



A SHORT INTRODUCTION TO SUPERSTRING THEORY

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OUTLINE

(CLOSED) SUPERSTRINGS IN D=10

1

OPEN STRINGS AND D-BRANES

2

COMPACTIFICATION, DUALITIES, ...

3

SUPERSYMMETRY BREAKING

4



OPEN STRINGS

$$S = -\frac{1}{4\pi\alpha'} \int d^2\sigma \partial_\alpha X \cdot \partial^\alpha X$$

eqs. of motion

$$\square X^\mu = 0$$

Bdry conditions

$$\sigma = 0, \pi$$

$$\partial_\sigma X = 0 \quad \text{or} \quad \partial_\tau X = 0$$

Neumann

Dirichlet

NN

$$X(\sigma, \tau) = x + 2\alpha' p\tau + i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n}{n} e^{-in\tau} \cos(n\sigma)$$

centre of mass
position

centre of mass
momentum

DD

$$X(\sigma, \tau) = x + \delta\sigma + \sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n}{n} e^{-in\tau} \sin(n\sigma)$$

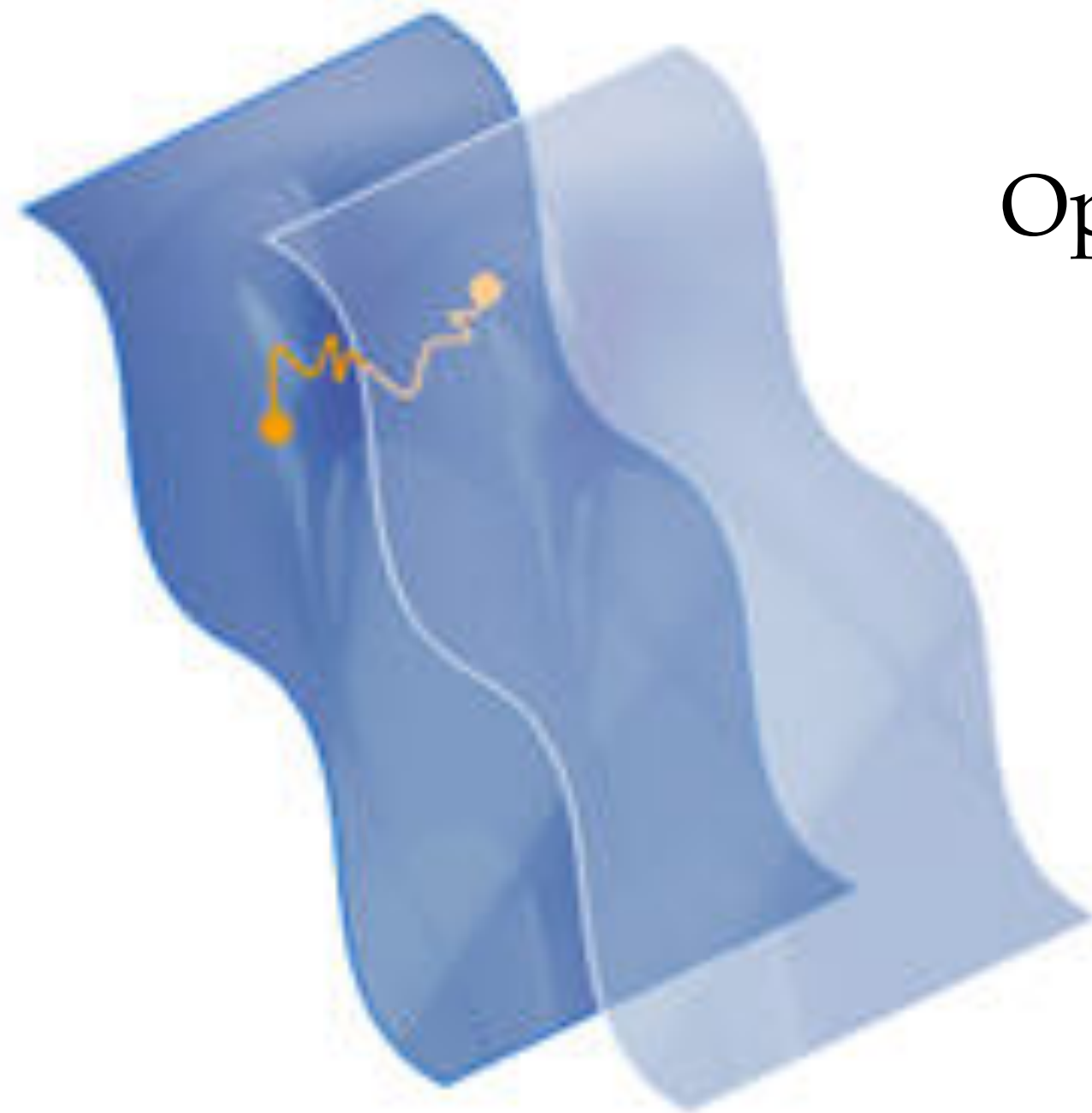
position $\sigma = 0$

length

D-BRANES

$$\text{NN: } X^\mu(\sigma, \tau) = x^\mu + 2\alpha' p^\mu \tau + \dots$$
$$\mu = 0, \dots, p$$

$$\text{DD: } X^i(\sigma, \tau) = x^i + \delta^i \sigma + \dots$$
$$i = p + 1, \dots, D - 1$$



Open strings propagate only on a $(p+1)$ -dimensional hypersurface in the target space

