

Lecture 4

To The Future and Beyond!



Question : What would you observe if you were able to know what mass state propagated from source to detector?



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$$Prob(v_{\alpha} \rightarrow v_{\beta}) \propto \left|\sum_{i} U_{\alpha i}^{*} \operatorname{Prop}(v_{i}) U_{\beta i}\right|^{2}$$



Question : What would you observe if you were able to know what mass state propagated from source to detector?

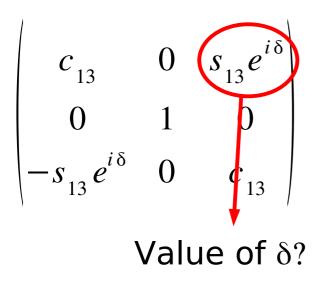
$$Prob(v_{\alpha} \rightarrow v_{\beta}) \propto \sum_{i} |U_{\alpha i}^{*} \operatorname{Prop}(v_{i})U_{\beta i}|^{2}$$
$$\rightarrow \sum_{i} |U_{\alpha i}^{2}| |U_{\beta i}|^{2}$$

The Prop term is just a phase rotation so vanishes
 The probability is now a constant – there is flavour change if mixing can still happen – but now the oscillation has vanished, as the interference between mass states no longer exists...

The destruction of the oscillation pattern is a consequence of the Uncertainty Principle. Can you work out how?

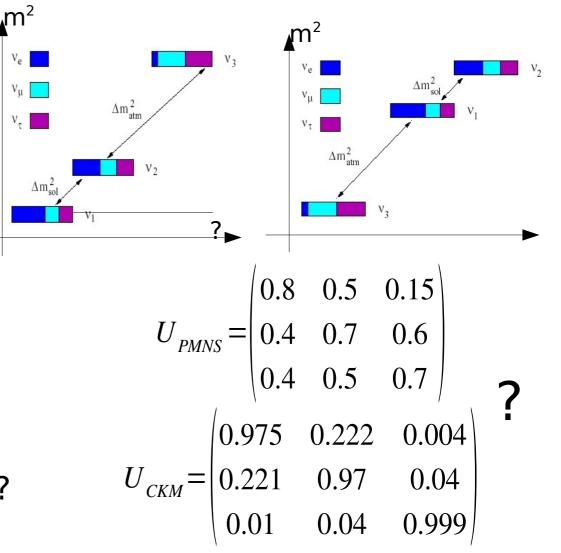
The Quest





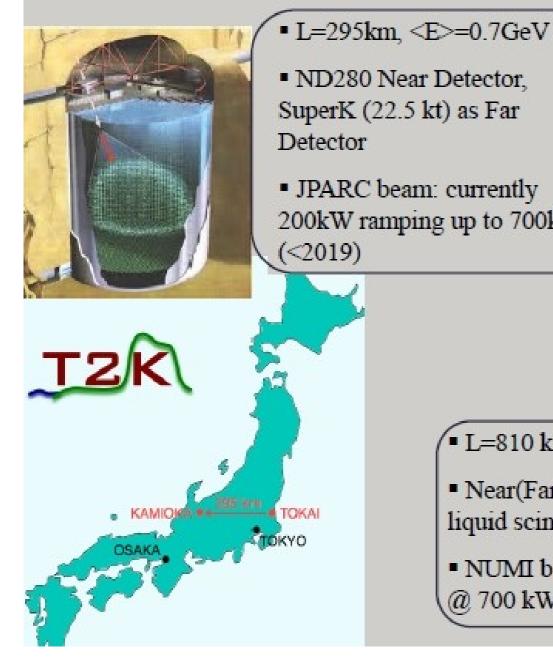
Better estimates of the oscillation parameters using accelerators
Is θ₂₃ maximal?
Is the neutrino Majorana?
What is the absolute mass?

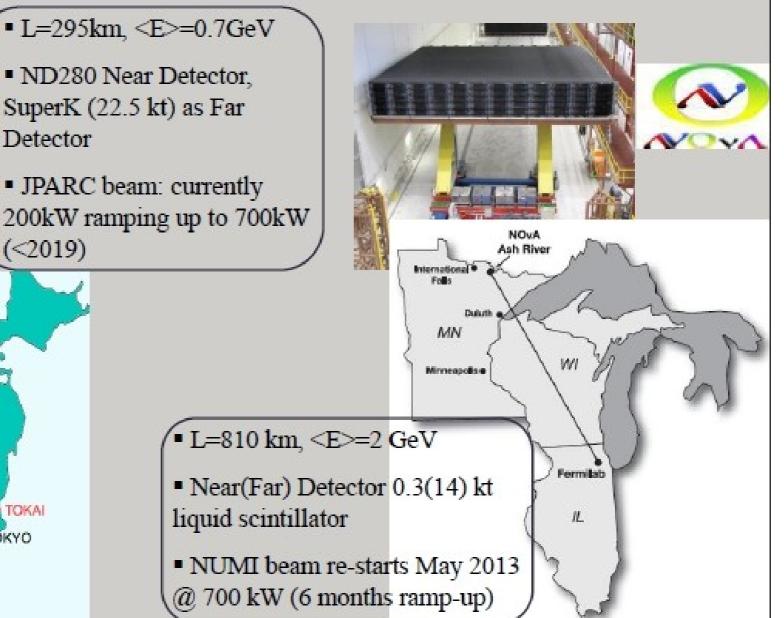
Normal or Inverted mass heirarchy?



Current Experiments







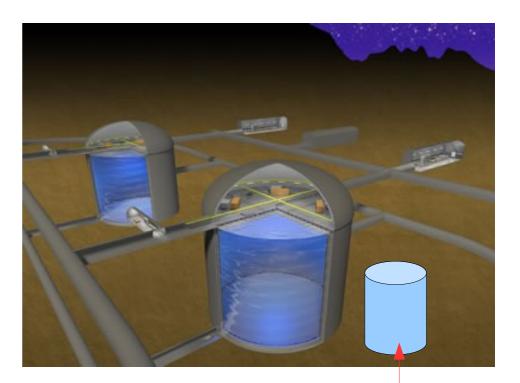
Next generation



DUSEL Underground Neutrino Experiment (DUNE)



Hyper-Kamiokande

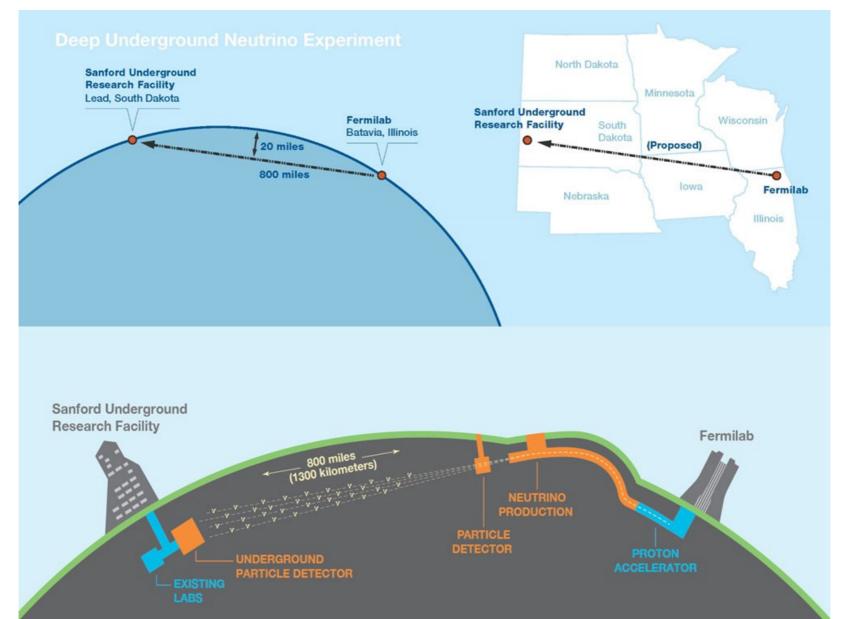


SK (to scale'ish)

