha} \rightarrow v_{\beta}) = \delta_{\alpha\beta} - 4 \sum_{i>j} \Re (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin^{2} (\Delta m_{ij}^{2} \frac{L}{4E})$$
$$+ 2 \sum_{i>j} \Im (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin (\Delta m_{ij}^{2} \frac{L}{2E})$$



The extra Majorana matrix does not affect flavour oscillation processes.....so is usually dropped. However it will affect the interpretation of neutrinoless double beta decay results



## Explaining the solar data

# Testing the oscillation hypothesis



#### Solar neutrino problem

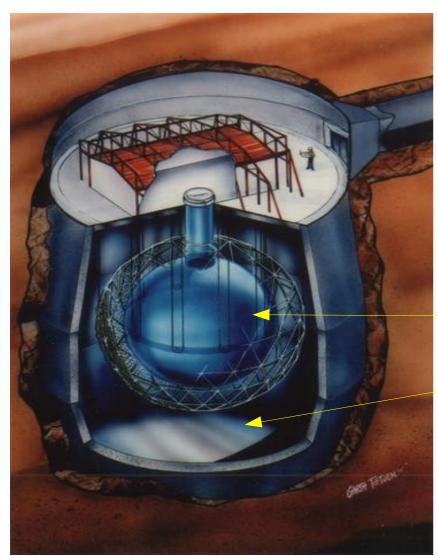
 $v_{\rm e}$  from sun would change to  $v_{\mu}$  or  $v_{\tau}$ . However these have too little energy to interact via the charged current, and all the detectors are only sensitive to charge current interactions.

Non- $\nu_{\rm e}$  component would effectively disappear, reducing the apparent  $\nu_{\rm e}$  flux.

#### **Proof : Neutral current event rate shouldn't change.**

#### Sudbury Neutrino Observatory







1000 tonnes of  $D_2^0$ 6500 tons of  $H_2^0$ Viewed by 10,000 PMTS In a salt mine 2km underground in Sudbury, Canada

# SNO



cc  $v_e + d \rightarrow p + p + e^-$ 

- -Q = 1.445 MeV
- good measurement of  $v_e$  energy spectrum
- some directional info  $\propto (1 1/3 \cos \theta)$
- Ve only

NC 
$$\nu_x + d \rightarrow p + n + \nu_x$$

-Q = 2.22 MeV

measures total <sup>8</sup>B v flux from the Sun
 equal cross section for all v types

$$v_x + e^- \to v_x + e^-$$

- low statistics
- mainly sensitive to  $v_e$ , some  $v_{\mu}$  and  $v_{\tau}$
- strong directional sensitivity

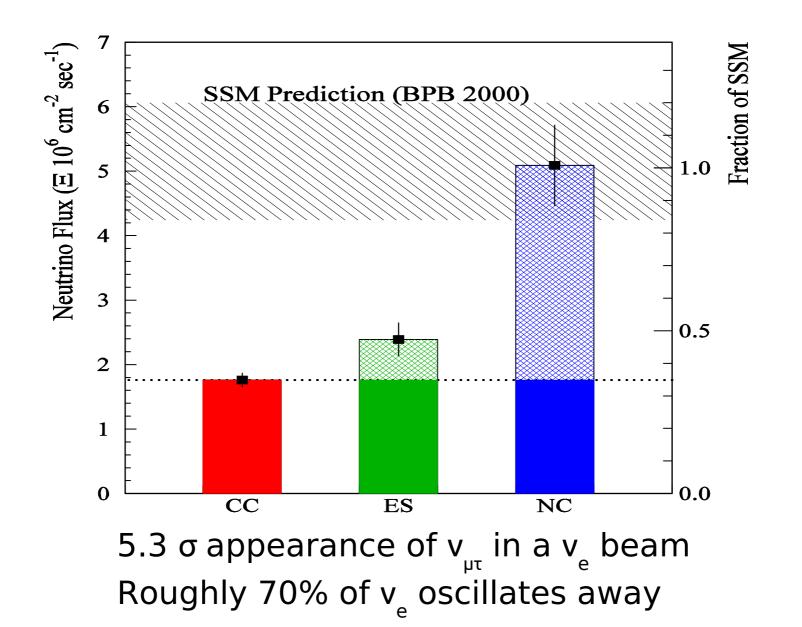
n captures on deuteron <sup>2</sup>H(n,  $\gamma$ )<sup>3</sup>H Observe 6.25 MeV  $\gamma$  $v_e + v_{\mu} + v_{\tau}$ 