LIGHT EXCITATIONS ON A D-BRANE

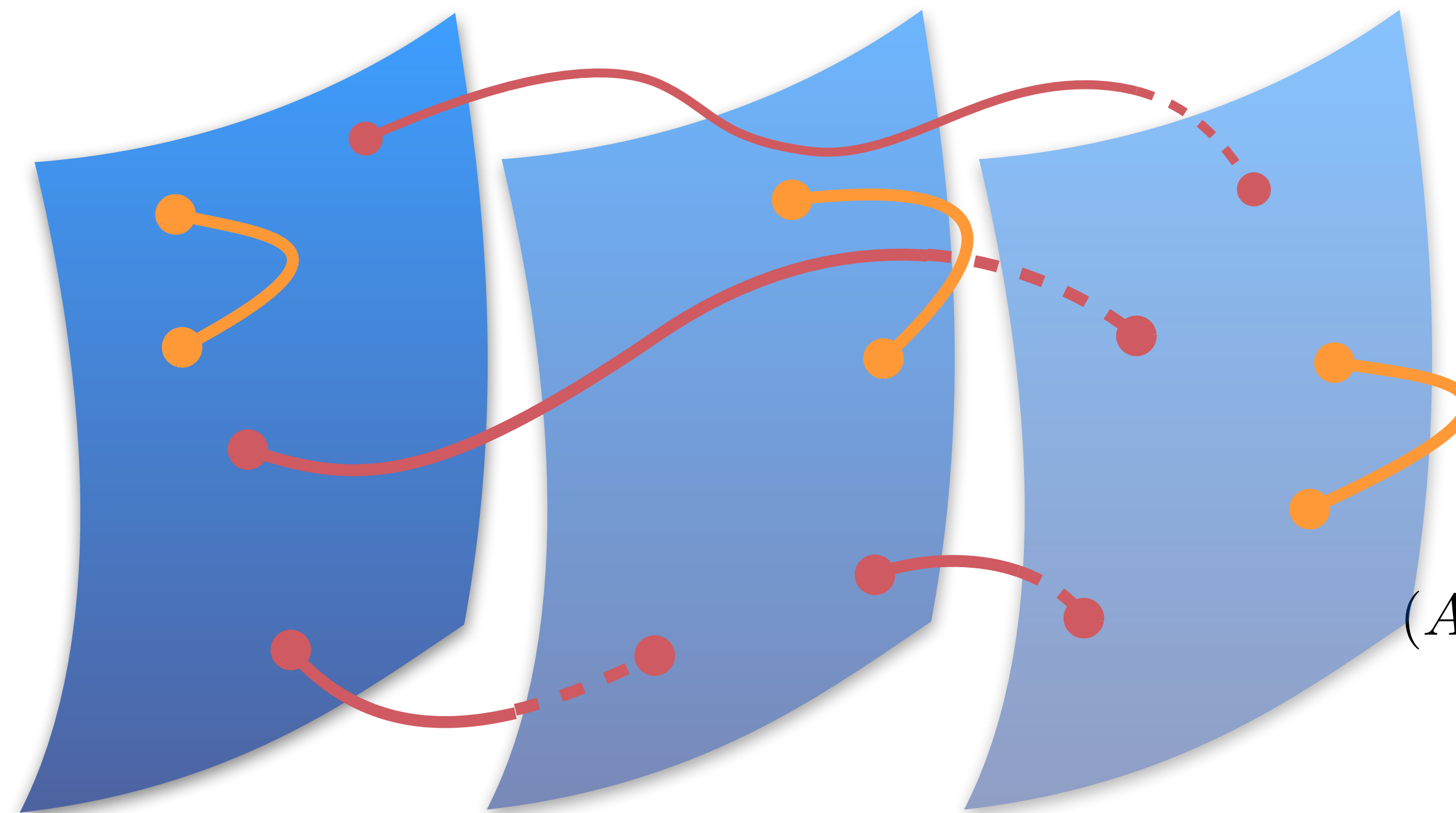
$(D = 10)$

$$M^2 = \frac{1}{\alpha'} [N_X + N_\psi + \Delta] + \delta^i \delta^i$$

$$\Delta_{\text{NS-NS}} = -\frac{1}{2}$$

$$\Delta_{\text{R-R}} = 0$$

massive states



massless states

$(A_\mu, (9 - p) \phi; \text{fermions})$

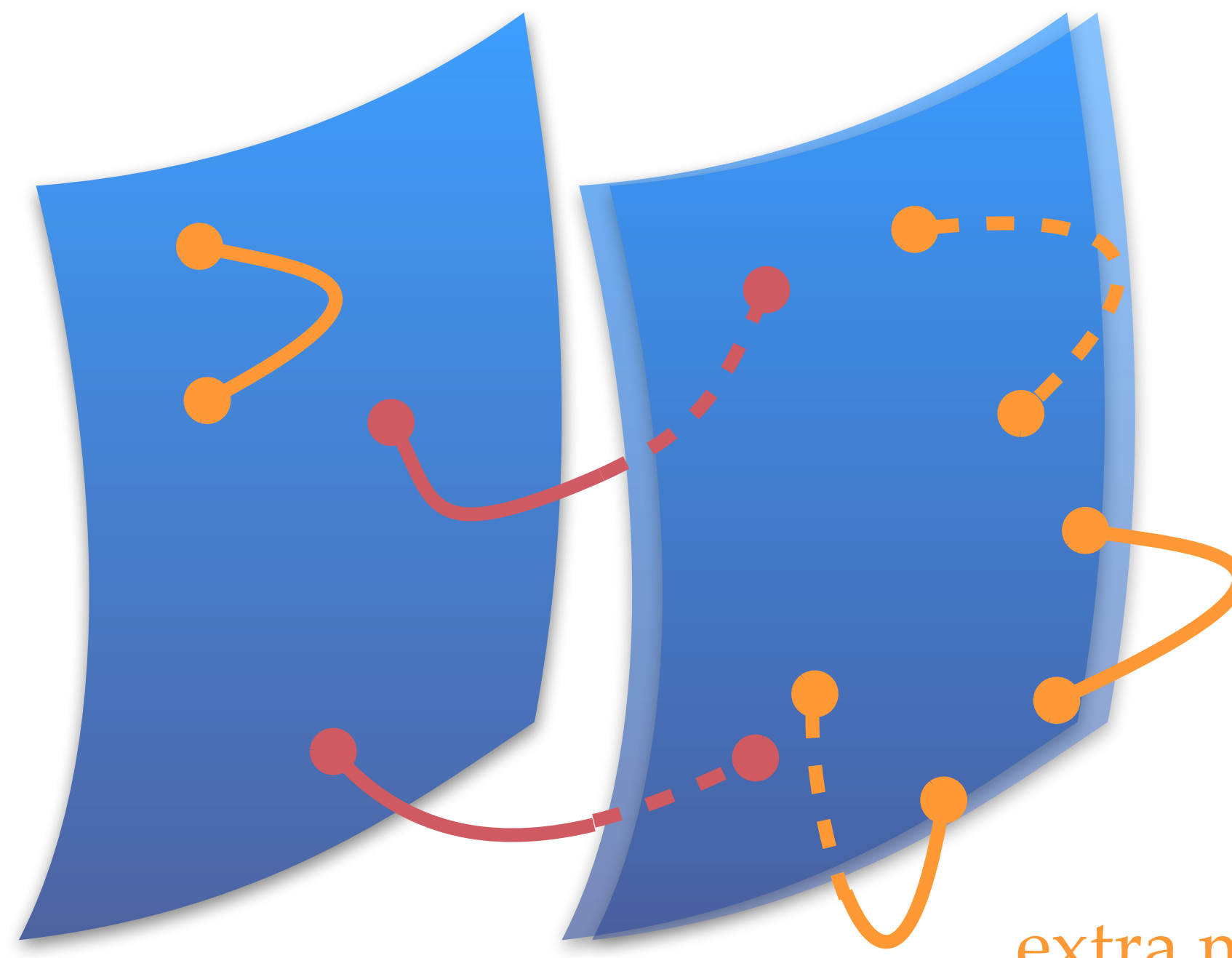
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extra massless states