MW beams multi-kton far detectors

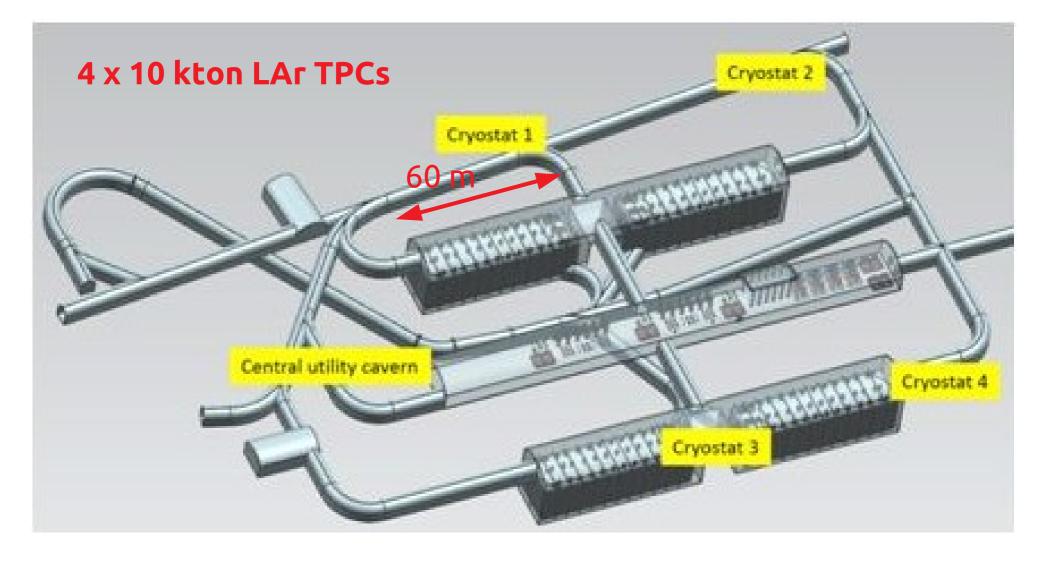


DUNE in the USA



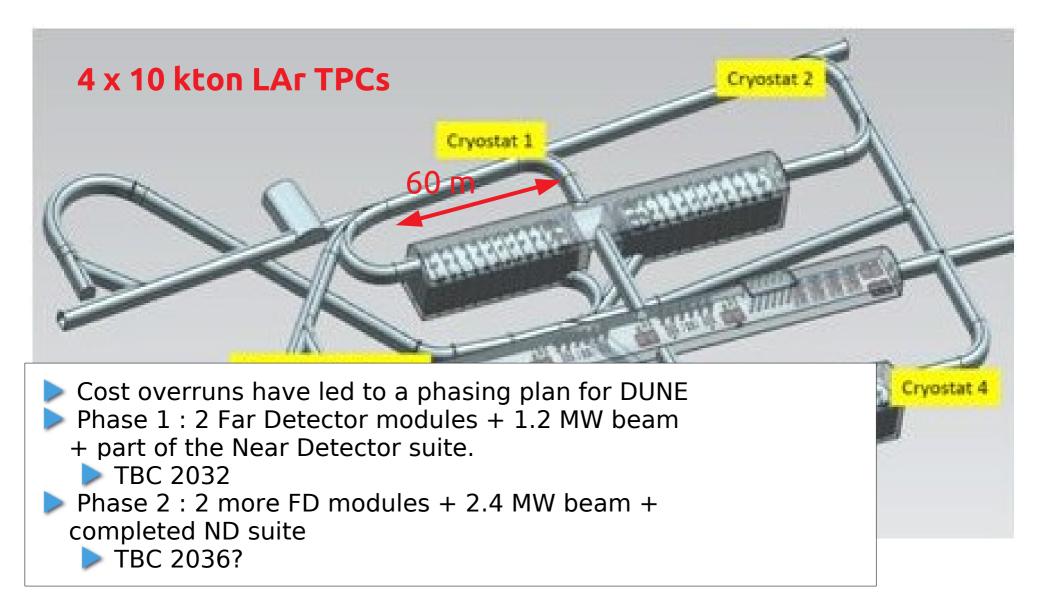


DUNE Far Detector



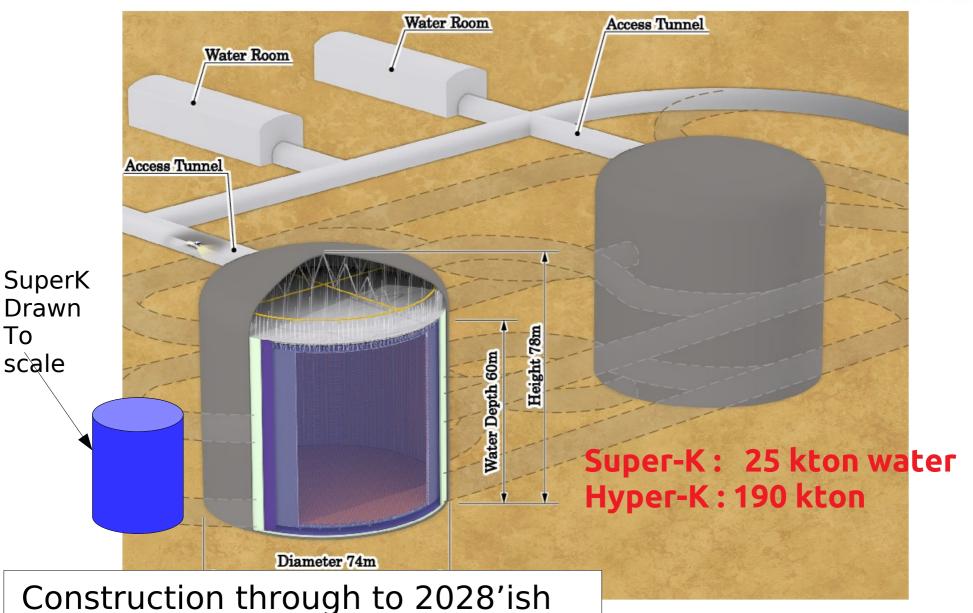


DUNE Far Detector



Hyper-Kamiokande







Dune / HK Comparison

	DUNE	Нурег-К	T2K
Beam Energy	3 GeV	0.7 GeV	0.7 GeV
Baseline (L)	800 km	295 km	295 km
Beam Power	1.2 MW	1.2 MW	0.5 MW
Type of Beam	Wideband	Off-axis	Off-axis
Mass of far detector	40 kton (P1) up to 80 kton (P2)	190 kton	22.5 kton
Technology	Liquid Ar TPC	Water Cerenkov	Water Cerenkov
Running from	2032'ish	2028'ish	Now



CP violation and the Mass Hierarchy

CP violation and Mass Hierarchy



Measuring δ_{CP} is the ultimate goal of neutrino oscillation experiments. How?

$$Prob(v_{\alpha} \rightarrow v_{\beta}) = \delta_{\alpha\beta} - 4\sum_{i>j} \Re (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin^{2} (\Delta m_{ij}^{2} \frac{L}{4E})$$
$$+ 2\sum_{i>j} \Im (U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}) \sin (\Delta m_{ij}^{2} \frac{L}{2E})$$
$$= 0 \text{ if } a = \beta$$

CP violation can only take place in *appearance* experiments

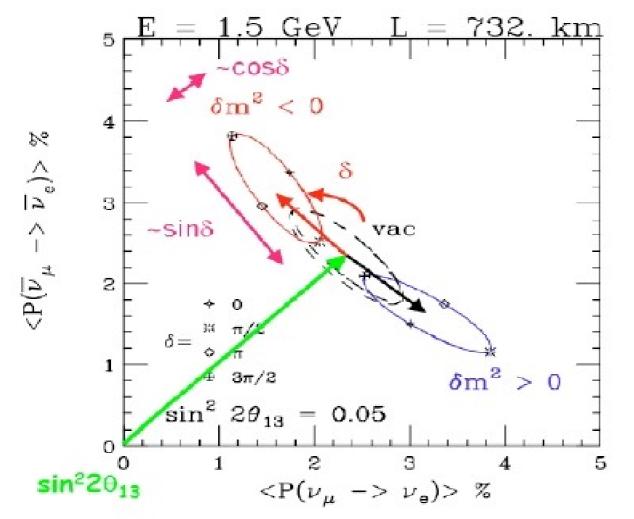
Look for
$$P(\nu_{\mu} \rightarrow \nu_{e}) \neq P(\overline{\nu_{\mu}} \rightarrow \overline{\nu_{e}})$$

$$\begin{array}{l} \begin{array}{l} \begin{array}{l} \text{In all it's naked glory} \\ \hline P(v_{\mu}(\overline{v_{\mu}}) \rightarrow v_{e}(\overline{v_{e}})) = P_{1} + P_{2} + P_{3} + P_{4} \\ \hline P_{1} = \sin^{2} \theta_{23} \underline{\sin^{2} 2 \theta_{13}} \left(\frac{\Delta_{13}}{B_{+}} \right)^{2} \sin^{2}(\frac{B_{+}}{2}L) \\ \hline P_{2} = \cos^{2} \theta_{23} \sin^{2} 2 \theta_{12} \left(\frac{\Delta_{12}}{A} \right)^{2} \sin^{2}(\frac{A}{2}L) \\ \hline P_{3} = J \cos \delta \cos(\frac{\Delta_{23}}{2}L) (\frac{\Delta_{12}}{A} \frac{\Delta_{13}}{B_{+}}) \sin(\frac{A}{2}L) \sin(\frac{B_{+}}{2}L) \\ \hline P_{4} = \pm J \sin \delta \sin(\frac{\Delta_{23}}{2}L) (\frac{\Delta_{12}}{A} \frac{\Delta_{13}}{B_{+}}) \sin(\frac{A}{2}L) \sin(\frac{B_{+}}{2}L) \\ \hline \Delta_{ij} = \frac{\Delta m_{ij}^{2}}{2E} \quad \begin{array}{c} A = \sqrt{2} G_{F} N_{e} \\ B_{-+} = |\Delta_{13} \mp A| \end{array} \\ \end{array} \right) \\ \end{array}$$

Degeneracies



Experiments only measure at most two numbers; but probability has three unknowns and parameters with errors.

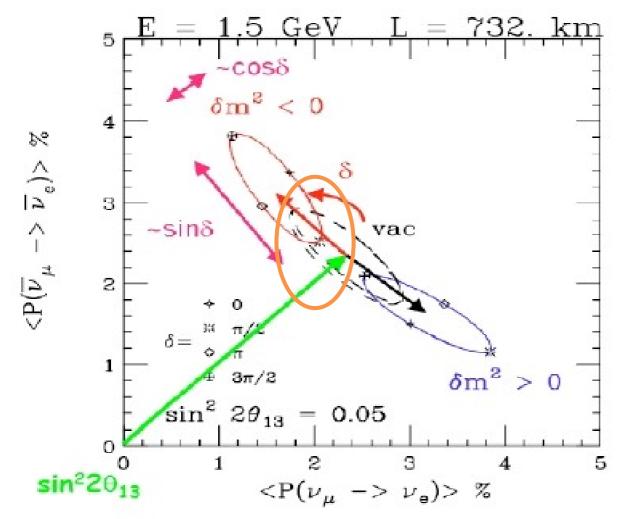


Need more than one measurement at different L/E to disentangle the parameter space

Degeneracies



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Need more than one measurement at different L/E to disentangle the parameter space

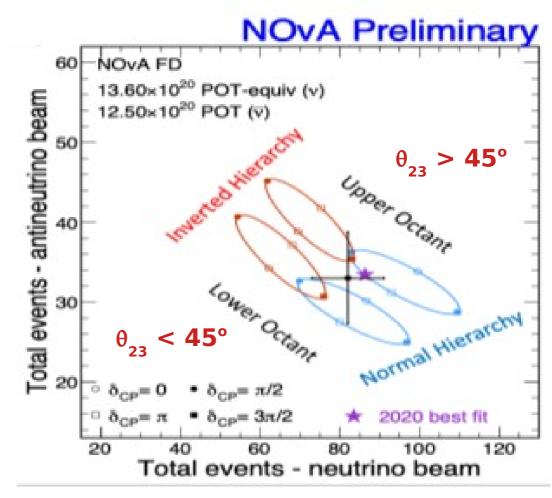
Mass Hierarchy measurements



As baseline grows, matter effects increase

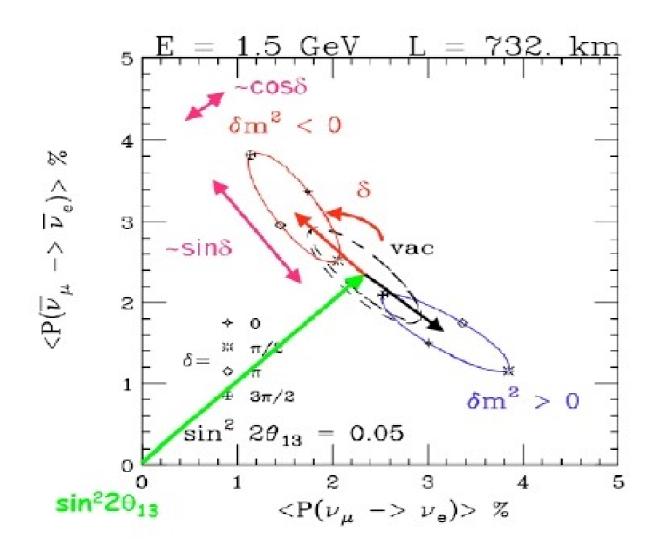
At distances of around 1000 km we can unambiguously identify the mass hierarchy