Produces Cherenkov Light Cone in D<sub>2</sub>O

$$v_{e} + 0.15*(v_{\mu} + v_{\tau})$$

#### **SNO** Results





## Naively...



First instinct is to assume that neutrinos leave the sun as  $v_{\rm e}$  and oscillate on their way to the earth. Assuming this

$$L \sim 10^8 \, km$$
,  $E_v < 10 \, MeV \Rightarrow \Delta m^2 \sim 3 \times 10^{-10} \, eV^2$ 

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Oscillations come from phase difference between mass states. In a vacuum the phase diff comes from free particle Hamiltonian. In a material there are interaction potentials as well

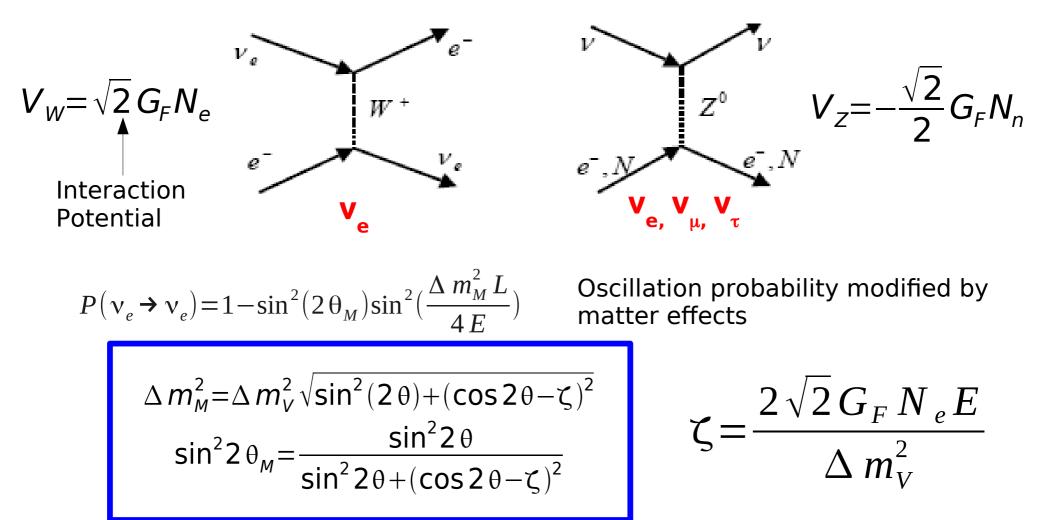
$$-i\hbar\frac{\partial\psi}{\partial t} = E\psi = \frac{-\hbar^2}{2m}\frac{\partial^2\psi}{\partial x^2} \rightarrow -i\hbar\frac{\partial\psi}{\partial t} = (E+V)\psi = \frac{-\hbar^2}{2m}\frac{\partial^2\psi}{\partial x^2}$$
$$E^2 - p^2 = m_{vac}^2 \rightarrow (E+V)^2 - p^2 = m_{mat}^2 \rightarrow m_{mat} \approx \sqrt{m_{vac}^2 + 2EV}$$

c.f. effective mass of an electron in a semiconductor or light in glass

## **Oscillations in Matter**



Electrons exist in standard matter –  $\mu/\tau$  do not. Electron neutrinos travelling in matter can experience an extra charged current interaction that other flavours cannot.