Gauge symmetry enhancement

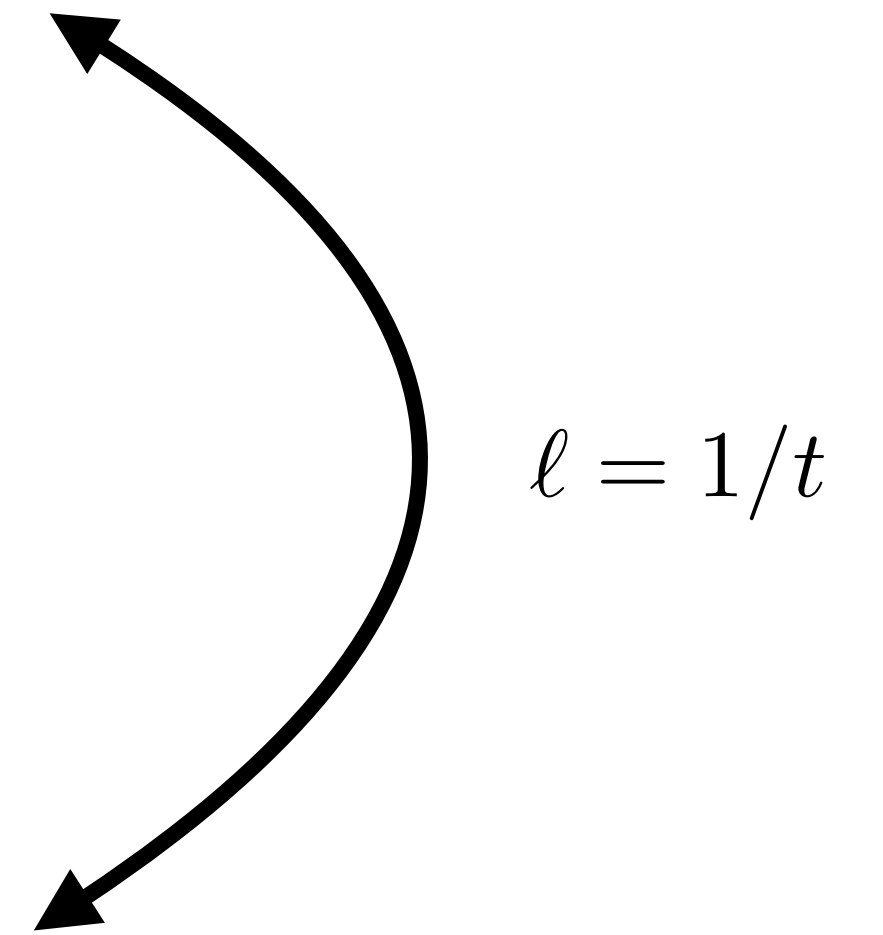
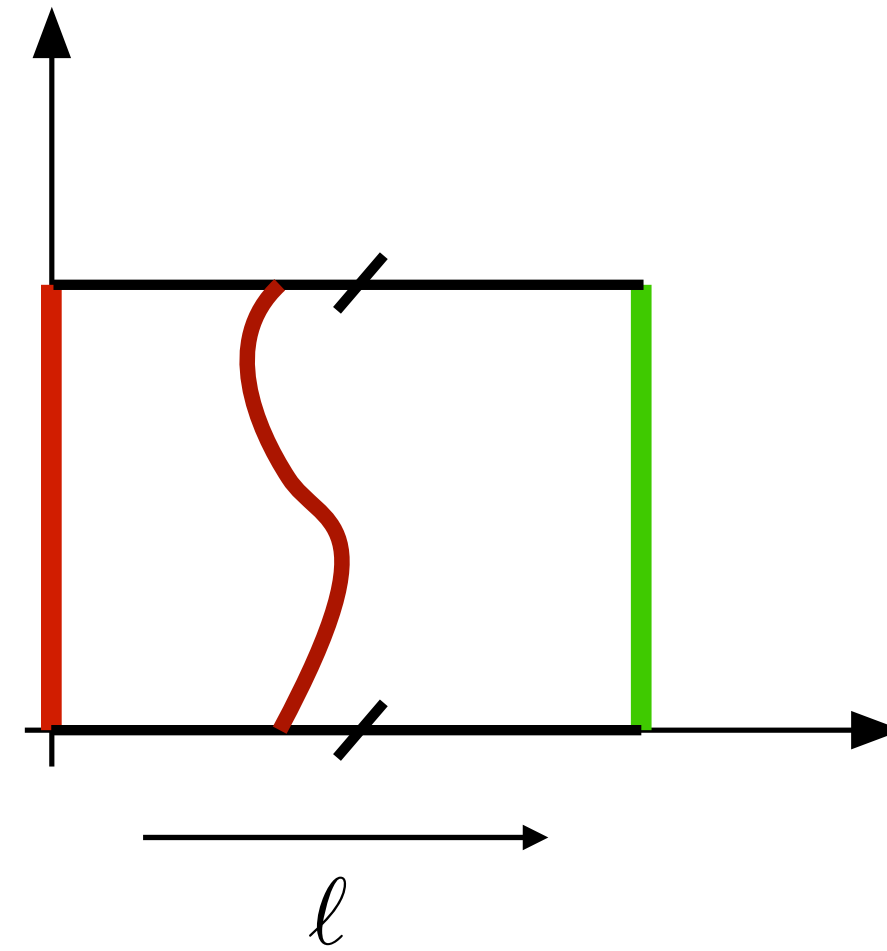
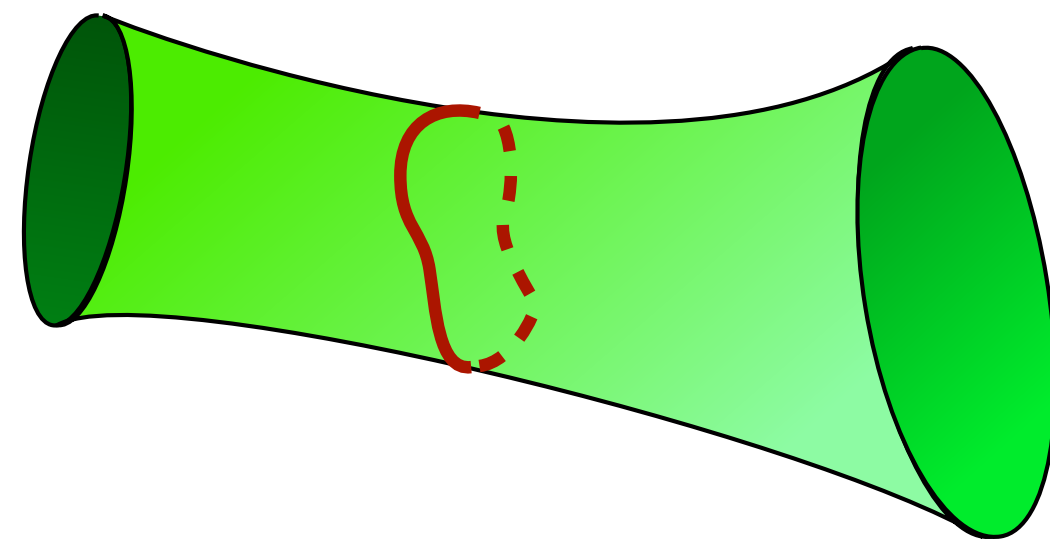
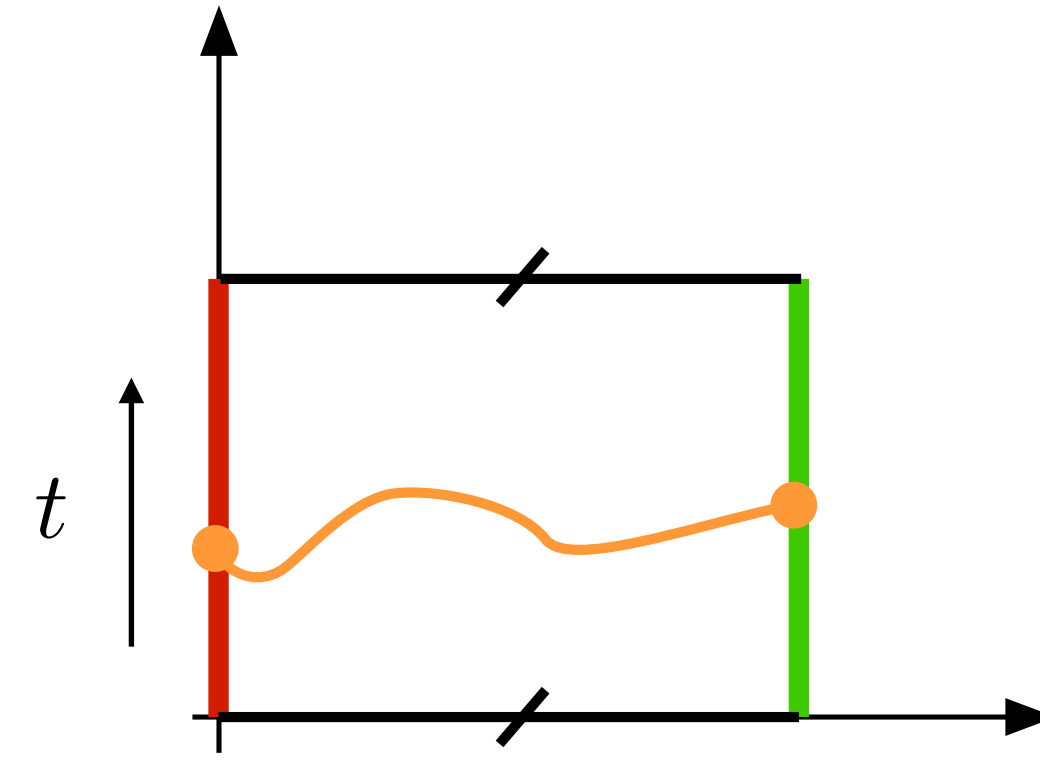
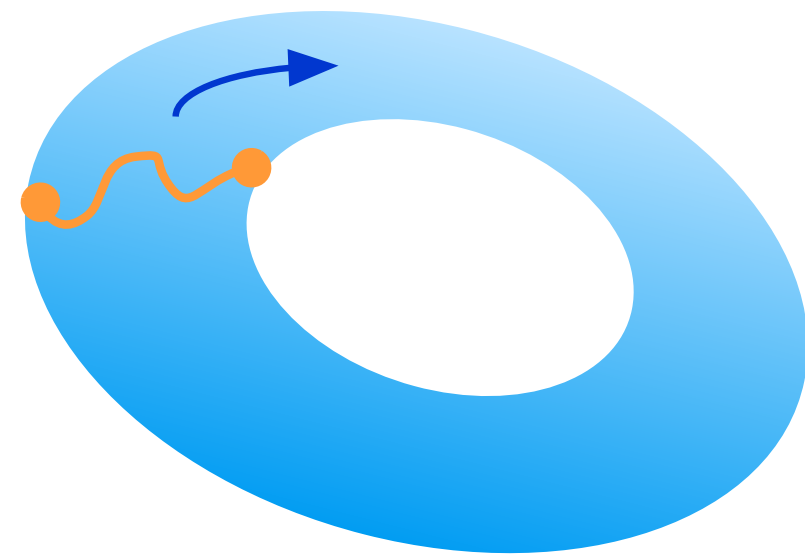
$$U(1) \times U(1) \rightarrow U(2)$$