Once we've done that we need to determine CP phase



CP violation

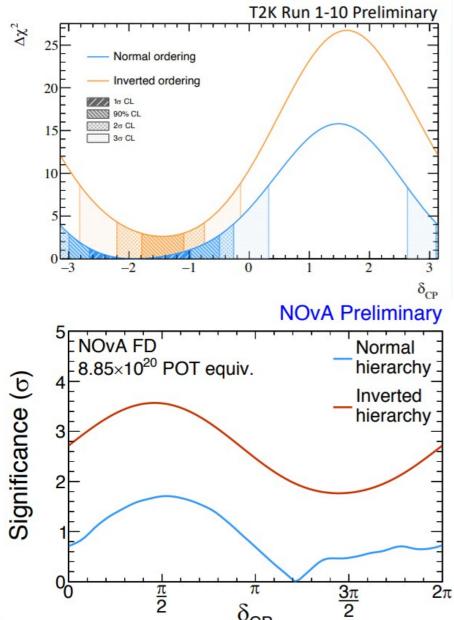




If mass heirarchy is known then "all" we need to do is precisely measure the v appearance probability for neutrino and antineutrino beams and that will give us δ_{CP} Do this at at least two independent L/E

Hints : T2K & NOvA





Normal ordering weakly favoured

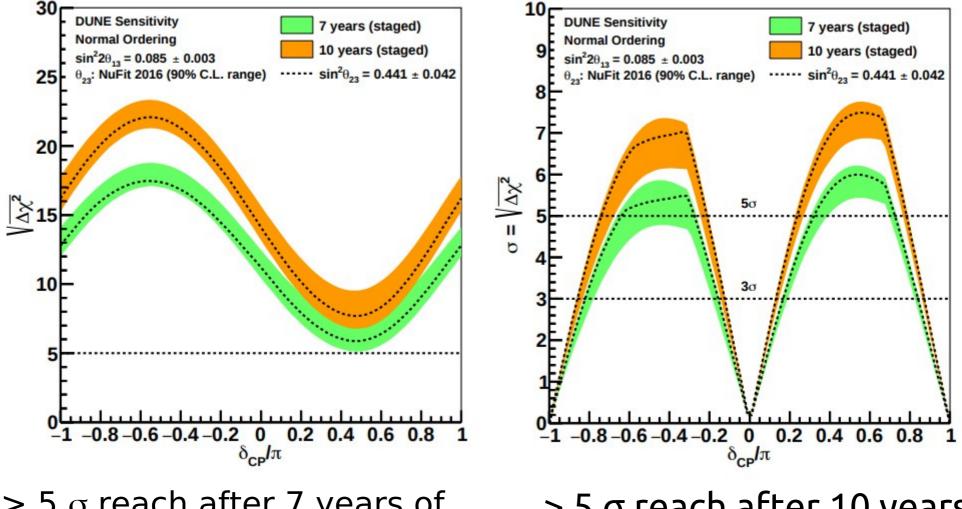
$$\delta_{CP} = 0$$
 disfavoured at 3σ

Best fit: Normal hierarchy favoured at 1.8 σ

δ_{CP} = 1.21 π

Excludes $\delta_{CP} = \pi / 2$ in the inverted hierarchy at > 3 σ



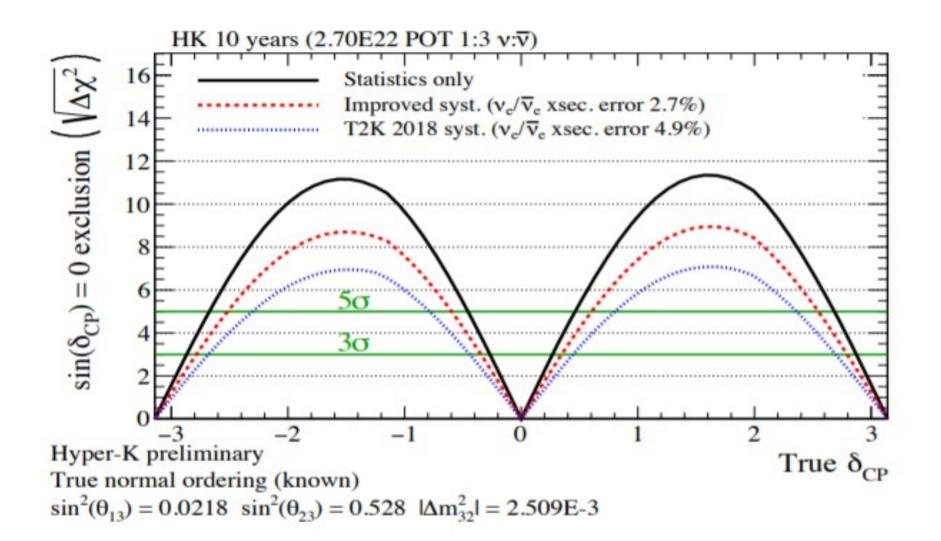


> 5 σ reach after 7 years of running over entire $\delta_{\rm CP}$ range

> 5 σ reach after 10 years if $\delta_{_{CP}}$ exists in ±[0.2-0.8] π

HK δ_{CP} Sensitivity





$\frac{m_2}{m_2} \qquad \qquad \text{decay}$



 m_1

m

$$\Gamma_{0\nu\beta\beta} \propto m_{\nu_e}^2 = |m_1| U_{e1}|^2 + m_2 |U_{e2}|^2 + m_3 |U_{e3}|^2|^2$$

In the inverted hierarchy: $m_3^2 < m_1^2 \approx m_2^2$, $\Delta m_{13}^2 \approx \Delta m_{23}^2$ and m_3^2 is the lightest mass state, so we can write

$$m_{v_e} = |U_{e1}|^2 \sqrt{m_3^2 + \Delta m_{23}^2} + |U_{e2}|^2 \sqrt{m_3^2 + \Delta m_{23}^2} + |U_{e3}|^2 m_3^2$$

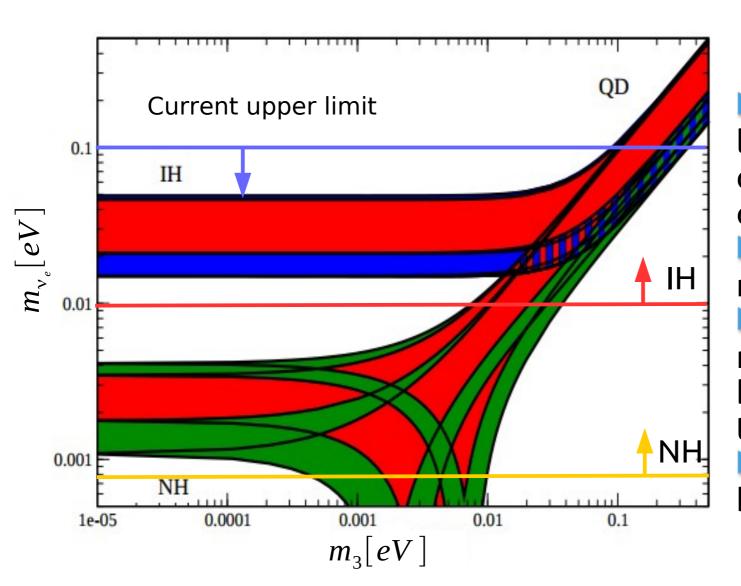
Setting m₃ to zero (not a bad approximation) one can show that

$$m_{v_e} > \sqrt{\Delta m_{23}^2} \cos^2 \theta_{13}$$

i.e for the inverted hierarchy, the decay rate, $\Gamma_{_{0v}}$, would have a *lower limit at small m*₃

Mass hierarchy & 0vββ decay





Experimental limit needs to decrease by a factor of 10 Limit scales with mass and run time Experiments need to be 10 times bigger and run 10 times longer These are being built now.





Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?



Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

LH Helical RH Helical
LH Chiral
$$\rightarrow |v\rangle = |L\rangle + \left(\frac{m}{E}\right)|R\rangle = \left(\frac{Dirac}{Majorana} : Observable$$

State

- To see large effects from the R-handed state either
 Look for rare ∆L = 2 processes OR
 Chuck the rare relativistic moutring of for which (mo (E))
 - Study non-relativistic neutrinos for which (m/E) ~ 1



Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

Coherent Scattering of Cosmic Neutrino Background neutrinos (almost motionless)

$$v+(Z,A) \rightarrow e^{-}+(Z+1,A)$$

Rate for Majorana neutrinos is twice the rate for Dirac



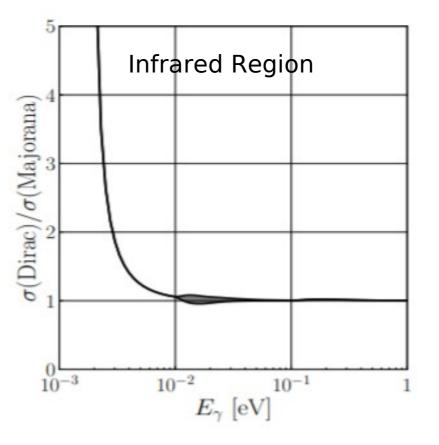
Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

Neutrino interactions near threshold

cross section for (e $\gamma \rightarrow e \nu \nu$) is different at super low energies if the neutrino is Dirac or Majorana

But – cross section is tiny (10⁻⁴⁴ b) (final state is an electron almost rest. Good luck with the sample selection and backgrounds.

Berryman et al, Phys. Rev. D 98, 016009 (2018)





Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

Yes, in principle.

Hell no, in practice.



Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

Indirect approach relies on other external measurements :

- IF : the long-baseline experiments favour inverted hierarchy
- AND : KATRIN measures $m(v_p)$ in the IH band region
- AND : $0\nu\beta\beta$ experiments see nothing
- THEN : neutrino can't be Majorana

Mass Hierarchy Determination



A number of different experiments, both accelerator and Onbb decay focused, are now trying to determine the mass hierarchy.

Timescale : ~ 5 years from now for 4 σ good indication from NOVA + T2K + JUNO + PINGU

Measurement of δ_{CP}



Next generation of experiments are being planned to measure this

Timescale : 8-10 years from now (including 6 for construction) for 3σ sensitivity to distinguish from no CP-violation scenario (if true δ_{CP} is $\pi/2$).

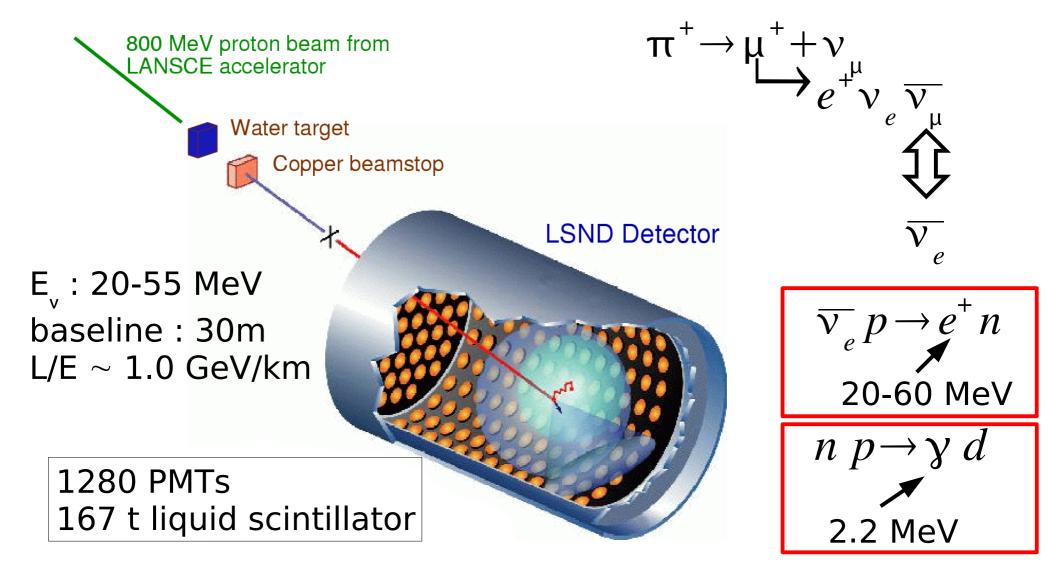
15-20 years for a measurement of δ_{CP} to a precision of 20° (if true δ_{CP} is $\pi/2$).

A toolbag full of spanners

LSND



The LSND experiment was the first accelerator experiment to report a positive appearance signal



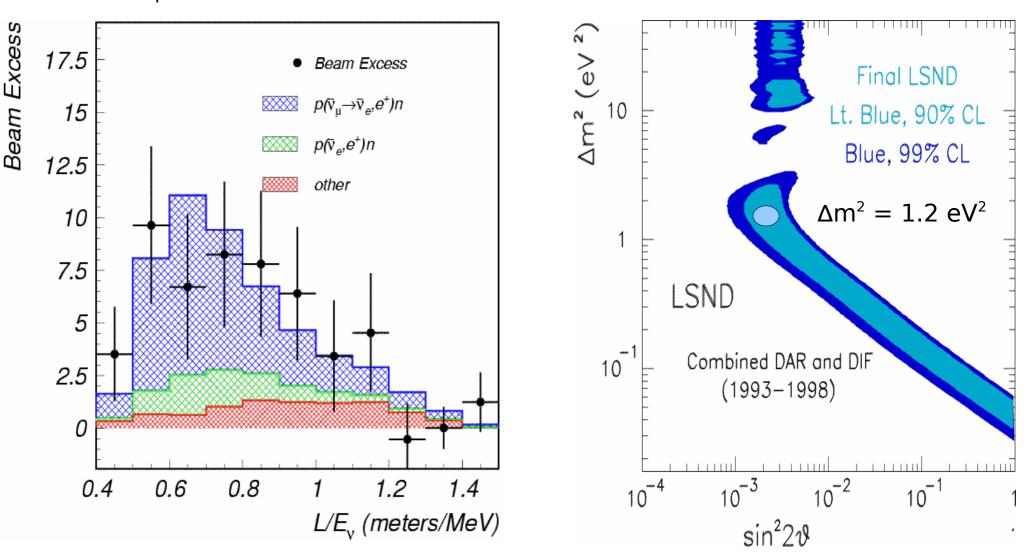
LSND Result (1997)



3.3 σ evidence for

oscillations

87.9 ± 22.4 ± 6 excess events from $\overline{v}_{\mu} \rightarrow \overline{v}_{e}$

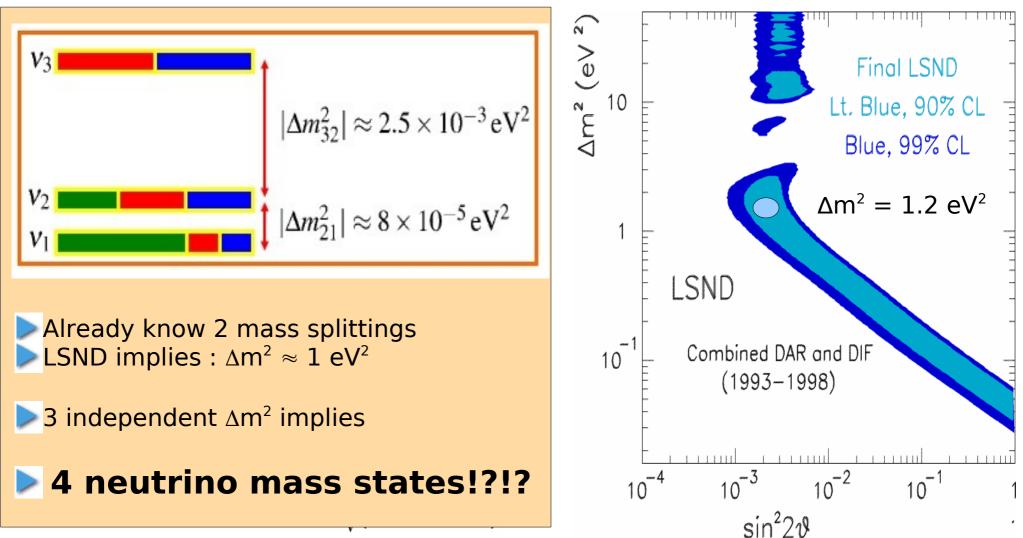


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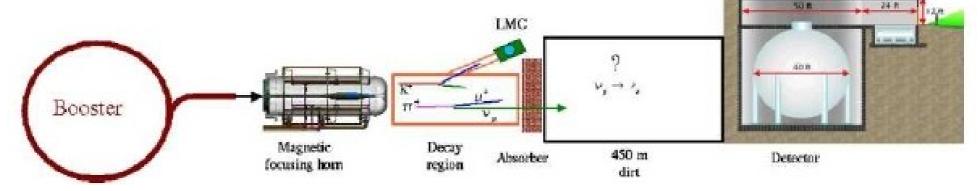
MiniBooNE



142.00

I-I slope

Ran from 2002 to 2014 at Fermilab



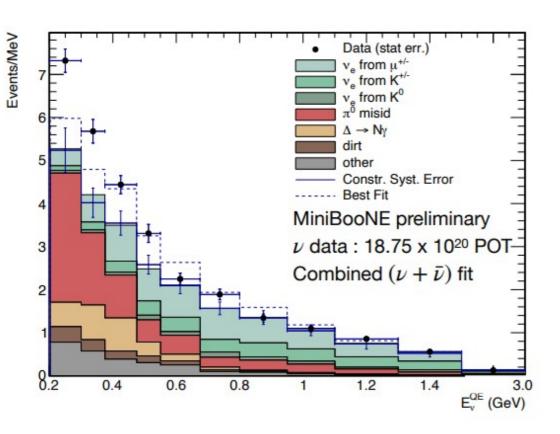
•Average neutrino energy $\approx 1 \text{ GeV}$

- •L/E the same as LSND
- Same technology as LSND

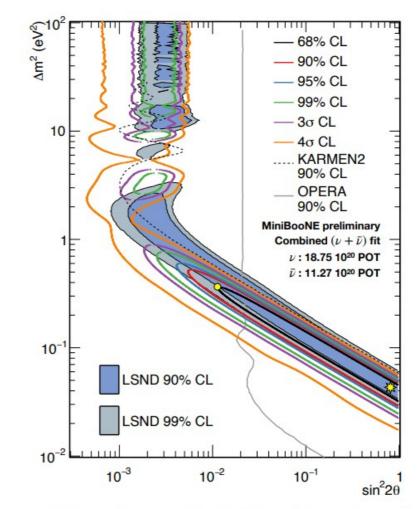
 Different energy = different event types = different systematics



miniBooNE Results



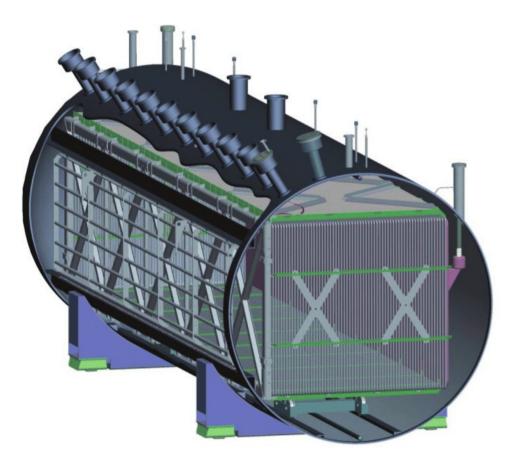
Excess at the level of 4.8 σ



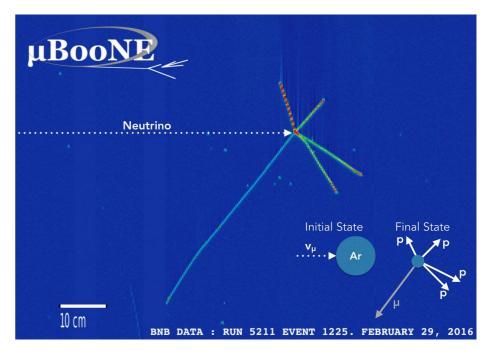
Neutrino + Anti-Neutrino Mode $(\Delta m^2, \sin^2 2\theta) = (0.043 \text{ eV}^2, 0.807)$ $\chi^2/ndf = 21.7/15.5 \text{ (prob = 12.3\%)}$