Implications  

$$sin^{2}2\theta_{M} = \frac{sin^{2}2\theta}{sin^{2}2\theta + (cos 2\theta - \zeta)^{2}} \qquad \zeta = \frac{2\sqrt{2}G_{F}N_{e}E}{\Delta m_{Vac}^{2}}$$

•If  $\Delta m_{Vac}^2 = 0$  or matter is very dense,  $\zeta = \infty$  and  $\theta_m = 0$ •Similarly, if  $\theta_{Vac} = 0$ , then  $\theta_M = 0 \Rightarrow$  need mixing in vacuum •If there is no matter, then  $\zeta = 0$  and we have vacuum mixing

•At a particular electron density, dependent on  $\Delta m^2$ ,

$$\zeta = \frac{2\sqrt{2}G_F N_e E}{\Delta m^2} = \cos 2\theta \implies \sin^2 2\theta_M = 1$$

Even if the vacuum mixing angle is tiny, there is a density for which the matter mixing angle is maximal

Mass heirarchy  

$$\sin^{2} 2 \theta_{M} = \frac{\sin^{2} 2 \theta}{\sin^{2} 2 \theta + (\cos 2 \theta - \zeta)^{2}} \qquad \zeta = \frac{2 \sqrt{2} G_{F} N_{e} E}{\Delta m_{V}^{2}}$$

If mass of  $v_1 < mass of v_2$ ,  $\Delta m_v^2 = m_1^2 - m_2^2 < 0$ 

$$\zeta = -\frac{2\sqrt{2}G_F N_e E}{|\Delta m^2|} \rightarrow \sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta + |\zeta|)^2}$$

Positive definite – no resonance

If mass of  $v_1 > mass of v_2, \Delta m^2 = m_1^2 - m_2^2 > 0$ 

$$\zeta = \frac{2\sqrt{2}G_F N_e E}{|\Delta m^2|} \rightarrow \sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - |\zeta|)^2}$$

Mass heirarchy  

$$\sin^{2}2\theta_{M} = \frac{\sin^{2}2\theta}{\sin^{2}2\theta + (\cos 2\theta - \zeta)^{2}} \qquad \zeta = \pm \frac{2\sqrt{2}G_{F}N_{e}E}{|\Delta m_{V}^{2}|}$$

The effect of matter on neutrino oscillations can be used to measure the mass hierarchy.

This is about the only way we know how to do this.



#### Mixing matrix

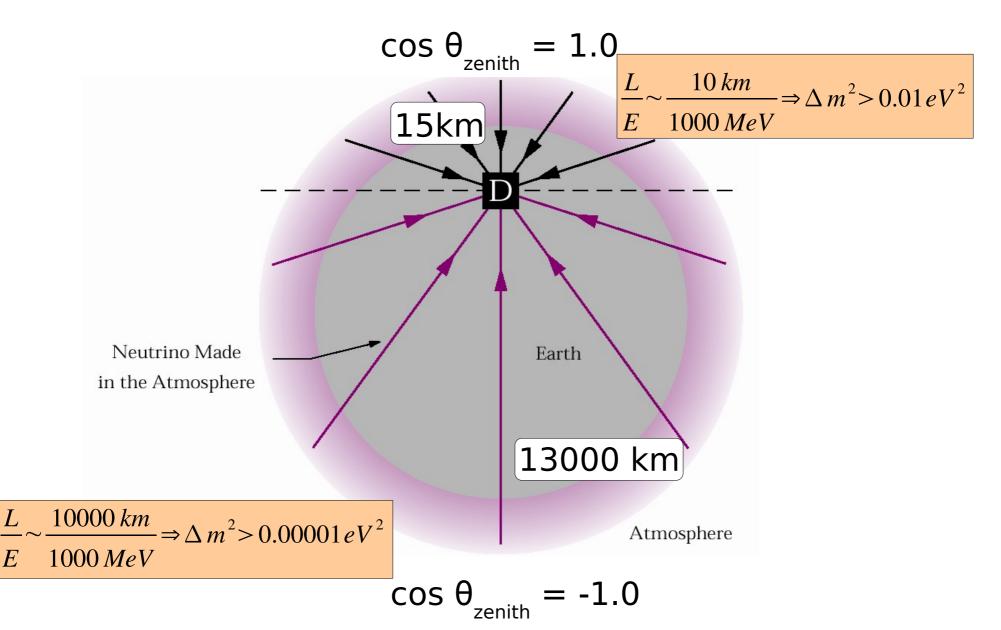
$$U = \begin{pmatrix} U_{el} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$
  