N coincident branes

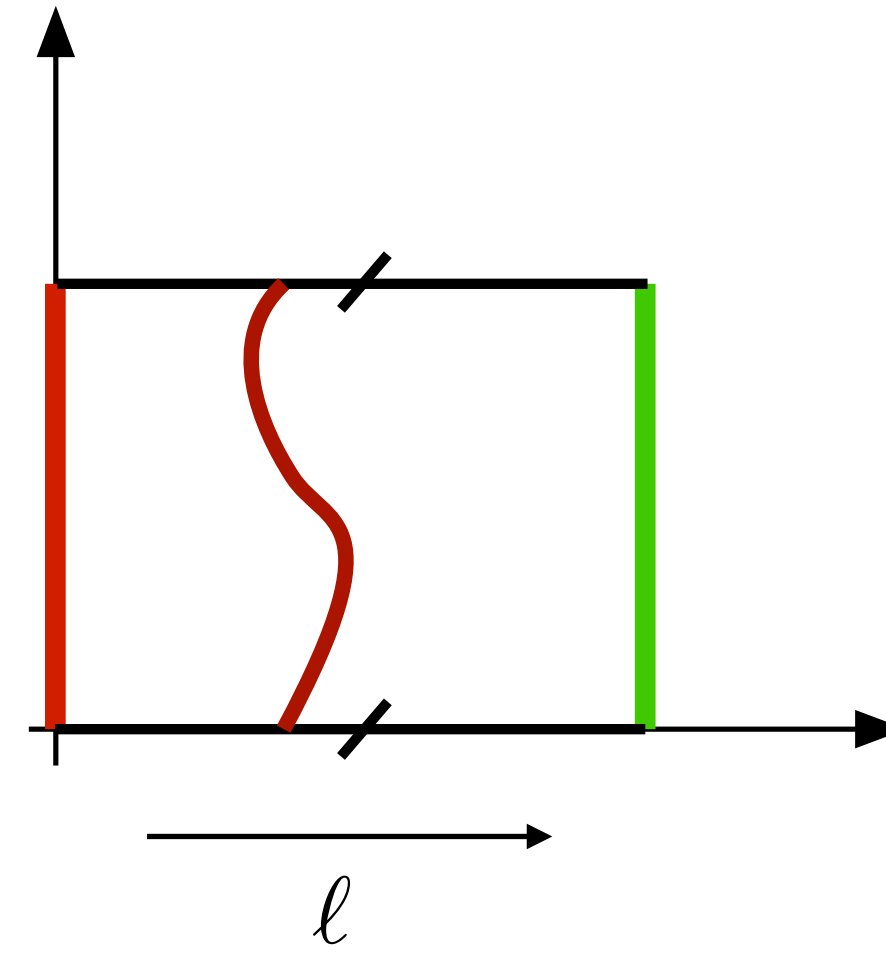
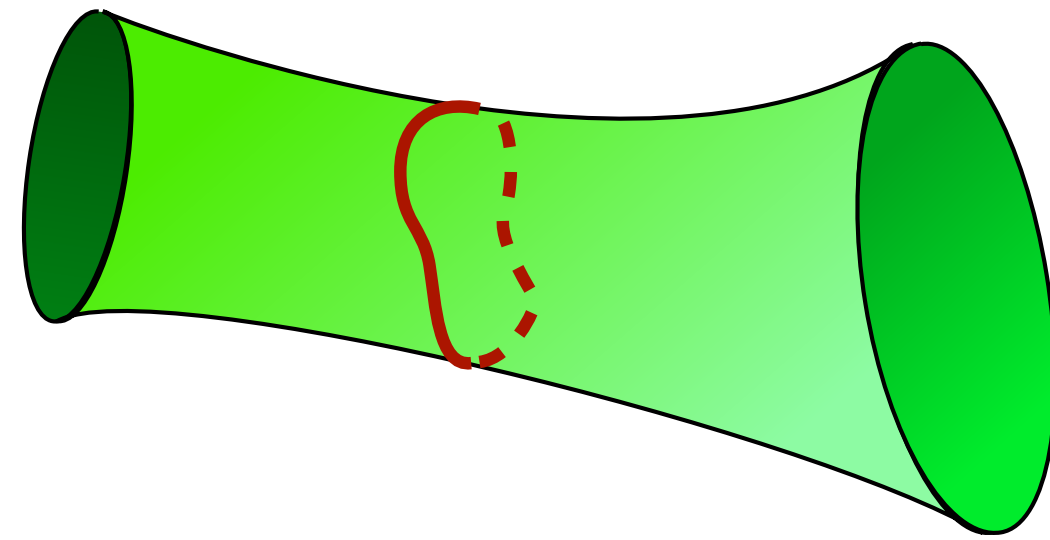
$$U(1)^N \rightarrow U(N)$$

THE OPEN STRING PARTITION FUNCTION: OPEN CHANNEL

$$\mathcal{A} = \int_0^\infty \frac{dt}{t} \text{tr} \left(e^{\pi t (\square - M^2)} \right)$$

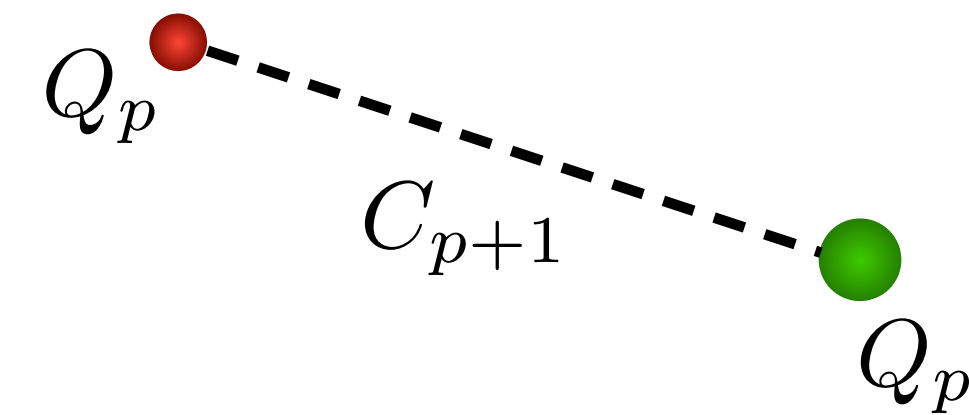


THE OPEN STRING PARTITION FUNCTION: CLOSED CHANNEL



$$\tilde{A} = \int_0^\infty dl \sum_{\text{closed states}} B(n) \Delta_n(l) B(n) \quad l \rightarrow \infty$$

only massless states propagate



D-BRANES

**Hypersurfaces defined by the boundary conditions.
Open string describe the excitations of these hypersurfaces.**

**Solitonic objects sourcing the closed-string fields:
Graviton, dilaton, RR C_p form field**

ORIENTIFOLD CONSTRUCTION

Modding out by world-sheet parity

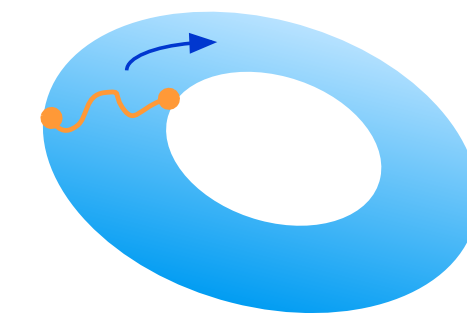
$$\Omega : \quad \sigma \rightarrow -\sigma$$



$$\text{tr}_{\text{closed}} \left(\frac{1 + \Omega}{2} q^{M_c^2} \right)$$

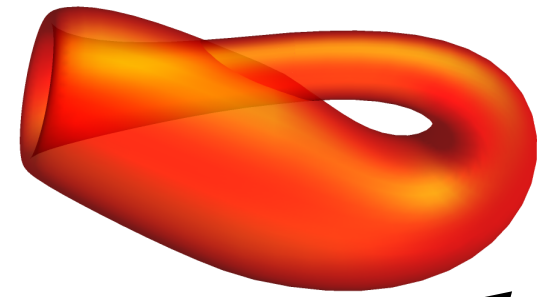
The invariant spectrum

$$\text{tr}_{\text{open}} \left(\frac{1 + \Omega}{2} q^{M_o^2} \right)$$



ORIENTIFOLD CONSTRUCTION

Klein-bottle amplitude



$$\text{tr}_{\text{closed}} \left(\frac{1 + \Omega}{2} q^{M_c^2} \right)$$

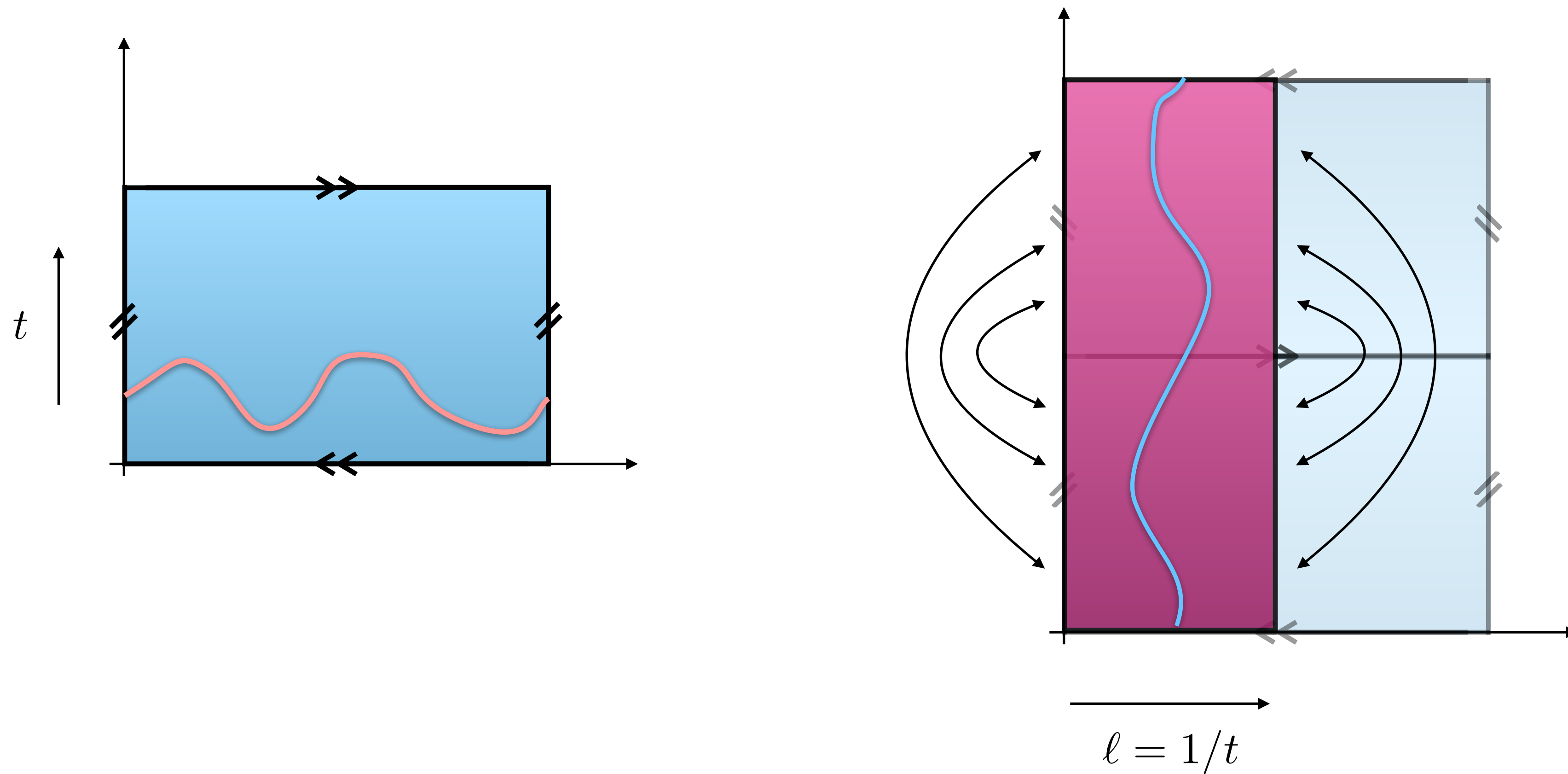
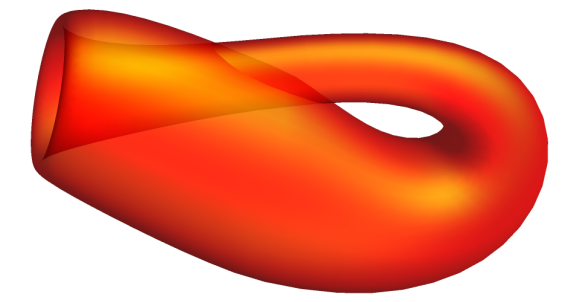
$$\text{tr}_{\text{open}} \left(\frac{1 + \Omega}{2} q^{M_o^2} \right)$$