MicroBooNE



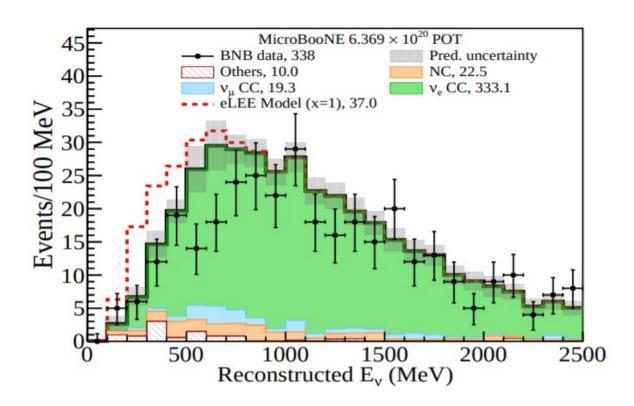


 170 ton LAr TPC
 Operating in the same beam as LSND and miniBooNE
 Capable of reconstructing electrons and photons





Low Energy Excess



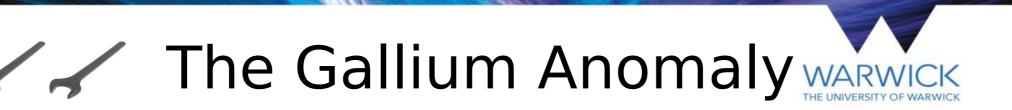
Reconstructed energy spectrum for inclusive $\boldsymbol{\nu}_{_{e}}$ event sample

No sign of excess of low energy electrons or photons.

?????

LSND/MiniBoone are seeing something though. What?

Doesn't rule out steriles though.



We've discussed the Homestake experiment which studied the reaction

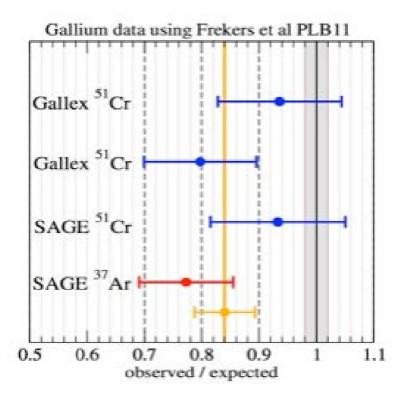
 $v_e + Cl^{37} \rightarrow Ar^{37} + e^{-1}$

A couple of experiments (SAGE and GALLEX) also studied

 $v_e + Ga \rightarrow Ge + e$

In early 2000's the response of GALLEX was being tested using MCi radioactive sources.

Sources emitted $\nu_{\rm e}$ which were then observed using the standard Ge signature



 $L/E \approx 0.1 \, m/0.1 \, MeV \rightarrow \Delta m^2 \approx 1 \, eV^2$

(or is it our understanding of the low energy v-Ga cross section, or is it just bad luck?)

The reactor anomalies VARWICK

pre-2011 : measurement of the total neutrino flux from reactors agreed with expectation.

In 2011, new techniques in modelling nuclear reactions led to a re-evaluation of the expected electron antineutrino flux. The new estimate was about 6% higher than the old.

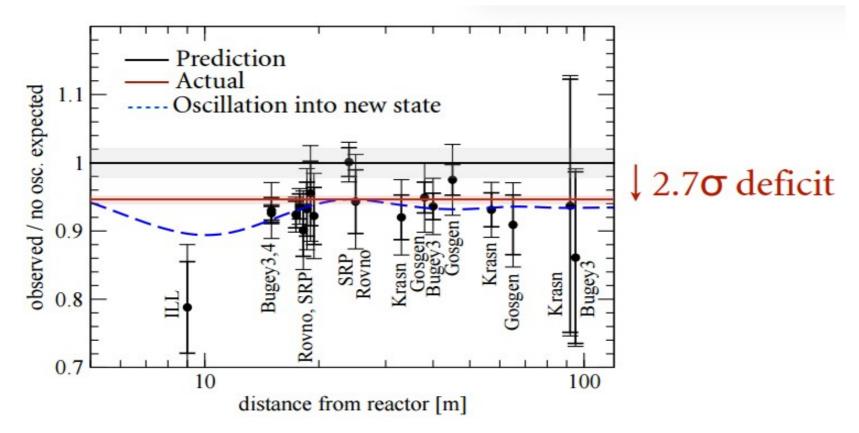
Suddenly all the experiments now observed a general deficit of electron antineutrinos being detected at the detector

$$N(\overline{\mathbf{v}}_e) = \Phi^{old}(\overline{\mathbf{v}}_e) \sigma \longrightarrow \Phi^{new}(\overline{\mathbf{v}}_e) \sigma \times P(\overline{\mathbf{v}}_e \rightarrow \mathbf{v}_s)$$

Could this be (i) the new flux estimate is just a bit dodgy or (ii) we have short baseline neutrino oscillations to a sterile state?



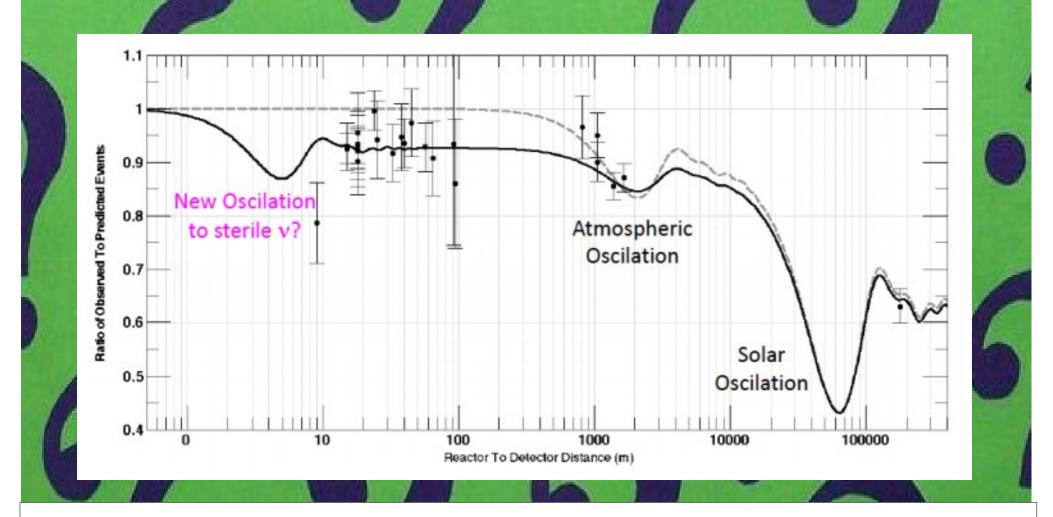
Reactor Anomaly



Deficit consistent with a sterile state with $\Delta m^2 \sim 1.5 \text{ eV}^2$ Reactor antineutrino flux calculations are VERY hard to do It's almost certain that this is an issue with the calculation of the antineutrino flux NOT steriles.



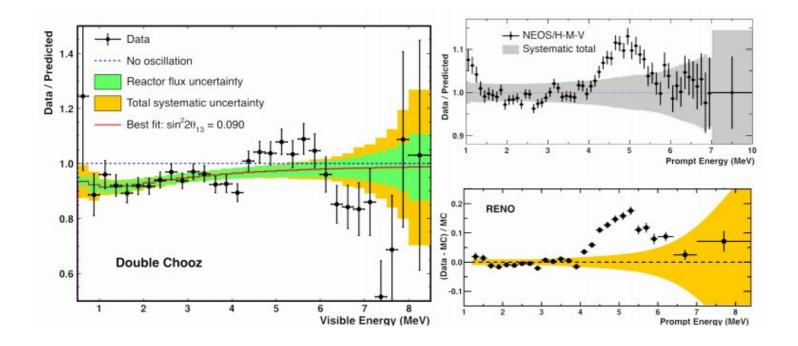
Global Oscillation Fit



It's almost certain that this is an issue with the calculation of the antineutrino flux NOT steriles.



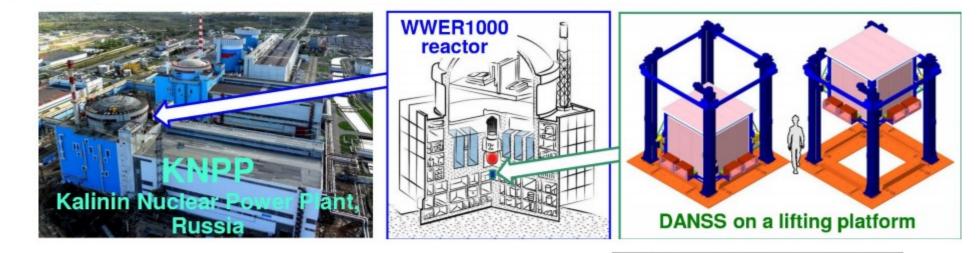
The Bump



Overall there is a deficit of events with the new reactor flux estimates

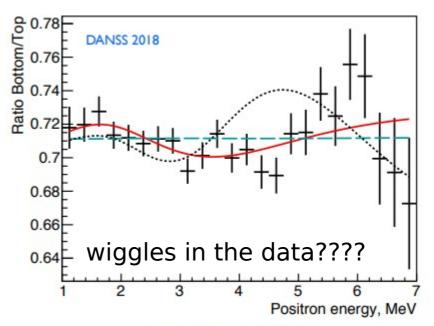
- Between 4-6 GeV there seems to be an excess beyond the flux errors
- Seen in all reactor experiments
- This is quite hard to explain away using sterile neutrinos!
- Prejudice is that this is due to modelling nuclear physics

Reactor Experiments WARWICK



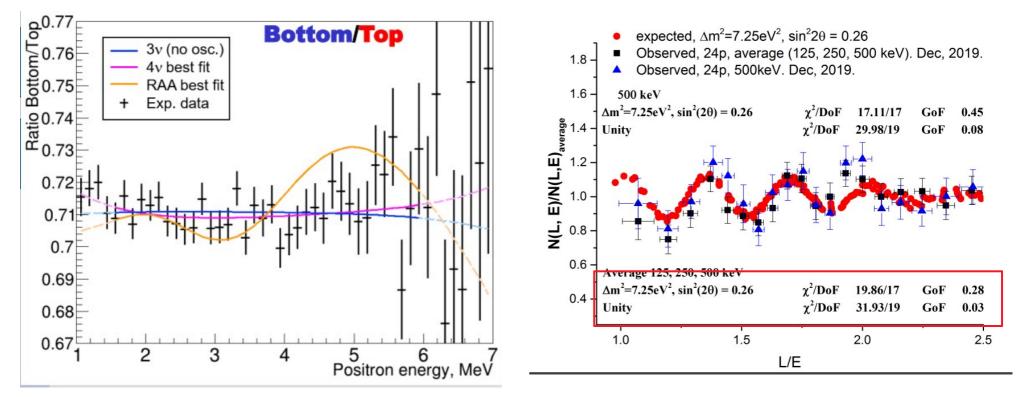
 Installed on a moveable platform under a 3 GW reactor
 Large neutrino flux
 Variable source-distance distance using the same detector
 Down : 12.7 m from reactor

Up : 10.7 m from reactor





Reactor Experiments



DANSS (2020) No visible effect Neutrino4 (2020) Claimed signal

Situation unclear : other experiments (Stereo, SoLiD, Prospect) don't see oscillations like this.