Solar sector  
$$\theta_{e\mu} = 32.5^{\circ} \pm 2.4^{\circ}$$
$$\Delta m_{12}^{2} = +7.9 \times 10^{-5} eV^{2}$$

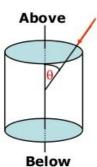


# Explaining the atmospheric data

## Cosmic Labs

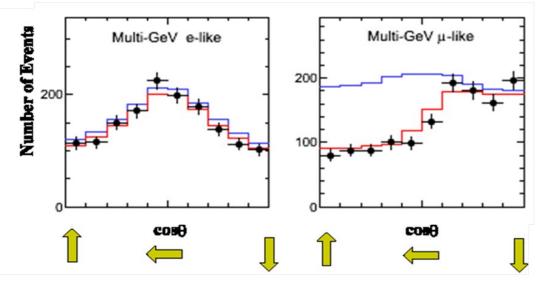


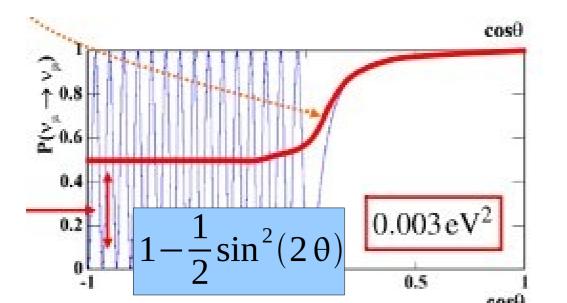




# Atmospheric results







Prediction for v<sub>e</sub> rate agrees with data.
v<sub>μ</sub> disappear at large baseline consistent with v<sub>μ</sub> → v<sub>τ</sub>
Don't detect v<sub>τ</sub> as
below τ mass threshold
SuperK is awful at τ detection

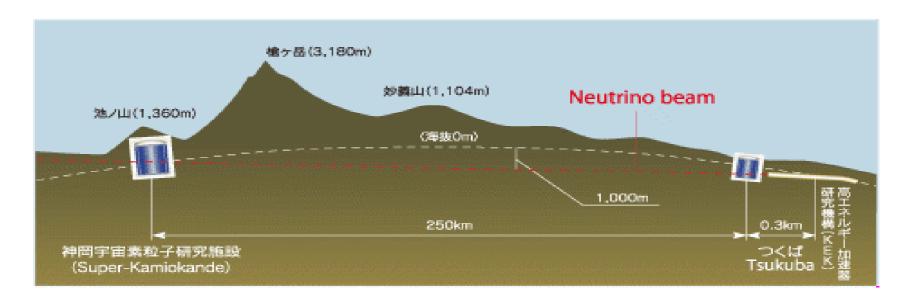
$$\left|\Delta m_{atmos}^2\right| \approx 0.0025 eV^2$$
  