Möbius-strip amplitude

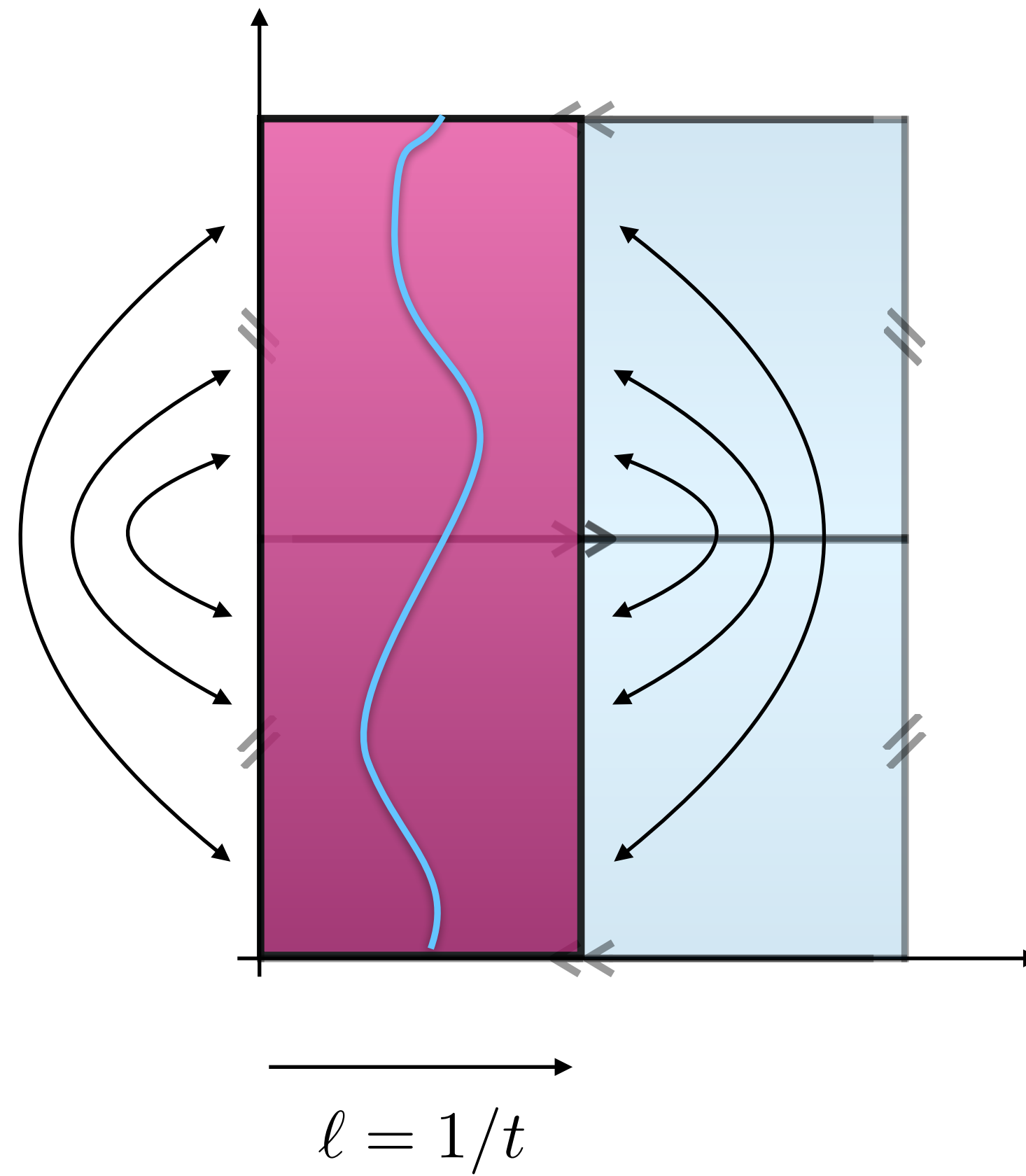
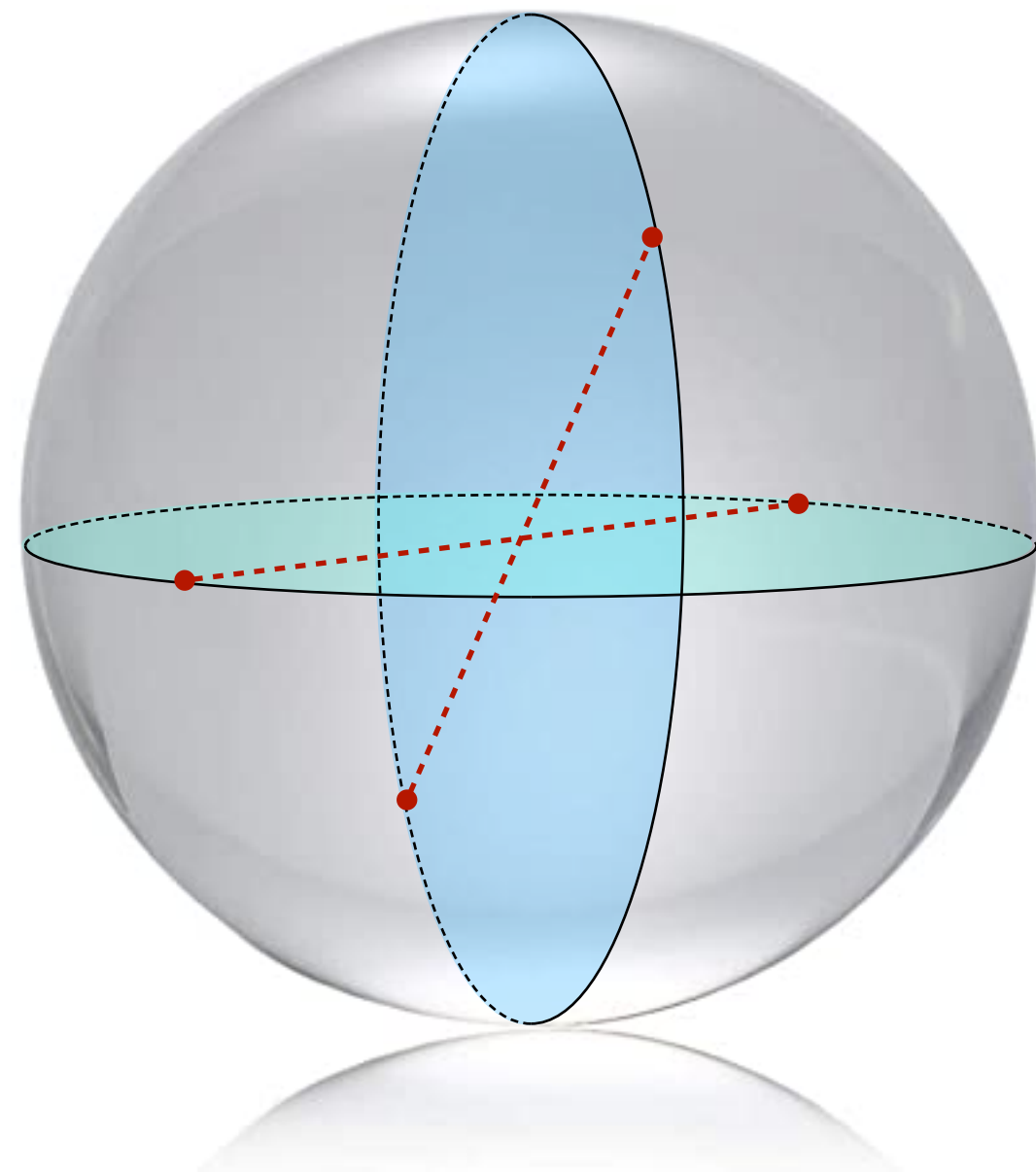
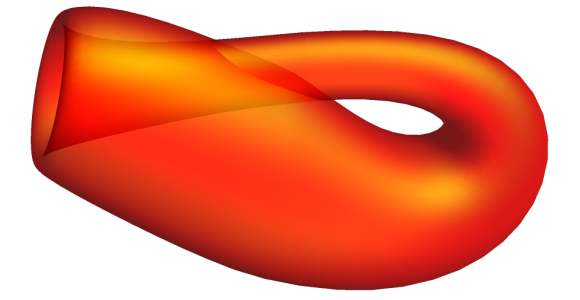
ORIENTIFOLD CONSTRUCTION