Decaying sterile neutrinos?

CPT Violation?

3+1 sterile? 3+2 ? 3+n ?





Lorentz violation?

Extra dimensions?

Experimental problems?

No bleedin' idea

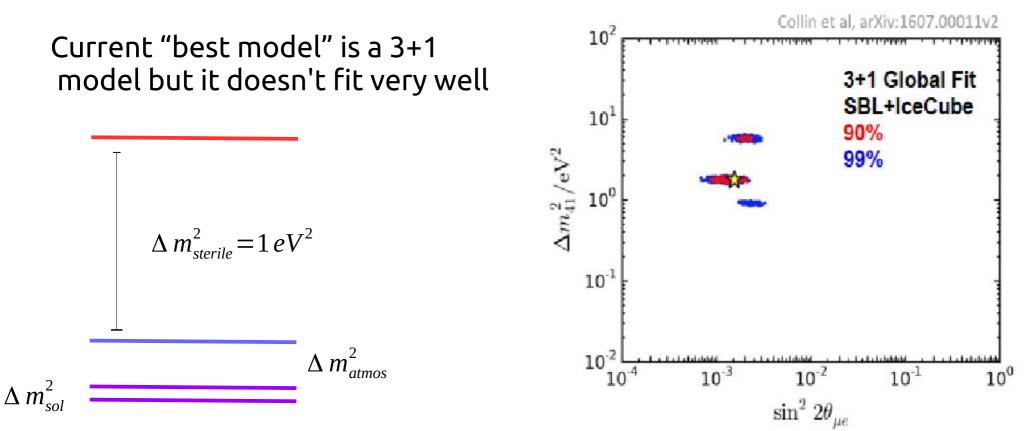
Wait for more data

Summary of sterile hints



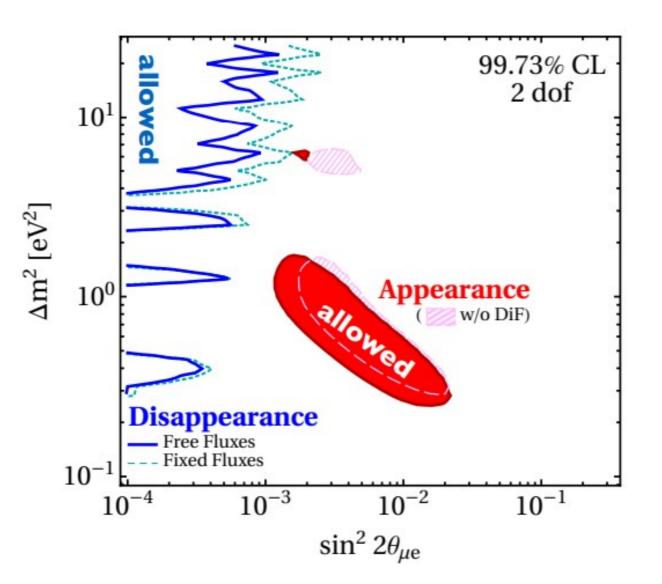
There are odd hints, each at the level of 2-3 σ , that they may be at least one other light sterile state floating around with $\Delta m^2 \sim 1 \text{ eV}^2$. This is not very easy to fit into the standard model.

It is very hard to find an oscillation model, including steriles, which is consistent with *all* of the data



Sterile Global Fit





4σ discrepancy between appearance and disappearance experimental results

Summary of sterile hints



There are odd hints, each at the level of 2-3 σ , that they may be at least one other light sterile state floating around with $\Delta m^2 \sim 1 \text{ eV}^2$. This is not very easy to fit into the standard model.

It is very hard to find an oscillation model, including steriles, which is consistent with *all* of the data

Current "best model" is a 3+1 model but it doesn't fit very well

$$\Delta m_{sterile}^2 = 1 eV^2$$
$$\Delta m_{atmos}^2$$

 Δm^2

It could all be a conspiracy of systematics

New experiments are being built now to search for signs of steriles in neutrino oscillations at high Δm^2

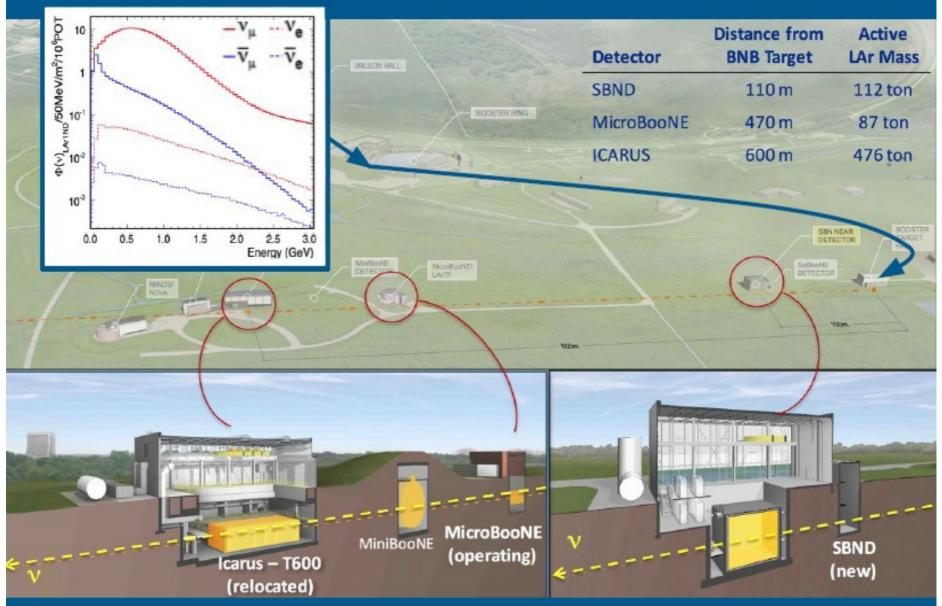


Experimental Summary

Reactor Experiments					
Name	Location	Power (MW)	Distance (m)	Target mass (t)	Technology
NEOS	China	2700	25	1	Gd – Liq. Scint.
DANSS	Russia	3000	9-12	0.9	Gd – Plastic. Scint.
Neutrino4	Russia	90	6-12	1.5	Gd – Liq. Scint.
Stereo	France	58	9-11	1.7	Gd – Liq. Scint.
Prospect	USA	85	7-12	3	Li6 – Liq. Scint.
SOLID	Belgium	100	6-11	1.6	Li6F – Plastic Scint.
Accelerator Experiments					
SBND	USA		110-600		LAr TPC
IsoDAR	Japan		16		Li8 Decay at rest to KamLAND
SHIP	CERN		80-90		Multiple

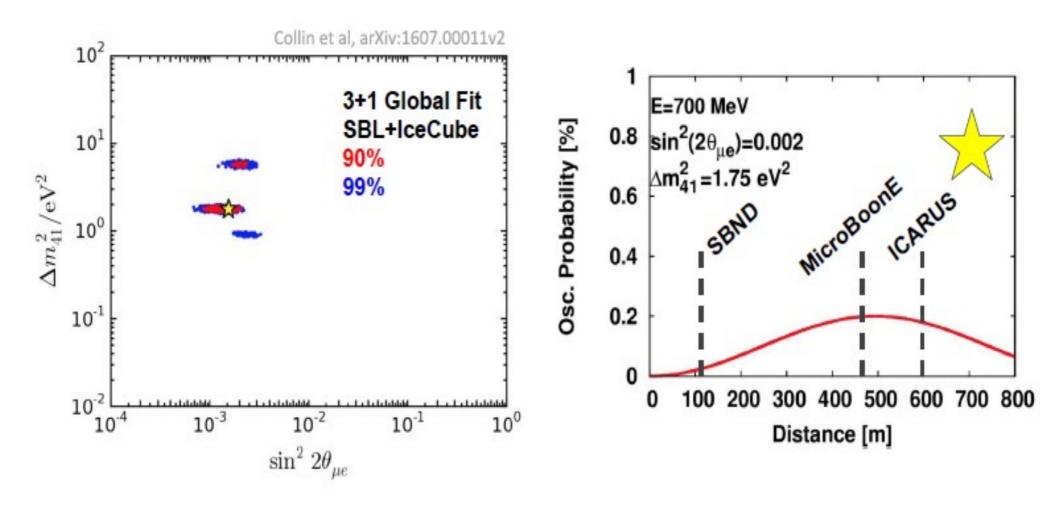
SBND







SBND

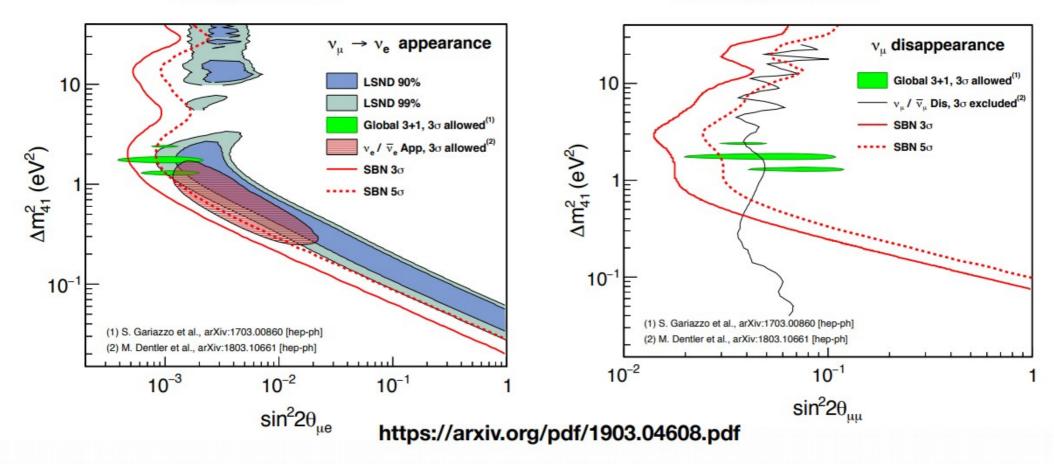


SBND



ve appearance

v_µ disappearance



• SBN cover much of the parameters allowed by past anomalies at $>5\sigma$ significance