 $\sin^2(2\theta_{atmos}) \approx 1.0$ 



# Accelerator Cross-check

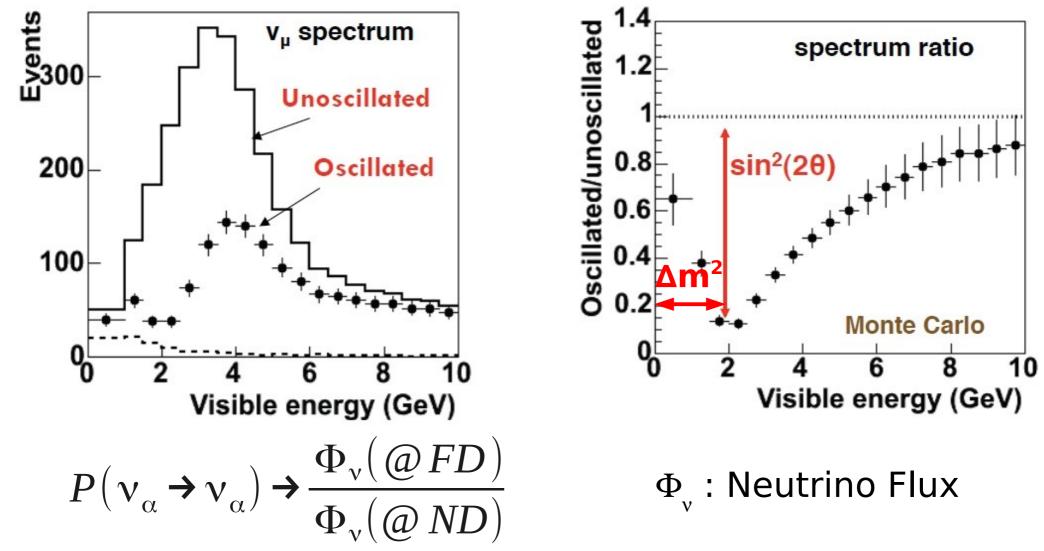
#### $\Delta m_{atmos}^2 \approx 3 \times 10^{-3} eV^2 \rightarrow L/E \approx 400 \, km \, GeV^{-1}$

 $L=250 \, km \rightarrow E_{v} \approx 0.6 \, GeV$ 



# Beam events tagged using GPS at both near and far detector sites





Use Near Detector to measure  $\Phi_{i}$  (@ND)

## T2K and NOVA

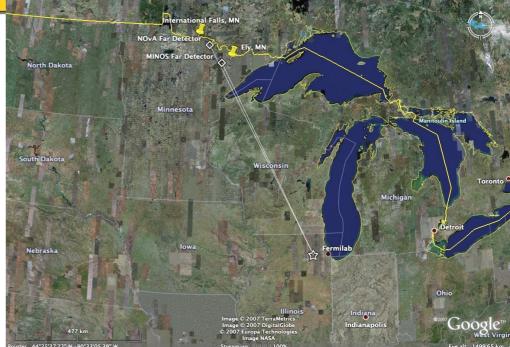




JPARC to Kamioka
 L = 295 km
 E<sub>v</sub> ~ 0.6 GeV
 Far Det : 22.6 kton water Cerenkov detector

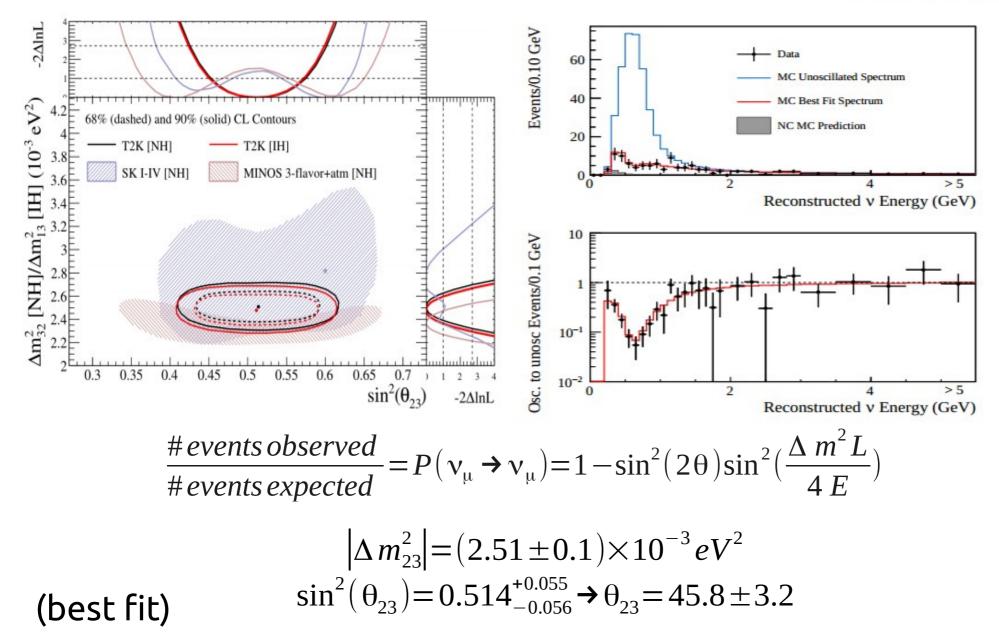
Fermilab to Ash River, MN
 L = 810 km
 E<sub>v</sub> ~ 2.0 GeV
 Far Det : 14 kton of liquid scintillator (in bars)