Klein-bottle amplitude



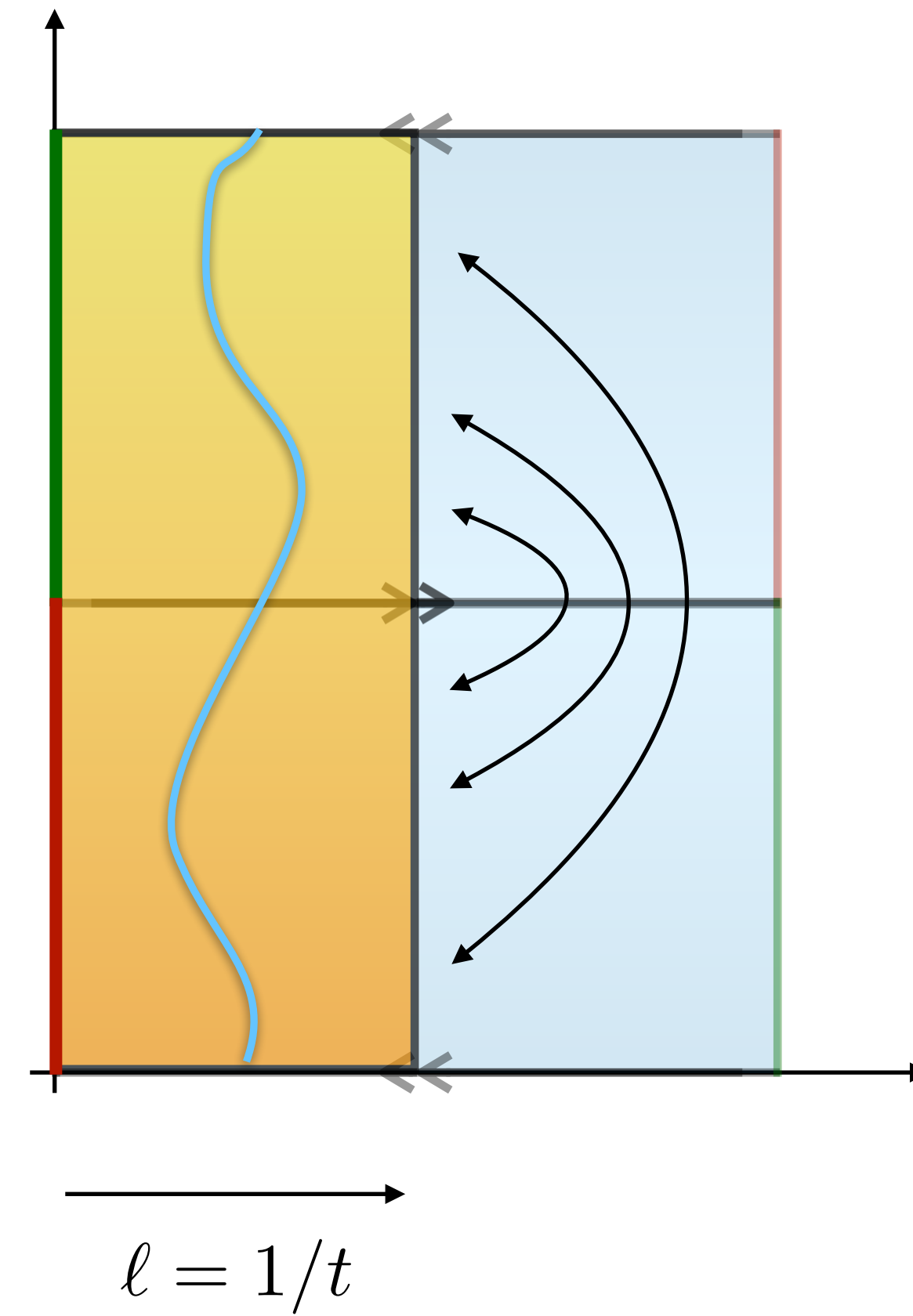
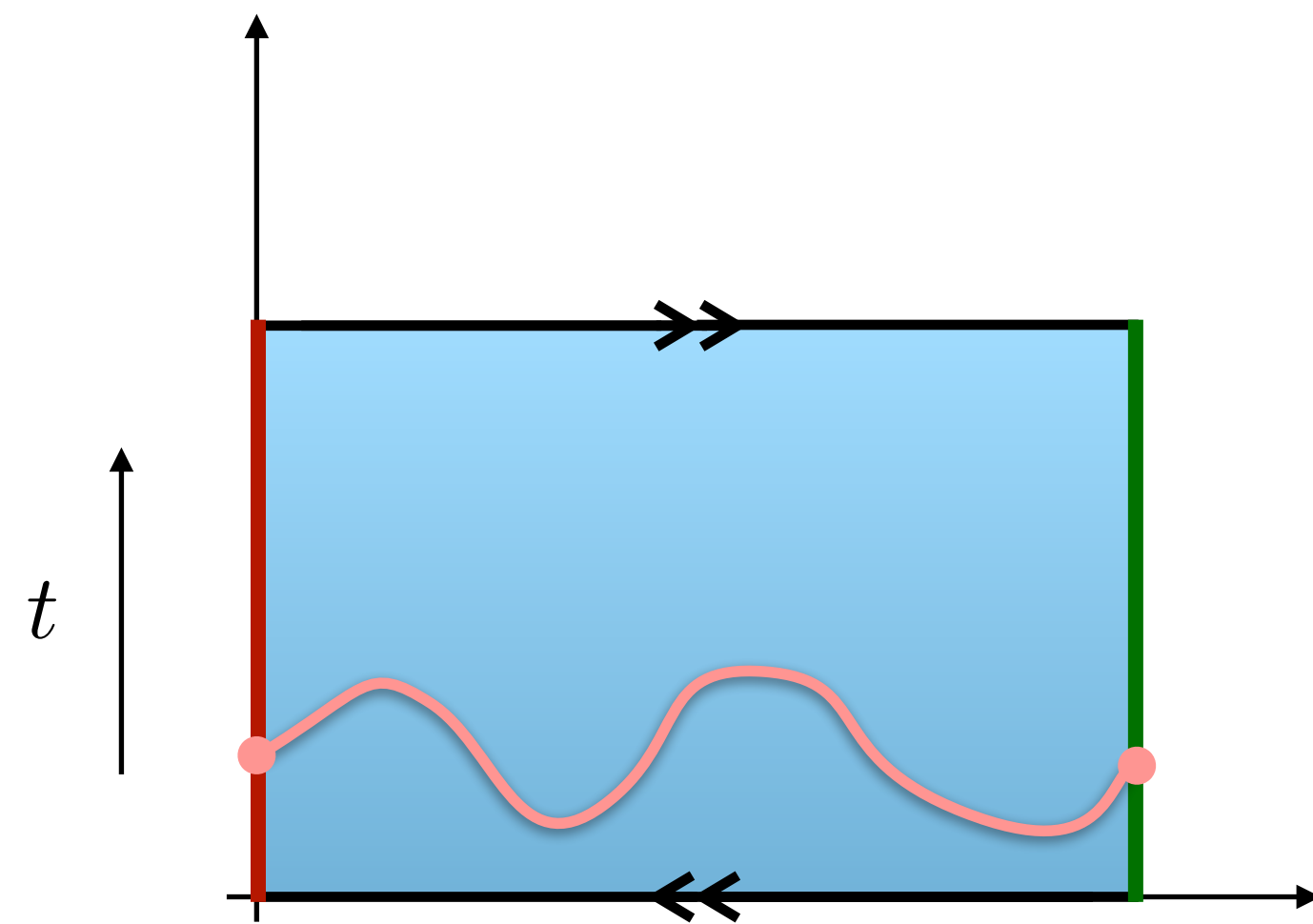
ORIENTIFOLD CONSTRUCTION