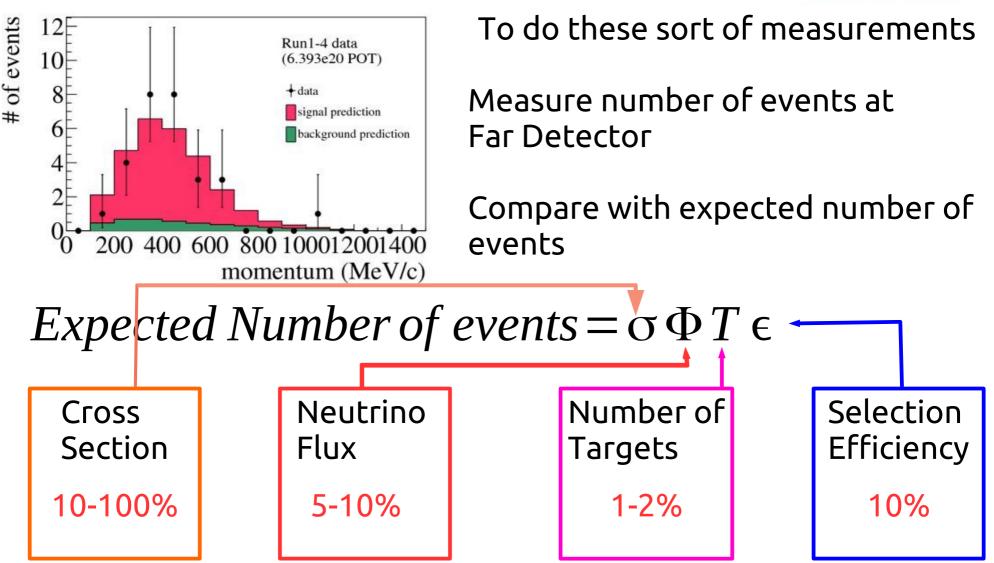
Starts taking data 2022-2023 (currently)



Neutrino Cross-sections

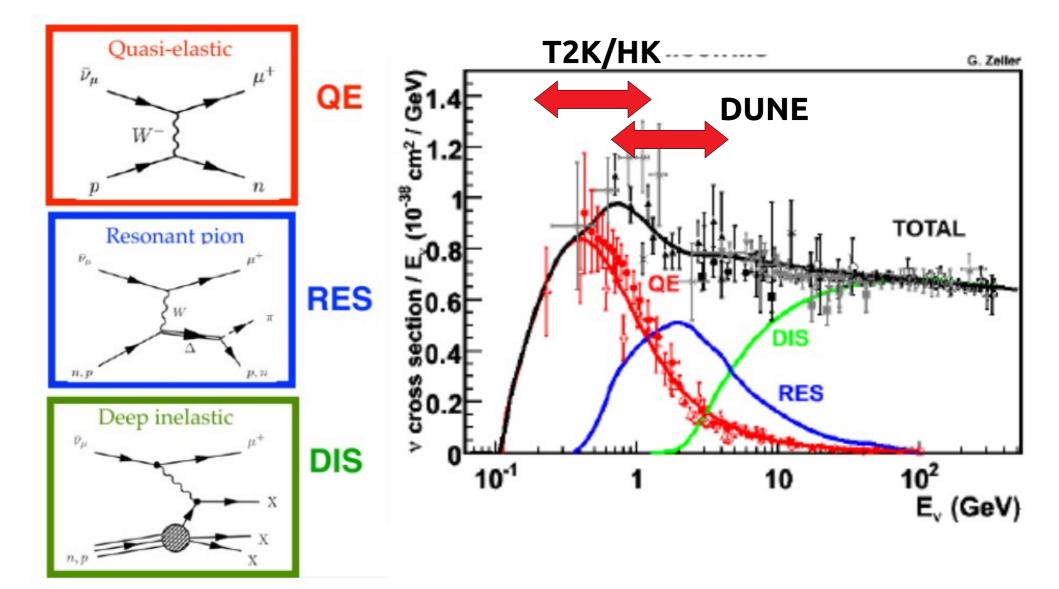
Systematic Uncertainties







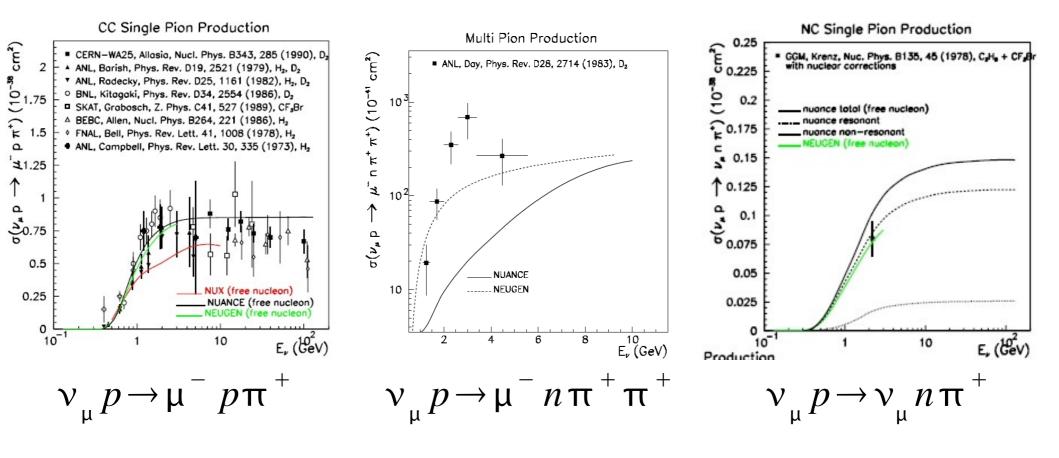
Neutrino Interactions



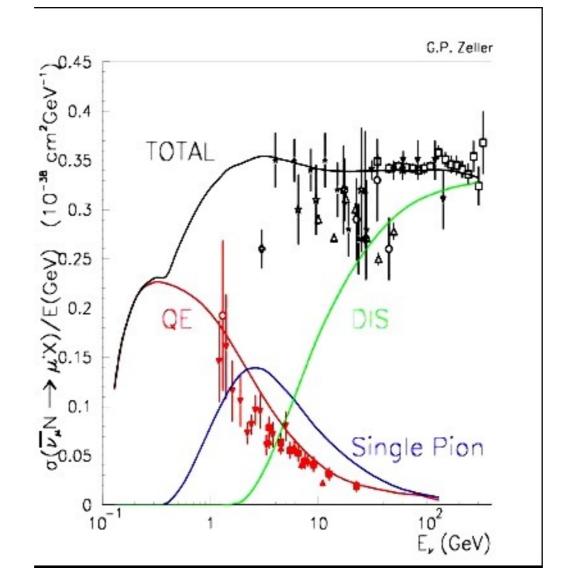
Xsec data pre 2007



The data was impressively imprecise



World Data for Antineutrinos





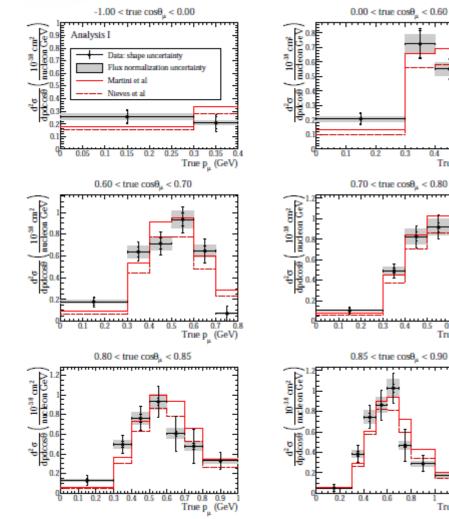
It's slowly getting better

True p. (GeV)

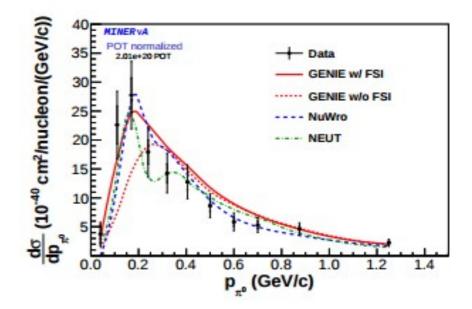
0.6

True p (GeV)

1.2 1.4 Truep (GeV)