detector at 295 km



## T2K Disappearance

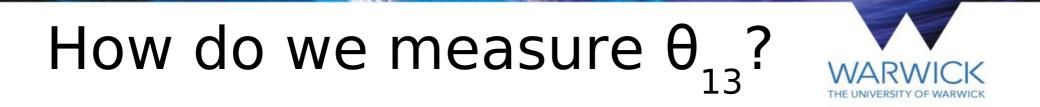






## Mixing matrix

$$U = \begin{pmatrix} U_{el} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$
  
Solar sector :  $v_{\mu} \rightarrow v_{e}$   
 $\theta_{e\mu} = 33.7^{\circ} \pm 1.1^{\circ}$   
 $m_{12}^{2} = +(7.54 \pm 0.24) \times 10^{-5} eV^{2} \end{pmatrix}$   
Atmospheric sector  
 $v_{\mu} \rightarrow v_{\tau}$   
 $\theta_{\mu\tau} = 42^{\circ} \pm 3.0^{\circ}$   
 $\Delta m_{23}^{2} = |(2.43 \pm 0.06) \times 10^{-3}| eV^{2}$ 



 $v_{_{\mu}} \rightarrow v_{_{e}}$  oscillations with atmospheric L/E

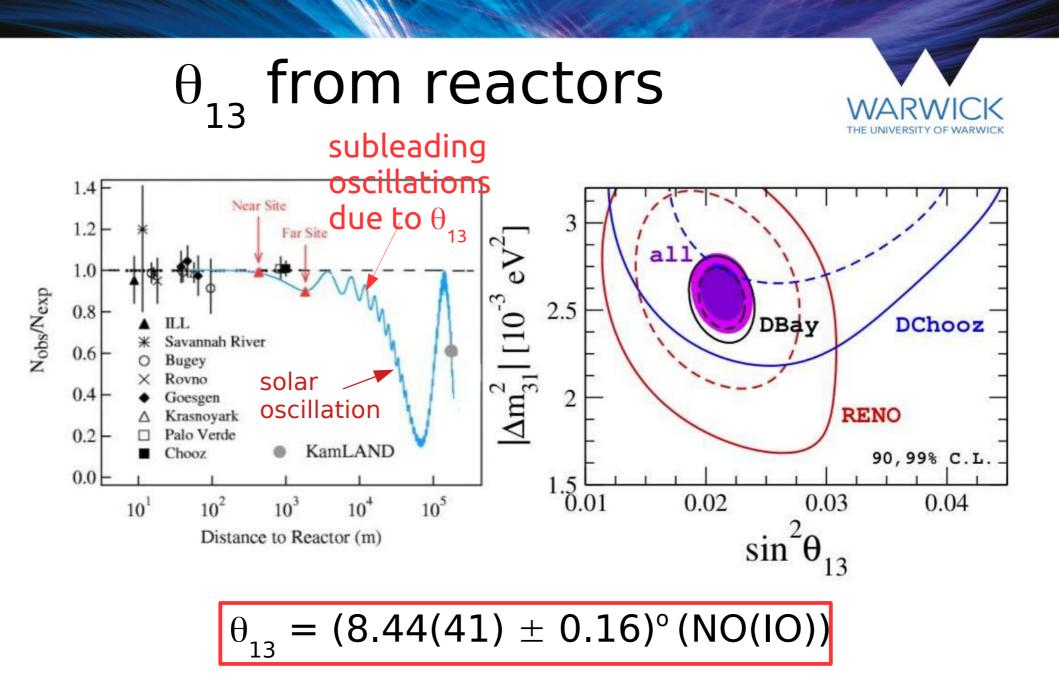
$$P(v_{\mu} \rightarrow v_{e}) = \sin^{2} 2\theta_{13} \sin^{2} \theta_{23} \sin^{2} (1.27\Delta m_{23}^{2} \frac{L}{E})$$