Klein-bottle amplitude

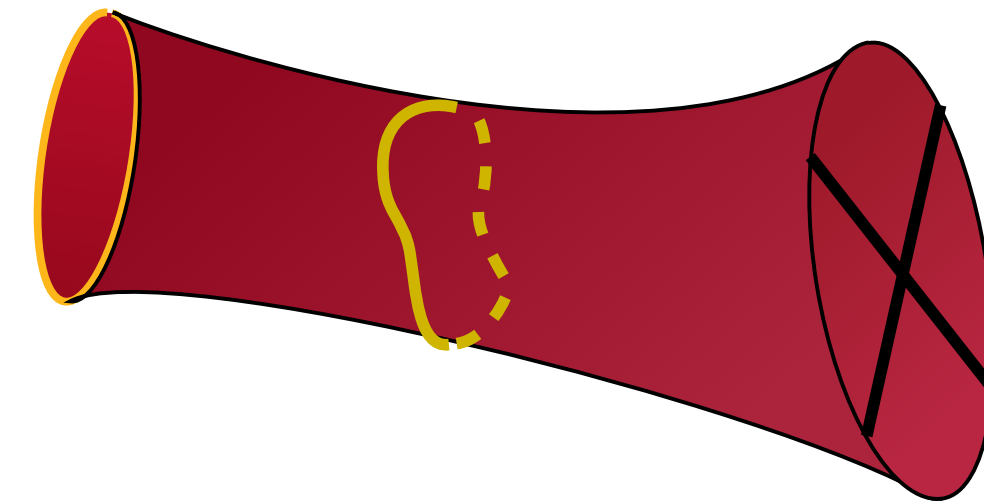
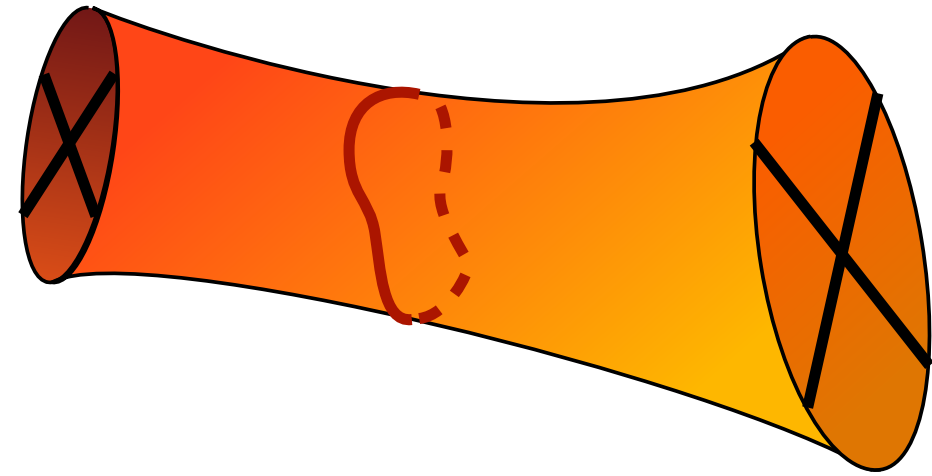


ORIENTIFOLD CONSTRUCTION

Möbius-strip amplitude

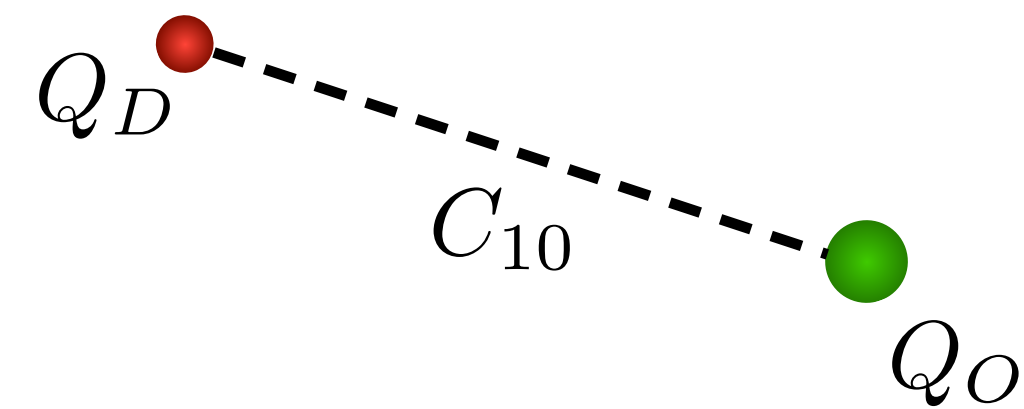
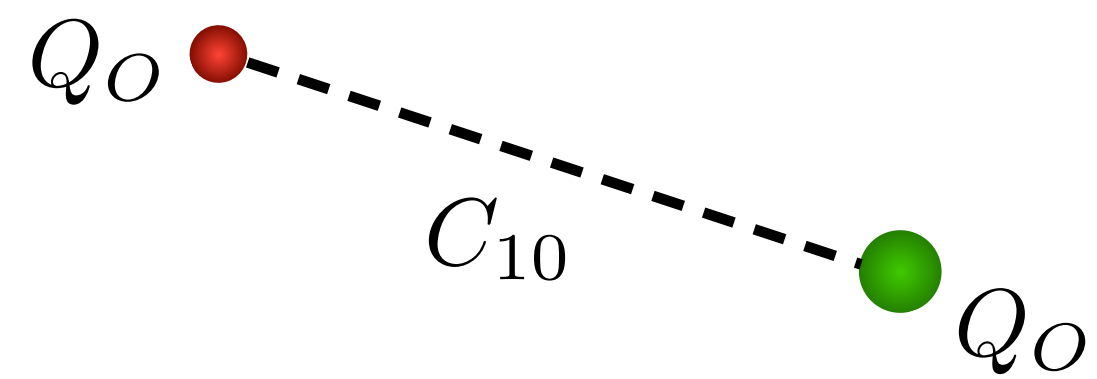


ORIENTIFOLD CONSTRUCTION



$$\tilde{\mathcal{K}} = \int_0^\infty dl \sum_{\text{closed states}} \Gamma(n) \Delta_n(l) \Gamma(n)$$

$$\tilde{\mathcal{M}} = \int_0^\infty dl \sum_{\text{closed states}} B(n) \Delta_n(l) \Gamma(n)$$



ORIENTIFOLD CONSTRUCTIONS

closed strings: $\mathcal{T} = \frac{1}{2} |V_8 - S_8|^2$ $\mathcal{K} = \frac{1}{2} (V_8 - S_8)$

open strings: $\mathcal{A} = \frac{1}{2} N^2 (V_8 - S_8)$ $\mathcal{M} = -\frac{1}{2} N (V_8 - S_8)$

Tadpole conditions:

$$Q_O + Q_D = 0$$

$$T_O + T_D = 0$$

$$N = 32$$

$$\left\{ \begin{array}{lll} \mathcal{N} = (1, 0) & \text{SUGRA} & \{g_{\mu\nu}, \phi, C_{\mu\nu}; \psi_L^\mu, \lambda_R\} \\ & \text{VECTOR} & \{A_\mu; \lambda_L\} \quad G_{\text{CP}} = \text{SO}(32) \end{array} \right.$$

THE LEEA FOR THE TYPE I SUPERSTRING

$$S = \int d^{10}x \sqrt{-g_I} \left[e^{-2\phi_I} (R(g_I) + 4(\partial\phi_I)^2) - \frac{1}{12} (dC_I)^2 - \frac{1}{4} e^{-\phi_I} \text{Tr} F_I^2 + \text{fermions} \right]$$

$$\chi = 2 - 2g - b$$



in the *Einstein frame*

$$g_{I\mu\nu} \rightarrow e^{\phi_I/2} g_{I\mu\nu}$$

$$S = \int d^{10}x \sqrt{-g_I} \left(R(g_I) + (\partial\phi_I)^2 - \frac{1}{12} e^{+\phi_I} (dC_I)^2 - \frac{1}{4} e^{+\phi_I/2} \text{Tr} F_I^2 + \text{fermions} \right)$$

HETEROTIC SO(32) vs TYPE I SUPERSTRING

10D (1,0) Supergravity is unique

$$S = \int d^{10}x \sqrt{-g_H} \left(R(g_H) + (\partial\phi_H)^2 - \frac{1}{12} e^{-\phi_H} H_H^2 - \frac{1}{4} e^{-\phi_H/2} \text{Tr} F_H^2 + \text{fermions} \right) \quad \text{HETEROTIC}$$

$$S = \int d^{10}x \sqrt{-g_I} \left(R(g_I) + (\partial\phi_I)^2 - \frac{1}{12} e^{+\phi_I} (dC_I)^2 - \frac{1}{4} e^{+\phi_I/2} \text{Tr} F_I^2 + \text{fermions} \right) \quad \text{TYPE I}$$

$$\phi_I = -\phi_H \quad \Rightarrow \quad g_{sI} = \frac{1}{g_{sH}} \quad \text{strong-weak coupling duality}$$

TYPE IIA SUPERSTRING vs 11D SUPERGRAVITY

Type IIA massless states: $\{g_{\mu\nu}, \phi, B_{\mu\nu}, C_\mu, C_{\mu\nu\rho}; \Psi^\mu, \lambda\}$ $\mu, \nu = 0, \dots, 9$

Kaluza-Klein Ansatz: $g_{MN} = \begin{pmatrix} g_{\mu\nu} & C_\mu \\ C_\mu & e^{4\phi/3} \end{pmatrix}$

11D SUGRA

$A_{MNP} = \{C_{\mu\nu\rho}, B_{\mu\nu}\}$ $M, N, = 0, \dots, 10$

$\Psi^M = \{\Psi^\mu, \lambda\}$

The strong coupling limit of type IIA superstring is M-theory

$$R = e^{2\phi/3} \rightarrow \infty \quad \Rightarrow \quad g_{sA} \rightarrow \infty$$

THE MALDACENA CONJECTURE

... is based on the crucial observation that D-branes are ...