CC 0π differential Xsec from T2K arXiv:1602.03652

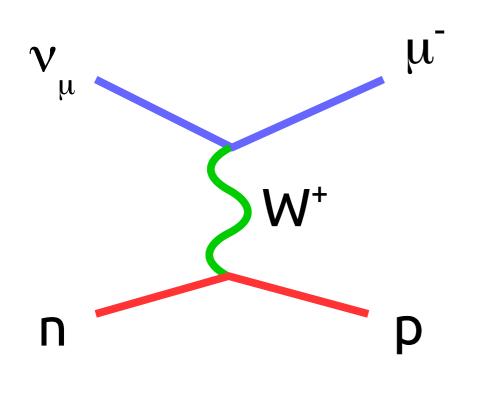


CC π^0 differential xsec from **MINERVA** Phys.Lett. B749 (2015) 130-136

Lot's of effort going into trying to understand neutrino interaction cross sections

eg : Quasi-Elastic Scattering



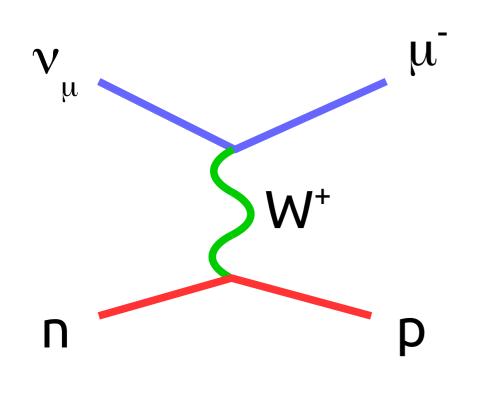


- Usually thought of as a single nucleon knock-out process
- In the past has been used as a "standard candle" to normalise other cross sections
- Heavily studied in the 1970's and 1980's and considered to be "understood"

I. Very important for current oscillation experiments as it dominates the total cross section at a few GeV

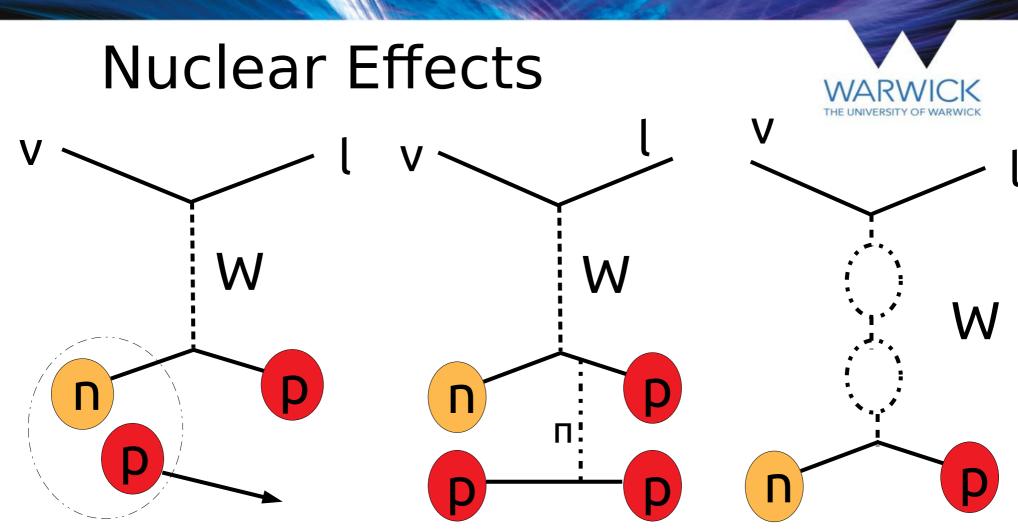
Quasi-Elastic Scattering





- Usually though of as a single nucleon knock-on process
- In the past has been used as a "standard candle" to normalise other cross sections
- Heavily studied in the 1970's and 1980's and considered to be "understood"

II. Energy reconstruction is unbiased assuming 2 body $E_{\nu;rec} = \frac{2(m_N - E_B)E_\mu - (E_B^2 - 2m_N E_B + m_\mu^2)}{2(m_N - E_B - E_\mu + |p_\mu|\cos\theta_\mu)}$ kinematics



quasi-deuteron

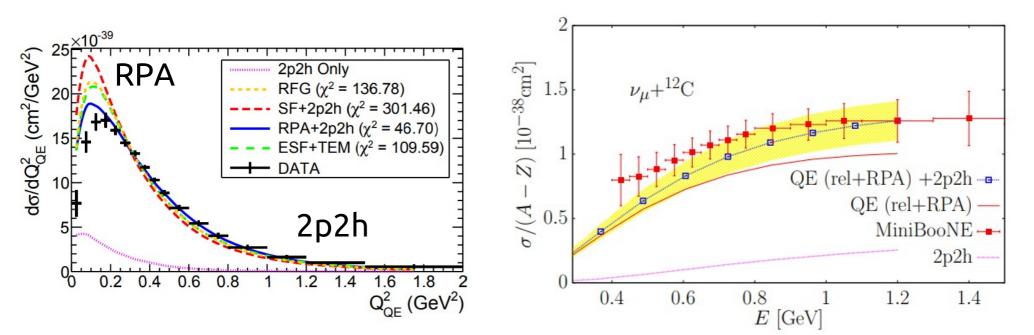
Short-range correlations (SRC)

Meson Exchange Currents (MEC)

2p2h processes - medium to high Q^2

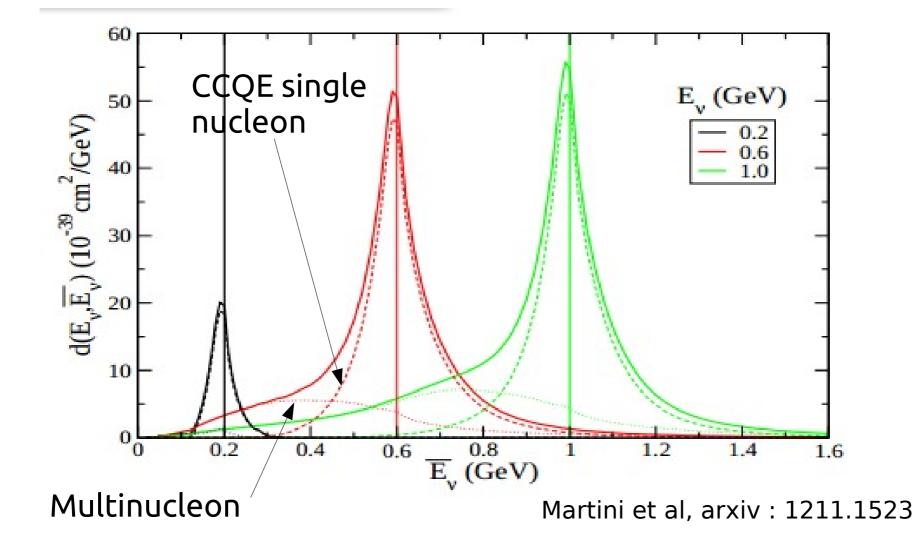
RPA effects W polarisation changes strength of weak interaction





Models change Q² shape in different regions Models add a new channel which increases the total cross section

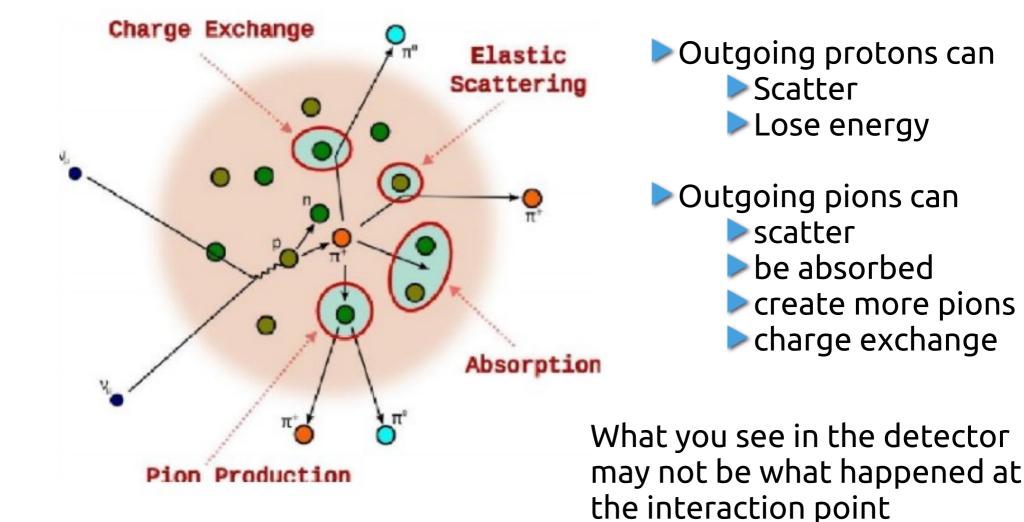
Effect on energy reconstruction





Final State Interactions

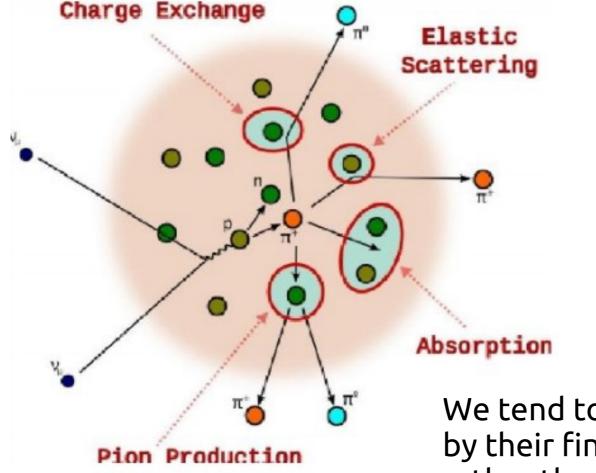
In the nuclear medium





Final State Interactions

In the nuclear medium



Outgoing protons can
 Scatter
 Lose energy

Outgoing pions can
 scatter
 be absorbed

- create more pions
- charge exchange

We tend to categorise events by their final state content now rather than their theoretical "label"

Lesson learned....



It's taken T2K more than 10 years to understand the simplest neutrino interaction – and we still don't really understand the hadronic side of any interaction.

We have managed to halve the systematic uncertainty from the model.

Any experiment at different energies or using different types of nuclei as targets will have similar problems.

I'm looking at you, DUNE

DUNE operates at 3 GeV – the region of resonance production which hasn't had anywhere near as much theoretical attention as QE at T2K energies has – and uses Argon.

DUNE does have the advantage that its Far Detector and Near Detector have the same target material (Ar) so the relative effects sort-of cancel.

Concluding Remarks



The neutrino is : light, neutral, left-handed (chiral) and almost left-handed (helicity). It is generated purely in weak interactions (which is why it is chiral). Their cross sections are tiny and we need big detectors to look at them. They mix and can undergo flavour oscillations.

They may be the reason that we are here at all.

But...what is their mass? Why is it so small? Why are the mixing parameters so odd? What about these hints of a 1 eV sterile state? Is it Majorana? If not – then how do you explain mass without the Higgs? What is the CP violating phase?

Still lots of questions remain – watch this space.....