 $\nu_{_{e}}$  appearance in a  $\nu_{_{\mu}}$  beam – ideal for accelerator experiments

 $\overline{v}_{r} \rightarrow \overline{v}_{x}$  disappearance oscillations with atmospheric L/E

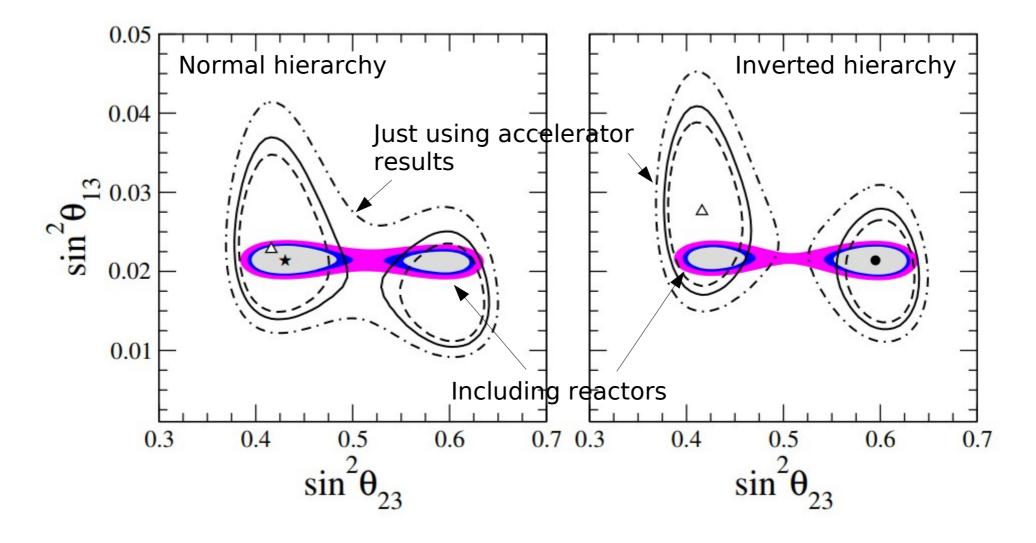
$$p(\overline{\mathbf{v}_{e}} \rightarrow \overline{\mathbf{v}_{x}}) 1 - \sin^{2}(2\theta_{13}) \sin^{2}(1.27\Delta m_{23}^{2}\frac{L}{E})$$