**Hypersurfaces defined by the boundary conditions.
Open string describe the excitations of these hypersurfaces.**

**Solitonic objects sourcing the closed-string fields:
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D-BRANE SOURCES

$$S_{\text{SUGRA}} = \frac{1}{2\kappa^2} \int d^{10}x \sqrt{g} \left[e^{-2\phi} \left[R + 2(\partial\phi)^2 \right] - \frac{1}{2} |F_{p+2}|^2 \right]$$

$$S_{\text{D-brane}} = -\frac{T_p}{2} \int d^{p+1}\xi \sqrt{\gamma} \left[e^{-\phi} \gamma^{ij} \partial_i X^\mu \partial_j X^\nu g_{\mu\nu} - (p-1) \right] \\ + \frac{(-1)^{p+1} \mu_p}{(p+1)!} \int d^{p+1}\xi \epsilon^{i_1 \dots i_{p+1}} A_{\mu_1 \dots \mu_{p+1}} \partial_{i_1} X^{\mu_1} \dots \partial_{i_{p+1}} X^{\mu_{p+1}},$$

D-BRANE SOLUTION

$$ds^2 = H_p^{-1/2}(r) dx \cdot dx + H_p^{1/2}(r) (dr^2 + r^2 d\Omega_{8-p}^2)$$

$$e^\phi = g_s H_p^{(3-p)/4}(r)$$

$$C_{01\dots p} = H_p(r)^{-1} - 1$$

$$H_p(r) = 1 + \left(\frac{r_p}{r}\right)^{7-p}$$

$$\left(\frac{r_p}{\ell_s}\right)^{7-p} = (2\sqrt{\pi})^{5-p} \Gamma\left(\frac{7-p}{2}\right) g_s N$$

D3-BRANE SOLUTION

$$e^\phi = g_s$$

$$\begin{aligned} ds^2 &= H_3^{-1/2}(r) dx \cdot dx + H_3^{1/2}(r) (dr^2 + r^2 d\Omega_{8-p}^2) \\ &\sim \left(\frac{r}{r_3}\right)^2 dx \cdot dx + \left(\frac{r_3}{r}\right)^2 (dr^2 + r^2 d\Omega_5^2) \\ &= r_3^3 \frac{dx \cdot dx + dz^2}{z^2} + r_3^2 d\Omega_5^2 \end{aligned}$$

$$r_3^4 = 4\pi g_s N \alpha'^2$$

$$z = r_3^2/r$$

Near horizon geometry: $\text{AdS}_5 \times S^5$

Isometries: $\text{SO}(4, 2) \times \text{SO}(6) \simeq \text{SU}(2, 2) \times \text{SU}(4)$

This background preserves *all* 32 supercharges of type IIB

D3-BRANE DYNAMICS

The light degrees of freedom on the D3 branes

propagate in D=4

$$A_\mu, \phi^{a=1,\dots,6}, \lambda^{i=1,\dots,4}$$

$N=4$ vector multiplet

$$\text{SO}(3, 1) \times \text{SU}(4)$$

naïve global symmetries

The theory is conformally invariant at the quantum level

$$\text{SO}(4, 2) \times \text{SU}(4)$$

It has **16** Q supercharges of $N=4$ plus **16** S supercharges of the superconformal group

linearly realised

non-linearly realised

THE AdS/CFT CORRESPONDENCE

Type IIB superstring theory on $\text{AdS}_5 \times S^5$

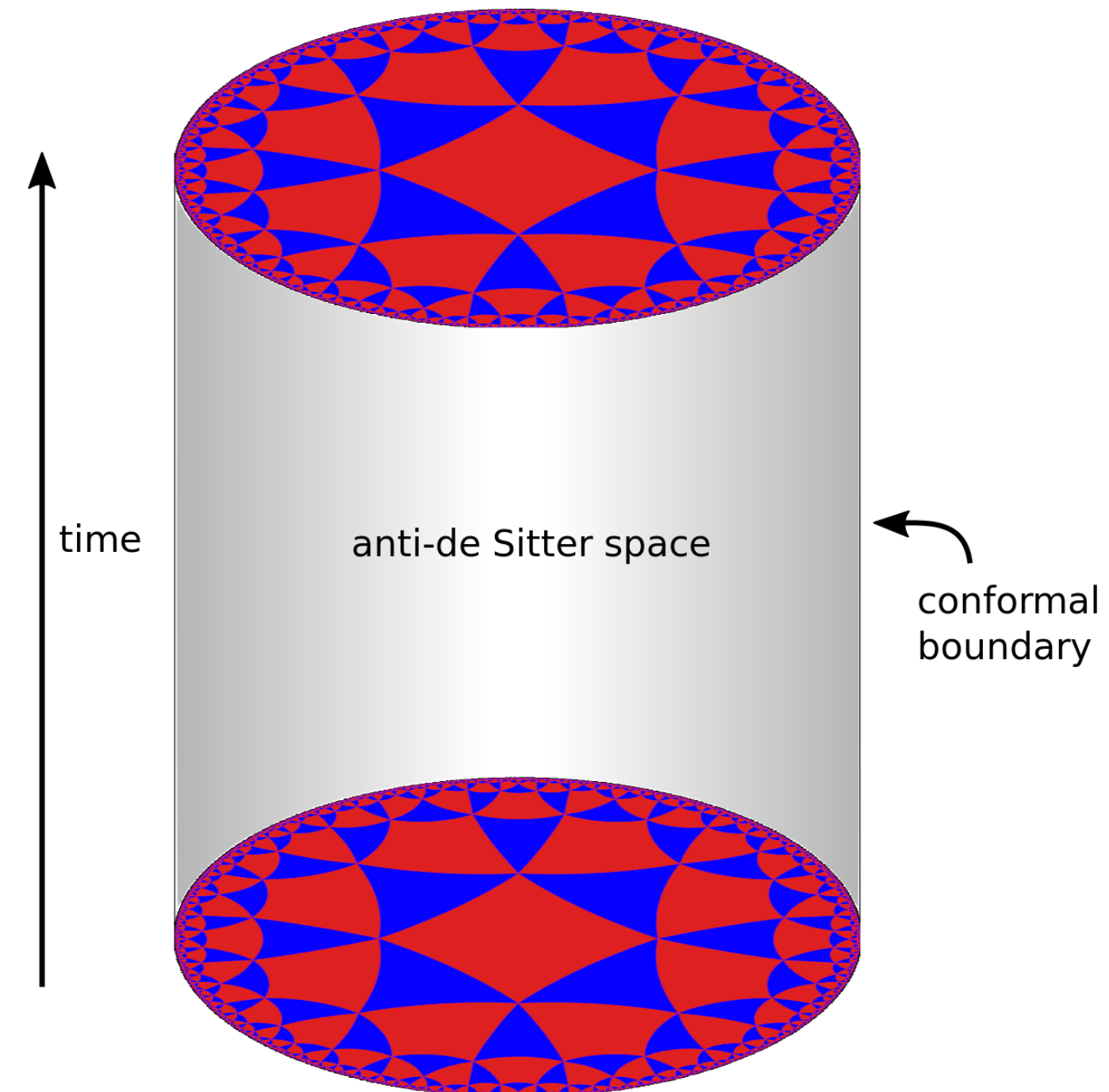
is *dual* to

$\text{N}=4$ Super-Yang-Mills theory with $U(N)$ gauge group

AdS_5 and S^5 radius

$$r_3 = (4\pi g_s N \ell_s^4)^{1/4} = \lambda^{1/4} \ell_s$$

String corrections under control for $r_3 \gg \ell_s$



$$g_{\text{YM}}^2 = 4\pi g_s$$

$$\lambda = g_{\text{YM}}^2 N$$