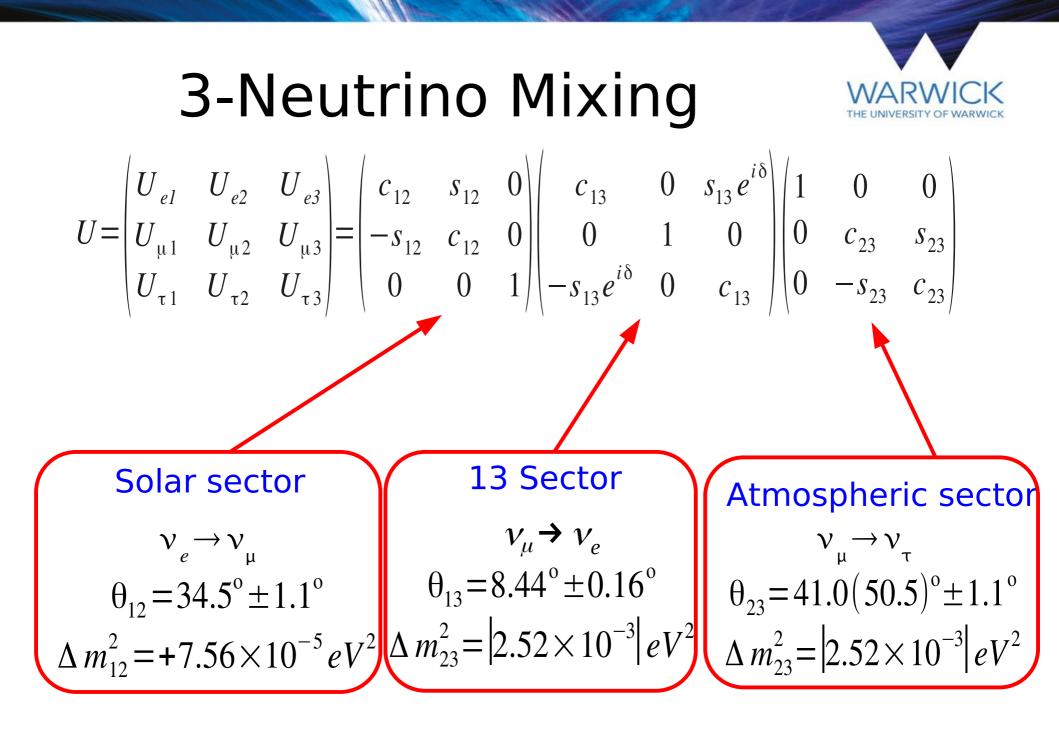
 $\overline{v}_{e}$  disappearance – ideal for *reactor experiments* 





## **Global results**





### Summary of Current Knowledge $\theta_{13}$ : how much v is in v (3 $|\Delta m_{32}^2| \approx 2.5 \times 10^{-3} \,\mathrm{eV}^2$ μ $V_{2}$ $|\Delta m_{21}^2| \approx 8 \times 10^{-5} \,\mathrm{eV}^2$

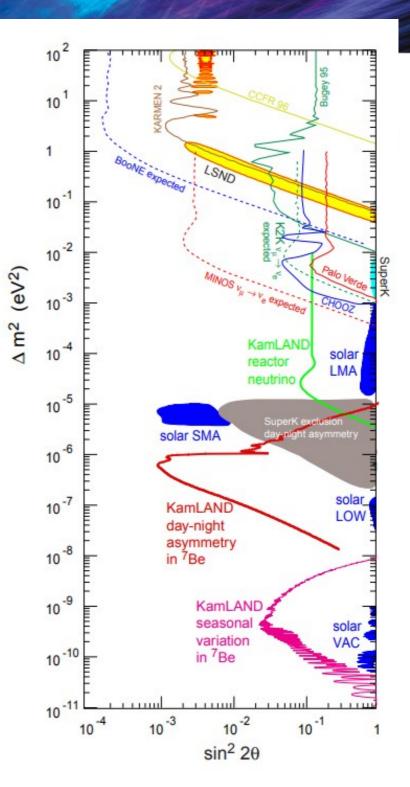
$$U_{MNSP} \approx \begin{pmatrix} 0.8 & 0.5 & 0.15 \\ 0.4 & 0.5 & 0.6 \\ 0.4 & 0.5 & 0.7 \end{pmatrix}$$

Some elements only known to 10-30%

Very very different from the quark CKM matrix

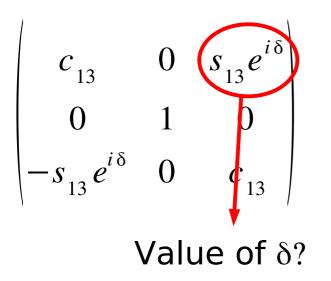
#### Comparison

#### State of play : Yr 2000



# The Quest





•Better estimates of the oscillation parameters using accelerators •Is  $\theta_{23}$  maximal? •Is the neutrino Majorana? •What is the absolute mass?

#### Normal or Inverted mass heirarchy?

